

**PERFORMANCE CONTRACT PLAN**

**Regional Center Kern**

**Calendar Year(s) 2016**

***Public Policy Performance Measures***

<b><i>Measures</i></b>	<b><i>Statewide Average 2014</i></b>	<b><i>Statewide Average 2015</i></b>	<b><i>KRC Baseline as of June 2014</i></b>	<b><i>KRC Baseline as of June 2015</i></b>	<b><i>Activities Regional Center will Employ to Achieve Outcome</i></b>
<p><b>1. Number and percent of Regional Center consumers in Developmental Centers (DC)</b> <i>(lower is better)</i></p>	<p align="center"><b>0.38%</b></p>	<p align="center"><b>0.38%</b></p>	<p align="center"><b>0.79% 59</b></p>	<p align="center"><b>0.69% 53</b></p>	<ul style="list-style-type: none"> <li>• KRC will design services and identify supports that are essentials to meeting the consumer's needs prior to the consumer moving into the community.</li> <li>• KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population.</li> <li>• KRC will continue to implement the 2015-2016 Community Placement Plan (CPP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through independent living, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need.</li> <li>• KRC will deflect placements from the DC whenever possible consistent with consumers needs.</li> <li>• KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options.</li> <li>• KRC will inform court personnel about the community resources available to them.</li> <li>• Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placements.</li> <li>• Move 10 consumers from the developmental center to a less-restrictive community placement.</li> <li>• Begin to research and study community style homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes will be under a new licensing category, allowing consumers to be served who could not be served in a community setting.</li> </ul>

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<b>2. Number and percent of minors residing with families (own family, foster family, guardian)</b> <i>(higher is better)</i>	<b>99.02%</b>	<b>99.11%</b>	<b>98.81%</b> <b>3,268</b>	<b>98.99%</b> <b>3,415</b>	<ul style="list-style-type: none"> <li>• Continue to developing programs to serve children.</li> <li>• KRC will work (i.e., having agency liaisons, collaborative meetings) with local school district and local Department of Rehabilitation (DOR) to further advance the State's "Employment First" Policy and other federal and state laws to address employment in integrated settings, at competitive wages.</li> <li>• Provide information and referral to parents about Family Resource Center(s).</li> </ul>
<b>3. Number and percent of adults residing in independent living</b> <i>(higher is better)</i>	<b>11.52%</b>	<b>11.33%</b>	<b>9.48%</b> <b>385</b>	<b>9.67%</b> <b>403</b>	<ul style="list-style-type: none"> <li>• Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.</li> <li>• Service coordinators to assist and obtain Section 8 housing Vouchers.</li> </ul>
<b>4. Number and percent of adults residing in supported living</b> <i>(higher is better)</i>	<b>5.63%</b>	<b>5.60%</b>	<b>13.10%</b> <b>532</b>	<b>12.67%</b> <b>528</b>	<ul style="list-style-type: none"> <li>• Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.</li> </ul>
<b>5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes</b> <i>(higher is better)</i>	<b>0.87%</b>	<b>0.95%</b>	<b>3.76%</b> <b>153</b>	<b>4.27%</b> <b>178</b>	<ul style="list-style-type: none"> <li>• Continue to track quarterly and/or unannounced visits to be in compliance with statutory requirements.</li> </ul>
<b>6. Number and percent of adults residing in family homes (home of parent or guardian)</b> <i>(higher is better)</i>	<b>58.91%</b>	<b>59.81%</b>	<b>57.05%</b> <b>2,317</b>	<b>57.40%</b> <b>2,392</b>	<ul style="list-style-type: none"> <li>• Continue to provide services and support to maintain consumers in the family home.</li> </ul>

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<b>7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes)</b> <i>(higher is better)</i>	76.94%	77.69%	83.39% 3,387	84.02% 3,501	<ul style="list-style-type: none"> <li>• See #3 through #6 above.</li> </ul>
<b>8. Number and percent of minors living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF).</b> <i>(lower is better)</i>	0.06%	0.06%	0.06% 2	0.06% 2	<ul style="list-style-type: none"> <li>• Continue to identify and track children at risk of institutional placement.</li> </ul>
<b>9. Number and percent of adults living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF).</b> <i>(lower is better)</i>	3.03%	2.85%	0.95% 39	0.91% 38	<ul style="list-style-type: none"> <li>• Continue to identify and track adults in large facilities.</li> </ul>

## Public Policy Performance Measures (Under Development)

The following measures are under development for future incorporation in the Performance Contract Pilot Project, upon availability of relevant data:

Number and percent of adults in supported employment.
Number and percent of adults with earned income and average wage (aggregate).
Number and percent of adults in competitive employment.
Number of consumers per thousand who are victim of abuse.
Access to medical and dental services.

### **Compliance Measures** <sup>1</sup>UD = Under Development

<i>Measures</i>	<i>Yes/No</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<b>1. Unqualified independent audit with no material finding(s).</b>	<b>Yes</b>	Establish, apply and maintain good business practices and generally accepted accounting principles.
<b>2. Substantial compliance with Department of Developmental Services fiscal audit</b>	<b>Yes</b>	Establish, apply and maintain good business practices and generally accepted accounting principles.
<b>3. Accuracy percent of POS fiscal projections (based on February SOAR)</b>	<b>Yes</b>	Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.
<b>4. Operates within OPS budget</b>	<b>Yes</b>	Continue operational budget planning, ongoing utilization, review and system-wide monitoring.
<b>5. Certified to participate in Waiver</b>	<b>Yes</b>	Maintain compliance with Medicaid Waiver requirements.
<b>6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.</b>	<b>Yes</b>	Maintain compliance with contract.

<i>Measures</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p><b>7. Percent of total annual purchase of service expenditures by individual's ethnicity and age:</b></p> <ul style="list-style-type: none"> <li>* Birth to age two, inclusive.</li> <li>* Age three to 21, inclusive</li> <li>* Twenty-two and older</li> </ul>	<p>KRC will share prior Fiscal Year purchase of service data and Client Master File of POS expenditure data with family, community, and regional center staff to secure feedback current utilization. KRC will incorporate feed back to address client and family services received into a plan.</p>

<i>Measures</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p><b>8. Number and percent of adult individuals by ethnicity receiving only case management services.</b></p>	<p>KRC will share prior Fiscal Year purchase of service data and regional center caseload to the community, family, and regional center staff. Based on feed back received a data analysis will be done to ensure adults served by KRC have service needs addressed in their Individual Program Plan.</p>

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<b>9. CDER/ESR Currency</b>	97.35%	98.19%	90.11% 6,640	99.61% 6,838	Continue to monitor timely completion of CDER/ESR.
<b>10. Intake/assessment and IFSP time lines (0-2).</b>	Data not available	<sup>1</sup> UD	<sup>1</sup> UD	<sup>1</sup> UD	Continue to monitor timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements.
<b>11. Intake/assessment time lines for consumers ages 3 and above.</b>	96.33%	97.99%	95.33% 184	85.27% 191	Continue to monitor timely completion of intake/assessment.  Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.

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<b>12. IPP Development (WIC requirements)</b>	Data not available	Data not available	<sup>1</sup> UD	<sup>1</sup> UD	Continue to comply with all requirement of WIC 4646.5c(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act. Service Coordinators will be trained on all aspects of the IPP process, including timelines.
<b>13. IFSP Development (Title 17 requirements).</b>	Data not available	Data not available	Data not available	Data not available	Continue to comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services. Service Coordinators and Early Intervention partners will be trained on all aspect of the IFSP process, including time lines.


## STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Year 2016 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2016 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Holding at least one public meeting to solicit input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
  - Providing at least 10 calendar days advance public notice of the date(s) of the public meeting (DDS Guidelines);
  - Circulating a draft to the community of the performance contract plan relative to the public policy and compliance outcomes identified in the DDS performance Contract guidelines, and any locally developed policy outcomes prior to presenting the contract to the regional center board for action [WIC 4629 (c)(B)(iii)];
- Providing an opportunity for additional public input and consideration of that input at the regional center board meeting prior to board action on the proposed performance contact [WIC 4629 (c)(B)(iii)].

Signature of RC Director:



Robert Riddick, Kern Regional Center Interim Executive Director

Date:

1-26-2016