

PERFORMANCE CONTRACT PLAN

Kern Regional Center

Calendar Year(s) **2018**

Public Policy Performance Measures (Required)

Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
1. Number and percent of Regional Center consumers in Developmental Centers (DC) <i>(lower is better)</i>	0.33% 989	0.56% 44	0.26% 824	0.33% 27	<ul style="list-style-type: none"> • KRC will design services and identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community. • KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population. • KRC will continue to implement the 2017-2018 Community Placement Plan (CPP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through residential service settings, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need. • KRC will deflect placements from the DC whenever possible consistent with consumers needs. • KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options. • Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement. • Move 7 consumers from the developmental center to a community settings. • Develop community homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes may be under a new licensing category, allowing consumers to be served who could not be served in a community setting by 06/30/2019.

Public Policy Performance Measures (Required)...Continues

Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
2. Number and percent of minors residing with families (own family, foster family, guardian) <i>(higher is better)</i>	99.21% 142,006	99.08% 3,565	99.28% 151,084	99.22% 3,830	<ul style="list-style-type: none"> • Continue to develop programs to serve children. • Provide information and referral to parents about Family Resource Center(s).
3. Number and percent of adults residing in independent living <i>(higher is better)</i>	11.21% 17,145	9.12% 388	10.92% 17,272	9.39% 414	<ul style="list-style-type: none"> • Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.
4. Number and percent of adults residing in supported living <i>(higher is better)</i>	5.51% 8,437	12.27% 522	5.48% 8,664	11.11% 490	<ul style="list-style-type: none"> • Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.
5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes <i>(higher is better)</i>	0.98% 1,504	4.72% 201	0.98% 1,554	4.60% 203	<ul style="list-style-type: none"> • Develop plan to comply with statutory monitoring requirements.
6. Number and percent of adults residing in family homes (home of parent or guardian) <i>(higher is better)</i>	60.71% 92,883	58.4% 2,485	61.80% 97,735	59.85% 2,640	<ul style="list-style-type: none"> • Continue to provide services and support to maintain consumers in the family home.
7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes) <i>(higher is better)</i>	78.42% 119,969	84.51% 3,596	79.18% 125,225	84.95% 3,747	<ul style="list-style-type: none"> • See #3 through #6 above.

Public Policy Performance Measures (Required)...Continues

Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
8. Number and percent of minors living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). (lower is better)	0.05% 78	0.06% 2	0.05% 69	0.03% 1	<ul style="list-style-type: none"> • Continue to identify and track children at risk of institutional placement.
9. Number and percent of adults living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). (lower is better)	2.73% 4,170	1.25% 53	2.56% 4,049	1.13% 50	<ul style="list-style-type: none"> • Continue to identify and track adults in large facilities.

Public Policy Performance Measures (Related to Employment)

Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
1. Number and percentage of consumers, ages 16-64 with earned income. <i>(higher is better)</i>	UD	UD	TBD	TBD	<ul style="list-style-type: none"> Identify consumers ages 16-64 with earned income. New Measures data is forthcoming from the Employment Development Department (EDD).
2. Average annual wages for consumers ages 16-54 <i>(higher is better)</i>	UD	UD	TBD	TBD	<ul style="list-style-type: none"> Track progress. New Measures data is forthcoming from the Employment Development Department (EDD).
3. Annual earnings of consumers ages 16-64 compared to people with all disabilities in CA <i>(higher is better)</i>	UD	UD	TBD	TBD	<ul style="list-style-type: none"> Track progress. New Measures data is forthcoming from the Employment Development Department (EDD).
4. Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program. <i>(higher is better)</i>	UD	UD	TBD	TBD	<ul style="list-style-type: none"> Provide training and information to staff, community, and local providers regarding the Paid Internship Program (PIP). Identify and track consumers participating in PIP. Partner with local businesses, Dept. of Rehab, and school to increase number of PIP participants.
5. Percentage of adults who are placed in competitive, integrated employment following Participation in a Paid Internship Program <i>(higher is better)</i>	UD	UD	TBD	TBD	<ul style="list-style-type: none"> Track progress. New measures data if forthcoming

Public Policy Performance Measures (Related to Employment) CONTINUES.....

Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
6. Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year. (higher is better)	UD	UD	TBD	TBD	• Track progress. New measures data if forthcoming
7. Average wages and hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made. (higher is better)	UD	UD	TBD	TBD	• Track progress. New measures data if forthcoming
8. Total number of \$1000, \$1250 and \$1500 incentive payments made for the fiscal year (higher is better)	UD	UD	TBD	TBD	• Track progress. New measures data if forthcoming
9. Percentage of adults who reported having integrated employment as a goal in their IPP (higher is better)	UD	UD	TBD	TBD	• Track progress. New measures data if forthcoming

Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures)

Measures	KRC FY 2014-15 % Utilized	KRC FY 2014-15 Age & Utilized	KRC FY 2015-16 % Utilized	KRC FY 2015-16 Age & Utilized	KRC FY 2016-17 % Utilized	KRC FY 2016-17 Age & Utilized	Activities Regional Center will Employ to Achieve Outcome
2. Percent of total annual purchase of service expenditures by individual's ethnicity and age: * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older.	Asian 66.7% - Black/AA 68.3% - Filipino 65.7% - Hispanic 64.5% - Native American 75.7% - Other 70.2% - Polynesia n 74.3% - White 70.1%	 0 - 2 53.5% - 3 - 21 61.7% - 22 - ^ 71.1%	Asian 62.5% - Black/AA 64.5% - Filipino 59.3% - Hispanic 61.1% - Native American 76.6% - Other 64.5% - Polynesia n 85.1% - White 67.5%	 0 - 2 53.6% - 3 - 21 53.8% - 22 - ^ 69.7%	Pending	Pending	<ul style="list-style-type: none"> • KRC will utilized the DDS Disparity Grant to implement a plan to address disparity for birth to 8 years, and 8 years and over. • Conduct outreach and training through educational presentations about regional center services in venues serving families with young children [birth to 8 years] in KRC's catchment area, utilizing Early Start networks. • Enhanced assessment and intake process by restructuring the unit, providing training in the Hawaii Early Learning Profile (HELP), or the Infant-Toddler Developmental Assessment (IDA).

Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures) Continues

Measures	KRC FY 2014-15 Ethnicity All Ages	KRC FY 2014-15 Age	KRC FY 2015-16 Ethnicity All Ages	KRC FY 2015-16 Age	KRC FY 2016-17 Ethnicity All Ages	KRC FY 2016-17 Age	Activities Regional Center will Employ to Achieve Outcome
3. Number and percent of individuals receiving only case management services by age and ethnicity: * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older.	Asian 20 18.7% - Black/AA 119 17.2% - Filipino 19 18.8% - Hispanic 1,018 27.9% - Native American 13 21.3% - Other 138 22.9% - Polynesia n 0 0% - White 611 19.8 %	0 - 2 100 10.2% - 3 - 21 1,335 35.9% - 22 - ^ 503 13.9%	Asian 26 21.7% - Black/AA 141 19.5% - Filipino 21 20.6% - Hispanic 1,112 28.7% - Native American 14 23.0 % - Other 151 22.6% - Polynesia n 1 16.7% - White 617 19.8 %	0 - 2 100 10.2% - 3 - 21 1,335 35.9% - 22 - ^ 503 13.9%	Pending	Pending	<ul style="list-style-type: none"> Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients.

Compliance Measures ¹UD = Under Development

Measures				Yes/No	Activities Regional Center will Employ to Achieve Outcome
1. Unqualified independent audit with no material finding(s).				YES	Establish, apply and maintain good business practices and generally accepted accounting principles.
2. Substantial compliance with Department of Developmental Services fiscal audit				YES	Establish, apply and maintain good business practices and generally accepted accounting principles.
3. Accuracy percent of POS fiscal projections (based on February SOAR)				YES	Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.
4. Operates within OPS budget				YES	Develop plan to operate within the operational funds allocation.
5. Certified to participate in Waiver				YES	Maintain compliance with Medicaid Waiver requirements.
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.				YES	Maintain compliance with contract.
Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
7. CDER/ESR Currency	98.47%	99.46%	98.38%	99.53%	Continue to monitor timely completion of CDER/ESR.
Measures	State Target	FFY 2012 Report	FFY 2015 Report	FFY 2017 Report	Activities Regional Center will Employ to Achieve Outcome
8. Intake/assessment and IFSP time lines (0-2).	100%	90%	96%	Pending	Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements [R3].

Compliance Measures ¹UD = Under Development **Continues**

Measures	Statewide Average May 2016	KRC Baseline as of May 2016	Statewide Average May 2017	KRC Baseline as of May 2017	Activities Regional Center will Employ to Achieve Outcome
9. Intake/assessment time lines for consumers ages 3 and above.	99.14%	92.08%	98.36%	99.48%	Implement plan to ensure timely completion of intake/assessment. Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.
Measures	Federal Revenue Audit Report 2010	Federal Revenue Audit Report 2012	Federal Revenue Audit Report 2014	Federal Revenue Audit Report 2015	Activities Regional Center will Employ to Achieve Outcome
10. IPP Development (WIC requirements)	99%	97%	97%	96%	<ul style="list-style-type: none"> • Comply with all requirement of WIC 4646.5c(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act. • Hire additional case worker to reduce caseload ratio. • New Service Coordinators will be trained on all aspects of the IPP process, including timelines.
Measures	State Target	FFY 2012 Report	FFY 2015 Report	FFY 2017 Report	Activities Regional Center will Employ to Achieve Outcome
11. IFSP Development (Title 17 requirements).	100%	100%	68%	Pending	<ul style="list-style-type: none"> • Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services [R5]. • Service Coordinators will be trained on all aspect of the IFSP process, including time lines. • Train early start/assessment Coordinators the use of HELP.

STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Year 2018 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2018 Performance

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least ten calendar days advance public notice of the date of the public meeting (guidelines); and,
- Circulating a draft of the performance objectives to the community for input prior to presentation at a regional center board meeting where additional public input will be taken and considered before adoption of the objectives [WIC 4629 (c)(B)(iii)];

Signature of RC Director: _____

Max Jackson, Kern Regional Center Interim Executive Director

Date: _____

10-24-2017