

2013 Proposed Performance Contract  
Outcomes and Activities

## I. Public Policy Performance Measures

### 1. Outcome: Number/percent of Regional Center caseload in Developmental Centers

Y2 Baseline: 232 persons total; approximately 2% of total SARC population  
 Y3 (Nov 05): 220 persons total; approximately 2% of total SARC population  
 Y4 (Nov 06): 196 persons total; approximately 1.8% of total SARC population  
 Y5 (Nov 07): 159 persons total; approximately 1.4% of total SARC population  
 Y6 (12/1/08): 104 persons total; approximately 0.84% of total SARC population  
 Y7 (12/1/09): 44 persons total; approximately 0.34% of total SARC population  
 Y8 (12/1/10): 38 persons total; approximately 0.30% of total SARC population  
 Y9 (6/30/11): 37 persons total; approximately 0.28% of total SARC population

Y10 (11/1/12):32 persons total; approximately 0.23% of total SARC population

Goal	Reduce the #/% of Regional Center caseload in Developmental Centers
Activity A	Placement of consumers residing in Developmental Centers contingent upon appropriate and quality housing being available
Activity B	Provide Vendor oversight and assistance to increase the effectiveness of community integration services in serving consumers whose needs challenge the service system.
Activity C	Implement the Community Placement Plan (CPP).
Activity D	Ensure follow-up of the Quality Management System activities for consumers placed in the community from developmental centers.
Activity E	Continue to ensure community Health Care and Dental Services system are responsive to care providers and emergency services procedures are in place.
Activity F	Continue to implement MOUs with County Mental Health Departments in the catchment area.

### 2. Outcome: Number/percent of minors residing with families

Y2 Baseline: 4739 children total; approximately 97% of total children 0-17 years  
 Y3 (Nov 05): 5057 children total; approximately 97.4% of total children 0-17 years  
 Y4 (Nov 06): 5423 children total; approximately 97.6% of total children 0-17 years  
 Y5 (Nov 07): 5813 children total; approximately 97.9% of total children 0-17 years  
 Y6 (12/1/08): 6224 children total; approximately 98.1% of total children 0-17 years  
 Y7 (12/1/09): 6511 children total; approximately 98.1% of total children 0-17 years  
 Y8 (12/1/10): 6254 children total; approximately 97.5% of total children 0-17 years  
 Y9 (6/30/11): 6295 children total; approximately 97.6% of total children 0-17 years

Y10 (11/1/12):6717 children total; approximately 98.7% of total children 0-17 years

Goal	Increase the #/% of children living in family homes
Activity A	Provide information to families on the array of family support services
Activity B	Continue to develop with Parents Helping Parents and Family Resource Centers to support families in our catchment area
Activity C	Increase family and community awareness of existing day care providers with vacancies
Activity D	Attend ongoing meetings with the Department of Family and Children's Services to better coordinate appropriate services for children and their families
Activity E	Increase the number of behavioral intervention agencies
Activity F	Continue support and representation at the Family Mental Health Collaborative and in conjunction with County Mental Health at the Mental Health Service Act meetings

### 3. Outcome: Number/percent of adults residing in independent living

Y2 Baseline: 511 total adults in their own homes; approximately 10% of adults  
Y3 (Nov 05): 518 total adults in their own homes; approximately 10.2% of adults  
Y4 (Nov 06): 508 total adults in their own homes; approximately 9.7% of adults  
Y5 (Nov 07): 536 total adults in their own homes; approximately 9.7% of adults  
Y6 (12/1/08): 539 total adults in their own homes; approximately 9.3% of adults  
Y7 (12/1/09): 575 total adults in their own homes; approximately 9.45% of adults  
Y8 (12/1/10): 568 total adults in their own homes; approximately 8.89% of adults  
Y9 (6/30/11): 572 total adults in their own homes; approximately 8.72% of adults

Y10 (11/1/12): 578 total adults in their own homes; approximately 8.31% of adults

Goal	Increase the #/% of adults choosing to live in their own homes with ILS support
Activity A	Increase housing options for adults choosing to live in their own homes
Activity B	Increase the number of Independent Living vendors
Activity C	Expand existing providers of Independent Living Services
Activity D	Increase internal and community understanding of Independent Living Services

### 4. Outcome: Number/percent of adults living in supported living

Y2 Baseline: 174 total adults in supported living; approximately 4% of adults  
Y3 (Nov 05): 200 total adults in supported living; approximately 4% of adults  
Y4 (Nov 06): 217 total adults in supported living; approximately 4.1% of adults  
Y5 (Nov 07): 247 total adults in supported living; approximately 4.5% of adults  
Y6 (12/1/08): 266 total adults in supported living; approximately 4.6% of adults  
Y7 (12/1/09): 294 total adults in supported living; approximately 4.83% of adults  
Y8 (12/1/10): 333 total adults in supported living; approximately 5.21% of adults  
Y9 (6/30/11): 341 total adults in supported living; approximately 5.2% of adults

Y10 (11/1/12): 400 total adults in supported living, approximately 5.75% of adults.

Goal	Increase the #/% of adults choosing to live in their own homes with Supported Living
Activity A	Increase housing options for adults choosing to live in their own homes with Supported Living Services
Activity B	Increase the number of Supported Living vendors
Activity C	Expand existing providers of Supported Living Services
Activity D	Increase internal and community understanding of Supported Living Services

5. Outcome: Number/percent of adults residing in Adult Family Home Agencies

Y2 Baseline: 1; approximately 0.02% of adults  
Y3 (Nov 05): 2; approximately 0.03% of adults  
Y4 (Nov 06): 5; approximately 0.09% of adults  
Y5 (Nov 07): 17; approximately 0.31% of adults  
Y6 (12/1/08): 30; approximately 0.51% of adults  
Y7 (12/1/09): 33; approximately 0.54% of adults  
Y8 (12/1/10): 32; approximately 0.50% of adults  
Y9 (6/30/11): 31; approximately 0.47% of adults

Y10 (11/1/12):33; approximately 0.47% of adults

Goal	Increase the #/% of adults residing in Adult Family Home Agencies
Activity A	Work in collaboration with Adult Family Home Agencies to continue to make the scheduled placements throughout the year into prepared family teaching homes
Activity B	Increase internal and community understanding of Adult Family Home Agency model

6. Outcome: Number/percent of adults residing in family homes (home of parent or guardian).

Y2 Baseline: 2,603 total; approximately 53% of adults  
Y3 (Nov 05): 2,698 total; approximately 53.2% of adults  
Y4 (Nov 06): 2,825 total; approximately 53.9% of adults  
Y5 (Nov 07): 3,007 total; approximately 54.4% of adults  
Y6 (12/1/08): 3,192 total; approximately 55.1% of adults  
Y7 (12/1/09): 3,375 total; approximately 55.48% of adults  
Y8 (12/1/10): 3,629 total; approximately 56.78% of adults  
Y9 (6/30/11): 3,784 total; approximately 57.69% of adults

Y10 (11/1/12):4,118 total; approximately 59.18% of adults

Goal	Increase support options for adults choosing to reside in family homes of their parent, guardians and/or conservators.
Activity A	Continue to identify and disseminate information regarding generic, community and regional center resources.
Activity B	Increase the number of "out of home" respite agencies, transportation providers, appropriate day program resources and community crisis intervention supports.

7. Outcome: Number/percent of adults residing in home settings.

Y2 Baseline: 3,289 total; approximately 67% of adults  
Y3 (Nov 05): 3,418 total; approximately 67% of adults  
Y4 (Nov 06): 3,555 total; approximately 68% of adults  
Y5 (Nov 07): 3,807 total; approximately 68.8% of adults  
Y6 (12/1/08): 4,027 total; approximately 69.5% of adults  
Y7 (12/1/09): 4,277 total; approximately 70.32% of adults  
Y8 (12/1/10): 4,562 total; approximately 71.38% of adults  
Y9 (6/30/11): 4,728 total; approximately 72.08% of adults

Y10 (11/1/12):5,129 total; approximately 73.7% of adults

Goal	Increase the#/% of adults residing in home settings.
Activity A	Increase housing options for adults choosing to live in home settings.
Activity B	Increase the number of Supported Living providers.
Activity C	Increase number of Independent Living providers
Activity D	Continue placements throughout year into Adult Family Home Agency homes.
Activity E	Increase internal and community understanding about Supported Living services, Independent Living services and about the Adult Family Home model.
Activity F	Continue to identify and disseminate information regarding generic, community and regional center resources.
Activity G	Increase the number of transportation providers, appropriate day program resources and community crisis intervention supports.
Activity H	Continue to implement MOU with County Mental Health Departments in catchment area.

8. Outcome: Number/percent of minors living in facilities serving >6.

Y2 Baseline: 4 minors total; approximately 0.04% of minors  
Y3 (Nov 05): 5 minors total; approximately 0.08% of minors  
Y4 (Nov 06): 3 minors total; approximately 0.04% of minors  
Y5 (Nov 07): 1 minor total; approximately 0.02% of minors  
Y6 (12/1/08): 1 minor total; approximately 0.01% of minors  
Y7 (12/1/09): 0 minors total; 0.0% of minors  
Y8 (12/1/10): 1 minor total; approximately0.02% of minors  
Y9 (6/30/11): 1 minor total; approximately 0.02% of minors

Y10 (11/1/12):1 minor total; approximately 0.01% of minors

Goal	Maintain or reduce the #/% of minors living in facilities serving >6
Activity A	Develop new children's residential care homes serving less than 7 children.
Activity B	Continue on-going meetings with Community Care Licensing.
Activity C	Provide vendor trainings on topics relevant to maintaining a safe and healthy home environment.
Activity D	Develop and improve relationships with foster home agencies.

9. Outcome: Number/percent of adults living in facilities serving >6.

Y2 Baseline: 208 adults total; approximately 4% of adults  
 Y3 (Nov 05): 198 adults total; approximately 3.9% of adults  
 Y4 (Nov 06): 192 adults total; approximately 3.6% of adults  
 Y5 (Nov 07): 195 adults total; approximately 3.5% of adults  
 Y6 (12/1/08): 141 adults total; approximately 2.42% of adults  
 Y7 (12/1/09): 134 adults total; approximately 2.19% of adults  
 Y8 (12/1/10): 168 adults total; approximately 2.63% of adults  
 Y9 (6/30/11): 165 adults total; approximately 2.52% of adults

Y10 (10/31/12): 154 adults total; approximately 2.21% of adults

Goal	Decrease the #/% of adults living in facilities serving more than 6 adults.
Activity A	Develop new adult residential care homes with <7 adults per home.
Activity B	Continue on-going meetings with Community Care Licensing.
Activity C	Provide vendor trainings on topics relevant to maintaining a safe and healthy home environment.
Activity D	Increase awareness about residential care homes serving less than 7 adults per home and other living options and supports.

10. Outcome: Number/percent of adults in supported employment. (Measure under development by DDS)

Goal	Increase opportunities for adults to be in Supported Employment.
Activity A	Increase internal and community understanding about Supported Employment option.
Activity B	Increase community's awareness that regional center consumers are apart of the pool of available job applicants.

11. Outcome: Number/percent of adults with earned income and average wage (aggregate). (Measure under development by DDS)

Goal	Increase opportunities for adults to earn income and an average wage.
Activity A	Increase community's awareness that regional center consumers are a part of the pool of available job applicants.
Activity B	Recognize agencies and companies that employ people with developmental disabilities.
Activity C	Partner with schools and the Department of Rehabilitation in workgroups that explore job opportunities, locate employers who are willing to develop jobs for the developmentally disabled, and identify (and partner with) these employers and agencies.

12. Outcome: Number/percent of adults in competitive employment. (Measure under development by DDS)

Goal	Increase opportunities for adults to have competitive employment.
Activity A	Increase community's awareness that regional center consumers are a part of the pool of available job applicants.
Activity B	Recognize agencies and companies that employ people with developmental disabilities.

13. Outcome: Access to medical and dental services. (Measure under development by DDS)

Goal	Increase the accessibility of medical and dental services for the people SARC serves
Activity A	Provide training opportunities at scheduled intervals for Residential Care Home providers to increase their knowledge of health and medical issues of regional center consumers.
Activity B	Continue to develop relationships and vendor medical and dental providers in the community with understanding about developmental disabilities
Activity C	Continue exploring collaborative possibilities with dental schools statewide interested in working with persons with developmental disabilities
Activity D	Continue to explore collaborative possibilities with local hospitals interested in partnering with dentists to offer sedation for persons with developmental disabilities

14. Outcome: Number of people that SARC serves per thousand who are victims of abuse. (Measure under development by DDS)

Goal	Engage in preventative strategies that decrease the likelihood of the people that San Andreas serves becoming victims of abuse.
Activity A	Collaborate with providers and local colleges to continue to provide classes on healthy relationships and sexuality to consumers.
Activity B	Continue to develop with the cooperation of the local police department, a community safety plan for consumers of the regional center.
Activity C	Continue ongoing meetings with Child Protective Services and Adult Protective Services
Activity D	Continue to investigate collaborative training opportunities with APS and CPS
Activity E	Continue to provide trainings to vendors regarding what constitutes a Serious Incident and how to prevent and report them
Activity F	Continue to explore opportunities to provide persons served by the regional center information about abuse and its prevention
Activity G	Continue providing opportunities for persons served by the regional center to participate in peer support groups



## **II. Compliance Measures:**

### **1. Outcome: Unqualified independent audit.**

Y2 Baseline: Yes, in compliance for FY 2004.  
Y3 (Dec 05): Yes, in compliance for FY 2005.  
Y4 (Dec 06): Yes, in compliance for FY 2006.  
Y5 (Dec 07): Yes, in compliance for FY 2007.  
Y6 (Dec 08): Yes, in compliance for FY 2008.  
Y7 (Dec 09): Yes, in compliance for FY 2009.  
Y8 (Dec 10): Yes, in compliance for FY 2010.  
Y9 (Dec 11): Yes, in compliance for FY 2011.

Y10 (Nov 12): Yes, in compliance for FY 2012.

Activity: Comply with Generally Accepted Accounting Procedures.

### **2. Outcome: Substantial compliance with DDS fiscal audit.**

Y2 Baseline: Yes, in compliance.  
Y3 (Dec 05): Yes, in compliance.  
Y4 (Dec 06): Yes, in compliance.  
Y5 (Dec 07): Yes, in compliance.  
Y6 (Dec 08): San Andreas did not have a DDS fiscal audit in 2008.  
Y7 (June 09): Yes, in compliance.  
Y8 (Dec 10): Yes, in compliance.  
Y9 (June 11): Yes, in compliance.

Y10 (Nov 12): Yes, in compliance.

Activity: Utilize the DDS standards for substantial compliance and conduct internal monitoring based on these standards.

### **3. Outcome: Accuracy percent of POS fiscal projections.**

Y2 Baseline: Yes, met for FY 2004.  
Y3 (Dec 05): Yes, met for FY 2005.  
Y4 (Dec 06): Yes, met for FY 2006.  
Y5 (Dec 07): Yes, met for FY 2007.  
Y6 (Dec 08): Yes, met for FY 2008.  
Y7 (Dec 09): Yes, met for FY 2009.  
Y8 (Dec 10): Yes, met for FY 2010.  
Y9 (Oct 11): Yes, met for FY 2011.

Y10 (Nov 12): Yes, met for FY 2012

Activity: Monitor projections on a monthly basis to ensure fiscal projections are within 10% of actual final expenditures.

4. Outcome: Operates within OPS budget.

Y2 Baseline: Yes, met for FY 2004.

Y3 (Dec 05): Yes, met for FY 2005.

Y4 (Dec 06): Yes, met for FY 2006.

Y5 (Dec 07): Yes, met for FY 2007.

Y6 (Dec 08): Yes, met for FY 2008.

Y7 (Dec 09): Yes, met for FY 2009.

Y8 (Dec 10): Yes, met for FY 2010.

Y9 (Oct 11): Yes, met for FY 2011.

Y10 (Oct 12): Yes, met for FY 2012.

Activity: Conduct on-going monitoring of OPS expenditures.

5. Outcome: Certified to participate in Waiver.

Y2 Baseline: Yes, maintained certification during 2004.

Y3 (Dec 05): Yes, maintained certification during 2005.

Y4 (Dec 06): Yes, maintained certification during 2006.

Y5 (Dec 07): Yes, maintained certification during 2007.

Y6 (Dec 08): Yes, maintained certification during 2008.

Y7 (Dec 09): Yes, maintained certification during 2009.

Y8 (Dec 10): Yes, maintained certification during 2010.

Y9 (Oct 11): Yes, maintained certification during 2011.

Y10 (Oct 12): Yes, maintained certification during 2012.

Activity: Implement all activities associated with meeting on-going Medicaid Waiver compliance.

6. Outcome: Compliance with Vendor Audit Requirements per contract, Article III, Section 10.

Y2 Baseline: Yes met for 2004.

Y3 (Dec 05): Yes, met for 2005.

Y4 (Dec 06): Yes, met for 2006.

Y5 (Dec 07): Yes, met for 2007.

Y6 (Dec 08): Yes, met for 2008.

Y7 (Dec 09): Yes, met for 2009.

Y8 (Dec 10): Yes, met for 2010.

Y9 (Oct 11): Yes, met for 2011.

Y10 (Oct 12): Yes, met for 2012.



Activity: Develop a vendor audit plan for the new year (2013).

7. Outcome: CDER/ESR Currency.

Y2 Baseline: 9,285 CDER/ESR current, or approximately 95% current.  
Y3 (Dec 05): 9,625 CDER/ESR current, or approximately 93% current.  
Y4 (Nov 06): 10,276 CDER/ESR current, or approximately 95% current.  
Y5 (Nov 07): 10,897 CDER/ESR current, or approximately 95% current.  
Y6 (Dec 08): 10,120 CDER/ESR current, or approximately 94% current.  
Y7 (Dec 09): N/A, Measure was temporarily suspended during implementation of the Revised CDER.  
Y8 (Dec 10): 12,161 CDER/ESR current, or approximately 95% current.  
Y9 (June 11): 12,381 CDER/ESR current, or approximately 95% current.  
  
Y10 (Oct 12): 10,917 CDER current, or approximately 91% current. Early Start Report statistics are not reported due to recent changes in reporting. New data collection and analysis methods are still under development at DDS.

Activity: Ensure the currency of CDER/ESRs.

8. Outcome: Intake/assessment and IFSP timelines for children 0-36 months.

Y1 (Dec 12): 1,022 Timely or late with exceptional family circumstance, or 77% timely.

Activity: Comply with intake/assessment and IFSP timelines for children 0-36 months.

9. Outcome: Intake/assessment time lines for people over age 3 and above.

Y2 Baseline: 32 intakes overdue 121 - 240 days  
3 intakes overdue >241 days  
Y3 (Dec 05): 17 intakes overdue 121-240 days  
2 intakes overdue >241 days  
Y4 (Nov 06): 9 intakes overdue 121-240 days  
0 intakes overdue >241 days  
Y5 (Nov 07): 8 intakes overdue 121-240 days  
0 intakes overdue >241 days  
Y6 (Dec 08): 25 intakes overdue 143-240 days  
27 intakes overdue >241 days  
Y7 (Dec 09): 18 intakes overdue 143-240 days  
18 intakes overdue >241 days  
Y8 (Dec 10): 15 intakes overdue 143-240 days  
1 intake overdue >241 days  
Y9 (June 11): 5 intakes overdue 143-240 days  
0 intakes overdue >241 days

Y10 (Nov 12): 8 intakes overdue 143-240 days  
0 intakes overdue >241 days

Activity: Comply with intake/assessment time lines for people age 3 and above.

10. Outcome: Individual Program Plan Development (WIC requirements)

Y2 (Baseline): 98%  
Y3 (Dec 05): 98%  
Y4 (Dec 06): 98%  
Y5 (Dec 07): 99%  
Y6 (Dec 08): 99%  
Y7 (Dec 09): 99%  
Y8 (Dec 10): 99%  
Y9 (Dec 11): 98%

Y10 (Dec 12): Data not yet available; will receive CY data from DDS

Activity: Comply with WIC requirements related to the development of the Individual Program Plan (IPP)

11. Outcome: Individual Family Services Plan (IFSP) Development (Title 17 requirements)

Y2 (Baseline): 92%  
Y3 (Dec 05): 92%  
Y4 (Dec 06): 92%  
Y5 (Dec 07): 92%  
Y6 (Dec 08): 92%  
Y7 (Dec 09): 92%  
Y8 (Dec 10): 86%  
Y9 (Dec 11): Measure temporarily suspended pending revision to measurement methodology and availability of associated data.

Y10 (Dec 12): Data not yet available; will receive CY data from DDS

Activity: Comply with Title 17 requirements related to the development of the Individual Family Services Plan (IFSP)

## STATEMENT OF ASSURANCES

This is to assure that SAN ANDREAS REGIONAL CENTER Year 2013 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2013 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)]
- Holding at least one public meeting to solicit input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)]
- Providing at least 10 calendar days advance public notice of the date(s) of the public meeting (DDS Guidelines)
- Circulating a draft to the community of the performance contract plan relative to the public policy and compliance outcomes identified in the DDS Performance Contract Guidelines, and any locally developed policy outcomes prior to presenting the contract to the regional center board for action [WIC 4629 (c)(B)(iii)]
- Providing an opportunity for additional public input and consideration of that input at the regional center board meeting prior to board action on the proposed performance contract [WIC 4629 (c)(B)(iii)]

Signature of RC Director:

Ester J. Lopez

Date:

1.8.13