

Tri-Counties Regional Center  
**PERFORMANCE CONTRACT PLAN 2015**

State Public Policy Performance Measure (Outcomes from DDS)	Statewide Average (as of December 2014)	TCRC Baseline (as of December 2014)	Objectives	Activities Summary
1. Number and percent of TCRC caseload in Developmental Centers	To Be Determined (TBD)	TBD	<p>TCRC has a long-range housing plan that identifies the resources and options required to meet the needs of persons with developmental disabilities for affordable and accessible housing in San Luis Obispo, Santa Barbara, and Ventura Counties.</p> <p>(applies as well to Outcomes 3-7)</p>	<p>TCRC will:</p> <ul style="list-style-type: none"> <li>• implement a Community Placement Plan for FY 2014/2015 that includes assessment, planning, and resource development activities based upon the individual needs of persons served by TCRC currently living in Developmental Centers.</li> <li>• collaborate with Tri-Counties Community Housing Corporation (TCCHC) to provide housing in perpetuity for persons with developmental disabilities including those persons moving from the Developmental Center.</li> <li>• utilize CPP funding to develop housing with TCCHC and other service providers to provide deflection residential resources as approved by DDS.</li> </ul>
2. Number and percent of TCRC minors residing with families	TBD	TBD	<p>TCRC consistently provides information and support to help families adapt to the impact of disability particularly at the time of diagnosis and during age related transitions.</p> <p>(applies as well to Outcomes 8, 9)</p> <p>TCRC develops meaningful services for young persons with autism as they transition to adult life.</p> <p>(applies as well to Outcomes 8, 9)</p>	<p>TCRC will:</p> <ul style="list-style-type: none"> <li>• implement the developed action plan for each age related area that incorporates evaluative input. Modify plan as needed.</li> <li>• develop Social Skills services in response to 2013 Autism Survey results and corresponding 2014 RFP.</li> <li>• create services for day and residential needs according to needs assessment of transition age young adults with autism.</li> </ul>

Statewide indicator: When any one of the following criteria is met for the respective outcome: 1) The outcome has improved over the prior year's baseline, or 2) The performance exceeds the statewide average, or 3) The performance equals a standard that has been defined by the Department. Performance Contract Plan 2015 Approved by TCADD 10.4.2014

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3. Number and percent of adults residing in independent living 4. Number and percent of adults residing in supported living 5. Number and percent of adults residing in Adult Family Home Agency Homes 6. Number and percent of adults residing in family homes (home of parent or guardian) 7. Number and percent of adults residing in home settings	TBD	TBD	TCRC has a long-range housing plan that identifies the resources and options required to meet the needs of persons with developmental disabilities for affordable and accessible housing in San Luis Obispo, Santa Barbara, and Ventura Counties.	TCRC will: <ul style="list-style-type: none"> <li>• implement a Community Placement Plan for FY 2014/2015 that includes assessment, planning, and resource development activities based upon the individual needs of persons served by TCRC currently living in Developmental Centers.</li> <li>• collaborate with Tri-Counties Community Housing Corporation (TCCHC) to provide housing in perpetuity for persons with developmental disabilities.</li> <li>• utilize CPP funding to develop housing with TCCHC and other service providers to provide deflection residential resources.</li> <li>• continue to develop needed services to support people to live as independently as possible and in home settings.</li> </ul>
8. Number and percent of minors living in facilities serving >6 9. Number and percent of adults living in facilities serving >6	TBD	TBD	TCRC consistently provides information and support to help families adapt to the impact of disability particularly at the time of diagnosis and during age related transitions.  TCRC develops meaningful services for young persons with autism as they transition to adult life.  See objective #s 10-12 as well.	TCRC will: <ul style="list-style-type: none"> <li>• implement the developed action plan for each age related area and incorporate evaluative input. Modify plan as needed.</li> <li>• develop Healthy Relationship services in response to 2013 Autism Survey results and corresponding 2014 RFP.</li> <li>• create services for day and residential needs according to needs assessment for transition age young adults with autism.</li> <li>• develop home in each county for children with severe behavioral challenges and concurrent mental health issues.</li> </ul>

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Compliance Measures (Outcomes from DDS)	Prior Review Period-2014 Yes/No	Current Review Period-2015 Yes/No	Objectives	Activities Summary
1. Unqualified independent audit with no material finding(s)  2. Substantial compliance with DDS fiscal audit	Yes  Yes (every two years)	TBD  TBD	TCRC receives an unqualified independent audit with no material findings and is in substantial compliance with the DDS fiscal audit.	TCRC will: <ul style="list-style-type: none"> <li>• continue to conduct its accounting within generally accepted accounting principles (GAAP), standard Regional Center practices, and guidelines set by the State and Federal governments.</li> <li>• continue to monitor and correct audit findings from prior year audits.</li> </ul>
3. Accuracy of POS projections	Yes	TBD	TCRC will report Purchase of Service projections in accordance with DDS instructions and current data.	<ul style="list-style-type: none"> <li>• continue to project and analyze POS expenditures monthly.</li> </ul>
4. Operates within OPS budget	Yes	TBD	TCRC will ensure actual Operations expenditures and late bills do not exceed TCRC's Operations budget.	<ul style="list-style-type: none"> <li>• continue to project and analyze OPS expenditures.</li> <li>• continue to achieve OPS efficiencies through negotiation of contracts/agreements, bidding multiple suppliers, and researching and implementing alternatives to current OPS services, to the extent possible.</li> </ul>
5. Certified to participate in the Medicaid Home and Community -Based Services (HCBS) Waiver.	Yes (every two years)	TBD	TCRC is and remains certified to take part in the "Home and Community - Based Services" waiver.	<ul style="list-style-type: none"> <li>• train staff on Federal Programs to ensure TCRC meets or exceeds all requirements to be certified to participate in the HCBS waiver.</li> <li>• monitor and correct audit findings from the DDS/DHS April 2012 Audit</li> </ul>
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10	Yes	TBD	TCRC will complete vendor fiscal audits as required by the contract language with DDS.	<ul style="list-style-type: none"> <li>• develop and implement a FY 14-15 audit plan consistent with DDS contract language.</li> </ul>

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Compliance Measures (Outcomes from DDS)	Prior Review Period-2014 Yes/No	Current Review Period-2015 Yes/No	Objectives	Activities Summary
7. CDER/ESR currency	TBD	TBD	TCRC will complete and update Client Development Evaluation Reports (CDERs) as well as Early Start Reports (ESRs) in a timely manner.	TCRC will <ul style="list-style-type: none"> <li>• ensure that Service Coordinators will enter CDER/ESR information within the birth month</li> <li>• ensure that TCRC managers monitor monthly for accuracy and timeliness and ensure accountability.</li> <li>• provide CDER/ESR training to support staff, Service Coordinators and Services &amp; Supports Managers.</li> <li>• use a tracking method to alert Service Coordinators of CDERs/ESRs due the following month.</li> </ul>
8. Intake/assessment and IFSP time lines for 0-2 years of age.  9. Intake/assessment time lines for ages 3 and above	<45 days 100% (ESR Report July 2014)  <142 days 100.00% (DDS PC Data June 2014)  (The duration of 142 days or less approximates the calendar days allowed for intake/assessment (15 working days + 6 weekend days + 1 potential weekend holiday + 120 calendar days)	TBD	TCRC will meet timelines for intake, eligibility evaluation, and IFSP/IPP development.	<ul style="list-style-type: none"> <li>• ensure children determined eligible for Early Start services will have an initial IFSP completed with services in place within 45 days of initial referral.</li> <li>• develop a system to ensure an adequate and timely clinical evaluation and diagnosis within 120 days of inquiry (See reference to duration of 142 days per DDS performance contract measures report)</li> <li>• follow up on intake protocol to improve timelines as needed and to stay on track</li> </ul>
10. IPP Development (WIC requirements)  11. IFSP Development (Title 17 requirements)	100% (April 2012 DDS Review)  92% (June 2010 DDS Review)	TBD	TCRC will meet timelines for intake, eligibility evaluation, and IFSP/IPP development.	<ul style="list-style-type: none"> <li>• ensure that Services and Supports Managers provide coaching to Service Coordinators to accomplish IFSP/IPP services</li> <li>• ensure that a sample of IFSP/IPPs of each team is reviewed by an internal review team for timelines and person-centeredness.</li> </ul>

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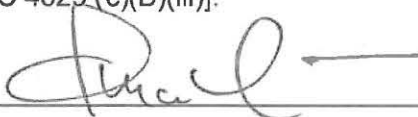
STATEMENT OF ASSURANCES

This is to assure that TRI-COUNTIES REGIONAL CENTERS Year 2015 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2015 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Holding at least one public meeting to solicit input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least 10 calendar days advance public notice of the date(s) of the public meeting (DDS Guidelines);
- Circulating a draft to the community of the performance contract plan relative to the public policy and compliance outcomes identified in the DDS Performance Contract Guidelines, and any locally developed policy outcomes prior to presenting the contract to the regional center board for action [WIC 4629 (c)(B)(iii)];
- Providing an opportunity for additional public input and consideration of that input at the regional center board meeting prior to board action on the proposed performance contract [WIC 4629 (c)(B)(iii)].

Signature of RC Director:

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Date:

10/15/14