

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2019-20 GOVERNOR'S BUDGET



STATE OF CALIFORNIA

JANUARY 2019

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Comparison of Governor's Budget to Enacted Budget
2018-19

	A Enacted Budget	B Governor's Budget	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$619,955	\$616,643	-\$3,312
2. Federal Compliance	47,644	47,711	67
3. Projects	27,889	27,933	44
4. Agnews Ongoing Workload	2,894	2,894	0
5. Lanterman Developmental Center (DC) Closure	2,392	2,392	0
6. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee	1,848	1,843	-5
7. Total OPS Caseload Growth (Items 1 thru 6)	\$702,622	\$699,416	-\$3,206
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,752	80,752	0
10. Total Policy (Items 8 and 9)	\$86,186	\$86,186	\$0
11. Total Operations (Items 7 and 10)	\$788,808	\$785,602	-\$3,206
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,576,191	\$1,582,097	\$5,906
2. Medical Facilities	11,571	15,080	3,509
3. Day Programs	1,158,991	1,120,898	-38,093
4. Habilitation Services	176,743	161,185	-15,558
a. Work Activity Program	46,529	38,952	-7,577
b. Supported Employment Program (Group Placement)	96,461	89,952	-6,509
c. Supported Employment Program (Individual Placement)	33,753	32,281	-1,472
5. Transportation	375,794	362,441	-13,353
6. Support Services	1,452,610	1,487,828	35,218
7. In-Home Respite	479,824	461,364	-18,460
8. Out-of-Home Respite	48,733	47,432	-1,301
9. Health Care	122,374	129,175	6,801
10. Miscellaneous	483,474	498,425	14,951
11. Quality Assurance Fees (Transfer from Department of Health Care Services)	9,977	9,951	-26
12. Total POS Caseload Growth (Items 1 thru 11)	\$5,896,282	\$5,875,876	-\$20,406
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$47,926	-\$45,625	\$2,301
14. DC Closure	25,201	21,610	-3,591
a. Sonoma DC	10,816	9,220	-1,596
b. Fairview DC	8,652	7,670	-982
c. Porterville DC	5,733	4,720	-1,013
15. ICF-DD Supplemental Payment	202	317	115
16. Pediatric Day Health Care Rate Increase	351	462	111
17. Home Health Rate Increase	29,505	29,505	0
18. Compliance with HCBS Regulations	15,000	15,000	0
19. Uniform Holiday	48,300	47,786	-514
20. Competitive, Integrated Employment Incentives	29,000	29,000	0
21. SB 3 Minimum Wage Increase: Effective January 1, 2019	122,996	68,444	-54,552
22. Best Buddies	1,500	1,500	0
23. Bridge Funding	40,215	42,011	1,796
24. Total Policy (Items 13 thru 23)	\$264,344	\$210,010	-\$54,334
25. Total POS (Items 12 and 24)	\$6,160,626	\$6,085,886	-\$74,740
C. Early Start/ Part C: Other Agency Cost	\$19,109	\$19,109	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$6,970,546	\$6,892,600	-\$77,946

Comparison of Governor's Budget to Enacted Budget
2018-19

	A Enacted Budget	B Governor's Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,152,658	\$4,087,869	-\$64,789
1. General Fund Match	2,511,902	2,500,444	-11,458
2. General Fund - Other	1,640,756	1,587,425	-53,331
B. Reimbursements	\$2,761,473	\$2,747,312	-\$14,161
1. Home and Community-Based Services (HCBS) Waiver	1,901,546	1,880,107	-21,439
2. HCBS Waiver Administration	14,696	15,212	516
3. Medicaid Administration	16,132	15,436	-696
4. Targeted Case Management (TCM)	210,734	234,553	23,819
5. TCM Administration	7,377	8,137	760
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	61,600	61,436	-164
8. Quality Assurance Fees (QAF)	10,901	10,873	-28
9. 1915(i) SPA	279,188	263,819	-15,369
10. Early Periodic Screening Diagnosis and Treatment	29,996	31,115	1,119
11. Behavioral Health Treatment Fee-for-Service	15,882	13,203	-2,679
C. Program Development Fund / Parental Fees	\$2,253	\$2,253	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,272	\$54,276	\$1,004
1. Early Start/Part C Grant	52,272	53,176	904
2. Foster Grandparent Program	1,000	1,100	100
G. GRAND TOTAL	\$6,970,546	\$6,892,600	-\$77,946

Comparison of Governor's Budget to Enacted Budget
2019-20

	A Enacted Budget	B Governor's Budget	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$619,955	\$651,610	\$31,655
2. Federal Compliance	47,644	47,964	320
3. Projects	27,889	29,273	1,384
4. Agnews Ongoing Workload	2,894	0	-2,894
5. Lanterman DC Closure	2,392	0	-2,392
6. ICF-DD SPA Administration Fee	1,848	1,843	-5
7. Total OPS Caseload Growth (Items 1 thru 6)	\$702,622	\$730,690	\$28,068
Policy			
8. DC Closure	\$5,434	\$0	-\$5,434
a. Sonoma DC	3,616	0	-3,616
b. Fairview DC	1,212	0	-1,212
c. Porterville DC	606	0	-606
9. DC Closure Ongoing Workload	0	8,302	8,302
10. Specialized Home Monitoring	0	5,450	\$5,450
11. Specialized Caseload Ratios	0	3,800	3,800
12. Operations Policy Items	80,752	81,097	345
13. Total Policy (Items 8 thru 12)	\$86,186	\$98,649	\$12,463
14. Total Operations (Items 7 and 13)	\$788,808	\$829,339	\$40,531
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,576,191	\$1,662,972	\$86,781
2. Medical Facilities	11,571	15,077	3,506
3. Day Programs	1,158,991	1,155,309	-3,682
4. Habilitation Services	176,743	160,959	-15,784
a. Work Activity Program	46,529	35,019	-11,510
b. Supported Employment Program (Group Placement)	96,461	89,952	-6,509
c. Supported Employment Program (Individual Placement)	33,753	35,988	2,235
5. Transportation	375,794	382,464	6,670
6. Support Services	1,452,610	1,649,581	196,971
7. In-Home Respite	479,824	500,967	21,143
8. Out-of-Home Respite	48,733	48,406	-327
9. Health Care	122,374	138,133	15,759
10. Miscellaneous	483,474	522,918	39,444
11. QAF (Transfer from DHCS)	9,977	9,951	-26
12. POS Subtotal (Items 1 thru 11)	\$5,896,282	\$6,246,737	\$350,455
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$47,926	-\$6,187	\$41,739
14. Community Crisis Homes for Children	0	4,500	4,500
15. Competitive Integrated Employment Incentives	29,000	29,000	0
16. DC Closure	25,201	0	-25,201
a. Sonoma DC	10,816	0	-10,816
b. Fairview DC	8,652	0	-8,652
c. Porterville DC	5,733	0	-5,733
17. ICF-DD Supplemental Payment	202	346	144
18. Pediatric Day Health Care Rate Increase	351	462	111
19. Home Health Rate Increase	29,505	29,505	0
20. Compliance with HCBS Regulations	15,000	15,000	0
21. Uniform Holiday	48,300	0	-48,300
22. SB 3 Minimum Wage Increase: Effective January 1, 2019	122,996	144,470	21,474
23. SB 3 Minimum Wage Increase: Effective January 1, 2020	0	83,019	83,019
24. Best Buddies	1,500	1,500	0
25. Bridge Funding	40,215	0	-40,215
26. Total Policy (Items 13 thru 25)	\$264,344	\$301,615	\$37,271
27. Total POS (Items 12 and 26)	\$6,160,626	\$6,548,352	\$387,726
C. Early Start/Part C: Other Agency Costs	\$19,109	\$19,109	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$6,970,546	\$7,398,803	\$428,257

**Comparison of Governor's Budget to Enacted Budget
2019-20**

	A Enacted Budget	B Governor's Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,152,658	\$4,450,177	\$297,519
1. General Fund Match	2,511,902	2,642,092	130,190
2. General Fund - Other	1,640,756	1,808,085	167,329
B. Reimbursements	\$2,761,473	\$2,891,218	\$129,745
1. HCBS Waiver	1,901,546	1,999,671	98,125
2. HCBS Waiver Administration	14,696	19,396	4,700
3. Medicaid Administration	16,132	18,824	2,692
4. TCM	210,734	241,689	30,955
5. TCM Administration	7,377	8,137	760
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	61,600	61,436	-164
8. QAF	10,901	10,873	-28
9. 1915(i) SPA	279,188	273,991	-5,197
10. Early Periodic Screening Diagnosis and Treatment	29,996	30,577	581
11. Behavioral Health Treatment Fee-for-Service	15,882	13,203	-2,679
C. Program Development Fund / Parental Fees	\$2,253	\$2,242	-\$11
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,272	\$54,276	\$1,004
1. Early Start/Part C Grant	52,272	53,176	904
2. Foster Grandparent Program	1,000	1,100	100
G. GRAND TOTAL	\$6,970,546	\$7,398,803	\$428,257

Comparison of Updated 2018-19 to 2019-20

	A Fiscal Year 2018-19	B Fiscal Year 2019-20	C Incremental Increase (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth			
1. Staffing	\$616,643	\$651,610	\$34,967
2. Federal Compliance	47,711	47,964	253
3. Projects	27,933	29,273	1,340
4. Agnews Ongoing Workload	2,894	0	-2,894
5. Lanterman DC Closure	2,392	0	-2,392
6. ICF-DD SPA Administration Fee	1,843	1,843	0
7. Total OPS Caseload Growth (Items 1 thru 6)	\$699,416	\$730,690	\$31,274
Policy			
8. DC Closure	\$5,434	\$0	-\$5,434
a. Sonoma DC	3,616	0	-3,616
b. Fairview DC	1,212	0	-1,212
c. Porterville DC	606	0	-606
9. DC Closure Ongoing Workload	0	8,302	8,302
10. Specialized Home Monitoring	0	5,450	5,450
11. Specialized Caseload Ratios	0	3,800	3,800
12. Operations Policy Items	80,752	81,097	345
13. Total Policy (Items 8 thru 12)	86,186	98,649	12,463
14. Total OPS (Items 7 and 13)	\$785,602	\$829,339	\$43,737
B. Purchase of Services (POS)			
Caseload Growth			
1. Community Care Facilities	\$1,582,097	\$1,662,972	\$80,875
2. Medical Facilities	15,080	15,077	-3
3. Day Programs	1,120,898	1,155,309	34,411
4. Habilitation Services	161,185	160,959	-226
a. Work Activity Program	38,952	35,019	-3,933
b. Supported Employment (Group Placement)	89,952	89,952	0
c. Supported Employment (Individual Placement)	32,281	35,988	3,707
5. Transportation	362,441	382,464	20,023
6. Support Services	1,487,828	1,649,581	161,753
7. In-Home Respite	461,364	500,967	39,603
8. Out-of-Home Respite	47,432	48,406	974
9. Health Care	129,175	138,133	8,958
10. Miscellaneous	498,425	522,918	24,493
11. QAF (Transfer from DHCS)	9,951	9,951	0
12. Total POS Caseload (Items 1 thru 11)	\$5,875,876	\$6,246,737	\$370,861
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder	-\$45,625	-\$6,187	\$39,438
14. Community Crisis Homes for Children	0	4,500	4,500
15. Competitive, Integrated Employment Incentives	29,000	29,000	0
16. DC Closure	21,610	0	-21,610
a. Sonoma DC	9,220	0	-9,220
b. Fairview DC	7,670	0	-7,670
c. Porterville DC	4,720	0	-4,720
17. ICF-DD Supplemental Payment	317	346	29
18. Pediatric Day Health Care Rate Increase	462	462	0
19. Home Health Rate Increase	29,505	29,505	0
20. Compliance with HCBS Regulations	15,000	15,000	0
21. Uniform Holiday	47,786	0	-47,786
22. SB 3 Minimum Wage Increase, Effective January 1, 2019	68,444	144,470	76,026
23. SB 3 Minimum Wage Increase, Effective January 1, 2020	0	83,019	83,019
24. Best Buddies	1,500	1,500	0
25. Bridge Funding	42,011	0	-42,011
26. Total Policy (Item 13 thru 25)	\$210,010	\$301,615	\$91,605
27. Total POS (Items 12 and 26)	\$6,085,886	\$6,548,352	\$462,466
C. Early Start/Part C: Other Agency Costs			
	\$19,109	\$19,109	\$0
D. Early Start Family Resource Services			
	\$2,003	\$2,003	\$0
E. GRAND TOTAL			
	\$6,892,600	\$7,398,803	\$506,203

Comparison of Updated 2018-19 to 2019-20

	A	B	C
	Fiscal Year 2018-19	Fiscal Year 2019-20	Incremental Increase (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,087,869	\$4,450,177	\$362,308
1. General Fund Match	2,500,444	2,642,092	141,648
2. General Fund - Other	1,587,425	1,808,085	220,660
B. Reimbursements	\$2,747,312	\$2,891,218	\$143,906
1. HCBS Waiver	1,880,107	1,999,671	119,564
2. HCBS Waiver Administration	15,212	19,396	4,184
3. Medicaid Administration	15,436	18,824	3,388
4. TCM	234,553	241,689	7,136
5. TCM Administration	8,137	8,137	0
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	61,436	61,436	0
8. QAF	10,873	10,873	0
9. 1915(i) SPA	263,819	273,991	10,172
10. Early Periodic Screening Diagnosis and Treatment	31,115	30,577	-538
11. Behavioral Health Treatment Fee-for-Service	13,203	13,203	0
C. Program Development Fund / Parental Fees	\$2,253	\$2,242	-\$11
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$54,276	\$54,276	\$0
1. Early Start/Part C Grant	53,176	53,176	0
2. Foster Grandparent Program	1,100	1,100	0
G. GRAND TOTAL	\$6,892,600	\$7,398,803	\$506,203

**Comparison of Governor's Budget to Enacted Budget
2018-19
OPERATIONS**

	A	B	C
	Enacted Budget	Governor's Budget	2018-19 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$619,955	\$616,643	-\$3,312
2. Federal Compliance	47,644	47,711	67
3. Projects	27,889	27,933	44
4. Agnews Ongoing Workload	2,894	2,894	0
5. Lanterman DC Closure	2,392	2,392	0
6. ICF-DD SPA Administration Fee	1,848	1,843	-5
7. Total OPS Caseload Growth (Items 1 thru 6)	\$702,622	\$699,416	-\$3,206
Policy			
8. DC Closure	\$5,434	\$5,434	\$0
a. Sonoma DC	3,616	3,616	0
b. Fairview DC	1,212	1,212	0
c. Porterville DC	606	606	0
9. Operations Policy Items	80,752	80,752	0
10. Total Policy (Items 8 and 9)	\$86,186	\$86,186	\$0
11. Total OPS (Items 7 and 10)	\$788,808	\$785,602	-\$3,206
II. FUND SOURCES:			
A. General Fund Total	\$537,055	\$509,352	-\$27,703
1. General Fund Match	239,107	263,968	24,861
2. General Fund Other	297,948	245,384	-52,564
B. Reimbursements	\$249,863	\$274,260	\$24,397
1. HCBS Waiver Administration	14,696	15,212	516
2. Medicaid Administration	16,132	15,436	-696
3. TCM	210,734	234,553	23,819
4. TCM Administration	7,377	8,137	760
5. QAF	924	922	-2
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Services Fund	\$740	\$740	\$0
E. Federal Funds	\$1,000	\$1,100	\$100
Foster Grandparent Program	1,000	1,100	100
F. Grand Total	\$788,808	\$785,602	(\$3,206)

**Comparison of Governor's Budget to Enacted Budget
2019-20
OPERATIONS**

	A Enacted Budget	B Governor's Budget	C 2019-20 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$619,955	\$651,610	\$31,655
2. Federal Compliance	47,644	47,964	320
3. Projects	27,889	29,273	1,384
4. Agnews Ongoing Workload	2,894	0	-2,894
5. Lanterman DC Closure	2,392	0	-2,392
6. ICF-DD SPA Administration Fee	1,848	1,843	-5
7. Total OPS Caseload Growth (Items 1 thru 6)	\$702,622	\$730,690	\$28,068
Policy			
8. DC Closure	\$5,434	\$0	-\$5,434
a. Sonoma DC	3,616	0	-3,616
b. Fairview DC	1,212	0	-1,212
c. Porterville DC	606	0	-606
9. DC Closure Ongoing Workload	0	8,302	8,302
10. Specialized Home Monitoring	\$0	5,450	5,450
11. Specialized Caseload Ratios	\$0	3,800	3,800
12. Operations Policy Items	80,752	81,097	345
13. Total Policy (Items 8 thru 12)	86,186	98,649	12,463
14. Total OPS (Items 7 and 13)	\$788,808	\$829,339	\$40,531
II. FUND SOURCES:			
A. General Fund Total	\$537,055	\$538,381	\$1,326
1. General Fund Match	239,107	276,418	37,311
2. General Fund Other	297,948	261,963	-35,985
B. Reimbursements	\$249,863	\$288,968	\$39,105
1. HCBS Waiver Administration	14,696	19,396	4,700
2. Medicaid Administration	16,132	18,824	2,692
3. TCM	210,734	241,689	30,955
4. TCM Administration	7,377	8,137	760
5. QAF	924	922	-2
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Services Fund	\$740	\$740	\$0
E. Federal Funds	\$1,000	\$1,100	\$100
Foster Grandparent Program	1,000	1,100	100
F. Grand Total	\$788,808	\$829,339	\$40,531

Comparison of Governor's Budget to Enacted Budget

2018-19

PURCHASE OF SERVICES

	A Enacted Budget	B Governor's Budget	C 2018-19 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,576,191	\$1,582,097	\$5,906
2. Medical Facilities	11,571	15,080	3,509
3. Day Programs	1,158,991	1,120,898	-38,093
4. Habilitation Services	176,743	161,185	-15,558
a. Work Activity Program	46,529	38,952	-7,577
b. Supported Employment Program (Group Placement)	96,461	89,952	-6,509
c. Supported Employment Program (Individual Placement)	33,753	32,281	-1,472
5. Transportation	375,794	362,441	-13,353
6. Support Services	1,452,610	1,487,828	35,218
7. In-Home Respite	479,824	461,364	-18,460
8. Out-of-Home Respite	48,733	47,432	-1,301
9. Health Care	122,374	129,175	6,801
10. Miscellaneous	483,474	498,425	14,951
11. QAF (Transfer from DHCS)	9,977	9,951	-26
12. Total POS Caseload Growth (Items 1 thru 11)	\$5,896,282	\$5,875,876	-\$20,406
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$47,926	-\$45,625	\$2,301
14. DC Closure	25,201	21,610	-3,591
a. Sonoma DC	10,816	9,220	-1,596
b. Fairview DC	8,652	7,670	-982
c. Porterville DC	5,733	4,720	-1,013
15. ICF-DD Supplemental Payment	202	317	115
16. Pediatric Day Health Care Rate Increase	351	462	111
17. Home Health Rate Increase	29,505	29,505	0
18. Compliance with HCBS Regulations	15,000	15,000	0
19. Uniform Holiday	48,300	47,786	-514
20. Competitive, Integrated Employment Incentives	29,000	29,000	0
21. SB 3 Minimum Wage Increase: Effective January 1, 2019	122,996	68,444	-54,552
22. Best Buddies	1,500	1,500	0
23. Bridge Funding	40,215	42,011	1,796
24. Total Policy (Items 13 thru 23)	\$264,344	\$210,010	-\$54,334
25. Total POS (Items 12 and 24)	\$6,160,626	\$6,085,886	-\$74,740

**Comparison of Governor's Budget to Enacted Budget
2018-19
PURCHASE OF SERVICES**

	A Enacted Budget	B Governor's Budget	C 2018-19 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$3,613,600	\$3,576,514	-\$37,086
1. General Fund Match	2,272,795	2,236,476	-36,319
2. General Fund Other	1,340,805	1,340,038	-767
B. Reimbursements	\$2,511,610	\$2,473,052	-\$38,558
1. HCBS Waiver	1,901,546	1,880,107	-21,439
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	61,600	61,436	-164
4. QAF	9,977	9,951	-26
5. 1915(i) SPA	279,188	263,819	-15,369
6. Early Periodic Screening Diagnosis and Treatment	29,996	31,115	1,119
7. BHT Fee-For-Services	15,882	13,203	-2,679
C. Program Development Fund / Parental Fees	\$2,253	\$2,253	\$0
D. Federal Funds	\$33,163	\$34,067	\$904
Early Start/Part C Grant	33,163	34,067	904
E. Grand Total	\$6,160,626	\$6,085,886	-\$74,740

Comparison of Governor's Budget to Enacted Budget
2019-20

PURCHASE OF SERVICES

	A Enacted Budget	B Governor's Budget	C 2019-20 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,576,191	\$1,662,972	\$86,781
2. Medical Facilities	11,571	15,077	3,506
3. Day Programs	1,158,991	1,155,309	-3,682
4. Habilitation Services	176,743	160,959	-15,784
a. Work Activity Program	46,529	35,019	-11,510
b. Supported Employment Program (Group Placement)	96,461	89,952	-6,509
c. Supported Employment Program (Individual Placement)	33,753	35,988	2,235
5. Transportation	375,794	382,464	6,670
6. Support Services	1,452,610	1,649,581	196,971
7. In-Home Respite	479,824	500,967	21,143
8. Out-of-Home Respite	48,733	48,406	-327
9. Health Care	122,374	138,133	15,759
10. Miscellaneous	483,474	522,918	39,444
11. QAF (Transfer from DHCS)	9,977	9,951	-26
12. Total POS Caseload Growth (Items 1 thru 11)	\$5,896,282	\$6,246,737	\$350,455
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$47,926	-\$6,187	\$41,739
14. Community Crisis Homes for Children	0	4,500	4,500
15. Competitive, Integrated Employment Incentives	29,000	29,000	0
16. DC Closure	25,201	0	-25,201
a. Sonoma DC	10,816	0	-10,816
b. Fairview DC	8,652	0	-8,652
c. Porterville DC	5,733	0	-5,733
17. ICF-DD Supplemental Program	202	346	144
18. Pediatric Day Health Care Rate Increase	351	462	111
19. Home Health Rate Increase	29,505	29,505	0
20. Compliance with HCBS Regulations	15,000	15,000	0
21. Uniform Holiday	48,300	0	-48,300
22. SB 3 Minimum Wage Increase Effective January 1, 2019	122,996	144,470	21,474
23. SB 3 Minimum Wage Increase Effective January 1, 2020	0	83,019	83,019
24. Best Buddies	1,500	1,500	0
25. Bridge Funding	40,215	0	-\$40,215
26. Total Policy (Items 13 thru 25)	\$264,344	\$301,615	\$37,271
27. Total POS (Items 12 and 26)	\$6,160,626	\$6,548,352	\$387,726

Comparison of Governor's Budget to Enacted Budget
2019-20

PURCHASE OF SERVICES

	A Enacted Budget	B Governor's Budget	C 2019-20 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$3,613,600	\$3,909,793	\$296,193
1. General Fund Match	2,272,795	2,365,674	92,879
2. General Fund Other	1,340,805	1,544,119	203,314
B. Reimbursements	\$2,511,610	\$2,602,250	\$90,640
1. HCBS Waiver	1,901,546	1,999,671	98,125
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	61,600	61,436	-164
4. QAF	9,977	9,951	-26
5. 1915(i) SPA	279,188	273,991	-5,197
6. Early Periodic Screening Diagnosis and Treatment	29,996	30,577	581
7. Behavioral Health Treatment - Fee-for-Service	15,882	13,203	-2,679
C. Program Development Fund / Parental Fees	\$2,253	\$2,242	-\$11
D. Federal Funds	\$33,163	\$34,067	\$904
Early Start/Part C Grant	33,163	34,067	904
E. Grand Total	\$6,160,626	\$6,548,352	\$387,726

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SECTION B: EXECUTIVE HIGHLIGHTS

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EXECUTIVE HIGHLIGHTS

Overview

This document provides a high-level summary of notable changes in the Department's proposed 2019-20 Governor's Budget Regional Center Estimate.

GENERAL BUDGET OVERVIEW

2018-19

Costs and Fund Sources

The Current Year updated Regional Center budget totals \$6.9 billion (\$4.1 billion General Fund [GF]), a net decrease of \$78.0 million (\$64.8 million GF decrease) as compared to the Enacted Budget.

The budget includes a \$74.8 million decrease (\$37.1 million GF decrease) in Purchase of Service (POS) expenditures and a \$3.2 million decrease (\$27.7 million GF decrease) in Operations. The main driver for the overall GF decrease is additional federal reimbursements from Targeted Case Management (TCM).

The following tables reflect the updated Current and Budget Year estimated costs by fund sources and caseload changes.

Costs and Fund Sources <i>(Values in thousands)</i>			
	Enacted Budget	Updated 2018-19	Request
Total Costs	\$6,970,546	\$6,892,600	(\$77,946)
Operations	788,808	785,602	(3,206)
Purchase of Services	6,160,626	6,085,886	(74,740)
Early Start - Other Agency Costs	19,109	19,109	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$6,970,546	\$6,892,600	(\$77,946)
General Fund (GF)	4,152,658	4,087,869	(64,789)
<i>GF Match</i>	2,511,902	2,500,444	(11,458)
<i>GF Other</i>	1,640,756	1,587,425	(53,331)
Reimbursements	2,761,473	2,747,312	(14,161)
Program Development Fund	2,253	2,253	0
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	53,272	54,276	1,004

Population

There is a net increase of 356 consumers in the updated Current Year Caseload projections. It is comprised of an increase of 442 active consumers slightly offset by a decrease of 86 Early Start consumers.

	Enacted Budget	Updated 2018-19	Difference
<u>CASELOAD</u>			
Active (Age 3 & Older)	286,948	287,390	442
Total Early Start (Birth through 35 Months)	45,790	45,704	(86)
Total Community Caseload	332,738	333,094	356

Operations Caseload Growth and Utilization

The Operations budget reflects a net decrease of \$3.2 million (\$27.7 million GF decrease) over the enacted budget due to updated Caseload and Staffing Expenditures. The main driver for the GF decrease is due to additional federal reimbursements from TCM.

	Enacted Budget	Updated 2018-19	Difference
Core Staffing	\$619,955	616,643	(\$3,312)
Federal Compliance	47,644	47,711	67
Projects	27,889	27,933	44
Agnews Ongoing Workload	2,894	2,894	0
Lanterman Developmental Center Closure	2,392	2,392	0
Intermediate Care Facility-Developmentally Disabled (ICF-DD) Administration Fee	1,848	1,843	(5)
Total	\$671,602	\$671,656	(\$3,206)

POS Caseload Growth and Utilization

Updated Current Year POS expenditures reflect a net decrease of \$20.4 million (\$1.2 million GF decrease), or a 0.35 percent decrease, as compared to the Enacted Budget. The decrease is primarily attributed to the actuals for the January 1, 2018 Senate Bill (SB) 3 Minimum Wage Increase coming in lower than originally estimated (\$89.6 million decrease).

Purchase of Services Caseload (Utilization and Growth)				
	Enacted Budget	Updated 2018-19	Difference	Percent Change
Community Care Facilities	\$1,576,191	\$1,582,097	\$5,906	0.37%
Medical Facilities	11,571	15,080	3,509	30.33%
Day Programs	1,158,991	1,120,898	(38,093)	-3.29%
Habilitation	176,743	161,185	(15,558)	-8.80%
<i>Work Activity Program</i>	46,529	38,952	(7,577)	-16.28%
<i>Supported Employment Program - Group</i>	96,461	89,952	(6,509)	-6.75%
<i>Supported Employment Program - Individual</i>	33,753	32,281	(1,472)	-4.36%
Transportation	375,794	362,441	(13,353)	-3.55%
Support Services	1,452,610	1,487,828	35,218	2.42%
In-Home Respite	479,824	461,364	(18,460)	-3.85%
Out-of-Home Respite	48,733	47,432	(1,301)	-2.67%
Health Care	122,374	129,175	6,801	5.56%
Miscellaneous	483,474	498,425	14,951	3.09%
Quality Assurance Fees	9,977	9,951	(26)	-0.26%
TOTAL	\$5,896,282	\$5,875,876	(\$20,406)	-0.35%

Policy

There are no changes to Operations Policy items as compared to the Enacted Budget. However, there is a net decrease of \$54.3 million (\$35.9 million GF decrease) for POS Policy items as compared to the Enacted Budget. This includes a decrease of \$54.6 million (\$33.1 million GF decrease) in SB 3 Minimum Wage Increase for estimated expenditures which is consistent with prior year actual expenditures being lower than previously estimated.

Policy			
Operations	Enacted Budget	Updated 2018-19	Difference
Operations Policy Items	\$80,752	\$80,752	\$0
DC Closure	\$5,434	\$5,434	0
<i>Sonoma DC</i>	\$3,616	\$3,616	0
<i>Fairview DC</i>	\$1,212	\$1,212	0
<i>Porterville DC</i>	\$606	\$606	0
Total Operations Policy	\$86,186	\$86,186	\$0

	Enacted Budget	Updated 2018-19	Difference
Purchase of Services			
BHT Consumers with no ASD Diagnosis	(\$47,926)	(\$45,625)	\$2,301
DC Closure	25,201	21,610	(3,591)
<i>Sonoma DC</i>	10,816	9,220	(1,596)
<i>Fairview DC</i>	8,652	7,670	(982)
<i>Porterville DC</i>	5,733	4,720	(1,013)
ICF-DD Supplemental Payment	202	317	115
Pediatric Day Health Care Rate Increases	351	462	111
Home Health Increase	29,505	29,505	0
Compliance with HCBS Regulations	15,000	15,000	0
Uniform Holiday	48,300	47,786	(514)
Competitive, Integrated Employment Incentives	29,000	29,000	0
SB 3 Minimum Wage Increase, Effective January 1, 2019	122,996	68,444	(54,552)
Bridge Funding	40,215	42,011	1,796
Best Buddies	1,500	1,500	0
Total POS Policy	\$264,344	\$210,010	(\$54,334)
TOTAL	\$350,530	\$296,196	(\$54,334)

Reimbursements

Updated Current Year reimbursements reflect a net \$14.2 million decrease compared to the Enacted Budget. This is mainly due to lower estimated expenditures for the January 1, 2019, Minimum Wage increase, which resulted in an overall \$21.4 million decrease in Home and Community-Based Services (Waiver) and a \$15.4 million decrease in 1915(i) State Plan Amendment (SPA). The decrease was offset by a \$23.8 million increase in Targeted Case Management (TCM).

Reimbursements			
	Enacted Budget	Updated 2018-19	Request
HCBS Waiver	\$1,901,546	\$1,880,107	(\$21,439)
HCBS Waiver Administration	14,696	15,212	516
Medicaid Administration	16,132	15,436	(696)
Targeted Case Management (TCM)	210,734	234,553	23,819
TCM Administration	7,377	8,137	760
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	61,600	61,436	(164)
QAF	10,901	10,873	(28)
1915(i) State Plan Amendment (SPA)	279,188	263,819	(15,369)
EPSDT	29,996	31,115	1,119
Behavioral Health Treatment (BHT) Fee-for-Service	15,882	13,203	(2,679)
TOTAL	\$2,761,473	\$2,747,312	(\$14,161)

2019-20**Costs and Fund Sources**

The 2019-20 Governor's Budget proposes \$7.4 billion (\$4.5 billion GF) for the Regional Center system, an increase of \$506.2 million (\$362.3 million GF) as compared to the updated Current Year estimate.

The increase over the updated Current Year includes \$462.5 million (\$333.3 million GF) in POS, and \$43.7 million (\$29.0 million GF) in Operations.

As compared to the Enacted Budget, this is a \$428.3 million increase (\$297.5 million GF).

Costs and Fund Sources			
	Updated 2018-19	2019-20	Request
Total Costs	\$6,892,600	\$7,398,803	\$506,203
Operations	785,602	829,339	43,737
Purchase of Services	6,085,886	6,548,352	462,466
Early Start - Other Agency Costs	19,109	19,109	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$6,892,600	\$7,398,803	\$506,203
General Fund (GF)	4,087,869	4,450,177	362,308
<i>GF Match</i>	2,500,444	2,642,092	141,648
<i>GF Other</i>	1,587,425	1,808,085	220,660
Reimbursements	2,747,312	2,891,218	143,906
Program Development Fund	2,253	2,242	(11)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	54,276	54,276	0

Population

There is a net increase of 16,512 consumers, or 5.0 percent, as compared to the updated Current Year. It is comprised of 12,417 Active consumers and 4,095 Early Start consumers.

	Updated 2018-19	2019-20	Difference
<u>CASELOAD</u>			
Active (Age 3 & Older)	287,390	299,807	12,417
Total Early Start (Birth through 35 Months)	45,704	49,799	4,095
Total Community Caseload	333,094	349,606	16,512

Operations Caseload Growth and Utilization

Regional Center Operations increased \$31.3 million (\$24.0 million GF), or 4.47 percent, as compared to the updated Current Year estimate. Core Staffing reflects an increase of \$35.0 million (\$25.2 million GF) due to continued caseload growth and minor increases in both Projects and Federal Compliance.

Effective July 1, 2019, funding for Ongoing Workload for the Agnews and Lanterman Developmental Center Closures will be shifted to Developmental Center Closure/Ongoing Workload policy.

	Updated 2018-19	2019-20	Difference
<u>Operations</u>			
Core Staffing	\$616,643	\$651,610	\$34,967
Federal Compliance	47,711	47,964	253
Projects	27,933	29,273	1,340
Agnews Ongoing Workload	2,894	0	(2,894)
Lanterman Developmental Center Closure	2,392	0	(2,392)
ICF-DD - Administration Fee	1,843	1,843	0
Total	\$699,416	\$730,690	\$31,274

POS Caseload Growth and Utilization

Regular POS Caseload and Utilization increased a net \$370.9 million (\$278.5 million GF), or 6.3 percent, from the updated Current Year estimate. Community Care Facilities and Support Services have the largest expenditure increases.

Purchase of Services Caseload (Utilization and Growth)				
	Updated 2018-19	2019-20	Difference	Percent Change
Community Care Facilities	\$1,582,097	\$1,662,972	\$80,875	5.11%
Medical Facilities	15,080	15,077	(3)	-0.02%
Day Programs	1,120,898	1,155,309	34,411	3.07%
Habilitation	161,185	160,959	(226)	-0.14%
<i>Work Activity Program</i>	38,952	35,019	(3,933)	-10.10%
<i>Supported Employment Program - Group</i>	89,952	89,952	0	0.00%
<i>Supported Employment Program - Individual</i>	32,281	35,988	3,707	11.48%
Transportation	362,441	382,464	20,023	5.52%
Support Services	1,487,828	1,649,581	161,753	10.87%
In-Home Respite	461,364	500,967	39,603	8.58%
Out of Home Respite	47,432	48,406	974	2.05%
Health Care	129,175	138,133	8,958	6.93%
Miscellaneous	498,425	522,918	24,493	4.91%
Quality Assurance Fees	9,951	9,951	0	0.00%
TOTAL	\$5,875,876	\$6,246,737	\$370,861	6.31%

PolicyOperations

There is a net increase of \$12.5 million (\$5.0 million GF) as compared to updated Current Year. The 2019-20 budget includes the following proposed policies to implement July 1, 2019.

- Specialized Home Monitoring – Increase of \$5.5 million (\$3.9 million GF) to provide required monitoring of specialized homes by a regional center licensed nurse/behavior specialist-to-home ratio of 1:4. The Department plans to have 93 operational Adult Residential Facilities for Persons with Special Healthcare Needs, and 84 Enhanced Behavioral Supports Homes and Community Crisis Homes combined in 2019-20.
- Specialized Caseload Ratios – Increase of \$3.8 million (\$2.6 million GF) to establish a 1:25 service coordinator-to-consumer caseload ratio for high-risk consumers in need of intensive case management and service coordination for stabilization in the least restrictive setting.
- Developmental Center Closure/Ongoing Workload – Increase of \$8.3 million (\$3.9 million GF) to continue providing regional center workload associated with the ongoing monitoring and coordination of individuals who transition from Developmental Centers. Funding for Ongoing Workload for the Agnews and Lanterman Developmental Center Closures will be shifted to offset the total costs. The total net impact is \$3.0 million.

Policy			
	Updated 2018-19	2019-20	Difference
Operations			
Operations Policy Items	\$80,752	\$81,097	\$345
Specialized Home Monitoring	\$0	\$5,450	\$5,450
Specialized Caseload Ratios	\$0	\$3,800	\$3,800
DC Closure Ongoing Workload	\$0	\$8,302	\$8,302
DC Closure	\$5,434	\$0	(\$5,434)
(a.) Sonoma DC	\$3,616	\$0	(\$3,616)
(b.) Fairview DC	\$1,212	\$0	(\$1,212)
(c.) Porterville DC	\$606	\$0	(\$606)
Total Operations Policy	\$86,186	\$98,649	\$12,463

POS

There is a net increase of \$91.6 million (\$54.8 million GF) as compared to the updated Current Year estimate for the following items:

- Impacts from Other Departments, BHT Consumers with no ASD Diagnosis – Increase of \$39.4 million GF due to reduced cost savings as the transition of responsibility for BHT to DHCS for those enrolled in managed care was completed in the current year. Budget Year cost savings reflect ongoing DHCS reimbursement of BHT services for consumers enrolled in fee-for-service Medi-Cal.
- Community Crisis Homes for Children – Increase of \$4.5 million GF for a one-time appropriation. Proposed trailer bill language, effective 2019-20, amends Health and Safety Code and the Welfare and Institutions Code to allow community crisis

homes to serve children. The crisis homes will provide regional centers with immediate access to short-term stabilization services. The Department plans to develop three community crisis homes at a cost of \$1.5 million per home.

- DC Closure - Decrease of \$21.6 million (\$14.5 million GF decrease) due to the planned closure of the three remaining Developmental Centers.
- Uniform Holiday - Decrease of \$47.8 million (\$28.7 million GF decrease). One-time funding was provided in 2018-19 to delay implementation of the Uniform Holiday Schedule. However, the Department proposes to implement the policy effective July 1, 2019.
- SB 3 Minimum Wage, January 1, 2019 – Increase of \$76.0 million (\$38.4 million GF) reflects a full-year cost of minimum wage increasing from \$11.00 to \$12.00 per hour.
- SB 3 Minimum Wage, January 1, 2020 – Increase of \$83.0 million (\$41.7 million GF) reflects a half-year cost of minimum wage increasing from \$12.00 to \$13.00 per hour.
- Bridge Funding – Decrease of \$42.0 million (\$25.0 million GF decrease) for the one-time appropriation.

Policy			
	Updated 2018-19	2019-20	Difference
Purchase of Services (POS)			
BHT Consumers with no ASD Diagnosis	(\$45,625)	(\$6,187)	\$39,438
Community Crisis Homes for Children	\$0	\$4,500	\$4,500
Competitive, Integrated Employment Incentives	\$29,000	\$29,000	\$0
DC Closure	21,610	0	(21,610)
(a.) Sonoma DC	9,220	0	(9,220)
(b.) Fairview DC	7,670	0	(7,670)
(c.) Porterville DC	4,720	0	(4,720)
ICF-DD Supplemental Payment	317	346	29
Pediatric Day Health Care Rate Increase	462	462	0
Home Health Increase	29,505	29,505	0
Compliance with HCBS Regulations	15,000	15,000	0
Uniform Holiday Schedule	47,786	0	(47,786)
SB 3 Minimum Wage Increase, Effective January 1, 2019	68,444	144,470	76,026
SB 3 Minimum Wage Increase, Effective January 1, 2020	0	83,019	83,019
Best Buddies	1,500	1,500	0
Bridge Funding	42,011	0	(42,011)
Total POS Policy	\$210,010	\$301,615	\$91,605
TOTAL	\$296,196	\$400,264	\$104,068

Reimbursements

Reimbursements in Budget Year are estimated to increase a net \$143.9 million as compared to updated Current Year. The HCBS Waiver has the largest reimbursement increase (\$119.6 million). There are additional increases in 1915(i) SPA and TCM reimbursements resulting from an increase in expenditures.

Reimbursements			
	Updated 2018-19	2019-20	Request
Home and Community-Based Services (HCBS) Waiver	\$1,880,107	\$1,999,671	\$119,564
HCBS Waiver Administration	15,212	19,396	4,184
Medicaid Administration	15,436	18,824	3,388
Targeted Case Management (TCM)	234,533	241,689	7,136
TCM Administration	8,137	8,137	0
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	61,436	61,436	0
QAF	10,873	10,873	0
1915(i) State Plan Amendment (SPA)	263,819	273,991	10,172
Early Periodic Screening Diagnostic and Treatment	31,115	30,577	(538)
BHT - Fee-for-Service	13,203	13,203	0
TOTAL	\$2,747,312	\$2,891,218	\$143,906

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SECTION C: NEW/REVISED POLICY

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NEW MAJOR ASSUMPTIONS

Developmental Center Closure/Ongoing Workload

Effective July 1, 2018, with the closure of the developmental centers and the transition of individuals to community living arrangements, regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews. Funding from the Agnews Ongoing Workload and the Lanterman Developmental Center Closure has been shifted to offset the total costs.

Specialized Home Monitoring

Effective July 1, 2019, pursuant to Welfare and Institutions Code (WIC), Sections 4684.70 and 4684.84 (b), regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPSHN), and Enhanced Behavioral Supports Homes (EBSH). A local regional center Registered Nurse or Behavior Specialist must visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPSHN and EBSH, or more frequently if specified in the consumer's individual program plan. Similarly, WIC Section 4698 (e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCHs). Currently, this monitoring is funded with Community Placement Plan (CPP) funds. However, given the increase in the number of specialized homes in operation and development, CPP funds are not a viable long-term funding source. This proposal would provide sufficient funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

Specialized Caseload Ratios

Effective July 1, 2019, the Department proposes to establish a 1:25 service coordinator-to-consumer caseload ratio for consumers with complex needs. For purposes of this caseload ratio, consumers with complex needs may include individuals who reside, or are at risk of residing, in institutions for mental diseases, CCHs, state-operated acute crisis homes or out-of-state placements; have been admitted to a psychiatric hospital several times within the preceding six months; and individuals who transitioned from any such setting within the preceding 12 months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting. The requested 1:25 ratio is a time limited need to enable service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities who have the most

complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

Community Crisis Homes for Children

Effective July 1, 2019, the proposed trailer bill language will amend the Health and Safety Code and the Welfare and Institutions Code to enable children in crisis to be placed into community crisis homes. A one-time augmentation of \$4.5 million General Fund (GF) for the development of three new community crisis homes for children has been provided.

Although many children with developmental disabilities live in the family home, some children have more extensive behavioral needs that cannot be met in the family home or other existing settings. Both the Health and Safety Code and Welfare and Institutions Code were amended in 2014-15 to include the emergency regulations for community crisis homes. However, the statute only allows adults, who are in acute crisis, to be admitted into community crisis homes. Due to a current lack of options in the community, regional centers are sometimes required to rely on locked psychiatric settings for children and struggle to provide needed services in a child's home setting in response to acute crises. Through the Statewide Specialized Resource Service, the Department receives, on average, five referrals each month seeking residential services for children, many of whom are in crisis.

The Community Crisis Homes for Children will provide regional centers with immediate access to short-term crisis stabilization, with a limited duration of stay. The homes will be certified by the Department and licensed by the Department of Social Services. The Department will develop the necessary regulations with stakeholder input.

Please refer to the Policy Section for additional information.

REVISED ASSUMPTIONS

Bridge Funding

The 2018 Budget Act provided the Department with one-time funding of \$25.0 million General Fund (GF) and related federal funding for service provider rate increases. These funds are available for encumbrance or expenditure until June 30, 2020. The distribution of funds is based upon a methodology determined by the Department, in consultation with stakeholders, including the Legislature, the Legislative Analyst's Office, the Developmental Services Task Force Rates Workgroup and the Department of Finance.

Community-based day programs and community care facilities with rates established under the Alternative Residential Model in high cost counties will receive the rate increase estimated at 2.07%. High cost counties are those with an average weekly wage of \$900 or higher as compiled by the US Bureau of Labor Statistics data for the 4th quarter of 2017. Pending federal approval for matching federal funds, DDS anticipates the rate increase will be effective from May 1, 2019 through April 30, 2020.

Uniform Holiday Schedule

Welfare and Institutions Code (WIC) section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule as a cost savings measure in September 2009. The intent was to standardize and increase from 10 to 14 the number of observed holidays in the regional center system. On these holidays providers are precluded from billing for services provided by work activity programs, activity centers, adult developmental centers, behavior management programs, social recreation programs, infant developmental programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs.

The 2018-19 Budget Act provided one-time funding so regional centers were not required to enforce the Uniform Holiday Schedule in 2018-19. However, the suspension sunsets on June 30, 2019, and the statute is proposed to be enforced effective July 1, 2019.

Best Buddies

The one-time \$1.5 million General Fund (GF) provided to Best Buddies International pursuant to the 2018-19 Budget Act is proposed for permanent funding beginning in 2019-20. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state. Best Buddies will be required to report annually to the Department on the number of consumers served and the types of services provided.

Please refer to the Policy Section for additional information.

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SECTION D: FUTURE FISCAL ISSUES

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FUTURE FISCAL ISSUES

Electronic Visit Verification (EVV)

The 21st Century CURES Act, signed into law in 2016, requires that States set up an Electronic Visit Verification (EVV) system to verify that services for all Medicaid-funded personal care and home health care services occurred. Pursuant to Subsection I of Section 1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for personal care services by January 2020, and home health care services by January 2023. There is no prescribed solution from the federal government, so states can select and implement their own EVV design. Current federal law identifies a penalty for non-compliance. The penalty would reduce the Federal Medical Assistance Percentage rate by 0.25 percentage points starting in January 2020, and increasing each year by 0.25 percentage points to a maximum of one percent in 2023.

EVV will impact all personal care services and home health care services provided under the Medi-Cal state plan and various Medicaid Home and Community-Based Service (HCBS) programs. Through regional centers, the Department of Developmental Services (Department) provides EVV-monitored services (including but not limited to supported living, respite, and personal assistance services) to individuals with developmental disabilities, but does not currently have an EVV system.

In 2018, the Department and its sister agencies continued a stakeholder process to inform the design and implementation of the EVV system. The State plans to work with the Centers for Medicare and Medicaid Services (CMS) to request a one-year good faith effort extension of time, and will work with CMS and stakeholders to identify a realistic implementation timeline that will allow for full stakeholder engagement. If an extension is not approved, the Department estimates a penalty of \$1.8 million GF in 2019-20.

Self-Determination

Senate Bill (SB) 468, Chapter 683, Statutes of 2013, requires the Department to implement a statewide Self-Determination Program (SDP), subject to approval of federal funding. The SDP will enable regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans. Participation is limited to 2,500 individuals in the first three years of the SDP, including the 95 participants in the

current, State-only funded self-determination pilot project. SB 468 requires the additional federal reimbursements generated by former self-determination pilot participant's savings be used for a variety of purposes, including but not limited to offsetting administrative costs to the Department, and costs associated with participant's initial person-centered planning, joint training for participants, family members, regional centers and local advisory committee members, and to offset the costs to regional centers in implementing SDP.

The Department received approval for federal funding of the SDP on June 7, 2018, from CMS. The Department, in collaboration with the self-determination advisory

workgroup, continues to work on finalizing key operational components for implementation of the program. It is expected that required participant orientations will begin by the end of 2018-19.

CMS Final Regulations for Home and Community-Based Services

The Department administers both a 1915(c) Waiver (the HCBS Waiver for Persons with Developmental Disabilities) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 208,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated in and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019, to maintain waiver and state plan funding (estimated at \$2.3 billion for the Department in 2018-19). However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, it extended compliance by three years to March 17, 2022.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations.

To enable completion of some of the activities required to comply with the HCBS regulations, the 2017 Budget Act appropriated funding for regional center staffing to assist with conducting individual provider assessments as well as funding to enable service providers to make modifications to comply with federal regulations.

Developmental Services Task Force

On July 24, 2014, the California Health and Human Services Secretary convened a Task Force to strengthen developmental services in the community. The Task Force includes consumers, consumer advocates, regional centers, community service providers, labor organizations, families of developmental center residents and families of individuals who live in the community, legislative staff, and Department staff.

The DS Task Force's early discussions focused on five subject areas, their scopes, level of urgency, additional data needs, and the identification of overarching guiding principles to be considered when examining all of the subject areas. Based on the five subject areas identified, four distinct workgroups were created to help move the work of the DS Task Force forward. The culmination of the DS Task Force's initial recommendations were finalized and summarized in the December 2017 report titled: "Developmental Services Task Force: Examination of Opportunities to Strengthen the Community-Based Services System" (available online at: <http://www.chhs.ca.gov/DSTaskForce/06-FinalizedDSTaskForceReport-Dec2017.pdf>).

The workgroup's recommendations and associated statewide stakeholder meetings helped inform the Department's "*Plan For Crisis And Other Safety Net Services In The California Developmental Services System*," submitted to the Legislature on May 13, 2017, (available online at: <http://www.dds.ca.gov/Budget/Docs/20170513-PlanCrisis-OtherSafetyNetServices.pdf>).

The Task Force continues to meet and conduct workgroup and stakeholder meetings as necessary to advise on: the statewide rate study due to the Legislature in March 2019, new initiatives and services intended to bolster Safety Net services in the state, as well as further examining the housing, employment, medical and dental needs of Californians with developmental disabilities.

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Population**2018-19**

<i>Estimated Population as of 1/31/19</i>				
	<i>ENACTED BUDGET</i>	<i>GOVERNOR'S BUDGET</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	286,948	287,390	442	0.15%
B. Total Early Start (Birth through 35 Months)	45,790	45,704	-86	-0.19%
C. Total Community Population	332,738	333,094	356	0.11%

2019-20

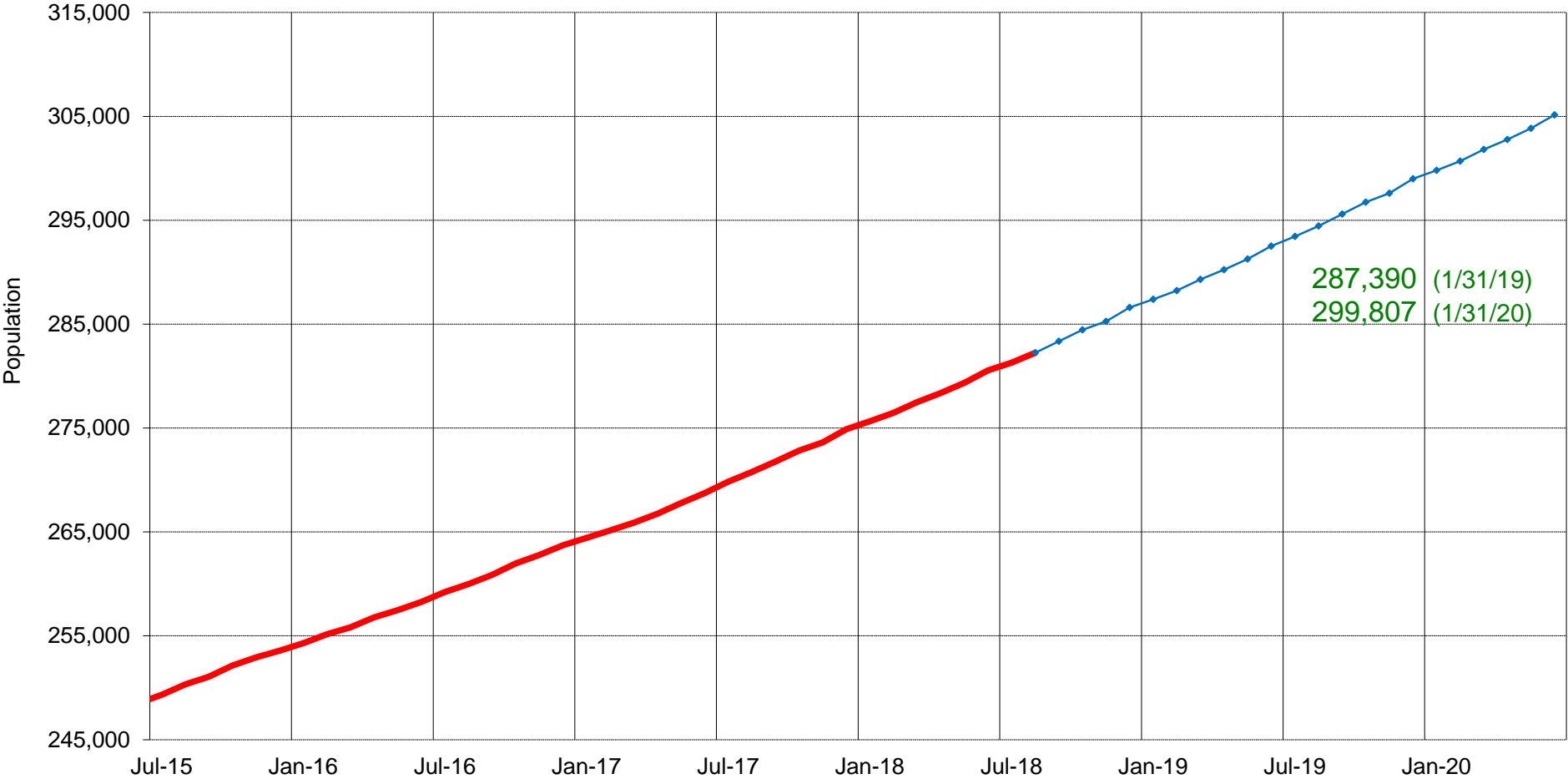
<i>Estimated Population as of 1/31/20</i>				
	<i>ENACTED BUDGET</i>	<i>GOVERNOR'S BUDGET</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	286,948	299,807	12,859	4.48%
B. Total Early Start (Birth through 35 Months)	45,790	49,799	4,009	8.76%
C. Total Community Population	332,738	349,606	16,868	5.07%

Population

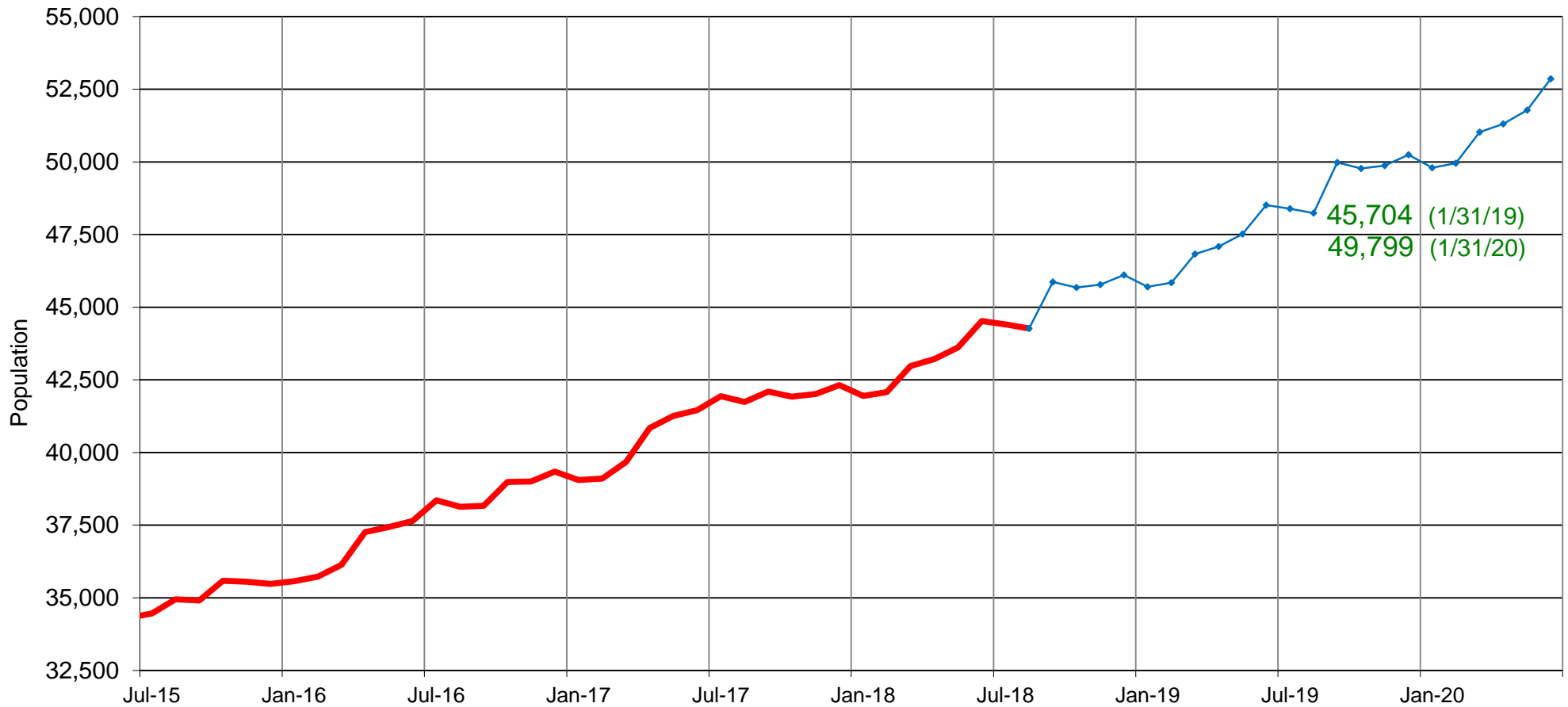
2018-19 vs. 2019-20

	2018-19	2019-20		
	<i>Estimated Population as of 1/31/19</i>	<i>Estimated Population as of 1/31/20</i>	<i>Annual Change</i>	<i>Percent Annual Change</i>
A. Active Status (Age 3 & Older)	287,390	299,807	12,417	4.32%
B. Total Early Start (Birth through 35 Months)	45,704	49,799	4,095	8.96%
C. Total Community Population	333,094	349,606	16,512	4.96%

Active Status Population
(Age 3 & Older)
Client Master File Trend Analysis



Early Start (Birth through 35 Months) Client Master File Trend Analysis



Community Population

(Active Status and Early Start)

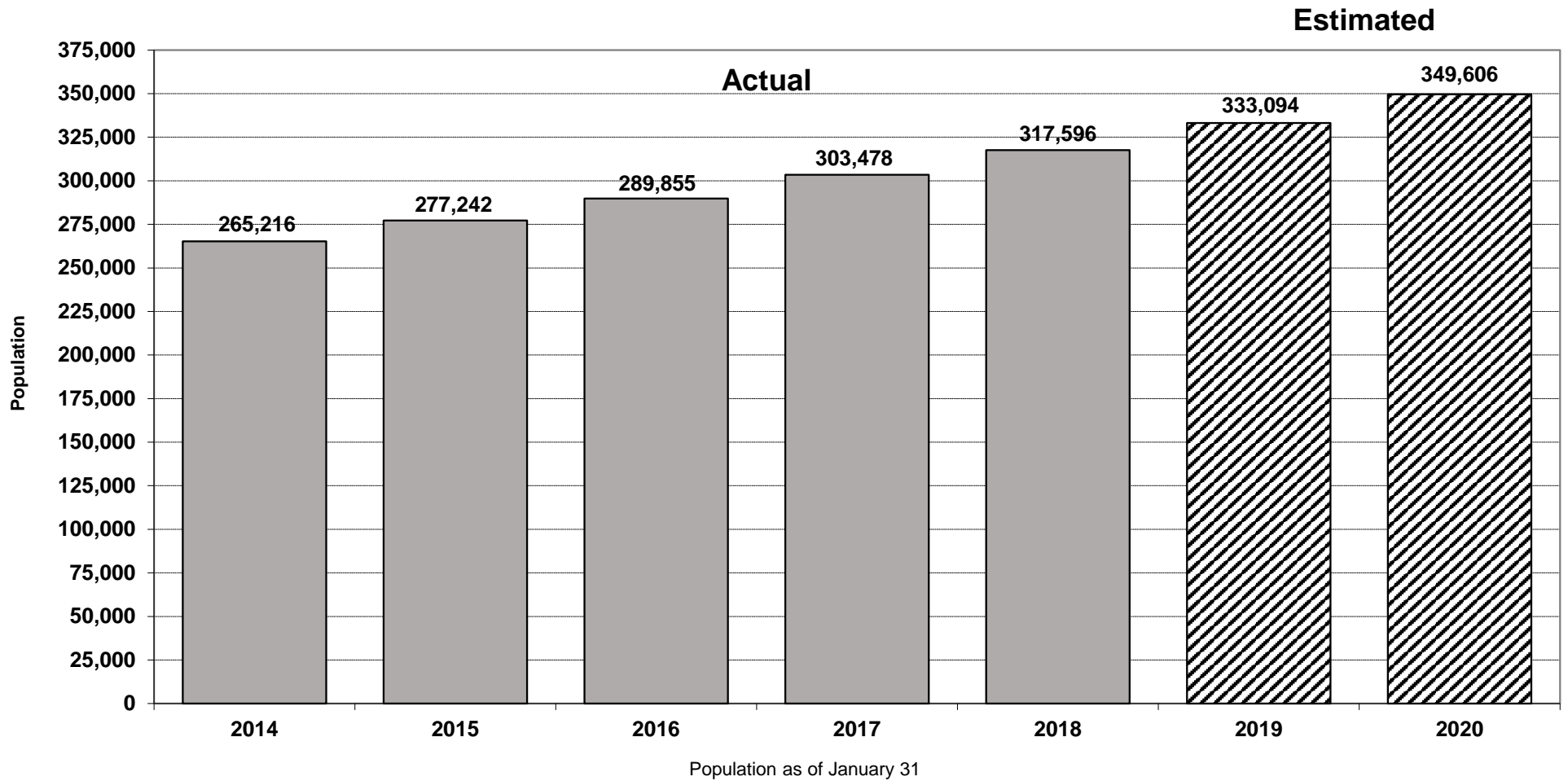


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Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Enacted Budget to the 2019-20 Governor's Budget
2018-19

	Enacted Budget	Governor's Budget	Request
POPULATION			
Active Status (Age 3 & Older)	286,948	287,390	442
Early Start (Birth through 35 Months)	45,790	45,704	-86
Total Population	332,738	333,094	356
OPERATIONS - CASELOAD GROWTH			
I. STAFFING			
A. Core Staffing	\$644,356	\$640,959	-\$3,397
B. Enhanced Caseload Ratio 1:45 for Two Years	319	404	85
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. Less: Intake and Assessment (2003-04)	-4,465	-4,465	0
F. Less: Unallocated Reduction (2001-02)	-10,559	-10,559	0
G. Less: Cost Containment (2004-05)	-5,968	-5,968	0
H. Less: Savings Target (2009-10)	-12,000	-12,000	0
I. Less: Cost Containment (2011-12)	-3,486	-3,486	0
J. Less: Unallocated Reduction (2011-12)	-5,400	-5,400	0
K. Total Staffing	\$619,955	\$616,643	-\$3,312
II. A. Agnews Ongoing Workload	2,894	2,894	0
B. Lanterman Development Center Closure	2,392	2,392	0
C. Total Developmental Centers Closure	\$5,286	\$5,286	\$0
III. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,223	12,290	67
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$47,644	\$47,711	\$67
IV. PROJECTS			
A. Information Technology Costs:	3,797	3,797	0
1. Regional Center Application Support	2,547	2,547	0
2. Data Processing	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,106	7,118	12
C. Quality Assessment Contract	4,000	4,030	30
D. Direct Support Professional Training	3,037	3,037	0
E. Office of Administrative Hearings Contract	3,350	3,350	0
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,765	3,765	0
H. Special Incident Reporting/Risk Assessment Contract	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	59	59	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Affordable Housing	85	85	0
M. Review of SB 1175 Housing Proposals	150	150	0
N. Denti-Cal Infrastructure for RC Dental Services	0	2	2
O. Total Projects	\$27,889	\$27,933	\$44
V. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES	\$1,848	\$1,843	-\$5
VI. GRAND TOTAL - OPERATIONS CASELOAD GROWTH	\$702,622	\$699,416	-\$3,206

Dollar values in thousands (000's)

Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Enacted Budget to the 2019-20 Governor's Budget
2019-20

	Enacted Budget	Governor's Budget	Request
POPULATION			
Active Status (Age 3 & Older)	286,948	299,807	12,859
Early Start (Birth through 35 Months)	45,790	49,799	4,009
Total Population	332,738	349,606	16,868
OPERATIONS - CASELOAD GROWTH			
I. STAFFING			
A. Core Staffing	\$644,356	\$676,047	\$31,691
B. Enhanced Caseload Ratio 1:45 for Two Years	319	283	-36
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. Less: Intake and Assessment (2003-04)	-4,465	-4,465	0
F. Less: Unallocated Reduction (2001-02)	-10,559	-10,559	0
G. Less: Cost Containment (2004-05)	-5,968	-5,968	0
H. Less: Savings Target (2009-10)	-12,000	-12,000	0
I. Less: Cost Containment (2011-12)	-3,486	-3,486	0
J. Less: Unallocated Reduction (2011-12)	-5,400	-5,400	0
K. Total Staffing	\$619,955	\$651,610	\$31,655
II. A. Agnews Ongoing Workload	2,894	0	-2,894
B. Lanterman Development Center Closure	2,392	0	-2,392
C. Total Developmental Centers Closure	\$5,286	\$0	-\$5,286
III. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,223	12,543	320
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$47,644	\$47,964	\$320
IV. PROJECTS			
A. Information Technology Costs:	3,797	3,797	0
1. Regional Center Application Support	2,547	2,547	0
2. Data Processing	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,106	7,470	364
C. Quality Assessment Contract	4,000	4,500	500
D. Direct Support Professional Training	3,037	3,037	0
E. Office of Administrative Hearings Contract	3,350	3,525	175
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,765	4,110	345
H. Special Incident Reporting/Risk Assessment Contract	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	59	59	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Affordable Housing	85	85	0
M. Review of SB 1175 Housing Proposals	150	150	0
N. Denti-Cal Infrastructure for RC Dental Services	0	0	0
O. Total Projects	\$27,889	\$29,273	\$1,384
V. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES	\$1,848	\$1,843	-\$5
VI. GRAND TOTAL - OPERATIONS CASELOAD GROWTH	\$702,622	\$730,690	\$28,068

Dollar values in thousands (000's)

Staffing Expenditures

DESCRIPTION:

Staffing includes personal services and operating expenses for Core Staffing, Community Placement Plan, and Placement Continuation.

ASSUMPTIONS:

	<u>2018-19</u>	<u>2019-20</u>
• Population Projections: (See Section E, Population, for detail)		
• Active Status (Age 3 and Older)	287,390	299,807
• Early Start (Birth through 35 Months)	45,704	49,799
Subtotal:	333,094	349,606
• Developmental Center Population:	323	292
Total Population:	333,417	349,898
• Informational		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	130,959	134,353
• Early Start (with Assessment)	51,896	56,495
• Placement Continuation Consumers	221	56
• Intake cases per month	7,047	7,364
• Vendors	44,158	44,158
• Mediations per year	400	400

METHODOLOGY:

	<u>2018-19*</u>	<u>2019-20*</u>
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CORE STAFFING:

PERSONAL SERVICES:

• Direct Services and Administrative Positions:	\$438,798	\$463,812
Governor's Budget		
2018-19	12,281	
2019-20	12,824	
See Attachment A for Core Staffing Expenditure Detail.		
See Attachment B for Core Staffing Formulas.		
• Fringe Benefits:	103,995	109,923
Calculated at 23.7% per position.		
• Salary Savings:	-18,160	-19,306
Client Program Coordinators:	1.0% Per Position	
	-2,599	-2,722
All Other Staff:	5.5% Per Position	
	-15,561	-16,584

* Values in thousands (000's)

Staffing Expenditures

METHODOLOGY (continued):	<u>2018-19*</u>	<u>2019-20*</u>
<ul style="list-style-type: none"> • Early Start /Part C Administrative and Clinical Support: Includes salaries, fringe benefits, and salary savings. 	694	694
TOTAL PERSONAL SERVICES:	\$525,328	\$555,123
OPERATING EXPENSES:		
<ul style="list-style-type: none"> • Operating Expenses: Base amount plus the following adjustments: 	46,641	48,385
Professional Positions: \$3,400		
Clerical Positions: \$2,400		
<ul style="list-style-type: none"> • Rent: See Attachment C for rent details. 	68,990	72,539
TOTAL OPERATING EXPENSES:	\$115,631	\$120,924
TOTAL CORE STAFFING:	\$640,959	\$676,047
<ul style="list-style-type: none"> • Enhanced Caseload Ratio 1:45 for Two Years: 	404	283
Community Placement Plan:		
<ul style="list-style-type: none"> • See Community Placement Plan Methodology for details. 	15,265	15,265
STAFFING FOR COLLECTION OF FEDERAL FINANCIAL PARTICIPATION (FFP) FOR CONTRACTED SERVICES:		
<ul style="list-style-type: none"> • Funding provides one Community Program Specialist I and one Account Clerk II for each regional center. 	1,893	1,893
LESS INTAKE AND ASSESSMENT (2003-04):	-4,465	-4,465
LESS UNALLOCATED REDUCTION (2001-02):	-10,559	-10,559
LESS COST CONTAINMENT (2004-05):	-5,968	-5,968
LESS SAVINGS TARGET (2009-10):	-12,000	-12,000
LESS COST CONTAINMENT (2011-12):	-3,486	-3,486
LESS UNALLOCATED REDUCTION (2011-12):	-5,400	-5,400
TOTAL EXPENDITURES:	\$616,643	\$651,610

* Values in thousands (000's)

Staffing Expenditures

FUNDING:

Funding for Staffing expenditures is comprised of reimbursements from Medicaid Administration (75% FFP, 25% General Fund [GF] Match), Home and Community Based Services Waiver Administration (50% FFP, 50% GF Match), Targeted Case Management (50% FFP, 50% GF Match), Targeted Case Management Administration (50% FFP, 50% GF Match), and Money Follows the Person (100% FFP). State GF matches federally-eligible reimbursements and funds amounts not eligible for FFP.

CURRENT YEAR CHANGE FROM ENACTED:

Current year expenditures are estimated to decrease by a net \$3.3 million (\$27.9 million GF decrease), comprising of a \$2.5 million decrease in Core Staffing Personal Services.

REASON FOR YEAR-TO-YEAR CHANGE:

Budget year expenditures are estimated to increase by a net \$35.0 million (\$25.2 million GF increase), comprising increases in personal services and operating expenses due to the year-over-year increase in caseload.

EXPENDITURES:

	<u>2018-19*</u>	<u>2019-20*</u>
TOTAL	\$616,643	\$651,610
GF	384,329	409,523
Reimbursements	232,314	242,087

* Values in thousands (000's)

Attachment A
CORE STAFFING ESTIMATE - 2018-19
Comparison of the Enacted Budget to the 2019-20 Governor's Budget

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

Enacted Budget	Positions	Salary	Cost	Difference
(a) Physician	166.71	\$79,271	\$13,215,268	\$14,268
(b) Psychologist	333.42	41,754	13,921,619	15,032
(c) Nurse	166.71	37,171	6,196,777	6,690
(d) Nutritionist	166.71	28,130	4,689,552	5,063

(2) Clinical Support Teams

(a) Physician/Psychiatrist	84.00	92,034	7,730,856	0
(b) Consulting Pharmacist	84.00	60,450	5,077,800	0
(c) Behavioral Psychologist	84.00	54,972	4,617,648	0
(d) Nurse	84.00	50,478	4,240,152	0

(3) SB 1038 Health Reviews

(a) Physician	30.60	92,034	2,816,240	28,530
(b) Nurse	142.82	50,478	7,209,268	75,212

b. Intake / Case Management

(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	100.67	38,036	3,829,084	-271,577
(2) Intake Worker	1,006.71	31,532	31,743,580	-2,251,700
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	526.60	52,392	27,589,627	35,626
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	2,368.21	34,032	80,594,923	-466,238
(7) CPC (Waiver, Early Start only), 1:62 Consumers	2,849.40	34,032	96,970,781	696,976
(8) CPC, Quality Assurance for ARM	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	1.30	52,392	68,110	-6,811
(10) CPC, DSS Incidental Medical Care Regs	13.04	37,824	493,225	-46,145

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	43.70	52,392	2,289,530	10,111
(2) CPC	436.98	34,032	14,871,303	65,001

d. Early Intervention**(1) General**

(a) Prevention Coordinator	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	21.00	40,805	856,905	0
(c) Genetics Associate	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	31.62	52,392	1,656,635	14,146
(b) CPC	316.21	34,032	10,761,259	91,887
(c) Administrative and Clinical Support (see next page)				

e. Community Services

(1) Special Incident Coordinator	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	24.56	50,844	1,248,729	0
(3) Program Evaluator	21.00	42,793	898,653	0
(4) Resource Developer	21.00	42,793	898,653	0
(5) Transportation Coordinator	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	10.50	42,793	449,327	0
(7) Developmental Center Liaison	2.82	38,036	107,262	0
(8) Diversion	4.00	31,646	126,584	0
(9) Placement Continuation:				
(a) Supervising Counselor	0.14	52,392	7,335	-524
(b) CPC (Supplement at 1:45 Consumers)	1.35	34,032	45,943	-3,744

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	8.76	52,392	458,954	0
(2) QA/CPC	87.62	34,032	2,981,884	2,382
(3) Nurses	43.81	50,478	2,211,441	2,019

g. Mediation

(1) Clinical Staff	0.11	64,484	7,093	0
(2) Supervising Counselor	1.01	52,392	52,916	0
(3) CPC	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

Enacted Budget	9499.7		\$360,904,061	-\$1,983,796
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Attachment A
CORE STAFFING ESTIMATE - 2018-19
Comparison of the Enacted Budget to the 2019-20 Governor's Budget

	Enacted Budget	2019-20 Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	1,279,698	21.00	60,938	1,279,698	0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,169,355	130.96	39,887	5,223,602	54,247
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,335,523	56.72	24,474	1,388,142	52,619
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	10,102,284	416.77	24,265	10,112,961	10,677
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	509,887	21.00	24,280	509,887	0
(2) PBX/Mail/File Clerk	1,528,542	63.00	24,263	1,528,542	0
(3) Executive Secretary	1,273,785	52.50	24,263	1,273,785	0
(4) MD/Psychologist Secretary II	368,232	15.30	24,306	371,878	3,646
(5) MD/Psychologist Secretary I	6,060,791	250.07	24,263	6,067,342	6,551
(6) Secretary II	4,560,485	188.16	24,306	4,573,367	12,882
(7) Secretary I	32,086,330	1,283.33	24,785	31,807,743	-278,587
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$78,032,085	2,781.65		\$77,894,120	-\$137,965
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$440,919,942	12,281.35		\$438,798,181	-\$2,121,761
a. CPCs	209,741,009			210,081,128	340,119
b. All Other Staff	231,178,933			228,717,053	-2,461,880
4. Fringe Benefits					
a. CPCs 23.7%	49,708,619			49,789,227	80,608
b. All Other Staff 23.7%	54,789,407			54,205,942	-583,465
c. Total Fringe Benefits	\$104,498,026			\$103,995,169	-\$502,857
5. Salary Savings					
a. CPCs 1.0%	-2,594,496			-2,598,704	-4,208
b. All Other Staff 5.5%	-15,728,259			-15,560,765	167,494
c. Total Salary Savings	-\$18,322,755			-\$18,159,469	\$163,286
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$527,789,213			\$525,327,881	-\$2,461,332
ROUNDED	\$527,789,000	12,281.00		\$525,328,000	-\$2,461,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	46,875,000			46,641,000	-234,000
2. Rent	69,692,000			68,990,000	-702,000
3. Subtotal Operating Expenses and Rent	\$116,567,000			\$115,631,000	-\$936,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$644,356,000			\$640,959,000	-\$3,397,000

Attachment A
CORE STAFFING ESTIMATE - 2019-20**Comparison of the Enacted Budget to the 2019-20 Governor's Budget****I. CORE STAFFING FORMULA****A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(a) Physician	174.95	\$79,271	\$13,868,461	\$667,461
(b) Psychologist	349.90	41,754	14,609,725	703,138
(c) Nurse	174.95	37,171	6,503,066	312,979
(d) Nutritionist	174.95	28,130	4,921,344	236,855

(2) Clinical Support Teams

(a) Physician/Psychiatrist	88.00	92,034	8,098,992	368,136
(b) Consulting Pharmacist	88.00	60,450	5,319,600	241,800
(c) Behavioral Psychologist	88.00	54,972	4,837,536	219,888
(d) Nurse	88.00	50,478	4,442,064	201,912

(3) SB 1038 Health Reviews

(a) Physician	31.90	92,034	2,935,885	148,175
(b) Nurse	148.85	50,478	7,513,650	379,594

b. Intake / Case Management

(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	105.20	38,036	4,001,387	-99,274
(2) Intake Worker	1,052.00	31,532	33,171,664	-823,616
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	552.49	52,392	28,946,056	1,392,055
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	2,506.38	34,032	85,297,124	4,235,963
(7) CPC (Waiver, Early Start only), 1:62 Consumers	2,970.19	34,032	101,081,506	4,807,701
(8) CPC, Quality Assurance for ARM	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	1.24	52,392	64,966	-9,955
(10) CPC, DSS Incidental Medical Care Regs	12.41	37,824	469,396	-69,974

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	44.18	52,392	2,314,574	35,155
(2) CPC	441.78	34,032	15,034,657	228,355

d. Early Intervention**(1) General**

(a) Prevention Coordinator	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	21.00	40,805	856,905	0
(c) Genetics Associate	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	34.42	52,392	1,803,333	160,844
(b) CPC	344.23	34,032	11,714,835	1,045,463
(c) Administrative and Clinical Support (see next page)				

e. Community Services

(1) Special Incident Coordinator	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	24.56	50,844	1,248,729	0
(3) Program Evaluator	21.00	42,793	898,653	0
(4) Resource Developer	21.00	42,793	898,653	0
(5) Transportation Coordinator	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	10.50	42,793	449,327	0
(7) Developmental Center Liaison	2.82	38,036	107,262	0
(8) Diversion	4.00	31,646	126,584	0
(9) Placement Continuation:				
(a) Supervising Counselor	0.03	52,392	1,572	-6,287
(b) CPC (Supplement at 1:45 Consumers)	0.34	34,032	11,571	-38,116

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	9.09	52,392	476,243	17,289
(2) QA/CPC	90.92	34,032	3,094,189	114,687
(3) Nurses	45.46	50,478	2,294,730	85,308

g. Mediation

(1) Clinical Staff	0.11	64,484	7,093	0
(2) Supervising Counselor	1.01	52,392	52,916	0
(3) CPC	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

\$362,887,857	9,938.47		\$377,443,393	\$14,555,536
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Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Enacted Budget to the 2019-20 Governor's Budget

	Enacted Budget	2019-20 Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	1,279,698	21.00	\$60,938	1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,169,355	134.35	39,887	5,358,818	189,463
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,335,523	56.72	26,902	1,525,892	190,369
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	10,102,284	437.37	26,694	11,675,022	1,572,738
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	509,887	21.00	26,448	555,408	45,521
(2) PBX/Mail/File Clerk	1,528,542	63.00	26,691	1,681,544	153,002
(3) Executive Secretary	1,273,785	52.50	26,691	1,401,287	127,502
(4) MD/Psychologist Secretary II	368,232	15.95	26,438	421,682	53,450
(5) MD/Psychologist Secretary I	6,060,791	262.43	26,691	7,004,567	943,776
(6) Secretary II	4,560,485	190.57	26,438	5,038,246	477,761
(7) Secretary I	32,086,330	1,347.43	27,214	36,668,861	4,582,531
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$78,032,085	2,885.16		\$86,368,198	\$8,336,113
3. TOTAL POSITIONS AND SALARIES					
(Items A.1.i. + Item A.2.e.)	\$440,919,942	12,823.63		\$463,811,591	\$22,891,649
a. CPCs	209,741,009			220,065,088	10,324,079
b. All Other Staff	231,178,933			243,746,503	12,567,570
4. Fringe Benefits					
a. CPCs 23.7%	49,708,619			52,155,426	\$2,446,807
b. All Other Staff 23.7%	54,789,407			57,767,921	2,978,514
c. Total Fringe Benefits	\$104,498,026			\$109,923,347	\$5,425,321
5. Salary Savings					
a. CPCs 1.0%	-2,594,496			-2,722,205	-\$127,709
b. All Other Staff 5.5%	-15,728,259			-16,583,296	-855,037
c. Total Salary Savings	-\$18,322,755			-\$19,305,501	-\$982,746
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$527,789,213			\$555,123,437	\$27,334,224
ROUNDED	\$527,789,000	12,824.00		\$555,123,000	\$27,334,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	46,875,000			48,385,000	1,510,000
2. Rent	69,692,000			72,539,000	2,847,000
3. Subtotal Operating Expenses and Rent	\$116,567,000			\$120,924,000	\$4,357,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$644,356,000			\$676,047,000	\$31,691,000

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES</u>	
1. DIRECT SERVICES	
a. <u>Clinical</u>	
(1) <u>Intake and Assessment</u>	
(a) Physician (minimum of 1)	1.0 position : 2,000 total consumers
(b) Psychologist	1.0 position : 1,000 total consumers
(c) Nurse (minimum of 1)	1.0 position : 2,000 total consumers
(d) Nutritionist (minimum of 1)	1.0 position : 2,000 total consumers
(2) <u>Clinical Support Teams</u>	
(a) Physician/Psychiatrist	1.0 position : 1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 position : 1,700 " "
(c) Behavioral Psychologist	1.0 position : 1,700 " "
(d) Nurse	1.0 position : 1,700 " "
(3) <u>SB 1038 Health Reviews</u>	
(a) Physician	1.5 hours : Referral/1,778 hrs./ full-time equivalent (FTE) position
(b) Nurse	1.75 hours : Individual program plan (IPP) review/1,778 hrs./FTE position
b. <u>Intake/Case Management</u>	
(1) Supervising Counselor: Intake	1.0 position : 10 Intake Workers
(2) Intake Worker	1.0 position : 14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor: Case Management	1.0 position : 10 CPCs in Items b.(6, 7 and 8) below
(4) Supervising Counselor: Capitol People First	1.0 position : 10 CPCs in Items b.(5) below
(5) Client Program Coordinator (CPC) Capitol People First	1.0 position : 66 consumers (Developmental Center residents)
(6) CPC	1.0 position : 66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 position : 62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for Alternative Residential Model	1.0 position : 527 CCF consumers
(9) Supervising Counselor: DSS Incidental Medical Care Regulations	1.0 position : 10 CPCs in item b.(10) below
(10) CPC, DSS Incidental Medical Care Regulations	1.0 position : 2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
1. <u>DIRECT SERVICES (continued)</u>	
c. <u>Quality Assurance/Quarterly Monitoring</u>	
(1) Supervising Counselor	1.0 position : 10 CPCs in Item c.(2) below
(2) CPC	10 hrs/yr. : CCF consumer/1,778 hrs./FTE
	14 hrs/yr. : Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr. : Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr. : Family Home Agency consumer/1,778 hrs./FTE
d. <u>Early Intervention</u>	
(1) <u>General</u>	
(a) Prevention Coordinator	1.0 position : RC
(b) High-Risk Infant Case Mgr.	1.0 position : RC
(c) Genetics Associate	1.0 position : RC
(2) <u>Early Start/Part C</u>	
(a) Supervising Counselor	1.0 position : 10 CPCs in Item d.(2)(b) below
(b) CPC:	
Marginal positions from:	1.0 position : 62 children<age 3yrs.
to:	1.0 position : 45 children<age 3yrs.*
e. <u>Community Services</u>	
(1) Special Incident Coordinator	1.0 position : RC
(2) Vendor Fiscal Monitor	0.5 position : RC plus 1: every 3,140 vendors
(3) Program Evaluator	1.0 position : RC
(4) Resource Developer	1.0 position : RC
(5) Transportation Coordinator	1.0 position : RC
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997) Consumer Complaints	0.5 position : RC
(7) Developmental Center Liaison	1.0 position : 400 DC consumers
(8) Diversion	4.0 positions : 21 RCs
(9) Placement Continuation	
(a) Supervising Counselor	1.0 position : 10 CPCs in Item e.(9)(b) below
(b) CPC:	
1. Marginal positions from:	1.0 position : 62 CPP Placements
2. to:	1.0 position : 45 CPP Placements

* Note: This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B**CORE STAFFING FORMULAS**

CORE STAFFING CLASSIFICATION		STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>		
1. <u>DIRECT SERVICES (continued)</u>		
f. <u>Special Incident Reporting (SIR)</u>		
(1) Supervising Counselor	1.0 position	10 CPCs in Item f. (2) below
(2) QA/CPC	1.0 position	: RC plus 1: every 5,000 consumers
(3) Nurse	0.5 position	: RC plus 0.5: every 5,000 consumers
g. <u>Mediation</u>		
(1) Clinical Staff	2.0 hours	: 25% of annual mediations/ 1,778 hrs /FTE position
(2) Supervising Counselor	4.5 hours	: Mediation/1,778 hrs/FTE position
(3) CPC	4.5 hours	: 50% of annual mediations/ 1,778 hrs./FTE position
h. <u>Expansion of Autism Spectrum Disorders (ASD) Initiative</u>		
(1) ASD Clinical Specialist (effective January 1, 2007)	1.0 position	: RC
(2) ASD Program Coordinator (effective January 1, 2007)	1.0 position	: RC
2. <u>ADMINISTRATION</u>		
a. <u>Executive Staff</u>		
(1) Director	1.0 position	: RC
(2) Administrator	1.0 position	: RC
(3) Chief Counselor	1.0 position	: RC
b. <u>Fiscal</u>		
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 position	: RC
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 position	: 1,000 HCBS Waiver consumers
(3) Fiscal Manager	1.0 position	: RC
(4) Program Technician II, FCPP	0.5 position	: RC
	1.0 position	: 1,778 hours of FCPP determinations
(5) Revenue Clerk	1.0 position	: 400 consumers for whom RCs are representative payee
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 position	: RC
(7) Account Clerk	1.0 position	: 800 total consumers
c. <u>Information Systems and Human Resources</u>		
(1) Information Systems Manager	1.0 position	: RC
(2) Information Systems Assistant	1.0 position	: RC
(3) Information Systems Assistant, SIR	0.5 position	: RC
(4) Privacy Officer, HIPAA	1.0 position	: RC
(5) Personal Computer Systems Manager	1.0 position	: RC
(6) Training Officer	1.0 position	: RC
(7) Training Officer, SIR	0.5 position	: RC
(8) Human Resources Manager	1.0 position	: RC

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
2. <u>ADMINISTRATION (continued)</u>	
d. <u>Clerical Support</u>	
(1) Office Supervisor	1.0 position : RC
(2) PBX/Mail/File Clerk	3.0 positions : RC
(3) Executive Secretary	2.5 positions : RC
(4) MD/Psychologist Secretary II	1.0 position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews
(5) MD/Psychologist Secretary I	1.0 position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment
(6) Secretary II	1.0 position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/ Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secty I, line 1.e.(9) b 2.,) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources
(7) Secretary I	1.0 position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secty II, line 1.e.(9) b 1.,)
(8) Secretary I (DC Case Management Capitol People First)	1.0 position : 6 CPCs and Supervisors

Attachment C**Regional Center Rent**

Regional Center	2018-19₁	2019-20₂	Difference	% Change	Footnote
Alta	\$2,985,507	\$3,238,737	\$253,230	8.48%	a
Central Valley	3,381,395	3,479,179	97,784	2.89%	
East Bay	4,283,502	4,551,353	267,850	6.25%	a
East LA	3,766,145	3,895,653	129,509	3.44%	
Far Northern	1,331,868	1,336,437	4,569	0.34%	
Golden Gate	2,620,482	3,128,020	507,538	19.37%	a
Harbor	4,415,749	4,516,152	100,402	2.27%	
Inland	7,333,006	7,539,931	206,925	2.82%	
Kern	1,922,038	1,973,235	51,196	2.66%	a
Lanternman	2,384,573	2,495,633	111,060	4.66%	
North Bay	1,758,098	1,746,098	-12,000	-0.68%	
North LA	3,936,702	4,249,331	312,629	7.94%	
Orange	3,694,175	3,640,298	-53,877	-1.46%	
Redwood	1,021,375	1,053,037	31,662	3.10%	a
San Andreas	3,151,390	3,250,789	99,399	3.15%	
San Diego	4,479,142	5,039,319	560,177	12.51%	a
San Gabriel	2,643,500	2,645,450	1,950	0.07%	
South Central	5,340,280	5,817,707	477,427	8.94%	a
Tri Counties	4,162,640	4,578,192	415,552	9.98%	a
Valley Mt.	2,452,000	2,546,913	94,913	3.87%	a
Westside	<u>1,926,035</u>	<u>1,817,632</u>	<u>-108,403</u>	<u>-5.63%</u>	
Total	<u>\$68,989,602</u>	<u>\$72,539,094</u>	<u>\$3,549,492</u>	5.14%	
TOTAL ROUNDED	<u>\$68,990,000</u>	<u>\$72,539,000</u>	<u>\$3,549,000</u>	5.14%	

Footnotes:

₁ Actual rent funds allocated per the E-1 contract. As well as funds that have yet to be allocated dependent on occupation of new space.

₂ Rent requested by the regional centers based on the 2018 November Estimate Rent Survey, as approved by the Department.

a: Increased costs due to annual rent escalation plus regional center's estimate of additional square footage required to house new staff and/or meet operational needs. The Department will verify costs and review leasing documents to confirm fair market value before allocating additional funds to the regional centers.

Agnews Ongoing Workload

- **Community Placement Plan Staffing:**

- **Unified Operations Costs:**

- **Personal Services:**

- Quality Assurance/Management (6.5 positions)

	<u>2018-19*</u>	<u>2019-20*</u>
	\$503	\$0

- Health Care Community Specialist (4 positions)

	503	0
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- **Total Personal Services:**

	\$1,006	\$0
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- **Operating Expenses:**

	\$28	\$0
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- **State Employees in the Community:**

- Personal Services (13.4 positions)

	1,194	0
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- Operating Expenses

	74	0
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- **Total State Employees in the Community:**

	\$1,268	\$0
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- **Placement Continuation Staffing:**

- Nurse Consultant (3 positions)

	356	0
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- Oral Health Care Consultant (3 positions)

	236	0
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- **Total Placement Continuation Staffing:**

	\$592	\$0
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- **Total:**

	\$2,894	\$0
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FUNDING:

Funding for Agnews Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

Funding has been shifted from the Agnews Ongoing Workload to Developmental Center Closure/Ongoing Workload in the Policy section. Please see Developmental Center Closure/Ongoing Workload in the Policy section for more details.

EXPENDITURES:

	<u>2018-19*</u>	<u>2019-20*</u>
TOTAL	\$2,894	\$0
GF	1,442	0
Reimbursements	1,452	0

*Values in thousands (000's)

Lanterman Developmental Center Closure/Ongoing Workload

Community Placement Plan Staffing:

	<u>2018-19*</u>	<u>2019-20*</u>
• Personal Services:		
Quality Assurance/Management (3 positions)	\$255	\$0
Oral Health Care Consultant (8 positions)	624	0
Nurse Consultant (5 positions)	425	0
Health Care Community Specialist (8 positions)	1,006	0
Total Personal Services:	\$2,310	\$0
• Operating Expenses:	\$82	\$0
• Grand Total:	\$2,392	\$0

FUNDING:

Funding for Lanterman Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

Funding has been shifted from the Lanterman Developmental Center Closure/Ongoing Workload to the Developmental Center Closure/Ongoing Workload in the Policy section. Please see Developmental Center Closure/Ongoing Workload in the Policy section for more details.

EXPENDITURES:	<u>2018-19*</u>	<u>2019-20*</u>
TOTAL	\$2,392	\$0
GF	999	0
Reimbursements	1,393	0

*Values in thousands (000's)

Federal Compliance

DESCRIPTION:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

ASSUMPTIONS/METHODOLOGY:

	<u>2018-19*</u>	<u>2019-20*</u>
<ul style="list-style-type: none"> HCBS Waiver: <p>Operations costs for HCBS Waiver activities in 2018-19 and 2019-20 are based upon 6.5% of 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth.</p>	\$21,135	\$21,135
<ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: <p>Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports.</p>	8,700	8,700

* Values in thousand (000's)

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):	<u>2018-19*</u>	<u>2019-20*</u>
<ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: <p>In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the waiver participant to case manager ratio of 62:1 is consistently met." This augmentation by the California Legislature is intended to assist in this.</p> <p>Enacted Budget: 2018-19: \$6,111,000 GF Match, \$6,112,000 TCM</p> <p>Governor's Budget: Updated 2018-19: \$6,145,000 GF Match, \$6,145,000 TCM 2019-20: \$6,271,000 GF Match, \$6,272,000 TCM</p> <p>Reason for Change from Enacted: Reflects the increase of HCBS Waiver-related positions.</p> 	12,290	12,543
<ul style="list-style-type: none"> TCM: <p>Operations costs for TCM activities in 2018-19 and 2019-20 are based upon 5.8% of 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth.</p> 	4,129	4,129
<ul style="list-style-type: none"> NHR/Pre-Admission Screening and Resident Review (PASRR): <p>Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. (100% GF)</p> 	473	473

* Values in thousand (000's)

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):	<u>2018-19*</u>	<u>2019-20*</u>
<ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS Services: <p>Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. (Funding is 50% HCBS Waiver Administration, 50% GF)</p> <p>Funding: \$492,000 GF Match, \$492,000 HCBS Waiver Administration.</p>	984	984
<ul style="list-style-type: none"> EXPENDITURES: 	\$47,711	\$47,964

FUNDING:

The funding for Federal Compliance is comprised of reimbursements from: Medicaid Administration (75% FFP, 25% GF Match), HCBS Waiver Administration (50% FFP, 50% GF Match), and TCM (50% FFP, 50% GF Match).

CURRENT YEAR CHANGE FROM ENACTED:

The minor increase in current year reflects an increase in Case Managers to Meet HCBS Waiver Requirements due to the increase of HCBS Waiver-related CPC positions, Supervising Counselors positions as well as Secretary II positions pursuant to the core staffing formula.

REASON FOR YEAR-TO-YEAR CHANGE:

The minor increase in budget year reflects a increase in Case Managers to Meet HCBS Waiver Requirements due to the increase of HCBS Waiver-related CPC positions, Supervising Counselors positions as well as Secretary II positions pursuant to the core staffing formula.

EXPENDITURES:	<u>2018-19*</u>	<u>2019-20*</u>
TOTAL	\$47,711	\$47,964
GF	35,313	35,439
Reimbursements	12,398	12,525

* Values in thousand (000's)

Projects

DESCRIPTION:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

ASSUMPTIONS/METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
<ul style="list-style-type: none"> Information Technology Costs: <ul style="list-style-type: none"> Regional Center Application Support: Data Processing: 	\$3,797 2,547 1,250	\$3,797 2,547 1,250
<ul style="list-style-type: none"> Clients' Rights Advocacy: <p>The Department contracts for clients' rights advocacy services for regional center consumers, of which 46% of the costs are eligible for Home and Community Based Services (HCBS) Waiver Administration: 50% GF Match / 50% federal financial participation (FFP). Contract amount shown does not reflect an additional \$21,155 increase for administrative costs per Assembly Bill (AB) X2 1. Budget year reflects an adjustment to account for updated caseload.</p> 	7,118	7,470
<ul style="list-style-type: none"> Quality Assessment Contract: <p>The Quality Assessment Project, as required by the Welfare and Institutions (W&I) Code, Section 4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. The current year increase from the May Revision is due to amendments requested to execute the current contract. Previous estimates have been short and the budget year increase is due to anticipation of additional needed funds to continue operation levels.</p> 	4,030	4,500

Projects

ASSUMPTIONS/METHODOLOGY (continued):	<u>2018-19</u>	<u>2019-20</u>
<ul style="list-style-type: none"> Direct Support Professional Training: W&I Code Section 4695.2 mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 88% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. 	3,037	3,037
<ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and their consumers, and (2) provide mediation services. Section 4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended; 46% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year increased due to a new contract request. 	3,350	3,525
<ul style="list-style-type: none"> Wellness Projects: W&I Code Sections 4696 and 4646.5 identify areas that can be fulfilled through Wellness Projects. Typically, projects generally fall into the following categories: health professional training programs, medication reviews, health assessments, specialty clinics, telemedicine, resource development for persons with a dual diagnosis, training programs for parents and consumers, and dental health programs and services. 	100	100
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. 	3,765	4,110

Projects

ASSUMPTIONS/METHODOLOGY (continued):	<u>2018-19</u>	<u>2019-20</u>
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: Beginning in 2001-02, the Legislature appropriated funds to the Department and regional centers to develop a comprehensive risk management system to enhance the health, safety, and well-being of individuals served by regional centers, and to implement preventive strategies and interventions to mitigate such risks. The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on Developmental Center transitions to the Center for Medi-Cal and Medicaid; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 46% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year reflects an increase to expand the level of contracted services. 	1,200	1,200
<ul style="list-style-type: none"> Increased Access to Mental Health Services: Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 100% of costs are funded by the Mental Health Services Fund. 	740	740
<ul style="list-style-type: none"> Sherry S. Court Case: In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. 	59	59
<ul style="list-style-type: none"> 2003-04 FFP Enhancement, Phase II: These costs are associated with legal support for federal program activities. 	500	500

Projects

ASSUMPTIONS/METHODOLOGY (continued):	<u>2018-19</u>	<u>2019-20</u>
<ul style="list-style-type: none"> Affordable Housing: <p>The amounts budgeted represent funds that DDS pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the Coffelt Settlement (1994). There is no change. The contract may be renewed in 2019-20.</p> 	85	85
<ul style="list-style-type: none"> Review of Senate Bill (SB) 1175 Housing Proposals: <p>Pursuant to Chapter 617, Statutes of 2008, (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing DDS' costs associated with conducting the review and approval of housing proposals.</p> 	150	150
<ul style="list-style-type: none"> Extension of Denti-Cal Infrastructure for Regional Center Funded Dental: <p>This project allows the regional center to use the Department of Health Care Services (DHCS) system for reviewing treatment plans and approving claims for dental services consistent with the DHCS Denti-Cal program. Current year reflects the funds needed to process claims from actual services that occurred prior to the restoration of Denti-Cal services.</p> 	2	-
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$27,933	\$29,273

Projects

FUNDING:

Funding for Project expenditures is comprised of General Fund (GF), reimbursements from the Home and Community-Based Waiver Administration, the Developmental Disabilities Services Account, the Mental Health Services Fund, and Federal Funds from the FG/SC Programs.

CURRENT YEAR CHANGE FROM ENACTED:

Current year expenditures are estimated to have a minor increase comprised of slight increases in Client Rights Advocacy, Quality Assessment, and Extension of Denti-Cal Infrastructure for Regional Center Funded Dental.

REASON FOR YEAR-TO-YEAR CHANGE:

Budget year expenditures are estimated to have an increase comprised of increases in Client Rights Advocacy, Quality Assessment Contract, Office of Administrative Hearing, and Foster Grandparent/Senior Companion Program.

EXPENDITURES:	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$27,933	\$29,273
GF	21,003	22,114
Reimbursements	4,940	5,169
Developmental Disabilities Services Account	150	150
Mental Health Services Fund	740	740
Federal Funds	1,100	1,100

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility-Developmentally Disabled Vendor Costs Operations

DESCRIPTION:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of Day Program and Transportation.

2018-19 and 2019-20: Total billing costs are \$1.8 million for regional center administration.

Purchase of Services costs for Day Program and Transportation in 2017-18 totals \$122.9 million, of which 1.5% equals \$1.8 million.

FUNDING:

The Federal Medical Assistance Percentages establishes the FFP for expenditures associated with Operations, which is 50% for 2018-19 and 2019-20.

CURRENT YEAR CHANGE FROM ENACTED:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the Enacted Budget.

REASON FOR YEAR-TO-YEAR CHANGE:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the Enacted Budget.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$1,843	\$1,843
General Fund	921	921
Reimbursements	922	922

Operations Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
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Staffing

TOTAL	\$619,955	\$616,643	(\$3,312)	\$651,610	\$34,967	\$31,655
GF	\$412,223	\$384,329	(\$27,894)	\$409,523	\$25,194	(\$2,700)
GF Match	199,151	224,190	25,039	233,095	8,905	33,944
GF Other	213,072	160,139	(52,933)	176,428	16,289	(36,644)
Reimbursements	\$207,732	\$232,314	\$24,582	\$242,087	\$9,773	\$34,355
Medicaid Admin	11,354	10,668	(686)	12,354	1,686	1,000
HCBS Waiver Admin	4,784	5,506	722	6,665	1,159	1,881
TCM	184,217	208,003	23,786	214,931	6,928	30,714
TCM Admin	7,377	8,137	760	8,137	0	760

Federal Compliance

TOTAL	\$47,644	\$47,711	\$67	\$47,964	\$253	\$320
GF	\$35,278	\$35,313	\$35	\$35,439	\$126	\$161
GF Match	11,066	11,099	33	11,226	127	160
GF Other	24,212	24,214	2	24,213	(1)	1
Reimbursements	\$12,366	\$12,398	\$32	\$12,525	\$127	\$159
HCBS Waiver Admin	1,203	1,203	0	1,203	0	0
Medicaid Admin	1,951	1,950	(1)	1,950	0	(1)
TCM	9,212	9,245	33	9,372	127	160

Operations Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Projects						
TOTAL	\$27,889	\$27,933	\$44	\$29,273	\$1,340	\$1,384
GF	\$21,074	\$21,003	(\$71)	\$22,114	\$1,111	\$1,040
GF Match	4,924	4,940	16	5,170	230	246
GF Other	16,150	16,063	(87)	16,944	881	794
Reimbursements	\$4,925	\$4,940	\$15	\$5,169	\$229	\$244
HCBS Waiver Admin	4,925	4,940	15	5,169	229	244
Developmental Disabilities Services Account	150	150	\$0	150	\$0	\$0
Mental Health Services Fund	740	740	\$0	740	\$0	\$0
Federal Funds	\$1,000	\$1,100	\$100	\$1,100	\$0	\$100
Agnews Ongoing Workload						
TOTAL	\$2,894	\$2,894	\$0	\$0	(\$2,894)	(\$2,894)
GF	\$1,212	\$1,442	\$230	\$0	(\$1,442)	(\$1,212)
GF Match	1,325	1,099	(226)	0	(1,099)	(1,325)
GF Other	(113)	343	456	0	(343)	113
Reimbursements	\$1,682	\$1,452	(\$230)	\$0	(\$1,452)	(\$1,682)
HCBS Waiver Admin	759	538	(221)	0	(538)	(759)
Medicaid Admin	923	914	(9)	0	(914)	(923)

Operations Funding Detail

Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
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Lanterman Developmental Center Closure/Ongoing Workload						
TOTAL	\$2,392	\$2,392	\$0	\$0	(\$2,392)	(\$2,392)
GF	\$999	\$999	\$0	\$0	(\$999)	(\$999)
GF Match	878	878	0	0	(878)	(878)
GF Other	121	121	0	0	(121)	(121)
Reimbursements	\$1,393	\$1,393	\$0	\$0	(\$1,393)	(\$1,393)
HCBS Waiver Admin	621	621	0	0	(621)	(621)
Medicaid Admin	772	772	0	0	(772)	(772)

Intermediate Care Facility-Developmentally Disabled - Admin Fees						
TOTAL	\$1,848	\$1,843	(\$5)	\$1,843	\$0	(\$5)
GF	\$924	\$921	(\$3)	\$921	\$0	(\$3)
GF Match	924	921	(3)	921	0	(3)
Reimbursements	\$924	\$922	(\$2)	\$922	\$0	(\$2)
FFP (Quality Assurance Fees)	924	922	(2)	922	0	(2)

Operations Funding Detail

Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
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Total Operations Funding Detail						
TOTAL	\$702,622	\$699,416	(\$3,206)	\$730,690	\$31,274	\$28,068
GF	\$471,710	\$444,007	(\$27,703)	\$467,997	\$23,990	(\$3,713)
GF Match	218,268	243,127	24,859	250,412	7,285	32,144
GF Other	253,442	200,880	(52,562)	217,585	16,705	(35,857)
Reimbursements	\$229,022	\$253,419	\$24,397	\$260,703	\$7,284	\$31,681
HCBS Waiver Admin	12,292	12,808	516	13,037	229	745
Medicaid Admin	15,000	14,304	(696)	14,304	0	(696)
TCM	193,429	217,248	23,819	224,303	7,055	30,874
TCM Admin	7,377	8,137	760	8,137	0	760
FFP (Quality Assurance Fees)	924	922	(2)	922	0	(2)
Developmental Disabilities Services Account	150	150	0	150	0	\$0
Mental Health Services Fund	740	740	0	740	0	\$0
Federal Funds	\$1,000	\$1,100	\$100	\$1,100	\$0	\$100

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Community Care Facilities

DESCRIPTION:

Regional centers contract with vendors of facilities licensed by the Department of Social Services (DSS) to provide 24-hour residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustenance of daily living activities.

ASSUMPTIONS:

Community Care Facilities (CCF) Consumer and Expenditure Data Source: State Claims Data file, dated September 1, 2018 with actuals through June 2018. Data were adjusted for lag based on historical data.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received by persons in CCFs from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP (an estimated 94.9% of persons in CCFs), the regional centers fund only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget. This factor is incorporated in the CCF estimate.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$1,438,221	\$1,510,471
Total Utilization Change/Growth:	72,250	75,913
Subtotal Base and Growth	\$1,510,471	\$1,586,384
Community Placement Plan: See Community Placement Plan methodology for detail.	19,349	18,375

Community Care Facilities

METHODOLOGY (continued):

	<u>2018-19</u>	<u>2019-20</u>
SSI/SSP Increases Effective January 1, 2019 and January 1, 2020: The SSI/SSP rate increase, effective January 1, 2019, is estimated to increase from \$1,039 to \$1,058 per month. The SSI/SSP rate increase, effective January 1, 2020, is estimated to increase from \$1,058 to \$1,075 per month. An increase in these rates results in a decrease in amounts paid by the Department. The SSI/SSP pass through includes Care and Supervision, and Room and Board, excluding Personal and Incidental Needs.	-\$3,600	-\$3,849
Continuation Costs: Second year costs for Developmental Center movers.	55,877	62,062
TOTAL EXPENDITURES	\$1,582,097	\$1,662,972

FUNDING:

CCF expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915 (i) State Plan Amendment (SPA), Intermediate-Care Facility-Developmentally Disabled (ICF-DD), and Temporary Assistance to Needy Families. Based on actual 2017-18 billing data, approximately 81% of CCF expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation (FFP) and 50% General Fund (GF) match.

CURRENT YEAR CHANGE FROM ENACTED:

The Current Year net increase of \$5.9 million (\$5.8 million GF increase) reflects a \$9.5 million increase in estimated Utilization and Growth and a \$2.3 million increase in Community Placement Plan offset by a \$1.8 million decrease in the Base, a \$4.9 million decrease in Continuation Costs, and a slight decrease in SSI/SSP savings. The decrease in the Base is due to SB 3 expenditures coming in lower than previously estimated.

REASON FOR YEAR-TO-YEAR CHANGE:

The Budget Year net increase of \$80.9 million (\$50.0 million GF increase) reflects a \$72.2 million increase in the Base, \$3.7 million increase in Utilization and Growth, and \$6.2 million increase in Continuation Costs, offset by a \$1.0 million decrease in Community Placement Plan and a slight increase in SSI/SSP savings.

Community Care Facilities

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$1,582,097	\$1,662,972
GF	917,971	967,972
Reimbursement	664,126	695,000

Medical Facilities

DESCRIPTION:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

ASSUMPTIONS:

Assumptions regarding caseload and facility growth for 2018-19 and 2019-20 are based on the 2018 Regional Center Spring Survey. Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth.	\$14,273	\$14,233
Total Utilization Change/Growth:	-40	-40
Subtotal Base and Growth	\$14,233	\$14,193
Gap Resource Development (ICF-DD):	341	341

Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.

It is assumed that three Health facilities will require gap funding for two consumers each for not more than 60 days.

Medical Facilities

METHODOLOGY (continued):

	<u>2018-19</u>	<u>2019-20</u>
Community Placement Plan: See Community Placement Plan methodology for detail.	117	111
Continuation Costs: Second year costs for Developmental Center movers.	389	432
TOTAL EXPENDITURES	\$15,080	\$15,077

FUNDING:

Medical Facility expenditures are funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$3.5 million GF is a result of a \$1.8 million increase in the Base, a \$1.2 million increase in Utilization and Growth, and slight increases in Community Placement Plan and Continuation Costs.

REASON FOR YEAR-TO-YEAR CHANGE:

The Budget Year reflects a slight decrease in Community Placement Plan, offset by slight increase in Continuation Costs.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$15,080	\$15,077
GF	15,080	15,077

Day Programs

DESCRIPTION:

Day Program services may be at a fixed location or out in the community. Types of services available through a Day Program include:

- Self-help and self-care skill development.
- Interaction and communication skills development.
- Self-advocacy and employment skills development.
- Community integration skill development.
- Behavior management skill development.
- Social and recreational skill development.

ASSUMPTIONS:

Day Program Expenditure Data Source: State Claims Data file, dated September 1, 2018 with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$1,085,465	\$1,118,663
Total Utilization Change/Growth:	33,198	34,214
Subtotal Base and Growth	\$1,118,663	\$1,152,877
Community Placement Plan: See Community Placement Plan methodology for detail.	312	296
Continuation Costs: Second year costs for Developmental Center movers.	1,923	2,136
TOTAL EXPENDITURES	\$1,120,898	\$1,155,309

Day Programs

FUNDING:

Day Program expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate Care Facility-Developmentally Disabled (ICF-DD), Early Periodic Screening Diagnosis and Treatment (EPSDT), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 54% of Day Program expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and EPSDT, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased by \$38.1 million (\$14.7 million GF decrease) due to a \$4.9 million decrease in Utilization and Growth, a \$32.4 million decrease in the Base, and a slight decrease in both Continuation Costs and Community Placement Plan. The decrease in Utilization and Growth reflects a decreased use of Adult Development Center, Behavior Management Program, and Infant Development Program. These services comprise 79% of Day Program expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increased by a net \$34.4 million (\$18.7 million GF). This includes a \$1 million increase in Utilization and Growth, and a slight increase in Continuation Costs, offset by a slight decrease in Community Placement Plan.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$1,120,898	\$1,155,309
GF	622,873	641,526
Reimbursement	479,735	495,493
Federal Funds	18,290	18,290

Habilitation Work Activity Program

DESCRIPTION:

Work Activity Program (WAP) services are provided, for the most part, in a sheltered setting, and may include work experiences in integrated group settings within the community.

ASSUMPTIONS:

WAP Expenditure Data Source: State Claims file, dated September 1, 2018 with actuals through June 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$43,315	\$38,935
Total Utilization Change/Growth:	-4,380	-3,934
Subtotal Base and Growth	\$38,935	\$35,001
Community Placement Plan: See Community Placement Plan methodology for detail.	5	5
Continuation Costs: Second year costs for Developmental Center movers.	12	13
TOTAL EXPENDITURES	\$38,952	\$35,019

Habilitation Work Activity Program

FUNDING:

WAP Service expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2017-18 billing data, approximately 63% of WAP expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased by \$7.6 million (\$3.5 million GF decrease) due to a \$6.4 million decrease in the Base, a \$1.2 million decrease in Utilization and Growth, offset by a slight increase in both Community Placement Plan and Continuation Costs.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimate decrease of \$3.9 million (\$4.4 million GF decrease) is offset by a slight increase in both Utilization and Growth and Continuation Costs.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$38,952	\$35,019
GF	21,917	17,525
Reimbursement	17,035	17,494

Habilitation Supported Employment Program (Group Placement)

DESCRIPTION:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work through integrated group settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-G Expenditure Data Source: State Claims file, dated September 1, 2018 with actuals through June 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth.	\$89,952	\$89,952
Total Utilization Change/Growth:	0	0
Subtotal Base and Growth	\$89,952	\$89,952
Continuation Costs: Second year costs for Developmental Center movers.	0	0
TOTAL EXPENDITURES	\$89,952	\$89,952

Habilitation Supported Employment Program (Group Placement)

FUNDING:

SEP-G expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2017-18 billing data, approximately 35% of SEP-G expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased by \$6.5 million (\$4.6 million GF decrease) due to a \$4.8 million decrease in the Base, a \$1.7 million decrease in Utilization and Growth, and a slight decrease in Continuation Costs.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$89,952	\$89,952
GF	67,549	66,707
Reimbursement	22,403	23,245

Habilitation

Supported Employment Program (Individual Placement)

DESCRIPTION:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work through integrated individual settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-I Expenditure Data Source: State Claims file, dated September 1, 2018 with actuals through June 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth.	\$28,956	\$32,281
Total Utilization Change/Growth:	3,325	3,707
Subtotal Base and Growth	\$32,281	\$35,988
Continuation Costs: Second year costs for Developmental Center movers.	0	0
TOTAL EXPENDITURES	\$32,281	\$35,988

Habilitation

Supported Employment Program (Individual Placement)

FUNDING:

SEP-I expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2017-18 billing data, approximately 22% of SEP-I expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The Current Year decrease of \$1.5 million (\$1.7 million GF decrease) reflects a \$1.6 million decrease in Utilization and Growth and a slight decrease in Continuation Costs, offset by a slight increase in the Base.

REASON FOR YEAR-TO-YEAR CHANGE:

The Budget Year increase of \$3.7 million (\$3.3 million GF) is due to a slight increase in Utilization and Growth.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$32,281	\$35,988
GF	26,728	30,009
Reimbursement	5,553	5,979

Transportation

DESCRIPTION:

Transportation services are provided so persons with a developmental disability may participate in programs and/or other activities identified in their Individual Program Plan. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

ASSUMPTIONS:

Transportation Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$342,419	\$361,295
Total Utilization Change/Growth:	18,876	19,916
Subtotal Base and Growth	\$361,295	\$381,211
Community Placement Plan: See Community Placement Plan methodology for detail.	119	113
Continuation Costs: Second year costs for Developmental Center movers.	1,027	1,140
TOTAL EXPENDITURES	\$362,441	\$382,464

Transportation

FUNDING:

Transportation expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, and Intermediate Care Facility - Developmentally Disabled (ICF-DD). Based on actual 2017-18 billing data, approximately 65% of Transportation expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased a net \$13.4 million (\$12.4 million GF decrease) due to a \$12.0 million decrease in the Base, a \$1.5 million decrease in Utilization and Growth, and a slight decrease in Community Placement Plan, offset by a slight increase in Continuation Costs. The decrease is due to updated actual expenditures coming in lower than originally estimated.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increased by \$20 million (\$14.1 million GF) due to a \$1.0 million increase in Utilization and Growth, and a slight increase in Continuation Costs, offset by a slight decrease in Community Placement Plan. The main cost drivers in Utilization and Growth are Transportation Company, Transportation-Additional component, and Transportation-Public/Rental Car Agency/Taxi. These service codes make up over 90% of Transportation expenditures.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$362,441	\$382,464
GF	209,906	223,971
Reimbursement	152,535	158,493

Support Services

DESCRIPTION:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community. Included in the Support Services expenditures are Independent Living Supplement payments to adults who are in Supported/Independent Living (SL/IL) settings and receiving Supplementary Security Income/State Supplementary Payment (SSI/SSP). Regional centers supplement the reduction in the SSP portion of the SSI/SSP grant to enable consumers to remain in these settings rather than moving into community care facilities.

ASSUMPTIONS:

Support Services Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$1,334,896	\$1,471,553
Total Utilization Change/Growth:	136,657	160,361
Subtotal Base and Growth	\$1,471,553	\$1,631,914
Community Placement Plan: See Community Placement Plan methodology for detail.	2,156	2,047
Continuation Costs: Second year costs for Developmental Center movers.	14,203	15,775

Support Services

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$35.2 million (\$22.4 million GF) reflects an increase of \$2.3 million in the Base, a \$35.2 million increase in Utilization and Growth, offset by a decrease of \$1.4 million in the Community Placement Plan, and slight decreases in Continuation Costs and the Independent Living Supplement. Approximately 50% of the budget category expenditures are for Supported Living Services, which continue to increase beyond historical trends.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year net increase of \$161.8 million (\$135.5 million GF) reflects a \$23.7 million increase in Utilization and Growth, a \$1.6 million increase in Continuation Costs, offset by slight decreases in the Community Placement Plan and in the Independent Living Supplement.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$1,487,828	\$1,649,581
GF	822,956	958,494
Reimbursement	664,602	690,817
Federal Funds	270	270

In-Home Respite

DESCRIPTION:

In-Home Respite services include intermittent or regularly scheduled, temporary non-medical care and/or supervision services provided in the consumer's home, except for the provision of incidental medical services as expressly allowed in statute.

ASSUMPTIONS:

In-Home Respite Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	2018-19	2019-20
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$424,591	\$460,879
Total Utilization Change/Growth:	36,288	39,550
Subtotal Base and Growth:	\$460,879	\$500,429
Community Placement Plan: See Community Placement Plan methodology for detail.	5	5
Continuation Costs: Second year costs for developmental center movers.	480	533
TOTAL EXPENDITURES:	\$461,364	\$500,967

In-Home Respite

FUNDING:

In-Home Respite expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 76% of In-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver and 1915 (i) SPA, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased a net \$18.5 million (\$28.8 million GF decrease) due to a \$20.5 million decrease in the Base, and a slight decrease in Community Placement Plan, offset by a \$2.2 million increase in Utilization and Growth, and a slight increase in Continuation Costs. The change in the Base is due to SB 3 expenditures coming in lower than expected. In-Home Respite Services Agency is the cost driver and accounts for 82.3% of expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

The 2019-20 budget year estimated increased a net \$39.6 million (\$31.5 million GF) reflects a \$3.3 million increase in Utilization and Growth, and a slight increase in Continuation Costs. The increase in the Base reflects continued growth in In-Home Respite Services Agency.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$461,364	\$500,967
GF	243,398	274,879
Reimbursement	217,885	226,007
Federal Funds	81	81

Out-of-Home Respite

DESCRIPTION:

Out-of-Home Respite includes supervision services that are provided in licensed residential and day care facilities.

ASSUMPTIONS:

Out-of-Home Respite Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$46,135	\$47,099
Total Utilization Change/Growth:	964	984
Subtotal Base and Growth	\$47,099	\$48,083
Community Placement Plan: See Community Placement Plan methodology for detail.	293	278
Continuation Costs: Second year costs for developmental center movers.	40	45
TOTAL EXPENDITURES	\$47,432	\$48,406

Out-of-Home Respite

FUNDING:

Out-of-Home Respite expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 68% of Out-of-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver and 1915 (i) SPA, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased a net \$1.3 million (\$1.3 million GF decrease) reflecting a \$1.2 million decrease in the Base and a slight decrease in Continuation Costs, offset by a slight increase in Utilization and Growth, and Community Placement Plan. The change in the Base is due to SB 3 expenditures coming in lower than expected.

REASON FOR YEAR-TO-YEAR CHANGE:

The 2019-20 budget year estimated increased a net \$1 million (\$0.1 million GF) reflecting a slight increase in Utilization and Growth and slight increase in Continuation Costs, offset by a slight decrease in Community Placement Plan. Day Care-Family Member Services and Child Day Care are the main expenditure drivers and account for 38.8% of the budget category expenditures.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$47,432	\$48,406
GF	24,910	25,052
Reimbursement	22,449	23,281
Federal Funds	73	73

Health Care

DESCRIPTION:

Health Care services include those that are medical and/or health care-related.

ASSUMPTIONS:

Health Care Expenditure Data Source: State Claims Data file dated September 1, 2018, with actuals through June 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth	\$117,383	\$125,504
Total Utilization Change/Growth:	8,121	8,683
Subtotal Base and Growth	\$125,504	\$134,187
Community Placement Plan: See Community Placement Plan methodology for detail.	817	776
Continuation Costs: Second year costs for Developmental Center movers.	2,854	3,170
TOTAL EXPENDITURES	\$129,175	\$138,133

Health Care

FUNDING:

Health Care expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 20% of Health Care expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, and 1915(i) SPA, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The Current Year increase of \$6.8 million (\$7.4 million GF) reflects a \$5.4 million increase in the Base, a \$1.3 million increase in Utilization and Growth, and a slight increase in Continuation Costs, offset by a slight decrease in Community Placement Plan. The net increase in Utilization and Growth is due to significant growth in Spec Ther Svc 3 Yrs Up To Not Incl 21 Yrs and Spec Ther Svc 21 Yrs and Over.

REASON FOR YEAR-TO-YEAR CHANGE:

The Budget Year increase of \$9.0 million (\$8.3 million GF) reflects a slight increase in Utilization and Growth and Continuation Costs, offset by a slight decrease in Community Placement Plan. The main cost drivers for this budget category are Acute Care Hospital and Spec Ther Svc 21 Yrs and Over, which accounts for over 29% of the expenditures. Expenditures continue to increase but at a slower pace compared to Current Year.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$129,175	\$138,133
GF	108,998	117,336
Reimbursement	17,998	18,618
Federal Funds	2,179	2,179

Miscellaneous Services

DESCRIPTION:

The Miscellaneous Services category includes a wide variety of services identified in a consumer's Individual Program Plan or Individualized Family Service Plan. Due to the wide variety and often unique qualities of the services in this category, they cannot be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors. Historically, approximately two thirds of the expenditures in this category were related to Behavioral Health Treatment (BHT) services. While still significant, BHT expenditures have decreased as a result of the transition of costs for BHT services to the Department of Health Care Services (DHCS).

ASSUMPTIONS:

Miscellaneous Services Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2018-19</u>	<u>2019-20</u>
Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018.	\$446,214	\$467,002
Total Utilization Change/Growth:	20,788	23,103
Subtotal Base and Growth	\$467,002	\$490,105
Community Placement Plan: See Community Placement Plan methodology for detail.	29,416	30,583
Continuation Costs: Second year costs for Developmental Center movers.	2,007	2,230
TOTAL EXPENDITURES	\$498,425	\$522,918

Miscellaneous Services

FUNDING:

Miscellaneous Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for BHT services for Medi-Cal Fee-for-Service beneficiaries, and federal funds from the Early Start Grant and Program Development Funds. Based on actual 2017-18 billing data, approximately 19% of Miscellaneous Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$15.0 million (\$26.8 million GF) reflects a \$13.4 million increase in the Base, an increase of \$2.4 million in Utilization and Growth, and offset by slight decreases to Community Placement Plan, and Continuation Costs. Miscellaneous is impacted by the transition of BHT services to DHCS, resulting in less expenditure growth for these services. Utilization and Growth includes decreases in Behavior Analyst and Client/Parent Support Behavior Intervention Training, offset by an increase in Specialized Therapeutic Services for Children Under Age 3. These services accounted for more than 40% of Miscellaneous expenditures in 2017-18.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net increase of \$24.5 million (\$22.2 million GF) reflects a \$2.3 million increase in Utilization and Growth, a \$1.2 million increase in Community Placement plan, and a slight increase in Continuation Costs.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$498,425	\$522,918
GF	385,898	408,129
Reimbursement	97,100	99,373
Federal Funds	13,174	13,174
PDF	2,253	2,242

Quality Assurance Fees**Intermediate Care Facility-Developmentally Disabled Costs
Purchase of Services****DESCRIPTION:**

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- Billing costs are 1.5% of the cost of Day Programs and Transportation, and regional center administrative fees for ICF-DD. QAF are set by DHCS.
- 2018-19 and 2019-20: Total billing costs are \$1.9 million ICF-DD administration and \$8.0 million QAF.
- Purchase of Services costs for Day Program and Transportation for 2017-18 totals \$122.9 million. ICF-DD administration costs are 1.5% of the total or \$1.9 million and QAF are \$8.0 million. Total administration and QAF is \$9.9 million.

FUNDING:

The funding for QAF is 100% reimbursement to the Department from DHCS.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the Enacted Budget.

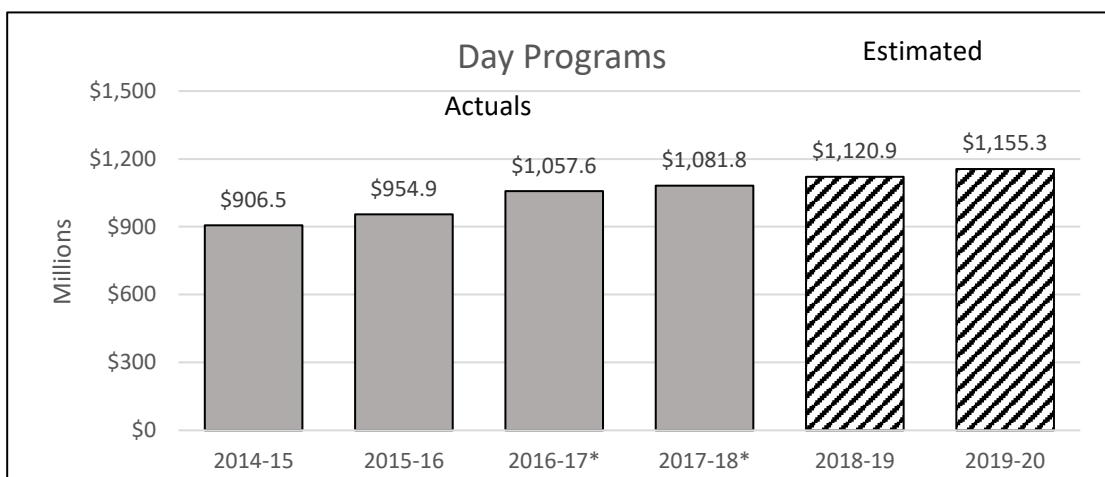
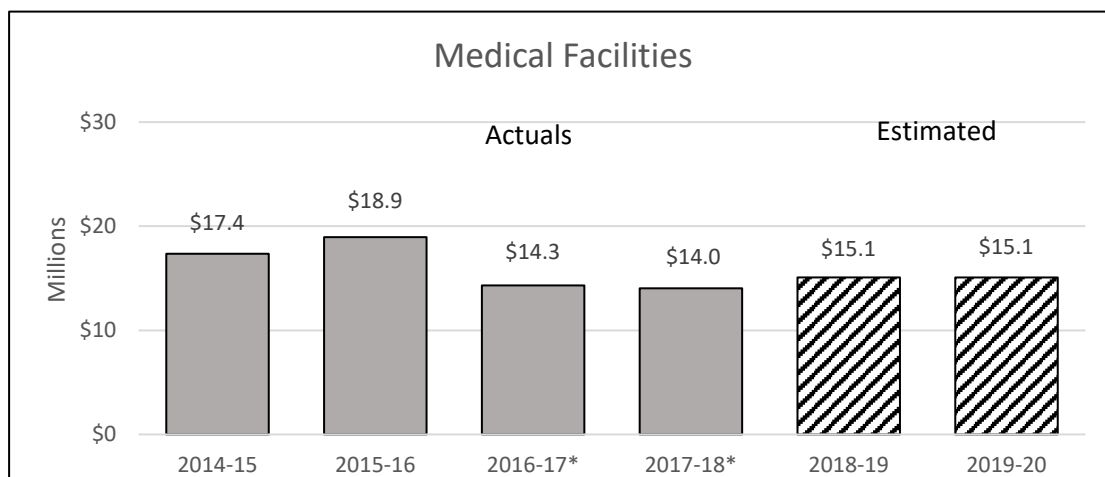
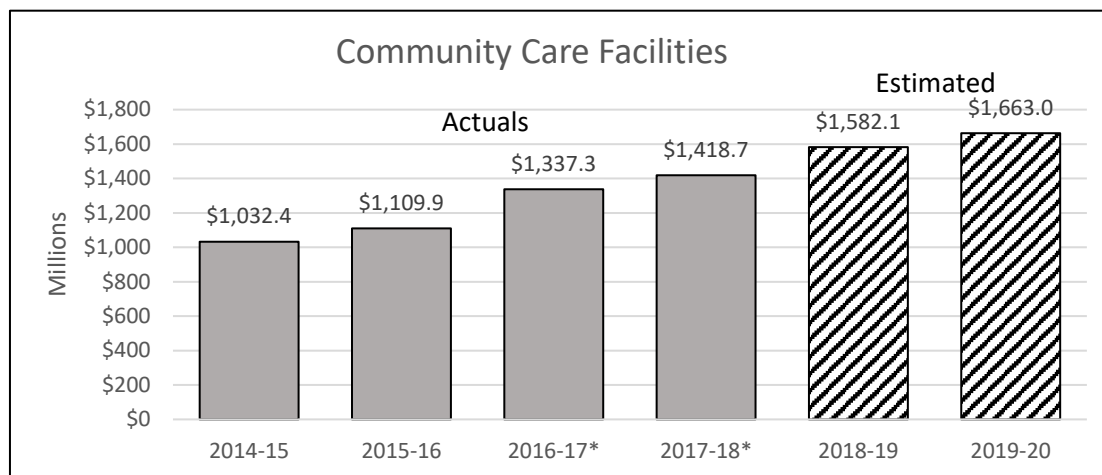
BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated expenditure data as actual expenditures decreased compared to projected cost in the Enacted Budget.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$9,951	\$9,951
Reimbursements	9,951	9,951
QAF Admin Fees	4,976	4,976
Transfer from DHCS	4,975	4,975

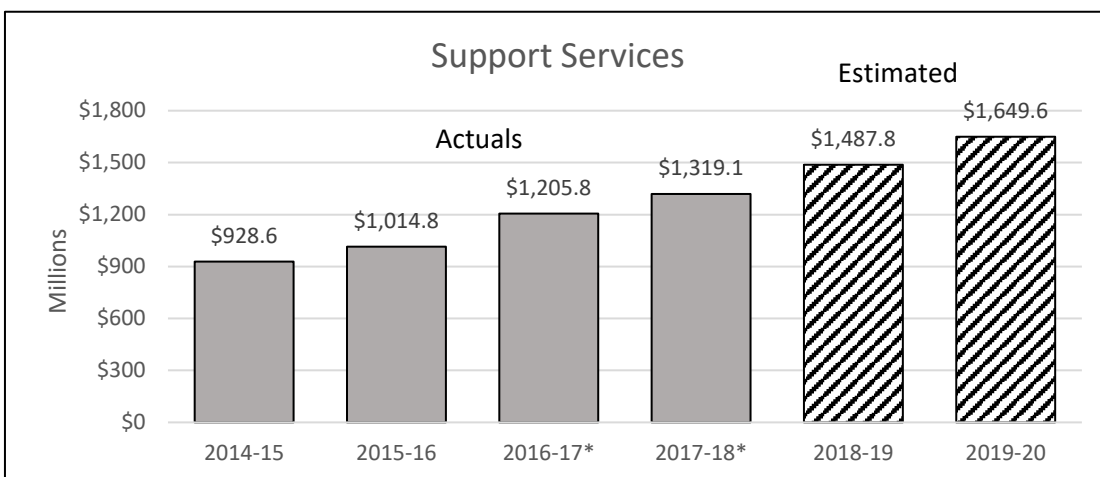
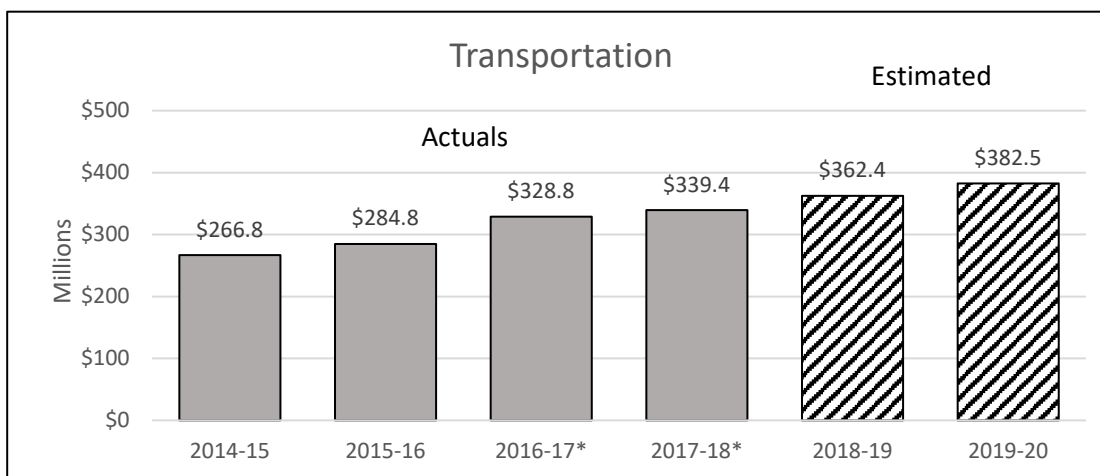
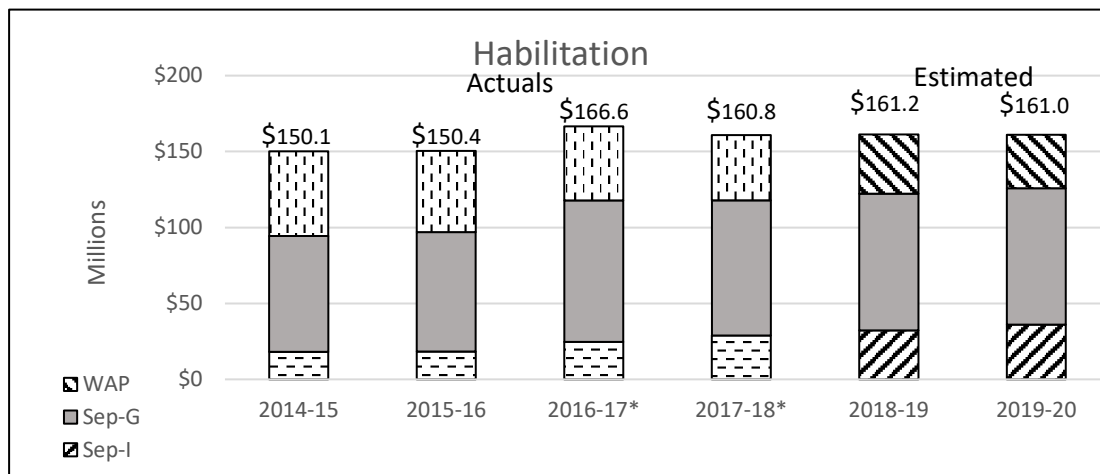
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2018.

** Totals for all years exclude Quality Assurance Fees

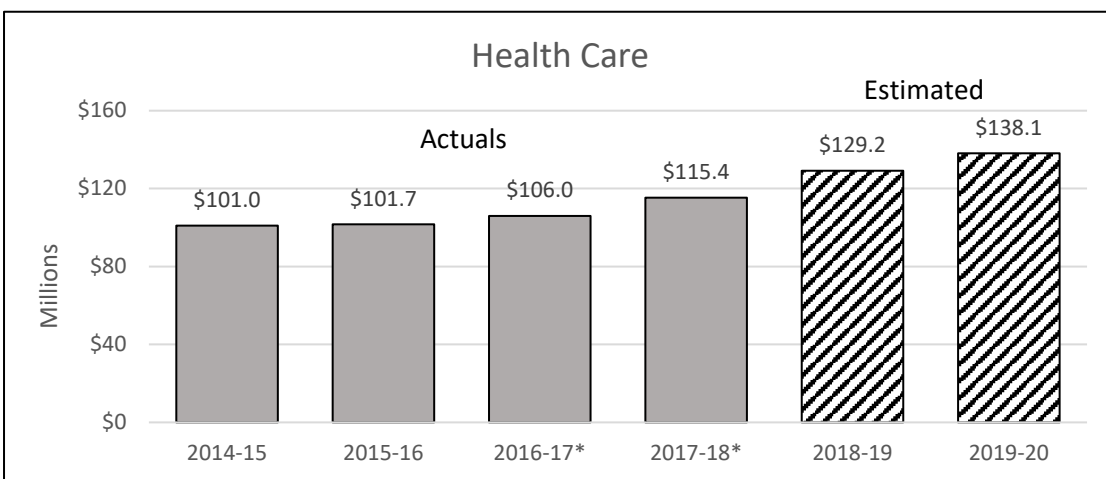
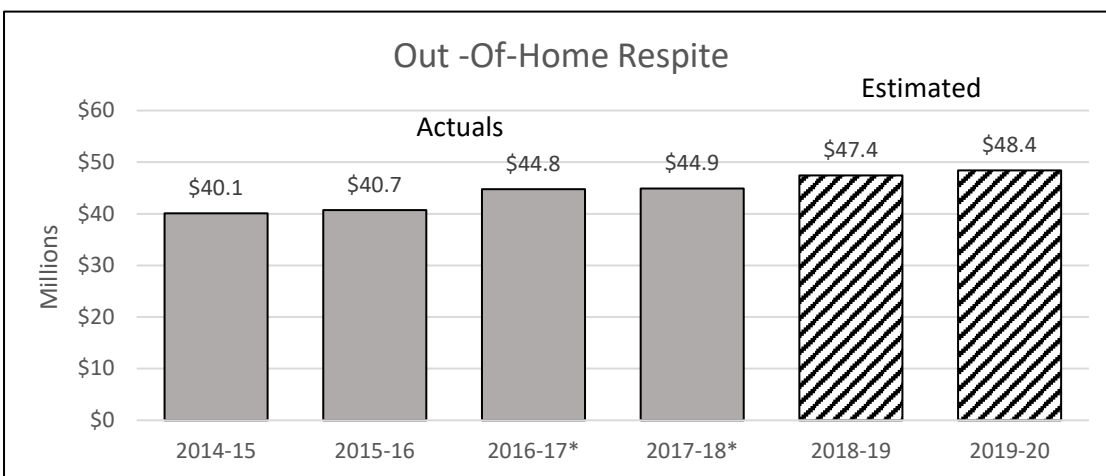
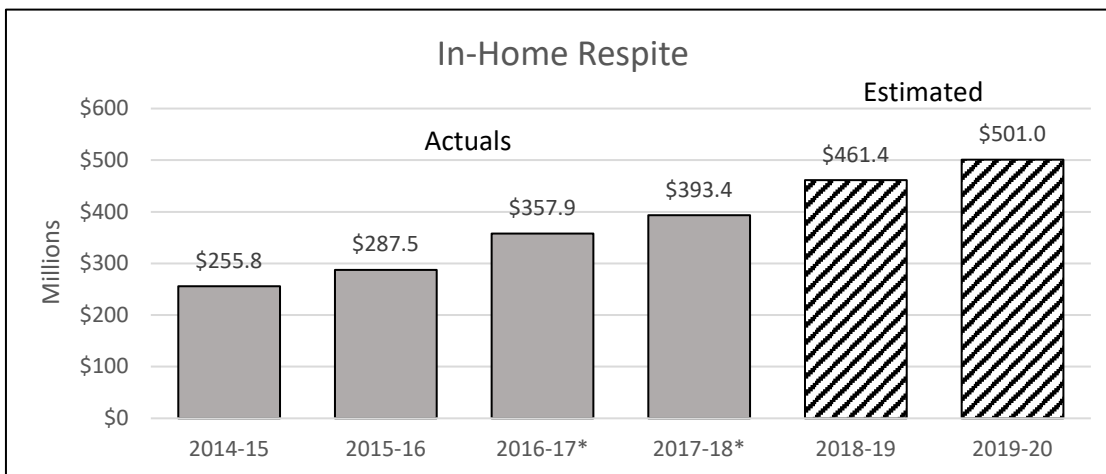
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2018.

** Totals for all years exclude Quality Assurance Fees

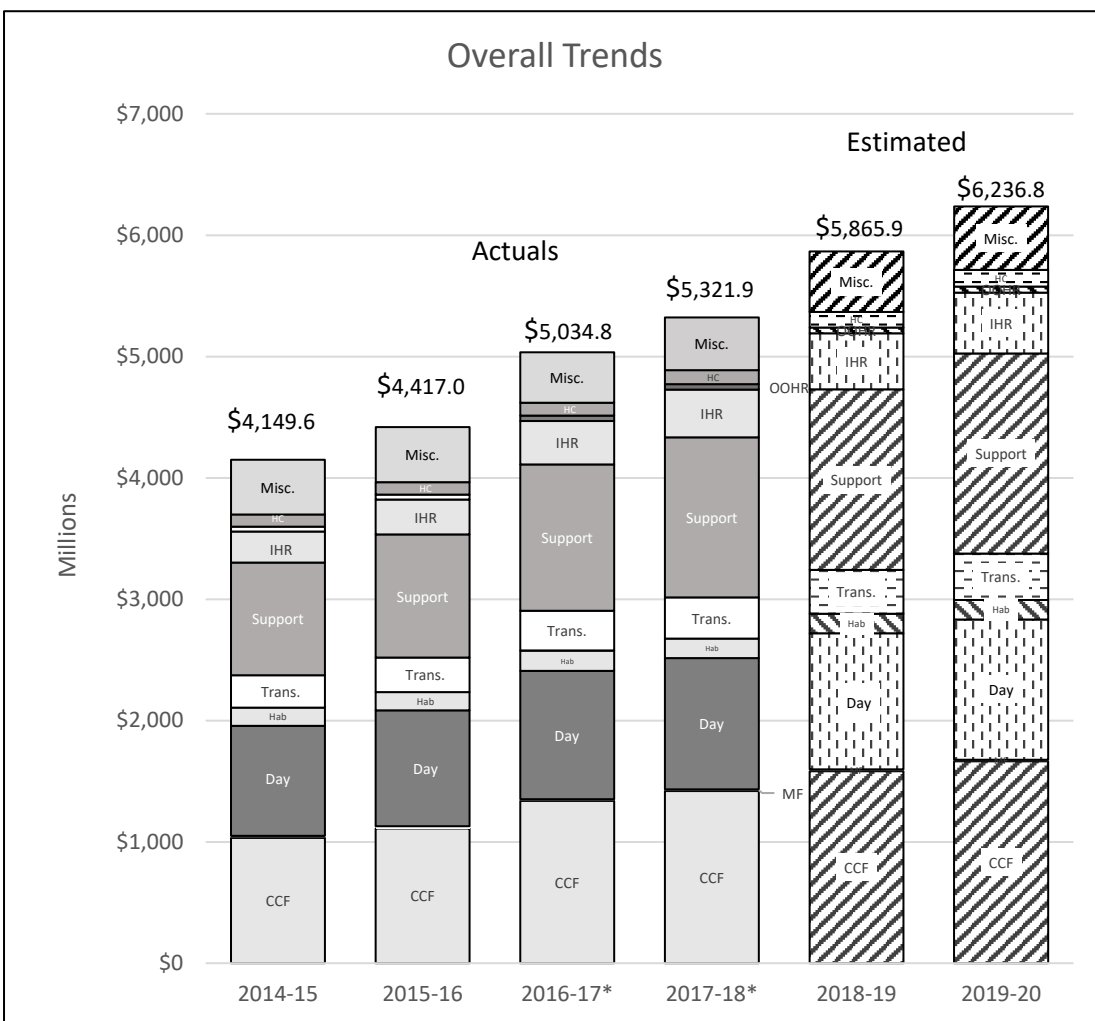
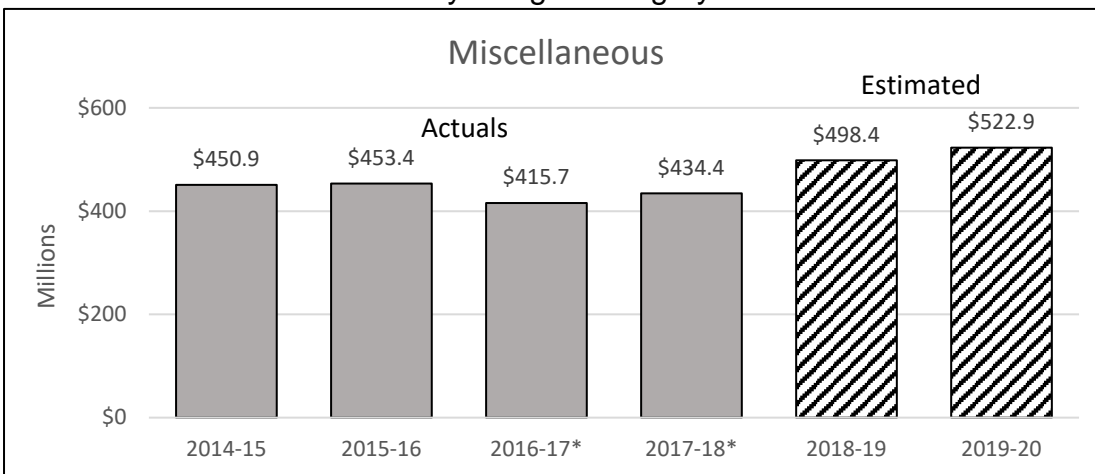
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2018.

** Totals for all years exclude Quality Assurance Fees

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2018.

** Totals for all years exclude Quality Assurance Fees

Purchase of Service Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Community Care Facilities						
TOTAL	\$1,576,191	\$1,582,097	\$5,906	\$1,662,972	\$80,875	\$86,781
GF	\$912,201	\$917,971	\$5,770	\$967,972	\$50,001	\$55,771
GF Match	656,575	654,661	(1,914)	685,541	30,880	28,966
GF Other	255,626	263,310	7,684	282,431	19,121	26,805
Reimbursements	\$663,990	\$664,126	\$136	\$695,000	\$30,874	\$31,010
HCBS Waiver	600,936	600,288	(648)	631,165	30,877	30,229
1915 (i) SPA	55,643	54,375	(1,268)	54,372	(3)	(1,271)
Title XX TANF	7,411	9,463	2,052	9,463	0	2,052
Medical Facilities						
TOTAL	\$11,571	\$15,080	\$3,509	\$15,077	(\$3)	\$3,506
GF	\$11,571	\$15,080	\$3,509	\$15,077	(\$3)	\$3,506
GF Other	11,571	15,080	3,509	15,077	(3)	3,506
Day Programs						
TOTAL	\$1,158,991	\$1,120,898	(\$38,093)	\$1,155,309	\$34,411	(\$3,682)
GF	\$637,568	\$622,873	(\$14,695)	\$641,526	\$18,653	\$3,958
GF Match	456,478	435,705	(20,773)	451,459	15,754	(5,019)
GF Other	181,090	187,168	6,078	190,067	2,899	8,977
Reimbursements	\$503,489	\$479,735	(\$23,754)	\$495,493	\$15,758	(\$7,996)
HCBS Waiver	323,849	306,290	(17,559)	322,046	15,756	(1,803)
1915 (i) SPA	64,015	61,340	(2,675)	61,342	2	(2,673)
Title XX Social Services	47,147	43,956	(3,191)	43,956	0	(3,191)
Title XX TANF	74	76	2	76	0	2
ICF-DD	38,408	37,496	(912)	37,496	0	(912)
EPSDT	29,996	30,577	581	30,577	0	581
Federal Funds	\$17,934	\$18,290	\$356	\$18,290	\$0	\$356
Early Start Grant	17,934	18,290	356	18,290	0	356

Purchase of Service Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Habilitation: WAP						
TOTAL	\$46,529	\$38,952	(\$7,577)	\$35,019	(\$3,933)	(\$11,510)
GF	\$25,435	\$21,917	(\$3,518)	\$17,525	(\$4,392)	(\$7,910)
GF Match	21,093	17,033	(4,060)	17,493	460	(3,600)
GF Other	4,342	4,884	542	32	(4,852)	(4,310)
Reimbursements	\$21,094	\$17,035	(\$4,059)	\$17,494	\$459	(\$3,600)
HCBS Waiver	17,828	14,015	(3,813)	14,736	721	(3,092)
1915 (i) SPA	2,810	2,625	(185)	2,363	(262)	(447)
ICF-DD	456	395	(61)	395	0	(61)
Habilitation: SEP-G						
TOTAL	\$96,461	\$89,952	(\$6,509)	\$89,952	\$0	(\$6,509)
GF	\$72,156	\$67,549	(\$4,607)	\$66,707	(\$842)	(\$5,449)
GF Match	24,305	22,402	(1,903)	23,245	843	(1,060)
GF Other	47,851	45,147	(2,704)	43,462	(1,685)	(4,389)
Reimbursements	\$24,305	\$22,403	(\$1,902)	\$23,245	\$842	(\$1,060)
HCBS Waiver	18,002	16,189	(1,813)	17,022	833	(980)
1915 (i) SPA	6,173	6,061	(112)	6,070	9	(103)
ICF-DD	130	153	23	153	0	23
Habilitation: SEP-I						
TOTAL	\$33,753	\$32,281	(\$1,472)	\$35,988	\$3,707	\$2,235
GF	\$28,398	\$26,728	(\$1,670)	\$30,009	\$3,281	\$1,611
GF Match	5,354	5,552	198	5,978	426	624
GF Other	23,044	21,176	(1,868)	24,031	2,855	987
Reimbursements	\$5,355	\$5,553	\$198	\$5,979	\$426	\$624
HCBS Waiver	3,167	3,351	184	3,523	172	356
1915 (i) SPA	2,161	2,175	14	2,429	254	268
ICF-DD	27	27	0	27	0	0

Purchase of Service Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Transportation						
TOTAL	\$375,794	\$362,441	(\$13,353)	\$382,464	\$20,023	\$6,670
GF	\$222,284	\$209,906	(\$12,378)	\$223,971	\$14,065	\$1,687
GF Match	144,596	143,595	(1,001)	149,553	5,958	4,957
GF Other	77,688	66,311	(11,377)	74,418	8,107	(3,270)
Reimbursements	\$153,510	\$152,535	(\$975)	\$158,493	\$5,958	\$4,983
HCBS Waiver	117,509	115,827	(1,682)	121,785	5,958	4,276
1915 (i) SPA	11,525	11,646	121	11,646	0	121
Title XX Social Services	8,270	8,326	56	8,326	0	56
Title XX TANF	646	614	(32)	614	0	(32)
ICF-DD	15,560	16,122	562	16,122	0	562
Support Services						
TOTAL	\$1,452,610	\$1,487,828	\$35,218	\$1,649,581	\$161,753	\$196,971
GF	\$800,603	\$822,956	\$22,353	\$958,494	\$135,538	\$157,891
GF Match	576,187	587,844	11,657	614,055	26,211	37,868
GF Other	224,416	235,112	10,696	344,439	109,327	120,023
Reimbursements	\$651,746	\$664,602	\$12,856	\$690,817	\$26,215	\$39,071
HCBS Waiver	495,697	509,548	13,851	535,763	26,215	40,066
1915 (i) SPA	73,767	71,313	(2,454)	71,313	0	(2,454)
Title XX Social Services	58,415	60,674	2,259	60,674	0	2,259
Title XX TANF	13,281	12,319	(962)	12,319	0	(962)
ICF-DD	6,724	6,975	251	6,975	0	251
BHT- FFS	3,862	3,773	(89)	3,773	0	(89)
Federal Funds	\$261	\$270	\$9	\$270	\$0	\$9
Early Start Grant	261	270	9	270	0	9

Purchase of Service Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
In-Home Respite						
TOTAL	\$479,824	\$461,364	(\$18,460)	\$500,967	\$39,603	\$21,143
GF	\$272,164	\$243,398	(\$28,766)	\$274,879	\$31,481	\$2,715
GF Match	168,597	177,336	8,739	185,459	8,123	16,862
GF Other	103,567	66,062	(37,505)	89,420	23,358	(14,147)
Reimbursements	\$207,584	\$217,885	\$10,301	\$226,007	\$8,122	\$18,423
HCBS Waiver	148,876	157,897	9,021	166,019	8,122	17,143
1915 (i) SPA	19,724	19,440	(284)	19,440	0	(284)
Title XX Social Services	18,993	19,656	663	19,656	0	663
Title XX TANF	19,991	20,892	901	20,892	0	901
Federal Funds	\$76	\$81	\$5	\$81	\$0	\$5
Early Start Grant	76	81	5	81	0	5
Out-of-Home Respite						
TOTAL	\$48,733	\$47,432	(\$1,301)	\$48,406	\$974	(\$327)
GF	\$26,227	\$24,910	(\$1,317)	\$25,052	\$142	(\$1,175)
GF Match	17,982	17,881	(101)	18,712	831	730
GF Other	8,245	7,029	(1,216)	6,340	(689)	(1,905)
Reimbursements	\$22,435	\$22,449	\$14	\$23,281	\$832	\$846
HCBS Waiver	16,348	16,168	(180)	17,000	832	652
1915 (i) SPA	1,634	1,714	80	1,714	0	80
Title XX Social Services	595	598	3	598	0	3
Title XX TANF	3,858	3,969	111	3,969	0	111
Federal Funds	\$71	\$73	\$2	\$73	\$0	\$2
Early Start Grant	71	73	2	73	0	2

Purchase of Service Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Health Care						
TOTAL	\$122,374	\$129,175	\$6,801	\$138,133	\$8,958	\$15,759
GF	\$101,617	\$108,998	\$7,381	\$117,336	\$8,338	\$15,719
GF Match	17,669	16,529	(1,140)	17,149	620	(520)
GF Other	83,948	92,469	8,521	100,187	7,718	16,239
Reimbursements	\$18,647	\$17,998	(\$649)	\$18,618	\$620	(\$29)
HCBS Waiver	13,276	12,052	(1,224)	12,672	620	(604)
1915 (i) SPA	4,393	4,477	84	4,477	0	84
Title XX TANF	978	1,469	491	1,469	0	491
Federal Funds	\$2,110	\$2,179	\$69	\$2,179	\$0	\$69
Early Start Grant	2,110	2,179	69	2,179	0	69
Miscellaneous						
TOTAL	\$483,474	\$498,425	\$14,951	\$522,918	\$24,493	\$39,444
GF	\$359,138	\$385,898	\$26,760	\$408,129	\$22,231	\$48,991
GF Match	63,589	56,261	(7,328)	58,534	2,273	(5,055)
GF Other	295,549	329,637	34,088	349,595	19,958	54,046
Reimbursements	\$109,372	\$97,100	(\$12,272)	\$99,373	\$2,273	(\$9,999)
HCBS Waiver	48,155	44,188	(3,967)	46,461	2,273	(1,694)
1915 (i) SPA	15,139	11,805	(3,334)	11,805	0	(3,334)
Title XX Social Services	2,844	3,054	210	3,054	0	210
Title XX TANF	30,918	28,355	(2,563)	28,355	0	(2,563)
ICF-DD	296	268	(28)	268	0	(28)
BHT - FFS	12,020	9,430	(2,590)	9,430	0	(2,590)
Federal Funds	\$12,711	\$13,174	\$463	\$13,174	\$0	\$463
Early Start Grant	12,711	13,174	463	13,174	0	463
Program Development Fund	\$2,253	\$2,253	\$0	\$2,242	(\$11)	(\$11)

Purchase of Service Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Quality Assurance Fees						
TOTAL	\$9,977	\$9,951	(\$26)	\$9,951	\$0	(\$26)
Reimbursements	\$9,977	\$9,951	(\$26)	\$9,951	\$0	(\$26)
QAF Admin Fees	4,989	4,976	(13)	4,976	0	(13)
Transfer from DHCS	4,988	4,975	(13)	4,975	0	(13)
Total Purchase of Service Funding Detail						
TOTAL	\$5,896,282	\$5,875,876	(\$20,406)	\$6,246,737	\$370,861	\$350,455
GF	\$3,469,362	\$3,468,184	(\$1,178)	\$3,746,677	\$278,493	\$277,315
GF Match	2,152,425	2,134,799	(17,626)	2,227,178	92,379	74,753
GF Other	1,316,937	1,333,385	16,448	1,519,499	186,114	202,562
Reimbursements	\$2,391,504	\$2,371,372	(\$20,132)	\$2,463,751	\$92,379	\$72,247
HCBS Waiver	1,803,643	1,795,813	(7,830)	1,888,192	92,379	84,549
1915 (i) SPA	256,984	246,971	(10,013)	246,971	0	(10,013)
Title XX Social Services	136,264	136,264	0	136,264	0	0
Title XX TANF	77,157	77,157	0	77,157	0	0
ICF-DD	61,601	61,436	(165)	61,436	0	(165)
EPSDT	29,996	30,577	581	30,577	0	581
QAF Admin Fees	4,989	4,976	(13)	4,976	0	(13)
Transfer from DHCS	4,988	4,975	(13)	4,975	0	(13)
BHT - FFS	15,882	13,203	(2,679)	13,203	0	(2,679)
Federal Funds	\$33,163	\$34,067	\$904	\$34,067	\$0	\$904
Early Start Grant	33,163	34,067	904	34,067	0	904
Program Development Fund	\$2,253	\$2,253	\$0	\$2,242	(\$11)	(\$11)

Table of Contents

SECTION H: COMMUNITY PLACEMENT PLAN

Community Placement Plan	H-1
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Community Placement Plan

DESCRIPTION:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) [e.g., Mental Health Rehabilitation Centers (MHRCs)] that are ineligible for federal financial participation (FFP), and out-of-state placements. This estimate comprises projected costs and historical experience derived from individualized assessments of community services and support needs, and reflects what is needed to place an individual from a developmental center, IMD, MHRC, or out-of-state placement into the community, in addition to deflecting an individual to avoid admission to an acute crisis facility, a mental health facility ineligible for FFP, or an out-of-state placement. It also reflects costs associated with performing individualized assessments and developing necessary community resources. CPPs are developed through negotiations with each regional center and are based on individualized planning efforts. CPP was first implemented in 2002-03.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Establish resources in the community for individuals transitioning from another environment.
- Facilitate transitions to the community from a developmental center, IMD, or out-of-state placement, as well as to deflect the admission of individuals to acute crisis units, an IMD, or out-of-state placements.
- Work with the developmental centers and families in identifying individuals for movement.
- Stabilize current community living arrangements.

Regular CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for office space, furniture, computers, travel, training, and rent.

Purchase of Services

- **Start-Up:** Start-Up funding for the development of residential and non-residential services in the community for individuals transitioning from a developmental center, IMD, or out-of-state placement.
- **Assessment:** Assessment funding for comprehensive assessments for individuals who are living in developmental centers, and planning for services when individuals move from the developmental center.
- **Placement:** Placement funds to cover costs of consumers moving into community settings based on consumer-specific information.
- **Deflection:** Deflection funding POS for residential costs of facilities developed with prior year CPP POS Start-Up funding to deflect admissions into more restrictive settings.

Community Placement Plan

ASSUMPTIONS:

- Due to the announced plans to close the Sonoma, Fairview, and Porterville General Treatment Area developmental centers, additional regional center Operations and POS funding is needed to ensure there are adequate resources available for safe and successful transitions of consumers to community living.
- Department of Health Care Services approved rates used for:
 - Intermediate Care Facilities/Developmentally Disabled – Nursing Facilities
 - Intermediate Care Facilities/Developmentally Disabled – Habilitation Facilities

FUNDING:

CPP expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services Waiver, and Money Follows the Person Grant.

EXPENDITURES:

	2018-19	2019-20
Operations		
<i>Regular</i>	\$15,265	\$15,265
<i>SDC</i>	3,616	0
<i>FDC</i>	1,212	0
<i>PDC</i>	606	0
Total Regional Center Operations	\$20,699	\$15,265
Regular Regional Center POS		
<i>Community Care Facilities</i>	19,349	18,375
<i>Medical Facilities</i>	117	111
<i>Day Programs</i>	312	296
<i>Work Activity Program</i>	5	5
<i>Transportation</i>	119	113
<i>Support Services</i>	2,156	2,047
<i>In-Home Respite</i>	5	5
<i>Out-of-Home Respite</i>	293	278
<i>Health Care</i>	817	776
<i>Miscellaneous Services</i>	29,416	30,583
Total Regular Regional Center POS	\$52,589	\$52,589
SDC POS	9,220	0
FDC POS	7,670	0
PDC POS	4,720	0
TOTAL	\$94,898	\$67,854
GF	80,032	63,276
Reimbursements	14,866	4,578

COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20
Regular CPP

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
I. OPERATIONS	\$15,265	\$15,265	\$0	\$15,265	\$0	\$0
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$27,265	\$27,265	\$0	\$27,265	\$0	\$0
B. Assessment	\$1,500	\$1,500	\$0	\$2,700	\$1,200	\$1,200
C. Placement	\$23,824	\$23,824	\$0	\$22,624	-\$1,200	-\$1,200
D. SUBTOTAL POS	\$52,589	\$52,589	\$0	\$52,589	\$0	\$0
III. TOTAL CPP	\$67,854	\$67,854	\$0	\$67,854	\$0	\$0
IV. FUND SOURCES						
A. TOTAL CPP	\$67,854	\$67,854	\$0	\$67,854	\$0	\$0
B. GF	\$46,141	\$60,060	\$13,919	\$63,276	\$3,216	\$17,135
C. Reimbursements	\$21,713	\$7,794	-\$13,919	\$4,578	-\$3,216	-\$17,135

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20**

Sonoma Developmental Center Closure

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
I. OPERATIONS	\$3,616	\$3,616	\$0	\$0	-\$3,616	-\$3,616
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$10,816	\$9,220	-\$1,596	\$0	-\$9,220	-\$10,816
D. SUBTOTAL POS	\$10,816	\$9,220	-\$1,596	\$0	-\$9,220	-\$10,816
III. TOTAL CPP	\$14,432	\$12,836	-\$1,596	\$0	-\$12,836	-\$14,432
IV. FUND SOURCES						
A. TOTAL CPP	\$14,432	\$12,836	-\$1,596	\$0	-\$12,836	-\$14,432
B. GF	\$12,439	\$9,819	-\$2,620	\$0	-\$9,819	-\$12,439
C. Reimbursements	\$1,993	\$3,017	\$1,024	\$0	-\$3,017	-\$1,993

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20**

Fairview Developmental Center Closure

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
I. OPERATIONS	\$1,212	\$1,212	\$0	\$0	-\$1,212	-\$1,212
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$8,652	\$7,670	-\$982	\$0	-\$7,670	-\$8,652
D. SUBTOTAL POS	\$8,652	\$7,670	-\$982	\$0	-\$7,670	-\$8,652
III. TOTAL CPP	\$9,864	\$8,882	-\$982	\$0	-\$8,882	-\$9,864
IV. FUND SOURCES						
A. TOTAL CPP	\$9,864	\$8,882	-\$982	\$0	-\$8,882	-\$9,864
B. GF	\$8,269	\$6,372	-\$1,897	\$0	-\$6,372	-\$8,269
C. Reimbursements	\$1,595	\$2,510	\$915	\$0	-\$2,510	-\$1,595

COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20
Porterville Developmental Center

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
I. OPERATIONS	\$606	\$606	\$0	\$0	-\$606	-\$606
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0
C. Placement	\$5,733	\$4,720	-\$1,013	\$0	-\$4,720	-\$5,733
D. SUBTOTAL POS	\$5,733	\$4,720	-\$1,013	\$0	-\$4,720	-\$5,733
III. TOTAL CPP	\$6,339	\$5,326	-\$1,013	\$0	-\$5,326	-\$6,339
IV. FUND SOURCES						
A. TOTAL CPP	\$6,339	\$5,326	-\$1,013	\$0	-\$5,326	-\$6,339
B. GF	\$5,282	\$3,781	-\$1,501	\$0	-\$3,781	-\$5,282
C. Reimbursements	\$1,057	\$1,545	\$488	\$0	-\$1,545	-\$1,057

Community Placement Plan
Purchase of Services
Summary of Costs
2018-19

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transpor- tation	Support Services	In-Home Respite	Out- of- Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,500	\$1,500
C. Placement	\$36,902	\$223	\$1,131	\$595	\$9	\$227	\$2,980	\$10	\$559	\$1,558	\$1,240	\$45,434
D. 2019-20 Governor's Budget 2018-19 TOTAL	\$36,902	\$223	\$1,131	\$595	\$9	\$227	\$2,980	\$10	\$559	\$1,558	\$30,005	\$74,199
E. Enacted Budget 2018-19 TOTAL	\$34,866	\$5	\$3,271	\$1,201	\$5	\$437	\$4,154	\$327	\$255	\$2,857	\$30,413	\$77,791
F. DIFFERENCE	\$2,036	\$218	-\$2,140	-\$606	\$4	-\$210	-\$1,174	-\$317	\$304	-\$1,299	-\$408	-\$3,592

a/ Deflection is defined as Placement POS for first year of operational residential costs of facilities developed with CPP Start-Up to deflect admission to a more restrictive setting.

b/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Summary of Costs
2019-20

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transpor- tation	Support Services	In-Home Respite	Out- of- Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,700	\$2,700
C. Placement	\$18,375	\$111	\$563	\$296	\$5	\$113	\$1,484	\$5	\$278	\$776	\$618	\$22,624
D. 2019-20 Governor's Budget 2019-20 TOTAL	\$18,375	\$111	\$563	\$296	\$5	\$113	\$1,484	\$5	\$278	\$776	\$30,583	\$52,589
E. Enacted Budget 2018-19 TOTAL	\$34,866	\$5	\$3,271	\$1,201	\$5	\$437	\$4,154	\$327	\$255	\$2,857	\$30,413	\$77,791
F. DIFFERENCE	-\$16,491	\$106	-\$2,708	-\$905	\$0	-\$324	-\$2,670	-\$322	\$23	-\$2,081	\$170	-\$25,202

a/ Deflection is defined as Placement POS for first year of operational residential costs of facilities developed with CPP Start-Up to deflect admission to a more restrictive setting.

b/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2018-19

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$36,902	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$45	\$36,947
● Intermediate Care Facilities (ICF)	\$0	\$223	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$223
● Supported Living	\$0	\$0	\$1,131	\$595	\$9	\$0	\$0	\$227	\$0	\$0	\$559	\$1,558	\$1,195	\$5,274
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,980	\$10	\$0	\$0	\$0	\$2,990
TOTAL	\$36,902	\$223	\$1,131	\$595	\$9	\$0	\$0	\$227	\$2,980	\$10	\$559	\$1,558	\$1,240	\$45,434

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2019-20

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$18,375	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$23	\$18,398
● Intermediate Care Facilities (ICF)	\$0	\$111	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$111
● Supported Living	\$0	\$0	\$563	\$296	\$5	\$0	\$0	\$113	\$0	\$0	\$278	\$776	\$595	\$2,626
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,484	\$5	\$0	\$0	\$0	\$1,489
TOTAL	\$18,375	\$111	\$563	\$296	\$5	\$0	\$0	\$113	\$1,484	\$5	\$278	\$776	\$618	\$22,624

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Values in thousands (000's)

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General Fund

DESCRIPTION:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

ASSUMPTIONS/METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>2018-19</u>	<u>2019-20</u>
• GF Match:		\$2,500,444	\$2,642,092
This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Services (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally-recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:			
	FMAP % of GF Match		
<i>Home and Community-Based Services (HCBS) Waiver</i>	50.00%	1,880,106	1,999,670
<i>HCBS Waiver Administration</i>	50.00%	15,211	19,396
<i>Medicaid Administration</i>	25.00%	5,145	6,274
<i>Targeted Case Management (TCM)</i>	50.00%	234,554	241,690
<i>TCM Administration</i>	50.00%	8,137	8,137
<i>Intermediate Care Facility/Developmentally Disabled</i>	50.00%	61,435	61,435
<i>Quality Assurance Fees (Operations) Portion only*</i>	50.00%	921	921
<i>1915 (i) State Plan Amendment</i>	50.00%	263,821	273,993
<i>Early Periodic Screening Diagnosis and Treatment</i>	50.00%	31,114	30,576
• GF Other:		\$1,587,425	\$1,808,085
These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, or Federal Funds.			
• TOTAL EXPENDITURES		\$4,087,869	\$4,450,177

General Fund**FUNDING:**

These amounts are reflected in the Operations and Purchase of Services methodology pages.

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$4,087,869	\$4,450,177
GF	\$4,087,869	\$4,450,177
GF Match	2,500,444	2,642,092
GF Other	1,587,425	1,808,085

* For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget.

Reimbursements
Summary of Reimbursements and General Fund (GF) Match

	Enacted Budget	Updated 2018-19	2019-20
A. Home and Community-Based Services (HCBS) Waiver	\$3,803,561	\$3,760,213	\$3,999,341
1. Reimbursement (from DHCS)	1,901,546	1,880,107	1,999,671
2. DDS GF Match (Purchase of Services)	1,902,015	1,880,106	1,999,670
B. HCBS Waiver Administration	\$29,391	\$30,423	\$38,792
1. Reimbursement (from DHCS) = 50%	14,696	15,212	19,396
2. DDS GF Match = 50% (Operations)	14,695	15,211	19,396
C. Medicaid Administration	\$21,509	\$20,581	\$25,098
1. Reimbursement (from DHCS) = 75%	16,132	15,436	18,824
2. DDS GF Match = 25% (Operations)	5,377	5,145	6,274
D. Targeted Case Management (TCM)	\$421,468	\$469,107	\$483,379
1. Reimbursement (from DHCS) = 50%	210,734	234,553	241,689
2. DDS GF Match = CY 50% and BY 50% (Operations)	210,734	234,554	241,690
E. Targeted Case Management Administration	\$14,754	\$16,274	\$16,274
1. Reimbursement (from DHCS) = 50%	7,377	8,137	8,137
2. DDS GF Match = 50% (Operations)	7,377	8,137	8,137
F. Title XX Block Grant	\$213,421	\$213,421	\$213,421
1a. Social Services (from DSS) = 100%	136,264	136,264	136,264
1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100% (Purchase of Services)	77,157	77,157	77,157
G. Intermediate Care Facility-Developmentally Disabled (ICF-DD)	\$123,200	\$122,871	\$122,871
1. Reimbursement (from DHCS) = 50%	61,600	61,436	61,436
2. DDS GF Match = 50% (Purchase of Services)	61,600	61,435	61,435
H. Quality Assurance Admin Fees (Transfer from DHCS) ^{b/}	\$11,825	\$11,794	\$11,794
1a. Operations:	1,848	1,843	1,843
Reimbursements from DHCS = 50%	924	922	922
DDS GF Match = 50%	924	921	921
1b. Purchase of Services:	9,977	9,951	9,951
Reimbursements from DHCS = 100%	9,977	9,951	9,951
I. 1915(i) State Plan Amendment	\$558,372	\$527,640	\$547,984
1. Reimbursement (from DHCS)	279,188	263,819	273,991
2. DDS GF Match (Purchase of Services)	279,184	263,821	273,993
J. Impacts from Other Departments BHT-FFS	\$15,882	\$13,203	\$13,203
1. Reimbursement (from DHCS) = 100% (Purchase of Services)	15,882	13,203	13,203
K. Early Periodic Screening Diagnosis and Treatment	\$59,992	\$62,229	\$61,153
1. Reimbursement (from DHCS) = 50%	29,996	31,115	30,577
2. DDS GF Match = 50% (Purchase of Services)	29,996	31,114	30,576
L. TOTAL	\$5,273,375	\$5,247,756	\$5,533,310
1. Reimbursements	\$2,761,473	\$2,747,312	\$2,891,218
2. Total GF Match	\$2,511,902	\$2,500,444	\$2,642,092

Values in thousands (000's)

Home and Community-Based Waiver

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for the developmentally disabled.

ASSUMPTIONS:

Data Source: Medicaid Waiver Total Billed Dollar Amounts and Client Counts report, based on fiscal year 2017-18.

METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
Base: 2018-19	\$3,496,457	\$3,691,173
Total estimated expenditures based on billing data for the period July 2017 - June 2018.	3,496,457	
• Total estimated prior-year HCBS Waiver expenditures		3,760,213
• Less Competitive, Integrated Employment Incentives		-15,840
• Less Bridge Funding		-29,184
• Less Home Health Rate Increase		-20,176
• Less Compliance with HCBS Regulations		-7,440
• Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facility.		3,600
• Annual Growth, New regional center consumers:	57,480	54,747
• 2018-19 Annual Growth	26,868	
Add annual growth costs in 2018-19 for 3,098 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,400 monthly cost per consumer, phased-in.		
• 2018-19 Annual Growth Continuation costs for regional center consumers added in 2017-18.	30,612	
• 2019-20 Annual Growth		29,565
Add annual costs in 2019-20 for 3,246 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,400 monthly cost per consumer, phased-in.		
• 2019-20 Annual Growth, Continuation costs for regional center consumers added in 2018-19.		25,182

Home and Community-Based Waiver

METHODOLOGY (continued):	<u>2018-19</u>	<u>2019-20</u>
• Community Placement Program (CPP):		
• 2018-19		
Add CPP & HCBS Waiver costs in 2018-19 for 218 consumers in the regional center system with \$21,000 monthly cost per consumer, phased-in.	15,589	
• 2019-20		
Add CPP & HCBS Waiver costs in 2019-20 for 70 consumers in the regional center system with \$21,000 monthly cost per consumer, phased-in.		9,156
• Placement Continuation:		
• 2018-19		
The annual estimated Placement Continuation costs for 202 HCBS Waiver-eligible consumers in each living arrangement with \$21,000 monthly cost per consumer, phased-in.	25,704	
• 2019-20		
The annual estimated Placement Continuation costs for 218 HCBS Waiver-eligible consumers in each living arrangement with \$21,000 monthly cost per consumer, phased-in.		25,156
• SSI/SSP Increase/Regional center savings in Community Care Facilities	-3,600	-3,849
• Developmental center closure - CPP	14,141	0
• ABX2 1 Competitive, Integrated Employment Incentives	15,840	15,840
• Bridge Funding	29,184	0
• Uniform Holiday Schedule	28,382	0

Home and Community-Based Waiver

METHODOLOGY (continued):	<u>2018-19</u>	<u>2019-20</u>
• Home Health Rate Increase	20,176	22,088
• Compliance with HCBS Regulations	7,440	7,440
• SB 3 - Minimum Wage Increase, January 1, 2019	53,420	112,782
• SB 3 - Minimum Wage Increase, January 1, 2020	0	64,808

FUNDING:

The expenditures of HCBS Waiver reimbursements are in all of the Purchase of Services budget categories, except Medical Facilities.

EXPENDITURES:	<u>2018-19</u>	<u>2019-20</u>
Total	3,760,213	3,999,341
GF Match	1,880,106	1,999,670
FFP	1,880,107	1,999,671

Home and Community-Based Waiver

Estimated Distribution in Purchase of Services

EXPENDITURES:		% of Actual 2017-18		
			<u>Expenditures by Budget Category</u>	
			<u>2018-19</u>	<u>2019-20</u>
Total Estimated HCBS Waiver-Billable Expenditures			\$3,760,213	\$3,999,341
General Fund (GF) Match			1,880,106	1,999,670
Federal financial participation (FFP)			1,880,107	1,999,671
Purchase of Services: Budget Categories				
Community Care Facilities			1,200,574	1,262,331
	GF Match	33.43%	600,287	631,166
	FFP		600,287	631,165
Day Programs			612,581	644,092
	GF Match	17.06%	306,291	322,046
	FFP		306,290	322,046
Work Activity Program			28,030	29,472
	GF Match	0.78%	14,015	14,736
	FFP		14,015	14,736
Supported Employment Placement (SEP) - Group			32,378	34,044
	GF Match	0.90%	16,189	17,022
	FFP		16,189	17,022
SEP - Individual			6,702	7,046
	GF Match		3,351	3,523
	FFP	0.19%	3,351	3,523
Transportation			231,653	243,569
	GF Match	6.45%	115,826	121,784
	FFP		115,827	121,785
Support Services			1,019,103	1,071,526
	GF Match	28.37%	509,551	535,763
	FFP		509,552	535,763
In-Home Respite			315,793	332,038
	GF Match	8.79%	157,896	166,019
	FFP		157,897	166,019
Out-of-Home Respite			32,336	33,999
	GF Match	0.90%	16,168	16,999
	FFP		16,168	17,000

Values in thousands (000's)

Home and Community-Based Waiver

Estimated Distribution in Purchase of Services

EXPENDITURES (continued):		% of Actual 2017-18		
			<u>Expenditures by Budget Category</u>	
			<u>2018-19</u>	<u>2019-20</u>
Health Care			24,104	25,344
	GF Match	0.67%	12,052	12,672
	FFP		12,052	12,672
Miscellaneous			88,376	92,922
	GF Match	2.46%	44,188	46,461
	FFP		44,188	46,461
Polices				
ABX2 1 - Competitive, Integrated Employment Incentives			15,840	15,840
	GF Match		7,920	7,920
	FFP		7,920	7,920
DC Closure - CPP			14,141	0
	GF Match		7,071	0
	FFP		7,070	0
Bridge Funding			29,184	0
	GF Match		14,592	0
	FFP		14,592	0
Compliance with HCBS Regulations			7,440	7,440
	GF Match		3,720	3,720
	FFP		3,720	3,720
Uniform Holiday			28,382	0
	GF Match		14,191	0
	FFP		14,191	0
Home Health Rate Increase			20,176	22,088
	GF Match		10,088	11,044
	FFP		10,088	11,044
SB 3 - Minimum Wage Increase, January 1, 2019			53,420	112,782
	GF Match		26,710	56,391
	FFP		26,710	56,391
SB 3 - Minimum Wage Increase, January 1, 2020			0	64,808
	GF Match		0	32,404
	FFP		0	32,404

Values in thousands (000's)

Home and Community-Based Services Waiver Administration

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

ASSUMPTIONS/METHODOLOGY:

Training for Direct Support Professional Staff:

Welfare and Institutions Code Sections 4695.2, Statutes of 1998, mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program is conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). This estimate of Operations costs covers the costs of challenge tests and training through the IA with CDE.

	<u>2018-19</u>	<u>2019-20</u>
Training for DSP Staff	\$2,658	\$2,658

- Total cost for DSP Training is \$3,037.
- 87.53% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 87.53% of the cost are eligible for federal financial participation (FFP).

These costs are reflected under Operations, Projects.

Collection of FFP for Contracted Services:

Regional center staff renegotiate contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options, and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	757	757
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- Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893.
- 40% of the costs are eligible for FFP.

These costs are reflected under Operations, Staffing.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

FFP Enhancement (related to 2003-04 Enhancing FFP Budget Change Proposal)	<u>2018-19</u>	<u>2019-20</u>
	12,920	12,920

- Total regional center administrative cost for 2018-19 and 2019-20 is \$12,920.
- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

2007-08 Legislative Augmentation: Resources for Health Care Community Specialist	1,075	1,075
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- Total cost for Resources for Health Care Community Specialist is \$1,097.
 - 98% of Agnews and Lanterman consumers are HCBS Waiver eligible, therefore it is assumed that 98% of these cost are eligible for FFP.
- These costs are reflected under Operations, Agnews Ongoing Workload and Lanterman Development Closure.

Compliance with HCBS Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:	1,422	1,422
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- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in 2018-19 and 2019-20.
 - 100% of the costs are eligible for FFP.
- These costs are reflected under Operations, Operations Policies Items.

Home and Community-Based Services Waiver Administration**ASSUMPTIONS/METHODOLOGY (continued):**

	<u>2018-19</u>	<u>2019-20</u>
Federal Medicaid Requirements for Regional Center HCBS Services	984	984
<ul style="list-style-type: none"> • Total cost: \$984 • 100% of cost are eligible for FFP. <p>These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.</p>		
Office of Administrative Hearings:	1,541	1,622
<ul style="list-style-type: none"> • Total cost for 2018-19 is \$3,350 and \$3,525 for 2019-20 • 46% of cost are eligible for FFP. <p>These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects.</p>		
Clients Rights Advocacy	3,274	3,436
<ul style="list-style-type: none"> • Total costs for 2018-19 is \$7,118 and \$7,470 for 2019-20. • 46% of cost are eligible for FFP. <p>These costs are reflected in the Clients Rights Advocacy estimate under Operations, Projects.</p>		
Quality Assessment Contract:	1,854	2,070
<ul style="list-style-type: none"> • Total cost for 2018-19 is \$4,030 and \$4,500 for 2019-20. • 46% of cost are eligible for FFP <p>These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects.</p>		
Special Incident Reporting/Risk Assessment:	552	552
<ul style="list-style-type: none"> • Total cost for 2018-19 and 2019-20 is \$1,200. • 46% of cost are eligible for FFP. <p>These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects.</p>		
Regional Center Salary Increase:		
<ul style="list-style-type: none"> • Total cost for 2018-19 and 2019-20 is \$722. • 100% of cost are eligible for FFP. <p>These costs are reflected under Operations, Operations Policies Items.</p>	722	722

Home and Community-Based Services Waiver Administration**ASSUMPTIONS/METHODOLOGY (continued):**

	<u>2018-19</u>	<u>2019-20</u>
Resources to Implement Assembly Bill X2 1	2,664	2,664
<ul style="list-style-type: none"> • Total cost for 2018-19 and 2019-20 is \$2,664. • 100% of cost are eligible for FFP. These costs are reflected under Operations, Operations Policies Items.		
Developmental Center Closure/Ongoing Workload	0	3,860
<ul style="list-style-type: none"> • Total cost for 2019-20 is \$3,860,000 • 100% of cost are eligible for FFP. These costs are reflected under Operations, DC Closure Ongoing Workload		
Specialized Caseload Ratios		
<ul style="list-style-type: none"> • Total cost for 2019-20 is \$1,650,000 • 100% of cost are eligible for FFP. These costs are reflected under Operations, Operations Policies Items.	0	1,650
Specialized Home Monitoring		
<ul style="list-style-type: none"> • Total cost for 2019-20 is \$2,400,000 • 100% of cost are eligible for FFP. These costs are reflected under Operations, Operations Policies Items.	0	2,400
• TOTAL EXPENDITURES	\$30,423	\$38,792

FUNDING:

Funding for HCBS Waiver Administration reimbursement is 50% FFP and 50% General Fund (GF).

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$30,423	\$38,792
<i>GF Match</i>	15,212	19,396
<i>FFP</i>	15,212	19,396

Medicaid Administration

DESCRIPTION:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statue of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Agnews Ongoing Workload (AOW) and Lanterman Development Center Closure (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Consistent with the passage of Assembly Bill 1378 (Chapter 538, Statue of 2005), AOW employees provide clinical support services to monitor the health and well-being of Agnews residents who transitioned into the community consistent with the Department's Interagency Agreement for MA with the Department of Health Care Services.

Nurse consultants monitor consumer health care plans and health care for Agnews and Lanterman residents living in SB 962 homes. Eleven dental coordinators promote and coordinate dental resources for Agnews and Lanterman residents that have moved into the community.

State employees in the community may participate in all MA activities, including clinical support teams, SB 1038 health reviews, and compliance with HCBS Waiver requirements. (AOW only)

Medicaid Administration**ASSUMPTIONS/METHODOLOGY:**

	<u>2018-19</u>	<u>2019-20</u>
• Costs for Clinical Support Teams and SB 1038 Health Reviews are based on 2016-17 data collected from the regional centers.	\$14,224	\$14,224
• The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75%.	10,668	10,668
• Staffing for Compliance with HCBS Waiver Requirements		
• Total personal services and operating costs related to MA. 100% of these costs are eligible for MA because related staff will be working only with HCBS Waiver consumers.	2,600	2,600
• The FFP portion of total MA-eligible costs is 75%.	1,950	1,950
• Agnews Ongoing Workload and Lanterman Developmental Closure		
• Nurse Consultants		
• Total personal services and operating costs related to MA. Consistent with the Community Placement Plan (CPP) assumptions, 98% of these costs are eligible for MA.	1,214	1,214
• The FFP portion of total MA-eligible costs is 75%.	911	911
• State Employees in the Community		
• Total personal services and operating costs related to MA. Consistent with CPP assumptions, 98% of these costs are eligible for MA.	509	509
• The FFP portion of total MA-eligible costs is 75%.	382	382
• Dental Coordinators		
• Total personal services and operating costs related to MA. Consistent with CPP assumptions, 98% of these costs are eligible for MA.	524	524
• The FFP portion of total MA-Eligible costs is 75%.	393	393

Medicaid Administration**ASSUMPTIONS/METHODOLOGY (continued):**

	<u>2018-19</u>	<u>2019-20</u>
• Regional Center Salary Increase		
• Increased funding for regional center staff and Operations.	1,510	1,510
• It is assumed that 100% of these costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	1,132	1,132
• DC Closure Ongoing Workload		
• Total personal services, operating and placement continuation costs related to MA.	0	3,292
• It is assumed that 100% of these costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	0	2,469
• Specialized Home Monitoring		
• Funding for Nurses and Behavioral Specialist related to MA.	0	725
• It is assumed that 100% of these costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	0	544
• Specialized Caseload Ratios		
• Funding for Service Coordinator Salary	0	500
• It is assumed that 100% of these costs are eligible for MA.		
• The FFP portion of MA-eligible costs is 75%.	0	375

FUNDING:

The MA reimbursement is 75% FFP and 25% General Fund (GF). These MA funds are reflected in Operations section.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$20,581	\$25,098
GF Match	5,145	6,274
FFP	15,436	18,824

Targeted Case Management

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 219,000 Medi-Cal eligible persons in the regional center system as of September 07, 2018. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This program provides federal financial participation (FFP) for most of regional center case managers time spent on Medi-Cal eligible activities.

ASSUMPTIONS:

Source data is from the TCM Regional Center Billed Units report dated September 07, 2018 for the period of June 2017- June 2018.

	<u>2018-19</u>	<u>2019-20</u>
METHODOLOGY:		
<ul style="list-style-type: none"> Base: TCM expenditures are based on actual TCM billable units for a 12-month period (June 2017 - June 2018 [excludes May 2018]) multiplied by the regional center TCM rates effective July 1, 2018. 	\$434,682	\$448,954
<ul style="list-style-type: none"> Improve Service Coordinator Caseload Ratios 	8,000	8,000
<ul style="list-style-type: none"> Regional Center Salary Increases 	26,014	26,014
<ul style="list-style-type: none"> Psychological Evaluations for BHT- Fee for service 	411	411
Total Expenditures:	\$469,107	\$483,379

FUNDING:

Funding for TCM reimbursement is 50% FFP and 50% General Fund (GF). TCM funds are reflected in the Core Staffing, Federal Compliance, and Operations Policy Items estimates, in the Operations section.

	<u>2018-19</u>	<u>2019-20</u>
Total	\$469,107	\$483,379
<i>GF Match</i>	<i>234,554</i>	<i>241,690</i>
<i>FFP</i>	<i>234,553</i>	<i>241,689</i>

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services for specific client groups. There are approximately 219,000 Medi-Cal eligible persons in the regional center system as of September 7, 2018. Federal legislation enacted in 1986 defined case management services as those which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the regional center case manager's time spent on Medi-Cal eligible developmentally disabled persons and the cost of providing administrative support to the case management program are both eligible for federal financial participation (FFP). These TCM Administration funds are for the proper and efficient administration of the TCM program. Department headquarters staff work a certain percentage of their workload administering the TCM program, which is reimbursed to allocate salaries, wages, and benefits.

KEY DATA/ASSUMPTIONS:

Source of Data: The Departments Fiscal Systems - California State Accounting and Reporting System.

	<u>2018-19</u>	<u>2019-20</u>
METHODOLOGY:		
Utilizing a time survey, the Department gathers records of time spent by the Departments headquarter personnel providing administrative case management assistance to the regional centers. These surveys are used to allocate headquarters salaries, wages, and benefits, as well as a portion of the Department's statewide cost allocation, to administrative case management support.	\$16,274	\$16,274

FUNDING:

Funding for the TCM Administration reimbursement is 50% FFP and 50% General Fund (GF). TCM Administration funds are reflected in the Core Staffing estimate under Operations.

EXPENDITURES:	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$16,274	\$16,274
<i>GF Match</i>	<i>8,137</i>	<i>8,137</i>
<i>FFP</i>	<i>8,137</i>	<i>8,137</i>

Title XX Block Grant

DESCRIPTION:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200% of the official income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

KEY DATA/ASSUMPTIONS:

The Department's portion of the Title XX Block Grant is determined by DSS. There is no state matching requirements for these funds.

	<u>2018-19</u>	<u>2019-20</u>
Total	\$213,421	\$213,421
Social Services	136,264	136,264
TANF	77,157	77,157

Title XX Block Grant**FUNDING:**

The Title XX Block Grant amount is determined by the DSS.

EXPENDITURES:	<u>2018-19</u>	<u>2019-20</u>
Total Title XX Block Grant -	\$213,421	\$213,421
Social Services		
Estimated Distribution in	\$136,264	\$136,264
Regional Center Purchases of Services		
<i>Day Programs</i>	43,956	43,956
<i>Transportation</i>	8,326	8,326
<i>Support Services</i>	60,674	60,674
<i>In-Home Respite</i>	19,656	19,656
<i>Out-of-Home Respite</i>	598	598
<i>Miscellaneous</i>	3,054	3,054
TANF		
Estimated Distribution in	\$77,157	\$77,157
Regional Center Purchases of Services		
<i>Community Care Facilities</i>	9,463	9,463
<i>Day Programs</i>	76	76
<i>Transportation</i>	614	614
<i>Support Services</i>	12,319	12,319
<i>In-Home Respite</i>	20,892	20,892
<i>Out-of-Home Respite</i>	3,969	3,969
<i>Healthcare</i>	1,469	1,469
<i>Miscellaneous</i>	28,355	28,355

Intermediate Care Facility - Developmentally Disabled State Plan Amendment

DESCRIPTION:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Program and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011.

IMPLEMENTATION DATE:

The SPA was approved April 14, 2011, retroactive to July 1, 2007.

ASSUMPTIONS/METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from 2017-18.

FUNDING:

Funding for ICF-DD is 50% FFP and 50% General Fund (GF) for Day Treatment and Transportation Services for consumers residing in an ICF-DD. It is assumed that 61% of this funding is for Day Programs, 26% is for Transportation services, 11% is for Support Services, 1% is for Habilitation Services, and the remaining 1% is for Miscellaneous. Please refer to the corresponding Purchase of Service Methodology for additional information.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$122,871	\$122,871
GF Match	61,435	61,435
FFP	61,436	61,436

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional center billing on behalf of the ICF-DD, administrative costs for the ICF-DD, and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- Source of Data: State Claims Purchase of Services (POS) Claims data file, actuals based on 2017-18.
- ICF-DD Administrative Costs and QAF are set by DHCS.
- Regional center administration costs are 1.5% of Day Programs and Transportation expenditures.
- ICF-DD Administration costs are 1.5% of the cost of Day Program and Transportation expenditures for both the regional center and ICF-DD.
- POS costs for Day Program and Transportation total \$122.9 million. QAF is \$9.9 million.
- 2018-19 and 2019-20: Total billing costs are \$1.8 million for regional center administration, \$1.9 million for ICF-DD administration, and \$8.0 million QAF.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$11,794	\$11,794
Operations	1,843	1,843
General Fund (GF)	921	921
GF Match	921	921
Reimbursements		
Federal Financial Participation (FFP) QAF	922	922
POS	9,951	9,951
QAF Admin Fees (FFP)	4,976	4,976
Transfer from DHCS	4,975	4,975

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs

FUNDING:

Funding for regional center Administration fees is 50% FFP and 50% GF and are reflected in ICF-DD Administration Fee in Operations. QAF is 100% FFP with the GF Match in DHCS' Budget, and are reflected in Quality Assurance Fees - POS.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$11,794	\$11,794
<i>GF Match</i>	921	921
<i>FFP</i>	10,873	10,873

1915 (i) State Plan Amendment

DESCRIPTION:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915 (i) State Plan Amendment (SPA) to Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915 (i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

ASSUMPTIONS:

Expenditure Data Source: Updated Purchase of Services (POS) billed expenditures, for the period July 2017 through June 2018.

METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
• Base: Updated actual 2017-18 expenditures were used to develop the 2018-19 base. For 2019-20, the prior year estimate for base and growth, with the following adjustments, was used as the base.	\$527,640	\$547,984
• Updated Costs without Community Placement Plan	493,944	493,944
• ABX2 1 - Competitive, Integrated Employment Incentives	2,160	2,160
• Home Health Rate Increase	2,090	2,288
• Uniform Holiday	9,826	0
• Compliance with Home and Community-Based Services (HCBS) Regulations	560	560
• Purchase of Services SB3 Minimum Wage, 1/1/2019	15,298	31,138
• Purchase of Services SB3 Minimum Wage, 1/1/2020	0	17,894
• Bridge Funding	3,762	0
• Total Expenditures:	\$527,640	\$547,984

FUNDING:

The 1915(i) SPA funds a broad array of POS costs for eligible individuals in all of the POS budget categories except for Medical Facilities. 1915(i) SPA expenditures are funded by federal financial participation (FFP) determined by the Federal Medical Assistance Percentage of 50% and 50% General Fund (GF).

1915 (i) State Plan Amendment**EXPENDITURES:**

		<u>2018-19</u>	<u>2019-20</u>
	Total	\$527,640	\$547,984
	GF Match	263,821	273,993
	FFP	263,819	273,991
% of Expenditures by Budget Category 2018-19 & 2019-20			
Community Care Facilities	22.02%	108,748	108,748
<i>GF Match</i>		54,374	54,375
<i>FFP</i>		54,374	54,373
Day Programs	24.84%	122,683	122,683
<i>GF Match</i>		61,342	61,341
<i>FFP</i>		61,341	61,342
Habilitation	4.40%	21,724	21,724
<i>GF Match</i>		10,863	10,863
<i>FFP</i>		10,861	10,861
Transportation	4.72%	23,293	23,293
<i>GF Match</i>		11,647	11,647
<i>FFP</i>		11,646	11,646
Support Services	28.87%	142,625	142,625
<i>GF Match</i>		71,312	71,312
<i>FFP</i>		71,313	71,313
In-Home Respite	7.87%	38,880	38,880
<i>GF Match</i>		19,440	19,440
<i>FFP</i>		19,440	19,440
Out-of-Home Respite	0.69%	3,427	3,427
<i>GF Match</i>		1,713	1,713
<i>FFP</i>		1,714	1,714
Health Care	1.81%	8,954	8,954
<i>GF Match</i>		4,477	4,477
<i>FFP</i>		4,477	4,477
Miscellaneous	4.78%	23,610	23,610
<i>GF Match</i>		11,805	11,805
<i>FFP</i>		11,805	11,805

Values in thousands (000's)

1915 (i) State Plan Amendment

EXPENDITURES (Continued):	<u>2018-19</u>	<u>2019-20</u>
ABX2 1 - Competitive, Integrated Employment		
Incentives	2,160	2,160
<i>GF Match</i>	1,080	1,080
<i>FFP</i>	1,080	1,080
Home Health Rate Increase	2,090	2,288
<i>GF Match</i>	1,045	1,144
<i>FFP</i>	1,045	1,144
Bridge Funding	3,762	0
<i>GF Match</i>	1,881	0
<i>FFP</i>	1,881	0
Uniform Holiday	9,826	0
<i>GF Match</i>	4,913	0
<i>FFP</i>	4,913	0
Compliance with HCBS Regulations	560	560
<i>GF Match</i>	280	280
<i>FFP</i>	280	280
SB 3 Min Wage increase, 01/01/19	15,298	31,138
<i>GF Match</i>	7,649	15,569
<i>FFP</i>	7,649	15,569
SB 3 Min Wage increase, 01/01/20	0	17,894
<i>GF Match</i>	0	8,947
<i>FFP</i>	0	8,947

Values in thousands (000's)

Early Periodic Screening Diagnosis and Treatment

DESCRIPTION:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age 3 that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

ASSUMPTIONS:

The estimate is based on actual billed expenditures from July 2017 through June 2018. EPSDT funding is only in the Day Program budget category.

FUNDING:

Funding for EPSDT services is 50% federal financial participation (FFP) and 50% General Fund (GF).

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$62,229	\$61,153
<i>GF Match</i>	<i>31,114</i>	<i>30,576</i>
<i>FFP</i>	<i>31,115</i>	<i>30,577</i>

Department of Health Care Services-Behavioral Health Treatment Fee-for-Service

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21. BHT services are effective retroactively to July 1, 2014.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit for children with a diagnosis of Autism Spectrum Disorder (ASD). Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the proposed Medi-Cal benefit. Those receiving BHT services through the Department before September 15, 2014, transitioned to DHCS between February and October 2016.

Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation. However, CMS determined Medi-Cal must cover BHT services for all children whose services have been determined to be medically necessary, regardless of their ASD diagnosis. As a result, effective March 1, 2018, the Department transitioned these children to DHCS on a Fee-for-Service (FFS) basis.

The estimate reflects savings from BHT FFS consumers who transitioned to DHCS beginning February 1, 2016 and those who transitioned beginning March 1, 2018. These children will continue to receive services through the regional centers, and DHCS will reimburse the Department for the related expenditures.

ASSUMPTIONS:

Reimbursements were estimated based on FFS consumers who transitioned beginning February 1, 2016 and March 1, 2018. Full year costs for these consumers were estimated based on actual 2017-18 data. Of the savings from BHT FFS, an estimated 71.4% is attributed to Miscellaneous Services while 28.6% is attributed to Support Services.

FUNDING:

Expenditures are reimbursed from DHCS.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$13,203	\$13,203
<i>Reimbursements</i>	<i>13,203</i>	<i>13,203</i>

Program Developmental Fund/Parental Fees

DESCRIPTION:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400% of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code Sections 4677, 4782, and 4785).

ASSUMPTIONS/METHODOLOGY:

Deposits into the Program Development Fund are based on historical revenue averages.

FUNDING:

Program Development Fund expenditures are reflected in the Purchase of Services, Miscellaneous Services Expenditure category.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$2,253	\$2,242

Developmental Disabilities Services Account

DESCRIPTION:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

ASSUMPTIONS/METHODOLOGY:

Based on historical and current data, the Department expects to receive housing proposals with application fees totaling \$150,000.

FUNDING:

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$150	\$150

Mental Health Services Fund

DESCRIPTION:

Initiative Statute Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1 million to provide funds to counties to expand services and develop innovative programs and integrated service plans for mentally ill children, adults, and seniors. Consistent with the requirements of the MHSA, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness).

ASSUMPTIONS/METHODOLOGY:

Funding is used to ensure ongoing statewide technical assistance to promote interagency collaboration regarding access to mental health services and improve clinical capacity and effectiveness of direct services. Specifically, regional centers develop innovative projects, which focus on prevention, early intervention, and treatment for children and adult consumers with mental health diagnoses, and provide support for families.

FUNDING:

These funds are a separate fund source and do not require General Fund match. These funds are reflected in the Increased Access to Mental Health Services estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$740	\$740

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

DESCRIPTION:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, Sections 303.1 through 303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, Sections 52000 through 52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), Sections 95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers. This was formerly called Prevention Program in prior estimates.

KEY DATA/ASSUMPTIONS:

Annual grant amounts are determined by the federal Office of Special Education Programs (OSEP). The Department received a grant award letter dated June 29, 2018, for federal fiscal year 2018.

METHODOLOGY:

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) regional center Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

METHODOLOGY: (Continued):

	<u>2018-19</u>	<u>2019-20</u>
• Other Agencies	\$19,109	\$19,109
• CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation.		
Local Education Agencies	14,245	14,245
Support	355	355
• System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings, and collaboration with the Department of Health Care Services-Children Medical Services.	1,850	1,850
• Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC 95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC 95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning.	4,662	4,662
Family Resources Center: Federal Funds grant amount	2,659	2,659
Family Resources Services: 100% General Fund	2,003	2,003

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

METHODOLOGY (Continued):

	<u>2018-19</u>	<u>2019-20</u>
• Regional Center POS	34,067	34,067
The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in 2016-17 by budget category.		
Day Programs	18,290	18,290
Support Services	270	270
In-Home Respite	81	81
Out-of-Home Respite	73	73
Health Care	2,179	2,179
Miscellaneous Services	13,174	13,174
• Total Expenditures	\$53,176	\$53,176

FUNDING:

The annual Part C Grant is independently determined by OSEP. It is 100% federal funds and requires a Maintenance of Effort from the State. Family Resources Services are 100% General Fund (GF).

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Total	\$55,179	\$55,179
<i>Grant</i>	53,176	53,176
<i>GF</i>	2,003	2,003

Foster Grandparent Program

DESCRIPTION:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women age 55 and older, the opportunity to serve their community by sharing their time and attention with children under the age of 22 who have developmental disabilities. Foster grandparents volunteer in community schools, developmental centers, Head Start centers, foster homes, and pre-schools (see Regional Center Operations, Projects, for more detail on the total regional center costs for this program).

ASSUMPTIONS/METHODOLOGY:

The FGP grant is used as a fund source for specified regional center Operations costs in six regional centers: Valley Mountain, Tri-Counties, Kern, Central Valley, San Andreas, and San Gabriel. The annual funding is calculated based on each of the participating regional centers' costs for Personal Service and Operating Expense.

FUNDING:

Federal funds are reflected in the Foster Grandparent/Senior Companion Programs estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
Grant Amount:	\$1,100	\$1,100

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Impacts from Other Departments Behavioral Health Treatment Consumers with No ASD Diagnosis Transition Effective March 1, 2018 & July 1, 2018

DESCRIPTION:

The Department of Health Care Services (DHCS) obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include Behavioral Health Treatment (BHT) as a Medi-Cal benefit for consumers under 21 years old with a diagnosis of Autism Spectrum Disorder (ASD). Between February and October 2016, children with an ASD diagnosis transitioned to DHCS for BHT services. Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation (FFP) under the 1915(i) State Plan Amendment (SPA) or the Home and Community-Based Services (HCBS) Waiver. However, CMS determined Medi-Cal must cover medically necessary BHT services for all children, regardless of their diagnoses. Therefore, beginning October 1, 2016, FFP was no longer available under the Department's 1915(i) SPA or HCBS Waivers for BHT services provided to children without an ASD diagnosis.

Effective March 1, 2018, the Department transitioned payment of BHT services for children with fee-for-service Medi-Cal to DHCS. These children will continue to receive services through the regional centers, and DHCS will fully reimburse the Department for the non-federal fund related expenditures.

Beginning July 1, 2018, BHT services for children enrolled in a Medi-Cal managed care plan transitioned to Medi-Cal coverage. Once transitioned, they receive services directly through their DHCS' managed care plan providers.

ASSUMPTIONS:

Savings are estimated based on prior year actual costs of BHT services for children without an ASD diagnosis and ineligible for FFP.

METHODOLOGY:

	2018-19	2019-20
Fee-for-Service	-\$6,187	-\$6,187
Medi-Cal Managed Care	-39,438	0
Total Expenditures	-\$45,625	-\$6,187

FUNDING:

Expenditures for BHT consumers with no ASD diagnosis are funded by 100% General Fund (GF). The fee-for-service expenditures are fully reimbursed by DHCS.

Impacts from Other Departments Behavioral Health Treatment Consumers with No ASD Diagnosis Transition Effective March 1, 2018 & July 1, 2018

CURRENT YEAR CHANGE FROM ENACTED:

The \$2.3 million GF decrease in savings is due to the extended phase-in for transition of Medi-Cal Managed Care consumers. The phase-in was extended to six months instead of the three months assumed in the 2018 May Revision causing a decrease in savings for current year. The slight decrease in fee-for-service expenditures reflects updated data.

REASON FOR YEAR-TO-YEAR CHANGE:

The \$39.4 million GF decrease in savings is due to transition of all Medi-Cal Managed Care consumers with medically necessary BHT services. By the end of 2018-19, all of these consumers will have fully transitioned to DHCS. As a result, savings from Medi-Cal Managed Care occurred in 2018-19 only. Fee-for-service consumers continue to access services through regional centers and the Department is reimbursed by DHCS. Reimbursements for non-ASD fee-for-service are displayed in Reimbursements from DHCS.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	-\$45,625	-\$6,187
GF	-45,625	-6,187
Reimbursement	0	0

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SECTION K: POLICIES

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Developmental Center Closure/Ongoing Workload

DESCRIPTION:

With the closure of the developmental centers and the transition of individuals to community living arrangements, regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews.

ASSUMPTIONS:

Budget year includes funding to provide salaries, benefits, and operating expenses and equipment for the 88 continuing regional center positions for quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

FUNDING:

Funding for Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

This is a proposed new policy effective July 1, 2019.

REASON FOR YEAR-TO-YEAR CHANGE:

The \$3.0 million (\$1.5 million GF) increase reflects the continuing regional center workload associated with the ongoing monitoring and coordination of individuals who transition from Developmental Centers. Funding has been shifted from the Agnews Ongoing Workload and the Lanterman Developmental Center Closure to offset the \$8.3 million (\$3.9 million GF) total costs. The total net impact is \$3.0 million.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$0	\$8,302
GF	0	3,903
Reimbursement	0	4,399

Operations Policy Items

This category of regional center operating expenses includes various previously approved policy items as described below:

DESCRIPTION:

	<u>2018-19</u>	<u>2019-20</u>
Improve Service Coordinator Caseload Ratios:	\$17,000	\$17,000
Approved in the 2016 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to improve caseload ratios.		
Compliance with Home and Community-Based Services (HCBS) Operations:	1,422	1,422
Approved in the 2016 Budget Act, funds are provided for regional centers to hire program evaluators to perform initial and ongoing efforts and activities necessary for compliance with HCBS settings requirements.		
Assembly Bill (AB) X2 1 Regional Center Operations Increases:	56,600	56,600
Appropriated by Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]), \$31.1 million General Fund (GF) plus matching funds are available for regional centers' staffing, benefits, and administrative expenses, as well as \$11 million GF to fund regional center and community - based organizations' proposals to promote equity in the purchase of services for individuals with developmental disabilities.		
Resources to Implement AB X2 1 Operations:	4,164	4,164
Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1] amended the W&I Code to require regional centers to implement the recommendations and plans to reduce disparities in the provision of services to underserved populations, as well as requirements to establish and report on a provider-administered competitive integrated employment program. The 2016 Budget Act appropriated these funds to regional centers to hire cultural specialists and employment specialists to implement AB X2 1.		

Operations Policy Items

DESCRIPTION (continued):

	<u>2018-19</u>	<u>2019-20</u>
Psychological Evaluations for Behavioral Health Treatment (BHT) Fee-for-Service Consumers:	1,266	1,611
Approved in the 2017 Budget Act, funds are provided to enable regional centers to hire or contract with psychologists to assess consumers with or without an Autism Spectrum Disorder diagnosis and provide referrals for Behavioral Health Treatment Services.		
Kern Regional Center Operations	300	0
TOTAL EXPENDITURES	\$80,752	\$80,797

FUNDING:

Funding for Operations Policy items are funded by the GF and reimbursements from the Medicaid Administration, Home and Community-Based Services Waiver Administration, and Targeted Case Management, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

The Budget Year increase reflects the corrected annual salary, including fringe benefits and OE&E for psychologists that provide Psychological Evaluations for BHT Fee-for-Service Consumers.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$80,752	\$80,797
GF	59,911	59,875
Reimbursement	20,841	20,922

Specialized Caseload Ratio

DESCRIPTION:

The Department proposes to establish a 1:25 service coordinator-to-consumer caseload ratio for consumers with complex needs. For purposes of this caseload ratio, consumers with complex needs may include individuals who reside or are at risk of residing in Institutions for Mental Diseases, Community Crisis Homes, state-operated acute crisis homes or out-of-state placements; have been admitted to a psychiatric hospital several times within the preceding six months; and individuals who transitioned from any such setting within the preceding 12 months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting.

The requested 1:25 ratio is a time limited need to enable service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities who have the most complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

ASSUMPTIONS:

An additional fifty (50) Service Coordinators are required to provide case management to an estimated 1,231 consumers considered high-risk.

METHODOLOGY:

	Annual Salary
Service Coordinator Salary	\$55,000
Fringe Benefits – 34%	18,700
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$77,100
Total Positions	49.24
Total Annual Cost (Rounded)	\$3,800,000

FUNDING:

Funding for Specialized Caseload Ratio is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

Specialized Caseload Ratio

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective July 1, 2019.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$0	\$3,800
GF	0	2,600
Reimbursement	0	1,200

Specialized Home Monitoring

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC), sections 4684.70 and 4684.84 (b) regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPHSN), and Enhanced Behavioral Supports Homes (EBSH). A local regional center licensed nurse and a qualified behavior modification professional must each visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPHSN and EBSH, or more frequently if specified in the consumer's individual program plan.

Similarly, WIC section 4698 (e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCH). Currently, this monitoring is funded with Community Placement Plan (CPP) funds. However, given the increase in the number of specialized homes in operation and development, CPP funds are not a viable long-term funding source. This proposal would provide sufficient funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

ASSUMPTIONS:

Regional centers employ and utilize registered nurses to monitor the ARFPHSNs, and licensed behavior specialists to monitor EBSHs and CCHs. Each registered nurse and licensed behavioral specialist is able to complete required monitoring of homes at a nurse/specialist-to-home ratio of 1:4. In 2019-20, the Department projects to have 93 operational ARFPHSNs, and 84 EBSH and CCHs combined.

METHODOLOGY:

	Registered Nurse	Behavioral Specialist
Annual Salary	\$103,200	\$74,100
Fringe Benefits – 34%	35,088	25,194
Operating Expense & Equipment	3,400	3,400
Annual Cost Per Position	\$141,688	\$102,694
Total Positions	23.25	21.00
Annual Cost	\$3,294,000	\$2,157,000
Total Annual Cost for All Positions		5,451,000

Specialized Home Monitoring

FUNDING:

Funding for Specialized Home Monitoring is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective July 1, 2019.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$0	\$5,451
GF	0	3,707
Reimbursement	0	1,744

Operations Policy Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20 2018-19	2019-20 Over	2019-20 Over Enacted Budget
Community Placement Plan - DC Closure						
TOTAL	\$5,434	\$5,434	\$0	\$0	(\$5,434)	(\$5,434)
GF	\$5,434	\$5,434	\$0	\$0	(\$5,434)	(\$5,434)
GF Other	5,434	5,434	0	0	(5,434)	(5,434)
Developmental Center Closure/Ongoing Workload						
TOTAL	\$0	\$0	\$0	\$8,302	\$8,302	\$8,302
GF	\$0	\$0	\$0	\$3,903	\$3,903	\$3,903
GF Match	0	0	0	2,753	2,753	2,753
GF Other	0	0	0	1,150	1,150	1,150
Reimbursements	\$0	\$0	\$0	\$4,399	\$4,399	\$4,399
HCBS Waiver Admin	0	0	0	1,930	1,930	1,930
Medicaid Admin	0	0	0	2,469	2,469	2,469
Operations Policy Items						
TOTAL	\$80,752	\$80,752	\$0	\$81,097	\$345	\$345
GF	\$59,911	\$59,911	\$0	\$60,175	\$264	\$264
GF Match	20,841	20,841	0	20,922	81	81
GF Other	39,070	39,070	0	39,253	183	183
Reimbursements	\$20,841	\$20,841	\$0	\$20,922	\$81	\$81
HCBS Waiver Admin	2,404	2,404	0	2,404	0	0
Medicaid Admin	1,132	1,132	0	1,132	0	0
TCM	17,305	17,305	0	17,386	81	81
Specialized Caseload Ratios						
TOTAL	\$0	\$0	\$0	\$3,800	\$3,800	\$3,800
GF	\$0	\$0	\$0	\$2,600	\$2,600	\$2,600
GF Match	0	0	0	950	950	950
GF Other	0	0	0	1,650	1,650	1,650
Reimbursements	\$0	\$0	\$0	\$1,200	\$1,200	\$1,200
HCBS Waiver Admin	0	0	0	825	825	825
Medicaid Admin	0	0	0	375	375	375

Operations Policy Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20 2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Specialized Home Monitoring						
TOTAL	\$0	\$0	\$0	\$5,450	\$5,450	\$5,450
GF	\$0	\$0	\$0	\$3,706	\$3,706	\$3,706
GF Match	0	0	0	1,380	1,380	1,380
GF Other	0	0	0	2,326	2,326	2,326
Reimbursements	\$0	\$0	\$0	\$1,744	\$1,744	\$1,744
HCBS Waiver Admin	0	0	0	1,200	1,200	1,200
Medicaid Admin	0	0	0	544	544	544
Total Operations Policy Funding Detail						
TOTAL	\$86,186	\$86,186	\$0	\$98,649	\$12,463	\$12,463
GF	\$65,345	\$65,345	\$0	\$70,384	\$5,039	\$5,039
GF Match	20,841	20,841	0	26,005	5,164	5,164
GF Other	44,504	44,504	0	44,379	(125)	(125)
Reimbursements	\$20,841	\$20,841	\$0	\$28,265	\$7,424	\$7,424
HCBS Waiver Admin	2,404	2,404	0	6,359	3,955	3,955
Medicaid Admin	1,132	1,132	0	4,520	3,388	3,388
TCM	17,305	17,305	0	17,386	81	81

Best Buddies

DESCRIPTION:

The one-time \$1.5 million General Fund (GF) provided to Best Buddies International pursuant to the 2018-19 Budget Act is proposed for permanent funding beginning in 2019-20. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state. Best Buddies will be required to report annually to the Department of Developmental Services on the number of consumers served and the types of services provided.

ASSUMPTIONS:

The funding provides \$1.5 million for Best Buddies.

FUNDING:

Best Buddies expenditures are 100% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This policy is being funded on an ongoing basis.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$1,500	\$1,500
GF	1,500	1,500

Bridge Funding

DESCRIPTION:

The 2018 Budget Act provided the Department with one-time funding of \$25.0 million General Fund (GF) and related federal funding for service provider rate increases. These funds are available for encumbrance or expenditure until June 30, 2020. The distribution of funds is based upon a methodology determined by the Department, in consultation with stakeholders, including the Legislature, the Legislative Analyst's Office, the Developmental Services Task Force Rates Workgroup, and the Department of Finance.

Community-based day programs and community care facilities with rates established under the Alternative Residential Model in high cost counties will receive the rate increase estimated at 2.07%. High cost counties are those with an average weekly wage of \$900 or higher as compiled by the US Bureau of Labor Statistics data for the 4th quarter of 2017. Pending federal approval for matching federal funds, DDS anticipates the rate increase will be effective from May 1, 2019 through April 30, 2020.

ASSUMPTIONS:

Pending federal approval for matching funds, the rate increase is anticipated to be effective May 1, 2019 through April 30, 2020.

METHODOLOGY:

Community-based day programs and community care facilities under the Alternative Residential Model in high cost counties will receive a rate increase. High cost counties are those with an average weekly wage of \$900 per week, or higher, as compiled by the U.S. Bureau of Labor Statistics data for the 4th quarter of 2017. The percent of rate increase was calculated using the expenditures for the selected services in high cost counties as a percent to total of the \$42.0 million (\$25.0 million GF).

FUNDING:

Bridge funding expenditures are funded by GF and reimbursements from the Home and Community-Based Services Waiver, 1915(i) State Plan Amendment, and the Early and Periodic Screening, Diagnostic and Treatment benefit.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a single year change in policy and one-time 2018-19 funding.

Bridge Funding

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$42,011	\$0
GF	25,000	0
Reimbursement	17,011	0

Community Crisis Homes for Children Effective July 1, 2019

DESCRIPTION:

Although many children with developmental disabilities live in the family home, some children have more extensive behavioral needs that cannot be met in the family home or other existing settings. Both the Health and Safety Code and Welfare and Institutions Code were amended in 2014-15 to include the emergency regulations for community crisis homes. However, the statute only allows adults, who are in acute crisis, to be admitted into community crisis homes. Due to a current lack of options in the community, regional centers are sometimes required to rely on locked psychiatric settings for children and struggle to provide needed services in a child's home setting in response to acute crises. Through the Statewide Specialized Resource Service, the Department receives, on average, five referrals each month seeking residential services for children, many of whom are in crisis.

The Estimate includes a one-time augmentation of \$4.5 million General Fund for the development of three new community crisis homes for children. In addition, the proposed trailer bill language will amend the Health and Safety Code and the Welfare and Institutions Code to enable children in crisis to be placed into community crisis homes.

The homes will provide regional centers with immediate access to short-term crisis stabilization, with a limited duration of stay. The homes will be certified by the Department and licensed by the Department of Social Services. The Department will develop the necessary regulations with stakeholder input.

ASSUMPTIONS:

The Department will approve regional centers to develop three community crisis homes throughout California through the "Buy-It-Once" housing model to ensure the homes are used in perpetuity to provide crisis services to children with developmental disabilities. Prior to use, the homes will be renovated by a housing development organization to ensure regulations are met and qualities of the homes meet the needs of children in crisis. A service provider or providers will be selected to create a model that best serves the individuals referred. Providers will be required to recruit for enhanced staffing, provide extensive training, oversee the set-up of the homes, and oversight of the development process.

METHODOLOGY:

The Department plans to develop three new community crisis homes for children, at an estimated cost of \$4.5 million General Fund (GF), or \$1.5 million per home.

- Acquisition \$1,500,000 (\$500,000 per home)
- Renovation \$1,500,000 (\$500,000 per home)
- Service Provider Start-Up \$1,500,000 (\$500,000 per home)

Community Crisis Homes for Children Effective July 1, 2019

FUNDING:

Expenditures from the Community Crisis Homes for Children are 100% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective July 1, 2019.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$0	\$4,500
GF	0	4,500
Reimbursement	0	0

Assembly Bill (AB)x2 1 – Competitive, Integrated Employment Incentives Effective July 1, 2016

DESCRIPTION:

Welfare and Institutions Code (W&IC) was amended to add section 4870(d-g) to increase sustained competitive integrated employment (CIE) placements by regional center service providers. CIE is full or part-time work for which an individual is paid minimum wage or greater in a setting with others who do not have disabilities. Section 4870(d) authorizes \$29 million ongoing funding to the Department for incentive payments to providers for placement and retention of regional center consumers, consistent with a consumer's Individual Program Plan.

The regional center will be responsible for making incentive payments to service providers within their catchment area. The incentive payment amount for each individual placed in CIE is as follows:

1. A payment of one thousand dollars (\$1,000) shall be made to the service provider who, on or after July 1, 2016, places an individual into CIE, and the individual is still competitively employed after 30 consecutive days.
2. An additional payment of one thousand two hundred fifty dollars (\$1,250) shall be made to the service provider for an individual described in paragraph (1) who remains in CIE for six consecutive months.
3. An additional payment of one thousand five hundred dollars (\$1,500) shall be made to the service provider for an individual described in paragraphs (1) and (2) who remains in CIE for 12 consecutive months.

FUNDING:

Expenditures related to CIE Incentives are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and the 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

There is no change

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

**Assembly Bill (AB)x2 1 –
Competitive, Integrated Employment Incentives
Effective July 1, 2016**

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$29,000	\$29,000
GF	20,000	20,000
Reimbursement	9,000	9,000

Compliance with Home and Community-Based Services Regulations

DESCRIPTION:

In January 2014, the Centers for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) Home and Community-Based (HCBS) Waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states were allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS.

ASSUMPTIONS:

Purchase of Services expenditures will provide resources for modifications and additional staffing as needed for service providers to achieve compliance with the CMS final regulations.

METHODOLOGY:

The funding is distributed to service providers upon approval of modification plans submitted to the regional centers and the Department.

FUNDING:

Expenditures related to Compliance with HCBS Regulations are funded by the General Fund (GF) and reimbursements from the HCBS Waiver and the 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$15,000	\$15,000
GF	11,000	11,000
Reimbursement	4,000	4,000

Home Health Rate Increase Effective July 1, 2018

DESCRIPTION:

Effective July 1, 2018, the rates paid for home health agency and private duty nursing services increase by 50% to conform with the Department of Health Care Services' (DHCS) increase to the Medi-Cal rates for these services. Home health services include wound care, IV therapy, administering oral and intravenous medications, inserting gastrostomy and nasogastric feeding tubes, and monitoring serious illnesses. These services are provided by nurses who work for a home health agency, or by nurses who are individual vendors. Pursuant to state regulations, the rates for these services purchased by regional centers are based on those established by Medi-Cal.

ASSUMPTIONS:

DHCS increased the licensed vocational nurse, registered nurse, and home health agency rates by 50%, effective for dates of service on and after July 1, 2018.

METHODOLOGY:

Providers in the Medi-Cal Fee-For-Service delivery systems, as well as the impacted Home and Community Based Services waivers, will receive these rate increases.

	<u>2018-19</u>	<u>2019-20</u>
Home Health Agency	\$23,342	\$23,342
Licensed Vocational Nurse	3,991	3,991
Registered Nurse	2,172	2,172
TOTAL EXPENDITURES	\$29,505	\$29,505

FUNDING:

Expenditures related to the Home Health Rate Increase are funded by the General Fund (GF) and reimbursements from the Home and Community Based Services Waiver and the 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

Home Health Rate Increase Effective July 1, 2018

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$29,505	\$29,505
GF	18,372	17,319
Reimbursement	11,133	12,186

Supplemental Payment Program Implemented for ICF-DD Services Effective August 1, 2017

DESCRIPTION:

Effective August 1, 2017, the Department of Health Care Services (DHCS) implemented the Supplemental Payment Program for Intermediate Care Facilities for the Developmentally Disabled (ICF/DD). Pursuant to state regulations, the rates for ICF services purchased by regional centers are based on those established by DHCS.

ASSUMPTIONS:

Supplemental Payment Program Implemented for ICF-DD Services Expenditure Data Source: State Claims Data file dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

Based on 2017-18 Purchase of Services claims for ICF/DD, the Department estimated 2018-19 and 2019-20 expenditures for the impacted service codes and then multiplied by the 5% increase. The estimate also includes funding for GAP resources.

	<u>2018-19</u>	<u>2019-20</u>
TOTAL EXPENDITURES	\$317	\$346

FUNDING:

Supplemental Payment Program Implemented for ICF-DD Services expenditures are 100% funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

The minor increase reflects updated expenditure data.

REASON FOR YEAR-TO-YEAR CHANGE:

The minor increase reflects an increase in growth.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$317	\$346
GF	317	346

Pediatric Day Health Care Rate Increase Effective July 1, 2018

DESCRIPTION:

Pediatric Day Health Care (PDHC) is an Early and Periodic Screening, Diagnosis and Treatment supplemental skilled nursing service when rendered by a PDHC facility licensed by the Department of Health Care Services (DHCS) Licensing and Certification pursuant to Health and Safety Code, Section 1760. Effective July 1, 2018, DHCS increased reimbursement rates by 50 percent for certain PDHC services. Pursuant to state regulations, the rates for PDHC services purchased by regional centers are based on those established by DHCS.

ASSUMPTIONS:

Pediatric Day Health Care Rate Increase Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
Health Care	\$21	\$21
Out of Home Respite	232	232
Miscellaneous	209	209
TOTAL EXPENDITURES	\$462	\$462

FUNDING:

Expenditures from the Pediatric Day Health Care Rate Increase are 100% General Fund (GF).

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

The minor increase reflects updated expenditure data.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$462	\$462
GF	462	462

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2019

DESCRIPTION:

SB 3, Chapter 4, Statutes of 2016, requires the minimum wage to increase to \$12.00 per hour on January 1, 2019, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$12.00 to \$13.00 per hour will occur on January 1, 2020.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
Community Care Facilities	\$54,506	\$114,539
Day Program Services	2,203	4,540
Habilitation Services	882	1,585
Transportation	141	298
Support Services	6,285	13,940
In-Home Respite	3,388	7,385
Out-of-Home Respite	16	33
Miscellaneous	1,023	2,150
TOTAL EXPENDITURES	\$68,444	\$144,470

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

The current year decreased by \$54.5 million (\$33.1 million GF) due to prior year expenditures coming in lower than previously estimated.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increase of \$76.0 million (\$38.4 million GF) reflects the full year impact of the \$1 minimum wage increase.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2019

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$68,444	\$144,470
GF	34,085	72,510
Reimbursement	34,359	71,960

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

DESCRIPTION:

SB 3, Chapter 4, Statutes of 2016, requires the minimum wage to increase to \$13.00 per hour on January 1, 2020, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$13.00 to \$14.00 per hour will occur on January 1, 2021.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
Community Care Facilities	\$0	\$65,818
Day Program Services	0	2,609
Habilitation Services	0	911
Transportation	0	171
Support Services	0	8,011
In-Home Respite	0	4,244
Out-of-Home Respite	0	19
Miscellaneous	0	1,236
TOTAL EXPENDITURES	\$0	\$83,019

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a new policy effective January 1, 2020.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$0	\$83,019
GF	0	41,668
Reimbursement	0	41,351

Uniform Holiday Schedule

DESCRIPTION:

Welfare and Institutions Code (WIC) Section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule as a cost savings measure in September 2009. The intent was to standardize and increase from 10 to 14 the number of observed holidays in the regional center system. On these holidays providers are precluded from billing for services provided by work activity programs, activity centers, adult development centers, behavior management programs, social recreation programs, infant development programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs.

The 2018-19 Budget Act provided one-time funding so regional centers were not required to enforce the Uniform Holiday Schedule in 2018-19. However, the suspension sunsets on June 30, 2019, and the statute is proposed to be enforced effective July 1, 2019.

ASSUMPTIONS:

Uniform Holiday Schedule Expenditure Data Source: State Claims Data file, dated September 1, 2018, with actuals through June 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

	<u>2018-19</u>	<u>2019-20</u>
Day Program Services	\$24,146	\$0
Habilitation Services	944	0
Transportation	8,732	0
Support Services	11,167	0
In-Home Respite	485	0
Miscellaneous	2,312	0
TOTAL EXPENDITURES	\$47,786	\$0

FUNDING:

Expenditures from the Uniform Holiday Schedule are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 40% federal financial participation and 60% GF.

CURRENT YEAR CHANGE FROM ENACTED BUDGET:

The minor decrease in Current Year reflects updated expenditure data.

Uniform Holiday Schedule

REASON FOR YEAR-TO-YEAR CHANGE:

The Uniform Holiday Schedule suspension will sunset on June 30, 2019 and will be implemented effective July 1, 2019.

EXPENDITURES:

	<u>2018-19</u>	<u>2019-20</u>
TOTAL	\$47,786	\$0
GF	28,682	0
Reimbursement	19,104	0

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20 2018-19	2019-20 Over	2019-20 Over Enacted Budget
Best Buddies						
TOTAL	\$1,500	\$1,500	\$0	\$1,500	\$0	\$0
GF	\$1,500	\$1,500	\$0	\$1,500	\$0	\$0
GF Other	1,500	1,500	0	1,500	0	0
BHT Consumers without an ASD Diagnosis						
TOTAL	(\$47,926)	(\$45,625)	\$2,301	(\$6,187)	\$39,438	\$41,739
GF	(\$47,926)	(\$45,625)	\$2,301	(\$6,187)	\$39,438	\$41,739
GF Other	(47,926)	(45,625)	2,301	(6,187)	39,438	41,739
Bridge Funding						
TOTAL	\$40,215	\$42,011	\$1,796	\$0	(\$42,011)	(\$40,215)
GF	\$25,000	\$25,000	\$0	\$0	(\$25,000)	(\$25,000)
GF Match	15,684	17,011	1,327	0	(17,011)	(15,684)
GF Other	9,316	7,989	(1,327)	0	(7,989)	(9,316)
Reimbursements	\$15,215	\$17,011	\$1,796	\$0	(\$17,011)	(\$15,215)
HCBS Waiver	13,103	14,592	1,489	0	(14,592)	(13,103)
1915(i)	1,903	1,881	(22)	0	(1,881)	(1,903)
EPSDT	209	538	329	0	(538)	(209)
Community Based Services Increases - ABX2 1						
TOTAL	\$29,000	\$29,000	\$0	\$29,000	\$0	\$0
GF	\$20,000	\$20,000	\$0	\$20,000	\$0	\$0
GF Match	9,000	9,000	0	9,000	0	0
GF Other	11,000	11,000	0	11,000	0	0
Reimbursements	\$9,000	\$9,000	\$0	\$9,000	\$0	\$0
HCBS Waiver	7,920	7,920	0	7,920	0	0
1915(i)	1,080	1,080	0	1,080	0	0

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20 2018-19	2019-20 Over	2019-20 Over Enacted Budget
Community Crisis Homes for Children						
TOTAL	\$0	\$0	\$0	\$4,500	\$4,500	\$4,500
GF	\$0	\$0	\$0	\$4,500	\$4,500	\$4,500
GF Other	0	0	0	4,500	4,500	4,500
Community Placement Plan - DC Closure						
TOTAL	\$25,201	\$21,610	(\$3,591)	\$0	(\$21,610)	(\$25,201)
GF	\$20,556	\$14,538	(\$6,018)	\$0	(\$14,538)	(\$20,556)
GF Match	4,643	7,069	2,426	0	(7,069)	(4,643)
GF Other	15,913	7,469	(8,444)	0	(7,469)	(15,913)
Reimbursements	\$4,645	\$7,072	\$2,427	\$0	(\$7,072)	(\$4,645)
HCBS Waiver	4,645	7,072	2,427	0	(7,072)	(4,645)
Compliance with HCBS Regulations - Purchase of Service						
TOTAL	\$15,000	\$15,000	\$0	\$15,000	\$0	\$0
GF	\$11,000	\$11,000	\$0	\$11,000	\$0	\$0
GF Match	4,000	4,000	0	4,000	0	0
GF Other	7,000	7,000	0	7,000	0	0
Reimbursements	\$4,000	\$4,000	\$0	\$4,000	\$0	\$0
HCBS Waiver	3,720	3,720	0	3,720	0	0
1915(i)	280	280	0	280	0	0
Home Health Rate Increase						
TOTAL	\$29,505	\$29,505	\$0	\$29,505	\$0	\$0
GF	\$17,124	\$18,372	\$1,248	\$17,319	(\$1,053)	\$195
GF Match	12,381	11,133	(1,248)	12,186	1,053	(195)
GF Other	4,743	7,239	2,496	5,133	(2,106)	390
Reimbursements	\$12,381	\$11,133	(\$1,248)	\$12,186	\$1,053	(\$195)
HCBS Waiver	11,212	10,088	(1,124)	11,042	954	(170)
1915(i)	1,169	1,045	(124)	1,144	99	(25)

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20 2018-19	2019-20 Over	2019-20 Over Enacted Budget
ICF-DD Supplemental Payment						
TOTAL	\$202	\$317	\$115	\$346	\$29	\$144
GF	\$202	\$317	\$115	\$346	\$29	\$144
GF Other	202	317	115	346	29	144
Pediatric Day Health Care Rate Increase						
TOTAL	\$351	\$462	\$111	\$462	\$0	\$111
GF	\$351	\$462	\$111	\$462	\$0	\$111
GF Other	351	462	111	462	0	111
SB 3 - Minimum Wage Increase - Effective January 1, 2019						
TOTAL	\$122,996	\$68,444	(\$54,552)	\$144,470	\$76,026	\$21,474
GF	\$67,179	\$34,085	(\$33,094)	\$72,510	\$38,425	\$5,331
GF Match	55,816	34,359	(21,457)	71,960	37,601	16,144
GF Other	11,363	(274)	(11,637)	550	824	(10,813)
Reimbursements	\$55,817	\$34,359	(\$21,458)	\$71,960	\$37,601	\$16,143
HCBS Waiver	42,915	26,710	(16,205)	56,391	29,681	13,476
1915(i)	12,902	7,649	(5,253)	15,569	7,920	2,667
SB 3 - Minimum Wage Increase - Effective January 1, 2020						
TOTAL	\$0	\$0	\$0	\$83,019	\$83,019	\$83,019
GF	\$0	\$0	\$0	\$41,668	\$41,668	\$41,668
GF Match	0	0	0	41,349	41,349	41,349
GF Other	0	0	0	319	319	319
Reimbursements	\$0	\$0	\$0	\$41,351	\$41,351	\$41,351
HCBS Waiver	0	0	0	32,404	32,404	32,404
1915(i)	0	0	0	8,947	8,947	8,947

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2018-19	2018-19 Request	2019-20	2019-20 Over 2018-19	2019-20 Over Enacted Budget
Uniform Holiday Schedule						
TOTAL	\$48,300	\$47,786	(\$514)	\$0	(\$47,786)	(\$48,300)
GF	\$29,250	\$28,682	(\$568)	\$0	(\$28,682)	(\$29,250)
GF Match	19,047	19,102	55	0	(19,102)	(19,047)
GF Other	10,203	9,580	(623)	0	(9,580)	(10,203)
Reimbursements	\$19,050	\$19,104	\$54	\$0	(\$19,104)	(\$19,050)
HCBS Waiver	14,179	14,191	12	0	(14,191)	(14,179)
1915(i)	4,871	4,913	42	0	(4,913)	(4,871)
Total POS Policy Funding Detail						
TOTAL	\$264,344	\$210,010	(\$54,334)	\$301,615	\$91,605	\$37,271
GF	\$144,236	\$108,331	(\$35,905)	\$163,118	\$54,787	\$18,882
GF Match	120,571	101,674	(18,897)	138,495	36,821	17,924
GF Other	23,665	6,657	(17,008)	24,623	17,966	958
Reimbursements	\$120,108	\$101,679	(\$18,429)	\$138,497	\$36,818	\$18,389
HCBS Waiver	97,694	84,293	(13,401)	111,477	27,184	13,783
1915(i)	22,205	16,848	(5,357)	27,020	10,172	4,815
EPSDT	209	538	329	0	(538)	(209)

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SECTION L: SUPPLEMENTAL REPORTING

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Early Start Expenditures and Population

DESCRIPTION:

Per Legislative request, the Department is provides projected caseload and expenditures for the Early Start program.

ASSUMPTIONS:

Early Start Expenditure Data Source: State Claims Data file, dated September 2018. Data was adjusted for lag based on historical data and used to project 2018-19 and 2019-20 expenditures.

Fiscal Year	Monthly ^{1/} Caseload	Total POS Expenditures	Per Capita
2018-19	45,704	\$435,111,000	\$9,520
2019-20	49,799	\$475,403,000	\$9,546

1/ Refer to Section E - Population for additional detail on the Early Start population.

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EXECUTIVE HIGHLIGHTS

OVERVIEW

The Department of Developmental Services (Department) is funded to operate residential services at two developmental centers (DCs), one State-operated community facility, and four Stabilization, Training, Assistance and Reintegration (STAR) homes to individuals with developmental disabilities. Assembly Bill (AB) 1472, Chapter 25, Statutes of 2012, placed a moratorium on general admissions to DCs that resulted in a continuing decline in the resident population. In addition, Senate Bill (SB) 82, Chapter 23, Statutes of 2015, required the Department to close the remaining DCs. As of December 17, 2018, Sonoma DC transitioned all former residents to community living. The General Treatment Area (GTA) at the Porterville DC (Porterville GTA) and Fairview DC (Fairview) are estimated to transition the remaining residents to community living by September 30 and December 31, 2019 respectively. The Department's ongoing compliance with the provisions of the CMS settlement agreements entered into in July 2016, will allow the continued receipt of federal funds for the ICF units at Fairview DC and Porterville DC through December 2019. The Department anticipates maintaining and overseeing the Fairview campus until June 30, 2020. The Secure Treatment Program at Porterville (Porterville STP) and the Canyon Springs Community Facility will remain open.

The actual DC population on July 1, 2018, was 514, which is a decrease of 20 residents as compared to the 2018-19 Enacted Budget. In 2018-19, the Department projects 191 residents will transition into community living, leaving a population of 323 residents on June 30, 2019. The Governor's Budget projects an ending population of 292 residents on June 30, 2020 for the facilities that remain open, or a decrease of 31 residents as compared to the 2018-19 updated budget.

FISCAL YEAR 2018-19

The Department proposes a net increase of \$9.8 million (\$7.5 million GF increase) and no change in positions for 2018-19, as compared to the 2018-19 Enacted Budget, resulting from the following adjustments:

Operations Expenditures

- Decrease of -\$0.8 million (-\$0.3 million GF) that reflect lower Operating Expense & Equipment (OE&E) expenditures due to providing care to 20 fewer residents.

Employee Compensation and Retirement

- Increase of \$11.6 million (\$8.1 million GF) for compensation and retirement adjustments approved through the collective bargaining process.

Sonoma and Fairview Lump Sum Payouts

- Decrease of -\$0.9 million (-\$0.3 million GF) to fund lump sum leave balance payouts for separating employees.

FISCAL YEAR 2019-20

The Department proposes a decrease of -\$84.9 million (-\$40.8 million GF) and -684.8 positions as compared to the 2018-19 updated budget. The net decrease results from the following adjustments:

Operations Expenditures

- Decrease of -\$82.9 million (-\$37.0 million GF) reflecting decreases of -\$70.5 million in Personal Services and -\$12.4 million in OE&E due to resident placements. More specifically:
 - DC staffing update, decrease of -\$105.9 million (-\$55.6 million GF) including the Sonoma DC closure
 - One-time funding increase of \$5.0 million GF for Deferred Maintenance at Porterville Secure Treatment Area
 - \$1.0 million GF increase for 8.0 Regional Resource Development Project (RRDP) staff required to continue monitoring of Sonoma residents who transitioned to the community.
 - \$5.3 million GF increase for the ongoing cost of workers' compensation.
 - \$11.7 million (\$7.3 million GF) increase and 100.6 positions to operate one additional STAR Home in Northern California and to develop two Central Valley STAR homes and a Central Valley Crisis Assessment Stabilization Team (CAST) as part of the Department's ongoing Safety Net planning.

Employee Compensation and Retirement

- Decrease of -\$1.4 million (-\$0.9 million GF) due to a decrease of 684.8 positions. In total, the Governor's Budget includes \$10.1 million (\$7.1 million GF) in 2019-20 for employee compensation and retirement adjustments approved through the collective bargaining process.

Fairview and Porterville GTA Lump Sum Payouts

- Decrease of -\$0.6 million (-\$2.9 million GF) to fund lump sum leave balance payouts for separating employees. In total, the Governor's Budget proposes \$7.7 million (\$4.0 million GF) in 2019-20.

2018 - 19 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

Governor's Budget Proposed 2018-19										
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	Sonoma	STAR & CAST	Ongoing Costs	Total 2018-19	2018-19 Enacted Budget	Request
DC OPERATIONS										
A. Units	3.00	5.00	3.50	14.00	5.00	4.00	0.00	34.50	34.50	0.00
1. ICF	3.00	2.00	2.50	13.00	3.00	4.00	0.00	27.50	27.50	0.00
2. SNF	0.00	2.00	1.00	0.00	1.00	0.00	0.00	4.00	4.00	0.00
3. Acute	0.00	1.00	0.00	1.00	1.00	0.00	0.00	3.00	3.00	0.00
B. Population										
1. Actual Beginning 7/1/2018	56	104	58	211	75	10	0	514	534	-20
2. Population Adjustment	0	-78	-48	0	-75	10	0	-191	-211	20
3. Ending 6/30/2019	56	26	10	211	0	20	0	323	323	0
C. Positions	229.0	567.3	325.6	1,263.3	676.6	120.9	0.0	3,182.7	3,182.7	0.0
D. Operations Expenditures										
1. Personal Services	\$21,503	\$57,041	\$31,783	\$121,407	\$36,741	\$11,729	\$28,349	\$308,553	\$308,552	\$1
2. OE&E	4,897	11,253	5,701	19,601	13,832	1,481	0	56,765	57,541	-776
3. Lease Revenue Bond	0	0	0	9,150	0	0	0	9,150	9,156	-6
Total Operations Expenditures	\$26,400	\$68,294	\$37,484	\$150,158	\$50,573	\$13,210	\$28,349	\$374,468	\$375,249	-\$781
E. Control Section Adjustments										
Employee Compensation and Retirement	\$843	\$2,121	\$1,196	\$4,642	\$2,320	\$428	\$0	\$11,550	\$0	\$11,550
F. Major Assumptions										
1. Lump Sum Payouts	\$0	\$3,043	\$0	\$0	\$5,322	\$0	\$0	\$8,365	\$9,300	-\$935
GRAND TOTAL	\$27,243	\$73,458	\$38,680	\$154,800	\$58,215	\$13,638	\$28,349	\$394,383	\$384,549	\$9,834

	Canyon Springs	Fairview	Porterville GTA	Porterville STA	Sonoma	STAR & CAST	Ongoing Costs	Total 2018-19	2018-19 Enacted Budget	Request
FUND SOURCES:										
A. General Funds	\$14,028	\$38,083	\$20,236	\$151,972	\$49,360	\$13,638	\$19,367	\$306,682	\$299,150	\$7,532
1. General Fund Match	13,186	35,315	18,415	2,798	8,825	0	8,982	87,521	85,076	2,445
2. General Fund Other	842	2,768	1,821	149,173	40,535	13,638	10,384	219,161	214,074	5,087
B. Medi-Cal Reimbursements	\$13,186	\$35,315	\$18,415	\$2,798	\$8,825	\$0	\$8,982	\$87,521	\$85,076	\$2,445
C. Lottery Education Fund	\$30	\$60	\$30	\$30	\$30	\$0	\$0	\$180	\$323	-\$143
GRAND TOTAL	\$27,243	\$73,458	\$38,680	\$154,800	\$58,215	\$13,638	\$28,349	\$394,383	\$384,549	\$9,834

Notes:

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2019 - 20 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

Governor's Budget Proposed 2019-20									
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2019-20	2018-19 Enacted Budget	Request
DC OPERATIONS									
A. Units	3.00	5.00	2.00	14.00	7.00	0.00	31.00	34.50	-3.50
1. ICF	3.00	2.00	2.00	13.00	7.00	0.00	27.00	27.50	-0.50
2. SNF	0.00	2.00	0.00	0.00	0.00	0.00	2.00	4.00	-2.00
3. Acute	0.00	1.00	0.00	1.00	0.00	0.00	2.00	3.00	-1.00
B. Population									
1. Estimated Beginning 7/1/2019	56	26	10	211	20	0	323	534	-211
2. Population Adjustment	0	-26	-10	0	5	0	-31	-211	180
3. Ending 6/30/2020	56	0	0	211	25	0	292	323	-31
C. Positions	229.0	555.3	132.1	1,352.0	221.5	8.0	2,497.9	3,182.7	-684.8
D. Operations Expenditures									
1. Personal Services	\$22,160	\$27,196	\$2,884	\$138,393	\$18,200	\$29,235	\$238,069	\$317,852	-\$79,783
2. OE&E	4,889	5,916	244	26,712	6,595	36	44,392	57,541	-13,149
3. Lease Revenue Bond	0	0	0	9,129	0	0	9,129	9,156	-27
Total Operations Expenditures	\$27,050	\$33,113	\$3,127	\$174,234	\$24,795	\$29,271	\$291,590	\$384,549	-\$92,959
E. Control Section Adjustments									
Employee Compensation and Retirement	\$953	\$2,350	\$656	\$5,569	\$550	\$64	\$10,142	\$0	\$10,142
F. Major Assumptions									
1. Lump Sum Payouts	\$0	\$7,500	\$230	\$0	\$0	\$0	\$7,730	\$0	\$7,730
GRAND TOTAL	\$28,003	\$42,963	\$4,013	\$179,803	\$25,345	\$29,335	\$309,462	\$384,549	-\$75,087

	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2019-20	2018-19 Enacted Budget	Request
FUND SOURCES:									
A. General Funds	\$14,003	\$25,107	\$2,009	\$177,952	\$20,986	\$25,777	\$265,834	\$299,150	-\$33,316
1. General Fund Match	13,963	17,788	1,966	1,814	4,359	3,558	43,448	85,076	-41,628
2. General Fund Other	40	7,319	42	176,138	16,627	22,219	222,386	214,074	8,312
B. Medi-Cal Reimbursements	\$13,963	\$17,788	\$1,966	\$1,814	\$4,359	\$3,558	\$43,448	\$85,076	-\$41,628
C. Lottery Education Fund	\$36	\$68	\$38	\$38	\$0	\$0	\$180	\$323	-\$143
GRAND TOTAL	\$28,003	\$42,963	\$4,013	\$179,803	\$25,345	\$29,335	\$309,462	\$384,549	-\$75,087

Notes:

The total number of units at Fairview reflect the number of units open on July 1, 2019 and operational until December 31, 2019.

The total number of units at Porterville GTA reflect the number of units open on July 1, 2019 and operational until September 30, 2019.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2019 - 20 Comparison to Updated 2018 - 19
Executive Summary Table
(Dollars in thousands)

DC OPERATIONS	Governor's Budget Proposed 2019-20							Updated 2018-19	2019-20 Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2019-20		
A. Units	3.00	5.00	2.00	14.00	7.00	0.00	31.00	34.50	-3.50
1. ICF	3.00	2.00	2.00	13.00	7.00	0.00	27.00	27.50	-0.50
2. SNF	0.00	2.00	0.00	0.00	0.00	0.00	2.00	4.00	-2.00
3. Acute	0.00	1.00	0.00	1.00	0.00	0.00	2.00	3.00	-1.00
B. Population									
1. Estimated Beginning 7/1/2019	56	26	10	211	20	0	323	514	-191
2. Population Adjustment	0	-26	-10	0	5	0	-31	-191	160
3. Ending 6/30/2020	56	0	0	211	25	0	292	323	-31
C. Positions	229.0	555.3	132.1	1,352.0	221.5	8.0	2,497.9	3,182.7	-684.8
D. Operations Expenditures									
1. Personal Services	\$22,160	\$27,196	\$2,884	\$138,393	\$18,200	\$29,235	\$238,069	\$308,553	-\$70,484
2. OE&E	4,889	5,916	244	26,712	6,595	36	44,392	56,765	-12,373
3. Lease Revenue Bond	0	0	0	9,129	0	0	9,129	9,150	-21
Total Operations Expenditures	\$27,050	\$33,113	\$3,127	\$174,234	\$24,795	\$29,271	\$291,590	\$374,468	-\$82,878
E. Control Section Adjustments									
Employee Compensation and Retirement	\$953	\$2,350	\$656	\$5,569	\$550	\$64	\$10,142	\$11,550	-\$1,408
F. Major Assumptions									
1. Lump Sum Payouts	\$0	\$7,500	\$230	\$0	\$0	\$0	\$7,730	\$8,365	-\$635
GRAND TOTAL	\$28,003	\$42,963	\$4,013	\$179,803	\$25,345	\$29,335	\$309,462	\$394,383	-\$84,921

	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2019-20	Updated 2018-19	2019-20 Request
FUND SOURCES:									
A. General Funds	\$14,003	\$25,107	\$2,009	\$177,952	\$20,986	\$25,777	\$265,834	\$306,682	-\$40,848
1. General Fund Match	13,963	17,788	1,966	1,814	4,359	3,558	43,448	87,521	-44,073
2. General Fund Other	40	7,319	42	176,138	16,627	22,219	222,386	219,161	3,225
B. Medi-Cal Reimbursements	\$13,963	\$17,788	\$1,966	\$1,814	\$4,359	\$3,558	\$43,448	\$87,521	-\$44,073
C. Lottery Education Fund	\$36	\$68	\$38	\$38	\$0	\$0	\$180	\$180	\$0
GRAND TOTAL	\$28,003	\$42,963	\$4,013	\$179,803	\$25,345	\$29,335	\$309,462	\$394,383	-\$84,921

Notes:

The total number of units at Fairview reflect the number of units open on July 1, 2019 and operational until December 31, 2019.

The total number of units at Porterville GTA reflect the number of units open on July 1, 2019 and operational until September 30, 2019.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

SYSTEMWIDE POPULATION

Current Year 2018-19							
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	Sonoma	STAR & CAST	Total
2018-19 Enacted Budget							
Population, July 1, 2018	56	109	65	211	83	10	534
Change (+/-)	0	-83	-55	0	-83	10	-211
Ending Population, June 30, 2019	56	26	10	211	0	20	323
Proposed Governor's Budget for CY 2018-19							
Population, July 1, 2018	56	104	58	211	75	10	514
Change (+/-)	0	-78	-48	0	-75	10	-191
Ending Population, June 30, 2019	56	26	10	211	0	20	323
Population Change CY 2018-19	0	0	0	0	0	0	0

Budget Year 2019-20							
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	Sonoma	STAR & CAST	Total
2018-19 Enacted Budget							
Population, July 1, 2018	56	109	65	211	83	10	534
Change (+/-)	0	-83	-55	0	-83	10	-211
Ending Population, June 30, 2019	56	26	10	211	0	20	323
Proposed Governor's Budget for BY 2019-20							
Population, July 1, 2019	56	26	10	211	0	20	323
Change (+/-)	0	-26	-10	0	0	5	-31
Ending Population, June 30, 2020	56	0	0	211	0	25	292
Population Change BY 2019-20	0	-26	-10	0	0	5	-31

Program Update

2018-19

The 2019-20 Governor's Budget proposes a net increase of \$9.8 million (\$7.5 million GF increase) as compared to the 2018-19 Enacted Budget to operate facilities.

Specific adjustments by facility are as follows:

Canyon Springs

The 2019-20 Governor's Budget proposes a net increase of \$0.4 million (\$0.3 million GF increase) for the following adjustments:

- Decrease of -\$0.4 million (-\$0.2 million GF decrease) in Workers' Compensation expenditures shifted to Ongoing Costs.
- Increase of \$0.8 million (\$0.5 million GF increase) for Employee Compensation and Retirement adjustments.

Fairview

The 2019-20 Governor's Budget proposes a net decrease of -\$14.1 million (-\$7.1 million GF decrease) for the following adjustments:

- Decrease of -\$15.1 million (-\$7.8 million GF decrease) in Workers' Compensation expenditures shifted to Ongoing Costs.
- Decrease of -\$0.9 million (-\$0.6 million GF decrease) in Lump Sum Leave Balance payouts for separating employees.
- Decrease of -\$0.2 million (-\$0.1 million GF decrease) in resident OE&E expenditures resulting from five fewer residents at FDC than estimated at the 2018-19 Enacted Budget.
- Increase of \$2.1 million (\$1.4 million GF increase) for Employee Compensation and Retirement adjustments.

Porterville - General Treatment Area (GTA)

The 2019-20 Governor's Budget proposes a net decrease of -\$0.2 million (zero impact to GF) and the shift of 0.8 positions to the Secure Treatment Area (STA). The following adjustments are included:

- Decrease of -\$1.1 million (-\$0.6 million GF decrease) in Workers' Compensation expenditures shifted to Ongoing Costs.
- Decrease of -\$0.3 million (-\$0.1 million GF decrease) in resident OE&E expenditures resulting from seven fewer residents remaining in Porterville's GTA than estimated at the 2018-19 Enacted Budget.
- Increase of \$1.3 million (\$0.8 million GF increase) for Employee Compensation and Retirement adjustments.

- Decrease of -\$0.1 million (-\$0.1 million GF decrease) in Program Support expenditures shifted to STA. As the population in the GTA decreases, more facility-wide Program Support resources are prorated to the STA.

Porterville STA

The 2019-20 Governor's Budget proposes a net increase of \$0.7 million (-\$0.5 million GF decrease) and an increase of 0.8 positions shifted from the GTA. The following adjustments are included:

- Decrease of -\$4.0 million (-\$4.0 million GF decrease) in Workers' Compensation expenditures shifted to Ongoing Costs.
- Increase of \$4.6 million (\$3.4 million GF increase) for Employee Compensation and Retirement adjustments.
- Increase of \$0.1 million (\$0.1 million GF increase) in Program Support expenditures shifted from the GTA.

Sonoma

The 2019-20 Governor's Budget proposes a net decrease of -\$5.7 million (-\$5.0 million GF decrease) for the following adjustments:

- Decrease of -\$7.7 million (-\$6.8 million GF decrease) in Workers' Compensation expenditures shifted to Ongoing Costs.
- Decrease of -\$0.4 million (\$0.2 million GF increase) in resident OE&E expenditures resulting from eight fewer residents than estimated at the 2018-19 Enacted Budget. General Fund increase is due to updated reimbursement assumptions.
- Increase of \$2.4 million (\$1.6 million GF increase) for Employee Compensation and Retirement adjustments.

Stabilization, Training, Assistance and Reintegration Homes (STAR) and Crisis Assessment Stabilization Teams (CAST)

The 2019-20 Governor's Budget proposes an increase of \$0.4 million GF for Employee Compensation and Retirement adjustments.

Ongoing Costs

The 2019-20 Governor's Budget proposes to shift \$28.3 million (\$19.4 million GF) in continuing Workers' Compensation claim expenditures from each facility where they were previously budgeted.

2019-20

The 2019-20 Governor's Budget proposes a net decrease of -\$84.9 million (-\$40.8 million GF decrease) and -684.4 positions as compared to the 2018-19 updated budget. Specific adjustments by facility are as follows:

Canyon Springs

The 2019-20 Governor's Budget proposes a net increase of \$0.8 million (zero impact to GF) for the following adjustments:

- Increase of \$0.7 million (-\$0.1 million GF decrease) to reflect alternate range corrections and position classification changes.
- Increase of \$0.1 million (\$0.1 million GF increase) for Employee Compensation and Retirement adjustments.

Fairview

The 2019-20 Governor's Budget proposes a net decrease of -\$30.5 million (-\$12.9 million GF decrease) and -12.0 positions for the following adjustments:

- Decrease of -\$29.9 million (-\$12.8 million GF decrease) in Personal Services expenditures to reflect half year operational costs due to the transition of the remaining residents to community living by December 31, 2019.
- Decrease of -\$5.3 million (-\$2.5 million GF decrease) in staff and resident OE&E expenditures to reflect half year operational costs due to resident transitions.
- Increase of \$4.5 million (\$2.3 million GF increase) to fund Lump Sum Leave Balance payouts for separating employees.
- Increase of \$0.2 million (\$0.1 million increase) for Employee Compensation and Retirement adjustments.

Porterville GTA

The 2019-20 Governor's Budget proposes a net decrease of -\$34.7 million (-\$18.2 million GF decrease) and -193.5 positions for the following adjustments:

- Decrease of -\$12.2 million (-\$6.5 million GF decrease) in Program Support costs due to transition of the remaining residents to community living by September 30, 2019.
- Decrease of -\$18.9 million (-\$9.8 million GF decrease) in Personal Services expenditures resulting due to transition of the remaining residents to community living by September 30, 2019.
- Decrease of -\$3.2 million (-\$1.6 million GF decrease) in staff and resident OE&E due to transition of the remaining residents to community living by September 30, 2019.
- Increase of \$0.2 million (\$0.1 million GF increase) to fund for Lump Sum Leave Balance payouts for separating employees.
- Decrease of -\$0.6 million (-\$0.4 million GF decrease) for Employee Compensation and Retirement adjustments.

Porterville STA

The 2019-20 Governor's Budget proposes a net increase of \$25.0 million (\$26.0 million GF increase) and 88.7 positions for the following adjustments:

- Increase of \$16.1 million GF Program Support expenditures. With the anticipated closure of the GTA, all facility administrative and program support costs will be included in the STA. The adjustment reflects updates to the staffing model to reflect the STA's continued operations as a standalone program.
- Increase of \$3.0 million (\$4.0 million GF increase) to reflect alternate range corrections and position classification changes.
- Increase of \$0.9 million GF for Employee Compensation and Retirement adjustments.
- Increase of \$5.0 million GF one time funding for Deferred Maintenance.

STAR Homes and CAST Services

The 2019-20 Governor's Budget proposes an increase of \$11.7 million (\$7.3 million GF increase) and 100.6 positions for the following adjustments:

- Increase of \$6.3 million (\$2.4 million GF increase) in Personal Services and OE&E expenditures for the addition of one STAR home in Northern California as well as adjustments to the staffing and OE&E formulas to reflect STAR home operations independent from the Sonoma and Fairview developmental centers.
- Increase of \$5.3 million (\$4.8 million GF increase) in Personal Services and OE&E for one CAST and development costs for the additional two STAR homes in the Central Valley.
- Increase of \$0.1 million GF for Employee Compensation and Retirement adjustments.

Ongoing Costs

The 2019-20 Governor's Budget proposes a net increase of \$1.0 million (\$6.4 million GF increase) and 8.0 positions. The adjustments include:

- Increase of \$1.0 million GF in Personal Services expenditures for 8.0 Regional Resource Development Project (RRDP) staff required to continue monitoring of Sonoma residents who transitioned to the community.
- Increase of \$0.1 million GF for Employee Compensation and Retirement adjustments related to the Sonoma Developmental Center RRDP staff continuation.
- Fund shift of \$5.3 million GF to reflect updated Workers' Compensation reimbursement assumptions.

Sonoma

The 2019-20 Governor's Budget reflects a decrease of -\$58.2 million (-\$49.4 million GF decrease) and a reduction of -676.6 positions as a result of Sonoma's closure.

Canyon Springs Community Facility

Proposed Governor's Budget to Enacted Budget

Current Year 2018 - 19

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	229.0	229.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$21,503	\$21,936	-\$433
<i>Staff OE&E</i>	1,706	1,723	-17
<i>Resident OE&E</i>	1,230	1,230	0
<i>Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$26,400	\$26,850	-\$450
Total Operations Expenditures	\$26,400	\$26,850	-\$450
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$843	\$0	\$843
Total Expenditures	\$27,243	\$26,850	\$393

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$14,028	\$13,703	\$325
<i>Reimbursements</i>	13,186	13,100	86
<i>Lottery Fund</i>	<u>30</u>	<u>47</u>	<u>-17</u>
Fund, Total	\$27,243	\$26,850	\$393

Canyon Springs Community Facility
Resident Population and Staffing Detail
Current Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
56	0	0	56

Units			
ICF	SNF	Acute	Total Units
3.00	0.00	0.00	3.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	152.0	0.0	0.0	152.0
Medical	2.0	0.0	0.0	2.0
Support Services	75.0	0.0	0.0	75.0
Total	229.0	0.0	0.0	229.0
Total Staffing	229.0	0.0	0.0	229.0

Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	152.0	152.0	0.0
Medical	2.0	2.0	0.0
Support Services	75.0	75.0	0.0
Total Positions	229.0	229.0	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility

Expenditure and Funding Detail

Current Year 2018 - 19

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$20,624	\$0	\$0	\$879	\$21,503
Staff OE&E	1,706	0	0	0	1,706
Resident OE&E	1,230	0	0	0	1,230
Total	\$23,560	\$0	\$0	\$879	\$24,439

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$843	\$843

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$20,624	\$0	\$0	\$879	\$21,503
OE&E	4,897	0	0	0	4,897
Staff OE&E	1,706	0	0	0	1,706
Resident OE&E	1,230	0	0	0	1,230
Fixed OE&E	1,961	0	0	0	1,961
Control Section Adjustments	0	0	0	843	843
Grand Total	\$25,521	\$0	\$0	\$1,722	\$27,243

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$13,029	\$0	\$0	\$999	\$14,028
Reimbursements	12,463	0	0	723	13,186
Lottery Education Fund	30	0	0	0	30
Grand Total	\$25,521	\$0	\$0	\$1,722	\$27,243

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
Proposed Governor's Budget to Enacted Budget
Current Year 2018 - 19
(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	104	109	-5
Units			
<i>ICF</i>	2.00	2.00	0.00
<i>SNF</i>	2.00	2.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	5.00	0.00
Positions			
<i>Unit Staffing</i>	238.9	238.9	0.0
<i>Program Support</i>	<u>328.4</u>	<u>328.4</u>	<u>0.0</u>
Positions, Total	567.3	567.3	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$26,081	\$26,081	\$0
<i>Staff OE&E</i>	1,330	1,355	-25
<i>Resident OE&E</i>	<u>3,435</u>	<u>3,600</u>	<u>-165</u>
Unit Staffing, Total	\$30,845	\$31,036	-\$190
<i>Program Support</i>			
<i>Personal Services</i>	\$30,960	\$46,057	-\$15,097
<i>Staff OE&E</i>	1,834	1,859	-25
<i>Fixed Facility OE&E</i>	<u>4,654</u>	<u>4,654</u>	<u>0</u>
Program Support, Total	\$37,448	\$52,570	-\$15,122
Total Operations Expenditures	\$68,294	\$83,606	-\$15,312
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$2,121	\$0	\$2,121
Major Assumptions			
Lump Sum Payouts	\$3,043	\$3,978	-\$935
Total Expenditures	\$73,458	\$87,584	-\$14,126

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$38,083	\$45,167	-\$7,084
<i>Reimbursements</i>	35,315	42,307	-6,992
<i>Lottery Fund</i>	<u>60</u>	<u>110</u>	<u>-50</u>
Fund, Total	\$73,458	\$87,584	-\$14,126

Fairview Developmental Center
Resident Population and Staffing Detail
Current Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
59	45	0	104

Units			
ICF	SNF	Acute	Total Units
2.00	2.00	1.00	5.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	105.9	74.0	14.3	194.2
Medical	2.5	4.0	0.5	7.0
Support Services	<u>23.1</u>	<u>12.5</u>	<u>2.1</u>	<u>37.7</u>
Total	131.5	90.5	16.9	238.9

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	14.4	8.6	2.1	25.1
Medical	24.1	14.5	3.6	42.2
Support Services	<u>146.8</u>	<u>91.9</u>	<u>22.3</u>	<u>261.0</u>
Total	185.3	115.0	28.0	328.3

Total Staffing	316.9	205.5	44.9	567.3
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	219.3	219.3	0.0
Medical	49.3	49.3	0.0
Support Services	298.7	298.7	0.0
Total Positions	567.3	567.3	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center

Expenditure and Funding Detail

Current Year 2018 - 19

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$12,468	\$9,269	\$2,112	\$2,232	\$26,081
Staff OE&E	725	509	95	0	1,330
Resident OE&E	1,949	1,486	0	0	3,435
Total	\$15,142	\$11,265	\$2,207	\$2,232	\$30,845
Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$15,128	\$11,236	\$2,202	\$2,394	\$30,960
Staff OE&E	960	731	143	0	1,834
Fixed Facility OE&E	0	0	0	4,654	4,654
Total	\$16,088	\$11,967	\$2,345	\$7,048	\$37,448
Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$2,121	\$2,121
Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$3,043	\$3,043
Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$27,596	\$20,505	\$4,314	\$4,626	\$57,041
OE&E	3,634	2,727	238	4,654	11,253
Staff OE&E	1,685	1,241	238	0	3,164
Resident OE&E	1,949	1,486	0	0	3,435
Fixed OE&E	0	0	0	4,654	4,654
Control Section Adjustments	0	0	0	2,121	2,121
Major Assumptions	0	0	0	3,043	3,043
Grand Total	\$31,230	\$23,232	\$4,552	\$14,444	\$73,458
Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$15,966	\$11,732	\$2,779	\$7,605	\$38,083
Reimbursements	15,203	11,500	1,774	6,838	35,315
Lottery Education Fund	60	0	0	0	60
Grand Total	\$31,230	\$23,232	\$4,552	\$14,444	\$73,458

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

Proposed Governor's Budget to Enacted Budget

Current Year 2018 - 19

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	58	65	-7
Units			
ICF	2.50	2.50	0.00
SNF	1.00	1.00	0.00
Acute	0.00	0.00	0.00
Units, Total	3.50	3.50	0.00
Positions			
Unit Staffing	210.4	210.4	0.0
Program Support	115.2	116.0	-0.8
Positions, Total	325.6	326.4	-0.8
Operations Expenditures			
Unit Staffing			
Personal Services	\$21,475	\$21,475	\$0
Staff OE&E	1,270	1,295	-25
Resident OE&E	1,671	1,873	-202
Unit Staffing, Total	\$24,417	\$24,643	-\$227
Program Support			
Personal Services	\$10,308	\$11,485	-\$1,177
Staff OE&E	712	717	-5
Fixed Facility OE&E	2,047	2,058	-11
Program Support, Total	\$13,068	\$14,260	-\$1,193
Total Operations Expenditures	\$37,484	\$38,903	-\$1,419
Control Section Adjustments			
Employee Comp and Retirement	\$1,196	\$0	\$1,196
Total Expenditures	\$38,680	\$38,903	-\$223

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$20,236	\$20,183	\$53
Reimbursements	18,415	18,665	-250
Lottery Fund	30	55	-25
Fund, Total	\$38,680	\$38,903	-\$223

Porterville Developmental Center- General Treatment AreaResident Population and Staffing Detail
Current Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
39	19	0	58

Units			
ICF	SNF	Acute	Total Units
2.50	1.00	0.00	3.50

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	133.2	37.0	0.0	170.1
Medical	3.1	2.0	0.0	5.1
Support Services	<u>28.9</u>	<u>6.3</u>	<u>0.0</u>	<u>35.2</u>
Total	165.2	45.3	0.0	210.4

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	6.9	2.1	0.0	9.0
Medical	9.5	2.9	0.0	12.5
Support Services	<u>71.5</u>	<u>22.2</u>	<u>0.0</u>	<u>93.7</u>
Total	87.9	27.3	0.0	115.2

Total Staffing	253.1	72.5	0.0	325.6
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	179.2	179.1	-0.1
Medical	17.7	17.6	-0.1
Support Services	129.5	128.9	-0.6
Total Positions	326.4	325.6	-0.8

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Detail

Current Year 2018 - 19

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$15,675	\$4,643	\$0	\$1,158	\$21,475
Staff OE&E	993	277	0	0	1,270
Resident OE&E	<u>1,124</u>	<u>547</u>	<u>0</u>	<u>0</u>	<u>1,671</u>
Total	\$17,791	\$5,468	\$0	\$1,158	\$24,417

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$7,584	\$2,328	\$0	\$396	\$10,308
Staff OE&E	545	167	0	0	712
Fixed Facility OE&E	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,047</u>	<u>2,047</u>
Total	\$8,129	\$2,495	\$0	\$2,444	\$13,068

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$1,196	\$1,196

Total General Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$23,259	\$6,970	\$0	\$1,554	\$31,783
OE&E	2,661	992	0	2,047	5,701
Staff OE&E	1,538	445	0	0	1,982
Resident OE&E	1,124	547	0	0	1,671
Fixed Facility OE&E	0	0	0	2,047	2,047
Control Section Adjustments	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,196</u>	<u>1,196</u>
Grand Total	\$25,920	\$7,962	\$0	\$4,797	\$38,680

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$13,437	\$4,063	\$0	\$2,736	\$20,236
Reimbursements	12,454	3,899	0	2,062	18,415
Lottery Education Fund	<u>30</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>30</u>
Grand Total	\$25,920	\$7,962	\$0	\$4,797	\$38,680

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Area

Proposed Governor's Budget to Enacted Budget

Current Year 2018 - 19

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	211	211	0
Units			
<i>ICF*</i>	13.00	13.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	1.00	1.00	0.00
Units, Total	14.00	14.00	0.00
Positions			
<i>Unit Staffing</i>	765.8	765.8	0.0
<i>Program Support</i>	422.0	421.2	0.8
<i>Intensive Treatment Residence - Behavioral</i>	37.8	37.8	0.0
<i>Intensive Treatment Residence - Medical</i>	37.8	37.8	0.0
Positions, Total	1,263.3	1,262.5	0.8
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$77,037	\$77,037	\$0
<i>Staff OE&E</i>	4,675	4,700	-25
<i>Resident OE&E</i>	6,080	6,080	0
Unit Staffing, Total	\$87,791	\$87,817	-\$25
<i>Program Support</i>			
<i>Personal Services</i>	\$37,203	\$41,161	-\$3,958
<i>Staff OE&E</i>	2,600	2,596	4
<i>Fixed Facility OE&E**</i>	14,934	14,934	0
Program Support, Total	\$54,737	\$58,691	-\$3,954
<i>Intensive Treatment Residence - Behavioral</i>			
<i>Personal Services</i>	\$3,583	\$3,583	\$0
<i>Staff OE&E</i>	231	231	0
IBTR - Behavioral, Total	\$3,815	\$3,814	\$0
<i>Intensive Treatment Residence - Medical</i>			
<i>Personal Services</i>	\$3,583	\$3,583	\$0
<i>Staff OE&E</i>	231	231	0
IBTR - Medical, Total	\$3,815	\$3,814	\$0
Total Operations Expenditures	\$150,158	\$154,137	-\$3,979
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$4,642	\$0	\$4,642
Total Expenditures	\$154,800	\$154,137	\$663

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$151,971	\$152,532	-\$561
<i>Reimbursements</i>	2,799	1,550	1,249
<i>Lottery Fund</i>	30	55	-25
Fund, Total	\$154,800	\$154,137	\$663

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment Area

Resident Population and Staffing Detail

Current Year 2018 - 19

Beginning Resident Population				
	ICF	SNF	Acute	Total Population
	211	0	0	211
Units*				
	ICF	SNF	Acute	Total Units
	13.00	0.00	1.00	14.00
Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	624.6	0.0	14.3	638.9
Medical	16.5	0.0	0.5	17.0
Support Services	<u>107.8</u>	<u>0.0</u>	<u>2.1</u>	<u>109.9</u>
Total	748.9	0.0	16.9	765.8
Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	32.1	0.0	0.9	33.0
Medical	44.3	0.0	1.2	45.5
Support Services	<u>334.5</u>	<u>0.0</u>	<u>9.0</u>	<u>343.5</u>
Total	410.9	0.0	11.1	422.0
Intensive Treatment Residence - Behavioral				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	<u>7.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7.5</u>
Total	37.8	0.0	0.0	37.8
Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	<u>7.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7.5</u>
Total	37.8	0.0	0.0	37.8
Total Staffing	1,235.3	0.0	28.0	1,263.3

Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	730.7	730.8	0.1
Medical	64.0	64.0	0.0
Support Services	467.8	468.4	0.6
Total Positions	1,262.5	1,263.3	0.8

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

Porterville Developmental Center - Secure Treatment Area

Expenditure and Funding Detail

Current Year 2018 - 19

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$72,132	\$0	\$2,116	\$2,789	\$77,036
Staff OE&E	4,571	0	104	0	4,675
Resident OE&E	<u>6,080</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,080</u>
Total	\$82,783	\$0	\$2,219	\$2,789	\$87,791
Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$35,249	\$0	\$945	\$1,009	\$37,203
Staff OE&E	2,532	0	68	0	2,600
Fixed Facility OE&E*	<u>0</u>	<u>0</u>	<u>0</u>	<u>14,934</u>	<u>14,934</u>
Total	\$37,782	\$0	\$1,013	\$15,943	\$54,737
Intensive Treatment Residence - Behavioral Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,450	\$0	\$0	\$133	\$3,584
Staff OE&E	<u>231</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>231</u>
Total	\$3,682	\$0	\$0	\$133	\$3,815
Intensive Treatment Residence - Medical Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,450	\$0	\$0	\$133	\$3,584
Staff OE&E	<u>231</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>231</u>
Total	\$3,682	\$0	\$0	\$133	\$3,815
Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$4,642	\$4,642
Total Secure Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$114,282	\$0	\$3,061	\$4,064	\$121,407
OE&E	13,646	0	171	14,934	28,751
Staff OE&E	7,566	0	171	0	7,738
Resident OE&E	<u>6,080</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,080</u>
Fixed Facility OE&E*	<u>0</u>	<u>0</u>	<u>0</u>	<u>14,934</u>	<u>14,934</u>
Control Section Adjustments	<u>0</u>	<u>0</u>	<u>0</u>	<u>4,642</u>	<u>4,642</u>
Grand Total	\$127,928	\$0	\$3,232	\$23,640	\$154,800
Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$127,898	\$0	\$2,172	\$21,900	\$151,971
Reimbursements	0	0	1,060	1,740	2,799
Lottery Education Fund	<u>30</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>30</u>
Grand Total	\$127,928	\$0	\$3,232	\$23,640	\$154,800

* Includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Sonoma Developmental Center
Proposed Governor's Budget to Enacted Budget
Current Year 2018 - 19
(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	75	83	-8
Units			
ICF	3.00	3.00	0.00
SNF	1.00	1.00	0.00
Acute	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	5.00	0.00
Positions			
Unit Staffing	259.2	259.2	0.0
Program Support	<u>417.4</u>	<u>417.4</u>	<u>0.0</u>
Positions, Total	676.6	676.6	0.0
Operations Expenditures			
Unit Staffing			
Personal Services	\$15,268	\$15,268	\$0
Staff OE&E	1,168	1,181	-13
Resident OE&E	<u>2,445</u>	<u>2,705</u>	<u>-261</u>
Unit Staffing, Total	\$18,880	\$19,154	-\$274
Program Support			
Personal Services	\$21,473	\$29,156	-\$7,683
Staff OE&E	2,025	2,038	-13
Fixed Facility OE&E	<u>8,194</u>	<u>8,194</u>	0
Program Support, Total	\$31,692	\$39,388	-\$7,696
Total Operations Expenditures	\$50,573	\$58,542	-\$7,970
Control Section Adjustments			
Employee Comp and Retirement	\$2,320	\$0	\$2,320
Major Assumptions			
Lump Sum Payouts	\$5,322	\$5,322	\$0
Total Expenditures	\$58,215	\$63,864	-\$5,650

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$49,360	\$54,355	-\$4,995
Reimbursements	8,825	9,454	-629
Lottery Fund	<u>30</u>	<u>56</u>	<u>-26</u>
Fund, Total	\$58,215	\$63,865	-\$5,650

Sonoma Developmental Center
Resident Population and Staffing Detail
Current Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total
63	12	0	75

Units*			
ICF	SNF	Acute	Total
3.00	1.00	1.00	5.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	158.6	37.0	14.3	209.8
Medical	3.8	2.0	0.5	6.3
Support Services	<u>34.7</u>	<u>6.3</u>	<u>2.1</u>	<u>43.1</u>
Total	197.0	45.3	16.9	259.2

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	23.2	8.0	2.0	33.2
Medical	29.8	7.1	2.7	39.6
Support Services	<u>245.1</u>	<u>77.3</u>	<u>22.2</u>	<u>344.6</u>
Total	298.1	92.4	27.0	417.4

Total Staffing	495.1	137.6	43.8	676.6
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	243.0	243.0	0.0
Medical	45.9	45.9	0.0
Support Services	387.6	387.6	0.0
Total Positions	676.6	676.6	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Sonoma Developmental Center

Expenditure and Funding Detail

Current Year 2018 - 19

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$9,323	\$2,313	\$1,054	\$2,577	\$15,268
Staff OE&E	886	205	77	0	1,168
Resident OE&E	<u>2,053</u>	<u>391</u>	<u>0</u>	<u>0</u>	<u>2,445</u>
Total	\$12,263	\$2,910	\$1,131	\$2,577	\$18,880
Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$11,583	\$2,746	\$1,067	\$6,078	\$21,473
Staff OE&E	1,148	275	107	495	2,025
Fixed Facility OE&E	<u>0</u>	<u>0</u>	<u>0</u>	<u>8,194</u>	<u>8,194</u>
Total	\$12,730	\$3,021	\$1,174	\$14,767	\$31,692
Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$2,320	\$2,320
Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$5,322	\$5,322
Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$20,905	\$5,059	\$2,122	\$8,654	\$36,741
OE&E	4,088	872	184	8,689	13,832
Staff OE&E	<u>2,034</u>	<u>481</u>	<u>184</u>	<u>495</u>	<u>3,193</u>
Resident OE&E	<u>2,053</u>	<u>391</u>	<u>0</u>	<u>0</u>	<u>2,445</u>
Fixed OE&E	<u>0</u>	<u>0</u>	<u>0</u>	<u>8,194</u>	<u>8,194</u>
Control Section Adjustments	0	0	0	2,320	2,320
Major Assumptions	<u>0</u>	<u>0</u>	<u>0</u>	<u>5,322</u>	<u>5,322</u>
Grand Total	\$24,993	\$5,931	\$2,305	\$24,985	\$58,215
Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$24,963	\$3,005	\$1,536	\$19,855	\$49,360
Reimbursements	0	2,926	769	5,130	8,825
Lottery Education Fund	<u>30</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>30</u>
Grand Total	\$24,993	\$5,931	\$2,305	\$24,985	\$58,215

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Proposed Governor's Budget to Enacted Budget

Current Year 2018 - 19

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	10	10	0
Units			
ICF	4.00	4.00	0.00
SNF	0.00	0.00	0.00
Acute	0.00	0.00	0.00
Units, Total	4.00	4.00	0.00
Positions			
STAR	106.4	106.4	0.0
CAST	14.5	14.5	0.0
Positions, Total	120.9	120.9	0.0
Operations Expenditures			
<u>STAR</u>			
Personal Services	\$10,124	\$10,124	\$0
Staff OE&E	541	541	0
Resident OE&E	656	656	0
STAR, Total	\$11,321	\$11,320	\$0
<u>CAST</u>			
Personal Services	\$1,606	\$1,606	\$0
Staff OE&E	284	284	0
CAST, Total	\$1,890	\$1,890	\$0
Total Operations Expenditures	\$13,210	\$13,210	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$428	\$0	\$428
Total Expenditures	\$13,638	\$13,210	\$428

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$13,638	\$13,210	\$428
Reimbursements	0	0	0
Lottery Fund	0	0	0
Fund, Total	\$13,638	\$13,210	\$428

STAR & CASTResident Population and Staffing Detail
Current Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
10	0	0	10

Units			
ICF	SNF	Acute	Total Units
4.00	0.00	0.00	4.00

STAR Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	79.0	0.0	0.0	79.0
Medical	1.4	0.0	0.0	1.4
Support Services	<u>26.0</u>	<u>0.0</u>	<u>0.0</u>	<u>26.0</u>
Total	106.4	0.0	0.0	106.4

CAST Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	14.5	0.0	0.0	14.5
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	14.5	0.0	0.0	14.5

Total Staffing	120.9	0.0	0.0	120.9
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018- 19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	93.5	93.5	0.0
Medical	1.4	1.4	0.0
Support Services	26.0	26.0	0.0
Total Positions	120.9	120.9	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CASTExpenditure and Funding Detail
Current Year 2018 - 19
(Dollars in thousands)

STAR Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$10,010	\$0	\$0	\$114	\$10,124
Staff OE&E	541	0	0	0	541
Resident OE&E	656	0	0	0	656
Total	\$11,207	\$0	\$0	\$114	\$11,321

CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$1,606	\$1,606
Staff OE&E	0	0	0	284	284
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$1,889	\$1,889

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$428	\$428

Total STAR & CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$10,010	\$0	\$0	\$1,720	\$11,730
OE&E	1,197	0	0	284	1,481
Staff OE&E	541	0	0	284	824
Resident OE&E	656	0	0	0	656
Fixed Facility OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	428	428
Grand Total	\$11,207	\$0	\$0	\$2,431	\$13,638

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$11,207	\$0	\$0	\$2,431	\$13,638
Reimbursements	0	0	0	0	0
Lottery Education Fund	0	0	0	0	0
Grand Total	\$11,207	\$0	\$0	\$2,431	\$13,638

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Proposed Governor's Budget to Enacted Budget

Current Year 2018 - 19

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2018	0	0	0
Units			
ICF	0.00	0.00	0.00
SNF	0.00	0.00	0.00
Acute	0.00	0.00	0.00
Units, Total	0.00	0.00	0.00
Positions	0.0	0.0	0.0
Operations Expenditures			
Personal Services	\$28,349	\$0	\$28,349
Staff OE&E	0	0	0
Total	\$28,349	\$0	\$28,349
Total Operations Expenditures	\$28,349	\$0	\$28,349
Control Section Adjustments			
Employee Comp and Retirement	\$0	\$0	\$0
Total Expenditures	\$28,349	\$0	\$28,349

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$19,367	\$0	\$19,367
Reimbursements	8,982	0	8,982
Lottery Fund	0	0	0
Fund, Total	\$28,349	\$0	\$28,349

Ongoing CostsResident Population and Staffing Detail
Current Year 2018 - 19

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Ongoing Cost Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0
Total Staffing	0.0	0.0	0.0	0.0

Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	0.0	0.0	0.0
Medical	0.0	0.0	0.0
Support Services	0.0	0.0	0.0
Total Positions	0.0	0.0	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Expenditure and Funding Detail

Current Year 2018 - 19

(Dollars in thousands)

Ongoing Cost Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$28,349	\$28,349
Staff OE&E	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	\$0	\$0	\$0	\$28,349	\$28,349

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Total Ongoing Cost Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$28,349	\$28,349
Staff OE&E	0	0	0	0	0
Control Section Adjustments	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Grand Total	\$0	\$0	\$0	\$28,349	\$28,349

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$19,367	\$19,367
Reimbursements	0	0	0	8,982	8,982
Lottery Education Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Grand Total	\$0	\$0	\$0	\$28,349	\$28,349

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility
Proposed Governor's Budget to Enacted Budget
Budget Year 2019 - 20
(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	229.0	229.0	0.0
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$22,160	\$21,936	\$224
<i>Staff OE&E</i>	1,698	1,723	-25
<i>Resident OE&E</i>	1,230	1,230	0
<i>Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$27,049	\$26,850	\$199
Total Operations Expenditures	\$27,049	\$26,850	\$199
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$953	\$0	\$953
Total Expenditures	\$28,002	\$26,850	\$1,152

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$14,003	\$13,703	\$300
<i>Reimbursements</i>	13,963	13,100	863
<i>Lottery Fund</i>	<u>36</u>	<u>47</u>	<u>-11</u>
Fund, Total	\$28,002	\$26,850	\$1,152

Canyon Springs Community Facility
Resident Population and Staffing Detail
Budget Year 2019 - 20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
56	0	0	56

Units			
ICF	SNF	Acute	Total Units
3.00	0.00	0.00	3.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	152.0	0.0	0.0	152.0
Medical	2.0	0.0	0.0	2.0
Support Services	75.0	0.0	0.0	75.0
Total	229.0	0.0	0.0	229.0
Total Staffing	229.0	0.0	0.0	229.0

Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	152.0	152.0	0.0
Medical	2.0	2.0	0.0
Support Services	75.0	75.0	0.0
Total Positions	229.0	229.0	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility

Expenditure and Funding Detail

Budget Year 2019 - 20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$22,160	\$0	\$0	\$0	\$22,160
Staff OE&E	1,698	0	0	0	1,698
Resident OE&E	1,230	0	0	0	1,230
Total	\$25,089	\$0	\$0	\$0	\$25,089

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$953	\$953

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$22,160	\$0	\$0	\$0	\$22,160
OE&E	2,928	0	0	1,961	4,889
Staff OE&E	1,698	0	0	0	1,698
Resident OE&E	1,230	0	0	0	1,230
Fixed OE&E	0	0	0	1,961	1,961
Control Section Adjustments	0	0	0	953	953
Grand Total	\$25,089	\$0	\$0	\$2,914	\$28,002

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$12,398	\$0	\$0	\$1,605	\$14,003
Reimbursements	12,655	0	0	1,309	13,963
Lottery Education Fund	36	0	0	0	36
Grand Total	\$25,089	\$0	\$0	\$2,914	\$28,002

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
Proposed Governor's Budget to Enacted Budget
Budget Year 2019 - 20
(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	26	109	-83
Units			
<i>ICF</i>	2.00	2.00	0.00
<i>SNF</i>	2.00	2.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	5.00	0.00
Positions			
<i>Unit Staffing</i>	238.9	238.9	0.0
<i>Program Support</i>	<u>316.4</u>	<u>328.4</u>	<u>-12.0</u>
Positions, Total	555.3	567.3	-12.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$9,744	\$26,081	-\$16,337
<i>Staff OE&E</i>	644	1,355	-711
<i>Resident OE&E</i>	<u>429</u>	<u>3,600</u>	<u>-3,171</u>
Unit Staffing, Total	\$10,817	\$31,036	-\$20,218
<i>Program Support</i>			
<i>Personal Services</i>	\$17,453	\$46,057	-\$28,605
<i>Staff OE&E</i>	1,073	1,859	-786
<i>Fixed Facility OE&E</i>	<u>3,769</u>	<u>4,654</u>	<u>-885</u>
Program Support, Total	\$22,295	\$52,570	-\$30,275
Total Operations Expenditures	\$33,113	\$83,606	-\$50,493
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$2,350	\$0	\$2,350
Major Assumptions			
<i>Lump Sum Payouts</i>	\$7,500	\$3,978	\$3,522
Total Expenditures	\$42,963	\$87,584	-\$44,621

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$25,107	\$45,167	-\$20,060
<i>Reimbursements</i>	17,788	42,307	-24,519
<i>Lottery Fund</i>	<u>68</u>	<u>110</u>	<u>-42</u>
Fund, Total	\$42,963	\$87,584	-\$44,621

Fairview Developmental Center
Resident Population and Staffing Detail
Budget Year 2019 - 20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
26	0	0	26

Units			
ICF	SNF	Acute	Total Units
2.00	2.00	1.00	5.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	105.9	74.0	14.3	194.2
Medical	2.5	4.0	0.5	7.0
Support Services	<u>23.1</u>	<u>12.6</u>	<u>2.1</u>	<u>37.8</u>
Total	131.5	90.5	16.9	238.9

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	12.3	9.7	2.0	24.0
Medical	21.1	16.5	3.4	41.0
Support Services	<u>129.2</u>	<u>101.2</u>	<u>21.0</u>	<u>251.4</u>
Total	162.6	127.3	26.5	316.4

Total Staffing	294.1	217.8	43.4	555.3
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	219.3	218.2	-1.1
Medical	49.3	48.0	-1.3
Support Services	298.7	289.1	-9.6
Total Positions	567.3	555.3	-12.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center

Expenditure and Funding Detail

Budget Year 2019 - 20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$5,087	\$3,796	\$860	\$0	\$9,744
Staff OE&E	342	255	48	0	644
Resident OE&E	116	314	0	0	429
Total	\$5,545	\$4,364	\$908	\$0	\$10,817

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$7,195	\$5,634	\$1,172	\$3,452	\$17,453
Staff OE&E	429	358	75	211	1,073
Fixed Facility OE&E	0	0	0	3,769	3,769
Total	\$7,624	\$5,993	\$1,246	\$7,432	\$22,295

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$2,350	\$2,350

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$7,500	\$7,500

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$12,282	\$9,430	\$2,032	\$3,452	\$27,196
OE&E	887	927	122	3,980	5,916
Staff OE&E	772	613	122	211	1,718
Resident OE&E	116	314	0	0	429
Fixed OE&E	0	0	0	3,769	3,769
Control Section Adjustments	0	0	0	2,350	2,350
Major Assumptions	0	0	0	7,500	7,500
Grand Total	\$13,169	\$10,357	\$2,154	\$17,282	\$42,963

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$6,666	\$5,179	\$1,428	\$11,834	\$25,107
Reimbursements	6,435	5,179	726	5,448	17,788
Lottery Education Fund	68	0	0	0	68
Grand Total	\$13,169	\$10,357	\$2,154	\$17,282	\$42,963

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

Proposed Governor's Budget to Enacted Budget

Budget Year 2019 - 20

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	10	65	-55
Units			
ICF	2.00	2.50	-0.50
SNF	0.00	1.00	-1.00
Acute	0.00	0.00	0.00
Units, Total	2.00	3.50	-1.50
Positions			
Unit Staffing	132.1	210.4	-78.3
Program Support	0.0	116.0	-116.0
Positions, Total	132.1	326.4	-194.3
Operations Expenditures			
Unit Staffing			
Personal Services	\$2,884	\$21,475	-\$18,591
Staff OE&E	172	1,295	-1,123
Resident OE&E	72	1,873	-1,801
Unit Staffing, Total	\$3,127	\$24,643	-\$21,516
Program Support			
Personal Services	\$0	\$11,485	-\$11,485
Staff OE&E	0	717	-717
Fixed Facility OE&E	0	2,058	-2,058
Program Support, Total	\$0	\$14,260	-\$14,260
Total Operations Expenditures	\$3,127	\$38,903	-\$35,776
Control Section Adjustments			
Employee Comp and Retirement	\$656	\$0	\$656
Major Assumptions			
Lump Sum	\$230	\$0	\$230
Total Expenditures	\$4,013	\$38,903	-\$34,890

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$2,009	\$20,183	-\$18,174
Reimbursements	1,966	18,665	-16,699
Lottery Fund	38	55	-17
Fund, Total	\$4,013	\$38,903	-\$34,890

Porterville Developmental Center- General Treatment Area

Resident Population and Staffing Detail

Budget Year 2019 - 20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
10	0	0	10

Units			
ICF	SNF	Acute	Total Units
2.00	0.00	0.00	2.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	106.5	0.0	0.0	106.5
Medical	2.5	0.0	0.0	2.5
Support Services	<u>23.1</u>	<u>0.0</u>	<u>0.0</u>	<u>23.1</u>
Total	132.1	0.0	0.0	132.1

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	132.1	0.0	0.0	132.1
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	179.2	106.5	-72.7
Medical	17.7	2.5	-15.2
Support Services	129.5	23.1	-106.4
Total Positions	326.4	132.1	-194.3

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Detail

Budget Year 2019 - 20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$2,884	\$0	\$0	\$0	\$2,884
Staff OE&E	172	0	0	0	172
Resident OE&E	72	0	0	0	72
Total	\$3,127	\$0	\$0	\$0	\$3,127

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$656	\$656

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$230	\$230

Total General Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$2,884	\$0	\$0	\$0	\$2,884
OE&E	244	0	0	0	244
Staff OE&E	172	0	0	0	172
Resident OE&E	72	0	0	0	72
Fixed Facility OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	656	656
Major Assumptions	0	0	0	230	230
Grand Total	\$3,127	\$0	\$0	\$886	\$4,013

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$1,468	\$0	\$0	\$541	\$2,009
Reimbursements	1,621	0	0	345	1,966
Lottery Education Fund	38	0	0	0	38
Grand Total	\$3,127	\$0	\$0	\$886	\$4,013

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Area

Proposed Governor's Budget to Enacted Budget

Budget Year 2019 - 20

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	211	211	0
Units			
<i>ICF*</i>	13.00	13.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	1.00	1.00	0.00
Units, Total	14.00	14.00	0.00
Positions			
<i>Unit Staffing</i>	763.0	765.8	-2.8
<i>Program Support</i>	513.5	421.2	92.3
<i>Intensive Treatment Residence - Behavioral</i>	37.8	37.8	0.0
<i>Intensive Treatment Residence - Medical</i>	37.8	37.8	0.0
Positions, Total	1,352.0	1,262.5	89.5
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$80,122	\$77,037	\$3,085
<i>Staff OE&E</i>	4,647	4,700	-53
<i>Resident OE&E</i>	6,080	6,080	0
Unit Staffing, Total	\$90,849	\$87,817	\$3,032
<i>Program Support</i>			
<i>Personal Services</i>	\$50,566	\$41,161	\$9,405
<i>Staff OE&E</i>	3,148	2,596	552
<i>Fixed Facility OE&E**</i>	21,503	14,934	6,569
Program Support, Total	\$75,217	\$58,691	\$16,526
<i>Intensive Treatment Residence - Behavioral</i>			
<i>Personal Services</i>	\$3,853	\$3,583	\$269
<i>Staff OE&E</i>	231	231	0
IBTR - Behavioral, Total	\$4,084	\$3,814	\$269
<i>Intensive Treatment Residence - Medical</i>			
<i>Personal Services</i>	\$3,853	\$3,583	\$269
<i>Staff OE&E</i>	231	231	0
IBTR - Medical, Total	\$4,084	\$3,814	\$269
Total Operations Expenditures	\$174,234	\$154,137	\$20,097
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$5,569	\$0	\$5,569
Total Expenditures	\$179,803	\$154,137	\$25,666

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$177,952	\$152,532	\$25,420
<i>Reimbursements</i>	1,814	1,550	264
<i>Lottery Fund</i>	38	55	-17
Fund, Total	\$179,803	\$154,137	\$25,666

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment Area

Resident Population and Staffing Detail

Budget Year 2019 - 20

Beginning Resident Population				
	ICF	SNF	Acute	Total Population
	211	0	0	211
Units*				
	ICF	SNF	Acute	Total Units
	13.00	0.00	1.00	14.00
Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	624.6	0.0	14.3	638.9
Medical	13.8	0.0	0.5	14.3
Support Services	<u>107.8</u>	<u>0.0</u>	<u>2.1</u>	<u>109.9</u>
Total	746.1	0.0	16.9	763.0
Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	43.9	0.0	1.1	45.0
Medical	57.5	0.0	1.5	59.0
Support Services	<u>399.0</u>	<u>0.0</u>	<u>10.4</u>	<u>409.5</u>
Total	500.4	0.0	13.1	513.5
Intensive Treatment Residence - Behavioral				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	<u>7.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7.5</u>
Total	37.8	0.0	0.0	37.8
Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	<u>7.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7.5</u>
Total	37.8	0.0	0.0	37.8
Total Staffing	1,322.0	0.0	30.0	1,352.0

Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	730.7	742.9	12.2
Medical	64.0	74.8	10.8
Support Services	467.8	534.4	66.6
Total Positions	1,262.5	1,352.0	89.5

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

Porterville Developmental Center - Secure Treatment Area

Expenditure and Funding Detail

Budget Year 2019 - 20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$78,670	\$0	\$1,452	\$0	\$80,122
Staff OE&E	4,544	0	104	0	4,647
Resident OE&E	<u>6,080</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,080</u>
Total	\$89,293	\$0	\$1,556	\$0	\$90,849

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$49,785	\$0	\$780	\$0	\$50,566
Staff OE&E	3,068	0	80	0	3,148
Fixed Facility OE&E*	<u>0</u>	<u>0</u>	<u>0</u>	<u>21,503</u>	<u>21,503</u>
Total	\$52,853	\$0	\$861	\$21,503	\$75,217

Intensive Treatment Residence - Behavioral Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,853	\$0	\$0	\$0	\$3,853
Staff OE&E	<u>231</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>231</u>
Total	\$4,084	\$0	\$0	\$0	\$4,084

Intensive Treatment Residence - Medical Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,853	\$0	\$0	\$0	\$3,853
Staff OE&E	<u>231</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>231</u>
Total	\$4,084	\$0	\$0	\$0	\$4,084

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$5,569	\$5,569

Total Secure Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$136,161	\$0	\$2,233	\$0	\$138,393
OE&E	14,154	0	184	21,503	35,841
Staff OE&E	<u>8,074</u>	<u>0</u>	<u>184</u>	<u>0</u>	<u>8,258</u>
Resident OE&E	<u>6,080</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,080</u>
Fixed Facility OE&E*	<u>0</u>	<u>0</u>	<u>0</u>	<u>21,503</u>	<u>21,503</u>
Control Section Adjustments	<u>0</u>	<u>0</u>	<u>0</u>	<u>5,569</u>	<u>5,569</u>
Grand Total	\$150,314	\$0	\$2,417	\$27,072	\$179,803

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$150,276	\$0	\$2,290	\$25,385	\$177,952
Reimbursements	0	0	127	1,687	1,814
Lottery Education Fund	<u>38</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>38</u>
Grand Total	\$150,314	\$0	\$2,417	\$27,072	\$179,803

* Includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Proposed Governor's Budget to Enacted Budget

Budget Year 2019 - 20

(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	20	10	10
Units			
<i>ICF</i>	7.00	4.00	3.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	7.00	4.00	3.00
Positions			
<i>STAR</i>	202.0	106.4	95.6
<i>CAST</i>	<u>19.5</u>	<u>14.5</u>	<u>5.0</u>
Positions, Total	221.5	120.9	100.6
Operations Expenditures			
<u>STAR</u>			
<i>Personal Services</i>	\$14,277	\$10,124	\$4,154
<i>Staff OE&E</i>	1,469	541	928
<i>Resident OE&E</i>	800	656	144
<i>Fixed Facility OE&E</i>	<u>3,902</u>	<u>0</u>	<u>3,902</u>
STAR, Total	\$20,448	\$11,320	\$9,128
<u>CAST</u>			
<i>Personal Services</i>	\$3,923	\$1,606	\$2,316
<i>Staff OE&E</i>	<u>424</u>	<u>284</u>	<u>140</u>
CAST, Total	\$4,347	\$1,890	\$2,457
Total Operations Expenditures	\$24,795	\$13,210	\$11,585
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$550	\$0	\$550
Total Expenditures	\$25,345	\$13,210	\$12,135

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$20,986	\$13,210	\$7,776
<i>Reimbursements</i>	4,359	0	4,359
<i>Lottery Fund</i>	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$25,345	\$13,210	\$12,135

STAR & CASTResident Population and Staffing Detail
Budget Year 2019 - 20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
20	0	0	20

Units			
ICF	SNF	Acute	Total Units
7.00	0.00	0.00	7.00

STAR Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	194.5	0.0	0.0	194.5
Medical	1.5	0.0	0.0	1.5
Support Services	<u>6.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6.0</u>
Total	202.0	0.0	0.0	202.0

CAST Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	19.5	0.0	0.0	19.5
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	19.5	0.0	0.0	19.5

Total Staffing	221.5	0.0	0.0	221.5
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Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	93.5	214.0	120.5
Medical	1.4	1.5	0.1
Support Services	26.0	6.0	-20.0
Total Positions	120.9	221.5	100.6

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CASTExpenditure and Funding Detail
Budget Year 2019 - 20
(Dollars in thousands)

STAR Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$14,277	\$0	\$0	\$0	\$14,277
Staff OE&E	1,469	0	0	0	1,469
Resident OE&E	800	0	0	0	800
Fixed Facility OE&E	3,902	0	0	0	3,902
Total	\$20,448	\$0	\$0	\$0	\$20,448

CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,923	\$0	\$0	\$0	\$3,923
Staff OE&E	424	0	0	0	424
Total	\$4,347	\$0	\$0	\$0	\$4,347

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$550	\$550

Total STAR & CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$18,200	\$0	\$0	\$0	\$18,200
OE&E	6,595	0	0	0	6,595
Staff OE&E	1,893	0	0	0	1,893
Resident OE&E	800	0	0	0	800
Fixed Facility OE&E	3,902	0	0	0	3,902
Control Section Adjustments	0	0	0	550	550
Grand Total	\$24,795	\$0	\$0	\$550	\$25,345

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$20,436	\$0	\$0	\$550	\$20,986
Reimbursements	4,359	0	0	0	4,359
Lottery Education Fund	0	0	0	0	0
Grand Total	\$24,795	\$0	\$0	\$550	\$25,345

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Proposed Governor's Budget to Enacted Budget
Budget Year 2019 - 20
(Dollars in thousands)

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	0	0
Units			
ICF	0.00	0.00	0.00
SNF	0.00	0.00	0.00
Acute	0.00	0.00	0.00
Units, Total	0.00	0.00	0.00
Positions	8.0	0.0	8.0
Operations Expenditures			
Personal Services	\$29,235	\$0	\$29,235
Staff OE&E	36	0	36
Total	\$29,272	\$0	\$29,271
Total Operations Expenditures	\$29,272	\$0	\$29,272
Control Section Adjustments			
Employee Comp and Retirement	\$64	\$0	\$64
Total Expenditures	\$29,336	\$0	\$29,336

	2019-20 Governor's Budget	2018-19 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$25,778	\$0	\$25,778
Reimbursements	3,558	0	3,558
Lottery Fund	0	0	0
Fund, Total	\$29,336	\$0	\$29,336

Ongoing CostsResident Population and Staffing Detail
Budget Year 2019 - 20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Ongoing Cost Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	2.0	0.0	0.0	2.0
Medical	0.0	0.0	0.0	0.0
Support Services	6.0	0.0	0.0	6.0
Total	8.0	0.0	0.0	8.0
Total Staffing	8.0	0.0	0.0	8.0

Incremental Position Change from 2018-19 Enacted Budget			
Staffing	2018-19 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	0.0	2.0	2.0
Medical	0.0	0.0	0.0
Support Services	0.0	6.0	6.0
Total Positions	0.0	8.0	8.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Expenditure and Funding Detail

Budget Year 2019 - 20

(Dollars in thousands)

Ongoing Cost Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$29,235	\$29,235
Staff OE&E	<u>0</u>	<u>0</u>	<u>0</u>	<u>36</u>	<u>36</u>
Total	\$0	\$0	\$0	\$29,272	\$29,272

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$64	\$64

Total Ongoing Cost Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$29,235	\$29,235
Staff OE&E	0	0	0	36	36
Control Section Adjustments	<u>0</u>	<u>0</u>	<u>0</u>	<u>64</u>	<u>64</u>
Grand Total	\$0	\$0	\$0	\$29,336	\$29,336

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$25,778	\$25,778
Reimbursements	0	0	0	3,558	3,558
Lottery Education Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Grand Total	\$0	\$0	\$0	\$29,336	\$29,336

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

CONTROL SECTION ADJUSTMENTS

Employee Compensation Adjustments

The State Operated Residential and Community Facilities Program budget includes an increase of \$11.6 million (\$8.1 million GF) in 2018-19, and a reduction of -\$1.5 million (\$1.0 million GF) for a total of \$10.1 million (\$7.1 million GF) in 2019-20 as compared to the Enacted Budget for the following adjustments:

- **Control Section 3.60 Retirement Adjustments**
Pursuant to Section 3.60 of the Budget Act, departmental appropriations are adjusted annually to accurately reflect the State's share of retirement costs through the Executive Order process. Based on an analysis of positions, employee retirement categories, and retirement contribution amounts, the Department includes \$1.6 million (\$1.3 million GF) in 2018-19 and \$1.4 million (\$1.1 million GF) in 2019-20 for retirement adjustments.
- **Item 9800 Employee Compensation Adjustments**
Employee Compensation adjustments approved through the collective bargaining process, and for employees excluded from collective bargaining as approved by the California Department of Human Resources, are referred to as Item 9800 adjustments. Item 9800 contains general salary increases, shift differentials, retention incentives, and various changes to the employers' share of staff benefits. The Department includes \$10 million (\$6.8 million GF) in 2018-19 and \$8.7 million (\$6.0 million GF) in 2019-20 for Item 9800 employee compensation adjustments.

Control Section 4.30 Lease Revenue Adjustments

Pursuant to Control Section 4.30 of the Budget Act, the Director of Finance may adjust amounts in appropriation items for rental payments on lease-revenue bond-funded projects. In comparison to the 2018-19 Enacted Budget, the lease revenue bond payment at the Porterville Developmental Center will decrease by \$6,000 and \$27,000 in 2018-19 and 2019-20, respectively.

MAJOR ASSUMPTION

Ongoing Costs

Workers' Compensation

All Workers' Compensation claim costs from open and closed facilities are shifted to Ongoing Costs from the individual facilities. A total of \$28.3 million (\$19.4 million GF) in 2018-19 shifts from each facility where they were previously budgeted. In 2019-20, there is a fund shift of \$5.3 million GF that reflects the updated reimbursement assumptions.

Regional Resources Development Project

In 2019-20, the Department requests \$1.0 million GF in Personal Services expenditures for 8.0 positions for the Regional Resource Development Project (RRDP) staff required to complete the continued monitoring of Sonoma residents who transitioned to the community.

REVISED MAJOR ASSUMPTION

Lump Sum Leave Balance Payouts

As the Department continues with its planned developmental center closures and resident transitions to community living arrangements, the number of employees required to care for residents is declining which results in more employee separations. For those employees who will separate from State service, the Department must cash out unused leave balances, excluding sick leave. For those employees who transfer to another State department, the Department must cash out accrued compensable time off only. Although the Department typically pays out lump sum balances each year, the magnitude of payouts resulting from the concurrent closures of Fairview and the Porterville GTA is expected to be significant.

To estimate funds required to cash out leave balances, the Department analyzed employee separations during the Agnews and Lanterman Developmental Center closures, and determined that approximately thirty-five percent transferred to other departments, and thirty-one percent separated from State services. The Department then applied these percentages to current, cashable leave balances and the number of employees impacted by the closures in 2018-19 and 2019-20.

The 2018-19 updated budget includes \$8.4 million (\$5.1 million GF) for this purpose. This is a -\$0.9 million decrease from the 2018-19 enacted budget.

In 2019-20, the budget includes \$7.7 million (\$4.0 million GF), a decrease of -\$0.6 million from the 2018-19 updated budget.

REVISED MAJOR ASSUMPTION

STABILIZATION, TRAINING, ASSISTANCE and REINTEGRATION HOMES and CRISIS ASSESSMENT STABILIZATION TEAM

The Department requests \$11.7 million (\$7.3 million GF) and 100.6 positions to expand state operated Safety Net program services in 2019-20. The program will increase from four Stabilization, Training, Assistance and Reintegration (STAR) Homes and two Crisis Assessment Stabilization Teams (CAST), with a presence in northern and southern California, to seven STAR homes and three CAST, with a new presence in the Central Valley. CAST is a state-operated mobile crisis service for individuals served by regional centers and at risk of having to move from their family home or out-of-home placement, and being admitted to a more restrictive setting. An additional change is the anticipated federal fund participation (FFP) to reimburse a portion of the costs to provide STAR Home and CAST services. The expansion of the STAR homes and CAST service is detailed below.

Additional Northern STAR Home

The Department requests \$3.2 million (\$2.6 million GF) and 26.5 positions to staff a third STAR Home in the northern region in 2019-2020.

Two Central Valley STAR Homes

The Department is expanding STAR home services in the Central Valley, and requests \$4.5 million (\$4.2 million GF) and 60.0 positions to develop two STAR Homes in the Central Valley in 2019-20. Trailer Bill Language for the development of the STAR homes on the Porterville property is also included.

One Central Valley CAST Team

The Department requests \$0.8 million (\$0.6 million GF) and 5.0 positions to establish a CAST, which will operate in conjunction with the two STAR homes.

Existing STAR Homes and CAST Support Staffing

The Department requests \$3.2 million (\$2.6 million GF) and 9.1 positions to provide oversight and support for the STAR Homes and CAST operations independent from the Sonoma and Fairview facilities.

Federal Reimbursements

The Department will request CMS approval to receive FFP for STAR homes and CAST services. The Department estimates that upon federal approval, the Department will recover 20% of the 2019-20 expenditures and 40% of the expenditures in future fiscal years. This additional FFP will result in a decrease of -\$2.7 million in GF offset by an increase of \$2.7 million in reimbursements for the four existing STAR homes and two CAST.

FUTURE FISCAL ISSUES

Decertification of Fairview and Porterville GTA ICF Units

Both Fairview and Porterville GTA are currently operating under Settlement Agreements reached between the California Department of Public Health (CDPH), the Centers for Medicare and Medicaid Services (CMS), the California Health and Human Services Agency (CHHS), the Department of Social Services, the Department of Health Care Services (DHCS), and DDS. These agreements require the two facilities to comply with Conditions of Participation to receive federal reimbursements for the ICF/DD units. In December 2018, CDPH and CMS notified both Fairview and Porterville GTA that they are in compliance, and extended the agreement termination dates to December 31, 2019.

Although the two facilities are currently in compliance, CMS may terminate the agreements and withdraw federal reimbursements at its sole discretion. If this were to occur, the estimated at-risk monthly Federal Financial Participation (FFP) in 2018-19 for the Fairview and Porterville GTA ICF/DD units is \$1.4 million and \$1.1 million, respectively. The 2019-20 estimated at-risk monthly FFP for the Fairview and Porterville GTA ICF/DD units is \$1.2 million and \$0.6 million, respectively.

General Fund

DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible residents (these funds are referred to as "General Fund Match" and 2) to pay for non-Medi-Cal eligible resources/services for residents in the developmental centers and the state-operated community facility (DC/CF). These funds are referred to as "General Fund Other."

ASSUMPTIONS/METHODOLOGY:

			<u>2018-19</u>	<u>2019-20</u>
• General Fund Match:			\$87,521	\$43,448
General Fund Match to FMAP	<u>2018-19</u>	<u>2019-20</u>		
Non-Proposition 98	50.00%	50.00%	84,757	42,963
Proposition 98	50.00%	50.00%	2,459	305
HIPAA	50.00%	50.00%	305	180
This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHCS.				
• General Fund Other			\$219,161	\$222,386
This funding is for services provided to the DC/CF residents who are not eligible for federal or other reimbursement funding. Funding also includes \$9.2 million in 2018-19 and \$9.1 million in 2019-20 for Lease-Revenue Bonds.			219,161	222,386
TOTAL GENERAL FUND			\$306,682	\$265,834

Dollars are in thousands

Reimbursements

Title XIX/Medi-Cal

DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

ASSUMPTIONS/METHODOLOGY:

2018-192019-20

- Title XIX/Medi-Cal

\$87,521**\$39,089**

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DCs/CF system via DHCS. The federal financial participation costs are established by utilizing the FMAP provided by DHCS.

FMAP	<u>2018-19</u>	<u>2019-20</u>		
Non-Proposition 98	50.00%	50.00%	87,170	39,089
Proposition 98	50.00%	50.00%	242	0
HIPAA	50.00%	50.00%	109	0

Federal Reimbursements

DESCRIPTION:

The 1915(i) and the Home and Community-Based Services (HCBS) Waiver programs currently enable the Department to provide a broad array of services to eligible individuals in their communities. The Department will request federal approval for matching funds for the STAR homes and services provided by CAST to individuals in a crisis situation. Federal reimbursements fund eligible services provided to individuals in the community, or state operated community crisis homes, via the Home and Community Based Services Waiver and 1915(i) State Plan Amendment.

- HCBS Waiver

\$0**\$3,792**

- 1915(i)

\$0**\$567**

TOTAL REIMBURSEMENTS

\$87,521**\$43,448**

Dollars are in thousands

Lottery Education Fund

DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

ASSUMPTIONS/METHODOLOGY:

2018-192019-20

Lottery Education Fund:

\$180

\$180

- The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in the developmental centers and the state-operated community facility. Funds received are based on average daily attendance.

TOTAL LOTTERY EDUCATION FUND

\$180

\$180

Dollars are in thousands

SB 82 Reporting

Pursuant to Chapter 23, Statutes of 2015 (SB 82), the Department provides the following information regarding General Fund (GF) savings associated with the downsizing or closure of the Developmental Centers (DC).

In Table 1 below the PY Cumulative Total column displays the funding for 2015-16 through 2017-18. The FY 2018-19 column shows the funding impacts related to six programs or activities affected by the Sonoma, Fairview, and Porterville GTA closures. The FY 2019-20 column shows the funding related to those programs or activities at Fairview and Porterville GTA with a portion of the Community Services -Continuation Costs associated with former Sonoma residents transitioned to community living. Negative amounts reflect savings; positive amounts reflect increased expenditures.

Cumulatively, net expenditures driven by closure-related activities total \$304.2 million (\$252.7 million GF). While overall DC operations expenditures are decreasing substantially in 2018-19 and 2019-20, expenditures to develop community-based resources and to support individuals living in the community exceed savings on the aggregate.

Table 1
DC Closures – Funding Impacts
Dollars in Thousands

Program/Activity	PY Cumulative Total		FY 2018-19		FY 2019-20		Cumulative Total	
	TF	GF	TF	GF	TF	GF	TF	GF
1. DCs - Operations Adjustments	-\$59,500	\$13,304	-\$101,621	-\$90,727	-\$120,111	-\$78,689	-\$281,232	-\$156,112
2. DCs - Closure Activities	\$21,600	\$12,000	\$14,765	\$9,034	-\$635	-\$1,873	\$35,730	\$19,161
3. Community Services - Closure Placement	\$151,200	\$138,400	\$27,000	\$20,000	\$0	\$0	\$178,200	\$158,400
4. Community Services - Continuation Costs	\$150,300	\$94,700	\$78,800	\$41,300	\$87,500	\$45,900	\$316,600	\$181,900
5. HQ - Closure Coordination & Oversight	\$4,200	\$3,600	\$2,100	\$1,800	\$2,100	\$1,800	\$8,400	\$7,200
6. Safety Net Resources	\$7,500	\$7,500	\$13,638	\$13,638	\$25,345	\$20,986	\$46,483	\$42,124
Total	\$275,300	\$269,504	\$34,682	-\$4,955	-\$5,801	-\$11,876	\$304,181	\$252,673

Note: The GF amount included on Line 1, DCs – Operations Adjustments, for 2016-17, includes \$32.4 million GF per Provision 8, Item 4300-003-0001, of the 2016 Budget Act. The funds were appropriated for the operation of the Intermediate Care Facility units at Sonoma after federal funding was discontinued.

The amounts in Table 1 do not include other state department-funded expenditures, such as Medi-Cal and In-Home Supportive Services, for individuals who moved out of the DCs into the community. The following information provides details and explanations for each program/activity area.

1. DCs – Operations Adjustments

DC - Operations Adjustments include personnel expenditures to care for residents and to operate and maintain the facilities. It also includes staff, resident, and facility OE&E expenses. The Governor's Budget proposes decreases in Operations expenditures in 2018-19 of -\$101.6 million (-\$90.7 million GF) as compared to prior year, and in 2019-20 -\$120.1 million (-\$78.7 million GF) as compared to the updated 2018-19 operations

expenditures. The decreases result from declining resident population that drive staffing and OE&E costs.

2. DCs – Closure Activities

Following the announced DC closures, the Department received funds for closure-related activities such as taking inventory, archiving clinical records, contracting for an independent monitor, moving residents and their belongings to new community homes, assessing facilities and land, paying out employee leave balances, and providing physical security measures. Table 2 details the amounts appropriated and proposed for closure activities.

Table 2
Closure Activity Costs
Whole Dollars

	Prior Years	2018-19	2019-20
Sonoma			
OT for Resident Transfers	\$616,000	\$236,000	\$0
Archive Records	1,000,000	500,000	0
Resident Relocations	3,408,000	350,000	0
Site Assessment	2,240,000	0	0
Independent Monitor	2,601,000	947,000	0
Dispose/Relocate Assets	300,000	300,000	0
Physical Security Measures ^{1/}	0	580,000	0
Lump Sum Leave Payouts ^{1/}	<u>3,368,000</u>	<u>5,322,000</u>	<u>0</u>
Total Sonoma	\$13,533,000	\$8,235,000	\$0
Fairview			
Independent Monitor	\$1,900,000	\$950,000	\$950,000
Archive Records	250,000	250,000	250,000
Increased Security ^{1/}	0	67,000	0
Lump Sum Leave Payouts ^{1/}	3,707,000	3,043,000	7,500,000
Dispose/Relocate Assets ^{2/}	0	150,000	0
Historic Evaluation ^{2/}	<u>0</u>	<u>162,000</u>	<u>0</u>
Total Fairview	\$5,857,000	\$4,622,000	\$8,700,000
Porterville GTA			
Independent Monitor	\$1,900,000	\$950,000	\$950,000
Archive Records	250,000	250,000	\$250,000
Lump Sum Leave Payouts ^{3/}	0	0	\$230,000
Dispose/Relocate Assets ^{2/}	0	300,000	0
Historic Evaluation ^{2/}	<u>0</u>	<u>162,000</u>	<u>0</u>
Total Porterville GTA	<u>\$2,150,000</u>	<u>\$1,662,000</u>	<u>\$1,430,000</u>
Total Closure Activity Costs	<u>\$21,540,000</u>	<u>\$14,519,000</u>	<u>\$10,130,000</u>
GF	\$14,685,000	\$9,221,000	\$5,480,000

^{1/}Proposed in 2018-19 Governor's Budget

^{2/}Proposed in 2018 May Revision

^{3/}Proposed in 2019-20 Governor's Budget

3. Community Services – Closure Community Placement Plan (CPP)

The DC closures require increased regional center positions and resources to develop community living arrangements, complete comprehensive assessments, transition individuals into the community, and fund consumers' Purchase of Services (POS) expenditures during their first year in the community. Within the Regional Center Estimate, the Governor's Budget includes \$27.0 million (\$20.0 million GF), a decrease of \$3.6 million (\$6.0 million GF) from Enacted Budget in 2018-19 to fund placement activities, as detailed in Table 3. DC closure-specific CPP expenditures in 2019-20 are decreased to \$0 due to the closure of Sonoma, Fairview, and Porterville Developmental Centers, as detailed in Table 3. Funding for the developmental centers' ongoing workload is accounted for in the Policy section of the regional center estimate. These amounts are in addition to \$67.9 million in ongoing funds budgeted for regular CPP activities.

Table 3
Community Placement FY 2018-19
Dollars in Thousands

	Sonoma	Fairview	Porterville GTA	Total
Operations	\$3,600	\$1,200	\$600	\$5,400
Purchase of Services	\$9,200	\$7,700	\$4,700	21,600
Total	\$12,800	\$8,900	\$5,300	\$27,000

Community Placement FY 2019-20
Dollars in Thousands

	Sonoma	Fairview	Porterville GTA	Total
Operations	\$0	\$0	\$0	\$0
Purchase of Services	0	0	0	0
Total	\$0	\$0	\$0	\$0

4. Community Services – Continuation Costs

When individuals transition from DCs into the community, POS costs increase. Although CPP funds the first year of these costs, continuing expenditures are not included in the trends on which the Department projects future expenses. To budget POS costs for the second year of community living, the Department separately estimates and includes Continuation Costs in its Regional Center estimate for individuals who moved into the community during the prior year. The updated budget contains \$78.8 million (\$41.3 million GF) in 2018-19; an increase of \$53.1 million (\$19.7 million GF) over enacted budget resulting from updated CPP expenditures in 2017-18. The Governor's Budget proposes \$87.5 million (\$45.9 million GF) in 2019-20, an

increase of \$8.7 million (\$4.6 million GF) over updated 2018-19 expenditures resulting from an increase in CPP expenditures in 2019-20.

5. Headquarters – Closure Coordination and Oversight

The 2016 enacted budget included \$2.1 million (\$1.8 million GF) for new and redirected vacant headquarters positions for staffing and contract resources needed to support the continued efforts for multiple DC closures. These positions and funds continue to support closure activities.

6. Safety Net Resources

The 2018-19 enacted budget included an increase of \$6.1 million GF for the development of two additional community-based acute crisis facilities in Northern and Southern California. The 2019-20 Governor's Budget proposes a total of \$25.3 million (\$20.9 million GF) for the continued funding of the existing four community-based acute crisis facilities and two 24-7 mobile crisis teams, development of three additional community-based acute crisis facilities (two in Central California and one in Northern California), and one 24-7 mobile acute crisis team in Central California, resulting in a total of seven community-based acute crisis facilities and three 24-7 mobile acute crisis teams.