

**EARLY START MONITORING REPORT SUMMARY
HARBOR REGIONAL CENTER**

DATE OF REVIEW: MAY 21–25, 2018
FINAL REPORT DATE: OCTOBER 17, 2018

ITEMS AT 100% COMPLIANCE				
REQUIREMENT		RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE
R4	Written notice of the Individualized Family Services Plan (IFSP) meeting. 34 Code of Federal Regulations (CFR), §303.342(d)(2)	40	40	100.0%
R5	Initial IFSP meeting must be conducted within the 45-day timeline. 34 CFR, §303.342(a)	40	40	100.0%

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CLEARED FINDINGS

Findings cleared prior to the issuance of the report. No further action is required.

REQUIREMENT		ORIGINAL RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	ORIGINAL COMPLIANCE PERCENTAGE	SUBSEQUENT RECORDS IN COMPLIANCE	TOTAL SUBSEQUENT RECORDS REVIEWED	SUBSEQUENT COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
								CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R2	Initial evaluation determined the infant's or toddler's level of functioning in all five developmental domains including hearing, vision, and health. 34 CFR, §303.21(a)(1) and 34 CFR, §303.321	39	40	97.5%	3	3	100.0%	X		X	
T4	The Local Education Agency (LEA) was invited to the transition conference. 34 CFR, §303.209(c)(1)	38	40	95.0%	3	3	100.0%	X		X	

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NONCOMPLIANCE

Harbor Regional Center must clear findings on both the child-specific and systemic levels as soon as possible but no later than one year from the final report date.

REQUIREMENT		RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
					CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R1	Obtained written parental consent for evaluation/assessment. 34 CFR, §303.321(a) and 34 CFR, §303.420(a)(2)	37	40	92.5%		X		X
R3	Initial assessment for service planning identified unique strengths and service needs in all five developmental domains, including hearing, vision, and health, prior to the initial IFSP. 34 CFR, §303.21(a)(1) and 34 CFR, §303.321	35	40	87.5%		X		X
R6	Timely provision of services. (45 days of signed IFSP) 34 CFR, §303.344(f)(1)	30	40	75.0%		X		X
R7	The IFSP must include the frequency and length of each session for all Early Intervention (EI) services. 34 CFR, §303.344(d)	35	40	87.5%		X		X
T1	The IFSP must include transition steps and services. 34 CFR, §303.209(d) and (e); 34 CFR, §303.344(h)(1) and (2)	31	40	77.5%	X			X
T2	Timely referral to LEA. 34 CFR, §303.209(b) and 34 CFR, §303.401(d)	35	40	87.5%	X			X
T3	Transition Conference occurred in a timely manner. 34 CFR, §303.209(c)(1)	34	40	85.0%	X			X

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					CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
O1	IFSP includes services necessary to meet the needs of child and family. 34 CFR, §303.344(d)(1)	31	40	77.5%		X		X
O2	IFSP includes present levels of child's development in all five domains, including health, hearing, and vision. 34 CFR, §303.344(a)	39	40	97.5%		X		X
O3	Parental consent was obtained prior to the provision of services. 34 CFR, §303.342(e)	36	40	90.0%		X		X
O5	Periodic Review completed when EI services added or changed. 34 CFR, §303.342(b)(1)(ii)	38	40	95.0%		X		X
O7	Holder of Education Rights consented to EI services on the IFSP. 34 CFR, §303.420 and 34 CFR, §303.342(e)	39	40	97.5%		X		X
O11	Development & Implementation of Initial IFSP	13	40	32.5%	X			X
	<p>HRC's current process for the development of the Initial IFSP results in noncompliance in multiple areas. DDS strongly recommends that HRC reevaluate their current process for developing initial IFSPs. It is also recommended that the necessary changes be implemented immediately in order to operate in compliance with state and federal regulations, as well as to better serve the needs of children and families in the Early Start system. The following components of the IFSP process are negatively impacted by HRC's current practice:</p> <ul style="list-style-type: none"> -Documents created at IFSP meetings do not include the services necessary to meet needs of child and family. 							

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	<ul style="list-style-type: none">-Written parental consent is not obtained for the documented services prior to their provision.-Early Intervention services are added to IFSPs and/or changed without completion of a periodic review.-The Early Intervention services on the IFSP are not determined by a multidisciplinary team which includes the parent.
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LONGSTANDING NONCOMPLIANCE

Harbor Regional Center must clear findings on both the child-specific and systemic level as soon as possible. All systemic findings identified below have been out of compliance since the issuance of the previous report, dated May 9, 2016.

REQUIREMENT		RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
					CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R8	<p>EI services are provided in the natural environment or the IFSP contains an appropriate justification when services are not provided in a natural environment.</p> <p>34 CFR, §303.344(d)(1)(ii)</p>	18	40	45.0%		X		X

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OUTSTANDING INDIVIDUAL FINDINGS (PRONG 1)

#	UCI	Item 1	Item 3	Item 6	Item 7	Item 8	Item O1	Item O2	Item O3	Item O5	Item O7
4	XXXXXX					X					
6	XXXXXX			X		X					X
8	XXXXXX					X					
9	XXXXXX			X	X	X				X	
10	XXXXXX				X		X				
12	XXXXXX					X					
13	XXXXXX					X					
17	XXXXXX					X	X				
18	XXXXXX					X	X		X		
20	XXXXXX					X					
21	XXXXXX	X							X		
22	XXXXXX	X									
23	XXXXXX					X					

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#	UCI	Item 1	Item 3	Item 6	Item 7	Item 8	Item O1	Item O2	Item O3	Item O5	Item O7
24	XXXXXX				X		X				
26	XXXXXX		X			X	X				
28	XXXXXX					X					
31	XXXXXX					X					
33	XXXXXX		X		X	X	X	X	X		
34	XXXXXX					X					
35	XXXXXX					X	X				
37	XXXXXX					X			X	X	
38	XXXXXX					X					
39	XXXXXX					X					
40	XXXXXX					X					

Key:

R- "Regular" corresponds to the Regular Review Tool item, followed by the number of the Regular Review Tool item (ex.- R1 refers to the Regular Review Tool item number 1).

T- "Transition" corresponds to the Transition Review Tool item, followed by the number of the Transition Review Tool item (ex.- T3 refers to the Transition Review Tool item number 3).

O- "Other" refers to an additional finding, not included in the Regular or Transition review tools.