

***FOR LEGISLATIVE REVIEW***

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**DEVELOPMENTAL CENTERS  
ESTIMATE  
MAY 2007 REVISION  
OF THE  
2007-08 BUDGET**

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**DEPARTMENT OF  
DEVELOPMENTAL SERVICES**

**MAY 14, 2007**

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**EXECUTIVE SUMMARY**

**CURRENT YEAR 2006-07**

2007-08 Governor's Budget/ Adjusted Budget CY 2006-07 *	2007-08 May Revision CY 2006-07	2007-08 May Revision Request CY 2006-07
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<b>TOTAL FUNDING</b>	<b>\$733,276,000</b>	<b>\$734,441,000</b>	<b>\$1,165,000</b>
Positions	7,732.1	7,798.1	66.0
Average In-Center Population	2,834	2,877	43
<b>General Fund (0001)</b>	<b>\$406,291,000</b>	<b>\$406,291,000</b>	<b>\$0</b>
Item 003	396,886,000	396,886,000	0
Item 004	9,121,000	9,121,000	0
Item 017	284,000	284,000	0
<b>Reimbursements (0995)</b>	<b>\$325,876,000</b>	<b>\$327,041,000</b>	<b>\$1,165,000</b>
Item 003	322,196,000	323,361,000	1,165,000
Item 004	3,489,000	3,489,000	0
Item 017	191,000	191,000	0
<b>Federal Funds (0890)</b>	<b>\$620,000</b>	<b>\$620,000</b>	<b>\$0</b>
Item 003	620,000	620,000	0
<b>Lottery Education Fund (0814)</b>	<b>\$489,000</b>	<b>\$489,000</b>	<b>\$0</b>
Item 503 (Non-Budget Act)	489,000	489,000	0
<b>Total DC Program Budget</b>	<b>\$733,276,000</b>	<b>\$734,441,000</b>	<b>\$1,165,000</b>
003	719,702,000	720,867,000	1,165,000
004	12,610,000	12,610,000	0
017	475,000	475,000	0
503	489,000	489,000	0

\* The Governor's Budget has been updated to reflect the current budget as of the May Revision. See page A-2 for detail.

**DEVELOPMENTAL CENTERS DETAILED FUNDING SUMMARY**  
**Comparison of Enacted CY 2006-07 Budget to the 2007-08 May Revision for CY 2006-07**

**CURRENT YEAR 2006-07**

	Enacted Budget CY 2006-07	2007-08 Governor's Budget CY 2006-07	Technical Adjustments			Staffing Adjustment/ General Fund Offset <sup>3</sup>	Governor's Budget/ Adjusted Budget CY 2006-07	2007-08 May Revision Request CY 2006-07	Proposed Final Budget CY 2006-07	Change from Governor's Budget CY 2006-07	Change from Enacted Budget for CY 2006-07
			Absorb Minimum Wage Costs <sup>1</sup>	Absorb Agnews Staffing Plan Costs <sup>1</sup>	Absorb Interagency Agreement Employee Compensation <sup>2</sup>						
<b>Program 20 Total</b>	<b>\$702,720,000</b>	<b>\$730,629,000</b>	<b>-\$122,000</b>	<b>-\$366,000</b>	<b>-\$73,000</b>	<b>\$3,208,000</b>	<b>\$733,276,000</b>	<b>\$1,165,000</b>	<b>\$734,441,000</b>	<b>\$3,812,000</b>	<b>\$31,721,000</b>
Positions	7,719.1	7,732.1	0.0	0.0	0.0	0.0	7,732.1	66.0	7,798.1	66.0	79.0
Average In-Center Population	2,828	2,834	0	0	0	0	2,834	43	2,877	43	49
<b>Funding Sources</b>											
<b>General Fund Total</b>	<b>\$384,996,000</b>	<b>\$403,422,000</b>	<b>-\$71,000</b>	<b>-\$195,000</b>	<b>-\$73,000</b>	<b>\$3,208,000</b>	<b>\$406,291,000</b>	<b>\$0</b>	<b>\$406,291,000</b>	<b>\$2,869,000</b>	<b>\$21,295,000</b>
General Fund Match	304,423,000	317,725,000	-51,000	-171,000	0	2,805,000	320,308,000	0	320,308,000	2,583,000	15,885,000
General Fund Other	80,573,000	85,697,000	-20,000	-24,000	-73,000	403,000	85,983,000	0	85,983,000	286,000	5,410,000
<b>Reimbursement Total</b>	<b>316,615,000</b>	<b>326,098,000</b>	<b>-\$51,000</b>	<b>-\$171,000</b>	<b>0</b>	<b>0</b>	<b>325,876,000</b>	<b>1,165,000</b>	<b>327,041,000</b>	<b>943,000</b>	<b>10,426,000</b>
Medi-Cal Reimbursements	304,423,000	317,725,000	-51,000	-171,000	0	0	317,503,000	2,805,000	320,308,000	2,583,000	15,885,000
Other Reimbursements	12,192,000	8,373,000	0	0	0	0	8,373,000	-1,640,000	6,733,000	-1,640,000	-5,459,000
<b>Federal Funds</b>	<b>620,000</b>	<b>620,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>0</b>
<b>Lottery Education Fund</b>	<b>489,000</b>	<b>489,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>489,000</b>	<b>0</b>	<b>489,000</b>	<b>0</b>	<b>0</b>
<b>Total Funding</b>	<b>\$702,720,000</b>	<b>\$730,629,000</b>	<b>-\$122,000</b>	<b>-\$366,000</b>	<b>-\$73,000</b>	<b>\$3,208,000</b>	<b>\$733,276,000</b>	<b>\$1,165,000</b>	<b>\$734,441,000</b>	<b>\$3,812,000</b>	<b>\$31,721,000</b>

<sup>1</sup> Increased funding not included in 2006-07 Deficiency Bill.

<sup>2</sup> Budget Letter 06-33 did not include funding for Employee Compensation Adjustments for Interagency Agreement with the State Council on Developmental Disabilities.

<sup>3</sup> The funding for the Staffing Adjustment and General Fund offset consists of a General Fund transfer from the Regional Centers budget of \$3,208,000.

**CURRENT YEAR 2006-07**

**SUMMARY**

<b>2007-08 May Revision CY 2006-07</b>
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**I. POPULATION UPDATE:**

Average In-Center Population

<i>November Estimate</i>	2,834
<i>May Revision</i>	2,877
Net Change	43

**II. BUDGET ITEMS:**

**A. Revised Major Assumptions**

1. Agnews Closure Plan:	
a. <i>State Employees in the Community</i>	-\$1,640,000
b. Total Agnews Closure Plan	-\$1,640,000
2. Total Revised Major Assumptions	-\$1,640,000

**B. Program Updates**

1. Staffing Adjustments:	
a. <i>Level-of-Care Staffing</i>	\$4,671,000
<i>Positions</i>	48.0
b. <i>Non-Level-of-Care Staffing</i>	\$1,342,000
<i>Positions</i>	18.0
c. Total Staffing Adjustment	\$6,013,000
Positions	66.0
2. Total Program Updates	\$6,013,000
Positions	66.0

**C. Funding Offset - General Fund Transfer from Regional Centers**

1. Total Funding Offset	-\$3,208,000
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**D. Total Request  
Positions**

<b>\$1,165,000</b>
<b>66.0</b>

**CURRENT YEAR 2006-07**

**SUMMARY (Continued)**

<b>2007-08 May Revision CY 2006-07</b>
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**III. FUNDING:**

**A. Fund Sources**

<b>1. General Fund Total</b>	<b>\$0</b>
<i>a. General Fund Match</i>	<i>0</i>
<i>b. General Fund Other</i>	<i>0</i>
<b>2. Reimbursements Total</b>	<b>\$1,165,000</b>
<i>a. Medi-Cal Reimbursements</i>	<i>2,805,000</i>
<i>b. Other Reimbursements</i>	<i>-1,640,000</i>
<b>3. Federal Funds</b>	<b>\$0</b>
<b>4. Lottery Education Fund (Non-Budget Act)</b>	<b>\$0</b>

<b>B. Total Funds</b>	<b><u><u>\$1,165,000</u></u></b>
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**EXECUTIVE SUMMARY**

**BUDGET YEAR 2007-08**

2007-08 Governor's Budget/ Adjusted Budget BY 2007-08 *	2007-08 May Revision BY 2007-08	2007-08 May Revision Request BY 2007-08
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<b>TOTAL FUNDING</b>	<b>\$718,155,000</b>	<b>\$720,281,000</b>	<b>\$2,126,000</b>
Positions	7,364.1	7,328.6	-35.5
Average In-Center Population	2,589	2,610	21
<b>General Fund (0001)</b>	<b>\$391,607,000</b>	<b>\$391,518,000</b>	<b>-\$89,000</b>
Item 003	382,650,000	382,508,000	-142,000
Item 004	8,677,000	8,730,000	53,000
Item 017	280,000	280,000	0
<b>Reimbursements (0995)</b>	<b>\$325,439,000</b>	<b>\$327,654,000</b>	<b>\$2,215,000</b>
Item 003	322,141,000	324,300,000	2,159,000
Item 004	3,110,000	3,166,000	56,000
Item 017	188,000	188,000	0
<b>Federal Funds (0890)</b>	<b>\$620,000</b>	<b>\$620,000</b>	<b>\$0</b>
Item 003	620,000	620,000	0
<b>Lottery Education Fund (0814)</b>	<b>\$489,000</b>	<b>\$489,000</b>	<b>\$0</b>
Item 503 (Non-Budget Act)	489,000	489,000	0
<b>Total DC Program Budget</b>	<b>\$718,155,000</b>	<b>\$720,281,000</b>	<b>\$2,126,000</b>
Item 003	705,411,000	707,428,000	2,017,000
Item 004	11,787,000	11,896,000	109,000
Item 017	468,000	468,000	0
Item 503	489,000	489,000	0

\* The Governor's Budget has been updated to reflect the current budget as of the May Revision. See page A-6 for detail.

**DEVELOPMENTAL CENTERS DETAILED FUNDING SUMMARY**  
**Comparison of Enacted CY 2006-07 Budget to the 2007-08 May Revision for BY 2007-08**

**BUDGET YEAR 2007-08**

	Enacted Budget CY 2006-07	2007-08 Governor's Budget BY 2007-08	<b>Information Only</b> Department of Personnel Administration Budget Change Proposal Non-Plata Salary Increase *	Department of Personnel Administration Non-Plata Spring Finance Letter Funding Adjustment	Department of Finance Spring Finance Letter Reduction of Price Letter Increase	2007-08 Spring Finance Letter - Coleman BY 2007-08	Governor's Budget/ Adjusted Budget BY 2007-08	<b>2007-08 May Revision Request BY 2007-08</b>	Proposed Budget BY 2007-08	Change from Governor's Budget BY 2007-08	Change from Enacted Budget BY 2007-08
<b>Program 20 Total</b>	<b>\$702,720,000</b>	<b>\$712,268,000</b>	<b>(\$12,621,000)</b>	<b>\$0</b>	<b>-\$948,000</b>	<b>\$6,835,000</b>	<b>\$718,155,000</b>	<b>\$2,126,000</b>	<b>\$720,281,000</b>	<b>\$8,013,000</b>	<b>\$17,561,000</b>
Positions	7,719.1	7,364.1	0.0	0.0	0.0	0.0	7,364.1	-35.5	7,328.6	-35.5	-390.5
Average In-Center Population	2,828	2,589	0	0	0	0	2,589	21	2,610	21	-218
<b>Funding Sources</b>											
<b>General Fund Total</b>	<b>\$384,996,000</b>	<b>\$393,567,000</b>	<b>(\$12,459,000)</b>	<b>-\$5,000,000</b>	<b>-\$948,000</b>	<b>\$3,988,000</b>	<b>\$391,607,000</b>	<b>-\$89,000</b>	<b>\$391,518,000</b>	<b>-\$2,049,000</b>	<b>\$6,522,000</b>
General Fund Match	304,423,000	305,297,000	(162,000)	5,000,000	0	2,847,000	313,144,000	2,215,000	315,359,000	10,062,000	10,936,000
General Fund Other	80,573,000	88,270,000	(12,297,000)	-10,000,000	-948,000	1,141,000	78,463,000	-2,304,000	76,159,000	-12,111,000	-4,414,000
<b>Reimbursement Total</b>	<b>316,615,000</b>	<b>317,592,000</b>	<b>(162,000)</b>	<b>5,000,000</b>	<b>0</b>	<b>2,847,000</b>	<b>325,439,000</b>	<b>2,215,000</b>	<b>327,654,000</b>	<b>10,062,000</b>	<b>11,039,000</b>
Medi-Cal Reimbursements	304,423,000	305,297,000	(162,000)	5,000,000	0	2,847,000	313,144,000	2,215,000	315,359,000	10,062,000	10,936,000
Other Reimbursements	12,192,000	12,295,000	0	0	0	0	12,295,000	0	12,295,000	0	103,000
<b>Federal Funds</b>	<b>620,000</b>	<b>620,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>0</b>
Lottery Education Fund	489,000	489,000	0	0	0	0	489,000	0	489,000	0	0
<b>Total Funding</b>	<b>\$702,720,000</b>	<b>\$712,268,000</b>	<b>(\$12,621,000)</b>	<b>\$0</b>	<b>-\$948,000</b>	<b>\$6,835,000</b>	<b>\$718,155,000</b>	<b>\$2,126,000</b>	<b>\$720,281,000</b>	<b>\$8,013,000</b>	<b>\$17,561,000</b>

\* Budget year 2007-08 funding for the Department of Personnel Administration Budget Change Proposal for Non-Plata Salary Increase resides in the 2007 Budget Bill Item 9800-001-0001, For Augmentation for Employee Compensation, and is displayed here a "non-add" for information only.

**BUDGET YEAR 2007-08****SUMMARY**

<b>2007-08 May Revision BY 2007-08</b>
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**I. POPULATION UPDATE:**

Average In-Center Population

<i>November Estimate</i>	2,589
<i>May Revision</i>	2,610
Net Change	21

**II. BUDGET ITEMS:****A. New Major Assumptions**

1. Sonoma Developmental Center Asbury Creek Water Diversion	\$1,988,000
2. Salary Enhancements for Mental Health Professionals ( <i>Coleman</i> )	\$286,000
3. Salary Enhancements for Dental Professionals ( <i>Perez</i> )	\$1,280,000
4. Total New Major Assumptions	\$3,554,000
Positions	0.0

**B. Revised Major Assumptions**

1. Agnews Closure Plan:	
a. <i>State Employees in the Community Positions</i>	\$242,000 3.0
b. Total Agnews Closure Plan Positions	\$242,000 3.0
2. Total Revised Major Assumptions	\$242,000

**C. Program Updates**

1. Staffing Adjustments:	
a. <i>Level-of-Care Staffing Positions</i>	\$2,627,000 27.0
b. <i>Non-Level-of-Care Staffing Positions</i>	-\$3,758,000 -65.5
c. Total Staffing Adjustment Positions	-\$1,131,000 -38.5
2. PIA Laundry Contract - Rate Increase	\$235,000
3. Intermediate Care Facility - Developmentally Disabled Quality Assurance Fee	-\$774,000

**BUDGET YEAR 2007-08**

**SUMMARY (Continued)**

	2007-08 May Revision BY 2007-08
4. Agnews Closure Plan:	
a. Staff Costs for Closure Plan	\$0
b. Total Agnews Closure Plan	\$0
5. Total Program Updates Positions	-\$1,670,000 -38.5
<b>D. Total Request     Positions</b>	<b>\$2,126,000 -35.5</b>
<b>III. FUNDING:</b>	
<b>A. Fund Sources</b>	
<b>1. General Fund Total</b>	<b>-\$89,000</b>
a. General Fund Match	2,215,000
b. General Fund Other	-2,304,000
<b>2. Reimbursements Total</b>	<b>\$2,215,000</b>
a. Medi-Cal Reimbursements	2,215,000
b. Other Reimbursements	0
<b>3. Federal Funds</b>	<b>\$0</b>
<b>4. Lottery Education Fund (Non-Budget Act)</b>	<b>\$0</b>
<b>B. Total Funds</b>	<b>\$2,126,000</b>

**EXECUTIVE SUMMARY**

**CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

	<b>2007-08 May Revision CY 2006-07</b>	<b>2007-08 May Revision BY 2007-08</b>	<b>Change from CY 2006-07 to BY 2007-08</b>
<b>Program 20 Total</b>	<b>\$734,441,000</b>	<b>\$720,281,000</b>	<b>-\$14,160,000</b>
Positions	7,798.1	7,328.6	-469.5
Average In-Center Population	2,877	2,610	-267
<b>Funding Sources</b>			
<b>General Fund Total</b>	<b>\$406,291,000</b>	<b>\$391,518,000</b>	<b>-\$14,773,000</b>
General Fund Match	320,308,000	315,359,000	-4,949,000
General Fund Other	85,983,000	76,159,000	-9,824,000
<b>Reimbursement Total</b>	<b>327,041,000</b>	<b>327,654,000</b>	<b>613,000</b>
Medi-Cal Reimbursements	320,308,000	315,359,000	-4,949,000
Other Reimbursements	6,733,000	12,295,000	5,562,000
<b>Federal Funds</b>	<b>620,000</b>	<b>620,000</b>	<b>0</b>
<b>Lottery Education Fund</b>	<b>489,000</b>	<b>489,000</b>	<b>0</b>
<b>Total Funding</b>	<b>\$734,441,000</b>	<b>\$720,281,000</b>	<b>-\$14,160,000</b>

**DEVELOPMENTAL CENTERS DETAILED FUNDING SUMMARY**  
**Comparison of Enacted PY 2005-06 Budget to the 2006-07 May Revision for PY 2005-06**

**PRIOR YEAR 2005-06**

	Enacted Budget PY 2005-06	2006-07 Proposed Governor's Budget PY 2005-06	Executive Order Employee Compensation Adjustment Bargaining Units 7 and 18	Budget Revision Staffing Adjustment/ General Fund Offset	2006-07 May Revision PY 2005-06	Final Budget PY 2005-06	Change from Governor's Budget PY 2005-06	Change from Enacted Budget for PY 2005-06
<b>Program 20 Total</b>	<b>\$708,517,000</b>	<b>\$713,295,000</b>	<b>\$4,036,000</b>	<b>\$3,719,000</b>	<b>-\$4,906,000</b>	<b>\$716,144,000</b>	<b>\$2,849,000</b>	<b>\$7,627,000</b>
Positions	7,876.1	7,894.1	0.0	0.0	20.0	7,914.1	20.0	38.0
<b>Funding Sources</b>								
<b>General Fund Total</b>	<b>\$379,057,000</b>	<b>\$386,992,000</b>	<b>\$2,329,000</b>	<b>\$3,719,000</b>	<b>\$0</b>	<b>\$393,040,000</b>	<b>\$6,048,000</b>	<b>\$13,983,000</b>
General Fund Match	319,339,000	318,840,000	1,707,000	-3,249,000	0	317,298,000	-1,542,000	-2,041,000
General Fund Other	59,718,000	68,152,000	622,000	6,968,000	0	75,742,000	7,590,000	16,024,000
<b>Reimbursement Total</b>	<b>326,611,000</b>	<b>325,194,000</b>	<b>1,707,000</b>	<b>0</b>	<b>-4,906,000</b>	<b>321,995,000</b>	<b>-3,199,000</b>	<b>-4,616,000</b>
Medi-Cal Reimbursements	319,339,000	318,840,000	1,707,000	0	-3,249,000	317,298,000	-1,542,000	-2,041,000
Other Reimbursements	7,272,000	6,354,000	0	0	-1,657,000	4,697,000	-1,657,000	-2,575,000
<b>Federal Funds</b>	<b>645,000</b>	<b>620,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>620,000</b>	<b>0</b>	<b>-25,000</b>
Lottery Education Fund	2,204,000	489,000	0	0	0	489,000	0	-1,715,000
<b>Total Funding</b>	<b>\$708,517,000</b>	<b>\$713,295,000</b>	<b>\$4,036,000</b>	<b>\$3,719,000</b>	<b>-\$4,906,000</b>	<b>\$716,144,000</b>	<b>\$2,849,000</b>	<b>\$7,627,000</b>

### Position Summary

CURRENT YEAR 2006-07					
	TOTALS	LEVEL-OF-CARE			NON LOC
		PROF	NURSING	TOTAL	
<b>2006-07 Authorized Positions</b>	<b>7,719.1</b>	<b>477.0</b>	<b>2,939.0</b>	<b>3,416.0</b>	<b>4,303.1</b>
<b>November Estimate:</b>					
Staffing Adjustment	8.0	0.0	5.0	5.0	3.0
Agnews Closure Plan: Staffing Plan	5.0	0.0	0.0	0.0	5.0
<b>November Estimate Totals</b>	<b>13.0</b>	<b>0.0</b>	<b>5.0</b>	<b>5.0</b>	<b>8.0</b>
<b>Governor's Budget Totals</b>	<b>7,732.1</b>	<b>477.0</b>	<b>2,944.0</b>	<b>3,421.0</b>	<b>4,311.1</b>
<b>May Revision:</b>					
Staffing Adjustment	66.0	8.0	40.0	48.0	18.0
<b>May Revision Totals</b>	<b>66.0</b>	<b>8.0</b>	<b>40.0</b>	<b>48.0</b>	<b>18.0</b>
<b>Total 2006-07 Authorized Positions</b>	<b>7,798.1</b>	<b>485.0</b>	<b>2,984.0</b>	<b>3,469.0</b>	<b>4,329.1</b>
<b>Total Adjustments</b>	<b>79.0</b>	<b>8.0</b>	<b>45.0</b>	<b>53.0</b>	<b>26.0</b>

BUDGET YEAR 2007-08					
	TOTALS	LEVEL-OF-CARE			NON LOC
		PROF	NURSING	TOTAL	
<b>2006-07 Authorized Positions</b>	<b>7,719.1</b>	<b>477.0</b>	<b>2,939.0</b>	<b>3,416.0</b>	<b>4,303.1</b>
Limited Term Positions: QMS	-5.0	0.0	0.0	0.0	-5.0
<b>2007-08 Authorized Positions</b>	<b>7,714.1</b>	<b>477.0</b>	<b>2,939.0</b>	<b>3,416.0</b>	<b>4,298.1</b>
<b>November Estimate:</b>					
Staffing Adjustment	-367.5	-33.0	-230.0	-263.0	-104.5
Porterville Secure Treatment Program Expansion	2.5	0.0	0.0	0.0	2.5
Meeting Centers for Medicare and Medicaid Services QMS Requirements	5.0	0.0	0.0	0.0	5.0
Agnews Closure Plan: Staffing Plan	10.0	0.0	0.0	0.0	10.0
<b>November Estimate Totals</b>	<b>-350.0</b>	<b>-33.0</b>	<b>-230.0</b>	<b>-263.0</b>	<b>-87.0</b>
<b>Governor's Budget Totals</b>	<b>7,364.1</b>	<b>444.0</b>	<b>2,709.0</b>	<b>3,153.0</b>	<b>4,211.1</b>
<b>May Revision:</b>					
Staffing Adjustment	-38.5	2.0	25.0	27.0	-65.5
Agnews State Employees in the Community	3.0	0.0	0.0	0.0	3.0
<b>May Revision Totals</b>	<b>-35.5</b>	<b>2.0</b>	<b>25.0</b>	<b>27.0</b>	<b>-62.5</b>
<b>Total 2007-08 Estimated Positions</b>	<b>7,328.6</b>	<b>446.0</b>	<b>2,734.0</b>	<b>3,180.0</b>	<b>4,148.6</b>
<b>Total Adjustments</b>	<b>-390.5</b>	<b>-31.0</b>	<b>-205.0</b>	<b>-236.0</b>	<b>-154.5</b>

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Difference	2007-08 May Revision CY 2006-07	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>DEVELOPMENTAL CENTERS</b>							
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$83,033,000</b>	<b>\$83,033,000</b>	<b>\$0</b>	<b>\$83,033,000</b>	<b>\$0</b>
		<i>General Fund</i>	44,237,000	44,237,000	0	44,237,000	0
		<i>Other</i>	38,796,000	38,796,000	0	38,796,000	0
		<b>PYs</b>	<b>1,057.0</b>	<b>1,057.0</b>	<b>0.0</b>	<b>1,057.0</b>	<b>0.0</b>
		<b>Year Beginning Population</b>	<b>280</b>	<b>280</b>	<b>0</b>	<b>280</b>	<b>0</b>
<b>2.</b>	<b>Placements into the Community</b>	<b>Total</b>	<b>-\$6,353,000</b>	<b>-\$5,662,000</b>	<b>\$691,000</b>	<b>\$351,000</b>	<b>\$6,013,000</b>
		<i>General Fund</i>	-3,374,000	-3,005,000	369,000	203,000	3,208,000
		<i>Other</i>	-2,979,000	-2,657,000	322,000	148,000	2,805,000
		<b>PYs</b>	<b>-90.0</b>	<b>-82.0</b>	<b>8.0</b>	<b>-16.0</b>	<b>66.0</b>
		<b>Placements</b>	<b>-119</b>	<b>-113</b>	<b>6</b>	<b>-70</b>	<b>43</b>
		<b>Deaths</b>	<b>-6</b>	<b>-6</b>	<b>0</b>	<b>-6</b>	<b>0</b>
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
		<b>Population</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$9,217,000</b>	<b>\$5,398,000</b>	<b>-\$3,819,000</b>	<b>\$3,758,000</b>	<b>-\$1,640,000</b>
	<b>Clinical Staff (RC Operations)</b>	<b>Subtotal</b>	<b>2,636,000</b>	<b>1,390,000</b>	<b>-1,246,000</b>	<b>1,250,000</b>	<b>-140,000</b>
		<i>Clinical Staff</i>	2,133,000	1,238,000	-895,000	1,098,000	-140,000
		<i>Admin for Clinical Staff</i>	128,000	0	-128,000	0	-128,000
		<i>Operating Expense &amp; Equipment</i>	375,000	152,000	-223,000	152,000	0
	<b>Direct Support Services (RC Purchase of Services)</b>	<b>Subtotal</b>	<b>6,581,000</b>	<b>4,008,000</b>	<b>-2,573,000</b>	<b>2,508,000</b>	<b>-1,500,000</b>
		<i>Direct Support Services</i>	5,325,000	3,544,000	-1,781,000	1,875,000	-1,669,000
		<i>Admin for Direct Support Services</i>	321,000	0	-321,000	0	0
		<i>Operating Expense &amp; Equipment</i>	935,000	464,000	-471,000	633,000	169,000
	<b>Administrative Staff (SDC)</b>	<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
		<i>Personal Services</i>	0	0	0	0	0
		<i>Operating Expense &amp; Equipment</i>	0	0	0	0	0
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	9,217,000	5,398,000	-3,819,000	3,758,000	-1,640,000

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Difference	2007-08 May Revision CY 2006-07	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	General Expenses		0	0	0	0	0
	Facilities Operations		0	0	0	0	0
	Contracts		0	0	0	0	0
	Vehicle Operations		0	0	0	0	0
	Utilities		0	0	0	0	0
	Staffing		0	0	0	0	0
	<i>General Fund</i>		0	0	0	0	0
	<i>Other</i>		0	0	0	0	0
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$716,000</b>	<b>\$716,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Staff Transition Costs		378,000	378,000	0	0	0
	Overtime for Consumer Transfers and Escort		338,000	338,000	0	0	0
	Costs for Lump-Sum Buyout		0	0	0	0	0
	<i>General Fund</i>		382,000	382,000	0	0	0
	<i>Other</i>		334,000	334,000	0	0	0
<b>9.</b>	<b>Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>10.</b>	<b>Consumer Relocation Costs (moving vans, transportation vehicles, etc.)</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>11.</b>	<b>Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Difference	2007-08 May Revision CY 2006-07	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>12.</b>	<b>Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$366,000</b>	<b>\$366,000</b>	<b>\$0</b>	<b>-\$366,000</b>
		<b>PYs</b>	<b>0.0</b>	<b>5.0</b>	<b>5.0</b>	<b>0.0</b>	<b>5.0</b>
		<i>General Fund</i>	<i>0</i>	<i>195,000</i>	<i>195,000</i>	<i>0</i>	<i>-195,000</i>
		<i>Other</i>	<i>0</i>	<i>171,000</i>	<i>171,000</i>	<i>0</i>	<i>-171,000</i>
<b>Total Developmental Centers Costs of Closure</b>		<b>Total</b>	<b>\$86,613,000</b>	<b>\$83,851,000</b>	<b>-\$2,762,000</b>	<b>\$87,858,000</b>	<b>\$4,007,000</b>
		<b>PYs</b>	<b>967.0</b>	<b>980.0</b>	<b>13.0</b>	<b>1,046.0</b>	<b>66.0</b>
		<i>General Fund</i>	<i>41,245,000</i>	<i>41,809,000</i>	<i>564,000</i>	<i>44,822,000</i>	<i>3,013,000</i>
		<i>Other</i>	<i>45,368,000</i>	<i>42,042,000</i>	<i>-3,326,000</i>	<i>43,036,000</i>	<i>994,000</i>
	<b>Year Ending Population</b>	<b>155</b>	<b>161</b>	<b>6</b>	<b>204</b>	<b>43</b>	<b>49</b>
<b>REGIONAL CENTERS</b>							
<b>13.</b>	<b>Community Placement Plan</b>	<b>Total</b>	<b>\$8,551,000</b>	<b>\$7,984,000</b>	<b>-\$567,000</b>	<b>\$7,845,000</b>	<b>-\$139,000</b>
	<b>A) Operations</b>	<b>Total</b>	<b>\$8,551,000</b>	<b>\$7,984,000</b>	<b>-\$567,000</b>	<b>\$7,845,000</b>	<b>-\$139,000</b>
		<i>1. Unified Operations Costs</i>	<i>5,385,000</i>	<i>6,064,000</i>	<i>679,000</i>	<i>6,065,000</i>	<i>1,000</i>
		<i>2. State Employees in the Community</i>	<i>2,636,000</i>	<i>1,390,000</i>	<i>-1,246,000</i>	<i>1,250,000</i>	<i>-140,000</i>
		<i>3. Consultant Services - Tech. Assistance on Housing Issues</i>	<i>280,000</i>	<i>280,000</i>	<i>0</i>	<i>280,000</i>	<i>0</i>
		<i>4. Evaluation of Licensing Pilots</i>	<i>250,000</i>	<i>250,000</i>	<i>0</i>	<i>250,000</i>	<i>0</i>
		<i>5. Foster Grandparent/Senior Companion Program</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>
		<i>General Fund</i>	<i>6,764,000</i>	<i>6,993,000</i>	<i>229,000</i>	<i>6,954,000</i>	<i>-39,000</i>
		<i>Other</i>	<i>1,787,000</i>	<i>991,000</i>	<i>-796,000</i>	<i>891,000</i>	<i>-100,000</i>
	<b>B) Purchase of Services (POS)</b>	<b>Total</b>	<b>\$23,910,000</b>	<b>\$23,452,000</b>	<b>-\$458,000</b>	<b>\$18,231,000</b>	<b>-\$5,221,000</b>
		<b>Placements</b>	<b>119</b>	<b>113</b>	<b>-6</b>	<b>70</b>	<b>-43</b>
		<i>1. Start-up Costs (resource development)</i>	<i>15,608,000</i>	<i>14,567,000</i>	<i>-1,041,000</i>	<i>14,317,000</i>	<i>-250,000</i>
		<i>2. Assessments</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>5,000</i>	<i>5,000</i>
		<i>3. Placements (property management and lease)</i>	<i>8,302,000</i>	<i>8,885,000</i>	<i>583,000</i>	<i>3,909,000</i>	<i>-4,976,000</i>
		<i>General Fund</i>	<i>21,577,000</i>	<i>21,215,000</i>	<i>-362,000</i>	<i>17,234,000</i>	<i>-3,981,000</i>
		<i>Other</i>	<i>2,333,000</i>	<i>2,237,000</i>	<i>-96,000</i>	<i>997,000</i>	<i>-1,240,000</i>
		<i>(FYI: State Employees in the Community costs included in POS above)</i>	<i>(6,581,000)</i>	<i>(4,008,000)</i>	<i>(-2,573,000)</i>	<i>(2,508,000)</i>	<i>(-1,500,000)</i>
	<b>Total Community Placement Plan (A+B)</b>	<b>Total</b>	<b>\$32,461,000</b>	<b>\$31,436,000</b>	<b>-\$1,025,000</b>	<b>\$26,076,000</b>	<b>-\$5,360,000</b>
		<b>Placements</b>	<b>119</b>	<b>113</b>	<b>-6</b>	<b>70</b>	<b>-43</b>
		<i>General Fund</i>	<i>28,341,000</i>	<i>28,208,000</i>	<i>-133,000</i>	<i>24,188,000</i>	<i>-4,020,000</i>
		<i>Other</i>	<i>4,120,000</i>	<i>3,228,000</i>	<i>-892,000</i>	<i>1,888,000</i>	<i>-1,340,000</i>

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07**

		2006-07 Budget Act	2007-08 November Estimate CY 2006-07	Difference	2007-08 May Revision CY 2006-07	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>14.</b>	<b>Placement Continuation</b>						
<b>A)</b>	<b>Operations</b>						
	Total	\$306,000	\$309,000	\$3,000	\$309,000	\$0	\$3,000
	1. Client Program Coordinators (CPC)	79,000	79,000	0	79,000	0	0
	2. Nurse Consultant	0	0	0	0	0	0
	3. State Employees in the Community	0	0	0	0	0	0
	4. Clinical Staff	0	0	0	0	0	0
	5. Increased Access to Oral Health Care	227,000	230,000	3,000	230,000	0	3,000
	General Fund	130,000	130,000	0	130,000	0	0
	Other	176,000	179,000	3,000	179,000	0	3,000
<b>B)</b>	<b>Purchase of Services (POS)</b>						
	Total	\$17,473,000	\$17,473,000	\$0	\$14,005,000	-\$3,468,000	-\$3,468,000
	Prior Year Placements	63	63	0	40	-23	-23
	Placement Continuation (property management and lease)	17,473,000	17,473,000	0	14,005,000	-3,468,000	-3,468,000
	General Fund	13,412,000	13,731,000	319,000	10,663,000	-3,068,000	-2,749,000
	Other	4,061,000	3,742,000	-319,000	3,342,000	-400,000	-719,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)	(0)	(0)	(0)
	<b>Total Placement Continuation (A+B)</b>	<b>\$17,779,000</b>	<b>\$17,782,000</b>	<b>\$3,000</b>	<b>\$14,314,000</b>	<b>-\$3,468,000</b>	<b>-\$3,465,000</b>
	Prior Year Placements	63	63	0	40	-23	-23
	General Fund	13,542,000	13,861,000	319,000	10,793,000	-3,068,000	-2,749,000
	Other	4,237,000	3,921,000	-316,000	3,521,000	-400,000	-716,000
	<b>Total Regional Center Costs of Closure</b>	<b>\$50,240,000</b>	<b>\$49,218,000</b>	<b>-\$1,022,000</b>	<b>\$40,390,000</b>	<b>-\$8,828,000</b>	<b>-\$9,850,000</b>
	General Fund	41,883,000	42,069,000	186,000	34,981,000	-7,088,000	-6,902,000
	Other	8,357,000	7,149,000	-1,208,000	5,409,000	-1,740,000	-2,948,000
	<b>GRAND TOTAL - COSTS OF CLOSURE</b>	<b>\$136,853,000</b>	<b>\$133,069,000</b>	<b>-\$3,784,000</b>	<b>\$128,248,000</b>	<b>-\$4,821,000</b>	<b>-\$8,605,000</b>
	PYs	967.0	980.0	13.0	1,046.0	66.0	79.0
	General Fund	83,128,000	83,878,000	750,000	79,803,000	-4,075,000	-3,325,000
	Other	53,725,000	49,191,000	-4,534,000	48,445,000	-746,000	-5,280,000
	<b>Year Ending Population</b>	<b>155</b>	<b>161</b>	<b>6</b>	<b>204</b>	<b>43</b>	<b>49</b>

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act	2007-08 November Estimate BY 2007-08	Difference	2007-08 May Revision BY 2007-08	Difference	Change from 2006-07 Budget Act	
		A	B	B - A	C	C - B	C - A	
<b>DEVELOPMENTAL CENTERS</b>								
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$83,033,000</b>	<b>\$73,754,000</b>	<b>-\$9,279,000</b>	<b>\$73,754,000</b>	<b>\$0</b>	<b>-\$9,279,000</b>
		<i>General Fund</i>	44,237,000	39,347,759	-4,889,241	39,347,759	0	-4,889,241
		<i>Other</i>	38,796,000	34,406,241	-4,389,759	34,406,241	0	-4,389,759
		<b>PYs</b>	<b>1,057.0</b>	<b>975.0</b>	<b>-82.0</b>	<b>1,046.0</b>	<b>71.0</b>	<b>-11.0</b>
		<b>Year Beginning Population</b>	<b>280</b>	<b>161</b>	<b>-119</b>	<b>204</b>	<b>43</b>	<b>-76</b>
<b>2.</b>	<b>Placements into the Community</b>	<b>Total</b>	<b>-\$6,353,000</b>	<b>-\$14,949,000</b>	<b>-\$8,596,000</b>	<b>-\$12,722,000</b>	<b>\$2,227,000</b>	<b>-\$6,369,000</b>
		<i>General Fund</i>	-3,374,000	-7,975,000	-4,601,000	-6,787,000	1,188,000	-3,413,000
		<i>Other</i>	-2,979,000	-6,974,000	-3,995,000	-5,935,000	1,039,000	-2,956,000
		<b>PYs</b>	<b>-90.0</b>	<b>-172.5</b>	<b>-82.5</b>	<b>-158.0</b>	<b>14.5</b>	<b>-68.0</b>
		<b>Placements</b>	<b>-119</b>	<b>-145</b>	<b>-26</b>	<b>-188</b>	<b>-43</b>	<b>-69</b>
		<b>Deaths</b>	<b>-6</b>	<b>-6</b>	<b>0</b>	<b>-6</b>	<b>0</b>	<b>0</b>
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>-\$430,000</b>	<b>-\$430,000</b>	<b>-\$430,000</b>	<b>\$0</b>	<b>-\$430,000</b>
		<i>General Fund</i>	0	-229,000	-229,000	-229,000	0	-229,000
		<i>Other</i>	0	-201,000	-201,000	-201,000	0	-201,000
		<i>Population</i>	0	-10	-10	-10	0	-10
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$9,217,000</b>	<b>\$9,217,000</b>	<b>\$0</b>	<b>\$9,459,000</b>	<b>\$242,000</b>	<b>\$242,000</b>
	<b>Clinical Staff (RC Operations)</b>	<b>Subtotal</b>	<b>2,636,000</b>	<b>2,636,000</b>	<b>0</b>	<b>1,554,000</b>	<b>-1,082,000</b>	<b>-1,082,000</b>
		<i>Clinical Staff</i>	2,133,000	2,133,000	0	1,432,100	-700,900	-700,900
		<i>Admin for Clinical Staff</i>	128,000	128,000	0	0	-128,000	-128,000
		<i>Operating Expense &amp; Equipment</i>	375,000	375,000	0	121,900	-253,100	-253,100
					<b>0.0</b>		<b>0.0</b>	
	<b>Direct Support Services (RC Purchase of Services)</b>	<b>Subtotal</b>	<b>6,581,000</b>	<b>6,581,000</b>	<b>0</b>	<b>7,663,000</b>	<b>1,082,000</b>	<b>1,082,000</b>
		<i>Direct Support Services</i>	5,325,000	5,325,000	0	6,474,900	1,149,900	1,149,900
		<i>Admin for Direct Support Services</i>	321,000	321,000	0	0	-321,000	-321,000
		<i>Operating Expense &amp; Equipment</i>	935,000	935,000	0	1,188,100	253,100	253,100
					<b>0.0</b>		<b>0.0</b>	
	<b>Administrative Staff (SDC)</b>	<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>242,000</b>	<b>242,000</b>	<b>242,000</b>
		<i>Personal Services</i>	0	0	0	218,000	218,000	218,000
		<i>Operating Expense &amp; Equipment</i>	0	0	0	24,000	24,000	24,000
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>
		<i>General Fund</i>	0	0	0	129,000	129,000	129,000
		<i>Other</i>	9,217,000	9,217,000	0	9,330,000	113,000	113,000

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act	2007-08 November Estimate BY 2007-08	Difference	2007-08 May Revision BY 2007-08	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		General Expenses	0	0	0	0	0
		Facilities Operations	0	0	0	0	0
		Contracts	0	0	0	0	0
		Vehicle Operations	0	0	0	0	0
		Utilities	0	0	0	0	0
		Staffing	0	0	0	0	0
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$716,000</b>	<b>\$4,918,000</b>	<b>\$4,202,000</b>	<b>\$4,918,000</b>	<b>\$4,202,000</b>
		Staff Transition Costs	378,000	628,000	250,000	828,000	450,000
		Overtime for Consumer Transfers and Escort	338,000	0	-338,000	496,000	158,000
		Costs for Lump-Sum Buyout	0	4,290,000	4,290,000	3,595,000	3,595,000
		<i>General Fund</i>	382,000	4,625,000	4,243,000	2,624,000	2,242,000
		<i>Other</i>	334,000	293,000	-41,000	2,294,000	1,960,000
<b>9.</b>	<b>Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$73,000</b>	<b>\$73,000</b>	<b>\$73,000</b>	<b>\$73,000</b>
		<i>General Fund</i>	0	39,000	39,000	39,000	39,000
		<i>Other</i>	0	34,000	34,000	34,000	34,000
<b>10.</b>	<b>Consumer Relocation Costs (moving vans, transportation vehicles, etc.)</b>	<b>Total</b>	<b>\$0</b>	<b>\$105,000</b>	<b>\$105,000</b>	<b>\$105,000</b>	<b>\$105,000</b>
		<i>General Fund</i>	0	56,000	56,000	56,000	56,000
		<i>Other</i>	0	49,000	49,000	49,000	49,000
<b>11.</b>	<b>Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0	0	0
		<i>Other</i>	0	0	0	0	0

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act	2007-08 November Estimate BY 2007-08	Difference	2007-08 May Revision BY 2007-08	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>12.</b>	<b>Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$731,000</b>	<b>\$731,000</b>	<b>\$0</b>	<b>\$731,000</b>
		<b>PYs</b>	<b>0.0</b>	<b>10.0</b>	<b>10.0</b>	<b>0.0</b>	<b>10.0</b>
		<i>General Fund</i>	<i>0</i>	<i>390,000</i>	<i>390,000</i>	<i>0</i>	<i>390,000</i>
		<i>Other</i>	<i>0</i>	<i>341,000</i>	<i>341,000</i>	<i>0</i>	<i>341,000</i>
	<b>Total Developmental Centers Costs of Closure</b>	<b>Total</b>	<b>\$86,613,000</b>	<b>\$73,419,000</b>	<b>-\$13,194,000</b>	<b>\$75,888,000</b>	<b>-\$2,469,000</b>
		<b>PYs</b>	<b>967.0</b>	<b>812.5</b>	<b>-154.5</b>	<b>898.0</b>	<b>85.5</b>
		<i>General Fund</i>	<i>41,245,000</i>	<i>36,253,759</i>	<i>-4,991,241</i>	<i>35,569,759</i>	<i>-684,000</i>
		<i>Other</i>	<i>45,368,000</i>	<i>37,165,241</i>	<i>-8,202,759</i>	<i>40,318,241</i>	<i>3,153,000</i>
	<b>Year Ending Population</b>	<b>155</b>	<b>0</b>	<b>-155</b>	<b>0</b>	<b>0</b>	<b>-155</b>
<b>REGIONAL CENTERS</b>							
<b>13.</b>	<b>Community Placement Plan</b>	<b>Total</b>	<b>\$8,551,000</b>	<b>\$8,619,000</b>	<b>\$68,000</b>	<b>\$8,407,000</b>	<b>-\$212,000</b>
	<b>A) Operations</b>	<b>Total</b>	<b>5,385,000</b>	<b>6,386,000</b>	<b>1,001,000</b>	<b>6,323,000</b>	<b>-63,000</b>
		<i>1. Unified Operations Costs</i>	<i>2,636,000</i>	<i>1,703,000</i>	<i>-933,000</i>	<i>1,554,000</i>	<i>-149,000</i>
		<i>2. State Employees in the Community</i>	<i>280,000</i>	<i>280,000</i>	<i>0</i>	<i>280,000</i>	<i>0</i>
		<i>3. Consultant Services - Tech. Assistance on Housing Issues</i>	<i>250,000</i>	<i>250,000</i>	<i>0</i>	<i>250,000</i>	<i>0</i>
		<i>4. Evaluation of Licensing Pilots</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>
		<i>5. Foster Grandparent/Senior Companion Program</i>	<i>6,764,000</i>	<i>7,405,000</i>	<i>641,000</i>	<i>7,300,000</i>	<i>-105,000</i>
		<i>General Fund</i>	<i>1,787,000</i>	<i>1,214,000</i>	<i>-573,000</i>	<i>1,107,000</i>	<i>-680,000</i>
		<i>Other</i>					
	<b>B) Purchase of Services (POS)</b>	<b>Total</b>	<b>\$23,910,000</b>	<b>\$47,124,000</b>	<b>\$23,214,000</b>	<b>\$44,245,000</b>	<b>-\$2,879,000</b>
		<b>Placements</b>	<b>119</b>	<b>145</b>	<b>26</b>	<b>188</b>	<b>43</b>
		<i>1. Start-up Costs (resource development)</i>	<i>15,608,000</i>	<i>20,647,000</i>	<i>5,039,000</i>	<i>10,933,000</i>	<i>-9,714,000</i>
		<i>2. Assessments</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>
		<i>3. Placements (property management and lease)</i>	<i>8,302,000</i>	<i>26,477,000</i>	<i>18,175,000</i>	<i>33,312,000</i>	<i>6,835,000</i>
		<i>General Fund</i>	<i>21,577,000</i>	<i>39,425,000</i>	<i>17,848,000</i>	<i>32,604,000</i>	<i>-6,821,000</i>
		<i>Other</i>	<i>2,333,000</i>	<i>7,699,000</i>	<i>5,366,000</i>	<i>11,641,000</i>	<i>3,942,000</i>
		<i>(FYI: State Employees in the Community costs included in POS above)</i>	<i>(6,581,000)</i>	<i>(7,514,000)</i>	<i>(933,000)</i>	<i>(7,663,000)</i>	<i>(1,082,000)</i>
	<b>Total Community Placement Plan (A+B)</b>	<b>Total</b>	<b>\$32,461,000</b>	<b>\$55,743,000</b>	<b>\$23,282,000</b>	<b>\$52,652,000</b>	<b>-\$3,091,000</b>
		<b>Placements</b>	<b>119</b>	<b>145</b>	<b>26</b>	<b>188</b>	<b>43</b>
		<i>General Fund</i>	<i>28,341,000</i>	<i>46,830,000</i>	<i>18,489,000</i>	<i>39,904,000</i>	<i>-6,926,000</i>
		<i>Other</i>	<i>4,120,000</i>	<i>8,913,000</i>	<i>4,793,000</i>	<i>12,748,000</i>	<i>8,628,000</i>

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
BUDGET YEAR 2007-08**

		2006-07 Budget Act	2007-08 November Estimate BY 2007-08	Difference	2007-08 May Revision BY 2007-08	Difference	Change from 2006-07 Budget Act
		A	B	B - A	C	C - B	C - A
<b>14.</b>	<b>Placement Continuation</b>						
<b>A)</b>	<b>Operations</b>						
	Total	\$306,000	\$423,000	\$117,000	\$338,000	-\$85,000	\$32,000
	1. Client Program Coordinators (CPC)	79,000	91,000	12,000	91,000	0	12,000
	2. Nurse Consultant	0	102,000	102,000	17,000	-85,000	17,000
	3. State Employees in the Community	0	0	0	0	0	0
	4. Clinical Staff	0	0	0	0	0	0
	5. Increased Access to Oral Health Care	227,000	230,000	3,000	230,000	0	3,000
	General Fund	130,000	166,000	36,000	142,000	-24,000	12,000
	Other	176,000	257,000	81,000	196,000	-61,000	20,000
<b>B)</b>	<b>Purchase of Services (POS)</b>						
	Total	\$17,473,000	\$43,792,000	\$26,319,000	\$32,485,000	-\$11,307,000	\$15,012,000
	Prior Year Placements	63	113	50	70	-43	7
	Placement Continuation (property management and lease)	17,473,000	43,792,000	26,319,000	32,485,000	-11,307,000	15,012,000
	General Fund	13,412,000	32,980,000	19,568,000	25,215,000	-7,765,000	11,803,000
	Other	4,061,000	10,812,000	6,751,000	7,270,000	-3,542,000	3,209,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)	(0)	(0)	(0)
	<b>Total Placement Continuation (A+B)</b>	<b>\$17,779,000</b>	<b>\$44,215,000</b>	<b>\$26,436,000</b>	<b>\$32,823,000</b>	<b>-\$11,392,000</b>	<b>\$15,044,000</b>
	Prior Year Placements	63	113	50	70	-43	7
	General Fund	13,542,000	33,146,000	19,604,000	25,357,000	-7,789,000	11,815,000
	Other	4,237,000	11,069,000	6,832,000	7,466,000	-3,603,000	3,229,000
	<b>Total Regional Center Costs of Closure</b>	<b>\$50,240,000</b>	<b>\$99,958,000</b>	<b>\$49,718,000</b>	<b>\$85,475,000</b>	<b>-\$14,483,000</b>	<b>\$35,235,000</b>
	General Fund	41,883,000	79,976,000	38,093,000	65,261,000	-14,715,000	23,378,000
	Other	8,357,000	19,982,000	11,625,000	20,214,000	232,000	11,857,000
	<b>GRAND TOTAL - COSTS OF CLOSURE</b>	<b>\$136,853,000</b>	<b>\$173,377,000</b>	<b>\$36,524,000</b>	<b>\$161,363,000</b>	<b>-\$12,014,000</b>	<b>\$24,510,000</b>
	PYs	967.0	812.5	-154.5	898.0	85.5	-69.0
	General Fund	83,128,000	116,229,759	33,101,759	100,830,759	-15,399,000	17,702,759
	Other	53,725,000	57,147,241	3,422,241	60,532,241	3,385,000	6,807,241
	<b>Year Ending Population</b>	<b>155</b>	<b>0</b>	<b>-155</b>	<b>0</b>	<b>0</b>	<b>-155</b>

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

		2007-08 May Revision CY 2006-07	2007-08 May Revision BY 2007-08	Change from CY 2006-07 to BY 2007-08	
<b>DEVELOPMENTAL CENTERS</b>					
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$83,033,000</b>	<b>\$73,754,000</b>	<b>-\$9,279,000</b>
		<i>General Fund</i>	44,237,000	39,347,759	-4,889,241
		<i>Other</i>	38,796,000	34,406,241	-4,389,759
		<b>PYs</b>	<b>1,057.0</b>	<b>1,046.0</b>	<b>-11.0</b>
		<b>Year Beginning Population</b>	<b>280</b>	<b>204</b>	<b>-76</b>
<b>2.</b>	<b>Placements Into the Community</b>	<b>Total</b>	<b>\$351,000</b>	<b>-\$12,722,000</b>	<b>-\$13,073,000</b>
		<i>General Fund</i>	203,000	-6,787,000	-6,990,000
		<i>Other</i>	148,000	-5,935,000	-6,083,000
		<b>PYs</b>	<b>-16.0</b>	<b>-158.0</b>	<b>-142.0</b>
		<b>Placements</b>	<b>-70</b>	<b>-188</b>	<b>-118</b>
		<b>Deaths</b>	<b>-6</b>	<b>-6</b>	<b>0</b>
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>-\$430,000</b>	<b>-\$430,000</b>
		<i>General Fund</i>	0	-229,000	-229,000
		<i>Other</i>	0	-201,000	-201,000
		<b>Population</b>	<b>0</b>	<b>-10</b>	<b>-10</b>
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$3,758,000</b>	<b>\$9,459,000</b>	<b>\$5,701,000</b>
	<b>Clinical Staff (RC Operations)</b>	<b>Subtotal</b>	<b>1,250,000</b>	<b>1,554,000</b>	<b>304,000</b>
	<i>Clinical Staff</i>		1,098,000	1,432,100	334,100
	<i>Admin for Clinical Staff</i>		0	0	0
	<i>Operating Expense &amp; Equipment</i>		152,000	121,900	-30,100
	<b>Direct Support Services (RC Purchase of Services)</b>	<b>Subtotal</b>	<b>2,508,000</b>	<b>7,663,000</b>	<b>5,155,000</b>
	<i>Direct Support Services</i>		1,875,000	6,474,900	4,599,900
	<i>Admin for Direct Support Services</i>		0	0	0
	<i>Operating Expense &amp; Equipment</i>		633,000	1,188,100	555,100
	<b>Administrative Staff (SDC)</b>	<b>Subtotal</b>	<b>0</b>	<b>242,000</b>	<b>242,000</b>
	<i>Personal Services</i>		0	218,000	218,000
	<i>Operating Expense &amp; Equipment</i>		0	24,000	24,000
	<b>PYs</b>	<b>0.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>
	<i>General Fund</i>		0	129,000	129,000
	<i>Other</i>		3,758,000	9,330,000	5,572,000
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<i>General Expenses</i>		0	0	0
	<i>Facilities Operations</i>		0	0	0
	<i>Contracts</i>		0	0	0
	<i>Vehicle Operations</i>		0	0	0
	<i>Utilities</i>		0	0	0
	<i>Staffing</i>		0	0	0
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
		<i>General Fund</i>	0	0	0
		<i>Other</i>	0	0	0
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$716,000</b>	<b>\$4,918,000</b>	<b>\$4,202,000</b>
	<i>Staff Transition Costs</i>		378,000	828,000	450,000
	<i>Overtime for Consumer Transfers and Escort</i>		338,000	496,000	158,000
	<i>Costs for Lump-Sum Buyout</i>		0	3,595,000	3,595,000
		<i>General Fund</i>	382,000	2,624,000	2,242,000
		<i>Other</i>	334,000	2,294,000	1,960,000
<b>9.</b>	<b>Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$73,000</b>	<b>\$73,000</b>
		<i>General Fund</i>	0	39,000	39,000
		<i>Other</i>	0	34,000	34,000

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

		2007-08 May Revision CY 2006-07	2007-08 May Revision BY 2007-08	Change from CY 2006-07 to BY 2007-08
<b>10.</b>	<b>Consumer Relocation Costs</b>	<b>Total</b>	<b>\$0</b>	<b>\$105,000</b>
	(Moving vans, transportation vehicles, etc.)	General Fund	0	56,000
		Other	0	49,000
<b>11.</b>	<b>Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>
		PYs	0.0	0.0
		General Fund	0	0
		Other	0	0
<b>12.</b>	<b>Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$731,000</b>
		PYs	5.0	10.0
		General Fund	0	390,000
		Other	0	341,000
<b>Total Developmental Centers Costs of Closure</b>		<b>Total</b>	<b>\$87,858,000</b>	<b>\$75,888,000</b>
		PYs	1,046.0	898.0
		General Fund	44,822,000	35,569,759
		Other	43,036,000	40,318,241
	<b>Year Ending Population</b>		<b>204</b>	<b>0</b>
				<b>-11,970,000</b>
				<b>-148.0</b>
				<b>-9,252,241</b>
				<b>-2,717,759</b>
				<b>-204</b>
<b>REGIONAL CENTERS</b>				
<b>13.</b>	<b>Community Placement Plan</b>	<b>Total</b>	<b>\$7,845,000</b>	<b>\$8,407,000</b>
	<b>A) Operations</b>			
	1. Unified Operations Costs	6,065,000	6,323,000	258,000
	2. State Employees in the Community	1,250,000	1,554,000	304,000
	3. Consultant Services - Tech. Assistance on Housing Issues	280,000	280,000	0
	4. Evaluation of Licensing Pilots	250,000	250,000	0
	5. Foster Grandparent/Senior Companion Program	0	0	0
	General Fund	6,954,000	7,300,000	346,000
	Other	891,000	1,107,000	216,000
	<b>B) Purchase of Services (POS)</b>	<b>Total</b>	<b>\$18,231,000</b>	<b>\$44,245,000</b>
	Placements	70	188	118
	1. Start-up Costs (resource development)	14,317,000	10,933,000	-3,384,000
	2. Assessments	5,000	0	-5,000
	3. Placements (property management and lease)	3,909,000	33,312,000	29,403,000
	General Fund	17,234,000	32,604,000	15,370,000
	Other	997,000	11,641,000	10,644,000
	(FYI: State Employees in the Community costs included in POS above)	(2,508,000)	(7,663,000)	(5,155,000)
	<b>Total Community Placement Plan (A+B)</b>	<b>Total</b>	<b>\$26,076,000</b>	<b>\$52,652,000</b>
		<b>Placements</b>	<b>70</b>	<b>188</b>
		General Fund	24,188,000	39,904,000
		Other	1,888,000	12,748,000
<b>14.</b>	<b>Placement Continuation</b>	<b>Total</b>	<b>\$309,000</b>	<b>\$338,000</b>
	<b>A) Operations</b>			
	1. Client Program Coordinators (CPC)	79,000	91,000	12,000
	2. Nurse Consultant	0	17,000	17,000
	3. State Employees in the Community	0	0	0
	4. Clinical Staff	0	0	0
	5. Increased Access to Oral Health Care	230,000	230,000	0
	General Fund	130,000	142,000	12,000
	Other	179,000	196,000	17,000
	<b>B) Purchase of Services (POS)</b>	<b>Total</b>	<b>\$14,005,000</b>	<b>\$32,485,000</b>
	Prior Year Placements	40	70	30
	Placement Continuation (property management and lease)	14,005,000	32,485,000	18,480,000
	General Fund	10,663,000	25,215,000	14,552,000
	Other	3,342,000	7,270,000	3,928,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)
	<b>Total Placement Continuation (A+B)</b>	<b>Total</b>	<b>\$14,314,000</b>	<b>\$32,823,000</b>
		<b>Prior Year Placements</b>	<b>40</b>	<b>70</b>
		General Fund	10,793,000	25,357,000
		Other	3,521,000	7,466,000

**PLAN TO CLOSE AGNEWS DEVELOPMENTAL CENTER  
FISCAL SUMMARY COMPARISON  
CURRENT YEAR 2006-07 TO BUDGET YEAR 2007-08**

		<b>2007-08 May Revision CY 2006-07</b>	<b>2007-08 May Revision BY 2007-08</b>	<b>Change from CY 2006-07 to BY 2007-08</b>
<b>Total Regional Center Costs of Closure</b>		<b>\$40,390,000</b>	<b>\$85,475,000</b>	<b>\$45,085,000</b>
	<i>General Fund</i>	<i>34,981,000</i>	<i>65,261,000</i>	<i>30,280,000</i>
	<i>Other</i>	<i>5,409,000</i>	<i>20,214,000</i>	<i>14,805,000</i>
<b>GRAND TOTAL - COSTS OF CLOSURE</b>		<b>\$128,248,000</b>	<b>\$161,363,000</b>	<b>\$33,115,000</b>
	<b>Total PYs</b>	<b>1,046.0</b>	<b>898.0</b>	<b>-148.0</b>
	<i>General Fund</i>	<i>79,803,000</i>	<i>100,830,759</i>	<i>21,027,759</i>
	<i>Other</i>	<i>48,445,000</i>	<i>60,532,241</i>	<i>12,087,241</i>
	<b>Year Ending Population</b>	<b>204</b>	<b>0</b>	<b>-204</b>

**AGNEWS POPULATION MOVEMENT**  
*Current Year 2006-07*

	2006-07 Enacted Budget CY 2006-07	2007-08 November Estimate CY 2006-07	Change from Enacted to November Estimate CY 2006-07	2007-08 May Revision CY 2006-07	Change from November Estimate to May Revision CY 2006-07	Annual Population Change CY 2006-07	Percent Annual Change
	A	B	B - A	C	C - B	C - A	
<b>Beginning Population, July 1, 2006</b>	254	280	26	280	0	26	10.2%
Admissions	0	0	0	0	0	0	0.0%
Placements	-119	-113	6	-70	43	49	-41.2%
Deaths	-6	-6	0	-6	0	0	0.0%
<b>Ending Population, June 30, 2007</b>	<b>129</b>	<b>161</b>	<b>32</b>	<b>204</b>	<b>43</b>	<b>75</b>	<b>58.1%</b>
<b>Average Population</b>	<b>197</b>	<b>203</b>	<b>6</b>	<b>246</b>	<b>43</b>	<b>49</b>	<b>24.9%</b>
On Leave	-1	-1	0	-1	0	0	0.0%
<b>Total Average In-Center Population</b>	<b>196</b>	<b>202</b>	<b>6</b>	<b>245</b>	<b>43</b>	<b>49</b>	<b>25.0%</b>

**AGNEWS POPULATION MOVEMENT**  
*Budget Year 2007-08*

	<b>2006-07 Enacted Budget CY 2006-07 A</b>	<b>2007-08 November Estimate BY 2007-08 B</b>	<b>Change from Enacted to November Estimate BY 2007-08 B - A</b>	<b>2007-08 May Revision BY 2007-08 C</b>	<b>Change from November Estimate to May Revision BY 2007-08 C - B</b>	<b>Annual Population Change BY 2007-08 C - A</b>	<b>Percent Annual Change</b>
<b>Beginning Population, July 1, 2006</b>	254	161	-93	204	43	-50	-19.7%
Admissions	0	0	0	0	0	0	0.0%
Placements *	-119	-155	-36	-198	-43	-79	66.4%
Deaths	-6	-6	0	-6	0	0	0.0%
<b>Ending Population, June 30, 2007</b>	<b>129</b>	<b>0</b>	<b>-129</b>	<b>0</b>	<b>0</b>	<b>-129</b>	<b>-100.0%</b>
<b>Average Population</b>	<b>197</b>	<b>83</b>	<b>-114</b>	<b>111</b>	<b>28</b>	<b>-86</b>	<b>-43.7%</b>
On Leave	-1	-1	0	0	1	1	-100.0%
<b>Total Average In-Center Population</b>	<b>196</b>	<b>82</b>	<b>-114</b>	<b>111</b>	<b>29</b>	<b>-85</b>	<b>-43.4%</b>

\* Includes 188 placements into the community and 10 transfers to other developmental centers.

## Executive Highlights

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## **EXECUTIVE HIGHLIGHTS**

### **CURRENT YEAR 2006-07**

#### **REVISED MAJOR ASSUMPTIONS:**

#### **AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$1.6 million decrease**

##### **Agnews Closure Plan Update**

Pursuant to the Budget Act of 2006, Item 4300-001-0001, Provision 6, Section G of this Estimate provides a comprehensive update on the status of the Agnews Closure Plan released in January 2005.

##### **State Employees in the Community**

The May Revision includes a decrease of \$1,640,000 in Reimbursements for state employees to provide services in the community. Due to the current status of the closure process and the time required for acquisition and completion of housing, the pace of state employees moving to community-based services is more gradual than originally anticipated.

#### **PROGRAM UPDATES:**

#### **STAFFING ADJUSTMENT: \$6.0 million increase**

The May Revision reflects an increase of 43 consumers compared to the 2007-08 November Estimate (from 2,834 to 2,877). This projected increase in developmental center (DC) population is due to the reduction in projected placements of Agnews DC consumers into the community as a result of delays in development of specialized housing in the community for these consumers. As a result, an additional 66.0 positions and \$6,013,000 (\$3,208,000 General Fund and \$2,805,000 Reimbursements) are required to support the increased population.

The Budget Act of 2006, Item 4300-003-0001, Provision 3 allows for the transfer of funds between Item 4300-003-0001, the DC appropriation, and Item 4300-101-0001, the Regional Centers (RC) appropriation. Pursuant to this provision, the Department of Developmental Services is transferring Community Placement Plan funds from the RC program to the DC program to offset the increased need for General Fund.

**CURRENT YEAR 2006-07 (Continued)**

The current year staffing need has been updated based on the change in projected average in-center population, resulting in the following increases:

- Level-of-Care – 48.0 positions and \$4,671,000 (\$2,492,000 General Fund and \$2,179,000 Reimbursements);
- Non-Level-of-Care – 18.0 positions and \$1,342,000 (\$716,000 General Fund and \$626,000 Reimbursements).

## **EXECUTIVE HIGHLIGHTS**

### **BUDGET YEAR 2007-08**

#### **NEW MAJOR ASSUMPTIONS:**

#### **SONOMA DEVELOPMENTAL CENTER ASBURY CREEK WATER DIVERSION: \$2.0 million increase**

An increase of \$1,988,000 General Fund is needed for the construction phase of the Asbury Creek water diversion replacement project to replace the water diversion structure that was destroyed in the winter storms beginning in December 2005.

#### **SALARY ENHANCEMENTS FOR MENTAL HEALTH PROFESSIONALS (Coleman): \$286,000 increase**

An increase of \$286,000 (\$167,000 General Fund and \$119,000 Reimbursements) is needed to fund salary increases for vacant mental health classifications including phased hiring of psychiatrists, psychologists, psychiatric social workers, psychiatric technicians, occupational and rehabilitation therapists, medical directors, unit supervisors, senior psychiatric technicians, and senior psychologists. These increases are necessary to allow hiring and retention of key DDS professional staff needed to provide basic mental health care, treatment, and supervision for DC/CF residents.

In 2008-09, an additional \$671,000 (\$391,000 General Fund and \$280,000 Reimbursements) and in 2009-10, an additional \$278,000 for a total of \$1,235,000 (\$721,000 General Fund and \$514,000 Reimbursements) is needed to complete the phased hiring.

#### **SALARY ENHANCEMENTS FOR DENTAL PROFESSIONALS (Perez): \$1.3 million increase**

An increase of \$1,280,000 (\$747,000 General Fund and \$533,000 Reimbursements) is needed to increase salaries for authorized dental classifications. The increases are necessary to remain competitive and allow hiring and retention of key dental staff that provide essential dental services to consumers.

## **BUDGET YEAR 2007-08 (Continued)**

### **REVISED MAJOR ASSUMPTIONS:**

#### **AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$242,000 increase**

##### **State Employees in the Community**

The May Revision includes an increase of \$242,000 (\$129,000 General Fund and \$113,000 Reimbursements) and six positions (3.0 personnel years) at Sonoma Developmental Center, effective January 1, 2008, to administer and supervise Agnews State Employees in the Community. These positions will support the Agnews state employees working in the community when responsibility for those employees transfers to Sonoma on January 1, 2008.

### **PROGRAM UPDATES:**

#### **STAFFING ADJUSTMENT: \$1.1 million decrease**

The May Revision reflects an average increase of 21 consumers compared to the November Estimate (from 2,589 to 2,610). This projected increase in DC population is due to a slower than projected transfer of DC consumers into the community. Staffing was adjusted to reflect the staffing requirements based on the operations of each facility, including planned unit closures. The net result is a decrease of 38.5 positions and savings of \$1,131,000 (\$804,000 General Fund and \$327,000 Reimbursements).

The 2007-08 staffing need is based on the estimated average in-center population:

- Level-of-Care – increase of 27.0 positions and \$2,627,000 (\$1,191,000 General Fund and \$1,436,000 Reimbursements); and
- Non-Level-of-Care – decrease of 65.5 positions and \$3,758,000 (\$1,995,000 General Fund and \$1,763,000 Reimbursements).

#### **PIA LAUNDRY CONTRACT – Rate Increase: \$235,000 increase**

The Department of Corrections and Rehabilitation increased rates for all laundry service contracts currently being performed by the Prison Industry Authority (PIA). The increases include rate changes for the price per pound of laundry type, and transportation. The May Revision reflects an increase of \$235,000 (\$137,000 General Fund and \$98,000 Reimbursements).

**BUDGET YEAR 2007-08 (Continued)**

**INTERMEDIATE CARE FACILITY/DEVELOPMENTAL DISABLED QUALITY ASSURANCE FEE: \$774,000 decrease**

The Budget Act of 2006 assumed a 6 percent Intermediate Care Facility (ICF) – Developmental Disabled (DD) Quality Assurance (QA) Fee assessment. The ICF-DD QA Fee has been updated to reflect current population and expenditure projections and a rate decrease to 5.5 percent effective January 1, 2008 resulting in a decrease of \$774,000 (\$452,000 General Fund and \$322,000 Reimbursements).

**AGNEWS DEVELOPMENTAL CENTER CLOSURE: \$0**

**Agnews Closure Plan Update**

Pursuant to the Budget Act of 2006, Item 4300-001-0001, Provision 6, Section G of this Estimate provides a comprehensive update on the status of the Agnews Closure Plan released in January 2005.

**Staff Costs for Closure Plan**

The funding needed for staff buy-out costs related to the closure of Agnews Developmental Center was originally estimated to be General Fund only. Based on updated information the costs are eligible for Title XIX Medi-Cal reimbursement. The result is a decrease of \$2,001,000 in General Fund and a commensurate increase in Reimbursements.

## Future Fiscal Issues and Major Assumptions

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## **FUTURE FISCAL ISSUES AND MAJOR ASSUMPTIONS**

### **FUTURE FISCAL ISSUES**

There are no future fiscal issues to report.

## **NEW MAJOR ASSUMPTIONS**

### **Sonoma Developmental Center Asbury Creek Water Diversion**

Funding to augment the current project to replace two water diversion structures, one located on Asbury Creek and one located on Hill (aka Mill) Creek, at Sonoma Developmental Center (SDC) is needed. These two creeks are the critical water sources for the two reservoirs on the SDC property. The reservoirs supply water year round to meet the daily needs of SDC employees and residents of SDC who are developmentally disabled. The two creek diversions were destroyed in the winter storms beginning in December 2005. The Hill Creek diversion repairs were completed in November 2006 with the Department of Developmental Services' (DDS)-redirected support (special repairs) funding, which was the only source of funds appropriate and available for this emergency project. Other critically needed special repair projects were deferred due to the redirection of these funds. The Asbury Creek diversion replacement project is stalled in the working drawings phase due to lack of funds. The purpose of this funding is to accomplish the construction phase of the Asbury Creek water diversion replacement project, including the associated environmental requirements, prior to the 2007-08 rainy season to ensure an adequate water supply for SDC.

#### **Change from Prior Estimate:**

This is a new assumption for BY 2007-08 only.

### **Salary Enhancements for Mental Health Professionals (*Coleman*)**

The DDS needs funding for salary increases to phase in hiring to fill select vacant mental health classifications in five state Developmental Centers and two state-operated Community Facilities (DCs/CFs). The salary increases will continue to be phased in as positions are filled in 2008-09, with full year funding in 2009-10. These increases will bring salaries for these classifications to 18 percent less than salaries in the California Department of Corrections and Rehabilitation (CDCR) that were increased as a result of the *Coleman* court order, with the exception of vacant Psychiatrists and Senior Psychologists, which will be brought to 5 percent less than CDCR salaries. These increases are necessary to allow hiring and retention of key DDS professional staff needed to provide basic mental health care, treatment, and supervision for DC/CF residents.

#### **Change from Prior Estimate:**

This is a new assumption beginning in BY 2007-08.

## **NEW MAJOR ASSUMPTIONS (Continued)**

### **Salary Enhancements for Dental Professionals (*Perez*)**

Ongoing funding of \$1,280,000 (\$747,000 General Fund) is needed, effective July 1, 2007, to increase salaries for authorized dental classifications in facilities operated by DDS. The proposed salary increases will bring salaries for incumbents in these classifications to 18 percent less than salaries for corresponding classifications in CDCR that were increased as a result of the *Perez* court order. These increases are necessary for DDS to remain competitive and allow hiring and retention of key dental staff needed to provide essential dental services to DDS consumers.

#### **Change from Prior Estimate:**

This is a new assumption beginning in BY 2007-08.

## **REVISED MAJOR ASSUMPTIONS**

### **Agnews Developmental Center Closure – State Employees in the Community**

Agnews Developmental Center (Agnews) is scheduled to close by June 30, 2008. To address concerns that all necessary community services do not yet exist to meet the needs of all consumers transitioning from Agnews to community living options, approval for the State Employees in the Community Program (Program) was received in the Budget Act of 2005 and authority was obtained pursuant to Administration-sponsored Assembly Bill (AB) 1378 (Lieber, Chapter 538, Statutes of 2005). The Program is designed to deploy up to 200 state employees to work in the community to meet the immediate unmet service needs of consumers who are transitioning from Agnews and also to encourage the transition of Agnews staff from state employment to careers serving consumers in community settings. Program implementation is continuing to evolve as needs are identified and appropriate service delivery mechanisms are developed, including the opening of an Outpatient Clinic at Agnews in May 2006, to provide medical services as part of this Program.

Upon enactment of AB 1378, the Department of Developmental Services and the Department of Personnel Administration (DPA) negotiated agreements for administering the Program with three involved employee labor unions that provide for employees in community assignments who do not desire to participate in the Agnews layoff process to be designated as employees of Sonoma Developmental Center (SDC) with return rights to SDC when their community service is no longer needed. SDC will assume responsibility for operation of the Program (with the exception of the Agnews Outpatient Clinic services) January 1, 2008 which will provide for a transition period and partial overlap while Agnews is addressing other pressing human resource issues – most significantly, the layoff process for employees who are not part of the Program. Physicians, dentists, and other professionals continuing to provide services through the Agnews Outpatient Clinic will not be administratively assigned to SDC on January 1, 2008 with the other Program employees and will remain Agnews employees.

Budgetary needs for the Program include authority and funding for six administrative/supervisory Program employees (3.0 personnel years) of the 200 effective January 1, 2008 through the duration of the Program to support this new Program when employees begin to administratively report to SDC on January 1, 2008.

**REVISED MAJOR ASSUMPTIONS (Continued)**

**Change from Prior Estimate:**

This is a revised assumption beginning in BY 2007-08 through the duration of the Program.

## **UNCHANGED MAJOR ASSUMPTIONS**

### **Population**

- Admissions
- Deaths
- Placements

### **Personal Services**

- Staffing
  - Level-of-Care
  - Non-Level-of-Care
- Health Insurance Portability and Accountability Act
- Employee Compensation
- Medicare Part D Prescription Drug Benefits
- Quality Management System
- Porterville Intensive Behavioral Treatment Residence
- Office of Protective Services

### **Projects**

- Clients' Rights Advocacy Services and Volunteer Advocacy Services
- Foster Grandparent and Senior Companion Programs
- Wellness Contract
- Contracts for Federal Compliance

### **Agnews Developmental Center Closure**

- Population Adjustment
- Staff Support Costs
- Consumer Transfers to Other Developmental Centers
- Staff Costs for Closure
- Facility Preparation
- Consumer Relocation Costs

### **Operating Expenses**

- Occupational/Physical Therapy Contract Services
- Speech Therapy Contract Services
- Foodstuff
- Drugs, Laboratory and Supply
- Clothing
- Janitorial Contract Costs
- AB 1202 Education Contracts with Local Counties
- Community Facilities Contract-Out Services
- ICF-DD Quality Assurance Fee

**UNCHANGED MAJOR ASSUMPTIONS (Continued)**

Capitol People First Contract  
Debt Services

**Funding Sources**

Non-Proposition 98 General Fund  
Proposition 98 General Fund  
Reimbursements  
    Title XIX/Medi-Cal  
    Other Reimbursements  
        Part B – Individuals with Disabilities Education Act  
        Miscellaneous Reimbursements  
Federal Funds  
Lottery Education Fund (Non-Budget Act)

**DISCONTINUED MAJOR ASSUMPTIONS**

**Time Limited / No Longer Applicable**

There are no discontinued major assumptions in the May Revision.

## Population

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**SYSTEMWIDE POPULATION**  
*Current Year 2006-07*

	<b>2006-07 Enacted Budget CY 2006-07</b>	<b>2007-08 November Estimate CY 2006-07</b>	<b>Change from Enacted to November Estimate CY 2006-07</b>	<b>2007-08 May Revision CY 2006-07</b>	<b>Change from November Estimate to May Revision CY 2006-07</b>	<b>Annual Population Change CY 2006-07</b>	<b>Percent Annual Change</b>
<b>Beginning Population, July 1, 2006</b>	2,966	2,966	0	2,966	0	0	0.0%
Admissions	105	105	0	105	0	0	0.0%
Placements	-295	-289	6	-246	43	49	-16.6%
Deaths	-63	-63	0	-63	0	0	0.0%
<b>Ending Population, June 30, 2007</b>	<b>2,713</b>	<b>2,719</b>	<b>6</b>	<b>2,762</b>	<b>43</b>	<b>49</b>	<b>1.8%</b>
<b>Average Population</b>	<b>2,853</b>	<b>2,859</b>	<b>6</b>	<b>2,902</b>	<b>43</b>	<b>49</b>	<b>1.7%</b>
On Leave	-25	-25	0	-25	0	0	0.0%
<b>Total Average In-Center Population</b>	<b>2,828</b>	<b>2,834</b>	<b>6</b>	<b>2,877</b>	<b>43</b>	<b>49</b>	<b>1.7%</b>
<i>DC Population Detail:</i>							
Agnews	196	202	6	245	43	49	25.0%
Canyon Springs	61	61	0	61	0	0	0.0%
Fairview	603	603	0	603	0	0	0.0%
Lanterman	503	503	0	503	0	0	0.0%
Porterville	700	700	0	700	0	0	0.0%
Sierra Vista	46	46	0	46	0	0	0.0%
Sonoma	719	719	0	719	0	0	0.0%
<b>Total Average In-Center Population by DC</b>	<b>2,828</b>	<b>2,834</b>	<b>6</b>	<b>2,877</b>	<b>43</b>	<b>49</b>	<b>1.7%</b>

**Assumptions/Methodology:**

1. The current year Developmental Center (DC) average in-center population is estimated to increase by 43 consumers (from 2,834 to 2,877) from the 2007-08 November Estimate. This increase in estimated DC population reflects the reduction in projected placements of Agnews DC consumers into the community.
2. Historical data indicates that more placements occur in the second half of the fiscal year due to resource development (new providers/beds) in the community. The average in-center population estimate is weighted to account for this factor.

**SYSTEMWIDE POPULATION**

*Budget Year 2007-08*

	<b>2006-07 Enacted Budget CY 2006-07</b>	<b>2007-08 November Estimate BY 2007-08</b>	<b>Change from Enacted to November Estimate BY 2007-08</b>	<b>2007-08 May Revision BY 2007-08</b>	<b>Change from November Estimate to May Revision BY 2007-08</b>	<b>Annual Population Change BY 2007-08</b>	<b>Percent Annual Change</b>
<b>Beginning Population, July 1, 2006</b>	2,966	2,719	-247	2,762	43	-204	-6.9%
Admissions	105	150	45	145	-5	40	38.1%
Placements	-295	-321	-26	-374	-53	-79	26.8%
Deaths	-63	-69	-6	-64	5	-1	1.6%
<b>Ending Population, June 30, 2007</b>	<b>2,713</b>	<b>2,479</b>	<b>-234</b>	<b>2,469</b>	<b>-10</b>	<b>-244</b>	<b>-9.0%</b>
<b>Average Population</b>	<b>2,853</b>	<b>2,613</b>	<b>-240</b>	<b>2,634</b>	<b>21</b>	<b>-219</b>	<b>-7.7%</b>
On Leave	-25	-24	1	-24	0	1	-4.0%
<b>Total Average In-Center Population</b>	<b>2,828</b>	<b>2,589</b>	<b>-239</b>	<b>2,610</b>	<b>21</b>	<b>-218</b>	<b>-7.7%</b>
<i>DC Population Detail:</i>							
Agnews	196	82	-114	111	29	-85	-43.4%
Canyon Springs	61	53	-8	52	-1	-9	-14.8%
Fairview	603	563	-40	560	-3	-43	-7.1%
Lanterman	503	488	-15	485	-3	-18	-3.6%
Porterville	700	673	-27	666	-7	-34	-4.9%
Sierra Vista	46	49	3	51	2	5	10.9%
Sonoma	719	681	-38	685	4	-34	-4.7%
<b>Total Average In-Center Population by DC</b>	<b>2,828</b>	<b>2,589</b>	<b>-239</b>	<b>2,610</b>	<b>21</b>	<b>-218</b>	<b>-7.7%</b>

**Assumptions/Methodology:**

1. Using the assumptions from population projections and updated data for admissions, placements, and deaths, the 2007-08 estimated average in-center decrease in population is 218 residents.
2. Historical data indicates that more placements occur in the second half of the fiscal year due to resource development (new providers/beds) in the community. The average in-center population estimate is weighted to account for this factor.
3. It is assumed that all Agnews Developmental Center consumers will be placed in the community or transferred to other developmental centers as of June 30, 2008.

**SYSTEMWIDE POPULATION**  
*Current Year 2006-07 to Budget Year 2007-08*

	<b>2007-08 May Revision CY 2006-07</b>	<b>2007-08 May Revision BY 2007-08</b>	<b>Change from CY 2006-07 to BY 2007-08</b>
<b>Beginning Population, July 1, 2006</b>	2,966	2,762	-204
Admissions	105	145	40
Placements	-246	-374	-128
Deaths	-63	-64	-1
<b>Ending Population, June 30, 2007</b>	<b>2,762</b>	<b>2,469</b>	<b>-293</b>
<b>Average Population</b>	<b>2,902</b>	<b>2,634</b>	<b>-268</b>
On Leave	-25	-24	1
<b>Total Average In-Center Population</b>	<b>2,877</b>	<b>2,610</b>	<b>-267</b>
<i>DC Population Detail:</i>			
Agnews	245	111	-134
Canyon Springs	61	52	-9
Fairview	603	560	-43
Lanterman	503	485	-18
Porterville	700	666	-34
Sierra Vista	46	51	5
Sonoma	719	685	-34
<b>Total Average In-Center Population by DC</b>	<b>2,877</b>	<b>2,610</b>	<b>-267</b>

**CLIENT DEVELOPMENT EVALUATION REPORT (CDER) MIX PERCENTAGE  
(SYSTEMWIDE)**

**Comparison of the 2007-08 November Estimate to the 2007-08 May Revision for BY 2007-08**

Preferred Programs:	CMC 1	PD 2	AUT 3	SENS 4	CD 5	BEH 6	HAB 7	SOC 8	P&S 9
2007-08 November Estimate (Based on BY 2007-08)	36.1%	7.8%	10.0%	1.3%	0.0%	39.3%	1.5%	2.5%	1.6%
2007-08 May Revision (Based on BY 2007-08)	36.5%	8.4%	9.7%	1.2%	0.0%	38.7%	1.4%	2.5%	1.6%
Difference	0.4%	0.6%	-0.2%	0.0%	0.0%	-0.5%	-0.2%	0.0%	0.0%

*Program Type:*

CMC: Continuing Medical Care  
 PD: Physical Development  
 AUT: Autism  
 SENS: Sensory Development  
 CD: Child Development

BEH: Behavior Development  
 HAB: Habilitation  
 SOC: Social Development  
 P&S: Physical and Social Development

## Statements of Change

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## **New Major Assumption**

### **Sonoma Developmental Center Asbury Creek Water Diversion**

#### **DESCRIPTION:**

Funding to augment the current Sonoma Developmental Center (SDC) Asbury Creek Water Diversion project is needed to replace two water diversion structures, one located on Asbury Creek and one located on Hill (aka Mill) Creek, at SDC. These two creeks are the critical water sources for the two reservoirs on the SDC property. The reservoirs supply water year round to meet the daily needs of SDC employees and residents of SDC who are developmentally disabled. The two creek diversions were destroyed in the winter storms beginning in December 2005. The Hill Creek diversion repairs were completed in November 2006 with the Department of Developmental Services (DDS)-redirected support (special repairs) funding, which was the only source of funds appropriate and available for this emergency project. Other critically needed special repair projects were deferred due to the redirection of these funds. The Asbury Creek diversion replacement project will be stalled in the working drawing phase due to lack of funds without this funding augmentation. This funding is to accomplish construction of the Asbury Creek water diversion replacement project, including the associated environmental requirements, prior to the 2007-08 rainy season to ensure an adequate water supply for SDC.

#### **Nature of Request**

DDS needs \$1,988,000 General Fund to replace the SDC Asbury creek water diversion structure that was destroyed in the 2005-06 winter storms. The two water diversion structures, each over fifty years old, supply water to SDC's two storage reservoirs. Water is pumped from the reservoirs to the on-site water treatment plant prior to its distribution throughout the SDC facility. The creeks are the only potable water source for SDC. Since there are no sources in the vicinity of SDC that have the capacity and availability of water to supply SDC, without successful completion of this project, SDC would have to seek costly delivered water from outside sources. SDC water use averages 500,000 gallons per day. In order to meet the water needs of SDC, it is critical that the destroyed diversion structure be replaced by the winter of 2007.

The scope of the Asbury Creek project includes the following:

Repair and construct access route as needed. Remove old diversion structure, diversion pipeline debris and loose vegetation from creek channel. Install new diversion structure in creek channel. Repair diversion pipeline support columns and pipelines. Repair creek banks and reestablish creek flow line upstream and downstream of the new diversion structure. Reestablish and vegetate channel slope and construction access route to prevent future soil erosion.

#### **Background/History**

SDC has an average daily consumer and staff population of 2,466. The on-site water treatment plant's average annual production is 182 million gallons, which roughly represents SDC's average annual usage. The storage capacity of both reservoirs is 234 million gallons with a usable capacity of about 155 million gallons. The reservoirs are currently at 70 percent, or about 108 million gallons. Simple calculations would show a deficit of 74 million gallons of water needed to supply the facility in the absence of any rainfall. The Plant Operations staff usually begins the diversion of the creeks in November and continues until the lakes are full, which typically is sometime in March. Once the lakes are filled, the staff stops the diversion of creek water.

During the 2005-06 winter season heavy storms, both diversion structures were severely damaged. The Asbury Creek structure was dislodged and destroyed completely by a large tree and other debris that

## **New Major Assumption**

### **Sonoma Developmental Center Asbury Creek Water Diversion (Continued)**

washed down the creek bed. A section of the elevated Asbury Creek diversion pipeline was also broken and several support columns were damaged. The summer 2007 water storage is expected to be low due to less rainfall and reduced water diversion ability.

In the spring of 2006, DDS transferred support funds to the Department of General Services (DGS) to initiate an emergency SDC diversion replacement project. A budget cost estimate was prepared for the repair of both diversion structures using optimistic assumptions related to site considerations and environmental factors. A Public Works Project Authorization and Transfer Request - Form 22 (document number 06100APSB, Project number 125137) in the amount of \$999,800 was approved by the Department of Finance on May 31, 2006.

Due to site inaccessibility issues, permitting difficulties, environmental issues, etc., the funds transferred were found to be inadequate to repair both diversion structures. In the summer of 2006, with limited funds and a closing window of good weather for construction, DGS proceeded with a project to repair the least costly diversion structure. The Hill Creek diversion structure was successfully repaired by the end of November 2006 and currently provides the facility with a minimal source of water.

DGS completed a current and more accurate assessment of the repairs needed for the Asbury Creek diversion project and prepared a cost estimate dated January 19, 2007. DDS does not have the funding to accomplish replacement of the Asbury Creek diversion due to limited special repair funding when compared to the critical needs of an old and aging DC system infrastructure. Water is a basic necessity to maintain the welfare of the SDC's consumer population.

#### **Justification**

The two water diversions, the reservoirs and the water treatment plant are owned and operated by SDC. This is the sole source of potable water for the consumers, staff and facilities operations at SDC. Without this source of water, the SDC would have to find other sources of water for the facility that would most likely involve the construction of additional infrastructure and would be more costly than repairing the structure.

The project is consistent with the DDS' Strategic Plan Goal 1: System Capacity, which states: "Expand the availability, accessibility and types of services and supports to meet current and future needs of individuals and their families." This funding request is justified in that it will restore the standalone water treatment plant back to its functional capacity in providing potable water to SDC consumers, staff and the normal operation of the facility.

There is no known opposition by the public in the surrounding area of SDC or by anyone associated with SDC at this time.

All state and federal regulations pertaining to this project will be followed, including permitting by the federal Army Corp of Engineers, the State Department of Forestry, and the local water board.

#### **ASSUMPTIONS/METHODOLOGY:**

A budget estimate by the DGS is \$2,640,950 total estimated project cost less an amount of \$152,592 previously transferred from the completed Hill Creek diversion project. The amount requested was further reduced by a Public Works Project Authorization and Transfer Request – Form 22 (document number 07091APSB, project number 121537A) in the amount of \$500,000, approved by the Department of Finance

**New Major Assumption**  
**Sonoma Developmental Center Asbury Creek Water Diversion**  
**(Continued)**

on February 15, 2007. These support funds were needed by DGS to continue the planning and working drawing phases of the Asbury Creek diversion project in order to meet the timelines to complete the repairs before the winter rains arrive.

The estimated costs for this project are: Study \$19,450, Working Drawings \$633,000 and Construction \$1,988,500. DDS is requesting \$1,988,000 for construction to repair the Asbury Creek water diversion structure.

DGS had contacted the Office of Emergency Services and was unable to apply for last year's Federal Emergency Management Agency funds due to the expiration of a short application period.

**Timetable**

The construction phase would begin as soon as funding is available to DGS. A consultant/engineering firm will be hired and a negative declaration will be completed. The construction will be informally bid as an emergency contract. All work will be monitored and evaluated by a DGS inspector, a DGS project engineer and a project construction supervisor. Additional construction staffing will include an Indian tribal representative, archeologist, SDC Plant Operations staff, and the contract construction company's supervisor. There will be daily construction progress reports and reviews and environmental monitoring.

Assuming funds are available in early July 2007, DGS would hire a consultant in mid-July in order to complete plans. Upon completion of working drawings in late August, the project would be bid and awarded by mid-September, and construction would be completed by late November 2007.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
DGS estimated cost	\$2,640,950	
Less funds transferred from Hill Creek project	-152,592	
Less support funds transferred in 2006-07	-500,000	
Balance of funds required	<u>\$1,988,358</u>	
<i>Operating Expenses and Equipment</i>	<i>\$0</i>	<i>\$1,988,000</i>
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$1,988,000</b>

**FUNDING:**

Funding is 100% General Fund.

**CHANGE FROM PRIOR ESTIMATE:**

This is a new request for BY 2007-08.

**New Major Assumption**  
**Sonoma Developmental Center Asbury Creek Water Diversion**  
**(Continued)**

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
TOTAL FUNDING	\$0	\$1,988,000
General Fund	\$0	\$1,988,000
General Fund Other	0	1,988,000

## **New Major Assumption** **Salary Enhancements for Mental Health Professionals (Coleman)**

### **DESCRIPTION:**

The Department of Developmental Services (DDS or Department) proposes a three-year phase-in to fill select vacant mental health classifications in five state Developmental Centers and two state-operated Community Facilities (DCs/CFs) whose salaries have been enhanced resulting from the *Ralph Coleman, et al., v. Arnold Schwarzenegger, et al. (Coleman)* court decision dated December 6, 2007. These increases will bring salaries for these classifications to 18 percent less than salaries in the California Department of Corrections and Rehabilitation (CDCR) resulting from *Coleman*, with the exception of vacant Psychiatrists and Senior Psychologists, which will be brought to 5 percent less than CDCR salaries. These increases are necessary to allow hiring and retention of key DDS professional staff needed to provide basic mental health care, treatment and supervision.

#### **Nature of Request**

An increase of \$286,000 (\$167,000 General Fund and \$119,000 Reimbursements) is needed to fund salary increases for vacant mental health classifications including phased hiring of psychiatrists, psychologists, psychiatric social workers, psychiatric technicians, occupational and rehabilitation therapists, medical directors, unit supervisors, senior psychiatric technicians, and senior psychologists. These increases are necessary to allow hiring and retention of key DDS professional staff needed to provide basic mental health care, treatment, and supervision for DC/CF residents.

In 2008-09, an additional \$671,000 (\$391,000 General Fund and \$280,000 Reimbursements) and in 2009-10, an additional \$278,000 for a total of \$1,235,000 (\$721,000 General Fund and \$514,000 Reimbursements) is needed to complete the phased hiring.

#### **Background/History**

California has an identified shortage of mental health professionals. Both private and state health facilities compete for a limited number of positions. For a number of years, DCs/CFs have experienced great difficulty recruiting sufficient numbers of staff in various classifications to provide required services to consumers in the Department's facilities. The shortage is particularly acute in DCs/CFs for Psychiatrists, Psychologists, Social Workers, Rehabilitation Therapists and Psychiatric Technicians. Even after nationwide recruitment efforts, filling many vacant positions with contract workers at considerably higher salaries, using Hiring Above Minimum (HAM) authority, and providing recruitment and retention bonuses, DCs/CFs still cannot fill vacancies in some of these classifications.

Beginning in January 2007, when CDCR increased salaries for mental health classifications as a result of *Coleman*, DC/CF staff in *Coleman*-related classifications began leaving. From January 1, 2007 through March 8, 2007, 44 individuals in *Coleman*-related positions have transferred to CDCR or the Department of Mental Health (DMH). An additional 54 have left for other departments or private hospitals, or have resigned or retired. Because the salaries for these personnel are considerably lower than those in CDCR and DMH, and are frequently lower than salaries in the private sector, it will be extremely difficult to recruit employees to fill these critical vacancies.

## **New Major Assumption** **Salary Enhancements for Mental Health Professionals (*Coleman*) (Continued)**

### **Justification**

In less than three months, DDS has lost a total of 98 employees in *Coleman*-related classifications. While this represents less than 4 percent of the established *Coleman*-related positions, there were already more than 350 vacancies in these classifications in the DCs/CFs, and recruitment to replace vacancies has been historically difficult, leaving the DCs/CFs short staffed and with no prospects for filling vacancies. Most importantly, the DCs/CFs are losing a trained, experienced workforce that cannot easily be replaced. The *Coleman*-related classifications include Psychiatrists, Medical Directors, Unit Supervisors, Psychologists, Social Workers, Rehabilitation Therapists and Psychiatric Technicians. These are key classifications that are required for treatment and the direct provision of mental health services, or the supervision of direct services to DC/CF consumers, for licensing and certification, and for the overall health and safety of consumers.

Porterville Developmental Center is facing the loss of its last remaining social worker in a facility that has more than 680 consumers. Social Work Associates are not able to fill the gap, as they can only work under the supervision of a licensed social worker; with no licensed mentors or supervisors available, lesser trained staff cannot be hired.

Only two facilities, Porterville and Fairview, have been able to recruit Psychiatrists (one each). Other facilities must contract with multiple providers at each facility to provide a minimal number of hours of consultation time for psychiatric services, at a much higher cost to the state. With a growing number of consumers with mental health diagnoses in addition to their developmental disabilities, the DCs/CFs are currently unable to meet the existing need for psychiatric services.

Licensed Psychologists are also in short supply and migrating to CDCR for considerably higher salaries. Beginning in April, Porterville will have only two licensed Psychologists in their Secure Treatment Program serving approximately 300 consumers. The workload for licensed Psychologists is very critical in the Secure Treatment Program, which houses the DC system's most difficult and dangerous forensic and court-committed population. Licensed Psychologists provide competency reports to the court, court testimony regarding commitment and release, commitment re-certifications, individual and group therapy, development of behavior management plans, and other critical functions. Court reports and court-related workload are already heavily backlogged, and Porterville may face contempt or court citations when unable to produce required court documents. Remaining Psychologists are threatening to leave because of the overwhelming workload, resulting in a demoralizing work environment.

DCs/CFs are experiencing an increasing loss of Psychiatric Technicians, which are the backbone of the DC/CF system. Psychiatric Technicians provide the day-to-day care, treatment and supervision for DC/CF consumers and are the system's most necessary resource. Having lost a large number of nurses due to *Plata* salary differentials in CDCR and DMH, DCs/CFs have filled many vacant registered nurse positions with Psychiatric Technicians. Very few Psychiatric Technicians are being trained today, however, because of the shortage of Nursing Instructors in community colleges, exacerbating an already scarce supply of Psychiatric Technicians. With more Psychiatric Technicians transferring to CDCR or leaving the system, and with no other licensed classes to draw from to fill vacancies for level-of-care services, the Department could find itself unable to provide statutorily required coverage.

## **New Major Assumption** **Salary Enhancements for Mental Health Professionals (*Coleman*) (Continued)**

Licensing and certification requirements mandate licensed personnel on duty and present to meet minimum staffing ratios in licensed health facilities. Unless the transfers to CDCR abate, DCs/CFs will be unable to meet requirements for federal certification, will jeopardize receipt of Federal Financial Participation (FFP), and will be unable to provide the basic staffing for minimal consumer health and safety. As examples, Sierra Vista Community Facility, the system's smallest facility, has reported that 12 Psychiatric Technicians are considering transferring to CDCR for higher salaries. Located in a small-town rural environment, Sierra Vista will not be able to replace this number of staff without higher salaries or extraordinary interventions and employee incentives. Porterville and Sonoma, which both lost a considerable number of nurses and are now losing Psychiatric Technicians as well, are considering consolidating or closing hospital units and transferring medically fragile consumers to community hospitals.

Without salary relief to slow down or stop staff transfers from DCs/CFs, DDS will face increasing difficulty in maintaining operations and keeping its facilities open. Clearly the loss of staff will compromise treatment programs, care and supervision, and jeopardize consumer health and safety. If unable to deliver critical staffing levels and required programming, the Department will lose federal certification, which now returns approximately \$320 million in FFP to the State. Another eventual certainty would be a forced settlement or consent decree with the United States Department of Justice (USDOJ), relative to the Department's open investigations under the Civil Rights of Institutionalized Persons Act. DDS has three open investigations at Agnews, Sonoma and Lanterman DCs. Key findings in these investigations identified inadequate staffing levels for medical and mental health services, and a consequent lack of sufficient treatment programs in these areas. As has been seen with DMH, the USDOJ is not reluctant to force a very costly and demanding settlement with California over its health and mental health staffing and services in state facilities.

### **ASSUMPTIONS/METHODOLOGY:**

The funding for this request is based on the established vacant positions per the State Controller's records as of February 28, 2007 for *Coleman*-related classifications. The costs were calculated at 18 percent less than CDCR salaries except for Psychiatrist and Senior Psychologist positions which were calculated at 5 percent less than CDCR salaries. Funding assumes positions will be filled at the following rates: 11 positions per month (2.0 per DC and 0.5 per CF) for the period July 2007 through December 2007 and 16.5 positions per month (3.0 per DC and 0.75 per CF) for the period January 2008 through June 2008.

#### **Timetable**

Salary increases and associated benefits will be phased in for vacant positions effective July 1, 2007 with full-year funding in 2009-10.

**New Major Assumption**  
**Salary Enhancements for Mental Health  
Professionals (Coleman) (Continued)**

Funding for budget year 2007-08 for select vacant mental health classifications to 18 percent less CDCR salaries, with the exception of vacant Psychiatrist and Senior Psychologist classifications, which are 5 percent less than CDCR salaries. See Attachment A for additional detail.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<i>Personal Services</i>	\$0	\$231,000
<i>Benefits</i>	0	55,000
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$286,000</b>

**FUNDING:**

Funding is based on the systemwide funding mix rates.

**CHANGE FROM PRIOR ESTIMATE:**

This is a new request for BY 2007-08.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL EXPENDITURES:</b>		
<b>TOTAL FUNDING</b>	<b>\$0</b>	<b>\$286,000</b>
<b>General Fund</b>	<b>\$0</b>	<b>\$167,000</b>
<i>General Fund Match</i>	0	119,000
<i>General Fund Other</i>	0	48,000
<b>Reimbursements</b>	<b>\$0</b>	<b>\$119,000</b>
<i>Medi-Cal Reimbursements</i>	0	119,000

Attachment A

**New Major Assumption**  
**Salary Enhancements for Mental Health Professionals (Coleman)**

Budget Year 2007-08 Fiscal Impact *	Budget Year +1 2008-09 Fiscal Impact *	Budget Year +2 2009-10 Fiscal Impact *
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**PERSONAL SERVICES**

Salary Increases:	\$230,754	\$771,314	\$995,549
Medical Director, State Hospital/Developmental Center			
Staff Psychiatrist, DMH and DDS			
Unit Supervisor			
Senior Psychiatric Technician			
Psychiatric Technician			
Psychiatric Technician (Safety)			
Rehabilitation Therapist, State Facilities (Music)			
Rehabilitation Therapist, State Facilities (Recreation)			
Rehabilitation Therapist, State Facilities (Music-Safety)			
Rehabilitation Therapist, State Facilities (Recreation-Safety)			
Senior Psychologist (Health Facility) (Specialist)			
Psychologist (Health Facility-Educational)			
Psychologist (Health Facility-Clinical-Safety)			
Clinical Social Worker (Health Facility)			
Psychologist (Health Facility-Clinical)			

<b>Subtotal, Salaries and Wages</b>	<b>\$230,754</b>	<b>\$771,314</b>	<b>\$995,549</b>
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**STAFF BENEFITS**

OASDI/Medicare	\$15,399	\$51,472	\$66,436
Retirement	40,056	133,890	172,815

<b>Subtotal, Staff Benefits</b>	<b>\$55,455</b>	<b>\$185,362</b>	<b>\$239,251</b>
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<b>TOTAL, PERSONAL SERVICES</b>	<b>\$286,209</b>	<b>\$956,676</b>	<b>\$1,234,800</b>
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<b>ROUNDING</b>	\$286,000	\$957,000	\$1,235,000
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<b>TOTAL FUNDING</b>	<b>\$286,000</b>	<b>\$957,000</b>	<b>\$1,235,000</b>
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<b>General Fund</b>	<b>\$167,000</b>	<b>\$558,000</b>	<b>\$721,000</b>
<i>General Fund Match</i>	119,000	399,000	514,000
<i>General Fund Other</i>	48,000	159,000	207,000

<b>Reimbursements</b>	<b>\$119,000</b>	<b>\$399,000</b>	<b>\$514,000</b>
<i>Medi-Cal Reimbursements</i>	119,000	399,000	514,000

\* Costs assume positions will be filled as follows: 11 positions per month (2.0 per DC and 0.5 per CF) for the period July 2007 through December 2007 and 16.5 positions per month (3.0 per DC and 0.75 per CF) for the period January 2008 through June 2008. The projected costs for 2008-09 and 2009-10 will be updated as a part of the program updates in future estimates.

## **New Major Assumption**

### **Salary Enhancements for Dental Professionals (*Perez*)**

#### **DESCRIPTION:**

The Department of Developmental Services (DDS or Department) needs \$1,280,000 (\$747,000 General Fund) in ongoing funding, effective July 1, 2007, to increase salaries for authorized dental classifications in facilities operated by DDS. The proposed salary increases will bring salaries and wages for incumbents in these classifications to 18 percent less than salaries for corresponding classifications in the California Department of Corrections and Rehabilitation (CDCR). These increases are necessary for DDS to remain competitive and allow hiring and retention of key dental staff needed to provide essential dental services to DDS consumers.

#### **Nature of Request**

Salary increases are needed for 11.5 Dentists and 12 Dental Assistants at five developmental centers (DCs) for a total of 23.5 positions and \$1,280,000 for 2007-08. The Department's two small Community Facilities, Canyon Springs and Sierra Vista, contract with local community dentists for dental care for their consumers and therefore are not included in the salary increases.

#### **Background/History**

This new major assumption is based on salary increases proposed at CDCR as a result of the *Perez* lawsuit. The *Perez* suit (*Perez v. Tilton, et al.*) was a class action complaint filed against the CDCR on December 19, 2005. In response, the United States District Court for the Northern District of California found that the dental care system operated by the CDCR does not and cannot properly deliver necessary dental care to prisoners in its custody. The non-competitive and inadequate compensation for CDCR dental personnel is a key factor in the CDCR's inability to maintain a qualified workforce for its dental program. The CDCR-proposed salaries were discussed with the Honorable Judge Jeffrey White at a hearing on October 27, 2006. While a Court Order has not resulted to date, CDCR is making a proactive response to the *Perez* Court.

This DDS proposal is also intended as a proactive measure to bring DDS salaries in closer alignment with CDCR salaries, in order to retain dental personnel who would otherwise transfer to CDCR for higher salaries, and to fill future vacancies. The salary increases requested by DDS are 18 percent less than the *Perez* salaries requested by CDCR. The 18 percent differential is consistent with increases proposed for *Plata* and *Coleman* classifications. While the DDS salaries will not be at parity with CDCR salaries, they will remain proportional to salaries proposed for other professional classifications and better enable the Department to recruit, hire and retain qualified and experienced dental personnel.

#### **Justification**

Dental care is a critical and necessary component of the health care services provided to DC consumers. Proper dental hygiene and good dentition are essential to their nutritional status and overall health. Infections in the mouth, teeth, and gums affect the health of the entire body, and inflammation of the gums can lead to serious cardiac damage and cause other health problems.

Providing dental care for persons with developmental disabilities is far more difficult and complex than providing care for the average person, and considerably more difficult than providing care for persons in other state institutional settings, including prisons, mental health facilities, and veterans' homes. Most individuals in DCs have very little or no ability to brush their teeth; consequently they have poor dental hygiene and

## **New Major Assumption** **Salary Enhancements for Dental Professionals (*Perez*)** **(Continued)**

more cavities and gum disease. Many are unable to indicate pain or discomfort if they have a tooth ache, so require more frequent dental visits for monitoring for potential problems. Many suffer serious dental injuries and have damaged and missing teeth as a result of frequent falls from seizures, poor ambulation, or self-injurious and aggressive behaviors. People with developmental disabilities very frequently have misshapen jaws and palates, misaligned and crowded teeth, and serious gum disorders. They may have physical conditions or deformities that make them unable to sit in dental chairs, open their mouths, control the placement of their tongues, control spastic limbs, or suppress involuntary movements. Many have severe behavior problems and actively resist, fight, or bite dental staff. Some have been known to destroy dental equipment, damage dental offices and waiting rooms, and disturb or injure dental staff and other patients. For these reasons, most DC consumers require very skilled and experienced dental personnel, lengthy appointments, sedation or general anesthesia, restraints, and additional treatment personnel to assist, interpret instructions, and control consumers' movements and behavior in order to receive treatment.

Under these circumstances, few Dentists and Dental Assistants choose to work in DCs. Currently only 11.5 Dentists and 12 Dental Assistants are available to provide for the dental hygiene and dental care needs of approximately 2,700 consumers. These needs include cleaning and check-ups at least twice a year for each consumer, as well as emergency repairs, restorations, extractions, and oral surgery. Because of the difficulty and complexity of providing treatment to DC consumers, and the additional length of time required for each procedure, the current staff numbers are not adequate to meet all the consumers' needs. With a total of only 23.5 dental staff available, any loss of staff is extraordinarily problematic and causes serious consequences for consumers.

Like CDCR, DDS has great difficulty recruiting and retaining qualified and experienced Dentists and Dental Assistants. DDS dental personnel have already begun notifying DCs that they intend to transfer to CDCR for higher salaries and easier work. If unable to replace transfers, DCs have very few options. While Sierra Vista and Canyon Springs contract with local providers for dental services, other DCs could not do so as readily, and using community providers in lieu of hiring dental personnel would not be an option. Canyon Springs and Sierra Vista have fewer than 50 consumers per facility and serve more capable, higher functioning individuals who are being trained to use community providers, are ambulatory and verbal, can physically go to local dental offices, understand and follow instructions, better cooperate with dental procedures, and less frequently need sedation or general anesthesia for routine procedures. It is frequently necessary, however, for the facilities to pay for dental services directly, as the additional treatment time and services needed are not covered by Medi-Cal, Denti-Cal, or private insurance.

Locating new DC dental staff from the community or identifying community contractors to provide DC dental services will be most difficult, as there is a serious shortage of dental providers in the community willing to work with DDS consumers. It has been long recognized that community dentists are reluctant and unwilling to devote the time and patience needed to work with consumers with developmental disabilities for the relatively low reimbursement available to them. This is a critical issue with families in the Agnews closure planning process, and has been one of their most vocal complaints in the recent legislative budget hearings. Families are looking to the DCs to continue to provide dental services to consumers placed into the community, yet DCs have very scarce and limited resources themselves.

The DCs' dedicated dental professionals, who are knowledgeable and experienced in the special treatment needs of people with disabilities, and willing to work with them, are one of the systems' most valuable

**New Major Assumption**  
**Salary Enhancements for Dental Professionals (*Perez*)**  
**(Continued)**

resources. The Department must be proactive and make every effort to retain and appropriately compensate them, as DDS' options for replacing them are very limited. Any other options will be more costly, less desirable, and result in a lower level of care and treatment than what DC consumers require and are currently receiving.

**ASSUMPTIONS/METHODOLOGY:**

The funding for this request is based on the authorized positions per the State Controller's records as of February 28, 2007 for *Perez* related classifications. The costs were calculated at 18 percent less CDCR salaries.

**Timetable**

Salary and benefit increases will be effective July 1, 2007.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Funding for budget year 2007-08 for dental classifications to 18 percent less CDCR salaries. See Attachment A for additional detail.		
<i>Personal Services</i>	\$0	\$1,027,000
<i>Benefits</i>	0	253,000
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>\$1,280,000</b>

**FUNDING:**

Funding is based on the systemwide funding mix rates.

**CHANGE FROM PRIOR ESTIMATE:**

This is a new request for BY 2007-08.

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL EXPENDITURES:</b>		
<b>TOTAL FUNDING</b>	<b>\$0</b>	<b>\$1,280,000</b>
<b>General Fund</b>	<b>\$0</b>	<b>\$747,000</b>
<i>General Fund Match</i>	0	533,000
<i>General Fund Other</i>	0	214,000
<b>Reimbursements</b>	<b>\$0</b>	<b>\$533,000</b>
<i>Medi-Cal Reimbursements</i>	0	533,000

**Attachment A**

**New Major Assumption**

**Salary Enhancements for Dental Professionals (*Perez*)**

<b>Budget Year 2007-08 Fiscal Impact</b>
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**PERSONAL SERVICES**

Dentist	\$879,490
Dental Assistant	147,062

<b>Subtotal, Salaries and Wages</b>	<b><u>\$1,026,552</u></b>
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**STAFF BENEFITS**

OASDI/Medicare	\$78,531
Retirement	174,483

<b>Subtotal, Staff Benefits</b>	<b><u>\$253,014</u></b>
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<b>TOTAL, PERSONAL SERVICES</b>	<b><u>\$1,279,566</u></b>
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<b>ROUNDING</b>	\$1,280,000
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<b>TOTAL FUNDING</b>	<b>\$1,280,000</b>
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<b>General Fund</b>	<b>\$747,000</b>
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<i>General Fund Match</i>	533,000
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<i>General Fund Other</i>	214,000
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<b>Reimbursements</b>	<b>\$533,000</b>
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<i>Medi-Cal Reimbursements</i>	533,000
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## **Revised Major Assumption**

### **Agnews Closure Plan: State Employees in the Community**

#### **DESCRIPTION:**

As initially presented in the Plan for the Closure of Agnews Developmental Center (Plan) submitted in January 2005 to the Legislature, this cost component, identified as Issue #4, captured all costs related to the administration and deployment of up to 200 State Employees for work in the community for up to two years post-closure. Subsequent to the initial submission, approval for the State Employees in the Community Program (Program) was received in the Budget Act of 2005 and authority was obtained pursuant to Administration-sponsored Assembly Bill (AB) 1378 (Lieber, Chapter 538, Statutes of 2005).

Subsequent to enactment of AB 1378, the Department of Developmental Services (Department) and the Department of Personnel Administration (DPA) negotiated agreements for administering the Program with the four involved employee labor unions: the American Federation of State, County, and Municipal Employees (AFSCME); the California Association of Psychiatric Technicians (CAPT); the Service Employees International Union (SEIU); and the Union of American Physicians and Dentists (UAPD). A key issue was to ensure employee rights were recognized throughout the closure process which would allow certain employees to retain permanent state employment status beyond Agnews' closure, while terminating employment for other Agnews employees through the layoff process upon the facility's closure.

Negotiated agreements are based on the assumption that current Agnews employees who become part of the community-based Program will be designated as employees of SDC effective January 1, 2008, with return rights to SDC upon termination of their services in the community-based Program. These employees will be administratively separate and distinct from Agnews employees as of that date to preclude them from being subject to the State Restrictions of Appointments (SROA)/layoff process. The SROA/layoff process will need to begin for remaining Agnews employees by January 1, 2008 to allow for the approximately six months time necessary to conduct and complete the layoff process. Administering the Program through SDC is a sound approach embraced by the Department as well as the involved employee bargaining units, but it is different than the approach originally envisioned and therefore, in consideration of the newly defined workload and support that SDC will need to assume, a revision in the designated positions is needed.

SDC will be expected to assume responsibility for the operation of the Program by January 1, 2008 which will provide for a transition period and partial overlap while Agnews is addressing other pressing human resource issues – most significantly, the layoff process for all employees who are not part of the State Employees in the Community Program. Position authority and funding for the six supervisory and administrative Program employees needs to be effective January 1, 2008 and assured for the duration of the Program to ensure a solid program is in place to oversee up to 200 employees working in the community. Activities include policy and systems development, preparation of employee orientation and training materials and provision of training, providing information and support to employees transitioning into new assignments, processing and supporting contracts for state employee services in the community, and dealing with pertinent employee issues and concerns in recognition that Agnews staff will soon be undergoing a layoff process. Unlike the other Program staff providing services in the community which are demand-based and will represent a variety of classifications and assignments, the supervisory/administrative group must consist of specific classifications to perform the important duties identified.

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**State Employees in the Community (Continued)**

<b>ASSUMPTIONS/METHODOLOGY:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
• Clinical Staff	-\$139,500	-\$1,082,000
• Direct Support Services	-1,500,500	1,082,000
• Administrative Staff		242,000
• CY Base	\$5,398,000	
CY Request	-1,640,000	
CY Total	<u>\$3,758,000</u>	
• BY Base	\$9,217,000	
BY Request	242,000	
BY Total	<u>\$9,459,000</u>	
<b>TOTAL EXPENDITURES</b>	<u><b>-\$1,640,000</b></u>	<u><b>\$242,000</b></u>
<b>TOTAL POSITIONS</b>	<b>0.0</b>	<b>3.0</b>

**FUNDING:**

100% Reimbursements from the regional centers and service providers for Clinical Staff and Direct Support Services. Administrative Staff is based on the Sonoma Developmental Center facility specific percentages of 53.47% General Fund and 46.53% Reimbursements.

**REASON FOR CHANGE:**

This revised major assumption addresses two aspects of the Program, as follows:

1. Authorized Level of Expenditure for State Employees Providing Community Services

As reflected in the 2007-08 November Estimate for 2006-07, the authorized level of expenditure for deployment of state employees is \$5,398,000 which represents full year funding for 47 positions. Because of the current status of the Agnews Developmental Center (Agnews) closure process and, in particular, the time required for acquisition and completion of housing through the Bay Area Housing Plan, the pace of state employees moving to community-based services is more gradual than originally anticipated. A modification of the authorized level to \$3,758,000, including reimbursements, is needed. The estimated need for 2007-08 remains at \$9,217,000.

2. Supervision and Administrative Support to the Program

A necessary aspect of deploying large numbers of staff to the community is proper administration and support for the Program. The Plan identified a need for seven positions (reimbursement authority only) of the 200 available to be dedicated to supervisory and administrative support. The original proposal identified positions to fulfill this need with an emphasis on business support functions. The more significant need now identified is to properly provide Program oversight and key administrative functions for human resource management. The initial workload in 2007-08 will be heavy and some activities will require mutual collaboration and support between Agnews and Sonoma Developmental Center (SDC) during the critical time when Agnews employees have the final option to elect to remain/return as Agnews employees before being assigned to SDC and becoming an official Program employee. Throughout the Program, ongoing employment activities are anticipated as

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**State Employees in the Community (Continued)**

community needs change and employees act upon personal decisions. A modification to the previously specified positions is needed to reflect redefined functions. Authority for six positions (3.0 personnel years) and funding for SDC of \$242,000 (\$129,000 General Fund) effective January 1, 2008, is now needed to replace the previously assumed reimbursement of \$456,000 for six positions and associated Operating Expense and Equipment costs at Agnews. The seventh position including reimbursement authority will be redirected to State Staff Placement and will remain at Agnews.

Revisions in this major assumption will not increase the overall number of employees above the authorized maximum of 200. These staff will function under the direction of SDC but will be physically located at Agnews until closure, at which time other office space in the Bay Area will need to be identified.

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>-\$1,640,000</b>	<b>\$242,000</b>
<b>General Fund</b>	<b>\$0</b>	<b>\$129,000</b>
<i>General Fund Match</i>	<i>0</i>	<i>113,000</i>
<i>General Fund Other</i>	<i>0</i>	<i>16,000</i>
<b>Reimbursements</b>	<b>-\$1,640,000</b>	<b>\$113,000</b>
<i>Medi-Cal Reimbursements</i>	<i>0</i>	<i>113,000</i>
<i>Other Reimbursements</i>	<i>-1,640,000</i>	<i>0</i>

Attachment A

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**State Employees in the Community**

	<b>Budget Base CY 2006-07</b>	<b>Adjusted Budget Base CY 2006-07</b>	<b>2007-08 May Revision Estimate Request CY 2006-07</b>	<b>Budget Base BY 2007-08</b>	<b>Adjusted Budget Base BY 2007-08</b>	<b>2007-08 May Revision Estimate Request BY 2007-08</b>
Clinical Staff <sup>(1)</sup>	\$1,390,000	\$1,250,500	-\$139,500	\$2,636,000	\$1,554,000	-\$1,082,000
Direct Support Services <sup>(2)</sup>	4,008,000	2,507,500	-1,500,500	6,581,000	7,663,000	1,082,000
Sonoma Administrative Staff	0	0	0	0	242,000	242,000
<b>Total, State Employees In Community</b>	<b>\$5,398,000</b>	<b>\$3,758,000</b>	<b>-\$1,640,000</b>	<b>\$9,217,000</b>	<b>\$9,459,000</b>	<b>\$242,000</b>
<i>General Fund</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>129,000</i>	<i>129,000</i>
<i>Reimb</i>	<i>5,398,000</i>	<i>3,758,000</i>	<i>-1,640,000</i>	<i>9,217,000</i>	<i>9,330,000</i>	<i>113,000</i>

<sup>(1)</sup> Operations as displayed in the 2007-08 Regional Centers May Revision Estimate page E-16.8 and 16.9.

<sup>(2)</sup> Purchase of Services as displayed in the 2007-08 Regional Centers May Revision Estimate page E-16.10 and 16.11.

**Attachment B**

**Revised Major Assumption**  
**Agnews Closure Plan:**  
**State Employees in the Community**

	<b>Position Change</b>	<b>Budget Year 2007-08</b>	<b>Position Change</b>	<b>Budget Year +1 2008-09</b>
<b><u>PERSONAL SERVICES</u></b>				
<b>ADMINISTRATION</b>				
Program Director, DD	0.5	39,516	1.0	79,032
Nursing Coordinator	0.5	34,529	1.0	69,057
Senior Personnel Specialist	0.5	24,602	1.0	49,203
Associate Personnel Analyst	0.5	28,146	1.0	56,292
Accounting Technician	0.5	16,877	1.0	33,753
Office Technician	0.5	16,877	1.0	33,753
<b>Subtotal, Personal Services</b>	<b>3.0</b>	<b>\$160,547</b>	<b>6.0</b>	<b>\$321,090</b>
<b>STAFF BENEFITS</b>				
OASDI/Medicare		\$11,575		\$23,151
Health		21,602		43,205
Retirement		24,636		49,273
<b>Subtotal, Staff Benefits</b>		<b>\$57,813</b>		<b>\$115,629</b>
<b>TOTAL, PERSONAL SERVICES</b>	<b>3.0</b>	<b>\$218,360</b>	<b>6.0</b>	<b>\$436,719</b>
<b><u>OPERATING EQUIPMENT AND EXPENSES</u></b>				
General Expense		\$3,000		\$6,000
Communications		3,000		6,000
Postage		3,000		6,000
Travel In-State		3,000		6,000
Training		3,000		6,000
Consolidated Data Centers:		0		0
Health & Welfare Center		3,000		6,000
Stephen P. Teale Data Center		3,000		6,000
Data Processing		3,000		6,000
<b>TOTAL, OPERATING EQUIPMENT AND EXPENSES</b>		<b>\$24,000</b>		<b>\$48,000</b>
<b>TOTAL</b>	<b>3.0</b>	<b>\$242,360</b>	<b>6.0</b>	<b>\$484,719</b>
<b>ROUNDING</b>		\$242,000		\$485,000
<b>TOTAL FUNDING</b>		<b>\$242,000</b>		<b>\$485,000</b>
<b>General Fund</b>		<b>\$129,000</b>		<b>\$259,000</b>
General Fund Match		113,000		226,000
General Fund Other		16,000		33,000
<b>Reimbursements</b>		<b>\$113,000</b>		<b>\$226,000</b>
Medi-Cal Reimbursements		113,000		226,000

## Program Update Staffing Adjustments

### DESCRIPTION:

Staffing includes personal services and operating expenses for level-of-care (LOC) and non-level-of-care (NLOC) facility staff.

### KEY DATA/ASSUMPTIONS:

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
• Population data is from the Client Development Evaluation Report.		
Developmental Center (DC) Average Population	2,902	2,634
Leave Beds	-25	-24
Net In-Center Population	2,877	2,610
Change from 2007-08 November Estimate:		
CY: 43 consumers		
BY: 21 consumers		

### METHODOLOGY:

#### STAFFING

#### PERSONAL SERVICES:

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
• Salaries and Wages (LOC and NLOC)	\$4,363,000	-\$753,000
Total Estimated Number of Positions:		
CY 2006-07	7,798.1	
BY 2007-08	7,377.6	
See Attachment A-1 and B-1 for LOC staffing detail.		
See Attachment A-2 and B-2 for NLOC staffing detail.		
• Staff Benefits	1,452,000	-268,000
<b>TOTAL PERSONAL SERVICES</b>	<b>\$5,815,000</b>	<b>-\$1,021,000</b>
<b>OPERATING EXPENSE &amp; EQUIPMENT:</b>		
See Attachment A-1 and B-1 for detail.		
	<b>\$198,000</b>	<b>-\$110,000</b>
<b>MAY REVISION ESTIMATE REQUEST:</b>	<b>\$6,013,000</b>	<b>-\$1,131,000</b>

### FUNDING:

The funding for staff expenditures is based on the estimated Medi-Cal eligibility of the residents in each facility. Federal Financial Participation is estimated using the federal medical assistance percentage rate provided by the Department of Health Services (50% General Fund and 50% Reimbursements for 2006-07 and 2007-08).

### REASON FOR CHANGE:

The change in expenditures in the current year and budget year is based on updated population data.

**Program Update**  
**Staffing Adjustments (Continued)**

<b>TOTAL EXPENDITURES:</b>	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$6,013,000</b>	<b>-\$1,131,000</b>
<b>General Fund Total</b>	<b>\$3,208,000</b>	<b>-\$804,000</b>
<i>General Fund Match</i>	<i>2,805,000</i>	<i>-327,000</i>
<i>General Fund Other</i>	<i>403,000</i>	<i>-477,000</i>
<b>Reimbursements Total</b>	<b>\$2,805,000</b>	<b>-\$327,000</b>
<i>Medi-Cal Reimbursements</i>	<i>2,805,000</i>	<i>-327,000</i>
 <b>TOTAL POSITIONS</b>	 <b>66.0</b>	 <b>-38.5</b>

Attachment A-1

**POPULATION ADJUSTMENTS  
LEVEL-OF- CARE STAFFING**

**CURRENT YEAR 2006-07**

	<b>2007-08 November Estimate</b>	<b>2007-08 May Revision</b>	<b>Net Change</b>	<b>Fiscal Impact</b>	<b>003</b>	<b>004</b>
<b>PROFESSIONAL:</b>						
Medical	49.0	50.0	1.0	\$118,356	\$118,356	\$0
Psychology	103.0	105.0	2.0	166,008	166,008	0
Social Work	80.0	81.0	1.0	59,808	59,808	0
Rehab Therapy	108.0	110.0	2.0	110,808	110,808	0
Education	137.0	139.0	2.0	159,480	159,480	0
<b>Subtotal, Professional</b>	<b>477.0</b>	<b>485.0</b>	<b>8.0</b>	<b>\$614,460</b>	<b>\$614,460</b>	<b>\$0</b>
<b>NURSING:</b>	2,944.0	2,984.0	40.0	2,608,800	2,608,800	0
<b>Subtotal : LOC Permanent</b>	<b>3,421.0</b>	<b>3,469.0</b>	<b>48.0</b>	<b>\$3,223,260</b>	<b>\$3,223,260</b>	<b>\$0</b>
<b>TEMPORARY HELP</b>						
Physical Therapy (PT)/Occupational Therapy (OT)				\$104,529	\$104,529	\$0
Speech Therapy (ST)				23,973	23,973	0
<b>Subtotal, Temporary Help</b>				<b>\$128,502</b>	<b>\$128,502</b>	<b>\$0</b>
<b>Subtotal, Salaries and Wages</b>				<b>\$3,351,762</b>	<b>\$3,351,762</b>	<b>\$0</b>
<b>STAFF BENEFITS</b>						
OASDI/Medicare				\$243,003	\$243,003	\$0
Health				332,636	332,636	0
Retirement				545,545	545,545	0
<b>Subtotal, Staff Benefits</b>				<b>1,121,184</b>	<b>1,121,184</b>	<b>0</b>
<b>TOTAL, PERSONAL SERVICES</b>				<b>\$4,472,946</b>	<b>\$4,472,946</b>	<b>\$0</b>
<b>OPERATING EXPENSE &amp; EQUIPMENT</b>						
OT/PT Contract Services				\$0	\$0	\$0
ST Contract Services				35,557	35,557	0
Foodstuffs \$1,829				78,647	78,647	0
Drugs, Lab & Supply \$1,371				58,953	58,953	0
Clothing \$586				25,198	25,198	0
<b>TOTAL, OPERATING EXPENSE &amp; EQUIPMENT</b>				<b>\$198,355</b>	<b>\$198,355</b>	<b>\$0</b>
<b>TOTAL REQUEST</b>				<b>\$4,671,301</b>	<b>\$4,671,301</b>	<b>\$0</b>
<b>ROUNDING</b>				<b>\$4,671,000</b>	<b>\$4,671,000</b>	<b>\$0</b>
<b>TOTAL FUNDING</b>				<b>\$4,671,000</b>	<b>\$4,671,000</b>	<b>\$0</b>
<b>General Fund</b>				<b>\$2,492,000</b>	<b>\$2,492,000</b>	<b>\$0</b>
General Fund Match				2,179,000	2,179,000	0
General Fund Other				313,000	313,000	0
<b>Reimbursements</b>				<b>\$2,179,000</b>	<b>\$2,179,000</b>	<b>\$0</b>
Medi-Cal Reimbursements				2,179,000	2,179,000	0

**Attachment A-2**

**POPULATION ADJUSTMENTS  
NON-LEVEL-OF-CARE STAFFING**

**CURRENT YEAR 2006-07**

Net Position Change	Fiscal Impact
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**ADMINISTRATION**

Health Records Technician II	2.0	\$71,868
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**CLINICAL SERVICES**

Health Services Specialist	3.0	232,668
Psychiatric Technician (Escort)	6.0	317,880
Psychiatric Technician (Active Treatment)	4.0	211,920
Individual Program Coordinator	2.0	101,712
Mini Data Set Coord. (RN)	1.0	74,808

<b>Subtotal, Salaries and Wages</b>	<b>18.0</b>	<b>\$1,010,856</b>
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**STAFF BENEFITS**

OASDI/Medicare		\$73,287
Health		103,099
Retirement		154,682

<b>Subtotal, Staff Benefits</b>		<b>\$331,068</b>
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<b>TOTAL, PERSONAL SERVICES</b>	<b>18.0</b>	<b>\$1,341,924</b>
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<b>ROUNDING</b>		<b>\$1,342,000</b>
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<b>TOTAL FUNDING</b>		<b>\$1,342,000</b>
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<b>General Fund</b>		<b>\$716,000</b>
General Fund Match		626,000
General Fund Other		90,000

<b>Reimbursements</b>		<b>\$626,000</b>
Medi-Cal Reimbursements		626,000

Attachment B-1

**POPULATION ADJUSTMENTS  
LEVEL-OF- CARE STAFFING**

**BUDGET YEAR 2007-08**

	2007-08 November Estimate	2007-08 May Revision	Net Change	Fiscal Impact		
	2,589	2,610	21		003	004
<b>PROFESSIONAL:</b>						
Medical	44.0	45.0	1.0	\$118,356	\$118,356	\$0
Psychology	96.0	97.0	1.0	83,004	83,004	0
Social Work	75.0	75.0	0.0	7,136	7,136	0
Rehab Therapy	100.0	100.0	0.0	10,840	10,840	0
Education	129.0	129.0	0.0	30,802	0	30,802
<b>Subtotal, Professional</b>	<b>444.0</b>	<b>446.0</b>	<b>2.0</b>	<b>\$250,139</b>	<b>\$219,336</b>	<b>\$30,802</b>
<b>NURSING:</b>	2,709.0	2,734.0	25.0	1,585,284	1,585,284	0
<b>Subtotal : LOC Permanent</b>	<b>3,153.0</b>	<b>3,180.0</b>	<b>27.0</b>	<b>\$1,835,422</b>	<b>\$1,804,620</b>	<b>\$30,802</b>
<b>TEMPORARY HELP</b>						
Physical Therapy (PT)/Occupational Therapy (OT)				\$133,710	\$133,710	\$0
Speech Therapy (ST)				34,148	0	34,148
<b>Subtotal, Temporary Help</b>				<b>\$167,858</b>	<b>\$133,710</b>	<b>\$34,148</b>
<b>Subtotal, Salaries and Wages</b>				<b>\$2,003,280</b>	<b>\$1,938,330</b>	<b>\$64,950</b>
<b>STAFF BENEFITS</b>						
OASDI/Medicare				\$159,406	\$153,719	\$5,687
Health				220,509	194,892	25,617
Retirement				353,785	316,151	37,634
<b>Subtotal, Staff Benefits</b>				<b>733,700</b>	<b>664,762</b>	<b>68,938</b>
<b>TOTAL, PERSONAL SERVICES</b>				<b>\$2,736,980</b>	<b>\$2,603,092</b>	<b>\$133,888</b>
<b>OPERATING EXPENSE &amp; EQUIPMENT</b>						
OT/PT Contract Services				-\$164,170	-\$164,170	\$0
ST Contract Services				-24,850	0	-24,850
Foodstuffs	\$1,829			38,409	38,409	0
Drugs, Lab & Supply	\$1,371			28,791	28,791	0
Clothing	\$586			12,306	12,306	0
<b>TOTAL, OPERATING EXPENSE &amp; EQUIPMENT</b>				<b>-\$109,514</b>	<b>-\$84,664</b>	<b>-\$24,850</b>
<b>TOTAL REQUEST</b>				<b>\$2,627,466</b>	<b>\$2,518,428</b>	<b>\$109,038</b>
<b>ROUNDING</b>				<b>\$2,627,000</b>	<b>\$2,518,000</b>	<b>\$109,000</b>
<b>TOTAL FUNDING</b>				<b>\$2,627,000</b>	<b>\$2,518,000</b>	<b>\$109,000</b>
<b>General Fund</b>				<b>\$1,191,000</b>	<b>\$1,138,000</b>	<b>\$53,000</b>
General Fund Match				1,436,000	1,380,000	56,000
General Fund Other				-245,000	-242,000	-3,000
<b>Reimbursements</b>				<b>\$1,436,000</b>	<b>\$1,380,000</b>	<b>\$56,000</b>
Medi-Cal Reimbursements				1,436,000	1,380,000	56,000

**Attachment B-2**

**POPULATION ADJUSTMENTS  
NON-LEVEL-OF-CARE STAFFING**

**BUDGET YEAR 2007-08**

Net Position Change	Net Change in Costs
---------------------------	---------------------------

**ADMINISTRATION**

Health Records Technician II	1.0	\$35,934
Personnel Specialist	-1.0	-38,694

**CLINICAL SERVICES**

Program Director (Developmental Disabilities)	-1.0	-86,196
Program Assistant (Developmental Disabilities)	-1.0	-78,828
Nursing Coordinator	-1.0	-88,236
Residence Manager (Unit Supervisor)	-6.0	-408,384
Shift Supervisor	-22.0	-1,279,905
Health Services Specialist	3.0	222,414
Office Technician	-2.0	-62,640
Barber Shop Manager	1.0	34,194
Pharmacist I	1.0	77,676
Registered Nurse	1.0	70,464
Psychiatric Technician (Active Treatment)	-7.0	-307,692
Psychiatric Technician (Escort)	-2.0	-89,388
Clinical Laboratory Technologist	-1.0	-51,630

**SUPPORT SERVICES**

General Services Administrator I	1.0	49,134
Facility Environmental Audit Technician	1.0	32,214
Materials and Stores Specialist	1.0	36,540
Food Service Supervisor I	-2.0	-72,594
Food Service Technician II	-32.0	-880,128
Office Technician (Typing)	2.0	62,640
Automotive Equipment Operator I	1.0	37,074
Seamer	-1.0	-30,681
Laundry Worker	-1.0	-29,562

**PLANT OPERATIONS**

Chief of Plant Operation I	1.0	61,632
Energy Resources Specialist I	0.5	28,167

**Subtotal, Salaries and Wages**

**-65.5      -\$2,756,475**

**STAFF BENEFITS**

OASDI/Medicare		-\$200,678
Health		-349,792
Retirement		-450,791

**Subtotal, Staff Benefits**

**-\$1,001,261**

**TOTAL, PERSONAL SERVICES**

**-65.5      -\$3,757,736**

**ROUNDING**

**-\$3,758,000**

**Attachment B-2**

**POPULATION ADJUSTMENTS  
NON-LEVEL-OF-CARE STAFFING**

**BUDGET YEAR 2007-08 (Continued)**

<b>TOTAL FUNDING</b>	<b>-\$3,758,000</b>
<b>General Fund</b>	<b>-\$1,995,000</b>
<i>General Fund Match</i>	<i>-1,763,000</i>
<i>General Fund Other</i>	<i>-232,000</i>
<b>Reimbursements</b>	<b>-\$1,763,000</b>
<i>Medi-Cal Reimbursements</i>	<i>-1,763,000</i>

**Program Update**  
**PIA Laundry Contract – Rate Increase**

**DESCRIPTION:**

The Department of Corrections and Rehabilitation has increased rates for all laundry service contracts currently being performed by the Prison Industry Authority (PIA). The increases include rate changes for the price per pound of laundry type and transportation for the five developmental centers.

**ASSUMPTIONS/METHODOLOGY:**

CY 2006-07      BY 2007-08

The general laundry rate increased from \$0.32 to \$0.34 per pound. The personal laundry rate increased from \$0.34 to \$0.36 per pound. The average transportation charge per trip for all facilities increased from \$393.00 to \$421.40.

\$0                      \$235,000

- BY Base                      \$3,908,000
- BY Request                 235,000
- BY Total                     \$4,143,000

**TOTAL EXPENDITURES**

\$0                      \$235,000

**FUNDING:**

Funding is based on the facility specific funding mix percentages.

**REASON FOR CHANGE:**

This increase is consistent with the PIA rate increases.

**TOTAL EXPENDITURES:**

CY 2006-07      BY 2007-08

<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>\$235,000</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>\$137,000</b>
<i>General Fund Match</i>	<i>0</i>	<i>98,000</i>
<i>General Fund Other</i>		<i>39,000</i>
<b>Reimbursements Total</b>	<b>\$0</b>	<b>\$98,000</b>
<i>Medi-Cal Reimbursements</i>	<i>0</i>	<i>98,000</i>

**Program Update**  
**Intermediate Care Facility - Developmentally Disabled**  
**Quality Assurance Fee**

**DESCRIPTION:**

The Department of Health Services began assessing a 6 percent Intermediate Care Facility (ICF) - Developmentally Disabled (DD) Quality Assurance (QA) fee effective July 1, 2003. The fee is applicable to all the ICF/DD programs in the developmental centers (DC) and community facilities (CF). This assessment fee is assessed on all ICF/DD eligible beds within the DCs/CFs. Based on current year 2006-07 eligible beds and a rate reduction from 6 percent to 5.5 percent effective January 1, 2008, the ICF/DD-QA fee assessment for budget year 2007-08 is \$28,952,000.

**ASSUMPTIONS/METHODOLOGY:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
Based on current year 2006-07 eligible beds, the ICF/DD-QA assessment will increase from \$29,726,000 to \$30,211,000.	\$0	-\$774,000
<ul style="list-style-type: none"> <li>• BY Base \$29,726,000</li> <li>BY Request <u>-774,000</u></li> <li>BY Total <u>\$28,952,000</u></li> </ul>		
<b>TOTAL EXPENDITURES</b>	<b>\$0</b>	<b>-\$774,000</b>

**FUNDING:**

Funding is based on the systemwide funding mix percentage of 58.35% General Fund and 41.66%

**REASON FOR CHANGE:**

This increase is based on an increase in eligible ICF/DD beds.

**TOTAL EXPENDITURES:**

	<u>CY 2006-07</u>	<u>BY 2007-08</u>
<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>-\$774,000</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>-\$452,000</b>
<i>General Fund Match</i>	<i>0</i>	<i>-322,000</i>
<i>General Fund Other</i>		<i>-130,000</i>
<b>Reimbursements Total</b>	<b>\$0</b>	<b>-\$322,000</b>
<i>Medi-Cal Reimbursements</i>	<i>0</i>	<i>-322,000</i>

**Program Update**  
**Agnews Closure Plan:**  
**Staff Costs for Closure Plan**

**DESCRIPTION:**

Agnews Developmental Center staff are needed to provide staff transition, staff training, staff escort of consumers to various placements, etc., to complete closure activities.

**ASSUMPTIONS/METHODOLOGY:**

CY 2006-07      BY 2007-08

Costs related to staff buy-out costs related to the closure of Agnews Developmental Center were originally estimated to be General Fund only. Based on updated information the costs are Title XIX allowable costs.

\$0                      \$0

- BY Base                      \$4,918,000
- BY Request                      \$0
- BY Total                      \$4,918,000

**TOTAL EXPENDITURES**

\$0                      \$0

**FUNDING:**

Funding is based on the Agnews facility specific funding mix percentage of 53.35% General Fund and 46.65% Reimbursements.

**REASON FOR CHANGE:**

The fund shift is consistent Title XIX allowable costs.

**TOTAL EXPENDITURES:**

CY 2006-07      BY 2007-08

<b>TOTAL REQUEST</b>	<b>\$0</b>	<b>\$0</b>
<b>General Fund Total</b>	<b>\$0</b>	<b>-\$2,001,000</b>
<i>General Fund Match</i>	<i>0</i>	<i>2,001,000</i>
<i>General Fund Other</i>	<i>0</i>	<i>-4,002,000</i>
<b>Reimbursements Total</b>	<b>\$0</b>	<b>\$2,001,000</b>
<i>Medi-Cal Reimbursements</i>	<i>0</i>	<i>2,001,000</i>

## Fund Sources

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## General Fund

### DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible consumers (these funds are referred to as "General Fund Match"), and 2) to pay for non-Medi-Cal eligible resources/services for consumers in the five developmental centers (DCs) and two state operated community facilities (SOCFs). These funds are referred to as "General Fund Other".

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

#### General Fund:

The DCs'/SOCFs' General Fund appropriation consists of two components: (1) General Fund Match and (2) General Fund Other. The detail of these two components follows:

- General Fund Match \$320,308,000 \$315,359,000

This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Services (DHS). These Reimbursements are originally funded by the federal government and passed through DHS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHS.

They are as follows:

General Fund Match to FMAP	<u>CY 2006-07</u>	<u>BY 2007-08</u>		
Non-Proposition 98	50.00%	50.00%	316,628,000	312,005,000
Proposition 98	50.00%	50.00%	3,489,000	3,166,000
HIPAA	50.00%	50.00%	191,000	188,000

- General Fund Other \$85,983,000 \$76,159,000

This funding is for services provided to the DC/SOCF consumers that are not eligible for funding by other means, i.e., federal or other reimbursements, Lottery Education Fund and federal funds.

### TOTAL GENERAL FUND

**\$406,291,000**

**\$391,518,000**

## Title XIX Medi-Cal/Other Reimbursements

### DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible consumers in the five developmental centers and two state operated community facilities (DCs/SOCFs). These funds require a General Fund match at the FMAP rate. Other Reimbursements are funds received for services provided to the DCs/SOCFs residents that have no General Fund match requirements.

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

#### Reimbursements:

The Developmental Centers' appropriation for reimbursements consists of two components: (1) Title XIX Medi-Cal and (2) Other Reimbursements. The detail of these two components follows:

- Title XIX/Medi-Cal \$320,308,000 \$315,359,000

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DCs/SOCFs system via the Department of Health Services (DHS) (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) as provided by DHS.

They are as follows:

FMAP	<u>CY 2006-07</u>	<u>BY 2007-08</u>		
Non-Proposition 98	50.00%	50.00%	316,628,000	312,005,000
Proposition 98	50.00%	50.00%	3,489,000	3,166,000
HIPAA	50.00%	50.00%	191,000	188,000

- Other Reimbursements \$6,733,000 \$12,295,000

These costs consist of miscellaneous reimbursements for such services as the Community Industries Contract, Rental Income Contracts, and State Employees in the Community.

### TOTAL REIMBURSEMENTS

**\$327,041,000**

**\$327,654,000**

## Federal Funds

### DESCRIPTION:

The Federal Foster Grandparent Program Grant provides funds to establish person-to-person relationships between low income seniors, 60 years of age and older, and children with developmental disabilities and/or mental health issues.

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

• **Foster Grandparent Program Grant**

\$620,000

\$620,000

The Foster Grandparent Program grant is used for specified operational costs, such as stipends and educational supplies in the five developmental centers: Agnews, Fairview, Lanterman, Porterville, and Sonoma. This Grant also includes pass-through funding to the Department of Mental Health for services provided at Metropolitan State Hospital.

### TOTAL FEDERAL FUNDS

**\$620,000**

**\$620,000**

## Lottery Education Fund

### DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

### ASSUMPTIONS/METHODOLOGY:

CY 2006-07

BY 2007-08

#### Lottery Education Fund:

\$489,000

\$489,000

- The Lottery Education Fund is used for specified educational costs such as training programs to establish curriculum as well as to support special needs and equipment costs in the five developmental centers (DC) (Agnews, Fairview, Lanterman, Porterville, and Sonoma) and two state-operated community facilities (SOCF) (Canyon Springs and Sierra Vista). Funds received are based on the DC and CF consumer average daily attendance.
- Effective 2005-06, the Lottery Education Fund appropriation is no longer an item in the Budget Act. The fund is now considered a Governmental Cost Fund but will continue to fund educational costs in the DC/SOCF system.

### TOTAL LOTTERY EDUCATION FUND

\$489,000

\$489,000

# **Report on the Plan for Closing Agnews Developmental Center**



**May 2007**

**California Health and Human Services Agency  
Department of Developmental Services**



**“Building Partnerships, Supporting Choices”**

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For a copy of this update or other information, please refer to  
[www.dds.ca.gov/AgnewsClosure](http://www.dds.ca.gov/AgnewsClosure)

## **Executive Summary**

This report provides a comprehensive update on the status of the Plan for Closing Agnews Developmental Center (Agnews Closure Plan). This is the fourth statutorily required update and covers progress from December 1, 2006, through March 31, 2007. The prior reports provided updates on progress from July 1, 2004, through November 30, 2006, and are available at [www.dds.ca.gov/AgnewsClosure](http://www.dds.ca.gov/AgnewsClosure).

## **Background**

The Lanterman Developmental Disabilities Services Act promotes the provision of services in the least restrictive environment and emphasizes community settings as the preferred living option for most consumers. The maturation and expansion of the community system has significantly increased its capacity to serve persons with complex support needs and reduced the state's reliance on state-operated facilities. The total developmental center population declined from a high of 13,355 individuals in 1968 to the census of 2,848 individuals on March 31, 2007.

Over the years, declining populations resulted in the state closing five facilities. Three facilities (Modesto, DeWitt and Mendocino State Hospitals) were closed in the early 1970s. The Coffelt Settlement Agreement, that was reached in January 1994, supported the creation of new community living arrangements, the development of new assessment and individual service planning procedures and the implementation of quality assurance systems. Its implementation resulted in a reduction of the developmental center population by more than 2,320 persons between 1993 and 1998, resulting in the closure of two additional state facilities, Stockton Developmental Center and Camarillo State Hospital and Developmental Center.

The development of community services as an alternative to institutional care in California mirrors national trends that support the development of integrated services and the reduced reliance on state institutions. There has been a reduction in the national population of large state facilities of almost 70 percent in the last 25 years. Most states, including California, have reduced the population of their state facilities by over half since 1990. On average, ten large state facilities are closed each year across the nation. Seven states no longer operate state institutions. In *Olmstead, Commissioner, Georgia Department of Human Resources, et al. vs. L. C., by Zimring, Guardian ad Litem and next friend, et al.* (1999), 527 U.S. 581, the United States Supreme Court decision stated that services should be provided in community settings when treatment professionals have determined that community placement is appropriate, when the individual does not object to community placement, and when the placement can reasonably be accommodated considering the resources available to the state and the needs of others with disabilities. After the decision, the federal Department of Health and Human Services, Centers for Medicare and Medicaid Services (CMS), issued recommendations to the states to prepare comprehensive Olmstead plans to decrease dependency on institutional services.

The Department of Developmental Services (DDS) sponsored two initiatives that included a wide range of stakeholders to assist it in establishing an agenda for the future. The "Options to Meet the Needs of Consumers in Developmental Centers" study

was completed in June, 2002. It affirmed the state's commitment to expand and strengthen the community service delivery system and to continue to be the "provider of last resort". The report reflected a consensus on the need for improving access to services, developing special needs housing, retaining the expertise of state staff and planning on a regional basis that begins with the individual. The second effort, began in 1998, resulted in the release of the "Draft Service Delivery Reform Report" in May, 2001. It articulated a value base that included individual choice, community inclusion, family unity and assuring access to medical, dental and mental health services. DDS also supported the development of a quality enhancement process based on the principles of quality improvement rather than an inspection model. These efforts were integrated into the DDS' Strategic Plan and became the foundation of the Agnews closure planning process.

The plan to close Agnews Developmental Center (Agnews) was developed over a three-year period, formally submitted to the Legislature in January 2005, and approved as part of the Budget Act for Fiscal Year 2005 – 2006. Enabling legislation to support the implementation of the critical elements of the plan has been enacted. This legislation supported the development of permanent housing through the Bay Area Housing Plan (BAHP), established a pilot of community care licensed homes to serve adults with special health care needs in the Bay Area, and authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community homes. The foundation of the Agnews Closure Plan is the development of sufficient community capacity to support the transition of Agnews' consumers into communities in proximity to their families. New service and support options are being created that provide meaningful choices for each person and that are designed to provide a stable home and service system upon which people can depend. The Administration extended the Agnews closure date from June 30, 2007, to June 30, 2008, to assure that all necessary resources would be in place.

## **Resource Development**

The acquisition and development of housing is a critical element in the implementation of the Agnews Closure Plan. Approximately 80 percent of the current Agnews' residents will move into special needs homes. A total of 62 BAHP homes are planned. As of April 4, 2007, 42 properties have been acquired or are under contract. BAHP funds are being used to purchase Senate Bill (SB) 962 homes, which provide for persons with specialized health care needs. The Family Teaching Home and Specialized Residential Homes will serve persons with behavioral challenges or other specialized needs. With the housing market softening, the acquisition of property is on track, but the construction has been delayed as a result of the complexity of dealing with local zoning and permitting processes. Properties are located in 15 separate jurisdictions with different permitting processes and timelines. Efforts are under way to expedite the permitting process by engaging local officials early in the design phase. Bay Area regional centers have also identified organizations that will be responsible for providing residential and support services required for the implementation of the Agnews Closure Plan. These providers are in the process of developing and implementing a service strategy that includes program design, staff recruitment and development, and review of the needs of consumers whom they may serve. For those living arrangements that require licensure,

providers are also now engaged in the licensing process. The first SB 962 home was licensed on May 1, 2007, and activities are ongoing to support consumer transitions.

Assembly Bill (AB) 1378 authorizes the DDS to utilize existing Agnews' state employees as part of the Agnews Closure Plan. State employees are serving as direct care staff, providing clinical and other support services, and working with regional centers to support Agnews' residents as they transition into the community. Many residential providers have expressed interest in using Agnews' staff to provide services. We anticipate significant growth in the number of state staff who are providing services in the community as the development of special needs housing accelerates.

Extensive progress has been made in the implementation of the approved closure plan regarding health services. Agnews is supporting the transition of consumers to the community and, when appropriate, assuring continuity of care through the outpatient clinic. DDS has established a partnership with the Department of Health, designated county health plans, and regional centers that will assure ongoing access to a comprehensive array of community medical services. Individualized health transition plans are being implemented to assure that needed services are available prior to the movement of each person to a community setting. Finally, each of the Bay Area regional centers is contracting with a dental coordinator who is completing assessments of each person's dental needs, training community staff in oral health strategies, and identifying appropriate community resources.

### **Agnews' Consumers**

As of March 31, 2007, 246 residents remained at Agnews. One hundred fifteen persons have transitioned into the community since the closure planning process began in July 2004. It is projected that a total of 70 consumers will transition from Agnews into the community in Fiscal Year 2006 – 2007. The reduction in projected placements for Fiscal Year 2006-2007 from 113 to 70 is a result of a three-month delay in the development of special needs housing.

Twenty-seven consumers have moved from Agnews in the current year as of March 31, 2007, and over 40 persons are in various stages of the community living options process and are expected to transition into the community over the next few months. All consumers are expected to move from Agnews by the time of its planned closure in June 2008.

### **Agnews' Employees**

As of March 31, 2007, there were 1003 employees at Agnews. Agnews' personnel continue to demonstrate their commitment to service. The attrition rate for this fiscal year is consistent with last fiscal year at 15 percent. Licensed personnel such as registered nurses and psychiatric technicians, comprise a significant majority of the separations. There has also been an increase in the proportion of administrative and support staff who are separating.

Agnews is maintaining sufficient staff to protect the health and safety of remaining residents and to ensure the ongoing certification of the facility. To help assure the

availability of staff in critical classes where retention is an issue and in support of Agnews' current employees, Training and Development opportunities and out-of-class assignments are being offered when possible. The facility continues to balance the need to promote employee stability and provide opportunities for staff to transition to the community-based developmental services system. It is anticipated that the number of employees who accept community-based assignments will significantly increase with the increased availability of special needs housing. Residential providers have expressed a strong interest in providing employment opportunities to Agnews' staff.

## **Purpose of the Report and Background**

### **Purpose of the Report**

This report on the Plan for Closing Agnews Developmental Center (Agnews Closure Plan) is submitted pursuant to the Budget Act of 2006 (Assembly Bill (AB) 1801, Chapter 47, Statutes of 2006). Provisions of the Act include the following:

“The State Department of Developmental Services shall provide the fiscal and policy committees of the Legislature with a comprehensive status update on the Agnews Plan, on January 10, 2007, and May 15, 2007, which will include at a minimum all of the following:

- (a) A description and progress report on all pertinent aspects of the community-based resources development;
- (b) An aggregate update on the consumers living at Agnews and consumers who have been transitioned to other living arrangements;
- (c) An update to the major implementation steps and timelines;
- (d) A comprehensive update to the fiscal analysis as provided in the original plan; and
- (e) An update to the plan regarding Agnews’ employees.”

The report is divided into five sections:

- Progress Report on Resource Development
- Update on Agnews’ Consumers
- Update on Agnews’ Employees
- Major Implementation Steps and Timelines
- Fiscal Update

This report provides a comprehensive update on the status of the Agnews Closure Plan (Plan). This is the fourth statutorily required report and covers progress from December 1, 2006, through March 31, 2007. The prior reports provided updates on progress from July 1, 2004, through November 30, 2006, and are available at [www.dds.ca.gov/AgnewsClosure](http://www.dds.ca.gov/AgnewsClosure).

### **Background**

The Agnews Closure Plan was developed over a three-year period, formally submitted to the Legislature in January 2005, and approved as part of the Budget Act for Fiscal Year 2005 – 2006. The goal of the Agnews Closure Plan is to enhance the community system to support the transition of all consumers into the community. Consistent with the plan, new service and support options are being created aimed at ensuring each consumer’s health and safety throughout the transition and expanding permanent housing capacity in the Bay Area.

In 2004, the Administration began introducing legislation that served as the policy framework for the Plan. In January 2005, AB 2100 (Chapter 831, Statutes 2004),

modified by Senate Bill (SB) 643 (Chapter 551, Statutes of 2005), was effective, allowing the Bay Area regional centers to secure and assure lease payments for residents of Agnews moving into Bay Area Housing Plan (BAHP) homes and added Family Teaching Homes (FTHs) as a new service delivery option. In January 2006, SB 962 (Chapter 558, Statutes of 2005) established a pilot project for the creation of homes licensed by Community Care Licensing (CCL) of the Department of Social Services (DSS) to serve adults with special health care needs in the Bay Area. Additionally, AB 1378 (Chapter 538, Statutes of 2005) authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community living options.

The Agnews Closure Plan projected a closure date of June 30, 2007. However, the Department of Developmental Services (DDS) evaluated the progress in implementing the plan and determined it was not possible to close Agnews by June 30, 2007, but that a June 30, 2008, closure date was more appropriate. Through a Finance Letter dated March 30, 2006, the Administration extended the closure date to June 30, 2008.

## **Progress Report on Resource Development**

### **The Transition to the Community Process**

The 1992 amendments to the Lanterman Developmental Disabilities Services Act, Welfare & Institutions Code (W&I) sections 4500 et seq. (Lanterman Act), require a person-centered approach for determining consumer services and supports including sensitivity to the consumer's choices, lifestyle, and cultural background. The choices of consumers, in consultation with their families and/or authorized representatives, are given the full attention and respect by all planning team members. W&I section 4646 states in part that it is the intent of the Legislature to ensure that the provision of services and supports is centered on the consumer and takes into account needs and preferences as well as promoting community integration to lead independent, productive lives in stable and healthy environments. If there is a question regarding adequate representation of the consumer's interests, a referral to the area board can be made for their consideration of the appointment of an authorized representative pursuant to W&I section 4548 (d).

Agnews, the Bay Area regional centers, the Regional Project of the Bay Area (RPBA), and the DDS remain committed to assuring that each consumer transitioning to a community living option has the appropriate services and supports. The selection of a future living option takes into account each consumer's needs and preferences identified through comprehensive interdisciplinary team (IDT) assessments and input from the consumer and others who are important in the person's life. The participation of the consumer, his/her authorized representative, and/or family in the exploration and selection of, and transition into, a community living option is encouraged and supported to the maximum extent possible. The planning process provides an opportunity for the consumer, his or her family, and the planning team to express questions or concerns so that any issues can be addressed prior to the consumer moving. Preferences for continued friendships and relationships are also noted to enable further consideration and coordination on the part of those individuals identified.

To make an informed decision, the consumer has opportunities to meet potential housemates and service providers and experience the environment of the living option under consideration. Additionally, the ability of the consumer to be supported in the living option is evaluated. To that end, visits are scheduled to the prospective living option which include the consumer and authorized representative and, to the extent that individuals are interested, able, and available, the family and key planning team members. Once it is determined that the identified community living option appears able to serve the consumer well, a transition planning meeting is scheduled to review the consumer's service and support needs to assure he or she can be accommodated in the proposed community living option and, if so, to develop a comprehensive written transition plan identifying how all required services will be provided.

The transition planning process for consumers with significant healthcare needs includes a focus on the integration of necessary medical and health-related supports into the development of the individual's health care plan to ensure continuity of medical services for these individuals. To capture information from physicians serving

individuals moving into homes established by SB 962, the Physician Health Transition Summary has been designed. It provides a summary of the individual's health and medical conditions, and enumerates for the individual's primary care physician any medical/health service and support needs that are critical for the continuity of care for that individual.

The transition planning process for consumers with challenging behaviors includes an assessment of their behavior-related needs and identification of intervention strategies and supports. Agnews and San Andreas Regional Center (SARC) have developed a Community Intervention Response and Training (CIRT) Team to work in concert with Agnews' staff to support consumers with challenging behavior with their transition into community settings. The CIRT Team consists of four psychiatric technicians who have completed specialized training in developing and implementing behavioral interventions. Attached to the team is a psychologist who serves as a clinical supervisor and consultant. Services provided by the CIRT Team include training community staff to assure that they are aware of and can implement the strategies that have supported each consumer prior to placement and being available for consultation regarding any emerging issues.

To assure greater consistency by Agnews' personnel in the planning and transition processes, written guidelines have been prepared by the DDS to share with key stakeholders for finalization. The guidelines include sections on appeal processes and confidentiality for consumers, their family, and other planning team members. Identified supervisory staff at Agnews have been trained as IDT coaches to support all members of the IDT in participating in the development of an individual placement plan (IPP) that aids in the planning and transitioning of each individual to his/her new home.

Agnews and regional centers continue to collaborate on activities focused on team and partnership building. There are monthly meetings for Agnews' social workers and regional center service coordinators to meet and resolve issues. Also, there are monthly meetings held with Unified Plan Coordinators from each regional center and key Agnews and RPBA staff to address and resolve any barriers identified by these parties. Agnews and regional centers continue to work collaboratively with families through a variety of planning activities. Agnews' personnel attend and support regional centers and families at family meetings held to provide families with information on housing development and the Quality Management System (QMS). These meetings provide opportunities for families to meet potential service providers, to become better acquainted with their regional center service coordinator, and provide opportunities to network with and develop a support system with other family members and relatives.

To enhance a smooth and effective transition process, additional training of planning team members will continue to occur throughout the coming year. Training that has occurred to date includes a session held in April 2007, with volunteer advocates currently serving Agnews' consumers as well as representatives from both Area Boards V and VII.

## **Consumer Choices**

The DDS, in collaboration with Agnews' residents, Agnews' personnel, and the Bay Area regional centers, has adapted materials developed by the DDS Consumer Advisory Committee, the "Making My Own Choices" booklet and the "Picture Sticker Book," and produced a ten-minute DVD that depicts four types of living options and samples of community activities. The materials were adapted specifically to support Agnews' residents active participation in the IPP process and transition discussions.

The "Making My Own Choices" material focuses on two areas. The first component "Home", focuses on decisions such as how people want to live, what they want in their home, and maintaining friends and important relationships. The second component "Things I Like to Do", focuses on community activities and how the person wants to spend his or her day.

The Agnews' Choices Team, composed of the DDS Coordinator of Consumer Services and a retired annuitant from Sonoma Developmental Center, started meetings in August 2006, with a goal of interviewing 286 residents. The interviews are scheduled one month prior to the resident's annual IPP meeting so all IPP team members have ample time to receive the information. The Choices Team is currently six months into the project and has interviewed 110 residents. The estimated completion date for the project is September 2007.

The interviews consist of two meetings with each resident of Agnews. The purpose of the first meeting is for the Choices Team to review the resident's last IPP and meet and greet them before the actual interview the following day. The second meeting is normally with the resident, the Choices team, and a staff member from Agnews that knows the person well. In some instances, other people attend the meeting such as parents, family members, volunteer advocates and foster grandparents. This interview is designed to give the resident an opportunity to discuss his or her individualized choices for living options, using the adapted "Making My Own Choices" booklet and DVD. The Choices Team encourages family members to attend these interviews.

## **Resource Development**

### **Bay Area Housing Plan**

AB 2100 was legislation enacted in 2005 – 2006 authorizing the DDS to approve a proposal, or proposals, from the Bay Area regional centers to provide for, secure, and assure the payment of leases for housing for people with developmental disabilities. SB 643 further amended AB 2100 to clarify the regional centers' ability to assure full payment of a housing lease based upon availability of the house for occupancy.

On September 22, 2005, the Department of Finance submitted the BAHP and the expenditure plan to the Joint Legislative Budget Committee (JLBC) for review. The expenditure plan proposed using the \$11.115 million appropriated in the Budget Act of 2004 (SB 1113, Chapter 208, Statutes of 2004) and re-appropriated in the Budget Act of 2005 (4300-491) for the pre-development costs associated with acquisition and development of housing to implement the BAHP. The Budget Act of 2006 authorized the

re-appropriation of the \$11.115 million in funds until June 30, 2010, to liquidate any encumbrances associated with the BAHP Expenditure Plan. On November 8, 2005, the JLBC reviewed and concurred with the BAHP, provided that the DDS submits monthly project status reports to the Legislature. Subsequent to the first property acquisition in June 2006, the DDS began submitting the BAHP progress reports to the Legislature.

On September 8, 2005, the initial \$20 million in bond financing was approved. On January 12, 2006, the California Housing Finance Agency (CalHFA) Board of Directors approved an additional request for \$25 million in bond financing for the BAHP. Then, on November 9, 2006, CalHFA Board approved an additional \$25 million in bond financing. The DDS anticipates additional bond financing will be approved by CalHFA at the May 2007, Board meeting. The BAHP housing funds are used to acquire properties and either renovate or construct SB 962 homes, the FTHs, and the SRHs. The housing developer, the Bay Area regional centers, and the Bay Area non-profit housing development organizations (NPOs) have secured the necessary agreements for bond financing with the CalHFA and construction financing with the Bank of America.

Since January 2006, the housing developer has coordinated with the Bay Area regional centers, the NPOs, and BAHP service providers to clarify the roles and responsibilities of the parties, understand licensing requirements, explain the BAHP documents, and streamline the acquisition and development process. Based on consumer-centered planning underway at Agnews, housing rollout schedules have been coordinated among the parties. The rollout schedules provide projections of housing acquisition, construction and development based on individual program planning, and is adjusted as necessary to reflect real-time influences and changes in circumstances. The NPOs started identifying properties for purchase in March, 2006. In early April 2006, the housing developer trained regional center staff and the NPOs on the housing acquisition and approval process.

The competitive California housing market requires significant commitment by all parties to successfully negotiate contracts that meet the needs of the BAHP. The developer began acquiring property in April 2006, prior to the real estate market decline. Finding single-story four or five-bedroom homes affordable to purchase in the Bay Area presented a challenge. With the market softening, the acquisition of property is on track, but the construction has been delayed as a result of the complexity of dealing with local zoning and permitting processes. Acquisitions are located in 15 separate jurisdictions with different permitting processes and timelines. Efforts are under way to expedite the permitting process by engaging local officials early in the design phase. As of April 4, 2007, 42 properties have been acquired or are under contract.

## **Bay Area Housing Plan**

The following is a description of the BAHP property acquisition, design, and construction process.

### **Acquisition Phase**

#### ***Site Identification***

In January, 2006, each Bay Area regional center began forecasting the number of homes, by housing type, needed to support consumer placement within their

respective catchment areas. The NPOs collaborated with the housing developer to identify properties based on predetermined BAHP site selection criteria. The criteria ensure that homes are located in safe, high-quality neighborhoods, near public transportation, community amenities, emergency medical services and recreational activities. Research conducted during the site identification process determines the concentration, if any, of licensed facilities in the area.

### ***Expedited Initial Proposal***

Once a home has been identified, the housing developer, service provider, NPO and the regional center representative conduct a site visit and determine if the home should be placed under contract. If the determination meets team agreement, a formal request is made to the BAHP Steering Committee to approve placement of the home under contract. If approved, a refundable deposit is wired into escrow, the home is placed under contract for a period of 60 days, and the 25 – 30 day contingency period begins.

### ***Formal Initial Proposal***

During the contingency period, the NPOs coordinate due diligence inspections of the property to verify any existing structural, mechanical or environmental concerns. Further, the NPO works directly with Community Care Licensing (CCL) to ensure that there is no overconcentration of licensed facilities in the area.

### ***Due Diligence Period***

The housing developer, in conjunction with the service provider, the regional center and the NPO generate a scope of work for each property to ensure the property can achieve a set of design principles and guidelines unique to the particular population moving into each housing type. Once generated, the housing developer engages an architect to visit the site and create a proposed space plan that captures the scope of work. Subsequently, the housing developer analyzes the inspection reports, the space plan, the property-specific Room Design Criteria checklist, and estimated budget for the improvements. During the contingency period, a construction inspector representing the lenders visits the property and approves the proposed scope of work or recommends changes to the scope of work. If any property is an existing structure, termite reports, roof inspections, lead and asbestos testing, and building structural inspections are performed. Code compliance analysis is performed. Preliminary title report, Covenants, Conditions, and Restrictions (CCRs), and zoning restrictions are reviewed for consistency with intended use of the property. Additionally, some properties require an Environmental Impact Report to determine the impact to the environment from the project.

### ***Final Proposal***

Prior to the end of the contingency period and before the deposit becomes non-refundable, the housing developer presents a final proposal to the BAHP Steering Committee for review. The final proposal includes a scope of work, budget, site photos, space plan, independent appraisal ordered by the lender and all due diligence reports. Upon approval from the BAHP Steering Committee, the housing developer releases the contingency on the property and closes escrow on the property.

### ***Acquisition Construction Loan Closing***

The acquisition/construction loan closing process for each property involves the coordination by the housing developer of the NPO, the service provider, the regional centers, the title company, and two lenders to execute loan documents. Loan documents include a Deed of Trust, Promissory Note, Conveyance Agreements, standard Long-term Residency Lease Agreement, Lease Assurance Agreement, Regional Center Estoppel, Memorandum of Lease and Environmental Indemnity.

## **Design Phase**

### ***Permit Submission***

The design phase is initially triggered for each property when the home has successfully closed escrow. Upon close of escrow, keys to the property and “bridge documents” including the required scope of work via the Room Design Criteria Checklist, and applicable due diligence reports are delivered to the architect for use in development of as-built base drawings, architect space plans, and eventually construction drawings of the proposed floor plan. The drawings include a narrative description of the floor plan of the home, (i.e. room dimensions, location of heating and air conditioning). This information is used to facilitate discussions with stakeholders to ensure consistency between the Room Design Criteria Checklist and the Scope of Work. The regional center, the service provider, and the NPO review the architect-drawn space plan and provide comments or request changes to be incorporated by the housing developer and the assigned architect.

At close of escrow, a finalized scope of work is prepared by the housing developer and provided to the architect assigned to the home which includes any additional scope of work required by the permanent finance lender. The architect uses the final scope of work to develop construction drawings for the proposed floor plan. The regional center, the service provider, and the NPO review the proposed floor plan and comment on details including location of outlets, storage requirements, and finish selections for the home. The architect incorporates final comments, develops the drawings for the final floor plan, and the housing developer submits applications to the local jurisdiction for all necessary permits (e.g., building permit, sprinkler permit and generator permit).

For the first BAHF properties acquired for improvement, it took approximately 75 days from receipt of the drawings of the existing floor plan to the development of the final floor plan. This was due to the education process of the architects regarding the BAHF program, and lender requirements, as well as the various code requirements for each home type. This advance investment in education has resulted in the architects producing drawings of the final floor plan in as little as 40 days post close of escrow.

### ***Permit Approval***

To date, the properties acquired are located in 15 jurisdictions including Livermore, Union City, Castro Valley, Hayward, Alameda, Campbell, San Mateo, San Bruno, Cupertino, San Jose, Los Gatos, Morgan Hill, South San Francisco, Newark, and Pleasant Hill. Each locale has its own permit process. The housing developer and the 11 architects working on the BAHF project have invested a significant amount of

time with each local jurisdiction to determine their specific requirements, the anticipated turnaround time to receive permit approval, and to educate them on the details of the BAHP.

During the initial research into permitting turnaround, several jurisdictions including San Jose offered over-the-counter permitting. However, the unique additions to each of the homes, such as generators, sprinklers, accessibility ramping and Americans with Disabilities Act bathroom remodels have triggered extensive questions by both building plan reviewers and fire marshals. Many of the municipalities have required numerous code clarifications related to the type of licensed facility that is being proposed. Unfamiliarity with AB 2100 and SB 962 legislation has contributed to the questions generated from each municipality. Additionally, Specialized Residential Homes (SRHs) and SB 962 homes require a sprinkler permit to accompany the building permit. This has created delays due to varying fire marshal interpretations of the appropriate Residential Occupancy classification for both types of homes. Finally, depending on the jurisdiction, the SB 962 homes require a separate generator permit. Each municipality has placed special restrictions on the decibel rating, location and size of the generator for permitting approval. All permits that have been submitted to date have gone through a full review by each municipality. In the case of the early properties, this turnaround for permits took up to 100 days in some jurisdictions, largely due to the need for educating the local jurisdictions as described above. This education is starting to prove itself as turnaround time in permitting is starting to trend down. As of April 4, 2007, the developer has received building permits for ten properties, and 13 additional properties have been submitted for permitting and are in various stages of review. A continued investment in education with the local jurisdictions is anticipated to reduce the permit turnaround time for future BAHP homes.

### ***General Contractor Approval***

The housing developer is currently working with multiple residential general contractors with the strategy of awarding small batches of homes to multiple contractors. This strategy ensures that each general contractor has the capacity to meet the timelines of the project. Using multiple general contractors also minimizes risks associated with performance across the project and helps to provide an incentive to perform in order to be awarded additional projects in the BAHP.

Every general contractor is pre-qualified by the housing developer prior to the general contractors visiting the BAHP property to evaluate for bidding. Once all bids are received from potential general contractors for a particular property, and prior to the housing developer awarding a contract, the housing developer must submit all of the pre-qualification materials to the acquisition and construction lender for approval. The approval of the general contractor is critical for the housing developer to prepare all the construction-related documents for each property including budgets that the BAHP lender and the steering committee must approve prior to commencing construction. This Lender Approval Package includes the submittal of all approved project permits, finalized architectural drawings, the general contractor contract, the architect contract, all required sub-consultant contracts, a Consolidated Scope of Work, all Due Diligence reports, the approved Room Design Criteria Checklist, the finalized construction budget and any documents supporting lender requirements.

As the housing developer refines the Request for Proposal process with all future general contractors, the lender review and approval of the general contractors will be streamlined. A template for the general contractor contract, the Schedule of Values, the general contractor Construction Schedule and all proof of capability documents have been approved by the lenders to ensure a more streamlined approval.

## **Construction Phase**

### ***Lender Approval Package***

The Lender Approval Package (Pre-Hard Cost Package) requires approval by both lenders and the Steering Committee prior to starting construction on a home. The housing developer has submitted and received approval for three lender packages, with six additional packages submitted the end of March.

In summary, the BAHP is a complex project with significant details to manage. Process development, with continued modifications as circumstances dictate for each project phase is critical to management of the BAHP. At each step, the BAHP participants have worked collaboratively to increase acquisition and development efficiencies. The housing developer anticipates this pattern will continue through completion of the project.

### ***Schedule Update***

A total of 62 BAHP homes are planned for development. In the January 2007 report, the DDS projected that 20 homes would receive certificates of occupancy by mid-May 2007. Because of the complex nature of the BAHP and the permitting process taking longer than expected, several of the homes anticipated to be certified for occupancy in FY 06/07 will be delayed until the beginning of FY 07/08.

The following chart shows the number of BAHP homes that are expected to be licensed or certified by housing type.

<b>Agnews Closure BAHP Homes Developed</b>	
<b>Home Type</b>	<b>Number of BAHP Homes April 4, 2007</b>
	SRH-3 bed
SRH-4 bed	5
962- 4 bed	3
962- 5 bed	20
FTH	9
<b>Total BAHP Homes</b>	<b>62</b>

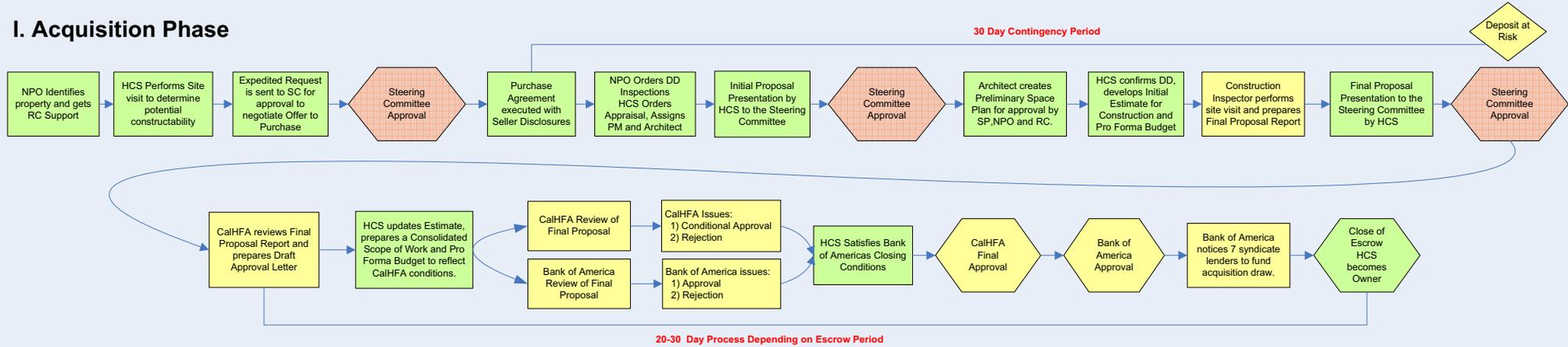
The following page is a detailed flow chart that summarizes the BAHP including the Acquisition, Design, and Construction Phases.

### **Acronyms Used in the Bay Area Housing Plan Chart**

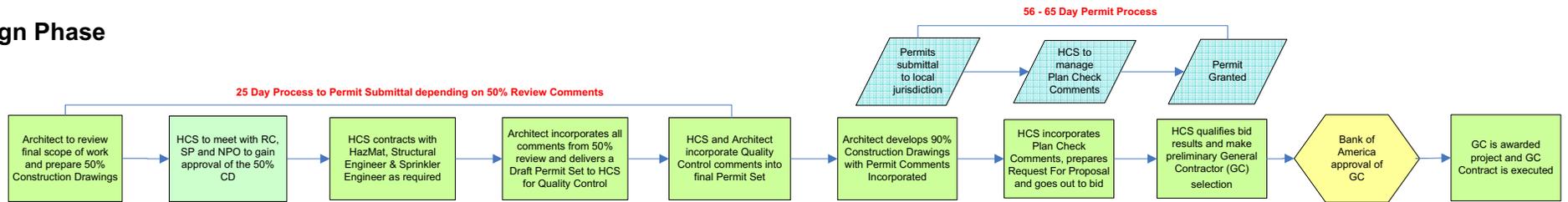
B of A	Bank of America
CalHFA	California Housing Finance Agency
CD	construction drawings
DD	due diligence
GC	general contractor
HCS	Hallmark Community Services
NPO	non-profit housing development organization
PHC	pre-hard costs (lender approval package)
PM	project manager
RC	regional center
SC	steering committee
SP	service provider

# Bay Area Housing Plan

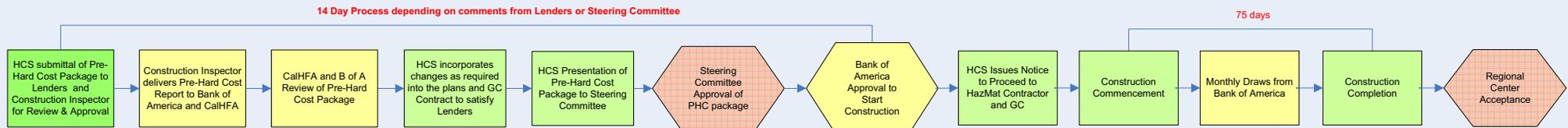
## I. Acquisition Phase



## II. Design Phase



## III. Construction Phase



## **Provider Selection and Licensing Process**

The BAHP regional centers have identified service providers that will be responsible for the provision of residential and support services required for the implementation of the Agnews Closure Plan. These providers are in the process of developing and implementing service delivery systems and a service strategy that includes program design, staff recruitment and development, and review of the needs of consumers they serve. For those living arrangements requiring licensure, providers are also now engaged or preparing for the licensing process.

With the BAHP, a number of public and private organizations with licensing, certification, or other oversight responsibilities have been collaborating for several years to make the process successful and as efficient as possible. Each organization has a specific and important protective role which must not be compromised and yet must be exercised as seamlessly as possible to prevent delays. A key to making this all work has been ongoing meetings where significant issues are discussed and resolved and where each entity understands and observes the milestones that have been established by joint agreement. Working together, the DDS and DSS and the participating regional centers have established a very close working relationship.

## **Service Provider Orientation**

The majority of service providers are known long before the specific site has been identified. Attendance at the CCL orientation session is a prerequisite to filing an application with CCL for any of the BAHP homes that will require a license.

Attendance at the orientation allows the individual service provider, who will ultimately be the licensee, to obtain an application package for starting the licensing process. The orientation provides an overview of the responsibilities of a licensee and the steps involved in the licensing process. This process has been completed for the majority of service providers involved in the BAHP who will pursue a license from the DSS - CCL.

## **“Overconcentration” Safeguards for Purchased Properties**

At the time any site is considered for purchase, a check must be made of the neighborhood area to determine if there are any other CCL licensed facilities nearby that would put the specific home under consideration in violation of the overconcentration prohibitions contained in section 1520.5 of the Health and Safety (H&S) Code. A license cannot be issued if another residential community care facility is within 300 feet unless the local planning authority specifically approves the use.

Within 48 hours of the notification of a potential site, the CCL district office will check their database for all nearby licensed facilities. If any are identified within 2,000 feet of the potential site, the neighborhood will be toured by BAHP representatives and specific measurements made.

Within two weeks of notification of a potential site and before submission of a final proposal for any property, the CCL contact will also have conducted a search of all pending applications for all community care facilities in the neighborhood. This search is done to determine if a situation exists where a license could be issued to an applicant

for a property within 300 feet of the proposed BAHP home, before the BAHP home licensing process is completed. If that were to happen, the BAHP home may be ineligible for a license.

Due to the length of time between purchase of a home and the issuance of a license to a service provider leasing the home, it is necessary for the licensing agency to continually monitor applications to ensure there is no potential overconcentration violation.

### **The Licensing Application Process**

The licensing process cannot be started until a service provider has been identified by the regional center and the type of home they will be operating is known. The home does not need to have been purchased or rehabilitated at this time, but the application requires an address so the proposed home location will have to be known. Once the proposed location and type of home are identified, and the service provider has attended the orientation described above, the CCL local office will accept an application identifying just these elements. This is not a completed application, but acts as a place holder for the overconcentration tracking process described above.

### **Identification of Administrator**

To complete the licensing application, the licensee must identify a qualified administrator prior to attending component 2 of the application process. Component 2 is a face-to-face meeting between the CCL analyst and the home administrator. A qualified administrator is one who meets certain experiential and educational criteria, and who has obtained an Administrator Certification from CCL after having attended a certain number of classroom hours of training.

By the time component 2 occurs, an administrator will need to be hired by the service provider (licensing applicant). The administrator will need to have worked with the licensee on the development of the plan of operation which encompasses the majority of the license application document and which the administrator discusses with the licensing agency at the component 2 meeting.

### **Certification Documents**

For all SB 962 homes, a condition of issuance of the license by CCL is certification by the DDS. It is necessary for the facility program plan submitted to the licensing agency and the facility program plan document submitted to the DDS to be consistent. The licensing process could be delayed if they are not, or if changes are required by the DDS that require modification to the plan of operation already submitted to the licensing agency. For this reason, the two departments have agreed to work together on each document and immediately share them with one another.

### **Notification to Local Planning and Fire Jurisdictions**

The licensing process calls for a 45-day advance notice to the local planning authorities, at which time they are informed about the application and a determination is made as to whether or not the proposed licensed facility is within 300 feet of another community

care facility. Before a license can be issued, the applicant must obtain an approved fire clearance for the type of facility required for the consumers to be served.

Both the notifications to local planning and the requests for a fire inspection must be initiated by the local CCL office. Timing is very important in these notifications and requires continuous coordination between the licensing office, the builder, the regional center and the service provider. Late notice to the fire jurisdiction will delay the issuance of the license. Early notice will mean the home is not ready for inspection.

### **Completion of the Licensing Process**

Once component 2 is completed, the next step in the licensing process is the site visit. This visit must await the completion of any structural changes to the home and the establishment of files and records that will be needed when consumers move in.

For purposes of the BAHP process, the licensing agency and service providers are joined at the site inspection by the regional center representative and representatives of the DDS who are responsible for the program certification. Once the licensing representative has conducted the “walk through”, any remaining questions can be shared with all parties and final adjustments can be made to both the licensing application and the program certification documents.

Component 3 of the application process may also be conducted at the site visit to accelerate the process. Component 3 is a review with the applicant of all ongoing responsibilities.

### **Control of Property**

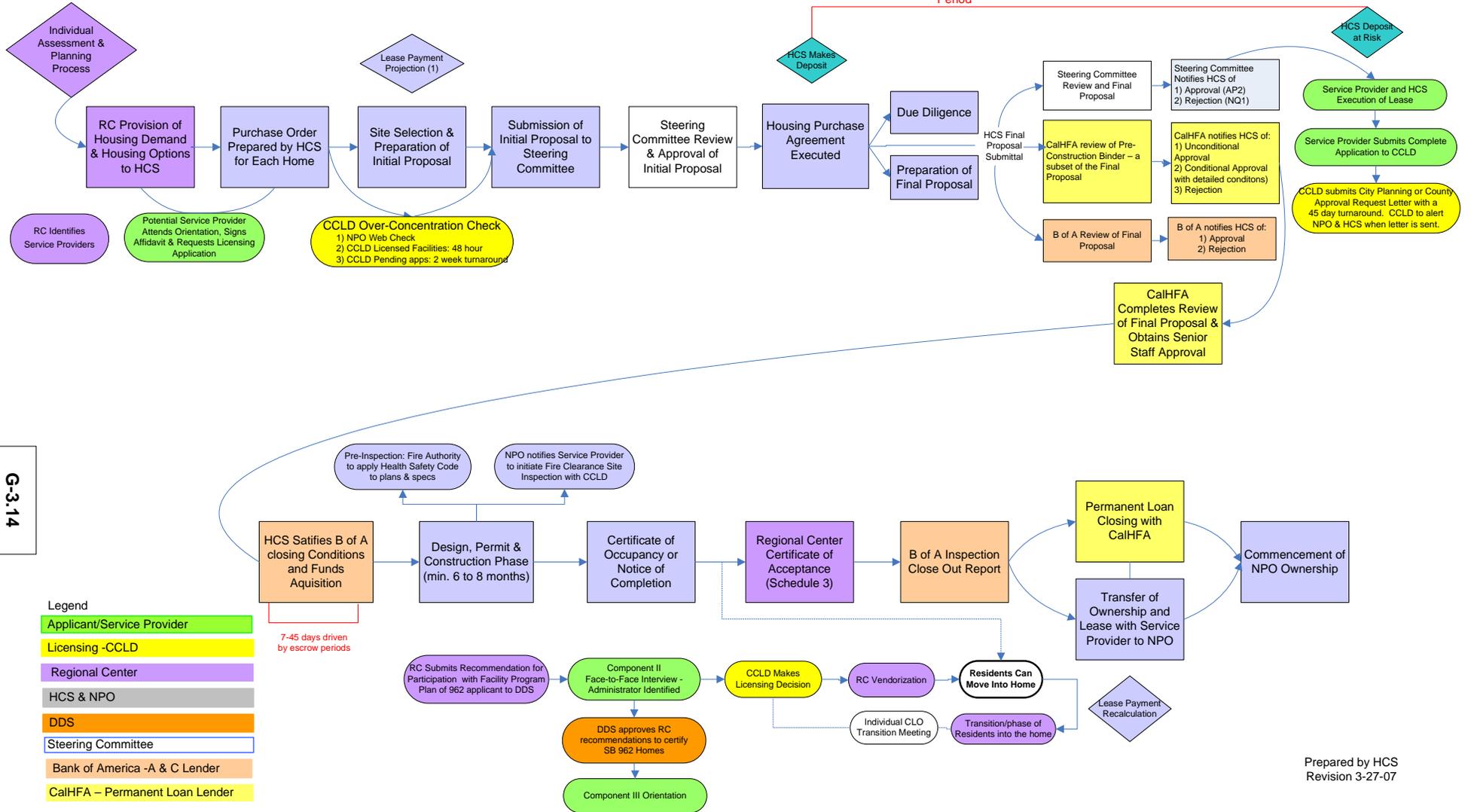
Licensing regulations require that the person or entity licensed demonstrate legal control of the property where the care is provided. This is usually demonstrated by a title deed or lease agreement. Due to the complexities of the BAHP housing acquisition process and the timing of various leases, effective and timely communication is important to ensure that the prospective licensee does indeed have control of the property. This requires coordination between the master builder, the regional center, the service provider, and the licensing agency.

The chart on the following page summarizes the provider licensing process and consumer transition process in the context of the BAHP.

### **Acronyms Used in the Licensing and Consumer Transition for BAHP Housing Chart**

B of A	Bank of America
CalHFA	California Housing Finance Agency
CCLD	Community Care Licensing Division
CLO	community living options
DDS	Department of Developmental Services
HCS	Hallmark Community Services
NPO	non-profit housing development organization
RC	regional center

# Licensing and Consumer Transition for BAHP Housing



Prepared by HCS  
Revision 3-27-07

## **Family Teaching Home and Extended Family Teaching Home Models**

FTHs were added to the Adult Family Home Agency (AFHA) model as part of AB 2100. The FTH model differs from the AFHA in two ways. First, a FTH can serve up to three individuals in a home rather than two. Second, individuals living in a FTH do not share the same home as the family. The teaching family lives in an adjoining home, and typically the home is a duplex. To accommodate the FTH model, the DDS developed FTH regulations that were promulgated on November 7, 2006.

Originally, 18 FTHs were planned for a total of 54 consumers. However, to address the changing needs of consumers, the total number of planned family teaching homes has been reduced to 9. The first four family teaching homes were developed in Cupertino in the SARC area. To date, seven individuals moved from Agnews to these FTH duplexes. There are currently plans to move an additional five Agnews' residents to these same homes before June 30, 2007.

In addition to implementing the FTH, SARC has also implemented the Extended Family Teaching Home (EFTH) model. An EFTH is a service model where the home is owned, leased, or rented by the family. Each EFTH will serve no more than one adult with developmental disabilities in their home where they will receive specialized, highly-supported and well-monitored services. California Community Options, the FTH model service provider, has been actively recruiting individuals, including Agnews' staff to be teachers and providers for the EFTH. These individuals are hired after a stringent screening process. The recruitment is time intensive and involves multiple agencies as well as consumers and their families.

Agnews has had multiple staff orientations to provide information to prospective EFTH families from among Agnews' staff, and more orientations are scheduled. There are many Agnews' staff who have established long-term personal relationships with consumers currently living at Agnews and who are interested in providing ongoing supports in their own homes to these same consumers. The orientation covers a brief synopsis of the program and the attendees of the orientation are given an interest survey. The interest survey requests contact information, inquires why they are interested in the program, if they plan on working an additional job while being an EFTH provider, whether they will be moving or if they will be providing services in their current home, and if they would like to meet with a representative from California Community Options to personally discuss the program. Once potential home providers are identified, they must go through a screening process that includes a general physical, drug screening, tuberculosis testing, and fingerprinting. At present, there are four consumers living in EFTH in the SARC area. One consumer is projected to move into an EFTH in April 2007, and an additional consumer in July 2007. Three consumers are being considered for EFTH placement in Fiscal Year 2007 – 2008.

## **Specialized Residential Homes**

To address the needs of Agnews' residents with behavioral challenges or other specialized needs, the SRHs that serve three or four persons are being developed. The homes are DSS-licensed adult residential facilities that provide augmented staffing and professional services to address the unique needs of the consumer. Consumers living in

the SRHs have unique needs related, but not limited, to the need for a structured environment and consistent positive reinforcement. The SRHs provide 24-hour on-site staff with specialized expertise to meet the unique needs of the individuals they serve. In addition, these homes have the capability for on-site response to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence. These homes provide supervision and direct care support to ensure the health, safety, and well being of consumers with challenging needs.

To address statutory requirements when a majority of consumers in any one SRH turns age 60, the adult SRH will need to be re-licensed as a residential care facility for the elderly (RCFE). To accommodate this transition, all BAHP SRHs will be constructed, or renovated, to meet the physical plant requirements for both the Adult Residential Facility license and the RCFE license.

### **SB 962 Homes**

SB 962 statute authorizes the DSS and the DDS to jointly establish and administer this pilot project for licensing and regulating Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHN) referred to as SB 962 Homes. DDS reported in January 2007 that nine SB 962 homes would be developed for 30 persons during FY 2006 – 2007. Because of the complex nature of the BAHP and the permitting process taking longer than expected (especially sprinkler and generator permits), several of the homes anticipated to be certified for occupancy in FY 06/07 will be delayed until the beginning of FY 07/08.

The first SB 962 home, “St. Francis Home”, has been certified and was licensed on May 1, 2007. The St. Francis Home will serve four consumers from Agnews in the Golden Gate Regional Center (GGRC) catchment area. Staff from Agnews, the RPBA, CCL, the GGRC, Hallmark Community Services, the DDS, and consumers and their families have worked in close collaboration toward the opening and admission of consumers to the St. Francis Home. In the current fiscal year, a second SB 962 home is being developed in the SARC area and will serve five consumers.

To ensure a safe and seamless transition for all consumers transferring from Agnews into the St. Francis Home and all other SB 962 homes, regional center nurses met with RPBA staff and the DDS in February and March, to agree upon the consumer transition process specific to the SB 962 Homes. The Bay Area regional center registered nurses group has been meeting regularly and has developed a standardized tool for their use in documenting consumer progress as evidenced through their SB 962 home visits. These efforts have resulted in the Nurse Record tool for use by the nurses during their monitoring visits to the SB 962 Homes. The Nurse Record tool incorporates the DDS SB 962 Certification Review Protocol elements to ensure compliance with both statutory and regulatory requirements. Two trainings are scheduled in May 2007, to train service providers, Agnews, and regional center staff on the SB 962 transition process.

An interagency agreement has been completed with the University of California (UC), Davis to provide an independent evaluation of the SB 962 pilot project. The UC Davis team includes a physician and registered nurse, and has commenced its evaluation activities.

## **Neighborhood Relations**

The DDS realizes neighbors are interested in the building and rehabilitation activities occurring in their neighborhood and who their new neighbors will be. The level of interest can vary greatly from neighborhood to neighborhood. Over 200,000 persons with developmental disabilities live in community neighborhoods and 52,558 reside in community care, health facilities, or independent or supported living arrangements. In these community homes, service providers are the point of contact for neighbors and arrange for the maintenance and upkeep of the home and property and facilitate community integration for consumers living in the home.

The BAHP funds are being used to purchase SB 962 homes, FTHs, and SRHs. The SB 962 homes and SRH BAHP living arrangements are licensed by DSS, and are exempted by statute from local zoning controls. California H&S Code section 1566.3 requires local entities to treat homes serving six or fewer individuals in the same manner as all other single family dwellings in the same neighborhood. The homes must abide by all applicable CCRs that pertain to other single family dwellings in the neighborhood, including any procurement of building permits, and other locally required permissions that apply to similar homes.

As is the case with other community homes, BAHP service providers will be the point of contact for neighbors and will facilitate community integration for consumers living in the home. Acceptance of persons with developmental disabilities by neighbors and community members is key to inclusion in the community. Toward this end, service providers are encouraged to involve consumers and family members in their outreach to community organizations and neighbors to facilitate inclusion. The DDS and the regional centers have been proactively meeting with state and local officials and keeping them informed of the status of the closure Plan. In situations where an additional state or local response is needed, a team has been established in each of the three regional center catchment areas to provide general information to neighborhood representatives regarding the BAHP and the roles played by the public and non-profit entities involved in the closure. Each team includes representation from the involved regional center, the housing developer, CCL, and the involved NPO that will eventually hold title to the property. The team is available to meet with neighborhood representatives to describe the role of their organization, and the closure Plan.

## **Local Government Relations**

Property rehabilitation work is subject to local building permit and fire clearance processes. In some of the BAHP property purchase areas, local officials are unfamiliar with the Community Care Facilities Act and the distinctions between Community Care Facilities and Health Facilities. This can lead to delays and inaccuracies in local decision-making with respect to construction permits and approvals.

When permitting delays are identified as the result of local government uncertainty about the laws governing the licensing and locating of any BAHP homes, individual contacts have been necessary. It is critical to immediately address any local delays with understandable, factual information.

Proactively, to help prevent delays and misunderstandings, a circular has been developed to provide local building departments with an overview of the BAHP, including several statutory references and definitions regarding the types of housing arrangements that are being developed. It is expected that the overall permit process will become more efficient with the use of this circular and as local areas gain more experience with these new types of programs.

## **Health Services**

Ensuring quality, timely, and accessible health care is foundational to the transition plan for Agnews' residents. There are three significant components to this effort:

1. Assuring that the health needs of each Agnews' resident are assessed and a comprehensive individualized health plan is developed;
2. Providing medical services to support the transition of Agnews' residents to community settings; and
3. Developing and implementing a service strategy that assures access to a comprehensive array of health services after the closure of Agnews.

Agnews, the Bay Area regional centers, and DDS personnel have developed a comprehensive nursing and risk assessment tool that is completed for each Agnews' resident. The nursing and risk assessment tool is comprised of over 60 health-related items including risk conditions, special health care needs and dietary needs. This assessment is included in the Health Transition Plan which states specifically how each health need will be met following transition and the provider of each service.

Agnews is playing a role in the provision of medical services to support the transition of its residents to the community. Medical and professional services will be provided by Agnews to consumers placed in the Bay Area whenever the planning team determines that the service is required to support the transition of its residents to the community. Services may be provided onsite at the Agnews outpatient clinic as identified in the consumer's Health Transition Plan, or may be provided in the person's home, when determined appropriate. Agnews is directly supporting consumer health and dental needs through the outpatient clinic, to provide continuity of services and supplemental support to services that are available in the community. The outpatient clinic was established last year to assist consumers during transition into the community. Agnews will provide primary medical care in the person's home, as appropriate, and assure access to primary medical services at all times. The DDS is committed to continuing the provision of primary medical care and dental services through the clinic beyond the closure of Agnews as long as it is necessary. Additionally, under the authority of AB 1378 regional centers and service providers may contract for use of Agnews' employees in the community to provide clinical and other direct support services to the residents moving from Agnews.

The DDS has developed a long-term strategy to assure the continued availability of medical services after the closure of Agnews. It is working in partnership with the

Department of Health Services, regional centers, and local health plans with a shared goal of enhancing the community health system and promoting continuity of care. The Santa Clara Family Health Plan in the SARC area and the San Mateo Health Plan in the GGRC area have completed the preparation of Medicare Special Needs Plans (SNPs) for federal review that will accommodate Agnews' consumers. The Alameda Alliance for Health in the Regional Center of the East Bay (RCEB) area has submitted its SNP for approval with a January 2008 implementation date. SNPs are specially designed for people with specialized health needs and must provide all Medicare Part A and Part B health care and services as well as Medicare prescription drug coverage, Part D. The plan is to expand this partnership to community medical systems in the near future.

Additionally, the Administration sponsored SB 962 to establish homes referred to as adult residential homes for persons with specialized health care needs. This type of residence is necessary to fill a critical gap in licensure categories for Agnews' residents who have a combination of specialized health care and intensive support needs. This new type of licensed residential care facility will provide for community-based services in the Bay Area for up to 120 current Agnews' residents. The SB 962 requirements include licensed nursing staff on duty 24 hours per day, seven days per week, a visit by a physician no less than once every 60 days, development of an Individual Health Care Plan for each consumer that is updated at least every six months, and at least monthly face-to-face visits with the consumer by a regional center nurse.

## **Oral Health**

Good dental hygiene promotes dental as well as physical wellness. The planning for future oral health needs of each consumer includes gathering data from existing Agnews' records and an oral health screening examination conducted by the Agnews staff dentist. These oral health assessments are used to develop individualized and specific recommendations for each consumer's future dental needs which are integrated into transition planning and used as the basis for referrals to community resources and for ensuring appropriate preventive care. This targeted referral process involves carefully matching the needs of the consumer to the capacities of potential providers. Each consumer will be up-to-date with their dental care services before leaving Agnews and dental services will remain available during the transition period through the Agnews outpatient clinic or other community resources.

Each Bay Area regional center has a dental coordinator and has contracted with the Pacific Center for Special Care at the University of the Pacific School of Dentistry (Pacific). The contracts provide for training and support to assist the regional center dental coordinators to fulfill their goal of ensuring access to dental resources and providers for consumers transitioning from Agnews into community living arrangements. Regional center dental coordinators act as liaison with community oral health professionals. They also provide triage, referral and tracking, conduct individual and community prevention programs, and develop local resources.

Each of the regional centers has collected information about oral health systems present within their geographic area. They have completed a "community-mapping" process to identify community clinics, dental offices, and hospitals that might serve as sources of treatment for Agnews' residents. Dental coordinators are in the process of

following up with the oral health providers in their areas who are known to have accepted referrals in the past or who have been identified as possible sources of care to understand the capabilities and desires of those providers. Dental coordinators offer support for referrals which can allow providers to increase the number of referrals they accept.

Pacific is also collaborating with the regional centers to provide continuing education courses in 2007, for oral health professionals. These courses will be offered at a low tuition rate to increase enrollment. Attendees will be better prepared to treat people with developmental disabilities. Attendee lists will be used for further follow-up by dental coordinators in their resource development efforts. The first training is scheduled for this spring. Finally, Pacific is supporting a major focus to prevent dental disease from occurring in this population. Training resources have also been developed for direct care community staff so that they can support good dental hygiene that will promote dental and physical wellness.

There is a significant need for sedation dentistry services throughout the Bay Area. To address this need, the SARC has established a partnership with Sutter Health and Dominican Hospital that will improve access to sedation dentistry. RCEB and GGRC are working with Pacific to identify similar partnerships for their area.

## **Behavioral Services**

Many of the persons who currently reside, as well as those who have recently resided, at Agnews have behavioral needs. These individuals require a proactive behavioral service that includes training and supports to assist them. The service providers delivering support to these individuals employ specialized staff that are trained to support the behavioral needs of the individuals that they serve. In addition to this, Agnews and SARC have developed a CIRT Team available to all Bay Area regional centers. The CIRT Team, in concert with additional Agnews' staff, provides proactive approaches to support those persons who have behavioral needs with their transition into community settings. In exceptional circumstances it may be necessary to assist in stabilizing the behavior of those who are currently living in a community setting. This support consists of (1) providing training for community staff to assure that they are aware of and can implement the strategies that will support each person prior to placement; (2) following the person into their new home to support the transition plan; (3) being available for consultation regarding emerging issues; and (4) as necessary, providing support during a crisis. Agnews' personnel can serve people with behavioral needs throughout the Bay Area who have transitioned from Agnews.

During normal work hours, CIRT Team requests for service are directed to the Clinical Director. After hours and on weekends and holidays, requests for services are directed to Agnews' Executive Officer of the Day. When a request for service is received, Agnews will assess the need and deploy staff and resources as appropriate. While the CIRT Team has been trained and dedicated for this purpose, other staff knowledgeable of the client through experience and relationship may be deployed. The staff will complete an assessment of the individual's needs, review intervention strategies that have been successful, and work with the community planning team in the development and implementation of training and treatment plans.

Psychiatric services and reviews of medications are available through the Agnews outpatient clinic. Further, each of the regional centers has developed a working relationship with psychiatric resources in the community that are being expanded to accommodate Agnews' residents. SARC, for example, utilizes psychiatric services at Loma Linda University and UC Irvine, through a telemedicine system.

Community behavioral supports will be employed proactively. However, there may be times when a person experiences a significant behavioral episode. Every effort will be made in these circumstances to provide additional staff resources in the person's home to support her/him through this time. If this is not possible, then it may be necessary for the person to temporarily move to a more structured setting. The RCEB has established a partnership with Alameda County and Telecare to provide for this treatment option for the Bay Area regional centers. The DDS will also continue to support the efforts of regional centers to expand partnerships with county mental health departments to improve access to their services to persons with developmental disabilities who also have a mental health need.

### **Bay Area Quality Management System**

A refined model for the QMS was completed and introduced to the Unified Plan Steering Committee, regional center quality assurance staff, advocacy groups, and the Centers for Medicare and Medicaid Services (CMS) Grants Advisory Stakeholder Committee in fall 2006. The Committee included all persons (i.e., consumers, providers, regional center, and DDS staff) that have been, or will be, involved in the Unified Plan. The Bay Area QMS is based on values set forth in the Agnews Closure Plan and described in the DDS Strategic Plan. A three-year federal System Change Quality Assurance/Quality Improvement Grant was completed in September 2006, and served as the basis for the development of the QMS model.

Specifically, the Bay Area QMS outlines the activities that will be used to:

- Keep people safe and assure their well being;
- Support value-based outcomes for providers and individuals served by those providers;
- Ensure the satisfaction of consumers and their families;
- Identify, and fill, gaps in the community system;
- Develop, and pilot, a QMS with potential for statewide implementation; and,
- Meet Center for Medicare and Medicaid Services expectations.

### **QMS Components**

The QMS includes a provider performance expectation and quality improvement tool called Quality Services Review (QSR) that includes 37 provider expectations and 84 measurements of those expectations. A key component of the QSR is its focus on quality outcomes for individuals which is measured using monitoring tools. These tools are implemented by professional staff at regional centers, including registered nurses, psychologists, QMS specialists, and service coordinators as well as by family members, friends and other visitors to individual's homes. These outcome-based quality

expectations measure achievement criteria for consumers and providers. Providers that are part of the QMS are required to meet all expectations that will lead to their approval by regional centers. Beginning in December 2006, over 25 intensive trainings and workshops have been held for regional center professional staff, service providers, and families in preparation for the implementation of the new monitoring tools.

The QSR is currently being implemented with service providers within the scope of the pilot project. In each regional center, QMS Specialists are working with existing Unified Plan providers on meeting or exceeding the provider quality expectations. An implementation schedule has been developed to match the creation of new housing through the BAHF and the development of residential services.

Beginning in April 2007, service providers of new models of service (SRH, SB 962 homes, FTH, or enhanced traditional service models, and Community Care Facilities Negotiated Rate (CCF-NR)) began implementation of the full QMS QSR certification process. Beginning May 2007, all other traditional service models will implement the consumer-related QMS tools for each consumer who has moved from Agnews since July 1, 2003.

In addition, a central information and integration system using Microsoft Access software has been developed. The system has been installed at each of the Unified Plan regional centers. Extensive training has been completed for the users of the new system. The Quality Management Information System (QMIS) manages QMS data storage and display. It is producing QMS reports that include information gathered from the regional center staff tools and input from families and friends from the Quality Snapshot. These reports are reviewed by regional center QMS Specialists and used in working with providers to continuously improve their services and ensure services meet the entire array of provider quality expectations. In addition, the system includes a response tracking process for areas needing attention during the quality improvement efforts. Data from the system is currently being aggregated for review by the Quality Commission in June 2007.

The National Core Indicators (NCI) Consumer and Family Satisfaction surveys were selected to annually measure individual outcomes and family satisfaction. The Bay Area regional centers have completed Year 1 implementation of the Consumer Survey and Family Satisfaction Survey. A statistically significant random sample of approximately 750 consumers from the Medicaid Waiver population was surveyed in-person using the NCI Consumer Survey. Another sample of approximately 400 families from the same Medicaid Waiver population was surveyed by mail using the NCI Family Satisfaction Survey. In addition, the entire population of consumers that has transitioned into the community from Agnews from July 2003, through March 2005, was surveyed in-person using the NCI Consumer Survey. The implementation of Year 2 for the NCI Family Satisfaction Survey began in October 2006. Year 2 of the NCI Consumer Survey is underway, with in-person interviews beginning in November 2006, with approximately 50 percent of the interviews completed as of April 2007. Surveys of the consumers that have transitioned from Agnews for Year 2 have been completed. The Year 1 and Year 2 survey findings and final reports will be available in June 2007.

The QMS includes ongoing mechanisms to measure individual outcomes and

satisfaction in addition to the annual NCI surveys. It incorporates information from reviews by a range of regional center professionals and a Quality Snapshot survey that is completed by families and other visitors to the residential homes. These surveys provide information about the visitor's perspective on the home environment and staff, as well as the consumers well being, and are mailed directly to the Director of QMS. Quality Snapshot surveys have been distributed to many homes and data from returned surveys is being reviewed, utilized, and entered into the Quality Management Information System.

A Review Commission was established to serve in an advisory capacity to review Bay Area QMS data and reports. The members include two consumers, seven parents, an advocate from Protection and Advocacy, Inc., and one provider. The commission has held three orientation meetings since September 2005. The commission will hold its fourth meeting in June 2007, to review the initial QMS data from the QSR process and NCI year 1 and year 2 study results. Recommendations for system improvements and capacity building will be made to the DDS and the Bay Area regional centers by the Review Commission.

### **State Staff in the Community**

Employees at Agnews have developed a wide range of special skills that make them effective in providing services and supports to persons with developmental disabilities. Agnews' personnel are occupationally diverse. Staff comprise a number of different classifications including registered nurses, licensed psychiatric technicians, physicians, registered dietitians, and other professionals who have developed a repertoire of expertise beyond their formal education that is very helpful in working with persons with developmental disabilities.

Many Agnews' employees have dedicated their entire careers to providing services and supports to persons with developmental disabilities and have expressed an interest in continuing to serve the people who reside at Agnews as they transition to community services. As provided for in AB 1378, the DDS is authorized to utilize existing Agnews state employees in a variety of classifications as part of the Administration's plan for closing Agnews and considers this to be an important component for successfully transitioning Agnews' residents into community living arrangements. This legislation authorizes existing state employees to serve as direct care staff, providing clinical and other support services, and directly operating facilities to ensure the health and well being of former Agnews' residents. Toward this end, Agnews and the DDS have provided extensive staff training and orientation to prepare these employees for transition to community-based services for individuals with developmental disabilities.

The state has negotiated contracts with the three Bay Area regional centers to use state employees in the community and has reached agreements concerning the implementation of the state employees in the community program with the American Federation of State, County, and Municipal Employees, the California Association for Psychiatric Technicians, the Service Employees International Union (SEIU), and the Union of American Physicians and Dentists. The agreements cover such areas as the employee selection process, the provision of ongoing supervision, employee rights and representation, and the rights of those employees in the actual closure process. More

recently, DDS negotiated a similar agreement with SEIU to include Unit 20 representing Licensed Vocational Nurses.

Agnews has been working collaboratively with each of the Bay Area regional centers to keep Agnews' staff informed of opportunities to apply for positions as state staff in the community and offers presentations and information regularly to their employees on the value of the state staff in the community program.

Implementation of the community state staff program has required overcoming some operational challenges. Ongoing attention is being placed on ensuring existing state civil service and bargaining unit agreements are adhered to in community-based positions. Additionally, developing and providing meaningful orientation sessions that outline the expectations of community-based positions to ensure Agnews' staff are prepared for the job opportunities available has proven to be critically important. Integrating developmental center practices with the service needs of community providers has proven advantageous in developing clear Job Opportunity Bulletins that assure services provided by the state employees in the community meet the needs of the consumers being served.

Outreach to employees has included facility-wide advertisements for drop-in informational sessions specific to community state staff positions being advertised at that time, general informational and recruitment presentations at regularly scheduled meetings in various departments, advertised focus sessions at the Marchesi Career Center, as well as individual career counseling appointments upon request of interested employees. These efforts have generated meaningful discussions about the future of Agnews' residents and have provided additional opportunities to gain knowledge about employees' professional interests. Informational brochures regarding community state staff have been distributed throughout the facility. A Job Opportunity Bulletin display has been established specifically for community state staff positions at the Marchesi Career Center, and staff is updated weekly on available community state staff opportunities to promote steady recruitment throughout the facility.

Additionally, to help prepare Agnews' employees to compete for and successfully assume positions as state employees in the community, the Agnews Staff Support Committee is working with a group of professionals from the DHS, CCL, regional centers, community providers, the RPBA, and the DDS to provide training to interested Agnews' employees who wish to pursue becoming service providers or employees in community settings. A curriculum has been developed for state staff to assist them in transitioning their unique clinical skills to smaller community settings. Plans are also being formulated to support Agnews' staff to complete the required coursework for certification if they receive employment offers as administrators.

From December 1, 2006, through March 31, 2007, 70 employees have applied for and been interviewed for contracted community state staff positions with various regional centers and provider organizations. The opportunities for employees to apply for community state staff positions will increase as more BAHP homes become available and state staff is already playing a number of roles in the community including providing direct care, training and consultation, and consumer assessment, planning and case management. Recent hires have included positions such as psychiatric technician

assistant, licensed psychiatric technician, registered nurse, occupational therapist, psychologist, and registered nurse administrator.

The RPBA has coordinated visits by Agnews direct care staff, shift supervisors, supervising registered nurses, and other professional staff to meet community service providers and view existing community residential homes serving individuals with developmental disabilities. These visits provide Agnews' employees an opportunity to view future living environments for Agnews' residents as well as potential work environments for community state staff.

### **Business Management Team**

The Business Management Team (BMT) was formed in 2003 to identify the tasks and develop the plans required to address the operational functions, construction projects, fiscal management, and space utilization as they relate to the closure of Agnews. The BMT is comprised of both developmental center and headquarters personnel. Seventeen project plans were developed that include the necessary tasks, responsible persons, and completion dates for facilitating closure activities. The project plans include Client Property Transfer, Communications and Information Systems, Construction Projects, Fiscal Services, Hazardous Materials, Health and Safety, Historical, Leased Properties, Notifications, Physical Plant, Records, Regional Resource Development Projects including the Delta Regional Project and the RPBA, Security, Space Utilization, Staff Support, State Property and Supplies, and Trust. All project plans include specific protocols to define the implementation steps necessary to ensure a smooth transition.

As consumer transition is beginning to occur in greater numbers, there is a need to consolidate space and implement the initial steps in each of the work plan areas to ensure ongoing continuity of care and services during the closure process. Determination of costs associated with the removal and relocation of major equipment is underway. A website has been established with the other DCs reflecting Agnews' inventory to ensure a coordinated approach to procurement and distribution of Agnews' equipment and supplies that are needed by other DCs.

The Human Resource Committee has begun meeting on a more frequent and regular basis since October 2006, to initiate the implementation of both personnel and labor relations functions associated with closure.

Plans have been developed to maintain the buildings in a warm shutdown condition after facility closure to prevent deterioration, provide for security, maintain health and safety conditions, and adhere to all post closure fiscal obligations. One of the four residential buildings was placed in a warm shut down mode on July 1, 2006, and another residence is anticipated to be closed in June 2007. As the resident population decreases, consolidation reduces operational costs for utilities, building maintenance, and janitorial services.

The Agnews campus includes 51 buildings on 87 acres of land. Two off-site leases have been terminated, and their functions have been consolidated and moved onto the Agnews campus. The only remaining lease is for the Nuttman warehouse which has the

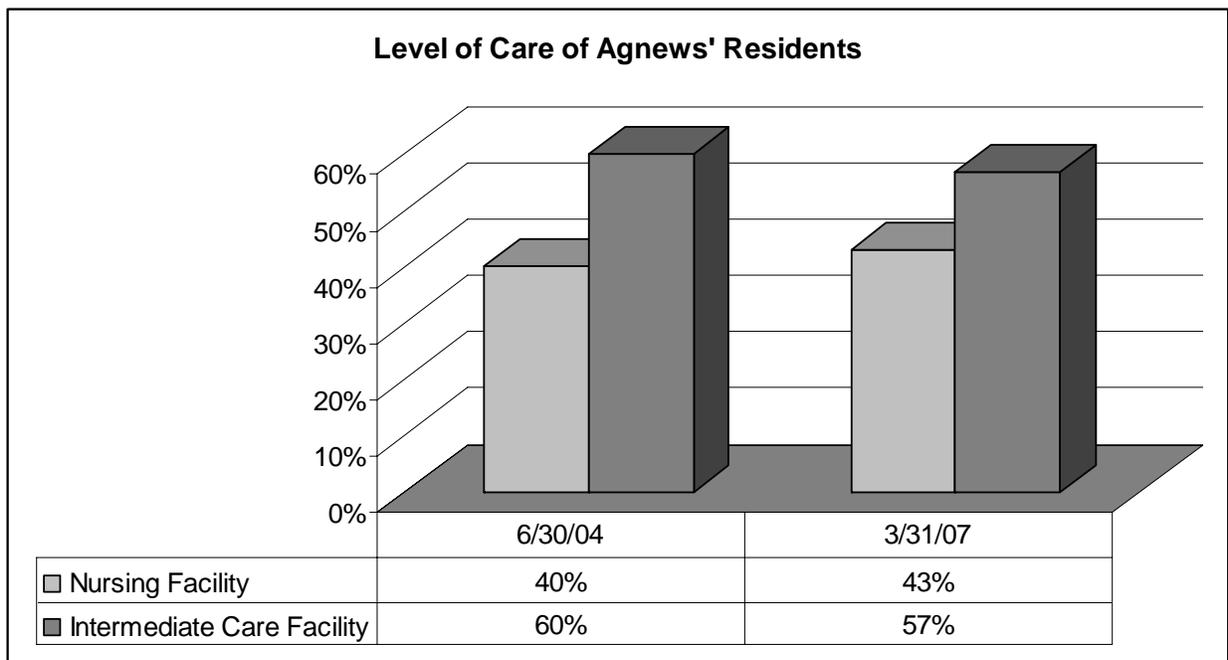
necessary loading docks to serve as the distribution point for laundry services provided through a contract with the Prison Industry Authority. No existing Agnews buildings have the loading dock capability to provide this warehouse function.

## Update on Agnews' Consumers

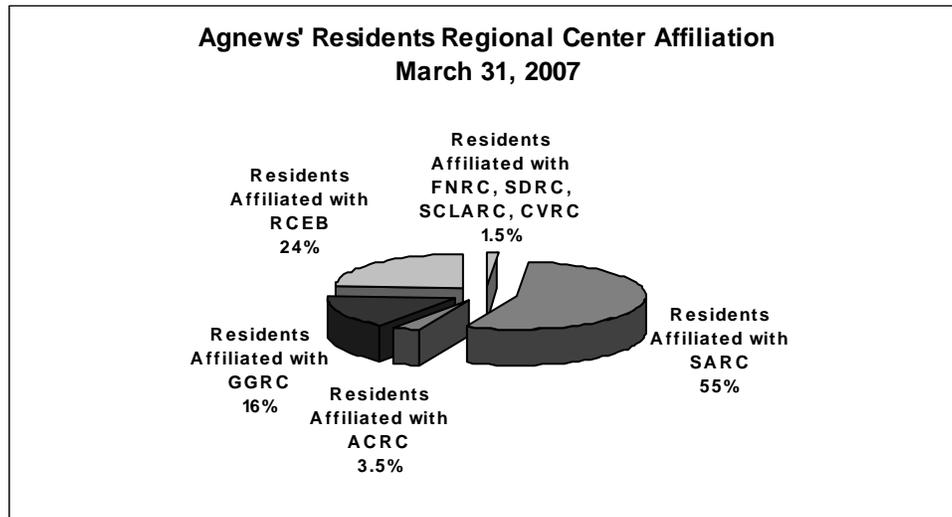
### Current Agnews' Residents

As of March 31, 2007, 244 persons reside at the Agnews campus in three residential buildings. There are a total of 13 residences within these three buildings, with an average census of approximately 19 residents each.

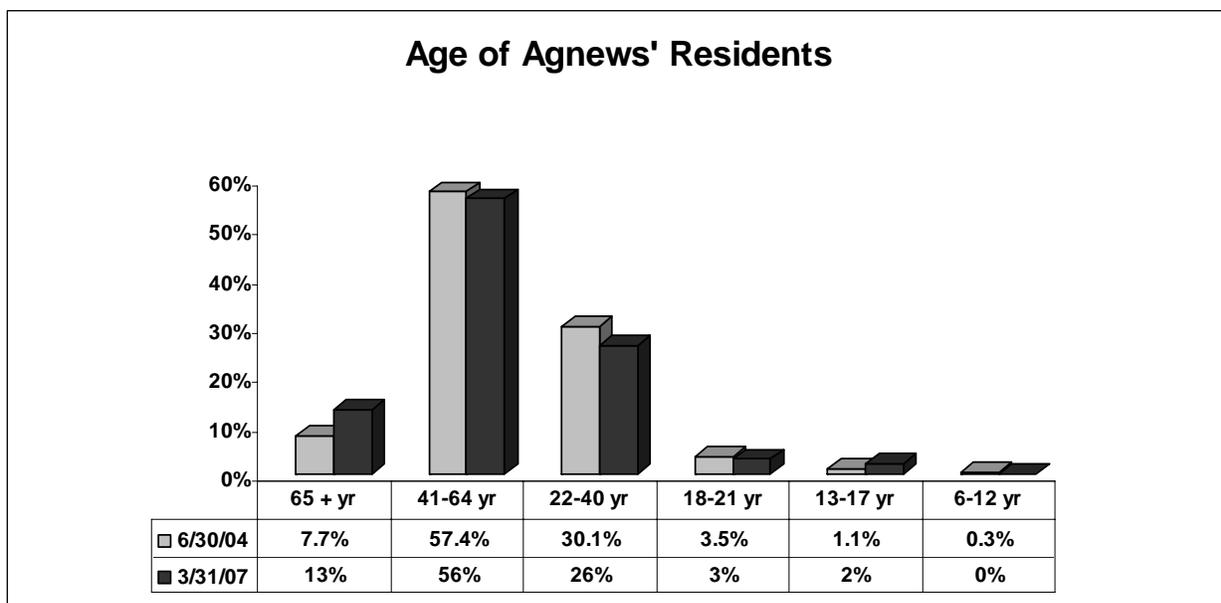
Agnews provides three levels of care to people who reside at the facility. The first level of care is provided in the Nursing Facility residences in which approximately 43 percent of Agnews' residents live. The second level of care is provided in the Intermediate Care Facility residences, which are home to the remaining 57 percent of the consumers. Both the Nursing Facility residences and the Intermediate Care Facility residences provide 24-hour residential services. The third level of care is General Acute Care where short-term medical and nursing care is provided to residents to address an illness or injury.



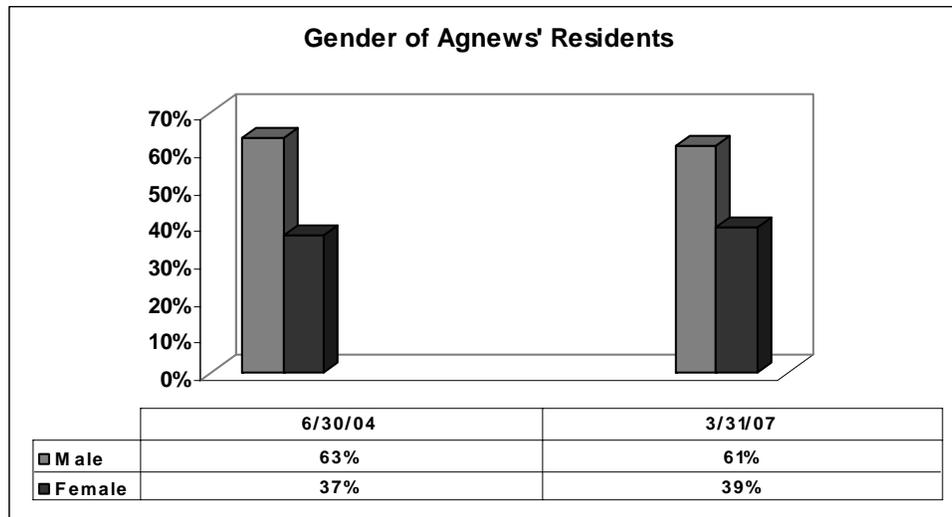
Fifty-five percent of current residents are affiliated with SARC, 24 percent are affiliated RCEB, 16 percent are affiliated with GGRC, 3.5 percent are affiliated with Alta California Regional Center (ACRC), and 1.5 percent are affiliated with Far Northern Regional Center (FNRC), San Diego Regional Center (SDRC), South Central Los Angeles Regional Center (SCLARC), or Central Valley Regional Center (CVRC).



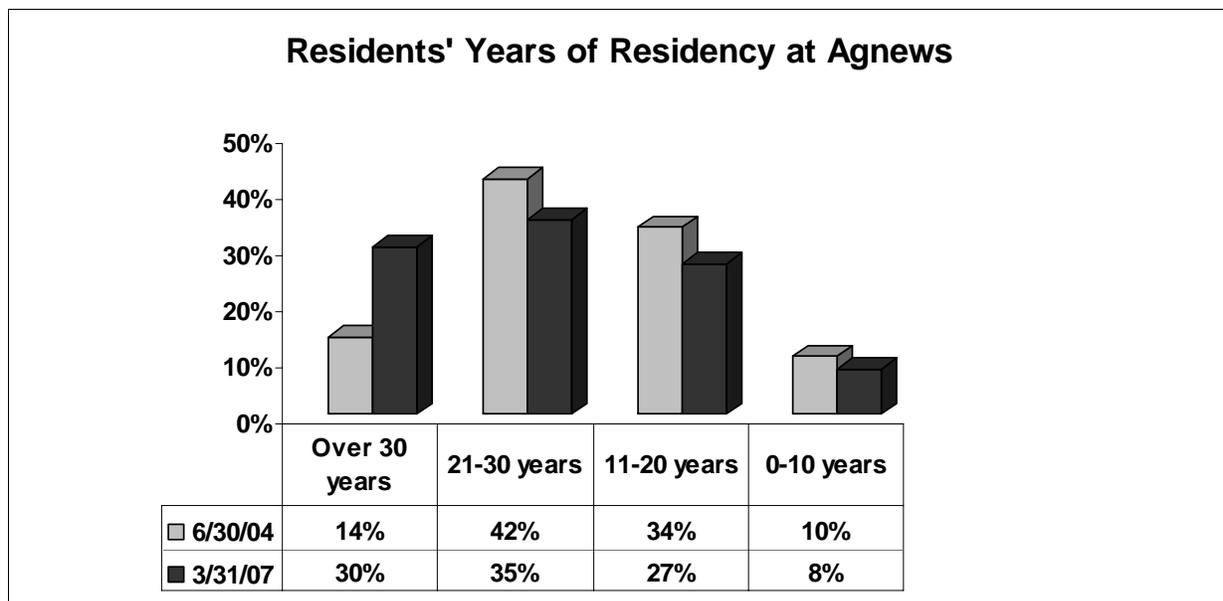
As of March 31, 2007, 69 percent of the residents are over age 40. People who are 65 years or older make up 13 percent of the population. In contrast, 2 percent of the residents are under the age of 18 years.



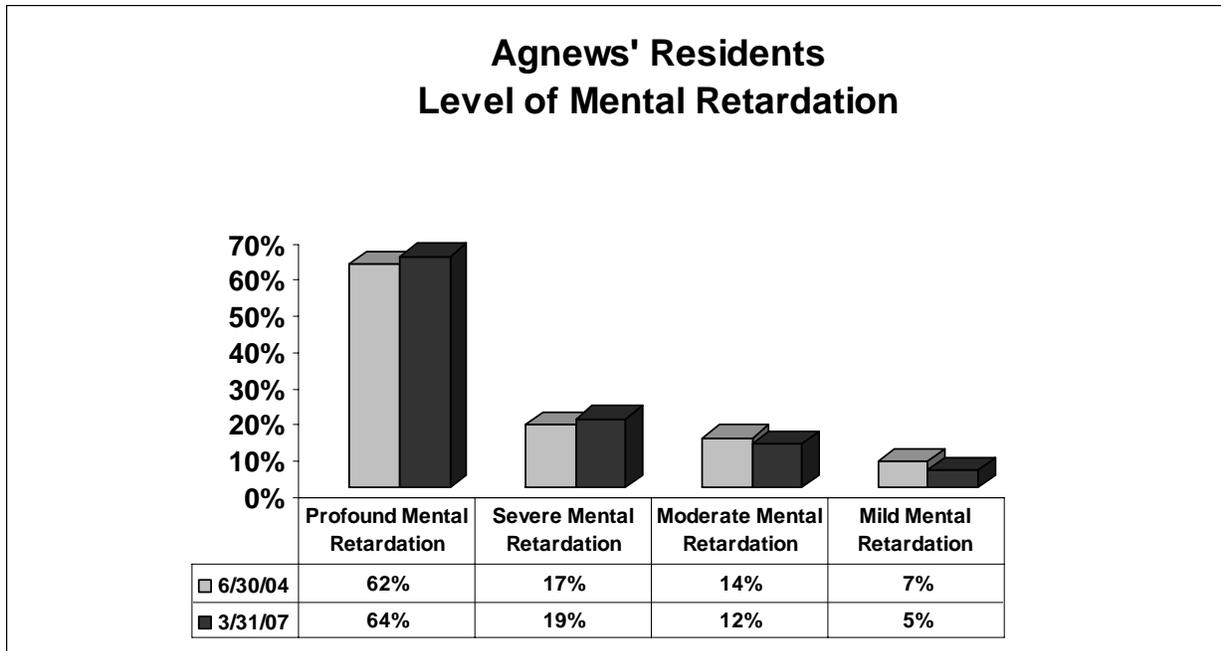
Sixty-one percent of the residents are male, and 39 percent are female.



Sixty-five percent of the current residents have lived at Agnews over 20 years. Twenty-seven percent have resided at Agnews for 11 to 20 years. Eight percent have resided at Agnews ten years or less.



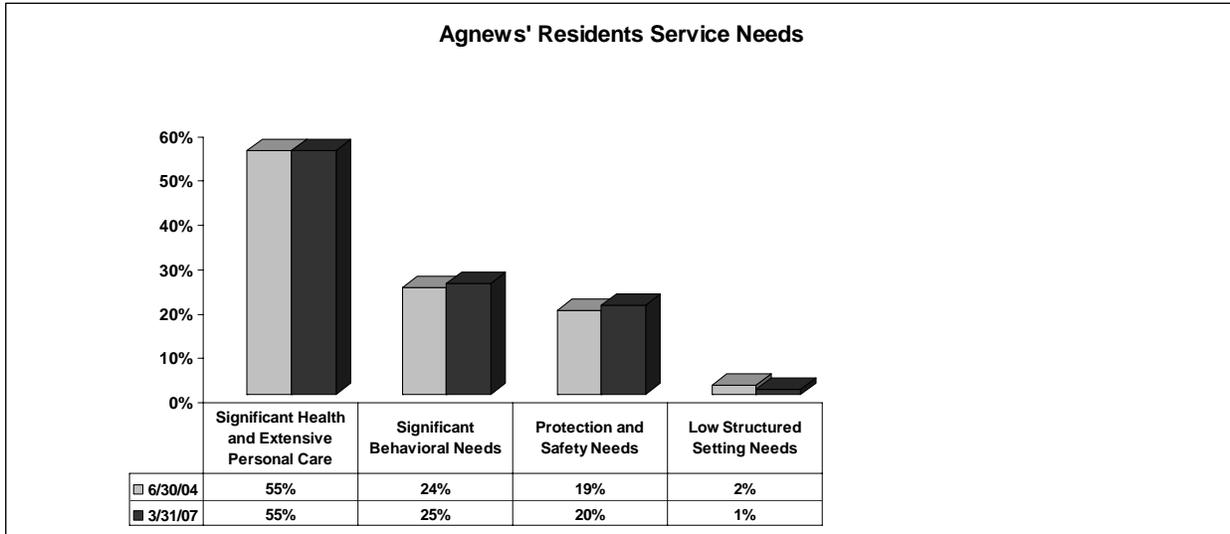
Sixty-four percent of the current Agnews' residents have profound mental retardation, 19 percent have severe mental retardation, 12 percent have moderate mental retardation, and 5 percent have mild mental retardation.



There are four primary service needs for persons who reside at Agnews.

- Significant Health and Extensive Personal Care Needs:** This category includes people who require intermittent pressure breathing, inhalation assistive devices, or tracheotomy care; have recurrent pneumonias or apnea; and are non-ambulatory, requiring total assistance and care, and/or receive enteral (tube) feeding. Significant nursing intervention and monitoring are required to effectively support these individuals. Fifty-five percent of the residents have significant health and extensive personal care needs.
- Significant Behavioral Needs:** This category describes persons who have behavioral needs that may require intervention for the safety of themselves or others. Approximately 25 percent of the residents are persons with behavioral issues.
- Protection and Safety Needs:** This category includes persons who need a highly structured setting because of a lack of safety awareness, a pattern of self-abusive behaviors and/or inappropriate expression of social behavior. These consumers require constant supervision and ongoing intervention to prevent self-injury and/or stigmatizing behavior. Twenty percent of the persons residing at Agnews require this type of structure and service need.

- Low Structured Setting Needs:** This service need addresses those residents who require minimal supervision and support. One percent of the Agnews' residents require minimal supervision or support.

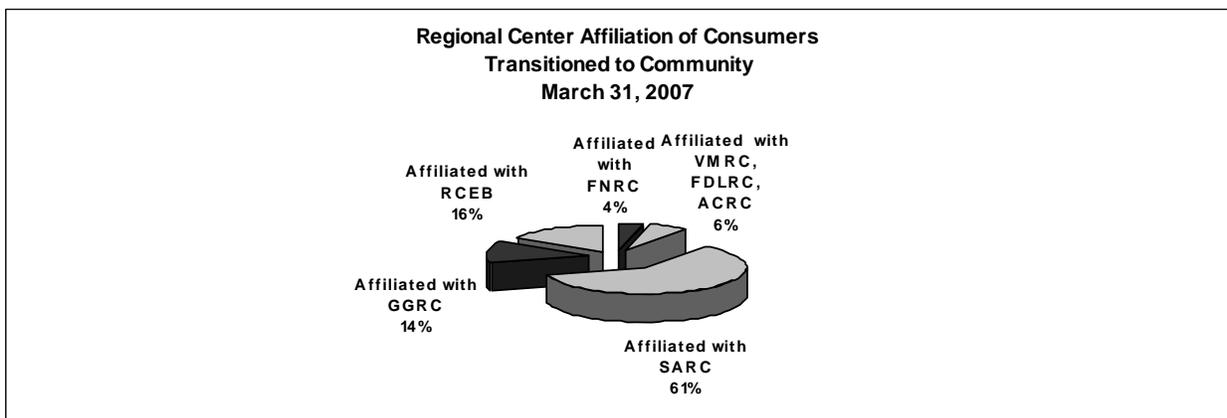


## Agnews' Consumers Who Have Transitioned Into Community Living Arrangements

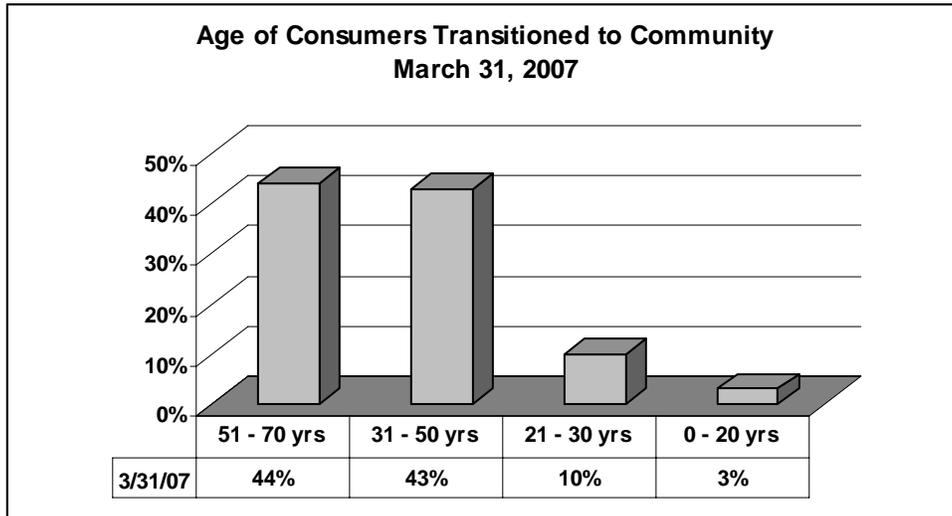
Between the period of July 1, 2004, and March 31, 2007, 115 residents transitioned to the community, 11 persons were admitted to Agnews, and 4 persons were transferred to other developmental centers. Of the consumers who transitioned to community living arrangements between July 1, 2004, and March 31, 2007, 78 percent had been served in Intermediate Care Facility residences and 22 percent had been served in the Nursing Facility residences while at Agnews.



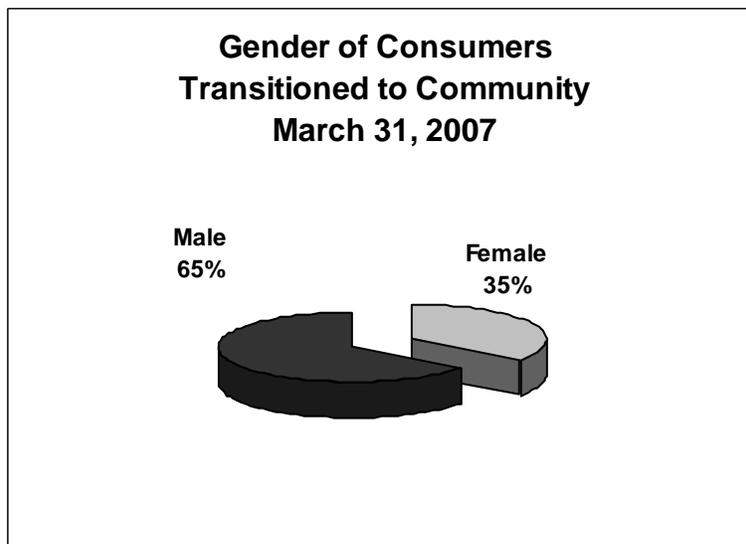
Of the consumers transitioning to the community between July 1, 2004, and March 31, 2007, 61 percent were affiliated with SARC, 16 percent were affiliated with RCEB, 14 percent were affiliated with GGRC, 6 percent were affiliated with Valley Mountain Regional Center (VMRC), FDLRC, or ACRC, and 4 percent were affiliated with FNRC.



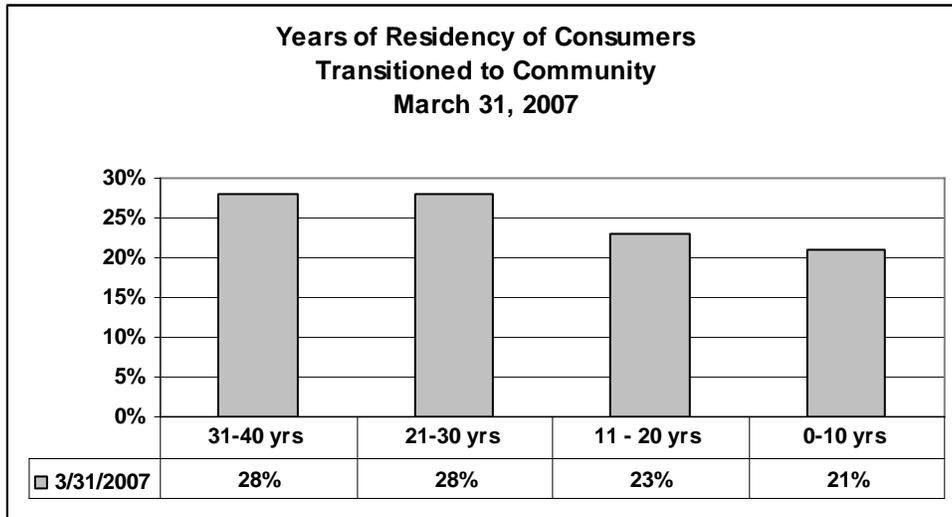
Of the consumers transitioned to the community between July 1, 2004, and March 31, 2007, 44 percent were 51 to 70 years of age at the time of transition from Agnews, 43 percent were 31 to 50 years old, 10 percent were 21 to 30 years old, and 3 percent were 20 years of age or younger.



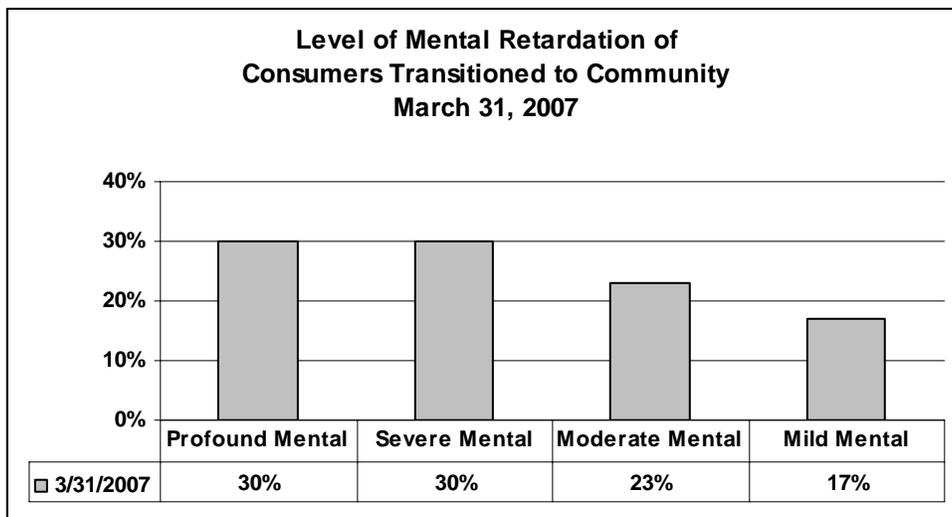
Of the consumers transitioned to the community between July 1, 2004, and March 31, 2007, 65 percent were male, and 35 percent were female.



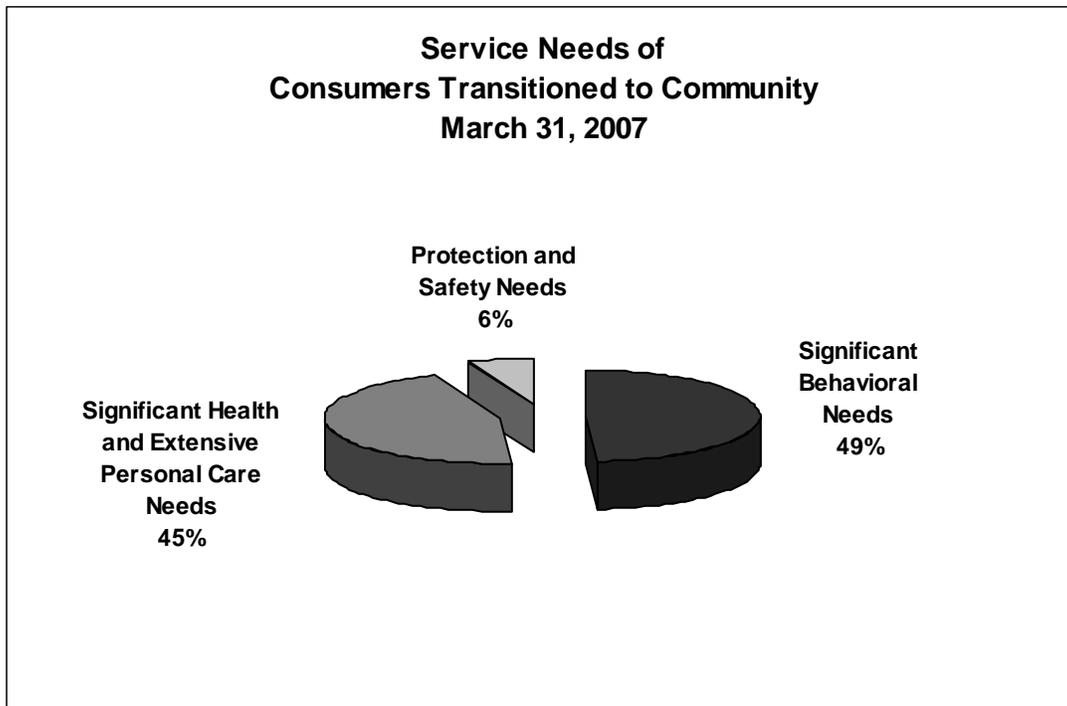
Of the consumers transitioned to the community between July 1, 2004, and March 31, 2007, 28 percent lived at Agnews for 31 to 40 years, 28 percent for 21 to 30 years, 23 percent for 11 to 20 years, and 21 percent for 10 years or less.



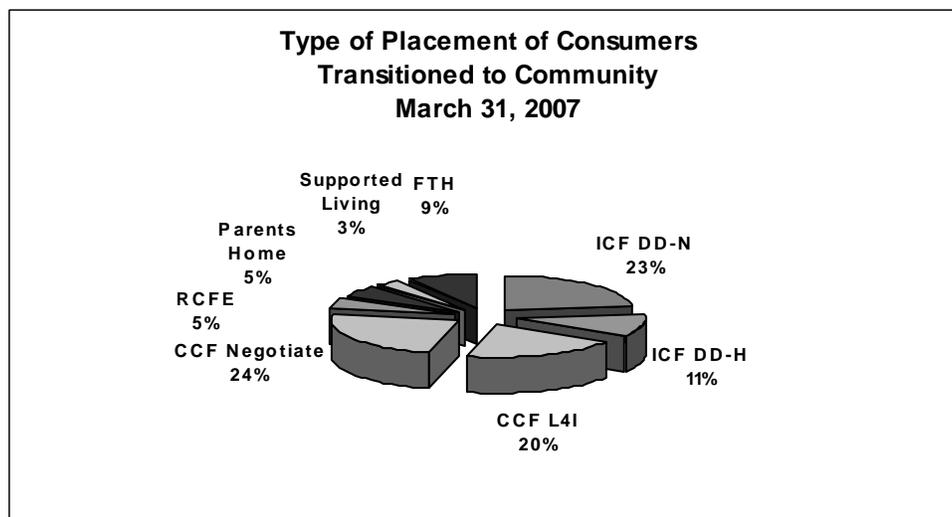
Of the consumers who transitioned to the community between July 1, 2004, and March 31, 2007, 30 percent had profound mental retardation, 30 percent had severe mental retardation, 23 percent had moderate mental retardation, and 17 percent had mild mental retardation.



Forty-nine percent of the consumers who transitioned to the community between July 1, 2004, and March 31, 2007, had significant behavioral needs, 45 percent had significant health and extensive personal care needs, and 6 percent had protection and safety needs.



Twenty-four percent of the consumers who transitioned to the community between July 1, 2004, and March 31, 2007, moved to CCF Negotiated Rate housing, 23 percent moved to ICF DD-N residence, 20 percent moved to CCF Level 4 I housing, 11 percent moved to ICF DD-H residences, 9 percent moved to Family Teaching Homes (FTH), 5 percent moved to Residential Care Facilities for the Elderly (RCFE), 5 percent moved to their Parents Home, and 3 percent receive Supported Living services in their residence.

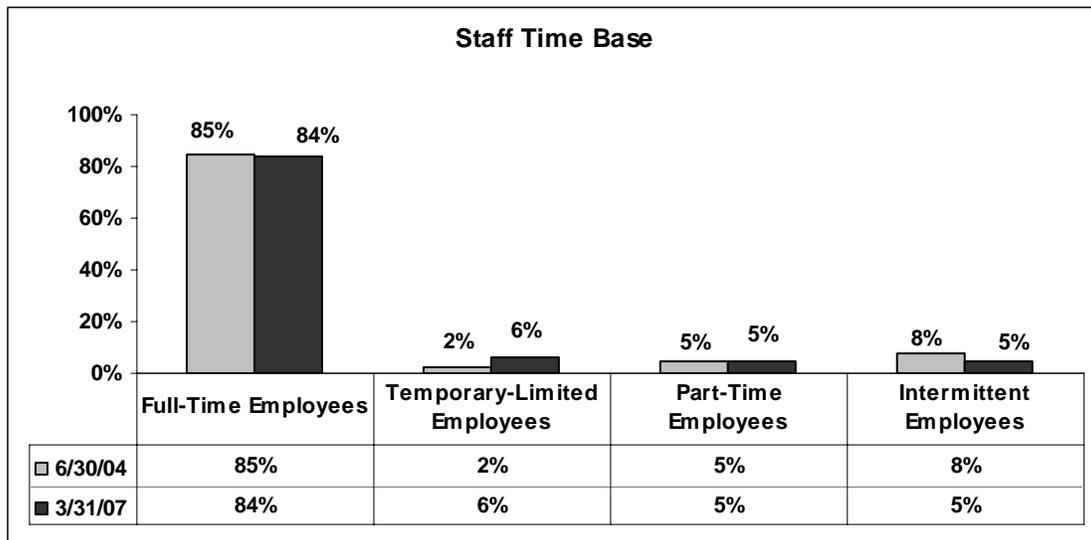


## Update on Employees

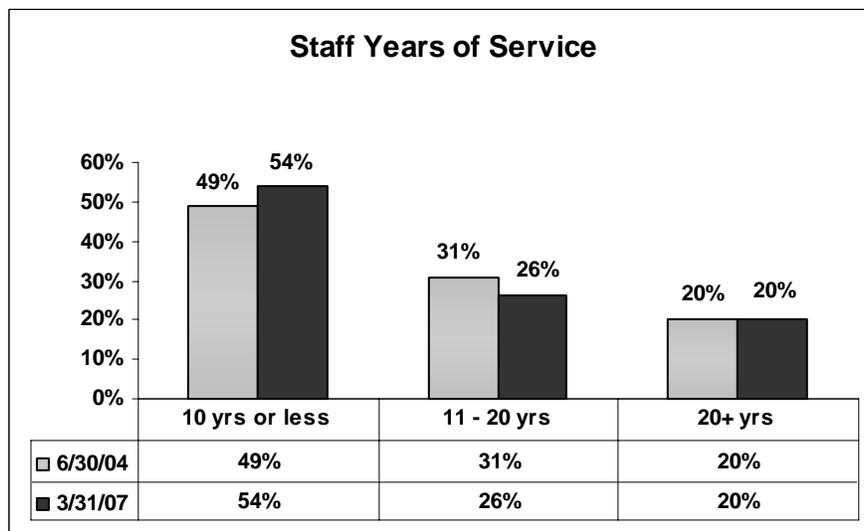
This report is an update on current employee status as of March 31, 2007. This includes updates on current employee composition, separated staff composition, and plans for employees.

### Current Employee Composition

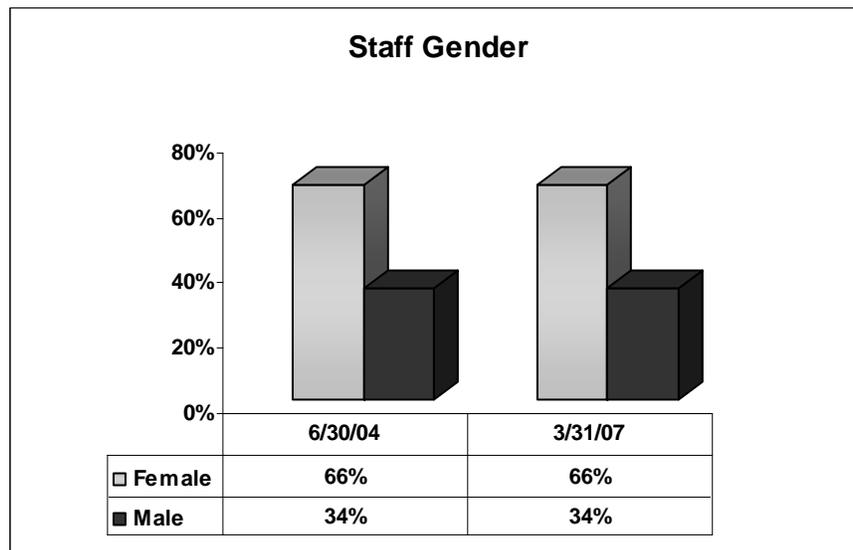
As of March 31, 2007, there are 1,003 employees at Agnews. Of these employees, 84 percent are currently full-time employees, 6 percent are temporary limited-term employees, 5 percent are part-time employees, and 5 percent are intermittent employees.



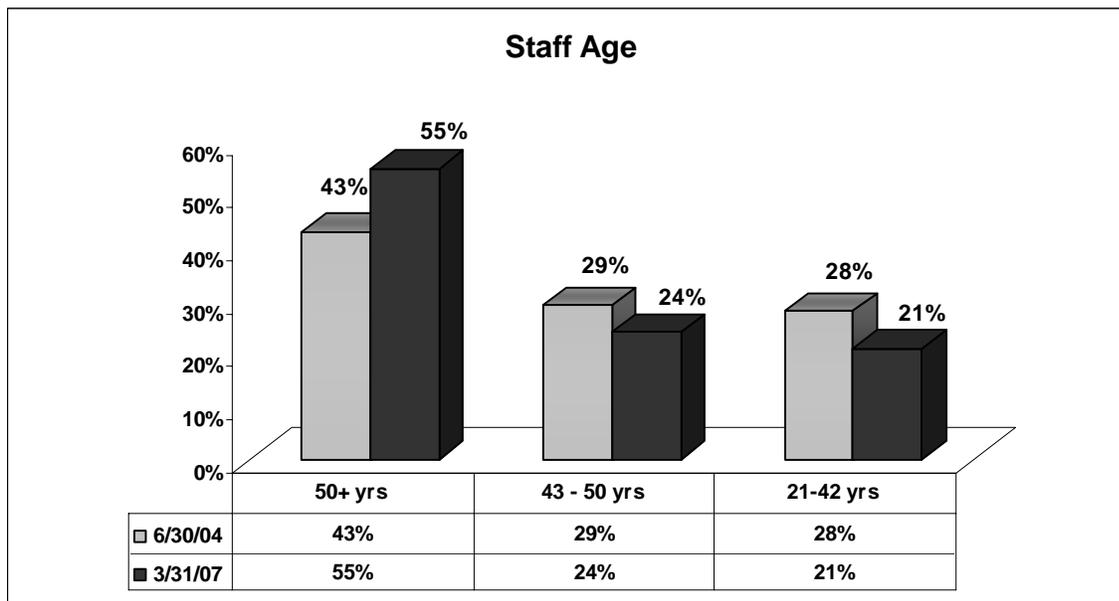
Fifty-four percent of the employees have worked at Agnews for 10 years or less. Twenty-six percent of the employees have been employed at Agnews between 11 and 20 years. The remaining 20 percent have worked at Agnews for more than 20 years.



Sixty-six percent of the workforce are female and 34 percent of the workforce are male.

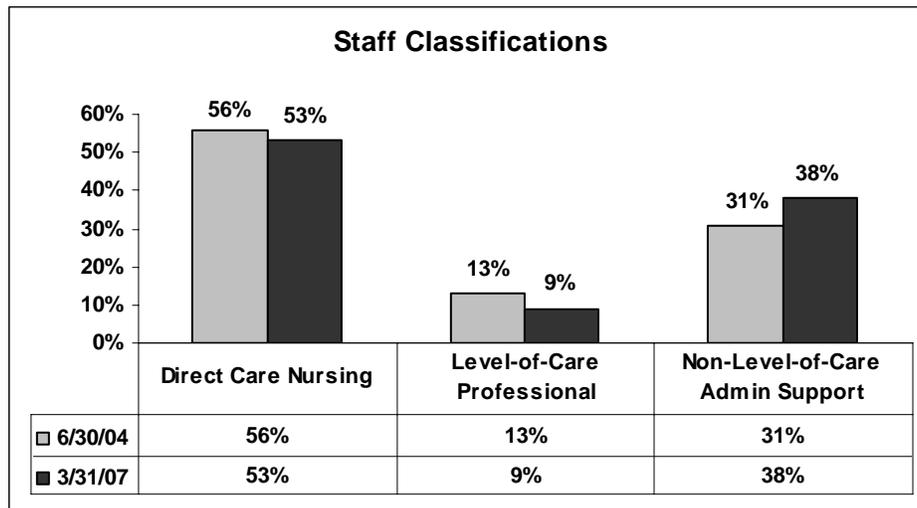


Fifty-five percent are over 50 years of age. Twenty-four percent are between 43 to 50 years. Twenty-one percent of the workforce are between 21 and 42 years of age.

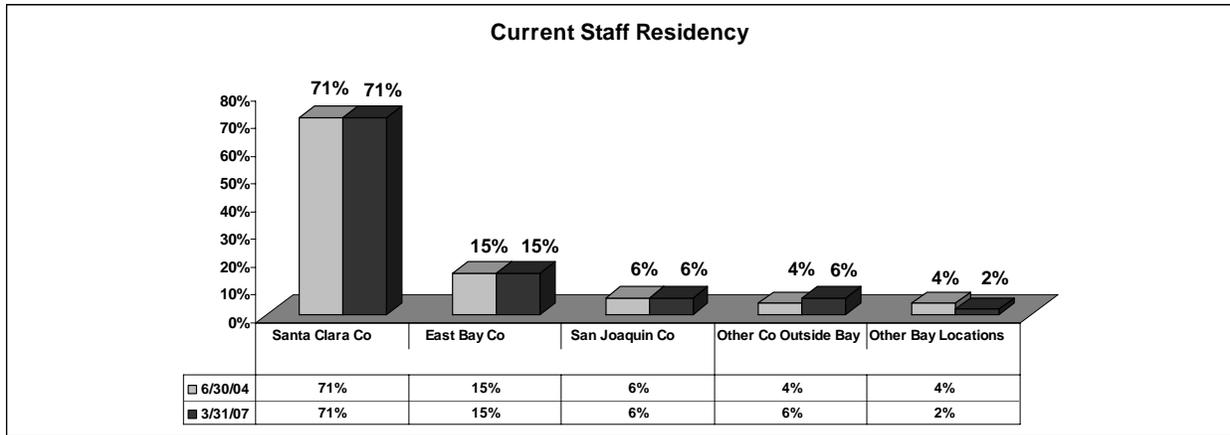


There is a wide range of employees and classifications that provide services to people residing at Agnews. These classifications are categorized as follows:

- **Direct Care Nursing:** The direct care employees make up 53 percent of the employee population and include those employees who provide direct services to the residents at Agnews. These employees are registered nurses, licensed vocational nurses, psychiatric technicians, psychiatric technician assistants, trainees, and students.
- **Level-of-Care Professional:** The level-of-care professional employees make up nine percent of the total employee population and include physicians, rehabilitation therapists, social workers, teachers, physical and occupational therapists, respiratory therapists and others who provide a direct and specialized service to the residents at Agnews.
- **Non-Level-of-Care and Administrative Support:** The remaining 38 percent of the employee population includes those employees who are in non-level-of-care positions and administrative support. This includes clerical employees, food service employees, personnel and fiscal services employees, plant operations employees, and all supervisors and managers.

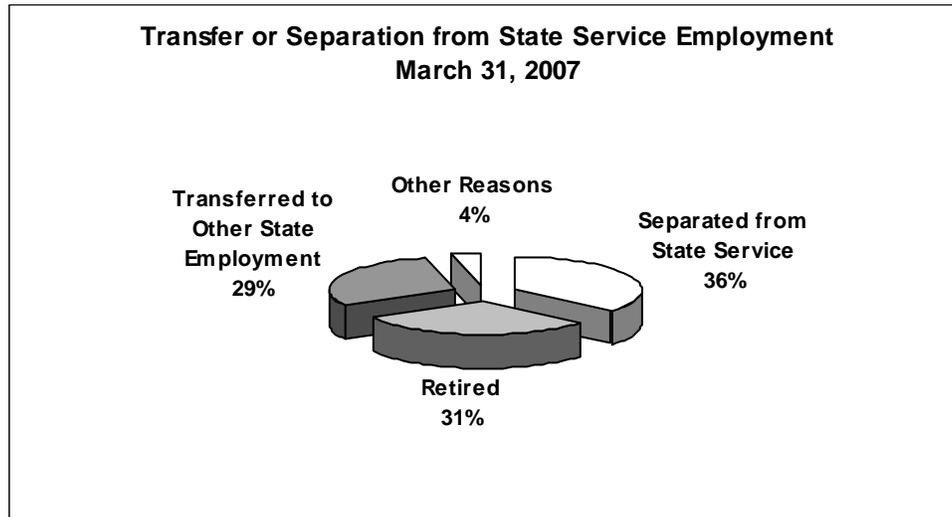


Agnews' employees continue to reside in neighborhoods throughout the Bay Area. Seventy-one percent live in Santa Clara County, 15 percent reside in the East Bay counties, 6 percent commute from San Joaquin County, 6 percent commute from other counties outside the Bay Area, and 2 percent of employees live in various other Bay Area locations.

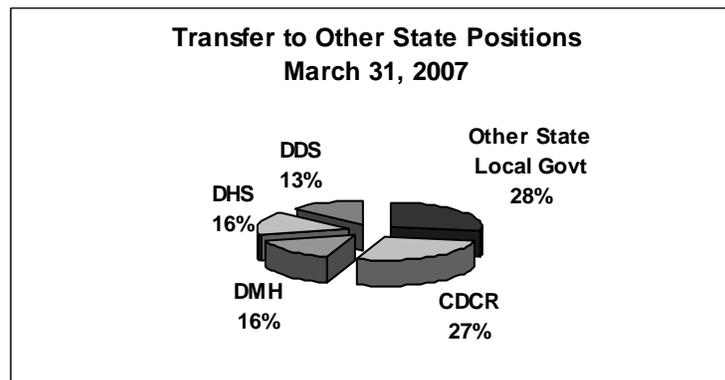


## Separated Employee Composition

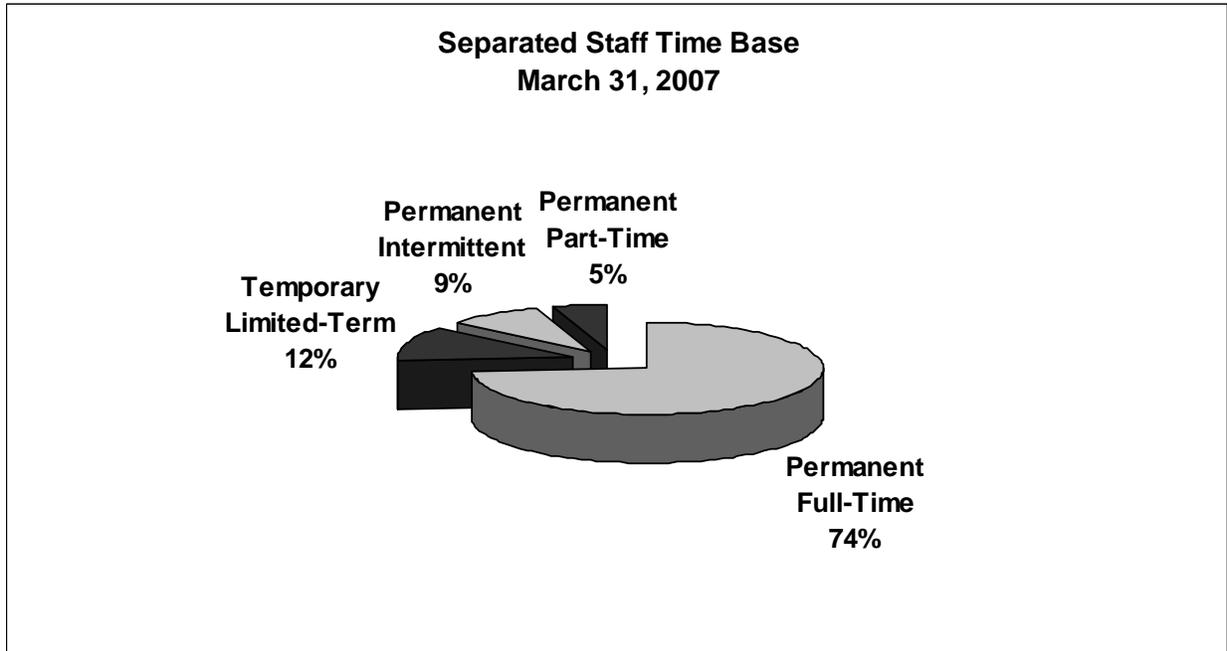
Between the time period of July 1, 2004, and March 31, 2007, 446 employees separated from employment with Agnews. Of those separating, 36 percent separated from State service, 31 percent retired, 29 percent transferred to other State employment, and 4 percent left for other reasons.



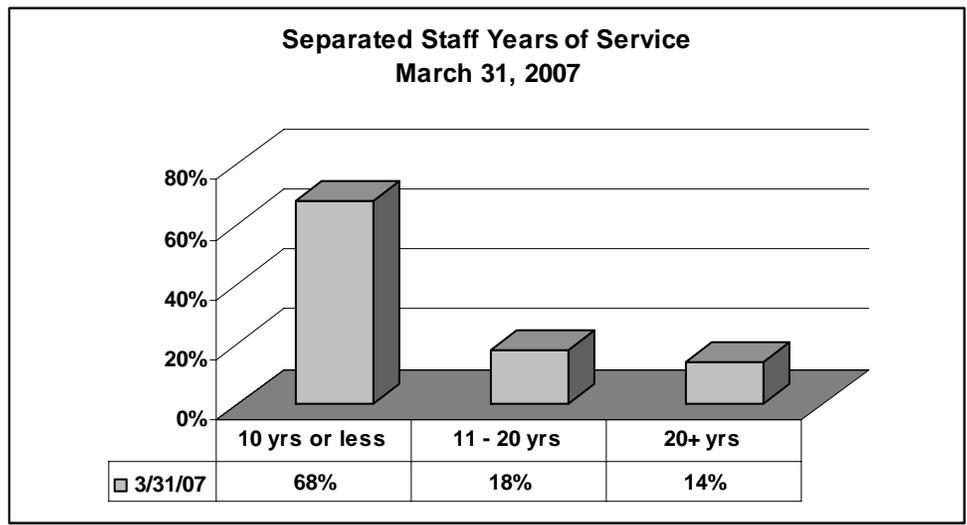
For people separating from Agnews to other State employment, 28 percent transferred to other state or local government agencies, 27 percent transferred to the California Department of Corrections and Rehabilitation (CDCR), 16 percent transferred to the Department of Mental Health (DMH), 16 percent transferred to the Department of Health Services (DHS), and 13 percent transferred to other employment within the Department of Developmental Services (DDS).



Of the employees that separated from Agnews' state service between July 1, 2004, and March 31, 2007, 74 percent had been full-time employees, 12 percent had been temporary limited-term employees, 9 percent had been permanent intermittent, and 5 percent had been permanent part-time.



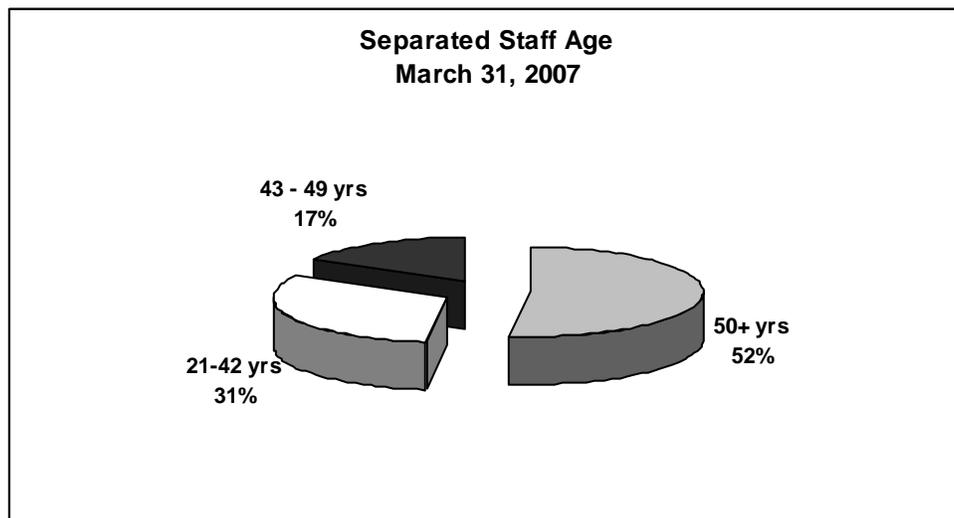
Sixty-eight percent of the employees who separated from state service had worked at Agnews for ten years or less. Eighteen percent of the employees had worked at Agnews between 11 and 20 years. The remaining 14 percent had worked at Agnews for more than 20 years.



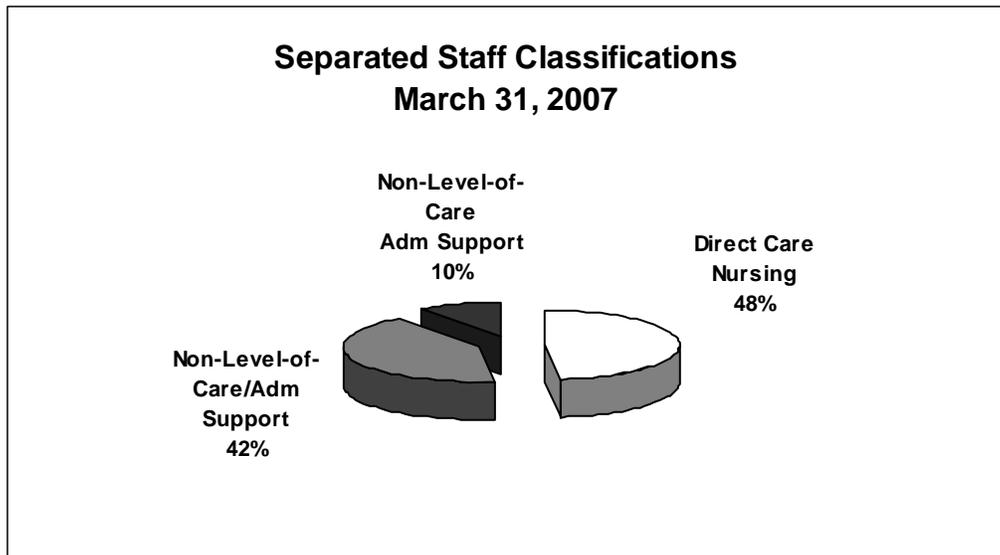
Sixty-two percent of those who separated from state service were female and 38 percent were male.



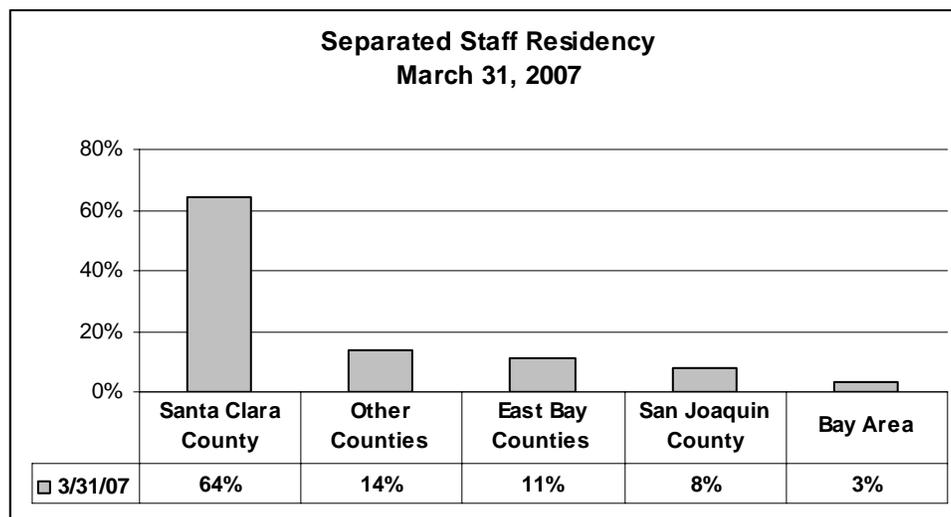
Fifty-two percent were 50 years or older, 31 percent of those who separated were between 21 and 42 years of age, and 17 percent were between 43 to 49 years old.



Forty-eight percent of the separated employee population were providers of direct care including nursing services to the consumers at Agnews. Forty-two percent of the separated employee population included those employees in non-level-of-care positions and administrative support. Ten percent of the separated employee population were level of care professional service providers to the consumers at Agnews.



Separated employees continue to reside in neighborhoods throughout the Bay Area. Sixty-four percent live in Santa Clara County, 14 percent of employees live in other counties outside the Bay Area, 11 percent reside in the East Bay Counties. In addition, separated employees residing in communities outside of the Bay Area include eight percent who commuted from San Joaquin County and 3 percent who reside in the Bay Area.



## **Plans for Employees**

Agnews' employees are aware of the closure date of June 30, 2008, and of the options that are, or will become, available to them. There are a number of resources available and services that have been initiated, and that will continue, during implementation of the Agnews Closure Plan.

### ***The Marchesi Career Center***

The Marchesi Career Center (Career Center) was officially opened at Agnews in July 2006, and was originally staffed with two professionals. Based on increased staff needs and usage, the Career Center is now staffed with four professionals who continue to provide assistance to staff in a wide variety of ways. From the time of its opening through March 31, 2007, Career Center staff have assisted more than 550 Agnews' employees. Employees can access the Career Center either by scheduling appointments or on a drop-in basis during posted operating hours. The Career Center hours cover all shifts for the convenience of employees whether they work AM, PM, or night shifts at Agnews.

The Career Center is equipped with five computer systems with access to the internet for job searching purposes. Web links are available for connecting to advertisements for state, county, city and local jobs, as well as to obtain information on State Restriction of Appointment, Surplus Status, retirement, and benefits. The Career Center also serves as a training site complete with a media center which includes a large screen TV, power point projector for presentations, equipment for presentations, and training materials specific to community-based business opportunities and employment, job searching, résumé writing, application processing and interview tips and techniques. A quarterly retirement and benefit workshop is also provided by the California Public Employees' Retirement System. The Career Center has provided training courses to more than 320 staff from the time it opened through March 31, 2007.

### ***Continued Employment in the Developmental Services System***

As discussed previously in the section entitled State Staff in the Community, up to 200 Agnews' employees will have the opportunity to obtain community-based state employment. These positions may be providing direct care, training, consultation, quality assurance, or other services in the community. The procedures for selecting persons to fill these positions have been negotiated with each involved labor organization, taking into consideration current hiring practices for state employment. An addendum to the SEIU agreement was added in March 2007 which allows those Agnews' employees in SEIU Bargaining Unit 20, including Licensed Vocational Nurse classifications, to be included in the contracted state staff in the community program. This provides an opportunity for additional Agnews' employees to participate in the state staff in the community program authorized by AB 1378 along with those classifications previously identified, namely Registered Nurses, Psychiatric Technicians, Psychiatric Technician Assistants, Psychologists, Physicians, Registered Dietitians, Occupational Therapists, Physical Therapists, Rehabilitation Therapists, Speech Therapists, and

Respiratory Therapists. Regional centers and service providers are beginning to utilize the State Staff in the Community Program and opportunities will increase greatly as BAHF housing becomes available.

The Career Center provides orientation sessions and posts job opportunity bulletins for employment in other areas within the developmental disabilities service system. Information is available on becoming a licensed administrator and advertisements are posted for positions at other developmental centers, state-operated community facilities, regional centers, and at the DDS headquarters.

Of particular note beginning in April 2007, a new offering by the Career Center will be Direct Service Provider (DSP) training for those employees interested in transitioning into the community-based service system. DSP training is required for all staff providing care to consumers in the community. The training consists of two 35-hour classes with a competency test at the end of the program. The curriculum includes:

- basics in the field of developmental disabilities and the service delivery system
- the California developmental disabilities services system
- risk management and incident reporting
- medications management
- infection control
- signs and symptoms of illness and injury
- person-centered planning
- positive behavior supports and other strategies to enable individuals to be successful in providing services to people with developmental disabilities and challenging behaviors.

For Agnews' staff who already feel adequately prepared to pass the DSP training exam based upon the depth of their clinical experience, a quick review and sample test is offered along with an opportunity to take the DSP challenge exam without having to complete the entire training program. These options provide a significant benefit to Agnews' employees looking to transition into the community-based service system as they will already be DSP-certified. This also benefits the service providers, regional centers, and consumers by ensuring an experienced and qualified prospective workforce from which to select employees.

### **Individual Assistance in Developing Job Skills and Locating Job Opportunities**

Agnews has established a Staff Support Committee to provide assistance to the Career Center as the facility moves toward closure. For Agnews' staff unsure about future plans, a career counseling process is available to assist employees with decisions

about current steps to take and possible future goals. The process begins with a survey tool which assists the counselor in identifying possible services that may benefit the employee. The survey tool identifies employees by name and current classification, and queries their educational background, employment or retirement interests, job-shadowing interests, and any other areas of interest, or concern, the employee wishes to share. Counselors assist employees with job search information, assessing qualifications based on job specifications, finding available employment opportunities, preparing applications, comparing benefits, and evaluating retirement options. One hundred ninety-two employees received career counseling from September 2006, through March 31, 2007.

To better understand general employee plans for their future, surveys have been distributed to all Agnews' employees to provide an opportunity for every employee to communicate their future career interests. With this information, the Career Center can better identify and tailor counseling and training based on what Agnews' staff state is most important to them. To date 555 employees have responded. Using personal insight gained from career counseling and voluntary surveys, individual notices, invitations, and save-the-date cards are sent to employees who have expressed interest in certain opportunities.

Upcoming activities being coordinated through the Career Center include a job fair for prospective employers of Agnews' employees scheduled for July 2007. In association with the Career Center, Agnews has also established a work group to gather and review career planning questions being raised by employees for response through the Agnews Employee Newsletter. The Career Center, in partnership with the work group and the Employee Advisory Council, also facilitate staff morale-building activities.

Agnews remains committed to the establishment and implementation of a system that promotes employee stability and provides opportunities to assist employees with taking the next step in their future plans.

## **Major Implementation Steps and Timelines**

## Major Implementation Steps and Timelines

### Report on the Plan for Closing Agnews Developmental Center

ID	Task Name	2007					
		2nd Quarter			3rd Quarter		
		Apr '07	May '07	Jun '07	Jul '07	Aug '07	Sep '07
1	<b>Plan Development</b>						
2	✓ Establish the Bay Area Project Steering Committee						
3	✓ Establish Agnews' proposed closure Advisory Committee						
4	✓ Begin Agnews' proposed closure Advisory Committee meetings						
5	✓ Establish Bay Area Project planning teams to solicit input on the Agnews Closure Plan						
6	✓ Public Hearing on the proposed closure of Agnews						
7	✓ Letter to Legislators and Other Interested Parties announcing postponement of Agnews Closure to July 2006						
8	✓ Submission of the Agnews Closure Plan to the Legislature						
9	✓ Legislative approval of Plan for Closure						
10	<b>Resource Development</b>						
11	<b>Bay Area Housing Plan (BAHP)</b>						
12	✓ Draft Legislation						
13	✓ AB 2100 Introduced						
14	✓ AB 2100 effective 1/1/2005						
15	✓ Regional Center Development of BAHP						
16	✓ DDS, Housing and Community Development, California Housing and Finance Agency (CalHFA), DOF, Governor's Office Review BAHP						
17	✓ BAHP and Expenditure Plan submitted to JLBC						
18	✓ CalHFA Bd approves 1st bond financing						
19	✓ CalHFA Bd approves 2nd bond financing						
20	🏠 Construction and acquisition financing secured						
21	🏠 NPOs identify potential properties						
22	🏠 Hallmark acquires properties						
23	🏠 Hallmark renovates properties						
24	✓ BAHP Legal Agreements endorsed						
25	✓ BAHP RC Contract Amendment Enforced						
26	✓ Budget Act Reappropriation of Expenditure Plan Funds						

**A check indicates a completed task.  
May 2007**

## Major Implementation Steps and Timelines

### Report on the Plan for Closing Agnews Developmental Center

ID	Task Name	2007					
		2nd Quarter			3rd Quarter		
		Apr '07	May '07	Jun '07	Jul '07	Aug '07	Sep '07
27	62 Properties Receive Certificates of Occupancy						
28	<b>SB 962 Homes</b>						
29	Draft Legislation						
30	SB 962 Introduced						
31	SB 962 Legislation effective 1/1/2006 - Pilot Project						
32	RCs Draft and Issue RFPs for service providers						
33	Prospective licensees program plans submit to DDS by RCs						
34	Facilities certification letters issued						
35	IA for evaluation of project developed						
36	Homes developed by BAHP						
37	Develop Consumers' Individual Health Care Plans						
38	Transition Consumers into homes						
39	Project evaluation contractor selected						
40	Monitoring of individuals placed in homes						
41	Evaluation report to Legislature of pilot						
42	SB 962 sunsets unless extended						
43	<b>Family Teaching Homes (FTH)</b>						
44	Assembly Bill 2100 Enacted						
45	Draft FTH Regulations						
46	Promulgate Regulations						
47	<b>Health Services</b>						
48	Establish Dental Coordinator Positions						
49	Implement Expanded Nursing Assessment Components						
50	Implement Expanded Nursing Assessment						
51	Develop Individual Health Care Plan						
52	Implement Individual Health Care Plan						
53	Develop Risk Assessment Tool						
54	Implement Risk Assessment Tool						
55	Establish Agnews Outpatient Clinic						
56	Provide Service Through Agnews Outpatient Clinic						

A check indicates a completed task.  
May 2007

## Major Implementation Steps and Timelines

### Report on the Plan for Closing Agnews Developmental Center

ID	Task Name	2007					
		2nd Quarter			3rd Quarter		
		Apr '07	May '07	Jun '07	Jul '07	Aug '07	Sep '07
57	Establish CIRT Teams						
58	Contract with Telecare for crisis facility						
59	Continue the development of capacity building with health plans						
60	Establish contracts for mental health and dental services						
61	Provide service delivery monitoring and oversight						
62							
63	<b>State Employees in the Community</b>						
64	Draft Legislation						
65	Introduce AB 1378						
66	AB 1378 effective 1/1/2006						
67	DDS-Labor Relations notice impacted unions of change in working conditions and offer to meet and confer/discuss.						
68	DDS establishes negotiating team						
69	DDS initiate survey of regional centers regarding need for State employees for use in transition to community.						
70	DDS-Agnews analyze survey results to determine bargaining positions, number of unions impacted, notice additional unions if necessary						
71	DDS—Agnews/Regional Centers develop contracts for use of state employees and reimbursement						
72	DPA and DDS meet with unions to negotiate /discuss use of State employees						
73	Agnews deploys up to 200 State employees						
74	<b>Quality Management System</b>						
75	Establish QMS Commission						
76	<b>Provider - Tools</b>						
77	Complete Provider Expectations document						
78	Draft QSR expectations						
79	Complete QSR Manual						
80	Draft and Pilot QSR monitoring tools						
81	Complete QSR monitoring tools						
82	Draft QSR and monitoring tools Training Manual						
83	Pilot QSR and monitoring tools Training Manual						

A check indicates a completed task.  
May 2007

## Major Implementation Steps and Timelines

### Report on the Plan for Closing Agnews Developmental Center

ID	Task Name	2007					
		2nd Quarter			3rd Quarter		
		Apr '07	May '07	Jun '07	Jul '07	Aug '07	Sep '07
84	<b>Consumer - Tools</b>						
85	✓ Develop, pilot test, and finalize Service Coordinator Tool						
86	✓ Develop, pilot, and finalize Behavior Plan Review tool						
87	✓ Develop, pilot test and DRAFT a Quality Snapshot tool						
88	✓ Develop, pilot, and finalize Nurse Health Review tool						
89	✓ Develop, pilot, and finalize Bay Area Project staff tool						
90	✓ Xenologic Contract						
91	✓ Completion of NCI Consumer Survey Year One						
92	✓ Completion of NCI Family Satisfaction Survey Year One						
93	✓ Final reports on both Consumer and Family Surveys						
94	✓ Develop contract and Scope of Work for Year Two						
95	📅 Final Report Year Two Surveys						
96	✓ Community Development team Meetings						
97	✓ CMS final report due on Grant						
98	<b>Business Management Team</b>						
99	📅 Identify and support employees' personal needs to plan for future employment or retirement						
100	✓ Develop a plan to secure and protect Agnews' property throughout closure						
101	✓ Protocols in process to secure and protect Agnews' property throughout closure						
102	✓ Develop a process to ensure timely notification to stakeholders and appropriate entities regarding closure activities						
103	✓ Protocols in process to ensure timely notification regarding closure						
104	✓ Plan for inventory communications and IT equipment, determine and develop a plan, and effectuate disposition						
105	✓ Create website for DCs containing policies and procedures for inventory of communications, and IT equipment						
106	✓ Manage Workers' Compensation cases, and preserve and transfer active and inactive cases including Stockton DC						
107	✓ Plan for purge and preserve Agnews' records as appropriate						
108	✓ Protocols in process for purge and preserve records						
109	✓ Develop process to move personal property with the consumer						

**A check indicates a completed task.  
May 2007**

## Major Implementation Steps and Timelines

### Report on the Plan for Closing Agnews Developmental Center

ID	Task Name	2007					
		2nd Quarter			3rd Quarter		
		Apr '07	May '07	Jun '07	Jul '07	Aug '07	Sep '07
110	 Protocols in process to move personal property with consumer						
111	 Project, plan, and manage fiscal resources thru closure						
112	 Plan and facilitate consolidation of programs and services as population declines, including property leases						
113	 Inventory, store, distribute state surplus property - supplies						
114	 Create website for DCs containing policies and procedures for inventory, store-distribute state surplus property supplies						
115	 Develop a process to properly handle all trust account transactions during closure and forward balances at the time of discharge						
116	 Inventory and arrange for proper disposal of hazardous materials						
117	 Inventory and preserve historical items						
118	 Develop a plan to maintain Agnews' property during warm shutdown						
119	 Maintain physical plant during warm shutdown						

A check indicates a completed task.  
May 2007

**Fiscal Update**

**DEPARTMENT OF DEVELOPMENTAL SERVICES**  
**Plan to Close Agnews Developmental Center**

**BUDGET BY FISCAL YEAR**

	<b>Base 2004-05</b>	<b>2006-07 May Revision CY 2005-06</b>	<b>2007-08 May Revision CY 2006-07</b>	<b>2007-08 May Revision BY 2007-08</b>	<b>2008-09</b>	<b>2009-10</b>	<b>2010-11</b>
<b>FISCAL IMPACT BY ISSUE</b>							
<b>Developmental Centers</b>							
1. Agnews Budget Base	\$100,214,000	\$92,402,000	\$83,033,000	\$73,754,000	\$0	\$0	\$0
2. Placements Into the Community	-2,502,000	-9,654,000	351,000	-12,722,000	0	0	0
3. Consumer Transfers to Other DCs	0	0	0	-430,000	0	0	0
4. State Employees in the Community	0	682,000	3,758,000	9,459,000	18,527,000	18,527,000	485,000
5. Administrative Staff for Closure	0	0	0	0	440,000	0	0
6. Warm Shut Down	0	0	0	0	4,348,000	0	0
7. Foster Grandparent/Senior Companion Program	0	0	0	0	-399,000	0	0
8. Staff Costs for Closure Plan	0	201,000	716,000	4,918,000	163,000	0	0
9. Facility Preparation	0	0	0	73,000	0	0	0
10. Consumer Relocation Costs	0	0	0	105,000	0	0	0
11. Regional Resource Development Projects	0	0	0	0	937,000	937,000	937,000
12. Agnews Staffing Plan	0	3,231,000	0	731,000	0	0	0
<b>Sub-Total, Developmental Centers</b>	<b>\$97,712,000</b>	<b>\$86,862,000</b>	<b>\$87,858,000</b>	<b>\$75,888,000</b>	<b>\$24,016,000</b>	<b>\$19,464,000</b>	<b>\$1,422,000</b>
<i>General Fund</i>	<i>51,610,000</i>	<i>45,888,000</i>	<i>44,822,000</i>	<i>35,569,759</i>	<i>5,441,000</i>	<i>808,000</i>	<i>808,000</i>
<i>Other</i>	<i>46,102,000</i>	<i>40,974,000</i>	<i>43,036,000</i>	<i>40,318,241</i>	<i>18,575,000</i>	<i>18,656,000</i>	<i>614,000</i>
<b>Regional Centers</b>							
13. Community Placement Plan	\$27,798,000	\$21,511,000	\$26,076,000	\$52,652,000	\$519,000	\$519,000	\$519,000
14. Placement Continuation	5,279,000	9,442,000	14,314,000	32,823,000	95,766,000	95,936,000	95,936,000
<b>Sub-Total, Regional Centers</b>	<b>\$33,077,000</b>	<b>\$30,953,000</b>	<b>\$40,390,000</b>	<b>\$85,475,000</b>	<b>\$96,285,000</b>	<b>\$96,455,000</b>	<b>\$96,455,000</b>
<i>General Fund</i>	<i>29,667,000</i>	<i>27,543,000</i>	<i>34,981,000</i>	<i>65,261,000</i>	<i>66,413,000</i>	<i>66,502,000</i>	<i>66,502,000</i>
<i>Other</i>	<i>3,410,000</i>	<i>3,410,000</i>	<i>5,409,000</i>	<i>20,214,000</i>	<i>29,872,000</i>	<i>29,953,000</i>	<i>29,953,000</i>
<b>GRAND TOTAL</b>	<b>\$130,789,000</b>	<b>\$117,815,000</b>	<b>\$128,248,000</b>	<b>\$161,363,000</b>	<b>\$120,301,000</b>	<b>\$115,919,000</b>	<b>\$97,877,000</b>
<i>(Please see pages G-7.2 to G-7.4 for detail.)</i>	<i>General Fund</i>	<i>81,277,000</i>	<i>73,431,000</i>	<i>100,830,759</i>	<i>71,854,000</i>	<i>67,310,000</i>	<i>67,310,000</i>
	<i>Other</i>	<i>49,512,000</i>	<i>44,384,000</i>	<i>60,532,241</i>	<i>48,447,000</i>	<i>48,609,000</i>	<i>30,567,000</i>

<b>CHANGE FROM PRIOR FISCAL YEAR</b>							
<b>GRAND TOTAL</b>	<b>Total</b>	<b>-\$12,974,000</b>	<b>\$10,433,000</b>	<b>\$33,115,000</b>	<b>-\$41,062,000</b>	<b>-\$4,382,000</b>	<b>-\$18,042,000</b>
	<i>General Fund</i>	<i>-7,846,000</i>	<i>6,372,000</i>	<i>21,027,759</i>	<i>-28,976,759</i>	<i>-4,544,000</i>	<i>0</i>
	<i>Other</i>	<i>-5,128,000</i>	<i>4,061,000</i>	<i>12,087,241</i>	<i>-12,085,241</i>	<i>162,000</i>	<i>-18,042,000</i>
<b>Developmental Centers</b>	<b>Total</b>	<b>-\$10,850,000</b>	<b>\$996,000</b>	<b>-\$11,970,000</b>	<b>-\$51,872,000</b>	<b>-\$4,552,000</b>	<b>-\$18,042,000</b>
	<i>General Fund</i>	<i>-5,722,000</i>	<i>-1,066,000</i>	<i>-9,252,241</i>	<i>-30,128,759</i>	<i>-4,633,000</i>	<i>0</i>
	<i>Other</i>	<i>-5,128,000</i>	<i>2,062,000</i>	<i>-2,717,759</i>	<i>-21,743,241</i>	<i>81,000</i>	<i>-18,042,000</i>
<b>Regional Centers</b>	<b>Total</b>	<b>-\$2,124,000</b>	<b>\$9,437,000</b>	<b>\$45,085,000</b>	<b>\$10,810,000</b>	<b>\$170,000</b>	<b>\$0</b>
	<i>General Fund</i>	<i>-2,124,000</i>	<i>7,438,000</i>	<i>30,280,000</i>	<i>1,152,000</i>	<i>89,000</i>	<i>0</i>
	<i>Other</i>	<i>0</i>	<i>1,999,000</i>	<i>14,805,000</i>	<i>9,658,000</i>	<i>81,000</i>	<i>0</i>

DEPARTMENT OF DEVELOPMENTAL SERVICES  
Plan to Close Agnews Developmental Center

COSTS TO CLOSE AGNEWS DEVELOPMENTAL CENTER

FISCAL SYNOPSIS

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 May Revision for CY 2006-07	2007-08 May Revision for BY 2007-08	2008-09	2009-10	2010-11	
<b>DEVELOPMENTAL CENTERS</b>									
<b>1.</b>	<b>Agnews Budget Base</b>	<b>Total</b>	<b>\$100,214,000</b>	<b>\$92,402,000</b>	<b>\$83,033,000</b>	<b>\$73,754,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Includes the costs related to the base operations of Agnews including personal services, operating expenses, and equipment costs.	<i>General Fund</i>	52,923,000	49,154,000	44,237,000	39,347,759	0	0	0
		<i>Other</i>	47,291,000	43,248,000	38,796,000	34,406,241	0	0	0
		<b>PYs</b>	<b>1173.0</b>	<b>1187.0</b>	<b>1057.0</b>	<b>1046.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<b>Year Beginning Population</b>		<b>376</b>	<b>327</b>	<b>280</b>	<b>204</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2.</b>	<b>Placements Into the Community</b>	<b>Total</b>	<b>-\$2,502,000</b>	<b>-\$9,654,000</b>	<b>\$351,000</b>	<b>-\$12,722,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Includes the savings resulting from the relocation of Agnews residents into the community.	<i>General Fund</i>	-1,313,000	-5,067,000	203,000	-6,787,000	0	0	0
		<i>Other</i>	-1,189,000	-4,587,000	148,000	-5,935,000	0	0	0
		<b>PYs</b>	<b>0.0</b>	<b>-122.0</b>	<b>-16.0</b>	<b>-158.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<b>Placements</b>		<b>-52</b>	<b>-63</b>	<b>-70</b>	<b>-188</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Deaths</b>		<b>-10</b>	<b>-6</b>	<b>-6</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>3.</b>	<b>Consumer Transfers to Other DCs</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>-\$430,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Includes the savings resulting from the transfer of 10 Agnews residents to other Developmental Centers.	<i>General Fund</i>	0	0	0	-229,000	0	0	0
		<i>Other</i>	0	0	0	-201,000	0	0	0
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	<b>Population</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>-10</b>	<b>0</b>	<b>0</b>	
<b>4.</b>	<b>State Employees in the Community</b>	<b>Total</b>	<b>\$0</b>	<b>\$682,000</b>	<b>\$3,758,000</b>	<b>\$9,459,000</b>	<b>\$18,527,000</b>	<b>\$18,527,000</b>	<b>\$485,000</b>
	Includes costs for direct support services and clinical staff. After closure in 2007-08 costs will be transferred to Sonoma.	<i>General Fund</i>	0	0	0	129,000	259,000	259,000	259,000
		<i>Other</i>	0	682,000	3,758,000	9,330,000	18,268,000	18,268,000	226,000
<b>5.</b>	<b>Administrative Staff for Closure</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$440,000</b>	<b>\$0</b>	<b>\$0</b>
	Includes the costs of staff needed to ensure records are transferred or stored in a confidential manner, and essential historical documents are chronicled and maintained for approximately 90 days.	<i>General Fund</i>	0	0	0	0	440,000	0	0
		<i>Other</i>	0	0	0	0	0	0	0
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>20.0</b>	<b>0.0</b>	<b>0.0</b>
<b>6.</b>	<b>Warm Shut Down</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,348,000</b>	<b>\$0</b>	<b>\$0</b>
	Includes the staff and operating expenses to maintain the Agnews facility, including security, utilities and supplies for approximately one year.	<i>General Fund</i>	0	0	0	0	4,348,000	0	0
		<i>Other</i>	0	0	0	0	0	0	0
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>25.0</b>	<b>0.0</b>	<b>0.0</b>
<b>7.</b>	<b>Foster Grandparent/Senior Companion Program</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>-\$399,000</b>	<b>\$0</b>	<b>\$0</b>
	Includes savings for the Foster Grandparent and Senior Companion Programs that will be transferred to the regional center system for continuation of services.	<i>General Fund</i>	0	0	0	0	-318,000	0	0
		<i>Other</i>	0	0	0	0	-81,000	0	0
		<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>-1.0</b>	<b>0.0</b>	<b>0.0</b>
<b>8.</b>	<b>Staff Costs for Closure Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$201,000</b>	<b>\$716,000</b>	<b>\$4,918,000</b>	<b>\$163,000</b>	<b>\$0</b>	<b>\$0</b>
	Includes costs for staff transition, staff training, staffing escorts for transportation of clients, etc.	<i>General Fund</i>	0	105,000	382,000	2,624,000	163,000	0	0
		<i>Other</i>	0	96,000	334,000	2,294,000	0	0	0

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 May Revision for CY 2006-07	2007-08 May Revision for BY 2007-08	2008-09	2009-10	2010-11
<b>9. Facility Preparation</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$73,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Includes the costs associated with preparing Sonoma to receive Agnews residents.							
	<i>General Fund</i>	0	0	0	39,000	0	0	0
	<i>Other</i>	0	0	0	34,000	0	0	0
<b>10. Consumer Relocation Costs</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$105,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Includes costs associated with relocation of clients, such as moving vans, transportation vehicles, etc.							
	<i>General Fund</i>	0	0	0	56,000	0	0	0
	<i>Other</i>	0	0	0	49,000	0	0	0
<b>11. Regional Resource Development Projects</b>	<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$937,000</b>	<b>\$937,000</b>	<b>\$937,000</b>
	Includes costs to relocate the RRDP due to Agnews closure. The existing RRDP costs are transferring to Sonoma for administrative purposes.							
	<i>General Fund</i>	0	0	0	0	549,000	549,000	549,000
	<i>Other</i>	0	0	0	0	388,000	388,000	388,000
	<b>PYs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>
<b>12. Agnews Staffing Plan</b>	<b>Total</b>	<b>\$0</b>	<b>\$3,231,000</b>	<b>\$0</b>	<b>\$731,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Includes costs for non-level-of-care staff in various program areas to ensure adequate staff is maintained during the closure process, as well as maintaining the health and safety of the residents.							
	<i>General Fund</i>	0	1,696,000	0	390,000	0	0	0
	<i>Other</i>	0	1,535,000	0	341,000	0	0	0
	<b>PYs</b>	<b>0.0</b>	<b>43.0</b>	<b>5.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Total Developmental Centers</b>		<b>\$97,712,000</b>	<b>\$86,862,000</b>	<b>\$87,858,000</b>	<b>\$75,888,000</b>	<b>\$24,016,000</b>	<b>\$19,464,000</b>	<b>\$1,422,000</b>
	<i>General Fund</i>	51,610,000	45,888,000	44,822,000	35,569,759	5,441,000	808,000	808,000
	<i>Other</i>	46,102,000	40,974,000	43,036,000	40,318,241	18,575,000	18,656,000	614,000
	<b>PYs</b>	<b>1,173.0</b>	<b>1,108.0</b>	<b>1,046.0</b>	<b>898.0</b>	<b>56.0</b>	<b>12.0</b>	<b>12.0</b>
	<b>Year Ending Population</b>	<b>324</b>	<b>254</b>	<b>204</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**REGIONAL CENTERS**

<b>13. Community Placement Plan</b>	<b>Total</b>	<b>\$3,422,000</b>	<b>\$6,685,000</b>	<b>\$7,845,000</b>	<b>\$8,407,000</b>	<b>\$519,000</b>	<b>\$519,000</b>	<b>\$519,000</b>
<b>A) Operations</b>	Includes costs for CPP administration, service coordination, clinical, and resource development staff.							
	<i>General Fund</i>	3,422,000	6,428,000	6,954,000	7,300,000	438,000	438,000	438,000
	<i>Other</i>	0	257,000	891,000	1,107,000	81,000	81,000	81,000
<b>B) Purchase of Services (POS)</b>	Includes costs for placements into the community including property management and leases as applicable, traditional and specialized service start-up, non-profits to develop and manage properties, and health and behavioral health treatment and crisis services.							
	<b>Total</b>	<b>\$24,376,000</b>	<b>\$14,826,000</b>	<b>\$18,231,000</b>	<b>\$44,245,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
	Placements	52	63	70	188	0	0	0
	<i>General Fund</i>	21,853,000	13,664,000	17,234,000	32,604,000	0	0	0
	<i>Other</i>	2,523,000	1,162,000	997,000	11,641,000	0	0	0
	<i>(FYI: State Employees in the Community costs included in POS above)</i>	<i>(0)</i>	<i>(251,000)</i>	<i>(2,508,000)</i>	<i>(7,663,000)</i>	<i>(0)</i>	<i>(0)</i>	<i>(0)</i>
<b>Total Community Placement Plan (A+B)</b>		<b>\$27,798,000</b>	<b>\$21,511,000</b>	<b>\$26,076,000</b>	<b>\$52,652,000</b>	<b>\$519,000</b>	<b>\$519,000</b>	<b>\$519,000</b>
	<b>Placements</b>	<b>52</b>	<b>63</b>	<b>70</b>	<b>188</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<i>General Fund</i>	25,275,000	20,092,000	24,188,000	39,904,000	438,000	438,000	438,000
	<i>Other</i>	2,523,000	1,419,000	1,888,000	12,748,000	81,000	81,000	81,000

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 May Revision for CY 2006-07	2007-08 May Revision for BY 2007-08	2008-09	2009-10	2010-11
<b>14. Placement Continuation</b>								
<b>A) Operations</b>	Total	\$70,000	\$297,000	\$309,000	\$338,000	\$2,188,000	\$2,358,000	\$2,358,000
	Includes costs for additional service coordination.							
	General Fund	37,000	125,000	130,000	142,000	677,000	766,000	766,000
	Other	33,000	172,000	179,000	196,000	1,511,000	1,592,000	1,592,000
<b>B) Purchase of Services (POS)</b>	Total	\$5,209,000	\$9,145,000	\$14,005,000	\$32,485,000	\$93,578,000	\$93,578,000	\$93,578,000
	Includes costs for CPP placements and specialized services and housing.							
	Placements	49	44	40	70	188	0	0
	General Fund	4,355,000	7,326,000	10,663,000	25,215,000	65,298,000	65,298,000	65,298,000
	Other	854,000	1,819,000	3,342,000	7,270,000	28,280,000	28,280,000	28,280,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)	(0)	(16,488,000)	(16,488,000)	(0)
<b>Total Placements Continuation (A+B)</b>		<b>\$5,279,000</b>	<b>\$9,442,000</b>	<b>\$14,314,000</b>	<b>\$32,823,000</b>	<b>\$95,766,000</b>	<b>\$95,936,000</b>	<b>\$95,936,000</b>
	<b>Prior Year Placements</b>	<b>49</b>	<b>44</b>	<b>40</b>	<b>70</b>	<b>188</b>	<b>0</b>	<b>0</b>
	General Fund	4,392,000	7,451,000	10,793,000	25,357,000	65,975,000	66,064,000	66,064,000
	Other	887,000	1,991,000	3,521,000	7,466,000	29,791,000	29,872,000	29,872,000
<b>Total Regional Centers</b>		<b>\$33,077,000</b>	<b>\$30,953,000</b>	<b>\$40,390,000</b>	<b>\$85,475,000</b>	<b>\$96,285,000</b>	<b>\$96,455,000</b>	<b>\$96,455,000</b>
	General Fund	29,667,000	27,543,000	34,981,000	65,261,000	66,413,000	66,502,000	66,502,000
	Other	3,410,000	3,410,000	5,409,000	20,214,000	29,872,000	29,953,000	29,953,000
<b>TOTAL: DEVELOPMENTAL CENTERS AND REGIONAL CENTERS</b>		<b>Total</b>	<b>\$130,789,000</b>	<b>\$117,815,000</b>	<b>\$128,248,000</b>	<b>\$161,363,000</b>	<b>\$120,301,000</b>	<b>\$115,919,000</b>
	General Fund	81,277,000	73,431,000	79,803,000	100,830,759	71,854,000	67,310,000	67,310,000
	Other	49,512,000	44,384,000	48,445,000	60,532,241	48,447,000	48,609,000	30,567,000
	PYs	1,173.0	1,108.0	1,046.0	898.0	56.0	12.0	12.0
	Year Ending Population	324	254	204	0	0	0	0
	Placements	52	63	70	188	0	0	0
	Prior Year Placements	49	44	40	70	188	0	0