

DEPARTMENT OF DEVELOPMENTAL SERVICES

1600 NINTH STREET, Room 240, MS 2-13
SACRAMENTO, CA 95814
TTY (916) 654-2054 (For the Hearing Impaired)
(916) 654-1897



February 1, 2018

Joint Legislative Budget Committee
1020 N Street, Room 553
Sacramento, CA 95814
Attention: Jennifer Troia, Principal Consultant

Dear Joint Legislative Budget Committee:

Supplemental Budget Information

Pursuant to Assembly Bill 1606, Chapter 26, Statutes of 2016, enclosed is the Department of Developmental Services' (DDS) supplemental budget information. More specifically, DDS provides information by regional center as follows:

- Fiscal Year 2016-17 total and per capita expenditures for operations and purchase of services, including the number of persons being served;
- Fiscal Year 2017-18 allocations of total and per capita funding for operations and purchase of services, including the number of persons being served;
- Fiscal Year 2016-17 and 2017-18 allocations of community placement plan funding, including a breakdown of funding for startup, assessment, placement, and deflection; and
- Staff information.

If you have any questions on this supplemental budget information, please do not hesitate to contact John Doyle, Chief Deputy Director, at (916) 654-1899.

Sincerely,

Original signed by John Doyle for

NANCY BARGMANN,
Director

Enclosure

cc: Daniel Alvarez, Secretary of the Senate

“Building Partnerships, Supporting Choices”

**Department of Developmental Services
Fiscal Year 2016-17 Non-Community Placement Plan (Non-CPP) Expenditures**

	Operations		Purchase of Service (POS)		Consumers per June 2017 Client Master File
	Expenditures ^{1/}	Calculated \$ per Consumer	Expenditures ^{1/}	Calculated \$ per Consumer ^{2/}	Status 1 and 2 ^{3/}
	A	B = A/E	C	D = C/E	E
Alta California	\$41,690,480	\$1,911	\$330,141,008	\$15,133	21,816
Central Valley	37,230,732	2,037	222,723,876	12,189	18,273
East Bay	40,145,780	2,129	333,950,201	17,711	18,856
Eastern L.A.	24,940,780	2,234	183,642,685	16,450	11,164
Far Northern	17,543,545	2,351	132,924,980	17,811	7,463
Frank D. Lanterman	20,279,601	2,079	147,485,429	15,119	9,755
Golden Gate	21,197,966	2,391	240,556,070	27,135	8,865
Harbor	27,443,377	2,171	158,943,634	12,575	12,640
Inland	64,153,930	1,968	373,387,140	11,454	32,598
Kern	18,301,199	2,207	146,444,250	17,659	8,293
North Bay	19,812,644	2,344	172,714,508	20,435	8,452
North L.A.	41,827,775	1,775	358,966,801	15,230	23,570
Orange County	37,955,074	1,860	323,290,204	15,839	20,411
Redwood Coast	10,019,758	2,723	96,127,077	26,121	3,680
San Andreas	33,492,011	2,042	361,293,674	22,030	16,400
San Diego	51,212,022	1,996	307,881,001	12,002	25,652
San Gabriel	27,684,356	2,156	190,015,807	14,801	12,838
South Central L.A.	31,082,349	2,174	193,336,027	13,520	14,300
Tri-Counties	30,436,773	2,247	246,749,880	18,214	13,547
Valley Mountain	26,709,910	2,041	162,949,890	12,452	13,086
Westside	19,822,800	2,318	178,919,662	20,926	8,550
Total	\$642,982,862	\$2,073	\$4,862,443,805	\$15,675	310,209

^{1/} Operations and POS Expenditures as of 12/04/17 do not reflect final expenditures; Fiscal Year 2016-17 is open for liquidation until 06/30/2019.

^{2/} Actual POS costs per consumer is influenced by many variables including, but not limited to, geographic location, living arrangement, and individual service needs.

^{3/} Consumer Population as of June 30, 2017, includes Early Start and Active Consumers of all ages.

**Department of Developmental Services
Fiscal Year 2017-18 Non-Community Placement Plan (Non-CPP) Allocations*
through the D-1 Contract 08/25/17**

	Operations	Purchase of Services (POS)	Total Operations and POS	Consumers per December 2017 Client Master File Status 1 and 2 ^{1/}	Operations Dollars Allocated per Consumer	POS Dollars Calculated per Consumer ^{2/}
	A	B	C = A + B	D	E = A/D	F = B/D
Alta California	\$45,905,482	\$350,975,808	\$396,881,290	22,299	\$2,059	\$15,740
Central Valley	40,147,353	243,309,984	283,457,337	18,563	2,163	13,107
East Bay	41,970,772	363,115,364	405,086,136	19,293	2,175	18,821
Eastern L.A.	25,886,058	192,598,702	218,484,760	11,277	2,295	17,079
Far Northern	17,813,186	143,685,698	161,498,884	7,647	2,329	18,790
Frank D. Lanterman	22,076,955	159,076,447	181,153,402	9,901	2,230	16,067
Golden Gate	22,716,735	262,479,043	285,195,778	8,958	2,536	29,301
Harbor	29,674,513	172,892,912	202,567,425	13,124	2,261	13,174
Inland	68,456,580	409,478,842	477,935,422	33,580	2,039	12,194
Kern	19,549,995	157,129,103	176,679,098	8,507	2,298	18,471
North Bay	20,537,905	189,980,374	210,518,279	8,507	2,414	22,332
North L.A.	48,658,755	383,743,165	432,401,920	24,206	2,010	15,853
Orange County	43,125,676	335,586,926	378,712,602	20,849	2,068	16,096
Redwood Coast	10,378,724	103,979,624	114,358,348	3,772	2,752	27,566
San Andreas	36,297,032	386,058,137	422,355,169	16,710	2,172	23,103
San Diego	52,323,331	335,171,988	387,495,319	26,450	1,978	12,672
San Gabriel	29,370,489	209,615,263	238,985,752	12,960	2,266	16,174
South Central L.A.	34,507,510	203,443,530	237,951,040	14,610	2,362	13,925
Tri-Counties	31,788,749	262,094,665	293,883,414	13,856	2,294	18,916
Valley Mountain	29,134,411	180,619,751	209,754,162	13,423	2,170	13,456
Westside	20,613,192	187,600,288	208,213,480	8,715	2,365	21,526
Total	\$690,933,403	\$5,232,635,614	\$5,923,569,017	317,207	\$2,178	\$16,496

*Allocations reflect regional centers' contractual spending authority rather than actual expenditures.

^{1/}Consumer Population as of December 31, 2017. Includes Early Start and Active Consumers of all ages.

^{2/}Actual POS costs per consumer is influenced by many variables including, but not limited to, geographic location, living arrangement, and individual service needs.

**Department of Developmental Services
Fiscal Year 2016-17 Community Placement Plan (CPP) Allocations*
through the C-4 Contract 12/21/2017**

	Operations	Purchase of Services					Total Ops + POS
		Start Up	Assessment	Placement	Deflection	Sub-Total	
	A	B	C	D	E	F = Sum (B:E)	G = A+F
Alta California	\$859,910	\$2,913,478	\$1,064	\$2,059,250	\$13,394	\$4,987,186	\$5,847,096
Central Valley	1,385,878	2,465,105	21,000	1,752,971	0	4,239,076	5,624,954
East Bay	2,124,580	8,983,671	0	2,106,661	0	11,090,332	13,214,912
Eastern L.A.	616,959	314,000	73,028	2,246,828	0	2,633,856	3,250,815
Far Northern	606,897	1,490,000	16,400	687,183	17,400	2,210,983	2,817,880
Frank D. Lanterman	324,506	2,286,000	85,500	419,976	0	2,791,476	3,115,982
Golden Gate	1,749,020	11,164,912	900	3,419,125	10,400	14,595,337	16,344,357
Harbor ^{1/}	1,506,442	12,233,292	19,500	771,535	0	13,024,327	14,530,769
Inland	432,720	1,675,000	24,000	234,250	0	1,933,250	2,365,970
Kern	896,838	4,060,000	90,090	1,208,562	0	5,358,652	6,255,490
North Bay	2,058,390	14,941,067	6,520	3,820,550	0	18,768,137	20,826,527
North L.A.	705,454	945,000	121,000	1,670,356	26,250	2,762,606	3,468,060
Orange County	534,750	8,420,299	1,803	1,082,875	0	9,504,977	10,039,727
Redwood Coast	169,470	250,000	14,340	172,285	74,200	510,825	680,295
San Andreas	523,460	2,099,000	6,497	839,622	0	2,945,119	3,468,579
San Diego	1,374,117	3,431,326	236,000	856,790	0	4,524,116	5,898,233
San Gabriel	1,204,837	4,382,930	63,275	822,570	0	5,268,775	6,473,612
South Central L.A.	463,680	900,000	245,000	2,517,131	0	3,662,131	4,125,811
Tri-Counties	1,182,365	1,245,000	24,000	728,455	66,000	2,063,455	3,245,820
Valley Mountain	476,820	680,000	618	368,275	0	1,048,893	1,525,713
Westside	1,075,269	3,510,000	96,097	735,162	52,738	4,393,997	5,469,266
Total	\$20,272,362	\$88,390,080	\$1,146,632	\$28,520,412	\$260,382	\$118,317,506	\$138,589,868

*Allocations reflect regional centers' contractual spending authority per approved plans rather than actual expenditures. Includes allocations for DC Closure CF

Harbor w/o IP	\$381,330	\$750,000	\$19,500	\$771,535	\$0	\$1,541,035	\$1,922,365
Harbor, IP only	1,125,100	11,483,292	0	0	0	11,483,292	12,608,392
^{1/} Total Harbor	\$1,506,430	\$12,233,292	\$19,500	\$771,535	\$0	\$13,024,327	\$14,530,757

^{1/}Harbor Regional Center's CPP allocation includes funds for the Southern California Integrated Health and Living Project, also known as SCIHLP or IP. The IP is a southern California regional center collaborative project focused on developing specialized community resources that will provide services to individuals across regional center boundaries.

**Department of Developmental Services
Fiscal Year 2017-18 Community Placement Plan (CPP) Allocations*
through the D-1 Contract 08/25/17**

	Operations	Purchase of Services (POS)					Sub-Total	Total Ops + POS
		Start Up	Safety Net Start Up	Assessment	Placement	Deflection		
		A	B	C	D	E		
Alta California	\$1,038,047	\$580,000	\$1,500,000	\$0	\$1,016,750	\$0	\$3,096,750	\$4,134,797
Central Valley	962,915	275,000	3,000,000	0	419,000	0	3,694,000	4,656,915
East Bay	1,818,297	325,000	378,000	0	2,012,000	0	2,715,000	4,533,297
Eastern L.A.	530,800	550,000	0	61,500	193,000	0	804,500	1,335,300
Far Northern	637,872	500,000	1,500,000	14,000	190,250	21,006	2,225,256	2,863,128
Frank D. Lanterman	240,165	550,000	0	57,376	140,000	0	747,376	987,541
Golden Gate	1,329,314	1,300,000	0	0	1,040,500	0	2,340,500	3,669,814
Harbor ^{1/}	1,008,684	2,325,000	0	3,600	85,750	0	2,414,350	3,423,034
Inland	430,601	825,000	0	33,000	166,000	0	1,024,000	1,454,601
Kern	715,765	915,000	0	83,200	244,500	12,218	1,254,918	1,970,683
North Bay	1,890,362	800,000	0	0	2,010,500	23,380	2,833,880	4,724,242
North L.A.	522,803	150,000	0	4,500	240,000	133,092	527,592	1,050,395
Orange County	307,497	1,600,000	0	0	1,034,500	0	2,634,500	2,941,997
Redwood Coast	140,309	125,000	0	4,780	104,500	0	234,280	374,589
San Andreas	508,666	1,075,000	0	0	202,500	0	1,277,500	1,786,166
San Diego	1,261,318	750,000	0	188,000	429,000	0	1,367,000	2,628,318
San Gabriel	977,441	175,000	3,000,000	49,667	193,000	15,164	3,432,831	4,410,272
South Central L.A.	361,958	550,000	0	125,000	355,000	0	1,030,000	1,391,958
Tri-Counties	838,473	0	0	69,000	277,750	17,479	364,229	1,202,702
Valley Mountain	397,247	250,000	0	0	172,500	0	422,500	819,747
Westside	720,993	925,000	0	49,500	240,000	27,661	1,242,161	1,963,154
Total	\$16,639,527	\$14,545,000	\$9,378,000	\$743,123	\$10,767,000	\$250,000	\$35,683,123	\$52,322,650

*Allocations reflect regional centers' contractual spending authority per approved CPP plans rather than actual expenditures. Includes allocations for DC Closure CPP

Harbor w/o IP	\$254,081	\$450,000	\$0	\$3,600	\$85,750	\$0	\$539,350	\$793,431
Harbor, IP only	754,603	1,875,000	0	0	0	0	1,875,000	2,629,603
^{1/}Total Harbor	\$1,008,684	\$2,325,000	\$0	\$3,600	\$85,750	\$0	\$2,414,350	\$3,423,034

^{1/}Harbor Regional Center's CPP allocation includes funds for the Southern California Integrated Health and Living Project, also known as SCHILP or IP. The IP is a southern California regional center collaborative project focused on developing specialized community resources that will provide services to individuals across regional center boundaries.

**Regional Center
Full-time Equivalent Staff**

	Core Regional Center Functions														
	Service Coordination	Intake	Clinical Services	Community Service	Right Assurance	Accounting/ Fiscal	Administration & Governance	Compliance	Facilities Operation	Human Resources	Training	Information Technology	Office and Support Services	Other	Regional Center Total
Alta California	331.1	17.0	11.4	26.0	3.0	17.5	2.0	12.0	2.0	6.0	2.0	5.1	40.5	0.0	475.6
Central Valley	320.0	19.0	16.0	17.0	1.0	17.0	3.0	9.0	4.0	4.0	2.0	6.0	34.0	1.0	453.0
East Bay	288.3	12.5	23.3	18.5	2.6	19.0	2.0	14.0	3.0	3.0	2.0	6.0	46.8	0.0	440.9
Eastern L.A.	136.6	13.1	9.2	23.6	1.6	17.4	1.0	53.8	1.0	2.0	6.1	3.9	26.9	0.2	296.3
Far Northern	112.4	7.1	3.6	12.7	1.9	17.1	2.0	6.1	1.0	2.1	0.8	6.0	18.2	0.0	190.9
Frank D. Lanterman	148.5	8.5	5.3	11.9	0.6	15.5	4.5	6.7	2.5	2.5	2.5	5.5	12.0	7.3	233.7
Golden Gate	138.6	17.3	15.1	18.7	2.0	15.4	2.0	4.5	2.0	2.0	1.0	6.0	11.1	0.0	235.7
Harbor	205.5	11.0	2.7	21.8	1.2	13.8	3.7	3.7	1.0	2.8	1.7	5.0	34.0	2.8	310.5
Inland	501.0	23.0	13.5	35.5	10.0	33.8	3.0	24.5	5.3	4.0	5.0	10.0	81.0	7.5	757.0
Kern	103.0	2.0	10.5	8.5	1.0	11.5	2.0	7.0	0.5	2.0	1.0	2.5	14.0	0.5	166.0
North Bay	144.3	7.0	10.3	18.5	1.0	11.0	2.0	4.5	0.0	2.0	1.0	3.0	27.0	0.5	232.1
North L.A.	318.5	12.0	9.5	26.8	5.8	25.0	3.0	11.3	4.0	6.8	4.5	8.0	47.2	0.0	482.2
Orange County	284.5	35.0	18.1	18.7	2.7	20.0	2.0	10.0	1.0	2.0	3.0	6.0	15.1	3.5	421.6
Redwood Coast	68.7	2.3	4.0	7.0	0.2	8.0	2.0	4.3	2.0	1.0	0.1	1.0	18.1	0.1	118.7
San Andreas	210.0	9.0	8.0	27.0	2.0	18.0	3.0	7.0	1.0	2.0	0.0	4.0	6.0	1.0	298.0
San Diego	408.0	18.0	22.0	20.0	1.0	26.0	3.0	9.0	2.0	5.0	3.0	17.0	15.0	0.0	549.0
San Gabriel	246.0	19.0	9.0	29.5	2.0	18.5	3.0	7.0	3.0	3.0	0.0	8.0	35.5	2.0	385.5
South Central L.A.	276.0	14.0	5.8	24.0	2.8	16.3	5.0	5.5	1.0	2.0	1.0	5.0	38.5	3.0	399.8
Tri-Counties	229.3	3.7	6.2	21.4	1.0	12.7	3.0	8.6	2.5	2.9	3.3	6.2	27.8	3.6	332.0
Valley Mt.	212.5	25.0	4.0	13.0	1.0	13.0	2.0	15.0	1.0	4.0	1.0	5.0	25.0	1.5	323.0
Westside	136.5	20.8	9.2	20.3	3.5	14.8	2.0	9.0	2.0	3.6	1.7	4.1	14.8	5.9	248.2
Total	4,819.3	296.1	216.6	420.3	47.7	361.1	55.2	232.4	41.8	64.6	42.7	123.2	588.4	40.4	7,349.6

Regional center-reported data as of December 1, 2017. Please refer to "Functional Operations Staffing Survey Methodology" for more detail on core function categories.

Functional Operations Staffing Survey Methodology

DDS surveyed the 21 regional centers to determine the number of staff in each center. However, because the regional centers do not utilize standard position classifications, they worked in consultation with the Association of Regional Center Agencies (ARCA) to identify and classify into 14 categories the core functions they perform. DDS requested the regional centers report total full-time equivalent (FTE) positions, including contract and consultant staff, by these 14 categories as of December 1, 2017. One FTE is equivalent to one employee working full-time, while an employee working half-time equates to 0.5 FTE, and so forth.

DDS instructed the regional centers not to include positions that had been vacant for more than 60 days, or new positions established within the prior 60 days that were still vacant, unless the positions are in active recruitment.

Core Function Categories

Service Coordination

- All ongoing service coordination (including supervision) for Early Start and Lanterman-eligible individuals
- Includes Directors of Client Services and Assistant Directors of Client Services

Intake

- Intake workers and supervision, but not clinical services associated with intake

Clinical Services

- All clinical services, whether for intake, staff consultation, or any direct services paid out of operations

Community Services

- Resource development
- Quality assurance
- Public relations/outreach
- Interagency coordination and collaboration
- Vendorization and vendor coordination
- Management/Implementation of Community Placement Plan
- Consumer advocates
- Transportation Coordinators

Rights Assurance

- Fair hearings and complaints (including whistleblower) on behalf of the regional center
- Representation of individuals/families in other administrative hearings or appeals
- Working with the criminal justice system on behalf of individuals

Accounting/Fiscal

- Authorization and processing of payments for both POS and Operations
- Client revenue coordination, if applicable
- Rate table management

Functional Operations Staffing Survey Methodology

- Review, analysis, and processing of rate increases
- Regional center audits (CPA, DDS, other)
- Financial reporting
- Property custodian/inventory management
- CFO/Administrator and Controller and/or Supervisor positions
- Purchasing (OPS)
- Payroll processing
- Securing Business Insurance

Administration and Governance

- Executive Director
- Executive Secretaries

Compliance

- HIPAA compliance
- Compliance with TBL, DDS Contract, and Performance Contract Goals
- Processing Annual Family Program and Family Cost Participation Program fees
- Processing/analyzing special incident reports and performing risk mitigation
- Recertification of cases for the Medicaid Waiver
- Fiscal monitors
- Autism coordinator

Facilities Operation

- Management of the physical offices, including maintenance, remodels, expansions, and relocations

Human Resources

- Recruitment and hiring of employees
- Coordination of employee benefits (including Worker's Compensation claims)
- Union negotiations and labor relations, if applicable
- Administration of retirement benefits, including CalPERS, if applicable
- Caseload ratio monitoring and reporting

Training

- Provision or coordination of training for staff members, community members, vendors, individuals, and families on a variety of topics

Information Technology

- Management of the case management data base
- Management of the fiscal database
- Troubleshooting and repairing servers and desktop computers
- Website design, maintenance, and updating

Office and Support Services

- Electronic records storage
- Other office and supports services not included in another category

Other

- Family Resource Center, if applicable
- Foster Grandparent/Senior Companion programs, if applicable