

Report on the Plan for the Closure of Agnews Developmental Center



January 2007

**California Health and Human Services Agency
Department of Developmental Services**



“Building Partnerships, Supporting Choices”

Index

	Page
Executive Summary	ii to v
Purpose of the Report and Background	G-1 to G-2
Progress Report on Resource Development	
The Transition to the Community Process.....	G-3.1 to G-3.3
Resource Development.....	G-3.3 to G-3.17
Business Management Team.....	G-3.17 to G-3.18
Update on Agnews' Consumers	
Current Agnews' Residents.....	G-4.1 to G-4.5
Agnews' Consumers That Have Transitioned Into Community	
Living Arrangements.....	G-4.6 to G-4.10
Update on Employees	
Current Employee Composition.....	G-5.1 to G-5.4
Separated Employee Composition.....	G-5.5 to G-5.8
Plans for Employees.....	G-5.8 to G-5.10
Major Implementation Steps and Timelines	G-6 to G-6.5
Fiscal Update	G-7 to G-7.4

**For a copy of this update or other information, please refer to
www.dds.ca.gov/AgnewsClosure**

Report on the Plan for the Closure of Agnews Developmental Center

Executive Summary

This report provides a comprehensive update on the status of the Plan for the Closure of Agnews Developmental Center (Agnews Closure Plan). This is the third statutorily required update and covers progress from April 1, 2006, through November 30, 2006. The prior reports provided updates on progress from July 1, 2004, through March 31, 2006, and are available at www.dds.ca.gov/AgnewsClosure.

Background

The Department of Developmental Services (DDS) directly operates five state developmental centers and two smaller community facilities. These facilities provide programs, treatment, and supervision in 24-hour structured health care facilities. The population of California's developmental centers has decreased over time. This decrease in the use of institutions began when the community-based system was initiated in 1969 under the newly established Lanterman Mental Services Act, now called the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act promotes the provision of services in the least restrictive environment and emphasizes community settings as the preferred living option for most consumers. The total developmental center population declined dramatically as the community system expanded, falling from a high of 13,355 individuals in 1968 to the census of 2,895 individuals on November 30, 2006. Declining populations made it possible for the state to cease operating five facilities. Modesto State Hospital was closed in 1970, DeWitt State Hospital in 1972, Mendocino State Hospital in 1973, Stockton Developmental Center in 1995, and Camarillo State Hospital and Developmental Center in 1996.

The development of community services as an alternative to institutional care in California mirrors national trends that support the development of integrated services and the reduced reliance on state institutions. There has been a reduction in the national population of large state facilities of almost 70 percent in the last 25 years. Most states, including California, have reduced the population of their state facilities by over half since 1990. On average, ten large state facilities are closed each year across the nation. Seven states no longer operate state institutions.

In Olmstead, Commissioner, Georgia Department of Human Resources, et al. vs. L. C., by Zimring, Guardian ad Litem and next friend, et al. (1999), 527 U.S. 581, the United States Supreme Court decision stated that services should be provided in community settings when treatment professionals have determined that community placement is appropriate, when the individual does not object to community placement, and when the placement can reasonably be accommodated considering the resources available to the state and the needs of others with disabilities. Since the decision, the federal Department of Health and Human Services, Centers for Medicare and Medicaid Services (CMS), issued recommendations to the states to prepare comprehensive Olmstead plans to decrease dependency on institutional services.

Report on the Plan for the Closure of Agnews Developmental Center

The increased emphasis on the provision of services in community-based settings derives from a service philosophy embodied by the Lanterman Act and judicial interpretation. It also reflects the development of resources and expertise available in the community services system to serve individuals with complex support needs.

The plan to close Agnews Developmental Center (Agnews) was developed over a three-year period, formally submitted to the Legislature in January 2005, and approved as part of the Budget Act for Fiscal Year 2005 – 2006. Enabling legislation to support the implementation of the critical elements of the plan has been enacted. Legislation supported the development of permanent housing through the Bay Area Housing Plan (BAHP), established a pilot of community care licensed homes to serve adults with special health care needs in the Bay Area, and authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community homes.

The foundation of the Agnews Closure Plan is the development of sufficient community capacity to support the transition of Agnews' consumers into the communities that are close to their families. New service and support options are being created that provide meaningful choices for each person and that are designed to provide a stable home and service system that people can count on. The administration extended the Agnews closure date from June 30, 2007, to June 30, 2008, to assure that the necessary resources would be in place.

The Agnews Closure Plan is the first significant deinstitutionalization initiative since the Coffelt settlement agreement that was reached in January, 1994. The implementation of the Coffelt settlement resulted in a reduction of the developmental center population by more than 2,320 persons between 1993 and 1998. This was accomplished by creating new community living arrangements, developing new assessment and individual service planning procedures and quality assurance systems, and by obtaining increased federal funding through the Medicaid Home and Community-Based Services (HCBS) Waiver.

Resource Development

The acquisition and development of housing is a critical element in the implementation of the Agnews Closure Plan. Over 75 percent of the current Agnews' residents will move into BAHP homes. Twenty-six homes have been acquired and two are in escrow. BAHP funds are being used to purchase SB 962 homes, which provide for persons with specialized health care needs, Family Teaching Homes (FTH), and Specialized Residential Homes (SRH), which provide for persons with behavioral challenges or other specialized needs. Bay Area regional centers have also identified organizations that will be responsible for the provision of residential and support services required for the implementation of the Agnews Closure Plan. These providers are in the process of developing and implementing a service strategy that includes program design, staff recruitment and development, and review of the needs of consumers whom they may serve. For those living arrangements that require licensure, providers are also now engaged or preparing for the licensing process. Based on our success with the

Report on the Plan for the Closure of Agnews Developmental Center

acquisition of homes, it is expected that significant progress will be achieved in transitioning consumers into the community by the end of the fiscal year. The first SB 962 homes are expected to be licensed as early as February 2007, and transition activities are underway to support consumers moving into these homes. Permit documents have been submitted for 14 properties. It is anticipated that ten property permits will be approved in January 2007. Selective demolition has begun on four properties.

AB 1378 authorizes the DDS to utilize existing Agnews' state employees as part of the Agnews Closure Plan. State employees may serve as direct care staff, provide clinical and other support services, or directly operate facilities to ensure the health and well being of Agnews' residents as they transition into the community. The DDS has reached agreements with a range of labor organizations that identify the process for the deployment of state staff to the community. Agnews has also established an Outpatient Clinic as part of its acute care hospital license. The clinic is licensed by the Department of Health Services (DHS) and vendorized by San Andreas Regional Center (SARC) to provide outpatient medical and dental services with Agnews' staff. The Agnews Outpatient Clinic was operational on May 1, 2006, and has provided over 100 services to date.

Agnews' Consumers

As of November 30, 2006, 261 residents remain at Agnews. Ninety-nine persons have transitioned into the community since the closure planning process began in July 2004. It is projected that 113 consumers will transition from Agnews into the community in Fiscal Year 2006 – 2007 and the remainder of persons that will transition from Agnews into the community will do so by June 30, 2008.

As of December 21, 2006, 17 consumers have moved from Agnews in the current year, and approximately 40 persons are in various stages of the community living options process and are expected to transition into the community over the next several months. In these instances, potential residential providers and community resources have been identified.

The profile of current Agnews' consumers has not changed significantly since the prior report. Sixty-nine percent of the residents today are over the age of 40. Sixty-five percent of them have lived at Agnews over 20 years. Fifty-four percent of the residents have extensive personal care and significant health care needs, approximately 25 percent have significant behavioral issues, and 20 percent require constant supervision and ongoing intervention to prevent self-injury.

Of the 99 consumers who have transitioned from Agnews between July 1, 2004, and November 30, 2006, 79 percent had been served in Intermediate Care Facility residences and 21 percent had been served in the Nursing Facility residences while they lived at Agnews. Of these consumers, 27 percent have moved to Community Care Facilities Negotiated (CCF Negotiated), 22 percent moved to Intermediate Care

Report on the Plan for the Closure of Agnews Developmental Center

Facilities/Developmentally Disabled-Nursing (ICF-DD-N), 19 percent moved to Community Care Facilities Level 4I (CCF L4I), 13 percent moved to Intermediate Care Facilities/Developmentally Disabled-Habilitation (ICF-DD-H), 5 percent moved to Residential Care Facilities for the Elderly (RCFE), 5 percent moved to the FTH, 5 percent returned to their parents homes, and 4 percent moved into Supported Living arrangements.

Of the consumers who have transitioned to the community, 87 percent are over the age of 30 years. Fifty-two percent had lived at Agnews for over 20 years. Fifty-one percent of the consumers who transitioned to the community have significant behavioral needs, 44 percent have significant health and extensive personal care needs, and 5 percent have protection and safety needs.

Agnews' Employees

As of November 30, 2006, there were 1,054 employees at Agnews. Of these employees, 85 percent are full-time employees. Fifty-four percent of Agnews' employees have worked at Agnews for 10 years or less. Fifty-seven percent of the employees are over 50 years of age. Fifty-three percent of the Agnews' employees provide direct care. Seventy-one percent of the employees live in Santa Clara County.

Between July 1, 2004, and November 30, 2006, 404 employees separated from employment at Agnews. Of those separating, 38 percent left state service, 29 percent retired, and 29 percent transferred to other state employment. Of the employees that separated, 69 percent had worked at Agnews 10 years or less and 47 percent were direct care staff.

Agnews continues to promote employee stability and provide opportunities for staff to strengthen the community-based developmental services system. Managing employee attrition during closure remains a high priority at Agnews to assure continuity of services and to protect our most valuable resource, the expertise and commitment of a dedicated workforce.

Sufficient Agnews' staff is needed while the facility is in operation to protect the health and safety of remaining residents and to ensure ongoing the certification of the facility and the associated federal financial participation. Annual staff attrition remains at less than 15 percent, but it is expected to increase as the closure date nears. Personnel management will remain a constant priority throughout the closure process.

Purpose of the Report and Background

Purpose of the Report

This report on the Plan for the Closure of Agnews Developmental Center (Agnews Closure Plan) is submitted pursuant to the Budget Act of 2006 (Assembly Bill 1801, Chapter 47, Statutes of 2006). Provisions of the Act include the following:

“The State Department of Developmental Services shall provide the fiscal and policy committees of the Legislature with a comprehensive status update on the Agnews Plan, on January 10, 2007, and May 15, 2007, which will include at a minimum all of the following:

- (a) A description and progress report on all pertinent aspects of the community-based resources development;
- (b) An aggregate update on the consumers living at Agnews and consumers who have been transitioned to other living arrangements;
- (c) An update to the major implementation steps and timelines;
- (d) A comprehensive update to the fiscal analysis as provided in the original plan; and
- (e) An update to the plan regarding Agnews’ employees.”

The report is divided into five sections:

- Progress Report on Resource Development
- Update on Agnews’ Consumers
- Update on Agnews’ Employees
- Major Implementation Steps and Timelines
- Fiscal Update

This report provides a comprehensive update on the status of the Agnews Closure Plan. This is the third statutorily required update and covers progress from April 1, 2006, through November 30, 2006. The prior reports provided updates on progress from July 1, 2004, through March 31, 2006, and are available at www.dds.ca.gov/AgnewsClosure.

Background

The Agnews Closure Plan was developed over a three-year period, formally submitted to the Legislature in January 2005, and approved as part of the Budget Act for Fiscal Year 2005 – 2006. The foundation of the Agnews Closure Plan is building community capacity and successfully transitioning consumers into the community. Consistent with the plan, new service and support options are being created aimed at ensuring consumer health and safety throughout the transition and permanent housing capacity in the Bay Area.

In 2004, the administration began introducing legislation that served as the policy framework for the plan. In January 2005, AB 2100 (Chapter 831, Statutes 2004), modified by SB 643 (Chapter 551, Statutes of 2005), was effective, allowing the Bay

Area regional centers to secure and assure lease payments for residents of Agnews moving into BAHP homes and added FTHs as a new service delivery option. In January 2006, SB 962 (Chapter 558, Statutes of 2005) established a pilot project for the creation of homes licensed by the Community Care Licensing (CCL) division of the Department of Social Services to serve adults with special health care needs in the Bay Area. Additionally, AB 1378 (Chapter 538, Statutes of 2005) authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community living options.

The Agnews Closure Plan projected a closure date of June 30, 2007. However, the DDS evaluated the progress in implementing the plan and determined that it was not possible to carry it out and close Agnews by June 30, 2007. The DDS concluded that a June 30, 2008, closure date was more appropriate. Through a Finance letter dated March 30, 2006, the administration extended the closure date to June 30, 2008.

Progress Report on Resource Development

Background

The planning process for the closure of Agnews started in Fiscal Year 2003 – 2004. The DDS utilized broad participation from internal and external stakeholders to develop a plan that would result in the orderly transition of Agnews' residents into alternative services and supports in the community. In October 2003, the teams completed their recommendations and reports that served as the basis for the Agnews Closure Plan. The Bay Area regional centers, SARC, the Regional Center of the East Bay (RCEB), and Golden Gate Regional Center (GGRC), and Agnews played a significant role in developing the plan. After several public meetings in Fiscal Year 2003 – 2004, the Agnews Closure Plan was submitted to the Legislature in January 2005, and it was approved with the enactment of the Budget Act of 2005.

The Transition to the Community Process

The 1992 amendments to the Lanterman Developmental Disabilities Services Act, Welfare & Institutions Code (W&I) sections 4500 et seq. (Lanterman Act) require a person-centered approach for consumer services and supports based on sensitivity to the consumer's choices, lifestyle, and cultural background. The choices of consumers, in consultation with their families and/or authorized representatives, are given the full attention and respect of all planning team members. W&I section 4646 states in part that it is the intent of the Legislature to ensure that the provision of services and supports is centered on the consumer and takes into account needs and preferences as well as promoting community integration to lead independent, productive lives in stable and healthy environments. When it is determined that a consumer may benefit from the appointment of an authorized representative, one will be pursued on his or her behalf.

Agnews, the Bay Area regional centers, the Regional Project of the Bay Area (RPBA), and the DDS remain committed to assuring that each consumer who chooses a community living option has the appropriate services and supports for a successful transition. The selection of a future living option takes into account the consumers needs and preferences as identified through comprehensive interdisciplinary team assessments and input from the consumer and others who are important in the person's life. A plan to explore and evaluate all identified options of interest is developed and specific activities scheduled to support implementation of the plan. The participation of the consumer's authorized representative and/or family in the selection of, and movement into, a community living option is supported through the process. The transition process provides an opportunity for the consumer, his or her family, and the planning team to determine the appropriateness of possible community living options. The process ensures that team members have an opportunity to express questions or concerns so that they can be addressed during transition planning. To facilitate this process, each planning team has a designated chair and facilitator and maintains a summary of actions and issues requiring resolution.

To make an informed decision about the appropriateness of a specific living option and service/support provider, the consumer has opportunities to meet potential housemates and service providers and experience the environment of the living option under consideration. Additionally, the ability of the consumer to be supported in the living option must be evaluated. To that end, visits are scheduled to include the consumer and authorized representative, and to the extent that individuals are able and available, the family and key planning team members. Visits are scheduled at times convenient for the consumer and other planning team members.

Upon completion of the planned exploration of living options, and with input from the consumer and others who participated, the option is identified that best meets the consumer's choices and needs. At this point in time, any preferences for continued friendships and relationships are also noted to enable further consideration and coordination on the part of those individuals identified.

The regional center service coordinator and the RPBA representative monitor the status of Bay Area housing being developed and the availability of other community living options and advises consumers and their authorized representatives and families when opportunities exist consistent with their preferred living option. When specific housing options become available, a Community Living Option (CLO) planning meeting is scheduled, with the consumer, his/her authorized representative, and/or family, as appropriate, to initiate detailed transition planning.

There are two significant updates related to transitioning consumers since the last report:

1. Community Transition Guidelines for Agnews have been prepared by the DDS and are being finalized. The intent of the guidelines is to assist Agnews' staff in taking the steps necessary, consistent with existing statute and regulation, to ensure consumers experience a successful transition from Agnews to the community prior to the facility's closure in June 2008. The guidelines include an emphasis on ensuring appropriate notification of appeal processes for consumers, their family, and other planning team members and also on confidentiality of consumer information by all involved in the transition process.
2. The consumer program at Agnews is primarily focusing on the provision of training that can augment consumer skills as they transition to their new home in the community. The discussion of services and supports needed for a successful transition is the focus of each individual program plan. Program planning meeting documentation highlights the transition-related action items to be completed by the planning team.

In order to facilitate the above revisions, additional training of planning team members has occurred and will continue to occur throughout the coming year. Training has been provided to relevant staff with special emphasis on chair and facilitator roles and responsibilities.

Consumer Choices

The DDS, in collaboration with Agnews' residents, Agnews' staff, and the Bay Area regional centers, has adapted the "Making My Own Choices" booklet and the "Picture and Sticker Book," and produced a ten-minute DVD that depicts four types of living options and samples of community activities. The materials were adapted specifically to support Agnews' residents in being actively involved participants in the IPP process and transition discussions.

The "Making My Own Choices" materials focus on living decisions such as how people want to live, what they want in their home, and maintaining friends and important relationships. The "Things I Like to Do" component focuses on community activities and how the person wants to spend his or her day. In addition, an Interviewers Guide that ensures that the interview process is person-centered was developed. The "Making My Own Choices" booklet and Interviewer's Guide was completed in April 2006 and discussions with Agnews' residents to complete their "Making My Own Choices" booklets began in August 2006.

The DDS Coordinator of Consumer Services is meeting with each resident of Agnews to discuss their individualized choices for living options using the adapted "Making My Own Choices" booklet. The Coordinator and support staff typically meet with 24 residents per month. Appointments are scheduled with residents one month prior to their IPP meetings so that all team members have ample time to receive the consumer's information. The estimated completion date for the project is September 2007.

Resource Development

Bay Area Housing Plan

The DDS sponsored AB 2100. This legislation authorizes the DDS to approve a proposal, or proposals, from the Bay Area regional centers to provide for, secure, and assure the payment of leases for housing for people with developmental disabilities. SB 643 further amended this section to clarify the regional centers' ability to assure full payment of a housing lease based upon availability of the house for occupancy.

On September 22, 2005, the Department of Finance submitted the BAHP and the expenditure plan to the Joint Legislative Budget Committee (JLBC) for review. The expenditure plan proposed using the \$11.115 million appropriated in the Budget Act of 2004 (SB 1113, Chapter 208, Statutes of 2004) and re-appropriated in the Budget Act of 2005 (4300-491) for the pre-development costs associated with acquisition and development of housing to implement the BAHP. The Budget Act of 2006 authorized the re-appropriation of the \$11.115 million in funds until June 30, 2010, to liquidate any encumbrances associated with the BAHP Expenditure Plan. On November 8, 2005, the JLBC reviewed and concurred with the BAHP, provided that the DDS submits monthly project status reports to the Legislature. The JLBC concurred with the proposed expenditure of the \$11.115 million for the pre-development costs associated with acquisition and development of housing for residents leaving Agnews. Subsequent to

the first property acquisition in June 2006, the DDS began submitting the BAHP progress reports to the Legislature.

On September 8, 2005, the initial \$20 million in bond financing was approved. On January 12, 2006, the California Housing Finance Agency (CalHFA) Board of Directors approved an additional request for \$25 million in bond financing for the BAHP. Then, on November 9, 2006, CalHFA Board approved an additional \$25 million in bond financing. The DDS anticipates additional bond financing will be approved by CalHFA at the May 2007 Board meeting. The BAHP housing funds are used to acquire properties and either renovate or construct SB 962 homes, the FTHs, and the SRHs. The housing developer, the Bay Area regional centers, and the Bay Area nonprofit housing development corporations (NPOs) have secured the necessary agreements for bond financing with the CalHFA and construction financing with the Bank of America.

Since January, the housing developer has coordinated with the Bay Area regional centers, the NPOs, and BAHP service providers to clarify the roles and responsibilities of the parties, understand licensing requirements, explain the BAHP documents, and streamline the acquisition and development process. Based on consumer-centered planning underway at Agnews, housing rollout schedules have been coordinated among the parties. The rollout schedules provide projections of housing acquisition, construction and development based on individual program planning, and is adjusted as necessary to reflect real-time influences and changes in circumstances. The NPOs started identifying properties for purchase in March, 2006. In early April 2006, the housing developer trained regional center staff and the NPOs on the housing acquisition and approval process. As of December 12, 2006, 26 properties have been acquired (closed escrow) and two properties are in escrow. It is projected that it will take an average of three to six months to renovate each property.

The competitive California housing market requires significant commitment by all parties to successfully negotiate contracts that meet the needs of the BAHP. The developer began acquiring property in April 2006, prior to the real estate market decline. Finding single-story with four or five-bedroom homes affordable to purchase in the Bay Area presented a challenge. With the market softening, the acquisition of property is on track, but the construction has been delayed as a result of the complexity of dealing with local zoning and permitting processes. Acquisitions are located in 13 separate jurisdictions with different permitting processes and timelines. Efforts are underway to expedite the permitting process and engage local officials early on during the design phase.

The current 28 BAHP properties have not encountered a negative community response. However, one prior property acquisition encountered strong opposition from some neighborhood residents after the property was purchased. Although the property was exempt from local zoning controls and use permits, the steering committee decided to sell the property and focus on purchasing properties in more receptive neighborhoods.

To foster community support, the resource development teams are implementing several measures including identification of properties that have adequate on-site parking and setbacks that will allow BAHP homes to blend into the existing neighborhood. The resource development teams also seek out properties to purchase that are attractive, or that provide an opportunity in the rehabilitation process to make the house one of the more attractive homes in the neighborhood. Additionally, a rapid response by service providers to neighbor concerns is a critical factor in the ongoing success of the BAHP community living arrangements.

The BAHP funds are being used to purchase SB 962 homes, Family Teaching Homes (FTH), and Specialized Residential Homes (SRH). Bay Area regional centers have also identified organizations that will be responsible for the provision of residential and support services required for the implementation of the Agnews Closure Plan. These providers are in the process of developing and implementing a service strategy that includes program design, staff recruitment and development, and review of the needs of consumers they serve. For those living arrangements that require licensure, providers are also now engaged or preparing for the licensing process. Based on our success with the acquisition of homes, it is expected that significant progress will be achieved in transitioning consumers into the community by the end of the fiscal year. The first SB 962 homes are expected to be licensed as early as February 2007, and transition activities are underway to support consumers moving into these homes.

BAHP Acquisition Process

The property acquisition process has been streamlined, as follows, to increase the number of BAHP acquisitions.

Site Identification

In January, 2006, each Bay Area regional center began forecasting the number of homes, by housing type, needed to support consumer placement within their respective catchment areas. The NPOs collaborated with the housing developer to identify properties based on predetermined the BAHP site selection criteria. The criteria ensures that homes are located in safe, high-quality neighborhoods, near public transportation, community amenities, emergency medical services and recreational activities. Research conducted during the site identification process determines the concentration, if any, of licensed facilities in the area.

Expedited Initial Proposal

Once a home has been identified, the housing developer, service provider, NPO and the regional center representative conduct a site visit and determine if the home should be placed under contract. If the determination meets team agreement, a formal request is made to the BAHP Steering Committee to approve placement of the home under contract. If approved, a refundable deposit is wired into escrow, the home is placed under contract for a period of 60 days, and the 25 – 30 day contingency period begins.

Formal Initial Proposal

During the contingency period, the NPOs coordinate due diligence inspections of the property to verify any existing structural, mechanical or environmental concerns. Further, the NPO works directly with the CCL to ensure that there is no overconcentration of licensed facilities in the area.

Due Diligence Period

The housing developer, in conjunction with the service provider, the regional center and the NPO generate a scope of work for each property to ensure the property can achieve a set of design principles and guidelines unique to the particular population moving into each housing type. Once generated, the housing developer engages an architect to visit the site and create a proposed space plan that captures the scope of work. Subsequently, the housing developer analyzes the inspection reports, the space plan, a design guidelines checklist, and estimated budget for the improvements. During the contingency period a construction inspector, representing the lenders, visits the property and approves the proposed scope of work or recommends changes to the scope of work.

Final Proposal

Prior to the end of the contingency period and before the deposit becomes non refundable, the housing developer presents a final proposal to the steering committee for review. The final proposal includes a scope of work, budget, site photos, space plan, independent appraisal ordered by the lender and all due diligence reports. Upon approval from the BAHP Steering Committee, the housing developer releases the contingency on the property and closes escrow on the property.

Acquisition Construction Loan Closing

The acquisition construction loan closing is when the housing developer coordinates with the NPO, service provider, regional center, and both lenders to execute multiple loan documents and records the required documents to acquire the property.

Design Phase

Upon close of escrow, the design phase begins. During this phase, the regional center team, the service provider and the NPO review and approve preliminary plans and finishes for each property. The architect incorporates final comment, develops the permit documentation, and submits the plans and necessary permit applications (e.g. building, asbestos removal, sprinkler, fire alarm).

Due to the need to research the various code requirements for each home type among jurisdictions, the BAHP properties have taken approximately 75 days to complete the permit application process. An upfront investment in research of the local permit processes has resulted in the architects producing a permit application package in as little as 28 days. As of December 14, 2006, 19 BAHP properties are in the design phase.

Permit Approval

To date, the properties acquired are located in 13 jurisdictions including, but not limited to Livermore, Union City, Castro Valley, Hayward, Alameda, Campbell, San Mateo, San Bruno, Cupertino, San Jose, Los Gatos, Morgan Hill, and South San Francisco. Each locale has its own permit process. The housing developer and the architect work with each local jurisdiction to educate them on the BAHF and to access the requirements and anticipated turnaround time to receive permit approval. The permit process varies widely between jurisdictions; some jurisdictions grant permits over-the-counter, while others require three to four weeks from formal submittal to approval. A continued investment in education with the local jurisdictions is anticipated to reduce the permit turnaround time for future BAHF homes.

Once permits are received, the housing developer pursues final lender approval and proceeds with construction. Permit documents have been submitted for 14 properties. It is anticipated that ten permits will be approved in January 2007. Selective demolition has begun on four properties.

Schedule Update

The current plan is for 20 homes to receive certificates of occupancy by mid-May 2007 with staggered delivery beginning in February 2007. The housing developer projects the remaining 42 homes will be delivered for occupancy between mid-May and December 31, 2007.

Family Teaching Homes and Extended Family Teaching Homes

FTH were added to the Adult Family Home Agency (AFHA) model as part of AB 2100. The FTH model differs from the AFHA in two ways. First, a FTH can serve up to three individuals in a home rather than two. Second, individuals living in a FTH do not share the same home as the family. The teaching family lives in an adjoining home, and typically the home is a duplex. To accommodate the FTH model, the DDS developed FTH regulations that were promulgated on November 7, 2006. Currently, six individuals are living in FTHs in the SARC catchment area. Resources are under development in Fiscal Year 2006 – 2007 to move 18 individuals into FTHs. Current plans are for an additional 27 individuals to move into FTHs in Fiscal Year 2007 – 2008.

In addition to implementing the FTH model, the regional centers are actively recruiting Agnews' staff to provide Extended Family Teaching Homes (EFTH). An EFTH is owned, leased or rented by the family. The AFHA recruits, trains, approves, and monitors families who provide this service. Each EFTH will serve no more than two adults with developmental disabilities in their home where they will receive specialized, highly-supported and well-monitored services.

Agnews has had six staff orientations to provide information to prospective EFTH families from among Agnews' staff. More orientations are scheduled in 2007. There are

many Agnews' staff who have established long term personal relationships with consumers currently living at Agnews and who are interested in providing ongoing supports in their own homes to these same consumers. The orientation covers a brief synopsis of the program and the attendees of the orientation are given an interest survey. The interest survey requests contact information, inquires why they are interested in the program, if they plan on working an additional job while being an adult family home provider, whether they will be moving or if they will be providing services in their current home, and if they would like to meet with a representative of the AFHA to personally discuss the program. Once potential home providers are identified they must go through a stringent screening process that includes, a general physical, drug screening, tuberculosis testing, and fingerprinting. The recruitment and training process is time intensive and involves multiple agencies as well as consumers and their families. At present, plans are for seven consumers to move to an EFTH with families of Agnews' employees.

Specialized Residential Homes

To address the needs of Agnews' residents with behavioral challenges or other specialized needs, the SRHs that serve three or four persons are being developed. The homes are CCL licensed adult residential facilities that provide augmented staffing and professional services to address the unique needs of the consumer. Consumers living in the SRHs have unique behavioral needs related, but not limited, to mental health and behavioral challenges. The SRHs provide 24-hour on-site staff with specialized expertise to meet the unique needs of the individuals that they serve. In addition, these homes have the capability for on-site crisis response to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence. These homes provide supervision and direct care support to ensure the health, safety, and well being of consumers with challenging needs.

To address statutory requirements when a majority of consumers in any one SRH turns age 60, the adult SRH will need to be re-licensed as a RCFE. To accommodate this transition, all BAHP SRHs will be constructed, or renovated, to meet the physical plant requirements for both the Adult Residential Facility license and the RCFE license.

In Fiscal Year 2006 – 2007, six SRHs are being developed for 21 consumers leaving Agnews. Homes will be located in Santa Clara, Alameda, Contra Costa, and San Mateo Counties. In the 2007 – 2008 Fiscal Year, 15 SRHs will be developed.

SB 962 Homes

The DDS sponsored legislation to establish SB 962 homes, also known as adult residential homes for persons with specialized health care needs. This type of residence is necessary to fill a critical gap in licensure categories for Agnews' residents who have a combination of specialized health care and intensive support needs. Examples of the health services that can be provided in a SB 962 home include, but are not limited to, nutritional support including total parenteral nutrition, gastrostomy feeding

and hydration; renal dialysis; and special medication regimes including injections, intravenous medications, management of insulin dependent diabetes, catheterization, indwelling urinary catheter management, and pain management. The creation of this new type of CCL licensed residential care facility, as a pilot project, will provide for community-based services in the Bay Area for up to 120 current Agnews' residents. The SB 962 requirements include licensed nursing staff on duty 24 hours per day, seven days per week, development of an Individual Health Care Plan for each consumer that is updated at least every six months, and at least monthly face-to-face visits with the consumer by a regional center nurse. Moreover, state costs for the residential, day programming and transportation services provided to HCBS Waiver participants are eligible for federal reimbursement. An independent evaluation of the pilot will be submitted to the Legislature by January 1, 2009.

The DDS is responsible for granting the certificate of program approval for SB 962 homes and monitoring regional center compliance with the SB 962 requirements. Monitoring activities will include on-site visits to the homes at least every six months by a registered nurse from the DDS. These visits will include, but are not limited to, confirming regional center nurse on-site visits, ensuring that each consumer has an individual health care plan that meets their needs, that there are written procedures for administration of medications, and a review of consumer records for documentation compliance.

Training was provided to regional centers and SB 962 service providers on November 30, 2006. The training included an overview of the SB 962 legislation, the DDS certification process, the transition process for Agnews' consumers into SB 962 homes, the role of the DDS, regional center, the CCL, service provider, and the QMS in SB 962 Homes.

DDS staff will provide technical assistance and, as needed, develop and monitor corrective action plans. In addition, DDS staff will respond to and investigate complaints related to consumer health care, review and approve any requested changes to the approved program design prior to implementation, and manage the contract for the independent contractor responsible for evaluating and determining the effectiveness of this pilot program.

Negotiations with the CCL have resulted in agreements to expedite and coordinate licensing applications received from potential SB 962 service providers, including pre-application reviews to ensure that BAHF properties meet local planning authority requirements. These agreements have been detailed in a flow chart that documents the steps of the process including property identification, property purchase, program certification, facility licensure, and transfer to local nonprofit housing organizations. Structured, problem-solving meetings with CCL, the Bay Area regional centers, and the housing developer occur on a monthly basis. These meetings are now focused on the development of monitoring and enforcement processes to ensure that each entity conducts its responsibilities in a coordinated manner. The completion of a Memorandum of Understanding (MOU) required by SB 962 has provided the framework

for these discussions. The MOU addresses coordination of housing acquisition and service provider licensing; routine monitoring visits; complaint assessment and response; information sharing and cross reporting; confidentiality; cooperation in administrative and enforcement actions; and, participation in the evaluation of the SB 962 Pilot. Importantly, the MOU also provides the framework for ongoing flexibility and problem solving between the two departments to ensure safe and appropriate community living options.

In addition, the DDS is meeting with the DHS, Licensing and Certification Division, to discuss the need for similar collaboration with that organization when the placement of choice and need for an Agnews' resident is an Intermediate Care Facility or other living arrangement licensed by the DHS.

Briefings regarding SB 962 requirements and implementation strategies have been completed with, the Northern California Fire Prevention Officers Association, the San Mateo County Fire Prevention Officers Association, and the fire officials for Santa Clara, San Mateo and Alameda Counties. One meeting was also held with Santa Clara County Building officials. The State Fire Marshal has aided our efforts by distributing an update on SB 962 to all fire jurisdictions in California. Since the Fire Marshal is in the process of consolidating, and re-writing fire occupancy regulations, the DDS will continue to work with these officials to ensure SB 962 and BAHP placements are designed to meet current and future fire code compliance. In Fiscal Year 2006 – 2007, nine SB 962 homes will be developed for 30 persons. Additional SB 962 homes will be developed in the 2007 – 2008 Fiscal Year.

Behavioral Services

The established community system is being enhanced to provide a more comprehensive behavioral support system. As part of transition planning, the behavioral needs of each Agnews' resident are assessed and intervention strategies are identified as appropriate.

Agnews and SARC are developing a Community Intervention Response Team (CIRT) that proactively supports persons transitioning from Agnews with behavioral needs. CIRT staff will be available to provide consultation, training, and support to service providers in need of CIRT services to transition Agnews' consumers.

Four Agnews Psychiatric Technicians have been identified and trained for this project and will be supported by other professional staff such as psychologists, psychiatrists, pharmacists, and nurses. The CIRT members are now certified trainers in Professional Crisis Management and have completed a twelve unit Competent Learner course. Foundational to this model is the premise that all behaviors are a form of communication and that intervention requires a comprehensive assessment of the person's health, emotional, social and mental conditions as well as an understanding of their skills and abilities. CIRT is considered a valuable resource for Agnews' residents with behavioral needs who will be living in the community.

The Bay Area regional centers have also contracted with Telecare to develop an acute psychiatric facility that will be available for persons who are experiencing a behavioral crisis and require short-term treatment and stabilization services. Telecare has identified a site and developed a proposal that is being reviewed by Alameda County. The treatment facility will have a capacity to serve 15 persons and services will be provided within 12 to 18 months from the approval of the proposal.

Health Services

Wellness is foundational to the transition plan for Agnews' residents. There are three significant components to this effort:

1. Assuring that the health needs of each Agnews' resident is assessed and that a comprehensive individualized health plan is developed.
2. Providing supports to existing community providers to enable them to become service partners in the transition effort.
3. Developing and implementing a service strategy that fills identified service gaps and supports the ongoing availability of needed services.

Agnews, the Bay Area regional centers, and DDS staff have developed a comprehensive nursing and risk assessment tool that is completed for each Agnews' resident prior to placement and on an annual basis. The nursing and risk assessment tool includes over 60 health related items including, risk conditions, special health care needs and dietary needs. The assessment is used to develop a Health Transition Plan that is incorporated into the Community Living Options transition process. The RPBA, the regional center service coordinators, and the QMS team members share responsibility to assure that the plans are implemented.

The DDS and the Bay Area regional centers have formed a Training Committee to implement training and support activities for community providers. The most recent training was on September 12, 2006, by Columbus Medical Services and Elwyn. Dr. Robert Perry led a workshop on Dementia in Persons with Special Needs. Continuing education credit was made available to encourage attendance. Sixty health service participants attended the training. Another workshop on Practical Implications of Genetic Disorders was provided by Brenda Finucane and Elliot Simon.

Agnews expanded its license on March 30, 2006, allowing it to provide outpatient medical services to persons with developmental disabilities who reside in the community. The clinic has provided over 100 services to date, including primary medical care; specialty services, such as psychiatry and neurology; and other services such as occupational and physical therapy; and dental services. The most frequently used services are dental, primary medical care, psychiatry and neurology. In addition, SARC has vendorized Agnews to provide specialized therapeutic services. The costs of specialized therapeutic services provided to consumers who meet the qualifications

and requirements for enrollment in the HCBS Waiver are eligible for federal financial participation.

A comprehensive health service strategy has been developed that includes a short and long term plan. Medical and professional services in the short-term will be provided by Agnews to consumers transitioning to the Bay Area whenever the planning team determines that the service is needed. This may, depending on the person, include medical and professional services provided by Agnews, or the person may only require more specialized services such as psychiatry or neurology. After Agnews closes, the longer term strategy is to have identified and partnered with community medical providers prior to the closure of Agnews. A number of established health provider agencies have expressed an interest in expanding their services to continue and augment the services provided by the Agnews Outpatient Clinic. We are currently discussing the service needs of Agnews' consumers with these established providers and are exploring their capacity and interest. These alternatives will be finalized to ensure that the transition can begin prior to the end of 2007.

Agnews will play a significant role in the provision of medical services needed to support transition of its residents to the community. These services may be provided in the person's home as well as at the outpatient clinic. Many of the consumers with extensive needs will receive their medical services from state staff.

The health service fiscal component is complex and, like the service strategy, includes short and long-term components. Some of the outpatient services that Agnews provides to transitioning residents are allowable costs integral to the closure process. Regional centers can also authorize the purchase of specialized therapeutic services through the Medicaid Waiver when appropriate. The DDS is continuing to work with the DHS as well as internally to assure that the costs for these services are appropriately billed.

The DDS and the Bay Area Regional Centers are working to ensure ongoing access to health services. We are collaborating with the DHS to identify ways to improve access to managed care programs. This service option has the potential to meet the needs of a number of regional center consumers because it provides access to a wide range of medical and professional services through a system that is coordinated by a primary care physician. The DDS has scheduled meetings with the Santa Clara and Alameda County Health Plans to strategize on ways to access health services through their plans. Both counties have or are in the process of establishing Special Needs Plans for persons who are eligible for Medi-Cal and Medicare. The long-term objective is to access coordinated medical services for consumers in the community.

Oral Health

The Bay Area regional centers have contracted with the University of the Pacific (UOP) School of Dentistry to provide training and support to assist the regional center dental coordinators to fulfill their goal of increasing access to dental resources and providers for consumers transitioning from Agnews into community living arrangements. With the assistance of the UOP School of Dentistry, the Bay Area regional centers have interviewed and hired a dental coordinator at each regional center. The dental coordinators act as liaison between the regional centers and community oral health professionals. They also provide triage, referral and tracking, conduct individual and community prevention programs, and develop local resources. Each of the regional centers has appointed a dental liaison who supports and facilitates the dental coordinators. The UOP School of Dentistry is providing training and technical assistance to the dental coordinators and liaisons.

The UOP School of Dentistry is also completing a feasibility study to determine whether their Union City clinic could expand its services to include dental sedation. A number of Agnews' residents as well as current community consumers require dental services that include sedation. The need for this service has been demonstrated by its significant utilization through the Agnews Outpatient Clinic. The feasibility study is underway and will be completed and available for consideration in early 2007.

Each of the regional centers has collected information about oral health systems present within their catchment area. They have completed a community-mapping process to identify community clinics, dental offices, and hospitals that might be sources of treatment for Agnews' residents. Dental coordinators are also contacting oral health providers in their areas who are known to have accepted referrals in the past or who have been identified as possible sources of care. This process will allow them to understand the capabilities and desires of those providers. Dental coordinators are offering support for referrals which can allow providers to increase the number of referrals they accept or start to accept.

The UOP School of Dentistry has completed a survey of all the dentists and dental hygienists in the Bay Area. Six hundred dentists and dental hygienists responded to the survey. The oral health professionals responding to these surveys will be targeted for further follow-up as possible sources of care. The UOP School of Dentistry is also collaborating with the regional centers to plan for continuing education courses to be provided in 2007 for oral health professionals. These courses will be offered at a low tuition rate to increase enrollment. The course will better prepared dental professionals to treat people with developmental disabilities. Attendee lists will be used for further follow-up by dental coordinators in their resource development efforts. Finally, the UOP School of Dentistry is supporting a major effort to prevent dental disease through training of direct care community staff on measures to prevent dental disease.

The final component of the project is completing an assessment of the oral health needs of individual consumers. The process begins with gathering data from existing Agnews'

records. An oral health screening examination will be conducted by the regional center dental coordinator. The oral health assessments will be integrated into transition planning and support targeted referrals to community resources. This targeted referral process involves carefully matching the needs of the consumer to the capacities of the provider and pacing the number of referrals to an acceptable level for the provider.

State Staff in the Community

Employees at Agnews, as well as at other developmental centers have developed a wide range of special skills that make them effective in providing services and supports to persons with developmental disabilities. Agnews has a large number of registered nurses, licensed psychiatric technicians, physicians, social workers, teachers, physical therapists, occupational therapists, registered dietitians, rehabilitation therapists, and other professionals who have developed a repertoire of expertise beyond their formal education that is invaluable in working with persons with developmental disabilities.

As many of the Agnews employees have dedicated their entire career to providing services and supports to persons with developmental disabilities and have expressed an interest in continuing to serve the people who reside at Agnews as they transition to community services, it is beneficial to support and encourage Agnews staff to make this transition with the consumers. Toward this end, Agnews and the DDS have provided extensive staff training and orientation to prepare these employees for transition to the community-based developmental services system.

AB 1378 is a critical component for successfully transitioning Agnews' residents into community living arrangements. This legislation authorizes the DDS to utilize existing Agnews' state employees as part of the administration's plan for the closure. State employees can consider serving as direct care staff, providing clinical and other support services, and directly operating facilities to ensure the health and well being of former Agnews' residents.

The State has negotiated contracts with the three Bay Area regional centers to use state employees in the community and has reached agreement concerning the implementation of the state employees in the community program with the American Federation of State, County, and Municipal Employees, the California Association for Psychiatric Technicians, the Service Employees International Union, and the Union of American Physicians and Dentists. The agreements cover the employee selection process, the provision of ongoing supervision, employee rights and representation, and the rights of those employees in the actual closure process.

Agnews has been working collaboratively with each of the Bay Area regional centers to establish direct lines of communication with families, staff, and provider organizations in an effort to keep people informed of new developments and upcoming opportunities. One of the ways in which communication has been provided is through Community Resource Fairs. A fair was offered in the Fall of 2005 and held again on April 28-29, 2006. The Community Resource Fair is an opportunity for staff and families to meet

with current and future community providers. This two-day event includes booths, presentations, and opportunities to discuss resources available to Agnews' residents. Since regional centers have selected most of the providers to be serving Agnews' consumers, the fair provided families an opportunity to meet a number of these providers.

Agnews is also implementing a number of other strategies to keep staff apprised of opportunities for positions as state employees in the community and to prepare them to assume these responsibilities. The Agnews Staff Support Committee is working with a group of professionals from Community Care Licensing, Regional Centers, Community Providers, the RPBA, and the DDS, to provide training to interested Agnews' employees who wish to pursue becoming service providers or employees in community settings. A curriculum has been developed for state staff to assist them in transitioning their unique clinical skills to smaller, more intimate, community settings. Additionally, plans are being formulated to support Agnews' staff who receive employment offers as administrators to complete the required coursework for certification.

Since May 2006, Agnews has provided eight Information and Orientation Sessions for their employees. Morning and afternoon sessions were conducted on May 24, July 19, August 23, and September 13, 2006. During these sessions, employees received an update on the Agnews Closure Plan and information on possible business or employment opportunities in the community and the Marchesi Career Center activities, which are discussed later in this report, to support employees in the above opportunities. Over 350 staff have participated in these sessions this year. At the conclusion of each Information and Orientation Session, employees were offered an evaluation tool to assist Agnews in better understanding employee interests and needs. The tool also provided feedback to tailor future sessions to meet employee needs. In response to this employee feedback, staff information sessions have continued on a smaller more personal scale with 20 – 30 persons per class. Between September 1, 2006 and November 30, 2006, these focused sessions provided 75 Agnews' staff with an overview of the community-based developmental services and 44 Agnews' staff attended training on how to become a certified group home administrator. The RPBA, in conjunction with community providers such as California Community Opportunities have provided and will continue to provide through the Marchesi Career Center informational sessions on an individual or group basis on becoming a FTH service provider. All of these activities are publicized in the monthly staff support newsletter.

To date, Agnews has provided community state staff information sessions to over 350 employees and more than 40 employees have applied for community state staff positions. The opportunities for employees to apply for community state staff positions will increase as BAHF homes become available. State staff are now playing a number of roles in the community including direct care, training and consultation, and assessment, planning and case management. A significant increase in state staff positions in the community over the next six months is anticipated based on housing availability. These systems are designed to assure that the services provided by the

state staff meet the needs of the consumers being served and the community provider/regional center.

Bay Area Quality Management System (QMS)

A refined model for QMS was completed and introduced to the Unified Plan Steering Committee, regional center quality assurance staff, advocacy groups, and the CMS Grants Advisory Stakeholder Committee in Fall 2006. The Committee included all persons (i.e., consumers, providers, regional center, and DDS staff) that have been, or will be, involved in the Unified Plan. The Bay Area QMS is based on values set forth in the Agnews Closure Plan and described in the DDS's Strategic Plan. A three-year federal System Change Quality Assurance/Quality Improvement Grant was completed in September 2006 and served as the basis for the development of the QMS model.

Specifically, the Bay Area QMS outlines the activities that will be used to:

- support value-based outcomes for providers and individuals served by those providers;
- keep people safe and assure their well being;
- ensure the satisfaction of consumers and their families;
- identify, and fill, gaps in the community system; and,
- develop, and pilot, a QMS with potential for statewide implementation; and meet CMS expectations.

QMS Components

The QMS includes a provider performance expectation and quality improvement tool called Quality Services Review (QSR) that includes 37 provider expectations and 84 measurements of those expectations. A key component of the QSR is its focus on quality outcomes for individuals using several provider services monitoring tools. These tools are implemented by professional staff at regional centers, including registered nurses, psychologists, QMS specialists, and service coordinators as well as by family members, friends and other visitors to individual's homes. These outcome-based quality expectations measure achievement criteria for consumers and providers. Providers that are part of the QMS will be required to meet all expectations that will lead to their approval by regional centers. In December 2006, intensive training began on the implementation of the new monitoring tools.

In addition, a central information and integration system using Microsoft Access software has been developed. Once fully deployed, this Quality Management Information System will manage QMS data storage and display. The information system will aid QMS Specialists in working with providers to continuously improve their service provision and ensure services meet the entire array of provider quality expectations. In addition, the system will include a response tracking process to any areas or discoveries needing attention during the quality improvement efforts. The

information and integration system has been installed and is being phased in with full implementation in the Spring 2007.

The National Core Indicators (NCI) Consumer and Family Satisfaction surveys were selected to measure individual outcomes and family satisfaction on an annual basis. The Bay Area regional centers have completed Year 1 implementation of the Consumer Survey and Family Satisfaction Survey. A statistically significant random sample of approximately 750 consumers from the Medicaid Waiver population was surveyed in-person using the NCI Consumer Survey. Another sample of approximately 400 families from the same Medicaid Waiver population was surveyed by mail using the NCI Family Satisfaction Survey. In addition, the entire population of consumers that have transitioned into the community from Agnews from July 2003 through March 2005 were surveyed in-person using the NCI Consumer Survey. The implementation of Year 2 for the NCI Family Satisfaction Survey began in October 2006. Year 2 of the NCI Consumer Survey is underway, with in-person interviews beginning in November 2006. Surveys of the consumers that have transitioned from Agnews for Year 2 have been completed. The Year 1 and Year 2 survey findings and final reports will be available in early 2007.

The QMS includes ongoing mechanisms to measure individual outcomes and satisfaction in addition to the annual NCI surveys. It incorporates information from reviews by a range of regional center professionals and a Quality Snapshot survey that is completed by families and other visitors to the residential homes. These surveys provide information about the visitor's perspective on the home environment and staff, as well as the consumers well being, and are mailed directly to the Director of QMS. Quality Snapshot surveys have been distributed to many homes and data from returned surveys is being reviewed, utilized, and entered into the Quality Management Information System.

A Review Commission was established to serve in an advisory capacity to review Bay Area QMS data and reports. The members include two consumers, seven parents, an advocate from Protection and Advocacy, Inc., and one provider. The commission has held three orientation meetings since September 2005. The commission will hold its fourth meeting in early 2007, and will begin regular meetings to review outcomes and satisfaction data gathered by the QSR process. Recommendations for system improvements and capacity building will be made to the Steering Committee by the Review Commission.

Business Management Team

The Business Management Team (BMT) was formed in 2003 to identify and develop the various tasks required to address the operational functions, construction projects, fiscal management, and space utilization as they relate to the closure of Agnews. The BMT is comprised of both developmental center and headquarters personnel. Seventeen project plans were developed that include the necessary tasks, responsible persons, and completion dates for facilitating closure activities. The project plans

include Client Property Transfer, Communications and Information Systems, Construction Projects, Fiscal Services, Hazardous Materials, Health and Safety, Historical, Leased Properties, Notifications, Physical Plant, Records, Regional Resource Development Projects including the Delta Regional Project and the RPBA, Security, Space Utilization, Staff Support, State Property and Supplies, and Trust. All project plans include specific protocols to define the implementation steps necessary to ensure a smooth transition.

The Human Resource Committee has begun meeting on a more frequent and regular basis since October 2006, to initiate the implementation of both personnel and labor relations functions associated with closure.

Plans have been developed to maintain the buildings in a warm shutdown condition after facility closure to prevent deterioration, provide for security, maintain health and safety conditions, and adhere to all post closure fiscal obligations. One of the four residential buildings was placed in a warm shut down on July 1, 2006. This decreases operational costs for utilities, building maintenance, and janitorial services.

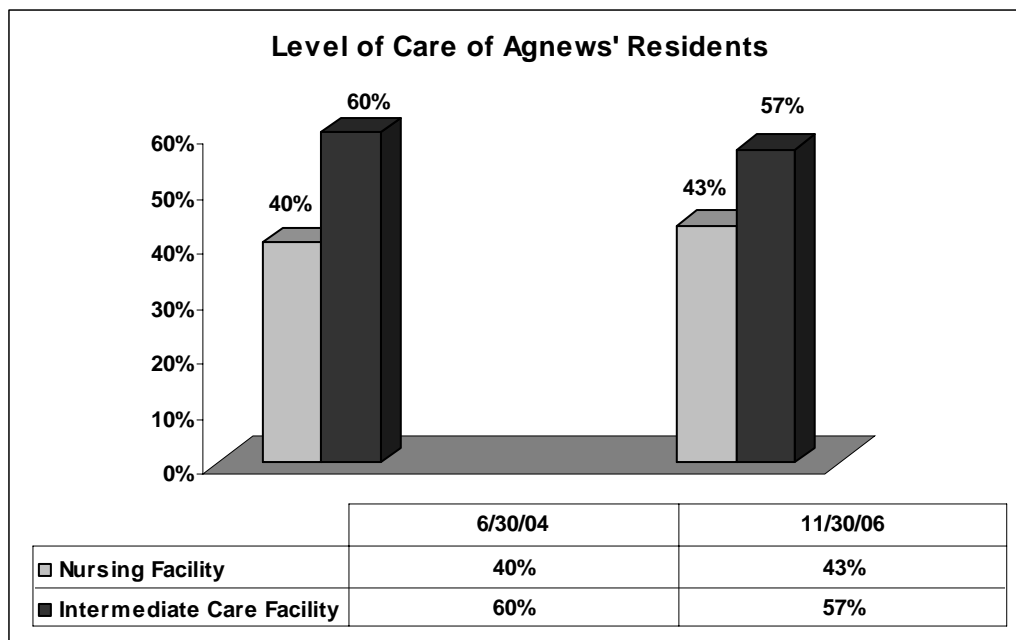
The Agnews' campus includes 51 buildings on 87 acres of land. Two off-site leases have been terminated, and their functions have been consolidated and moved onto the Agnews' campus. The only remaining lease is for the Nuttman warehouse that serves as the distribution point for laundry services provided through a contract with the Prison Industry Authority.

Update on Agnews' Consumers

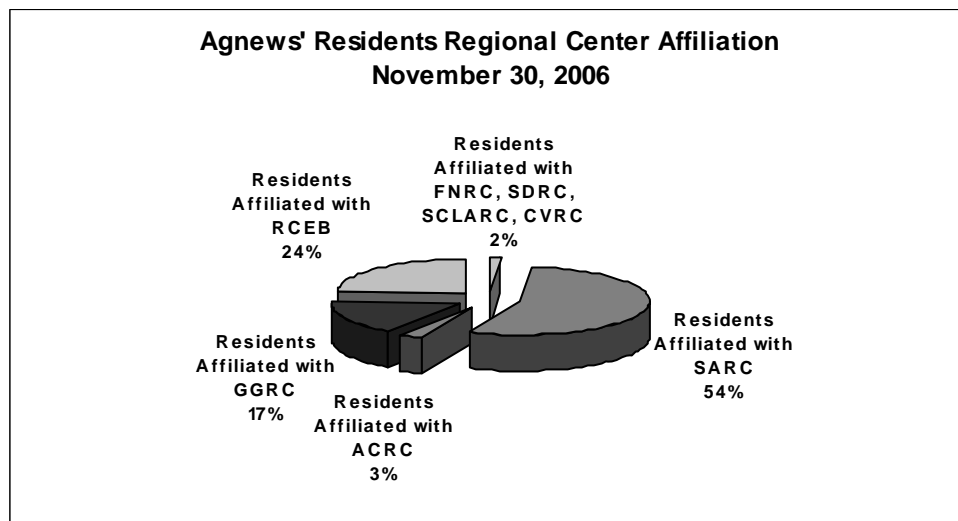
Current Agnews' Residents

As of November 30, 2006, 261 persons reside at the Agnews' campus in three residential buildings. There are a total of 14 residences within these three buildings, with an average census of approximately 18 residents each.

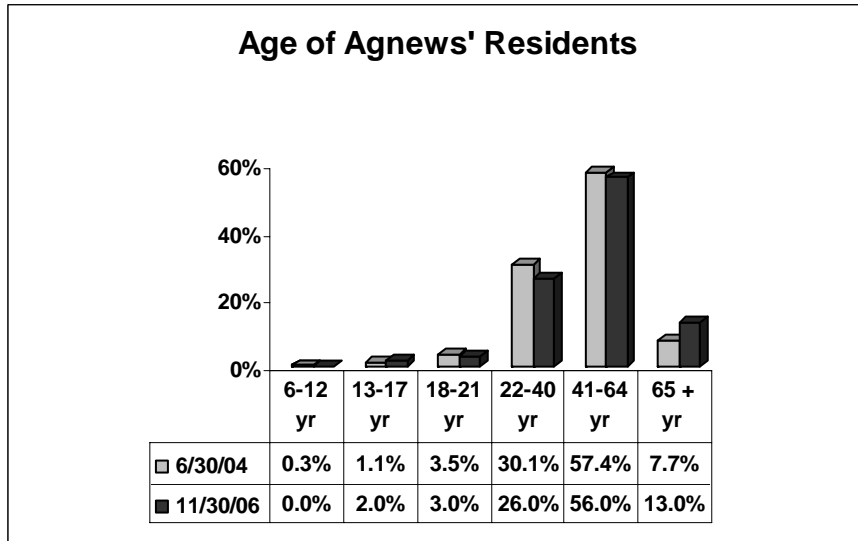
Agnews provides three levels of care to people who reside at the facility. The first level of care is provided in the Nursing Facility residences in which approximately 43 percent of Agnews' residents live. The second level of care is provided in the Intermediate Care Facility residences, which are home to the remaining 57 percent of the consumers. Both the Nursing Facility residences and the Intermediate Care Facility residences provide 24-hour residential services. The third level of care is General Acute Care where short-term medical and nursing care is provided to residents to address an illness or injury.



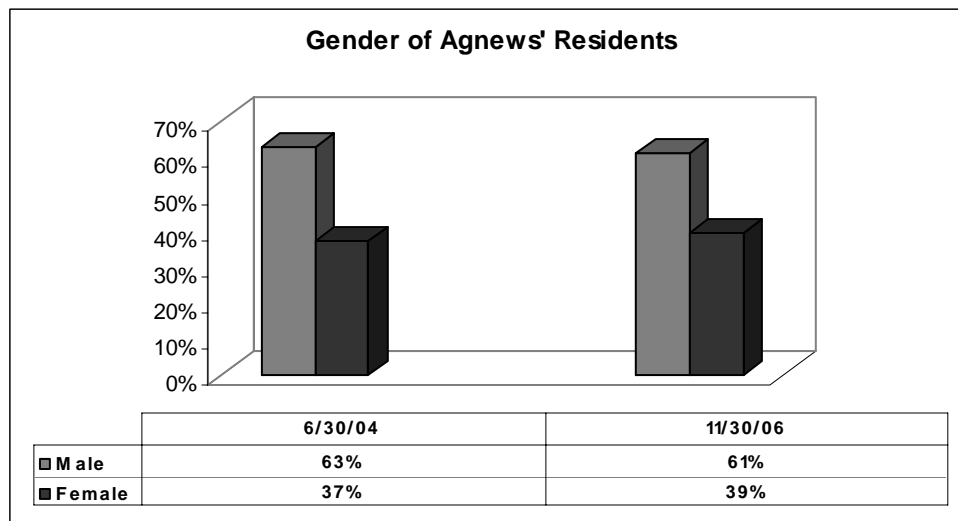
Fifty-four percent of current residents are affiliated with SARC, 24 percent are affiliated RCEB, 17 percent are affiliated with GGRC, 3 percent are affiliated with Alta California Regional Center (ACRC), and 2 percent are affiliated with Far Northern Regional Center (FNRC), San Diego Regional Center (SDRC), South Central Los Angeles Regional Center (SCLARC), or Central Valley Regional Center (CVRC).



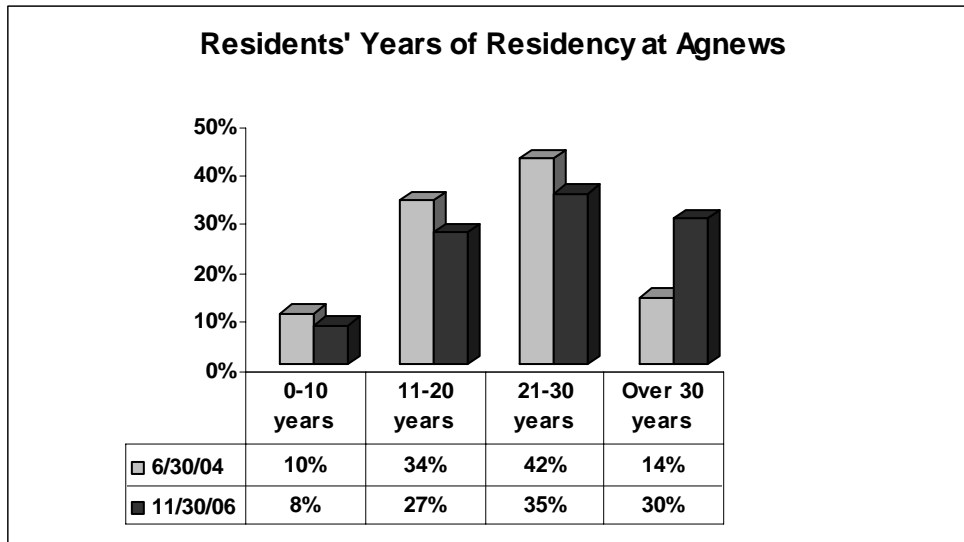
As of November 30, 2006, 69 percent of the residents are over age 40. People who are 65 years or older make up 13 percent of the population. In contrast, 2 percent of the residents are under the age of 18 years.



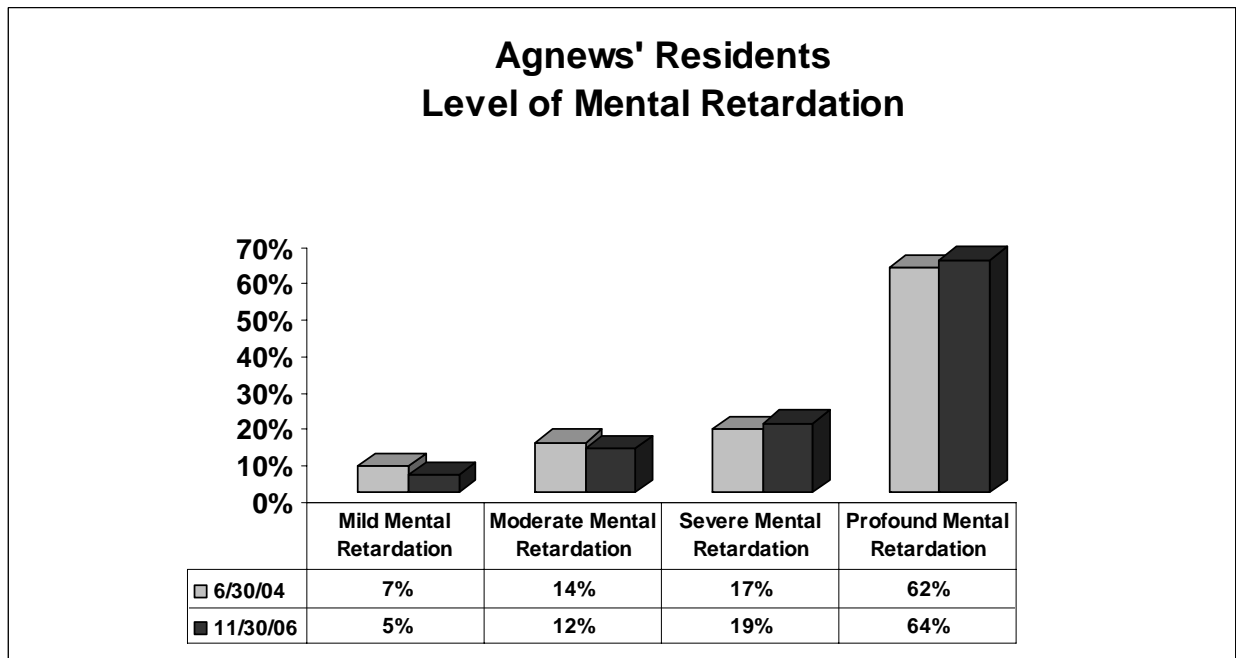
Sixty-one percent of the residents are male, and 39 percent are female.



Sixty-five percent of the current residents have lived at Agnews over 20 years. Twenty-seven percent have resided at Agnews for 11 to 20 years. Eight percent have resided at Agnews less than ten years.

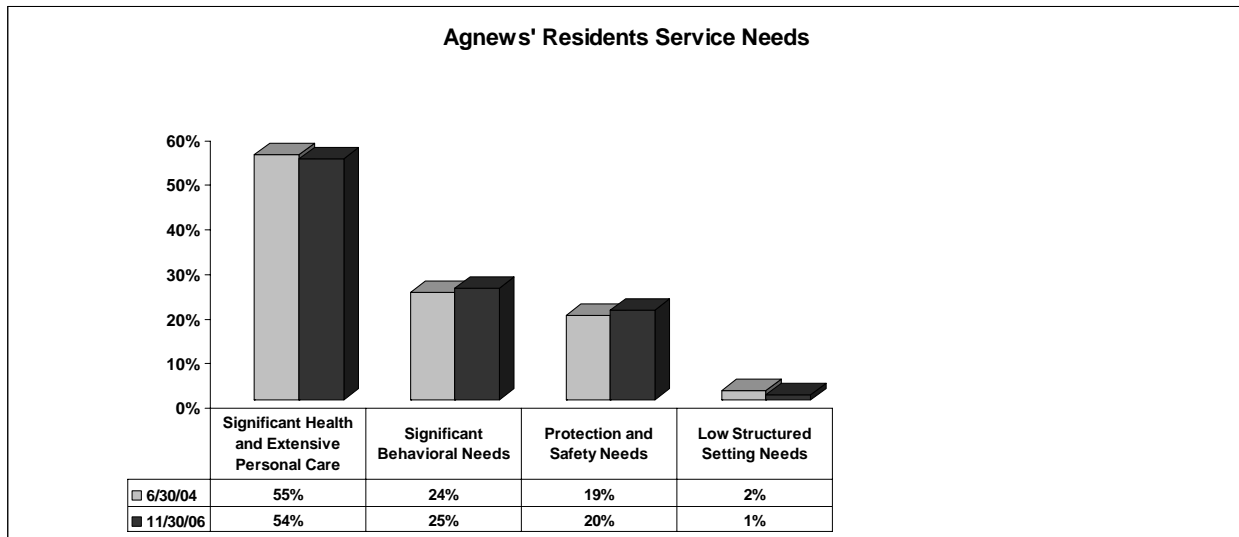


Sixty-four percent of the current Agnews' residents have profound mental retardation, 19 percent have severe mental retardation, 12 percent have moderate mental retardation, and 5 percent have mild mental retardation.



There are four primary service needs for persons who reside at Agnews.

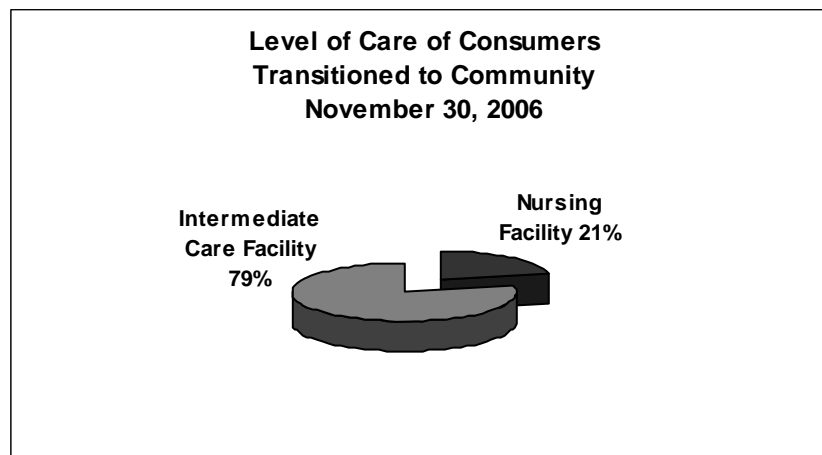
- Significant Health and Extensive Personal Care Needs:** This category includes people who require intermittent pressure breathing, inhalation assistive devices, or tracheotomy care; have recurrent pneumonias or apnea; and are non-ambulatory, requiring total assistance and care, and/or receive enteral (tube) feeding. Significant nursing intervention and monitoring are required to effectively support these individuals. Fifty-four percent of the residents have significant health and extensive personal care needs.
- Significant Behavioral Needs:** This category describes persons who have significant aggression issues that may require intervention for the safety of themselves or others. Approximately 25 percent of the residents are persons with significant behavioral issues.
- Protection and Safety Needs:** This category includes persons who need a highly structured setting because of a lack of safety awareness, a pattern of self-abusive behaviors and/or inappropriate expression of social behavior. These consumers require constant supervision and ongoing intervention to prevent self-injury and/or stigmatization. Twenty percent of the persons residing at Agnews require this type of structure and service need.
- Low Structured Setting Needs:** This service need addresses those residents who require minimal supervision and support. One percent of the Agnews' residents require minimal supervision or support.



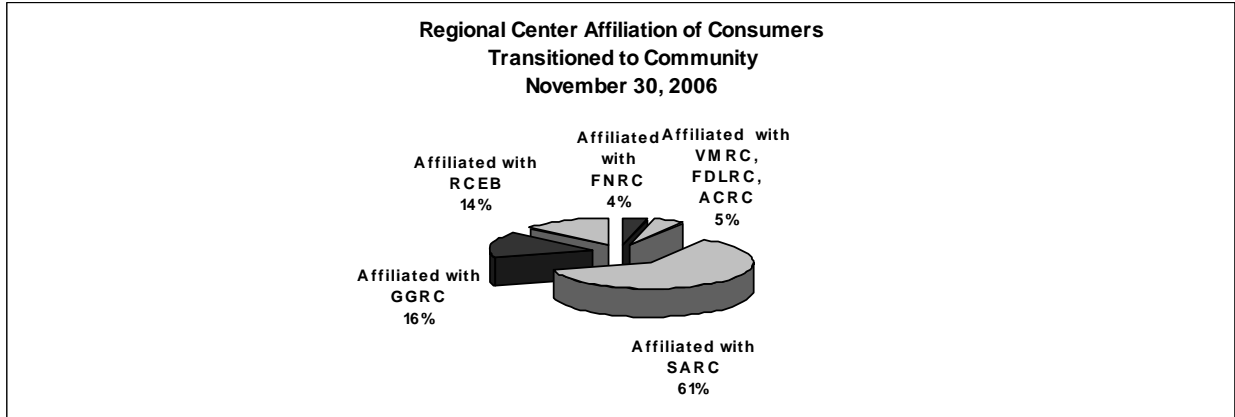
Agnews' Consumers Who Have Transitioned Into Community Living Arrangements

Between the period of July 1, 2004, and November 30, 2006, 99 residents transitioned to the community, 10 persons were admitted to Agnews, and 2 persons were transferred to other developmental centers. Of the consumers who transitioned to community living arrangements between July 1, 2004, and November 30, 2006, 79 percent had been served in Intermediate Care Facility residences and 21 percent had been served in the Nursing Facility residences while at Agnews.

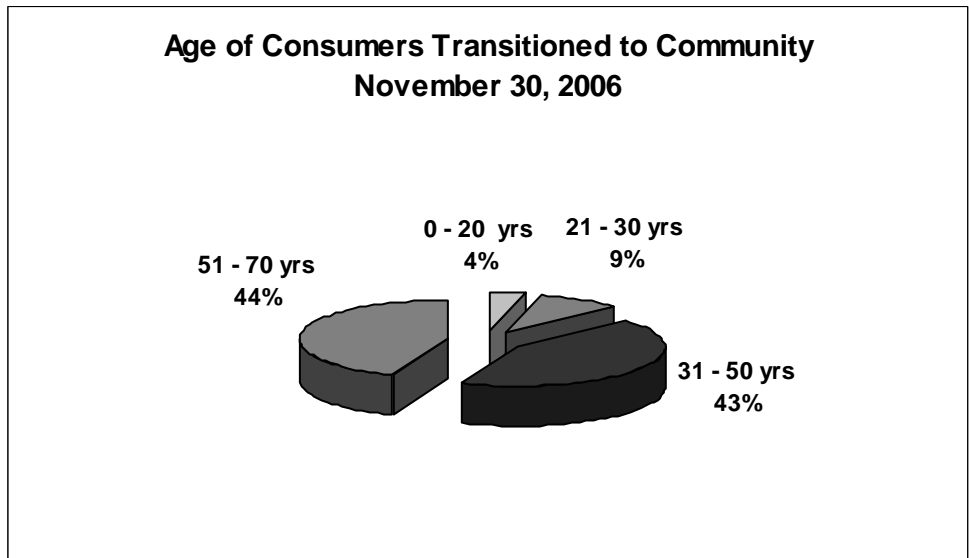
As of December 21, 2006, 17 consumers have moved from Agnews into the community, and 40 persons are in various stages of the community living options process and are expected to transition into the community over the next several months. In these instances, potential residential providers and community resources have been identified. The majority of the remaining Agnews' residents that will transition into the community will require BHP homes. Based on our success with the acquisition of homes, it is expected that significant progress will be achieved in transitioning consumers into the community by the end of the fiscal year.



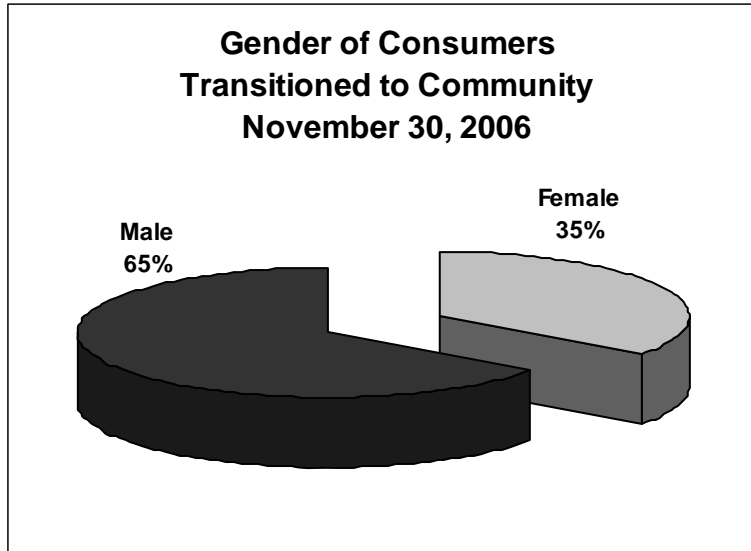
Sixty-one percent of the consumers transitioning to the community between July 1, 2004, and November 30, 2006, were affiliated with SARC, 16 percent were affiliated with GGRC, 14 percent were affiliated with RCEB, 4 percent were affiliated with FNRC, and 5 percent were affiliated with Valley Mountain Regional Center (VMRC), FDLRC, or ACRC.



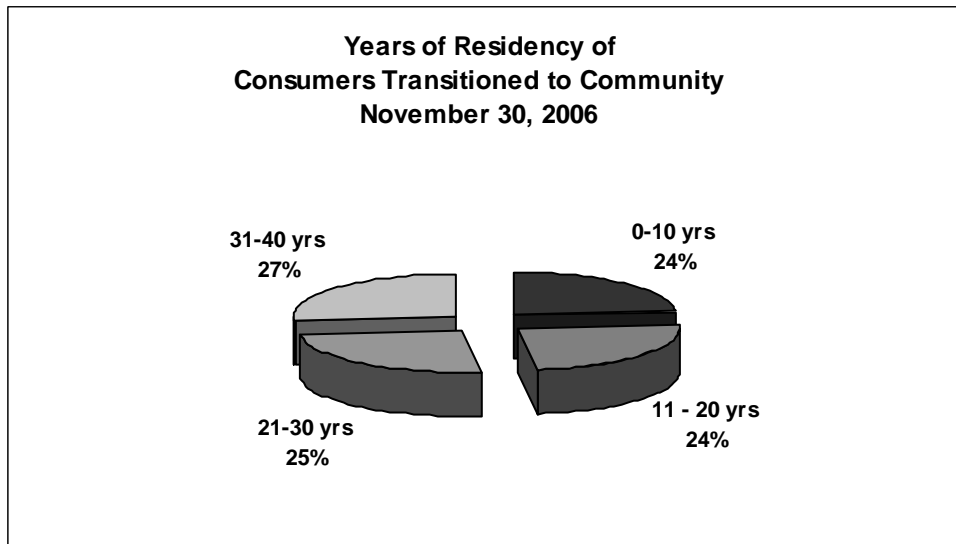
Of the consumers transitioned to the community between July 1, 2004, and November 30, 2006, 44 percent were 51 to 70 years of age at the time of transition from Agnews, 43 percent were 31 to 50 years old, 9 percent were 21 to 30 years old, and 4 percent were 20 years of age or younger.



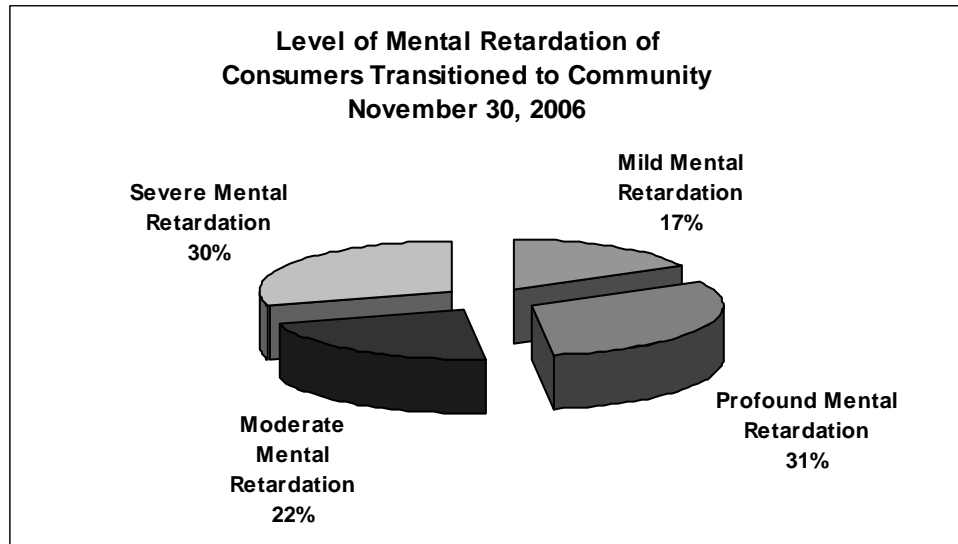
Sixty-five percent of the consumers transitioned to the community between July 1, 2004, and March 31, 2006, were male, and 35 percent were female.



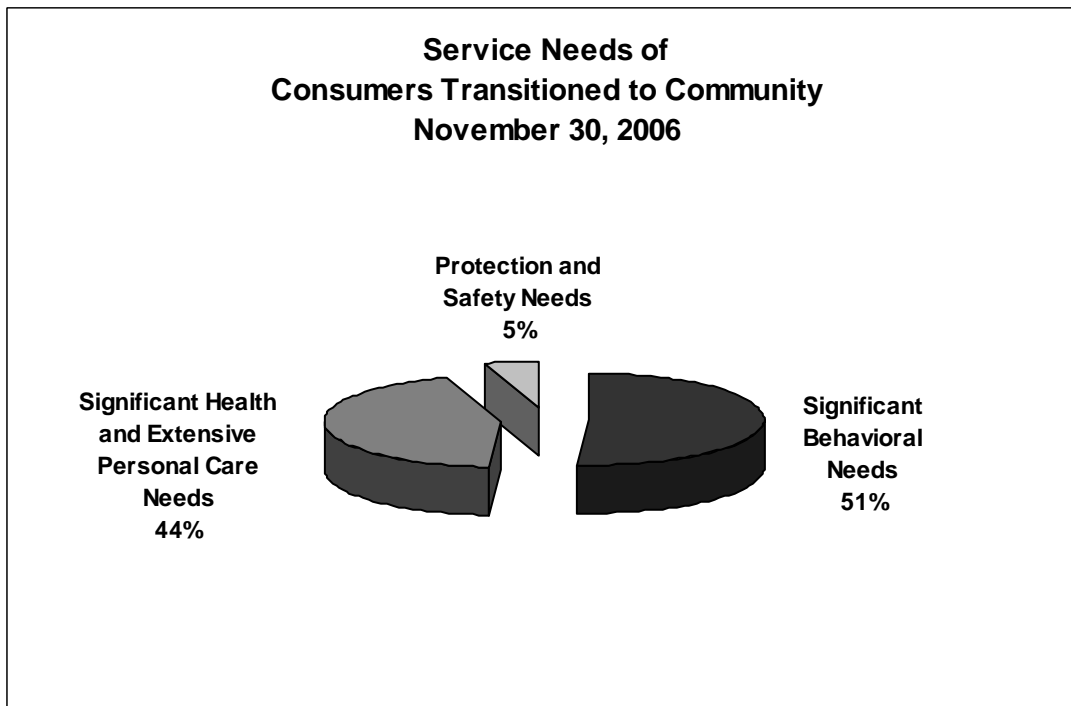
Of the consumers transitioned to the community between July 1, 2004, and November 30, 2006, 27 percent lived at Agnews for 31 to 40 years, 25 percent for 21 to 30 years, 24 percent for 11 to 20 years, 24 percent for 10 years or less.



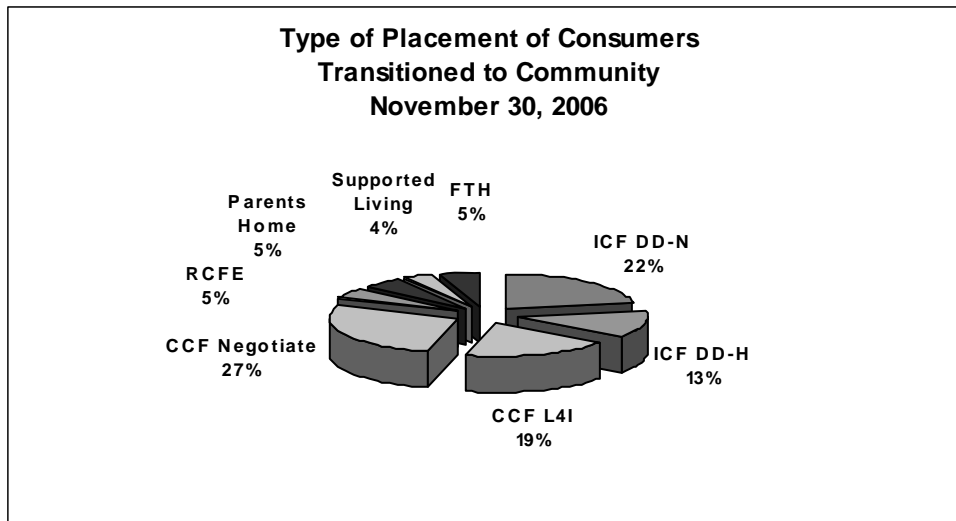
Of the consumers who transitioned to the community between July 1, 2004, and November 30, 2006, 31 percent had profound mental retardation, 30 percent had severe mental retardation, 22 percent had moderate mental retardation, and 17 percent had mild mental retardation.



Fifty-one percent of the consumers who transitioned to the community between July 1, 2004, and November 30, 2006, had significant behavioral needs, 44 percent had significant health and extensive personal care needs, and 5 percent had protection and safety needs.



Twenty-seven percent of the consumers who transitioned to the community between July 1, 2004, and November 30, 2006, moved to CCF Negotiate, 19 percent moved to CCF L4I, 22 percent moved to ICF DD-N, 13 percent moved to ICF DD-H, 5 percent moved to RCFE, 5 percent moved to FTH, 4 percent moved to Supported Living, and 5 percent moved to their Parents Home.

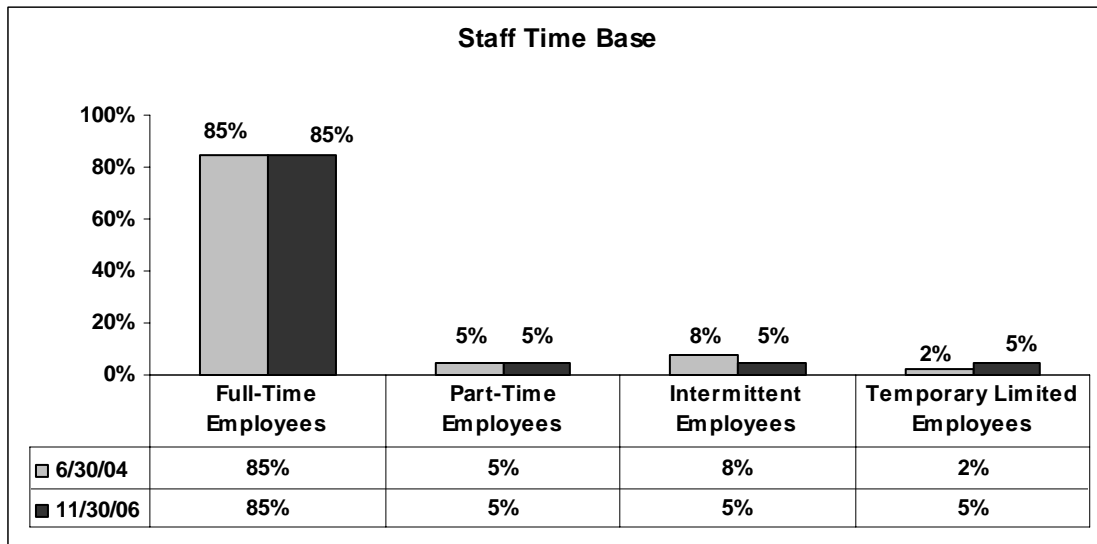


Update on Employees

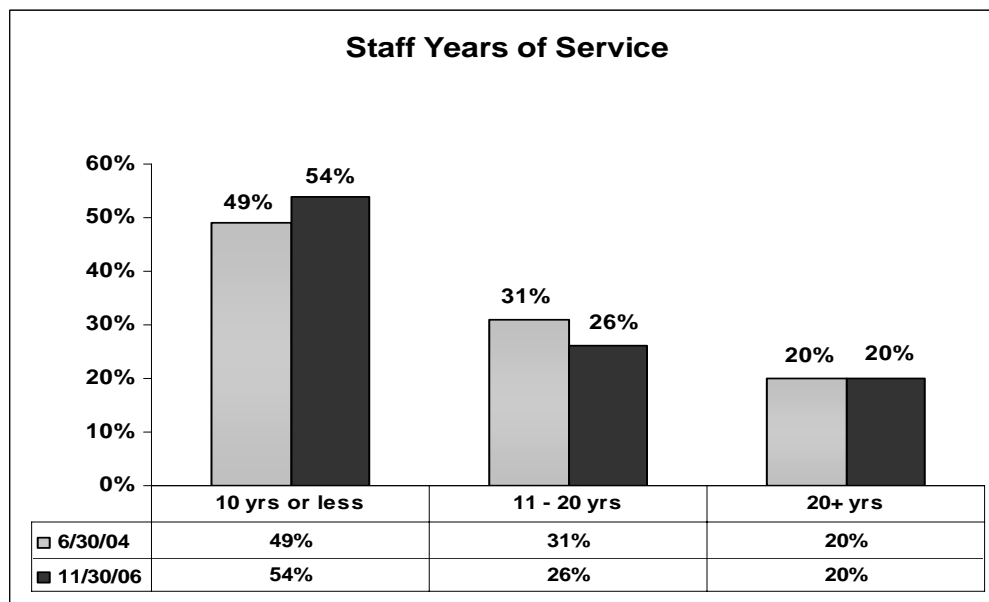
This report is an update on current employee status as of November 30, 2006. This includes updates on Current Employee Composition, Separated Staff Composition, and Plans for Employees.

Current Employee Composition

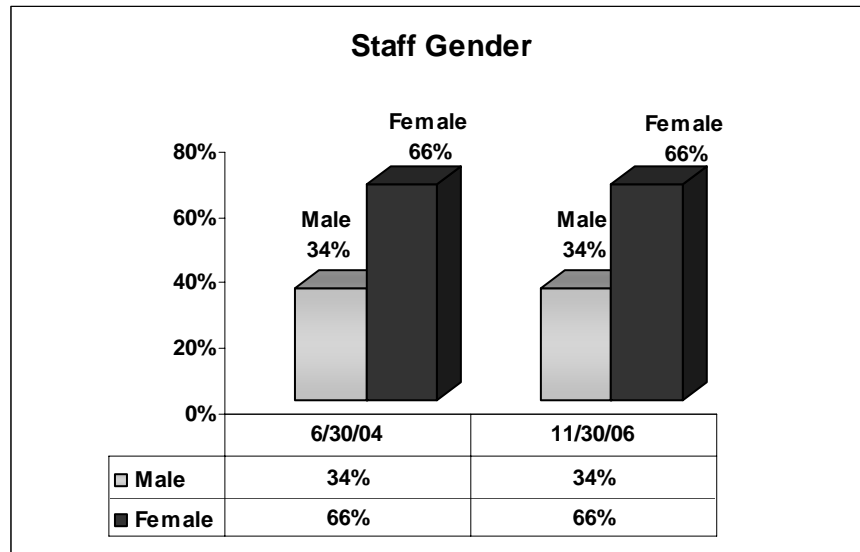
As of November 30, 2006, there are 1,054 employees at Agnews. Of these employees, 85 percent are currently full-time employees, 5 percent are part-time employees, 5 percent are intermittent employees and the remaining 5 percent are temporary limited term employees.



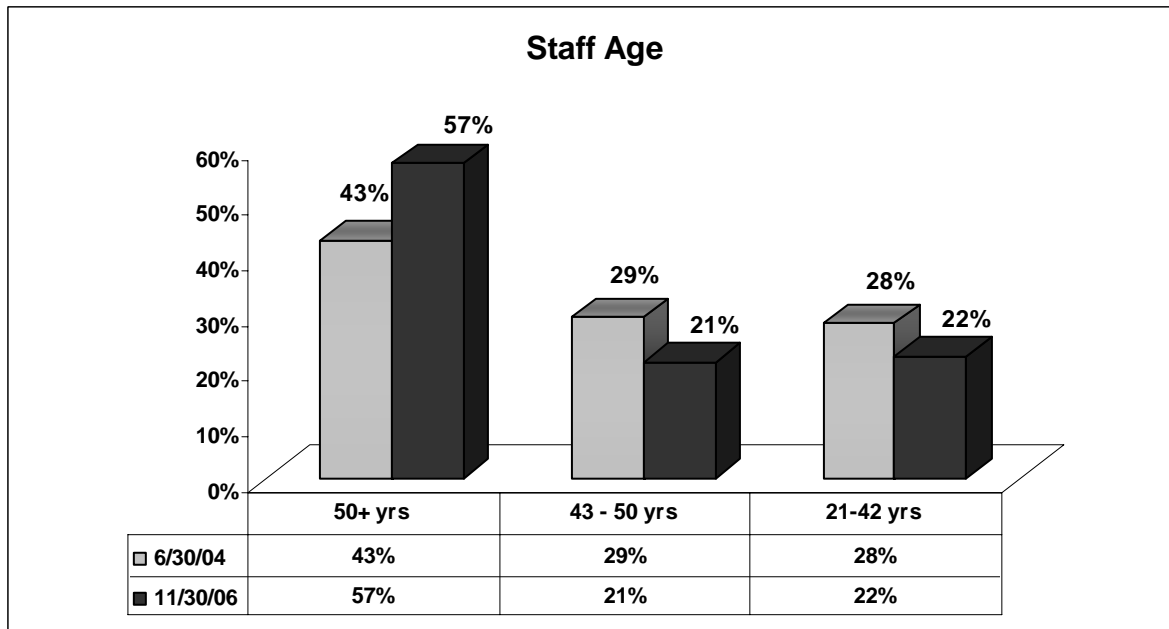
Fifty-four percent of the employees have worked at Agnews for 10 years or less. Twenty-six percent of the employees have been employed at Agnews between 11 and 20 years. The remaining 20 percent have worked at Agnews for more than 20 years.



Sixty-six percent of the workforce are female and 34 percent of the workforce are male.

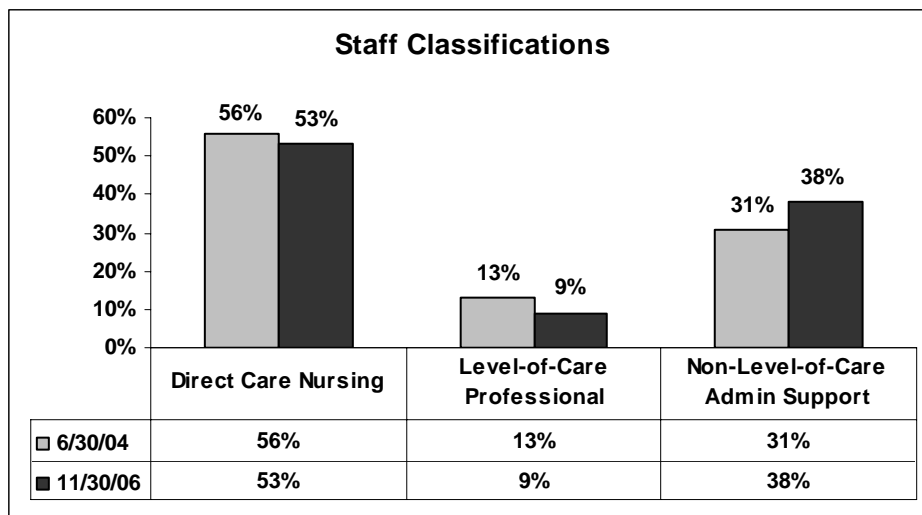


The age range of current employees is 22 to 81 years of age. The current average age of Agnews' employees is 49 years. Twenty-two percent of the workforce are between 21 and 42 years of age. Twenty-one percent are between 43 to 50 years, and 57 percent are over 50 years of age.

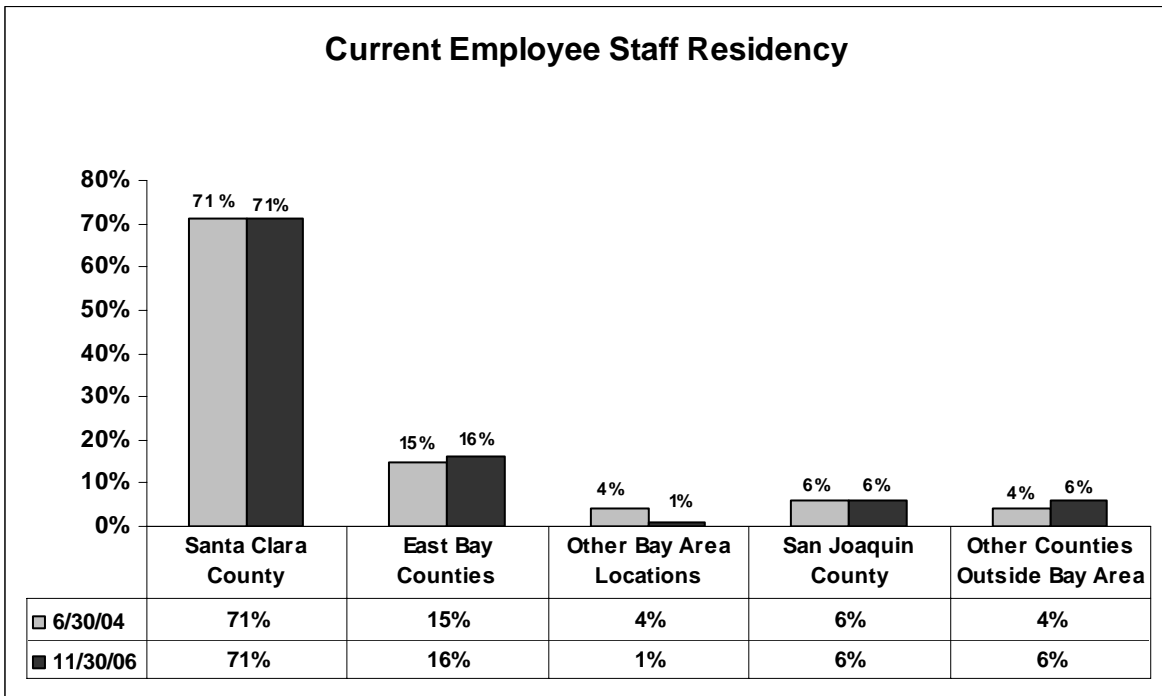


There is a wide range of employees and classifications that provide services to people residing at Agnews. These classifications are categorized as follows:

- **Direct Care Nursing:** The direct care employees make up 53 percent of the employee population and include those employees who provide direct services to the residents at Agnews. These employees are registered nurses, licensed vocational nurses, psychiatric technicians, psychiatric technician assistants, trainees, and students.
- **Level-of-Care Professional:** The level-of-care professional employees make up nine percent of the total employee population and include physicians, rehabilitation therapists, social workers, teachers, physical and occupational therapists, respiratory therapists and others who provide a direct and specialized service to the residents at Agnews.
- **Non-Level-of-Care and Administrative Support:** The remaining 38 percent of the employee population includes those employees who are in non-level-of-care positions and administrative support. This includes clerical employees, food service employees, personnel and fiscal services employees, plant operations employees, and all supervisors and managers.

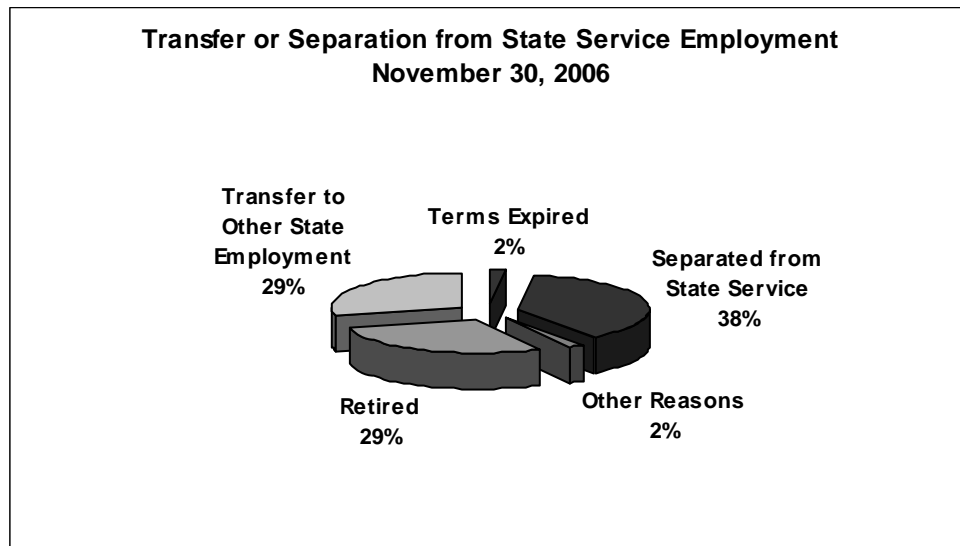


Agnews' employees continue to reside in neighborhoods throughout the Bay Area. Seventy-one percent live in Santa Clara County, 16 percent reside in the East Bay counties, 1 percent of employees live in various other Bay Area locations, 6 percent commute from San Joaquin County, and 6 percent who commute from other counties outside Bay Area.

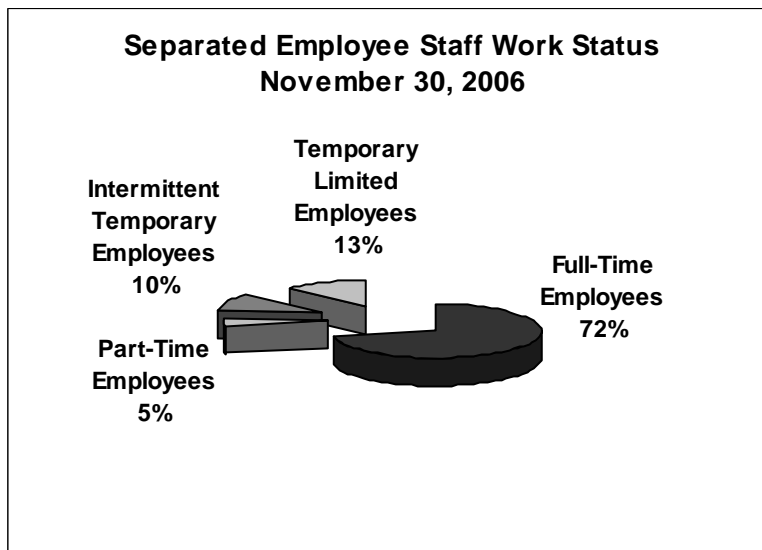


Separated Employee Composition

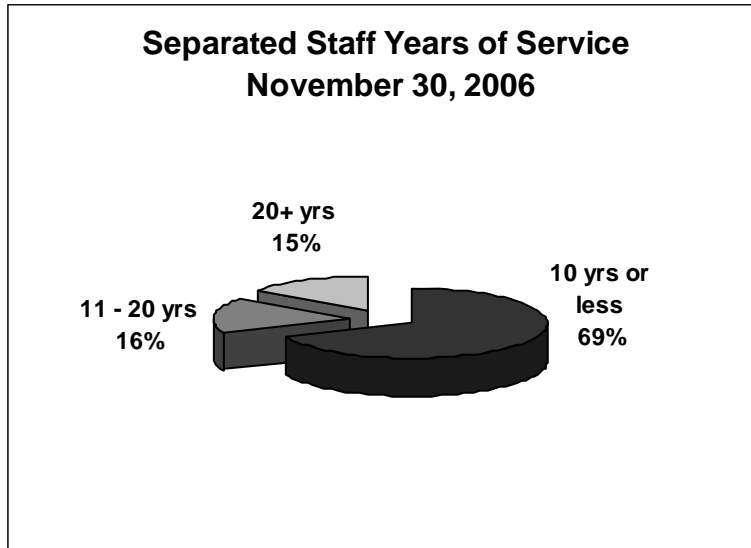
Between the time period of July 1, 2004, and November 30, 2006, 404 employees separated from employment with Agnews. Of those separating, 38 percent separated from state service, 29 percent retired, 29 percent transferred to other state employment, 2 percent terms expired, and 2 percent separated for other reasons.



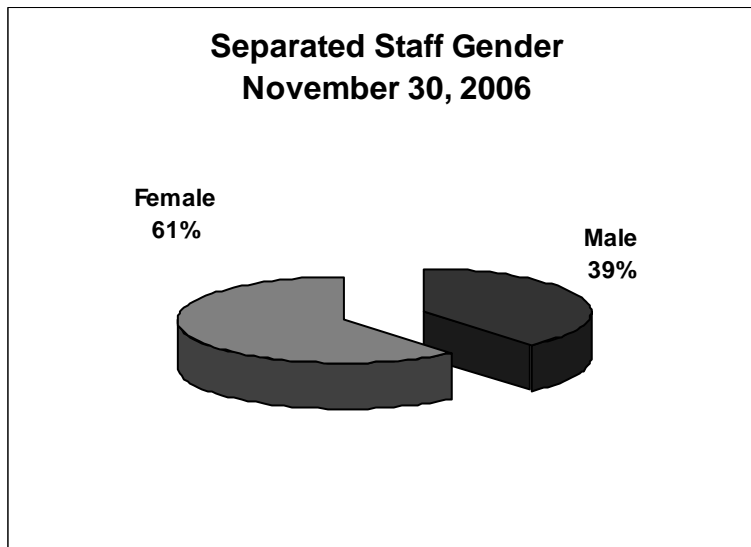
Of the employees that separated from Agnews' state service between July 1, 2004, and November 30, 2006, 72 percent had been full-time employees, 13 percent had been temporary limited term employees, 5 percent had been part-time, and 10 percent had been intermittent temporary employees.



Sixty-nine percent of the employees who separated from State service had worked at Agnews for ten years or less. Sixteen percent of the employees had worked at Agnews between 11 and 20 years. The remaining 15 percent had worked at Agnews for more than 20 years.



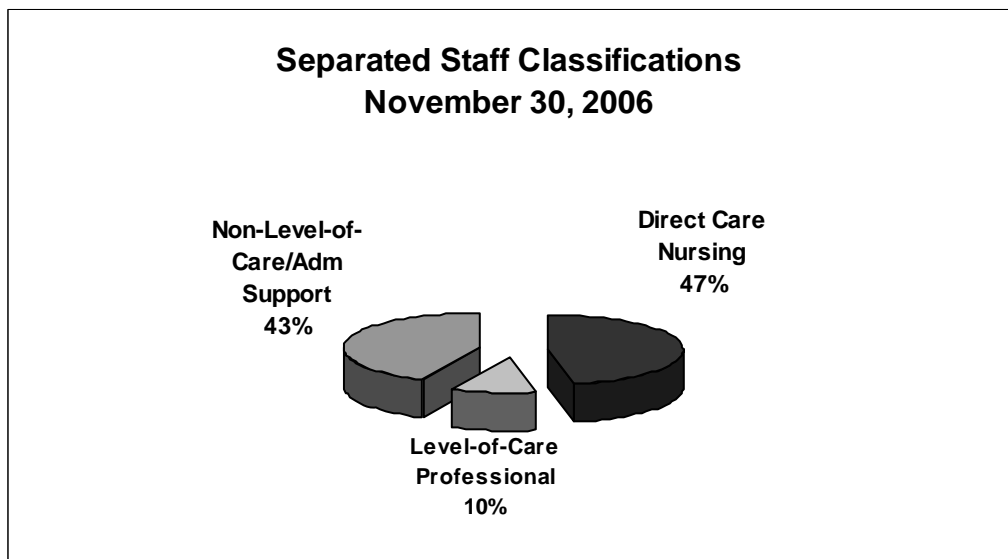
Sixty-one percent of those who separated from State service were female and 39 percent were male.



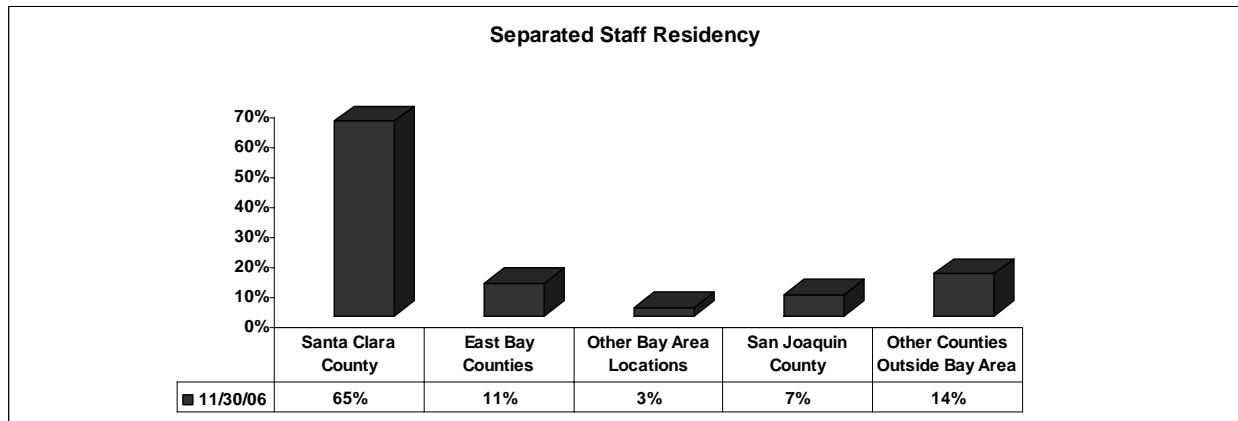
Thirty-one percent of those who separated were between 21 and 42 years of age. Sixteen percent were between 43 to 49 years old, and 53 percent were 50 years or older.



Forty-seven percent of the separated employee population were providers of direct care including nursing services to the consumers at Agnews. Forty-three percent of the separated employee population included those employees in non-level-of-care positions and administrative support. Ten percent of the separated employee population were level of care professional service providers to the consumers at Agnews.



Separated employees continue to reside in neighborhoods throughout the Bay Area. Sixty-five percent live in Santa Clara County, and 11 percent reside in the East Bay counties. Three percent of employees live in other Bay Area locations. In addition, separated employees residing in communities outside of the Bay Area include 7 percent who commuted from San Joaquin County, and 14 percent who commute from other counties outside the Bay Area.



Plans for Employees

Agnews' employees are aware of the closure date of June 30, 2008, and the range of options that are, or will become, available to them. There are a number of resources and services that have been initiated, and will continue during the implementation of this plan.

Continued Employment in the Developmental Services System

As discussed previously in the section entitled State Staff in the Community, approximately 200 Agnews' employees will have the opportunity to seek community-based state employment. These employees will participate in providing direct residential services, training, consultation, quality assurance, and other services in the community. The procedures for selecting persons to fill these positions was developed based on negotiations, and development of the agreement with each labor organization, taking into consideration current hiring practices for state employment. Regional centers and service providers are accessing the State Staff in the Community Program and opportunities will increase greatly as BAHF housing becomes available.

Individual Assistance in Developing Job Skills and Locating Job Opportunities

The Staff Support Committee, in cooperation with the BMT, has developed a larger, more user-friendly career center called the Marchesi Career Center. The new Marchesi Career Center was officially opened in September 2006 and is currently staffed with three professionals who provide assistance to staff in a wide variety of ways including career counseling, training, and support on an individual or group basis. As of November 30, 2006, 367 staff received services from the Marchesi Career Center in the first three months of operation, with an expected increase over the next year.

The Marchesi Career Center is equipped with five computer systems with access to the internet for job searching purposes. Web links are available for connecting to advertisements for state, county, city and local jobs, as well as information on State Restriction of Appointment, Surplus Status, retirement, and benefits. The center is also a location for posting job bulletins and provides information on job opportunities within the state system.

The Marchesi Career Center also serves as a training site complete with a media center which includes a power point projector for presentations, equipment for DVD or video presentations, and training materials specific to community-based business opportunities, community-based employment, job searching, résumé writing, application processing and interview tips and techniques.

The career counseling process is designed to assist employees with decisions about current as well as future goals, and begins with a survey tool which assists the counselor in providing needed services to employees. The survey tool identifies employees by name and current classification, and queries their educational background, employment or retirement interests, job shadowing interests, and includes a comment section for any other information the employee wishes to share such as concerns or supports needed.

To better understand employee transition needs, surveys have been completed with dietary services staff, plant operations staff, registered nurses, licensed vocational nurses, psychiatric technicians, psychiatric technician assistants, and teaching assistants. The intent is to have every employee of Agnews communicate their future career interests so it is possible to identify and tailor counseling, training, and information based on those needs.

The Marchesi Career Center staff uses this information to develop training and support services consistent with employee interests. The counselors assist employees with job search information, assessing qualifications based on job specifications, finding employment within state service, preparing applications, comparing benefits, and evaluating retirement options. In addition, personal invitations and save-the-date cards are sent to employees who have expressed interest in certain opportunities.

Between September 1, 2006, and November 30, 2006, 99 staff received individual career counseling in the Marchesi Career Center. Fifty-six of those staff made advance appointments for career counseling, and 43 initiated the career counseling process based on available drop-in sessions.

Workshops on interviewing techniques and résumé writing were provided to 268 staff from September 1, 2006, to November 30, 2006, and will continue to be provided for employees. Quarterly retirement and benefit workshops continue to be provided by the California Public Employees' Retirement System.

In addition, Agnews offered a job fair for prospective employers of Agnews' employees in November 2005, and another is planned to occur in early 2007.

In association with the Marchesi Career Center, Agnews has also established a work group to gather and review questions being raised by employees for response through the Agnews Employee Newsletter. The work group, in partnership with the Employee Advisory Council, also facilitates staff morale-building activities.

Agnews remains committed to the establishment and implementation of a system that promotes employee stability and provides opportunities to assist employees with taking the next step in their future plans.

VII. Major Implementation Steps and Timelines

Report on the Plan for the Closure of Agnews Developmental Center











ID	Task Name	2						
		4th Quarter		1st Quarter			2nd Quarter	
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
1	<input checked="" type="checkbox"/> Plan Development							
2	<input checked="" type="checkbox"/> Establish the Bay Area Project Steering Committee							
3	<input checked="" type="checkbox"/> Establish Agnews' proposed closure Advisory Committee							
4	<input checked="" type="checkbox"/> Begin Agnews' proposed closure Advisory Committee meetings							
5	<input checked="" type="checkbox"/> Establish Bay Area Project planning teams to solicit input on the Agnews Closure Plan							
6	<input checked="" type="checkbox"/> Public Hearing on the proposed closure of Agnews							
7	<input checked="" type="checkbox"/> Letter to Legislators and Other Interested Parties announcing postponement of Agnews Closure to July 2006							
8	<input checked="" type="checkbox"/> Submission of the Agnews Closure Plan to the Legislature							
9	<input checked="" type="checkbox"/> Legislative approval of Plan for Closure							
10	<input checked="" type="checkbox"/> Resource Development							
11	<input checked="" type="checkbox"/> Bay Area Housing Plan (BAHP)							
12	<input checked="" type="checkbox"/> Draft Legislation							
13	<input checked="" type="checkbox"/> AB 2100 Introduced							
14	<input checked="" type="checkbox"/> AB 2100 effective 1/1/2005							
15	<input checked="" type="checkbox"/> Regional Center Development of BAHP							
16	<input checked="" type="checkbox"/> DDS, Housing and Community Development, California Housing and Finance Agency (CalHFA), DOF, Governor's Office Review BAHP							
17	<input checked="" type="checkbox"/> BAHP and Expenditure Plan submitted to JLBC							
18	<input checked="" type="checkbox"/> CalHFA Bd approves 1st bond financing							
19	<input checked="" type="checkbox"/> CalHFA Bd approves 2nd bond financing							
20	<input type="checkbox"/> Construction and acquisition financing secured							
21	<input type="checkbox"/> NPOs identify potential properties							
22	<input type="checkbox"/> Hallmark acquires properties							
23	<input type="checkbox"/> Hallmark renovates properties							
24	<input checked="" type="checkbox"/> BAHP Legal Agreements endorsed							
25	<input checked="" type="checkbox"/> BAHP RC Contract Amendment Enforced							
26	<input type="checkbox"/> Budget Act Reappropriation of Expenditure Plan Funds							

A check indicates a completed task.
January 2007

Major Implementation Steps and Timelines

VII. Major Implementation Steps and Timelines

Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name							2
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
27	 21 Properties Receive Certificates of Occupancy							
28	 Balance of 46 Properties to be Delivered							
29	SB 962 Homes							
30	<input checked="" type="checkbox"/> Draft Legislation							
31	<input checked="" type="checkbox"/> SB 962 Introduced							
32	<input checked="" type="checkbox"/> SB 962 Legislation effective 1/1/2006 - Pilot Project							
33	<input checked="" type="checkbox"/> RCs Draft and Issue IAs							
34	 Prospective licensees program plans submit to DDS by RCs							
35	 Facilities certification letters issued							
36	<input checked="" type="checkbox"/> IA for evaluation of project developed							
37	 Homes developed by BAHP							
38	 Develop Consumers' Individual Health Care Plans							
39	 Transition Consumers into homes							
40	<input checked="" type="checkbox"/> Project evaluation contractor selected							
41	 Monitoring of individuals placed in homes							
42	 Evaluation report to Legislature of pilot							
43	 SB 962 sunsets unless extended							
44	<input checked="" type="checkbox"/> Family Teaching Homes (FTH)							
45	<input checked="" type="checkbox"/> Assembly Bill 2100 Enacted							
46	<input checked="" type="checkbox"/> Draft FTH Regulations							
47	<input checked="" type="checkbox"/> Promulgate Regulations							
48	Health Services							
49	<input checked="" type="checkbox"/> Establish Dental Coordinator Positions							
50	<input checked="" type="checkbox"/> Implement Expanded Nursing Assessment Components							
51	<input checked="" type="checkbox"/> Implement Expanded Nursing Assessment							
52	<input checked="" type="checkbox"/> Develop Individual Health Care Plan							
53	<input checked="" type="checkbox"/> Implement Individual Health Care Plan							
54	<input checked="" type="checkbox"/> Develop Risk Assessment Tool							
55	<input checked="" type="checkbox"/> Implement Risk Assessment Tool							
56								

A check indicates a completed task.
January 2007

VII. Major Implementation Steps and Timelines

Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name							2
		4th Quarter		1st Quarter		2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
57	State Employees in the Community							
58	<input checked="" type="checkbox"/> Draft Legislation							
59	<input checked="" type="checkbox"/> Introduce AB 1378							
60	<input checked="" type="checkbox"/> AB 1378 effective 1/1/2006							
61	<input checked="" type="checkbox"/> DDS-Labor Relations notice impacted unions of change in working conditions and offer to meet and confer/discuss.							
62	<input checked="" type="checkbox"/> DDS establishes negotiating team							
63	<input checked="" type="checkbox"/> DDS initiate survey of regional centers regarding need for State employees for use in transition to community.							
64	<input checked="" type="checkbox"/> DDS-Agnews analyze survey results to determine bargaining positions, number of unions impacted, notice additional unions if necessary							
65	<input checked="" type="checkbox"/> DDS—Agnews/Regional Centers develop contracts for use of state employees and reimbursement							
66	<input checked="" type="checkbox"/> DPA and DDS meet with unions to negotiate /discuss use of State employees							
67	<input checked="" type="checkbox"/> Agnews deploys 50 State employees							
68	<input type="checkbox"/> Agnews deploys 100 State employees per union agreements							
69	<input checked="" type="checkbox"/> Quality Management System							
70	<input checked="" type="checkbox"/> Establish QMS Commission							
71	<input checked="" type="checkbox"/> Provider - Tools							
72	<input checked="" type="checkbox"/> Complete Provider Expectations document							
73	<input checked="" type="checkbox"/> Draft QSR expectations							
74	<input checked="" type="checkbox"/> Complete QSR Manual							
75	<input checked="" type="checkbox"/> Draft and Pilot QSR monitoring tools							
76	<input checked="" type="checkbox"/> Complete QSR monitoring tools							
77	<input checked="" type="checkbox"/> Draft QSR and monitoring tools Training Manual							
78	<input checked="" type="checkbox"/> Pilot QSR and monitoring tools Training Manual							
79	<input checked="" type="checkbox"/> Consumer - Tools							
80	<input checked="" type="checkbox"/> Develop, pilot test, and finalize Service Coordinator Tool							
81	<input checked="" type="checkbox"/> Develop, pilot, and finalize Behavior Plan Review tool							
82	<input checked="" type="checkbox"/> Develop, pilot test and DRAFT a Quality Snapshot tool							
83	<input checked="" type="checkbox"/> Develop, pilot, and finalize Nurse Health Review tool							

A check indicates a completed task.
January 2007

VII. Major Implementation Steps and Timelines







Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name	2						
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
84	✓ Develop, pilot, and finalize Bay Area Project staff tool							
85	✓ Xenologic Contract							
86	✓ Completion of NCI Consumer Survey Year One							
87	✓ Completion of NCI Family Satisfaction Survey Year One							
88	✓ Final reports on both Consumer and Family Surveys							
89	✓ Develop contract and Scope of Work for Year Two							
90	Final Report Year Two Surveys							
91	✓ Community Development team Meetings							
92	CMS final report due on Grant							
93	✓ Business Management Team							
94	Identify and support employees' personal needs to plan for future employment or retirement							
95	✓ Develop a plan to secure and protect Agnews' property throughout closure							
96	✓ Protocols in process to secure and protect Agnews' property throughout closure							
97	✓ Develop a process to ensure timely notification to stakeholders and appropriate entities regarding closure activities							
98	✓ Protocols in process to ensure timely notification regarding closure							
99	✓ Plan for inventory communications and IT equipment, determine and develop a plan, and effectuate disposition							
100	✓ Create website for DCs containing policies and procedures for inventory of communications, and IT equipment							
101	✓ Manage Workers' Compensation cases, and preserve and transfer active and inactive cases including Stockton DC							
102	✓ Plan for purge and preserve Agnews' records as appropriate							
103	✓ Protocols in process for purge and preserve records							
104	✓ Develop process to move personal property with the consumer							
105	✓ Protocols in process to move personal property with consumer							
106	Project, plan, and manage fiscal resources thru closure							
107	Plan and facilitate consolidation of programs and services as population declines, including property leases							
108	✓ Inventory, store, distribute state surplus property - supplies							

A check indicates a completed task.
January 2007

VII. Major Implementation Steps and Timelines

Report on the Plan for the Closure of Agnews Developmental Center

ID	Task Name	2						
		4th Quarter	1st Quarter			2nd Quarter		
		Dec '06	Jan '07	Feb '07	Mar '07	Apr '07	May '07	Jun '07
109	 Create website for DCs containing policies and procedures for inventory, store-distribute state surplus property supplies							
110	 Develop a process to properly handle all trust account transactions during closure and forward balances at the time of discharge							
111	 Inventory and arrange for proper disposal of hazardous materials							
112	 Inventory and preserve historical items							
113	 Develop a plan to maintain Agnews' property during warm shutdown							
114	 Maintain physical plant during warm shutdown							

A check indicates a completed task.
January 2007

Fiscal Update

DEPARTMENT OF DEVELOPMENTAL SERVICES
Plan to Close Agnews Developmental Center

BUDGET BY FISCAL YEAR

	Base 2004-05	2006-07 May Revision CY 2005-06	2007-08 November Estimate CY 2006-07	2007-08 November Estimate BY 2007-08	2008-09	2009-10	2010-11	
<u>FISCAL IMPACT BY ISSUE</u>								
<u>Developmental Centers</u>								
1. Agnews Budget Base	\$100,214,000	\$92,402,000	\$83,033,000	\$73,754,000	\$0	\$0	\$0	
2. Placements Into the Community	-2,502,000	-9,654,000	-5,662,000	-14,949,000	0	0	0	
3. Consumer Transfers to Other DCs	0	0	0	-430,000	0	0	0	
4. State Employees in the Community	0	682,000	5,398,000	9,217,000	18,042,000	18,042,000	0	
5. Administrative Staff for Closure	0	0	0	0	440,000	0	0	
6. Warm Shut Down	0	0	0	0	4,348,000	0	0	
7. Foster Grandparent/Senior Companion Program	0	0	0	0	-399,000	0	0	
8. Staff Costs for Closure Plan	0	201,000	716,000	4,918,000	163,000	0	0	
9. Facility Preparation	0	0	0	73,000	0	0	0	
10. Consumer Relocation Costs	0	0	0	105,000	0	0	0	
11. Regional Resource Development Projects	0	0	0	0	937,000	937,000	937,000	
12. Agnews Staffing Plan	0	3,231,000	366,000	731,000	0	0	0	
Sub-Total, Developmental Centers	\$97,712,000	\$86,862,000	\$83,851,000	\$73,419,000	\$23,531,000	\$18,979,000	\$937,000	
<i>General Fund</i>	51,610,000	45,888,000	41,809,000	36,253,759	5,182,000	549,000	549,000	
<i>Other</i>	46,102,000	40,974,000	42,042,000	37,165,241	18,349,000	18,430,000	388,000	
<u>Regional Centers</u>								
13. Community Placement Plan	\$27,798,000	\$21,511,000	\$31,436,000	\$55,743,000	\$519,000	\$519,000	\$519,000	
14. Placement Continuation	5,279,000	9,442,000	17,782,000	44,215,000	94,472,000	94,518,000	94,518,000	
Sub-Total, Regional Centers	\$33,077,000	\$30,953,000	\$49,218,000	\$99,958,000	\$94,991,000	\$95,037,000	\$95,037,000	
<i>General Fund</i>	29,667,000	27,543,000	42,069,000	79,976,000	72,140,000	72,174,000	72,174,000	
<i>Other</i>	3,410,000	3,410,000	7,149,000	19,982,000	22,851,000	22,863,000	22,863,000	
GRAND TOTAL	\$130,789,000	\$117,815,000	\$133,069,000	\$173,377,000	\$118,522,000	\$114,016,000	\$95,974,000	
(Please see pages G-7.2 to G-7.4 for detail.)	<i>General Fund</i>	81,277,000	73,431,000	83,878,000	116,229,759	77,322,000	72,723,000	72,723,000
	<i>Other</i>	49,512,000	44,384,000	49,191,000	57,147,241	41,200,000	41,293,000	23,251,000
<u>CHANGE FROM PRIOR FISCAL YEAR</u>								
GRAND TOTAL	Total	-\$12,974,000	\$15,254,000	\$40,308,000	-\$54,855,000	-\$4,506,000	-\$18,042,000	
	<i>General Fund</i>	-7,846,000	10,447,000	32,351,759	-38,907,759	-4,599,000	0	
	<i>Other</i>	-5,128,000	4,807,000	7,956,241	-15,947,241	93,000	-18,042,000	
Developmental Centers	Total	-\$10,850,000	-\$3,011,000	-\$10,432,000	-\$49,888,000	-\$4,552,000	-\$18,042,000	
	<i>General Fund</i>	-5,722,000	-4,079,000	-5,555,241	-31,071,759	-4,633,000	0	
	<i>Other</i>	-5,128,000	1,068,000	-4,876,759	-18,816,241	81,000	-18,042,000	
Regional Centers	Total	-\$2,124,000	\$18,265,000	\$50,740,000	-\$4,967,000	\$46,000	\$0	
	<i>General Fund</i>	-2,124,000	14,526,000	37,907,000	-7,836,000	34,000	0	
	<i>Other</i>	0	3,739,000	12,833,000	2,869,000	12,000	0	

DEPARTMENT OF DEVELOPMENTAL SERVICES
Plan to Close Agnews Developmental Center

COSTS TO CLOSE AGNEWS DEVELOPMENTAL CENTER

FISCAL SYNOPSIS

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 Nov. Estimate for CY 2006-07	2007-08 Nov. Estimate for BY 2007-08	2008-09	2009-10	2010-11
DEVELOPMENTAL CENTERS								
1. Agnews Budget Base	Total	\$100,214,000	\$92,402,000	\$83,033,000	\$73,754,000	\$0	\$0	\$0
Includes the costs related to the base operations of Agnews including personal services, operating expenses, and equipment costs.	<i>General Fund</i>	52,923,000	49,154,000	44,237,000	39,347,759	0	0	0
	<i>Other</i>	47,291,000	43,248,000	38,796,000	34,406,241	0	0	0
	PYs	1173.0	1187.0	1057.0	975.0	0.0	0.0	0.0
	Year Beginning Population	376	327	280	161	0	0	0
2. Placements Into the Community	Total	-\$2,502,000	-\$9,654,000	-\$5,662,000	-\$14,949,000	\$0	\$0	\$0
Includes the savings resulting from the relocation of Agnews residents into the community.	<i>General Fund</i>	-1,313,000	-5,067,000	-3,005,000	-7,975,000	0	0	0
	<i>Other</i>	-1,189,000	-4,587,000	-2,657,000	-6,974,000	0	0	0
	PYs	0.0	-122.0	-82.0	-172.5	0.0	0.0	0.0
	Placements	-52	-63	-113	-145	0	0	0
	Deaths		-10	-6	-6	0	0	0
3. Consumer Transfers to Other DCs	Total	\$0	\$0	\$0	-\$430,000	\$0	\$0	\$0
Includes the savings resulting from the transfer of 10 Agnews residents to other Developmental Centers.	<i>General Fund</i>	0	0	0	-229,000	0	0	0
	<i>Other</i>	0	0	0	-201,000	0	0	0
	PYs	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Population	0	0	0	-10	0	0	0
4. State Employees in the Community	Total	\$0	\$682,000	\$5,398,000	\$9,217,000	\$18,042,000	\$18,042,000	\$0
Includes costs for direct support services and clinical staff. After closure in 2007-08 costs will be transferred to Sonoma.	<i>General Fund</i>	0	0	0	0	0	0	0
	<i>Other</i>	0	682,000	5,398,000	9,217,000	18,042,000	18,042,000	0
5. Administrative Staff for Closure	Total	\$0	\$0	\$0	\$0	\$440,000	\$0	\$0
Includes the costs of staff needed to ensure records are transferred or stored in a confidential manner, and essential historical documents are chronicled and maintained for approximately 90 days.	<i>General Fund</i>	0	0	0	0	440,000	0	0
	<i>Other</i>	0	0	0	0	0	0	0
	PYs	0.0	0.0	0.0	0.0	20.0	0.0	0.0
6. Warm Shut Down	Total	\$0	\$0	\$0	\$0	\$4,348,000	\$0	\$0
Includes the staff and operating expenses to maintain the Agnews facility, including security, utilities and supplies for approximately one year.	<i>General Fund</i>	0	0	0	0	4,348,000	0	0
	<i>Other</i>	0	0	0	0	0	0	0
	PYs	0.0	0.0	0.0	0.0	25.0	0.0	0.0
7. Foster Grandparent/Senior Companion Program	Total	\$0	\$0	\$0	\$0	-\$399,000	\$0	\$0
Includes savings for the Foster Grandparent and Senior Companion Programs that will be transferred to the regional center system for continuation of services.	<i>General Fund</i>	0	0	0	0	-318,000	0	0
	<i>Other</i>	0	0	0	0	-81,000	0	0
	PYs	0.0	0.0	0.0	0.0	-1.0	0.0	0.0
8. Staff Costs for Closure Plan	Total	\$0	\$201,000	\$716,000	\$4,918,000	\$163,000	\$0	\$0
Includes costs for staff transition, staff training, staffing escorts for transportation of clients, etc.	<i>General Fund</i>	0	105,000	382,000	4,625,000	163,000	0	0
	<i>Other</i>	0	96,000	334,000	293,000	0	0	0

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 Nov. Estimate for CY 2006-07	2007-08 Nov. Estimate for BY 2007-08	2008-09	2009-10	2010-11
9. Facility Preparation	Total	\$0	\$0	\$0	\$73,000	\$0	\$0	\$0
	Includes the costs associated with preparing Sonoma to receive Agnews residents.							
	<i>General Fund</i>	0	0	0	39,000	0	0	0
	<i>Other</i>	0	0	0	34,000	0	0	0
10. Consumer Relocation Costs	Total	\$0	\$0	\$0	\$105,000	\$0	\$0	\$0
	Includes costs associated with relocation of clients, such as moving vans, transportation vehicles, etc.							
	<i>General Fund</i>	0	0	0	56,000	0	0	0
	<i>Other</i>	0	0	0	49,000	0	0	0
11. Regional Resource Development Projects	Total	\$0	\$0	\$0	\$0	\$937,000	\$937,000	\$937,000
	Includes costs to relocate the RRDP due to Agnews closure. The existing RRDP costs are transferring to Sonoma for administrative purposes.							
	<i>General Fund</i>	0	0	0	0	549,000	549,000	549,000
	<i>Other</i>	0	0	0	0	388,000	388,000	388,000
	PYs	0.0	0.0	0.0	0.0	12.0	12.0	12.0
12. Agnews Staffing Plan	Total	\$0	\$3,231,000	\$366,000	\$731,000	\$0	\$0	\$0
	Includes costs for non-level-of-care staff in various program areas to ensure adequate staff is maintained during the closure process, as well as maintaining the health and safety of the residents.							
	<i>General Fund</i>	0	1,696,000	195,000	390,000	0	0	0
	<i>Other</i>	0	1,535,000	171,000	341,000	0	0	0
	PYs	0.0	43.0	5.0	10.0	0.0	0.0	0.0
Total Developmental Centers		\$97,712,000	\$86,862,000	\$83,851,000	\$73,419,000	\$23,531,000	\$18,979,000	\$937,000
	<i>General Fund</i>	51,610,000	45,888,000	41,809,000	36,253,759	5,182,000	549,000	549,000
	<i>Other</i>	46,102,000	40,974,000	42,042,000	37,165,241	18,349,000	18,430,000	388,000
	PYs	1,173.0	1,108.0	980.0	812.5	56.0	12.0	12.0
	Year Ending Population	324	254	161	0	0	0	0

REGIONAL CENTERS								
13. Community Placement Plan	Total							
A) Operations	Includes costs for CPP administration, service coordination, clinical, and resource development staff.							
	<i>General Fund</i>	3,422,000	6,428,000	6,993,000	7,405,000	438,000	438,000	438,000
	<i>Other</i>	0	257,000	991,000	1,214,000	81,000	81,000	81,000
B) Purchase of Services (POS)	Includes costs for placements into the community including property management and leases as applicable, traditional and specialized service start-up, non-profits to develop and manage properties, and health and behavioral health treatment and crisis services.							
	<i>General Fund</i>	24,376,000	14,826,000	23,452,000	47,124,000	0	0	0
	<i>Other</i>	0	1,162,000	2,237,000	7,699,000	0	0	0
	<i>Placements</i>	52	63	113	145	0	0	0
	<i>General Fund</i>	21,853,000	13,664,000	21,215,000	39,425,000	0	0	0
	<i>Other</i>	2,523,000	1,162,000	2,237,000	7,699,000	0	0	0
	(FYI: State Employees in the Community costs included in POS above)	(0)	(251,000)	(4,008,000)	(7,514,000)	(0)	(0)	(0)
Total Community Placement Plan (A+B)		\$27,798,000	\$21,511,000	\$31,436,000	\$55,743,000	\$519,000	\$519,000	\$519,000
	Placements	52	63	113	145	0	0	0
	<i>General Fund</i>	25,275,000	20,092,000	28,208,000	46,830,000	438,000	438,000	438,000
	<i>Other</i>	2,523,000	1,419,000	3,228,000	8,913,000	81,000	81,000	81,000

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 Nov. Estimate for CY 2006-07	2007-08 Nov. Estimate for BY 2007-08	2008-09	2009-10	2010-11
14. Placement Continuation								
A) Operations	Total	\$70,000	\$297,000	\$309,000	\$423,000	\$2,340,000	\$2,386,000	\$2,386,000
	Includes costs for additional service coordination.							
	General Fund	37,000	125,000	130,000	166,000	734,000	768,000	768,000
	Other	33,000	172,000	179,000	257,000	1,606,000	1,618,000	1,618,000
B) Purchase of Services (POS)	Total	\$5,209,000	\$9,145,000	\$17,473,000	\$43,792,000	\$92,132,000	\$92,132,000	\$92,132,000
	Includes costs for CPP placements and specialized services and housing.							
	Placements	49	44	63	113	145	0	0
	General Fund	4,355,000	7,326,000	13,731,000	32,980,000	70,968,000	70,968,000	70,968,000
	Other	854,000	1,819,000	3,742,000	10,812,000	21,164,000	21,164,000	21,164,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)	(0)	(16,339,000)	(16,339,000)	(0)
	Total Placements Continuation (A+B)	\$5,279,000	\$9,442,000	\$17,782,000	\$44,215,000	\$94,472,000	\$94,518,000	\$94,518,000
	Prior Year Placements	49	44	63	113	145	0	0
	General Fund	4,392,000	7,451,000	13,861,000	33,146,000	71,702,000	71,736,000	71,736,000
	Other	887,000	1,991,000	3,921,000	11,069,000	22,770,000	22,782,000	22,782,000
	Total Regional Centers	\$33,077,000	\$30,953,000	\$49,218,000	\$99,958,000	\$94,991,000	\$95,037,000	\$95,037,000
	General Fund	29,667,000	27,543,000	42,069,000	79,976,000	72,140,000	72,174,000	72,174,000
	Other	3,410,000	3,410,000	7,149,000	19,982,000	22,851,000	22,863,000	22,863,000
TOTAL: DEVELOPMENTAL CENTERS AND REGIONAL CENTERS	Total	\$130,789,000	\$117,815,000	\$133,069,000	\$173,377,000	\$118,522,000	\$114,016,000	\$95,974,000
	General Fund	81,277,000	73,431,000	83,878,000	116,229,759	77,322,000	72,723,000	72,723,000
	Other	49,512,000	44,384,000	49,191,000	57,147,241	41,200,000	41,293,000	23,251,000
	PYs	1,173.0	1,108.0	980.0	812.5	56.0	12.0	12.0
	Year Ending Population	324	254	161	0	0	0	0
	Placements	52	63	113	145	0	0	0
	Prior Year Placements	49	44	63	113	145	0	0