

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2018 MAY REVISION



STATE OF CALIFORNIA

MAY 2018

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**Comparison of Governor's Budget to May Revision
2017-18**

	A Governor's Budget	B May Revision	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth			
1. Staffing	\$589,751	\$588,266	-\$1,485
2. Federal Compliance	47,672	47,403	-269
3. Projects	27,064	26,777	-287
4. Agnews Ongoing Workload	2,894	2,894	0
5. Lanterman Developmental Center (DC) Closure	2,392	2,392	0
6. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee	1,883	1,848	-35
7. Total OPS Caseload Growth (Items 1 thru 6)	\$671,656	\$669,580	-\$2,076
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,452	80,452	0
10. Total Policy (Items 8 and 9)	\$85,886	\$85,886	\$0
11. Total Operations (Items 7 and 10)	\$757,542	\$755,466	-\$2,076
B. Purchase of Services (POS)			
Caseload Growth			
1. Community Care Facilities	\$1,463,005	\$1,460,469	-\$2,536
2. Medical Facilities	13,424	12,817	-607
3. Day Programs	1,108,637	1,097,795	-10,842
4. Habilitation Services	170,724	169,036	-1,688
a. Work Activity Program	46,942	45,431	-1,511
b. Supported Employment Program (Group Placement)	96,580	94,761	-1,819
c. Supported Employment Program (Individual Placement)	27,202	28,844	1,642
5. Transportation	347,828	346,053	-1,775
6. Support Services	1,310,919	1,314,398	3,479
7. In-Home Respite	409,250	409,937	687
8. Out-of-Home Respite	49,968	46,119	-3,849
9. Health Care	115,957	114,829	-1,128
10. Miscellaneous	460,461	464,245	3,784
11. Quality Assurance Fees (Transfer from Department of Health Care Services)	10,165	9,977	-188
12. Total POS Caseload Growth (Items 1 thru 11)	\$5,460,338	\$5,445,675	-\$14,663
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-1,821	-1,985	-164
14. DC Closure	20,244	20,244	0
a. Sonoma DC	13,322	13,322	0
b. Fairview DC	4,570	4,570	0
c. Porterville DC	2,352	2,352	0
15. Compliance with HCBS Regulations	15,000	15,000	0
16. ICF-DD Supplemental Payment	0	204	204
17. Safety Net Resources	5,622	5,622	0
18. Competitive, Integrated Employment Incentives	29,000	29,000	0
19. SB 3 Minimum Wage Increase: Effective January 1, 2018	66,862	66,862	0
20. Best Buddies	1,600	1,600	0
21. Total Policy (Items 13 thru 20)	\$136,507	\$136,547	\$40
22. Total POS (Items 12 and 21)	\$5,596,845	\$5,582,222	-\$14,623
C. Early Start/ Part C: Other Agency Cost	\$19,109	\$19,109	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$6,375,499	\$6,358,800	-\$16,699

**Comparison of Governor's Budget to May Revision
2017-18**

	A Governor's Budget	B May Revision Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$3,786,315	\$3,742,305	-\$44,010
1. General Fund Match	2,301,341	2,329,835	28,494
2. General Fund - Other	1,484,974	1,412,470	-72,504
B. Reimbursements	\$2,532,793	\$2,560,388	\$27,595
1. Home and Community-Based Services (HCBS) Waiver	1,707,394	1,729,570	22,176
2. HCBS Waiver Administration	14,700	14,700	0
3. Medicaid Administration	16,132	16,132	0
4. Targeted Case Management (TCM)	204,122	204,777	655
5. TCM Administration	6,473	7,377	904
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	62,760	61,600	-1,160
8. Quality Assurance Fees (QAF)	11,106	10,901	-205
9. 1915(i) SPA	247,610	250,838	3,228
10. Money Follows the Person	11,396	11,396	0
11. Early Periodic Screening Diagnosis and Treatment	26,857	28,538	1,681
12. Behavioral Health Treatment Fee-for-Service	10,822	11,138	316
C. Program Development Fund / Parental Fees	\$2,537	\$2,253	-\$284
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$52,964	\$52,964	\$0
1. Early Start/Part C Grant	51,867	51,867	0
2. Foster Grandparent Program	1,097	1,097	0
G. GRAND TOTAL	\$6,375,499	\$6,358,800	-\$16,699

Comparison of Governor's Budget to May Revision
2018-19

	A Governor's Budget	B May Revision	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth			
1. Staffing	\$620,545	\$619,955	-\$590
2. Federal Compliance	47,777	47,644	-133
3. Projects	27,612	27,889	277
4. Agnews Ongoing Workload	2,894	2,894	0
5. Lanterman DC Closure	2,392	2,392	0
6. ICF-DD SPA Administration Fee	1,883	1,848	-35
7. Total OPS Caseload Growth (Items 1 thru 6)	<u>\$703,103</u>	<u>\$702,622</u>	<u>-\$481</u>
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,452	80,452	0
10. Total Policy (Items 8 and 9)	<u>\$85,886</u>	<u>\$85,886</u>	<u>\$0</u>
11. Total Operations (Items 7 and 10)	<u>\$788,989</u>	<u>\$788,508</u>	<u>-\$481</u>
B. Purchase of Services (POS)			
Caseload Growth			
1. Community Care Facilities	\$1,547,509	\$1,542,299	-\$5,210
2. Medical Facilities	12,024	11,571	-453
3. Day Programs	1,147,335	1,136,720	-10,615
4. Habilitation Services	172,311	172,439	128
a. Work Activity Program	43,658	42,225	-1,433
b. Supported Employment Program (Group Placement)	98,317	96,461	-1,856
c. Supported Employment Program (Individual Placement)	30,336	33,753	3,417
5. Transportation	368,955	366,672	-2,283
6. Support Services	1,407,655	1,420,716	13,061
7. In-Home Respite	468,100	470,175	2,075
8. Out-of-Home Respite	52,002	47,698	-4,304
9. Health Care	123,699	122,374	-1,325
10. Miscellaneous	480,442	483,474	3,032
11. QAF (Transfer from DHCS)	10,165	9,977	-188
12. POS Subtotal (Items 1 thru 11)	<u>\$5,790,197</u>	<u>\$5,784,115</u>	<u>-\$6,082</u>
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-48,786	-47,926	860
14. DC Closure	23,032	25,201	2,169
a. Sonoma DC	10,278	10,816	538
b. Fairview DC	8,420	8,652	232
c. Porterville DC	4,334	5,733	1,399
15. ICF-DD Supplemental Payment	0	202	202
16. Home Health Rate Increase	0	29,505	29,505
17. Compliance with HCBS Regulations	15,000	15,000	0
18. Uniform Holiday	-5,610	-10,190	-4,580
19. Competitive Integrated Employment Incentives	29,000	29,000	0
20. SB 3 Minimum Wage Increase: Effective January 1, 2018	122,357	122,357	0
21. SB 3 Minimum Wage Increase: Effective January 1, 2019	122,996	122,996	0
22. Total Policy (Items 13 thru 21)	<u>\$257,989</u>	<u>\$286,145</u>	<u>\$28,156</u>
23. Total POS (Items 12 and 22)	<u>\$6,048,186</u>	<u>\$6,070,260</u>	<u>\$22,074</u>
C. Early Start/Part C: Other Agency Costs	\$19,109	\$19,109	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	<u>\$6,858,287</u>	<u>\$6,879,880</u>	<u>\$21,593</u>

**Comparison of Governor's Budget to May Revision
2018-19**

	A Governor's Budget	B May Revision Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,105,886	\$4,103,311	-\$2,575
1. General Fund Match	2,446,616	2,475,368	28,752
2. General Fund - Other	1,659,270	1,627,943	-31,327
B. Reimbursements	\$2,696,107	\$2,720,154	\$24,047
1. HCBS Waiver	1,850,044	1,868,326	18,282
2. HCBS Waiver Administration	14,696	14,696	0
3. Medicaid Administration	16,132	16,132	0
4. TCM	209,939	210,734	795
5. TCM Administration	6,473	7,377	904
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	62,760	61,600	-1,160
8. QAF	11,106	10,901	-205
9. 1915(i) SPA	266,897	271,298	4,401
10. Early Periodic Screening Diagnosis and Treatment	29,488	29,787	299
11. Behavioral Health Treatment Fee-for-Service	15,151	15,882	731
C. Program Development Fund / Parental Fees	\$2,537	\$2,253	-\$284
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$52,867	\$53,272	\$405
1. Early Start/Part C Grant	51,867	52,272	405
2. Foster Grandparent Program	1,000	1,000	0
G. GRAND TOTAL	\$6,858,287	\$6,879,880	\$21,593

Comparison of Updated 2017-18 to Updated 2018-19

	A Fiscal Year 2017-18	B Fiscal Year 2018-19	C Incremental Increase (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth			
1. Staffing	\$588,266	\$619,955	\$31,689
2. Federal Compliance	\$47,403	47,644	241
3. Projects	\$26,777	27,889	1,112
4. Agnews Ongoing Workload	\$2,894	2,894	0
5. Lanterman DC Closure	\$2,392	2,392	0
6. ICF-DD SPA Administration Fee	\$1,848	1,848	0
7. Total OPS Caseload Growth (Items 1 thru 6)	\$669,580	\$702,622	\$33,042
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,452	80,452	0
10. Total Policy (Items 8 and 9)	\$85,886	\$85,886	\$0
11. Total OPS (Items 7 and 10)	\$755,466	\$788,508	\$33,042
B. Purchase of Services (POS)			
Caseload Growth			
1. Community Care Facilities	\$1,460,469	\$1,542,299	\$81,830
2. Medical Facilities	12,817	11,571	-1,246
3. Day Programs	1,097,795	1,136,720	38,925
4. Habilitation Services	169,036	172,439	3,403
a. Work Activity Program	45,431	42,225	-3,206
b. Supported Employment (Group Placement)	94,761	96,461	1,700
c. Supported Employment (Individual Placement)	28,844	33,753	4,909
5. Transportation	346,053	366,672	20,619
6. Support Services	1,314,398	1,420,716	106,318
7. In-Home Respite	409,937	470,175	60,238
8. Out-of-Home Respite	46,119	47,698	1,579
9. Health Care	114,829	122,374	7,545
10. Miscellaneous	464,245	483,474	19,229
11. QAF (Transfer from DHCS)	9,977	9,977	0
12. Total POS Caseload (Items 1 thru 11)	\$5,445,675	\$5,784,115	\$338,440
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder	-1,985	-47,926	-45,941
14. DC Closure	20,244	25,201	4,957
a. Sonoma DC	13,322	10,816	-2,506
b. Fairview DC	4,570	8,652	4,082
c. Porterville DC	2,352	5,733	3,381
15. Home Health Rate Increase	0	29,505	29,505
16. Compliance with HCBS Regulations	15,000	15,000	0
17. ICF-DD Supplemental Payment	204	202	-2
18. Uniform Holiday	0	-10,190	-10,190
19. Competitive Integrated Employment Incentives	29,000	29,000	0
20. SB 3 Minimum Wage Increase, Effective January 1, 2018	66,862	122,357	55,495
21. SB 3 Minimum Wage Increase, Effective January 1, 2019	0	122,996	122,996
22. Best Buddies	1,600	0	-1,600
23. Safety Net Resources	\$5,622	\$0	-\$5,622
24. Total Policy (Item 13 thru 23)	\$136,547	\$286,145	\$149,598
25. Total POS (Items 12 and 24)	\$5,582,222	\$6,070,260	\$488,038
C. Early Start/Part C: Other Agency Costs			
	\$19,109	\$19,109	\$0
D. Early Start Family Resource Services			
	\$2,003	\$2,003	\$0
F. GRAND TOTAL			
	\$6,358,800	\$6,879,880	\$521,080

Comparison of Updated 2017-18 to Updated 2018-19

	A Fiscal Year 2017-18	B Fiscal Year 2018-19	C Incremental Increase (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$3,742,305	\$4,103,311	\$361,006
1. General Fund Match	2,329,835	2,475,368	145,533
2. General Fund - Other	1,412,470	1,627,943	215,473
B. Reimbursements	2,560,388	\$2,720,154	\$159,766
1. HCBS Waiver	1,729,570	1,868,326	138,756
2. HCBS Waiver Administration	14,700	14,696	-4
3. Medicaid Administration	16,132	16,132	0
4. TCM	204,777	210,734	5,957
5. TCM Administration	7,377	7,377	0
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	61,600	61,600	0
8. QAF	10,901	10,901	0
9. 1915(i) SPA	250,838	271,298	20,460
10. Money Follows the Person	11,396	0	-11,396
11. Early Periodic Screening Diagnosis and Treatment	28,538	29,787	1,249
12 Behavioral Health Treatment Fee-for-Service	11,138	15,882	4,744
C. Program Development Fund / Parental Fees	\$2,253	\$2,253	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$52,964	\$53,272	\$308
1. Early Start/Part C Grant	51,867	52,272	405
2. Foster Grandparent Program	1,097	1,000	-97
H. GRAND TOTAL	\$6,358,800	\$6,879,880	\$521,080

**Comparison of Governor's Budget to May Revision
2017-18
OPERATIONS**

	A	B	C
	Governor's Budget	Updated 2017-18	2017-18 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$589,751	\$588,266	-\$1,485
2. Federal Compliance	47,672	47,403	-269
3. Projects	27,064	26,777	-287
4. Agnews Ongoing Workload	2,894	2,894	0
5. Lanterman DC Closure	2,392	2,392	0
6. ICF-DD SPA Administration Fee	1,883	1,848	-35
7. Total OPS Caseload Growth (Items 1 thru 6)	<u>\$671,656</u>	<u>\$669,580</u>	<u>-\$2,076</u>
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,452	80,452	0
10. Total Policy (Items 8 and 9)	<u>\$85,886</u>	<u>\$85,886</u>	<u>\$0</u>
11. Total OPS (Items 7 and 10)	<u>\$757,542</u>	<u>\$755,466</u>	<u>-\$2,076</u>
II. FUND SOURCES:			
A. General Fund Total			
1. General Fund Match	\$504,759	\$501,141	-\$3,618
2. General Fund Other	234,424	235,966	1,542
	270,335	265,175	-5,160
B. Reimbursements			
1. HCBS Waiver Administration	\$250,796	\$252,338	\$1,542
2. Medicaid Administration	14,700	14,700	0
3. TCM	16,132	16,132	0
4. TCM Administration	204,122	204,777	655
5. QAF	6,473	7,377	904
6. Money Follows the Person	941	924	-17
	8,428	8,428	0
C. Developmental Disabilities Services Account			
	\$150	\$150	\$0
D. Mental Health Services Fund			
	\$740	\$740	\$0
E. Federal Funds			
Foster Grandparent Program	\$1,097	\$1,097	\$0
	1,097	1,097	0
F. Grand Total	<u>\$757,542</u>	<u>\$755,466</u>	<u>(\$2,076)</u>

**Comparison of Governor's Budget to May Revision
2018-19
OPERATIONS**

	A	B	C
	Governor's Budget	Updated 2018-19	2018-19 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$620,545	\$619,955	-\$590
2. Federal Compliance	47,777	47,644	-133
3. Projects	27,612	27,889	277
4. Agnews Ongoing Workload	2,894	2,894	0
5. Lanterman DC Closure	2,392	2,392	0
6. ICF-DD SPA Administration Fee	1,883	1,848	-35
7. Total OPS Caseload Growth (Items 1 thru 6)	<u>\$703,103</u>	<u>\$702,622</u>	<u>-\$481</u>
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,452	80,452	0
10. Total Policy (Items 8 and 9)	<u>85,886</u>	<u>85,886</u>	<u>0</u>
11. Total OPS (Items 7 and 10)	<u>\$788,989</u>	<u>\$788,508</u>	<u>-\$481</u>
II. FUND SOURCES:			
A. General Fund Total			
1. General Fund Match	\$538,918	\$536,755	-\$2,163
2. General Fund Other	237,427	239,107	1,680
	301,491	297,648	-3,843
B. Reimbursements			
1. HCBS Waiver Administration	\$248,181	\$249,863	\$1,682
2. Medicaid Administration	14,696	14,696	0
3. TCM	16,132	16,132	0
4. TCM Administration	209,939	210,734	795
5. QAF	6,473	7,377	904
6. Money Follows the Person	941	924	-17
	0	0	0
C. Developmental Disabilities Services Account			
	\$150	\$150	\$0
D. Mental Health Services Fund			
	\$740	\$740	\$0
E. Federal Funds			
Foster Grandparent Program	\$1,000	\$1,000	\$0
	1,000	1,000	0
F. Grand Total	<u>\$788,989</u>	<u>\$788,508</u>	<u>(\$481)</u>

**Comparison of Governor's Budget to May Revision
2017-18
PURCHASE OF SERVICES**

	A	B	C
	Governor's Budget	Updated 2017-18	2017-18 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,463,005	\$1,460,469	-\$2,536
2. Medical Facilities	13,424	12,817	-607
3. Day Programs	1,108,637	1,097,795	-10,842
4. Habilitation Services	170,724	169,036	-1,688
a. Work Activity Program	46,942	45,431	-1,511
b. Supported Employment Program (Group Placement)	96,580	94,761	-1,819
c. Supported Employment Program (Individual Placement)	27,202	28,844	1,642
5. Transportation	347,828	346,053	-1,775
6. Support Services	1,310,919	1,314,398	3,479
7. In-Home Respite	409,250	409,937	687
8. Out-of-Home Respite	49,968	46,119	-3,849
9. Health Care	115,957	114,829	-1,128
10. Miscellaneous	460,461	464,245	3,784
11. QAF (Transfer from DHCS)	10,165	9,977	-188
12. Total POS Caseload Growth (Items 1 thru 11)	\$5,460,338	\$5,445,675	-\$14,663
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-1,821	-1,985	-164
14. DC Closure	20,244	20,244	0
a. Sonoma DC	13,322	13,322	0
b. Fairview DC	4,570	4,570	0
c. Porterville DC	2,352	2,352	0
15. Compliance with HCBS Regulations	15,000	15,000	0
16. ICF-DD Supplemental Payment	0	204	204
17. Safety Net Resources	5,622	5,622	0
18. Competitive, Integrated Employment Incentives	29,000	29,000	0
19. SB 3 Minimum Wage Increase Effective January 1, 2017	66,862	66,862	0
20. Best Buddies	1,600	1,600	0
21. Total Policy (Items 13 thru 20)	\$136,507	\$136,547	\$40
22. Total POS (Items 12 and 21)	\$5,596,845	\$5,582,222	-\$14,623

**Comparison of Governor's Budget to May Revision
2017-18
PURCHASE OF SERVICES**

	A	B	C
	Governor's Budget	Updated 2017-18	2017-18 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$3,279,553	\$3,239,161	-\$40,392
1. General Fund Match	2,066,917	2,093,869	26,952
2. General Fund Other	1,212,636	1,145,292	-67,344
B. Reimbursements	\$2,281,997	\$2,308,050	\$26,053
1. HCBS Waiver	1,707,394	1,729,570	22,176
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	62,760	61,600	-1,160
4. QAF	10,165	9,977	-188
5. 1915(i) SPA	247,610	250,838	3,228
6. Money Follows the Person	2,968	2,968	0
7. Early Periodic Screening Diagnosis and Treatment	26,857	28,538	1,681
8. BHT Fee-For-Services	10,822	11,138	316
C. Program Development Fund / Parental Fees	\$2,537	\$2,253	-\$284
D. Federal Funds	\$32,758	\$32,758	\$0
Early Start/Part C Grant	32,758	32,758	0
F. Grand Total	\$5,596,845	\$5,582,222	-\$14,623

**Comparison of Governor's Budget to May Revision
2018-19**

PURCHASE OF SERVICES

	A	B	C
	Governor's Budget	Updated 2018-19	2018-19 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,547,509	\$1,542,299	-\$5,210
2. Medical Facilities	12,024	11,571	-453
3. Day Programs	1,147,335	1,136,720	-10,615
4. Habilitation Services	172,311	172,439	128
a. <i>Work Activity Program</i>	43,658	42,225	-1,433
b. <i>Supported Employment Program (Group Placement)</i>	98,317	96,461	-1,856
c. <i>Supported Employment Program (Individual Placement)</i>	30,336	33,753	3,417
5. Transportation	368,955	366,672	-2,283
6. Support Services	1,407,655	1,420,716	13,061
7. In-Home Respite	468,100	470,175	2,075
8. Out-of-Home Respite	52,002	47,698	-4,304
9. Health Care	123,699	122,374	-1,325
10. Miscellaneous	480,442	483,474	3,032
11. QAF (Transfer from DHCS)	10,165	9,977	-188
12. Total POS Caseload Growth (Items 1 thru 11)	\$5,790,197	\$5,784,115	-\$6,082
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-48,786	-47,926	860
14. DC Closure	23,032	25,201	2,169
a. Sonoma DC	10,278	10,816	538
b. Fairview DC	8,420	8,652	232
c. Porterville DC	4,334	5,733	1,399
15. Home Health Rate Increase	0	29,505	29,505
16. Compliance with HCBS Regulations	15,000	15,000	0
17. ICF-DD Supplemental Program	0	202	202
18. Uniform Holiday	-5,610	-10,190	-4,580
19. Competitive, Integrated Employment Incentives	29,000	29,000	0
20. SB 3 Minimum Wage Increase Effective January 1, 2018	122,357	122,357	0
21. SB 3 Minimum Wage Increase Effective January 1, 2019	122,996	122,996	0
22. Total Policy (Items 13 thru 21)	\$257,989	\$286,145	\$28,156
23. Total POS (Items 12 and 22)	\$6,048,186	\$6,070,260	\$22,074

**Comparison of Governor's Budget to May Revision
2018-19**

PURCHASE OF SERVICES

	A	B	C
	Governor's Budget	Updated 2018-19	2018-19 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$3,564,965	\$3,564,553	-\$412
1. General Fund Match	2,209,189	2,236,261	27,072
2. General Fund Other	1,355,776	1,328,292	-27,484
B. Reimbursements	\$2,447,926	\$2,470,291	\$22,365
1. HCBS Waiver	1,850,044	1,868,326	18,282
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	62,760	61,600	-1,160
4. QAF	10,165	9,977	-188
5. 1915(i) SPA	266,897	271,298	4,401
6. Money Follows the Person	0	0	0
7. Early Periodic Screening Diagnosis and Treatment	29,488	29,787	299
8. Behavioral Health Treatment - Fee-for-Service	15,151	15,882	731
C. Program Development Fund / Parental Fees	\$2,537	\$2,253	-\$284
D. Federal Funds	\$32,758	\$33,163	\$405
<i>Early Start/Part C Grant</i>	32,758	33,163	405
F. Grand Total	\$6,048,186	\$6,070,260	\$22,074

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SECTION B: EXECUTIVE HIGHLIGHTS

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EXECUTIVE HIGHLIGHTS

Overview

This document provides a brief, high-level summary of changes proposed in the Department's 2018 May Revision Regional Center Estimate.

GENERAL BUDGET OVERVIEW

2017-18

Costs and Fund Sources

The 2017-18 updated Regional Center budget totals \$6.4 billion (\$3.7 billion General Fund [GF]), which is a net decrease of \$16.7 million (\$44.0 million GF decrease) as compared to the Governor's Budget. This includes a projected \$14.6 million decrease (\$40.4 million GF decrease) in Purchase of Service (POS) expenditures reflecting updated, actual prior year expenditures on which the current year expenses are estimated, as well as a slight increase in Policy items. The updated budget also includes a decrease of \$2.1 million (\$3.6 million GF decrease) in Operations costs. The GF decrease reflects an estimated increase in Home and Community Based Services (HCBS) Waiver and 1915(i) State Plan Amendment (SPA) reimbursements, resulting in an offset to the GF. The following pages detail Operations, POS, and Policy adjustments.

Costs and Fund Sources <i>(Values in thousands)</i>			
	Governor's Budget	Updated 2017-18	Request
Total Costs	\$6,375,499	\$6,358,800	(\$16,699)
Operations	757,542	755,466	(2,076)
Purchase of Services	5,596,845	5,582,222	(14,623)
Early Start - Other Agency Costs	19,109	19,109	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$6,375,499	\$6,358,800	(\$16,699)
General Fund (GF)	3,786,315	3,742,305	(44,010)
<i>GF Match</i>	2,301,341	2,329,835	28,494
<i>GF Other</i>	1,484,974	1,412,470	(72,504)
Reimbursements	2,532,793	2,560,388	27,595
Program Development Fund	2,537	2,253	(284)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	52,964	52,964	0

Population

The May Revision estimates a net decrease of 241 consumers as compared to the Governor's Budget.

	Governor's Budget	Updated 2017-18	Difference
<u>CASELOAD</u>			
Active (Age 3 & Older)	275,177	275,650	473
Total Early Start (Birth through 35 Months)	42,660	41,946	(714)
Total Community Caseload	317,837	317,596	(241)

Regional Center Operations

The Operations budget reflects a net decrease of \$2.1 million (\$3.6 million GF decrease) as compared to the Governor's Budget.

	Governor's Budget	Updated 2017-18	Difference
	<i>(Values in thousands)</i>		
<u>Operations Total</u>			
Core Staffing	\$589,751	588,266	(\$1,485)
Federal Compliance	47,672	47,403	(269)
Projects	27,064	26,777	(287)
Agnews Ongoing Workload	2,894	2,894	0
Lanterman Developmental Center Closure	2,392	2,392	0
ICF-DD Administration Fee	1,883	1,848	(35)
Total Operations	\$671,656	\$669,580	(\$2,076)

Operations adjustments include:

- \$1.5 million decrease (\$3.2 million GF decrease) in Core Staffing resulting from updated caseload as well as a reduction in projected regional center rent expenditures.
- \$0.3 million decrease (\$0.1 million GF decrease) in Federal Compliance resulting from updated caseload.
- \$0.3 million net decrease (\$0.3 million GF decrease) in Projects for caseload-driven decreases in the Client's Rights Advocacy contract and Sherry S. court case, as well as a decrease for the University Enterprise Inc. contract. Effective January 31, 2018, the Department cancelled the contract with University Enterprise, Inc., which assisted with estimate forecast projections.
- \$35,000 decrease (\$18,000 GF decrease) in ICF-DD Administration Fees.

POS Expenditures

Updated POS expenditures reflect a net decrease of \$14.7 million (\$40.3 million GF decrease), or a 0.27 percent decrease, as compared to the Governor's Budget. The net decrease is driven by estimated decreases in Community Care Facilities, Day Programs, and Out-of-Home Respite expenditures, offset by increases in Support Services and Miscellaneous expenditures.

Purchase of Services				
Caseload (Utilization and Growth)				
<i>(Values in thousands)</i>				
	Governor's Budget	Updated 2017-18	Difference	Percent Change
Community Care Facilities	\$1,463,005	\$1,460,469	(\$2,536)	-0.17%
Medical Facilities	13,424	12,817	(607)	-4.52%
Day Programs	1,108,637	1,097,795	(10,842)	-0.98%
Habilitation	170,724	169,036	(1,688)	-0.99%
<i>Work Activity Program</i>	46,942	45,431	(1,511)	-3.22%
<i>Supported Employment Program - Group</i>	96,580	94,761	(1,819)	-1.88%
<i>Supported Employment Program - Individual</i>	27,202	28,844	1,642	6.04%
Transportation	347,828	346,053	(1,775)	-0.51%
Support Services	1,310,919	1,314,398	3,479	0.27%
In-Home Respite	409,250	409,937	687	0.17%
Out of Home Respite	49,968	46,119	(3,849)	-7.70%
Health Care	115,957	114,829	(1,128)	-0.97%
Miscellaneous	460,461	464,245	3,784	0.82%
Quality Assurance Fees	10,165	9,977	(188)	-1.85%
TOTAL	\$5,460,338	\$5,445,675	(\$14,663)	-0.27%

Policy

There are no changes to Operations Policy items as compared to the Governor's Budget. However, there is a net increase of \$40,000 (\$79,000 GF decrease) for POS Policy items as compared to the Governor's Budget. This is due to an increase for the ICF-DD Supplemental Payment Program, effective August 1, 2017, consistent with a corresponding Medi-Cal rate increase, offset by an increase in estimated savings in Behavioral Health Treatment (BHT) – Fee-for-Service (FFS) expenditures for consumers without an Autism Spectrum Disorder (ASD) Diagnosis that will be reimbursed by the Department of Health Care Services. The increase in savings results in decreased costs.

Policy			
<i>(Values in thousands)</i>			
	Governor's Budget	Updated 2017-18	Difference
Operations			
DC Closure	\$5,434	\$5,434	\$0
Sonoma DC	\$3,616	\$3,616	0
Fairview DC	\$1,212	\$1,212	0
Porterville DC	\$606	\$606	0
Operations Policy Items	\$80,452	\$80,452	0
Total Operations Policy	\$85,886	\$85,886	\$0
Purchase of Services (POS)			
BHT - Consumers with no Autism Spectrum Disorder Diagnosis	(\$1,821)	(\$1,985)	(\$164)
DC Closure	20,244	20,244	0
Sonoma DC	13,322	13,322	0
Fairview DC	4,570	4,570	0
Porterville DC	2,352	2,352	0
Compliance with HCBS Regulations - POS	15,000	15,000	0
Safety Net Resources	5,622	5,622	0
Competitive, Integrated Employment Incentives	29,000	29,000	0
SB 3 Minimum Wage Increase, Effective 1/1/2017	66,862	66,862	0
Best Buddies	1,600	1,600	0
ICF-DD Supplemental Payments	0	204	204
Total POS Policy	\$136,507	\$136,547	\$40
TOTAL	\$222,393	\$222,433	\$40

Reimbursements

The May Revision includes a net increase of \$27.6 million in reimbursements, driven by estimated increases from the HCBS Waiver and 1915(i) SPA.

Reimbursements			
<i>(Values in thousands)</i>			
	Governor's Budget	Updated 2017-18	Request
Home and Community-Based Services (HCBS) Waiver	\$1,707,394	\$1,729,570	\$22,176
HCBS Waiver Administration	14,700	14,700	0
Medicaid Administration	16,132	16,132	0
Targeted Case Management (TCM)	204,122	204,777	655
TCM Administration	6,473	7,377	904
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	62,760	61,600	(1,160)
QAF	11,106	10,901	(205)
1915(i) State Plan Amendment (SPA)	247,610	250,838	3,228
Money Follows the Person	11,396	11,396	0
Early Periodic Screening Diagnostic and Treatment (EPSDT)	26,857	28,538	1,681
Behavioral Health Treatment Fee-for-Service	10,822	11,138	316
TOTAL	\$2,532,793	\$2,560,388	\$27,595

2018-19

Costs and Fund Sources

The 2018 May Revision proposes a total of \$6.9 billion (\$4.1 billion GF) for the Regional Center system in 2018-19; an increase of \$21.6 million (\$2.6 million GF decrease) as compared to the Governor’s Budget. The GF decrease results from an estimated increase in federal reimbursements, which offsets the GF. Year over year, the May Revision estimates an increase of \$521.1 million (\$361.0 million GF increase), or an 8.2 percent increase. The following pages detail the POS and Operations adjustments.

Costs and Fund Sources <i>(Values in thousands)</i>			
	Governor's Budget	Updated 2018-19	Request
Total Costs	\$6,858,287	\$6,879,880	\$21,593
Operations	788,989	788,508	(481)
Purchase of Services	6,048,186	6,070,260	22,074
Early Start - Other Agency Costs	19,109	19,109	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$6,858,287	\$6,879,880	\$21,593
General Fund (GF)	4,105,886	4,103,311	(2,575)
<i>GF Match</i>	2,446,616	2,475,368	28,752
<i>GF Other</i>	1,659,270	1,627,943	(31,327)
Reimbursements	2,696,107	2,720,154	24,047
Program Development Fund	2,537	2,253	(284)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	52,867	53,272	405

Population

The May Revision estimates a net decrease of 286 consumers as compared to the Governor’s Budget. Year over year, the May Revision estimates an overall caseload increase of 15,142 consumers, or 4.77%.

	Governor's Budget	Updated 2018-19	Difference
<u>CASELOAD</u>			
Active (Age 3 & Older)	286,184	286,948	764
Total Early Start (Birth through 35 Months)	46,840	45,790	(1,050)
Total Community Caseload	333,024	332,738	(286)

Regional Center Operations

Regional Center Operations decreased \$481,000 (\$2.2 million GF decrease) as compared to the Governor's Budget. Core Staffing expenditures decreased as a result of decreased caseload projections, and Federal Compliance and ICF-DD Administration Fees have minor decreases as well. Projects reflect slight increases in the Quality Assessment Contract and Special Incident Reporting/Risk Assessment. These increases are offset by slight, population-driven decreases in the Client Rights Advocacy contract and Sherry S. Court Case, as well as the cancelled University Enterprises, Inc. contract.

	Governor's Budget	Updated 2018-19	Difference
	<i>(Values in thousands)</i>		
<u>Operations Total</u>			
Core Staffing	\$620,545	\$619,955	(\$590)
Federal Compliance	47,777	47,644	(133)
Projects	27,612	27,889	277
Agnews Ongoing Workload	2,894	2,894	0
Lanterman Developmental Center Closure	2,392	2,392	0
ICF-DD - Administration Fee	1,883	1,848	(35)
Total Operations	\$703,103	\$702,622	(\$481)

POS Expenditures

Regular POS decreased a net \$6.1 million (\$18.6 GF decrease), or 0.11 percent, as compared to the Governor's Budget. The POS budget category adjustments reflect updated current year expenditure data. As compared to the revised current year budget, 2018-19 POS expenditures are estimated to increase \$338.4 million (\$262.9 million GF increase), or 6.2%.

Purchase of Services Caseload (Utilization and Growth) <i>(Values in thousands)</i>				
	Governor's Budget	Updated 2018-19	Difference	Percent Change
Community Care Facilities	\$1,547,509	\$1,542,299	(\$5,210)	-0.34%
Medical Facilities	12,024	11,571	(453)	-3.77%
Day Programs	1,147,335	1,136,720	(10,615)	-0.93%
Habilitation	172,311	172,439	128	0.07%
<i>Work Activity Program</i>	43,658	42,225	(1,433)	-3.28%
<i>Supported Employment Program - Group</i>	98,317	96,461	(1,856)	-1.89%
<i>Supported Employment Program - Individual</i>	30,336	33,753	3,417	11.26%
Transportation	368,955	366,672	(2,283)	-0.62%
Support Services	1,407,655	1,420,716	13,061	0.93%
In-Home Respite	468,100	470,175	2,075	0.44%
Out of Home Respite	52,002	47,698	(4,304)	-8.28%
Health Care	123,699	122,374	(1,325)	-1.07%
Miscellaneous	480,442	483,474	3,032	0.63%
Quality Assurance Fees	10,165	9,977	(188)	-1.85%
TOTAL	\$5,790,197	\$5,784,115	(\$6,082)	-0.11%

PolicyOperations

There are no changes to Operations Policy Items as compared to the Governor's Budget.

Policy (Values in thousands)			
	Governor's Budget	Updated 2018-19	Difference
Operations			
DC Closure	\$5,434	\$5,434	\$0
Sonoma DC	\$3,616	\$3,616	0
Fairview DC	\$1,212	\$1,212	0
Porterville DC	\$606	\$606	0
Operations Policy Items	\$80,452	\$80,452	0
Total Operations Policy	\$85,886	\$85,886	\$0
Policy (Values in thousands)			
	Governor's Budget	Updated 2018-19	Difference
Purchase of Services (POS)			
BHT - Consumers with no Autism Spectrum Disorder Diagnosis	(\$48,786)	(\$47,926)	\$860
DC Closure	23,032	25,201	2,169
Sonoma DC	10,278	10,816	538
Fairview DC	8,420	8,652	232
Porterville DC	4,334	5,733	1,399
Home Health Rate Increase	0	29,505	29,505
Compliance with HCBS Regulations - POS	15,000	15,000	0
Uniform Holiday Schedule	-5,610	(10,190)	(4,580)
Competitive, Integrated Employment Incentives	29,000	29,000	0
SB 3 Minimum Wage Increase, Effective 1/1/2018	122,357	122,357	0
SB 3 Minimum Wage Increase, Effective 1/1/2018	122,996	122,996	0
ICF-DD Supplemental Payments	0	202	202
Total POS Policy	\$257,989	\$286,145	\$28,156
TOTAL Operations and POS Policy	\$343,875	\$372,031	\$28,156

POS

POS Policy increased a net \$28.2 million (\$18.2 million GF increase) as compared to the Governor's Budget. Specific adjustments include:

- Impacts from Other Departments, DHCS – BHT Services for Consumers with no ASD Diagnosis – Net Increase of \$0.9 million GF. The adjustment includes a \$0.5 million decrease for consumers who receive services on a fee-for-service basis, and a \$1.4 million increase reflecting a three month phased transition of Medi-Cal managed care consumers in Los Angeles, Orange, Riverside, and San Bernardino counties.
- DC Closure - Increase of \$2.2 million (\$620,000 GF increase) in DC-specific CPP funding for individuals moving from a DC.
- Home Health Rate Increase – \$29.5 million (\$17.1 million GF) - Reflects the implementation of a 50% rate increase for Home Health Agency, Licensed Vocational Nurse, and Registered Nurse services, consistent with a corresponding Medi-Cal rate adjustment.
- Uniform Holiday, July 1, 2018 - Decrease of \$4.6 million (\$224,000 GF decrease) to correct an error in the Governor's Budget. The correction results in additional estimated savings to implement the Uniform Holiday Schedule in accordance with W&I Code Section 4692.
- SB 3 Minimum Wage, January 1, 2018 – \$0 net impact (\$182,000 GF decrease) reflecting updated, estimated federal reimbursements that offset the GF.
- SB 3 Minimum Wage, January 1, 2019 – \$0 net impact (\$196,000 GF decrease) reflecting updated, estimated federal reimbursements that offset the GF.
- ICF-DD Supplemental Payments - \$202,000 GF increase – Reflects the full year impact of the ICF-DD Supplemental Payment Program; consistent with a corresponding Medi-Cal rate increase.

Reimbursements

Reimbursements in 2018-19 will increase by a net \$24.0 million over the Governor's Budget. The largest increase is from the HCBS Waiver based on updated analysis of federal claims. There are also increases in 1915(i) SPA and TCM reimbursements resulting from additional expenditures eligible for federal reimbursement. These amounts are slightly offset by a decrease in Quality Assurance Fees.

Reimbursements			
<i>(Values in thousands)</i>			
	Governor's Budget	Updated 2018-19	Request
Home and Community-Based Services (HCBS) Waiver	\$1,850,044	\$1,868,326	\$18,282
HCBS Waiver Administration	14,696	14,696	0
Medicaid Administration	16,132	16,132	0
Targeted Case Management (TCM)	209,939	210,734	795
TCM Administration	6,473	7,377	904
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	62,760	61,600	(1,160)
QAF	11,106	10,901	(205)
1915(i) State Plan Amendment (SPA)	266,897	271,298	4,401
Early Periodic Screening Diagnostic and Treatment (EPSDT)	29,488	29,787	299
Behavioral Health Treatment Fee - for - Service	15,151	15,882	731
TOTAL	\$2,696,107	\$2,720,154	\$24,047

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SECTION C: NEW/REVISED POLICY

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NEW MAJOR ASSUMPTIONS

Home Health Rate Increase

Effective July 1, 2018, the rates paid for home health agency and private duty nursing services will increase by 50% to conform with the Department of Health Care Services' (DHCS) increase to the Medi-Cal rates for these services. Home health services include wound care, IV therapy, administering oral and intravenous medications, inserting gastrostomy and nasogastric feeding tubes, and monitoring serious illnesses. These services are provided by nurses who work for a home health agency, or by nurses who are individually vendored. Pursuant to state regulations, the rates for these services purchased by regional centers are based on those established by Medi-Cal. Please refer to the Policy section for further information.

ICF-DD Supplemental Payments

Effective August 1, 2017, DHCS implemented the Supplemental Payment Program for Intermediate Care Facilities for the Developmentally Disabled (ICF/DD). Pursuant to state regulations, the rates for ICF services purchased by regional centers are based on those established by DHCS. Please refer to the Policy section for further information.

REVISED MAJOR ASSUMPTIONS

Behavioral Health Treatment (BHT) Services for Children without a Diagnosis of Autism Spectrum Disorder

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT as a Medi-Cal benefit for children with a diagnosis of Autism Spectrum Disorder (ASD). Between February and October 2016, children with an ASD diagnosis transitioned to DHCS for BHT services. Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation (FFP) under the 1915(i) State Plan Amendment (SPA) or the Home and Community-Based Services (HCBS) Waiver. However, CMS determined Medi-Cal must cover medically necessary BHT services for all children, regardless of their diagnoses. Effective October 1, 2016, FFP is not available under either the 1915(i) SPA or HCBS Waiver for BHT services provided to children without an ASD diagnosis.

Effective March 1, 2018, DHCS will reimburse DDS for BHT services provided to children eligible for Medi-Cal Fee-for-Service. These children will continue to receive services through the regional centers.

Beginning July 1, 2018, responsibility for funding BHT services to children in Medi-Cal Managed Care plans (MCPs) will transition to the MCPs. The transition in four counties (Los Angeles, Riverside, San Bernardino, and Orange County) will occur over the course of three months, while the transition in all other counties will occur on July 1, 2018.

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SECTION D: FUTURE FISCAL ISSUES

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FUTURE FISCAL ISSUES

Electronic Visit Verification (EVV)

Electronic visit verification (EVV) is a telephone and computer-based method that electronically verifies service visits. Pursuant to Subsection I of Section 1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for Medicaid-funded personal care services by January 2019 and home health care services by January 2023. There is no prescribed solution from the federal government, so states can select and implement their own EVV design. Current federal law identifies a penalty for non-compliance. The penalty would reduce the Federal Medical Assistance Percentage rate by 0.25 percentage points starting in January 2019, and increasing each year by 0.25 percentage points to a maximum of one percent in 2023.

EVV will impact all personal care services and home health care services provided under the Medi-Cal state plan and various Medicaid Home and Community-Based Service (HCBS) programs. Through regional centers, the Department provides EVV-impacted services (e.g. supported living, respite, and personal assistance services) to individuals with developmental disabilities, but does not have an EVV system.

Throughout 2018, California has planned an extensive stakeholder communication and collaboration process to inform the planned design and implementation of the EVV solution. The State plans to work with CMS to request a good faith effort extension of time, and will work with CMS and stakeholders to identify a realistic implementation timeline that will allow for full stakeholder engagement. If an extension is not approved, the Department estimates the amount of the penalty in 2018-19 at \$1.8 million GF.

Self-Determination

Senate Bill (SB) 468, Chapter 683, Statutes of 2013, requires the Department to implement a statewide Self-Determination Program (SDP), subject to approval of federal funding. The SDP will enable regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans. Participation is limited to 2,500 individuals in the first 3 years of the SDP, including approximately 100 participants in the current, State-only funded self-determination pilot project. To achieve the statutorily-required cost neutrality of the SDP, SB 468 requires the additional federal reimbursements generated by former self-determination pilot participant's savings be used to offset administrative costs to the Department, including the required criminal background checks.

The Department initially submitted a waiver application for federal funding to the Centers for Medicare & Medicaid Services (CMS) on December 31, 2014. The Department has responded to all subsequent requests for information from CMS, and has resolved the majority of CMS' questions and issues. On March 13, 2018, the Waiver application was formally resubmitted to CMS. As a result, the Department expects CMS' determination of approval, or additional questions, no later than

June 11, 2018. It is anticipated that CMS will approve the Waiver application for federal funding in 2018.

CMS Final Regulations for Home and Community-Based Services

The Department administers both a 1915(c) Waiver (the HCBS Waiver for Persons with Developmental Disabilities) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 208,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated in and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019, to maintain waiver and state plan funding (estimated at \$2.1 billion for the Department in 2018-19). However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, it extended compliance by three years to March 17, 2022.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations.

To enable completion of some of the activities required to comply with the HCBS regulations, the 2017 Budget Act appropriated funding for regional center staffing to assist with conducting individual provider assessments as well as funding to enable service providers to make modifications to comply with federal regulations. Additionally, the Department's revised 2018-19 Headquarters budget request includes \$404,000 for consultant services to assist with assessment activities required to obtain final STP approval from CMS, including outreach and training for consumers, families, regional centers, service providers, and other stakeholders.

Developmental Services Task Force

On July 24, 2014, the California Health and Human Services Secretary convened a task force to strengthen developmental services in the community. The task force includes consumers, consumer advocates, regional centers, community service providers, labor organizations, families of developmental center residents, legislative staff, and staff from the Department. The DS Task Force's early discussions focused on five subject areas, their scopes, level of urgency, additional data needs, and the identification of overarching guiding principles to be considered when examining all of the subject areas. Based on the five subject areas identified, four distinct workgroups were created to help move the work of the DS Task Force forward.

The workgroups' recommendations helped inform the Department's "*Plan For Crisis And Other Safety Net Services In The California Developmental Services System*," submitted to the Legislature on May 13, 2017, as well as a series of three stakeholder meetings held

statewide in early 2017 to discuss safety net services that are referenced in the May 2017 report (available online at: <http://www.dds.ca.gov/Budget/Docs/20170513-PlanCrisis-OtherSafetyNetServices.pdf>). This effort led to the inclusion of additional resources in the 2017 Budget Act for expanded services for individuals with developmental disabilities, including the expansion of Stabilization, Training, Assistance, and Reintegration (STAR), mobile acute crisis teams, intensive support services, and the development of transition support services.

The culmination of the DS Task Force's work and recommendations were finalized by the DS Task Force and summarized in the December 2017 report titled: "Developmental Services Task Force: Examination of Opportunities to Strengthen the Community-Based Services System" (available online at: <http://www.chhs.ca.gov/DSTaskForce/06-FinalizedDSTaskForceReport-Dec2017.pdf>). The Task Force continues to advise on the statewide rate study currently underway, new initiatives and services intended to bolster Safety Net services in the state, as well as further examining the housing, employment, medical and dental needs of Californians with developmental disabilities.

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Population

2017-18

<i>Population as of 1/31/18</i>				
	<i>GOVERNOR'S BUDGET</i>	<i>MAY REVISION</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	275,177	275,650	473	0.17%
B. Total Early Start (Birth through 35 Months)	42,660	41,946	-714	-1.67%
C. Total Community Population	317,837	317,596	-241	-0.08%

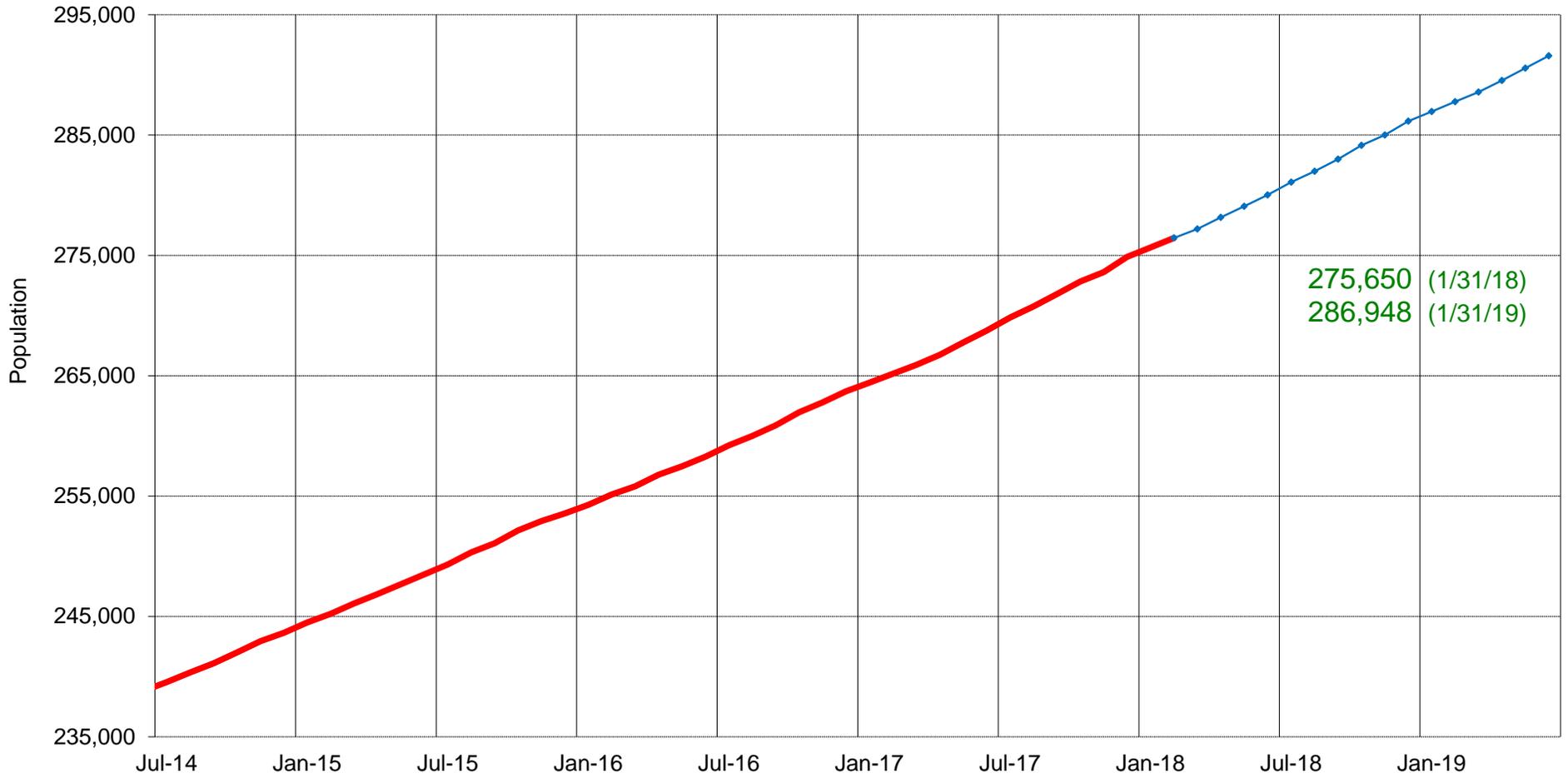
2018-19

<i>Estimated Population as of 1/31/19</i>				
	<i>GOVERNOR'S BUDGET</i>	<i>MAY REVISION</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	286,184	286,948	764	0.27%
B. Total Early Start (Birth through 35 Months)	46,840	45,790	-1,050	-2.24%
C. Total Community Population	333,024	332,738	-286	-0.09%

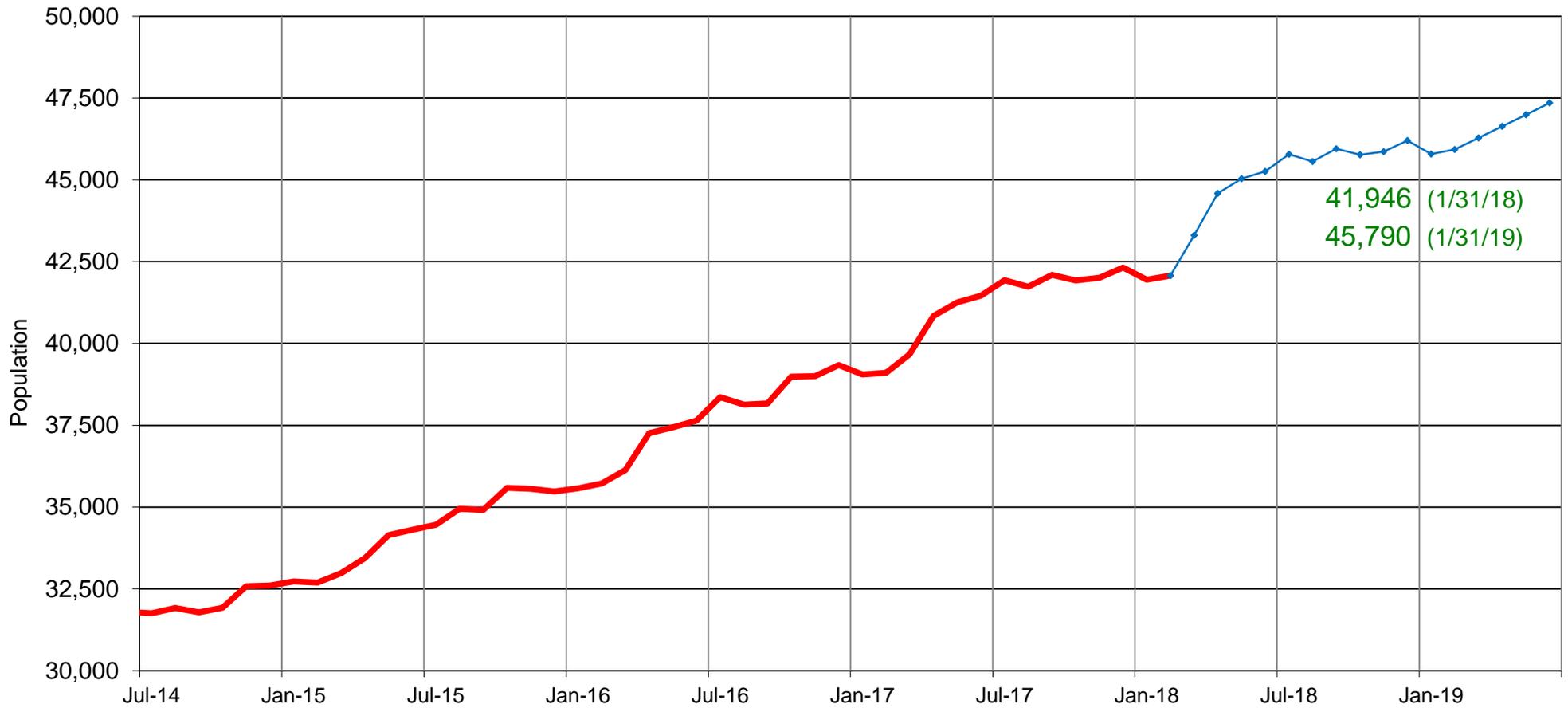
Population
2017-18 vs. 2018-19

	2017-18	2018-19		
	<i>Population as of 1/31/18</i>	<i>Estimated Population as of 1/31/19</i>	<i>Annual Change</i>	<i>Percent Annual Change</i>
A. Active Status (Age 3 & Older)	275,650	286,948	11,298	4.10%
B. Total Early Start (Birth through 35 Months)	41,946	45,790	3,844	9.16%
C. Total Community Population	317,596	332,738	15,142	4.77%

Active Status Population (Age 3 & Older) Client Master File Trend Analysis



Early Start (Birth through 35 Months) Client Master File Trend Analysis



Community Population

(Active Status and Early Start)

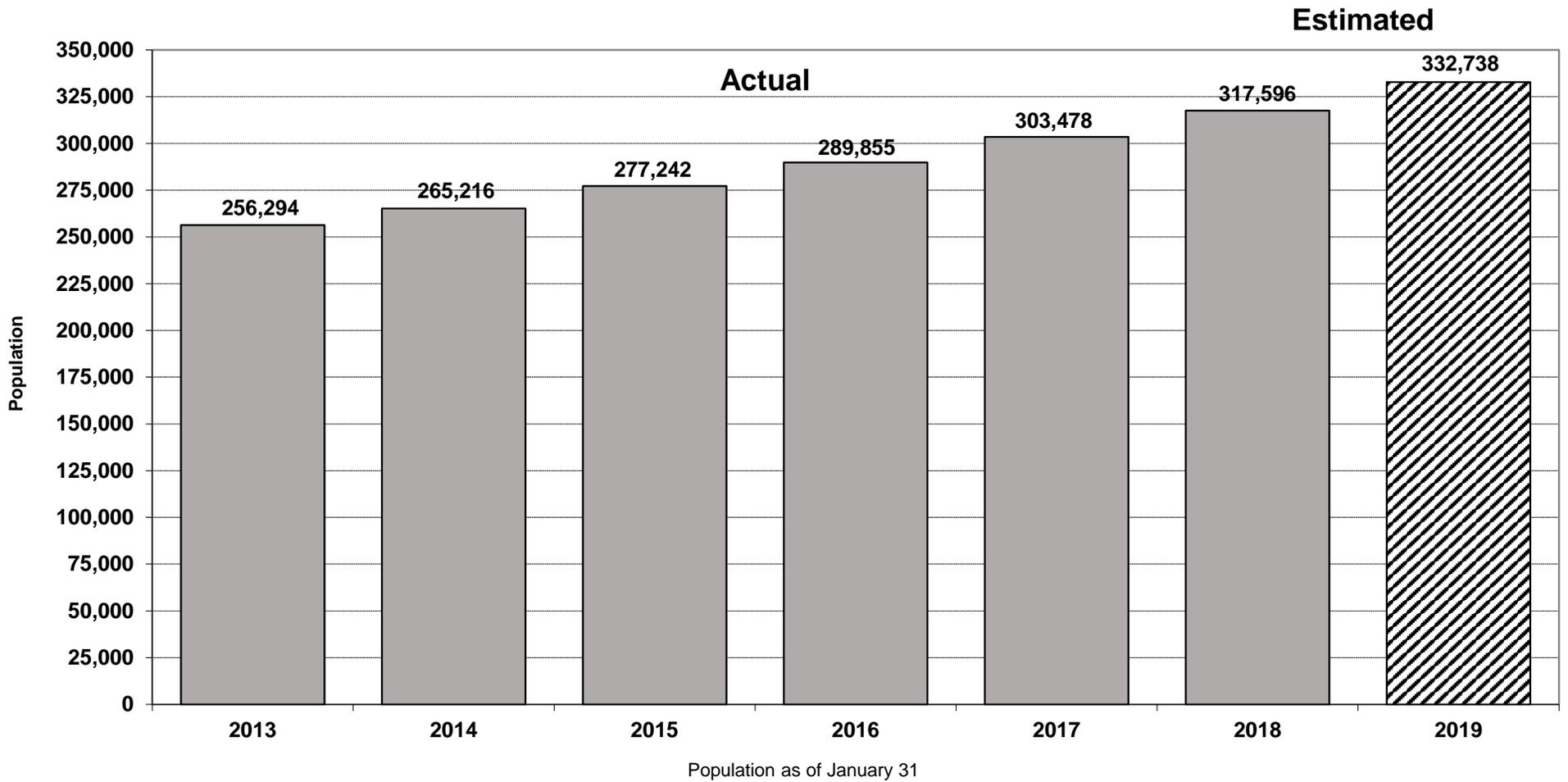


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Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Enacted Budget to the 2018-19 Governor's Budget
2017-18

	Governor's Budget	May Revision	Request
POPULATION			
Active Status (Age 3 & Older)	275,177	275,650	473
Early Start (Birth through 35 Months)	42,660	41,946	-714
Total Population	317,837	317,596	-241
OPERATIONS - CASELOAD GROWTH			
I. STAFFING			
A. Core Staffing	\$614,127	\$612,608	-\$1,519
B. Enhanced Caseload Ratio 1:45 for Two Years	344	378	34
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. Less: Intake and Assessment (2003-04)	-4,465	-4,465	0
F. Less: Unallocated Reduction (2001-02)	-10,559	-10,559	0
G. Less: Cost Containment (2004-05)	-5,968	-5,968	0
H. Less: Savings Target (2009-10)	-12,000	-12,000	0
I. Less: Cost Containment (2011-12)	-3,486	-3,486	0
J. Less: Unallocated Reduction (2011-12)	-5,400	-5,400	0
K. Total Staffing	\$589,751	\$588,266	-\$1,485
II.			
A. Agnews Ongoing Workload	2,894	2,894	0
B. Lanterman Development Center Closure	2,392	2,392	0
C. Total Developmental Centers Closure	\$5,286	\$5,286	\$0
III. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,251	11,982	-269
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$47,672	\$47,403	-\$269
IV. PROJECTS			
A. Information Technology Costs:	3,797	3,797	0
1. Regional Center Application Support	2,547	2,547	0
2. Data Processing	1,250	1,250	0
B. Clients' Rights Advocacy Contract	6,791	6,787	-4
C. Quality Assessment Contract	4,044	4,044	0
D. Direct Support Professional Training	3,037	3,037	0
E. Office of Administrative Hearings Contract	3,350	3,350	0
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,035	3,035	0
H. Special Incident Reporting/Risk Assessment Contract	938	938	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	211	113	-98
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. University Enterprises, Inc.	123	45	-78
M. Affordable Housing	128	83	-45
N. Review of SB 1175 Housing Proposals	150	150	0
O. Denti-Cal Infrastructure for RC Dental Services	120	58	-62
P. Total Projects	\$27,064	\$26,777	-\$287
V. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES			
	\$1,883	\$1,848	-\$35
VI. OPERATIONS POLICY ITEMS			
A. BHT Psychological Evaluations for Fee-for-Service	1,266	1,266	0
B. Improve Service Coordinator Caseload Ratios	17,000	17,000	0
C. Compliance with HCBS Regulations	1,422	1,422	0
D. Regional Center Operations Increase	56,600	56,600	0
E. Resources to Implement Assembly Bill (AB)X2 1	4,164	4,164	0
F. Total Operations Policy Items	\$80,452	\$80,452	\$0
VII. GRAND TOTAL - OPERATIONS CASELOAD GROWTH			
	\$752,108	\$750,032	-\$2,076

Dollar values in thousands (000's)

Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Enacted Budget to the 2018-19 Governor's Budget
2018-19

	Governor's Budget	May Revision	Request
POPULATION			
Active Status (Age 3 & Older)	286,184	286,948	764
Early Start (Birth through 35 Months)	46,840	45,790	-1,050
Total Population	333,024	332,738	-286
OPERATIONS - CASELOAD GROWTH			
I. STAFFING			
A. Core Staffing	\$644,921	\$644,356	-\$565
B. Enhanced Caseload Ratio 1:45 for Two Years	344	319	-25
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. Less: Intake and Assessment (2003-04)	-4,465	-4,465	0
F. Less: Unallocated Reduction (2001-02)	-10,559	-10,559	0
G. Less: Cost Containment (2004-05)	-5,968	-5,968	0
H. Less: Savings Target (2009-10)	-12,000	-12,000	0
I. Less: Cost Containment (2011-12)	-3,486	-3,486	0
J. Less: Unallocated Reduction (2011-12)	-5,400	-5,400	0
K. Total Staffing	\$620,545	\$619,955	-\$590
II.			
A. Agnews Ongoing Workload	2,894	2,894	0
B. Lanterman Development Center Closure	2,392	2,392	0
C. Total Developmental Centers Closure	\$5,286	\$5,286	\$0
III. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,356	12,223	-133
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$47,777	\$47,644	-\$133
IV. PROJECTS			
A. Information Technology Costs:	3,797	3,797	0
1. Regional Center Application Support	2,547	2,547	0
2. Data Processing	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,111	7,106	-5
C. Quality Assessment Contract	3,705	4,000	295
D. Direct Support Professional Training	3,037	3,037	0
E. Office of Administrative Hearings Contract	3,350	3,350	0
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,765	3,765	0
H. Special Incident Reporting/Risk Assessment Contract	938	1,200	262
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	211	59	-152
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. University Enterprises, Inc.	123	0	-123
M. Affordable Housing	85	85	0
N. Review of SB 1175 Housing Proposals	150	150	0
O. Denti-Cal Infrastructure for RC Dental Services	0	0	0
P. Total Projects	\$27,612	\$27,889	\$277
V. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES			
	\$1,883	\$1,848	-\$35
VI. OPERATIONS POLICY ITEMS			
A. BHT Psychological Evaluations for Fee-for-Service	1,266	1,266	0
B. Improve Service Coordinator Caseload Ratios	17,000	17,000	0
C. Compliance with HCBS Regulations	1,422	1,422	0
D. Regional Center Operations Increase	56,600	56,600	0
E. Resources to Implement Assembly Bill (AB)X2 1	4,164	4,164	0
F. Total Operations Policy Items	\$80,452	\$80,452	\$0
VII. GRAND TOTAL - OPERATIONS CASELOAD GROWTH			
	\$783,555	\$783,074	-\$481

Dollar values in thousands (000's)

Staffing Expenditures

DESCRIPTION:

Staffing includes personal services and operating expenses for Core Staffing, Community Placement Plan, and Placement Continuation.

ASSUMPTIONS:

	<u>2017-18*</u>	<u>2018-19*</u>
• Population Projections: (See Section E, Population, for detail)		
• Active Status (Age 3 and Older)	275,650	286,948
• Early Start (Birth through 35 Months)	41,946	45,790
Subtotal:	317,596	332,738
• Developmental Center Population:	534	323
Total Population:	318,130	333,061
• Informational		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	127,105	129,603
• Early Start (with Assessment)	47,186	51,453
• Placement Continuation Consumers	295	239
• Intake cases per month	7,367	7,547
• Vendors	44,158	44,158
• Mediations per year	400	400

METHODOLOGY:

CORE STAFFING:

PERSONAL SERVICES:

	<u>2017-18*</u>	<u>2018-19*</u>
• Direct Services and Administrative Positions:	\$420,033	\$440,920
Governor's Budget		
2017-18	11,865	11,869
2018-19	12,362	12,352
See Attachment A for Core Staffing Expenditure Detail.		
See Attachment B for Core Staffing Formulas.		
• Fringe Benefits:	99,548	104,498
Calculated at 23.7% per position.		
• Salary Savings:	-17,434	-18,323
Client Program Coordinators:	1.0% Per Position	-2,476
All Other Staff:	5.5% Per Position	-14,958

* Values in thousands (000's)

Staffing Expenditures

METHODOLOGY (continued):	<u>2017-18*</u>	<u>2018-19*</u>
<ul style="list-style-type: none"> • Early Start /Part C Administrative and Clinical Support: Includes salaries, fringe benefits, and salary savings. 	694	694
TOTAL PERSONAL SERVICES:	\$502,841	\$527,789
OPERATING EXPENSES:		
<ul style="list-style-type: none"> • Operating Expenses: Base amount plus the following adjustments: 	45,321	46,875
Professional Positions: \$3,400		
Clerical Positions: \$2,400		
<ul style="list-style-type: none"> • Rent: See Attachment C for rent details. 	64,446	69,692
TOTAL OPERATING EXPENSES:	\$109,767	\$116,567
TOTAL CORE STAFFING:	\$612,608	\$644,356
<ul style="list-style-type: none"> • Enhanced Caseload Ratio 1:45 for Two Years: 	378	319
Community Placement Plan:		
<ul style="list-style-type: none"> • See Community Placement Plan Methodology for details. 	15,265	15,265
STAFFING FOR COLLECTION OF FEDERAL FINANCIAL PARTICIPATION (FFP) FOR CONTRACTED SERVICES:		
<ul style="list-style-type: none"> • Funding provides one Community Program Specialist I and one Account Clerk II for each regional center. 	1,893	1,893
LESS INTAKE AND ASSESSMENT (2003-04):	-4,465	-4,465
LESS UNALLOCATED REDUCTION (2001-02):	-10,559	-10,559
LESS COST CONTAINMENT (2004-05):	-5,968	-5,968
LESS SAVINGS TARGET (2009-10):	-12,000	-12,000
LESS COST CONTAINMENT (2011-12):	-3,486	-3,486
LESS UNALLOCATED REDUCTION (2011-12):	-5,400	-5,400
TOTAL EXPENDITURES:	\$588,266	\$619,955

* Values in thousands (000's)

Staffing Expenditures

FUNDING:

Funding for Staffing expenditures is comprised of reimbursements from Medicaid Administration (75% FFP, 25% General Fund [GF] Match), Home and Community Based Services Waiver Administration (50% FFP, 50% GF Match), Targeted Case Management (50% FFP, 50% GF Match), Targeted Case Management Administration (50% FFP, 50% GF Match), and Money Follows the Person (100% FFP). State GF matches federally-eligible reimbursements and funds amounts not eligible for FFP.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

Current year expenditures are estimated to decrease by a net \$1.5 million (\$3.2 million GF decrease), comprising of a \$1.6 million decrease in Rent.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

Budget year expenditures are estimated to decrease by a net \$0.6 million (\$2.2 million GF decrease), comprising of decreases in personal services and operating expenses due to a slight decrease in caseload.

EXPENDITURES:

	<u>2017-18*</u>	<u>2018-19*</u>
TOTAL	\$588,266	\$619,955
GF	377,815	412,223
Reimbursements	210,451	207,732

* Values in thousands (000's)

Attachment A
CORE STAFFING ESTIMATE - 2017-18
Comparison of the Governor's Budget to the 2018 May Revision

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	2018-19 Governor's Budget	2018 May Revision			Difference
		Positions	Budgeted Salary	Cost	
(a) Physician	\$12,617,565	159.07	\$79,271	\$12,609,638	-\$7,927
(b) Psychologist	13,291,551	318.13	41,754	13,283,200	-8,351
(c) Nurse	5,916,508	159.07	37,171	5,912,791	-3,717
(d) Nutritionist	4,477,452	159.07	28,130	4,474,639	-2,813

(2) Clinical Support Teams

(a) Physician/Psychiatrist	7,454,754	82.00	92,034	7,546,788	92,034
(b) Consulting Pharmacist	4,896,450	82.00	60,450	4,956,900	60,450
(c) Behavioral Psychologist	4,452,732	82.00	54,972	4,507,704	54,972
(d) Nurse	4,088,718	82.00	50,478	4,139,196	50,478

(3) SB 1038 Health Reviews

(a) Physician	2,697,517	28.90	92,034	2,659,783	-37,734
(b) Nurse	6,904,381	134.86	50,478	6,807,463	-96,918

b. Intake / Case Management

(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	3,910,101	105.24	38,036	4,002,909	92,808
(2) Intake Worker	32,415,842	1,052.43	31,532	33,185,223	769,381
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	26,354,224	502.31	52,392	26,317,026	-37,198
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	74,785,660	2,248.08	34,032	76,506,659	1,720,999
(7) CPC (Waiver, Early Start only), 1:62 Consumers	94,756,659	2,726.63	34,032	92,792,672	-1,963,987
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	84,875	1.43	52,392	74,921	-9,954
(10) CPC, DSS Incidental Medical Care Regs	611,992	14.33	37,824	542,018	-69,974

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,210,942	41.90	52,392	2,195,225	-15,717
(2) CPC	14,361,504	418.95	34,032	14,257,706	-103,798

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	1,539,277	28.75	52,392	1,506,270	-33,007
(b) CPC	9,997,240	287.52	34,032	9,784,881	-212,359
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	9,431	0.18	52,392	9,431	0
(b) CPC (Supplement at 1:45 Consumers)	61,598	1.80	34,032	61,258	-340

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	443,236	8.45	52,392	442,712	-524
(2) QA/CPC	2,878,086	84.52	34,032	2,876,385	-1,701
(3) Nurses	2,134,210	42.26	50,478	2,133,200	-1,010

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

	\$347,967,772	9172.49		\$348,201,865	\$234,093
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Attachment A
CORE STAFFING ESTIMATE - 2017-18
Comparison of the Governor's Budget to the 2018 May Revision

	Governor's Budget	2018 May Revision			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	1,279,698	21.00	60,938	1,279,698	0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,184,113	127.11	39,887	5,070,037	-114,076
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,284,829	56.72	22,652	1,284,829	0
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	8,935,649	397.66	22,456	8,929,810	-5,839
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	489,867	21.00	23,327	489,867	0
(2) PBX/Mail/File Clerk	1,415,115	63.00	22,462	1,415,115	0
(3) Executive Secretary	1,179,262	52.50	22,462	1,179,262	0
(4) MD/Psychologist Secretary II	342,868	14.45	23,388	337,957	-4,911
(5) MD/Psychologist Secretary I	5,362,835	238.60	22,462	5,359,465	-3,370
(6) Secretary II	4,312,046	182.65	23,388	4,271,818	-40,228
(7) Secretary I	28,410,432	1,239.17	22,964	28,456,130	45,698
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$71,953,887	2,696.70		\$71,831,161	-\$122,726
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$419,921,659	11,869.19		\$420,033,026	\$111,367
a. CPCs	200,814,549			200,183,389	-631,160
b. All Other Staff	219,107,110			219,849,637	742,527
4. Fringe Benefits					
a. CPCs 23.7%	47,593,048			47,443,463	-149,585
b. All Other Staff 23.7%	51,928,385			52,104,364	175,979
c. Total Fringe Benefits	\$99,521,433			\$99,547,827	\$26,394
5. Salary Savings					
a. CPCs 1.0%	-2,484,076			-2,476,269	7,807
b. All Other Staff 5.5%	-14,906,952			-14,957,470	-50,518
c. Total Salary Savings	-\$17,391,028			-\$17,433,739	-\$42,711
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$502,746,064			\$502,841,114	\$95,050
ROUNDED	\$502,746,000	11,869.00		\$502,841,000	\$95,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	45,305,000			45,321,000	16,000
2. Rent	66,076,000			64,446,000	-1,630,000
3. Subtotal Operating Expenses and Rent	\$111,381,000			\$109,767,000	-\$1,614,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$614,127,000			\$612,608,000	-\$1,519,000

Attachment A
CORE STAFFING ESTIMATE - 2018-19
Comparison of the Governor's Budget to the 2018 May Revision

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	2018-19 Governor's Budget	2018 May Revision			Difference
		Positions	Budgeted Salary	Cost	
(a) Physician	\$13,213,683	166.53	\$79,271	\$13,201,000	-\$12,683
(b) Psychologist	13,919,949	333.06	41,754	13,906,587	-13,362
(c) Nurse	6,196,034	166.53	37,171	6,190,087	-5,947
(d) Nutritionist	4,688,990	166.53	28,130	4,684,489	-4,501

(2) Clinical Support Teams

(a) Physician/Psychiatrist	7,730,856	84.00	92,034	7,730,856	0
(b) Consulting Pharmacist	5,077,800	84.00	60,450	5,077,800	0
(c) Behavioral Psychologist	4,617,648	84.00	54,972	4,617,648	0
(d) Nurse	4,240,152	84.00	50,478	4,240,152	0

(3) SB 1038 Health Reviews

(a) Physician	2,868,700	30.29	92,034	2,787,710	-80,990
(b) Nurse	7,343,035	141.33	50,478	7,134,056	-208,979

b. Intake / Case Management

(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	4,100,661	107.81	38,036	4,100,661	0
(2) Intake Worker	33,995,280	1,078.12	31,532	33,995,280	0
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	27,593,295	525.92	52,392	27,554,001	-39,294
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	79,731,191	2,381.91	34,032	81,061,161	1,329,970
(7) CPC (Waiver, Early Start only), 1:62 Consumers	97,857,314	2,828.92	34,032	96,273,805	-1,583,509
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	71,777	1.43	52,392	74,921	3,144
(10) CPC, DSS Incidental Medical Care Regs	519,324	14.26	37,824	539,370	20,046

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,213,772	43.51	52,392	2,279,419	65,647
(2) CPC	14,379,881	435.07	34,032	14,806,302	426,421

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	1,672,353	31.35	52,392	1,642,489	-29,864
(b) CPC	10,861,653	313.51	34,032	10,669,372	-192,281
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation: (a) Supervising Counselor	6,287	0.15	52,392	7,859	1,572
(b) CPC (Supplement at 1:45 Consumers)	42,200	1.46	34,032	49,687	7,487

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	458,954	8.76	52,392	458,954	0
(2) QA/CPC	2,981,203	87.55	34,032	2,979,502	-1,701
(3) Nurses	2,210,936	43.77	50,478	2,209,422	-1,514

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

	\$363,208,195	9,564.38		\$362,887,857	-\$320,338
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Attachment A
CORE STAFFING ESTIMATE - 2018-19
Comparison of the Governor's Budget to the 2018 May Revision

	Governor's Budget	2018 May Revision			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	1,279,698	21.00	\$60,938	1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,242,747	129.60	39,887	5,169,355	-73,392
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,335,523	54.57	24,474	1,335,523	0
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	10,111,748	416.33	24,265	10,102,284	-9,464
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	509,887	21.00	24,280	509,887	0
(2) PBX/Mail/File Clerk	1,528,542	63.00	24,263	1,528,542	0
(3) Executive Secretary	1,273,785	52.50	24,263	1,273,785	0
(4) MD/Psychologist Secretary II	378,926	15.15	24,306	368,232	-10,694
(5) MD/Psychologist Secretary I	6,066,614	249.80	24,263	6,060,791	-5,823
(6) Secretary II	4,522,568	187.63	24,306	4,560,485	37,917
(7) Secretary I	32,149,533	1,294.57	24,785	32,086,330	-63,203
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$78,156,744	2,787.99		\$78,032,085	-\$124,659
3. TOTAL POSITIONS AND SALARIES (Item A.1.i. + Item A.2.e.)	\$441,364,939	12,352.37		\$440,919,942	-\$444,997
a. CPCs	209,734,576			209,741,009	6,433
b. All Other Staff	231,630,363			231,178,933	-451,430
4. Fringe Benefits					
a. CPCs 23.7%	49,707,095			49,708,619	\$1,524
b. All Other Staff 23.7%	54,896,396			54,789,407	-106,989
c. Total Fringe Benefits	\$104,603,491			\$104,498,026	-\$105,465
5. Salary Savings					
a. CPCs 1.0%	-2,594,417			-2,594,496	-\$79
b. All Other Staff 5.5%	-15,758,972			-15,728,259	30,713
c. Total Salary Savings	-\$18,353,389			-\$18,322,755	\$30,634
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES (Items A.3. + A.4. + A.5. + A.6.) ROUNDED	\$528,309,041			\$527,789,213	-\$519,828
	\$528,309,000	12,352.00		\$527,789,000	-\$520,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	46,906,000			46,875,000	-31,000
2. Rent	69,706,000			69,692,000	-14,000
3. Subtotal Operating Expenses and Rent	\$116,612,000			\$116,567,000	-\$45,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$644,921,000			\$644,356,000	-\$565,000

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES</u>	
1. DIRECT SERVICES	
a. <u>Clinical</u>	
(1) <u>Intake and Assessment</u>	
(a) Physician (minimum of 1)	1.0 position : 2,000 total consumers
(b) Psychologist	1.0 position : 1,000 total consumers
(c) Nurse (minimum of 1)	1.0 position : 2,000 total consumers
(d) Nutritionist (minimum of 1)	1.0 position : 2,000 total consumers
(2) <u>Clinical Support Teams</u>	
(a) Physician/Psychiatrist	1.0 position : 1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 position : 1,700 " "
(c) Behavioral Psychologist	1.0 position : 1,700 " "
(d) Nurse	1.0 position : 1,700 " "
(3) <u>SB 1038 Health Reviews</u>	
(a) Physician	1.5 hours : Referral/1,778 hrs./ full-time equivalent (FTE) position
(b) Nurse	1.75 hours : Individual program plan (IPP) review/1,778 hrs./FTE position
b. <u>Intake/Case Management</u>	
(1) Supervising Counselor: Intake	1.0 position : 10 Intake Workers
(2) Intake Worker	1.0 position : 14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor: Case Management	1.0 position : 10 CPCs in Items b.(6, 7 and 8) below
(4) Supervising Counselor: Capitol People First	1.0 position : 10 CPCs in Items b.(5) below
(5) Client Program Coordinator (CPC) Capitol People First	1.0 position : 66 consumers (Developmental Center residents)
(6) CPC	1.0 position : 66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 position : 62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for Alternative Residential Model	1.0 position : 527 CCF consumers
(9) Supervising Counselor: DSS Incidental Medical Care Regulations	1.0 position : 10 CPCs in item b.(10) below
(10) CPC, DSS Incidental Medical Care Regulations	1.0 position : 2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living

Attachment B**CORE STAFFING FORMULAS**

CORE STAFFING CLASSIFICATION	STAFFING FORMULA	
A. <u>PERSONAL SERVICES (continued)</u>		
1. DIRECT SERVICES (continued)		
f. <u>Special Incident Reporting (SIR)</u>		
(1) Supervising Counselor	1.0 position	10 CPCs in Item f. (2) below
(2) QA/CPC	1.0 position	: RC plus 1: every 5,000 consumers
(3) Nurse	0.5 position	: RC plus 0.5: every 5,000 consumers
g. <u>Mediation</u>		
(1) Clinical Staff	2.0 hours	: 25% of annual mediations/ 1,778 hrs /FTE position
(2) Supervising Counselor	4.5 hours	: Mediation/1,778 hrs/FTE position
(3) CPC	4.5 hours	: 50% of annual mediations/ 1,778 hrs./FTE position
h. <u>Expansion of Autism Spectrum Disorders (ASD) Initiative</u>		
(1) ASD Clinical Specialist (effective January 1, 2007)	1.0 position	: RC
(2) ASD Program Coordinator (effective January 1, 2007)	1.0 position	: RC
2. ADMINISTRATION		
a. <u>Executive Staff</u>		
(1) Director	1.0 position	: RC
(2) Administrator	1.0 position	: RC
(3) Chief Counselor	1.0 position	: RC
b. <u>Fiscal</u>		
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 position	: RC
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 position	: 1,000 HCBS Waiver consumers
(3) Fiscal Manager	1.0 position	: RC
(4) Program Technician II, FCPP	0.5 position	: RC
	1.0 position	: 1,778 hours of FCPP determinations
(5) Revenue Clerk	1.0 position	: 400 consumers for whom RCs are representative payee
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 position	: RC
(7) Account Clerk	1.0 position	: 800 total consumers
c. <u>Information Systems and Human Resources</u>		
(1) Information Systems Manager	1.0 position	: RC
(2) Information Systems Assistant	1.0 position	: RC
(3) Information Systems Assistant, SIR	0.5 position	: RC
(4) Privacy Officer, HIPAA	1.0 position	: RC
(5) Personal Computer Systems Manager	1.0 position	: RC
(6) Training Officer	1.0 position	: RC
(7) Training Officer, SIR	0.5 position	: RC
(8) Human Resources Manager	1.0 position	: RC

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
2. <u>ADMINISTRATION (continued)</u>	
d. <u>Clerical Support</u>	
(1) Office Supervisor	1.0 position : RC
(2) PBX/Mail/File Clerk	3.0 positions : RC
(3) Executive Secretary	2.5 positions : RC
(4) MD/Psychologist Secretary II	1.0 position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews
(5) MD/Psychologist Secretary I	1.0 position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment
(6) Secretary II	1.0 position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/ Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secty I, line 1.e.(9) b 2.,) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources
(7) Secretary I	1.0 position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secty II, line 1.e.(9) b 1.,)
(8) Secretary I (DC Case Management Capitol People First)	1.0 position : 6 CPCs and Supervisors

Attachment C

Regional Center Rent

Regional Center	2017-18₁	2018-19₂	Difference	% Change	Footnote
Alta	\$2,764,059	\$2,985,507	\$221,448	8.01%	
Central Valley	3,119,097	3,381,395	262,298	8.41%	a
East Bay	4,029,081	4,283,502	254,421	6.31%	a
East LA	3,386,249	3,766,145	379,896	11.22%	a
Far Northern	1,306,676	1,331,868	25,192	1.93%	
Golden Gate	2,314,241	2,620,482	306,241	13.23%	a
Harbor	4,282,558	4,415,749	133,191	3.11%	
Inland	7,197,616	7,333,006	135,390	1.88%	
Kern	1,876,275	1,922,038	45,763	2.44%	
Lanterman	2,013,216	2,384,573	371,357	18.45%	a
North Bay	1,659,837	1,758,098	98,261	5.92%	
North LA	3,464,384	3,936,702	472,318	13.63%	a
Orange	3,535,742	3,694,175	158,433	4.48%	
Redwood	1,029,739	1,021,375	-8,364	-0.81%	
San Andreas	2,303,151	3,151,390	848,239	36.83%	a
San Diego	4,295,319	4,479,142	183,823	4.28%	a
San Gabriel	2,643,500	2,643,500	0	0.00%	
South Central	5,189,545	5,951,180	761,635	14.68%	a
Tri Counties	3,854,197	4,253,682	399,485	10.36%	a
Valley Mt.	2,352,856	2,452,000	99,144	4.21%	
Westside	<u>1,828,527</u>	<u>1,926,035</u>	<u>97,508</u>	<u>5.33%</u>	
Total	<u>\$64,445,865</u>	<u>\$69,691,544</u>	<u>\$5,245,679</u>	8.14%	
TOTAL ROUNDED	\$64,446,000	\$69,692,000	\$5,246,000	8.14%	

Footnotes:

₁ Actual rent funds allocated per the D-1 and D-2 contracts.

₂ Rent requested by the regional centers based on the 2018 May Revision Rent Survey, as approved by the Department.

a: Increased costs due to annual rent escalation plus regional center's estimate of additional square footage required to house new staff and/or meet operational needs. The Department will verify costs and review leasing documents to confirm fair market value before allocating additional funds to the regional centers.

Agnews Ongoing Workload

<ul style="list-style-type: none"> • Community Placement Plan Staffing: <ul style="list-style-type: none"> • Unified Operations Costs: <ul style="list-style-type: none"> • Personal Services: <ul style="list-style-type: none"> Quality Assurance/Management (6.5 positions) Health Care Community Specialist (4 positions) Total Personal Services: • Operating Expenses: • State Employees in the Community: <ul style="list-style-type: none"> Personal Services (13.4 positions) Operating Expenses Total State Employees in the Community: • Placement Continuation Staffing: <ul style="list-style-type: none"> Nurse Consultant (3 positions) Oral Health Care Consultant (3 positions) Total Placement Continuation Staffing: • Total: 	<table border="0"> <thead> <tr> <th style="text-align: left;"><u>2017-18*</u></th> <th style="text-align: left;"><u>2018-19*</u></th> </tr> </thead> <tbody> <tr> <td>\$503</td> <td>\$503</td> </tr> <tr> <td>503</td> <td>503</td> </tr> <tr> <td>\$1,006</td> <td>\$1,006</td> </tr> <tr> <td>\$28</td> <td>\$28</td> </tr> <tr> <td>1,194</td> <td>1,194</td> </tr> <tr> <td>74</td> <td>74</td> </tr> <tr> <td>\$1,268</td> <td>\$1,268</td> </tr> <tr> <td>356</td> <td>356</td> </tr> <tr> <td>236</td> <td>236</td> </tr> <tr> <td>\$592</td> <td>\$592</td> </tr> <tr> <td>\$2,894</td> <td>\$2,894</td> </tr> </tbody> </table>	<u>2017-18*</u>	<u>2018-19*</u>	\$503	\$503	503	503	\$1,006	\$1,006	\$28	\$28	1,194	1,194	74	74	\$1,268	\$1,268	356	356	236	236	\$592	\$592	\$2,894	\$2,894
<u>2017-18*</u>	<u>2018-19*</u>																								
\$503	\$503																								
503	503																								
\$1,006	\$1,006																								
\$28	\$28																								
1,194	1,194																								
74	74																								
\$1,268	\$1,268																								
356	356																								
236	236																								
\$592	\$592																								
\$2,894	\$2,894																								

FUNDING:

Funding for Agnews Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:	<table border="0"> <thead> <tr> <th style="text-align: left;"><u>2017-18*</u></th> <th style="text-align: left;"><u>2018-19*</u></th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>\$2,894</td> </tr> <tr> <td>GF</td> <td>1,212</td> </tr> <tr> <td>Reimbursements</td> <td>1,682</td> </tr> </tbody> </table>	<u>2017-18*</u>	<u>2018-19*</u>	TOTAL	\$2,894	GF	1,212	Reimbursements	1,682
<u>2017-18*</u>	<u>2018-19*</u>								
TOTAL	\$2,894								
GF	1,212								
Reimbursements	1,682								

*Values in thousands (000's)

Lanterman Developmental Center Closure/Ongoing Workload

Community Placement Plan Staffing:

	<u>2017-18*</u>	<u>2018-19*</u>
• Personal Services:		
Quality Assurance/Management (3 positions)	\$255	\$255
Oral Health Care Consultant (8 positions)	624	624
Nurse Consultant (5 positions)	425	425
Health Care Community Specialist (8 positions)	1,006	1,006
Total Personal Services:	\$2,310	\$2,310
• Operating Expenses:	\$82	\$82
• Grand Total:	\$2,392	\$2,392

FUNDING:

Funding for Lanterman Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2017-18*</u>	<u>2018-19*</u>
TOTAL	\$2,392	\$2,392
GF	999	999
Reimbursements	1,393	1,393

*Values in thousands (000's)

Federal Compliance

DESCRIPTION:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

ASSUMPTIONS/METHODOLOGY:

	<u>2017-18*</u>	<u>2018-19*</u>
<ul style="list-style-type: none"> • HCBS Waiver: <p style="margin-left: 20px;">Operations costs for HCBS Waiver activities in 2017-18 and 2018-19 are based upon 6.5% of 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth.</p>	\$21,135	\$21,135
<ul style="list-style-type: none"> • Compliance with HCBS Waiver Requirements: <p style="margin-left: 20px;">Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports.</p>	8,700	8,700

* Values in thousand (000's)

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):	<u>2017-18*</u>	<u>2018-19*</u>
<ul style="list-style-type: none"> <p>• Case Managers to Meet HCBS Waiver Requirements:</p> <p>In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the waiver participant to case manager ratio of 62:1 is consistently met." This augmentation by the California Legislature is intended to assist in this.</p> <p>Governor's Budget 2017-18: \$6,125,000 GF Match, \$6,126,000 TCM. 2018-19: \$6,178,000 GF Match, \$6,178,000 TCM May Revision 2017-18: \$5,991,000 GF Match, \$5,991,000 TCM 2018-19: \$6,111,000 GF Match, \$6,112,000 TCM Reason for Change from Governor's Budget: Reflects the decrease of HCBS Waiver-related positions.</p> 	11,982	12,223
<ul style="list-style-type: none"> <p>• TCM:</p> <p>Operations costs for TCM activities in 2017-18 and 2018-19 are based upon 5.8% of 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth.</p> 	4,129	4,129
<ul style="list-style-type: none"> <p>• NHR/Pre-Admission Screening and Resident Review (PASRR):</p> <p>Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. (100% GF)</p> 	473	473

* Values in thousand (000's)

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):	<u>2017-18*</u>	<u>2018-19*</u>
<ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS Services: Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. (Funding is 50% HCBS Waiver Administration, 50% GF) Funding: \$492,000 GF Match, \$492,000 HCBS Waiver Administration. 	984	984
<ul style="list-style-type: none"> EXPENDITURES: 	\$47,403	\$47,644

FUNDING:

The funding for Federal Compliance is comprised of reimbursements from: Medicaid Administration (75% FFP, 25% GF Match), HCBS Waiver Administration (50% FFP, 50% GF Match), and TCM (50% FFP, 50% GF Match).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor decrease in current year reflects a decrease in Case Managers to Meet HCBS Waiver Requirements due to the decrease of HCBS Waiver-related CPC positions, Supervising Counselors positions as well as Secretary II positions pursuant to the core staffing formula.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor decrease in budget year reflects a decrease in Case Managers to Meet HCBS Waiver Requirements due to the decrease of HCBS Waiver-related CPC positions, Supervising Counselors positions as well as Secretary II positions pursuant to the core staffing formula.

EXPENDITURES:	<u>2017-18*</u>	<u>2018-19*</u>
TOTAL	\$47,403	\$47,644
GF	35,158	35,278
Reimbursements	12,245	12,366

* Values in thousand (000's)

Projects

DESCRIPTION:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

ASSUMPTIONS/METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> ● Information Technology Costs: 	\$3,797	\$3,797
<ul style="list-style-type: none"> ● Regional Center Application Support: 	2,547	2,547
<ul style="list-style-type: none"> ● Data Processing: 	1,250	1,250
<ul style="list-style-type: none"> ● Clients' Rights Advocacy: <p style="margin-left: 20px;">The Department contracts for clients' rights advocacy services for regional center consumers, of which 45% of the costs are eligible for Home and Community Based Services (HCBS) Waiver Administration: 50% GF Match / 50% federal financial participation (FFP). Contract amount shown does not reflect an additional \$21,155 increase for administrative costs per Assembly Bill (AB) X2 1. Budget year reflects an adjustment to account for updated caseload.</p>	6,787	7,106
<ul style="list-style-type: none"> ● Quality Assessment Contract: <p style="margin-left: 20px;">The Quality Assessment Project, as required by the Welfare and Institutions (W&I) Code, Section 4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. The budget year increase from Governor's Budget reflects additional translation services, as well as data analysis required by SB 982.</p>	4,044	4,000

* Values in thousand (000's)

Projects

ASSUMPTIONS/METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> <p>• Direct Support Professional Training:</p> <p>W&I Code Section 4695.2 mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 88% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP.</p> 	3,037	3,037
<ul style="list-style-type: none"> <p>• Office of Administrative Hearings:</p> <p>Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and their consumers, and (2) provide mediation services. Section 4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended; 45% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP.</p> 	3,350	3,350
<ul style="list-style-type: none"> <p>• Wellness Projects:</p> <p>W&I Code Sections 4696 and 4646.5 identify areas that can be fulfilled through Wellness Projects. Typically, projects generally fall into the following categories: health professional training programs, medication reviews, health assessments, specialty clinics, telemedicine, resource development for persons with a dual diagnosis, training programs for parents and consumers, and dental health programs and services.</p> 	100	100
<ul style="list-style-type: none"> <p>• Foster Grandparent/Senior Companion (FG/SC):</p> <p>Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives.</p> 	3,035	3,765

* Values in thousand (000's)

Projects

ASSUMPTIONS/METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> <p>• Special Incident Reporting/Risk Assessment:</p> <p>Beginning in 2001-02, the Legislature appropriated funds to the Department and regional centers to develop a comprehensive risk management system to enhance the health, safety, and well-being of individuals served by regional centers, and to implement preventive strategies and interventions to mitigate such risks. The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on Developmental Center transitions to the Center for Medi-Cal and Medicaid; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 45% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year reflects an increase to expand the level of contracted services.</p> 	938	1,200
<ul style="list-style-type: none"> <p>• Increased Access to Mental Health Services:</p> <p>Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 100% of costs are funded by the Mental Health Services Fund.</p> 	740	740
<ul style="list-style-type: none"> <p>• Sherry S. Court Case:</p> <p>In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. The decrease in current year and budget year reflects a decrease in Hop renewals and initial Hop actions processed according to the 2018 Spring Survey.</p> 	113	59
<ul style="list-style-type: none"> <p>• 2003-04 FFP Enhancement, Phase II:</p> <p>These costs are associated with legal support for federal program activities.</p> 	500	500

* Values in thousand (000's)

Projects

ASSUMPTIONS/METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> <p>• University Enterprises, Inc.:</p> <p>Historically, the Department contracted with University Enterprises, Inc. for statistical forecasting assistance in estimating purchase of service expenditures. In recent years, the inclusion of significant policy adjustments in DDS' budget, such as ABX2 1 and SB 3 Minimum Wage increases, made it difficult for University Enterprises' to forecast fluctuations impacted by those policies. The Department's estimates have proven consistently reliable when compared to actual expenditures. As such, effective January 1, 2018, the Department cancelled the contract. To validate estimate forecasts, the Department has implemented an internal, two-tier review process.</p> 	45	-
<ul style="list-style-type: none"> <p>• Affordable Housing:</p> <p>The amounts budgeted represent funds that DDS pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the Coffelt Settlement (1994). The budget year decrease, as compared to the Governor's Budget, reflects an operational realignment at HCD, as well as a decrease in the number of projects requiring review and oversight. More specifically, eight projects recently received loan forgiveness pursuant to the terms of the Coffelt Settlement.</p> 	83	85
<ul style="list-style-type: none"> <p>• Review of Senate Bill (SB) 1175 Housing Proposals:</p> <p>Pursuant to Chapter 617, Statutes of 2008, (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing DDS' costs associated with conducting the review and approval of housing proposals.</p> 	150	150
<ul style="list-style-type: none"> <p>• Extension of Denti-Cal Infrastructure for Regional Center Funded Dental:</p> <p>This project allows the regional center to use the Department of Health Care Services (DHCS) system for reviewing treatment plans and approving claims for dental services consistent with the DHCS Denti-Cal program. Current year and budget year reflect the restoration of adult benefits to DHCS pre-2009 levels on January 1, 2018.</p> 	58	-
<ul style="list-style-type: none"> <p>• TOTAL EXPENDITURES:</p> 	\$26,777	\$27,889

* Values in thousand (000's)

Projects

FUNDING:

Funding for Project expenditures is comprised of General Fund (GF), reimbursements from the Home and Community-Based Waiver Administration, the Developmental Disabilities Services Account, the Mental Health Services Fund, and Federal Funds from the FG/SC Programs.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

Current year expenditures are estimated to have a minor decrease comprised of slight decreases in Client Rights Advocacy, Sherry S. Court Case, and University Enterprises, Inc, Affordable Housing, and Extension of Denti-Cal Infrastructure for Regional Center Funded Dental.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

Budget year expenditures are estimated to have a minor increase comprised of an increase in Quality Assessment Contract resulting from translations of the survey instrument, the survey results, and the additional analysis required under SB 982; and an increase in Special Incident Reporting/Risk Assessment resulting from system improvements. These increases are offset by slight decreases in Client Rights Advocacy, Sherry S. Court Case, and University Enterprises, Inc.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$26,777	\$27,889
GF	19,988	21,074
Reimbursements	4,802	4,925
Developmental Disabilities Services Account	150	150
Mental Health Services Fund	740	740
Federal Funds	1,097	1,000

* Values in thousand (000's)

**Quality Assurance Fees
Regional Center Costs and Intermediate Care
Facility-Developmentally Disabled Vendor Costs
Operations**

DESCRIPTION:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of Day Program and Transportation.

2017-18 and 2018-19: Total billing costs are \$1.8 million for regional center administration.

Purchase of Services costs for Day Program and Transportation in calendar year 2017 total \$123.2 million, of which 1.5% equals \$1.8 million.

FUNDING:

The Federal Medical Assistance Percentages establishes the FFP for expenditures associated with Operations, which is 50% for 2017-18 and 2018-19.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the Governor's Budget.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the Governor's Budget.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$1,848	\$1,848
General Fund	924	924
Reimbursements	924	924

Operations Policy Items

This category of regional center operating expenses includes various previously approved policy items as described below:

DESCRIPTION:	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> <p>• Improve Service Coordinator Caseload Ratios:</p> <p>Approved in the 2016 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to improve caseload ratios.</p> 	\$17,000	\$17,000
<ul style="list-style-type: none"> <p>• Compliance with Home and Community-Based Services (HCBS) Operations:</p> <p>Approved in the 2016 Budget Act, funds are provided for regional centers to hire program evaluators to perform initial and ongoing efforts and activities necessary for compliance with HCBS settings requirements.</p> 	1,422	1,422
<ul style="list-style-type: none"> <p>• Assembly Bill (AB) X2 1 Regional Center Operations Increases:</p> <p>Appropriated by Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]), \$31.1 million General Fund (GF) plus matching funds are available for regional centers' staffing, benefits, and administrative expenses, as well as \$11 million GF to fund regional center and community - based organizations' proposals to promote equity in the purchase of services for individuals with developmental disabilities.</p> 	56,600	56,600
<ul style="list-style-type: none"> <p>• Resources to Implement AB X2 1 Operations:</p> <p>Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1] amended the W&I Code to require regional centers to implement the recommendations and plans to reduce disparities in the provision of services to underserved populations, as well as requirements to establish and report on a provider-administered competitive integrated employment program. The 2016 Budget Act appropriated these funds to regional centers to hire cultural specialists and employment specialists to implement AB X2 1.</p> 	4,164	4,164

* Values in thousand (000's)

Operations Policy Items

DESCRIPTION (continued):	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Psychological Evaluations for Behavioral Health Treatment Fee-for-Service Consumers: <p style="margin-left: 40px;">Approved in the 2017 Budget Act, funds are provided to enable regional centers to hire or contract with psychologists to assess consumers with or without an Autism Spectrum Disorder diagnosis and provide medical referrals for Behavioral Health Treatment Services.</p>	1,266	1,266
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$80,452	\$80,452

FUNDING:

Funding for Operations Policy items are estimated to be funded by the GF, Medicaid Administration, Home and Community-Based Services Waiver Administration, and Targeted Case Management, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$80,452	\$80,452
GF	59,611	59,611
Reimbursements	20,841	20,841

* Values in thousand (000's)

Operations Funding Detail

	Governor's			Governor's			2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	2018-19 Over	2018-19 Request	
Staffing								
TOTAL	\$589,751	\$588,266	(\$1,485)	\$620,545	\$619,955	\$31,689	(\$590)	
GF	\$380,994	\$377,815	(\$3,179)	\$414,451	\$412,223	\$34,408	(\$2,228)	
GF Match	194,556	196,251	1,695	197,512	199,151	2,900	1,639	
GF Other	186,438	181,564	(4,874)	216,939	213,072	31,508	(3,867)	
Reimbursements	\$208,757	\$210,451	\$1,694	\$206,094	\$207,732	(\$2,719)	\$1,638	
Medicaid Admin	11,354	11,354	0	11,354	11,354	0	0	
HCBS Waiver Admin	4,910	4,911	1	4,910	4,784	(127)	(126)	
TCM	177,592	178,381	789	183,357	184,217	5,836	860	
TCM Admin	6,473	7,377	904	6,473	7,377	0	904	
Money Follows the Person	8,428	8,428	0	0	0	(8,428)	0	
Federal Compliance								
TOTAL	\$47,672	\$47,403	(\$269)	\$47,777	\$47,644	\$241	(\$133)	
GF	\$35,293	\$35,158	(\$135)	\$35,346	\$35,278	\$120	(\$68)	
GF Match	11,079	10,945	(134)	11,131	11,066	121	(65)	
GF Other	24,214	24,213	(1)	24,215	24,212	(1)	(3)	
Reimbursements	\$12,379	\$12,245	(\$134)	\$12,431	\$12,366	\$121	(\$65)	
HCBS Waiver Admin	1,203	1,203	0	1,203	1,203	0	0	
Medicaid Admin	1,951	1,951	0	1,951	1,951	0	0	
TCM	9,225	9,091	(134)	9,277	9,212	121	(65)	

Operations Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
Projects							
TOTAL	\$27,064	\$26,777	(\$287)	\$27,612	\$27,889	\$1,112	\$277
GF	\$20,274	\$19,988	(\$286)	\$20,923	\$21,074	\$1,086	\$151
GF Match	4,803	4,802	(1)	4,798	4,924	122	126
GF Other	15,471	15,186	(285)	16,125	16,150	964	25
Reimbursements	\$4,803	\$4,802	(\$1)	\$4,799	\$4,925	\$123	\$126
HCBS Waiver Admin	4,803	4,802	(1)	4,799	4,925	123	126
Developmental Disabilities Services Account	150	150	\$0	150	150	\$0	0
Mental Health Services Fund	740	740	\$0	740	740	\$0	0
Federal Funds	\$1,097	\$1,097	\$0	\$1,000	\$1,000	(\$97)	\$0
Agnews Ongoing Workload							
TOTAL	\$2,894	\$2,894	\$0	\$2,894	\$2,894	\$0	\$0
GF	\$1,212	\$1,212	\$0	\$1,212	\$1,212	\$0	\$0
GF Match	1,325	1,325	0	1,325	1,325	0	0
GF Other	(113)	(113)	0	(113)	(113)	0	0
Reimbursements	\$1,682	\$1,682	\$0	\$1,682	\$1,682	\$0	\$0
HCBS Waiver Admin	759	759	0	759	759	0	0
Medicaid Admin	923	923	0	923	923	0	0
Lanterman Developmental Center Closure/Ongoing Workload							
TOTAL	\$2,392	\$2,392	\$0	\$2,392	\$2,392	\$0	\$0
GF	\$999	\$999	\$0	\$999	\$999	\$0	\$0
GF Match	878	878	0	878	878	0	0
GF Other	121	121	0	121	121	0	0
Reimbursements	\$1,393	\$1,393	\$0	\$1,393	\$1,393	\$0	\$0
HCBS Waiver Admin	621	621	0	621	621	0	0
Medicaid Admin	772	772	0	772	772	0	0

Operations Funding Detail

	Governor's			Governor's		2018-19	
	Budget	Updated	2017-18	Budget	Updated	Over	2018-19
	2017-18	2017-18	Request	2018-19	2018-19	2017-18	Request

Intermediate Care Facility-Developmentally Disabled - Admin Fees							
TOTAL	\$1,883	\$1,848	(\$35)	\$1,883	\$1,848	\$0	(\$35)
GF	\$942	\$924	(\$18)	\$942	\$924	\$0	(\$18)
GF Match	942	924	(18)	942	924	0	(18)
Reimbursements	\$941	\$924	(\$17)	\$941	\$924	\$0	(\$17)
FFP (Quality Assurance Fees)	941	924	(17)	941	924	0	(17)

Total Operations Funding Detail							
TOTAL	\$671,656	\$669,580	(\$2,076)	\$703,103	\$702,622	\$33,042	(\$481)
GF	\$439,714	\$436,096	(\$3,618)	\$473,873	\$471,710	\$35,614	(\$2,163)
GF Match	213,583	215,125	1,542	216,586	218,266	3,141	1,680
GF Other	226,131	220,971	(5,160)	257,287	253,444	32,473	(3,843)
Reimbursements	\$229,955	\$231,497	\$1,542	\$227,340	\$229,022	(\$2,475)	\$1,682
HCBS Waiver Admin	12,296	12,296	0	12,292	12,292	(4)	0
Medicaid Admin	15,000	15,000	0	15,000	15,000	0	0
TCM	186,817	187,472	655	192,634	193,429	5,957	795
TCM Admin	6,473	7,377	904	6,473	7,377	0	904
Money Follows the Person	8,428	8,428	0	0	0	(8,428)	0
FFP (Quality Assurance Fees)	941	924	(17)	941	924	0	(17)
Developmental Disabilities Services Account	150	150	0	150	150	0	0
Mental Health Services Fund	740	740	0	740	740	0	0
Federal Funds	\$1,097	\$1,097	\$0	\$1,000	\$1,000	(\$97)	\$0

Operations Policy Funding Detail

	Governor's			Governor's		2018-19	2018-19
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	Request
BHT Psychological Evaluation for FFS Consumers							
TOTAL	\$1,266	\$1,266	\$0	\$1,266	\$1,266	\$0	\$0
GF	\$968	\$968	\$0	\$968	\$968	\$0	\$0
GF Match	298	298	0	298	298	0	0
GF Other	670	670	0	670	670	0	0
Reimbursements	\$298	\$298	\$0	\$298	\$298	\$0	\$0
Targeted Case Management (TCM)	298	298	0	298	298	0	0
Improve Service Coordinator Caseload Ratios							
TOTAL	\$17,000	\$17,000	\$0	\$17,000	\$17,000	\$0	\$0
GF	\$13,000	\$13,000	\$0	\$13,000	\$13,000	\$0	\$0
GF Match	4,000	4,000	0	4,000	4,000	0	0
GF Other	9,000	9,000	0	9,000	9,000	0	0
Reimbursements	\$4,000	\$4,000	\$0	\$4,000	\$4,000	\$0	\$0
Targeted Case Management (TCM)	4,000	4,000	0	4,000	4,000	0	0
Compliance with HCBS Regulations - Operations							
TOTAL	\$1,422	\$1,422	\$0	\$1,422	\$1,422	\$0	\$0
GF	\$711	\$711	\$0	\$711	\$711	\$0	\$0
GF Match	711	711	0	711	711	0	0
GF Other	0	0	0	0	0	0	0
Reimbursements	\$711	\$711	\$0	\$711	\$711	\$0	\$0
HCBS Waiver Admin	711	711	0	711	711	0	0

Operations Policy Funding Detail

	Governor's			Governor's		2018-19	
	Budget	Updated	2017-18	Budget	Updated	Over	2018-19
	2017-18	2017-18	Request	2018-19	2018-19	2017-18	Request
ABX2 1 - Regional Center Operations Increases							
TOTAL	\$56,600	\$56,600	\$0	\$56,600	\$56,600	\$0	\$0
GF	\$42,100	\$42,100	\$0	\$42,100	\$42,100	\$0	\$0
GF Match	14,500	14,500	0	14,500	14,500	0	0
GF Other	27,600	27,600	0	27,600	27,600	0	0
Reimbursements	\$14,500	\$14,500	\$0	\$14,500	\$14,500	\$0	\$0
Medicaid Admin	1,132	1,132	0	1,132	1,132	0	0
HCBS Waiver Admin	361	361	0	361	361	0	0
TCM	13,007	13,007	0	13,007	13,007	0	0
Resources to Implement ABX2 1 - Operations							
TOTAL	\$4,164	\$4,164	\$0	\$4,164	\$4,164	\$0	\$0
GF	\$2,832	\$2,832	\$0	\$2,832	\$2,832	\$0	\$0
GF Match	1,332	1,332	0	1,332	1,332	0	0
GF Other	1,500	1,500	0	1,500	1,500	0	0
Reimbursements	\$1,332	\$1,332	\$0	\$1,332	\$1,332	\$0	\$0
HCBS Waiver Admin	1,332	1,332	0	1,332	1,332	0	0
Community Placement Plan - DC Closure							
TOTAL	\$5,434	\$5,434	\$0	\$5,434	\$5,434	\$0	\$0
GF	\$5,434	\$5,434	\$0	\$5,434	\$5,434	\$0	\$0
GF Match	0	0	0	0	0	0	0
GF Other	5,434	5,434	0	5,434	5,434	0	0
Total Operations Policy Funding Detail							
TOTAL	\$85,886	\$85,886	\$0	\$85,886	\$85,886	\$0	\$0
GF	\$65,045	\$65,045	\$0	\$65,045	\$65,045	\$0	\$0
GF Match	20,841	20,841	0	20,841	20,841	0	0
GF Other	44,204	44,204	0	44,204	44,204	0	0
Reimbursements	\$20,841	\$20,841	\$0	\$20,841	\$20,841	\$0	\$0
HCBS Waiver Admin	2,404	2,404	0	2,404	2,404	0	0
TCM	17,305	17,305	0	17,305	17,305	0	0
Medicaid Admin	1,132	1,132	0	1,132	1,132	0	0

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Community Care Facilities

DESCRIPTION:

Regional centers contract with vendors of facilities licensed by the Department of Social Services (DSS) to provide 24-hour residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustenance of daily living activities.

ASSUMPTIONS:

- Community Care Facilities (CCF) Expenditure Data Source: State Claims Data file, dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical trends.
- Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received by persons in CCFs from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP (an estimated 94.9% of persons in CCFs), the regional centers fund only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget. This factor is incorporated in the CCF estimate.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
• Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2017, the ABX2 1 Community Based Services Increase, and the Alternate Residential Model 4-Bed Rate policy increase.	\$1,345,458	\$1,405,506
• Total Utilization Change/Growth:	60,048	62,761
• Subtotal Base and Growth	\$1,405,506	\$1,468,267
• Community Placement Plan: See Community Placement Plan methodology for detail.	17,095	17,095

Community Care Facilities

METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> <p>SSI/SSP Increases Effective January 1, 2018 and January 1, 2019:</p> <p>The SSI/SSP rate increase, effective January 1, 2018, is estimated to increase from \$1,026 to \$1,039 per month. The SSI/SSP rate increase, effective January 1, 2019, is estimated to increase from \$1,039 to \$1,057 per month. An increase in these rates results in a decrease in amounts paid by the Department. The updated current year and budget year estimate has a slight decrease in SSI/SSP savings from the Governor's Budget.</p> 	-\$3,103	-\$3,804
<ul style="list-style-type: none"> <p>Continuation Costs: Second year costs for Developmental Center movers.</p> 	40,971	60,741
<ul style="list-style-type: none"> <p>TOTAL EXPENDITURES</p> 	\$1,460,469	\$1,542,299

FUNDING:

CCF expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915 (i) State Plan Amendment (SPA), Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Money Follows the Person, and Temporary Assistance to Needy Families. Based on actual 2016-17 billing data, approximately 80% of CCF expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915 (i) SPA, and ICF-DD, 50% is federal financial participation (FFP). Of the amount eligible for Money Follows the Person, 75% is FFP.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by a net \$2.5 million (\$13 million GF decrease). This includes a slight increase in the Base, offset by decreases in estimated Utilization and Growth, Community Placement Plan, and SSI/SSP savings.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by a net \$5.2 million (\$11.5 million GF decrease). This includes a slight decrease in the Base, plus minimal decreases in Utilization and Growth, Community Placement Plan, and SSI/SSP savings.

Community Care Facilities

EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$1,460,469	\$1,542,299
GF	831,392	896,059
Reimbursements	629,077	646,240

Medical Facilities

DESCRIPTION:

Pursuant to Health and Safety Code Sections 1250, 1255.6, 1255.7, and others, regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-NF, and ICF-DD-H) and Continuous Nursing Care facilities, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are Specialized Residential Facilities (Health) and Nursing Facilities (NF).

ASSUMPTIONS:

- Assumptions regarding caseload and facility growth for 2017-18 and 2018-19 are based on the 2018 Regional Center Spring Survey. Expenditure Data Source: State Claims Data file, dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
• Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the ABX2 1 Community Based Services Increase.	\$13,961	\$12,473
• Total Utilization Change/Growth:	-1,488	-1,246
• Subtotal Base and Growth:	\$12,473	\$11,227

Medical Facilities

METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> <p>• Gap Resource Development (ICF-DD):</p> <p>Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.</p> <p>In 2017-18 and 2018-19 it is assumed that three Health facilities will require gap funding for two consumers each for not more than 60 days, and will need gap funding for two consumers each for not more than 60 days.</p> 	341	341
<ul style="list-style-type: none"> <p>• Community Placement Plan: See Community Placement Plan methodology for detail.</p> 	3	3
<ul style="list-style-type: none"> <p>• TOTAL EXPENDITURES:</p> 	\$12,817	\$11,571

FUNDING:

Medical Facility expenditures are funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net decrease in the current year is a result of decreases in Utilization and Growth and Community Placement Plan, slightly offset by an increase in Base and GAP . The decrease reflects a lower number of consumers residing in DSS-Licensed Specialized Residential Facilities.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decrease reflects a slight decrease in the Base and Community Placement Plan, offset by a slight increase in Utilization and Growth, and Gap.

EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$12,817	\$11,571
GF	12,817	11,571

Day Programs

DESCRIPTION:

Day Program services may be at a fixed location or out in the community. Types of services available through a Day Program include:

- Self-help and self-care skill development.
- Interaction and communication skills development.
- Self-advocacy and employment skills development.
- Community integration skill development.
- Behavior management skill development.
- Social and recreational skill development.

ASSUMPTIONS:

Day Program Expenditure Data Source: State Claims Data file, dated January 1, 2018 with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
• Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2017, and the ABX2 1 Community Based Services Increase.	\$1,058,698	\$1,095,549
• Total Utilization Change/Growth:	36,851	38,117
• Subtotal Base and Growth:	\$1,095,549	\$1,133,666
• Community Placement Plan: See Community Placement Plan methodology for detail.	571	571
• Continuation Costs: Second year costs for developmental center movers.	1,675	2,483
• TOTAL EXPENDITURES:	\$1,097,795	\$1,136,720

Values in thousands (000's)

Day Programs

FUNDING:

Day Program expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate Care Facility-Developmentally Disabled (ICF-DD), Early Periodic Screening Diagnosis and Treatment (EPSDT), and federal funds from the Early Start Grant. Based on actual 2016-17 billing data, approximately 54% of Day Program expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and EPSDT, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by \$10.8 million (\$15.9 million GF decrease) due to a \$10.9 million decrease in Utilization and Growth and a slight decrease in Community Placement Plan, offset by a slight increase in the Base. The decrease in Utilization and Growth reflects slightly less growth in Adult Development Center, Behavior Management Program, and Infant Development Program. These services comprise 80% of Day Program expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by a net \$10.6 million (\$12.1 million GF decrease). This includes a \$10.5 million decrease in the Base (prior year decrease carried forward), a slight decrease in Community Placement Plan, and a slight increase in Utilization and Growth.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$1,097,795	\$1,136,720
GF	600,216	626,961
Reimbursements	479,733	491,825
Federal Funds	17,846	17,934

Habilitation Work Activity Program

DESCRIPTION:

Work Activity Program (WAP) services are provided, for the most part, in a sheltered setting, and may include work experiences in integrated group settings within the community.

ASSUMPTIONS:

WAP Expenditure Data Source: State Claims file, dated January 1, 2018 with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2017 and the ABX2 1 Community Based Services Increase. 	\$48,872	\$45,425
<ul style="list-style-type: none"> • Total Utilization Change/Growth: 	-3,447	-3,203
<ul style="list-style-type: none"> • Subtotal Base and Growth: 	\$45,425	\$42,222
<ul style="list-style-type: none"> • Community Placement Plan: See Community Placement Plan methodology for detail. 	3	3
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	3	0
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$45,431	\$42,225

Habilitation Work Activity Program

FUNDING:

WAP Service expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2016-17 billing data, approximately 61% of WAP expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by \$1.5 million (\$1.4 million GF decrease) due to a slight decrease in the Base and a \$1.4 million decrease in Utilization and Growth, offset by a slight increase in Community Placement Plan.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by \$1.4 million (\$1.2 million GF decrease) due to a \$1.5 million decrease in the Base, offset by a slight increase in Utilization and Growth and Community Placement Plan.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$45,431	\$42,225
GF	26,059	22,551
Reimbursements	19,372	19,674

Habilitation Supported Employment Program (Group Placement)

DESCRIPTION:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work through integrated group settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-G Expenditure Data Source: State Claims file, dated January 1, 2018 with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the ABX2 1 Community Based Services Increase. 	\$93,088	\$94,755
<ul style="list-style-type: none"> • Total Utilization Change/Growth: 	1,667	1,697
<ul style="list-style-type: none"> • Subtotal Base and Growth: 	\$94,755	\$96,452
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	6	9
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$94,761	\$96,461

Habilitation Supported Employment Program (Group Placement)

FUNDING:

SEP-G expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2016-17 billing data, approximately 35% of SEP-G expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by \$1.8 million (\$1.6 million GF decrease) due to a slight decrease in the Base and a \$1.7 million decrease in Utilization and Growth.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by a net \$1.9 million (\$1.5 million GF decrease) due to a \$1.8 million decrease in the Base and a slight decrease in Utilization and Growth.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$94,761	\$96,461
GF	71,061	72,156
Reimbursements	23,700	24,305

Habilitation Supported Employment Program (Individual Placement)

DESCRIPTION:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work through integrated individual settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-I Expenditure Data Source: State Claims file, dated January 1, 2018 with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the ABX2 1 Community Based Services Increase. 	\$24,650	\$28,841
<ul style="list-style-type: none"> • Total Utilization Change/Growth: 	4,191	4,903
<ul style="list-style-type: none"> • Subtotal Base and Growth: 	\$28,841	\$33,744
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	3	9
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$28,844	\$33,753

Habilitation Supported Employment Program (Individual Placement)

FUNDING:

SEP-I expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2016-17 billing data, approximately 23% of SEP-I expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased by \$1.6 million (\$1.6 million GF) due to a slight increase in the Base, and a \$1.4 million increase in Utilization and Growth.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increased by \$3.4 million (\$3.3 million GF) due to a \$1.6 million increase in the Base, and a \$1.8 million increase in Utilization and Growth.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$28,844	\$33,753
GF	23,876	28,398
Reimbursements	4,968	5,355

Transportation

DESCRIPTION:

Transportation services are provided so persons with a developmental disability may participate in programs and/or other activities identified in their Individual Program Plan. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

ASSUMPTIONS:

Transportation Expenditure Data Source: State Claims Data file, dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> ● Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2017 and the ABX2 1 Community Based Services Increase. 	\$326,064	\$345,276
<ul style="list-style-type: none"> ● Total Utilization Change/Growth: 	19,212	20,344
<ul style="list-style-type: none"> ● Subtotal Base and Growth: 	\$345,276	\$365,620
<ul style="list-style-type: none"> ● Community Placement Plan: See Community Placement Plan methodology for detail. 	208	208
<ul style="list-style-type: none"> ● Continuation Costs: Second year costs for developmental center movers. 	569	844
<ul style="list-style-type: none"> ● TOTAL EXPENDITURES: 	\$346,053	\$366,672

Transportation

FUNDING:

Transportation expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, and Intermediate Care Facility - Developmentally Disabled (ICF-DD). Based on actual 2016-17 billing data, approximately 64% of Transportation expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased a net \$1.8 million (\$3.3 million GF decrease) due to a \$1.2 million decrease in the Base, and a slight decrease in both Growth and Utilization and Community Placement Plan. The decrease is due to updated actual expenditures coming in slightly lower than originally estimated.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by \$2.3 million (\$2.9 million GF decrease) due to a \$1.7 million decrease in the Base, and slight decreases in both Utilization and Growth and Community Placement Plan. The main cost drivers in Utilization and Growth are Transportation Company, Transportation-Additional component, and Transportation-Public/Rental Car Agency/Taxi. These service codes make up over 90% of Transportation expenditures.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$346,053	\$366,672
GF	199,624	216,313
Reimbursements	146,429	150,359

Support Services

DESCRIPTION:

Support Services include a broad range of services to assist adults who choose to live in homes they themselves own or lease in the community. Included in the Support Services expenditures are Independent Living Supplement payments to adults who are in Supported/Independent Living (SL/IL) settings and receiving Supplementary Security Income/State Supplementary Payment (SSI/SSP). Regional centers supplement the reduction in the SSP portion of the SSI/SSP grant to enable consumers to remain in these settings rather than moving into community care facilities.

ASSUMPTIONS:

Support Services Expenditure Data Source: State Claims Data file, dated January 1, 2018 with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2017, the ABX2 1 Community Based Services Increases, and the February 1, 2016 BHT Transition. 	\$1,206,553	\$1,300,661
<ul style="list-style-type: none"> • Total Utilization Change/Growth 	94,108	101,459
<ul style="list-style-type: none"> • Subtotal Base and Growth 	\$1,300,661	\$1,402,120

Support Services

METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>												
<ul style="list-style-type: none"> • Community Placement Plan: See Community Placement Plan methodology for detail. 	3,528	3,528												
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	10,095	14,967												
<ul style="list-style-type: none"> • Independent Living Supplement Based on Client Master File data as of February 4, 2018, it is estimated the following number of consumers will live in SL/IL arrangements. Due to an SSP California Necessities Index increase of 2.76%, effective January 1, 2017, the consumers who are part of a couple receive a monthly supplemental payment of \$83.10, while those receiving the monthly supplemental payment as an individual receive \$56.88. The number of estimated consumers receiving this supplement is based on information provided by the regional centers via the 2018 Regional Center Spring Survey. All consumers are assumed to receive their payments in each month of the fiscal year. Prior year costs remain in the base, therefore only the incremental costs are reflected. 	114	101												
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="text-align: center; width: 35%;">Current Year</th> <th style="text-align: center; width: 35%;">Budget Year</th> </tr> </thead> <tbody> <tr> <td>Total SL/IL Consumers</td> <td style="text-align: center;">26,593</td> <td style="text-align: center;">27,011</td> </tr> <tr> <td>Part of a Couple</td> <td style="text-align: center;"><u>-1,079</u></td> <td style="text-align: center;"><u>-1,127</u></td> </tr> <tr> <td>Individuals</td> <td style="text-align: center;">25,514</td> <td style="text-align: center;">25,884</td> </tr> </tbody> </table>		Current Year	Budget Year	Total SL/IL Consumers	26,593	27,011	Part of a Couple	<u>-1,079</u>	<u>-1,127</u>	Individuals	25,514	25,884		
	Current Year	Budget Year												
Total SL/IL Consumers	26,593	27,011												
Part of a Couple	<u>-1,079</u>	<u>-1,127</u>												
Individuals	25,514	25,884												
<ul style="list-style-type: none"> • TOTAL EXPENDITURES 	\$1,314,398	\$1,420,716												

FUNDING:

Support Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for Behavioral Health Treatment Fee-for-Service, and federal funds from the Early Start Grant. Based on actual 2016-17 billing data, approximately 74% of Support Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

Support Services

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased a net \$3.5 million (\$5.3 million GF decrease), reflecting a \$1.6 million increase in the Base, a \$1.4 million increase in Utilization and Growth, a slight increase in Community Placement Plan, and a slight decrease in the Independent Living Supplement. Approximately 50% of the budget category expenditures are for Supported Living Services, which continue to increase. The decrease in the GF results from an estimated increase in federal reimbursements, which offsets the GF.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increased a net \$13.1 million (\$7.6 million GF), reflecting a \$3.0 million increase in the Base, a \$9.5 million increase in Utilization and Growth, a slight increase in Community Placement Plan, and a slight decrease in the Independent Living Supplement.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$1,314,398	\$1,420,716
GF	699,854	786,118
Reimbursements	614,288	634,337
Federal Funds	256	261

In-Home Respite

DESCRIPTION:

In-Home Respite services include intermittent or regularly scheduled, temporary non-medical care and/or supervision services provided in the consumer's home, except for the provision of incidental medical services as expressly allowed in statute.

ASSUMPTIONS:

In-Home Respite Expenditure Data Source: State Claims Data file, dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	2017-18	2018-19
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2017, and the ABX2 1 Community Based Services Increase. 	\$357,890	\$409,512
<ul style="list-style-type: none"> • Total Utilization Change/Growth: 	39,144	34,129
<ul style="list-style-type: none"> • Restore Respite 	12,478	25,979
<ul style="list-style-type: none"> • Subtotal Base and Growth: 	\$409,512	\$469,620
<ul style="list-style-type: none"> • Community Placement Plan: See Community Placement Plan methodology for detail. 	155	155
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	270	400
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$409,937	\$470,175

In-Home Respite

FUNDING:

In-Home Respite expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2016-17 billing data, approximately 76% of In-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver and 1915 (i) SPA, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased a net \$0.7 million (\$0.5 million GF) due to a slight increase in the Base, an anticipated increase from the restoration of Respite services effective January 1, 2018, and a slight decrease in Community Placement Plan. In-Home Respite Services Agency is the cost driver and accounts for 81.6% of expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increased by a net \$2.1 million (\$2.9 million GF) due to an increase in the Base, a \$1.4 million increase anticipated from the restoration of Respite services, and a slight decrease in Community Placement Plan. The increase in the Base reflects continued growth in In-Home Respite Services Agency.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$409,937	\$470,175
GF	211,334	266,587
Reimbursements	198,531	203,512
Federal Funds	72	76

Out-of-Home Respite

DESCRIPTION:

Out-of-Home Respite includes supervision services that are provided in licensed residential and day care facilities.

ASSUMPTIONS:

Out-of-Home Respite Expenditure Data Source: State Claims Data file, dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2017, and the ABX2 1 Community Based Services Increase. 	\$44,820	\$45,731
<ul style="list-style-type: none"> Total Utilization Change/Growth: 	656	921
<ul style="list-style-type: none"> Restore Respite 	255	530
<ul style="list-style-type: none"> Subtotal Base and Growth: 	\$45,731	\$47,182
<ul style="list-style-type: none"> Community Placement Plan: See Community Placement Plan methodology for detail. 	121	121
<ul style="list-style-type: none"> Continuation Costs: Second year costs for developmental center movers. 	267	395
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$46,119	\$47,698

Out-of-Home Respite

FUNDING:

Out-of-Home Respite expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2016-17 billing data, approximately 66% of Out-of-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver and 1915 (i) SPA, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased a net \$3.8 million (\$4.6 million GF decrease) reflecting a \$3.2 million decrease in the Base, a slight decrease in Utilization and Growth, and slight increases in Community Placement Plan and to restore Respite services effective January 1, 2018.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased a net \$4.3 million (\$4.9 million GF decrease) reflecting a \$3.9 million decrease in the Base, a slight decrease in Utilization and Growth, and slight increases in Community Placement Plan and to restore Respite services. Day Care-Family Member Services and Child Day Care are the main expenditure drivers and account for 53.8% of the budget category expenditures.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$46,119	\$47,698
GF	24,511	25,540
Reimbursements	21,538	22,087
Federal Funds	70	71

Health Care

DESCRIPTION:

Health Care services include those that are medical and/or health care-related.

ASSUMPTIONS:

Health Care Expenditure Data Source: State Claims Data file dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the ABX2 1 Community Based Services Increase. 	\$105,524	\$111,938
<ul style="list-style-type: none"> • Total Utilization Change/Growth: 	6,414	6,804
<ul style="list-style-type: none"> • Subtotal Base and Growth: 	\$111,938	\$118,742
<ul style="list-style-type: none"> • Community Placement Plan: See Community Placement Plan methodology for detail. 	1,358	1,358
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	1,533	2,274
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$114,829	\$122,374

FUNDING:

Health Care expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2016-17 billing data, approximately 23% of Health Care expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, and 1915(i) SPA, 50% is federal financial participation.

Health Care

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by \$1.1 million (\$1.1 million GF decrease) due to a \$1.8 million decrease in Utilization and Growth and a slight decrease in the Base, offset by a slight increase in Community Placement Plan. The decrease in Utilization and Growth is due to Acute Care Hospital expenditures coming in lower than previously estimated.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by \$1.3 million (\$1.2 million GF decrease) due to a \$1.9 million decrease in the Base and a slight decrease in Utilization and Growth, offset by a slight increase in Community Placement Plan. The main cost drivers for this budget category are Acute Care Hospital and Speech Pathology, which accounts for over 31% of the expenditures. Expenditures continue to increase but at a slower pace than current year.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$114,829	\$122,374
GF	94,564	101,617
Reimbursements	18,192	18,647
Federal Funds	2,073	2,110

Miscellaneous Services

DESCRIPTION:

The Miscellaneous Services category includes a wide variety of services identified in a consumer's Individual Program Plan or Individualized Family Service Plan. Due to the wide variety and often unique qualities of the services in this category, they cannot be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior intervention, early intervention programs, interdisciplinary assessments, translators, and tutors. Historically, approximately two thirds of the expenditures in this category were related to Behavioral Health Treatment (BHT) services. While still significant, BHT expenditures have decreased as a result of the transition of costs for BHT services to the Department of Health Care Services (DHCS).

ASSUMPTIONS:

Miscellaneous Services Expenditure Data Source: State Claims Data file, dated January 1, 2018 with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (utilization change/growth). In the current year, base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, base expenditures equal the current year subtotal for base and growth. Utilization change/growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Actual 2016-17 expenditures were used to develop the 2017-18 Base. The 2018-19 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2017, the ABX2 1 Community Based Services Increases, and the February 1, 2016 BHT Transition. 	\$415,264	\$432,861
<ul style="list-style-type: none"> • Total Utilization Change/Growth 	17,597	18,343
<ul style="list-style-type: none"> • Subtotal Base and Growth 	\$432,861	\$451,204
<ul style="list-style-type: none"> • Community Placement Plan: See Community Placement Plan methodology for detail. 	29,547	29,547
<ul style="list-style-type: none"> • Continuation Costs: Second year costs for developmental center movers. 	1,837	2,723
<ul style="list-style-type: none"> • TOTAL EXPENDITURES 	\$464,245	\$483,474

Miscellaneous Services

FUNDING:

Miscellaneous Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for BHT Fee-for-Service, and federal funds from the Early Start Grant and Program Development Funds. Based on actual 2016-17 billing data, approximately 21% of Miscellaneous Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased a net \$3.8 million (\$4.4 million GF), reflecting a \$4.2 million increase in the Base, a slight increase in Community Placement Plan, and a slight decrease in Utilization and Growth. Miscellaneous is impacted by the transition of BHT services to DHCS, resulting in less expenditure growth for these services. Utilization and Growth includes decreases in Behavior Analyst and Client/Parent Support Behavior Intervention Training, offset by an increase in Specialized Therapeutic Services for Children Under Age 3. These services accounted for more than 40% of Miscellaneous expenditures in 2016-17.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increased a net \$3.0 million (\$3.4 million GF), reflecting a \$3.4 million increase in the Base, a slight increase in Community Placement Plan, and a slight decrease in Utilization and Growth.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$464,245	\$483,474
GF	354,763	359,138
Reimbursements	94,788	109,372
Federal Funds	12,441	12,711
PDF	2,253	2,253

Quality Assurance Fees

Intermediate Care Facility-Developmentally Disabled Costs Purchase of Services

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- Billing costs are 1.5% of the cost of Day Programs and Transportation, and regional center administrative fees for ICF-DD. QAF are set by DHCS.
- 2017-18 and 2018-19: Total billing costs are \$1.8 million ICF-DD administration and \$8.1 million QAF.
- Purchase of Services costs for Day Program and Transportation are based on calendar year 2017 and total \$123.2 million. ICF-DD administration costs are 1.5% of the total or \$1.8 million and QAF are \$8.1 million. Total administration and QAF is \$9.9 million.

FUNDING:

The funding for QAF is 100% reimbursement to the Department from DHCS.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the 2018-19 Governor's Budget.

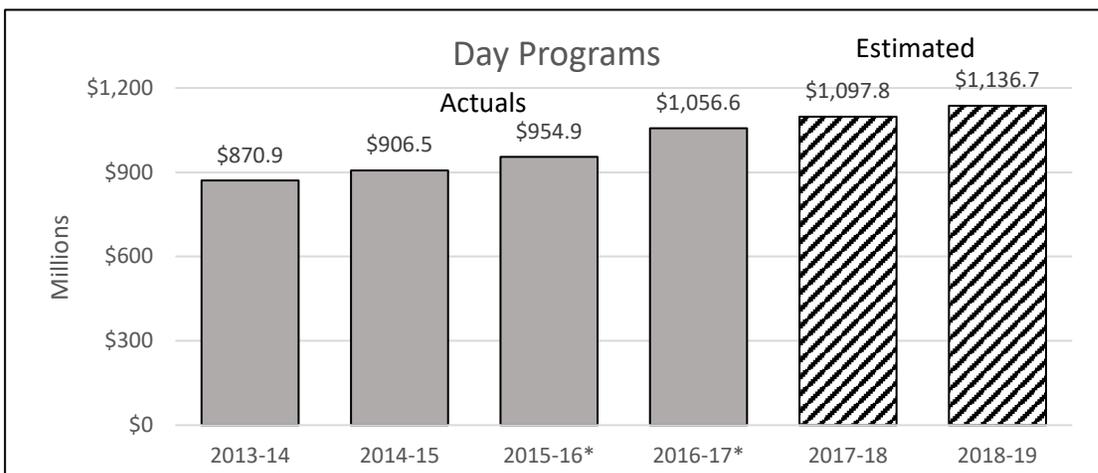
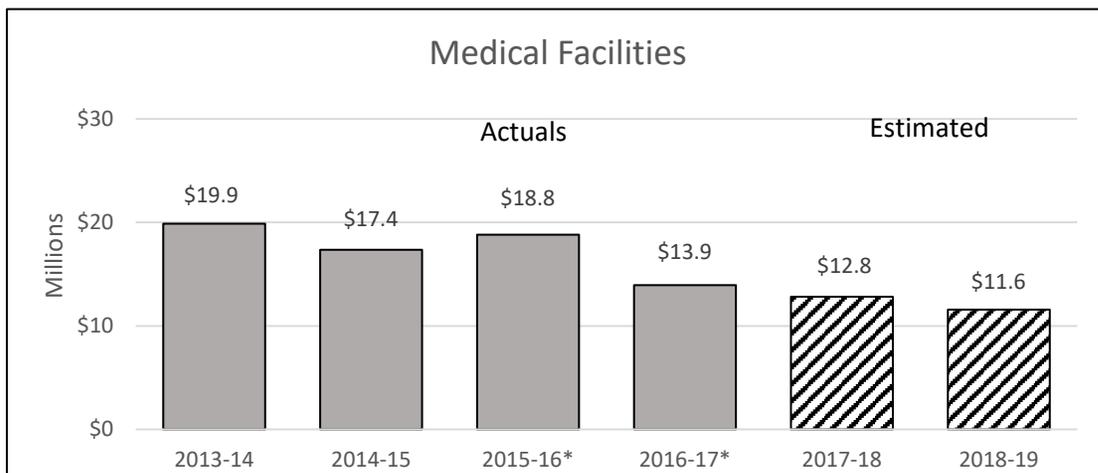
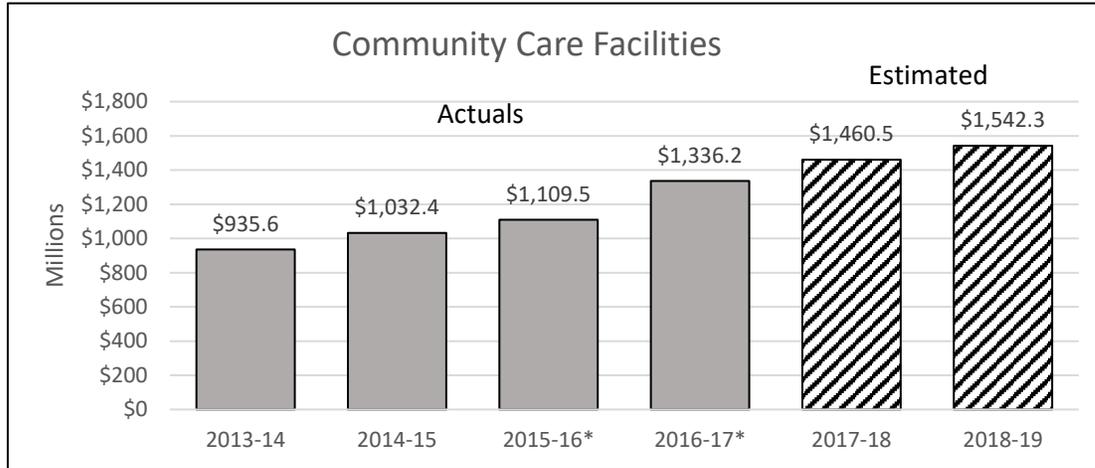
BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

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EXPENDITURES:

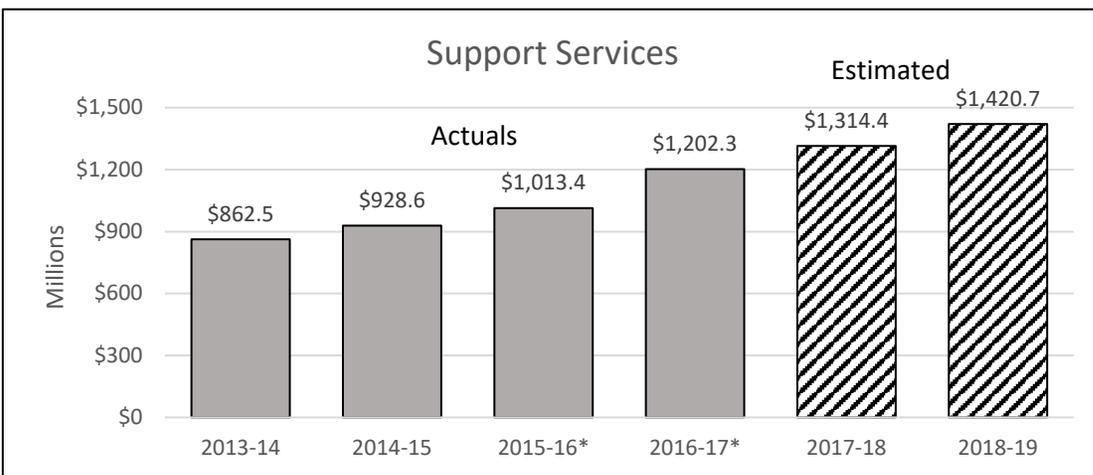
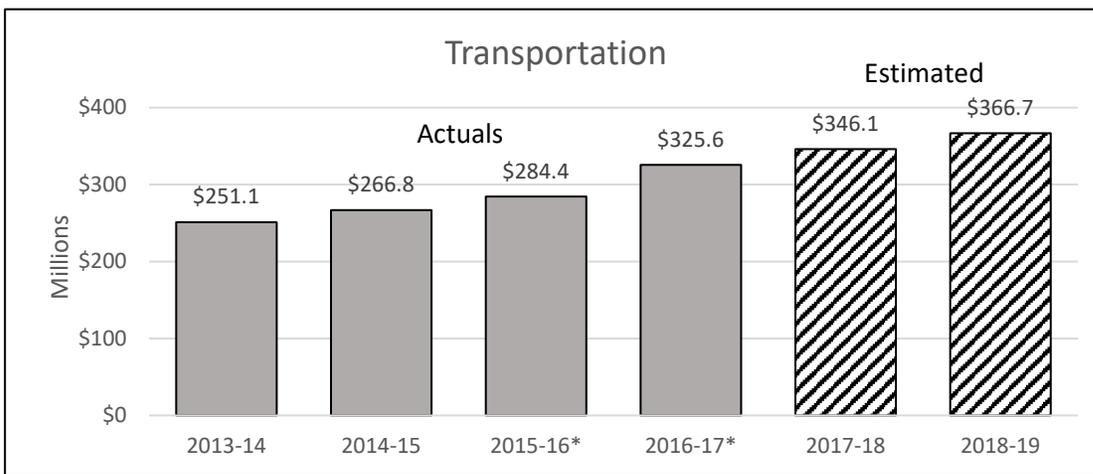
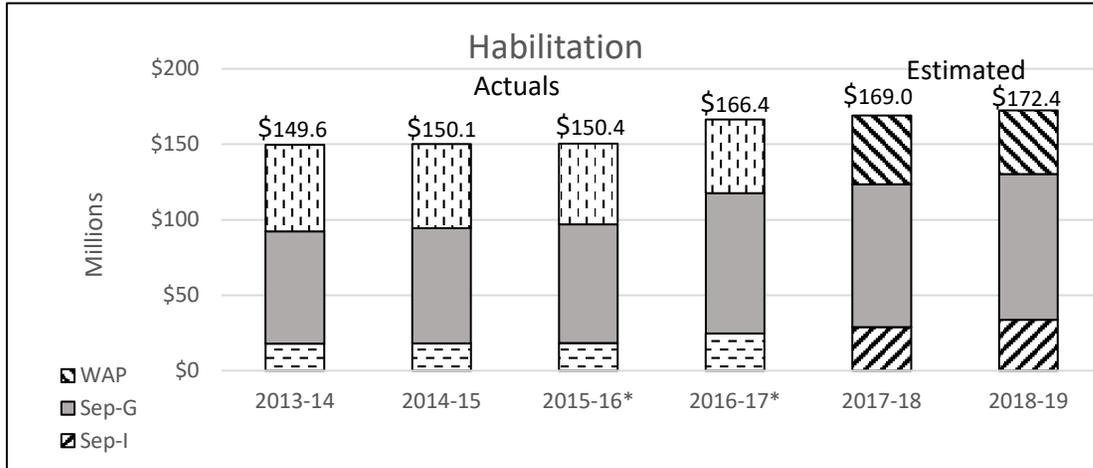
	<u>2017-18</u>	<u>2018-19</u>
Total	\$9,977	\$9,977
Reimbursements	9,977	9,977
QAF Admin Fees	4,989	4,989
Transfer from DHCS	4,988	4,988

Purchase of Services
Total Expenditures
by Budget Category



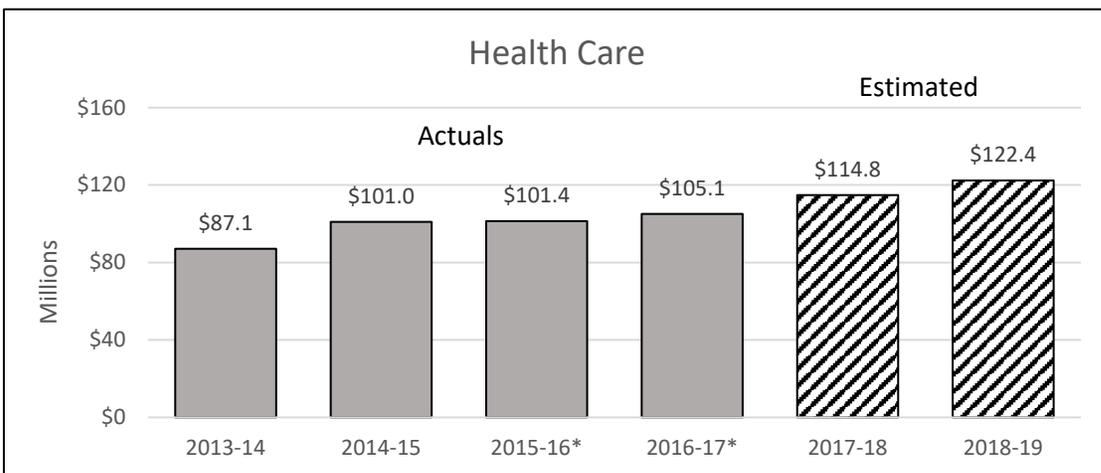
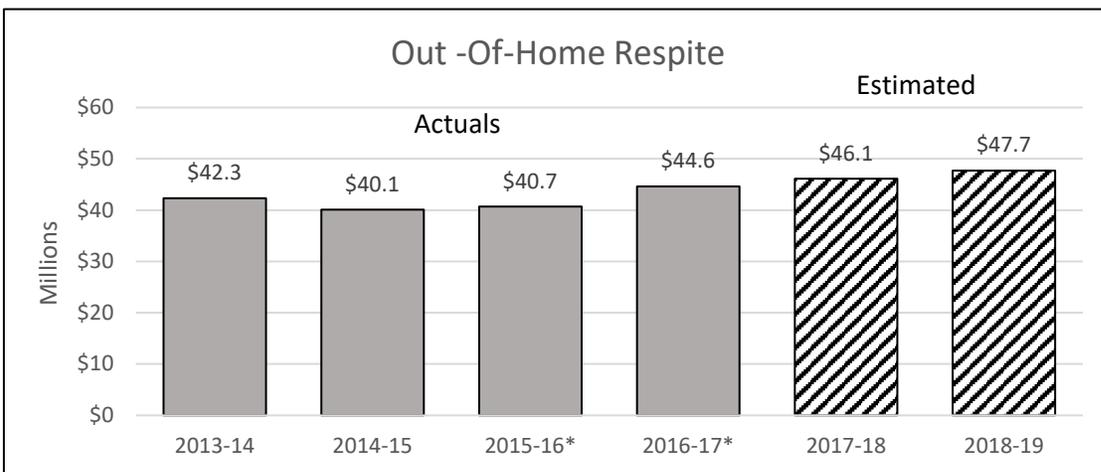
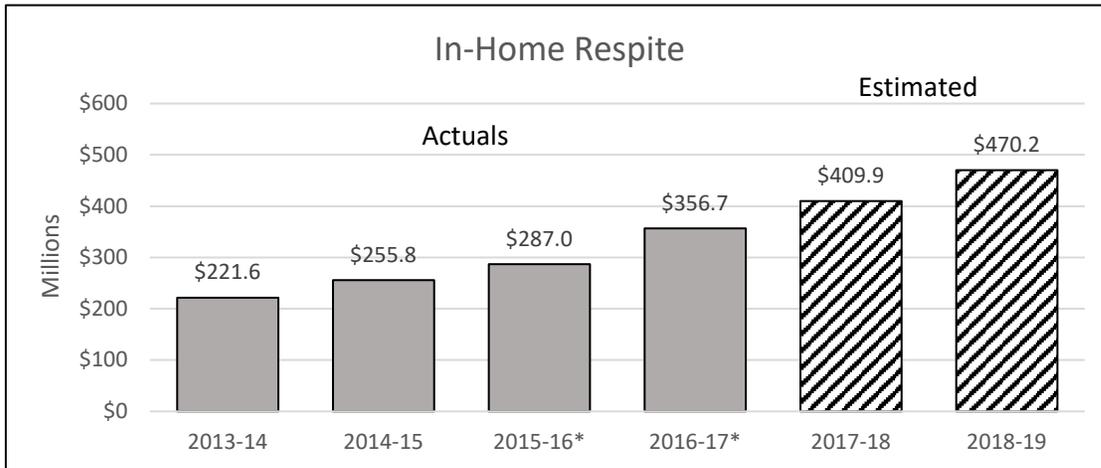
* Claims for these years are still open and eligible for payment and reflect actuals through January 2018.

Purchase of Services
Total Expenditures
by Budget Category



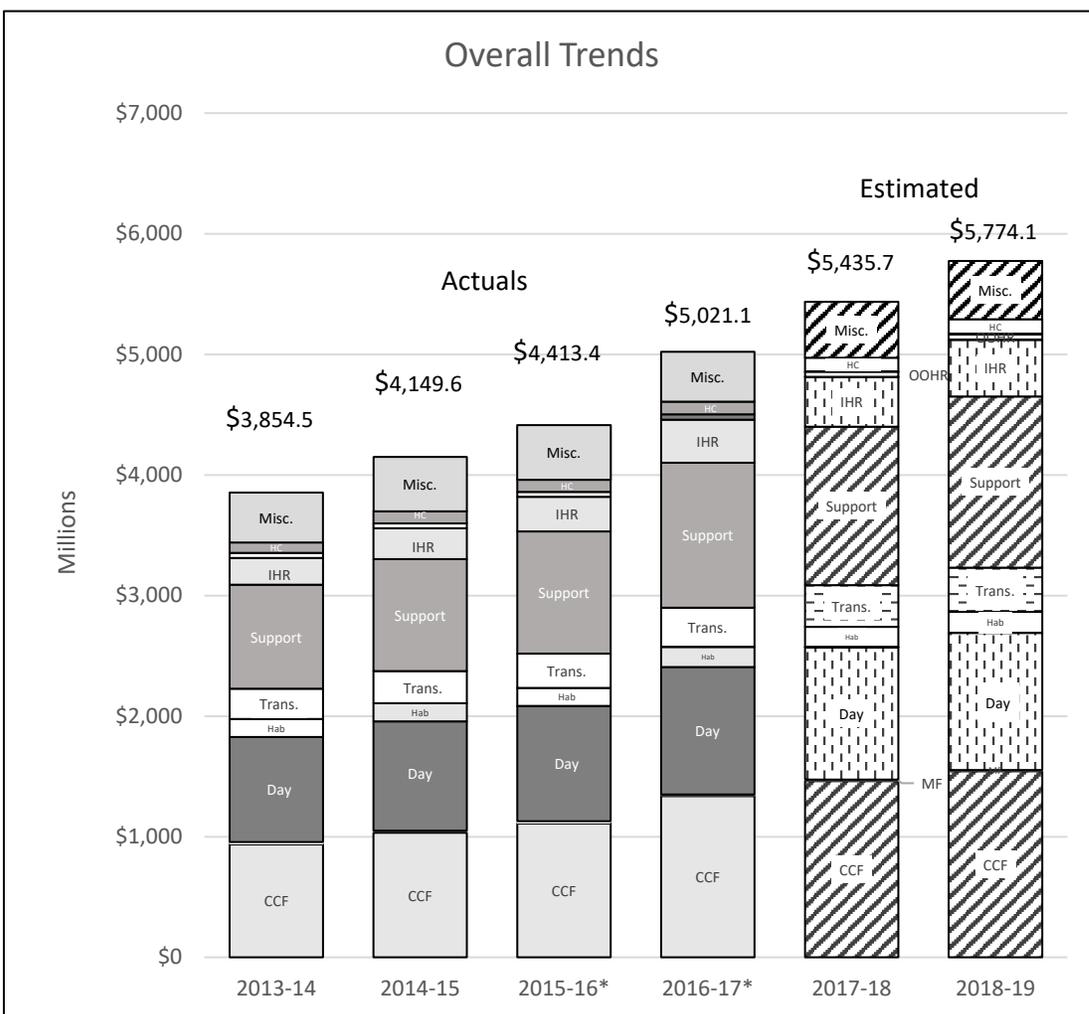
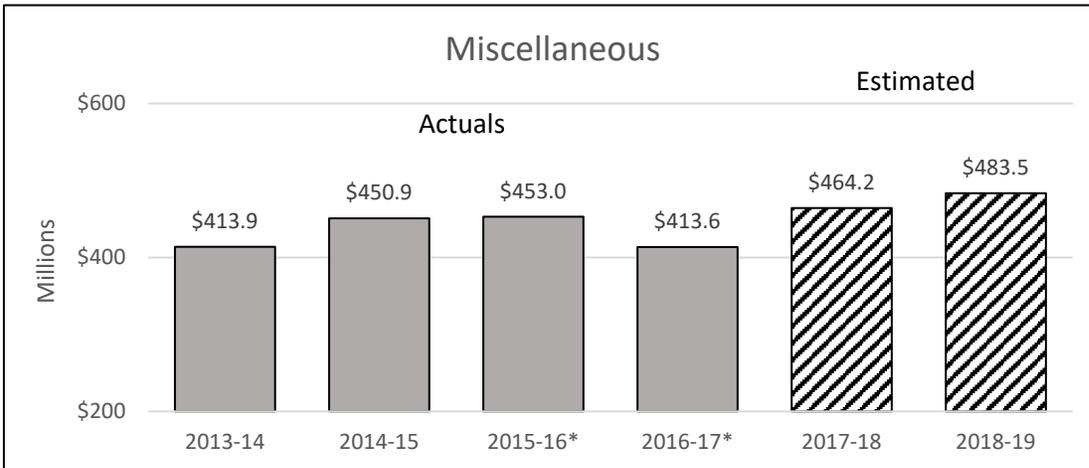
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Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through January 2018.

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through January 2018.

Purchase of Service Funding Detail

	Governor's			Governor's		2018-19	
	Budget	Updated	2017-18	Budget	Updated	Over	2018-19
	2017-18	2017-18	Request	2018-19	2018-19	2017-18	Request
Community Care Facilities							
TOTAL	\$1,463,005	\$1,460,469	(\$2,536)	\$1,547,509	\$1,542,299	\$81,830	(\$5,210)
GF	\$844,431	\$831,392	(\$13,039)	\$907,588	\$896,059	\$64,667	(\$11,529)
GF Match	608,957	618,700	9,743	633,267	638,827	20,127	5,560
GF Other	235,474	212,692	(22,782)	274,321	257,232	44,540	(17,089)
Reimbursements	\$618,574	\$629,077	\$10,503	\$639,921	\$646,240	\$17,163	\$6,319
HCBS Waiver	559,513	566,845	7,332	583,830	586,979	20,134	3,149
1915 (i) SPA	49,442	51,853	2,411	49,440	51,850	(3)	2,410
Title XX TANF	6,651	7,411	760	6,651	7,411	0	760
Money Follows the Person	2,968	2,968	0	0	0	(2,968)	0
Medical Facilities							
TOTAL	\$13,424	\$12,817	(\$607)	\$12,024	\$11,571	(\$1,246)	(\$453)
GF	\$13,424	\$12,817	(\$607)	\$12,024	\$11,571	(\$1,246)	(\$453)
GF Other	13,424	12,817	(607)	12,024	11,571	(1,246)	(453)
Day Programs							
TOTAL	\$1,108,637	\$1,097,795	(\$10,842)	\$1,147,335	\$1,136,720	\$38,925	(\$10,615)
GF	\$616,074	\$600,216	(\$15,858)	\$639,044	\$626,961	\$26,745	(\$12,083)
GF Match	426,883	432,511	5,628	442,613	444,604	12,093	1,991
GF Other	189,191	167,705	(21,486)	196,431	182,357	14,652	(14,074)
Reimbursements	\$474,717	\$479,733	\$5,016	\$490,445	\$491,825	\$12,092	\$1,380
HCBS Waiver	301,314	305,263	3,949	314,410	316,106	10,843	1,696
1915 (i) SPA	59,648	60,303	655	59,649	60,303	0	654
Title XX Social Services	47,750	47,147	(603)	47,750	47,147	0	(603)
Title XX TANF	84	74	(10)	84	74	0	(10)
ICF-DD	39,064	38,408	(656)	39,064	38,408	0	(656)
EPSDT	26,857	28,538	1,681	29,488	29,787	1,249	299
Federal Funds	\$17,846	\$17,846	\$0	\$17,846	\$17,934	\$88	\$88
Early Start Grant	17,846	17,846	0	17,846	17,934	88	88

Purchase of Service Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
Habilitation: WAP							
TOTAL	\$46,942	\$45,431	(\$1,511)	\$43,658	\$42,225	(\$3,206)	(\$1,433)
GF	\$27,488	\$26,059	(\$1,429)	\$23,771	\$22,551	(\$3,508)	(\$1,220)
GF Match	19,453	19,371	(82)	19,886	19,673	302	(213)
GF Other	8,035	6,688	(1,347)	3,885	2,878	(3,810)	(1,007)
Reimbursements	\$19,454	\$19,372	(\$82)	\$19,887	\$19,674	\$302	(\$213)
HCBS Waiver	15,743	15,950	207	16,427	16,516	566	89
1915 (i) SPA	3,198	2,966	(232)	2,947	2,702	(264)	(245)
ICF-DD	513	456	(57)	513	456	0	(57)
Habilitation: SEP-G							
TOTAL	\$96,580	\$94,761	(\$1,819)	\$98,317	\$96,461	\$1,700	(\$1,856)
GF	\$72,708	\$71,061	(\$1,647)	\$73,641	\$72,156	\$1,095	(\$1,485)
GF Match	23,872	23,700	(172)	24,676	24,305	605	(371)
GF Other	48,836	47,361	(1,475)	48,965	47,851	490	(1,114)
Reimbursements	\$23,872	\$23,700	(\$172)	\$24,676	\$24,305	\$605	(\$371)
HCBS Waiver	17,158	17,383	225	17,905	18,002	619	97
1915 (i) SPA	6,579	6,187	(392)	6,636	6,173	(14)	(463)
ICF-DD	135	130	(5)	135	130	0	(5)
Habilitation: SEP-I							
TOTAL	\$27,202	\$28,844	\$1,642	\$30,336	\$33,753	\$4,909	\$3,417
GF	\$22,304	\$23,876	\$1,572	\$25,111	\$28,398	\$4,522	\$3,287
GF Match	4,897	4,967	70	5,224	5,354	387	130
GF Other	17,407	18,909	1,502	19,887	23,044	4,135	3,157
Reimbursements	\$4,898	\$4,968	\$70	\$5,225	\$5,355	\$387	\$130
HCBS Waiver	3,019	3,058	39	3,150	3,167	109	17
1915 (i) SPA	1,853	1,883	30	2,049	2,161	278	112
ICF-DD	26	27	1	26	27	0	1

Purchase of Service Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
Transportation							
TOTAL	\$347,828	\$346,053	(\$1,775)	\$368,955	\$366,672	\$20,619	(\$2,283)
GF	\$202,881	\$199,624	(\$3,257)	\$219,261	\$216,313	\$16,689	(\$2,948)
GF Match	136,001	137,513	1,512	140,748	141,445	3,932	697
GF Other	66,880	62,111	(4,769)	78,513	74,868	12,757	(3,645)
Reimbursements	\$144,947	\$146,429	\$1,482	\$149,694	\$150,359	\$3,930	\$665
HCBS Waiver	109,228	110,659	1,431	113,975	114,590	3,931	615
1915 (i) SPA	10,985	11,294	309	10,985	11,293	(1)	308
Title XX Social Services	8,260	8,270	10	8,260	8,270	0	10
Title XX TANF	685	646	(39)	685	646	0	(39)
ICF-DD	15,789	15,560	(229)	15,789	15,560	0	(229)
Support Services							
TOTAL	\$1,310,919	\$1,314,398	\$3,479	\$1,407,655	\$1,420,716	\$106,318	\$13,061
GF	\$705,164	\$699,854	(\$5,310)	\$778,511	\$786,118	\$86,264	\$7,607
GF Match	536,166	544,527	8,361	553,861	558,778	14,251	4,917
GF Other	168,998	155,327	(13,671)	224,650	227,340	72,013	2,690
Reimbursements	\$605,499	\$614,288	\$8,789	\$628,888	\$634,337	\$20,049	\$5,449
HCBS Waiver	458,505	464,540	6,035	480,564	483,156	18,616	2,592
1915 (i) SPA	66,070	68,620	2,550	66,348	68,899	279	2,551
Title XX Social Services	57,296	58,415	1,119	57,296	58,415	0	1,119
Title XX TANF	14,050	13,281	(769)	14,050	13,281	0	(769)
ICF-DD	6,948	6,724	(224)	6,948	6,724	0	(224)
BHT- FFS	2,630	2,708	78	3,682	3,862	1,154	180
Federal Funds	\$256	\$256	\$0	\$256	\$261	\$5	\$5
Early Start Grant	256	256	0	256	261	5	5
In-Home Respite							
TOTAL	\$409,250	\$409,937	\$687	\$468,100	\$470,175	\$60,238	\$2,075
GF	\$210,848	\$211,334	\$486	\$263,683	\$266,587	\$55,253	\$2,904
GF Match	158,970	159,547	577	164,986	164,527	4,980	(459)
GF Other	51,878	51,787	(91)	98,697	102,060	50,273	3,363
Reimbursements	\$198,330	\$198,531	\$201	\$204,345	\$203,512	\$4,981	(\$833)
HCBS Waiver	138,414	140,228	1,814	144,430	145,209	4,981	779
1915 (i) SPA	20,556	19,319	(1,237)	20,555	19,319	0	(1,236)
Title XX Social Services	19,421	18,993	(428)	19,421	18,993	0	(428)
Title XX TANF	19,939	19,991	52	19,939	19,991	0	52
Federal Funds	\$72	\$72	\$0	\$72	\$76	\$4	\$4
Early Start Grant	72	72	0	72	76	4	4

Purchase of Service Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
Out-of-Home Respite							
TOTAL	\$49,968	\$46,119	(\$3,849)	\$52,002	\$47,698	\$1,579	(\$4,304)
GF	\$29,075	\$24,511	(\$4,564)	\$30,446	\$25,540	\$1,029	(\$4,906)
GF Match	17,000	17,087	87	17,662	17,635	548	(27)
GF Other	12,075	7,424	(4,651)	12,784	7,905	481	(4,879)
Reimbursements	\$20,823	\$21,538	\$715	\$21,486	\$22,087	\$549	\$601
HCBS Waiver	15,257	15,457	200	15,920	16,006	549	86
1915 (i) SPA	1,742	1,628	(114)	1,742	1,628	0	(114)
Title XX Social Services	606	595	(11)	606	595	0	(11)
Title XX TANF	3,218	3,858	640	3,218	3,858	0	640
Federal Funds	\$70	\$70	\$0	\$70	\$71	\$1	\$1
Early Start Grant	70	70	0	70	71	1	1
Health Care							
TOTAL	\$115,957	\$114,829	(\$1,128)	\$123,699	\$122,374	\$7,545	(\$1,325)
GF	\$95,645	\$94,564	(\$1,081)	\$102,838	\$101,617	\$7,053	(\$1,221)
GF Match	17,206	17,213	7	17,756	17,669	456	(87)
GF Other	78,439	77,351	(1,088)	85,082	83,948	6,597	(1,134)
Reimbursements	\$18,239	\$18,192	(\$47)	\$18,788	\$18,647	\$455	(\$141)
HCBS Waiver	12,655	12,821	166	13,205	13,276	455	71
1915 (i) SPA	4,552	4,393	(159)	4,551	4,393	0	(158)
Title XX TANF	1,032	978	(54)	1,032	978	0	(54)
Federal Funds	\$2,073	\$2,073	\$0	\$2,073	\$2,110	\$37	\$37
Early Start Grant	2,073	2,073	0	2,073	2,110	37	37
Miscellaneous							
TOTAL	\$460,461	\$464,245	\$3,784	\$480,442	\$483,474	\$19,229	\$3,032
GF	\$350,341	\$354,763	\$4,422	\$355,708	\$359,138	\$4,375	\$3,430
GF Match	71,205	71,280	75	63,858	63,589	(7,691)	(269)
GF Other	279,136	283,483	4,347	291,850	295,549	12,066	3,699
Reimbursements	\$95,142	\$94,788	(\$354)	\$109,756	\$109,372	\$14,584	(\$384)
HCBS Waiver	37,682	38,283	601	47,897	48,155	9,872	258
1915 (i) SPA	14,554	14,017	(537)	15,676	15,139	1,122	(537)
Title XX Social Services	2,931	2,844	(87)	2,931	2,844	0	(87)
Title XX TANF	31,498	30,918	(580)	31,498	30,918	0	(580)
ICF-DD	285	296	11	285	296	0	11
BHT - FFS	8,192	8,430	238	11,469	12,020	3,590	551
Federal Funds	\$12,441	\$12,441	\$0	\$12,441	\$12,711	\$270	\$270
Early Start Grant	12,441	12,441	0	12,441	12,711	270	270
Program Development Fund	\$2,537	\$2,253	(\$284)	\$2,537	\$2,253	\$0	(\$284)

Values in thousands (000s)

Purchase of Service Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
Quality Assurance Fees							
TOTAL	\$10,165	\$9,977	(\$188)	\$10,165	\$9,977	\$0	(\$188)
Reimbursements	\$10,165	\$9,977	(\$188)	\$10,165	\$9,977	\$0	(\$188)
QAF Admin Fees	5,083	4,989	(94)	5,083	4,989	0	(94)
Transfer from DHCS	5,082	4,988	(94)	5,082	4,988	0	(94)
Total Purchase of Service Funding Detail							
TOTAL	\$5,460,338	\$5,445,675	(\$14,663)	\$5,790,197	\$5,784,115	\$338,440	(\$6,082)
GF	\$3,190,383	\$3,150,071	(\$40,312)	\$3,431,626	\$3,413,009	\$262,938	(\$18,617)
GF Match	2,020,610	2,046,416	25,806	2,084,537	2,096,406	49,990	11,869
GF Other	1,169,773	1,103,655	(66,118)	1,347,089	1,316,603	212,948	(30,486)
Reimbursements	\$2,234,660	\$2,260,593	\$25,933	\$2,323,276	\$2,335,690	\$75,097	\$12,414
HCBS Waiver	1,668,488	1,690,487	21,999	1,751,713	1,761,162	70,675	9,449
1915 (i) SPA	239,179	242,463	3,284	240,578	243,860	1,397	3,282
Title XX Social Services	136,264	136,264	0	136,264	136,264	0	0
Title XX TANF	77,157	77,157	0	77,157	77,157	0	0
Money Follows the Person	2,968	2,968	0	0	0	(2,968)	0
ICF-DD	62,760	61,601	(1,159)	62,760	61,601	0	(1,159)
EPSDT	26,857	28,538	1,681	29,488	29,787	1,249	299
QAF Admin Fees	5,083	4,989	(94)	5,083	4,989	0	(94)
Transfer from DHCS	5,082	4,988	(94)	5,082	4,988	0	(94)
BHT - FFS	10,822	11,138	316	15,151	15,882	4,744	731
Federal Funds	\$32,758	\$32,758	\$0	\$32,758	\$33,163	\$405	\$405
Early Start Grant	32,758	32,758	0	32,758	33,163	405	405
Program Development Fund	\$2,537	\$2,253	(\$284)	\$2,537	\$2,253	\$0	(\$284)

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SECTION H: COMMUNITY PLACEMENT PLAN

Community Placement Plan	H-1
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Community Placement Plan

DESCRIPTION:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) [e.g., Mental Health Rehabilitation Centers (MHRCs)] that are ineligible for federal financial participation (FFP), and out-of-state placements. Also included is the Community Resource Development Fund (CRDF) to address the needs for services and supports of consumers living in the community. This estimate comprises projected costs and historical experience derived from individualized assessments of community services and support needs, and reflects what is needed to place an individual from a developmental center, IMD, MHRC, or out-of-state placement into the community, in addition to deflecting an individual to avoid admission to an acute crisis facility, a mental health facility ineligible for FFP, or an out-of-state placement. It also reflects costs associated with performing individualized assessments and developing necessary community resources. CPPs are developed through negotiations with each regional center and are based on individualized planning efforts. CPP was first implemented in 2002-03.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Establish resources in the community for individuals transitioning from another environment.
- Facilitate transitions to the community from a developmental center, IMD, or out-of-state placement, as well as to deflect the admission of individuals to acute crisis units, an IMD, or out-of-state placements.
- Work with the developmental centers and families in identifying individuals for movement.
- Stabilize current community living arrangements.

Regular CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for office space, furniture, computers, travel, training, and rent.

Purchase of Services

- **Start-Up:** Start-Up funding for the development of residential and non-residential services in the community for individuals transitioning from a developmental center, IMD, or out-of-state placement. Start-Up also includes CRDF.
- **Assessment:** Assessment funding for comprehensive assessments for individuals who are living in developmental centers, and planning for services when individuals move from the developmental center.
- **Placement:** Placement funds to cover costs of consumers moving into community settings based on consumer-specific information.
- **Deflection:** Deflection funding POS for residential costs of facilities developed with prior year CPP POS Start-Up funding to deflect admissions into more restrictive settings.

Community Placement Plan

ASSUMPTIONS:

- Due to the announced plans to close the Sonoma, Fairview, and Porterville General Treatment Area developmental centers, additional regional center Operations and POS funding is needed to ensure there are adequate resources available for safe and successful transitions of consumers to community living.
- Department of Health Care Services approved rates used for:
 - Intermediate Care Facilities/Developmentally Disabled – Nursing Facilities
 - Intermediate Care Facilities/Developmentally Disabled – Habilitation Facilities

FUNDING:

CPP expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services Waiver, and Money Follows the Person Grant.

EXPENDITURES:

	2017-18	2018-19
<u>Operations</u>		
<i>Regular</i>	15,265	15,265
<i>SDC</i>	3,616	3,616
<i>FDC</i>	1,212	1,212
<i>PDC</i>	606	606
Total Regional Center Operations	20,699	20,699
Regular Regional Center POS		
<i>Community Care Facilities</i>	17,095	17,095
<i>Medical Facilities</i>	3	3
<i>Day Programs</i>	571	571
<i>Work Activity Program</i>	3	3
<i>Transportation</i>	208	208
<i>Support Services</i>	3,528	3,528
<i>In-Home Respite</i>	155	155
<i>Out-of-Home Respite</i>	121	121
<i>Health Care</i>	1,358	1,358
<i>Miscellaneous Services</i>	29,547	29,547
Total Regular Regional Center POS	52,589	52,589
SDC POS	13,322	10,816
FDC POS	4,570	8,652
PDC POS	2,352	5,733
TOTAL	\$93,532	\$98,489
GF	\$65,453	\$69,878
Reimbursements	\$25,826	\$26,358
Program Development Fund	\$2,253	\$2,253

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2017-18 and 2018-19
Regular CPP**

	Governor's Budget 2017-18	Updated 2017-18	2017-18 Request	Governor's Budget 2018-19	Updated 2018-19	2018-19 Over 2017-18	2018-19 Request
I. OPERATIONS	\$15,265	\$15,265	\$0	\$15,265	\$15,265	\$0	\$0
II. PURCHASE OF SERVICES (POS)							
A. Start-Up	\$27,265	\$27,265	\$0	\$27,265	\$27,265	\$0	\$0
B. Assessment	\$1,500	\$1,500	\$0	\$1,500	\$1,500	\$0	\$0
C. Placement	\$22,824	\$22,824	\$0	\$22,824	\$22,824	\$0	\$0
D. Deflection	\$1,000	\$1,000	\$0	\$1,000	\$1,000	\$0	\$0
E. SUBTOTAL POS	\$52,589	\$52,589	\$0	\$52,589	\$52,589	\$0	\$0
III. TOTAL CPP	\$67,854	\$67,854	\$0	\$67,854	\$67,854	\$0	\$0
IV. FUND SOURCES							
A. TOTAL CPP	\$67,854	\$67,854	\$0	\$67,854	\$67,854	\$0	\$0
B. GF	\$46,234	\$43,888	-\$2,346	\$60,788	\$43,888	\$0	-\$16,900
C. Reimbursements	\$21,620	\$21,713	\$93	\$7,066	\$21,713	\$0	\$14,647
D. Program Development Fund	\$0	\$2,253	\$2,253	\$0	\$2,253	\$0	\$2,253

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2017-18 and 2018-19
Sonoma Developmental Center Closure**

	Governor's Budget 2017-18	Updated 2017-18	2017-18 Request	Governor's Budget 2018-19	Updated 2018-19	2018-19 Over 2017-18	2018-19 Request
I. OPERATIONS	\$3,616	\$3,616	\$0	\$3,616	\$3,616	\$0	\$0
II. PURCHASE OF SERVICES (POS)							
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$13,322	\$13,322	\$0	\$10,278	\$10,816	-\$2,506	\$538
D. Deflection	\$0	\$0	\$0	\$0	\$0	\$0	\$0
E. SUBTOTAL POS	\$13,322	\$13,322	\$0	\$10,278	\$10,816	-\$2,506	\$538
III. TOTAL CPP	\$16,938	\$16,938	\$0	\$13,894	\$14,432	-\$2,506	\$538
IV. FUND SOURCES							
A. TOTAL CPP	\$16,938	\$16,938	\$0	\$13,894	\$14,432	-\$2,506	\$538
B. GF	\$14,348	\$14,232	-\$116	\$12,513	\$12,439	-\$1,793	-\$74
C. Reimbursements	\$2,590	\$2,706	\$116	\$1,381	\$1,993	-\$713	\$612

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2017-18 and 2018-19
Fairview Developmental Center Closure**

	Governor's Budget 2017-18	Updated 2017-18	2017-18 Request	Governor's Budget 2018-19	Updated 2018-19	2018-19 Over 2017-18	2018-19 Request
I. OPERATIONS	\$1,212	\$1,212	\$0	\$1,212	\$1,212	\$0	\$0
II. PURCHASE OF SERVICES (POS)							
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$4,570	\$4,570	\$0	\$8,420	\$8,652	\$4,082	\$232
D. Deflection	\$0	\$0	\$0	\$0	\$0	\$0	\$0
E. SUBTOTAL POS	\$4,570	\$4,570	\$0	\$8,420	\$8,652	\$4,082	\$232
III. TOTAL CPP	\$5,782	\$5,782	\$0	\$9,632	\$9,864	\$4,082	\$232
IV. FUND SOURCES							
A. TOTAL CPP	\$5,782	\$5,782	\$0	\$9,632	\$9,864	\$4,082	\$232
B. GF	\$4,893	\$4,853	-\$40	\$8,500	\$8,269	\$3,416	-\$231
C. Reimbursements	\$889	\$929	\$40	\$1,132	\$1,595	\$666	\$463

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2017-18 and 2018-19
Porterville Developmental Center**

	Governor's Budget 2017-18	Updated 2017-18	2017-18 Request	Governor's Budget 2018-19	Updated 2018-19	2018-19 Over 2017-18	2018-19 Request
I. OPERATIONS	\$606	\$606	\$0	\$606	\$606	\$0	\$0
II. PURCHASE OF SERVICES (POS)							
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$2,352	\$2,352	\$0	\$4,334	\$5,733	\$3,381	\$1,399
D. Deflection	\$0	\$0	\$0	\$0	\$0	\$0	\$0
E. SUBTOTAL POS	\$2,352	\$2,352	\$0	\$4,334	\$5,733	\$3,381	\$1,399
III. TOTAL CPP	\$2,958	\$2,958	\$0	\$4,940	\$6,339	\$3,381	\$1,399
IV. FUND SOURCES							
A. TOTAL CPP	\$2,958	\$2,958	\$0	\$4,940	\$6,339	\$3,381	\$1,399
B. GF	\$2,500	\$2,480	-\$20	\$4,357	\$5,282	\$2,802	\$925
C. Reimbursements	\$458	\$478	\$20	\$583	\$1,057	\$579	\$474

Community Placement Plan
Purchase of Services
Summary of Costs
2017-18

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,500	\$1,500
C. Placement	\$30,371	\$4	\$2,933	\$1,077	\$4	\$392	\$3,725	\$293	\$228	\$2,563	\$1,478	\$43,068
D. Deflection a/	\$1,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,000
E. 2018 May Revision 2017-18 TOTAL	\$31,371	\$4	\$2,933	\$1,077	\$4	\$392	\$3,725	\$293	\$228	\$2,563	\$30,243	\$72,833
F. Governor's Budget 2017-18 TOTAL	\$31,863	\$52	\$2,782	\$1,163	\$4	\$379	\$3,372	\$301	\$185	\$2,636	\$30,096	\$72,833
G. DIFFERENCE	-\$492	-\$48	\$151	-\$86	\$0	\$13	\$353	-\$8	\$43	-\$73	\$147	\$0

a/ Deflection is defined as Placement POS for first year of operational residential costs of facilities developed with CPP Start-Up to deflect admission to a more restrictive setting.

b/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Summary of Costs
2018-19

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,500	\$1,500
C. Placement	\$33,866	\$5	\$3,271	\$1,201	\$5	\$437	\$4,154	\$327	\$255	\$2,857	\$1,648	\$48,026
D. Deflection a/	\$1,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,000
E. 2018 May Revision 2018-19 TOTAL	\$34,866	\$5	\$3,271	\$1,201	\$5	\$437	\$4,154	\$327	\$255	\$2,857	\$30,413	\$77,791
F. Governor's Budget 2017-18 TOTAL	\$33,860	\$55	\$2,962	\$1,238	\$5	\$404	\$3,591	\$321	\$197	\$2,806	\$30,182	\$75,621
G. DIFFERENCE	\$1,006	-\$50	\$309	-\$37	\$0	\$33	\$563	\$6	\$58	\$51	\$231	\$2,170

a/ Deflection is defined as Placement POS for first year of operational residential costs of facilities developed with CPP Start-Up to deflect admission to a more restrictive setting.

b/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2017-18

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$30,371	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$52	\$30,423
● Intermediate Care Facilities (ICF)	\$0	\$4	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$4
● Supported Living	\$0	\$0	\$2,933	\$1,077	\$4	\$0	\$0	\$392	\$0	\$0	\$228	\$2,563	\$1,426	\$8,623
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,725	\$293	\$0	\$0	\$0	\$4,018
TOTAL	\$30,371	\$4	\$2,933	\$1,077	\$4	\$0	\$0	\$392	\$3,725	\$293	\$228	\$2,563	\$1,478	\$43,068

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2018-19

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$33,866	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$58	\$33,924
● Intermediate Care Facilities (ICF)	\$0	\$5	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$5
● Supported Living	\$0	\$0	\$3,271	\$1,201	\$5	\$0	\$0	\$437	\$0	\$0	\$255	\$2,857	\$1,590	\$9,616
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$4,154	\$327	\$0	\$0	\$0	\$4,481
TOTAL	\$33,866	\$5	\$3,271	\$1,201	\$5	\$0	\$0	\$437	\$4,154	\$327	\$255	\$2,857	\$1,648	\$48,026

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

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General Fund

DESCRIPTION:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

ASSUMPTIONS/METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • GF Match: \$2,329,835 		\$2,475,368
<p>This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Services (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally-recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:</p>		
	FMAP % of GF Match	
<i>Home and Community-Based Services (HCBS) Waiver</i>	50.00%	1,750,095
<i>HCBS Waiver Administration</i>	50.00%	14,700
<i>Medicaid Administration</i>	25.00%	5,377
<i>Targeted Case Management (TCM)</i>	50.00%	204,778
<i>TCM Administration</i>	50.00%	7,377
<i>Intermediate Care Facility/Developmentally Disabled</i>	50.00%	61,600
<i>Quality Assurance Fees (Operations) Portion only*</i>	50.00%	924
<i>1915 (i) State Plan Amendment</i>	50.00%	253,635
<i>Money Follows the Person (MFP)*</i>	25.00%	2,810
<i>Early Periodic Screening Diagnosis and Treatment</i>	50.00%	28,539
<ul style="list-style-type: none"> • GF Other: \$1,412,470 		\$1,627,943
<p>These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, or Federal Funds.</p>		
<ul style="list-style-type: none"> • TOTAL EXPENDITURES 		\$3,742,305
		\$4,103,311

General Fund

FUNDING:

These amounts are reflected in the Operations and Purchase of Services methodology pages.

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$3,742,305	\$4,103,311
GF	\$3,742,305	\$4,103,311
GF Match	2,329,835	2,475,368
GF Other	1,412,470	1,627,943

* For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget.

Reimbursements
Summary of Reimbursements and General Fund (GF) Match

REIMBURSEMENTS <i>a/</i>	Governor's Budget 2017-18	Updated 2017-18	Governor's Budget 2018-19	Updated 2018-19
A. Home and Community-Based Services (HCBS) Waiver	\$3,435,313	\$3,479,665	\$3,700,088	\$3,741,902
1. Reimbursement (from DHCS)	1,707,394	1,729,570	1,850,044	1,868,326
2. DDS GF Match (Purchase of Services)	1,727,919	1,750,095	1,850,044	1,873,576
B. HCBS Waiver Administration	\$29,400	\$29,400	\$29,391	\$29,391
1. Reimbursement (from DHCS) = 50%	14,700	14,700	14,696	14,696
2. DDS GF Match = 50% (Operations)	14,700	14,700	14,695	14,695
C. Medicaid Administration	\$21,509	\$21,509	\$21,509	\$21,509
1. Reimbursement (from DHCS) = 75%	16,132	16,132	16,132	16,132
2. DDS GF Match = 25% (Operations)	5,377	5,377	5,377	5,377
D. Targeted Case Management (TCM)	\$408,244	\$409,555	\$419,879	\$421,468
1. Reimbursement (from DHCS) = 50%	204,122	204,777	209,939	210,734
2. DDS GF Match = CY 50% and BY 50% (Operations)	204,122	204,778	209,940	210,734
E. Targeted Case Management Administration	\$12,946	\$14,754	\$12,946	\$14,754
1. Reimbursement (from DHCS) = 50%	6,473	7,377	6,473	7,377
2. DDS GF Match = 50% (Operations)	6,473	7,377	6,473	7,377
F. Title XX Block Grant	\$213,421	\$213,421	\$213,421	\$213,421
1a. Social Services (from DSS) = 100%	136,264	136,264	136,264	136,264
1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100%	77,157	77,157	77,157	77,157
2. DDS GF Match (Purchase of Services)	0	0	0	0
G. Intermediate Care Facility-Developmentally Disabled (ICF-DD)	\$125,520	\$123,200	\$125,520	\$123,200
1. Reimbursement (from DHCS) = 50%	62,760	61,600	62,760	61,600
2. DDS GF Match = 50% (Purchase of Services)	62,760	61,600	62,760	61,600
H. Quality Assurance Admin Fees (Transfer from DHCS) ^{b/}	\$12,048	\$11,825	\$12,048	\$11,825
1a. Operations:	1,883	1,848	1,883	1,848
Reimbursements from DHCS = 50%	941	924	941	924
DDS GF Match = 50%	942	924	942	924
1b. Purchase of Services:	10,165	9,977	10,165	9,977
Reimbursements from DHCS = 100%	10,165	9,977	10,165	9,977
DDS GF Match = 0%	0	0	0	0
I. 1915(i) State Plan Amendment	\$496,991	\$504,473	\$533,794	\$542,596
1. Reimbursement (from DHCS)	247,610	250,838	266,897	271,298
2. DDS GF Match (Purchase of Services)	249,381	253,635	266,897	271,298
J. Money Follows the Person Grant	\$14,206	\$14,206	\$0	\$0
1a. Operations:	11,238	11,238	0	0
Reimbursements from DHCS = 75%	8,428	8,428	0	0
DDS GF Match = 25%	2,810	2,810	0	0
1b. Purchase of Services:	2,968	2,968	0	0
Reimbursements from DHCS	2,968	2,968	0	0
K. Impacts from Other Departments BHT-FFS	\$10,822	\$11,138	\$15,151	\$15,882
1. Reimbursement (from DHCS)	10,822	11,138	15,151	15,882
2. DDS GF Match (Purchase of Services)	0	0	0	0
L. Early Periodic Screening Diagnosis and Treatment	\$53,713	\$57,076	\$58,976	\$59,574
1. Reimbursement (from DHCS) = 50%	26,857	28,538	29,488	29,787
2. DDS GF Match = 50% (Purchase of Services)	26,856	28,538	29,488	29,787
M. TOTAL	\$4,834,133	\$4,890,222	\$5,142,723	\$5,195,522
1. Reimbursements	\$2,532,793	\$2,560,388	\$2,696,107	\$2,720,154
2. Total GF Match	\$2,301,340	\$2,329,834	\$2,446,616	\$2,475,368

a/ Reimbursements are funds received via other state agencies.

Values in thousands (000's)

Home and Community-Based Waiver

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for the developmentally disabled.

ASSUMPTIONS:

Data Source: Medicaid Waiver Total Billed Dollar Amounts and Client Counts report, based on calendar year 2017.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
Base: 2017-18	\$3,370,846	\$3,459,365
Total estimated expenditures based on billing data for the period January 2017 - December 2017. Base includes six months of expenditures of Annual Growth, CPP and Placement Continuation Costs.	3,370,846	
• Total estimated prior-year HCBS Waiver expenditures		3,479,665
• Less Competitive, Integrated Employment Incentives		-15,840
• Less Compliance with HCBS Regulations.		-7,440
• Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facility.		2,980
• Annual Growth, New regional center consumers:	21,516	35,160
• 2017-18 Annual Growth Add annual growth costs in 2017-18 for 2,129 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,300 monthly cost per consumer, phased-in. Six months of expenditures are in the base.	13,098	
• 2017-18 Annual Growth Continuation costs for regional center consumers added in 2016-17. Six months of expenditures are in the base.	8,418	
• 2018-19 Annual Growth Add annual costs in 2018-19 for 2,213 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,300 monthly cost per consumer, phased-in. Six months of expenditures are in the base.		18,677
• 2018-19 Annual Growth, Continuation costs for regional center consumers added in 2017-18. Six months of expenditures are in the base.		16,483

Home and Community-Based Waiver

METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>						
• Community Placement Program (CPP):								
<ul style="list-style-type: none"> • 2017-18 <ul style="list-style-type: none"> Add CPP & HCBS Waiver costs in 2017-18 for 283 consumers in the regional center system with \$13,500 monthly cost per consumer, phased-in. Six months of expenditures are the base. <table border="0" style="margin-left: 40px; width: 60%;"> <tr> <td style="width: 80%;"></td> <td style="text-align: right; vertical-align: bottom;">9,272</td> <td></td> </tr> </table> • 2018-19 <ul style="list-style-type: none"> Add CPP & HCBS Waiver costs in 2018-19 for 199 consumers in the regional center system with \$13,500 monthly cost per consumer, phased-in. Six months of expenditures are in the base. <table border="0" style="margin-left: 40px; width: 60%;"> <tr> <td style="width: 80%;"></td> <td></td> <td style="text-align: right; vertical-align: bottom;">8,411</td> </tr> </table> 				9,272				8,411
	9,272							
		8,411						
• Placement Continuation:								
<ul style="list-style-type: none"> • 2017-18 <ul style="list-style-type: none"> The annual estimated Placement Continuation costs for 170 HCBS Waiver-eligible consumers in each living arrangement with \$13,500 monthly cost per consumer, phased-in. Six months of expenditures are in the base. <table border="0" style="margin-left: 40px; width: 60%;"> <tr> <td style="width: 80%;"></td> <td style="text-align: right; vertical-align: bottom;">2,849</td> <td></td> </tr> </table> • 2018-19 <ul style="list-style-type: none"> The annual estimated Placement Continuation costs for 283 HCBS Waiver-eligible consumers in each living arrangement with \$13,500 monthly cost per consumer, phased-in. Six months of expenditures are in the base. <table border="0" style="margin-left: 40px; width: 60%;"> <tr> <td style="width: 80%;"></td> <td></td> <td style="text-align: right; vertical-align: bottom;">23,193</td> </tr> </table> 				2,849				23,193
	2,849							
		23,193						
• SSI/SSP Increase/Regional center savings in Community Care Facilities.	-2,980	-3,806						
• Developmental center closure.	8,224	9,287						
• ABX2 1 Competitive, Integrated Employment Incentives	15,840	15,840						
• Compliance with HCBS Regulations.	7,440	7,440						
• Uniform Holiday Schedule	0	-6,626						

Home and Community-Based Waiver

METHODOLOGY (continued):	<u>2017-18</u>	<u>2018-19</u>
• Home Health Rate Increase	0	22,424
• SB 3 - Minimum Wage Increase, January 1, 2018	46,658	85,384
• SB 3 - Minimum Wage Increase, January 1, 2019	0	85,830

FUNDING:

The expenditures of HCBS Waiver reimbursements are in all of the Purchase of Services budget categories, except Medical Facilities.

EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
Total	3,479,665	3,741,902
GF Match	1,750,095	1,873,576
FFP	1,729,570	1,868,326

**Home and Community-Based Waiver
Estimated Distribution in Purchase of Services**

EXPENDITURES:	% of Actual 2016-17 Expenditures by <u>Budget Category</u>	<u>2017-18</u>	<u>2018-19</u>
Total Estimated HCBS Waiver-Billable Expenditures		\$3,479,665	\$3,741,902
General Fund (GF) Match		1,750,095	1,873,576
Federal financial participation (FFP)		1,729,570	1,868,326
Purchase of Services: Budget Categories			
Community Care Facilities		1,133,689	1,173,957
GF Match	33.33%	566,845	586,978
FFP		566,844	586,979
Day Programs		610,525	632,212
GF Match	17.95%	305,262	316,106
FFP		305,263	316,106
Work Activity Program		31,898	33,031
GF Match	0.94%	15,950	16,516
FFP		15,948	16,515
Supported Employment Placement (SEP) - Group		34,768	36,003
GF Match	1.02%	17,383	18,002
FFP		17,385	18,001
SEP - Individual		6,119	6,335
GF Match	0.18%	3,059	3,167
FFP		3,060	3,168
Transportation		221,318	229,180
GF Match	6.51%	110,659	114,590
FFP		110,659	114,590
Support Services		933,166	966,312
GF Match	27.43%	468,626	483,156
FFP		464,540	483,156
In-Home Respite		280,456	290,417
GF Match	8.25%	140,228	145,208
FFP		140,228	145,209
Out-of-Home Respite		30,917	32,013
GF Match	0.91%	15,459	16,007
FFP		15,458	16,006

**Home and Community-Based Waiver
Estimated Distribution in Purchase of Services**

EXPENDITURES (continued):		% of Actual 2016-17 Expenditures by <u>Budget Category</u>	<u>2017-18</u>	<u>2018-19</u>
Health Care			25,641	26,552
	GF Match	0.75%	12,820	13,276
	FFP		12,821	13,276
Miscellaneous			93,007	96,310
	GF Match	2.73%	54,724	48,155
	FFP		38,283	48,155
Polices				
ABX2 1 - Competitive, Integrated Employment Incentives			15,840	15,840
	GF Match		7,920	7,920
	FFP		7,920	7,920
DC Closure - CPP			8,223	9,287
	GF Match		4,111	4,643
	FFP		4,112	4,644
Compliance with HCBS Regulations			7,440	7,440
	GF Match		3,720	3,720
	FFP		3,720	3,720
Uniform Holiday			0	-6,626
	GF Match		0	-688
	FFP		0	-5,938
Home Health Rate Increase			0	22,424
	GF Match		0	11,212
	FFP		0	11,212
SB 3 - Minimum Wage Increase, January 1, 2018			46,658	85,384
	GF Match		23,329	42,692
	FFP		23,329	42,692
SB 3 - Minimum Wage Increase, January 1, 2019			0	85,830
	GF Match		0	42,915
	FFP		0	42,915

Values in thousands (000's)

Home and Community-Based Services Waiver Administration

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

ASSUMPTIONS/METHODOLOGY:

Training for Direct Support Professional Staff:

Welfare and Institutions Code Sections 4695.2, Statutes of 1998, mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program is conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). This estimate of Operations costs covers the costs of challenge tests and training through the IA with CDE.

	<u>2017-18</u>	<u>2018-19</u>
Training for DSP Staff	\$2,658	\$2,658

- Total cost for DSP Training is \$3,037.
- 87.53% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 87.53% of the cost are eligible for federal financial participation (FFP).

These costs are reflected under Operations, Projects.

Collection of FFP for Contracted Services:

Regional center staff renegotiate contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options, and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	757	757
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- Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893.
- 40% of the costs are eligible for FFP.

These costs are reflected under Operations, Staffing.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

FFP Enhancement (related to 2003-04 Enhancing FFP Budget Change Proposal)	<u>2017-18</u>	<u>2018-19</u>
	11,728	11,728

- Total regional center administrative cost for 2017-18 and 2018-19 is \$11,728.

- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

2007-08 Legislative Augmentation: Resources for Health Care Community Specialist	1,518	1,518
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- Total cost for Resources for Health Care Community Specialist is \$1,549.

- 98% of Agnews and Lanterman consumers are HCBS Waiver eligible, therefore it is assumed that 98% of these cost are eligible for FFP.

These costs are reflected under Operations, Agnews Ongoing Workload and Lanterman Development Closure.

Compliance with HCBS Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:	1,422	1,422
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- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in 2017-18 and 2018-19.

- 100% of the costs are eligible for FFP.

These costs are reflected under Operations, Operations Policies Items.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2017-18</u>	<u>2018-19</u>
Federal Medicaid Requirements for Regional Center HCBS Services	984	984
<ul style="list-style-type: none"> • Total cost: \$984 • 100% of cost are eligible for FFP. <p>These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.</p>		
Office of Administrative Hearings:	1,541	1,541
<ul style="list-style-type: none"> • Total cost for 2017-18 and 2018-19 is \$3,350 • 46% of cost are eligible for FFP. <p>These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects.</p>		
Clients Rights Advocacy	3,124	3,271
<ul style="list-style-type: none"> • Total costs for 2017-18 is \$6,791 and \$7,111 for 2018-19. • 46% of cost are eligible for FFP. <p>These costs are reflected in the Clients Rights Advocacy estimate under Operations, Projects.</p>		
Quality Assessment Contract:	1,860	1,704
<ul style="list-style-type: none"> • Total cost for 2017-18 is \$4,044 and \$3,705 for 2018-19. • 46% of cost are eligible for FFP <p>These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects.</p>		
Special Incident Reporting/Risk Assessment:	422	422
<ul style="list-style-type: none"> • Total cost for 2017-18 and 2018-19 is \$938. • 45% of cost are eligible for FFP. <p>These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects.</p>		
Regional Center Salary Increase:		
<ul style="list-style-type: none"> • Total cost for 2017-18 and 2018-19 is \$722. • 100% of cost are eligible for FFP. <p>These costs are reflected under Operations, Operations Policies Items.</p>	722	722

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2017-18</u>	<u>2018-19</u>
Resources to Implement Assembly Bill X2 1	2,664	2,664
<ul style="list-style-type: none"> • Total cost for 2017-18 and 2018-19 is \$2,664. • 100% of cost are eligible for FFP. These costs are reflected under Operations, Operations Policies Items. 		
<ul style="list-style-type: none"> • TOTAL EXPENDITURES 	\$29,400	\$29,391

FUNDING:

Funding for HCBS Waiver Administration reimbursement is 50% FFP and 50% General Fund (GF).

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$29,400	\$29,391
<i>GF Match</i>	14,700	14,696
<i>FFP</i>	14,700	14,696

Medicaid Administration

DESCRIPTION:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statue of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Agnews Ongoing Workload (AOW) and Lanterman Development Center Closure (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Consistent with the passage of Assembly Bill 1378 (Chapter 538, Statue of 2005), AOW employees provide clinical support services to monitor the health and well-being of Agnews residents who transitioned into the community consistent with the Department's Interagency Agreement for MA with the Department of Health Care Services.

Nurse consultants monitor consumer health care plans and health care for Agnews and Lanterman residents living in SB 962 homes. Eleven dental coordinators promote and coordinate dental resources for Agnews and Lanterman residents that have moved into the community.

State employees in the community may participate in all MA activities, including clinical support teams, SB 1038 health reviews, and compliance with HCBS Waiver requirements. (AOW only)

Medicaid Administration

ASSUMPTIONS/METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>						
<ul style="list-style-type: none"> • Costs for Clinical Support Teams and SB 1038 Health Reviews are based on 2015-16 data collected from the regional centers. <ul style="list-style-type: none"> • The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75%. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">\$ 15,138</td> <td style="text-align: right;">\$ 15,138</td> </tr> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">11,354</td> <td style="text-align: right;">11,354</td> </tr> </table> 		\$ 15,138	\$ 15,138		11,354	11,354		
	\$ 15,138	\$ 15,138						
	11,354	11,354						
<ul style="list-style-type: none"> • Staffing for Compliance with HCBS Waiver Requirements <ul style="list-style-type: none"> • Total personal services and operating costs related to MA. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">2,600</td> <td style="text-align: right;">2,600</td> </tr> </table> <p>100% of these costs are eligible for MA because related staff will be working only with HCBS Waiver consumers.</p> • The FFP portion of total MA-eligible costs is 75%. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">1,950</td> <td style="text-align: right;">1,950</td> </tr> </table> 		2,600	2,600		1,950	1,950		
	2,600	2,600						
	1,950	1,950						
<ul style="list-style-type: none"> • Agnews Ongoing Workload and Lanterman Developmental Closure <ul style="list-style-type: none"> • Nurse Consultants <ul style="list-style-type: none"> • Total personal services and operating costs related to MA. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">523</td> <td style="text-align: right;">523</td> </tr> </table> <p>Consistent with the Community Placement Plan (CPP) assumptions, 98% of these costs are eligible for MA.</p> • The FFP portion of total MA-eligible costs is 75%. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">392</td> <td style="text-align: right;">392</td> </tr> </table> 		523	523		392	392		
	523	523						
	392	392						
<ul style="list-style-type: none"> • State Employees in the Community <ul style="list-style-type: none"> • Total personal services and operating costs related to MA. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">871</td> <td style="text-align: right;">871</td> </tr> </table> <p>Consistent with CPP assumptions, 98% of these costs are eligible for MA.</p> • The FFP portion of total MA-eligible costs is 75%. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">653</td> <td style="text-align: right;">653</td> </tr> </table> 		871	871		653	653		
	871	871						
	653	653						
<ul style="list-style-type: none"> • Dental Coordinators <ul style="list-style-type: none"> • Total personal services and operating costs related to MA. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">867</td> <td style="text-align: right;">867</td> </tr> </table> <p>Consistent with CPP assumptions, 98% of these costs are eligible for MA.</p> • The FFP portion of total MA-Eligible costs is 75%. <table border="0" style="margin-left: 40px;"> <tr> <td style="width: 50%;"></td> <td style="text-align: right;">650</td> <td style="text-align: right;">650</td> </tr> </table> 		867	867		650	650		
	867	867						
	650	650						

Medicaid Administration

ASSUMPTIONS/METHODOLOGY (continued):

<ul style="list-style-type: none"> • Regional Center Salary Increase • Increased funding for regional center staff and Operations. • It is assumed that 100% of these costs are eligible for MA. • The FFP portion of total MA-eligible costs is 75%. 	<table border="0"> <tr> <td style="text-align: right;"><u>2017-18</u></td> <td style="text-align: right;"><u>2018-19</u></td> </tr> <tr> <td style="text-align: right;">1,510</td> <td style="text-align: right;">1,510</td> </tr> <tr> <td style="text-align: right;">1,133</td> <td style="text-align: right;">1,133</td> </tr> </table>	<u>2017-18</u>	<u>2018-19</u>	1,510	1,510	1,133	1,133
<u>2017-18</u>	<u>2018-19</u>						
1,510	1,510						
1,133	1,133						

FUNDING:

The MA reimbursement is 75% FFP and 25% General Fund (GF). These MA funds are reflected in Operations section.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$21,509	\$21,509
FFP	16,132	16,132
GF Match	5,377	5,377

Targeted Case Management

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 213,000 Medi-Cal eligible persons in the regional center system as of February 9, 2018. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This program provides federal financial participation (FFP) for most of regional center case managers time spent on Medi-Cal eligible activities.

ASSUMPTIONS:

Source data is from the TCM Regional Center Billed Units report dated February 8, 2018 for the period of December 2016- December 2017.

METHODOLOGY:	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: TCM expenditures are based on actual TCM billable units for a 12-month period (December 2016 - December 2017 [excludes May 2017]) multiplied by the regional center TCM rates effective July 1, 2017. 	\$374,945	\$386,858
<ul style="list-style-type: none"> • Improve Service Coordinator Caseload Ratios 	8,000	8,000
<ul style="list-style-type: none"> • Regional Center Salary Increases 	26,014	26,014
<ul style="list-style-type: none"> • Psychological Evaluations for BHT- Fee for service 	596	596
Total Expenditures:	\$ 409,555	\$ 421,468

FUNDING:

Funding for TCM reimbursement is 50% FFP and 50% General Fund (GF). TCM funds are reflected in the Core Staffing, Federal Compliance, and Operations Policy Items estimates, in the Operations section.

	<u>2017-18</u>	<u>2018-19</u>
Total	\$ 409,555	\$ 421,468
<i>FFP</i>	<i>204,777</i>	<i>210,734</i>
<i>GF Match</i>	<i>204,778</i>	<i>210,734</i>

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services for specific client groups. There are approximately 213,000 Medi-Cal eligible persons in the regional center system as of February 9, 2018. Federal legislation enacted in 1986 defined case management services as those which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the regional center case manager's time spent on Medi-Cal eligible developmentally disabled persons and the cost of providing administrative support to the case management program are both eligible for federal financial participation (FFP). These TCM Administration funds are for the proper and efficient administration of the TCM program. Department headquarters staff work a certain percentage of their workload administering the TCM program, which is reimbursed to allocate salaries, wages, and benefits.

KEY DATA/ASSUMPTIONS:

Source of Data: The Departments Fiscal Systems - California State Accounting and Reporting System.

METHODOLOGY:	<u>2017-18</u>	<u>2018-19</u>
Utilizing a time survey, the Department gathers records of time spent by the Departments headquarter personnel providing administrative case management assistance to the regional centers. These surveys are used to allocate headquarters salaries, wages, and benefits, as well as a portion of the Department's statewide cost allocation, to administrative case management support.	\$14,754	\$14,754

FUNDING:

Funding for the TCM Administration reimbursement is 50% FFP and 50% General Fund (GF). TCM Administration funds are reflected in the Core Staffing estimate under Operations.

EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$14,754	\$14,754
<i>FFP</i>	<i>7,377</i>	<i>7,377</i>
<i>GF Match</i>	<i>7,377</i>	<i>7,377</i>

Title XX Block Grant

DESCRIPTION:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200% of the official income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

KEY DATA/ASSUMPTIONS:

The Department's portion of the Title XX Block Grant is determined by DSS. There is no state matching requirements for these funds.

	<u>2017-18</u>	<u>2018-19</u>
Total	\$213,421	\$213,421
Social Services	136,264	136,264
TANF	77,157	77,157

Title XX Block Grant

FUNDING:

The Title XX Block Grant amount is determined by the DSS.

EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
Total Title XX Block Grant -	\$213,421	\$213,421
Social Services		
Estimated Distribution in	\$136,264	\$136,264
Regional Center Purchases of Services		
<i>Day Programs</i>	47,147	47,147
<i>Transportation</i>	8,270	8,270
<i>Support Services</i>	58,415	58,415
<i>In-Home Respite</i>	18,993	18,993
<i>Out-of-Home Respite</i>	595	595
<i>Miscellaneous</i>	2,844	2,844
TANF		
Estimated Distribution in	\$77,157	\$77,157
Regional Center Purchases of Services		
<i>Community Care Facilities</i>	7,411	7,411
<i>Day Programs</i>	74	74
<i>Transportation</i>	646	646
<i>Support Services</i>	13,281	13,281
<i>In-Home Respite</i>	19,991	19,991
<i>Out-of-Home Respite</i>	3,858	3,858
<i>Healthcare</i>	978	978
<i>Miscellaneous</i>	30,918	30,918

Intermediate Care Facility- Developmentally Disabled State Plan Amendment

DESCRIPTION:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Program and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011.

IMPLEMENTATION DATE:

The SPA was approved April 14, 2011, retroactive to July 1, 2007.

ASSUMPTIONS/METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from calendar year 2017.

FUNDING:

Funding for ICF-DD is 50% FFP and 50% General Fund (GF) for Day Treatment and Transportation Services for consumers residing in an ICF-DD. It is assumed that 62% of this funding is for Day Programs, 25% is for Transportation services, 11% is for Support Services, 1% is for Habilitation Services, and the remaining 1% is for Miscellaneous. Please refer to the corresponding Purchase of Service Methodology for additional information.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$123,200	\$123,200
FFP	61,600	61,600
GF Match	61,600	61,600

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional center billing on behalf of the ICF-DD, administrative costs for the ICF-DD, and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- Source of Data: State Claims Purchase of Services (POS) Claims data file, dated February 22, 2018, with actuals based on calendar year 2017.
- ICF-DD Administrative Costs and QAF are set by DHCS.
- Regional center administration costs are 1.5% of Day Programs and Transportation expenditures.
- ICF-DD Administration costs are 1.5% of the cost of Day Program and Transportation expenditures for both the regional center and ICF-DD.
- POS costs for Day Program and Transportation total \$123.2 million. QAF is \$9.9 million.
- 2017-18 and 2018-19: Total billing costs are \$1.8 million for regional center administration, \$1.8 million for ICF-DD administration, and \$8.1 million QAF.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$11,825	\$11,825
Operations		
General Fund (GF)	1,848	1,848
<i>GF Match</i>	924	924
Reimbursements		
<i>Federal Financial Participation (FFP) QAF</i>	924	924
POS		
<i>QAF Admin Fees (FFP)</i>	9,977	9,977
<i>Transfer from DHCS</i>	4,989	4,989
	4,988	4,988

Quality Assurance Fees
Regional Center Costs and Intermediate Care
Facility - Developmentally Disabled Vendor Costs

FUNDING:

Funding for regional center Administration fees is 50% FFP and 50% GF and are reflected in ICF-DD Administration Fee in Operations. QAF is 100% FFP with the GF Match in DHCS' Budget, and are reflected in Quality Assurance Fees - POS.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$11,825	\$11,825
<i>FFP</i>	10,901	10,901
<i>GF Match</i>	924	924

1915 (i) State Plan Amendment

DESCRIPTION:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915 (i) State Plan Amendment (SPA) to Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915 (i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

ASSUMPTIONS:

Expenditure Data Source: Updated Purchase of Services (POS) billed expenditures, based on calendar year 2017.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Base: Updated actual 2017-18 expenditures were used to develop the 2017-18 base. For 2018-19, the prior year estimate for base and growth, with the following adjustments, was used as the base. 	\$504,473	\$542,596
<ul style="list-style-type: none"> • Updated Costs without Community Placement Plan 	487,725	487,725
<ul style="list-style-type: none"> • ABX2 1 - Competitive, Integrated Employment Incentives 	2,160	2,160
<ul style="list-style-type: none"> • Home Health Rate Increase 	0	2,338
<ul style="list-style-type: none"> • Uniform Holiday 	0	-2,232
<ul style="list-style-type: none"> • Compliance with Home and Community-Based Services (HCBS) Regulations 	560	560
<ul style="list-style-type: none"> • Purchase of Services SB3 Minimum Wage, 1/1/2018 	14,028	26,242
<ul style="list-style-type: none"> • Purchase of Services SB3 Minimum Wage, 1/1/2019 	0	25,803
<ul style="list-style-type: none"> • Total Expenditures: 	\$504,473	\$542,596

FUNDING:

The 1915(i) SPA funds a broad array of POS costs for eligible individuals in all of the POS budget categories except for Medical Facilities. 1915(i) SPA expenditures are funded by federal financial participation (FFP) determined by the Federal Medical Assistance Percentage of 50% and 50% General Fund (GF).

Values in thousands (000's)

1915 (i) State Plan Amendment

EXPENDITURES:		<u>2017-18</u>	<u>2018-19</u>
	Total	\$504,473	\$542,596
	GF Match	253,635	271,298
	FFP	250,838	271,298
% of Expenditures by Budget Category 2017-18 & 2018-19			
Community Care Facilities	21.26%	103,703	103,703
<i>GF Match</i>		51,851	51,852
<i>FFP</i>		51,852	51,851
Day Programs	24.73%	120,608	120,608
<i>GF Match</i>		60,304	60,304
<i>FFP</i>		60,304	60,304
Habilitation	4.53%	22,074	22,073
<i>GF Match</i>		11,037	11,037
<i>FFP</i>		11,037	11,036
Transportation	4.63%	22,587	22,587
<i>GF Match</i>		11,293	11,294
<i>FFP</i>		11,294	11,293
Support Services	28.25%	137,797	137,797
<i>GF Match</i>		69,177	68,898
<i>FFP</i>		68,620	68,899
In-Home Respite	7.92%	38,638	38,638
<i>GF Match</i>		19,319	19,319
<i>FFP</i>		19,319	19,319
Out-of-Home Respite	0.67%	3,256	3,256
<i>GF Match</i>		1,628	1,628
<i>FFP</i>		1,628	1,628
Health Care	1.80%	8,786	8,786
<i>GF Match</i>		4,393	4,393
<i>FFP</i>		4,393	4,393
Miscellaneous	6.21%	30,277	30,277
<i>GF Match</i>		16,260	15,138
<i>FFP</i>		14,017	15,139

Values in thousands (000's)

1915 (i) State Plan Amendment

EXPENDITURES (Continued):	<u>2017-18</u>	<u>2018-19</u>
ABX2 1 - Competitive, Integrated Employment		
Incentives	2,160	2,160
<i>GF Match</i>	1,080	1,080
<i>FFP</i>	1,080	1,080
Home Health Rate Increase	0	2,338
<i>GF Match</i>	0	1,169
<i>FFP</i>	0	1,169
Uniform Holiday	0	-2,232
<i>GF Match</i>	0	-1,116
<i>FFP</i>	0	-1,116
Compliance with HCBS Regulations	560	560
<i>GF Match</i>	280	280
<i>FFP</i>	280	280
SB 3 Min Wage increase, 01/01/18	14,027	26,242
<i>GF Match</i>	7,013	13,121
<i>FFP</i>	7,014	13,121
SB 3 Min Wage increase, 01/01/19	0	25,803
<i>GF Match</i>	0	12,901
<i>FFP</i>	0	12,902

Values in thousands (000's)

Money Follows the Person Grant

DESCRIPTION:

The Department of Health Care Service (DHCS) administers California's Money Follows the Person (MFP) Grant. The federal MFP Grant (also known as California Community Transitions) provides federal funding for eligible services needed to assist consumers in transitioning to the community from institutions. The MFP Grant funds available to DDS will be fully exhausted in 2017-18 as this Grant is set to expire.

OPERATIONS ASSUMPTIONS:

		<u>2017-18</u>	<u>2018-19</u>
The MFP Grant funds regional center transition coordination activities for Community Placement Plan, Resources Development. See Community Placement Plan methodology page for details. These amounts are based on an amount per transition fee multiplied by the number of transitions.	Total	\$11,238	\$0
	<i>Funding:</i>		
	<i>FFP</i>	8,428	0
	<i>GF Match</i>	2,810	0

FUNDING:

The MFP Grant reimbursement for Operation expenditure is 75% federal financial participation (FFP) with 25% General Fund (GF) Match for specified Centers for Medicare & Medicaid Services approved transition coordination activities.

PURCHASE OF SERVICES ASSUMPTIONS:

		<u>2017-18</u>	<u>2018-19</u>
The MFP Grant funds regional center transition coordination activities for Community Placement Plan, Purchase of Services, See Community Placement Plan methodology page for details. These amounts are calculated based on the Regular Community Placement Plan federally eligible amounts.		\$2,968	\$0

Money Follows the Person Grant

FUNDING:

The MFP Grant reimbursement for Purchase of Services expenditure is 25% FFP of the total cost and there is no GF Match.

TOTAL OPERATIONS and PURCHASE OF SERVICE EXPENDITURES:	<u>2017-18</u>	<u>2018-19</u>
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Total	\$14,206	\$0
<i>FFP</i>	11,396	0
<i>GF</i>	2,810	0

Early Periodic Screening Diagnosis and Treatment

DESCRIPTION:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age 3 that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

ASSUMPTIONS:

The estimate is based on actual billed expenditures from July 2017 through December 2017 . EPSDT funding is only in the Day Program budget category.

FUNDING:

Funding for EPSDT services is 50% federal financial participation (FFP) and 50% General Fund (GF).

EXPENDITURES:

	<u>2016-17</u>	<u>2017-18</u>
Total	\$57,076	\$59,574
FFP	28,538	29,787
GF Match	28,538	29,787

Department of Health Care Services-Behavioral Health Treatment Fee-for-Service

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21. BHT services are effective retroactively to July 1, 2014.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit for children with a diagnosis of Autism Spectrum Disorder (ASD). Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the proposed Medi-Cal benefit. Those receiving BHT services through the Department before September 15, 2014, transitioned to DHCS between February and October 2016.

Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation. However, CMS determined Medi-Cal must cover BHT services for all children whose services have been determined to be medically necessary, regardless of their ASD diagnosis. As a result, effective March 1, 2018, the Department began transition of these children to DHCS on a Fee-for-Service (FFS) basis.

The estimate reflects savings from BHT FFS consumers who transitioned to DHCS beginning February 1, 2016 and those who began transition March 1, 2018. These children will continue to receive services through the regional centers, and DHCS will reimburse the Department for the related expenditures.

ASSUMPTIONS:

Reimbursements were estimated based on FFS consumers who transitioned beginning February 1, 2016 and those who began transition March 1, 2018. Full year costs for these consumers were grown consistent with Miscellaneous and Support Services budget categories to estimate 2017-18 and 2018-19. Of the savings from the BHT Transition Plan for FFS, an estimated 75.7% is attributed to Miscellaneous Services while 24.3% is attributed to Support Services.

FUNDING:

Expenditures are reimbursed from DHCS.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$11,138	\$15,882
<i>DHCS</i>	<i>11,138</i>	<i>15,882</i>

Program Developmental Fund/Parental Fees

DESCRIPTION:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400% of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code Sections 4677, 4782, and 4785).

ASSUMPTIONS/METHODOLOGY:

Deposits into the Program Development Fund are based on historical revenue averages.

FUNDING:

Program Development Fund expenditures are reflected in the Purchase of Services, Miscellaneous Services Expenditure category.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$2,253	\$2,253

Developmental Disabilities Services Account

DESCRIPTION:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

ASSUMPTIONS/METHODOLOGY:

Based on historical and current data, the Department expects to receive housing proposals with application fees totaling \$150,000.

FUNDING:

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$150	\$150

Mental Health Services Fund

DESCRIPTION:

Initiative Statute Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1 million to provide funds to counties to expand services and develop innovative programs and integrated service plans for mentally ill children, adults, and seniors. Consistent with the requirements of the MHSA, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness).

ASSUMPTIONS/METHODOLOGY:

Funding is used to ensure ongoing statewide technical assistance to promote interagency collaboration regarding access to mental health services and improve clinical capacity and effectiveness of direct services. Specifically, regional centers develop innovative projects, which focus on prevention, early intervention, and treatment for children and adult consumers with mental health diagnoses, and provide support for families.

FUNDING:

These funds are a separate fund source and do not require General Fund match. These funds are reflected in the Increased Access to Mental Health Services estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$740	\$740

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

DESCRIPTION:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, Sections 303.1 through 303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, Sections 52000 through 52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), Sections 95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers. This was formerly called Prevention Program in prior estimates.

KEY DATA/ASSUMPTIONS:

Annual grant amounts are determined by the federal Office of Special Education Programs (OSEP). The Department received a grant award letter dated July 1, 2017, for federal fiscal year 2017.

METHODOLOGY:

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) regional center Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

METHODOLOGY: (Continued):

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Other Agencies 	\$19,109	\$19,109
<ul style="list-style-type: none"> • CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation. 		
<i>Local Education Agencies</i>	14,245	14,245
<i>Support</i>	355	355
<ul style="list-style-type: none"> • System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings, and collaboration with the Department of Health Care Services-Children Medical Services. 	1,850	1,850
<ul style="list-style-type: none"> • Early Start FRC: Funds pay for services that are provided by 38 contractors. Services, which are specified in GC 95024(d)(2), include parent-to-parent support, information dissemination, public awareness, and family professional collaboration activities. 	2,659	2,659
<ul style="list-style-type: none"> • Family Resource Services: Funds provide services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. Services, which are specified in GC 95001, include family-to-family support provided through FRCs to strengthen families' ability to participate in service planning. 	2,003	2,003

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

METHODOLOGY (Continued):

	<u>2017-18</u>	<u>2018-19</u>
<ul style="list-style-type: none"> • Regional Center POS <p>The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in 2016-17 by budget category.</p>	32,758	33,163
Day Programs	17,846	17,934
Support Services	256	261
In-Home Respite	72	76
Out-of-Home Respite	70	71
Health Care	2,073	2,110
Miscellaneous Services	12,441	12,711
<ul style="list-style-type: none"> • Total Expenditures 	\$51,867	\$52,272

FUNDING:

The annual Part C Grant is independently determined by OSEP. It is 100% federal funds and requires a Maintenance of Effort from the State. Family Resources Services are 100% General Fund (GF).

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$53,870	\$54,275
<i>Grant</i>	51,867	52,272
<i>GF</i>	2,003	2,003

Foster Grandparent Program

DESCRIPTION:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women age 55 and older, the opportunity to serve their community by sharing their time and attention with children under the age of 22 who have developmental disabilities. Foster grandparents volunteer in community schools, developmental centers, Head Start centers, foster homes, and pre-schools (see Regional Center Operations, Projects, for more detail on the total regional center costs for this program).

ASSUMPTIONS/METHODOLOGY:

The FGP grant is used as a fund source for specified regional center Operations costs in six regional centers: Valley Mountain, Tri-Counties, Kern, Central Valley, San Andreas, and San Gabriel. The annual funding is calculated based on each of the participating regional centers' costs for Personal Service and Operating Expense.

FUNDING:

Federal funds are reflected in the Foster Grandparent/Senior Companion Programs estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Grant Amount:	\$1,097	\$1,000

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**Impacts from Other Departments
Behavioral Health Treatment
Consumers with No Autism Spectrum Disorder Diagnosis
Transition - Effective March 1, 2018 & July 1, 2018**

DESCRIPTION:

The Department of Health Care Services (DHCS) obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include Behavioral Health Treatment (BHT) as a Medi-Cal benefit for children with a diagnosis of Autism Spectrum Disorder (ASD). Between February and October 2016, children with an ASD diagnosis transitioned to DHCS for BHT services. Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation (FFP) under the 1915(i) State Plan Amendment (SPA) or the Home and Community-Based Services (HCBS) Waiver. However, CMS determined Medi-Cal must cover medically necessary BHT services for all children, regardless of their diagnoses. Therefore, beginning October 1, 2016, FFP was no longer available under the Department's 1915(i) SPA or HCBS Waivers for BHT services provided to children without an ASD diagnosis.

Effective March 1, 2018, the Department transitioned to DHCS fee-for-service, children who receive BHT services and whose services have been determined to be medically necessary. These children will continue to receive services through the regional centers, and DHCS will fully reimburse the Department for the related expenditures.

Effective July 1, 2018, the Department will coordinate with DHCS to transition to Medi-Cal Managed Care, children whose BHT services have been determined to be medically necessary. These children will then receive services through their Medi-Cal Managed Care providers.

ASSUMPTIONS:

Savings were estimated based on actual costs of BHT services for children without an ASD diagnosis and ineligible for FFP.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
• Fee-for-service	-\$1,985	-\$6,260
• Medi-Cal Managed Care	0	-41,666
TOTAL EXPENDITURES	-\$1,985	-\$47,926

FUNDING:

Expenditures for BHT consumers with no ASD diagnosis are funded by 100% General Fund (GF). The fee-for-service expenditures are reimbursed by DHCS.

**Impacts from Other Departments
Behavioral Health Treatment
Consumers with No Autism Spectrum Disorder Diagnosis
Transition - Effective March 1, 2018 & July 1, 2018**

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increase in savings is due to a slight increase in expenditures reflecting recent expenditure data.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decrease in savings is due to a 3-month phase-in for MCP in four counties: Los Angeles, Riverside, San Bernardino, and Orange County. The decrease is offset by a slight increase in expenditures reflecting recent expenditure data and an increase in Miscellaneous and Support Services budget category growth.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	-\$1,985	-\$47,926
<i>GF</i>	<i>-1,985</i>	<i>-47,926</i>
<i>Reimbursements</i>	<i>0</i>	<i>0</i>

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SECTION K: POLICIES

PURCHASE OF SERVICES

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Compliance with Home and Community-Based Services Regulations - Purchase of Services

DESCRIPTION:

In January 2014, the Centers for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) Home and Community-Based (HCBS) Waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states were allowed up to a five year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. This includes opportunities to seek employment and work in competitive, integrated settings, engage in community life, control personal resources, and receive services in the community to the same degree of access as individuals not receiving Medicaid HCBS.

ASSUMPTIONS:

Purchase of Services expenditures will provide resources for modifications and additional staffing as needed for service providers to achieve compliance with the CMS final regulations.

METHODOLOGY:

The funding will be distributed to service providers upon approval of modification plans submitted to the regional centers and the Department.

	<u>2017-18</u>	<u>2018-19</u>
TOTAL EXPENDITURES:	\$15,000	\$15,000

FUNDING:

Expenditures related to complying with HCBS Regulations are funded by the General Fund (GF) and reimbursements from the HCBS Waiver and the 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Compliance with Home and Community-Based Services Regulations - Purchase of Services

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$15,000	\$15,000
GF	11,000	11,000
Reimbursements	4,000	4,000

**Assembly Bill (AB)X2 1 -
Competitive, Integrated Employment Incentives
Effective July 1, 2016
Purchase of Services**

DESCRIPTION:

Welfare and Institutions Code (WIC) was amended to add section 4870(d-g) to increase sustained competitive integrated employment (CIE) placements by regional center service providers. CIE is full or part-time work for which an individual is paid minimum wage or greater in a setting with others who do not have disabilities. Section 4870(d) authorizes funding to the Department for incentive payments to providers for placement and retention of regional center consumers, consistent with a consumer’s Individual Program Plan.

The regional center will be responsible for making incentive payments to service providers within their catchment area. The incentive payment amount for each individual placed in CIE is as follows:

1. A payment of one thousand dollars (\$1,000) shall be made to the service provider who, on or after July 1, 2016, places an individual into CIE, and the individual is still competitively employed after 30 consecutive days.
2. An additional payment of one thousand two hundred fifty dollars (\$1,250) shall be made to the service provider for an individual described in paragraph (1) who remains in CIE for six consecutive months.
3. An additional payment of one thousand five hundred dollars (\$1,500) shall be made to the service provider for an individual described in paragraphs (1) and (2) who remains in CIE for 12 consecutive months.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
• <i>Competitive, Integrated Employment Incentives (fixed amount)</i>	\$29,000	\$29,000
TOTAL EXPENDITURES:	\$29,000	\$29,000

FUNDING:

Expenditures related to Competitive, Integrated Employment Incentives are funded by General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and the 1915 (i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

**Assembly Bill (AB)X2 1 -
Competitive, Integrated Employment Incentives
Effective July 1, 2016
Purchase of Services**

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$29,000	\$29,000
GF	20,000	20,000
Reimbursements	9,000	9,000

**Senate Bill (SB) 3, Minimum Wage Increase
Effective January 1, 2018
Purchase of Services**

DESCRIPTION:

SB 3, Chapter 4, Statutes of 2016, requires the minimum wage to increase to \$11.00 per hour on January 1, 2018, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$11.00 to \$12.00 per hour will occur on January 1, 2019.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures, which are based on previous actual rate increases to service providers, and was effective January 1, 2018.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
• <i>Community Care Facilities</i>	\$19,067	\$34,892
• <i>Day Program Services</i>	16,099	29,461
• <i>Habilitation Services</i>	2,352	4,304
• <i>Transportation</i>	4,985	9,122
• <i>Support Services</i>	18,521	33,894
• <i>In-Home Respite</i>	5,273	9,649
• <i>Out-of-Home Respite</i>	565	1,035
TOTAL EXPENDITURES:	\$66,862	\$122,357

FUNDING:

Expenditures from the minimum wage increases are assumed to be funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

**Senate Bill (SB) 3, Minimum Wage Increase
Effective January 1, 2018
Purchase of Services**

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$66,862	\$122,357
GF	36,519	66,544
Reimbursements	30,343	55,813

**Senate Bill (SB) 3, Minimum Wage Increase
Effective January 1, 2019
Purchase of Services**

DESCRIPTION:

SB 3, Chapter 4, Statutes of 2016, requires the minimum wage to increase to \$12.00 per hour on January 1, 2019, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$12.00 to \$13.00 per hour will occur on January 1, 2020.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures, which are based on previous actual rate increases to service providers, and will be effective January 1, 2019.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
• <i>Community Care Facilities</i>	\$0	\$35,075
• <i>Day Program Services</i>	0	29,615
• <i>Habilitation Services</i>	0	4,326
• <i>Transportation</i>	0	9,170
• <i>Support Services</i>	0	34,071
• <i>In-Home Respite</i>	0	9,699
• <i>Out-of-Home Respite</i>	0	1,040
TOTAL EXPENDITURES:	\$0	\$122,996

FUNDING:

Expenditures from the minimum wage increases are assumed to be funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

**Senate Bill (SB) 3, Minimum Wage Increase
Effective January 1, 2019
Purchase of Services**

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$0	\$122,996
GF	0	67,179
Reimbursements	0	55,817

Safety Net Resources

DESCRIPTION:

The 2017-18 regional center budget included one-time funding to develop two Stabilization, Training, Assistance, and Reintegration (STAR) crisis facilities in Northern California, and to establish intensive transition services for residents leaving secure treatment facilities. These new services and supports are part of the Department’s overall Safety Net Plan to provide access to crisis services after the closure of the development centers.

- \$2.6 million General Fund (GF) to develop two STAR acute crisis facilities in Northern California.
- \$3.0 million GF to establish intensive transition services for residents leaving secure treatment facilities.

FUNDING:

Safety Net Resources are funded by the GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$5,622	\$0
GF	5,622	0

Home Health Rate Increase

DESCRIPTION:

Effective July 1, 2018, the rates paid for home health agency and private duty nursing services will increase by 50% to conform with the Department of Health Care Services' (DHCS) increase to the Medi-Cal rates for these services. Home health services include wound care, IV therapy, administering oral and intravenous medications, inserting gastronomy and nasogastric feeding tubes, and monitoring serious illnesses. These services are provided by nurses who work for a home health agency, or by nurses who are individually vendored. Pursuant to state regulations, the rates for these services purchased by regional centers are based on those established by Medi-Cal.

ASSUMPTIONS:

The Department will increase licensed vocational nurse, registered nurse and home health agency rates by 50%, effective for dates of service on and after July 1, 2018.

METHODOLOGY:

Providers in the Medi-Cal Fee-For-Service delivery systems, as well as the impacted Home and Community Based Services waivers, will receive these rate increases.

	<u>2017-18</u>	<u>2018-19</u>
TOTAL EXPENDITURES:	\$0	\$29,505

FUNDING:

Expenditures related to the Home Health Rate Increase are funded by General Fund (GF) and reimbursements from the Home and Community Based Services Waiver and the 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy effective July 1, 2018.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy effective July 1, 2018.

Home Health Rate Increase

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
Total	\$0	\$29,505
General Fund	0	17,124
Reimbursements	0	12,381

**Supplemental Payment Program
Implemented for ICF-DD Services
Effective August 1, 2017
Purchase of Services**

DESCRIPTION:

Effective August 1, 2017, the Department of Health Care Services (DHCS) implemented the Supplemental Payment Program for Intermediate Care Facilities for the Developmentally Disabled (ICF/DD). Pursuant to state regulations, the rates for ICF services purchased by regional centers are based on those established by DHCS.

ASSUMPTIONS:

Supplemental Payment Program Implemented for ICF-DD Services Expenditure Data Source: State Claims Data file, dated January 1, 2018, with actuals through October 2017. Data was adjusted for lag based on historical trends.

METHODOLOGY:

Based on 2016-17 Purchase of Services claims for ICF/DD, the Department estimated 2017-18 and 2018-19 expenditures and multiplied by the 5% impact. The estimate also includes funding for GAP resources.

	<u>2017-18</u>	<u>2018-19</u>
TOTAL EXPENDITURES:	\$204	\$202

FUNDING:

Supplemental Payment Program Implemented for ICF-DD Services Expenditures are funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy effective August 1, 2017.

**Supplemental Payment Program
Implemented for ICF-DD Services
Effective August 1, 2017
Purchase of Services**

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy effective August 1, 2017.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$204	\$202
GF	204	202

Uniform Holiday Schedule Effective July 1, 2018 Purchase of Services

DESCRIPTION:

Welfare and Institutions Code Section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule as a cost savings measure. The purpose was to standardize and increase to 14, the number of holidays observed in the regional center system, and to prevent payment to providers of work activity programs, activity centers, adult developmental centers, behavior management programs, social recreation programs, infant developmental programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs, on the specified holidays. After implementing the Uniform Holiday Schedule, The Arc of California and the United Cerebral Palsy Association of San Diego filed suit in federal court seeking to prevent enforcement. On February 13, 2015, the United States District Court issued an injunction preventing the Department from enforcing the policy, and the Department subsequently withdrew the schedule. Following the State’s appeal, the United States District Court vacated the injunction in August 2016. The plaintiffs subsequently appealed that ruling, and in May 2017, the 9th Circuit rejected the appeal and affirmed the ruling to vacate the injunction. Therefore, the Department proposes to reinstate the Uniform Holiday Schedule beginning July 1, 2018.

ASSUMPTIONS:

When originally implemented, savings estimated from the Uniform Holiday Schedule was based on 2009 expenditures, and it was assumed that continued savings would be realized in expenditure trends. However, because of the injunction, current expenditure trends do not reflect expected savings. Therefore, the Department re-estimated savings based on 2016-17 Purchase of Services expenditures, adjusted for growth.

METHODOLOGY:

	<u>2017-18</u>	<u>2018-19</u>
• <i>Day Program Services</i>	\$0	-\$3,780
• <i>Habilitation Services</i>	0	166
• <i>Transportation</i>	0	-2,114
• <i>Support Services</i>	0	-4,191
• <i>In-Home Respite</i>	0	-318
• <i>Miscellaneous</i>	0	47
TOTAL EXPENDITURES	\$0	-\$10,190

**Uniform Holiday Schedule
Effective July 1, 2018
Purchase of Services**

FUNDING:

Expenditures from the Uniform Holiday are funded by the General Fund (GF) and reimbursements from Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

N/A

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The additional savings are due to updated expenditure data and to correct an error in the Governor's Budget.

EXPENDITURES:

	<u>2017-18</u>	<u>2018-19</u>
TOTAL	\$0	-\$10,190
GF	0	-3,136
Reimbursements	0	-7,054

Purchase of Service Policy Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
BHT Consumers without an ASD Diagnosis							
TOTAL	(\$1,821)	(\$1,985)	(\$164)	(\$48,786)	(\$47,926)	(\$45,941)	\$860
GF	(\$1,821)	(\$1,985)	(\$164)	(\$48,786)	(\$47,926)	(\$45,941)	\$860
GF Match	0	0	0	0	0	0	0
GF Other	(1,821)	(1,985)	(164)	(48,786)	(47,926)	(45,941)	860
Compliance with HCBS Regulations - Purchase of Service							
TOTAL	\$15,000	\$15,000	\$0	\$15,000	\$15,000	\$0	\$0
GF	\$11,000	\$11,000	\$0	\$11,000	\$11,000	\$0	\$0
GF Match	4,000	4,000	0	4,000	4,000	0	0
GF Other	7,000	7,000	0	7,000	7,000	0	0
Reimbursements	\$4,000	\$4,000	\$0	\$4,000	\$4,000	\$0	\$0
HCBS Waiver	3,720	3,720	0	3,720	3,720	0	0
1915(i)	280	280	0	280	280	0	0
Community Based Services Increases - ABX2 1							
TOTAL	\$29,000	\$29,000	\$0	\$29,000	\$29,000	\$0	\$0
GF	\$20,000	\$20,000	\$0	\$20,000	\$20,000	\$0	\$0
GF Match	9,000	9,000	0	9,000	9,000	0	0
GF Other	11,000	11,000	0	11,000	11,000	0	0
Reimbursements	\$9,000	\$9,000	\$0	\$9,000	\$9,000	\$0	\$0
HCBS Waiver	7,920	7,920	0	7,920	7,920	0	0
1915(i)	1,080	1,080	0	1,080	1,080	0	0
SB 3 - Minimum Wage Increase - Effective January 1, 2018							
TOTAL	\$66,862	\$66,862	\$0	\$122,357	\$122,357	\$55,495	\$0
GF	\$36,462	\$36,519	\$57	\$66,726	\$66,544	\$30,025	(\$182)
GF Match	29,372	30,343	971	55,631	55,813	25,470	182
GF Other	7,090	6,176	(914)	11,095	10,731	4,555	(364)
Reimbursements	\$30,400	\$30,343	(\$57)	\$55,631	\$55,813	\$25,470	\$182
HCBS Waiver	23,329	23,329	0	42,692	42,692	19,363	0
1915(i)	7,071	7,014	(57)	12,939	13,121	6,107	182

Purchase of Service Policy Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
SB 3 - Minimum Wage Increase - Effective January 1, 2019							
TOTAL	\$0	\$0	\$0	\$122,996	\$122,996	\$122,996	\$0
GF	\$0	\$0	\$0	\$67,375	\$67,179	\$67,179	(\$196)
GF Match	0	0	0	55,621	55,817	55,817	196
GF Other	0	0	0	11,754	11,362	11,362	(392)
Reimbursements	\$0	\$0	\$0	\$55,621	\$55,817	\$55,817	\$196
HCBS Waiver	0	0	0	42,915	42,915	42,915	0
1915(i)	0	0	0	12,706	12,902	12,902	196
Uniform Holiday Schedule							
TOTAL	\$0	\$0	\$0	(\$5,610)	(\$10,190)	(\$10,190)	(\$4,580)
GF	\$0	\$0	\$0	(\$2,912)	(\$3,136)	(\$3,136)	(\$224)
GF Match	0	0	0	(2,694)	(1,803)	(1,803)	891
GF Other	0	0	0	(218)	(1,333)	(1,333)	(1,115)
Reimbursements	\$0	\$0	\$0	(\$2,698)	(\$7,054)	(\$7,054)	(\$4,356)
HCBS Waiver	0	0	0	(2,012)	(5,938)	(5,938)	(3,926)
1915(i)	0	0	0	(686)	(1,116)	(1,116)	(430)
Best Buddies							
TOTAL	\$1,600	\$1,600	\$0	\$0	\$0	(\$1,600)	\$0
GF	\$1,600	\$1,600	\$0	\$0	\$0	(\$1,600)	\$0
GF Match	0	0	0	0	0	0	0
GF Other	1,600	1,600	0	0	0	(1,600)	0
Safety Net							
TOTAL	\$5,622	\$5,622	\$0	\$0	\$0	(\$5,622)	\$0
GF	\$5,622	\$5,622	\$0	\$0	\$0	(\$5,622)	\$0
GF Match	0	0	0	0	0	0	0
GF Other	5,622	5,622	0	0	0	(5,622)	0

Purchase of Service Policy Funding Detail

	Governor's			Governor's		2018-19	
	Budget 2017-18	Updated 2017-18	2017-18 Request	Budget 2018-19	Updated 2018-19	Over 2017-18	2018-19 Request
Community Placement Plan - DC Closure							
TOTAL	\$20,244	\$20,244	\$0	\$23,032	\$25,201	\$4,957	\$2,169
GF	\$16,307	\$16,131	(\$176)	\$19,936	\$20,556	\$4,425	\$620
GF Match	3,935	4,111	176	3,094	4,643	532	1,549
GF Other	12,372	12,020	(352)	16,842	15,913	3,893	(929)
Reimbursements	\$3,937	\$4,113	\$176	\$3,096	\$4,645	\$532	\$1,549
HCBS Waiver	3,937	4,113	176	3,096	4,645	532	1,549
Home Health Rate Increase							
TOTAL	\$0	\$0	\$0	\$0	\$29,505	\$29,505	\$29,505
GF	\$0	\$0	\$0	\$0	\$17,124	\$17,124	\$17,124
GF Match	0	0	0	0	12,380	12,380	12,380
GF Other	0	0	0	0	4,744	4,744	4,744
Reimbursements	\$0	\$0	\$0	\$0	\$12,381	\$12,381	\$12,381
HCBS Waiver	0	0	0	0	11,212	11,212	11,212
1915(i)	0	0	0	0	1,169	1,169	1,169
ICF-DD Supplemental Payment							
TOTAL	\$0	\$204	\$204	\$0	\$202	(\$2)	\$202
GF	\$0	\$204	\$204	\$0	\$202	(\$2)	\$202
GF Match	0	0	0	0	0	0	0
GF Other	0	204	204	0	202	(2)	202
Total POS Policy Funding Detail							
TOTAL	\$136,507	\$136,547	\$40	\$257,989	\$286,145	\$149,598	\$28,156
GF	\$89,170	\$89,091	(\$79)	\$133,339	\$151,543	\$62,452	\$18,204
GF Match	46,307	47,454	1,147	124,652	139,850	92,396	15,198
GF Other	42,863	41,637	(1,226)	8,687	11,693	(29,944)	3,006
Reimbursements	\$47,337	\$47,456	\$119	\$124,650	\$134,602	\$87,146	\$9,952
HCBS Waiver	38,906	39,082	176	98,331	107,166	68,084	8,835
1915(i)	8,431	8,374	(57)	26,319	27,436	19,062	1,117

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Early Start Expenditures and Population

DESCRIPTION:

Per Legislative request, the Department is provides projected caseload and expenditures for the Early Start program.

ASSUMPTIONS:

Early Start Expenditure Data Source: State Claims Data file, dated April 2018. Data was adjusted for lag based on historical data and used to project 2017-18 and 2018-19 expenditures.

Fiscal Year	Monthly ^{1/} Caseload	Total POS Expenditures	Per Capita
2017-18	41,946	\$380,406,000	\$9,069
2018-19	45,790	\$422,599,000	\$9,229

1/ Refer to Section E - Population for additional detail on the Early Start population.

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SECTION M: STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES

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EXECUTIVE HIGHLIGHTS

OVERVIEW

The Department of Developmental Services (Department) provides residential services at three developmental centers (DCs) and one State-operated community facility to individuals who have a developmental disability. In compliance with Senate Bill (SB) 82, Chapter 23, Statutes of 2015, the Department is progressing with the planned closure of the Sonoma DC (Sonoma) by December 31, 2018, and the planned closures of the Fairview DC (Fairview) and General Treatment Area at the Porterville DC (Porterville GTA) by December 31, 2021. The Secure Treatment Program at Porterville (Porterville STP) and the Canyon Springs community facility will remain open.

On July 1, 2016, the Department entered into settlement agreements with the federal Centers for Medicare & Medicaid Services (CMS) to continue federal funding for individuals residing in the intermediate care facility units (ICFs) at Fairview and Porterville GTA. The Department's ongoing compliance with the provisions of the settlement agreements will allow the continued receipt of federal funding for those ICF units through December 2018. With the planned closures, the Department has increased resident transitions to community living arrangements, while preparing to cease facility operations as outlined in the closure plans.

The May Revision reflects an ending population of 534 residents on June 30, 2018. This is a net decrease of three residents as compared to the population projected at Governor's Budget. The estimated ending population is 323 residents on June 30, 2019. This is a net decrease of 38 residents as compared to the ending population estimated in the 2018 Governor's Budget.

FISCAL YEAR 2017-18

The Department proposes a decrease of \$11.4 million (\$8.5 million GF decrease) in 2017-18 as compared to the Governor's Budget, resulting from the following adjustments:

Operations Expenditures

- A net decrease of \$51,000 (\$29,000 GF decrease) in resident-driven operating expense and equipment (OE&E) costs due to a net decrease of three residents.

Salary Savings

- A decrease of \$11.4 million (\$8.5 million GF decrease) in personal services, staff benefits, and OE&E expenditures resulting from estimated salary savings in the DCs.

FISCAL YEAR 2018-19

The Department proposes a net increase of \$8.9 million (\$7.2 million GF increase) and 150.5 positions as compared to the Governor's Budget. The net increase results from the following adjustments:

Operations Expenditures

- Net Increase of \$9.1 million (\$7.6 million GF increase). Within this adjustment is an increase of \$6.5 million (\$6.2 million GF increase) and 125.2 positions at Sonoma to reflect a technical correction. The remaining \$2.6 million increase (\$1.4 million GF increase) reflects estimated operations expenditures resulting from updated resident populations.

Closure Activity Costs

- Net decrease of \$0.2 million (\$0.4 million GF decrease) to reflect updated closure activity costs at Fairview, Porterville GTA, and Sonoma.

**2017 - 18 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)**

	May Revision Proposed 2017-18						Total 2017-18	Governor's Budget	Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST			
DC OPERATIONS									
A. Units	3.00	9.00	5.75	14.00	10.00	2.00	43.75	43.75	0.00
1. ICF	3.00	5.00	3.75	13.00	5.00	2.00	31.75	31.75	0.00
2. SNF	0.00	3.00	2.00	0.00	4.00	0.00	9.00	9.00	0.00
3. Acute	0.00	1.00	0.00	1.00	1.00	0.00	3.00	3.00	0.00
B. Population									
1. Actual Beginning 7/1/2017	48	162	116	205	256	8	795	795	0
2. Population Adjustment	8	-53	-51	6	-173	2	-261	-258	-3
3. Ending 6/30/2018	56	109	65	211	83	10	534	537	-3
C. Positions	229.0	857.5	509.2	1,227.4	968.8	67.7	3,859.6	3,859.6	0.0
D. Operations Expenditures									
1. Personal Services	\$21,754	\$100,220	\$50,881	\$122,227	\$102,418	\$6,688	\$404,188	\$404,188	\$0
2. OE&E	5,109	14,263	7,532	16,622	15,558	843	59,927	59,978	-51
3. Lease Revenue Bond	0	0	0	6,309	0	0	6,309	6,309	0
Total Operations Expenditures	\$26,863	\$114,483	\$58,413	\$145,158	\$117,976	\$7,531	\$470,424	\$470,475	-\$51
E. Control Section Adjustments									
Employee Compensation and Retirement	\$852	\$4,740	\$1,664	\$4,277	\$5,583	\$132	\$17,248	\$17,248	\$0
F. Major Assumptions									
1. Lump Sum Payouts	\$0	\$3,707	\$0	\$0	\$3,368	\$0	\$7,075	\$7,075	\$0
2. Salary Savings	-560	-2,731	-1,575	-3,781	-2,731	0	-11,378	0	-11,378
	-\$560	\$976	-\$1,575	-\$3,781	\$637	\$0	-\$4,303	\$7,075	-\$11,378
GRAND TOTAL	\$27,155	\$120,199	\$58,502	\$145,654	\$124,196	\$7,663	\$483,369	\$494,798	-\$11,429

	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST	Total 2017-18	Governor's Budget	Request
FUND SOURCES:									
A. General Funds	\$13,824	\$62,497	\$30,645	\$144,107	\$99,399	\$7,663	\$358,135	\$366,617	-\$8,482
1. General Fund Match	13,284	57,592	27,802	1,492	24,741	0	124,911	127,858	-2,947
2. General Fund Other	540	4,905	2,843	142,615	74,658	7,663	233,224	238,759	-5,535
B. Medi-Cal Reimbursements	\$13,284	\$57,592	\$27,802	\$1,492	\$24,741	\$0	\$124,911	\$127,858	-\$2,947
C. Lottery Education Fund	\$47	\$110	\$55	\$55	\$56	\$0	\$323	\$323	\$0
GRAND TOTAL	\$27,155	\$120,199	\$58,502	\$145,654	\$124,196	\$7,663	\$483,369	\$494,798	-\$11,429

Notes:

The total number of units reflect planned partial year unit consolidations as populations decline due to community placements.

The amounts listed under Total 2017-18 column do not reflect the statewide item for Employee Retention Incentives of \$20.1 million (\$15.8 million GF) that was added by the 2016 Budget Act and displayed in the Governor's Budget Galley.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2018 - 19 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

	May Revision Proposed 2018-19						Total 2018-19	Governor's Budget	Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST			
DC OPERATIONS									
A. Units	3.00	5.00	3.50	14.00	5.00	4.00	34.50	32.00	2.50
1. ICF	3.00	2.00	2.50	13.00	3.00	4.00	27.50	26.00	1.50
2. SNF	0.00	2.00	1.00	0.00	1.00	0.00	4.00	3.00	1.00
3. Acute	0.00	1.00	0.00	1.00	1.00	0.00	3.00	3.00	0.00
B. Population									
1. Estimated Beginning 7/1/2018	56	109	65	211	83	10	534	547	-13
2. Population Adjustment	0	-83	-55	0	-83	10	-211	-186	-25
3. Ending 6/30/2019	56	26	10	211	0	20	323	361	-38
C. Positions	229.0	567.3	326.4	1,262.5	676.6	120.9	3,182.7	3,032.2	150.5
D. Operations Expenditures									
1. Personal Services	\$21,057	\$67,512	\$31,406	\$121,301	\$39,959	\$11,597	\$292,832	\$284,350	\$8,482
2. OE&E	4,914	11,089	5,481	19,616	14,519	1,481	57,100	56,463	637
3. Lease Revenue Bond	0	0	0	9,156	0	0	9,156	9,156	0
Total Operations Expenditures	\$25,971	\$78,601	\$36,887	\$150,073	\$54,478	\$13,078	\$359,088	\$349,969	\$9,119
E. Control Section Adjustments									
Employee Compensation and Retirement	\$879	\$4,905	\$1,718	\$4,418	\$5,778	\$132	\$17,830	\$17,830	\$0
F. Major Assumptions									
1. DC Funded Positions to HQ	\$0	-\$279	-\$164	-\$354	-\$1,313	\$0	-\$2,110	-\$2,110	\$0
2. Lump Sum Payouts	0	3,978	0	0	5,322	0	9,300	9,300	0
3. Security Costs	0	67	0	0	580	0	647	647	0
4. Closure Activity Costs	0	312	462	0	-980	0	-206	0	-206
Total Major Assumptions	\$0	\$4,078	\$298	-\$354	\$3,609	\$0	\$7,631	\$7,837	-\$206
GRAND TOTAL	\$26,850	\$87,584	\$38,903	\$154,137	\$63,865	\$13,210	\$384,549	\$375,636	\$8,913

	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST	Total 2018-19	Governor's Budget	Request
FUND SOURCES:									
A. General Funds	\$13,703	\$45,167	\$20,183	\$152,532	\$54,355	\$13,210	\$299,150	\$291,953	\$7,197
1. General Fund Match	13,100	42,307	18,665	1,550	9,454	0	85,076	83,360	1,716
2. General Fund Other	603	2,860	1,518	150,982	44,901	13,210	214,074	208,593	5,481
B. Medi-Cal Reimbursements	\$13,100	\$42,307	\$18,665	\$1,550	\$9,454	\$0	\$85,076	\$83,360	\$1,716
C. Lottery Education Fund	\$47	\$110	\$55	\$55	\$56	\$0	\$323	\$323	\$0
GRAND TOTAL	\$26,850	\$87,584	\$38,903	\$154,137	\$63,865	\$13,210	\$384,549	\$375,636	\$8,913

Notes:

The total number of units at Fairview DC and Porterville DC-GTA reflect planned partial year unit consolidations as populations decline due to community placements. The total number of units at Sonoma DC reflect the number of units open on July 1, 2018 and operational until December 31, 2018.

The number of Sonoma DC positions are funded for half of the year.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2018 - 19 Comparison to Updated 2017 - 18
Executive Summary Table
(Dollars in thousands)

	May Revision Proposed 2018-19						Total 2018-19	May Revision 2017-18	2018-19 Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST			
DC OPERATIONS									
A. Units	3.00	5.00	3.50	14.00	5.00	4.00	34.50	43.75	-9.25
1. ICF	3.00	2.00	2.50	13.00	3.00	4.00	27.50	31.75	-4.25
2. SNF	0.00	2.00	1.00	0.00	1.00	0.00	4.00	9.00	-5.00
3. Acute	0.00	1.00	0.00	1.00	1.00	0.00	3.00	3.00	0.00
B. Population									
1. Estimated Beginning 7/1/2018	56	109	65	211	83	10	534	795	-261
2. Population Adjustment	0	-83	-55	0	-83	10	-211	-261	50
3. Ending 6/30/2019	56	26	10	211	0	20	323	534	-211
C. Positions	229.0	567.3	326.4	1,262.5	676.6	120.9	3,182.7	3,859.6	-676.9
D. Operations Expenditures									
1. Personal Services	\$21,057	\$67,512	\$31,406	\$121,301	\$39,959	\$11,597	\$292,832	\$404,188	-\$111,356
2. OE&E	4,914	11,089	5,481	19,616	14,519	1,481	57,100	59,927	-2,827
3. Lease Revenue Bond	0	0	0	9,156	0	0	9,156	6,309	2,847
Total Operations Expenditures	\$25,971	\$78,601	\$36,887	\$150,073	\$54,478	\$13,078	\$359,088	\$470,424	-\$111,336
E. Control Section Adjustments									
Employee Compensation and Retirement	\$879	\$4,905	\$1,718	\$4,418	\$5,778	\$132	\$17,830	\$17,248	\$582
F. Major Assumptions									
1. DC Funded Positions to HQ	\$0	-\$279	-\$164	-\$354	-\$1,313	\$0	-\$2,110	\$0	-\$2,110
2. Lump Sum Payouts	0	3,978	0	0	5,322	0	9,300	7,075	2,225
3. Security Costs	0	67	0	0	580	0	647	0	647
4. Closure Activity Costs	0	312	462	0	-980	0	-206	0	-206
5. Salary Savings	0	0	0	0	0	0	0	-11,378	11,378
Total Major Assumptions	\$0	\$4,078	\$298	-\$354	\$3,609	\$0	\$7,631	-\$4,303	\$11,934
GRAND TOTAL	\$26,850	\$87,584	\$38,903	\$154,137	\$63,865	\$13,210	\$384,549	\$483,369	-\$98,820

FUND SOURCES:	May Revision Proposed 2018-19						Total 2018-19	May Revision 2017-18	2018-19 Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST			
A. General Funds	\$13,703	\$45,167	\$20,183	\$152,532	\$54,355	\$13,210	\$299,150	\$358,135	-\$58,985
1. General Fund Match	13,100	42,307	18,665	1,550	9,454	0	85,076	124,911	-39,835
2. General Fund Other	603	2,860	1,518	150,982	44,901	13,210	214,074	233,224	-19,150
B. Medi-Cal Reimbursements	\$13,100	\$42,307	\$18,665	\$1,550	\$9,454	\$0	\$85,076	\$124,911	-\$39,835
C. Lottery Education Fund	\$47	\$110	\$55	\$55	\$56	\$0	\$323	\$323	\$0
GRAND TOTAL	\$26,850	\$87,584	\$38,903	\$154,137	\$63,865	\$13,210	\$384,549	\$483,369	-\$98,820

Notes:

The total number of units at Fairview DC and Porterville DC-GTA reflect planned partial year unit consolidations as populations decline due to community placements.

The total number of units at Sonoma DC reflect the number of units open on July 1, 2018 and operational until December 31, 2018.

The number of Sonoma DC positions are funded for half of the year.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

POPULATION

Current Year 2017-18							
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST	Total
Governor's Budget 2017-18							
Population, July 1, 2017	48	162	116	205	256	8	795
Change (+/-)	8	-68	-33	6	-173	2	-258
Ending Population, June 30, 2018	56	94	83	211	83	10	537
May Revision 2017-18							
Population, July 1, 2017	48	162	116	205	256	8	795
Change (+/-)	8	-53	-51	6	-173	2	-261
Ending Population, June 30, 2018	56	109	65	211	83	10	534
Population Change 2017-18							
Population, July 1, 2017	0	0	0	0	0	0	0
Change (+/-)	0	15	-18	0	0	0	-3
Ending Population, June 30, 2018	0	15	-18	0	0	0	-3

Budget Year 2018-19							
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	Sonoma	STAR & CAST	Total
Governor's Budget 2018-19							
Population, July 1, 2018	56	94	83	211	83	20	547
Change (+/-)	0	-68	-35	0	-83	0	-186
Ending Population, June 30, 2019	56	26	48	211	0	20	361
May Revision 2018-19							
Population, July 1, 2018	56	109	65	211	83	10	534
Change (+/-)	0	-83	-55	0	-83	10	-211
Ending Population, June 30, 2019	56	26	10	211	0	20	323
Population Change 2018-19							
Population, July 1, 2018	0	15	-18	0	0	-10	-13
Change (+/-)	0	-15	-20	0	0	10	-25
Ending Population, June 30, 2019	0	0	-38	0	0	0	-38

Note: In Budget Year, the ending resident population at Sonoma will be zero on 12/31/2018.

Operations Program Update

2017-18

The 2018 May Revision proposes a net decrease of \$11.4 million (\$8.5 million GF decrease), and updates the estimated ending population to 534. This represents a net decrease of three residents; 15 additional residents at Fairview, and 18 fewer residents at Porterville GTA. In addition to population-driven adjustments, the May Revision includes an adjustment to reflect estimated salary savings in the DCs. More specifically, the Department projects personal services and OE&E savings resulting from vacant positions. Specific adjustments by facility are as follows:

Canyon Springs

The 2018 May Revision proposes a decrease of \$0.6 million (\$0.3 million GF decrease) in personal services and OE&E for estimated salary savings.

Fairview

The 2018 May Revision proposes a net decrease of \$2.3 million (\$1.2 million GF decrease) for the following adjustments:

- Decrease of \$2.7 million (\$1.4 million GF decrease) in personal services and OE&E for estimated salary savings.
- Increase of \$0.4 million (\$0.2 million GF increase) in resident OE&E to serve 15 additional residents.

Porterville GTA

The 2018 May Revision proposes a decrease of \$2 million (\$1 million GF decrease) for the following adjustments:

- Decrease of \$1.6 million (\$0.8 million GF decrease) in personal services and OE&E for estimated salary savings.
- Decrease of \$0.3 million (\$0.2 million GF decrease) in resident OE&E expenditures to reflect 18 fewer residents.

Porterville STP

The 2018 May Revision proposes a decrease of \$3.8 million (\$3.7 million GF decrease) in personal services and OE&E for estimated salary savings.

Sonoma

The 2018 May Revision proposes a decrease of \$2.7 million (\$2.2 million GF decrease) in personal services and OE&E for estimated salary savings.

STAR and Mobile Crisis Teams

No changes proposed.

2018-19

The 2018 May Revision proposes a net increase of \$8.9 million (\$7.2 million GF increase) and 150.5 positions as compared to the 2018-19 Governor's Budget. The increase includes a technical adjustment to correct a unit calculation error at Sonoma, as well as personal services and OE&E expenditures related to population adjustments at Fairview and Porterville GTA. Also proposed are funds for closure activities at Fairview and Porterville GTA. The May Revision updates the ending state-operated residential and community facilities population to 323, which reflects 38 more resident placements than estimated at the Governor's Budget. Specific adjustments by facility are as follows:

Canyon Springs

No changes proposed.

Fairview

The 2018 May Revision proposes an increase of \$3.4 million (\$1.6 million GF increase) for the following adjustments:

- Increase of \$3.1 million (\$1.5 million GF increase) in personal services and OE&E expenditures and 24.9 positions required to operate an additional unit to serve 15 additional residents.
- Increase of \$0.3 million (\$0.1 million GF increase) to fund asset disposal/relocation and historical evaluation closure activities.

Porterville GTA

The 2018 May Revision proposes a net decrease of \$0.1 million (\$0.1 million GF decrease) for the following adjustments:

- Decrease of \$0.5 million (\$0.2 million GF decrease) in resident OE&E expenditures resulting from 18 fewer residents.
- Net decrease of \$0.1 million (\$0.1 million GF decrease) in personal services expenditures and 1.2 positions resulting from ICF-level population changes (from medical to behavioral) and pro-rated support costs between the GTA and STP.
- Increase of \$0.5 million (\$0.2 million GF increase) to fund asset disposal/relocation and historical evaluation closure activities.

Porterville STP

The 2018 May Revision proposes an increase of \$0.2 million (\$0.2 million GF increase) and 1.6 Program Support positions allocated from Porterville GTA.

Sonoma

The 2018 May Revision proposes a net increase of \$5.5 million (\$5.5 million GF increase) for the following adjustments:

- Increase of \$6.5 million (\$6.3 million GF increase) and 125.2 positions to correct a calculation error made when factoring the number of units in operation. More specifically, the Department reduced the number of units and positions by half for the six months of operation from July 1 to December 31, 2018, and then mistakenly reduced by half again.
- Decrease of \$1.0 million (\$0.8 million GF decrease) for resident relocation closure activities.

STAR and Mobile Crisis Teams

No changes proposed.

Canyon Springs Community Facility

May Revision to Governor's Budget

Current Year 2017 - 18

(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	48	48	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	0.00
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	229.0	229.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$21,754	\$21,754	\$0
<i>Staff OE&E</i>	1,715	1,715	0
<i>Resident OE&E</i>	1,291	1,291	0
<i>Facility OE&E</i>	<u>2,103</u>	<u>2,103</u>	<u>0</u>
Unit Staffing, Total	\$26,863	\$26,863	\$0
Total Operations Expenditures	\$26,863	\$26,863	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$852	\$852	\$0
Major Assumptions			
<i>Lump Sum Payouts</i>	\$0	\$0	\$0
<i>Salary Savings</i>	<u>-560</u>	<u>0</u>	<u>-560</u>
Major Assumptions, Total	-\$560	\$0	-\$560
Total Expenditures	\$27,155	\$27,715	-\$560

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$13,824	\$14,110	-\$286
<i>Reimbursements</i>	13,284	13,558	-274
<i>Lottery Fund</i>	<u>47</u>	<u>47</u>	<u>0</u>
Fund, Total	\$27,155	\$27,715	-\$560

Canyon Springs Community Facility
 Resident Population and Staffing Incremental Changes
 Current Year 2017 - 18

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	152.0	152.0	0.0
Medical	2.0	2.0	0.0
Support Services	75.0	75.0	0.0
Total Positions	229.0	229.0	0.0

Canyon Springs Community Facility
Expenditure and Funding Incremental Changes
Current Year 2017 - 18
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Salary Savings	\$0	\$0	\$0	-\$560	-\$560

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	-560	-560
Grand Total	\$0	\$0	\$0	-\$560	-\$560

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	-\$286	-\$286
Reimbursements	0	0	0	-274	-274
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	-\$560	-\$560

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
May Revision to Governor's Budget
Current Year 2017 - 18
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	162	162	0
Units			
<i>ICF</i>	5.00	5.00	0.00
<i>SNF</i>	3.00	3.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	9.00	9.00	0.00
Positions			
<i>Unit Staffing</i>	480.6	480.6	0.0
<i>Program Support</i>	<u>376.9</u>	<u>376.9</u>	<u>0.0</u>
Positions, Total	857.5	857.5	0.0
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$50,253	\$50,253	\$0
<i>Staff OE&E</i>	2,899	2,899	0
<i>Resident OE&E</i>	<u>4,602</u>	<u>4,212</u>	<u>390</u>
Unit Staffing, Total	\$57,754	\$57,364	\$390
<u>Program Support</u>			
<i>Personal Services</i>	\$49,967	\$49,967	\$0
<i>Staff OE&E</i>	2,278	2,278	0
<i>Fixed Facility OE&E</i>	<u>4,484</u>	<u>4,484</u>	<u>0</u>
Program Support, Total	\$56,729	\$56,729	\$0
Total Operations Expenditures	\$114,483	\$114,093	\$390
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$4,740	\$4,740	\$0
Major Assumptions			
<i>Lump Sum Payouts</i>	\$3,707	\$3,707	\$0
<i>Salary Savings</i>	<u>-2,731</u>	<u>0</u>	<u>-2,731</u>
Major Assumptions, Total	\$976	\$3,707	-\$2,731
Total Expenditures	\$120,199	\$122,540	-\$2,341

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$62,497	\$63,717	-\$1,220
<i>Reimbursements</i>	57,592	58,713	-1,121
<i>Lottery Fund</i>	<u>110</u>	<u>110</u>	<u>0</u>
Fund, Total	\$120,199	\$122,540	-\$2,341

Fairview Developmental Center
Resident Population and Staffing Incremental Changes
Current Year 2017 - 18

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	420.1	420.1	0.0
Medical	57.8	57.8	0.0
Support Services	379.7	379.7	0.0
Total Positions	857.5	857.5	0.0

Fairview Developmental Center
Expenditure and Funding Incremental Changes
Current Year 2017 - 18
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	390	0	0	0	390
Total	\$390	\$0	\$0	\$0	\$390

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$0	\$0
Salary Savings	0	0	0	-2,731	-2,731
Total	\$0	\$0	\$0	-\$2,731	-\$2,731

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	390	0	0	0	390
Staff OE&E	0	0	0	0	0
Resident OE&E	390	0	0	0	390
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	-2,731	-2,731
Grand Total	\$390	\$0	\$0	-\$2,731	-\$2,341

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$200	\$0	\$0	-\$1,420	-\$1,220
Reimbursements	190	0	0	-1,311	-1,121
Lottery Education Fund	0	0	0	0	0
Grand Total	\$390	\$0	\$0	-\$2,731	-\$2,341

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

May Revision to Governor's Budget

Current Year 2017 - 18

(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	116	116	0
Units			
<i>ICF</i>	3.75	3.75	0.00
<i>SNF</i>	2.00	2.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	5.75	5.75	0.00
Positions			
<i>Unit Staffing</i>	336.5	336.5	0.0
<i>Program Support</i>	<u>172.7</u>	<u>172.7</u>	<u>0.0</u>
Positions, Total	509.2	509.2	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$33,898	\$33,898	\$0
<i>Staff OE&E</i>	2,025	2,025	0
<i>Resident OE&E</i>	<u>2,403</u>	<u>2,844</u>	<u>-441</u>
Unit Staffing, Total	\$38,326	\$38,767	-\$441
<i>Program Support</i>			
<i>Personal Services</i>	\$16,983	\$16,983	\$0
<i>Staff OE&E</i>	1,035	1,035	0
<i>Fixed Facility OE&E</i>	<u>2,069</u>	<u>2,069</u>	<u>0</u>
Program Support, Total	\$20,087	\$20,087	\$0
Total Operations Expenditures	\$58,413	\$58,854	-\$441
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$1,664	\$1,664	\$0
Major Assumptions			
<i>Lump Sum Payouts</i>	\$0	\$0	\$0
<i>Salary Savings</i>	<u>-1,575</u>	<u>0</u>	<u>-1,575</u>
Major Assumptions, Total	-\$1,575	\$0	-\$1,575
Total Expenditures	\$58,502	\$60,518	-\$2,016

Fund Sources	2018 May Revision	2018-19 Governor's Budget	Incremental Change
<i>General Fund</i>	\$30,645	\$31,693	-\$1,048
<i>Reimbursements</i>	27,802	28,770	-968
<i>Lottery Fund</i>	<u>55</u>	<u>55</u>	<u>0</u>
Fund, Total	\$58,502	\$60,518	-\$2,016

Porterville Developmental Center- General Treatment Area
Resident Population and Staffing Incremental Changes
Current Year 2017 - 18

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	285.8	285.8	0.0
Medical	27.5	27.5	0.0
Support Services	195.9	195.9	0.0
Total Positions	509.2	509.2	0.0

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Incremental Changes

Current Year 2017 - 18

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	-441	0	0	0	-441
Total	-\$441	\$0	\$0	\$0	-\$441

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Salary Savings	\$0	\$0	\$0	-\$1,575	-\$1,575

Total General Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	-441	0	0	0	-441
Staff OE&E	0	0	0	0	0
Resident OE&E	-441	0	0	0	-441
Fixed Facility OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	-1,575	-1,575
Grand Total	-\$441	\$0	\$0	-\$1,575	-\$2,016

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	-\$229	\$0	\$0	-\$819	-\$1,048
Reimbursements	-212	0	0	-756	-968
Lottery Education Fund	0	0	0	0	0
Grand Total	-\$441	\$0	\$0	-\$1,575	-\$2,016

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Program

May Revision to Governor's Budget

Current Year 2017 - 18

(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	205	205	0
Units			
<i>ICF*</i>	13.00	13.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	14.00	14.00	0.00
Positions			
<i>Unit Staffing</i>	765.8	765.8	0.0
<i>Program Support</i>	386.1	386.1	0.0
<i>Intensive Treatment Residence - Behavioral</i>	37.8	37.8	0.0
<i>Intensive Treatment Residence - Medical</i>	<u>37.8</u>	<u>37.8</u>	<u>0.0</u>
Positions, Total	1,227.4	1,227.4	0.0
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$77,042	\$77,042	\$0
<i>Staff OE&E</i>	4,601	4,601	0
<i>Resident OE&E</i>	<u>5,174</u>	<u>5,174</u>	<u>0</u>
Unit Staffing, Total	\$86,817	\$86,817	\$0
<u>Program Support</u>			
<i>Personal Services</i>	\$38,010	\$38,010	\$0
<i>Staff OE&E</i>	2,318	2,318	0
<i>Fixed Facility OE&E**</i>	<u>10,385</u>	<u>10,385</u>	<u>0</u>
Program Support, Total	\$50,713	\$50,713	\$0
<u>Intensive Treatment Residence - Behavioral</u>			
<i>Personal Services</i>	\$3,588	\$3,588	\$0
<i>Staff OE&E</i>	<u>227</u>	<u>227</u>	<u>0</u>
IBTR - Behavioral, Total	\$3,814	\$3,814	\$0
<u>Intensive Treatment Residence - Medical</u>			
<i>Personal Services</i>	\$3,588	\$3,588	\$0
<i>Staff OE&E</i>	<u>227</u>	<u>227</u>	<u>0</u>
IBTR - Medical, Total	\$3,814	\$3,814	\$0
Total Operations Expenditures	\$145,158	\$145,158	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$4,277	\$4,277	\$0
Major Assumptions			
<i>Lump Sum Payouts</i>	\$0	\$0	\$0
<i>Salary Savings</i>	<u>-3,781</u>	<u>0</u>	<u>-3,781</u>
Major Assumptions, Total	-\$3,781	\$0	-\$3,781
Total Expenditures	\$145,654	\$149,435	-\$3,781

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$144,107	\$147,850	-\$3,743
<i>Reimbursements</i>	1,492	1,530	-38
<i>Lottery Fund</i>	<u>55</u>	<u>55</u>	<u>0</u>
Fund, Total	\$145,654	\$149,435	-\$3,781

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment Program
Resident Population and Staffing Incremental Changes
Current Year 2017 - 18

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Intensive Treatment Residence - Behavioral				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	728.9	728.9	0.0
Medical	60.6	60.6	0.0
Support Services	437.8	437.8	0.0
Total Positions	1,227.4	1,227.4	0.0

Porterville Developmental Center - Secure Treatment Program
Expenditure and Funding Incremental Changes
Current Year 2017 - 18
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E*	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Intensive Treatment Residence - Behavioral Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Intensive Treatment Residence - Medical Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Salary Savings	\$0	\$0	\$0	-\$3,781	-\$3,781

Total Secure Treatment Program Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Fixed Facility OE&E*	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	-3,781	-3,781
Grand Total	\$0	\$0	\$0	-\$3,781	-\$3,781

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	-\$3,743	-\$3,743
Reimbursements	0	0	0	-38	-38
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	-\$3,781	-\$3,781

* Includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Sonoma Developmental Center
May Revision to Governor's Budget
Current Year 2017 - 18
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	256	256	0
Units			
<i>ICF</i>	5.00	5.00	0.00
<i>SNF</i>	4.00	4.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	10.00	10.00	0.00
Positions			
<i>Unit Staffing</i>	525.9	525.9	0.0
<i>Program Support</i>	<u>443.0</u>	<u>443.0</u>	<u>0.0</u>
Positions, Total	968.8	968.8	0.0
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$54,458	\$54,458	\$0
<i>Staff OE&E</i>	2,638	2,638	0
<i>Resident OE&E</i>	<u>6,629</u>	<u>6,629</u>	<u>0</u>
Unit Staffing, Total	\$63,725	\$63,725	\$0
<u>Program Support</u>			
<i>Personal Services</i>	\$47,960	\$47,960	\$0
<i>Staff OE&E</i>	2,275	2,275	0
<i>Fixed Facility OE&E</i>	<u>4,016</u>	<u>4,016</u>	<u>0</u>
Program Support, Total	\$54,251	\$54,251	\$0
Total Operations Expenditures	\$117,976	\$117,976	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$5,583	\$5,583	\$0
Major Assumptions			
<i>Lump Sum Payouts</i>	\$3,368	\$3,368	\$0
<i>Salary Savings</i>	<u>-2,731</u>	<u>0</u>	<u>-2,731</u>
Major Assumptions, Total	\$637	\$3,368	-\$2,731
Total Expenditures	\$124,196	\$126,927	-\$2,731

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$99,399	\$101,584	-\$2,185
<i>Reimbursements</i>	24,741	25,287	-546
<i>Lottery Fund</i>	<u>56</u>	<u>56</u>	<u>0</u>
Fund, Total	\$124,196	\$126,927	-\$2,731

Sonoma Developmental Center
Resident Population and Staffing Incremental Changes
Current Year 2017 - 18

Beginning Resident Population			
ICF	SNF	Acute	Total
0	0	0	0

Units			
ICF	SNF	Acute	Total
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	460.1	460.1	0.0
Medical	65.8	65.8	0.0
Support Services	443.0	443.0	0.0
Total Positions	968.8	968.8	0.0

Sonoma Developmental Center
Expenditure and Funding Incremental Changes
Current Year 2017 - 18
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$0	\$0
Salary Savings	0	0	0	-2,731	-2,731
Total	\$0	\$0	\$0	-\$2,731	-\$2,731

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	-2,731	-2,731
Grand Total	\$0	\$0	\$0	-\$2,731	-\$2,731

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	-\$2,185	-\$2,185
Reimbursements	0	0	0	-546	-546
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	-\$2,731	-\$2,731

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

May Revision to Governor's Budget
Current Year 2017 - 18
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	8	8	0
Units			
<i>ICF</i>	2.00	2.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	0.00	0.00	0.00
Units, Total	2.00	2.00	0.00
Positions			
<i>STAR</i>	53.2	53.2	0.0
<i>CAST</i>	14.5	14.5	0.0
Positions, Total	67.7	67.7	0.0
Operations Expenditures			
<u>STAR</u>			
<i>Personal Services</i>	\$5,067	\$5,067	\$0
<i>Staff OE&E</i>	293	293	0
<i>Resident OE&E</i>	259	259	0
STAR, Total	\$5,619	\$5,619	\$0
<u>CAST</u>			
<i>Personal Services</i>	\$1,621	\$1,621	\$0
<i>Staff OE&E</i>	290	290	0
CAST, Total	\$1,912	\$1,912	\$0
Total Operations Expenditures	\$7,531	\$7,531	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$132	\$132	\$0
Total Expenditures	\$7,663	\$7,663	\$0

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$7,663	\$7,663	\$0
<i>Reimbursements</i>	0	0	0
<i>Lottery Fund</i>	0	0	0
Fund, Total	\$7,663	\$7,663	\$0

STAR & CAST

Resident Population and Staffing Incremental Changes
Current Year 2017 - 18

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

STAR Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

CAST Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	54.0	54.0	0.0
Medical	0.7	0.7	0.0
Support Services	13.0	13.0	0.0
Total Positions	67.7	67.7	0.0

STAR & CAST
Expenditure and Funding Incremental Changes
Current Year 2017 - 18
(Dollars in thousands)

STAR Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Total STAR & CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$0	\$0
Reimbursements	0	0	0	0	0
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility
May Revision to Governor's Budget
Budget Year 2018 - 19
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2018	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	229.0	229.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$21,057	\$21,057	\$0
<i>Staff OE&E</i>	1,722	1,722	0
<i>Resident OE&E</i>	1,230	1,230	0
<i>Fixed Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$25,971	\$25,971	\$0
Total Operations Expenditures	\$25,971	\$25,971	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$879	\$879	\$0
Total Expenditures	\$26,850	\$26,850	\$0

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$13,703	\$13,703	\$0
<i>Reimbursements</i>	13,100	13,100	0
<i>Lottery Fund</i>	<u>47</u>	<u>47</u>	<u>0</u>
Fund, Total	\$26,850	\$26,850	\$0

Note: Slight rounding differences may occur between the May Revision to Governor's Budget and the Expenditure and Funding Detail Sheets.

Canyon Springs Community Facility
 Resident Population and Staffing Incremental Changes
 Budget Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	152.0	152.0	0.0
Medical	2.0	2.0	0.0
Support Services	75.0	75.0	0.0
Total Positions	229.0	229.0	0.0

Canyon Springs Community Facility
Expenditure and Funding Incremental Changes
Budget Year 2018 - 19
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$0	\$0
Reimbursements	0	0	0	0	0
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
May Revision to Governor's Budget
Budget Year 2018 - 19
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2018	109	94	15
Units			
<i>ICF</i>	2.00	2.00	0.00
<i>SNF</i>	2.00	1.50	0.50
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	4.50	0.50
Positions			
<i>Unit Staffing</i>	238.9	216.3	22.6
<i>Program Support</i>	<u>328.4</u>	<u>326.1</u>	<u>2.3</u>
Positions, Total	567.3	542.4	24.9
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$23,849	\$21,532	\$2,317
<i>Staff OE&E</i>	1,355	1,227	128
<i>Resident OE&E</i>	<u>3,600</u>	<u>3,105</u>	<u>495</u>
Unit Staffing, Total	\$28,804	\$25,864	\$2,940
<i>Program Support</i>			
<i>Personal Services</i>	\$43,663	\$43,545	\$118
<i>Staff OE&E</i>	1,859	1,858	1
<i>Fixed Facility OE&E</i>	<u>4,275</u>	<u>4,275</u>	<u>0</u>
Program Support, Total	\$49,797	\$49,678	\$119
Total Operations Expenditures	\$78,601	\$75,542	\$3,059
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$4,905	\$4,905	\$0
Major Assumptions			
<i>DC Funded Positions to HQ</i>	-\$279	-\$279	\$0
<i>Lump Sum Payouts</i>	3,978	3,978	0
<i>Security Costs</i>	67	67	0
<i>Closure Activity Cost</i>	<u>312</u>	<u>0</u>	<u>312</u>
Major Assumptions, Total	\$4,078	\$3,766	\$312
Total Expenditures	\$87,584	\$84,213	\$3,371

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$45,167	\$43,522	\$1,645
<i>Reimbursements</i>	42,307	40,581	1,726
<i>Lottery Fund</i>	<u>110</u>	<u>110</u>	<u>0</u>
Fund, Total	\$87,584	\$84,213	\$3,371

Fairview Developmental Center
Resident Population and Staffing Incremental Changes
Budget Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
10	5	0	15

Units			
ICF	SNF	Acute	Total Units
0.00	0.50	0.00	0.50

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	18.5	0.0	18.5
Medical	0.0	1.0	0.0	1.0
Support Services	<u>0.0</u>	<u>3.1</u>	<u>0.0</u>	<u>3.1</u>
Total	0.0	22.6	0.0	22.6

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>2.3</u>	<u>0.0</u>	<u>2.3</u>
Total	0.0	2.3	0.0	2.3

Total Staffing	0.0	24.9	0.0	24.9
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	200.8	219.3	18.5
Medical	48.3	49.3	1.0
Support Services	293.3	298.7	5.4
Total Positions	542.4	567.3	24.9

Fairview Developmental Center
Expenditure and Funding Incremental Changes
Budget Year 2018 - 19
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$2,317	\$0	\$0	\$2,317
Staff OE&E	0	127	0	0	127
Resident OE&E	330	165	0	0	495
Total	\$330	\$2,609	\$0	\$0	\$2,939

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	-\$1,970	\$2,460	-\$372	\$0	\$118
Staff OE&E	-86	104	-16	0	2
Fixed Facility OE&E	0	0	0	0	0
Total	-\$2,056	\$2,564	-\$388	\$0	\$120

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
DC Funded Positions to HQ	\$0	\$0	\$0	\$0	\$0
Lump Sum	0	0	0	0	0
Security Costs	0	0	0	0	0
Closure Activity Cost	0	0	0	312	312
Total	\$0	\$0	\$0	\$312	\$312

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	-\$1,970	\$4,777	-\$372	\$0	\$2,435
OE&E	244	396	-16	0	624
Staff OE&E	-86	231	-16	0	129
Resident OE&E	330	165	0	0	495
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	312	312
Grand Total	-\$1,726	\$5,173	-\$388	\$312	\$3,371

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	-\$887	\$2,612	-\$237	\$156	\$1,645
Reimbursements	-839	2,561	-151	156	1,726
Lottery Education Fund	0	0	0	0	0
Grand Total	-\$1,726	\$5,173	-\$388	\$312	\$3,371

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

May Revision to Governor's Budget

Budget Year 2018 - 19

(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2018	65	83	-18
Units			
<i>ICF</i>	2.50	2.50	0.00
<i>SNF</i>	1.00	1.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.50	3.50	0.00
Positions			
<i>Unit Staffing</i>	210.4	209.2	1.2
<i>Program Support</i>	<u>116.0</u>	<u>118.4</u>	<u>-2.4</u>
Positions, Total	326.4	327.6	-1.2
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$20,317	\$20,216	\$101
<i>Staff OE&E</i>	1,295	1,287	8
<i>Resident OE&E</i>	<u>1,873</u>	<u>2,391</u>	<u>-518</u>
Unit Staffing, Total	\$23,485	\$23,894	-\$409
<i>Program Support</i>			
<i>Personal Services</i>	\$11,089	\$11,239	-\$150
<i>Staff OE&E</i>	717	726	-9
<i>Fixed Facility OE&E</i>	<u>1,596</u>	<u>1,618</u>	<u>-22</u>
Program Support, Total	\$13,402	\$13,583	-\$181
Total Operations Expenditures	\$36,887	\$37,477	-\$590
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$1,718	\$1,718	\$0
Major Assumptions			
<i>DC Funded Positions to HQ</i>	-\$164	-\$164	\$0
<i>Closure Activity Cost</i>	<u>462</u>	<u>0</u>	<u>462</u>
Major Assumptions, Total	\$298	-\$164	\$462
Total Expenditures	\$38,903	\$39,031	-\$128

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$20,183	\$20,245	-\$62
<i>Reimbursements</i>	18,665	18,731	-66
<i>Lottery Fund</i>	<u>55</u>	<u>55</u>	<u>0</u>
Fund, Total	\$38,903	\$39,031	-\$128

Note: Slight rounding differences may occur between this page and the Expenditure and Funding Detail Sheets.

Porterville Developmental Center- General Treatment Area

Resident Population and Staffing Incremental Changes

Budget Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
-7	-11	0	-18

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	1.2	0.0	0.0	1.2
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	1.2	0.0	0.0	1.2

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	-0.1	0.0	-0.1
Medical	0.0	-0.1	0.0	-0.1
Support Services	-1.0	-1.2	0.0	-2.2
Total	-1.0	-1.4	0.0	-2.4

Total Staffing	0.2	-1.4	0.0	-1.2
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	178.1	179.2	1.1
Medical	17.8	17.7	-0.1
Support Services	131.7	129.5	-2.2
Total Positions	327.6	326.4	-1.2

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Incremental Changes

Budget Year 2018 - 19

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$101	\$0	\$0	\$0	\$101
Staff OE&E	8	0	0	0	8
Resident OE&E	<u>-202</u>	<u>-317</u>	<u>0</u>	<u>0</u>	<u>-519</u>
Total	-\$93	-\$317	\$0	\$0	-\$410

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	-\$11	-\$139	\$0	\$0	-\$150
Staff OE&E	-1	-8	0	0	-9
Fixed Facility OE&E	<u>0</u>	<u>0</u>	<u>0</u>	<u>-22</u>	<u>-22</u>
Total	-\$12	-\$147	\$0	-\$22	-\$181

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
DC Funded Positions to HQ	\$0	\$0	\$0	\$0	\$0
Closure Activity Cost	<u>0</u>	<u>0</u>	<u>0</u>	<u>462</u>	<u>462</u>
Total	\$0	\$0	\$0	\$462	\$462

Total General Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$90	-\$139	\$0	\$0	-\$49
OE&E	-195	-325	0	-22	-542
Staff OE&E	7	-8	0	0	-1
Resident OE&E	-202	-317	0	0	-519
Fixed Facility OE&E	0	0	0	-22	-22
Control Section Adjustments	0	0	0	0	0
Major Assumptions	<u>0</u>	<u>0</u>	<u>0</u>	<u>462</u>	<u>462</u>
Grand Total	-\$105	-\$464	\$0	\$440	-\$129

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	-\$55	-\$236	\$0	\$229	-\$62
Reimbursements	-50	-228	0	211	-67
Lottery Education Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Grand Total	-\$105	-\$464	\$0	\$440	-\$129

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Program

May Revision to Governor's Budget

Budget Year 2018 - 19

(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2018	211	211	0
Units			
<i>ICF*</i>	13.00	13.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	14.00	14.00	0.00
Positions			
<i>Unit Staffing</i>	765.8	765.8	0.0
<i>Program Support</i>	421.2	419.6	1.6
<i>Intensive Treatment Residence - Behavioral</i>	37.8	37.8	0.0
<i>Intensive Treatment Residence - Medical</i>	<u>37.8</u>	<u>37.8</u>	<u>0.0</u>
Positions, Total	1,262.5	1,260.9	1.6
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$74,248	\$74,248	\$0
<i>Staff OE&E</i>	4,700	4,700	0
<i>Resident OE&E</i>	<u>6,080</u>	<u>6,080</u>	<u>0</u>
Unit Staffing, Total	\$85,028	\$85,028	\$0
<u>Program Support</u>			
<i>Personal Services</i>	\$40,152	\$40,001	\$151
<i>Staff OE&E</i>	2,596	2,585	11
<i>Fixed Facility OE&E**</i>	<u>14,934</u>	<u>14,913</u>	<u>22</u>
Program Support, Total	\$57,683	\$57,499	\$184
<u>Intensive Treatment Residence - Behavioral</u>			
<i>Personal Services</i>	\$3,450	\$3,450	\$0
<i>Staff OE&E</i>	<u>231</u>	<u>231</u>	<u>0</u>
IBTR - Behavioral, Total	\$3,681	\$3,681	\$0
<u>Intensive Treatment Residence - Medical</u>			
<i>Personal Services</i>	\$3,450	\$3,450	\$0
<i>Staff OE&E</i>	<u>231</u>	<u>231</u>	<u>0</u>
IBTR - Medical, Total	\$3,681	\$3,681	\$0
Total Operations Expenditures	\$150,073	\$149,889	\$184
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$4,418	\$4,418	\$0
Major Assumptions			
<i>DC Funded Positions to HQ</i>	-\$354	-\$354	\$0
Total Expenditures	\$154,137	\$153,953	\$184
Fund Sources	2018 May Revision	2018-19 Governor's Budget	Incremental Change
<i>General Fund</i>	\$152,532	\$152,350	\$182
<i>Reimbursements</i>	1,550	1,548	2
<i>Lottery Fund</i>	<u>55</u>	<u>55</u>	<u>0</u>
Fund, Total	\$154,137	\$153,953	\$184

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

***Slight rounding differences may occur between this page and facility detail sheets.

Porterville Developmental Center - Secure Treatment Program
Resident Population and Staffing Incremental Changes
Budget Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.1	0.0	0.0	0.1
Medical	0.2	0.0	0.0	0.2
Support Services	1.3	0.0	0.0	1.3
Total	1.6	0.0	0.0	1.6

Intensive Treatment Residence - Behavioral				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Total Staffing	1.6	0.0	0.0	1.6
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	730.6	730.7	0.1
Medical	63.8	64.0	0.2
Support Services	466.5	467.8	1.3
Total Positions	1,260.9	1,262.5	1.6

Porterville Developmental Center - Secure Treatment Program
Expenditure and Funding Incremental Changes
Budget Year 2018 - 19
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$147	\$0	\$4	\$0	\$151
Staff OE&E	9	0	0	0	9
Fixed Facility OE&E	0	0	0	22	22
Total	\$156	\$0	\$4	\$22	\$182

Intensive Treatment Residence - Behavioral Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Intensive Treatment Residence - Medical Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
DC Funded Positions to HQ	\$0	\$0	\$0	\$0	\$0

Total Secure Treatment Program Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$147	\$0	\$4	\$0	\$151
OE&E	9	0	0	22	31
Staff OE&E	9	0	0	0	9
Resident OE&E	0	0	0	0	0
Fixed Facility OE&E*	0	0	0	22	22
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	0	0
Grand Total	\$156	\$0	\$4	\$22	\$182

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$156	\$0	\$3	\$22	\$181
Reimbursements	0	0	1	0	1
Lottery Education Fund	0	0	0	0	0
Grand Total	\$156	\$0	\$4	\$22	\$182

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Sonoma Developmental Center
May Revision to Governor's Budget
Budget Year 2018 - 19
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2018	83	83	0
Units			
<i>ICF</i>	3.00	1.50	1.50
<i>SNF</i>	1.00	0.50	0.50
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	3.00	2.00
Positions			
<i>Unit Staffing</i>	259.2	138.0	121.1
<i>Program Support</i>	<u>417.4</u>	<u>413.4</u>	<u>4.0</u>
Positions, Total	676.6	551.4	125.2
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$12,691	\$6,873	\$5,818
<i>Staff OE&E</i>	1,181	632	550
<i>Resident OE&E</i>	<u>2,705</u>	<u>2,705</u>	<u>0</u>
Unit Staffing, Total	\$16,577	\$10,210	\$6,368
<u>Program Support</u>			
<i>Personal Services</i>	\$27,268	\$27,141	\$128
<i>Staff OE&E</i>	4,603	4,632	-29
<i>Fixed Facility OE&E</i>	<u>6,029</u>	<u>6,029</u>	<u>0</u>
Program Support, Total	\$37,900	\$37,802	\$99
Total Operations Expenditures	\$54,478	\$48,012	\$6,467
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$5,778	\$5,778	\$0
Major Assumptions			
<i>DC Funded Positions to HQ</i>	-\$1,313	-\$1,313	\$0
<i>Lump Sum Payouts</i>	5,322	5,322	0
<i>Security Costs</i>	580	580	0
<i>Closure Activity Cost</i>	<u>-980</u>	<u>0</u>	<u>-980</u>
Major Assumptions, Total	\$3,609	\$4,589	-\$980
Total Expenditures	\$63,865	\$58,378	\$5,487

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$54,355	\$48,922	\$5,433
<i>Reimbursements</i>	9,454	9,400	54
<i>Lottery Fund</i>	<u>56</u>	<u>56</u>	<u>0</u>
Fund, Total	\$63,865	\$58,378	\$5,487

Sonoma Developmental Center
Resident Population and Staffing Incremental Changes
Budget Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total
0	0	0	0

Units			
ICF	SNF	Acute	Total
1.50	0.50	0.00	2.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	79.3	18.5	0.0	97.8
Medical	1.9	1.0	0.0	2.9
Support Services	<u>17.4</u>	<u>3.1</u>	<u>0.0</u>	<u>20.5</u>
Total	98.5	22.6	0.0	121.2

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	-0.1	0.0	0.0	-0.1
Medical	-0.1	0.0	0.0	-0.1
Support Services	<u>2.5</u>	<u>1.5</u>	<u>0.2</u>	<u>4.2</u>
Total	2.3	1.5	0.2	4.0

Total Staffing	100.9	24.1	0.2	125.2
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	146.1	243.0	97.7
Medical	44.4	45.9	2.8
Support Services	361.0	387.6	24.7
Total Positions	551.4	676.6	125.2

Sonoma Developmental Center
Expenditure and Funding Incremental Changes
Budget Year 2018 - 19
(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$4,661	\$1,157	\$0	\$0	\$5,818
Staff OE&E	447	103	0	0	550
Resident OE&E	0	0	0	0	0
Total	\$5,108	\$1,260	\$0	\$0	\$6,368

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$1,093	\$4	-\$969	\$0	\$128
Staff OE&E	47	-7	-69	0	-29
Fixed Facility OE&E	0	0	0	0	0
Total	\$1,140	-\$3	-\$1,038	\$0	\$99

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
DC Funded Positions to HQ	\$0	\$0	\$0	\$0	\$0
Lump Sum	0	0	0	0	0
Security Costs	0	0	0	0	0
Closure Activity Cost	0	0	0	-980	-980
Total	\$0	\$0	\$0	-\$980	-\$980

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$5,754	\$1,161	-\$969	\$0	\$5,946
OE&E	494	96	-69	0	521
Staff OE&E	494	96	-69	0	521
Resident OE&E	0	0	0	0	0
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	-980	-980
Grand Total	\$6,248	\$1,257	-\$1,038	-\$980	\$5,487

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$6,248	\$637	-\$692	-\$760	\$5,433
Reimbursements	0	620	-346	-220	54
Lottery Education Fund	0	0	0	0	0
Grand Total	\$6,248	\$1,257	-\$1,038	-\$980	\$5,487

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

May Revision to Governor's Budget
Budget Year 2018 - 19
(Dollars in thousands)

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2017	10	20	-10
Units			
<i>ICF</i>	4.00	4.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	4.00	4.00	0.00
Positions			
<i>STAR</i>	106.4	106.4	0.0
<i>CAST</i>	<u>14.5</u>	<u>14.5</u>	<u>0.0</u>
Positions, Total	120.9	120.9	0.0
Operations Expenditures			
<u>STAR</u>			
<i>Personal Services</i>	\$10,010	\$10,010	\$0
<i>Staff OE&E</i>	541	541	0
<i>Resident OE&E</i>	<u>656</u>	<u>656</u>	<u>0</u>
STAR, Total	\$11,207	\$11,207	\$0
<u>CAST</u>			
<i>Personal Services</i>	\$1,588	\$1,588	\$0
<i>Staff OE&E</i>	<u>284</u>	<u>284</u>	<u>0</u>
CAST, Total	\$1,871	\$1,871	\$0
Total Operations Expenditures	\$13,078	\$13,078	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$132	\$132	\$0
Total Expenditures	\$13,210	\$13,210	\$0

	2018 May Revision	2018-19 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$13,210	\$13,210	\$0
<i>Reimbursements</i>	0	0	0
<i>Lottery Fund</i>	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$13,210	\$13,210	\$0

STAR & CAST

Resident Population and Staffing Incremental Changes
Budget Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
-10	0	0	-10

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

STAR Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

CAST Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2018-19 Governor's Budget			
Staffing	2018-19 Governor's Budget	2018 May Revision	Change
Clinical	93.5	93.5	0.0
Medical	1.4	1.4	0.0
Support Services	26.0	26.0	0.0
Total Positions	120.9	120.9	0.0

STAR & CAST
Expenditure and Funding Incremental Changes
Budget Year 2018 - 19
(Dollars in thousands)

STAR Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Total STAR & CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$0	\$0
Reimbursements	0	0	0	0	0
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

NEW MAJOR ASSUMPTION

Salary Savings

The Department estimates salary savings of \$11.4 million (\$8.5 million GF) in the current year resulting from vacancies. More specifically, the concurrent closure of three DCs and pending employee layoffs has made it difficult for the Department to hire new staff as others separate. Further, in some cases, the Department has held positions open at non-closure facilities for potential transferees as part of its layoff mitigation efforts, which will generate some savings until the staff transfers are completed. To provide adequate coverage for the health and safety of the residents, as well as assist with consumer movement and transitions during the closure process, the DCs are utilizing overtime and temporary staff. While the Department is funding overtime and temporary help with salary savings from vacant positions, that savings exceeds funds needed on a per-position basis. The estimated savings by facility is as follows:

(\$'s in 000's)	Total Funds	General Fund
Canyon Springs	\$560	\$286
Fairview	\$2,731	\$1,420
Porterville GTA	\$1,575	\$819
Porterville STP	\$3,781	\$3,743
Sonoma	\$2,731	\$2,185
Total	\$11,378	\$8,453

REVISED MAJOR ASSUMPTION

Closure Activity Costs

In response to the planned closures of Sonoma, Fairview, and Porterville GTA, the Department requested and received funds for various closure activities, including transferring residents and their belongings, archiving records, completing historic evaluations, and contracting for an independent monitor. The May Revision proposes a net decrease of \$0.2 million (\$0.4 million GF) for these activities. This includes a decrease of \$1.0 million (\$0.8 million GF) for Sonoma expenses, and an increase of \$0.8 million (\$0.4 million GF) for Fairview and Porterville GTA.

	2018-19 GB	2018-19 MR	Difference
Sonoma			
Resident Relocations			
	\$1,330,000	\$350,000	-\$980,000
Fairview			
Dispose/Relocate Assets	\$0	\$150,000	\$150,000
Historical Evaluation	<u>\$0</u>	<u>\$162,000</u>	<u>\$162,000</u>
Total Fairview	\$0	\$312,000	\$312,000
Porterville GTA			
Dispose/Relocate Assets	\$0	\$300,000	\$300,000
Historical Evaluation	<u>\$0</u>	<u>\$162,000</u>	<u>\$162,000</u>
Total Porterville GTA	\$0	\$462,000	\$462,000
Tota Costs	\$1,330,000	\$1,124,000	-\$206,000

FUTURE FISCAL ISSUE

Decertification of ICF Units at Fairview and Porterville GTA

Both Fairview and Porterville GTA are currently operating under Settlement Agreements reached between the State and the Centers for Medicare and Medicaid Services (CMS). These agreements require the two facilities to comply with Conditions of Participation to receive federal reimbursements for the ICF/DD units. In December 2017, the California Department of Public Health and CMS notified Fairview and Porterville GTA that they are in compliance, and extended the agreement termination dates to December 31, 2018.

Although the two facilities are currently in compliance, CMS may terminate the agreements and withdraw federal reimbursements at its sole discretion. If this were to occur, the estimated at-risk monthly Federal Financial Participation (FFP) in 2017-18 for the Fairview and Porterville GTA ICF/DD units is \$3.2 million and \$1.7 million, respectively. The 2018-19 estimated at-risk monthly FFP for the Fairview and Porterville GTA ICF/DD units is \$1.9 million and \$1.2 million, respectively

General Fund

DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible residents (these funds are referred to as "General Fund Match" and 2) to pay for non Medi-Cal-eligible resources/services for residents in the developmental centers and the state-operated community facility (DC/CF) system. These funds are referred to as "General Fund Other."

ASSUMPTIONS/METHODOLOGY:

		<u>2017-18</u>	<u>2018-19</u>	
• General Fund Match:				\$124,911
General Fund Match to FMAP	<u>2017-18</u>	<u>2018-19</u>		\$85,076
Non-Proposition 98	50.00%	50.00%	122,147	82,312
Proposition 98	50.00%	50.00%	2,459	2,459
HIPAA	50.00%	50.00%	305	305
<p>This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHCS.</p>				
• General Fund Other				\$233,223
<p>This funding is for services provided to the DC/CF residents who are not eligible for federal or other reimbursement funding. Funding also includes \$6.3 million in 2017-18 and \$9.2 million in 2018-19 for Lease-Revenue Bond payments.</p>			233,223	214,074
TOTAL GENERAL FUND				\$358,134
				\$299,150

Dollars are in thousands

Reimbursements Title XIX Medi-Cal

DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the DC/CF system. These funds require a General Fund match at the FMAP rate.

ASSUMPTIONS/METHODOLOGY:

2017-18

2018-19

Title XIX/Medi-Cal

\$124,911

\$85,076

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DC/CF system via DHCS. The federal financial participation costs are established by utilizing the FMAP provided by DHCS.

FMAP	<u>2017-18</u>	<u>2018-19</u>		
Non-Proposition 98	50.00%	50.00%	124,560	84,725
Proposition 98	50.00%	50.00%	242	242
HIPAA	50.00%	50.00%	109	109

TOTAL REIMBURSEMENTS

\$124,911

\$85,076

Dollars are in thousands

Lottery Education Fund

DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

ASSUMPTIONS/METHODOLOGY:

2017-18

2018-19

Lottery Education Fund:

\$323

\$323

The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs, in the DC/CF system. Funds received are based on average daily attendance.

TOTAL LOTTERY EDUCATION FUND

\$323

\$323

Dollars are in thousands

SB 82 Reporting

Pursuant to Chapter 23, Statutes of 2015 (SB 82), the Department provides the following information regarding GF savings associated with the downsizing or closure of the DCs.

Table 1 below displays 2015-16 through 2018-19 funding impacts related to six programs or activities affected by the Sonoma, Fairview, and Porterville GTA closures. (Negative amounts reflect savings; positive amounts reflect increased expenditures.)

Cumulatively, net expenditures driven by closure-related activities total \$304.2 million (\$276.5 million GF). While overall DC operations expenditures are decreasing substantially in 2017-18 and 2018-19, expenditures to develop community-based resources and to support individuals living in the community exceed savings on the aggregate.

Table 1
DC Closures – Funding Impacts
Dollars in Thousands

Note: The GF amount included on Line 1, DCs – Operations Adjustments, for 2016-17, includes \$32.4 million GF per Provision 8, Item 4300-003-0001 of the 2016 Budget Act. The funds were appropriated for the operation of the Intermediate Care Facility units at Sonoma after federal funding was discontinued.

Program/Activity	FY 2015-16		FY 2016-17		FY 2017-18		FY 2018-19		Cumulative Total	
	TF	GF	TF	GF	TF	GF	TF	GF	TF	GF
1. DCs - Operations Adjustments	\$1,500	\$800	-\$8,800	\$22,300	-\$62,300	-\$21,000	-\$101,500	-\$60,200	-\$171,100	-\$58,100
2. DCs - Closure Activities	\$1,700	\$1,100	\$7,100	\$5,300	\$12,800	\$8,300	\$15,500	\$9,500	\$37,100	\$24,200
3. Community Services - Closure Placement	\$46,700	\$43,700	\$78,800	\$73,000	\$25,700	\$21,600	\$30,600	\$26,000	\$181,800	\$164,300
4. Community Services - Continuation Costs	\$48,100	\$27,200	\$45,000	\$24,200	\$57,200	\$29,800	\$84,800	\$44,500	\$235,100	\$125,700
5. HQ - Closure Coordination & Oversight	\$0	\$0	\$2,100	\$1,800	\$2,100	\$1,800	\$2,100	\$1,800	\$6,300	\$5,400
6. Safety Net Resources	\$0	\$0	\$0	\$0	\$7,500	\$7,500	\$7,500	\$7,500	\$15,000	\$15,000
Total	\$98,000	\$72,800	\$124,200	\$126,600	\$43,000	\$48,000	\$39,000	\$29,100	\$304,200	\$276,500

The amounts in Table 1 do not include other state department-funded expenditures, such as Medi-Cal and In-Home Supportive Services, for individuals who moved out of the DCs into the community. The following information provides details and explanations for each program/activity area.

1. DCs – Operations Adjustments

DC Operations includes personnel expenditures to care for residents and to operate and maintain the facilities. It also includes staff, resident, and facility OE&E expenses. The 2018 May Revision proposes decreases for 2018-19 DC Operations expenditures of \$101.5 million (\$60.2 million GF decrease) as compared to 2017-18 operations expenditures. The decrease results from declining resident populations which drive staffing and OE&E costs. Expenditures in 2017-18 are estimated to decrease \$62.3 million (\$21.0 million GF decrease) as compared to 2016-17. These amounts are net of closure activity costs.

2. DCs – Closure Activities

Following the announced DC closures, the Department received funds for closure-related activities such as taking inventory and archiving clinical records, contracting for an independent monitor, moving residents and their belongings to new community homes, assessing facilities and land, paying out employee leave balances, and providing physical security measures. Table 2 details amounts appropriated and proposed for closure activities.

Table 2
Closure Activity Costs
Whole Dollars

	2015-16	2016-17	2017-18	2018-19
Sonoma				
OT for Resident Transfers	\$144,000	\$236,000	\$236,000	\$236,000
Archive Records	0	500,000	500,000	500,000
Resident Relocations	808,000	1,300,000	1,300,000	350,000
Site Assessment	0	2,240,000	0	0
Independent Monitor	707,000	947,000	947,000	947,000
Dispose/Relocate Assets	0	0	300,000	300,000
Physical Security Measures ^{1/}	0	0	0	580,000
Lump Sum Leave Payouts ^{1/}	<u>0</u>	<u>0</u>	<u>3,368,000</u>	<u>5,322,000</u>
Total Sonoma	\$1,659,000	\$5,223,000	\$6,651,000	\$8,235,000
Fairview				
Independent Monitor	\$0	\$950,000	\$950,000	\$950,000
Archive Records	0	0	250,000	250,000
Increased Security ^{1/}	0	0	0	67,000
Lump Sum Leave Payouts ^{1/}	0	0	3,707,000	3,978,000
Dispose/Relocate Assets ^{2/}	0	0	0	150,000
Historic Evaluation ^{2/}	<u>0</u>	<u>0</u>	<u>0</u>	<u>162,000</u>
Total Fairview	\$0	\$950,000	\$4,907,000	\$5,557,000
Porterville GTA				
Independent Monitor	\$0	\$950,000	\$950,000	\$950,000
Archive Records	0	0	250,000	250,000
Dispose/Relocate Assets ^{2/}	0	0	0	300,000
Historic Evaluation ^{2/}	<u>0</u>	<u>0</u>	<u>0</u>	<u>162,000</u>
Total Porterville GTA	<u>\$0</u>	<u>\$950,000</u>	<u>\$1,200,000</u>	<u>\$1,662,000</u>
Total Closure Activity Costs	<u>\$1,659,000</u>	<u>\$7,123,000</u>	<u>\$12,758,000</u>	<u>\$15,454,000</u>
GF	\$1,062,000	\$5,319,000	\$8,304,000	\$9,476,000

^{1/} Proposed in 2018-19 Governor's Budget

^{2/} Proposed in 2018 May Revision

3. Community Services – Closure Community Placement Plan (CPP)

The DC closures require increased regional center positions and resources to develop community living arrangements, complete comprehensive assessments, transition individuals into the community, and fund consumers’ Purchase of Services (POS) expenditures during their first year in the community. Within the Regional Center Estimate, the May Revision includes \$30.6 million (\$26.0 million GF) in 2018-19 to fund placement activities, as detailed in Table 3. DC closure-specific CPP expenditures in 2017-18 total \$25.7 million (\$21.7 million GF). These amounts are in addition to \$68 million in ongoing funds budgeted for regular CPP activities.

Table 3
Community Placement FY 2018-19
Dollars in Thousands

	Sonoma		Porterville		
	Sonoma	Fairview	GTA	Total	
Operations	\$3,600	\$1,200	\$600	\$5,400	
Purchase of Services	10,800	8,700	5,700	25,200	
Total	\$14,400	\$9,900	\$6,300	\$30,600	
GF	\$12,400	\$8,300	\$5,300	\$26,000	

4. Community Services – Continuation Costs

When individuals transition from DCs into the community, POS costs increase. Although CPP funds the first year of these costs, continuing expenditures are not included in the trends on which the Department projects future expenses. To budget POS costs for the second year of community living, the Department separately estimates and includes Continuation Costs in its Regional Center Estimate for individuals who moved into the community during the prior year. While the May Revision proposes no changes to amounts budgeted in 2017-18 and 2018-19, this report includes updated, reduced GF amounts to reflect revised, estimated federal reimbursements.

5. Headquarters – Closure Coordination and Oversight

The 2016 enacted budget included \$2.1 million (\$1.6 million GF) in 2016-17 for new and redirected vacant headquarters positions for staffing and contract resources needed to support the continued efforts for multiple DC closures. These positions and funds continue to support closure activities.

6. Safety Net Resources

The 2017 enacted budget included an increase of \$7.5 million GF to develop two community-based acute crisis facilities in Northern California, operate two, 24-7 mobile

acute crisis teams, and establish intensive transition services for residents leaving secured treatment settings. The Governor's Budget also proposed \$7.5 million GF in ongoing funds to continue funding the mobile acute crisis teams, and to staff and operate the two new community-based crisis homes. The May Revision proposes no changes to these amounts.

