

STATEMENT OF ASSURANCES

This is to assure that Regional Center of Orange County Year 2014 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2014 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Holding at least one public meeting to solicit input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least 10 calendar days advance public notice of the date(s) of the public meeting (DDS Guidelines);
- Circulating a draft to the community of the performance contract plan relative to the public policy and compliance outcomes identified in the DDS Performance Contract Guidelines, and any locally developed policy outcomes prior to presenting the contract to the regional center board for action [WIC 4629 (c)(B)(iii)];
- Providing an opportunity for additional public input and consideration of that input at the regional center board meeting prior to board action on the proposed performance contract [WIC 4629 (c)(B)(iii)].

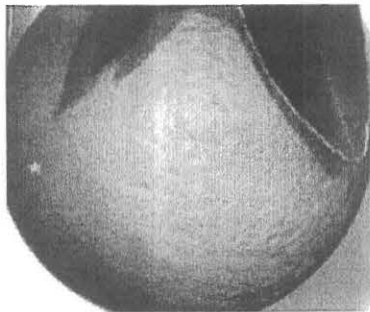
Signature of RC Director: _____



Date: _____

11/7/13

Regional Center of Orange County 2014 Performance Contract



Beginning January 1, 1993, Senate Bill 1383 (McCorquodale) required the Department of Developmental Services (Department) to enter into five-year outcome-based performance contracts with regional centers. The information that was gathered by the Department and the regional centers from the initial data led to an interest in refining the manner in which system and individual outcomes were established and then measured. The current Performance Contract brings about the idea of establishing a comprehensive performance-based system of accountability for all those who serve consumers and their families.

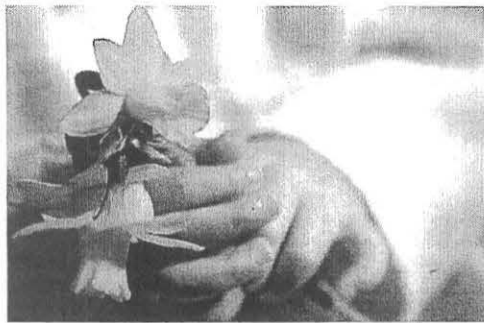
Outcome-based performance plans must be developed through meaningful participation with each regional center's local community. The community is essential to help the regional center prioritize the performance areas and possible outcomes for the people that they serve. In order to insure involvement, regional centers must conduct at least one public meeting, collect information from the community through focus groups or surveys, and solicit feedback whenever possible on the services and supports available.

Evaluation Criteria for Regional Center Performance

The Department reviews baseline and year-end information to evaluate performance. Success is demonstrated when:

- The outcome has improved over the prior year's baseline, or
- The performance exceeds the statewide average, or
- The performance equals a standard that has been defined by the Department

RCOC 2014 Performance Contract Policy Measures?



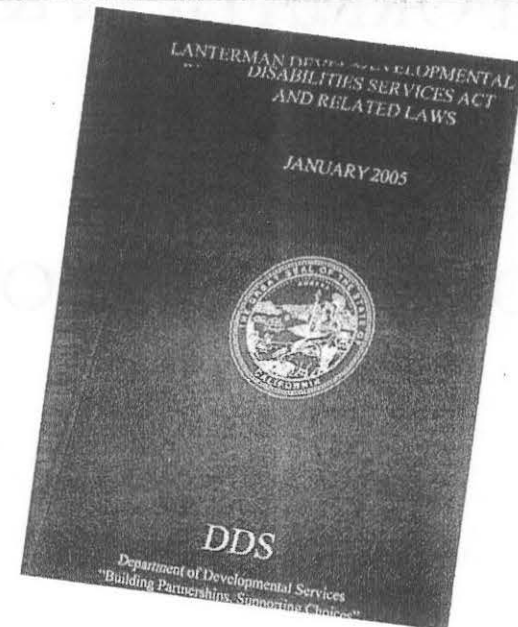
- Developmental Center (DC) Population
- Children Residing with Families
- Adults Residing in Home Settings
- Children Residing in Facilities with Seven or More Beds (Excluding DCs)
- Adults Residing in Facilities with Seven or More Beds (Excluding DCs)
- Duration in Intake Status (Status 0) for Individuals Ages 3 and Over

Additional Areas of Importance Under Development

- The number and percent of adults with earned income and their average wages
- The number of adults within a supported employment setting
- The number of adults in a competitive employment setting
- Access to medical and dental services
- The number of consumers, per thousand, who are the victims of abuse

RCOC LANTERMAN MANDATES

- COORDINATE SERVICES
- MONITOR QUALITY
- DEVELOP NEW SERVICES
- PAY THE BILLS
- DUE PROCESS
- ELIGIBILITY



RCOC ORGANIZATIONAL REQUIREMENTS

- INFRASTRUCTURE
- HUMAN RESOURCE
MANAGEMENT
- INFORMATION &
TECHNOLOGY
SYSTEMS
- COMMUNICATIONS



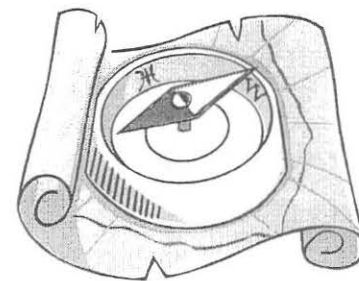
RCOC's PEOPLE



- 378 Staff
- 17,389 CONSUMERS
- 3,000+ SERVICE PROVIDERS
- 16 member public Board of Directors made up of consumers and family members



R.C.O.C. Guiding Principles



Guiding Principles

- Community Life
- Self-Determination Supports
 - Family Support
- Early Intervention/Prevention
 - Living Options
- Education/Lifelong Learning
 - Work
- Recreation & Leisure
- Service Planning & Coordination
- Administration & Governance



Purchase of Service Guidelines

- Prenatal Diagnostic Evaluation
- Early Intervention Services
 - Therapy Care Services
 - Respite Care Services
 - Day Care Services
 - Adult Day Program
- Support Services: Day Program
 - Transportation
 - Residential Services
- Psychological, Counseling and Educational Services
 - Medical and Dental Services
 - Equipment and Supplies

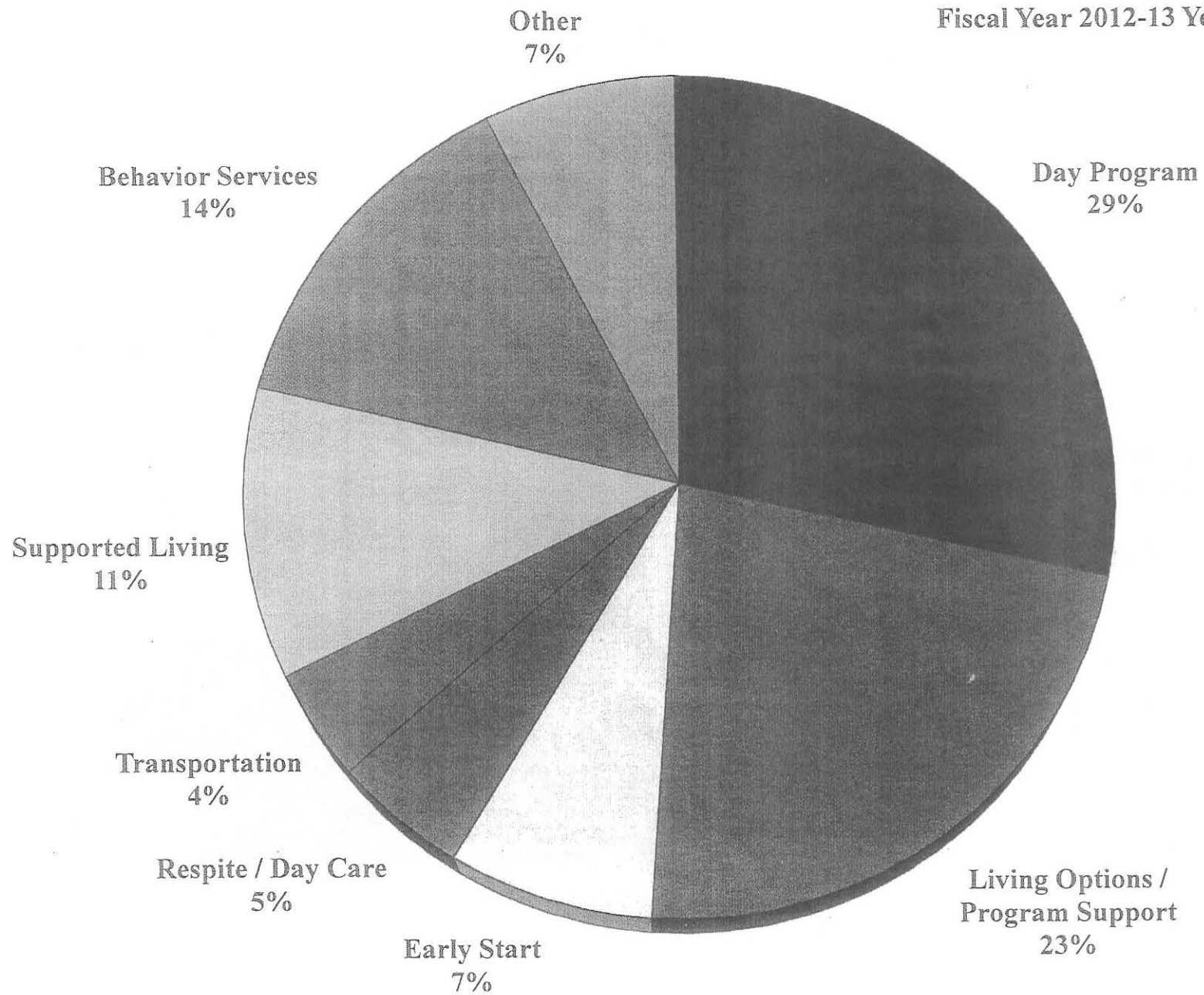
RCOC's DOLLARS

2013/2014

- PURCHASE OF
SERVICE - \$224.4
Million
- OPERATIONS –
\$26.3 Million



Fiscal Year 2012-13 Year to Date



Consumer Status Code Legend

0 = Diag and Evaluation

1 = High-Risk Infant

2 = Active Client

3 = At-Risk (Mother)

4 = Inactive

5 = Closed Transfer

6 = Closed not DD

7 = Closed Deceased

8 = Dev Center Client

9,X = Closed Other

Regional Center Caseload 2013

Regional Center	Total Children Status 1 and 2	Total DC Status 8	Total Adults Status 2	Total Active Caseload Status 1, 2, 8
Inland	12,181	43	14,178	26,402
San Diego	9,853	99	10,775	20,727
North L. A. County	10,284	79	8,619	18,982
Alta	8,026	88	10,145	18,259
Orange County	8,400	101	8,888	17,389
East Bay	7,043	178	9,278	16,499
Central Valley	6,987	134	8,649	15,770
San Andreas	6,938	32	7,163	14,133
Tri-Counties	5,999	33	5,752	11,784
San Gabriel/Pomona	5,399	69	6,255	11,723
South Central L. A.	5,598	81	5,738	11,417
Harbor	5,618	60	5,417	11,095
Valley Mountain	5,199	35	5,603	10,837
East Los Angeles	5,023	42	4,497	9,562
Lanternman	4,869	46	3,625	8,540
Golden Gate	3,353	143	4,919	8,415
North Bay	3,116	110	4,623	7,849
Westside	3,971	29	3,571	7,571
Kern	3,088	69	3,915	7,072
Far Northern	2,438	27	4,141	6,606
Redwood Coast	1,056	7	1,958	3,021
	124,439	1,505	137,709	263,653

**Number and Percent of Total Population with Active Status (Status 1, 2 & 8)
Who Are In Developmental Centers (Status 8) as of June 2013
(Based on CMF Status Codes)**

Regional Center	Developmental Center Caseload		Total Active Caseload
	Number	Percent	
Alta	88	0.48%	18,259
Central Valley	134	0.85%	15,770
East Bay	178	1.08%	16,499
East Los Angeles	42	0.44%	9,562
Far Northern	27	0.41%	6,606
Golden Gate	143	1.70%	8,415
Harbor	60	0.54%	11,095
Inland	43	0.16%	26,402
Kern	69	0.98%	7,072
Lanternman	46	0.54%	8,540
North Bay	110	1.40%	7,849
North L. A. County	79	0.42%	18,982
Orange County	101	0.58%	17,389
Redwood Coast	7	0.23%	3,021
San Andreas	32	0.23%	14,133
San Diego	99	0.48%	20,727
San Gabriel/Pomona	69	0.59%	11,723
South Central L. A.	81	0.71%	11,417
Tri-Counties	33	0.28%	11,784
Valley Mountain	35	0.32%	10,837
Westside	29	0.38%	7,571
Statewide Avg. Percent	1,505	0.57%	263,653

Children* Residing with Families

***Individuals Under Age 18 with Status 1 or 2 on CMF as of June 2013**

Regional Center	Own Home-Parent/Guardian		Foster Home (Child)		Total Children in Homes		Total Children
	Number	Percent	Number	Percent	Number	Percent	Status 1 and 2
Alta	7,730	96.31%	219	2.73%	7,949	99.04%	8,026
Central Valley	6,727	96.28%	154	2.20%	6,881	98.48%	6,987
East Bay	6,835	97.05%	97	1.38%	6,932	98.42%	7,043
East Los Angeles	4,875	97.05%	49	0.98%	4,924	98.03%	5,023
Far Northern	2,310	94.75%	104	4.27%	2,414	99.02%	2,438
Golden Gate	3,254	97.05%	51	1.52%	3,305	98.57%	3,353
Harbor	5,383	95.82%	216	3.84%	5,599	99.66%	5,618
Inland	11,682	95.90%	346	2.84%	12,028	98.74%	12,181
Kern	2,994	96.96%	57	1.85%	3,051	98.80%	3,088
Lanterman	4,832	99.24%	26	0.53%	4,858	99.77%	4,869
North Bay	3,015	96.76%	63	2.02%	3,078	98.78%	3,116
North L. A. County	9,929	96.55%	276	2.68%	10,205	99.23%	10,284
Orange County	8,223	97.89%	78	0.93%	8,301	98.82%	8,400
Redwood Coast	1,006	95.27%	38	3.60%	1,044	98.86%	1,056
San Andreas	6,767	97.54%	75	1.08%	6,842	98.62%	6,938
San Diego	9,568	97.11%	192	1.95%	9,760	99.06%	9,853
San Gabriel/Pomona	5,097	94.41%	201	3.72%	5,298	98.13%	5,399
South Central L. A.	5,412	96.68%	154	2.75%	5,566	99.43%	5,598
Tri-Counties	5,847	97.47%	92	1.53%	5,939	99.00%	5,999
Valley Mountain	5,022	96.60%	132	2.54%	5,154	99.13%	5,199
Westside	3,870	97.46%	91	2.29%	3,961	99.75%	3,971
Statewide Avg. Percent	120,378	96.74%	2,711	2.18%	123,089	98.92%	124,439

**Adults Residing in the Home of a Parent or Guardian
Based on Individuals Ages 18 and Over with Status 2
on CMF as of June 2013**

Regional Center	Own Home-Parent		Total Adults
	Number	Percent	Status 2
Alta	5,472	53.94%	10,145
Central Valley	4,953	57.27%	8,649
East Bay	5,127	55.26%	9,278
East Los Angeles	3,330	74.05%	4,497
Far Northern	1,657	40.01%	4,141
Golden Gate	2,618	53.22%	4,919
Harbor	3,480	64.24%	5,417
Inland	9,134	64.42%	14,178
Kern	2,222	56.76%	3,915
Lanternman	2,311	63.75%	3,625
North Bay	2,175	47.05%	4,623
North L. A. County	5,299	61.48%	8,619
Orange County	5,185	58.34%	8,888
Redwood Coast	783	39.99%	1,958
San Andreas	4,266	59.56%	7,163
San Diego	6,248	57.99%	10,775
San Gabriel/Pomona	3,541	56.61%	6,255
South Central L. A.	3,867	67.39%	5,738
Tri-Counties	2,957	51.41%	5,752
Valley Mountain	3,093	55.20%	5,603
Westside	2,135	59.79%	3,571
Statewide Avg. Percent	79,853	57.99%	137,709

**Adults Residing in an Adult Family Home Agency Home
Based on Individuals Ages 18 and Over with Status 2
on CMF as of June 2013**

Regional Center	Adult FHA		Total Adults
	Number	Percent	Status 2
Alta	92	0.91%	10,145
Central Valley	193	2.23%	8,649
East Bay	12	0.13%	9,278
East Los Angeles	18	0.40%	4,497
Far Northern	9	0.22%	4,141
Golden Gate	76	1.55%	4,919
Harbor	69	1.27%	5,417
Inland	66	0.47%	14,178
Kern	149	3.81%	3,915
Lanternman	3	0.08%	3,625
North Bay	43	0.93%	4,623
North L. A. County	45	0.52%	8,619
Orange County	79	0.89%	8,888
Redwood Coast	57	2.91%	1,958
San Andreas	33	0.46%	7,163
San Diego	59	0.55%	10,775
San Gabriel/Pomona	33	0.53%	6,255
South Central L. A.	44	0.77%	5,738
Tri-Counties	24	0.42%	5,752
Valley Mountain	38	0.68%	5,603
Westside	8	0.22%	3,571
Statewide Avg. Percent	1,150	0.84%	137,709

**Adults Residing in an Independent Living Setting
Based on Individuals Ages 18 and Over with Status 2
on CMF as of June 2013**

Regional Center	Independent Living		Total Adults
	Number	Percent	Status 2
Alta	1,951	19.23%	10,145
Central Valley	1,296	14.98%	8,649
East Bay	1,342	14.46%	9,278
East Los Angeles	235	5.23%	4,497
Far Northern	1,297	31.32%	4,141
Golden Gate	476	9.68%	4,919
Harbor	168	3.10%	5,417
Inland	1,119	7.89%	14,178
Kern	384	9.81%	3,915
Lanternman	296	8.17%	3,625
North Bay	530	11.46%	4,623
North L. A. County	1,108	12.86%	8,619
Orange County	772	8.69%	8,888
Redwood Coast	399	20.38%	1,958
San Andreas	605	8.45%	7,163
San Diego	1,516	14.07%	10,775
San Gabriel/Pomona	570	9.11%	6,255
South Central L. A.	133	2.32%	5,738
Tri-Counties	835	14.52%	5,752
Valley Mountain	517	9.23%	5,603
Westside	460	12.88%	3,571
Statewide Avg. Percent	16,009	11.63%	137,709

**Adults Residing in a Supported Living Setting
Based on Individuals Ages 18 and Over with Status 2
on CMF as of June 2013**

Regional Center	Supported Living		Total Adults
	Number	Percent	Status 2
Alta	416	4.10%	10,145
Central Valley	115	1.33%	8,649
East Bay	361	3.89%	9,278
East Los Angeles	195	4.34%	4,497
Far Northern	196	4.73%	4,141
Golden Gate	357	7.26%	4,919
Harbor	561	10.36%	5,417
Inland	411	2.90%	14,178
Kern	493	12.59%	3,915
Lanterman	89	2.46%	3,625
North Bay	651	14.08%	4,623
North L. A. County	292	3.39%	8,619
Orange County	410	4.61%	8,888
Redwood Coast	504	25.74%	1,958
San Andreas	405	5.65%	7,163
San Diego	175	1.62%	10,775
San Gabriel/Pomona	86	1.37%	6,255
South Central L. A.	468	8.16%	5,738
Tri-Counties	701	12.19%	5,752
Valley Mountain	544	9.71%	5,603
Westside	324	9.07%	3,571
Statewide Avg. Percent	7,754	5.63%	137,709

Adults* Residing in Home Settings
***Individuals Ages 18 and Over with Status 2 on CMF as of June 2013**

Regional Center	Own Home-Parent		Adult FHA		Indep. Living		Supp. Living		Total Adults in Home Settings		Total Adults
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Status 2
Alta	5,472	53.94%	92	0.91%	1,951	19.23%	416	4.10%	7,931	78.18%	10,145
Central Valley	4,953	57.27%	193	2.23%	1,296	14.98%	115	1.33%	6,557	75.81%	8,649
East Bay	5,127	55.26%	12	0.13%	1,342	14.46%	361	3.89%	6,842	73.74%	9,278
East Los Angeles	3,330	74.05%	18	0.40%	235	5.23%	195	4.34%	3,778	84.01%	4,497
Far Northern	1,657	40.01%	9	0.22%	1,297	31.32%	196	4.73%	3,159	76.29%	4,141
Golden Gate	2,618	53.22%	76	1.55%	476	9.68%	357	7.26%	3,527	71.70%	4,919
Harbor	3,480	64.24%	69	1.27%	168	3.10%	561	10.36%	4,278	78.97%	5,417
Inland	9,134	64.42%	66	0.47%	1,119	7.89%	411	2.90%	10,730	75.68%	14,178
Kern	2,222	56.76%	149	3.81%	384	9.81%	493	12.59%	3,248	82.96%	3,915
Lanternman	2,311	63.75%	3	0.08%	296	8.17%	89	2.46%	2,699	74.46%	3,625
North Bay	2,175	47.05%	43	0.93%	530	11.46%	651	14.08%	3,399	73.52%	4,623
North L. A. County	5,299	61.48%	45	0.52%	1,108	12.86%	292	3.39%	6,744	78.25%	8,619
Orange County	5,185	58.34%	79	0.89%	772	8.69%	410	4.61%	6,446	72.52%	8,888
Redwood Coast	783	39.99%	57	2.91%	399	20.38%	504	25.74%	1,743	89.02%	1,958
San Andreas	4,266	59.56%	33	0.46%	605	8.45%	405	5.65%	5,309	74.12%	7,163
San Diego	6,248	57.99%	59	0.55%	1,516	14.07%	175	1.62%	7,998	74.23%	10,775
San Gabriel/Pomona	3,541	56.61%	33	0.53%	570	9.11%	86	1.37%	4,230	67.63%	6,255
South Central L. A.	3,867	67.39%	44	0.77%	133	2.32%	468	8.16%	4,512	78.63%	5,738
Tri-Counties	2,957	51.41%	24	0.42%	835	14.52%	701	12.19%	4,517	78.53%	5,752
Valley Mountain	3,093	55.20%	38	0.68%	517	9.23%	544	9.71%	4,192	74.82%	5,603
Westside	2,135	59.79%	8	0.22%	460	12.88%	324	9.07%	2,927	81.97%	3,571
Statewide Avg. %	79,853	57.99%	1,150	0.84%	16,009	11.63%	7,754	5.63%	104,766	76.08%	137,709

Children* Residing in Facilities with Seven or More Beds (Excluding DCs)

*Individuals Under Age 18 with Status 1 or 2 on CMF as of June 2013

Regional Center	CCF (7+ Beds)		ICF (7+ Beds)		Nursing Facility		Total Children/7+ Beds		Total Children
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Status 1 and 2
Alta	0	0.00%	0	0.00%	0	0.00%	0	0.00%	8,026
Central Valley	0	0.00%	1	0.01%	0	0.00%	1	0.01%	6,987
East Bay	0	0.00%	0	0.00%	1	0.01%	1	0.01%	7,043
East Los Angeles	1	0.02%	3	0.06%	0	0.00%	4	0.08%	5,023
Far Northern	0	0.00%	0	0.00%	0	0.00%	0	0.00%	2,438
Golden Gate	0	0.00%	0	0.00%	0	0.00%	0	0.00%	3,353
Harbor	0	0.00%	0	0.00%	0	0.00%	0	0.00%	5,618
Inland	2	0.02%	21	0.17%	1	0.01%	24	0.20%	12,181
Kern	0	0.00%	1	0.03%	0	0.00%	1	0.03%	3,088
Lanternman	1	0.02%	0	0.00%	0	0.00%	1	0.02%	4,869
North Bay	0	0.00%	0	0.00%	0	0.00%	0	0.00%	3,116
North L. A. County	1	0.01%	1	0.01%	1	0.01%	3	0.03%	10,284
Orange County	0	0.00%	2	0.02%	1	0.01%	3	0.04%	8,400
Redwood Coast	0	0.00%	0	0.00%	0	0.00%	0	0.00%	1,056
San Andreas	0	0.00%	1	0.01%	0	0.00%	1	0.01%	6,938
San Diego	5	0.05%	0	0.00%	9	0.09%	14	0.14%	9,853
San Gabriel/Pomona	15	0.28%	9	0.17%	0	0.00%	24	0.44%	5,399
South Central L. A.	4	0.07%	0	0.00%	0	0.00%	4	0.07%	5,598
Tri-Counties	5	0.08%	6	0.10%	0	0.00%	11	0.18%	5,999
Valley Mountain	1	0.02%	0	0.00%	0	0.00%	1	0.02%	5,199
Westside	0	0.00%	1	0.03%	0	0.00%	1	0.03%	3,971
Statewide Avg. Percent	35	0.03%	46	0.04%	13	0.01%	94	0.08%	124,439

Adults* Residing in Facilities with Seven or More Beds (Excluding DCs)

*Individuals Ages 18 and Over with Status 2 on CMF as of June 2013

Regional Center	CCF (7+ Beds)		ICF (7+ Beds)		Nursing Facility		Total Adults/7+ Beds		Total Adults
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Status 2
Alta	210	2.07%	49	0.48%	79	0.78%	338	3.33%	10,145
Central Valley	15	0.17%	2	0.02%	66	0.76%	83	0.96%	8,649
East Bay	229	2.47%	5	0.05%	81	0.87%	315	3.40%	9,278
East Los Angeles	5	0.11%	4	0.09%	29	0.64%	38	0.85%	4,497
Far Northern	65	1.57%	0	0.00%	19	0.46%	84	2.03%	4,141
Golden Gate	153	3.11%	7	0.14%	48	0.98%	208	4.23%	4,919
Harbor	19	0.35%	14	0.26%	67	1.24%	100	1.85%	5,417
Inland	99	0.70%	46	0.32%	77	0.54%	222	1.57%	14,178
Kern	16	0.41%	1	0.03%	18	0.46%	35	0.89%	3,915
Lanterman	172	4.74%	80	2.21%	64	1.77%	316	8.72%	3,625
North Bay	21	0.45%	16	0.35%	46	1.00%	83	1.80%	4,623
North L. A. County	100	1.16%	99	1.15%	77	0.89%	276	3.20%	8,619
Orange County	136	1.53%	119	1.34%	96	1.08%	351	3.95%	8,888
Redwood Coast	15	0.77%	0	0.00%	22	1.12%	37	1.89%	1,958
San Andreas	106	1.48%	19	0.27%	33	0.46%	158	2.21%	7,163
San Diego	150	1.39%	96	0.89%	92	0.85%	338	3.14%	10,775
San Gabriel/Pomona	261	4.17%	269	4.30%	43	0.69%	573	9.16%	6,255
South Central L. A.	104	1.81%	72	1.25%	60	1.05%	236	4.11%	5,738
Tri-Counties	52	0.90%	166	2.89%	34	0.59%	252	4.38%	5,752
Valley Mountain	221	3.94%	16	0.29%	42	0.75%	279	4.98%	5,603
Westside	18	0.50%	5	0.14%	18	0.50%	41	1.15%	3,571
Statewide Avg. Percent	2,167	1.57%	1,085	0.79%	1,111	0.81%	4,363	3.17%	137,709

**Duration in Intake Status (Status 0) for Individuals Ages 3 and Over
Based on CMF as of June 2013**

Regional Center	<= 142 DAYS		143-240 DAYS		OVER 240 DAYS		GRAND TOTAL
	Number	Percent	Number	Percent	Number	Percent	
Alta	211	100.00%	0	0.00%	0	0.00%	211
Central Valley	273	100.00%	0	0.00%	0	0.00%	273
East Bay	254	99.22%	2	0.78%	0	0.00%	256
East Los Angeles	84	100.00%	0	0.00%	0	0.00%	84
Far Northern	158	100.00%	0	0.00%	0	0.00%	158
Golden Gate	144	94.12%	8	5.23%	1	0.65%	153
Harbor	280	99.29%	2	0.71%	0	0.00%	282
Inland	795	99.38%	4	0.50%	1	0.13%	800
Kern	176	95.14%	9	4.86%	0	0.00%	185
Lanternman	181	100.00%	0	0.00%	0	0.00%	181
North Bay	177	98.33%	1	0.56%	2	1.11%	180
North L. A. County	429	100.00%	0	0.00%	0	0.00%	429
Orange County	195	98.98%	2	1.02%	0	0.00%	197
Redwood Coast	50	100.00%	0	0.00%	0	0.00%	50
San Andreas	125	94.70%	7	5.30%	0	0.00%	132
San Diego	363	98.91%	4	1.09%	0	0.00%	367
San Gabriel/Pomona	128	100.00%	0	0.00%	0	0.00%	128
South Central L. A.	261	100.00%	0	0.00%	0	0.00%	261
Tri-Counties	116	100.00%	0	0.00%	0	0.00%	116
Valley Mountain	196	98.99%	2	1.01%	0	0.00%	198
Westside	85	100.00%	0	0.00%	0	0.00%	85
Statewide Totals and Avg. Percents	4,681	99.05%	41	0.87%	4	0.08%	4,726

RCOC

Performance Contract 2014

