

Report on the Plan for the Closure of Agnews Developmental Center



January 2008

**California Health and Human Services Agency
Department of Developmental Services**



“Building Partnerships, Supporting Choices”

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For a copy of this report or information, please refer to
www.dds.ca.gov/AgnewsClosure

Executive Summary

The Department of Developmental Services (DDS), along with its state, local and community partners, is in the final stages of safely transitioning Agnews residents to the community. The DDS continues to build and expand the community capacity necessary for the closure of Agnews Developmental Center by June 30, 2008.

As of November 30, 2007, 198 residents remained at Agnews, with 48 residents expected to move into the community within the following eight weeks. A total of 150 Agnews residents have transitioned into the community and are living in a variety of community-based settings.

Housing: Bay Area Housing Plan (BAHP) funds have been used to purchase a broad array of housing options, including Senate Bill (SB) 962 homes, which are designed for consumers with specialized health care needs; family teaching homes (FTHs), which incorporate a consumer into a provider's family; and specialized residential homes (SRHs), which provide augmented staffing and professional services to consumers with behavioral challenges or other specialized needs. In conjunction with these efforts, regional centers have contracted for the provision of residential and support services in accordance with the Agnews Closure Plan. The providers have developed service strategies that address the unique needs of each consumer who transitions from Agnews to the community.

To date, the housing developer has acquired 60 of the 61 properties needed to house the transitioning Agnews residents. The remaining property is under contract and being remodeled by the current owner prior to close of escrow. Twenty-seven of these 61 homes are slated to be completed by December of 2007.

While the BAHP has succeeded in acquiring 60 homes that will provide for the housing needs for residents transitioning out of Agnews, the BAHP team continues to encounter hurdles throughout the housing development process associated with the permit process, utility services, and neighborhood issues. The DDS continues to work closely with the regional centers, the developer and various local entities to identify and address the delays to keep the project on schedule.

Transition Planning: In the fall of 2007, transition guidelines were formalized and shared with key stakeholders including family members, staff members, regional centers and interested parties. These guidelines establish the framework for the residents' transition to the community as part of the individual program plan (IPP) process. As of November 30, 2007, 63 residents had selected homes and were in the process of completing other transition protocols. Of those 63 residents, 39 were in the process of exploratory visits. The remaining 135 Agnews residents were in the process of finalizing home selection.

Health Care Services: The DDS continues to develop innovative and comprehensive health, behavioral and dental care services for residents transitioning out of Agnews.

The foundation of the community medical services strategy is a partnership between the DDS, the Department of Health Care Services (DHCS), the regional centers and the designated health plans. For this report, references to regional centers mean San Andreas Regional Center, Regional Center of the East Bay, and Golden Gate Regional Center; and, all references to the designated health plans mean the Health Plan of San Mateo, the Alameda Alliance for Health, and the Santa Clara Family Health Plan. By January of 2008, the DDS and the DHCS will complete a Memorandum of Understanding (MOU) to ensure appropriate and enduring health care services for former Agnews residents living in the community. At the local level, the regional centers are working to secure health care services for residents transitioning out of Agnews into the community. In addition, regional centers have developed MOUs with health plans to arrange services for those consumers who elect to enroll. On an ongoing basis, the health plans partner with the regional centers, the DHCS and the DDS to recruit and train qualified medical providers so consumers can access the full array of necessary medical services.

Outpatient Clinic: The Agnews outpatient clinic provides a safety net to ensure that health, dental, and behavioral services are seamless during the transition process. Services will continue to be provided by the outpatient clinic, as necessary, during the closure process and after the closure of Agnews until the DDS is no longer responsible for the property.

Behavioral Services: To address the behavioral needs of residents transitioning to the community, Agnews staff support and train service providers on how to prevent and respond to challenging behaviors. In addition, each of the regional centers has developed a working relationship with psychiatric resources in the community to accommodate Agnews residents. The Regional Center of the East Bay (RCEB) and Alameda County Mental Health Department are developing a secured-treatment facility in San Leandro that can serve individuals in crisis. The facility, which is expected to open in late 2008, will provide short-term treatment and stabilization available for up to 15 Bay Area residents at a time.

Dental Services: Dental services continue to be a critical component of community resource development efforts. The regional centers are recruiting dental hygienists to provide ongoing dental screenings and cleanings for consumers in the home environment. Additionally, regional centers are supporting the training of dentists to provide prevention and care consistent with each consumer's needs. Finally, the regional centers and the health plans are coordinating services to provide timely and appropriate access to sedation dentistry services, as necessary.

Agnews Employees: As of November 30, 2007, there were 899 employees at Agnews. The employees have been provided information and support services regarding closure and the options that are, or will become, available for employment. Agnews has maintained sufficient staff to protect the health and safety of remaining residents and to ensure the ongoing certification of the facility.

Next Steps for Closure: The DDS will continue working closely with its state and local partners throughout the closure of Agnews – both to ensure the health and safety of each resident in the transition process, and to provide access to the necessary services and supports, in accordance with the consumer’s needs and preferences.

Introduction

The population of California's developmental centers has decreased over time, mirroring national trends. In the case of California, this decrease in the use of institutions began when the community-based system was initiated in 1969 under the newly established Lanterman Mental Retardation Services Act, now called the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act promotes the provision of services in the least restrictive environment and emphasizes community settings as the preferred living option for most consumers. The total developmental center population declined dramatically as the community system expanded, falling from a high of 13,355 individuals in 1968 to the census of approximately 2,650 individuals by November 30, 2007. The DDS currently operates five developmental centers and two smaller state-operated community facilities and is in the process of closing Agnews Developmental Center. As of November 30, 2007, the Agnews Developmental Center population census was at 198 individuals.

In January of 1994, the DDS entered into an agreement to settle the Coffelt lawsuit, which alleged that the Department and several regional centers had not taken sufficient action to develop community-based services thereby denying developmental center residents the opportunity to live in the community. The implementation of this agreement resulted in a reduction of the developmental centers' population by more than 2,320 consumers between 1993 and 1998. This was accomplished by creating new community living arrangements, developing new assessment and individual service planning procedures and quality assurance systems, and obtaining increased federal funding through the Medicaid Home and Community-Based Services Waiver.

In 1999, the United States Supreme Court issued its ruling in *Olmstead, Commissioner, Georgia Department of Human Resources, et al. vs. L. C., by Zimring, Guardian ad Litem and next friend, et al.* (1999), 527 U.S. 581. This decision stated that services should be provided in community settings when treatment professionals have determined that community placement is appropriate; when the consumer does not object to community placement; and when the placement can reasonably be accommodated, considering the resources available to the state and the needs of others with disabilities. Since that decision, the federal Department of Health and Human Services, Center for Medicare and Medicaid Services (CMS), has issued recommendations to the states requiring them to prepare comprehensive Olmstead plans to decrease dependency on institutional services.

The Agnews Closure Plan is the first significant deinstitutionalization initiative in California since the Coffelt settlement agreement that was reached in January 1994. The Agnews Closure Plan is grounded in the development of sufficient community capacity to support the transition of Agnews residents into the community. New service and support options have been designed to provide meaningful choices and reliable services to residents transitioning to the community. The Administration extended the Agnews closure date from June 30, 2007, to June 30, 2008, to ensure that these necessary resources are in place at the time of closure.

Purpose of the Report

This report is submitted in accordance with the Budget Act of 2007 (SB 77, Chapter 171, Statutes of 2007), as follows:

“The State Department of Developmental Services shall provide the fiscal and policy committees of both houses of the Legislature with a comprehensive status update on the Agnews Plan, on January 10, 2008, and May 15, 2008, which will include at a minimum all of the following:

A description and progress report on all pertinent aspects of the community-based resources development, including the status of the Agnews transition placement plan;

An aggregate update on the consumers living at Agnews and consumers who have been transitioned to other living arrangements, including a description of the living arrangements (model being used) and the range of services the consumers receive;

An update to the Major Implementation Steps and Timelines; a comprehensive update to the fiscal analyses as provided in the original plan;

An update to the plan regarding Agnews’ employees, including employees who are providing medical services to consumers on an outpatient basis, as well as employees who are providing services to consumers in residential settings; and

Specific measures the state, including the State Department of Developmental Services and the State Department of Health Care Services, is taking in meeting the health, mental health, medical, dental, and overall well-being of consumers living in the community and those residing at Agnews until appropriately transitioned in accordance with the Lanterman Developmental Disabilities Services Act (Division 4.5 (commencing with Section 4500) of the Welfare and Institutions Code).”

The purpose of this report is to provide an update on the status of the Plan for the Closure of Agnews Developmental Center. This is the fifth statutorily required update and covers progress from April 1, 2007, through November 30, 2007. The prior reports provided updates on progress from July 1, 2004, through March 31, 2007 and are available at www.dds.ca.gov/AgnewsClosure.

Background

The planning process for the closure of Agnews began in Fiscal Year 2003 – 2004. The DDS solicited broad participation from internal and external stakeholders including consumers, family members, Area Boards, advocates, and community providers to develop a plan that would result in an orderly transition of consumers into alternative services and supports. In October of 2003, the teams completed their recommendations and reports that served as the basis for the plan.

The Bay Area regional centers, San Andreas Regional Center (SARC), RCEB, Golden Gate Regional Center (GGRC), and Agnews staff played a significant role in developing the plan. After several public meetings in Fiscal Year 2003 – 2004, the plan was submitted to the Legislature in January 2005 and approved with the enactment of the Budget Act of 2005.

The following legislation supports the implementation of the critical elements of the plan:

- ❖ Assembly Bill (AB) 2100 (Chapter 831, Statutes 2004), and SB 643 (Chapter 551, Statutes of 2005), supported the development of permanent housing through the BAHP, and added FTHs as a new service delivery option.
- ❖ In January 2006, SB 962 (Chapter 558, Statutes of 2005) established a pilot project for the creation of homes licensed by the Community Care Licensing (CCL) division of the Department of Social Services (DSS) to serve adults with special health care needs in the Bay Area.
- ❖ AB 1378 (Chapter 538, Statutes of 2005) authorized Agnews' employees to work in the community to support the transition of Agnews' residents into community living options.

Resource Development: Housing

Bay Area Housing Plan

AB 2100 (Chapter 831, Statutes of 2004) authorized the DDS to approve a proposal, or proposals, from the Bay Area regional centers to provide for, secure, and assure the payment of leases for housing for people with developmental disabilities. SB 643 (Chapter 551, Statutes of 2005) further amended this section to clarify the regional centers' ability to assure full payment of a housing lease based upon availability of the house for occupancy.

In conformance with the statutory requirements, the BAHP details the proposed plan for development and ownership of the properties, management entities, and developer. As required by statute, the BAHP must meet several conditions. The acquired, or developed, real property must be occupied by consumers eligible for regional center services. The property must be integrated with housing for people without disabilities.

The regional center must have approved the proposed ownership, management, and developer entities, and the costs associated with the proposal must be reasonable. The proposal must include a plan for transfer at a time certain of the property ownership to an approved non-profit entity for use by consumers eligible for regional center services.

The BAHP is a joint venture between the three regional centers and Hallmark Community Services (Hallmark), the housing developer. Under a proposal approved by the DDS and submitted to the Joint Legislative Budget Committee (JLBC), the regional centers and Hallmark have entered into loan agreements with Bank of America and California Housing Finance Agency (CalHFA). The DDS is not party to these loan agreements and therefore, does not have direct control over acquisition or construction of the homes.

Under the BAHP, the Bay Area regional centers contract with a developer to acquire, design, and develop housing for persons leaving Agnews. The property is owned by a non-profit entity, selected by the regional center, for dedicated use by regional center consumers. In this arrangement, once the housing mortgage is paid in full, the provider's lease payment ceases. An inventory of stable community housing designed to meet the unique needs of individuals with developmental disabilities is thereby created, and the rate paid to the provider is reduced accordingly. Through this arrangement, the property is bought once, the residential service rate decreases, and long term cost savings are realized by the state.

BAHP funds have been used to purchase a broad array of housing options, including: 962 homes, which are designed for consumers with specialized health care needs, FTHs which incorporate a consumer into a family, and SRHs which provide 24-hour, on-site staffing in order to meet consumers' unique needs.

In addition, regional centers have contracted for the provision of residential and support services in accordance with the Agnews Closure Plan. The providers have developed service strategies that address the unique needs of the consumers who are transitioning from Agnews to the community.

To date, Hallmark has acquired 60 of the properties needed to house transitioning Agnews residents. Twenty-seven of the 61 homes are slated to be completed by December of 2007.

Family Teaching Homes

AB 2100 added FTHs to the existing Adult Family Home Agency (AFHA) model. The AFHA was first established in 1994 to provide a cost-effective option for adults moving from developmental centers into the community, enabling adults to enter into partnerships with families as fully participating family members. Under this arrangement, the teaching family manages the consumers' home, provides direct

support, and directly supervises relief staff. The FTH model serves up to three adults in the consumers' home, with the teaching family living in an adjoining home. In the Bay Area, this has been accomplished by housing consumers and teaching families in adjoining duplexes and adding a door to connect the homes. The FTH model is certified, monitored, and evaluated by the regional center and the DDS through the same process as an AFHA. The DDS FTH regulations were promulgated on November 7, 2006. As of November 30, 2007, 12 former Agnews consumers reside in four BAHP FTHs located in Cupertino.

Extended Family Teaching Homes

Agnews consumers and Agnews staff have expressed an interest in continuing to provide support services to Agnews consumers upon transition to the community. In an extended family teaching home (EFTH), a consumer relocates to a former Agnews staff family home and participates in daily family activities as an extended member of the family. Each consumer receives specialized, highly-supported and well-monitored services. EFTHs are also governed by the AFHA regulations, certification, and review process. At present, five former residents of Agnews reside in EFTHs in the SARC catchment area.

Specialized Residential Homes

As DSS-licensed adult residential facilities, SRHs provide augmented staffing and professional services to three or four residents. Each SRH provides 24-hour, on-site staff with specialized expertise to meet the consumers various needs. In addition, the homes have the capability for on-site response to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence. As of November 30, 2007, four consumers resided in BAHP SRHs.

When the majority of consumers turn age 60, the SRH will be re-licensed as a residential care facility for the elderly (RCFE). All SRHs in the BAHP have been constructed or renovated to meet the physical plant requirements for both the Adult Residential Facility and the RCFE licenses.

962 Homes

SB 962 authorized the DDS and DSS to jointly establish and administer a pilot project for certifying and licensing a new residential model, the adult residential facilities for consumers with special health care needs, referred to as "962 homes". This type of residence fills a critical gap in licensure categories for people with developmental disabilities who have a combination of specialized health care and intensive support needs.

Examples of the health services that can be provided in a 962 home include, but are not limited to, nutritional support including total parenteral nutrition, gastrostomy feeding and hydration, renal dialysis, special medication regimes including injections, intravenous medications, management of insulin dependent diabetes, catheterization,

indwelling urinary catheter management, pain management, and palliative care. The 962 homes are required to provide licensed nursing staff 24 hours per day, develop an individual health care plan (IHCP) for each consumer that is updated at least every six months, and conduct monthly face-to-face visits with the consumer by a regional center nurse. As of November 30, 2007, three 962 BAHF homes provide services to 11 former Agnews residents.

Additionally, an interagency agreement has been completed with the UC Davis, and an independent evaluation of the 962 home pilot project is underway, pursuant to statute. The evaluation will be submitted to the Legislature by January 1, 2009.

Addressing the Housing Challenges

While the BAHF has succeeded in acquiring 60 homes that will provide for the housing needs for residents transitioning out of Agnews, the BAHF team continues to encounter hurdles throughout the housing development process. The DDS is not party to the financing agreements, decisions and contracts regarding acquisition, remodeling, and delivery of housing. However, the DDS continues to work closely with Hallmark Community Services (Hallmark) and the regional centers to address issues impacting the timely completion of the project.

Several factors have affected the delivery of homes for occupancy. The BAHF team has confronted a variety of challenges associated with the permit process, utility services, and neighborhood issues. Construction start has been delayed by the length of time spent in the permitting process. The local construction permit processes has presented a number of challenges associated with ushering plans through local planning, building, architectural review, utility, fire and environmental sanitation departments. Nineteen jurisdictions are involved and each has unique permitting requirements. On average, Hallmark is spending 2 months longer in the permit process than anticipated. In addition, it has taken longer than anticipated to connect utility services for some homes, leading to further delay in construction.

Hallmark has added an additional contractor to the project and has taken over some aspects of construction on some sites. The DDS conducts weekly meetings with the regional centers and the developer to identify and address any permit or inspection delays involving county, city, fire or utility jurisdiction.

Resource Development: Transition Planning Process

Transition Planning: Values and Purpose

The transition process from a developmental center to a community living arrangement is developed through the IPP process. The IPP meetings offer a consumer-centered approach to identifying the services and supports necessary for a successful transition, taking into account the consumer's needs and preferences. The choices of consumers are given the full attention and respect of all team members, in consultation with the

families, where appropriate, and/or authorized representatives, with sensitivity to lifestyle and cultural background.

In the fall of 2007, transition guidelines were formalized and shared with all stakeholders including family members, staff members, regional centers and interested parties. These guidelines establish the framework for the residents' transition to the community. Agnews and regional center staff have held joint trainings in implementing these guidelines.

Consumer Empowerment

To assist Agnews residents in the transition process, the DDS created the Choices Project in August 2006. The Choices Project focuses on empowering consumers to engage in the decision-making process of transition planning. The Choices team meets with consumers prior to the consumer's annual IPP meeting. The meetings offer an opportunity to review the current IPP with the consumer and to explore potential living options and community activities, as featured in a 10-minute DVD. Agnews residents are provided materials that have been adapted to support their active participation in the IPP and transition discussions.

Between August 2006 and November 30, 2007, Choices meetings have been held for up to 170 consumers, often with the participation of family members, advocates and Agnews staff. Meetings will be completed by early 2008.

Exploring Community Living Options

Together with the regional center service coordinator, the Agnews staff engages a consumer, his or her family and an authorized representative, when appropriate, in an educational discussion regarding various housing and service and support models. This discussion centers on the consumer's needs and preferences identified through comprehensive interdisciplinary team assessments. Agnews staff identify interests of the participants in exploring certain models.

Community Living Options

The Community Living Options (CLOs) process focuses on identifying a range of services and supports necessary for successful transition. Through this process, potential living options are explored and a transition plan is developed.

Selection of the Home and Exploratory Visits: The Agnews resident, legal/authorized representatives and regional center work together on the selection of the home. Once a potential home option has been identified, exploratory visits between the resident and the provider begin. As of November 30, 2007, 63 residents selected homes and were in the process of completing other transition protocols. Of these 63 residents, 39 were in the process of exploratory visits. The remaining 135 Agnews residents were in the process of finalizing home selection.

Finalizing the Transition to the Community

Prior to transition, a meeting is held to prepare for the transition. As authorized by statute, the Regional Resource Development Project (RRDP) assists in activities related to admissions, transition planning, deflection and resource development. Parties at the meeting include a representative from the RRDP, a regional center service coordinator, the service provider, and a representative from the planning team to assure that all issues have been addressed and the consumer is prepared to move.

Post Placement Follow-up

Once the consumer has moved into his or her new home, post-placement visits occur on a regular and as-needed basis by the regional center representative and the RRDP. These visits help to ensure a smooth and successful transition to the community. Within 30 days of transfer, a follow-up meeting is held to review the new assessment information, identify any additional services needed, and to develop the IPP for the coming year. In all community placements, the regional center conducts a face-to-face visit every 30 days for the first 90 days after the move, quarterly thereafter, and an annual facility monitoring visit. For consumers in community residential homes, at least two of the regional center visits per year must be unannounced. The RRDP makes contact with the consumer five days after placement and again at 30-60-90 day intervals, 6 and 12 months, and more often as needed. For consumers placed in 962 homes, a regional center nurse will visit the consumer at least monthly, and a physician will see the consumer every 60 days.

Resource Development: Health Services

The DDS continues to develop innovative and comprehensive health care, behavioral and dental care services for residents transitioning out of Agnews. The DDS and the DHCS have provided staff and provider trainings, and supported the creation of a framework for the development and delivery of health services. By January 2008, the DDS and the DHCS will have finalized a MOU to ensure appropriate and enduring health care services for former Agnews residents living in the community. At the local level, regional centers are working to secure health care services for residents transitioning out of Agnews into the community. In addition, regional centers have developed MOUs with health plans to arrange services for those consumers who elect to enroll.

The Transition of Health Care Services

To ensure that each consumer who transitions from Agnews receives appropriate health care and related services, a comprehensive health transition plan is developed for each consumer before they leave Agnews. The DDS is developing materials to provide consumers and families with information about the health transition process.

Health Plan Medical Services

Each Health Plan arranges for an array of medical service providers that include primary care and specialty physicians, optometry and ophthalmology, medications, support services such as occupational and physical therapies, and medical equipment and supplies including adaptive equipment.

Health Plan Enrollment: Trainings have been conducted for regional center service coordinators to assist consumers and authorized representatives in electing an appropriate health plan. In San Mateo County, Agnews consumers transition into the San Mateo Health Plan, a County Organized Health System (COHS). As a COHS, San Mateo operates the only Medi-Cal health plan in the county. The COHS are different from the other models of Medi-Cal managed care because the DHCS requires nearly all Medi-Cal beneficiaries residing in the county to enroll in this health plan to receive care. In Santa Clara and Alameda Counties, Agnews consumers have the option to enroll in either the locally-administered Medi-Cal managed care plan administered by the county, the commercial health care plan, or receive services through the fee-for-service system often referred to as “regular Medi-Cal”.

The DHCS/Health Plan/Regional Center Coordination: The foundation of the community medical services strategy is a partnership between the DDS, the DHCS, the regional centers and the designated health plans. The DHCS currently requires its contracted health plans to enter into MOUs with local regional centers. In recognition of the specialized needs of former Agnews residents living in the community, the health plans and the regional centers developed a MOU template specific to these individuals. The MOU outlines the roles and responsibilities of the health plans, the regional centers and the residential service providers. The health plan is responsible for assuring the provision of medically necessary services to its members. The health plans are partnering with the regional centers, the DHCS, and the DDS to recruit and train qualified medical providers so consumers can access the full array of necessary medical services. In addition, the DDS and regional centers are offering and conducting trainings for health plan physicians including an orientation to developmental services and the Agnews closure process; coaching, mentoring, and training for emergency room doctors; and support for medical specialists. Health plans also are conducting informational outreach to area providers on serving these individuals.

The DDS and the DHCS have established protocols to share information required for rate development, strategic planning, and the health plan enrollment process. Additionally, the regional centers, the health plans and Agnews staff are working with the DDS and the DHCS to expedite eligibility for membership in health plans, when indicated. The DHCS is working with counties and the Social Security Administration to expedite the processing of eligibility information. The DHCS has established a process with Maximus, the contractor that assists in the election process, to assure that health plan election decisions are processed in a timely manner.

Provider rates: The DHCS is amending its contracts with the designated health plans to provide for cost reimbursement to the plans for services and administrative costs. This enables the health plans to establish enhanced provider rates. The DHCS has established interim payments to assure cash flow to the health plans until there is a semi-annual reconciliation to actual costs. This financial arrangement between the DHCS and its contracted health plans will continue until there is sufficient data available to permit development of a capitation rate and elimination of the interim payments and semi-annual reconciliation.

Outpatient Clinic

Agnews Developmental Center established an outpatient clinic as part of its acute care hospital license on May 1, 2006. The clinic is licensed by the DHCS and vendorized by the SARC to provide outpatient medical and dental services using Agnews staff.

The outpatient clinic provides a safety net to ensure that health, dental and behavioral services are seamless during the transition process. The regional centers may refer former Agnews residents to the clinic as well as other consumers who live in the community and who qualify for outpatient services. At the clinic, consumers can access a wide range of specialists experienced in providing services to individuals with developmental disabilities.

Primary care and dental services are the most frequently used services. Other frequently used services include primary care, neurology and ophthalmology services, physical and occupational therapies, and lab work. Services will continue to be provided by the outpatient clinic, as necessary, during the closure process and after the closure of Agnews until the DDS is no longer responsible for the property.

Outpatient Clinic Use

MONTHS 2007	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	TOTAL
<i>Former Agnews Residents Seen for the First Time</i>	8	2	4	3	7	10	7	6	3	5	5	47
<i>Former Agnews Residents (Return visits)</i>	9	8	21	19	14	27	26	25	28	31	45	149
Total# - Agnews Residents Seen	17	10	25	22	21	37	33	31	31	36	50	196
<i>Non-Agnews Consumers Seen for the First Time</i>	15	3	20	16	16	17	6	17	11	9	12	110
<i>Non-Agnews Consumers (Return visits)</i>	26	28	36	28	26	26	37	32	28	38	39	239
Total# - Non-Agnews Consumers Seen	41	31	56	44	42	43	43	49	39	47	51	349
Total # of Consumers Seen	58	41	81	66	63	80	76	80	70	83	101	545

Mobile Services

During the spring of 2007, the DDS began researching the viability of mobile health care services to help meet the needs of consumers transitioning from Agnews. Meetings were arranged with established mobile clinic providers of health care and oral health services and with the Mobile Health Clinics Network to better understand the service model. Further research was conducted into the cost estimates, vehicle specifications and custom design needs to meet accessibility standards for mobile services. This research served as the foundation for discussions with the Bay Area health plans and Bay Area regional centers to further explore mobile service viability and needs.

Over the past several months, the health plans and regional centers have progressed in their discussions and will soon finalize plans for mobile services based on existing needs and an optimal service delivery model.

Dental Services

Dental services continue to be a critical component of community resource development efforts. Prevention is the emphasis of the dental service strategy. Before residents transition into the community, regional center dental coordinators complete a comprehensive individualized assessment of the dental status and needs of each consumer. The regional centers recruit dental hygienists to provide ongoing dental screenings and cleanings for consumers in their own homes. Additionally, regional centers recruit and support the training of dentists to provide prevention and care consistent with each consumer's needs.

Sedation Dentistry: The majority of consumers transitioning from Agnews require sedation dentistry. The regional centers and the health plans are coordinating services to assure timely and appropriate access to these services, as necessary. The health plans are in the process of identifying community resources that will provide sedation dentistry through outpatient surgeries, and the regional centers have identified the qualified dentists.

Behavioral Services

Many current and former Agnews residents have behavioral needs. To assist Agnews residents in the transition process, Agnews staff support and train service providers on how to prevent and how to respond to challenging behaviors and are available to assist in the community when a consumer's health, safety, and/or CLO may be threatened.

Psychiatric services and reviews of medications are available through the Agnews outpatient clinic. Further, each of the regional centers has developed a working relationship with psychiatric resources in the community to accommodate Agnews residents. SARC, for example, utilizes psychiatric services at Loma Linda University and the University of California (UC) Irvine, through a telemedicine system. The DDS will continue to support regional center efforts to expand partnerships with county

mental health departments in order to improve access to behavioral services for consumers with mental health needs.

For short-term crisis situations, the three regional centers and Alameda County Mental Health Department are in the process of developing a secured-treatment facility in San Leandro that can serve former Agnews residents. The facility will provide short-term treatment and stabilization available for up to 15 Bay Area residents at a time. It is expected to open in late 2008.

Additionally, Redwood Place, a 34-bed intensive treatment program, provides residential options for eligible Agnews consumers as well as consumers from RCEB, GGRC and NBRC who require crisis stabilization at a setting away from the home. The facility has a delayed egress arrangement but is not locked.

Quality Management

Overview of the Quality Management System

Ensuring the safety and well-being of consumers who move from Agnews into the community is an essential component to the Agnews Closure Plan. The Bay Area Quality Management System (QMS) broad-based research design was refined from the DDS QMS system and approved by numerous stakeholders, including the CMS Grants Advisory Stakeholder Committee.

The Bay Area QMS is based on values set forth in the Agnews Closure Plan and described in the DDS Strategic Plan. A three-year federal System Change Quality Assurance/Quality Improvement Grant was completed in September of 2006, and served as the basis for developing the QMS model. Data has been collected since April 2007. Specifically, the Bay Area QMS seeks to:

- ❖ Keep consumers safe and ensure their well being;
- ❖ Support value-based outcomes for providers and consumers;
- ❖ Identify and fill gaps in the community system;
- ❖ Develop and pilot a QMS with potential for statewide implementation; and,
- ❖ Meet CMS expectations.

An 11-member QMS Commission including advocates, family members and consumers is responsible for reviewing trend data. The commission makes recommendations to the DDS concerning quality of care and systems improvement. The commission has held four meetings since September 2005. During the June 2007 meeting, Commissioners reviewed the National Core Indicators (NCI) study, as presented by the Human Services Research Institute.

National Core Indicator Studies

The NCI Surveys are a national initiative currently utilized by 26 states and four regional centers in California. The goal of the NCI is to develop a systematic approach to

performance and outcome measurement. Through the NCI, participating states create performance monitoring systems, identify common performance indicators, develop comparable data collection strategies, and share results. Many of the state agencies use NCI as a key component within their quality management systems.

Former Agnews residents who have transitioned into the community between from July 2003 through March 2005 and their families were surveyed separately using the NCI Surveys. A copy of this report is available on the DDS website at www.dds.ca.gov/AgnewsClosure/pdf/BayAreaQMS_SurveyResults.pdf.

Quality Service Review

The QMS includes a provider performance expectation and quality improvement tool, referred to as the Quality Services Review (QSR). The QSR focuses on individual outcomes which are measured using monitoring tools.

Over 800 QMS/QSR Unified Plan home visits have been conducted since April 2007. These visits have been recorded on QSR tools by regional center professionals including service coordinators, registered nurses and behavior plan analysts and also by visitors – family members and friends of the individuals who live in the homes. By using the QSR tools on these visits, over 18,000 questions and observations about service quality and the home environment have been recorded in the database. This data is used at the consumer, provider and regional center levels to inform quality improvement efforts.

Quality Snapshot

The Quality Snapshot survey is designed to obtain feedback from families and other visitors to residential homes. The snapshots provide information about a visitor's perspective on the home environment and staff, as well as the consumer's well being, and are mailed directly to the QMS Specialists at each regional center. Quality Snapshot surveys have been distributed to all homes that are part of the full QMS/QSR certification process. Data from returned surveys is being reviewed, utilized, and entered into the Quality Management Information System.

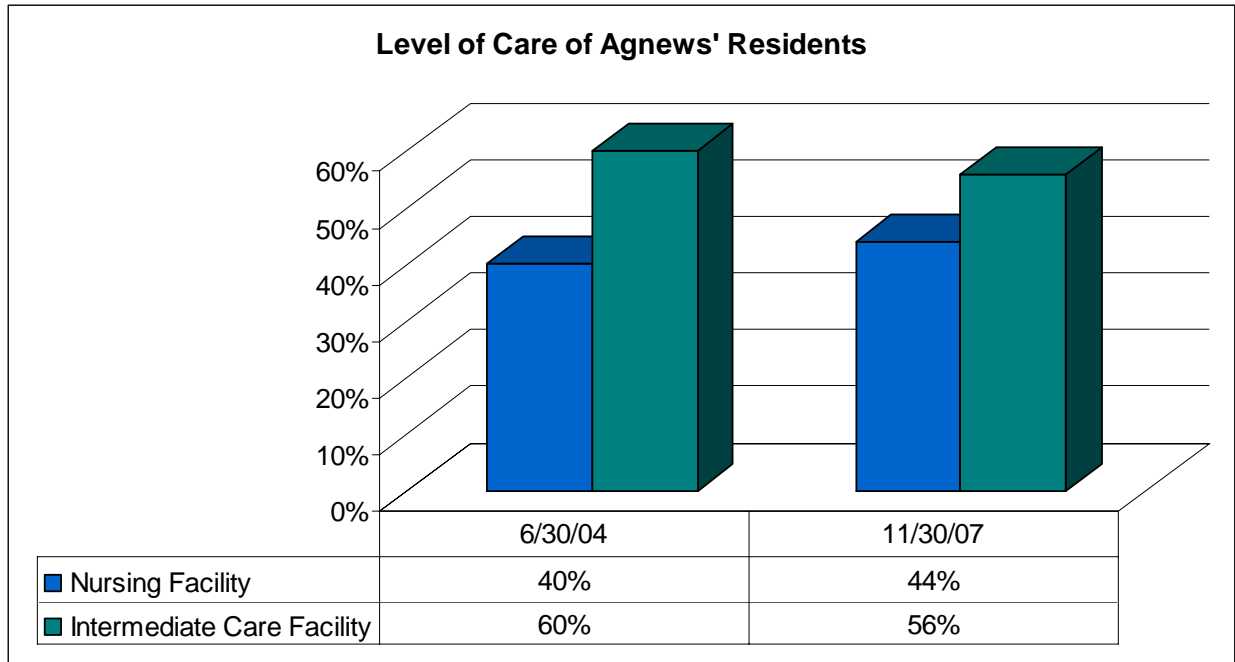
Agnews Residents

Current Agnews Residents

As of November 30, 2007, 198 residents remained at Agnews. All residents are expected to move from Agnews by the time of its planned closure in June 2008.

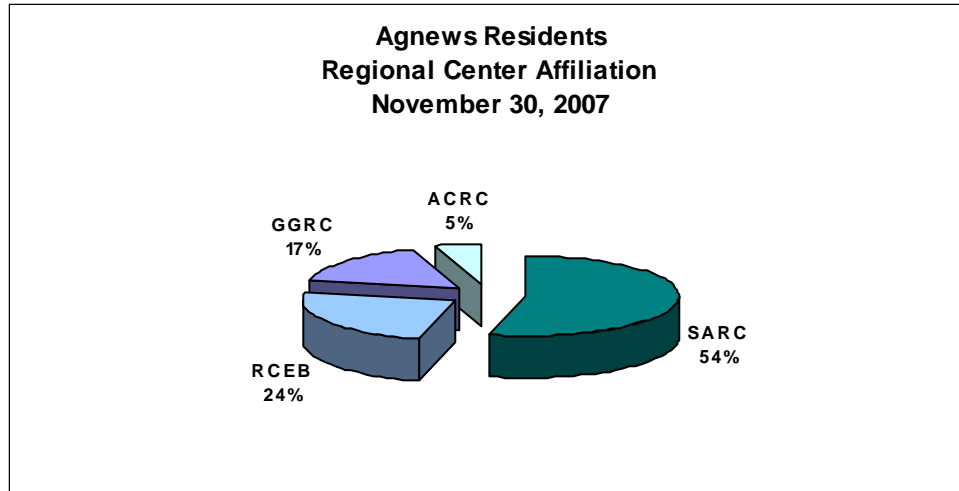
Levels of Care

Agnews provides three levels of care to people who reside at the facility. The first level of care is provided in the Nursing Facility (NF) residences in which approximately 44 percent of Agnews residents live (87 residents). The second level of care is provided in the Intermediate Care Facility (ICF) residences, which are home to the remaining 56 percent of residents (111 residents). Both the NF residences and the ICF residences provide 24-hour residential services. The third level of care is General Acute Care where short-term medical and nursing care is provided to residents to address an acute illness or injury requiring that level of care.



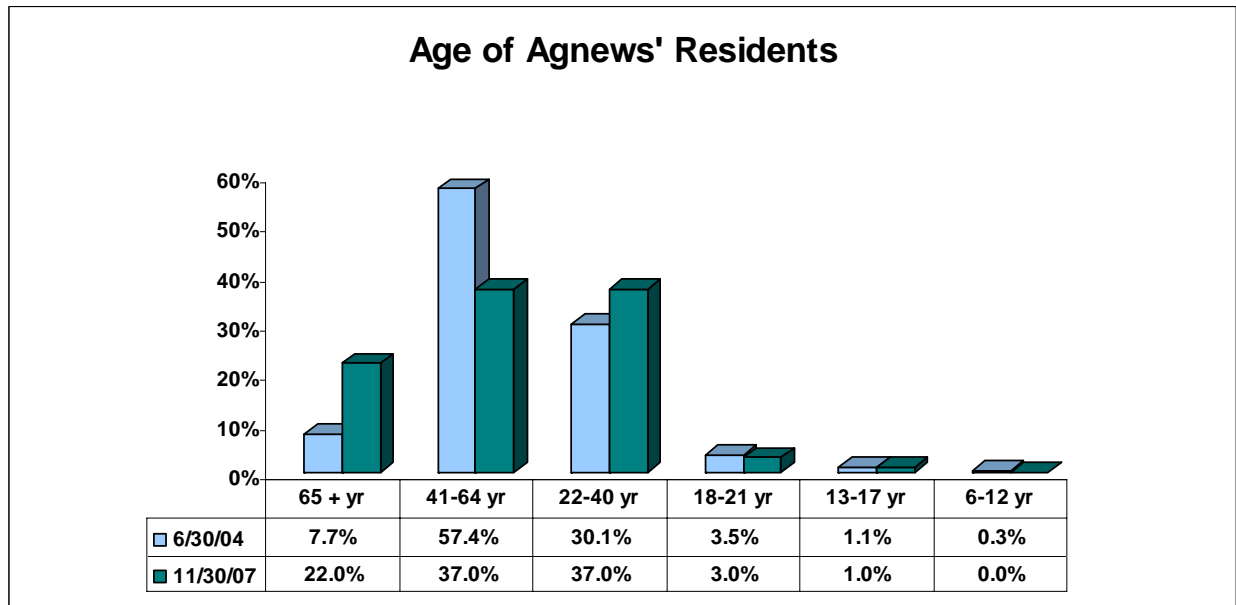
Regional Center Affiliation

Approximately fifty-four percent of current Agnews residents are affiliated with the SARC, 24 percent are affiliated with the RCEB, 17 percent are affiliated with the GGRC, and 5 percent are affiliated with Alta California Regional Center (ACRC).



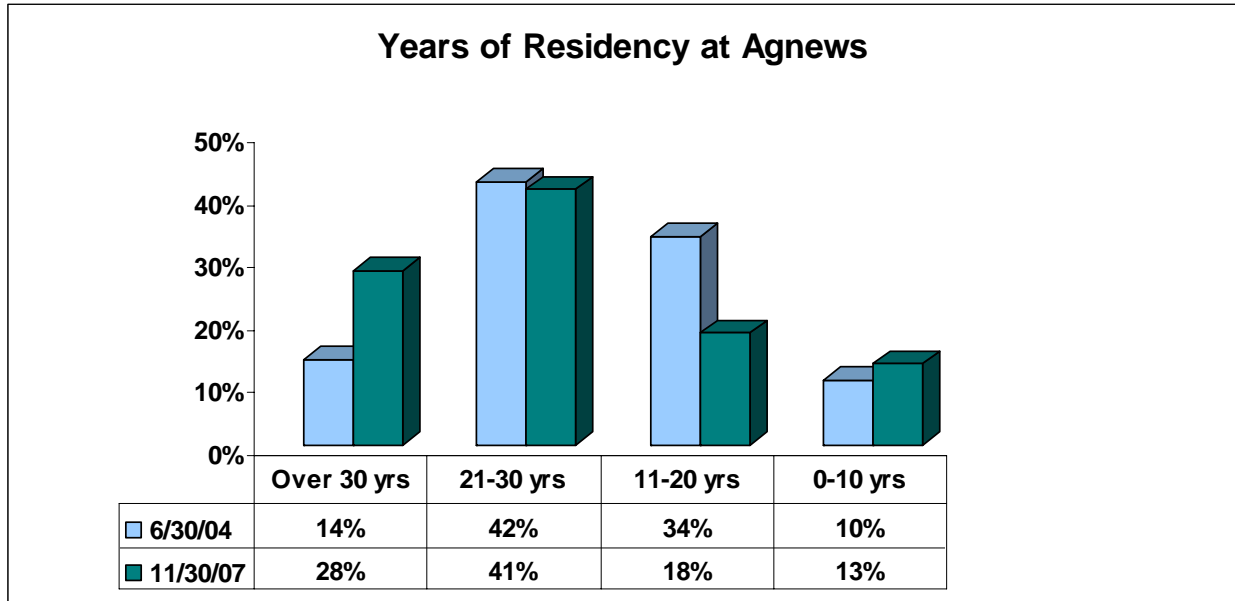
Age of Agnews Residents

As of November 30, 2007, 59 percent of the residents are over age 40. People who are 65 years or older make up 22 percent of the population. In contrast, 1 percent of the residents are under the age of 18 years.



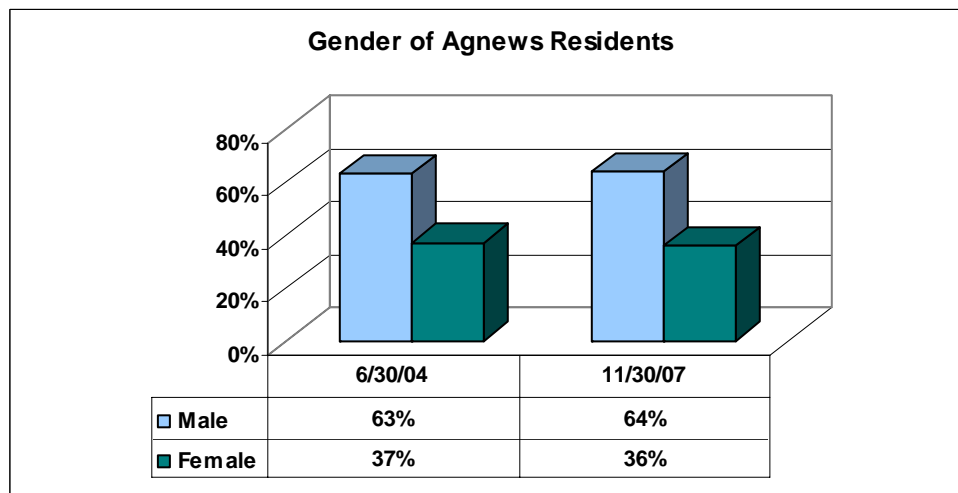
Years of Residency at Agnews

Sixty-nine percent of the current residents have lived at Agnews over 20 years. Eighteen percent have resided at Agnews for 11 to 20 years. Thirteen percent have resided at Agnews for ten years or less.



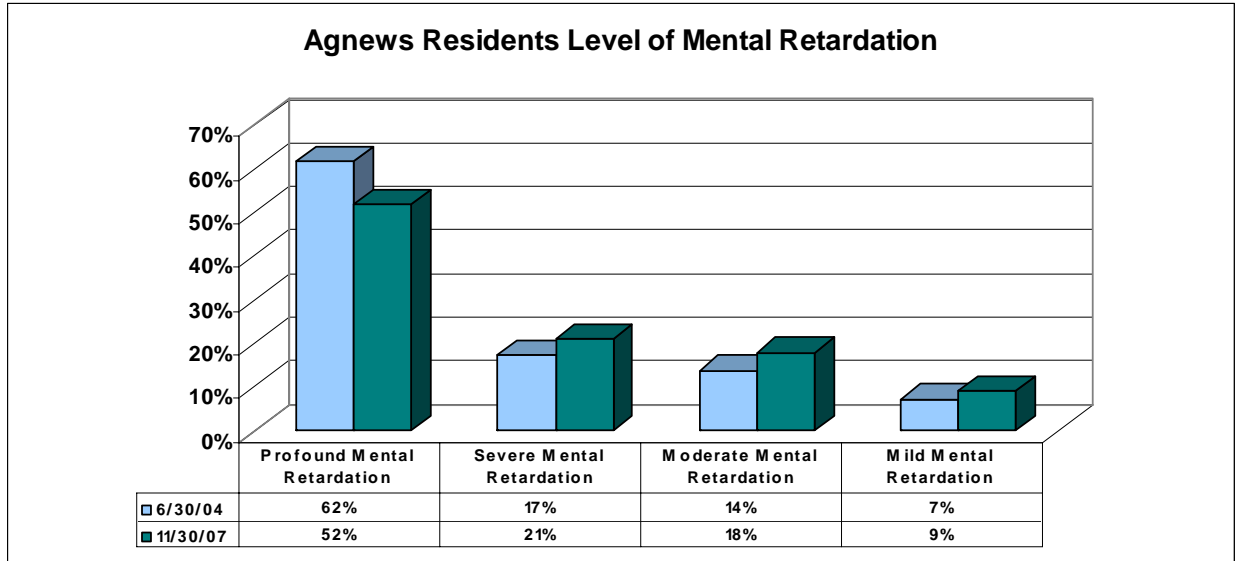
Gender of Agnews Residents

Sixty-four percent of the residents are male, and 36 percent are female.



Level of Mental Retardation

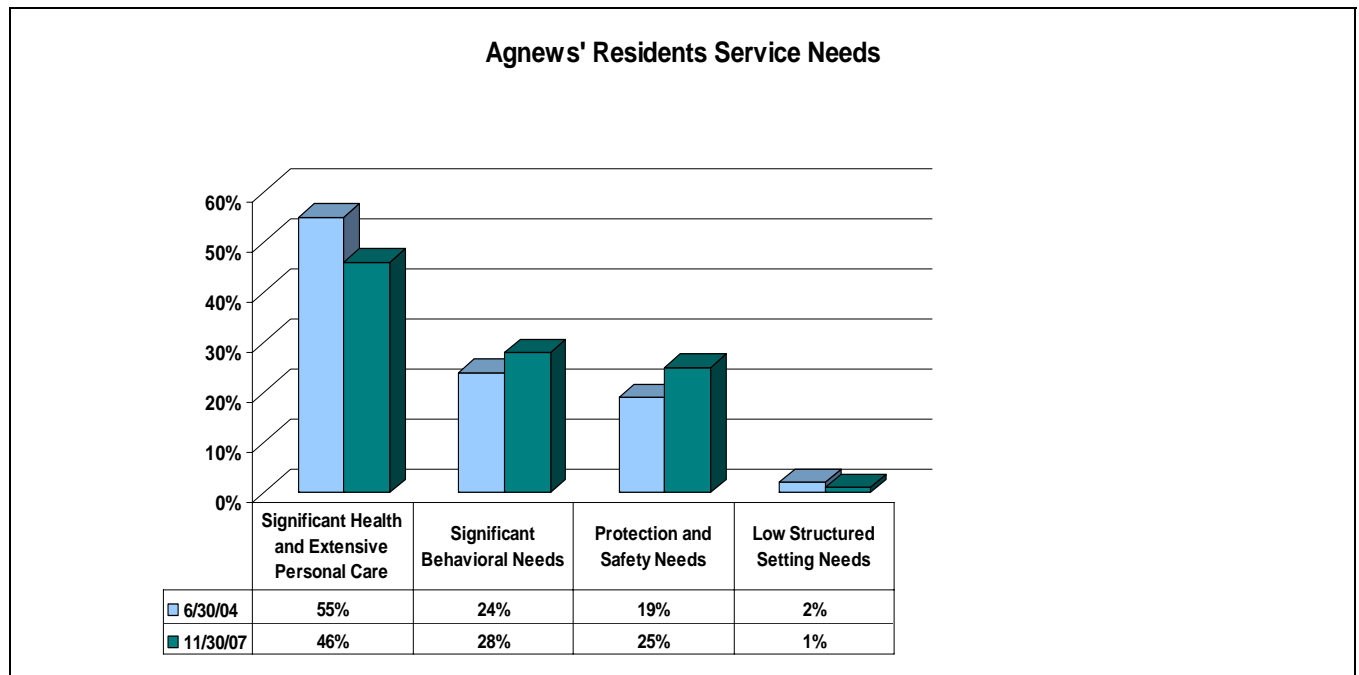
Fifty-two percent of the current Agnews' residents have profound mental retardation, 21 percent have severe mental retardation, 18 percent have moderate mental retardation, and 9 percent have mild mental retardation.



Service Needs of Residents:

Agnews residents have the following four primary service needs:

- **Significant health and extensive personal care needs:** This category includes people who require assisted breathing technology, inhalation assistive devices, or tracheotomy care; have recurrent pneumonias or apnea; and are non-ambulatory, requiring total assistance and care, and/or receive enteral (tube) feeding. Significant nursing intervention and monitoring are required to effectively support these consumers. Forty-six percent of the residents have significant health and extensive personal care needs.
- **Significant behavioral needs:** This category describes consumers who have significant aggression issues that may require intervention for the safety of themselves or others. Approximately 28 percent of the residents are consumers with significant behavioral issues.
- **Protection and safety needs:** This category includes consumers who need a highly structured setting because of a lack of safety awareness, a pattern of self-abusive behaviors and/or inappropriate expression of social behavior. These consumers require constant supervision and ongoing intervention to prevent self-injury and/or stigmatization. Twenty-five percent of Agnews residents require this type of structure and service need.
- **Low structured setting needs:** This service need includes residents who require minimal supervision and support, comprising one percent of the Agnews residents.

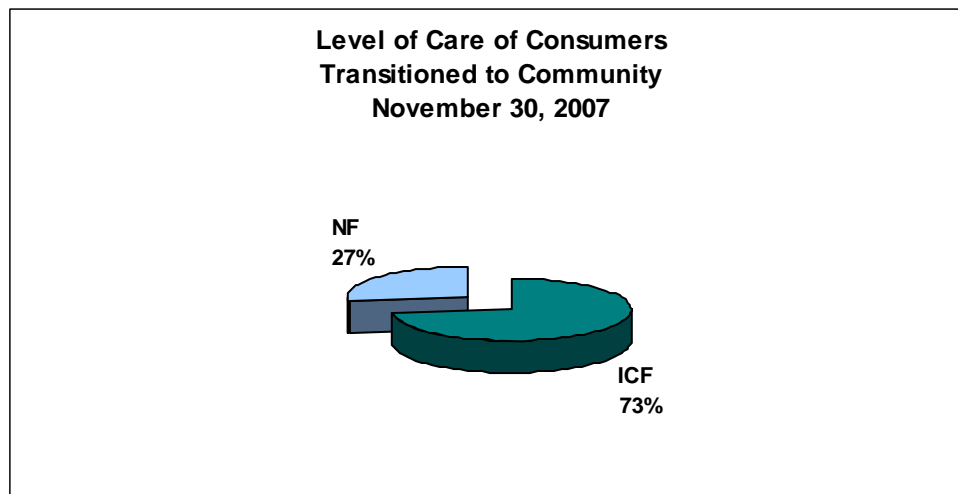


Agnews Consumers Who Have Transitioned Into the Community

Between July 1, 2004 and November 30, 2007, 150 residents transitioned to the community, 12 consumers were admitted to Agnews and 6 consumers were transferred to other developmental centers.

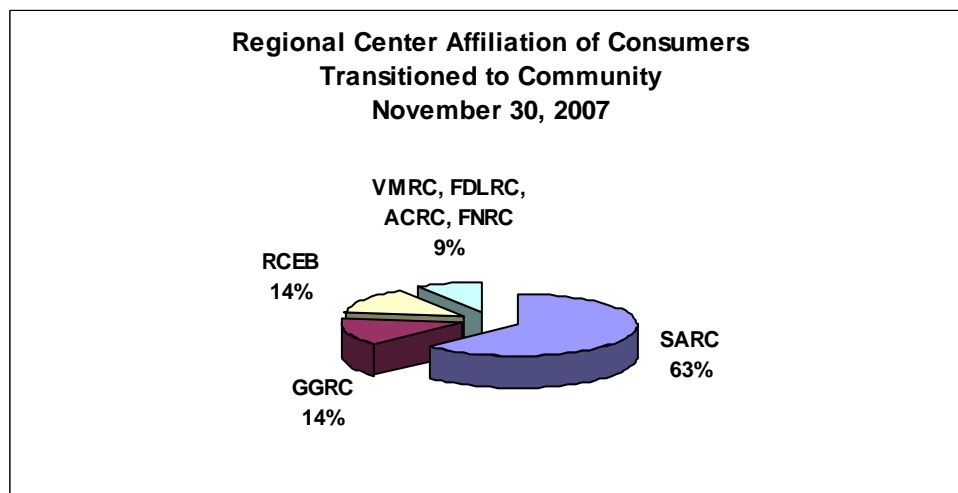
Level of Care of Consumers Who Have Transitioned Into the Community

Of the consumers who transitioned to community living arrangements between July 1, 2004 and November 30, 2007, 73 percent had been served in the ICF residences and 27 percent had been served in NF residences while at Agnews.



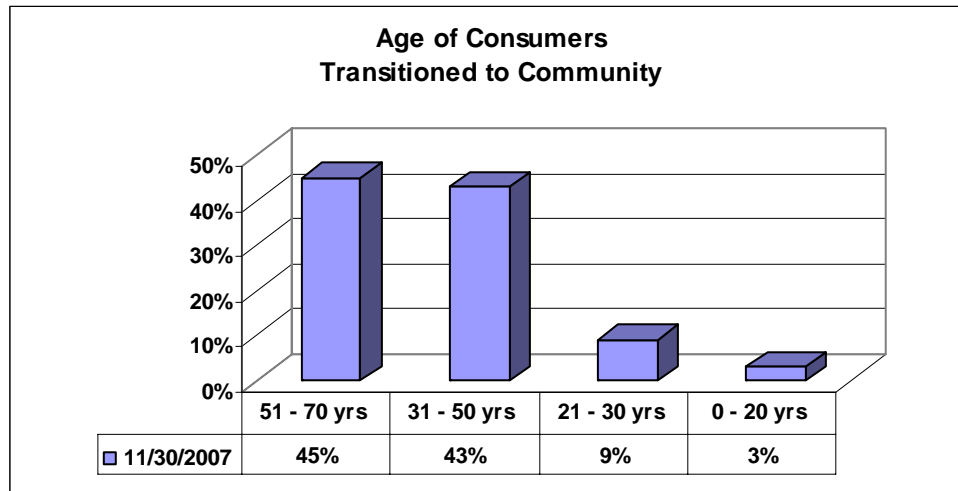
Regional Center Affiliation of Consumers Transitioned to Community

Sixty-three percent of the consumers transitioning to the community between July 1, 2004, and November 30, 2007, were affiliated with SARC, 14 percent were affiliated with GGRC, 14 percent were affiliated with RCEB, 9 percent were affiliated with Far Northern Regional Center (FNRC), Valley Mountain Regional Center (VMRC), Frank D. Lanterman Regional Center (FDLRC), or ACRC.



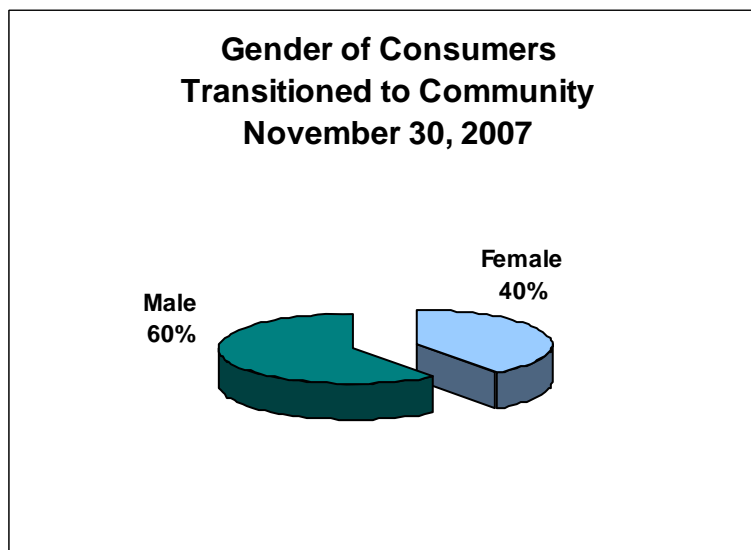
Age of Consumers Who Have Transitioned into the Community

Of the consumers transitioned to the community between July 1, 2004, and November 30, 2007, 45 percent were 51 to 70 years of age at the time of transition from Agnews, 43 percent were 31 to 50 years old, 9 percent were 21 to 30 years old, and 3 percent were 20 years of age or younger.



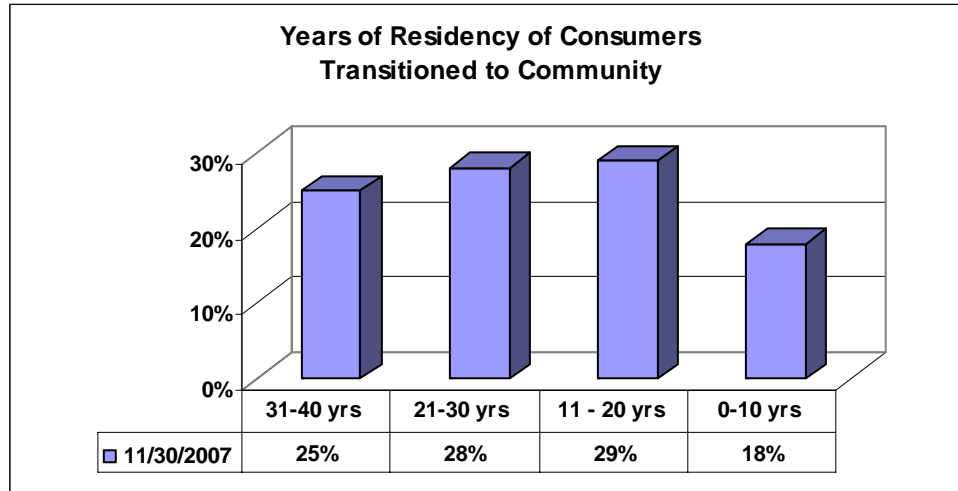
Gender of Consumers Who Have Transitioned to Community

Sixty percent of the consumers transitioned to the community between July 1, 2004, and November 30, 2007, were male, and 40 percent were female.



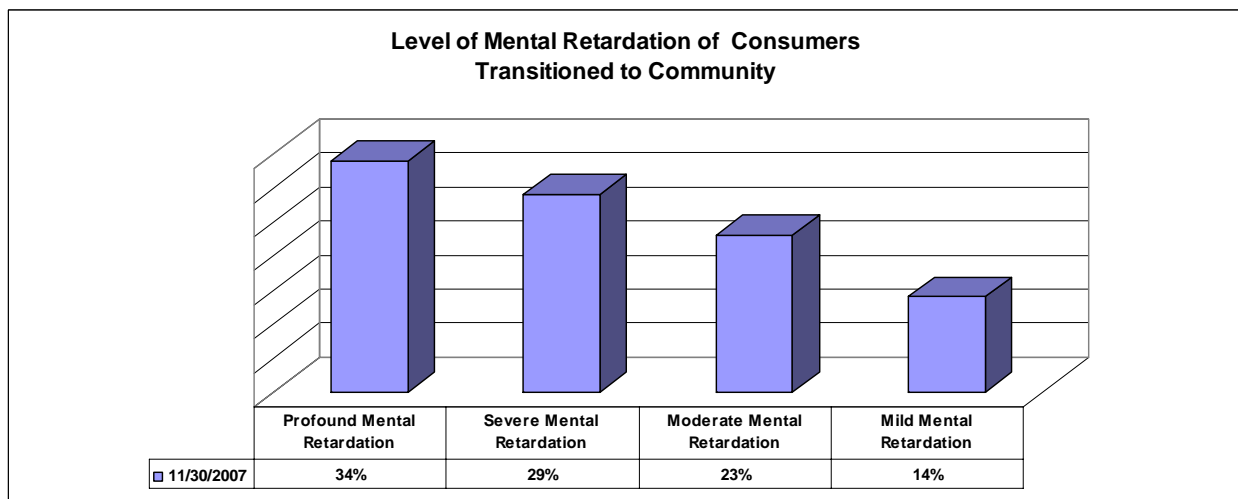
Years of Residency of Consumers Who Have Transitioned to Community

Of the consumers transitioned to the community between July 1, 2004, and November 30, 2007, 25 percent lived at Agnews for 31 to 40 years, 28 percent for 21 to 30 years, 29 percent for 11 to 20 years, 18 percent for 10 years or less.



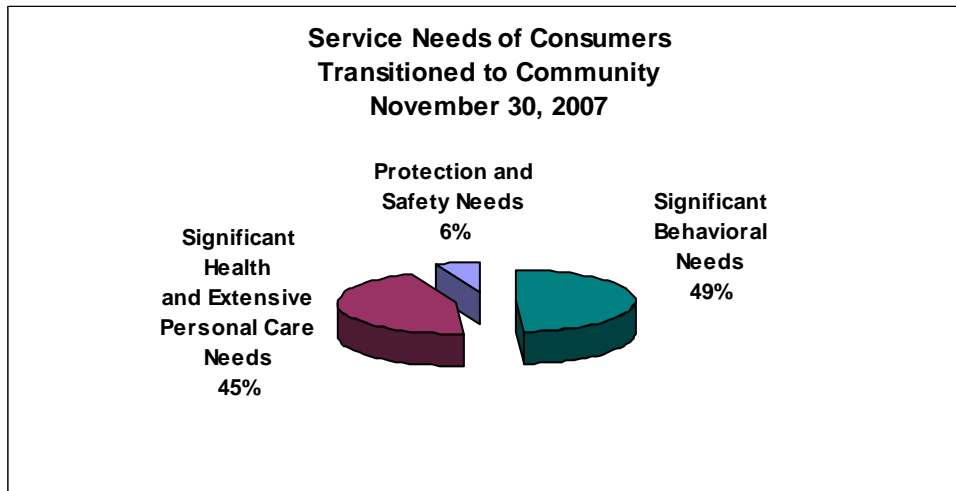
Level of Mental Retardation of Consumers Transitioned to Community

Between July 1, 2004, and November 30, 2007, 34 percent of transitioning consumers had profound mental retardation, 29 percent had severe mental retardation, 23 percent had moderate mental retardation, and 14 percent had mild mental retardation.



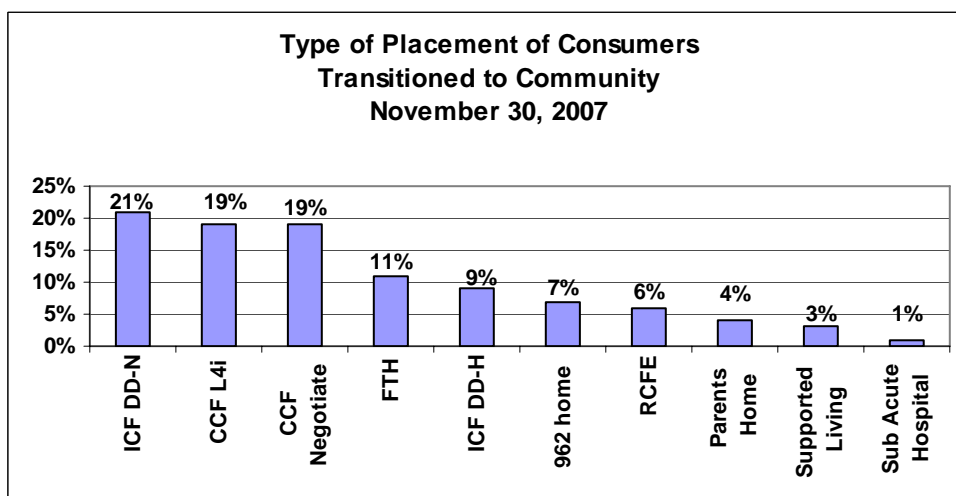
Service Needs of Consumers Transitioned to Community

Forty-nine percent of the consumers who transitioned to the community between July 1, 2004, and November 30, 2007, had significant behavioral needs, 45 percent had significant health and extensive personal care needs, and 6 percent had protection and safety needs.



Type of Placement of Consumers Transitioned to Community

Twenty-one percent of the consumers who transitioned to the community between July 1, 2004, and November 30, 2007, moved to ICF Developmentally Disabled-Nursing (DD-N), 19 percent moved to Community Care Facility Level 4i (CCF L4i), 19 percent moved to CCF Negotiate, 11 percent moved to FTH, 9 percent moved to ICF Developmentally Disabled-Habilitative (DD-H), 7 percent moved to 962 homes, 6 percent moved to RCFE, 4 percent moved to their Parents Home, 3 percent moved to Supported Living, and one individual equaling less than one percent of the consumers required long-term care in a sub acute hospital.



Agnews Employees

As of November 30, 2007, there were 899 employees at Agnews. Agnews employees have been provided information regarding the closure and the options that are, or will become, available for employment.

The Marchesi Career Center

The Marchesi Career Center (Career Center) opened at Agnews in July 2006. Counselors assist employees with job search information, assessing qualifications based on job specifications, finding available employment opportunities, preparing applications, comparing benefits, and evaluating retirement options. From the time of its opening through November 30, 2007, the Career Center recorded 1,019 employee visits where information and career counseling services were provided. Between April 2007 and November 30, 2007, 475 employees received career counseling.

The Career Center also serves as a training site equipped with a media center and training materials specific to community-based business opportunities and employment, job searching, résumé writing, application processing and interview tips and techniques. The Career Center has provided training courses to more than 600 staff from the time it opened through November 30, 2007.

Staff Support

Agnews has established a Staff Support Committee to assist the Career Center as the facility moves toward closure. Surveys have been distributed to all Agnews' staff to provide an opportunity for every employee to communicate their future career interests. With this information, the Career Center can better identify and tailor counseling and training. As of November 30, 2007, 555 employees responded. Employees who expressed interest in specific types of opportunities were sent individual notices, invitations, and save-the-date cards, as appropriate.

On July 26, 2007, more than 35 different state and community vendors participated in a Career Center-sponsored job fair. More than 600 employees dropped in throughout the day to consider various opportunities.

State Staff in the Community

Many Agnews employees have expressed an interest in continuing to serve the consumers who reside at Agnews as they transition to the community. Between April 1, 2007 and November 30, 2007, 105 employees applied and were interviewed for contracted community state staff positions with various regional centers and provider organizations.

As many as 200 Agnews employees will have had the opportunity to obtain community-based state employment before Agnews closes. These positions may be providing direct-care, training or consultation, quality assurance, or other services.

Selection processes have been negotiated with each involved labor organization, taking into consideration current hiring practices for state employment. Staff is updated weekly on opportunities to promote continuous recruitment throughout the facility. In addition, the DDS has developed orientation and job training sessions to familiarize employees with community-based opportunities.

Direct Service Professional Training

The Career Center is offering Direct Service Professional (DSP) training for employees who are interested in transitioning into the community-based service system. The training benefits service providers, regional centers, and consumers by ensuring that there is a pool of experienced and qualified prospective employees. The training, which consists of two 35-hour classes, is required for all staff providing care to consumers in the community.

The Career Center offered a challenge test and a separate full review session for the Year 1 DSP training during the months of April and June. The Career Center offered the Year 2 DSP training in October of 2007. The Career Center will continue to offer the review sessions for DSP training Year 1 and Year 2 through the spring of 2008.

Attrition

Agnews has maintained sufficient staff to protect the health and safety of remaining residents and to ensure the ongoing certification of the facility. As of November 30, 2007, there were 899 employees at Agnews.

The attrition rate for this fiscal year is consistent with last fiscal year at ten percent. Licensed personnel such as registered nurses and psychiatric technicians comprise a significant majority of the separations. There is an increase in the proportion of administrative and support staff who are separating. Currently, 82 percent are currently full-time employees, 8 percent are temporary limited term employees, 6 percent are intermittent employees, and the remaining 4 percent are part-time employees.

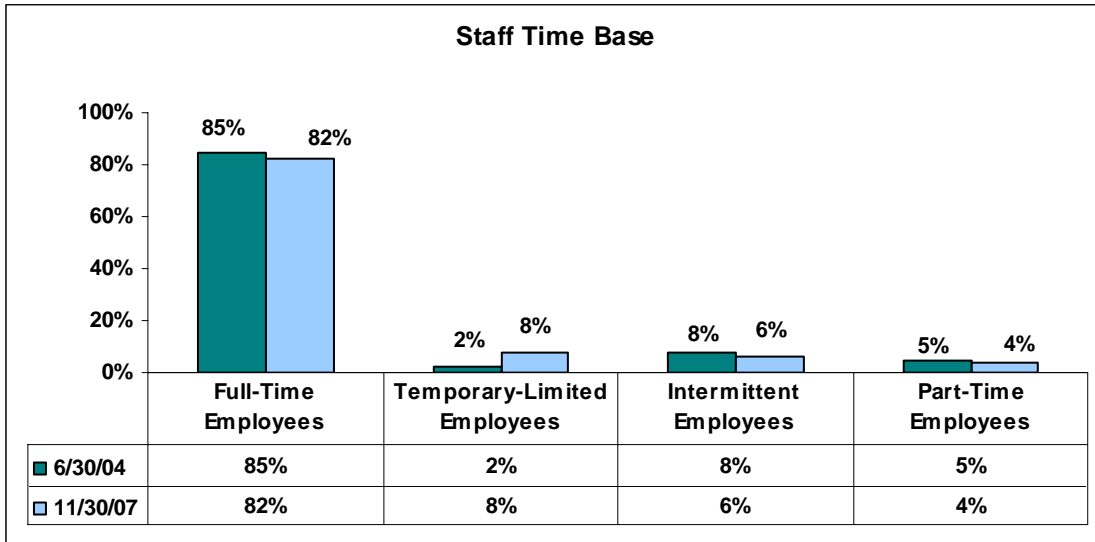
The facility continues to balance the need to promote employee stability, provide opportunities for staff to transition to the community-based system, and provide opportunities for employees who may be subject to layoff with accurate and timely information on options. To ensure the availability of staff in critical classes, Agnews offers training and development opportunities as well as out-of-class assignments.

It is anticipated that the number of employees who accept community-based assignments will significantly increase with the increased availability of community

resources for Agnews consumers. Residential providers have expressed a strong interest in providing employment opportunities to Agnews staff.

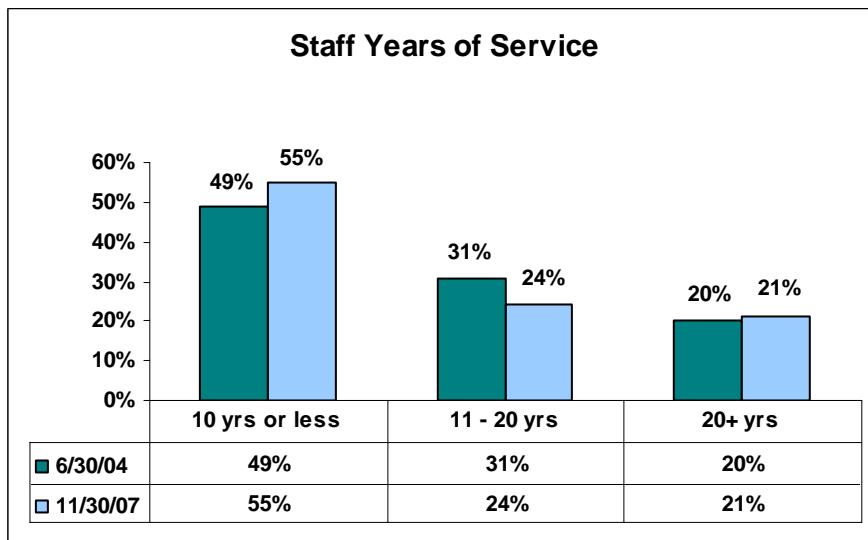
Current Employee Composition

As of November 30, 2007, there are 899 employees at Agnews. Of these employees, 82 percent are currently full-time employees, 8 percent are temporary limited term employees, 6 percent are intermittent employees, and the remaining 4 percent are part-time employees.



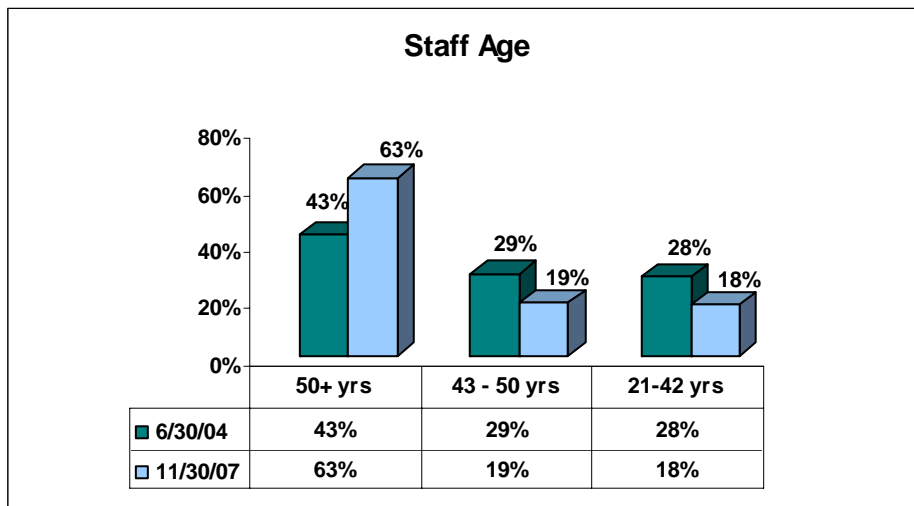
Staff Years of Service

Fifty-five percent of the employees have worked at Agnews for 10 years or less. Twenty-four percent of the employees have been employed at Agnews between 11 and 20 years. The remaining 21 percent have worked at Agnews for more than 20 years.



Staff Age

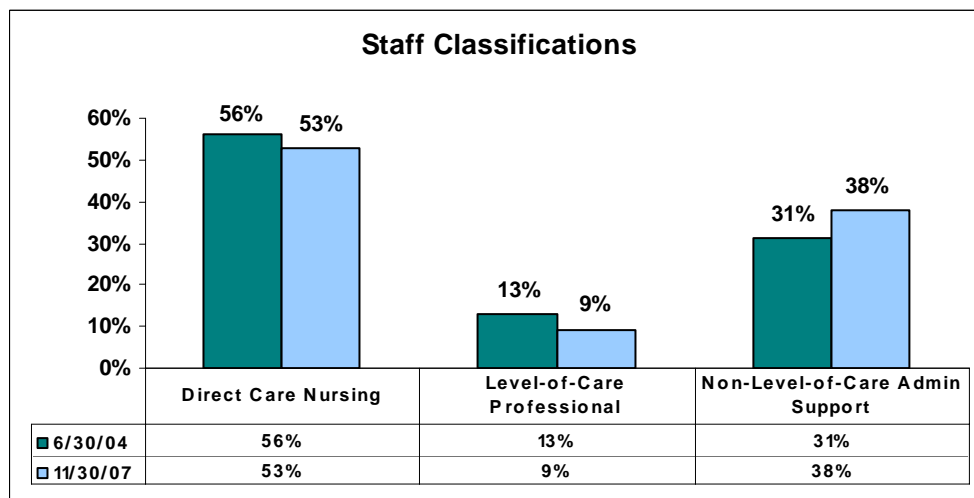
Sixty-three percent are over 50 years of age. Nineteen percent are between 43 to 50 years. Eighteen percent of the workforce are between 21 and 42 years of age.



Employee Classification

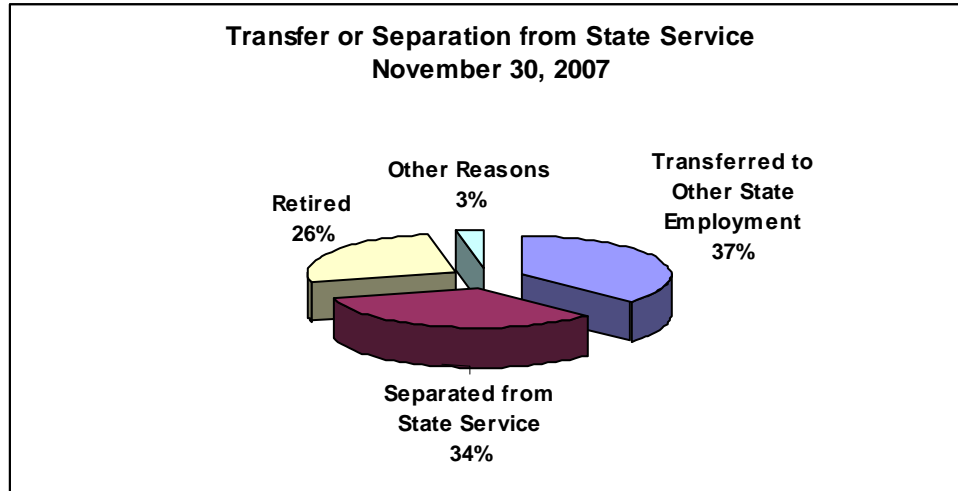
There is a wide range of employees and classifications that provide services to people residing at Agnews. These classifications are categorized as follows:

- **Direct Care Nursing:** Comprising 53 percent of the employee population, these include registered nurses, licensed vocational nurses, psychiatric technicians, psychiatric technician assistants, trainees, and students.
- **Level-of-Care Professionals:** Comprising 9 percent of the employee population these include physicians, rehabilitation therapists, social workers, teachers, physical and occupational therapists, respiratory therapists and others who provide a direct and specialized service to the residents at Agnews.
- **Non-Level-of-Care and Administrative Support:** The remaining 38 percent of the employee population includes clerical employees, food service employees, personnel and fiscal services employees, plant operations employees, and all supervisors and managers.



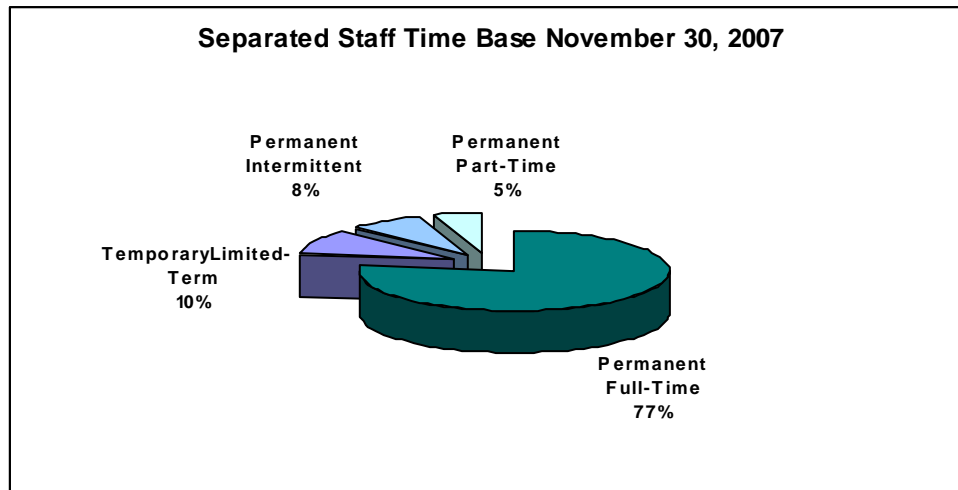
Separated Employee Composition

Between the time period of July 1, 2004, and November 30, 2007, 563 employees separated from employment with Agnews. Of those separating, 37 percent transferred to other state employment, 34 percent separated from state service, 26 percent retired, and 3 percent left for other reasons.



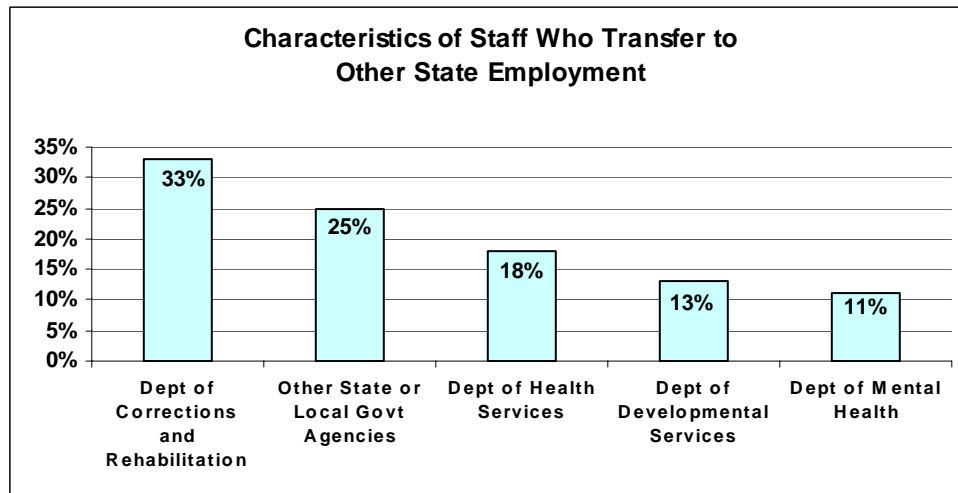
Separated Employee Staff Work Status

Of the employees that separated from Agnews state service between July 1, 2004, and November 30, 2007, 77 percent had been full-time employees, 10 percent had been temporary limited-term employees, 8 percent had been permanent intermittent, and 5 percent had been permanent part-time.



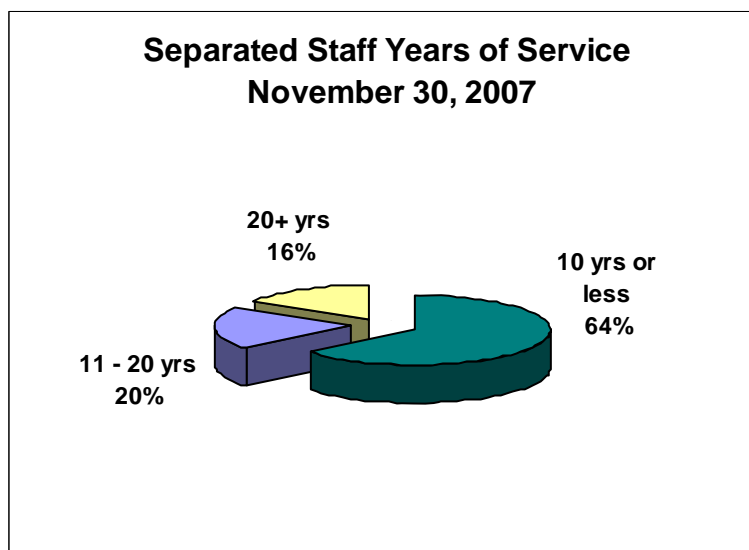
Characteristics of Staff Who Transfer

For staff separating from Agnews to other state employment, 33 percent transferred to the California Department of Corrections and Rehabilitation, 25 percent transferred to other state or local government agencies, 18 percent transferred to the Department of Health Services, 13 percent transferred to other employment within the DDS, and 11 percent transferred to the Department of Mental Health.



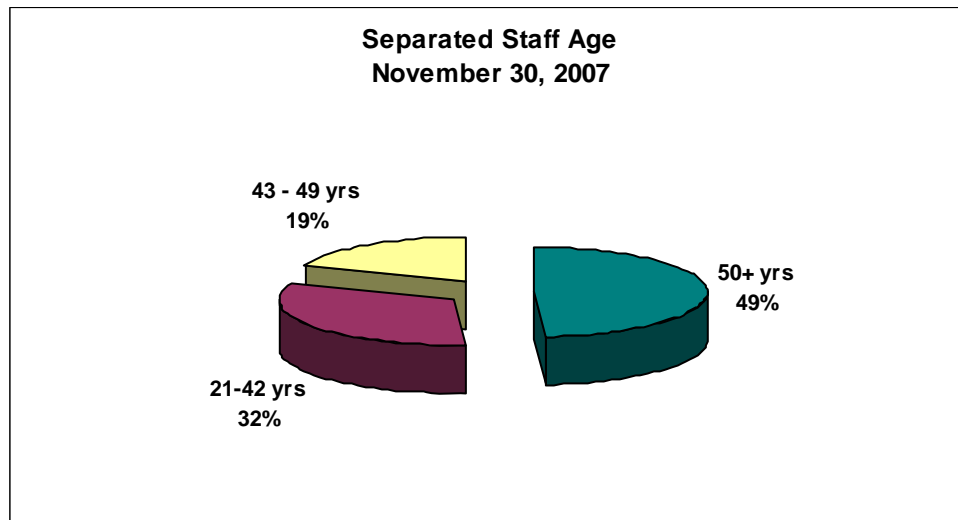
Separated Staff Years of Services

Sixty-four percent of the employees who separated from state service had worked at Agnews for ten years or less, 20 percent had worked at Agnews between 11 and 20 years, and 16 percent had worked at Agnews for more than 20 years.



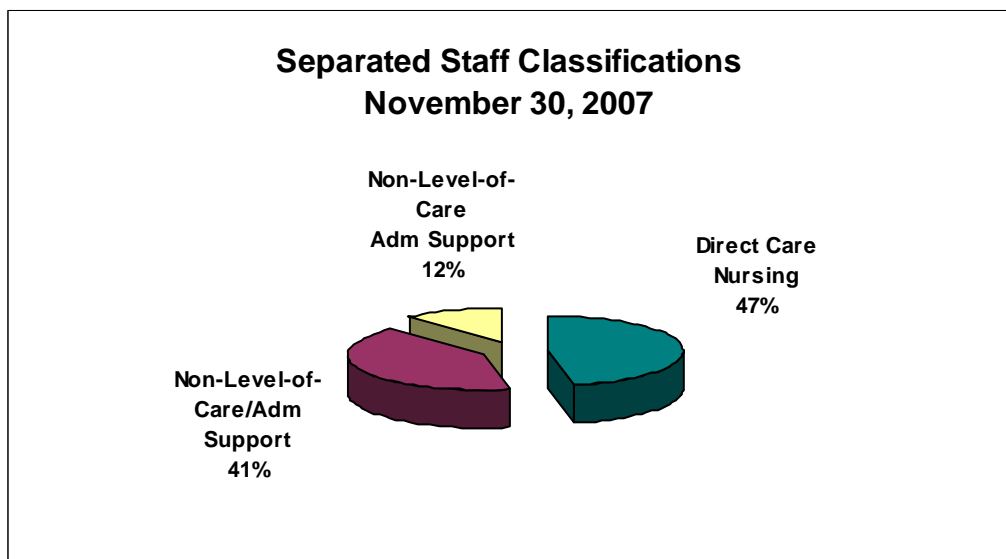
Separated Staff Age

Forty-nine percent were 50 years or older, 32 percent of those who separated were between 21 and 42 years of age, and 19 percent were between 43 to 49 years old.



Separated Staff Classifications

Forty-seven percent of the separated employees were providers of direct care, 41 percent in non-level-of-care positions and administrative support. Twelve percent were level of care professional service providers to Agnews residents.



Conclusion

The closure of Agnews has provided the state with a critical opportunity to build community-based alternatives for consumers with unique needs who otherwise may not have opportunities to live in home-like settings. This historic effort is consistent with state and national efforts to integrate services in the least-restrictive settings possible in accordance with individual choices.

The DDS looks forward to continuing its efforts at the state and local level to build community capacity for individuals with developmental disabilities, and to address the needs of Agnews residents transitioning into the community.

Major Implementation Steps and Timelines

Major Implementation Steps and Timelines

Report on the Plan for Closing Agnews Developmental Center

ID	Task Name							2
		1st Quarter			2nd Quarter			
		Dec	Jan	Feb	Mar	Apr	May	Jun
1	Plan Development							
2	✓ Establish the Bay Area Project Steering Committee							
3	✓ Establish Agnews' proposed closure Advisory Committee							
4	✓ Begin Agnews' proposed closure Advisory Committee meetings							
5	✓ Establish Bay Area Project planning teams to solicit input on the Agnews Closure Plan							
6	✓ Public Hearing on the proposed closure of Agnews							
7	✓ Letter to Legislators and Other Interested Parties announcing postponement of Agnews Closure to July 2006							
8	✓ Submission of the Agnews Closure Plan to the Legislature							
9	✓ Legislative approval of Plan for Closure							
10	Resource Development							
11	Bay Area Housing Plan (BAHP)							
12	✓ Draft Legislation							
13	✓ AB 2100 Introduced							
14	✓ AB 2100 effective 1/1/2005							
15	✓ Regional Center Development of BAHP							
16	✓ DDS, Housing and Community Development, California Housing and Finance Agency (CalHFA), DOF, Governor's Office Review BAHP							
17	✓ BAHP and Expenditure Plan submitted to JLBC							
18	✓ CalHFA Bd approves 1st bond financing							
19	✓ CalHFA Bd approves 2nd bond financing							
20	✓ Construction and acquisition financing secured							
21	✓ NPOs identify potential properties							
22	🏠 Hallmark acquires properties							
23	🏠 Hallmark renovates properties							
24	✓ BAHP Legal Agreements endorsed							
25	✓ BAHP RC Contract Amendment Enforced							
26	✓ Budget Act Reappropriation of Expenditure Plan Funds							
27	🏠 61 Properties Receive Certificates of Occupancy							
28	962 Homes							

**A check indicates a completed task.
A solid line indicates post closure activity.**

Major Implementation Steps and Timelines

Report on the Plan for Closing Agnews Developmental Center

ID		Task Name	2							
			1st Quarter			2nd Quarter				
			Dec	Jan	Feb	Mar	Apr	May	Jun	
29	<input checked="" type="checkbox"/>	Draft Legislation								
30	<input checked="" type="checkbox"/>	SB 962 Introduced								
31	<input checked="" type="checkbox"/>	SB 962 Legislation effective 1/1/2006 - Pilot Project								
32	<input checked="" type="checkbox"/>	RCs Draft and Issue RFPs for service providers								
33	<input type="checkbox"/>	Prospective licensees program plans submit to DDS by RCs								
34	<input type="checkbox"/>	Facilities certification letters issued								
35	<input checked="" type="checkbox"/>	IA for evaluation of project developed								
36	<input type="checkbox"/>	Homes developed by BAHF								
37	<input type="checkbox"/>	Develop Consumers' Individual Health Care Plans								
38	<input type="checkbox"/>	Transition Consumers into homes								
39	<input checked="" type="checkbox"/>	Project evaluation contractor selected								
40	<input type="checkbox"/>	Monitoring of individuals placed in homes								
41	<input type="checkbox"/>	Evaluation report to Legislature of pilot								
42	<input type="checkbox"/>	SB 962 sunsets unless extended								
43		Family Teaching Homes (FTH)								
44	<input checked="" type="checkbox"/>	Assembly Bill 2100 Enacted								
45	<input checked="" type="checkbox"/>	Draft FTH Regulations								
46	<input checked="" type="checkbox"/>	Promulgate Regulations								
47		Health Services								
48	<input checked="" type="checkbox"/>	Establish Dental Coordinator Positions								
49	<input checked="" type="checkbox"/>	Implement Expanded Nursing Assessment Components								
50	<input checked="" type="checkbox"/>	Implement Expanded Nursing Assessment								
51	<input checked="" type="checkbox"/>	Develop Individual Health Care Plan								
52	<input checked="" type="checkbox"/>	Implement Individual Health Care Plan								
53	<input checked="" type="checkbox"/>	Develop Risk Assessment Tool								
54	<input checked="" type="checkbox"/>	Implement Risk Assessment Tool								
55	<input checked="" type="checkbox"/>	Establish Agnews Outpatient Clinic								
56	<input type="checkbox"/>	Provide Service Through Agnews Outpatient Clinic								
57	<input checked="" type="checkbox"/>	Develop Prototype MOU								

A check indicates a completed task.
A solid line indicates post closure activity.

Major Implementation Steps and Timelines

Report on the Plan for Closing Agnews Developmental Center

ID		Task Name	2						
			1st Quarter			2nd Quarter			
			Dec	Jan	Feb	Mar	Apr	May	Jun
58	<input checked="" type="checkbox"/>	Develop DHCS/DDS MOU							
59	<input type="checkbox"/>	Submit Protocols to Agency and the Legislature							
60	<input checked="" type="checkbox"/>	Contract with Telecare for crisis facility							
61	<input type="checkbox"/>	Continue capacity building with health plans							
62	<input type="checkbox"/>	Continue recruitment of mental health and dental service providers							
63	<input type="checkbox"/>	Provide service delivery monitoring and oversight							
64									
65		State Employees in the Community							
66	<input checked="" type="checkbox"/>	Draft Legislation							
67	<input checked="" type="checkbox"/>	Introduce AB 1378							
68	<input checked="" type="checkbox"/>	AB 1378 effective 1/1/2006							
69	<input checked="" type="checkbox"/>	DDS-Labor Relations notice impacted unions of change in working conditions and offer to meet and confer/discuss.							
70	<input checked="" type="checkbox"/>	DDS establishes negotiating team							
71	<input checked="" type="checkbox"/>	DDS initiate survey of regional centers regarding need for State employees for use in transition to community.							
72	<input checked="" type="checkbox"/>	DDS-Agnews analyze survey results to determine bargaining positions, number of unions impacted, notice additional unions if necessary							
73	<input checked="" type="checkbox"/>	DDS—Agnews/Regional Centers develop contracts for use of state employees and reimbursement							
74	<input checked="" type="checkbox"/>	DPA and DDS meet with unions to negotiate /discuss use of State employees							
75	<input type="checkbox"/>	Agnews deploys up to 200 State employees							
76		Quality Management System							
77	<input checked="" type="checkbox"/>	Establish QMS Commission							
78		Provider - Tools							
79	<input checked="" type="checkbox"/>	Complete Provider Expectations document							
80	<input checked="" type="checkbox"/>	Draft QSR expectations							
81	<input checked="" type="checkbox"/>	Complete QSR Manual							
82	<input checked="" type="checkbox"/>	Draft and Pilot QSR monitoring tools							
83	<input checked="" type="checkbox"/>	Complete QSR monitoring tools							
84	<input checked="" type="checkbox"/>	Draft QSR and monitoring tools Training Manual							

**A check indicates a completed task.
A solid line indicates post closure activity.**

Major Implementation Steps and Timelines






Report on the Plan for Closing Agnews Developmental Center

ID	Task Name	2						
		1st Quarter			2nd Quarter			
		Dec	Jan	Feb	Mar	Apr	May	Jun
85	<input checked="" type="checkbox"/> Pilot QSR and monitoring tools Training Manual							
86	Consumer - Tools							
87	<input checked="" type="checkbox"/> Develop, pilot test, and finalize Service Coordinator Tool							
88	<input checked="" type="checkbox"/> Develop, pilot, and finalize Behavior Plan Review tool							
89	<input checked="" type="checkbox"/> Develop, pilot test and DRAFT a Quality Snapshot tool							
90	<input checked="" type="checkbox"/> Develop, pilot, and finalize Nurse Health Review tool							
91	<input checked="" type="checkbox"/> Develop, pilot, and finalize Bay Area Project staff tool							
92	<input checked="" type="checkbox"/> Xenologic Contract							
93	<input checked="" type="checkbox"/> Completion of NCI Consumer Survey Year One							
94	<input checked="" type="checkbox"/> Completion of NCI Family Satisfaction Survey Year One							
95	<input checked="" type="checkbox"/> Final reports on both Consumer and Family Surveys							
96	<input checked="" type="checkbox"/> Develop contract and Scope of Work for Year Two							
97	<input type="checkbox"/> May 2008 Final Report Year Two Surveys							
98	<input checked="" type="checkbox"/> Community Development team Meetings							
99	<input checked="" type="checkbox"/> CMS final report due on Grant							
100	Business Management Team							
101	<input type="checkbox"/> Identify and support employees' personal needs to plan for future employment or retirement							
102	<input checked="" type="checkbox"/> Develop a plan to secure and protect Agnews' property throughout closure							
103	<input checked="" type="checkbox"/> Protocols in process to secure and protect Agnews' property throughout closure							
104	<input checked="" type="checkbox"/> Develop a process to ensure timely notification to stakeholders and appropriate entities regarding closure activities							
105	<input checked="" type="checkbox"/> Protocols in process to ensure timely notification regarding closure							
106	<input checked="" type="checkbox"/> Plan for inventory communications and IT equipment, determine and develop a plan, and effectuate disposition							
107	<input checked="" type="checkbox"/> Create website for DCs containing policies and procedures for inventory of communications, and IT equipment							
108	<input checked="" type="checkbox"/> Manage Workers' Compensation cases, and preserve and transfer active and inactive cases including Stockton DC							
109	<input checked="" type="checkbox"/> Plan for purge and preserve Agnews' records as appropriate							

**A check indicates a completed task.
A solid line indicates post closure activity.**

Major Implementation Steps and Timelines

Report on the Plan for Closing Agnews Developmental Center

ID		Task Name	2						
			1st Quarter			2nd Quarter			
			Dec	Jan	Feb	Mar	Apr	May	Jun
110	<input checked="" type="checkbox"/>	Protocols in process for purge and preserve records							
111	<input checked="" type="checkbox"/>	Develop process to move personal property with the consumer							
112	<input checked="" type="checkbox"/>	Protocols in process to move personal property with consumer							
113		Project, plan, and manage fiscal resources							
114		Plan and facilitate consolidation of programs and services as population declines, including property leases							
115	<input checked="" type="checkbox"/>	Inventory, store, distribute state surplus property - supplies							
116	<input checked="" type="checkbox"/>	Create website for DCs containing policies and procedures for inventory, store-distribute state surplus property supplies							
117	<input checked="" type="checkbox"/>	Develop a process to properly handle all trust account transactions during closure and forward balances at the time of discharge							
118		Inventory/arrange for proper disposal of hazardous materials							
119		Inventory and preserve historical items							
120	<input checked="" type="checkbox"/>	Develop a plan to maintain Agnews' property during warm shutdown							
121		Maintain physical plant during warm shutdown							

**A check indicates a completed task.
A solid line indicates post closure activity.**

Fiscal Update

DEPARTMENT OF DEVELOPMENTAL SERVICES
Plan to Close Agnews Developmental Center

BUDGET BY FISCAL YEAR

	Base 2004-05	2006-07 May Revision CY 2005-06	2007-08 May Revision CY 2006-07	2007-08 May Revision BY 2007-08	2008-09 November Estimate CY 2007-08	2008-09 November Estimate BY 2008-09	2009-10	2010-11
<u>FISCAL IMPACT BY ISSUE</u>								
<u>Developmental Centers</u>								
1. Agnews Budget Base	\$100,214,000	\$92,402,000	\$83,033,000	\$73,754,000	\$73,754,000	\$0	\$0	\$0
2. Placements Into the Community	-2,502,000	-9,654,000	351,000	-12,722,000	-11,456,000	0	0	0
3. Consumer Transfers to Other DCs	0	0	0	-430,000	-430,000	0	0	0
4. State Employees in the Community	0	682,000	3,758,000	9,459,000	9,459,000	24,536,000	24,536,000	4,454,000
5. Administrative Staff for Closure	0	0	0	0	0	335,000	0	0
6. Warm Shut Down	0	0	0	0	0	4,836,000	0	0
7. Foster Grandparent/Senior Companion Program	0	0	0	0	0	-384,000	0	0
8. Staff Costs for Closure Plan	0	201,000	716,000	4,918,000	4,918,000	0	0	0
9. Facility Preparation	0	0	0	73,000	73,000	0	0	0
10. Consumer Relocation Costs	0	0	0	105,000	105,000	0	0	0
11. Regional Resource Development Projects	0	0	0	0	0	1,479,000	1,524,000	1,524,000
12. Agnews Staffing Plan	0	3,231,000	0	731,000	731,000	293,000	201,000	201,000
Sub-Total, Developmental Centers	\$97,712,000	\$86,862,000	\$87,858,000	\$75,888,000	\$77,154,000	\$31,095,000	\$26,261,000	\$6,179,000
<i>General Fund</i>	51,610,000	45,888,000	44,822,000	35,569,759	36,245,759	4,193,000	1,471,000	1,471,000
<i>Other</i>	46,102,000	40,974,000	43,036,000	40,318,241	40,908,241	26,902,000	24,790,000	4,708,000
<u>Regional Centers</u>								
13. Community Placement Plan	\$27,798,000	\$21,511,000	\$26,076,000	\$52,652,000	\$50,231,000	\$8,087,000	\$499,000	\$499,000
14. Placement Continuation	5,279,000	9,442,000	14,314,000	32,823,000	32,782,000	97,223,000	99,986,000	99,986,000
Sub-Total, Regional Centers	\$33,077,000	\$30,953,000	\$40,390,000	\$85,475,000	\$83,013,000	\$105,310,000	\$100,485,000	\$100,485,000
<i>General Fund</i>	29,667,000	27,543,000	34,981,000	65,261,000	61,942,000	67,626,000	63,071,000	63,071,000
<i>Other</i>	3,410,000	3,410,000	5,409,000	20,214,000	21,071,000	37,684,000	37,414,000	37,414,000
GRAND TOTAL	Total \$130,789,000	\$117,815,000	\$128,248,000	\$161,363,000	\$160,167,000	\$136,405,000	\$126,746,000	\$106,664,000
<i>General Fund</i>	81,277,000	73,431,000	79,803,000	100,830,759	98,187,759	71,819,000	64,542,000	64,542,000
<i>Other</i>	49,512,000	44,384,000	48,445,000	60,532,241	61,979,241	64,586,000	62,204,000	42,122,000

<u>CHANGE FROM PRIOR FISCAL YEAR</u>								
GRAND TOTAL	Total	-\$12,974,000	\$10,433,000	\$33,115,000	-\$1,196,000	\$136,405,000	-\$33,421,000	-\$20,082,000
	<i>General Fund</i>	-7,846,000	6,372,000	21,027,759	-2,643,000	71,819,000	-33,645,759	0
	<i>Other</i>	-5,128,000	4,061,000	12,087,241	1,447,000	64,586,000	224,759	-20,082,000
Developmental Centers	Total	-\$10,850,000	\$996,000	-\$11,970,000	\$1,266,000	\$31,095,000	-\$50,893,000	-\$20,082,000
	<i>General Fund</i>	-5,722,000	-1,066,000	-9,252,241	676,000	4,193,000	-34,774,759	0
	<i>Other</i>	-5,128,000	2,062,000	-2,717,759	590,000	26,902,000	-16,118,241	-20,082,000
Regional Centers	Total	-\$2,124,000	\$9,437,000	\$45,085,000	-\$2,462,000	\$105,310,000	\$17,472,000	\$0
	<i>General Fund</i>	-2,124,000	7,438,000	30,280,000	-3,319,000	67,626,000	1,129,000	0
	<i>Other</i>	0	1,999,000	14,805,000	857,000	37,684,000	16,343,000	0

DEPARTMENT OF DEVELOPMENTAL SERVICES
Plan to Close Agnews Developmental Center

COSTS TO CLOSE AGNEWS DEVELOPMENTAL CENTER

FISCAL SYNOPSIS

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 May Revision for CY 2006-07	2007-08 May Revision for BY 2007-08	2008-09 November Estimate for CY 2007-08	2008-09 November Estimate for BY 2008-09	2009-10	2010-11
DEVELOPMENTAL CENTERS									
1. Agnews Budget Base	Total	\$100,214,000	\$92,402,000	\$83,033,000	\$73,754,000	\$73,754,000	\$0	\$0	\$0
	<i>General Fund</i>	52,923,000	49,154,000	44,237,000	39,347,759	39,347,759	0	0	0
	<i>Other</i>	47,291,000	43,248,000	38,796,000	34,406,241	34,406,241	0	0	0
	PYs	1173.0	1187.0	1057.0	967.0	967.0	0.0	0.0	0.0
	Year Beginning Population	376	327	280	204	220	0	0	0
2. Placements Into the Community	Total	-\$2,502,000	-\$9,654,000	\$351,000	-\$12,722,000	-\$11,456,000	\$0	\$0	\$0
	<i>General Fund</i>	-1,313,000	-5,067,000	203,000	-6,787,000	-6,111,000	0	0	0
	<i>Other</i>	-1,189,000	-4,587,000	148,000	-5,935,000	-5,345,000	0	0	0
	PYs	0.0	-122.0	-16.0	-158.0	-145.0	0.0	0.0	0.0
	Placements	-52	-63	-70	-188	-204	0	0	0
	Deaths	-10	-6	-6	-6	-6	0	0	0
3. Consumer Transfers to Other DCs	Total	\$0	\$0	\$0	-\$430,000	-\$430,000	\$0	\$0	\$0
	<i>General Fund</i>	0	0	0	-229,000	-229,000	0	0	0
	<i>Other</i>	0	0	0	-201,000	-201,000	0	0	0
	PYs	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Population	0	0	0	-10	-10	0	0	0
4. State Employees in the Community	Total	\$0	\$682,000	\$3,758,000	\$9,459,000	\$9,459,000	\$24,536,000	\$24,536,000	\$4,454,000
	<i>General Fund</i>	0	0	0	129,000	129,000	475,000	475,000	475,000
	<i>Other</i>	0	682,000	3,758,000	9,330,000	9,330,000	24,061,000	24,061,000	3,979,000
	PYs	0.0	0.0	0.0	3.0	3.0	30.0	30.0	30.0
5. Administrative Staff for Closure	Total	\$0	\$0	\$0	\$0	\$0	\$335,000	\$0	\$0
	<i>General Fund</i>	0	0	0	0	0	195,000	0	0
	<i>Other</i>	0	0	0	0	0	140,000	0	0
	PYs	0.0	0.0	0.0	0.0	0.0	5.0	0.0	0.0
6. Warm Shut Down	Total	\$0	\$0	\$0	\$0	\$0	\$4,836,000	\$0	\$0
	<i>General Fund</i>	0	0	0	0	0	2,822,000	0	0
	<i>Other</i>	0	0	0	0	0	2,014,000	0	0
	PYs	0.0	0.0	0.0	0.0	0.0	25.0	0.0	0.0
7. Foster Grandparent/Senior Companion Program	Total	\$0	\$0	\$0	\$0	\$0	-\$384,000	\$0	\$0
	<i>General Fund</i>	0	0	0	0	0	-318,000	0	0
	<i>Other</i>	0	0	0	0	0	-66,000	0	0
	PYs	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
8. Staff Costs for Closure Plan	Total	\$0	\$201,000	\$716,000	\$4,918,000	\$4,918,000	\$0	\$0	\$0
	<i>General Fund</i>	0	105,000	382,000	2,624,000	2,624,000	0	0	0
	<i>Other</i>	0	96,000	334,000	2,294,000	2,294,000	0	0	0
9. Facility Preparation	Total	\$0	\$0	\$0	\$73,000	\$73,000	\$0	\$0	\$0
	<i>General Fund</i>	0	0	0	39,000	39,000	0	0	0
	<i>Other</i>	0	0	0	34,000	34,000	0	0	0
10. Consumer Relocation Costs	Total	\$0	\$0	\$0	\$105,000	\$105,000	\$0	\$0	\$0
	<i>General Fund</i>	0	0	0	56,000	56,000	0	0	0
	<i>Other</i>	0	0	0	49,000	49,000	0	0	0
11. Regional Resource Development Projects	Total	\$0	\$0	\$0	\$0	\$0	\$1,479,000	\$1,524,000	\$1,524,000
	<i>General Fund</i>	0	0	0	0	0	863,000	889,000	889,000
	<i>Other</i>	0	0	0	0	0	616,000	635,000	635,000
	PYs	0.0	0.0	0.0	0.0	0.0	13.0	13.0	13.0

		Base 2004-05	2006-07 May Revision for CY 2005-06	2007-08 May Revision for CY 2006-07	2007-08 May Revision for BY 2007-08	2008-09 November Estimate for CY 2007-08	2008-09 November Estimate for BY 2008-09	2009-10	2010-11
12. Agnews Staffing Plan	Total	\$0	\$3,231,000	\$0	\$731,000	\$731,000	\$293,000	\$201,000	\$201,000
	Includes costs for non-level-of-care staff in various program areas to ensure adequate staff is maintained during the closure process, as well as maintaining the health and safety of the residents.								
	<i>General Fund</i>	0	1,696,000	0	390,000	390,000	156,000	107,000	107,000
	<i>Other</i>	0	1,535,000	0	341,000	341,000	137,000	94,000	94,000
	PYs	0.0	43.0	5.0	10.0	10.0	3.0	2.0	2.0
Total Developmental Centers		\$97,712,000	\$86,862,000	\$87,858,000	\$75,888,000	\$77,154,000	\$31,095,000	\$26,261,000	\$6,179,000
	<i>General Fund</i>	51,610,000	45,888,000	44,822,000	35,569,759	36,245,759	4,193,000	1,471,000	1,471,000
	<i>Other</i>	46,102,000	40,974,000	43,036,000	40,318,241	40,908,241	26,902,000	24,790,000	4,708,000
	PYs	1,173.0	1,108.0	1,046.0	822.0	835.0	76.0	45.0	45.0
	Year Ending Population	324	254	204	0	0	0	0	0
REGIONAL CENTERS									
13. Community Placement Plan	Total	\$3,422,000	\$6,685,000	\$7,845,000	\$8,407,000	\$9,388,000	\$8,087,000	\$499,000	\$499,000
A) Operations	Includes costs for CPP administration, service coordination, clinical, and resource development staff.								
	<i>General Fund</i>	3,422,000	6,428,000	6,954,000	7,300,000	7,823,000	5,817,000	415,000	415,000
	<i>Other</i>	0	257,000	891,000	1,107,000	1,565,000	2,270,000	84,000	84,000
B) Purchase of Services (POS)	Includes costs for placements into the community including property management and leases as applicable, traditional and specialized service start-up, non-profits to develop and manage properties, and health and behavioral health treatment and crisis services.								
	Total	\$24,376,000	\$14,826,000	\$18,231,000	\$44,245,000	\$40,843,000	\$0	\$0	\$0
	Placements	52	63	70	188	204	0	0	0
	<i>General Fund</i>	21,853,000	13,664,000	17,234,000	32,604,000	29,496,000	0	0	0
	<i>Other</i>	2,523,000	1,162,000	997,000	11,641,000	11,347,000	0	0	0
	(FYI: State Employees in the Community costs included in POS above)	(0)	(251,000)	(2,508,000)	(7,663,000)	(7,663,000)	(0)	(0)	(0)
Total Community Placement Plan (A+B)		\$27,798,000	\$21,511,000	\$26,076,000	\$52,652,000	\$50,231,000	\$8,087,000	\$499,000	\$499,000
	Placements	52	63	70	188	204	0	0	0
	<i>General Fund</i>	25,275,000	20,092,000	24,188,000	39,904,000	37,319,000	5,817,000	415,000	415,000
	<i>Other</i>	2,523,000	1,419,000	1,888,000	12,748,000	12,912,000	2,270,000	84,000	84,000
14. Placement Continuation	Total	\$70,000	\$297,000	\$309,000	\$338,000	\$297,000	\$587,000	\$3,350,000	\$3,350,000
A) Operations	Includes costs for additional service coordination.								
	<i>General Fund</i>	37,000	125,000	130,000	142,000	121,000	206,000	1,053,000	1,053,000
	<i>Other</i>	33,000	172,000	179,000	196,000	176,000	381,000	2,297,000	2,297,000
B) Purchase of Services (POS)	Includes costs for CPP placements and specialized services and housing.								
	Total	\$5,209,000	\$9,145,000	\$14,005,000	\$32,485,000	\$32,485,000	\$96,636,000	\$96,636,000	\$96,636,000
	Placements	49	44	40	70	70	204	0	0
	<i>General Fund</i>	4,355,000	7,326,000	10,663,000	25,215,000	24,502,000	61,603,000	61,603,000	61,603,000
	<i>Other</i>	854,000	1,819,000	3,342,000	7,270,000	7,983,000	35,033,000	35,033,000	35,033,000
	(FYI: State Employees in the Community costs included in POS above)	(0)	(0)	(0)	(0)	(0)	(17,539,000)	(17,539,000)	(0)
Total Placements Continuation (A+B)		\$5,279,000	\$9,442,000	\$14,314,000	\$32,823,000	\$32,782,000	\$97,223,000	\$99,986,000	\$99,986,000
	Prior Year Placements	49	44	40	70	70	204	0	0
	<i>General Fund</i>	4,392,000	7,451,000	10,793,000	25,357,000	24,623,000	61,809,000	62,656,000	62,656,000
	<i>Other</i>	887,000	1,991,000	3,521,000	7,466,000	8,159,000	35,414,000	37,330,000	37,330,000
Total Regional Centers		\$33,077,000	\$30,953,000	\$40,390,000	\$85,475,000	\$83,013,000	\$105,310,000	\$100,485,000	\$100,485,000
	<i>General Fund</i>	29,667,000	27,543,000	34,981,000	65,261,000	61,942,000	67,626,000	63,071,000	63,071,000
	<i>Other</i>	3,410,000	3,410,000	5,409,000	20,214,000	21,071,000	37,684,000	37,414,000	37,414,000
TOTAL: DEVELOPMENTAL CENTERS AND REGIONAL CENTERS		\$130,789,000	\$117,815,000	\$128,248,000	\$161,363,000	\$160,167,000	\$136,405,000	\$126,746,000	\$106,664,000
	<i>General Fund</i>	81,277,000	73,431,000	79,803,000	100,830,759	98,187,759	71,819,000	64,542,000	64,542,000
	<i>Other</i>	49,512,000	44,384,000	48,445,000	60,532,241	61,979,241	64,586,000	62,204,000	42,122,000
	PYs	1,173.0	1,108.0	1,046.0	822.0	835.0	76.0	45.0	45.0
	Year Ending Population	324	254	204	0	0	0	0	0
	Placements	52	63	70	188	204	0	0	0
	Prior Year Placements	49	44	40	70	70	0	0	0