

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2019 MAY REVISION



STATE OF CALIFORNIA

MAY 2019

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Comparison of May Revision to Enacted Budget
2018-19

| | A Enacted Budget | B May Revision | C Request (B - A) |
|---|------------------------|----------------------|-------------------------|
| I. BUDGET ITEMS: | | | |
| A. Operations (OPS) | | | |
| Caseload Growth/Utilization | | | |
| 1. Staffing | \$619,955 | \$617,634 | -\$2,321 |
| 2. Federal Compliance | 47,644 | 47,715 | 71 |
| 3. Projects | 27,889 | 27,912 | 23 |
| 4. Agnews Ongoing Workload | 2,894 | 2,894 | 0 |
| 5. Lanterman Developmental Center (DC) Closure | 2,392 | 2,392 | 0 |
| 6. ICF-DD - SPA - Administration Fee | 1,848 | 1,825 | -23 |
| 7. Total OPS Caseload Growth (Items 1 thru 6) | \$702,622 | \$700,372 | -\$2,250 |
| Policy | | | |
| 8. DC Closure | \$5,434 | \$5,434 | \$0 |
| a. Sonoma DC | 3,616 | 3,616 | 0 |
| b. Fairview DC | 1,212 | 1,212 | 0 |
| c. Porterville DC | 606 | 606 | 0 |
| 9. Ongoing Operations Policy Items | 80,752 | 80,752 | 0 |
| 10. Total Policy (Items 8 and 9) | \$86,186 | \$86,186 | \$0 |
| 11. Total Operations (Items 7 and 10) | \$788,808 | \$786,558 | -\$2,250 |
| B. Purchase of Services (POS) | | | |
| Caseload Growth/Utilization | | | |
| 1. Community Care Facilities | \$1,576,191 | \$1,578,382 | \$2,191 |
| 2. Medical Facilities | 11,571 | 16,177 | 4,606 |
| 3. Day Programs | 1,158,991 | 1,121,864 | -37,127 |
| 4. Habilitation Services | 176,743 | 160,758 | -15,985 |
| a. Work Activity Program | 46,529 | 38,682 | -7,847 |
| b. Supported Employment Program (Group Placement) | 96,461 | 89,235 | -7,226 |
| c. Supported Employment Program (Individual Placement) | 33,753 | 32,841 | -912 |
| 5. Transportation | 375,794 | 361,789 | -14,005 |
| 6. Support Services | 1,452,610 | 1,507,128 | 54,518 |
| 7. In-Home Respite | 479,824 | 493,041 | 13,217 |
| 8. Out-of-Home Respite | 48,733 | 49,306 | 573 |
| 9. Health Care | 122,374 | 131,226 | 8,852 |
| 10. Miscellaneous | 483,474 | 512,412 | 28,938 |
| 11. QAF (Transfer from DHCS) | 9,977 | 9,855 | -122 |
| 12. Total POS Caseload Growth (Items 1 thru 11) | \$5,896,282 | \$5,941,938 | \$45,656 |
| Policy | | | |
| 13. BHT - Consumers with no Autism Spectrum Disorder | -47,926 | -48,872 | -946 |
| 14. Bridge Funding | 40,215 | 42,011 | 1,796 |
| 15. DC Closure | 25,201 | 25,201 | 0 |
| a. Fairview DC | 8,652 | 8,652 | 0 |
| b. Porterville DC | 5,733 | 5,733 | 0 |
| c. Sonoma DC | 10,816 | 10,816 | 0 |
| 16. Ongoing Purchase of Services Policy Items | 75,558 | 74,468 | -1,090 |
| 17. SB 3 Minimum Wage Increase: Effective January 1, 2019 | 122,996 | 69,826 | -53,170 |
| 18. Uniform Holiday | 48,300 | 47,931 | -369 |
| 19. Total Policy (Items 13 thru 18) | \$264,344 | \$210,565 | -\$53,779 |
| 20. Total POS (Items 12 and 19) | \$6,160,626 | \$6,152,503 | -\$8,123 |
| C. Early Start/ Part C: Other Agency Cost | \$19,109 | \$19,109 | \$0 |
| D. Early Start Family Resource Services | \$2,003 | \$2,003 | \$0 |
| E. GRAND TOTAL | \$6,970,546 | \$6,960,173 | -\$10,373 |

Comparison of May Revision to Enacted Budget
2018-19

| | A Enacted Budget | B May Revision | C Request (B - A) |
|---|------------------------|----------------------|-------------------------|
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$4,152,658 | \$4,123,715 | -\$28,943 |
| 1. General Fund Match | 2,511,902 | 2,532,042 | 20,140 |
| 2. General Fund - Other | 1,640,756 | 1,591,673 | -49,083 |
| B. Reimbursements | \$2,761,473 | \$2,779,139 | \$17,666 |
| 1. Home and Community-Based Services (HCBS) Waiver | 1,901,546 | 1,897,079 | -4,467 |
| 2. HCBS Waiver Administration | 14,696 | 15,212 | 516 |
| 3. Medicaid Administration | 16,132 | 15,436 | -696 |
| 4. Targeted Case Management (TCM) | 210,734 | 237,021 | 26,287 |
| 5. TCM Administration | 7,377 | 8,137 | 760 |
| 6. Title XX Block Grant | 213,421 | 213,421 | 0 |
| a. Social Services | 136,264 | 136,264 | 0 |
| b. Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| 7. ICF-DD SPA | 61,600 | 60,846 | -754 |
| 8. Quality Assurance Fees (QAF) | 10,901 | 10,768 | -133 |
| 9. 1915(i) SPA | 279,188 | 278,493 | -695 |
| 10. Early Periodic Screening Diagnosis and Treatment | 29,996 | 29,195 | -801 |
| 11. Behavioral Health Treatment Fee-for-Service | 15,882 | 13,531 | -2,351 |
| C. Program Development Fund / Parental Fees | \$2,253 | \$2,253 | \$0 |
| D. Developmental Disabilities Services Account | \$150 | \$150 | \$0 |
| E. Mental Health Services Fund | \$740 | \$740 | \$0 |
| F. Federal Funds | \$53,272 | \$54,176 | \$904 |
| 1. Early Start/Part C Grant | 52,272 | 53,176 | 904 |
| 2. Foster Grandparent Program | 1,000 | 1,000 | 0 |
| G. GRAND TOTAL | \$6,970,546 | \$6,960,173 | -\$10,373 |

Comparison of May Revision to Governor's Budget
2018-19

| | A Governor's Budget | B May Revision | C Request (B - A) |
|--|---------------------------|----------------------|-------------------------|
| I. BUDGET ITEMS: | | | |
| A. Operations (OPS) | | | |
| Caseload Growth/Utilization | | | |
| 1. Staffing | \$616,643 | \$617,634 | \$991 |
| 2. Federal Compliance | 47,711 | 47,715 | 4 |
| 3. Projects | 27,933 | 27,912 | -21 |
| 4. Agnews Ongoing Workload | 2,894 | 2,894 | 0 |
| 5. Lanterman Developmental Center (DC) Closure | 2,392 | 2,392 | 0 |
| 6. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee | 1,843 | 1,825 | -18 |
| 7. Total OPS Caseload Growth (Items 1 thru 6) | \$699,416 | \$700,372 | \$956 |
| Policy | | | |
| 8. DC Closure | \$5,434 | \$5,434 | \$0 |
| a. Sonoma DC | 3,616 | 3,616 | 0 |
| b. Fairview DC | 1,212 | 1,212 | 0 |
| c. Porterville DC | 606 | 606 | 0 |
| 9. Ongoing Operations Policy Items | 80,752 | 80,752 | 0 |
| 10. Total Policy (Items 8 and 9) | \$86,186 | \$86,186 | \$0 |
| 11. Total Operations (Items 7 and 10) | \$785,602 | \$786,558 | \$956 |
| B. Purchase of Services (POS) | | | |
| Caseload Growth/Utilization | | | |
| 1. Community Care Facilities | \$1,582,097 | \$1,578,382 | -\$3,715 |
| 2. Medical Facilities | 15,080 | 16,177 | 1,097 |
| 3. Day Programs | 1,120,898 | 1,121,864 | 966 |
| 4. Habilitation Services | 161,185 | 160,758 | -427 |
| a. Work Activity Program | 38,952 | 38,682 | -270 |
| b. Supported Employment Program (Group Placement) | 89,952 | 89,235 | -717 |
| c. Supported Employment Program (Individual Placement) | 32,281 | 32,841 | 560 |
| 5. Transportation | 362,441 | 361,789 | -652 |
| 6. Support Services | 1,487,828 | 1,507,128 | 19,300 |
| 7. In-Home Respite | 461,364 | 493,041 | 31,677 |
| 8. Out-of-Home Respite | 47,432 | 49,306 | 1,874 |
| 9. Health Care | 129,175 | 131,226 | 2,051 |
| 10. Miscellaneous | 498,425 | 512,412 | 13,987 |
| 11. Quality Assurance Fees (Transfer from Department of Health Care Services) | 9,951 | 9,855 | -96 |
| 12. Total POS Caseload Growth (Items 1 thru 11) | \$5,875,876 | \$5,941,938 | \$66,062 |
| Policy | | | |
| 13. BHT - Consumers with no Autism Spectrum Disorder | -45,625 | -48,872 | -3,247 |
| 14. Bridge Funding | 42,011 | 42,011 | 0 |
| 15. DC Closure | 21,610 | 25,201 | 3,591 |
| a. Fairview DC | 7,670 | 8,652 | 982 |
| b. Porterville DC | 4,720 | 5,733 | 1,013 |
| c. Sonoma DC | 9,220 | 10,816 | 1,596 |
| 16. Ongoing Purchase of Services Policy Items | 75,784 | 74,468 | -1,316 |
| 17. SB 3 Minimum Wage Increase: Effective January 1, 2019 | 68,444 | 69,826 | 1,382 |
| 18. Uniform Holiday | 47,786 | 47,931 | 145 |
| 19. Total Policy (Items 13 thru 18) | \$210,010 | \$210,565 | \$555 |
| 20. Total POS (Items 12 and 19) | \$6,085,886 | \$6,152,503 | \$66,617 |
| C. Early Start/ Part C: Other Agency Cost | \$19,109 | \$19,109 | \$0 |
| D. Early Start Family Resource Services | \$2,003 | \$2,003 | \$0 |
| E. GRAND TOTAL | \$6,892,600 | \$6,960,173 | \$67,573 |

Comparison of May Revision to Governor's Budget
2018-19

| | A Governor's Budget | B May Revision | C Request (B - A) |
|---|---------------------------|----------------------|-------------------------|
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$4,087,869 | \$4,123,715 | \$35,846 |
| 1. General Fund Match | 2,500,444 | 2,532,042 | 31,598 |
| 2. General Fund - Other | 1,587,425 | 1,591,673 | 4,248 |
| B. Reimbursements | \$2,747,312 | \$2,779,139 | \$31,827 |
| 1. Home and Community-Based Services (HCBS) Waiver | 1,880,107 | 1,897,079 | 16,972 |
| 2. HCBS Waiver Administration | 15,212 | 15,212 | 0 |
| 3. Medicaid Administration | 15,436 | 15,436 | 0 |
| 4. Targeted Case Management (TCM) | 234,553 | 237,021 | 2,468 |
| 5. TCM Administration | 8,137 | 8,137 | 0 |
| 6. Title XX Block Grant | 213,421 | 213,421 | 0 |
| a. Social Services | 136,264 | 136,264 | 0 |
| b. Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| 7. ICF-DD SPA | 61,436 | 60,846 | -590 |
| 8. Quality Assurance Fees (QAF) | 10,873 | 10,768 | -105 |
| 9. 1915(i) SPA | 263,819 | 278,493 | 14,674 |
| 10. Early Periodic Screening Diagnosis and Treatment | 31,115 | 29,195 | -1,920 |
| 11. Behavioral Health Treatment Fee-for-Service | 13,203 | 13,531 | 328 |
| C. Program Development Fund / Parental Fees | \$2,253 | \$2,253 | \$0 |
| D. Developmental Disabilities Services Account | \$150 | \$150 | \$0 |
| E. Mental Health Services Fund | \$740 | \$740 | \$0 |
| F. Federal Funds | \$54,276 | \$54,176 | -\$100 |
| 1. Early Start/Part C Grant | 53,176 | 53,176 | 0 |
| 2. Foster Grandparent Program | 1,100 | 1,000 | -100 |
| G. GRAND TOTAL | \$6,892,600 | \$6,960,173 | \$67,573 |

Comparison of May Revision to Governor's Budget
2019-20

| | A Governor's Budget | B May Revision | C Request (B - A) |
|--|---------------------------|----------------------|-------------------------|
| I. BUDGET ITEMS: | | | |
| A. Operations (OPS) | | | |
| Caseload Growth/Utilization | | | |
| 1. Staffing | \$651,610 | \$655,017 | \$3,407 |
| 2. Federal Compliance | 47,964 | 48,002 | 38 |
| 3. Projects | 29,273 | 29,346 | 73 |
| 4. ICF-DD SPA Administration Fee | 1,843 | 1,825 | -18 |
| 5. Total OPS Caseload Growth (Items 1 thru 4) | \$730,690 | \$734,190 | \$3,500 |
| Policy | | | |
| 6. DC Closure Ongoing Workload | \$8,302 | \$8,302 | \$0 |
| 7. Family Home Agency Oversight | 0 | 1,619 | 1,619 |
| 8. Ongoing Operations Policy Items | 81,097 | 80,797 | -300 |
| 9. Provider Rate Adjustment OPS | 0 | 4,450 | 4,450 |
| 10. Specialized Caseload Ratios | 3,800 | 3,800 | 0 |
| 11. Specialized Home Monitoring | 5,450 | 5,757 | 307 |
| 12. Trauma Informed Services for Foster Youth | 0 | 1,600 | 1,600 |
| 13. Total Policy (Items 6 thru 12) | \$98,649 | \$106,325 | \$7,676 |
| 14. Total Operations (Items 5 and 13) | \$829,339 | \$840,515 | \$11,176 |
| B. Purchase of Services (POS) | | | |
| Caseload Growth/Utilization | | | |
| 1. Community Care Facilities | \$1,662,972 | \$1,659,032 | -\$3,940 |
| 2. Medical Facilities | 15,077 | 16,465 | 1,388 |
| 3. Day Programs | 1,155,309 | 1,156,565 | 1,256 |
| 4. Habilitation Services | 160,959 | 160,833 | -126 |
| a. Work Activity Program | 35,019 | 34,758 | -261 |
| b. Supported Employment Program (Group Placement) | 89,952 | 89,235 | -717 |
| c. Supported Employment Program (Individual Placement) | 35,988 | 36,840 | 852 |
| 5. Transportation | 382,464 | 381,618 | -846 |
| 6. Support Services | 1,649,581 | 1,685,738 | 36,157 |
| 7. In-Home Respite | 500,967 | 553,509 | 52,542 |
| 8. Out-of-Home Respite | 48,406 | 50,417 | 2,011 |
| 9. Health Care | 138,133 | 140,332 | 2,199 |
| 10. Miscellaneous | 522,918 | 554,560 | 31,642 |
| 11. QAF (Transfer from DHCS) | 9,951 | 9,855 | -96 |
| 12. POS Subtotal (Items 1 thru 11) | \$6,246,737 | \$6,368,924 | \$122,187 |
| Policy | | | |
| 13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis | -6,187 | -6,357 | -170 |
| 14. Community Crisis Home for Children | 4,500 | 4,500 | 0 |
| 15. Early Start Co-Pays | 0 | 1,000 | 1,000 |
| 16. Ongoing Purchase of Service Policy Items | 75,813 | 78,675 | 2,862 |
| 17. Provider Rate Adjustment POS | 0 | 163,683 | 163,683 |
| 18. SB 3 Minimum Wage Increase: Effective January 1, 2019 | 144,470 | 148,174 | 3,704 |
| 19. SB 3 Minimum Wage Increase: Effective January 1, 2020 | 83,019 | 85,145 | 2,126 |
| 20. Uniform Holiday | 0 | 50,300 | 50,300 |
| 21. Total Policy (Items 13 thru 20) | \$301,615 | \$525,120 | \$223,505 |
| 22. Total POS (Items 12 and 21) | \$6,548,352 | \$6,894,044 | \$345,692 |
| C. Early Start/Part C: Other Agency Costs | \$19,109 | \$19,094 | -\$15 |
| D. Early Start Family Resource Services | \$2,003 | \$2,003 | \$0 |
| E. GRAND TOTAL | \$7,398,803 | \$7,755,656 | \$356,853 |

Comparison of May Revision to Governor's Budget
2019-20

| | A Governor's Budget | B May Revision | C Request (B - A) |
|---|---------------------------|----------------------|-------------------------|
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$4,450,177 | \$4,675,407 | \$225,230 |
| 1. General Fund Match | 2,642,092 | 2,774,792 | 132,700 |
| 2. General Fund - Other | 1,808,085 | 1,900,615 | 92,530 |
| B. Reimbursements | \$2,891,218 | \$3,023,537 | \$132,319 |
| 1. HCBS Waiver | 1,999,671 | 2,101,241 | 101,570 |
| 2. HCBS Waiver Administration | 19,396 | 19,237 | -159 |
| 3. Medicaid Administration | 18,824 | 17,908 | -916 |
| 4. TCM | 241,689 | 247,125 | 5,436 |
| 5. TCM Administration | 8,137 | 8,137 | 0 |
| 6. Title XX Block Grant | 213,421 | 213,421 | 0 |
| a. Social Services | 136,264 | 136,264 | 0 |
| b. Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| 7. ICF-DD SPA | 61,436 | 60,846 | -590 |
| 8. QAF | 10,873 | 10,768 | -105 |
| 9. 1915(i) SPA | 273,991 | 302,746 | 28,755 |
| 10. Early Periodic Screening Diagnosis and Treatment | 30,577 | 28,577 | -2,000 |
| 11. Behavioral Health Treatment Fee-for-Service | 13,203 | 13,531 | 328 |
| C. Program Development Fund / Parental Fees | \$2,242 | \$2,242 | \$0 |
| D. Developmental Disabilities Services Account | \$150 | \$150 | \$0 |
| E. Mental Health Services Fund | \$740 | \$740 | \$0 |
| F. Federal Funds | \$54,276 | \$53,580 | -\$696 |
| 1. Early Start/Part C Grant | 53,176 | 52,486 | -690 |
| 2. Foster Grandparent Program | 1,100 | 1,094 | -6 |
| G. GRAND TOTAL | \$7,398,803 | \$7,755,656 | \$356,853 |

Comparison of Updated 2018-19 to 2019-20

| | A 2018-19 | B 2019-20 | C Incremental Increase (B - A) |
|---|--------------------|--------------------|---|
| I. BUDGET ITEMS: | | | |
| A. Operations (OPS) | | | |
| Caseload Growth | | | |
| 1. Staffing | \$617,634 | \$655,017 | \$37,383 |
| 2. Federal Compliance | 47,715 | 48,002 | 287 |
| 3. Projects | 27,912 | 29,346 | 1,434 |
| 4. Agnews Ongoing Workload | 2,894 | 0 | -2,894 |
| 5. Lanterman DC Closure | 2,392 | 0 | -2,392 |
| 6. ICF-DD SPA Administration Fee | 1,825 | 1,825 | 0 |
| 7. Total OPS Caseload Growth (Items 1 thru 6) | \$700,372 | \$734,190 | \$33,818 |
| Policy | | | |
| 8. DC Closure | \$5,434 | \$0 | -\$5,434 |
| a. Sonoma DC | 3,616 | 0 | -3,616 |
| b. Fairview DC | 1,212 | 0 | -1,212 |
| c. Porterville DC | 606 | 0 | -606 |
| 9. DC Closure Ongoing Workload | 0 | 8,302 | 8,302 |
| 10. Family Home Agency Oversight | 0 | 1,619 | 1,619 |
| 11. Ongoing Operations Policy Items | 80,752 | 80,797 | 45 |
| 12. Provider Rate Adjustment OPS | 0 | 4,450 | 4,450 |
| 13. Specialized Caseload Ratios | 0 | 3,800 | 3,800 |
| 14. Specialized Home Monitoring | 0 | 5,757 | 5,757 |
| 15. Trauma Informed Services for Foster Youth | 0 | 1,600 | 1,600 |
| 16. Total Policy (Items 8 thru 15) | 86,186 | 106,325 | 20,139 |
| 17. Total OPS (Items 7 and 16) | \$786,558 | \$840,515 | \$53,957 |
| B. Purchase of Services (POS) | | | |
| Caseload Growth | | | |
| 1. Community Care Facilities | \$1,578,382 | \$1,659,032 | \$80,650 |
| 2. Medical Facilities | 16,177 | 16,465 | 288 |
| 3. Day Programs | 1,121,864 | 1,156,565 | 34,701 |
| 4. Habilitation Services | 160,758 | 160,833 | 75 |
| a. Work Activity Program | 38,682 | 34,758 | -3,924 |
| b. Supported Employment (Group Placement) | 89,235 | 89,235 | 0 |
| c. Supported Employment (Individual Placement) | 32,841 | 36,840 | 3,999 |
| 5. Transportation | 361,789 | 381,618 | 19,829 |
| 6. Support Services | 1,507,128 | 1,685,738 | 178,610 |
| 7. In-Home Respite | 493,041 | 553,509 | 60,468 |
| 8. Out-of-Home Respite | 49,306 | 50,417 | 1,111 |
| 9. Health Care | 131,226 | 140,332 | 9,106 |
| 10. Miscellaneous | 512,412 | 554,560 | 42,148 |
| 11. QAF (Transfer from DHCS) | 9,855 | 9,855 | 0 |
| 12. Total POS Caseload (Items 1 thru 11) | \$5,941,938 | \$6,368,924 | \$426,986 |
| Policy | | | |
| 13. BHT - Consumers with no Autism Spectrum Disorder | -48,872 | -6,357 | 42,515 |
| 14. Bridge Funding | 42,011 | 0 | -42,011 |
| 15. Community Crisis Home for Children | 0 | 4,500 | 4,500 |
| 16. DC Closure | 25,201 | 0 | -25,201 |
| a. Fairview DC | 8,652 | 0 | -8,652 |
| b. Porterville DC | 5,733 | 0 | -5,733 |
| c. Sonoma DC | 10,816 | 0 | -10,816 |
| 17. Early Start Co-Pays | 0 | 1,000 | 1,000 |
| 18. Ongoing Purchase of Services Policy Items | 74,468 | 78,675 | 4,207 |
| 19. Provider Rate Adjustment POS | 0 | 163,683 | 163,683 |
| 20. SB 3 Minimum Wage Increase, Effective January 1, 2019 | 69,826 | 148,174 | 78,348 |
| 21. SB 3 Minimum Wage Increase, Effective January 1, 2020 | 0 | 85,145 | 85,145 |
| 22. Uniform Holiday | 47,931 | 50,300 | 2,369 |
| 23. Total Policy (Item 13 thru 22) | \$210,565 | \$525,120 | \$314,555 |
| 24. Total POS (Items 12 and 23) | \$6,152,503 | \$6,894,044 | \$741,541 |
| C. Early Start/Part C: Other Agency Costs | \$19,109 | \$19,094 | -\$15 |
| D. Early Start Family Resource Services | \$2,003 | \$2,003 | \$0 |
| E. GRAND TOTAL | \$6,960,173 | \$7,755,656 | \$795,483 |

Comparison of Updated 2018-19 to 2019-20

| | A | B | C |
|---|--------------------|--------------------|------------------------------|
| | 2018-19 | 2019-20 | Incremental Increase (B - A) |
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$4,123,715 | \$4,675,407 | \$551,692 |
| 1. General Fund Match | 2,532,042 | 2,774,792 | 242,750 |
| 2. General Fund - Other | 1,591,673 | 1,900,615 | 308,942 |
| B. Reimbursements | \$2,779,139 | \$3,023,537 | \$244,398 |
| 1. HCBS Waiver | 1,897,079 | 2,101,241 | 204,162 |
| 2. HCBS Waiver Administration | 15,212 | 19,237 | 4,025 |
| 3. Medicaid Administration | 15,436 | 17,908 | 2,472 |
| 4. TCM | 237,021 | 247,125 | 10,104 |
| 5. TCM Administration | 8,137 | 8,137 | 0 |
| 6. Title XX Block Grant | 213,421 | 213,421 | 0 |
| a. Social Services | 136,264 | 136,264 | 0 |
| b. Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| 7. ICF-DD SPA | 60,846 | 60,846 | 0 |
| 8. QAF | 10,768 | 10,768 | 0 |
| 9. 1915(i) SPA | 278,493 | 302,746 | 24,253 |
| 10. Early Periodic Screening Diagnosis and Treatment | 29,195 | 28,577 | -618 |
| 11. Behavioral Health Treatment Fee-for-Service | 13,531 | 13,531 | 0 |
| C. Program Development Fund / Parental Fees | \$2,253 | \$2,242 | -\$11 |
| D. Developmental Disabilities Services Account | \$150 | \$150 | \$0 |
| E. Mental Health Services Fund | \$740 | \$740 | \$0 |
| F. Federal Funds | \$54,176 | \$53,580 | -\$596 |
| 1. Early Start/Part C Grant | 53,176 | 52,486 | -690 |
| 2. Foster Grandparent Program | 1,000 | 1,094 | 94 |
| G. GRAND TOTAL | \$6,960,173 | \$7,755,656 | \$795,483 |

**Comparison of May Revision to Governor's Budget
2018-19
OPERATIONS**

| | A | B | C |
|---|------------------------------|-------------------------|--|
| | Governor's Budget | May Revision | 2018-19 Request (B - A) |
| I. BUDGET ITEMS: | | | |
| Caseload Growth | | | |
| 1. Staffing | \$616,643 | \$617,634 | \$991 |
| 2. Federal Compliance | 47,711 | 47,715 | 4 |
| 3. Projects | 27,933 | 27,912 | -21 |
| 4. Agnews Ongoing Workload | 2,894 | 2,894 | 0 |
| 5. Lanterman DC Closure | 2,392 | 2,392 | 0 |
| 6. ICF-DD SPA Administration Fee | 1,843 | 1,825 | -18 |
| 7. Total OPS Caseload Growth (Items 1 thru 6) | \$699,416 | \$700,372 | \$956 |
| Policy | | | |
| 8. DC Closure | \$5,434 | \$5,434 | \$0 |
| a. Sonoma DC | 3,616 | 3,616 | 0 |
| b. Fairview DC | 1,212 | 1,212 | 0 |
| c. Porterville DC | 606 | 606 | 0 |
| 9. Ongoing Operations Policy Items | 80,752 | 80,752 | 0 |
| 10. Total Policy (Items 8 and 9) | \$86,186 | \$86,186 | \$0 |
| 11. Total OPS (Items 7 and 10) | \$785,602 | \$786,558 | \$956 |
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$509,352 | \$507,949 | -\$1,403 |
| 1. General Fund Match | 263,968 | 266,427 | 2,459 |
| 2. General Fund Other | 245,384 | 241,522 | -3,862 |
| B. Reimbursements | \$274,260 | \$276,719 | \$2,459 |
| 1. HCBS Waiver Administration | 15,212 | 15,212 | 0 |
| 2. Medicaid Administration | 15,436 | 15,436 | 0 |
| 3. TCM | 234,553 | 237,021 | 2,468 |
| 4. TCM Administration | 8,137 | 8,137 | 0 |
| 5. QAF | 922 | 913 | -9 |
| C. Developmental Disabilities Services Account | \$150 | \$150 | \$0 |
| D. Mental Health Services Fund | \$740 | \$740 | \$0 |
| E. Federal Funds | \$1,100 | \$1,000 | -\$100 |
| Foster Grandparent Program | 1,100 | 1,000 | -100 |
| F. Grand Total | \$785,602 | \$786,558 | \$956 |

**Comparison of May Revision to Governor's Budget
2019-20
OPERATIONS**

| | A | B | C |
|---|------------------------------|-----------------------|--|
| | Governor's Budget | May Revise | 2019-20 Request (B - A) |
| I. BUDGET ITEMS: | | | |
| Caseload Growth | | | |
| 1. Staffing | \$651,610 | \$655,017 | \$3,407 |
| 2. Federal Compliance | 47,964 | 48,002 | 38 |
| 3. Projects | 29,273 | 29,346 | 73 |
| 4. ICF-DD SPA Administration Fee | 1,843 | 1,825 | -18 |
| 5. Total OPS Caseload Growth (Items 1 thru 4) | \$730,690 | \$734,190 | \$3,500 |
| Policy | | | |
| 6. DC Closure Ongoing Workload | \$8,302 | \$8,302 | \$0 |
| 7. Family Home Agency Oversight | 0 | 1,619 | 1,619 |
| 8. Ongoing Operations Policy Items | 81,097 | 80,797 | -300 |
| 9. Specialized Caseload Ratios | 0 | 4,450 | 4,450 |
| 10. Specialized Home Monitoring | 3,800 | 3,800 | 0 |
| 11. Provider Rate Adjustment OPS | 5,450 | 5,757 | 307 |
| 12. Trauma Informed Services for Foster Youth | 0 | 1,600 | 1,600 |
| 13. Total Policy (Items 6 thru 12) | 98,649 | 106,325 | 7,676 |
| 14. Total OPS (Items 5 and 13) | \$829,339 | \$840,515 | \$11,176 |
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$538,381 | \$545,211 | \$6,830 |
| 1. General Fund Match | 276,418 | 281,380 | 4,962 |
| 2. General Fund Other | 261,963 | 263,831 | 1,868 |
| B. Reimbursements | \$288,968 | \$293,320 | \$4,352 |
| 1. HCBS Waiver Administration | 19,396 | 19,237 | -159 |
| 2. Medicaid Administration | 18,824 | 17,908 | -916 |
| 3. TCM | 241,689 | 247,125 | 5,436 |
| 4. TCM Administration | 8,137 | 8,137 | 0 |
| 5. QAF | 922 | 913 | -9 |
| C. Developmental Disabilities Services Account | \$150 | \$150 | \$0 |
| D. Mental Health Services Fund | \$740 | \$740 | \$0 |
| E. Federal Funds | \$1,100 | \$1,094 | -\$6 |
| Foster Grandparent Program | 1,100 | 1,094 | -6 |
| F. Grand Total | \$829,339 | \$840,515 | \$11,176 |

**Comparison of May Revision to Governor's Budget
2018-19
PURCHASE OF SERVICES**

| | A | B | C |
|---|------------------------------|------------------------------|--|
| | Governor's Budget | 2019 May Revision | 2018-19 Request (B - A) |
| I. BUDGET ITEMS: | | | |
| Caseload Growth | | | |
| A. Purchase of Services (POS) | | | |
| 1. Community Care Facilities | \$1,582,097 | \$1,578,382 | -\$3,715 |
| 2. Medical Facilities | 15,080 | 16,177 | 1,097 |
| 3. Day Programs | 1,120,898 | 1,121,864 | 966 |
| 4. Habilitation Services | 161,185 | 160,758 | -427 |
| a. Work Activity Program | 38,952 | 38,682 | -270 |
| b. Supported Employment Program (Group Placement) | 89,952 | 89,235 | -717 |
| c. Supported Employment Program (Individual Placement) | 32,281 | 32,841 | 560 |
| 5. Transportation | 362,441 | 361,789 | -652 |
| 6. Support Services | 1,487,828 | 1,507,128 | 19,300 |
| 7. In-Home Respite | 461,364 | 493,041 | 31,677 |
| 8. Out-of-Home Respite | 47,432 | 49,306 | 1,874 |
| 9. Health Care | 129,175 | 131,226 | 2,051 |
| 10. Miscellaneous | 498,425 | 512,412 | 13,987 |
| 11. QAF (Transfer from DHCS) | 9,951 | 9,855 | -96 |
| 12. Total POS Caseload Growth (Items 1 thru 11) | \$5,875,876 | \$5,941,938 | \$66,062 |
| Policy | | | |
| 13. BHT - Consumers with no Autism Spectrum Disorder | -45,625 | -48,872 | -3,247 |
| 14. Bridge Funding | 42,011 | 42,011 | 0 |
| 15. DC Closure | 21,610 | 25,201 | 3,591 |
| a. Fairview DC | 7,670 | 8,652 | 982 |
| b. Porterville DC | 4,720 | 5,733 | 1,013 |
| c. Sonoma DC | 9,220 | 10,816 | 1,596 |
| 16. Ongoing Purchase of Services Policy Items | 75,784 | 74,468 | -1,316 |
| 17. SB 3 Minimum Wage Increase: Effective January 1, 2019 | 68,444 | 69,826 | 1,382 |
| 18. Uniform Holiday | 47,786 | 47,931 | 145 |
| 19. Total Policy (Items 13 thru 18) | \$210,010 | \$210,565 | \$555 |
| 20. Total POS (Items 12 and 19) | \$6,085,886 | \$6,152,503 | \$66,617 |

**Comparison of May Revision to Governor's Budget
2018-19
PURCHASE OF SERVICES**

| | A | B | C |
|---|------------------------------|------------------------------|--|
| | Governor's Budget | 2019 May Revision | 2018-19 Request (B - A) |
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$3,576,514 | \$3,613,763 | \$37,249 |
| 1. General Fund Match | 2,236,476 | 2,265,615 | 29,139 |
| 2. General Fund Other | 1,340,038 | 1,348,148 | 8,110 |
| B. Reimbursements | \$2,473,052 | \$2,502,420 | \$29,368 |
| 1. HCBS Waiver | 1,880,107 | 1,897,079 | 16,972 |
| 2. Title XX Block Grant | 213,421 | 213,421 | 0 |
| a. Social Services | 136,264 | 136,264 | 0 |
| b. Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| 3. ICF-DD SPA | 61,436 | 60,846 | -590 |
| 4. QAF | 9,951 | 9,855 | -96 |
| 5. 1915(i) SPA | 263,819 | 278,493 | 14,674 |
| 6. Early Periodic Screening Diagnosis and Treatment | 31,115 | 29,195 | -1,920 |
| 7. BHT Fee-For-Services | 13,203 | 13,531 | 328 |
| C. Program Development Fund / Parental Fees | \$2,253 | \$2,253 | \$0 |
| D. Federal Funds | \$34,067 | \$34,067 | \$0 |
| Early Start/Part C Grant | 34,067 | 34,067 | 0 |
| E. Grand Total | \$6,085,886 | \$6,152,503 | \$66,617 |

**Comparison of May Revision to Governor's Budget
2019-20
PURCHASE OF SERVICES**

| | A | B | C |
|--|------------------------------|------------------------------|--|
| | Governor's Budget | 2019 May Revision | 2019-20 Request (B - A) |
| I. BUDGET ITEMS: | | | |
| Caseload Growth | | | |
| A. Purchase of Services (POS) | | | |
| 1. Community Care Facilities | \$1,662,972 | \$1,659,032 | -\$3,940 |
| 2. Medical Facilities | 15,077 | 16,465 | 1,388 |
| 3. Day Programs | 1,155,309 | 1,156,565 | 1,256 |
| 4. Habilitation Services | 160,959 | 160,833 | -126 |
| a. Work Activity Program | 35,019 | 34,758 | -261 |
| b. Supported Employment Program (Group Placement) | 89,952 | 89,235 | -717 |
| c. Supported Employment Program (Individual Placement) | 35,988 | 36,840 | 852 |
| 5. Transportation | 382,464 | 381,618 | -846 |
| 6. Support Services | 1,649,581 | 1,685,738 | 36,157 |
| 7. In-Home Respite | 500,967 | 553,509 | 52,542 |
| 8. Out-of-Home Respite | 48,406 | 50,417 | 2,011 |
| 9. Health Care | 138,133 | 140,332 | 2,199 |
| 10. Miscellaneous | 522,918 | 554,560 | 31,642 |
| 11. QAF (Transfer from DHCS) | 9,951 | 9,855 | -96 |
| 12. Total POS Caseload Growth (Items 1 thru 11) | <u>\$6,246,737</u> | <u>\$6,368,924</u> | <u>\$122,187</u> |
| Policy | | | |
| 13. BHT - Consumers with no Autism Spectrum Disorder | -6,187 | -6,357 | -170 |
| 14. Community Crisis Home for Children | 4,500 | 4,500 | 0 |
| 15. Early Start Co-Pays | 0 | 1,000 | 1,000 |
| 16. Ongoing Purchase of Services Policy Items | 75,813 | 78,675 | 2,862 |
| 17. Provider Rate Adjustment POS | 144,470 | 163,683 | 19,213 |
| 18. SB 3 Minimum Wage Increase Effective January 1, 2019 | 83,019 | 148,174 | 65,155 |
| 19. SB 3 Minimum Wage Increase Effective January 1, 2020 | 0 | 85,145 | 85,145 |
| 20. Uniform Holiday | 0 | 50,300 | 50,300 |
| 21. Total Policy (Items 13 thru 20) | <u>\$301,615</u> | <u>\$525,120</u> | <u>\$223,505</u> |
| 22. Total POS (Items 12 and 21) | <u>\$6,548,352</u> | <u>\$6,894,044</u> | <u>\$345,692</u> |

**Comparison of May Revision to Governor's Budget
2019-20
PURCHASE OF SERVICES**

| | A Governor's Budget | B 2019 May Revision | C 2019-20 Request (B - A) |
|---|---------------------------|---------------------------|------------------------------------|
| II. FUND SOURCES: | | | |
| A. General Fund Total | \$3,909,793 | \$4,128,193 | \$218,400 |
| 1. General Fund Match | 2,365,674 | 2,493,412 | 127,738 |
| 2. General Fund Other | 1,544,119 | 1,634,781 | 90,662 |
| B. Reimbursements | \$2,602,250 | \$2,730,217 | \$127,967 |
| 1. HCBS Waiver | 1,999,671 | 2,101,241 | 101,570 |
| 2. Title XX Block Grant | 213,421 | 213,421 | 0 |
| a. Social Services | 136,264 | 136,264 | 0 |
| b. Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| 3. ICF-DD SPA | 61,436 | 60,846 | -590 |
| 4. QAF | 9,951 | 9,855 | -96 |
| 5. 1915(i) SPA | 273,991 | 302,746 | 28,755 |
| 6. Early Periodic Screening Diagnosis and Treatment | 30,577 | 28,577 | -2,000 |
| 7. Behavioral Health Treatment - Fee-for-Service | 13,203 | 13,531 | 328 |
| C. Program Development Fund / Parental Fees | \$2,242 | \$2,242 | \$0 |
| D. Federal Funds | \$34,067 | \$33,392 | -\$675 |
| <i>Early Start/Part C Grant</i> | 34,067 | 33,392 | -675 |
| E. Grand Total | \$6,548,352 | \$6,894,044 | \$345,692 |

Table of Contents

SECTION B: EXECUTIVE HIGHLIGHTS

2018-19B-1
2019-20B-6

EXECUTIVE HIGHLIGHTS

Overview

This document provides a brief, high-level summary of changes proposed in the Department's 2019 May Revision Regional Center Estimate as compared to the 2019-20 Governor's Budget.

2018-19

Costs and Fund Sources

The 2018-19 updated Regional Center budget totals \$7.0 billion (\$4.1 billion General Fund [GF]), a net increase of \$67.6 million (\$35.8 million GF) as compared to the Governor's Budget. This includes a projected \$66.6 million increase (\$37.2 million GF) in Purchase of Service (POS) expenditures that reflect updated, actual prior year expenditures on which the current year expenses are estimated, as well an increase in Policy items. The updated budget also includes an increase of \$1.0 million (\$1.4 million GF decrease) in Operations costs. The following pages detail Operations, POS, and Policy adjustments.

The 2018-19 updated budget as compared to Enacted Budget reflects a decrease \$10.3 million (\$29.0 million GF).

| Costs and Fund Sources <i>(Values in thousands)</i> | | | |
|---|------------------------------|----------------------------|-----------------|
| | Governor's Budget | Updated 2018-19 | Request |
| Total Costs | \$6,892,600 | \$6,960,173 | \$67,573 |
| Operations | 785,602 | 786,558 | 956 |
| Purchase of Services | 6,085,886 | 6,152,503 | 66,617 |
| Early Start - Other Agency Costs | 19,109 | 19,109 | 0 |
| Early Start Family Resource Services | 2,003 | 2,003 | 0 |
| Fund Sources | \$6,892,600 | \$6,960,173 | \$67,573 |
| General Fund (GF) | 4,087,869 | 4,123,715 | 35,846 |
| <i>GF Match</i> | 2,500,444 | 2,532,042 | 31,598 |
| <i>GF Other</i> | 1,587,425 | 1,591,673 | 4,248 |
| Reimbursements | 2,747,312 | 2,779,139 | 31,827 |
| Program Development Fund | 2,253 | 2,253 | 0 |
| Developmental Disabilities Services Account | 150 | 150 | 0 |
| Mental Health Services Fund | 740 | 740 | 0 |
| Federal Funds | 54,276 | 54,176 | (100) |

Population

The May Revision estimates a net decrease of 84 consumers as compared to the Governor's Budget.

| <u>CASELOAD</u> | Governor's Budget | Updated 2018-19 | Difference |
|---|------------------------------|----------------------------|-------------------|
| Active (Age 3 & Older) | 287,390 | 287,767 | 377 |
| Total Early Start (Birth through 35 Months) | 45,704 | 45,243 | (461) |
| Total Community Caseload | 333,094 | 333,010 | (84) |

Regional Center Operations

The Operations budget reflects a net increase of \$1.0 million (\$1.4 million GF decrease) as compared to the Governor's Budget.

| | Governor's Budget | Updated 2018-19 | Difference |
|--|------------------------------|----------------------------|-------------------|
| Core Staffing | \$616,643 | 617,634 | \$991 |
| Federal Compliance | 47,711 | 47,715 | 4 |
| Projects | 27,933 | 27,912 | (21) |
| Agnews Ongoing Workload | 2,894 | 2,894 | 0 |
| Lanterman Developmental Center Closure | 2,392 | 2,392 | 0 |
| ICF-DD Administration Fee | 1,843 | 1,825 | (18) |
| Total Operations | \$699,416 | \$700,372 | \$956 |

POS Caseload Growth and Utilization

Updated POS expenditures reflect a net increase of \$66.1 million (\$37.1 million GF) as compared to the Governor's Budget. The net increase is driven by current year expenditures being higher than previously estimated for Support Services, In-Home Respite, and Miscellaneous services. On the contrary, Community Care Facilities expenditures are lower than previously estimated and offset the increases.

| Purchase of Services Caseload (Utilization and Growth) <i>(Values in thousands)</i> | | | | |
|---|--------------------------|--------------------|-------------------|-----------------------|
| | Governor's Budget | 2018-19 | Difference | Percent Change |
| Community Care Facilities | \$1,582,097 | \$1,578,382 | (\$3,715) | -0.23% |
| Medical Facilities | 15,080 | 16,177 | 1,097 | 7.27% |
| Day Programs | 1,120,898 | 1,121,864 | 966 | 0.09% |
| Habilitation | 161,185 | 160,758 | (427) | -0.26% |
| <i>Work Activity Program</i> | 38,952 | 38,682 | (270) | -0.69% |
| <i>Supported Employment Program - Group</i> | 89,952 | 89,235 | (717) | -0.80% |
| <i>Supported Employment Program - Individual</i> | 32,281 | 32,841 | 560 | 1.73% |
| Transportation | 362,441 | 361,789 | (652) | -0.18% |
| Support Services | 1,487,828 | 1,507,128 | 19,300 | 1.30% |
| In-Home Respite | 461,364 | 493,041 | 31,677 | 6.87% |
| Out of Home Respite | 47,432 | 49,306 | 1,874 | 3.95% |
| Health Care | 129,175 | 131,226 | 2,051 | 1.59% |
| Miscellaneous | 498,425 | 512,412 | 13,987 | 2.81% |
| Quality Assurance Fees | 9,951 | 9,855 | (96) | -0.96% |
| TOTAL | \$5,875,876 | \$5,941,938 | \$66,062 | 1.12% |

Policy

There are no changes to Operations Policy items as compared to the Governor's Budget.

However, there is a net increase of \$550,000 (\$150,000 GF) for POS Policy items as compared to the Governor's Budget. The increase reflects updated cost projections for Developmental Center (DC) Closure Costs and the January 1, 2019 State Minimum Wage Increase. This is offset by the decrease in Ongoing POS Policy and an increase in the estimated savings for Behavior Health Treatment (BHT) Fee-for-Service (FFS) for consumers with no Autism Spectrum Disorder (ASD) Diagnosis accessing BHT services through regional centers. DHCS reimburses the Department for BHT services for consumers with no ASD. Reimbursements for non-ASD fee-for-service are displayed in Reimbursements from DHCS.

| Policy | | | |
|---|--------------------------|------------------------|-------------------|
| | Governor's Budget | Updated 2018-19 | Difference |
| Operations | | | |
| DC Closure | \$5,434 | \$5,434 | \$0 |
| <i>Sonoma DC</i> | 3,616 | 3,616 | 0 |
| <i>Fairview DC</i> | 1,212 | 1,212 | 0 |
| <i>Porterville DC</i> | 606 | 606 | 0 |
| Ongoing Operations Policy Items | 80,752 | 80,752 | 0 |
| Total Operations Policy | \$86,186 | \$86,186 | \$0 |
| Purchase of Services | | | |
| BHT - Consumers with no ASD | (\$45,625) | (\$48,872) | (\$3,247) |
| Bridge Funding | 42,011 | 42,011 | 0 |
| DC Closure | 21,610 | 25,201 | 3,591 |
| <i>Fairview DC</i> | 7,670 | 8,652 | 982 |
| <i>Porterville DC</i> | 4,720 | 5,733 | 1,013 |
| <i>Sonoma DC</i> | 9,220 | 10,816 | 1,596 |
| Ongoing POS Policy Items | 75,784 | 74,468 | (1,316) |
| SB 3 Minimum Wage Increase, Effective January 1, 2019 | 68,444 | 69,826 | 1,382 |
| Uniform Holiday Schedule | 47,786 | 47,931 | 145 |
| Total POS Policy | \$210,010 | \$210,565 | \$555 |
| TOTAL | \$296,196 | \$296,751 | \$555 |

Reimbursements

The May Revision includes a net increase of \$31.7 million in reimbursements and Federal Funds, driven by estimated increases from the Home and Community-Based Services (HCBS) Waiver, 1915(i) SPA, and Targeted Case Management (TCM).

| Reimbursements and Federal Funds | | | |
|---|--------------------------|----------------------------|-----------------|
| | Governor's Budget | Updated 2018-19 | Request |
| HCBS | \$1,880,107 | \$1,897,079 | \$16,972 |
| HCBS Waiver Administration | 15,212 | 15,212 | 0 |
| Medicaid Administration | 15,436 | 15,436 | 0 |
| TCM | 234,553 | 237,021 | 2,468 |
| TCM Administration | 8,137 | 8,137 | 0 |
| Title XX Block Grant | 213,421 | 213,421 | 0 |
| (1) Social Services | 136,264 | 136,264 | 0 |
| (2) Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| ICF-DD | 61,436 | 60,846 | (590) |
| QAF | 10,873 | 10,768 | (105) |
| 1915(i) SPA | 263,819 | 278,493 | 14,674 |
| EPSDT | 31,115 | 29,195 | (1,920) |
| DHCS Reimbursement for BHT Fee-for-Service | 13,203 | 13,531 | 328 |
| Federal Funds | | | |
| Early Start/Part C Grant | 53,176 | 53,176 | 0 |
| Foster Grandparent Program | 1,100 | 1,000 | (100) |
| TOTAL | \$2,801,588 | \$2,833,315 | \$31,727 |

2019-20**Costs and Fund Sources**

The 2019 May Revision proposes a total of \$7.8 billion (\$4.7 billion GF) for the updated 2019-20 Regional Center estimate. This represents an increase of \$356.9 million (\$225.2 million GF) as compared to the Governor's Budget.

Consistent with the updated current year projections, POS growth and utilization increased \$122.2 million (\$84.7 million GF). POS policy increased \$223.5 million (\$133.7 million GF) compared to Governor's Budget. The main cost drivers are Provider Rate Adjustments and continued suspension of the Uniform Holiday Schedule.

Operations growth and utilization increased \$3.5 million (\$295,000 GF) to account for updated caseload projections. Operations policy increased \$7.7 million (\$6.5 million GF) compared to Governor's Budget for the funding to implement proposed Provider Rate Adjustments and activities related to improvement of Regional Center and service provider accountability and transparency.

| Costs and Fund Sources | | | |
|---|------------------------------|----------------------------|------------------|
| | Governor's Budget | Updated 2019-20 | Request |
| Total Costs | \$7,398,803 | \$7,755,656 | \$356,853 |
| Operations | 829,339 | 840,515 | 11,176 |
| Purchase of Services | 6,548,352 | 6,894,044 | 345,692 |
| Early Start - Other Agency Costs | 19,109 | 19,094 | (15) |
| Early Start Family Resource Services | 2,003 | 2,003 | 0 |
| Fund Sources | \$7,398,803 | \$7,755,656 | \$356,853 |
| General Fund (GF) | 4,450,177 | 4,675,407 | 225,230 |
| <i>GF Match</i> | 2,642,092 | 2,774,792 | 132,700 |
| <i>GF Other</i> | 1,808,085 | 1,900,615 | 92,530 |
| Reimbursements | 2,891,218 | 3,023,537 | 132,319 |
| Program Development Fund | 2,242 | 2,242 | 0 |
| Developmental Disabilities Services Account | 150 | 150 | 0 |
| Mental Health Services Fund | 740 | 740 | 0 |
| Federal Funds | 54,276 | 53,580 | (696) |

Population

The May Revision estimates a net increase of 555 consumers as compared to the Governor's Budget.

| CASELOAD | Governor's Budget | Updated 2019-20 | Difference |
|---|--------------------------|------------------------|-------------------|
| Active (Age 3 & Older) | 299,807 | 301,002 | 1,195 |
| Total Early Start (Birth through 35 Months) | 49,799 | 49,159 | (640) |
| Total Community Caseload | 349,606 | 350,161 | 555 |

Regional Center Operations

Regional Center Operations increased \$3.5 million (\$295,000 GF) as compared to the Governor's Budget. Due to the updated caseload projections, Core Staffing increased \$3.4 million (\$209,000 GF).

| | Governor's Budget | Updated 2019-20 | Difference |
|-----------------------------|--------------------------|------------------------|-------------------|
| Core Staffing | \$651,610 | \$655,017 | \$3,407 |
| Federal Compliance | 47,964 | 48,002 | 38 |
| Projects | 29,273 | 29,346 | 73 |
| ICF-DD - Administration Fee | 1,843 | 1,825 | (18) |
| Total Operations | \$730,690 | \$734,190 | \$3,500 |

POS Caseload Growth and Utilization

Budget year POS growth and utilization projects a net increase of \$122.2 million (\$84.7 million GF) as compared to the Governor's Budget.

The budget year projections reflect the updated current year estimates, which are coming in higher than previously projected. Consistent with current year projections, the main cost drivers are Support Services, In-Home Respite and Miscellaneous, offset by a decrease in Community Care Facilities. This is an increase of \$427.0 million (\$326.1 million GF) as compared to updated current year projections.

| Purchase of Services Caseload (Utilization and Growth) | | | | |
|---|------------------------------|--------------------|-------------------|---------------------------|
| | Governor's Budget | 2019-20 | Difference | Percent Change |
| Community Care Facilities | \$1,662,972 | \$1,659,032 | (\$3,940) | -0.24% |
| Medical Facilities | 15,077 | 16,465 | 1,388 | 9.21% |
| Day Programs | 1,155,309 | 1,156,565 | 1,256 | 0.11% |
| Habilitation | 160,959 | 160,833 | (126) | -0.08% |
| <i>Work Activity Program</i> | 35,019 | 34,758 | (261) | -0.75% |
| <i>Supported Employment Program - Group</i> | 89,952 | 89,235 | (717) | -0.80% |
| <i>Supported Employment Program - Individual</i> | 35,988 | 36,840 | 852 | 2.37% |
| Transportation | 382,464 | 381,618 | (846) | -0.22% |
| Support Services | 1,649,581 | 1,685,738 | 36,157 | 2.19% |
| In-Home Respite | 500,967 | 553,509 | 52,542 | 10.49% |
| Out of Home Respite | 48,406 | 50,417 | 2,011 | 4.15% |
| Health Care | 138,133 | 140,332 | 2,199 | 1.59% |
| Miscellaneous | 522,918 | 554,560 | 31,642 | 6.05% |
| Quality Assurance Fees | 9,951 | 9,855 | (96) | -0.96% |
| TOTAL | \$6,246,737 | \$6,368,924 | \$122,187 | 1.96% |

PolicyOperations

There is a net increase of \$7.7 million (\$6.5 million GF) as compared to the Governor's Budget. The 2019-20 budget includes the following proposed policies:

- Trauma Informed Services for Foster Youth (AB 2083) – Increase of \$1.6 million (\$1.1 million GF) to meet the requirements that each county develop and implement a memorandum of understanding setting forth the roles and responsibilities of agencies, including regional centers, that serve children and youth in foster care who have experienced severe trauma. Funding is provided in 2019-20 and 2020-21, only, to allow regional centers to hire 15 two-year limited-term staff statewide to design and implement the new initiative.
- Family Home Agency Oversight – Increase of \$1.6 million (\$1.1 million GF) for regional center staffing resources to support the new development of the Family Home Agency model and provide additional oversight and monitoring.
- Provider Rate Adjustments – Increase of \$4.5 million (\$2.5 million GF) to provide funding to implement proposed Provider rate adjustments and activities related to Regional Center and Service Provider accountability and transparency.

| | Governor's Budget | 2019-20 | Difference |
|--|-------------------|------------------|------------------|
| Operations | | | |
| DC Closure Ongoing Workload | \$8,302 | \$8,302 | \$0 |
| Family Home Agency Oversight | 0 | 1,619 | 1,619 |
| Ongoing Operations Policy Items | 81,097 | 80,797 | (300) |
| Provider Rate Adjustment | 0 | 4,450 | 4,450 |
| Specialized Home Monitoring | 5,450 | 5,757 | 307 |
| Specialized Caseload Ratios | 3,800 | 3,800 | 0 |
| Trauma and Developmental Screenings | 0 | 1,600 | 1,600 |
| Total Operations Policy | \$98,649 | \$106,325 | 7,676 |
| Purchase of Services (POS) | | | |
| BHT - Consumers with no ASD | (\$6,187) | (\$6,357) | (\$170) |
| Community Crisis Home for Children | 4,500 | 4,500 | 0 |
| Early Start Co-Pays | 0 | 1,000 | 1,000 |
| Ongoing Purchase of Services Policy Items | 75,813 | 78,675 | 2,862 |
| Provider Rate Adjustment | 0 | 163,683 | 163,683 |
| SB 3 Minimum Wage Increase, January 1,2019 | 144,470 | 148,174 | 3,704 |
| SB 3 Minimum Wage Increase, January 1,2020 | 83,019 | 85,145 | 2,126 |
| Uniform Holiday Schedule | 0 | 50,300 | 50,300 |
| Total POS Policy | \$301,615 | \$525,120 | \$223,505 |
| TOTAL | \$400,264 | \$631,445 | \$231,181 |

POS

POS Policy increased a net \$223.5 million (\$133.7 million GF) as compared to the Governor's Budget for the following items.

- Impacts from Other Departments, DHCS – A decrease in savings for the BHT-FFS consumers with no ASD Diagnosis – Decrease of \$170,000 GF reflects updated expenditure data.
- Early Start Co-Pays – Increase of \$1.0 million GF – Enables regional centers to pay co-payments, coinsurance, and deductibles for privately insured families in the Early Start program.
- Ongoing POS Policy Items – Increase of \$2.9 million (\$1.7 million GF) reflects updated expenditure data for Home Health Rate Increase, Supplemental Payment Program for ICF – DD Services, and the Pediatric Day Health Care Rate Increase. The Department proposes an on-going increase of \$500,000 GF for Best Buddies International effective July 1, 2019.
- SB 3 Minimum Wage, January 1, 2019 (\$11.00 to \$12.00 per hour) – Increase of \$3.7 million (\$1.5 million GF) – Reflects updated expenditures data.
- SB 3 Minimum Wage, January 1, 2020 (\$12.00 to \$13.00 per hour) – Increase of \$2.1 million (\$847,000 GF) – Reflects updated actual expenditures data.
- Provider Rate Adjustments – \$163.7 million (\$98.7 million GF) - Provides funding to implement proposed Provider Rate Adjustments and activities related to improved Regional Center and service provider accountability and transparency. This is an important first step in increasing rates with a focus on key target areas, improving system stabilization, and enhancing meaningful outcomes for the individuals we serve. The rates will increase by approximately 18% for Provider services.
- Uniform Holiday Schedule – Increase of \$50.3 million (\$30.1 million GF) to suspend the Uniform Holiday Schedule until December 31, 2021.

Reimbursements

The May Revision includes a net increase of \$131.6 million in reimbursements and Federal Funds for the updated 2019-20 estimate. The main cost drivers for this increase are HCBS Waiver, 1915(i) SPA, and TCM due to increases in POS growth and utilization estimates, Provider Rate Adjustments, and the suspension of the Uniform Holiday Schedule. The decrease is offset by \$2.2 million in the HCBS Waiver Administration and EPSDT.

| Reimbursements and Federal Funds | | | |
|---|------------------------------|----------------------------|------------------|
| | Governor's Budget | Updated 2019-20 | Request |
| HCBS | \$1,999,671 | \$2,101,241 | \$101,570 |
| HCBS Waiver Administration | 19,396 | 19,237 | (159) |
| Medicaid Administration | 18,824 | 17,908 | (916) |
| TCM | 241,689 | 247,125 | 5,436 |
| TCM Administration | 8,137 | 8,137 | 0 |
| Title XX Block Grant | 213,421 | 213,421 | 0 |
| (1) Social Services | 136,264 | 136,264 | 0 |
| (2) Temporary Assistance for Needy Families | 77,157 | 77,157 | 0 |
| ICF-DD | 61,436 | 60,846 | (590) |
| QAF | 10,873 | 10,768 | (105) |
| 1915(i) SPA | 273,991 | 302,746 | 28,755 |
| EPSDT | 30,577 | 28,577 | (2,000) |
| DHCS Reimbursement for BHT Fee-for-Service | 13,203 | 13,531 | 328 |
| Federal Funds | | | |
| Early Start/Part C Grant | 53,176 | 52,486 | (690) |
| Foster Grandparent | 1,100 | 1,094 | (6) |
| TOTAL | \$2,945,494 | \$3,077,177 | \$131,623 |

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SECTION C: NEW/REVISED POLICY

| | |
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NEW MAJOR ASSUMPTIONS

Family Home Agency Oversight

A Family Home Agency (FHA) certifies family home providers who offer the opportunity for up to two adults, who have a developmental disability, per home to reside with a family and share in the interaction and responsibilities of being part of a family. Benefits of this living option includes an opportunity for an individual to live in a family home, where the supports are about the individual, and is less reliant on direct service professionals, and are generally in line with the federal Home and Community Based-Services final regulations. Currently, there are 1,650 regional center consumers receiving FHA services.

The Department requests 21 regional center positions to provide additional monitoring and oversight of FHA services and to encourage development of this community living option. The Department assumes a cost avoidance as more individuals are placed in FHA homes. The Department assumes the number of FHA homes will increase by 1,000 over the next three years.

Early Start Co-Pay

Current State statute regarding copayments, deductibles and coinsurance does not comply with early intervention federal grant requirements. If a family is charged a fee, including copayments, deductibles, or coinsurance, federal regulations require an assurance that families with public insurance or benefits, or private insurance, will not be charged disproportionately more than families who do not have public insurance or benefits, or private insurance.

The Department is proposing trailer bill language to amend Section 4659.1 of the Welfare and Institutions Code to enable regional centers to pay co-payments, coinsurance, and deductibles for services for children in the Early Start program, regardless of the family's income level.

Trauma Informed Services for Foster Youth

Assembly Bill (AB) 2083 (Cooley, Chapter 815, Statutes of 2018) requires regional centers participate in the development of Memorandums of Understanding (MOU) and on interagency leadership teams and committees in each county. Except for regional centers serving Los Angeles and Orange Counties, each of the state's 21 regional centers serve multiple counties. Alta California Regional Center and Far Northern Regional Center, for example, serve 9 counties each. Therefore, the Department estimates regional centers need 15 two-year limited-term Senior/Supervising Coordinator positions statewide at an annual cost of \$1.6 million (\$1.1 million GF) for purposes of developing and implementing multiple MOUs, participating on multiple interagency leadership teams and placement committees, and performing AB 2083 activities. Funding for local assistance in Regional Center Operations will be for 2019- 20 and 2020-21 only.

Provider Rate Adjustments and Regional Center Transparency and Accountability

The Department proposes \$163.7 million (\$98.7 million GF) for supplemental provider rate adjustments, effective January 1, 2020, pending approval of federal financial participation in 2019-20. The services targeted for supplemental rate adjustment are community care facilities (with rates established under the Alternative Residential Model), supported living services, family home agency, supported employment, personal assistance, transportation assistant and supplemental support services. These adjustments are intended to help: 1) stabilize capacity and maintain options for residential services; 2) address rate differences between regional centers and vendors, and 3) stabilize the workforce. On average, provider rates will receive a supplemental increase of approximately 18% and no provider rate decreases are assumed as part of this proposal. The Department will set the new minimum reimbursement rate for each targeted service area, and the supplemental increase per vendor will vary based on each individual vendor's current rate.

The Department is proposing trailer bill language, including emergency regulatory authority to implement these rate adjustments and increase regional center system oversight, accountability and transparency. Included in this increased accountability are proposed fingerprinting and background check requirements for direct service professionals.

To implement these rate adjustments and increase regional center transparency and accountability, the revised budget includes \$4.5 million (\$2.5 million GF) for regional centers and \$2.5 million GF for the Department.

REVISED MAJOR ASSUMPTIONS

Uniform Holiday Schedule

Welfare and Institutions Code Section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule as a General Fund cost savings measure in September 2009. On these holidays' providers are precluded from billing for services provided by work activity programs, activity centers, adult development centers, behavior management programs, social recreation programs, infant development programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs.

Funding was provided in 2018-19, so the Uniform Holiday Schedule was suspended. In 2019-20, the Department is proposing to again suspend the Uniform Holiday Schedule. However, funding for this proposal sunsets on December 31, 2021. Similar to 2018-19, the half-day billing rule is proposed to remain in effect in 2019-20.

Best Buddies

The Department proposes an increase to Governor's Budget of \$500,000 GF for Best Buddies International for a proposed 2019-20 total of \$2.0 million GF. This ongoing funding will support Best Buddies' delivery of peer to peer mentoring and supported employment services throughout the State. Best Buddies will be required to report annually to the Department on the number of consumers served and the types of services provided.

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SECTION D: FUTURE FISCAL ISSUES

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FUTURE FISCAL ISSUES

Electronic Visit Verification (EVV)

The 21st Century CURES Act, signed into law in 2016, requires that states set up an Electronic Visit Verification (EVV) system to verify that services for all Medicaid-funded personal care and home health care services occurred. Pursuant to Subsection I of Section 1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for personal care services by January 2020 and home health care services by January 2023. There is no prescribed solution from the federal government, so states can select and implement their own EVV design. Current federal law identifies a penalty for non-compliance. The penalty would reduce the Federal Medical Assistance Percentage rate by 0.25 percentage points starting in January 2020, and increasing each year by 0.25 percentage points to a maximum of one percent in 2023.

EVV will impact all personal care services and home health care services provided under the Medi-Cal state plan and various Medicaid Home and Community-Based Service (HCBS) programs. Regional centers and the Department of Developmental Services (Department) provide EVV-required services (including but not limited to supported living, respite, and personal assistance services) to individuals with developmental disabilities, but does not currently have an EVV system.

In 2018, the Department and its sister agencies continued a stakeholder process to inform the design and implementation of the EVV system. The state plans to work with the Centers for Medicare and Medicaid Services (CMS) to request a one-year extension of time based on good faith effort, and will work with CMS and stakeholders to identify a realistic implementation timeline that will allow for full stakeholder engagement. If an extension is not approved, the Department estimates a penalty of \$1.8 million GF in 2019-20.

Self-Determination

Senate Bill (SB) 468, Chapter 683, Statutes of 2013, requires the Department to implement a statewide Self-Determination Program (SDP), subject to approval of federal funding. The SDP will enable regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans. Participation is limited to 2,500 individuals in the first three years of the SDP, including the 95 participants in the current, State-only funded self-determination pilot project. SB 468 requires the additional federal reimbursements generated by former self-determination pilot participant's savings be used for a variety of purposes, including but not limited to, offsetting administrative costs to the Department and costs associated with participant's initial person-centered planning, joint training for participants, family members, regional centers and local advisory committee members, and to offset the costs to regional centers in implementing SDP.

The Department received CMS approval for federal funding of the SDP on June 7, 2018, and participant orientations have begun statewide.

CMS Final Regulations for Home and Community-Based Services

The Department administers both a 1915(c) Waiver (the HCBS Waiver for Persons with Developmental Disabilities) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 223,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated in and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019, to maintain waiver and state plan funding (estimated at \$2.3 billion for the Department in 2018-19). However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, it extended compliance date by three years to March 17, 2022.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations.

The Department is currently working with a contractor to develop and conduct broad training on the HCBS regulations in preparation for the provider self-assessment process. Additionally, the Department has submitted a budget request for fiscal year 2019-20 for funding to assist with the coordination and completion of on-site provider assessments.

Developmental Services Task Force

On July 24, 2014, the California Health and Human Services Secretary convened a Task Force to strengthen developmental services in the community. The Task Force includes consumers, consumer advocates, regional centers, community service providers, labor organizations, families of developmental center residents, families of individuals who live in the community, legislative staff, and Department staff.

The DS Task Force's early discussions focused on five subject areas, their scopes, levels of urgency, additional data needs, and the identification of overarching guiding principles to be considered when examining all of the subject areas. Based on the five subject areas identified, four distinct workgroups were created to help move the work of the DS Task Force forward. The culmination of the DS Task Force's initial recommendations were finalized and summarized in the December 2017 report titled: "Developmental Services Task Force: Examination of Opportunities to Strengthen the Community-Based Services System" (available online at: https://www.dds.ca.gov/DSTaskForce/docs/DSTF_FinalizedDSTaskForceReport-201712.pdf).

The workgroup's recommendations and associated statewide stakeholder meetings helped inform the Department's "*Plan For Crisis And Other Safety Net Services In The California Developmental Services System*," submitted to the Legislature on May 13, 2017, (available online at: <http://www.dds.ca.gov/Budget/Docs/20170513-PlanCrisis-OtherSafetyNetServices.pdf>).

The Task Force continues to meet and conduct workgroup and stakeholder meetings as necessary to advise on: new initiatives and services intended to bolster Safety Net services in the state, rates, regional center operations, and further examining the housing, employment, medical and dental needs of Californians with developmental disabilities.

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| Community Population Bar Chart | E-5 |

Population**2018-19**

| <i>Population as of 1/31/19</i> | | | | |
|--|--|-------------------------------------|---------------|---------------------------|
| | <i>GOVERNOR'S BUDGET 2018-19</i> | <i>MAY REVISION 2018-19</i> | <i>Change</i> | <i>Percent Change</i> |
| A. Active Status (Age 3 & Older) | 287,390 | 287,767 | 377 | 0.13% |
| B. Total Early Start (Birth through 35 Months) | 45,704 | 45,243 | -461 | -1.01% |
| C. Total Community Population | 333,094 | 333,010 | -84 | -0.03% |

2019-20

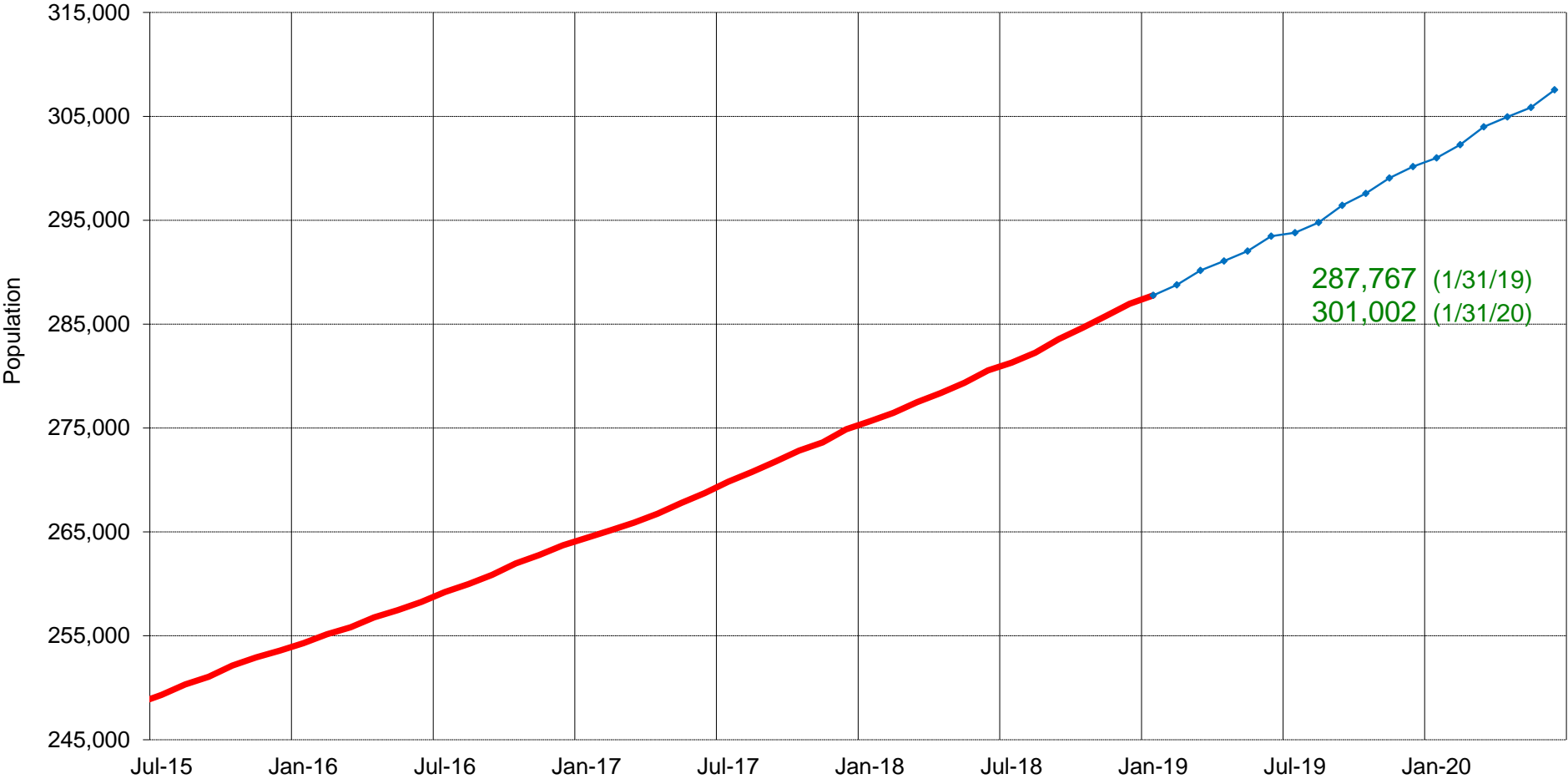
| <i>Estimated Population as of 1/31/20</i> | | | | |
|--|--|-------------------------------------|---------------|---------------------------|
| | <i>GOVERNOR'S BUDGET 2019-20</i> | <i>MAY REVISION 2019-20</i> | <i>Change</i> | <i>Percent Change</i> |
| A. Active Status (Age 3 & Older) | 299,807 | 301,002 | 1,195 | 0.40% |
| B. Total Early Start (Birth through 35 Months) | 49,799 | 49,159 | -640 | -1.29% |
| C. Total Community Population | 349,606 | 350,161 | 555 | 0.16% |

Population

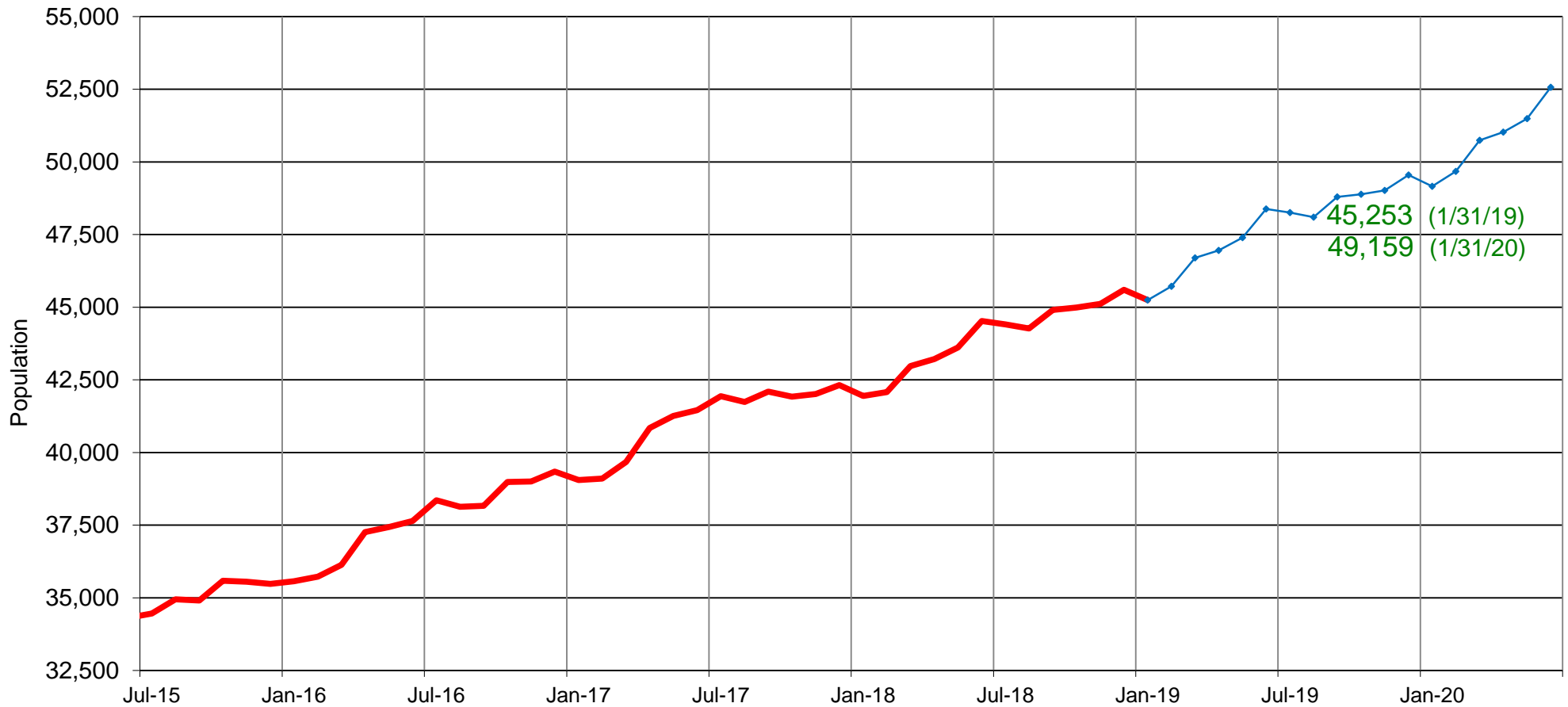
2018-19 vs. 2019-20

| | 2018-19 | 2019-20 | | |
|--|-------------------------------------|---|--------------------------|--------------------------------------|
| | <i>Population as of 1/31/19</i> | <i>Estimated Population as of 1/31/20</i> | <i>Annual Change</i> | <i>Percent Annual Change</i> |
| A. Active Status (Age 3 & Older) | 287,767 | 301,002 | 13,235 | 4.60% |
| B. Total Early Start (Birth through 35 Months) | 45,243 | 49,159 | 3,916 | 8.66% |
| C. Total Community Population | 333,010 | 350,161 | 17,151 | 5.15% |

Active Status Population
(Age 3 & Older)
Client Master File Trend Analysis



Early Start (Birth through 35 Months) **Client Master File Trend Analysis**



Community Population

(Active Status and Early Start)

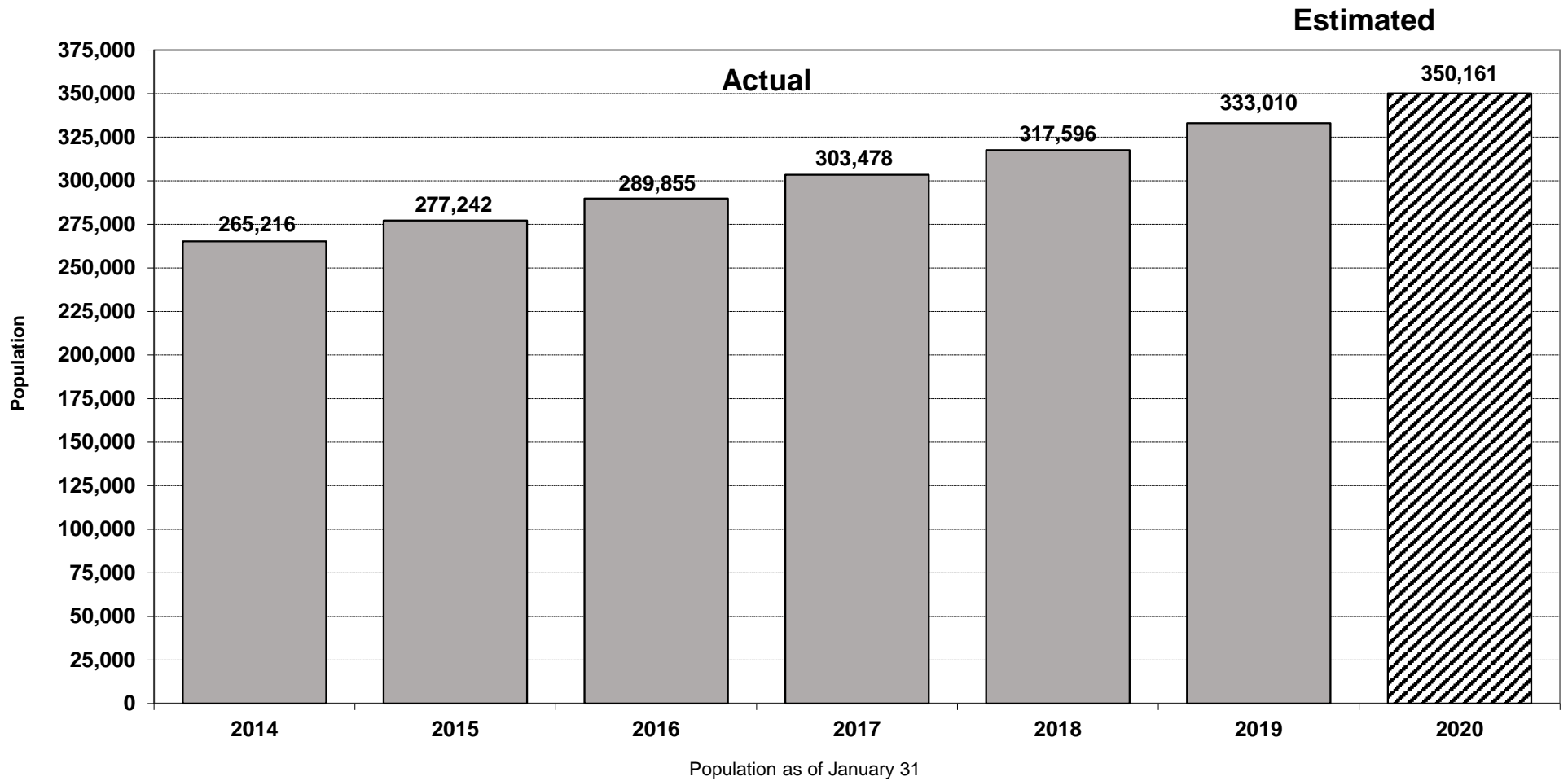


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Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Governor's Budget to the 2019 May Revision
2018-19

| | Governor's Budget | May Revision | Request |
|--|----------------------|------------------|--------------|
| POPULATION | | | |
| Active Status (Age 3 & Older) | 287,390 | 287,767 | 377 |
| Early Start (Birth through 35 Months) | 45,704 | 45,243 | -461 |
| Total Population | 333,094 | 333,010 | -84 |
| OPERATIONS - CASELOAD GROWTH | | | |
| I. STAFFING | | | |
| A. Core Staffing | \$640,959 | \$641,950 | \$991 |
| B. Enhanced Caseload Ratio 1:45 for Two Years | 404 | 404 | 0 |
| C. Community Placement Plan | 15,265 | 15,265 | 0 |
| D. Staffing for Collection of FFP for Contracted Services | 1,893 | 1,893 | 0 |
| E. Less: Intake and Assessment (2003-04) | -4,465 | -4,465 | 0 |
| F. Less: Unallocated Reduction (2001-02) | -10,559 | -10,559 | 0 |
| G. Less: Cost Containment (2004-05) | -5,968 | -5,968 | 0 |
| H. Less: Savings Target (2009-10) | -12,000 | -12,000 | 0 |
| I. Less: Cost Containment (2011-12) | -3,486 | -3,486 | 0 |
| J. Less: Unallocated Reduction (2011-12) | -5,400 | -5,400 | 0 |
| K. Total Staffing | \$616,643 | \$617,634 | \$991 |
| II. A. Agnews Ongoing Workload | 2,894 | 2,894 | 0 |
| B. Lanterman Development Center Closure | 2,392 | 2,392 | 0 |
| C. Total Developmental Centers Closure | \$5,286 | \$5,286 | \$0 |
| III. FEDERAL COMPLIANCE | | | |
| A. HCBS Waiver | 21,135 | 21,135 | 0 |
| B. Compliance with HCBS Waiver Requirements | 8,700 | 8,700 | 0 |
| C. Case Managers to Meet HCBS Waiver Requirements | 12,290 | 12,294 | 4 |
| D. Targeted Case Management | 4,129 | 4,129 | 0 |
| E. Nursing Home Reform/Pre-Admission Screening and Resident Review | 473 | 473 | 0 |
| F. Federal Medicaid Requirement for RC HCBS Services | 984 | 984 | 0 |
| G. Total Federal Compliance | \$47,711 | \$47,715 | \$4 |
| IV. PROJECTS | | | |
| A. Information Technology Costs: | 3,797 | 3,797 | 0 |
| 1. Regional Center Application Support | 2,547 | 2,547 | 0 |
| 2. Data Processing | 1,250 | 1,250 | 0 |
| B. Clients' Rights Advocacy Contract | 7,118 | 7,118 | 0 |
| C. Quality Assessment Contract | 4,030 | 4,030 | 0 |
| D. Direct Support Professional Training | 3,037 | 3,037 | 0 |
| E. Office of Administrative Hearings Contract | 3,350 | 3,350 | 0 |
| F. Wellness Projects | 100 | 100 | 0 |
| G. Foster Grandparent / Senior Companion Programs | 3,765 | 3,765 | 0 |
| H. Special Incident Reporting/Risk Assessment Contract | 1,200 | 1,200 | 0 |
| I. Increased Access to Mental Health Services | 740 | 740 | 0 |
| J. Sherry S. Court Case / Los Angeles County Hops | 59 | 38 | -21 |
| K. Enhancing FFP, Phase II, Proposal C, Consultant | 500 | 500 | 0 |
| L. Affordable Housing | 85 | 85 | 0 |
| M. Review of SB 1175 Housing Proposals | 150 | 150 | 0 |
| N. Denti-Cal Infrastructure for RC Dental Services | 2 | 2 | 0 |
| O. Total Projects | \$27,933 | \$27,912 | -\$21 |
| V. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES | \$1,843 | \$1,825 | -\$18 |
| VI. GRAND TOTAL - OPERATIONS CASELOAD GROWTH | \$699,416 | \$700,372 | \$956 |

Dollar values in thousands (000's)

Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Governor's Budget to the 2019 May Revision
2019-20

| | Governor's Budget | May Revision | Request |
|--|----------------------|------------------|----------------|
| POPULATION | | | |
| Active Status (Age 3 & Older) | 299,807 | 301,002 | 1,195 |
| Early Start (Birth through 35 Months) | 49,799 | 49,159 | -640 |
| Total Population | 349,606 | 350,161 | 555 |
| OPERATIONS - CASELOAD GROWTH | | | |
| I. STAFFING | | | |
| A. Core Staffing | \$676,047 | \$679,444 | \$3,397 |
| B. Enhanced Caseload Ratio 1:45 for Two Years | 283 | 293 | 10 |
| C. Community Placement Plan | 15,265 | 15,265 | 0 |
| D. Staffing for Collection of FFP for Contracted Services | 1,893 | 1,893 | 0 |
| E. Less: Intake and Assessment (2003-04) | -4,465 | -4,465 | 0 |
| F. Less: Unallocated Reduction (2001-02) | -10,559 | -10,559 | 0 |
| G. Less: Cost Containment (2004-05) | -5,968 | -5,968 | 0 |
| H. Less: Savings Target (2009-10) | -12,000 | -12,000 | 0 |
| I. Less: Cost Containment (2011-12) | -3,486 | -3,486 | 0 |
| J. Less: Unallocated Reduction (2011-12) | -5,400 | -5,400 | 0 |
| K. Total Staffing | \$651,610 | \$655,017 | \$3,407 |
| II. A. Agnews Ongoing Workload | 0 | 0 | 0 |
| B. Lanterman Development Center Closure | 0 | 0 | 0 |
| C. Total Developmental Centers Closure | \$0 | \$0 | \$0 |
| III. FEDERAL COMPLIANCE | | | |
| A. HCBS Waiver | 21,135 | 21,135 | 0 |
| B. Compliance with HCBS Waiver Requirements | 8,700 | 8,700 | 0 |
| C. Case Managers to Meet HCBS Waiver Requirements | 12,543 | 12,581 | 38 |
| D. Targeted Case Management | 4,129 | 4,129 | 0 |
| E. Nursing Home Reform/Pre-Admission Screening and Resident Review | 473 | 473 | 0 |
| F. Federal Medicaid Requirement for RC HCBS Services | 984 | 984 | 0 |
| G. Total Federal Compliance | \$47,964 | \$48,002 | \$38 |
| IV. PROJECTS | | | |
| A. Information Technology Costs: | 3,797 | 4,112 | 315 |
| 1. Regional Center Application Support | 2,547 | 2,862 | 315 |
| 2. Data Processing | 1,250 | 1,250 | 0 |
| B. Clients' Rights Advocacy Contract | 7,470 | 7,483 | 13 |
| C. Quality Assessment Contract | 4,500 | 4,500 | 0 |
| D. Direct Support Professional Training | 3,037 | 3,037 | 0 |
| E. Office of Administrative Hearings Contract | 3,525 | 3,525 | 0 |
| F. Wellness Projects | 100 | 100 | 0 |
| G. Foster Grandparent / Senior Companion Programs | 4,110 | 3,864 | -246 |
| H. Special Incident Reporting/Risk Assessment Contract | 1,200 | 1,200 | 0 |
| I. Increased Access to Mental Health Services | 740 | 740 | 0 |
| J. Sherry S. Court Case / Los Angeles County Hops | 59 | 10 | -49 |
| K. Enhancing FFP, Phase II, Proposal C, Consultant | 500 | 500 | 0 |
| L. Affordable Housing | 85 | 125 | 40 |
| M. Review of SB 1175 Housing Proposals | 150 | 150 | 0 |
| N. Denti-Cal Infrastructure for RC Dental Services | 0 | 0 | 0 |
| O. Total Projects | \$29,273 | \$29,346 | \$73 |
| V. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES | \$1,843 | \$1,825 | -\$18 |
| VI. GRAND TOTAL - OPERATIONS CASELOAD GROWTH | \$730,690 | \$734,190 | \$3,500 |

Dollar values in thousands (000's)

Staffing Expenditures

DESCRIPTION:

Staffing includes personal services and operating expenses for Core Staffing, Community Placement Plan, and Placement Continuation.

ASSUMPTIONS:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| • Population Projections: (See Section E, Population, for detail) | | |
| • Active Status (Age 3 and Older) | 287,767 | 301,002 |
| • Early Start (Birth through 35 Months) | 45,243 | 49,159 |
| Subtotal: | 333,010 | 350,161 |
| • Developmental Center Population: | 326 | 292 |
| Total Population: | 333,336 | 350,453 |
| • Informational | | |
| • Community Care Facility Consumers (including Placement Continuation) | 25,243 | 25,243 |
| • Home and Community-Based Services Waiver-Enrolled Consumers | 130,976 | 134,383 |
| • Early Start (with Assessment) | 51,336 | 56,244 |
| • Placement Continuation Consumers | 228 | 69 |
| • Intake cases per month | 7,194 | 7,583 |
| • Vendors | 44,158 | 44,158 |
| • Mediations per year | 400 | 400 |

METHODOLOGY:

CORE STAFFING:

PERSONAL SERVICES:

| | | |
|--|-----------|-----------|
| • Direct Services and Administrative Positions: | \$439,539 | \$465,798 |
| 2019 May Revision | | |
| 2018-19 12,305 | | |
| 2019-20 12,882 | | |
| See Attachment A for Core Staffing Expenditure Detail. | | |
| See Attachment B for Core Staffing Formulas. | | |
| • Fringe Benefits: | 104,171 | 110,394 |
| Calculated at 23.7% per position. | | |
| • Salary Savings: | -18,218 | -19,423 |
| Client Program Coordinators: 1.0% Per Position | -2,597 | -2,726 |
| All Other Staff: 5.5% Per Position | -15,621 | -16,697 |

Staffing Expenditures

| METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| <ul style="list-style-type: none"> • Early Start /Part C Administrative and Clinical Support: Includes salaries, fringe benefits, and salary savings. | 694 | 694 |
| TOTAL PERSONAL SERVICES: | \$526,187 | \$557,463 |
| OPERATING EXPENSES: | | |
| <ul style="list-style-type: none"> • Operating Expenses: Base amount plus the following adjustments: Professional Positions: \$3,400 Clerical Positions: \$2,400 | 46,717 | 48,574 |
| <ul style="list-style-type: none"> • Rent: See Attachment C for rent details. | 69,046 | 73,407 |
| TOTAL OPERATING EXPENSES: | \$115,763 | \$121,981 |
| TOTAL CORE STAFFING: | \$641,950 | \$679,444 |
| <ul style="list-style-type: none"> • Enhanced Caseload Ratio 1:45 for Two Years: | 404 | 293 |
| Community Placement Plan: | | |
| <ul style="list-style-type: none"> • See Community Placement Plan Methodology for details. | 15,265 | 15,265 |
| STAFFING FOR COLLECTION OF FEDERAL FINANCIAL PARTICIPATION (FFP) FOR CONTRACTED SERVICES: | | |
| <ul style="list-style-type: none"> • Funding provides one Community Program Specialist I and one Account Clerk II for each regional center. | 1,893 | 1,893 |
| LESS INTAKE AND ASSESSMENT (2003-04): | -4,465 | -4,465 |
| LESS UNALLOCATED REDUCTION (2001-02): | -10,559 | -10,559 |
| LESS COST CONTAINMENT (2004-05): | -5,968 | -5,968 |
| LESS SAVINGS TARGET (2009-10): | -12,000 | -12,000 |
| LESS COST CONTAINMENT (2011-12): | -3,486 | -3,486 |
| LESS UNALLOCATED REDUCTION (2011-12): | -5,400 | -5,400 |
| TOTAL EXPENDITURES: | \$617,634 | \$655,017 |

Staffing Expenditures

FUNDING:

Funding for Staffing expenditures is comprised of reimbursements from Medicaid Administration (75% FFP, 25% General Fund [GF] Match), Home and Community Based Services Waiver Administration (50% FFP, 50% GF Match), Targeted Case Management (50% FFP, 50% GF Match), Targeted Case Management Administration (50% FFP, 50% GF Match), and Money Follows the Person (100% FFP). State GF matches federally-eligible reimbursements and funds amounts not eligible for FFP.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

Current year expenditures are estimated to increase by a net \$1.0 million (\$1.5 million GF decrease), comprising of a \$1.0 million increase in Core Staffing Personal Services.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

Budget year expenditures are estimated to increase by a net \$3.4 million (\$0.2 million GF increase), comprising increases in personal services and operating expenses due to caseload increases for direct services.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|----------------|------------------|------------------|
| TOTAL | \$617,634 | \$655,017 |
| GF | 382,854 | 409,732 |
| Reimbursements | 234,780 | 245,285 |

Attachment A
CORE STAFFING ESTIMATE - 2018-19
Comparison of the Governor's Budget to the 2019 May Revision

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

| | Governor's Budget 2018-19 | Positions | Budgeted Salary | Cost | Difference |
|------------------|---------------------------------|-----------|--------------------|--------------|------------|
| (a) Physician | \$13,215,268 | 166.67 | \$79,271 | \$13,212,098 | -\$3,170 |
| (b) Psychologist | 13,921,619 | 333.34 | 41,754 | 13,918,278 | -3,341 |
| (c) Nurse | 6,196,777 | 166.67 | 37,171 | 6,195,291 | -1,486 |
| (d) Nutritionist | 4,689,552 | 166.67 | 28,130 | 4,688,427 | -1,125 |

(2) Clinical Support Teams

| | | | | | |
|-----------------------------|-----------|-------|--------|-----------|---|
| (a) Physician/Psychiatrist | 7,730,856 | 84.00 | 92,034 | 7,730,856 | 0 |
| (b) Consulting Pharmacist | 5,077,800 | 84.00 | 60,450 | 5,077,800 | 0 |
| (c) Behavioral Psychologist | 4,617,648 | 84.00 | 54,972 | 4,617,648 | 0 |
| (d) Nurse | 4,240,152 | 84.00 | 50,478 | 4,240,152 | 0 |

(3) SB 1038 Health Reviews

| | | | | | |
|---------------|-----------|--------|--------|-----------|--------|
| (a) Physician | 2,816,240 | 30.78 | 92,034 | 2,832,807 | 16,567 |
| (b) Nurse | 7,209,268 | 143.63 | 50,478 | 7,250,155 | 40,887 |

b. Intake / Case Management

| | | | | | |
|--|------------|----------|--------|------------|----------|
| (1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below) | 3,829,084 | 102.77 | 38,036 | 3,908,960 | 79,876 |
| (2) Intake Worker | 31,743,580 | 1,027.71 | 31,532 | 32,405,752 | 662,172 |
| (3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below) | 27,589,627 | 526.42 | 52,392 | 27,580,197 | -9,430 |
| (4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs) | 242,592 | 3.61 | 67,200 | 242,592 | 0 |
| (5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First | 1,698,326 | 36.12 | 47,019 | 1,698,326 | 0 |
| (6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES) | 80,594,923 | 2,373.61 | 34,032 | 80,778,696 | 183,773 |
| (7) CPC (Waiver, Early Start only), 1:62 Consumers | 96,970,781 | 2,842.24 | 34,032 | 96,727,112 | -243,669 |
| (8) CPC, Quality Assurance for ARM | 1,646,128 | 48.37 | 34,032 | 1,646,128 | 0 |
| (9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs) | 68,110 | 1.47 | 52,392 | 77,016 | 8,906 |
| (10) CPC, DSS Incidental Medical Care Regs | 493,225 | 14.67 | 37,824 | 554,878 | 61,653 |

c. Quality Assurance / Quarterly Monitoring

| | | | | | |
|---------------------------|------------|--------|--------|------------|-------|
| (1) Supervising Counselor | 2,289,530 | 43.70 | 52,392 | 2,289,530 | 0 |
| (2) CPC | 14,871,303 | 437.01 | 34,032 | 14,872,324 | 1,021 |

d. Early Intervention**(1) General**

| | | | | | |
|-----------------------------------|---------|-------|--------|---------|---|
| (a) Prevention Coordinator | 876,792 | 21.00 | 41,752 | 876,792 | 0 |
| (b) High-Risk Infant Case Manager | 856,905 | 21.00 | 40,805 | 856,905 | 0 |
| (c) Genetics Associate | 798,714 | 21.00 | 38,034 | 798,714 | 0 |

(2) Early Start / Part C

| | | | | | |
|---|------------|--------|--------|------------|----------|
| (a) Supervising Counselor | 1,656,635 | 31.28 | 52,392 | 1,638,822 | -17,813 |
| (b) CPC | 10,761,259 | 312.80 | 34,032 | 10,645,210 | -116,049 |
| (c) Administrative and Clinical Support (see next page) | | | | | |

e. Community Services

| | | | | | |
|--|-----------|-------|--------|-----------|-------|
| (1) Special Incident Coordinator | 1,100,232 | 21.00 | 52,392 | 1,100,232 | 0 |
| (2) Vendor Fiscal Monitor | 1,248,729 | 24.56 | 50,844 | 1,248,729 | 0 |
| (3) Program Evaluator | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (4) Resource Developer | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (5) Transportation Coordinator | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (6) Administrative Services Analyst (SB 1039 Consumer Complaints) | 449,327 | 10.50 | 42,793 | 449,327 | 0 |
| (7) Developmental Center Liaison | 107,262 | 2.82 | 38,036 | 107,262 | 0 |
| (8) Diversion | 126,584 | 4.00 | 31,646 | 126,584 | 0 |
| (9) Placement Continuation: | | | | | |
| (a) Supervising Counselor | 7,335 | 0.14 | 52,392 | 7,335 | 0 |
| (b) CPC (Supplement at 1:45 Consumers) | 45,943 | 1.39 | 34,032 | 47,304 | 1,361 |

f. Special Incident Reporting (SIR)

| | | | | | |
|---------------------------|-----------|-------|--------|-----------|------|
| (1) Supervising Counselor | 458,954 | 8.76 | 52,392 | 458,954 | 0 |
| (2) QA/CPC | 2,981,884 | 87.60 | 34,032 | 2,981,203 | -681 |
| (3) Nurses | 2,211,441 | 43.80 | 50,478 | 2,210,936 | -505 |

g. Mediation

| | | | | | |
|---------------------------|--------|------|--------|--------|---|
| (1) Clinical Staff | 7,093 | 0.11 | 64,484 | 7,093 | 0 |
| (2) Supervising Counselor | 52,916 | 1.01 | 52,392 | 52,916 | 0 |
| (3) CPC | 17,356 | 0.51 | 34,032 | 17,356 | 0 |

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

| | | | | | |
|-----------------------------|-----------|-------|--------|-----------|---|
| (1) ASD Clinical Specialist | 1,371,888 | 21.00 | 65,328 | 1,371,888 | 0 |
| (2) ASD Program Coordinator | 1,318,464 | 21.00 | 62,784 | 1,318,464 | 0 |

i. SUBTOTAL DIRECT SERVICES

| | | | | |
|----------------------------------|------------------|------------------------|------------------|-------------------|
| Governor's Budget 2018-19 | Positions | Budgeted Salary | Cost | Difference |
| \$360,904,061 | 9519.74 | \$361,563,008 | \$658,947 | |

Attachment A
CORE STAFFING ESTIMATE - 2018-19
Comparison of the Governor's Budget to the 2019 May Revision

| | Governor's Budget 2018-19 | 2019 May Revision | | | Difference |
|---|---------------------------------|-------------------|--------------------|----------------------|------------------|
| | | Positions | Budgeted Salary | Cost | |
| 2. ADMINISTRATION | | | | | |
| a. Executive Staff | | | | | |
| (1) Director | 1,279,698 | 21.00 | 60,938 | 1,279,698 | 0 |
| (2) Administrator | 1,009,449 | 21.00 | 48,069 | 1,009,449 | 0 |
| (3) Chief Counselor | 986,643 | 21.00 | 46,983 | 986,643 | 0 |
| b. Fiscal | | | | | |
| (1) Federal Program Coordinator (Enh. FFP, Phase I) | 1,206,177 | 21.00 | 57,437 | 1,206,177 | 0 |
| (2) Federal Compliance Specialist (Enh. FFP, Phase II) | 5,223,602 | 130.98 | 39,887 | 5,224,399 | 797 |
| (3) Fiscal Manager | 963,480 | 21.00 | 45,880 | 963,480 | 0 |
| (4) Program Tech II (FCPP) | 883,255 | 24.22 | 36,468 | 883,255 | 0 |
| (5) Revenue Clerk | 1,388,142 | 56.72 | 24,474 | 1,388,142 | 0 |
| (6) Account Clerk (Enh. FFP, Phase II) | 584,640 | 21.00 | 27,840 | 584,640 | 0 |
| (7) Account Clerk | 10,112,961 | 416.67 | 24,265 | 10,110,534 | -2,427 |
| c. Information Systems and Human Resources | | | | | |
| (1) Information Systems Manager | 1,397,844 | 21.00 | 66,564 | 1,397,844 | 0 |
| (2) Information Systems Assistant | 1,000,692 | 21.00 | 47,652 | 1,000,692 | 0 |
| (3) Information Systems Assistant (SIR) | 500,346 | 10.50 | 47,652 | 500,346 | 0 |
| (4) Privacy Officer (HIPAA) | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (5) Personal Computer Systems Manager | 1,397,844 | 21.00 | 66,564 | 1,397,844 | 0 |
| (6) Training Officer | 1,099,728 | 21.00 | 52,368 | 1,099,728 | 0 |
| (7) Training Officer (SIR) | 549,864 | 10.50 | 52,368 | 549,864 | 0 |
| (8) Human Resources Manager | 1,067,724 | 21.00 | 50,844 | 1,067,724 | 0 |
| d. Clerical Support | | | | | |
| (1) Office Supervisor | 509,887 | 21.00 | 24,280 | 509,887 | 0 |
| (2) PBX/Mail/File Clerk | 1,528,542 | 63.00 | 24,263 | 1,528,542 | 0 |
| (3) Executive Secretary | 1,273,785 | 52.50 | 24,263 | 1,273,785 | 0 |
| (4) MD/Psychologist Secretary II | 371,878 | 15.39 | 24,306 | 374,065 | 2,187 |
| (5) MD/Psychologist Secretary I | 6,067,342 | 250.01 | 24,263 | 6,065,886 | -1,456 |
| (6) Secretary II | 4,573,367 | 188.63 | 24,306 | 4,584,791 | 11,424 |
| (7) Secretary I | 31,807,743 | 1,286.20 | 24,785 | 31,878,877 | 71,134 |
| (8) Secretary I (DC Case Management - Capitol People First) | 210,834 | 6.62 | 31,848 | 210,834 | 0 |
| e. SUBTOTAL ADMINISTRATION | \$77,894,120 | 2,784.94 | | \$77,975,779 | \$81,659 |
| 3. TOTAL POSITIONS AND SALARIES | | | | | |
| (Item A.1.i. + Item A.2.e.) | \$438,798,181 | 12,304.68 | | \$439,538,787 | \$740,606 |
| a. CPCs | 210,081,128 | | | 209,968,537 | -112,591 |
| b. All Other Staff | 228,717,053 | | | 229,570,250 | 853,197 |
| 4. Fringe Benefits | | | | | |
| a. CPCs 23.7% | 49,789,227 | | | 49,762,543 | -26,684 |
| b. All Other Staff 23.7% | 54,205,942 | | | 54,408,149 | 202,207 |
| c. Total Fringe Benefits | \$103,995,169 | | | \$104,170,692 | \$175,523 |
| 5. Salary Savings | | | | | |
| a. CPCs 1.0% | -2,598,704 | | | -2,597,311 | 1,393 |
| b. All Other Staff 5.5% | -15,560,765 | | | -15,618,812 | -58,047 |
| c. Total Salary Savings | -\$18,159,469 | | | -\$18,216,123 | -\$56,654 |
| 6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings) | \$694,000 | | | \$694,000 | \$0 |
| 7. TOTAL PERSONAL SERVICES | | | | | |
| (Items A.3. + A.4. + A.5. + A.6.) | \$525,327,881 | | | \$526,187,356 | \$859,475 |
| ROUNDED | \$525,328,000 | 12,305.00 | | \$526,187,000 | \$859,000 |
| B. OPERATING EXPENSES AND RENT | | | | | |
| 1. Operating Expenses | 46,641,000 | | | 46,717,000 | 76,000 |
| 2. Rent | 68,990,000 | | | 69,046,000 | 56,000 |
| 3. Subtotal Operating Expenses and Rent | \$115,631,000 | | | \$115,763,000 | \$132,000 |
| C. TOTAL CORE STAFFING (Items A.7. + B.3.) | \$640,959,000 | | | \$641,950,000 | \$991,000 |

Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Governor's Budget to the 2019 May Revision

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

| | Governor's Budget 2019-20 | Positions | Budgeted Salary | Cost | Difference |
|------------------|---------------------------------|-----------|--------------------|--------------|------------|
| (a) Physician | \$13,868,461 | 175.23 | \$79,271 | \$13,890,657 | \$22,196 |
| (b) Psychologist | 14,609,725 | 350.45 | 41,754 | 14,632,689 | 22,964 |
| (c) Nurse | 6,503,066 | 175.23 | 37,171 | 6,513,474 | 10,408 |
| (d) Nutritionist | 4,921,344 | 175.23 | 28,130 | 4,929,220 | 7,876 |

(2) Clinical Support Teams

| | | | | | |
|-----------------------------|-----------|-------|--------|-----------|---|
| (a) Physician/Psychiatrist | 8,098,992 | 88.00 | 92,034 | 8,098,992 | 0 |
| (b) Consulting Pharmacist | 5,319,600 | 88.00 | 60,450 | 5,319,600 | 0 |
| (c) Behavioral Psychologist | 4,837,536 | 88.00 | 54,972 | 4,837,536 | 0 |
| (d) Nurse | 4,442,064 | 88.00 | 50,478 | 4,442,064 | 0 |

(3) SB 1038 Health Reviews

| | | | | | |
|---------------|-----------|--------|--------|-----------|---------|
| (a) Physician | 2,935,885 | 32.55 | 92,034 | 2,995,707 | 59,822 |
| (b) Nurse | 7,513,650 | 151.92 | 50,478 | 7,668,618 | 154,968 |

b. Intake / Case Management

| | | | | | |
|--|-------------|----------|--------|-------------|----------|
| (1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below) | 4,001,387 | 108.33 | 38,036 | 4,120,440 | 119,053 |
| (2) Intake Worker | 33,171,664 | 1,083.29 | 31,532 | 34,158,300 | 986,636 |
| (3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below) | 28,946,056 | 553.26 | 52,392 | 28,986,398 | 40,342 |
| (4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs) | 242,592 | 3.61 | 67,200 | 242,592 | 0 |
| (5) Client Program Coordinator (CPC), 1:66 DC Consumers Capitol People First | 1,698,326 | 36.12 | 47,019 | 1,698,326 | 0 |
| (6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES) | 85,297,124 | 2,523.92 | 34,032 | 85,894,045 | 596,921 |
| (7) CPC (Waiver, Early Start only), 1:62 Consumers | 101,081,506 | 2,960.35 | 34,032 | 100,746,631 | -334,875 |
| (8) CPC, Quality Assurance for ARM | 1,646,128 | 48.37 | 34,032 | 1,646,128 | 0 |
| (9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs) | 64,966 | 1.48 | 52,392 | 77,540 | 12,574 |
| (10) CPC, DSS Incidental Medical Care Regs | 469,396 | 14.80 | 37,824 | 559,795 | 90,399 |

c. Quality Assurance / Quarterly Monitoring

| | | | | | |
|---------------------------|------------|--------|--------|------------|--------|
| (1) Supervising Counselor | 2,314,574 | 44.16 | 52,392 | 2,313,474 | -1,100 |
| (2) CPC | 15,034,657 | 441.57 | 34,032 | 15,027,510 | -7,147 |

d. Early Intervention**(1) General**

| | | | | | |
|-----------------------------------|---------|-------|--------|---------|---|
| (a) Prevention Coordinator | 876,792 | 21.00 | 41,752 | 876,792 | 0 |
| (b) High-Risk Infant Case Manager | 856,905 | 21.00 | 40,805 | 856,905 | 0 |
| (c) Genetics Associate | 798,714 | 21.00 | 38,034 | 798,714 | 0 |

(2) Early Start / Part C

| | | | | | |
|---|------------|--------|--------|------------|---------|
| (a) Supervising Counselor | 1,803,333 | 34.27 | 52,392 | 1,795,474 | -7,859 |
| (b) CPC | 11,714,835 | 342.71 | 34,032 | 11,663,107 | -51,728 |
| (c) Administrative and Clinical Support (see next page) | | | | | |

e. Community Services

| | | | | | |
|--|-----------|-------|--------|-----------|-------|
| (1) Special Incident Coordinator | 1,100,232 | 21.00 | 52,392 | 1,100,232 | 0 |
| (2) Vendor Fiscal Monitor | 1,248,729 | 24.56 | 50,844 | 1,248,729 | 0 |
| (3) Program Evaluator | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (4) Resource Developer | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (5) Transportation Coordinator | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (6) Administrative Services Analyst (SB 1039 Consumer Complaints) | 449,327 | 10.50 | 42,793 | 449,327 | 0 |
| (7) Developmental Center Liaison | 107,262 | 2.82 | 38,036 | 107,262 | 0 |
| (8) Diversion | 126,584 | 4.00 | 31,646 | 126,584 | 0 |
| (9) Placement Continuation: | | | | | |
| (a) Supervising Counselor | 1,572 | 0.04 | 52,392 | 2,096 | 524 |
| (b) CPC (Supplement at 1:45 Consumers) | 11,571 | 0.42 | 34,032 | 14,293 | 2,722 |

f. Special Incident Reporting (SIR)

| | | | | | |
|---------------------------|-----------|-------|--------|-----------|-------|
| (1) Supervising Counselor | 476,243 | 9.10 | 52,392 | 476,767 | 524 |
| (2) QA/CPC | 3,094,189 | 91.03 | 34,032 | 3,097,933 | 3,744 |
| (3) Nurses | 2,294,730 | 45.52 | 50,478 | 2,297,759 | 3,029 |

g. Mediation

| | | | | | |
|---------------------------|--------|------|--------|--------|---|
| (1) Clinical Staff | 7,093 | 0.11 | 64,484 | 7,093 | 0 |
| (2) Supervising Counselor | 52,916 | 1.01 | 52,392 | 52,916 | 0 |
| (3) CPC | 17,356 | 0.51 | 34,032 | 17,356 | 0 |

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

| | | | | | |
|-----------------------------|-----------|-------|--------|-----------|---|
| (1) ASD Clinical Specialist | 1,371,888 | 21.00 | 65,328 | 1,371,888 | 0 |
| (2) ASD Program Coordinator | 1,318,464 | 21.00 | 62,784 | 1,318,464 | 0 |

i. SUBTOTAL DIRECT SERVICES

| | | | | |
|----------------------|-----------------|--|----------------------|--------------------|
| \$377,443,393 | 9,987.47 | | \$379,175,386 | \$1,731,993 |
|----------------------|-----------------|--|----------------------|--------------------|

Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Governor's Budget to the 2019 May Revision

| | Governor's Budget 2019-20 | 2019 May Revision | | | Difference |
|---|---------------------------------|-------------------|--------------------|----------------------|--------------------|
| | | Positions | Budgeted Salary | Cost | |
| 2. ADMINISTRATION | | | | | |
| a. Executive Staff | | | | | |
| (1) Director | 1,279,698 | 21.00 | \$60,938 | 1,279,698 | \$0 |
| (2) Administrator | 1,009,449 | 21.00 | 48,069 | 1,009,449 | 0 |
| (3) Chief Counselor | 986,643 | 21.00 | 46,983 | 986,643 | 0 |
| b. Fiscal | | | | | |
| (1) Federal Program Coordinator (Enh. FFP, Phase I) | 1,206,177 | 21.00 | 57,437 | 1,206,177 | 0 |
| (2) Federal Compliance Specialist (Enh. FFP, Phase II) | 5,358,818 | 134.38 | 39,887 | 5,360,015 | 1,197 |
| (3) Fiscal Manager | 963,480 | 21.00 | 45,880 | 963,480 | 0 |
| (4) Program Tech II (FCPP) | 883,255 | 24.22 | 36,468 | 883,255 | 0 |
| (5) Revenue Clerk | 1,525,892 | 56.72 | 26,902 | 1,525,892 | 0 |
| (6) Account Clerk (Enh. FFP, Phase II) | 584,640 | 21.00 | 27,840 | 584,640 | 0 |
| (7) Account Clerk | 11,675,022 | 438.07 | 26,694 | 11,693,708 | 18,686 |
| c. Information Systems and Human Resources | | | | | |
| (1) Information Systems Manager | 1,397,844 | 21.00 | 66,564 | 1,397,844 | 0 |
| (2) Information Systems Assistant | 1,000,692 | 21.00 | 47,652 | 1,000,692 | 0 |
| (3) Information Systems Assistant (SIR) | 500,346 | 10.50 | 47,652 | 500,346 | 0 |
| (4) Privacy Officer (HIPAA) | 898,653 | 21.00 | 42,793 | 898,653 | 0 |
| (5) Personal Computer Systems Manager | 1,397,844 | 21.00 | 66,564 | 1,397,844 | 0 |
| (6) Training Officer | 1,099,728 | 21.00 | 52,368 | 1,099,728 | 0 |
| (7) Training Officer (SIR) | 549,864 | 10.50 | 52,368 | 549,864 | 0 |
| (8) Human Resources Manager | 1,067,724 | 21.00 | 50,844 | 1,067,724 | 0 |
| d. Clerical Support | | | | | |
| (1) Office Supervisor | 555,408 | 21.00 | 26,448 | 555,408 | 0 |
| (2) PBX/Mail/File Clerk | 1,681,544 | 63.00 | 26,691 | 1,681,544 | 0 |
| (3) Executive Secretary | 1,401,287 | 52.50 | 26,691 | 1,401,287 | 0 |
| (4) MD/Psychologist Secretary II | 421,682 | 16.28 | 26,438 | 430,407 | 8,725 |
| (5) MD/Psychologist Secretary I | 7,004,567 | 262.84 | 26,691 | 7,015,510 | 10,943 |
| (6) Secretary II | 5,038,246 | 191.58 | 26,438 | 5,064,948 | 26,702 |
| (7) Secretary I | 36,668,861 | 1,354.36 | 27,214 | 36,857,454 | 188,593 |
| (8) Secretary I (DC Case Management - Capitol People First) | 210,834 | 6.62 | 31,848 | 210,834 | 0 |
| e. SUBTOTAL ADMINISTRATION | \$86,368,198 | 2,894.57 | | \$86,623,044 | \$254,846 |
| 3. TOTAL POSITIONS AND SALARIES | | | | | |
| (Item A.1.i. + Item A.2.e.) | \$463,811,591 | 12,882.04 | | \$465,798,430 | \$1,986,839 |
| a. CPCs | 220,065,088 | | | 220,365,124 | 300,036 |
| b. All Other Staff | 243,746,503 | | | 245,433,306 | 1,686,803 |
| 4. Fringe Benefits | | | | | |
| a. CPCs 23.7% | 52,155,426 | | | 52,226,534 | \$71,108 |
| b. All Other Staff 23.7% | 57,767,921 | | | 58,167,694 | 399,773 |
| c. Total Fringe Benefits | \$109,923,347 | | | \$110,394,228 | \$470,881 |
| 5. Salary Savings | | | | | |
| a. CPCs 1.0% | -2,722,205 | | | -2,725,917 | -\$3,712 |
| b. All Other Staff 5.5% | -16,583,296 | | | -16,698,055 | -114,759 |
| c. Total Salary Savings | -\$19,305,501 | | | -\$19,423,972 | -\$118,471 |
| 6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings) | \$694,000 | | | \$694,000 | \$0 |
| 7. TOTAL PERSONAL SERVICES | | | | | |
| (Items A.3. + A.4. + A.5. + A.6.) | \$555,123,437 | | | \$557,462,686 | \$2,339,249 |
| ROUNDED | \$555,123,000 | 12,882.00 | | \$557,463,000 | \$2,340,000 |
| B. OPERATING EXPENSES AND RENT | | | | | |
| 1. Operating Expenses | 48,385,000 | | | 48,574,000 | 189,000 |
| 2. Rent | 72,539,000 | | | 73,407,000 | 868,000 |
| 3. Subtotal Operating Expenses and Rent | \$120,924,000 | | | \$121,981,000 | \$1,057,000 |
| C. TOTAL CORE STAFFING (Items A.7. + B.3.) | \$676,047,000 | | | \$679,444,000 | \$3,397,000 |

Attachment B
CORE STAFFING FORMULAS

| CORE STAFFING CLASSIFICATION | STAFFING FORMULA |
|--|---|
| A. <u>PERSONAL SERVICES</u> | |
| 1. DIRECT SERVICES | |
| a. <u>Clinical</u> | |
| (1) <u>Intake and Assessment</u> | |
| (a) Physician (minimum of 1) | 1.0 position : 2,000 total consumers |
| (b) Psychologist | 1.0 position : 1,000 total consumers |
| (c) Nurse (minimum of 1) | 1.0 position : 2,000 total consumers |
| (d) Nutritionist (minimum of 1) | 1.0 position : 2,000 total consumers |
| (2) <u>Clinical Support Teams</u> | |
| (a) Physician/Psychiatrist | 1.0 position : 1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems |
| (b) Consulting Pharmacist | 1.0 position : 1,700 " " |
| (c) Behavioral Psychologist | 1.0 position : 1,700 " " |
| (d) Nurse | 1.0 position : 1,700 " " |
| (3) <u>SB 1038 Health Reviews</u> | |
| (a) Physician | 1.5 hours : Referral/1,778 hrs./ full-time equivalent (FTE) position |
| (b) Nurse | 1.75 hours : Individual program plan (IPP) review/1,778 hrs./FTE position |
| b. <u>Intake/Case Management</u> | |
| (1) Supervising Counselor: Intake | 1.0 position : 10 Intake Workers |
| (2) Intake Worker | 1.0 position : 14 monthly intake cases (assume average intake case lasts 2 mos.) |
| (3) Supervising Counselor: Case Management | 1.0 position : 10 CPCs in Items b.(6, 7 and 8) below |
| (4) Supervising Counselor: Capitol People First | 1.0 position : 10 CPCs in Items b.(5) below |
| (5) Client Program Coordinator (CPC) Capitol People First | 1.0 position : 66 consumers (Developmental Center residents) |
| (6) CPC | 1.0 position : 66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements) |
| (7) CPC | 1.0 position : 62 Waiver and Early Start consumers (excluding CPP placements) |
| (8) CPC, Quality Assurance for Alternative Residential Model | 1.0 position : 527 CCF consumers |
| (9) Supervising Counselor: DSS Incidental Medical Care Regulations | 1.0 position : 10 CPCs in item b.(10) below |
| (10) CPC, DSS Incidental Medical Care Regulations | 1.0 position : 2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living |

Attachment B
CORE STAFFING FORMULAS

| CORE STAFFING CLASSIFICATION | STAFFING FORMULA |
|---|--|
| A. <u>PERSONAL SERVICES (continued)</u> | |
| 1. <u>DIRECT SERVICES (continued)</u> | |
| c. <u>Quality Assurance/Quarterly Monitoring</u> | |
| (1) Supervising Counselor | 1.0 position : 10 CPCs in Item c.(2) below |
| (2) CPC | 10 hrs/yr. : CCF consumer/1,778 hrs./FTE |
| | 14 hrs/yr. : Supported/Independent Living consumer/1,778 hrs./FTE |
| | 10 hrs/yr. : Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE |
| | 10 hrs/yr. : Family Home Agency consumer/1,778 hrs./FTE |
| d. <u>Early Intervention</u> | |
| (1) <u>General</u> | |
| (a) Prevention Coordinator | 1.0 position : RC |
| (b) High-Risk Infant Case Mgr. | 1.0 position : RC |
| (c) Genetics Associate | 1.0 position : RC |
| (2) <u>Early Start/Part C</u> | |
| (a) Supervising Counselor | 1.0 position : 10 CPCs in Item d.(2)(b) below |
| (b) CPC: | |
| Marginal positions from: | 1.0 position : 62 children<age 3yrs. |
| to: | 1.0 position : 45 children<age 3yrs.* |
| e. <u>Community Services</u> | |
| (1) Special Incident Coordinator | 1.0 position : RC |
| (2) Vendor Fiscal Monitor | 0.5 position : RC plus 1: every 3,140 vendors |
| (3) Program Evaluator | 1.0 position : RC |
| (4) Resource Developer | 1.0 position : RC |
| (5) Transportation Coordinator | 1.0 position : RC |
| (6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997) Consumer Complaints | 0.5 position : RC |
| (7) Developmental Center Liaison | 1.0 position : 400 DC consumers |
| (8) Diversion | 4.0 positions : 21 RCs |
| (9) Placement Continuation | |
| (a) Supervising Counselor | 1.0 position : 10 CPCs in Item e.(9)(b) below |
| (b) CPC: | |
| 1. Marginal positions from: | 1.0 position : 62 CPP Placements |
| 2. to: | 1.0 position : 45 CPP Placements |

* Note: This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B**CORE STAFFING FORMULAS**

| CORE STAFFING CLASSIFICATION | STAFFING FORMULA |
|--|---|
| A. <u>PERSONAL SERVICES (continued)</u> | |
| 1. <u>DIRECT SERVICES (continued)</u> | |
| f. <u>Special Incident Reporting (SIR)</u> | |
| (1) Supervising Counselor | 1.0 position : 10 CPCs in Item f. (2) below |
| (2) QA/CPC | 1.0 position : RC plus 1: every 5,000 consumers |
| (3) Nurse | 0.5 position : RC plus 0.5: every 5,000 consumers |
| g. <u>Mediation</u> | |
| (1) Clinical Staff | 2.0 hours : 25% of annual mediations/ 1,778 hrs /FTE position |
| (2) Supervising Counselor | 4.5 hours : Mediation/1,778 hrs/FTE position |
| (3) CPC | 4.5 hours : 50% of annual mediations/ 1,778 hrs./FTE position |
| h. <u>Expansion of Autism Spectrum Disorders (ASD) Initiative</u> | |
| (1) ASD Clinical Specialist (effective January 1, 2007) | 1.0 position : RC |
| (2) ASD Program Coordinator (effective January 1, 2007) | 1.0 position : RC |
| 2. <u>ADMINISTRATION</u> | |
| a. <u>Executive Staff</u> | |
| (1) Director | 1.0 position : RC |
| (2) Administrator | 1.0 position : RC |
| (3) Chief Counselor | 1.0 position : RC |
| b. <u>Fiscal</u> | |
| (1) Federal Program Coordinator (Enhancing FFP, Phase I) | 1.0 position : RC |
| (2) Federal Compliance Specialist (Enhancing FFP, Phase II) | 1.0 position : 1,000 HCBS Waiver consumers |
| (3) Fiscal Manager | 1.0 position : RC |
| (4) Program Technician II, FCPP | 0.5 position : RC |
| | 1.0 position : 1,778 hours of FCPP determinations |
| (5) Revenue Clerk | 1.0 position : 400 consumers for whom RCs are representative payee |
| (6) Account Clerk (Enhancing FFP, Phase II) | 1.0 position : RC |
| (7) Account Clerk | 1.0 position : 800 total consumers |
| c. <u>Information Systems and Human Resources</u> | |
| (1) Information Systems Manager | 1.0 position : RC |
| (2) Information Systems Assistant | 1.0 position : RC |
| (3) Information Systems Assistant, SIR | 0.5 position : RC |
| (4) Privacy Officer, HIPAA | 1.0 position : RC |
| (5) Personal Computer Systems Manager | 1.0 position : RC |
| (6) Training Officer | 1.0 position : RC |
| (7) Training Officer, SIR | 0.5 position : RC |
| (8) Human Resources Manager | 1.0 position : RC |

Attachment B
CORE STAFFING FORMULAS

| CORE STAFFING CLASSIFICATION | STAFFING FORMULA |
|--|--|
| A. <u>PERSONAL SERVICES (continued)</u> | |
| 2. <u>ADMINISTRATION (continued)</u> | |
| d. <u>Clerical Support</u> | |
| (1) Office Supervisor | 1.0 position : RC |
| (2) PBX/Mail/File Clerk | 3.0 positions : RC |
| (3) Executive Secretary | 2.5 positions : RC |
| (4) MD/Psychologist Secretary II | 1.0 position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews |
| (5) MD/Psychologist Secretary I | 1.0 position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment |
| (6) Secretary II | 1.0 position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/ Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secty I, line 1.e.(9) b 2.,) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources |
| (7) Secretary I | 1.0 position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secty II, line 1.e.(9) b 1.,) |
| (8) Secretary I (DC Case Management Capitol People First) | 1.0 position : 6 CPCs and Supervisors |

Attachment C**Regional Center Rent**

| Regional Center | 2018-19₁ | 2019-20₂ | Difference | % Change | Footnote |
|------------------------|----------------------------|----------------------------|---------------------------|-----------------|-----------------|
| Alta | \$2,985,507 | \$3,340,554 | \$355,048 | 11.89% | a |
| Central Valley | 3,381,395 | 3,479,179 | 97,784 | 2.89% | |
| East Bay | 4,283,502 | 4,566,353 | 282,850 | 6.60% | a |
| East LA | 3,766,145 | 3,858,337 | 92,193 | 2.45% | |
| Far Northern | 1,331,868 | 1,324,639 | -7,229 | -0.54% | |
| Golden Gate | 2,620,482 | 3,367,850 | 747,368 | 28.52% | a |
| Harbor | 4,415,749 | 4,673,440 | 257,690 | 5.84% | |
| Inland | 7,333,006 | 7,539,931 | 206,925 | 2.82% | |
| Kern | 1,922,038 | 2,144,674 | 222,635 | 11.58% | a |
| Lanternman | 2,384,573 | 2,495,633 | 111,060 | 4.66% | |
| North Bay | 1,758,098 | 1,776,681 | 18,583 | 1.06% | |
| North LA | 3,936,702 | 4,264,010 | 327,308 | 8.31% | |
| Orange | 3,694,175 | 3,460,429 | -233,746 | -6.33% | |
| Redwood | 1,021,375 | 992,342 | -29,033 | -2.84% | a |
| San Andreas | 3,151,390 | 3,279,047 | 127,657 | 4.05% | |
| San Diego | 4,479,142 | 4,979,711 | 500,569 | 11.18% | a |
| San Gabriel | 2,643,500 | 2,645,450 | 1,950 | 0.07% | |
| South Central | 5,396,605 | 6,301,901 | 905,296 | 16.78% | a |
| Tri Counties | 4,162,640 | 4,484,023 | 321,384 | 7.72% | a |
| Valley Mt. | 2,452,000 | 2,591,671 | 139,671 | 5.70% | a |
| Westside | <u>1,926,035</u> | <u>1,840,693</u> | <u>-85,342</u> | <u>-4.43%</u> | |
| Total | <u>\$69,045,927</u> | <u>\$73,406,547</u> | <u>\$4,360,620</u> | 6.32% | |
| TOTAL ROUNDED | <u>\$69,046,000</u> | <u>\$73,407,000</u> | <u>\$4,361,000</u> | 6.32% | |

Footnotes:

₁ Actual rent funds allocated per the E-1 and E-2 contracts. As well as funds that have yet to be allocated dependent on occupation of new space.

₂ Rent requested by the regional centers based on the 2019 May Revision Rent Survey, as approved by the Department.

a: Increased costs due to annual rent escalation plus regional center's estimate of additional square footage required to house new staff and/or meet operational needs. The Department will verify costs and review leasing documents to confirm fair market value before allocating additional funds to the regional centers.

Agnews Ongoing Workload

- **Community Placement Plan Staffing:**

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| • Unified Operations Costs: | | |
| • Personal Services: | | |
| Quality Assurance/Management (6.5 positions) | \$503 | \$0 |
| Health Care Community Specialist (4 positions) | 503 | 0 |
| Total Personal Services: | \$1,006 | \$0 |
| • Operating Expenses: | \$28 | \$0 |
| • State Employees in the Community: | | |
| Personal Services (13.4 positions) | 1,194 | 0 |
| Operating Expenses | 74 | 0 |
| Total State Employees in the Community: | \$1,268 | \$0 |
| • Placement Continuation Staffing: | | |
| Nurse Consultant (3 positions) | 356 | 0 |
| Oral Health Care Consultant (3 positions) | 236 | 0 |
| Total Placement Continuation Staffing: | \$592 | \$0 |
| • Total: | \$2,894 | \$0 |

FUNDING:

Funding for Agnews Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

| EXPENDITURES: | <u>2018-19</u> | <u>2019-20</u> |
|----------------------|----------------|----------------|
| TOTAL | \$2,894 | \$0 |
| GF | 1,442 | 0 |
| Reimbursements | 1,452 | 0 |

Lanterman Developmental Center Closure/Ongoing Workload

Community Placement Plan Staffing:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| • Personal Services: | | |
| Quality Assurance/Management (3 positions) | \$255 | \$0 |
| Oral Health Care Consultant (8 positions) | 624 | 0 |
| Nurse Consultant (5 positions) | 425 | 0 |
| Health Care Community Specialist (8 positions) | 1,006 | 0 |
| Total Personal Services: | \$2,310 | \$0 |
| • Operating Expenses: | \$82 | \$0 |
| • Grand Total: | \$2,392 | \$0 |

FUNDING:

Funding for Lanterman Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

| EXPENDITURES: | <u>2018-19</u> | <u>2019-20</u> |
|----------------------|----------------|----------------|
| TOTAL | \$2,392 | \$0 |
| GF | 999 | 0 |
| Reimbursements | 1,393 | 0 |

Federal Compliance

DESCRIPTION:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

ASSUMPTIONS/METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| <ul style="list-style-type: none"> HCBS Waiver: <p>Operations costs for HCBS Waiver activities in 2018-19 and 2019-20 are based upon 6.5% of 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth.</p> | \$21,135 | \$21,135 |
| <ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: <p>Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports.</p> | 8,700 | 8,700 |

Federal Compliance

| ASSUMPTIONS/METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| <ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: <p>In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the waiver participant to case manager ratio of 62:1 is consistently met." This augmentation by the California Legislature is intended to assist in this.</p> | 12,294 | 12,581 |
| <ul style="list-style-type: none"> TCM: <p>Operations costs for TCM activities in 2018-19 and 2019-20 are based upon 5.8% of 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth.</p> | 4,129 | 4,129 |
| <ul style="list-style-type: none"> NHR/Pre-Admission Screening and Resident Review (PASRR): <p>Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. (100% GF)</p> | 473 | 473 |

Federal Compliance

| ASSUMPTIONS/METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| <ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS Services: <p>Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. (Funding is 50% HCBS Waiver Administration, 50% GF)</p> <p>Funding: \$492,000 GF Match, \$492,000 HCBS Waiver Administration.</p> | 984 | 984 |
| <ul style="list-style-type: none"> EXPENDITURES: | \$47,715 | \$48,002 |

FUNDING:

The funding for Federal Compliance is comprised of reimbursements from: Medicaid Administration (75% FFP, 25% GF Match), HCBS Waiver Administration (50% FFP, 50% GF Match), and TCM (50% FFP, 50% GF Match).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor increase in current year reflects an increase in Case Managers to Meet HCBS Waiver Requirements.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor increase in budget year reflects an increase in Case Managers to Meet HCBS Waiver Requirements.

| EXPENDITURES: | <u>2018-19</u> | <u>2019-20</u> |
|----------------------|-----------------------|-----------------------|
| TOTAL | \$47,715 | \$48,002 |
| GF | 35,315 | 35,458 |
| Reimbursements | 12,400 | 12,544 |

Projects

DESCRIPTION:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

ASSUMPTIONS/METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|---|---------------------------|---------------------------|
| <ul style="list-style-type: none"> Information Technology Costs: <ul style="list-style-type: none"> Regional Center Application Support: Data Processing: | \$3,797 2,547 1,250 | \$4,112 2,862 1,250 |
| <ul style="list-style-type: none"> Clients' Rights Advocacy: <p>The Department contracts for clients' rights advocacy services for regional center consumers, of which 46% of the costs are eligible for Home and Community Based Services (HCBS) Waiver Administration: 50% GF Match / 50% federal financial participation (FFP). Contract amount shown does not reflect an additional \$21,155 increase for administrative costs per Assembly Bill (AB) X2 1. Budget year reflects an adjustment to account for updated caseload.</p> | 7,118 | 7,483 |
| <ul style="list-style-type: none"> Quality Assessment Contract: <p>The Quality Assessment Project, as required by the Welfare and Institutions (W&I) Code, Section 4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. The current year increase from the May Revision is due to amendments requested to execute the current contract. Previous estimates have been short and the budget year increase is due to anticipation of additional needed funds to continue operation levels.</p> | 4,030 | 4,500 |

Projects

| ASSUMPTIONS/METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| <ul style="list-style-type: none"> Direct Support Professional Training: W&I Code Section 4695.2 mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 88% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. | 3,037 | 3,037 |
| <ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and their consumers, and (2) provide mediation services. Section 4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended; 46% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year increased due to a new contract request. | 3,350 | 3,525 |
| <ul style="list-style-type: none"> Wellness Projects: W&I Code Sections 4696 and 4646.5 identify areas that can be fulfilled through Wellness Projects. Typically, projects generally fall into the following categories: health professional training programs, medication reviews, health assessments, specialty clinics, telemedicine, resource development for persons with a dual diagnosis, training programs for parents and consumers, and dental health programs and services. | 100 | 100 |
| <ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. | 3,765 | 3,864 |

Projects

| ASSUMPTIONS/METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| <ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: <p>Beginning in 2001-02, the Legislature appropriated funds to the Department and regional centers to develop a comprehensive risk management system to enhance the health, safety, and well-being of individuals served by regional centers, and to implement preventive strategies and interventions to mitigate such risks. The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on Developmental Center transitions to the Center for Medi-Cal and Medicaid; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 46% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year reflects an increase to expand the level of contracted services.</p> | 1,200 | 1,200 |
| <ul style="list-style-type: none"> Increased Access to Mental Health Services: <p>Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 100% of costs are funded by the Mental Health Services Fund.</p> | 740 | 740 |
| <ul style="list-style-type: none"> Sherry S. Court Case: <p>In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions.</p> | 38 | 10 |
| <ul style="list-style-type: none"> 2003-04 FFP Enhancement, Phase II: <p>These costs are associated with legal support for federal program activities.</p> | 500 | 500 |

Projects

| ASSUMPTIONS/METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| <ul style="list-style-type: none"> Affordable Housing: The amounts budgeted represent funds that DDS pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the Coffelt Settlement (1994). The budget year increase is due to escrow fees and other real estate items for CPP housing development. The contract has been renewed for 2019-20. | 85 | 125 |
| <ul style="list-style-type: none"> Review of Senate Bill (SB) 1175 Housing Proposals: Pursuant to Chapter 617, Statutes of 2008, (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing DDS' costs associated with conducting the review and approval of housing proposals. | 150 | 150 |
| <ul style="list-style-type: none"> Extension of Denti-Cal Infrastructure for Regional Center Funded Dental: This project allows the regional center to use the Department of Health Care Services (DHCS) system for reviewing treatment plans and approving claims for dental services consistent with the DHCS Denti-Cal program. Current year reflects the funds needed to process claims from actual services that occurred prior to the restoration of Denti-Cal services. | 2 | - |
| <ul style="list-style-type: none"> TOTAL EXPENDITURES: | \$27,912 | \$29,346 |

Projects

FUNDING:

Funding for Project expenditures is comprised of General Fund (GF), reimbursements from the Home and Community-Based Waiver Administration, the Developmental Disabilities Services Account, the Mental Health Services Fund, and Federal Funds from the FG/SC Programs.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

Current year expenditures incur a minor decrease resulting from Sherry S. Court Case.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

Budget year expenditures are estimated to have a minor increase comprising increases in Regional Center Application Support for Information Technology Costs and Affordable Housing offset by a decrease in Foster Grandparent/Senior Companion and Sherry S. Court Case.

| EXPENDITURES: | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| TOTAL | \$27,912 | \$29,346 |
| GF | 21,082 | 22,190 |
| Reimbursements | 4,940 | 5,172 |
| Developmental Disabilities Services Account | 150 | 150 |
| Mental Health Services Fund | 740 | 740 |
| Federal Funds | 1,000 | 1,094 |

**Quality Assurance Fees
Regional Center Costs and Intermediate Care
Facility-Developmentally Disabled Vendor Costs
Operations**

DESCRIPTION:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of Day Program and Transportation.

2018-19 and 2019-20: Total billing costs are \$1.8 million for regional center administration.

Purchase of Services costs for Day Program and Transportation in calendar year 2018 totals \$121.7 million, of which 1.5% equals \$1.8 million.

FUNDING:

The Federal Medical Assistance Percentages establishes the FFP for expenditures associated with Operations, which is 50% for 2018-19 and 2019-20.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated actual expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated actual expenditures.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|----------------|----------------|----------------|
| Total | \$1,825 | \$1,825 |
| General Fund | 912 | 912 |
| Reimbursements | 913 | 913 |

Operations Funding Detail

| | Governor's Budget | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|---------------------------|----------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| Staffing | | | | | | | |
| TOTAL | \$616,643 | \$617,634 | \$991 | \$651,610 | \$655,017 | \$37,383 | \$3,407 |
| GF | \$384,329 | \$382,854 | (\$1,475) | \$409,523 | \$409,732 | \$26,878 | \$209 |
| GF Match | 224,190 | 226,656 | 2,466 | 233,095 | 236,292 | 9,636 | 3,197 |
| GF Other | 160,139 | 156,198 | (3,941) | 176,428 | 173,440 | 17,242 | (2,988) |
| Reimbursements | \$232,314 | \$234,780 | \$2,466 | \$242,087 | \$245,285 | \$10,505 | \$3,198 |
| Medicaid Admin | 10,668 | 10,668 | 0 | 12,354 | 12,354 | 1,686 | 0 |
| HCBS Waiver Admin | 5,506 | 5,506 | 0 | 6,665 | 6,665 | 1,159 | 0 |
| TCM | 208,003 | 210,469 | 2,466 | 214,931 | 218,129 | 7,660 | 3,198 |
| TCM Admin | 8,137 | 8,137 | 0 | 8,137 | 8,137 | 0 | 0 |
| Federal Compliance | | | | | | | |
| TOTAL | \$47,711 | \$47,715 | \$4 | \$47,964 | \$48,002 | \$287 | \$38 |
| GF | \$35,313 | \$35,315 | \$2 | \$35,439 | \$35,458 | \$143 | \$19 |
| GF Match | 11,099 | 11,101 | 2 | 11,226 | 11,245 | 144 | 19 |
| GF Other | 24,214 | 24,214 | 0 | 24,213 | 24,213 | (1) | 0 |
| Reimbursements | \$12,398 | \$12,400 | \$2 | \$12,525 | \$12,544 | \$144 | \$19 |
| HCBS Waiver Admin | 1,203 | 1,203 | 0 | 1,203 | 1,203 | 0 | 0 |
| Medicaid Admin | 1,950 | 1,950 | 0 | 1,950 | 1,950 | 0 | 0 |
| TCM | 9,245 | 9,247 | 2 | 9,372 | 9,391 | 144 | 19 |

Operations Funding Detail

| | Governor's Budget | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|--|----------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| Projects | | | | | | | |
| TOTAL | \$27,933 | \$27,912 | (\$21) | \$29,273 | \$29,346 | \$1,434 | \$73 |
| GF | \$21,003 | \$21,082 | \$79 | \$22,114 | \$22,190 | \$1,108 | \$76 |
| GF Match | 4,940 | 4,940 | 0 | 5,170 | 5,173 | 233 | 3 |
| GF Other | 16,063 | 16,142 | 79 | 16,944 | 17,017 | 875 | 73 |
| Reimbursements | \$4,940 | \$4,940 | \$0 | \$5,169 | \$5,172 | \$232 | \$3 |
| HCBS Waiver Admin | 4,940 | 4,940 | 0 | 5,169 | 5,172 | 232 | 3 |
| Developmental Disabilities Services Account | 150 | 150 | \$0 | 150 | 150 | \$0 | \$0 |
| Mental Health Services Fund | 740 | 740 | \$0 | 740 | 740 | \$0 | \$0 |
| Federal Funds | \$1,100 | \$1,000 | (\$100) | \$1,100 | \$1,094 | \$94 | (\$6) |
| Agnews Ongoing Workload | | | | | | | |
| TOTAL | \$2,894 | \$2,894 | \$0 | \$0 | \$0 | (\$2,894) | \$0 |
| GF | \$1,442 | \$1,442 | \$0 | \$0 | \$0 | (\$1,442) | \$0 |
| GF Match | 1,099 | 1,099 | 0 | 0 | 0 | (1,099) | 0 |
| GF Other | 343 | 343 | 0 | 0 | 0 | (343) | 0 |
| Reimbursements | \$1,452 | \$1,452 | \$0 | \$0 | \$0 | (\$1,452) | \$0 |
| HCBS Waiver Admin | 538 | 538 | 0 | 0 | 0 | (538) | 0 |
| Medicaid Admin | 914 | 914 | 0 | 0 | 0 | (914) | 0 |

Operations Funding Detail

| Governor's Budget | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|----------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
|----------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|

Lanterman Developmental Center Closure/Ongoing Workload

| | | | | | | | |
|-----------------------|----------------|----------------|------------|------------|------------|------------------|------------|
| TOTAL | \$2,392 | \$2,392 | \$0 | \$0 | \$0 | (\$2,392) | \$0 |
| GF | \$999 | \$999 | \$0 | \$0 | \$0 | (\$999) | \$0 |
| GF Match | 878 | 878 | 0 | 0 | 0 | (878) | 0 |
| GF Other | 121 | 121 | 0 | 0 | 0 | (121) | 0 |
| Reimbursements | \$1,393 | \$1,393 | \$0 | \$0 | \$0 | (\$1,393) | \$0 |
| HCBS Waiver Admin | 621 | 621 | 0 | 0 | 0 | (621) | 0 |
| Medicaid Admin | 772 | 772 | 0 | 0 | 0 | (772) | 0 |

Intermediate Care Facility-Developmentally Disabled - Admin Fees

| | | | | | | | |
|------------------------------|----------------|----------------|---------------|----------------|----------------|------------|---------------|
| TOTAL | \$1,843 | \$1,825 | (\$18) | \$1,843 | \$1,825 | \$0 | (\$18) |
| GF | \$921 | \$912 | (\$9) | \$921 | \$912 | \$0 | (\$9) |
| GF Match | 921 | 912 | (9) | 921 | 912 | 0 | (9) |
| Reimbursements | \$922 | \$913 | (\$9) | \$922 | \$913 | \$0 | (\$9) |
| FFP (Quality Assurance Fees) | 922 | 913 | (9) | 922 | 913 | 0 | (9) |

Operations Funding Detail

| | Governor's Budget | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|--|------------------------------|----------------------------|----------------------------|--|----------------------------|-------------------------------------|----------------------------|
| Total Operations Funding Detail | | | | | | | |
| TOTAL | \$699,416 | \$700,372 | \$956 | \$730,690 | \$734,190 | \$33,818 | \$3,500 |
| GF | \$444,007 | \$442,604 | (\$1,403) | \$467,997 | \$468,292 | \$25,688 | \$295 |
| GF Match | 243,127 | 245,586 | 2,459 | 250,412 | 253,622 | 8,036 | 3,210 |
| GF Other | 200,880 | 197,018 | (3,862) | 217,585 | 214,670 | 17,652 | (2,915) |
| Reimbursements | \$253,419 | \$255,878 | \$2,459 | \$260,703 | \$263,914 | \$8,036 | \$3,211 |
| HCBS Waiver Admin | 12,808 | 12,808 | 0 | 13,037 | 13,040 | 232 | 3 |
| Medicaid Admin | 14,304 | 14,304 | 0 | 14,304 | 14,304 | 0 | 0 |
| TCM | 217,248 | 219,716 | 2,468 | 224,303 | 227,520 | 7,804 | 3,217 |
| TCM Admin | 8,137 | 8,137 | 0 | 8,137 | 8,137 | 0 | 0 |
| FFP (Quality Assurance Fees) | 922 | 913 | (9) | 922 | 913 | 0 | (9) |
| Developmental Disabilities Services Account | 150 | 150 | 0 | 150 | 150 | 0 | \$0 |
| Mental Health Services Fund | 740 | 740 | 0 | 740 | 740 | 0 | \$0 |
| Federal Funds | \$1,100 | \$1,000 | (\$100) | \$1,100 | \$1,094 | \$94 | (\$6) |

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SECTION G: PURCHASE OF SERVICES

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Community Care Facilities

DESCRIPTION:

Regional centers contract with vendors of facilities licensed by the Department of Social Services (DSS) to provide 24-hour residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustenance of daily living activities.

ASSUMPTIONS:

Community Care Facilities (CCF) Consumer and Expenditure Data Source: State Claims Data file, dated February 2019 with actuals through November 2018. Data was adjusted for lag based on historical data.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received by persons in CCFs from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP (an estimated 94.9% of persons in CCFs), the regional centers fund only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget. This factor is incorporated in the CCF estimate.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|--------------------|--------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$1,436,602 | \$1,508,765 |
| Total Utilization Change/Growth: | 72,163 | 75,821 |
| Subtotal Base and Growth | \$1,508,765 | \$1,584,586 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 17,675 | 16,784 |

Community Care Facilities

METHODOLOGY (continued):

| | <u>2018-19</u> | <u>2019-20</u> |
|--|--------------------|--------------------|
| SSI/SSP Increases Effective January 1, 2019 and January 1, 2020: The SSI/SSP rate increase, effective January 1, 2019, is estimated to increase from \$1,039 to \$1,058 per month. The SSI/SSP rate increase, effective January 1, 2020, is estimated to increase from \$1,058 to \$1,075 per month. An increase in these rates results in a decrease in amounts paid by the Department. The SSI/SSP pass through includes Care and Supervision, and Room and Board, excluding Personal and Incidental Needs. | -\$3,935 | -\$4,400 |
| Continuation Costs: Second year costs for Developmental Center movers. | 55,877 | 62,062 |
| TOTAL EXPENDITURES | \$1,578,382 | \$1,659,032 |

FUNDING:

CCF expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915 (i) State Plan Amendment (SPA), Intermediate-Care Facility-Developmentally Disabled (ICF-DD), and Temporary Assistance to Needy Families. Based on actual 2017-18 billing data, approximately 81% of CCF expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation (FFP) and 50% General Fund (GF) match.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Current Year net decrease of \$3.7 million (\$17.5 million GF decrease) reflects a \$1.6 million decrease in the Base, a slight decrease in estimated Utilization and Growth, a \$1.7 million decrease in Community Placement Plan, and a slight increase in SSI/SSP savings.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year net decrease of \$3.9 million (\$20.8 million GF decrease) reflects a \$1.7 million decrease in the Base, a slight decrease in estimated Utilization and Growth, a \$1.6 million decrease in Community Placement Plan, and a slight increase in SSI/SSP savings.

Community Care Facilities

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------------|-----------------------|
| TOTAL | \$1,578,382 | \$1,659,032 |
| GF | 900,445 | 947,124 |
| Reimbursement | 677,937 | 711,908 |

Medical Facilities

DESCRIPTION:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

ASSUMPTIONS:

Assumptions regarding caseload and facility growth for 2018-19 and 2019-20 are based on the 2019 Regional Center Spring Survey. Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------|-----------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. | \$14,830 | \$15,088 |
| Total Utilization Change/Growth: | 258 | 263 |
| Subtotal Base and Growth | \$15,088 | \$15,351 |
| Gap Resource Development (ICF-DD): | 345 | 345 |

Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.

It is assumed that three Health facilities will require gap funding for two consumers each for not more than 60 days.

Medical Facilities

METHODOLOGY (continued):

| | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------|-----------------|
| Community Placement Plan: See Community Placement Plan methodology for detail. | 355 | 337 |
| Continuation Costs: Second year costs for Developmental Center movers. | 389 | 432 |
| TOTAL EXPENDITURES | \$16,177 | \$16,465 |

FUNDING:

Medical Facility expenditures are funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Current Year net increase of \$1.1 million (\$1.1 million GF) reflects a slight increase in the Base, and slight increases in Utilization and Growth, Gap Resource Development, and Community Placement Plan.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year net increase of \$1.4 million (\$1.4 million GF) reflects an increase in the Base, and slight increases in Utilization and Growth, Gap Resource Development, and Community Placement Plan.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|--------------|-----------------|-----------------|
| TOTAL | \$16,177 | \$16,465 |
| GF | 16,177 | 16,465 |

Day Programs

DESCRIPTION:

Day Program services may be at a fixed location or out in the community. Types of services available through a Day Program include:

- Self-help and self-care skill development.
- Interaction and communication skills development.
- Self-advocacy and employment skills development.
- Community integration skill development.
- Behavior management skill development.
- Social and recreational skill development.

ASSUMPTIONS:

Day Program Consumer and Expenditure Data Source: State Claims Data file, dated February 2019 with actuals through November 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|--------------------|--------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$1,086,177 | \$1,119,648 |
| Total Utilization Change/Growth: | 33,471 | 34,503 |
| Subtotal Base and Growth | \$1,119,648 | \$1,154,151 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 293 | 278 |
| Continuation Costs: Second year costs for Developmental Center movers. | 1,923 | 2,136 |
| TOTAL EXPENDITURES | \$1,121,864 | \$1,156,565 |

Day Programs

FUNDING:

Day Program expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate Care Facility-Developmentally Disabled (ICF-DD), Early Periodic Screening Diagnosis and Treatment (EPSDT), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 54% of Day Program expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and EPSDT, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased by \$1 million (\$0.9 million GF decrease) due to a slight increase in both the Base and Utilization and Growth, offset by a slight decrease in Community Placement Plan. The increase in Utilization and Growth reflects updated actual expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increased by a net \$1.3 million (\$1.7 million GF decrease). This is due to a slight increase in Utilization and Growth, offset by a slight decrease in Community Placement Plan.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|--------------------|--------------------|
| TOTAL | \$1,121,864 | \$1,156,565 |
| GF | 621,983 | 639,794 |
| Reimbursement | 481,591 | 498,846 |
| Federal Funds | 18,290 | 17,925 |

Habilitation Work Activity Program

DESCRIPTION:

Work Activity Program (WAP) services are provided, for the most part, in a sheltered setting, and may include work experiences in integrated group settings within the community.

ASSUMPTIONS:

WAP Expenditure Data Source: State Claims file dated February 2019 with actuals through November 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------|-----------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$43,034 | \$38,665 |
| Total Utilization Change/Growth: | -4,369 | -3,925 |
| Subtotal Base and Growth | \$38,665 | \$34,740 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 5 | 5 |
| Continuation Costs: Second year costs for Developmental Center movers. | 12 | 13 |
| TOTAL EXPENDITURES | \$38,682 | \$34,758 |

Habilitation Work Activity Program

FUNDING:

WAP Service expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2017-18 billing data, approximately 63% of WAP expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by \$0.3 million (\$0.3 million GF decrease) due to a slight decrease in the Base, offset by a slight increase in Utilization and Growth.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year estimate decrease of \$0.3 million (\$0.4 million GF decrease) is offset by a slight increase in Utilization and Growth.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$38,682 | \$34,758 |
| GF | 21,597 | 17,144 |
| Reimbursement | 17,085 | 17,614 |

Habilitation

Supported Employment Program (Group Placement)

DESCRIPTION:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work through integrated group settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-G Expenditure Data Source: State Claims file, dated February 2019 with actuals through November 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------|-----------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. | \$89,235 | \$89,235 |
| Total Utilization Change/Growth: | 0 | 0 |
| Subtotal Base and Growth | \$89,235 | \$89,235 |
| Continuation Costs: Second year costs for Developmental Center movers. | 0 | 0 |
| TOTAL EXPENDITURES | \$89,235 | \$89,235 |

Habilitation

Supported Employment Program (Group Placement)

FUNDING:

SEP-G expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2017-18 billing data, approximately 35% of SEP-G expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased by \$0.7 million (\$0.8 million GF decrease) due to a slight decrease in the Base.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$89,235 | \$89,235 |
| GF | 66,778 | 65,865 |
| Reimbursement | 22,457 | 23,370 |

Habilitation

Supported Employment Program (Individual Placement)

DESCRIPTION:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work through integrated individual settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-I Expenditure Data Source: State Claims file dated February 2019 with actuals through November 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------|-----------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. | \$29,459 | \$32,841 |
| Total Utilization Change/Growth: | 3,382 | 3,999 |
| Subtotal Base and Growth | \$32,841 | \$36,840 |
| Continuation Costs: Second year costs for Developmental Center movers. | 0 | 0 |
| TOTAL EXPENDITURES | \$32,841 | \$36,840 |

Habilitation

Supported Employment Program (Individual Placement)

FUNDING:

SEP-I expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), and 1915(i) State Plan Amendment (SPA). Based on actual 2017-18 billing data, approximately 22% of SEP-I expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased by \$0.6 million (\$0.5 million GF increase) due to a slight increase in both the Base and Utilization and Growth.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year estimate increase of \$0.9 million (\$0.8 million GF) is due to a slight increase in Utilization and Growth.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$32,841 | \$36,840 |
| GF | 27,232 | 30,775 |
| Reimbursement | 5,609 | 6,065 |

Transportation

DESCRIPTION:

Transportation services are provided so persons with a developmental disability may participate in programs and/or other activities identified in their Individual Program Plan. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

ASSUMPTIONS:

Transportation Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|------------------|------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$341,962 | \$360,660 |
| Total Utilization Change/Growth: | 18,698 | 19,721 |
| Subtotal Base and Growth | \$360,660 | \$380,381 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 102 | 97 |
| Continuation Costs: Second year costs for Developmental Center movers. | 1,027 | 1,140 |
| TOTAL EXPENDITURES | \$361,789 | \$381,618 |

Transportation

FUNDING:

Transportation expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, and Intermediate Care Facility - Developmentally Disabled (ICF-DD). Based on actual 2017-18 billing data, approximately 65% of Transportation expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year decreased a net \$0.7 million (\$1.8 million GF decrease) due to a slight decrease in the Base, Utilization and Growth, and Community Placement Plan. The decrease is due to updated actual expenditures coming in slightly lower than originally estimated.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year decreased by \$0.8 million (\$2.6 million GF decrease) due to a slight decrease in Utilization and Growth and Community Placement Plan. The main cost drivers in Utilization and Growth are Transportation Company, Transportation-Additional component, and Transportation-Public/Rental Car Agency/Taxi. These service codes make up over 90% of Transportation expenditures.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|------------------|------------------|
| TOTAL | \$361,789 | \$381,618 |
| GF | 208,066 | 221,340 |
| Reimbursement | 153,723 | 160,278 |

Support Services

DESCRIPTION:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community. Included in the Support Services expenditures are Independent Living Supplement payments to adults who are in Supported/Independent Living (SL/IL) settings and receiving Supplementary Security Income/State Supplementary Payment (SSI/SSP). Regional centers supplement the reduction in the SSP portion of the SSI/SSP grant to enable consumers to remain in these settings rather than moving into community care facilities.

ASSUMPTIONS:

Support Services Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|--------------------|--------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$1,332,530 | \$1,490,920 |
| Total Utilization Change/Growth: | 158,390 | 177,239 |
| Subtotal Base and Growth | \$1,490,920 | \$1,668,159 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 2,166 | 2,057 |
| Continuation Costs: Second year costs for Developmental Center movers. | 14,203 | 15,775 |
| Independent Living Supplement: Based on data as of February 2019, there are 16,718 consumers living in SL/IL arrangements who receive the monthly payment to supplement the reduction in their SSP grants. Prior year costs remain in the Base, therefore only the incremental costs are reflected. | -161 | -253 |
| TOTAL EXPENDITURES | \$1,507,128 | \$1,685,738 |

Support Services

FUNDING:

Support Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for Behavioral Health Treatment services for Medi-Cal Fee-for-Service beneficiaries, and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 74% of Support Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year net increase of \$19.3 million (\$13.1 million GF) reflects an increase of \$21.7 million in Utilization and Growth, and a slight increase in the Community Placement Plan, offset by a \$2.4 million decrease in the Base and a slight decrease in the Independent Living Supplement. Approximately 50% of the budget category expenditures are for Supported Living Services, which continue to increase beyond historical trends.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year net increase of \$36.2 million (\$27.4 million GF) reflects a \$16.9 million increase in Utilization and Growth, and a slight increase in the Community Placement Plan, offset by a slight decrease in the Independent Living Supplement.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|--------------------|--------------------|
| TOTAL | \$1,507,128 | \$1,685,738 |
| GF | 836,104 | 985,883 |
| Reimbursement | 670,754 | 699,590 |
| Federal Funds | 270 | 265 |

In-Home Respite

DESCRIPTION:

In-Home Respite services include intermittent or regularly scheduled, temporary non-medical care and/or supervision services provided in the consumer's home, except for the provision of incidental medical services as expressly allowed in statute.

ASSUMPTIONS:

In-Home Respite Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | 2018-19 | 2019-20 |
|--|------------------|------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$425,816 | \$492,561 |
| Total Utilization Change/Growth: | 66,745 | 60,415 |
| Subtotal Base and Growth: | \$492,561 | \$552,976 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 0 | 0 |
| Continuation Costs: Second year costs for developmental center movers. | 480 | 533 |
| TOTAL EXPENDITURES: | \$493,041 | \$553,509 |

In-Home Respite

FUNDING:

In-Home Respite expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 76% of In-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver and 1915 (i) SPA, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Current Year net increase of \$31.7 million (\$24.5 million GF) reflects a \$1.2 million increase in the Base, a \$30.5 million increase in Utilization and Growth, and a slight decrease in Community Placement Plan. The change in Utilization and Growth includes an increase from the restoration of Respite services effective January 1, 2018. In-Home Respite Services Agency is the cost driver and accounts for 82.4% of expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year net increase \$52.5 million (\$44.6 million GF) reflects a \$31.7 million increase in the Base, a \$20.9 million increase in Utilization and Growth, and a slight decrease in Community Placement Plan. The increase in the Base reflects continued growth in In-Home Respite Services Agency.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|------------------|------------------|
| TOTAL | \$493,041 | \$553,509 |
| GF | 267,907 | 319,441 |
| Reimbursement | 225,053 | 233,989 |
| Federal Funds | 81 | 79 |

Out-of-Home Respite

DESCRIPTION:

Out-of-Home Respite includes supervision services that are provided in licensed residential and day care facilities.

ASSUMPTIONS:

Out-of-Home Respite Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$46,279 | \$49,040 |
| Total Utilization Change/Growth: | 2,761 | 1,117 |
| Subtotal Base and Growth | \$49,040 | \$50,157 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 226 | 215 |
| Continuation Costs: Second year costs for developmental center movers. | 40 | 45 |
| TOTAL EXPENDITURES | \$49,306 | \$50,417 |

Out-of-Home Respite

FUNDING:

Out-of-Home Respite expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 68% of Out-of-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver and 1915 (i) SPA, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Current Year net increase of \$1.9 million (\$1.4 million GF) reflects a slight increase in the Base, a \$1.8 million increase in Utilization and Growth, offset by a slight decrease in Community Placement Plan. The increase in the Utilization and Growth reflects higher than average growth in this category.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year net increase of \$2 million (\$1.5 million GF) reflects a \$1.9 increase in the Base, a slight increase in Utilization and Growth, offset by a slight decrease in Community Placement Plan. Day Care-Family Member Services and Child Day Care are the main expenditure drivers and account for 51.8% of the budget category expenditures.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$49,306 | \$50,417 |
| GF | 26,314 | 26,511 |
| Reimbursement | 22,919 | 23,834 |
| Federal Funds | 73 | 72 |

Health Care

DESCRIPTION:

Health Care services include those that are medical and/or health care-related.

ASSUMPTIONS:

Health Care Expenditure Data Source: State Claims Data file dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|------------------|------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. | \$117,710 | \$126,030 |
| Total Utilization Change/Growth: | 8,320 | 8,908 |
| Subtotal Base and Growth | \$126,030 | \$134,938 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 2,342 | 2,224 |
| Continuation Costs: Second year costs for Developmental Center movers. | 2,854 | 3,170 |
| TOTAL EXPENDITURES | \$131,226 | \$140,332 |

Health Care

FUNDING:

Health Care expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), and federal funds from the Early Start Grant. Based on actual 2017-18 billing data, approximately 20% of Health Care expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, and 1915(i) SPA, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year increased by \$2.1 million (\$1.9 million GF) due to a \$1.5 million increase in Community Placement Plan, and a slight increase in the Base, and Utilization and Growth. The net increase in Utilization and Growth is due to updated actual expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increased by \$2.2 million (\$2 million GF) due to a \$1.4 million increase in Community Placement Plan and slight increase in Utilization and Growth. The main cost drivers for this budget category are Acute Care Hospital and Spec Ther Svc 21 Yrs and Over, which accounts for 29% of the expenditures.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|------------------|------------------|
| TOTAL | \$131,226 | \$140,332 |
| GF | 110,916 | 119,383 |
| Reimbursement | 18,131 | 18,813 |
| Federal Funds | 2,179 | 2,136 |

Miscellaneous Services

DESCRIPTION:

The Miscellaneous Services category includes a wide variety of services identified in a consumer's Individual Program Plan or Individualized Family Service Plan. Due to the wide variety and often unique qualities of the services in this category, they cannot be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors. Historically, approximately two thirds of the expenditures in this category were related to Behavioral Health Treatment (BHT) services. While still significant, BHT expenditures have decreased as a result of the transition of costs for BHT services to the Department of Health Care Services (DHCS).

ASSUMPTIONS:

Miscellaneous Services Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the Current Year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the Budget Year, Base expenditures equal the Current Year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|------------------|------------------|
| Base: Actual 2017-18 expenditures were used to develop the 2018-19 Base. The 2019-20 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2018. | \$444,705 | \$480,980 |
| Total Utilization Change/Growth: | 36,275 | 40,758 |
| Subtotal Base and Growth | \$480,980 | \$521,738 |
| Community Placement Plan: See Community Placement Plan methodology for detail. | 29,425 | 30,592 |
| Continuation Costs: Second year costs for Developmental Center movers. | 2,007 | 2,230 |
| TOTAL EXPENDITURES | \$512,412 | \$554,560 |

Miscellaneous Services

FUNDING:

Miscellaneous Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for BHT services for Medi-Cal Fee-for-Service beneficiaries, and federal funds from the Early Start Grant and Program Development Funds. Based on actual 2017-18 billing data, approximately 19% of Miscellaneous Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and ICF-DD, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The current year net increase of \$14 million (\$15.9 million GF) reflects an increase of \$15.5 million in Utilization and Growth, and a slight increase to Community Placement Plan, offset by a \$1.5 million decrease in the Base. Miscellaneous is impacted by the transition of BHT services to DHCS, resulting in less expenditure growth for these services. Utilization and Growth includes Specialized Therapeutic Services for Children Under Age 3 which accounted for 22% of Miscellaneous expenditures in 2017-18, and which has grown 60% since 2014-15.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year estimated net increase of \$31.6 million (\$33.6 million GF) reflects a \$17.7 million increase in Utilization and Growth, and a slight increase in the Community Placement plan.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|------------------|------------------|
| TOTAL | \$512,412 | \$554,560 |
| GF | 401,764 | 441,681 |
| Reimbursement | 95,221 | 97,722 |
| Federal Funds | 13,174 | 12,915 |
| PDF | 2,253 | 2,242 |

Quality Assurance Fees

Intermediate Care Facility-Developmentally Disabled Costs Purchase of Services

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- Billing costs are 1.5% of the cost of Day Programs and Transportation, and regional center administrative fees for ICF-DD. QAF are set by DHCS.
- 2018-19 and 2019-20: Total billing costs are \$1.9 million ICF-DD administration and \$8.0 million QAF.
- Purchase of Services costs for Day Program and Transportation are based on calendar year 2018 and total \$121.7 million. ICF-DD administration costs are 1.5% of the total or \$1.9 million and QAF are \$8.0 million. Total administration and QAF is \$9.9 million.

FUNDING:

The funding for QAF is 100% reimbursement to the Department from DHCS.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated actual expenditures.

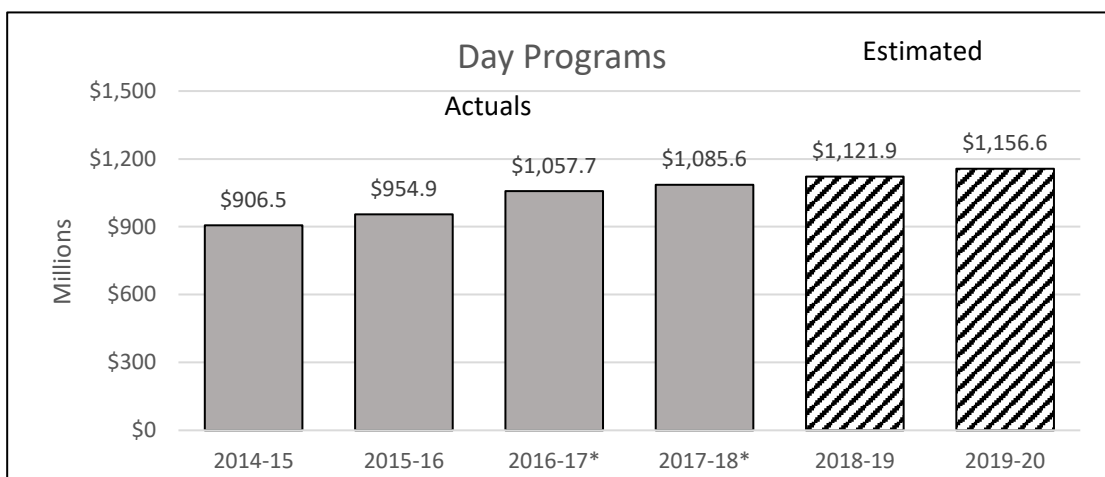
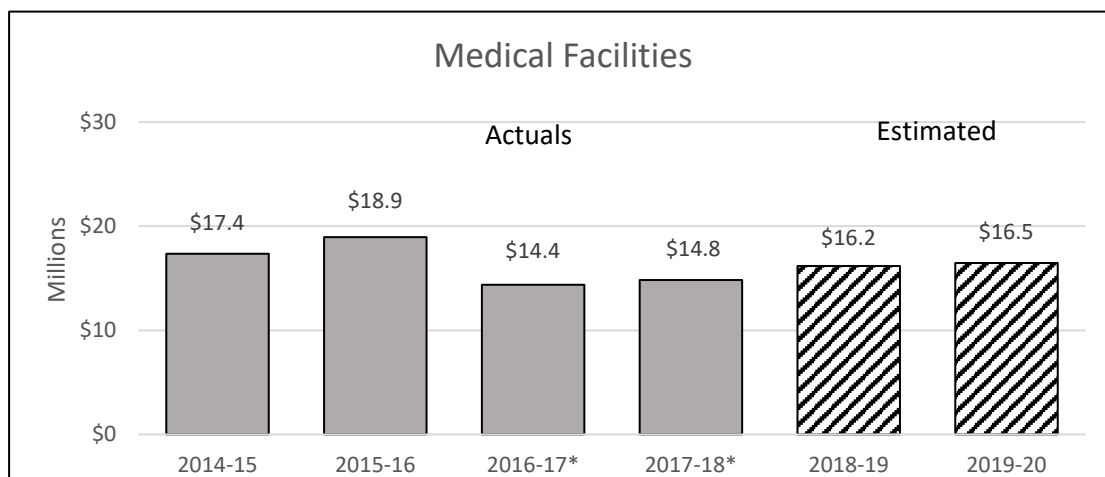
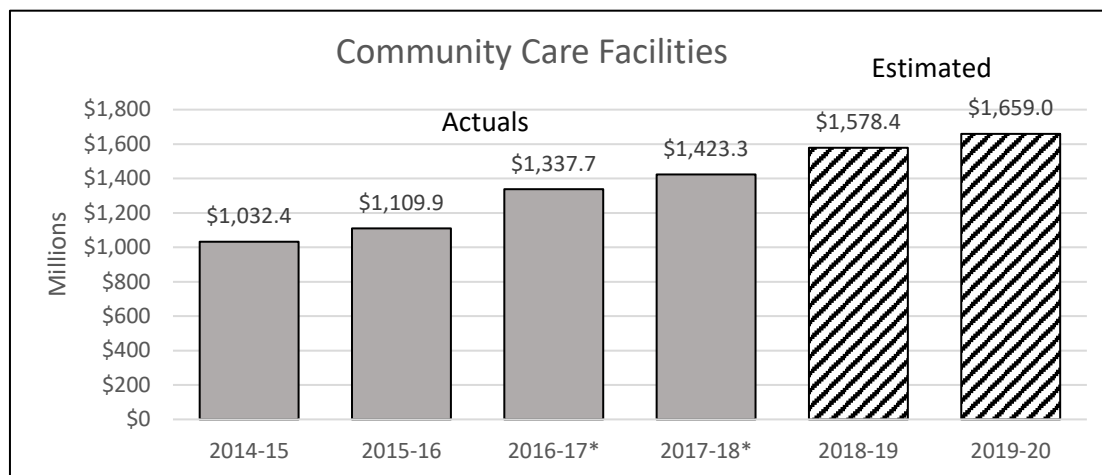
BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The slight decrease reflects updated actual expenditures.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|--------------------|----------------|----------------|
| Total | \$9,855 | \$9,855 |
| Reimbursements | 9,855 | 9,855 |
| QAF Admin Fees | 4,928 | 4,928 |
| Transfer from DHCS | 4,927 | 4,927 |

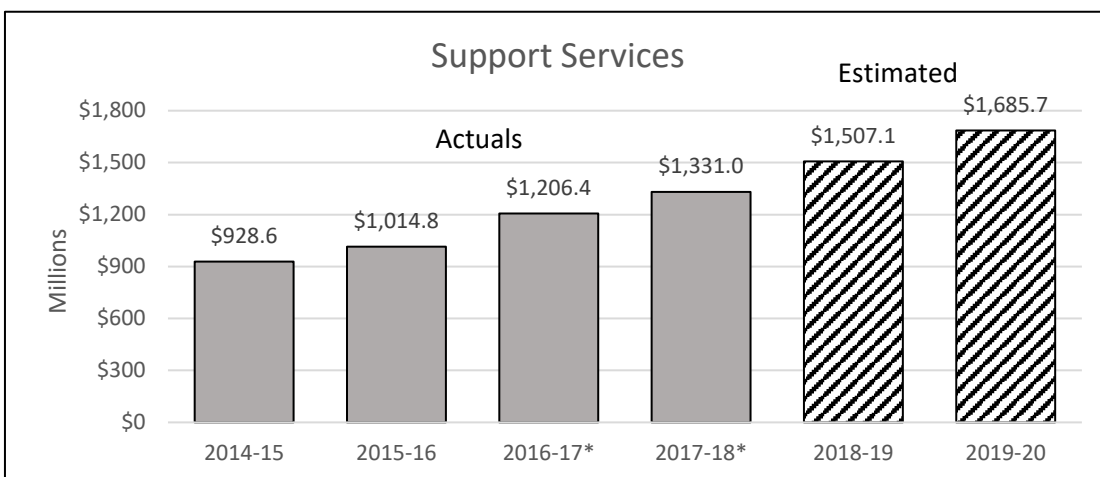
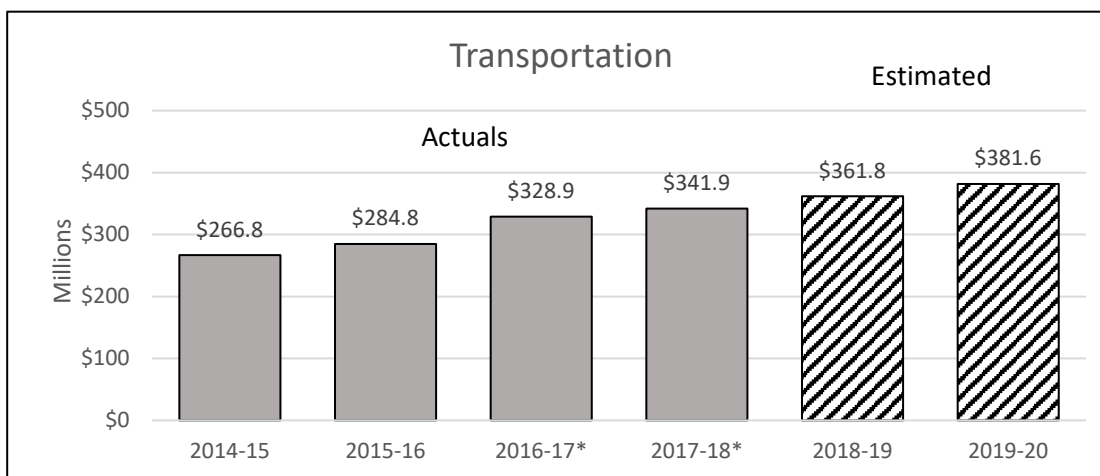
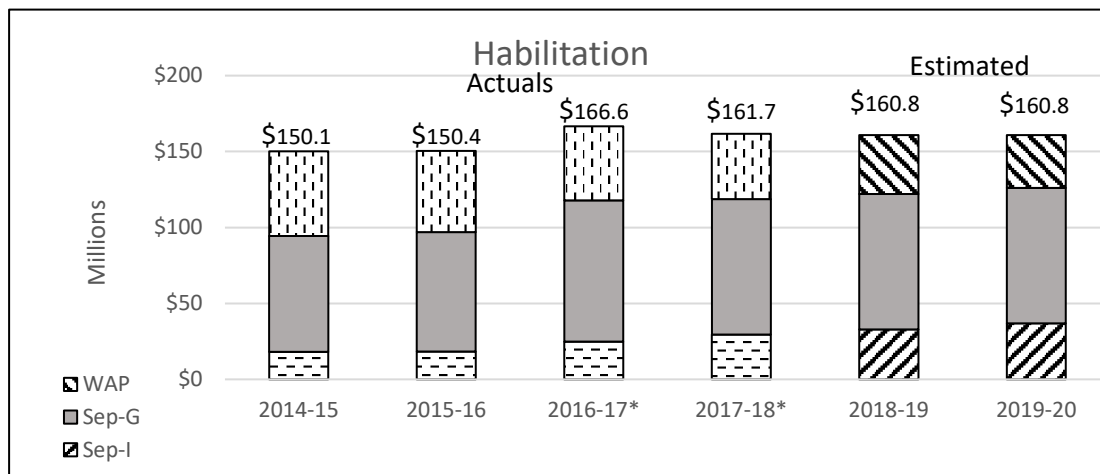
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2019.

** Totals for all years exclude Quality Assurance Fees

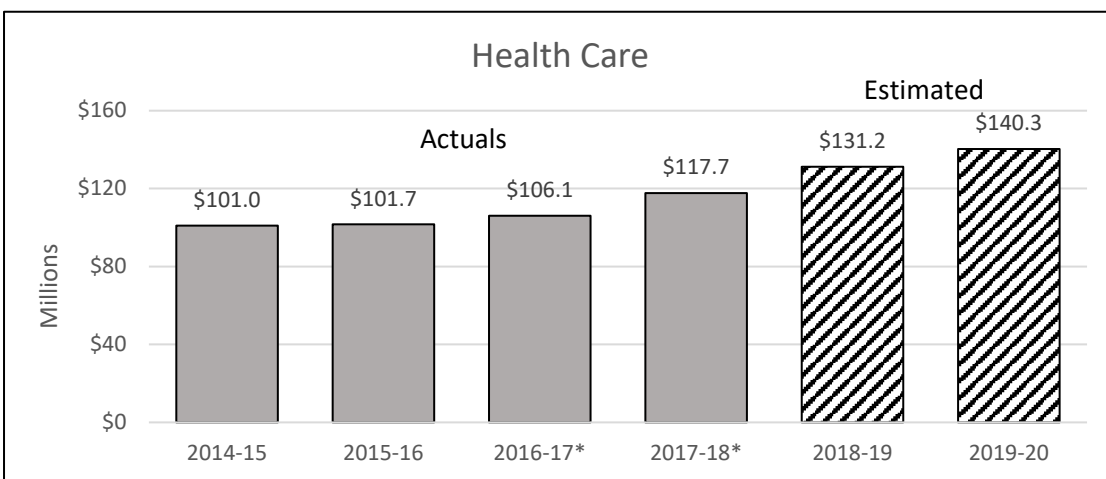
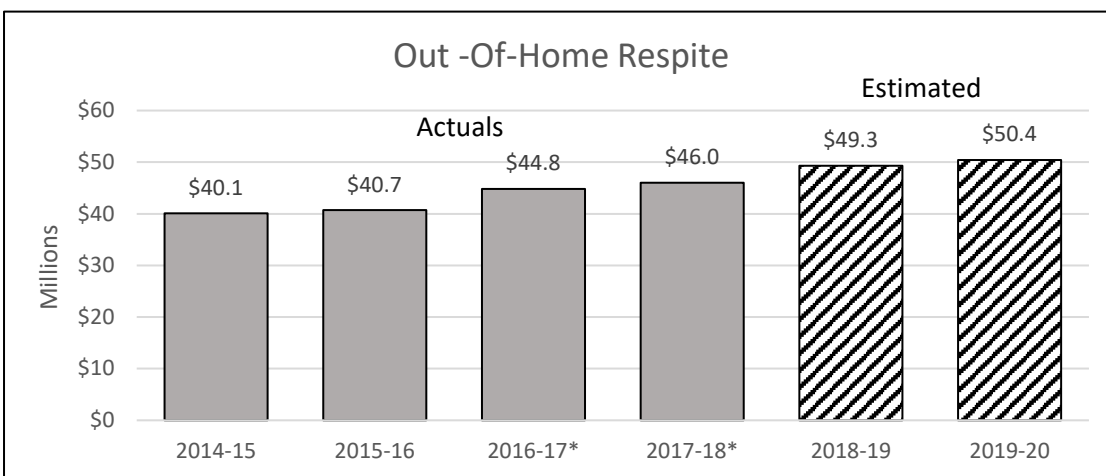
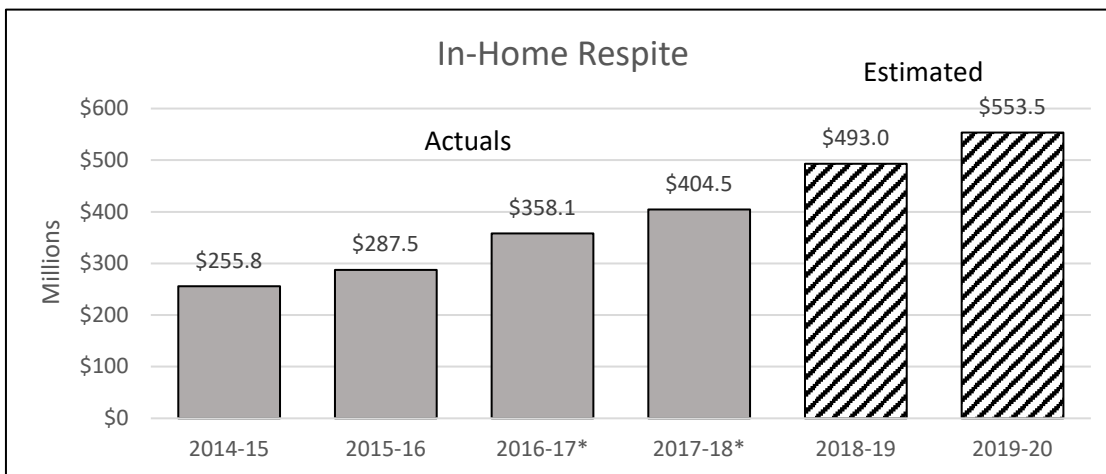
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2019.

** Totals for all years exclude Quality Assurance Fees

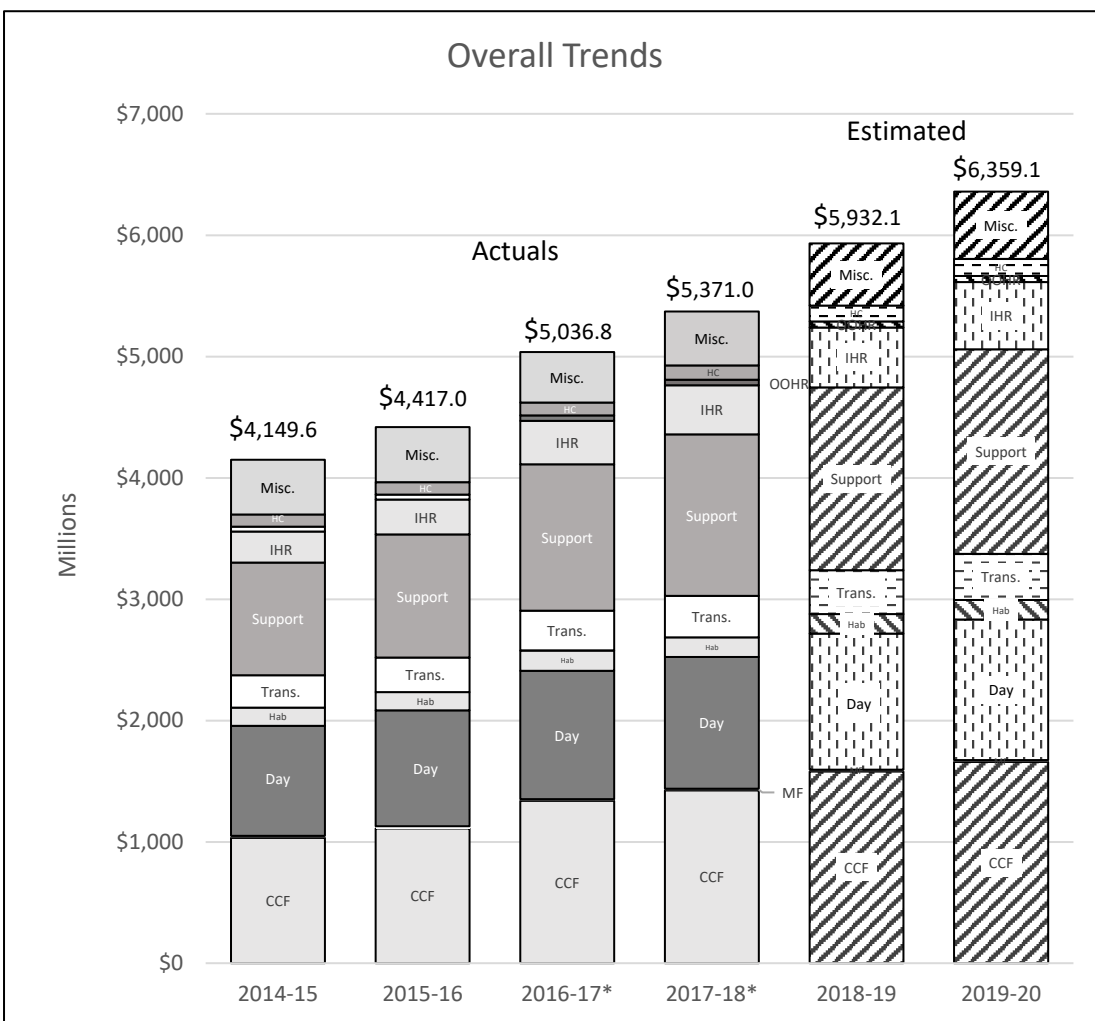
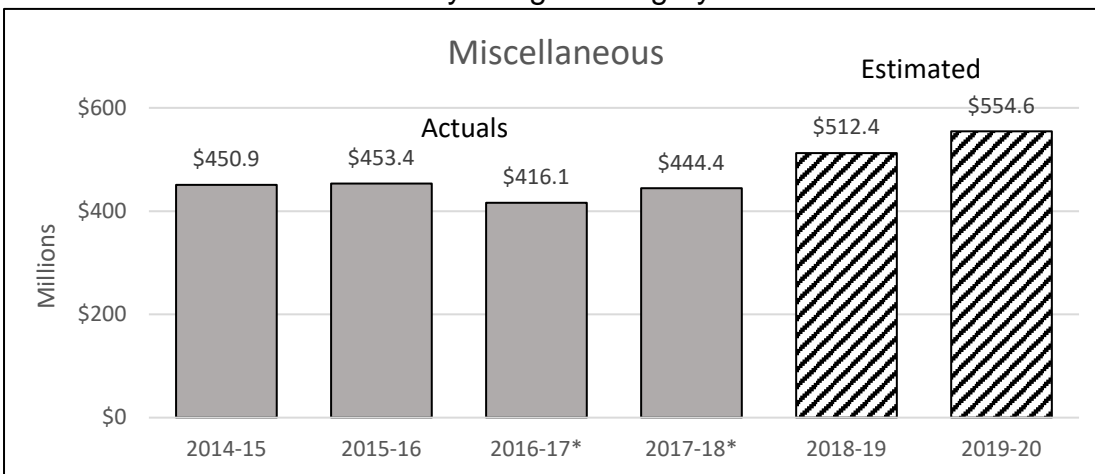
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2019.

** Totals for all years exclude Quality Assurance Fees

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2019.

** Totals for all years exclude Quality Assurance Fees

Purchase of Service Funding Detail

| | Governor's | | | Governor's | | | 2019-20 | |
|----------------------------------|--------------------|--------------------|-------------------|--------------------|--------------------|-----------------|-------------------|--|
| | Budget | Updated | 2018-19 | Budget | Updated | Over | 2019-20 | |
| | 2018-19 | 2018-19 | Request | 2019-20 | 2019-20 | 2018-19 | Request | |
| Community Care Facilities | | | | | | | | |
| TOTAL | \$1,582,097 | \$1,578,382 | (\$3,715) | \$1,662,972 | \$1,659,032 | \$80,650 | (\$3,940) | |
| GF | \$917,971 | \$900,445 | (\$17,526) | \$967,972 | \$947,124 | \$46,679 | (\$20,848) | |
| GF Match | 654,661 | 666,519 | 11,858 | 685,541 | 700,490 | 33,971 | 14,949 | |
| GF Other | 263,310 | 233,926 | (29,384) | 282,431 | 246,634 | 12,708 | (35,797) | |
| Reimbursements | \$664,126 | \$677,937 | \$13,811 | \$695,000 | \$711,908 | \$33,971 | \$16,908 | |
| HCBS Waiver | 600,288 | 605,927 | 5,639 | 631,165 | 639,899 | 33,972 | 8,734 | |
| 1915 (i) SPA | 54,375 | 60,576 | 6,201 | 54,372 | 60,575 | (1) | 6,203 | |
| Title XX TANF | 9,463 | 11,434 | 1,971 | 9,463 | 11,434 | 0 | 1,971 | |
| Medical Facilities | | | | | | | | |
| TOTAL | \$15,080 | \$16,177 | \$1,097 | \$15,077 | \$16,465 | \$288 | \$1,388 | |
| GF | \$15,080 | \$16,177 | \$1,097 | \$15,077 | \$16,465 | \$288 | \$1,388 | |
| GF Other | 15,080 | 16,177 | 1,097 | 15,077 | 16,465 | 288 | 1,388 | |
| Day Programs | | | | | | | | |
| TOTAL | \$1,120,898 | \$1,121,864 | \$966 | \$1,155,309 | \$1,156,565 | \$34,701 | \$1,256 | |
| GF | \$622,873 | \$621,983 | (\$890) | \$641,526 | \$639,794 | \$17,811 | (\$1,732) | |
| GF Match | 435,705 | 437,064 | 1,359 | 451,459 | 454,317 | 17,253 | 2,858 | |
| GF Other | 187,168 | 184,919 | (2,249) | 190,067 | 185,477 | 558 | (4,590) | |
| Reimbursements | \$479,735 | \$481,591 | \$1,856 | \$495,493 | \$498,846 | \$17,255 | \$3,353 | |
| HCBS Waiver | 306,290 | 309,168 | 2,878 | 322,046 | 326,502 | 17,334 | 4,456 | |
| 1915 (i) SPA | 61,340 | 62,250 | 910 | 61,342 | 62,251 | 1 | 909 | |
| Title XX Social Services | 43,956 | 44,424 | 468 | 43,956 | 44,424 | 0 | 468 | |
| Title XX TANF | 76 | 104 | 28 | 76 | 104 | 0 | 28 | |
| ICF-DD | 37,496 | 36,988 | (508) | 37,496 | 36,988 | 0 | (508) | |
| EPSDT | 30,577 | 28,657 | (1,920) | 30,577 | 28,577 | (80) | (2,000) | |
| Federal Funds | \$18,290 | \$18,290 | \$0 | \$18,290 | \$17,925 | (\$365) | (\$365) | |
| Early Start Grant | 18,290 | 18,290 | 0 | 18,290 | 17,925 | (365) | (365) | |

Purchase of Service Funding Detail

| | Governor's | | | Governor's | | 2019-20 | |
|----------------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| | Budget | Updated | 2018-19 | Budget | Updated | Over | 2019-20 |
| | 2018-19 | 2018-19 | Request | 2019-20 | 2019-20 | 2018-19 | Request |
| Habilitation: WAP | | | | | | | |
| TOTAL | \$38,952 | \$38,682 | (\$270) | \$35,019 | \$34,758 | (\$3,924) | (\$261) |
| GF | \$21,917 | \$21,597 | (\$320) | \$17,525 | \$17,144 | (\$4,453) | (\$381) |
| GF Match | 17,033 | 17,085 | 52 | 17,493 | 17,614 | 529 | 121 |
| GF Other | 4,884 | 4,512 | (372) | 32 | (470) | (4,982) | (502) |
| Reimbursements | \$17,035 | \$17,085 | \$50 | \$17,494 | \$17,614 | \$529 | \$120 |
| HCBS Waiver | 14,015 | 14,147 | 132 | 14,736 | 14,940 | 793 | 204 |
| 1915 (i) SPA | 2,625 | 2,593 | (32) | 2,363 | 2,329 | (264) | (34) |
| ICF-DD | 395 | 345 | (50) | 395 | 345 | 0 | (50) |
| Habilitation: SEP-G | | | | | | | |
| TOTAL | \$89,952 | \$89,235 | (\$717) | \$89,952 | \$89,235 | \$0 | (\$717) |
| GF | \$67,549 | \$66,778 | (\$771) | \$66,707 | \$65,865 | (\$913) | (\$842) |
| GF Match | 22,402 | 22,457 | 55 | 23,245 | 23,370 | 913 | 125 |
| GF Other | 45,147 | 44,321 | (826) | 43,462 | 42,495 | (1,826) | (967) |
| Reimbursements | \$22,403 | \$22,457 | \$54 | \$23,245 | \$23,370 | \$913 | \$125 |
| HCBS Waiver | 16,189 | 16,341 | 152 | 17,022 | 17,257 | 916 | 235 |
| 1915 (i) SPA | 6,061 | 5,982 | (79) | 6,070 | 5,979 | (3) | (91) |
| ICF-DD | 153 | 134 | (19) | 153 | 134 | 0 | (19) |
| Habilitation: SEP-I | | | | | | | |
| TOTAL | \$32,281 | \$32,841 | \$560 | \$35,988 | \$36,840 | \$3,999 | \$852 |
| GF | \$26,728 | \$27,232 | \$504 | \$30,009 | \$30,775 | \$3,543 | \$766 |
| GF Match | 5,552 | 5,609 | 57 | 5,978 | 6,064 | 455 | 86 |
| GF Other | 21,176 | 21,623 | 447 | 24,031 | 24,711 | 3,088 | 680 |
| Reimbursements | \$5,553 | \$5,609 | \$56 | \$5,979 | \$6,065 | \$456 | \$86 |
| HCBS Waiver | 3,351 | 3,383 | 32 | 3,523 | 3,572 | 189 | 49 |
| 1915 (i) SPA | 2,175 | 2,202 | 27 | 2,429 | 2,469 | 267 | 40 |
| ICF-DD | 27 | 24 | (3) | 27 | 24 | 0 | (3) |
| Transportation | | | | | | | |
| TOTAL | \$362,441 | \$361,789 | (\$652) | \$382,464 | \$381,618 | \$19,829 | (\$846) |
| GF | \$209,906 | \$208,066 | (\$1,840) | \$223,971 | \$221,340 | \$13,274 | (\$2,631) |
| GF Match | 143,595 | 145,200 | 1,605 | 149,553 | 151,755 | 6,555 | 2,202 |
| GF Other | 66,311 | 62,866 | (3,445) | 74,418 | 69,585 | 6,719 | (4,833) |
| Reimbursements | \$152,535 | \$153,723 | \$1,188 | \$158,493 | \$160,278 | \$6,555 | \$1,785 |
| HCBS Waiver | 115,827 | 116,915 | 1,088 | 121,785 | 123,470 | 6,555 | 1,685 |
| 1915 (i) SPA | 11,646 | 12,139 | 493 | 11,646 | 12,139 | 0 | 493 |
| Title XX Social Services | 8,326 | 7,881 | (445) | 8,326 | 7,881 | 0 | (445) |
| Title XX TANF | 614 | 644 | 30 | 614 | 644 | 0 | 30 |
| ICF-DD | 16,122 | 16,144 | 22 | 16,122 | 16,144 | 0 | 22 |

Values in thousands (000s)

Purchase of Service Funding Detail

| | Governor's | | | Governor's | | 2019-20 | |
|----------------------------|--------------------|--------------------|-----------------|--------------------|--------------------|------------------|-----------------|
| | Budget | Updated | 2018-19 | Budget | Updated | Over | 2019-20 |
| | 2018-19 | 2018-19 | Request | 2019-20 | 2019-20 | 2018-19 | Request |
| Support Services | | | | | | | |
| TOTAL | \$1,487,828 | \$1,507,128 | \$19,300 | \$1,649,581 | \$1,685,738 | \$178,610 | \$36,157 |
| GF | \$822,956 | \$836,104 | \$13,148 | \$958,494 | \$985,883 | \$149,779 | \$27,389 |
| GF Match | 587,844 | 596,408 | 8,564 | 614,055 | 625,244 | 28,836 | 11,189 |
| GF Other | 235,112 | 239,696 | 4,584 | 344,439 | 360,639 | 120,943 | 16,200 |
| Reimbursements | \$664,602 | \$670,754 | \$6,152 | \$690,817 | \$699,590 | \$28,836 | \$8,773 |
| HCBS Waiver | 509,548 | 514,338 | 4,790 | 535,763 | 543,175 | 28,837 | 7,412 |
| 1915 (i) SPA | 71,313 | 75,090 | 3,777 | 71,313 | 75,089 | (1) | 3,776 |
| Title XX Social Services | 60,674 | 59,424 | (1,250) | 60,674 | 59,424 | 0 | (1,250) |
| Title XX TANF | 12,319 | 11,127 | (1,192) | 12,319 | 11,127 | 0 | (1,192) |
| ICF-DD | 6,975 | 6,972 | (3) | 6,975 | 6,972 | 0 | (3) |
| BHT- FFS | 3,773 | 3,803 | 30 | 3,773 | 3,803 | 0 | 30 |
| Federal Funds | \$270 | \$270 | \$0 | \$270 | \$265 | (\$5) | (\$5) |
| Early Start Grant | 270 | 270 | 0 | 270 | 265 | (5) | (5) |
| In-Home Respite | | | | | | | |
| TOTAL | \$461,364 | \$493,041 | \$31,677 | \$500,967 | \$553,509 | \$60,468 | \$52,542 |
| GF | \$243,398 | \$267,907 | \$24,509 | \$274,879 | \$319,441 | \$51,534 | \$44,562 |
| GF Match | 177,336 | 180,352 | 3,016 | 185,459 | 189,288 | 8,936 | 3,829 |
| GF Other | 66,062 | 87,555 | 21,493 | 89,420 | 130,153 | 42,598 | 40,733 |
| Reimbursements | \$217,885 | \$225,053 | \$7,168 | \$226,007 | \$233,989 | \$8,936 | \$7,982 |
| HCBS Waiver | 157,897 | 159,380 | 1,483 | 166,019 | 168,316 | 8,936 | 2,297 |
| 1915 (i) SPA | 19,440 | 20,972 | 1,532 | 19,440 | 20,972 | 0 | 1,532 |
| Title XX Social Services | 19,656 | 20,653 | 997 | 19,656 | 20,653 | 0 | 997 |
| Title XX TANF | 20,892 | 24,048 | 3,156 | 20,892 | 24,048 | 0 | 3,156 |
| Federal Funds | \$81 | \$81 | \$0 | \$81 | \$79 | (\$2) | (\$2) |
| Early Start Grant | 81 | 81 | 0 | 81 | 79 | (2) | (2) |
| Out-of-Home Respite | | | | | | | |
| TOTAL | \$47,432 | \$49,306 | \$1,874 | \$48,406 | \$50,417 | \$1,111 | \$2,011 |
| GF | \$24,910 | \$26,314 | \$1,404 | \$25,052 | \$26,511 | \$197 | \$1,459 |
| GF Match | 17,881 | 18,210 | 329 | 18,712 | 19,125 | 915 | 413 |
| GF Other | 7,029 | 8,104 | 1,075 | 6,340 | 7,386 | (718) | 1,046 |
| Reimbursements | \$22,449 | \$22,919 | \$470 | \$23,281 | \$23,834 | \$915 | \$553 |
| HCBS Waiver | 16,168 | 16,320 | 152 | 17,000 | 17,235 | 915 | 235 |
| 1915 (i) SPA | 1,714 | 1,890 | 176 | 1,714 | 1,890 | 0 | 176 |
| Title XX Social Services | 598 | 625 | 27 | 598 | 625 | 0 | 27 |
| Title XX TANF | 3,969 | 4,084 | 115 | 3,969 | 4,084 | 0 | 115 |
| Federal Funds | \$73 | \$73 | \$0 | \$73 | \$72 | (\$1) | (\$1) |
| Early Start Grant | 73 | 73 | 0 | 73 | 72 | (1) | (1) |

Values in thousands (000s)

Purchase of Service Funding Detail

| | Governor's Budget 2018-19 | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|--------------------------|---------------------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| Health Care | | | | | | | |
| TOTAL | \$129,175 | \$131,226 | \$2,051 | \$138,133 | \$140,332 | \$9,106 | \$2,199 |
| GF | \$108,998 | \$110,916 | \$1,918 | \$117,336 | \$119,383 | \$8,467 | \$2,047 |
| GF Match | 16,529 | 16,411 | (118) | 17,149 | 17,094 | 683 | (55) |
| GF Other | 92,469 | 94,505 | 2,036 | 100,187 | 102,289 | 7,784 | 2,102 |
| Reimbursements | \$17,998 | \$18,131 | \$133 | \$18,618 | \$18,813 | \$682 | \$195 |
| HCBS Waiver | 12,052 | 12,165 | 113 | 12,672 | 12,847 | 682 | 175 |
| 1915 (i) SPA | 4,477 | 4,247 | (230) | 4,477 | 4,247 | 0 | (230) |
| Title XX TANF | 1,469 | 1,699 | 230 | 1,469 | 1,699 | 0 | 230 |
| ICF-DD | 0 | 20 | 20 | 0 | 20 | 0 | 20 |
| Federal Funds | \$2,179 | \$2,179 | \$0 | \$2,179 | \$2,136 | (\$43) | (\$43) |
| Early Start Grant | 2,179 | 2,179 | 0 | 2,179 | 2,136 | (43) | (43) |
| Miscellaneous | | | | | | | |
| TOTAL | \$498,425 | \$512,412 | \$13,987 | \$522,918 | \$554,560 | \$42,148 | \$31,642 |
| GF | \$385,898 | \$401,764 | \$15,866 | \$408,129 | \$441,681 | \$39,917 | \$33,552 |
| GF Match | 56,261 | 58,218 | 1,957 | 58,534 | 60,719 | 2,501 | 2,185 |
| GF Other | 329,637 | 343,546 | 13,909 | 349,595 | 380,962 | 37,416 | 31,367 |
| Reimbursements | \$97,100 | \$95,221 | (\$1,879) | \$99,373 | \$97,722 | \$2,501 | (\$1,651) |
| HCBS Waiver | 44,188 | 44,603 | 415 | 46,461 | 47,104 | 2,501 | 643 |
| 1915 (i) SPA | 11,805 | 13,397 | 1,592 | 11,805 | 13,397 | 0 | 1,592 |
| Title XX Social Services | 3,054 | 3,257 | 203 | 3,054 | 3,257 | 0 | 203 |
| Title XX TANF | 28,355 | 24,017 | (4,338) | 28,355 | 24,017 | 0 | (4,338) |
| ICF-DD | 268 | 219 | (49) | 268 | 219 | 0 | (49) |
| BHT - FFS | 9,430 | 9,728 | 298 | 9,430 | 9,728 | 0 | 298 |
| Federal Funds | \$13,174 | \$13,174 | \$0 | \$13,174 | \$12,915 | (\$259) | (\$259) |
| Early Start Grant | 13,174 | 13,174 | 0 | 13,174 | 12,915 | (259) | (259) |
| Program Development Fund | \$2,253 | \$2,253 | \$0 | \$2,242 | \$2,242 | (\$11) | \$0 |

Purchase of Service Funding Detail

| Governor's | | | | Governor's | | | |
|---|--------------------|--------------------|-----------------|--------------------|--------------------|------------------|------------------|
| Budget | Updated | 2018-19 | | Budget | Updated | 2019-20 | |
| 2018-19 | 2018-19 | Request | | 2019-20 | 2019-20 | Over | 2019-20 |
| | | | | | | 2018-19 | Request |
| Quality Assurance Fees | | | | | | | |
| TOTAL | \$9,951 | \$9,855 | (\$96) | \$9,951 | \$9,855 | \$0 | (\$96) |
| Reimbursements | \$9,951 | \$9,855 | (\$96) | \$9,951 | \$9,855 | \$0 | (\$96) |
| QAF Admin Fees | 4,976 | 4,928 | (48) | 4,976 | 4,928 | 0 | (48) |
| Transfer from DHCS | 4,975 | 4,927 | (48) | 4,975 | 4,927 | 0 | (48) |
| Total Purchase of Service Funding Detail | | | | | | | |
| TOTAL | \$5,875,876 | \$5,941,938 | \$66,062 | \$6,246,737 | \$6,368,924 | \$426,986 | \$122,187 |
| GF | \$3,468,184 | \$3,505,283 | \$37,099 | \$3,746,677 | \$3,831,406 | \$326,123 | \$84,729 |
| GF Match | 2,134,799 | 2,163,533 | 28,734 | 2,227,178 | 2,265,080 | 101,547 | 37,902 |
| GF Other | 1,333,385 | 1,341,750 | 8,365 | 1,519,499 | 1,566,326 | 224,576 | 46,827 |
| Reimbursements | \$2,371,372 | \$2,400,335 | \$28,963 | \$2,463,751 | \$2,501,884 | \$101,549 | \$38,133 |
| HCBS Waiver | 1,795,813 | 1,812,687 | 16,874 | 1,888,192 | 1,914,317 | 101,630 | 26,125 |
| 1915 (i) SPA | 246,971 | 261,338 | 14,367 | 246,971 | 261,337 | (1) | 14,366 |
| Title XX Social Services | 136,264 | 136,264 | 0 | 136,264 | 136,264 | 0 | 0 |
| Title XX TANF | 77,157 | 77,157 | 0 | 77,157 | 77,157 | 0 | 0 |
| ICF-DD | 61,436 | 60,846 | (590) | 61,436 | 60,846 | 0 | (590) |
| EPSDT | 30,577 | 28,657 | (1,920) | 30,577 | 28,577 | (80) | (2,000) |
| QAF Admin Fees | 4,976 | 4,928 | (48) | 4,976 | 4,928 | 0 | (48) |
| Transfer from DHCS | 4,975 | 4,927 | (48) | 4,975 | 4,927 | 0 | (48) |
| BHT - FFS | 13,203 | 13,531 | 328 | 13,203 | 13,531 | 0 | 328 |
| Federal Funds | \$34,067 | \$34,067 | \$0 | \$34,067 | \$33,392 | (\$675) | (\$675) |
| Early Start Grant | 34,067 | 34,067 | 0 | 34,067 | 33,392 | (675) | (675) |
| Program Development Fund | \$2,253 | \$2,253 | \$0 | \$2,242 | \$2,242 | (\$11) | \$0 |

* numbers may be adjusted slightly due to rounding

Table of Contents

SECTION H: COMMUNITY PLACEMENT PLAN

| | |
|--------------------------------|-----|
| Community Placement Plan | H-1 |
|--------------------------------|-----|

Community Placement Plan

DESCRIPTION:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) [e.g., Mental Health Rehabilitation Centers (MHRCs)] that are ineligible for federal financial participation (FFP), and out-of-state placements. This estimate comprises projected costs and historical experience derived from individualized assessments of community services and support needs, and reflects what is needed to place an individual from a developmental center, IMD, MHRC, or out-of-state placement into the community, in addition to deflecting an individual to avoid admission to an acute crisis facility, a mental health facility ineligible for FFP, or an out-of-state placement. It also reflects costs associated with performing individualized assessments and developing necessary community resources. CPPs are developed through negotiations with each regional center and are based on individualized planning efforts. CPP was first implemented in 2002-03.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Establish resources in the community for individuals transitioning from another environment.
- Facilitate transitions to the community from a developmental center, IMD, or out-of-state placement, as well as to deflect the admission of individuals to acute crisis units, an IMD, or out-of-state placements.
- Work with the developmental centers and families in identifying individuals for movement.
- Stabilize current community living arrangements.

Regular CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for office space, furniture, computers, travel, training, and rent.

Purchase of Services

- **Start-Up:** Start-Up funding for the development of residential and non-residential services in the community for individuals transitioning from a developmental center, IMD, or out-of-state placement.
- **Assessment:** Assessment funding for comprehensive assessments for individuals who are living in developmental centers, and planning for services when individuals move from the developmental center.
- **Placement:** Placement funds to cover costs of consumers moving into community settings based on consumer-specific information.
- **Deflection:** Deflection funding POS for residential costs of facilities developed with prior year CPP POS Start-Up funding to deflect admissions into more restrictive settings.

Community Placement Plan

ASSUMPTIONS:

- Due to the announced plans to close the Sonoma, Fairview, and Porterville General Treatment Area developmental centers, additional regional center Operations and POS funding is needed to ensure there are adequate resources available for safe and successful transitions of consumers to community living.
- Department of Health Care Services approved rates used for:
 - Intermediate Care Facilities/Developmentally Disabled – Nursing Facilities
 - Intermediate Care Facilities/Developmentally Disabled – Habilitation Facilities

FUNDING:

CPP expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services Waiver, and Money Follows the Person Grant.

EXPENDITURES:

| | 2018-19 | 2019-20 |
|--|-----------------|-----------------|
| Operations | | |
| <i>Regular</i> | 15,265 | 15,265 |
| <i>SDC</i> | 3,616 | 0 |
| <i>FDC</i> | 1,212 | 0 |
| <i>PDC</i> | 606 | 0 |
| Total Regional Center Operations | 20,699 | 15,265 |
| Regular Regional Center POS | | |
| <i>Community Care Facilities</i> | 17,675 | 16,784 |
| <i>Medical Facilities</i> | 355 | 337 |
| <i>Day Programs</i> | 293 | 278 |
| <i>Work Activity Program</i> | 5 | 5 |
| <i>Transportation</i> | 102 | 97 |
| <i>Support Services</i> | 2,166 | 2,057 |
| <i>In-Home Respite</i> | 0 | 0 |
| <i>Out-of-Home Respite</i> | 226 | 215 |
| <i>Health Care</i> | 2,342 | 2,224 |
| <i>Miscellaneous Services</i> | 29,425 | 30,592 |
| Total Regular Regional Center POS | 52,589 | 52,589 |
| SDC POS | 10,816 | 0 |
| FDC POS | 8,652 | 0 |
| PDC POS | 5,733 | 0 |
| TOTAL | \$98,489 | \$67,854 |
| GF | \$86,905 | \$62,277 |
| GF Match | 11,582 | 5,577 |
| GF Other | 75,323 | 56,700 |
| Reimbursements | \$11,584 | \$5,577 |

Values in thousands (000's)

COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20
Regular CPP

| | Governor's Budget 2018-19 | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|---------------------------------------|---------------------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| I. OPERATIONS | \$15,265 | \$15,265 | \$0 | \$15,265 | \$15,265 | \$0 | \$0 |
| II. PURCHASE OF SERVICES (POS) | | | | | | | |
| A. Start-Up | \$27,265 | \$27,265 | \$0 | \$27,265 | \$27,265 | \$0 | \$0 |
| B. Assessment | \$1,500 | \$1,500 | \$0 | \$2,700 | \$2,700 | \$1,200 | \$0 |
| C. Placement | \$23,824 | \$23,824 | \$0 | \$22,624 | \$22,624 | -\$1,200 | \$0 |
| D. SUBTOTAL POS | \$52,589 | \$52,589 | \$0 | \$52,589 | \$52,589 | \$0 | \$0 |
| III. TOTAL CPP | \$67,854 | \$67,854 | \$0 | \$67,854 | \$67,854 | \$0 | \$0 |
| IV. FUND SOURCES | | | | | | | |
| A. TOTAL CPP | \$67,854 | \$67,854 | \$0 | \$67,854 | \$67,854 | \$0 | \$0 |
| B. GF | \$60,060 | \$62,225 | \$2,165 | \$63,276 | \$62,277 | \$52 | -\$999 |
| C. Reimbursements | \$7,794 | \$5,629 | -\$2,165 | \$4,578 | \$5,577 | -\$52 | \$999 |

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20**
Sonoma Developmental Center Closure

| | Governor's Budget 2018-19 | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|---------------------------------------|---------------------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| I. OPERATIONS | \$3,616 | \$3,616 | \$0 | \$0 | \$0 | -\$3,616 | \$0 |
| II. PURCHASE OF SERVICES (POS) | | | | | | | |
| A. Start-Up | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| B. Assessment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| C. Placement | \$9,220 | \$10,816 | \$1,596 | \$0 | \$0 | -\$10,816 | \$0 |
| D. SUBTOTAL POS | \$9,220 | \$10,816 | \$1,596 | \$0 | \$0 | -\$10,816 | \$0 |
| III. TOTAL CPP | \$12,836 | \$14,432 | \$1,596 | \$0 | \$0 | -\$14,432 | \$0 |
| IV. FUND SOURCES | | | | | | | |
| A. TOTAL CPP | \$12,836 | \$14,432 | \$1,596 | \$0 | \$0 | -\$14,432 | \$0 |
| B. GF | \$9,819 | \$11,876 | \$2,057 | \$0 | \$0 | -\$11,876 | \$0 |
| C. Reimbursements | \$3,017 | \$2,556 | -\$461 | \$0 | \$0 | -\$2,556 | \$0 |

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20**
Fairview Developmental Center Closure

| | Governor's Budget 2018-19 | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|---------------------------------------|---------------------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| I. OPERATIONS | \$1,212 | \$1,212 | \$0 | \$0 | \$0 | -\$1,212 | \$0 |
| II. PURCHASE OF SERVICES (POS) | | | | | | | |
| A. Start-Up | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| B. Assessment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| C. Placement | \$7,670 | \$8,652 | \$982 | \$0 | \$0 | -\$8,652 | \$0 |
| D. SUBTOTAL POS | \$7,670 | \$8,652 | \$982 | \$0 | \$0 | -\$8,652 | \$0 |
| III. TOTAL CPP | \$8,882 | \$9,864 | \$982 | \$0 | \$0 | -\$9,864 | \$0 |
| IV. FUND SOURCES | | | | | | | |
| A. TOTAL CPP | \$8,882 | \$9,864 | \$982 | \$0 | \$0 | -\$9,864 | \$0 |
| B. GF | \$6,372 | \$7,820 | \$1,448 | \$0 | \$0 | -\$7,820 | \$0 |
| C. Reimbursements | \$2,510 | \$2,044 | -\$466 | \$0 | \$0 | -\$2,044 | \$0 |

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2018-19 and 2019-20
Porterville Developmental Center**

| | Governor's Budget 2018-19 | Updated 2018-19 | 2018-19 Request | Governor's Budget 2019-20 | Updated 2019-20 | 2019-20 Over 2018-19 | 2019-20 Request |
|---------------------------------------|---------------------------------|--------------------|--------------------|---------------------------------|--------------------|----------------------------|--------------------|
| I. OPERATIONS | \$606 | \$606 | \$0 | \$0 | \$0 | -\$606 | \$0 |
| II. PURCHASE OF SERVICES (POS) | | | | | | | |
| A. Start-Up | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| B. Assessment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| C. Placement | \$4,720 | \$5,733 | \$1,013 | \$0 | \$0 | -\$5,733 | \$0 |
| D. SUBTOTAL POS | \$4,720 | \$5,733 | \$1,013 | \$0 | \$0 | -\$5,733 | \$0 |
| III. TOTAL CPP | \$5,326 | \$6,339 | \$1,013 | \$0 | \$0 | -\$6,339 | \$0 |
| IV. FUND SOURCES | | | | | | | |
| A. TOTAL CPP | \$5,326 | \$6,339 | \$1,013 | \$0 | \$0 | -\$6,339 | \$0 |
| B. GF | \$3,781 | \$4,984 | \$1,203 | \$0 | \$0 | -\$4,984 | \$0 |
| C. Reimbursements | \$1,545 | \$1,355 | -\$190 | \$0 | \$0 | -\$1,355 | \$0 |

Community Placement Plan
Purchase of Services
Summary of Costs
2018-19

| CPP Activity | Community Care Facilities | Medical Facilities | Supported Living | Day Programs | Work Activity Program | Transpor- tation | Support Services | In-Home Respite | Out- of- Home Respite | Health Care | Misc. | Total |
|---|---------------------------------|-----------------------|---------------------|-----------------|-----------------------------|---------------------|---------------------|--------------------|--------------------------------|----------------|-----------------|-----------------|
| A. Start-Up | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$27,265 | \$27,265 |
| B. Assessment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$1,500 | \$1,500 |
| C. Placement | \$36,372 | \$730 | \$1,603 | \$603 | \$10 | \$211 | \$2,853 | \$0 | \$466 | \$4,819 | \$1,358 | \$49,025 |
| D. 2019 May Revision 2018-19 TOTAL | \$36,372 | \$730 | \$1,603 | \$603 | \$10 | \$211 | \$2,853 | \$0 | \$466 | \$4,819 | \$30,123 | \$77,790 |
| E. 2019-20 Governor's Budget 2018-19 TOTAL | \$36,902 | \$223 | \$1,131 | \$595 | \$9 | \$227 | \$2,980 | \$10 | \$559 | \$1,558 | \$30,005 | \$74,199 |
| F. DIFFERENCE | -\$530 | \$507 | \$472 | \$8 | \$1 | -\$16 | -\$127 | -\$10 | -\$93 | \$3,261 | \$118 | \$3,591 |

a/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Summary of Costs
2019-20

| CPP Activity | Community Care Facilities | Medical Facilities | Supported Living | Day Programs | Work Activity Program | Transpor- tation | Support Services | In-Home Respite | Out- of- Home Respite | Health Care | Misc. | Total |
|---|---------------------------------|-----------------------|---------------------|-----------------|-----------------------------|---------------------|---------------------|--------------------|--------------------------------|----------------|-----------------|-----------------|
| A. Start-Up | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$27,265 | \$27,265 |
| B. Assessment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$2,700 | \$2,700 |
| C. Placement | \$16,784 | \$337 | \$740 | \$278 | \$5 | \$97 | \$1,317 | \$0 | \$215 | \$2,224 | \$627 | \$22,624 |
| D. 2019 May Revision 2019-20 TOTAL | \$16,784 | \$337 | \$740 | \$278 | \$5 | \$97 | \$1,317 | \$0 | \$215 | \$2,224 | \$30,592 | \$52,589 |
| E. 2019-20 Governor's Budget 2019-20 TOTAL | \$18,375 | \$111 | \$563 | \$296 | \$5 | \$113 | \$1,484 | \$5 | \$278 | \$776 | \$30,583 | \$52,589 |
| F. DIFFERENCE | -\$1,591 | \$226 | \$177 | -\$18 | \$0 | -\$16 | -\$167 | -\$5 | -\$63 | \$1,448 | \$9 | \$0 |

a/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2018-19

| Living Arrangement | Living Arrangement | | | Day Programs | Work Activity Program | Supported Employment Program | | Transportation | Support Services | In-Home Respite | Out-of-Home Respite | Health Care | Misc. | Total |
|---|--------------------|------------------------------|------------------|--------------|-----------------------|------------------------------|------------|----------------|------------------|-----------------|---------------------|----------------|----------------|-----------------|
| | CCF | Intermediate Care Facilities | Supported Living | | | Group | Individual | | | | | | | |
| Total CPP | | | | | | | | | | | | | | |
| ● Community Care Facilities (CCF) ^{1/} | \$36,372 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$10 | \$36,382 |
| ● Intermediate Care Facilities (ICF) | \$0 | \$730 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$730 |
| ● Supported Living | \$0 | \$0 | \$1,603 | \$603 | \$10 | \$0 | \$0 | \$211 | \$0 | \$0 | \$466 | \$4,819 | \$1,348 | \$9,060 |
| ● Other (Own Home, etc.) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$2,853 | \$0 | \$0 | \$0 | \$0 | \$2,853 |
| TOTAL | \$36,372 | \$730 | \$1,603 | \$603 | \$10 | \$0 | \$0 | \$211 | \$2,853 | \$0 | \$466 | \$4,819 | \$1,358 | \$49,025 |

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2019-20

| Living Arrangement | Living Arrangement | | | Day Programs | Work Activity Program | Supported Employment Program | | Transportation | Support Services | In-Home Respite | Out-of-Home Respite | Health Care | Misc. | Total |
|---|--------------------|------------------------------|------------------|--------------|-----------------------|------------------------------|------------|----------------|------------------|-----------------|---------------------|----------------|--------------|-----------------|
| | CCF | Intermediate Care Facilities | Supported Living | | | Group | Individual | | | | | | | |
| Total CPP | | | | | | | | | | | | | | |
| ● Community Care Facilities (CCF) ^{1/} | \$16,784 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$5 | \$16,789 |
| ● Intermediate Care Facilities (ICF) | \$0 | \$337 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$337 |
| ● Supported Living | \$0 | \$0 | \$740 | \$278 | \$5 | \$0 | \$0 | \$97 | \$0 | \$0 | \$215 | \$2,224 | \$622 | \$4,181 |
| ● Other (Own Home, etc.) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$1,317 | \$0 | \$0 | \$0 | \$0 | \$1,317 |
| TOTAL | \$16,784 | \$337 | \$740 | \$278 | \$5 | \$0 | \$0 | \$97 | \$1,317 | \$0 | \$215 | \$2,224 | \$627 | \$22,624 |

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Values in thousands (000's)

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General Fund

DESCRIPTION:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

ASSUMPTIONS/METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

| | | <u>2018-19</u> | <u>2019-20</u> |
|--|--------------------|--------------------|--------------------|
| • GF Match: | | \$2,532,042 | \$2,774,792 |
| This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Services (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally-recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows: | | | |
| | FMAP % of GF Match | | |
| <i>Home and Community-Based Services (HCBS) Waiver</i> | 50.00% | 1,897,079 | 2,101,241 |
| <i>HCBS Waiver Administration</i> | 50.00% | 15,211 | 19,237 |
| <i>Medicaid Administration</i> | 25.00% | 5,145 | 5,969 |
| <i>Targeted Case Management (TCM)</i> | 50.00% | 237,022 | 247,125 |
| <i>TCM Administration</i> | 50.00% | 8,137 | 8,137 |
| <i>Intermediate Care Facility/Developmentally Disabled</i> | 50.00% | 60,845 | 60,845 |
| <i>Quality Assurance Fees (Operations) Portion only*</i> | 50.00% | 912 | 912 |
| <i>1915 (i) State Plan Amendment</i> | 50.00% | 278,496 | 302,749 |
| <i>Early Periodic Screening Diagnosis and Treatment</i> | 50.00% | 29,195 | 28,577 |
| • GF Other: | | \$1,591,673 | \$1,900,615 |
| These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, or Federal Funds. | | | |
| • TOTAL EXPENDITURES | | \$4,123,715 | \$4,675,407 |

General Fund**FUNDING:**

These amounts are reflected in the Operations and Purchase of Services methodology pages.

| | <u>2018-19</u> | <u>2019-20</u> |
|--------------|-----------------------|-----------------------|
| TOTAL | \$4,123,715 | \$4,675,407 |
| GF | \$4,123,715 | \$4,675,407 |
| GF Match | 2,532,042 | 2,774,792 |
| GF Other | 1,591,673 | 1,900,615 |

* For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget.

Reimbursements
Summary of Reimbursements and General Fund (GF) Match

| REIMBURSEMENTS a/ | Governor's Budget 2018-19 | Updated 2018-19 | Governor's Budget 2019-20 | Updated 2019-20 |
|---|---------------------------------|--------------------|---------------------------------|--------------------|
| A. Home and Community-Based Services (HCBS) Waiver | \$3,760,213 | \$3,794,158 | \$3,999,341 | \$4,202,482 |
| 1. Reimbursement (from DHCS) | 1,880,107 | 1,897,079 | 1,999,671 | 2,101,241 |
| 2. DDS GF Match (Purchase of Services) | 1,880,106 | 1,897,079 | 1,999,670 | 2,101,241 |
| B. HCBS Waiver Administration | \$30,423 | \$30,423 | \$38,792 | \$38,474 |
| 1. Reimbursement (from DHCS) = 50% | 15,212 | 15,212 | 19,396 | 19,237 |
| 2. DDS GF Match = 50% (Operations) | 15,211 | 15,211 | 19,396 | 19,237 |
| C. Medicaid Administration | \$20,581 | \$20,581 | \$25,098 | \$23,877 |
| 1. Reimbursement (from DHCS) = 75% | 15,436 | 15,436 | 18,824 | 17,908 |
| 2. DDS GF Match = 25% (Operations) | 5,145 | 5,145 | 6,274 | 5,969 |
| D. Targeted Case Management (TCM) | \$469,107 | \$474,043 | \$483,379 | \$494,250 |
| 1. Reimbursement (from DHCS) = 50% | 234,553 | 237,021 | 241,689 | 247,125 |
| 2. DDS GF Match = CY 50% and BY 50% (Operations) | 234,554 | 237,022 | 241,690 | 247,125 |
| E. Targeted Case Management Administration | \$16,274 | \$16,274 | \$16,274 | \$16,274 |
| 1. Reimbursement (from DHCS) = 50% | 8,137 | 8,137 | 8,137 | 8,137 |
| 2. DDS GF Match = 50% (Operations) | 8,137 | 8,137 | 8,137 | 8,137 |
| F. Title XX Block Grant | \$213,421 | \$213,421 | \$213,421 | \$213,421 |
| 1a. Social Services (from DSS) = 100% | 136,264 | 136,264 | 136,264 | 136,264 |
| 1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100% (Purchase of Services) | 77,157 | 77,157 | 77,157 | 77,157 |
| G. Intermediate Care Facility-Developmentally Disabled (ICF-DD) | \$122,871 | \$121,691 | \$122,871 | \$121,691 |
| 1. Reimbursement (from DHCS) = 50% | 61,436 | 60,846 | 61,436 | 60,846 |
| 2. DDS GF Match = 50% (Purchase of Services) | 61,435 | 60,845 | 61,435 | 60,845 |
| H. Quality Assurance Admin Fees (Transfer from DHCS) b/ | \$11,794 | \$11,680 | \$11,794 | \$11,680 |
| 1a. Operations: | 1,843 | 1,825 | 1,843 | 1,825 |
| Reimbursements from DHCS = 50% | 922 | 913 | 922 | 913 |
| DDS GF Match = 50% | 921 | 912 | 921 | 912 |
| 1b. Purchase of Services: | 9,951 | 9,855 | 9,951 | 9,855 |
| Reimbursements from DHCS = 100% | 9,951 | 9,855 | 9,951 | 9,855 |
| I. 1915(i) State Plan Amendment | \$527,640 | \$556,989 | \$547,984 | \$605,495 |
| 1. Reimbursement (from DHCS) | 263,819 | 278,493 | 273,991 | 302,746 |
| 2. DDS GF Match (Purchase of Services) | 263,821 | 278,496 | 273,993 | 302,749 |
| J. Impacts from Other Departments BHT-FFS | \$13,203 | \$13,531 | \$13,203 | \$13,531 |
| 1. Reimbursement (from DHCS) = 100% (Purchase of Services) | 13,203 | 13,531 | 13,203 | 13,531 |
| K. Early Periodic Screening Diagnosis and Treatment | \$62,229 | \$58,390 | \$61,153 | \$57,154 |
| 1. Reimbursement (from DHCS) = 50% | 31,115 | 29,195 | 30,577 | 28,577 |
| 2. DDS GF Match = 50% (Purchase of Services) | 31,114 | 29,195 | 30,576 | 28,577 |
| L. TOTAL | \$5,247,756 | \$5,311,181 | \$5,533,310 | \$5,798,329 |
| 1. Reimbursements | \$2,747,312 | \$2,779,139 | \$2,891,218 | \$3,023,537 |
| 2. Total GF Match | \$2,500,444 | \$2,532,042 | \$2,642,092 | \$2,774,792 |

Values in thousands (000's)

Home and Community-Based Waiver

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for the developmentally disabled.

ASSUMPTIONS:

Data Source: Medicaid Waiver Total Billed Dollar Amounts and Client Counts report, based on Calendar year 2018.

METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|--------------------|--------------------|
| Base: 2018-19 | \$3,576,352 | \$3,733,481 |
| Total estimated expenditures based on billing data for the period January 2018 - December 2018. | 3,576,352 | |
| • Total estimated prior-year HCBS Waiver expenditures | | 3,774,188 |
| • Less Competitive, Integrated Employment Incentives | | -15,840 |
| • Less Bridge Funding | | 0 |
| • Less Home Health Rate Increase | | -21,362 |
| • Less Compliance with HCBS Regulations | | -7,440 |
| • Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facility. | | 3,935 |
| • Annual Growth, New regional center consumers: | 31,623 | 60,945 |
| • 2018-19 Annual Growth | 22,783 | |
| Add annual growth costs in 2018-19 for 3,226 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,500 monthly cost per consumer, phased-in. | | |
| • 2018-19 Annual Growth Continuation costs for regional center consumers added in 2017-18. | 8,840 | |
| • 2019-20 Annual Growth | | |
| Add annual costs in 2019-20 for 3,367 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,500 monthly cost per consumer, phased-in. | | 32,862 |
| • 2019-20 Annual Growth, Continuation costs for regional center consumers added in 2018-19. | | 28,083 |

Home and Community-Based Waiver

| METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| <ul style="list-style-type: none"> • Community Placement Program (CPP): <ul style="list-style-type: none"> • 2018-19 <ul style="list-style-type: none"> Add CPP & HCBS Waiver costs in 2018-19 for 223 consumers in the regional center system with \$22,000 monthly cost per consumer, phased-in. 11,258 • 2019-20 <ul style="list-style-type: none"> Add CPP & HCBS Waiver costs in 2019-20 for 82 consumers in the regional center system with \$22,000 monthly cost per consumer, phased-in. 11,154 • Placement Continuation: <ul style="list-style-type: none"> • 2018-19 <ul style="list-style-type: none"> The annual estimated Placement Continuation costs for 307 HCBS Waiver-eligible consumers in each living arrangement with \$22,000 monthly cost per consumer, phased-in. 10,076 • 2019-20 <ul style="list-style-type: none"> The annual estimated Placement Continuation costs for 223 HCBS Waiver-eligible consumers in each living arrangement with \$22,000 monthly cost per consumer, phased-in. 27,456 • SSI/SSP Increase/Regional center savings in Community Care Facilities -3,935 -4,400 • Developmental center closure - CPP 11,908 0 • ABX2 1 Competitive, Integrated Employment Incentives 15,840 15,840 • Bridge Funding 29,184 0 • Uniform Holiday Schedule 28,494 30,282 • Provider Rate Adjustments POS 0 114,000 | | |

Home and Community-Based Waiver

| METHODOLOGY (continued): | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| • Home Health Rate Increase | 21,362 | 24,100 |
| • Compliance with HCBS Regulations | 7,440 | 7,440 |
| • SB 3 - Minimum Wage Increase, January 1, 2019 | 54,556 | 115,700 |
| • SB 3 - Minimum Wage Increase, January 1, 2020 | 0 | 66,484 |

FUNDING:

The expenditures of HCBS Waiver reimbursements are in all of the Purchase of Services budget categories, except Medical Facilities.

| EXPENDITURES: | <u>2018-19</u> | <u>2019-20</u> |
|----------------------|-----------------------|-----------------------|
| Total | 3,794,158 | 4,202,482 |
| GF Match | 1,897,079 | 2,101,241 |
| FFP | 1,897,079 | 2,101,241 |

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

| EXPENDITURES: | | % of Actual 2017-18 Expenditures by Budget Category | <u>2018-19</u> | <u>2019-20</u> |
|--|--------|--|-----------------------|-----------------------|
| Total Estimated HCBS Waiver-Billable Expenditures | | | \$3,794,158 | \$4,202,482 |
| General Fund (GF) Match | | | 1,897,079 | 2,101,241 |
| Federal financial participation (FFP) | | | 1,897,079 | 2,101,241 |
| Purchase of Services: Budget Categories | | | | |
| Community Care Facilities | | | 1,211,853 | 1,279,797 |
| GF Match | 33.43% | | 605,926 | 639,898 |
| FFP | | | 605,927 | 639,899 |
| Day Programs | | | 618,336 | 653,004 |
| GF Match | 17.06% | | 309,168 | 326,502 |
| FFP | | | 309,168 | 326,502 |
| Work Activity Program | | | 28,294 | 29,880 |
| GF Match | 0.78% | | 14,147 | 14,940 |
| FFP | | | 14,147 | 14,940 |
| Supported Employment Placement (SEP) - Group | | | 32,682 | 34,514 |
| GF Match | 0.90% | | 16,341 | 17,257 |
| FFP | | | 16,341 | 17,257 |
| SEP - Individual | | | 6,765 | 7,144 |
| GF Match | 0.19% | | 3,383 | 3,572 |
| FFP | | | 3,382 | 3,572 |
| Transportation | | | 233,830 | 246,940 |
| GF Match | 6.45% | | 116,915 | 123,470 |
| FFP | | | 116,915 | 123,470 |
| Support Services | | | 1,028,678 | 1,086,352 |
| GF Match | 28.37% | | 514,339 | 543,176 |
| FFP | | | 514,339 | 543,176 |
| In-Home Respite | | | 318,760 | 336,632 |
| GF Match | 8.79% | | 159,380 | 168,316 |
| FFP | | | 159,380 | 168,316 |
| Out-of-Home Respite | | | 32,640 | 34,470 |
| GF Match | 0.90% | | 16,320 | 17,235 |
| FFP | | | 16,320 | 17,235 |

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

| EXPENDITURES (continued): | | % of Actual 2017-18 Expenditures by Budget Category | <u>2018-19</u> | <u>2019-20</u> |
|--|----------|--|-----------------------|-----------------------|
| Health Care | | | 24,330 | 25,695 |
| | GF Match | 0.67% | 12,165 | 12,848 |
| | FFP | | 12,165 | 12,847 |
| Miscellaneous | | | 89,206 | 94,208 |
| | GF Match | 2.46% | 44,603 | 47,104 |
| | FFP | | 44,603 | 47,104 |
| Polices | | | | |
| Provider Rate Adjustments POS | | | 0 | 114,000 |
| | GF Match | | 0 | 57,000 |
| | FFP | | 0 | 57,000 |
| ABX2 1 - Competitive, Integrated Employment Incentives | | | 15,840 | 15,840 |
| | GF Match | | 7,920 | 7,920 |
| | FFP | | 7,920 | 7,920 |
| DC Closure - CPP | | | 11,908 | 0 |
| | GF Match | | 5,954 | 0 |
| | FFP | | 5,954 | 0 |
| Bridge Funding | | | 29,184 | 0 |
| | GF Match | | 14,592 | 0 |
| | FFP | | 14,592 | 0 |
| Compliance with HCBS Regulations | | | 7,440 | 7,440 |
| | GF Match | | 3,720 | 3,720 |
| | FFP | | 3,720 | 3,720 |
| Uniform Holiday | | | 28,494 | 30,282 |
| | GF Match | | 14,247 | 15,141 |
| | FFP | | 14,247 | 15,141 |
| Home Health Rate Increase | | | 21,362 | 24,100 |
| | GF Match | | 10,681 | 12,050 |
| | FFP | | 10,681 | 12,050 |
| SB 3 - Minimum Wage Increase, January 1, 2019 | | | 54,556 | 115,700 |
| | GF Match | | 27,278 | 57,850 |
| | FFP | | 27,278 | 57,850 |
| SB 3 - Minimum Wage Increase, January 1, 2020 | | | 0 | 66,484 |
| | GF Match | | 0 | 33,242 |
| | FFP | | 0 | 33,242 |

Values in thousands (000's)

Home and Community-Based Services Waiver Administration

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

ASSUMPTIONS/METHODOLOGY:

Training for Direct Support Professional Staff:

Welfare and Institutions Code Sections 4695.2, Statutes of 1998, mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program is conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). Estimate of the Operations costs covers the costs of challenge tests and training through the IA with CDE.

| | <u>2018-19</u> | <u>2019-20</u> |
|-------------------------------|----------------|----------------|
| Training for DSP Staff | \$2,658 | \$2,658 |

- Total cost for DSP Training is \$3,037.
- 87.53% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 87.53% of the cost are eligible for federal financial participation (FFP).

These costs are reflected under Operations, Projects.

Collection of FFP for Contracted Services:

Regional center staff renegotiate contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options, and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

| | | |
|---|-----|-----|
| Staffing for Collection of FFP for Contracted Services | 757 | 757 |
|---|-----|-----|

- Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893.
- 40% of the costs are eligible for FFP.

These costs are reflected under Operations, Staffing.

Home and Community-Based Services Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

| FFP Enhancement (related to 2003-04 Enhancing FFP Budget Change Proposal) | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| | 12,920 | 12,920 |

- Total regional center administrative cost for 2018-19 and 2019-20 is \$12,920.
- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

| | | |
|---|-------|-------|
| 2007-08 Legislative Augmentation: Resources for Health Care Community Specialist | 1,075 | 1,075 |
|---|-------|-------|

- Total cost for Resources for Health Care Community Specialist is \$1,097.
 - 98% of Agnews and Lanterman consumers are HCBS Waiver eligible, therefore it is assumed that 98% of these cost are eligible for FFP.
- These costs are reflected under Operations, Agnews Ongoing Workload and Lanterman Development Closure.

Compliance with HCBS Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

| | | |
|---|-------|-------|
| Compliance with HCBS Settings Regulations: | 1,422 | 1,422 |
|---|-------|-------|

- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in 2018-19 and 2019-20.
 - 100% of the costs are eligible for FFP.
- These costs are reflected under Operations, Operations Policies Items.

Home and Community-Based Services Waiver Administration**ASSUMPTIONS/METHODOLOGY (continued):**

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| Federal Medicaid Requirements for Regional Center HCBS Services | 984 | 984 |
| <ul style="list-style-type: none"> • Total cost: \$984 • 100% of cost are eligible for FFP. <p>These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.</p> | | |
| Office of Administrative Hearings: | 1,541 | 1,622 |
| <ul style="list-style-type: none"> • Total cost for 2018-19 is \$3,350 and \$3,525 for 2019-20 • 46% of cost are eligible for FFP. <p>These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects.</p> | | |
| Clients Rights Advocacy | 3,274 | 3,442 |
| <ul style="list-style-type: none"> • Total costs for 2018-19 is \$7,118 and \$7,483 for 2019-20. • 46% of cost are eligible for FFP. <p>These costs are reflected in the Clients Rights Advocacy estimate under Operations, Projects.</p> | | |
| Quality Assessment Contract: | 1,854 | 2,070 |
| <ul style="list-style-type: none"> • Total cost for 2018-19 is \$4,030 and \$4,500 for 2019-20. • 46% of cost are eligible for FFP <p>These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects.</p> | | |
| Special Incident Reporting/Risk Assessment: | 552 | 552 |
| <ul style="list-style-type: none"> • Total cost for 2018-19 and 2019-20 is \$1,200. • 46% of cost are eligible for FFP. <p>These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects.</p> | | |
| Regional Center Salary Increase: | | |
| <ul style="list-style-type: none"> • Total cost for 2018-19 and 2019-20 is \$722. • 100% of cost are eligible for FFP. <p>These costs are reflected under Operations, Operations Policies Items.</p> | 722 | 722 |

Home and Community-Based Services Waiver Administration**ASSUMPTIONS/METHODOLOGY (continued):**

| | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| Resources to Implement Assembly Bill X2 1 | 2,664 | 2,664 |
| <ul style="list-style-type: none"> • Total cost for 2018-19 and 2019-20 is \$2,664. • 100% of cost are eligible for FFP. These costs are reflected under Operations, Operations Policies Items. | | |
| Specialized Home Monitoring | | |
| <ul style="list-style-type: none"> • Total cost for 2019-20 is \$3,686 • 100% of cost are eligible for FFP. These costs are reflected under Operations, Operations Policies Items. | 0 | 3,686 |
| Provider Rate Adjustments Ops | 0 | 3,900 |
| <ul style="list-style-type: none"> • Total Cost for 2019-20 is \$3,900 These costs are reflected under Operations, Operations Policies Items. | | |
| • TOTAL EXPENDITURES | \$30,423 | \$34,574 |

FUNDING:

Funding for HCBS Waiver Administration reimbursement is 50% FFP and 50% General Fund (GF)

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-----------------|-----------------------|-----------------------|
| Total | \$30,423 | \$38,474 |
| <i>GF Match</i> | 15,212 | 19,237 |
| <i>FFP</i> | 15,212 | 19,237 |

Medicaid Administration

DESCRIPTION:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statue of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Agnews Ongoing Workload (AOW) and Lanterman Development Center Closure (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Consistent with the passage of Assembly Bill 1378 (Chapter 538, Statue of 2005), AOW employees provide clinical support services to monitor the health and well-being of Agnews residents who transitioned into the community consistent with the Department's Interagency Agreement for MA with the Department of Health Care Services.

Nurse consultants monitor consumer health care plans and health care for Agnews and Lanterman residents living in SB 962 homes. Eleven dental coordinators promote and coordinate dental resources for Agnews and Lanterman residents that have moved into the community.

State employees in the community may participate in all MA activities, including clinical support teams, SB 1038 health reviews, and compliance with HCBS Waiver requirements. (AOW only)

Medicaid Administration

ASSUMPTIONS/METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| <ul style="list-style-type: none"> Costs for Clinical Support Teams and SB 1038 Health Reviews are based on 2016-17 data collected from the regional centers. | \$14,224 | \$14,224 |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75%. | 10,668 | 10,668 |
| <ul style="list-style-type: none"> Staffing for Compliance with HCBS Waiver Requirements | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Total personal services and operating costs related to MA. | 2,600 | 2,600 |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> 100% of these costs are eligible for MA because related staff will be working only with HCBS Waiver consumers. | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75%. | 1,950 | 1,950 |
| <ul style="list-style-type: none"> Agnews Ongoing Workload and Lanterman Developmental Closure | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Nurse Consultants | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> <ul style="list-style-type: none"> Total personal services and operating costs related to MA. | 1,214 | 1,214 |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Consistent with the Community Placement Plan (CPP) assumptions, 98% of these costs are eligible for MA. | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75%. | 911 | 911 |
| <ul style="list-style-type: none"> State Employees in the Community | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Total personal services and operating costs related to MA. | 509 | 509 |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Consistent with CPP assumptions, 98% of these costs are eligible for MA. | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75%. | 382 | 382 |
| <ul style="list-style-type: none"> Dental Coordinators | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Total personal services and operating costs related to MA. | 524 | 524 |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> Consistent with CPP assumptions, 98% of these costs are eligible for MA. | | |
| <ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-Eligible costs is 75%. | 393 | 393 |

Medicaid Administration**ASSUMPTIONS/METHODOLOGY (continued):**

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| • Regional Center Salary Increase | | |
| • Increased funding for regional center staff and Operations. | 1,510 | 1,510 |
| • It is assumed that 100% of these costs are eligible for MA. | | |
| • The FFP portion of total MA-eligible costs is 75%. | 1,132 | 1,132 |
| • DC Closure Ongoing Workload | | |
| • Total personal services, operating and placement continuation costs related to MA. | 0 | 3,296 |
| • It is assumed that 100% of these costs are eligible for MA. | | |
| • The FFP portion of total MA-eligible costs is 75%. | 0 | 2,472 |

FUNDING:

The MA reimbursement is 75% FFP and 25% General Fund (GF). These MA funds are reflected in Operations section.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|--------------|-----------------------|-----------------------|
| Total | \$20,581 | \$23,877 |
| GF Match | 5,145 | 5,969 |
| FFP | 15,436 | 17,908 |

Targeted Case Management

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 223,000 Medi-Cal eligible persons in the regional center system as of March 07, 2019. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This program provides federal financial participation (FFP) for most of regional center case managers time spent on Medi-Cal eligible activities.

ASSUMPTIONS:

Source data is from the TCM Regional Center Billed Units report dated March 07, 2019 for the period of January 2018- December 2018.

| | <u>2018-19</u> | <u>2019-20</u> |
|--|------------------|------------------|
| METHODOLOGY: | | |
| • Base: TCM expenditures are based on actual TCM billable units for a 12-month period (January 2018 - December 2018 multiplied by the regional center TCM rates effective July 1, 2018. | \$439,433 | \$455,040 |
| • Improve Service Coordinator Caseload Ratios | 8,000 | 8,000 |
| • Regional Center Salary Increases | 26,014 | 26,014 |
| • Psychological Evaluations for BHT - Fee for service | 596 | 758 |
| • Specialized Caseload Ratios | 0 | 2,400 |
| • Trauma and Developmental Screenings | 0 | 1,000 |
| • Family Home Agency Oversight | 0 | 1,038 |
| Total Expenditures: | \$474,043 | \$494,250 |

FUNDING:

Funding for TCM reimbursement is 50% FFP and 50% General Fund (GF). TCM funds are reflected in the Core Staffing, Federal Compliance, and Operations Policy Items estimates, in the Operations section.

| | <u>2018-19</u> | <u>2019-20</u> |
|-----------------|------------------|------------------|
| Total | \$474,043 | \$494,250 |
| <i>GF Match</i> | <i>237,022</i> | <i>247,125</i> |
| <i>FFP</i> | <i>237,021</i> | <i>247,125</i> |

Values in thousands (000's)

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services for specific client groups. There are approximately 223,000 Medi-Cal eligible persons in the regional center system as of March 7, 2019. Federal legislation enacted in 1986 defined case management services as those which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the regional center case manager's time spent on Medi-Cal eligible developmentally disabled persons and the cost of providing administrative support to the case management program are both eligible for federal financial participation (FFP). These TCM Administration funds are for the proper and efficient administration of the TCM program. Department headquarters staff work a certain percentage of their workload administering the TCM program, which is reimbursed to allocate salaries, wages, and benefits.

ASSUMPTIONS:

Source of Data: The Departments Fiscal Systems - California State Accounting and Reporting System.

| | <u>2018-19</u> | <u>2019-20</u> |
|---|----------------|----------------|
| METHODOLOGY: | | |
| Utilizing a time survey, the Department gathers records of time spent by the Departments headquarter personnel providing administrative case management assistance to the regional centers. These surveys are used to allocate headquarters salaries, wages, and benefits, as well as a portion of the Department's statewide cost allocation, to administrative case management support. | \$16,274 | \$16,274 |

FUNDING:

Funding for the TCM Administration reimbursement is 50% FFP and 50% General Fund (GF). TCM Administration funds are reflected in the Core Staffing estimate under Operations.

| | <u>2018-19</u> | <u>2019-20</u> |
|----------------------|-----------------|-----------------|
| EXPENDITURES: | | |
| TOTAL | \$16,274 | \$16,274 |
| <i>GF Match</i> | <i>8,137</i> | <i>8,137</i> |
| <i>FFP</i> | <i>8,137</i> | <i>8,137</i> |

Title XX Block Grant

DESCRIPTION:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200% of the official income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

ASSUMPTIONS:

The Department's portion of the Title XX Block Grant is determined by DSS. There is no state matching requirements for these funds.

| | <u>2018-19</u> | <u>2019-20</u> |
|-----------------|------------------|------------------|
| Total | \$213,421 | \$213,421 |
| Social Services | 136,264 | 136,264 |
| TANF | 77,157 | 77,157 |

Title XX Block Grant**FUNDING:**

The Title XX Block Grant amount is determined by the DSS.

| EXPENDITURES: | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| Total Title XX Block Grant - | \$213,421 | \$213,421 |
| Social Services | | |
| Estimated Distribution in | \$136,264 | \$136,264 |
| Regional Center Purchases of Services | | |
| <i>Day Programs</i> | 44,424 | 44,424 |
| <i>Transportation</i> | 7,881 | 7,881 |
| <i>Support Services</i> | 59,424 | 59,424 |
| <i>In-Home Respite</i> | 20,653 | 20,653 |
| <i>Out-of-Home Respite</i> | 625 | 625 |
| <i>Miscellaneous</i> | 3,257 | 3,257 |
| TANF | | |
| Estimated Distribution in | \$77,157 | \$77,157 |
| Regional Center Purchases of Services | | |
| <i>Community Care Facilities</i> | 11,434 | 11,434 |
| <i>Day Programs</i> | 104 | 104 |
| <i>Transportation</i> | 644 | 644 |
| <i>Support Services</i> | 11,127 | 11,127 |
| <i>In-Home Respite</i> | 24,048 | 24,048 |
| <i>Out-of-Home Respite</i> | 4,084 | 4,084 |
| <i>Healthcare</i> | 1,699 | 1,699 |
| <i>Miscellaneous</i> | 24,017 | 24,017 |

Intermediate Care Facility - Developmentally Disabled State Plan Amendment

DESCRIPTION:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Program and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011.

IMPLEMENTATION DATE:

The SPA was approved April 14, 2011, retroactive to July 1, 2007.

ASSUMPTIONS/METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from calendar year 2018.

FUNDING:

Funding for ICF-DD is 50% FFP and 50% General Fund (GF) for Day Treatment and Transportation Services for consumers residing in an ICF-DD. It is assumed that 61% of this funding is for Day Programs, 26% is for Transportation services, 11% is for Support Services, 1% is for Habilitation Services, and the remaining 1% is for Miscellaneous. Please refer to the corresponding Purchase of Service Methodology for additional information.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|--------------|------------------|------------------|
| Total | \$121,691 | \$121,691 |
| GF Match | 60,845 | 60,845 |
| FFP | 60,846 | 60,846 |

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional center billing on behalf of the ICF-DD, administrative costs for the ICF-DD, and Quality Assurance Fees (QAF) the ICF-DD must remit to the Department of Health Care Services (DHCS) for these supplemental payments.

ASSUMPTIONS/METHODOLOGY:

- Source of Data: State Claims Purchase of Services (POS) Claims data file, actuals based on Calendar year 2018.
- ICF-DD Administrative Costs and QAF are set by DHCS.
- Regional center administration costs are 1.5% of Day Programs and Transportation expenditures.
- ICF-DD Administration costs are 1.5% of the cost of Day Program and Transportation expenditures for both the regional center and ICF-DD.
- POS costs for Day Program and Transportation total \$121.7 million. QAF is \$9.9 million.
- 2018-19 and 2019-20: Total billing costs are \$1.8 million for regional center administration, \$1.9 million for ICF-DD administration, and \$8.0 million QAF.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------------|-----------------------|
| Total | \$11,680 | \$11,680 |
| Operations | 1,825 | 1,825 |
| General Fund (GF) | 912 | 912 |
| <i>GF Match</i> | 912 | 912 |
| Reimbursements | | |
| <i>Federal Financial Participation (FFP) QAF</i> | 913 | 913 |
| POS | 9,855 | 9,855 |
| <i>QAF Admin Fees (FFP)</i> | 4,928 | 4,928 |
| <i>Transfer from DHCS</i> | 4,927 | 4,927 |

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs

FUNDING:

Funding for regional center Administration fees is 50% FFP and 50% GF and are reflected in ICF-DD Administration Fee in Operations. QAF is 100% FFP with the GF Match in DHCS' Budget, and are reflected in Quality Assurance Fees - POS.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-----------------|-----------------|-----------------|
| Total | \$11,680 | \$11,680 |
| <i>GF Match</i> | 912 | 912 |
| <i>FFP</i> | 10,768 | 10,768 |

1915 (i) State Plan Amendment

DESCRIPTION:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915 (i) State Plan Amendment (SPA) to Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915 (i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

ASSUMPTIONS:

Expenditure Data Source: Updated Purchase of Services (POS) billed expenditures, for the calendar year 2018.

METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|---|------------------|------------------|
| • Base: Updated actual 2017-18 expenditures were used to develop the 2018-19 base. For 2019-20, the prior year estimate for base and growth, with the following adjustments, was used as the base. | \$556,989 | \$605,495 |
| • Updated Costs without Community Placement Plan | 522,679 | 522,679 |
| • ABX2 1 - Competitive, Integrated Employment Incentives | 2,160 | 2,160 |
| • Home Health Rate Increase | 2,238 | 2,526 |
| • Uniform Holiday | 9,602 | 10,118 |
| • Compliance with Home and Community-Based Services (HCBS) Regulations | 560 | 560 |
| • Provider Rate Adjustments POS | 0 | 16,000 |
| • Purchase of Services SB3 Minimum Wage, 1/1/2019 | 15,988 | 32,676 |
| • Purchase of Services SB3 Minimum Wage, 1/1/2020 | 0 | 18,776 |
| • Bridge Funding | 3,762 | 0 |
| • Total Expenditures: | \$556,989 | \$605,495 |

FUNDING:

The 1915(i) SPA funds a broad array of POS costs for eligible individuals in all of the POS budget categories except for Medical Facilities. 1915(i) SPA expenditures are funded by federal financial participation (FFP) determined by the Federal Medical Assistance Percentage of 50% and 50% General Fund (GF).

1915 (i) State Plan Amendment**EXPENDITURES:**

| | | <u>2018-19</u> | <u>2019-20</u> |
|---|--------------|-----------------------|-----------------------|
| | Total | \$556,989 | \$605,495 |
| | GF Match | 278,496 | 302,749 |
| | FFP | 278,493 | 302,746 |
| % of Expenditures by Budget Category 2018-19 & 2019-20 | | | |
| Community Care Facilities | 23.18% | 121,151 | 121,151 |
| <i>GF Match</i> | | 60,575 | 60,576 |
| <i>FFP</i> | | 60,576 | 60,575 |
| Day Programs | 23.82% | 124,502 | 124,502 |
| <i>GF Match</i> | | 62,252 | 62,251 |
| <i>FFP</i> | | 62,250 | 62,251 |
| Habilitation | 4.12% | 21,556 | 21,556 |
| <i>GF Match</i> | | 10,779 | 10,779 |
| <i>FFP</i> | | 10,777 | 10,777 |
| Transportation | 4.65% | 24,280 | 24,280 |
| <i>GF Match</i> | | 12,141 | 12,141 |
| <i>FFP</i> | | 12,139 | 12,139 |
| Support Services | 28.73% | 150,180 | 150,180 |
| <i>GF Match</i> | | 75,090 | 75,090 |
| <i>FFP</i> | | 75,090 | 75,090 |
| In-Home Respite | 8.02% | 41,944 | 41,944 |
| <i>GF Match</i> | | 20,972 | 20,972 |
| <i>FFP</i> | | 20,972 | 20,972 |
| Out-of-Home Respite | 0.72% | 3,780 | 3,780 |
| <i>GF Match</i> | | 1,890 | 1,890 |
| <i>FFP</i> | | 1,890 | 1,890 |
| Health Care | 1.62% | 8,493 | 8,493 |
| <i>GF Match</i> | | 4,246 | 4,246 |
| <i>FFP</i> | | 4,247 | 4,247 |
| Miscellaneous | 5.13% | 26,793 | 26,793 |
| <i>GF Match</i> | | 13,396 | 13,396 |
| <i>FFP</i> | | 13,397 | 13,397 |

1915 (i) State Plan Amendment

| EXPENDITURES (Continued): | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------------|-----------------------|
| ABX2 1 - Competitive, Integrated Employment | | |
| Incentives | 2,160 | 2,160 |
| <i>GF Match</i> | 1,080 | 1,080 |
| <i>FFP</i> | 1,080 | 1,080 |
| Home Health Rate Increase | 2,238 | 2,526 |
| <i>GF Match</i> | 1,119 | 1,263 |
| <i>FFP</i> | 1,119 | 1,263 |
| Bridge Funding | 3,762 | 0 |
| <i>GF Match</i> | 1,881 | 0 |
| <i>FFP</i> | 1,881 | 0 |
| Uniform Holiday | 9,602 | 10,118 |
| <i>GF Match</i> | 4,801 | 5,059 |
| <i>FFP</i> | 4,801 | 5,059 |
| Compliance with HCBS Regulations | 560 | 560 |
| <i>GF Match</i> | 280 | 280 |
| <i>FFP</i> | 280 | 280 |
| Provider Rate Adjustment POS | 0 | 16,000 |
| <i>GF Match</i> | 0 | 8,000 |
| <i>FFP</i> | 0 | 8,000 |
| SB 3 Min Wage increase, 01/01/19 | 15,988 | 32,676 |
| <i>GF Match</i> | 7,994 | 16,338 |
| <i>FFP</i> | 7,994 | 16,338 |
| SB 3 Min Wage increase, 01/01/20 | 0 | 18,776 |
| <i>GF Match</i> | 0 | 9,388 |
| <i>FFP</i> | 0 | 9,388 |

Values in thousands (000's)

Early Periodic Screening Diagnosis and Treatment

DESCRIPTION:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age 3 that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

ASSUMPTIONS:

The estimate is based on actual billed expenditures from July 2018 to December 2018. EPSDT funding is only in the Day Program budget category.

FUNDING:

Funding for EPSDT services is 50% federal financial participation (FFP) and 50% General Fund (GF).

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-----------------|-----------------|-----------------|
| Total | \$58,390 | \$57,154 |
| <i>GF Match</i> | <i>29,195</i> | <i>28,577</i> |
| <i>FFP</i> | <i>29,195</i> | <i>28,577</i> |

Department of Health Care Services-Behavioral Health Treatment Fee-for-Service

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21. BHT services are effective retroactively to July 1, 2014.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit for children with a diagnosis of Autism Spectrum Disorder (ASD). Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the proposed Medi-Cal benefit. Those receiving BHT services through the Department before September 15, 2014, transitioned to DHCS between February and October 2016.

Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation. However, CMS determined Medi-Cal must cover BHT services for all children whose services have been determined to be medically necessary, regardless of their ASD diagnosis. As a result, effective March 1, 2018, the Department transitioned these children to DHCS on a Fee-for-Service (FFS) basis.

The estimate reflects savings from BHT FFS consumers who transitioned to DHCS beginning February 1, 2016 and those who transitioned beginning March 1, 2018. These children will continue to receive services through the regional centers, and DHCS will reimburse the Department for the related expenditures.

ASSUMPTIONS:

Reimbursements were estimated based on FFS consumers who transitioned beginning February 1, 2016 and March 1, 2018. Full year costs for these consumers were estimated based on actual 2017-18 data. Of the savings from BHT FFS, an estimated 71.4% is attributed to Miscellaneous Services while 28.6% is attributed to Support Services.

FUNDING:

Expenditures are reimbursed from DHCS.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-----------------------|-----------------|-----------------|
| Total | \$13,531 | \$13,531 |
| <i>Reimbursements</i> | <i>13,531</i> | <i>13,531</i> |

Program Developmental Fund/Parental Fees

DESCRIPTION:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400% of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code Sections 4677, 4782, and 4785).

ASSUMPTIONS/METHODOLOGY:

Deposits into the Program Development Fund are based on historical revenue averages.

FUNDING:

Program Development Fund expenditures are reflected in the Purchase of Services, Miscellaneous Services Expenditure category.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-------|----------------|----------------|
| Total | \$2,253 | \$2,242 |

Developmental Disabilities Services Account

DESCRIPTION:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

ASSUMPTIONS/METHODOLOGY:

Based on historical and current data, the Department expects to receive housing proposals with application fees totaling \$150,000.

FUNDING:

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-------|----------------|----------------|
| Total | \$150 | \$150 |

Mental Health Services Fund

DESCRIPTION:

Initiative Statute Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1 million to provide funds to counties to expand services and develop innovative programs and integrated service plans for mentally ill children, adults, and seniors. Consistent with the requirements of the MHSA, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness).

ASSUMPTIONS/METHODOLOGY:

Funding is used to ensure ongoing statewide technical assistance to promote interagency collaboration regarding access to mental health services and improve clinical capacity and effectiveness of direct services. Specifically, regional centers develop innovative projects, which focus on prevention, early intervention, and treatment for children and adult consumers with mental health diagnoses, and provide support for families.

FUNDING:

These funds are a separate fund source and do not require General Fund match. These funds are reflected in the Increased Access to Mental Health Services estimate, under regional center Operations, Projects.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|-------|----------------|----------------|
| Total | \$740 | \$740 |

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

DESCRIPTION:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, Sections 303.1 through 303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, Sections 52000 through 52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), Sections 95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers. This was formerly called Prevention Program in prior estimates.

ASSUMPTIONS:

Annual grant amounts are determined by the federal Office of Special Education Programs (OSEP). The Department received a grant award letter dated June 29, 2018, for federal fiscal year 2018.

METHODOLOGY:

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) regional center Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

METHODOLOGY: (Continued):

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| • Other Agencies | \$19,109 | \$19,094 |
| • CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation. | | |
| Local Education Agencies | 14,245 | 14,245 |
| Support | 355 | 355 |
| • System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings. | 1,850 | 1,835 |
| • Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC 95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC 95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning. | 4,662 | 4,662 |
| Family Resources Center: Federal Funds grant amount | 2,659 | 2,659 |
| Family Resources Services: 100% General Fund | 2,003 | 2,003 |

Early Start Part C Grant, Federal Funds/ Early Start Family Resource Center

METHODOLOGY (Continued):

| | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------|-----------------|
| • Regional Center POS | 34,067 | 33,392 |
| The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in 2017-18 by budget category. | | |
| Day Programs | 18,290 | 17,925 |
| Support Services | 270 | 265 |
| In-Home Respite | 81 | 79 |
| Out-of-Home Respite | 73 | 72 |
| Health Care | 2,179 | 2,136 |
| Miscellaneous Services | 13,174 | 12,915 |
| • Total Expenditures | \$53,176 | \$52,486 |

FUNDING:

The annual Part C Grant is independently determined by OSEP. It is 100% federal funds and requires a Maintenance of Effort from the State. Family Resources Services are 100% General Fund (GF).

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|--------------|-----------------|-----------------|
| Total | \$55,179 | \$54,489 |
| <i>Grant</i> | 53,176 | 52,486 |
| <i>GF</i> | 2,003 | 2,003 |

Foster Grandparent Program

DESCRIPTION:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women age 55 and older, the opportunity to serve their community by sharing their time and attention with children under the age of 22 who have developmental disabilities. Foster grandparents volunteer in community schools, developmental centers, Head Start centers, foster homes, and pre-schools (see Regional Center Operations, Projects, for more detail on the total regional center costs for this program).

ASSUMPTIONS/METHODOLOGY:

The FGP grant is used as a fund source for specified regional center Operations costs in six regional centers: Valley Mountain, Tri-Counties, Kern, Central Valley, San Andreas, and San Gabriel. The annual funding is calculated based on each of the participating regional centers' costs for Personal Service and Operating Expense.

FUNDING:

Federal funds are reflected in the Foster Grandparent/Senior Companion Programs estimate, under regional center Operations, Projects.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|----------------|
| Grant Amount: | \$1,000 | \$1,094 |

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SECTION J: IMPACT FROM OTHER DEPARTMENTS

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Impacts from Other Departments Behavioral Health Treatment Consumers with No ASD Diagnosis Transition Effective March 1, 2018 & July 1, 2018

DESCRIPTION:

The Department of Health Care Services (DHCS) obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include Behavioral Health Treatment (BHT) as a Medi-Cal benefit for consumers under 21 years old with a diagnosis of Autism Spectrum Disorder (ASD). Between February and October 2016, children with an ASD diagnosis transitioned to DHCS for BHT services. Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation (FFP) under the 1915(i) State Plan Amendment (SPA) or the Home and Community-Based Services (HCBS) Waiver. However, CMS determined Medi-Cal must cover medically necessary BHT services for all children, regardless of their diagnoses. Therefore, beginning October 1, 2016, FFP was no longer available under the Department's 1915(i) SPA or HCBS Waivers for BHT services provided to children without an ASD diagnosis.

Effective March 1, 2018, the Department transitioned payment of BHT services for children with fee-for-service Medi-Cal to DHCS. These children will continue to receive services through the regional centers, and DHCS will fully reimburse the Department for the non-federal fund related expenditures.

Beginning July 1, 2018, BHT services for children enrolled in a Medi-Cal managed care plan transitioned to Medi-Cal coverage. Once transitioned, they receive services directly through their DHCS' managed care plan providers.

ASSUMPTIONS:

Savings are estimated based on prior year actual costs of BHT services for children without an ASD diagnosis and ineligible for FFP.

METHODOLOGY:

| | 2018-19 | 2019-20 |
|---------------------------|------------------|-----------------|
| Fee-for-Service | -\$6,357 | -\$6,357 |
| Medi-Cal Managed Care | -42,515 | 0 |
| Total Expenditures | -\$48,872 | -\$6,357 |

FUNDING:

Expenditures for BHT consumers with no ASD diagnosis are funded by 100% General Fund (GF). The fee-for-service expenditures are fully reimbursed by DHCS.

**Impacts from Other Departments
Behavioral Health Treatment
Consumers with No ASD Diagnosis Transition
Effective March 1, 2018 & July 1, 2018**

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The \$3.2 million GF increase in current year savings is due to updated expenditure data and a more accurate reflection of expenditures prior to completion of transition for Medi-Cal Managed Care consumers.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor increase in budget year savings reflects updated FFS expenditure data.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|------------------|-----------------|
| TOTAL | -\$48,872 | -\$6,357 |
| GF | -48,872 | -6,357 |
| Reimbursement | 0 | 0 |

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SECTION K: POLICIES

OPERATIONS

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PURCHASE OF SERVICES

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Developmental Center Closure/Ongoing Workload

DESCRIPTION:

With the closure of the developmental centers and the transition of individuals to community living arrangements, regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews.

ASSUMPTIONS:

Budget year includes funding to provide salaries, benefits, and operating expenses and equipment for the 88 continuing regional center positions for quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

FUNDING:

Funding for Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|----------------|
| TOTAL | \$0 | \$8,302 |
| GF | 0 | 5,830 |
| Reimbursement | 0 | 2,472 |

Family Home Agency Oversight

DESCRIPTION:

A Family Home Agency (FHA) certifies family home providers who offer the opportunity for up to two adults, who have a developmental disability, per home to reside with a family and share in the interaction and responsibilities of being part of a family. Benefits of this living option includes an opportunity for an individual to live in a family home, where the supports are about the individual, and is less reliant on direct service professionals, and are generally in line with the federal Home and Community Based-Services final regulations. Currently, there are 1,650 regional center consumers receiving FHA services.

The Department requests 21 regional center positions to provide additional monitoring and oversight of FHA services and to encourage development of this community living option. The Department assumes a cost avoidance as more individuals are placed in FHA homes. The Department assumes the number of FHA homes will increase by 1,000 over the next three years.

ASSUMPTIONS:

This policy assumes an additional twenty-one (21) Service Coordinators are required for development and oversight of FHA.

METHODOLOGY:

| | Annual Salary |
|------------------------------------|--------------------|
| Service Coordinator Salary | \$55,000 |
| Fringe Benefits – 34% | 18,700 |
| Operating Expense & Equipment | 3,400 |
| Annual Cost Per Position | \$77,100 |
| Total Positions | 21 |
| Total Annual Cost (Rounded) | \$1,619,000 |

FUNDING:

Funding for FHA Oversight is comprised of General Fund and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a proposed new policy effective July 1, 2019.

Family Home Agency Oversight

EXPENDITURES:

| | <u>2018-19</u> | <u>* 2019-20</u> |
|---------------|----------------|------------------|
| TOTAL | \$0 | \$1,619 |
| GF | 0 | 1,100 |
| Reimbursement | 0 | 519 |

Ongoing Operations Policy Items

This category of regional center operating expenses includes various previously approved policy items as described below:

DESCRIPTION:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| Improve Service Coordinator Caseload Ratios: | \$17,000 | \$17,000 |
| Approved in the 2016 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to improve caseload ratios. | | |
| Compliance with Home and Community-Based Services (HCBS) Operations: | 1,422 | 1,422 |
| Approved in the 2016 Budget Act, funds are provided for regional centers to hire program evaluators to perform initial and ongoing efforts and activities necessary for compliance with HCBS settings requirements. | | |
| Assembly Bill (AB) X2 1 Regional Center Operations Increases: | 56,600 | 56,600 |
| Appropriated by Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]), \$31.1 million General Fund (GF) plus matching funds are available for regional centers' staffing, benefits, and administrative expenses, as well as \$11 million GF to fund regional center and community - based organizations' proposals to promote equity in the purchase of services for individuals with developmental disabilities. | | |
| Resources to Implement AB X2 1 Operations: | 4,164 | 4,164 |
| Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1] amended the W&I Code to require regional centers to implement the recommendations and plans to reduce disparities in the provision of services to underserved populations, as well as requirements to establish and report on a provider-administered competitive integrated employment program. The 2016 Budget Act appropriated these funds to regional centers to hire cultural specialists and employment specialists to implement AB X2 1. | | |

Ongoing Operations Policy Items

DESCRIPTION (continued):

| | <u>2018-19</u> | <u>2019-20</u> |
|---|-----------------|-----------------|
| Psychological Evaluations for Behavioral Health Treatment (BHT) Fee-for-Service Consumers: | 1,266 | 1,611 |
| Approved in the 2017 Budget Act, funds are provided to enable regional centers to hire or contract with psychologists to assess consumers with or without an Autism Spectrum Disorder diagnosis and provide referrals for Behavioral Health Treatment Services. | | |
| Kern Regional Center Operations | 300 | 0 |
| TOTAL EXPENDITURES | \$80,752 | \$80,797 |

FUNDING:

Funding for Operations Policy items are funded by the GF and reimbursements from the Medicaid Administration, Home and Community-Based Services Waiver Administration, and Targeted Case Management, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$80,752 | \$80,797 |
| GF | 59,911 | 59,875 |
| Reimbursement | 20,841 | 20,922 |

Provider Rate Adjustment Operations

DESCRIPTION:

The Department proposes an increase of \$4.5 million (\$2.5 million GF) for regional center operations, effective January 1, 2020. These operations funds will be used to implement proposed supplemental rate adjustments and activities related to accountability and transparency.

FUNDING:

Expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new proposed policy effective January 1, 2020.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|----------------|
| TOTAL | \$0 | \$4,450 |
| GF | 0 | 2,500 |
| Reimbursement | 0 | 1,950 |

Specialized Caseload Ratio

DESCRIPTION:

The Department proposes to establish a 1:25 service coordinator-to-consumer caseload ratio for consumers with complex needs. For purposes of this caseload ratio, consumers with complex needs may include individuals who reside or are at risk of residing in Institutions for Mental Diseases, Community Crisis Homes, state-operated acute crisis homes or out-of-state placements; have been admitted to a psychiatric hospital several times within the preceding six months; and individuals who transitioned from any such setting within the preceding 12 months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting.

The requested 1:25 ratio is a time limited need to enable service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities who have the most complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

ASSUMPTIONS:

An additional fifty (50) Service Coordinators are required to provide case management to an estimated 1,231 consumers considered high-risk.

METHODOLOGY:

| | Annual Salary |
|------------------------------------|--------------------|
| Service Coordinator Salary | \$55,000 |
| Fringe Benefits – 34% | 18,700 |
| Operating Expense & Equipment | 3,400 |
| Annual Cost Per Position | \$77,100 |
| Total Positions | 49.24 |
| Total Annual Cost (Rounded) | \$3,800,000 |

FUNDING:

Funding for Specialized Caseload Ratio is comprised of General Fund (GF) and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Specialized Caseload Ratio

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------------|-----------------------|
| TOTAL | \$0 | \$3,800 |
| GF | 0 | 2,600 |
| Reimbursement | 0 | 1,200 |

Specialized Home Monitoring

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC), Sections 4684.70 and 4684.84 (b) regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPHSN), and Enhanced Behavioral Supports Homes (EBSH). A local regional center licensed nurse and a qualified behavior modification professional must each visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPHSN and EBSH, or more frequently if specified in the consumer's individual program plan.

Similarly, WIC Section 4698 (e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCHs). Currently, this monitoring is funded with Community Placement Plan (CPP) funds. However, given the increase in the number of specialized homes in operation and development, CPP funds are not a viable long-term funding source. This proposal would provide sufficient funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

ASSUMPTIONS:

Regional centers employ and utilize registered nurses to monitor the ARFPHSNs, and licensed behavior specialists to monitor EBSHs and CCHs. Each registered nurse and licensed behavioral specialist is able to complete required monitoring of homes at a nurse/specialist-to-home ratio of 1:4. In 2019-20, the Department projects to have 93 operational ARFPHSNs, and 84 EBSH and CCHs combined.

METHODOLOGY:

| | Registered Nurse | Behavioral Specialist |
|--|-------------------------|------------------------------|
| Annual Salary | \$103,200 | \$85,000 |
| Fringe Benefits – 34% | 35,088 | 28,900 |
| Operating Expense & Equipment | 3,400 | 3,400 |
| Annual Cost Per Position | \$141,688 | \$117,300 |
| Total Positions | 23.25 | 21.00 |
| Annual Cost | \$3,294,000 | \$2,463,000 |
| Total Annual Cost for All Positions | | 5,757,000 |

Specialized Home Monitoring

FUNDING:

Funding for Specialized Home Monitoring is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increase reflects the updated annual salary for Behavioral Specialists, including fringe benefits and OE&E.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|----------------|
| TOTAL | \$0 | \$5,757 |
| GF | 0 | 3,914 |
| Reimbursement | 0 | 1,843 |

Trauma Informed Services for Foster Youth

DESCRIPTION:

Assembly Bill (AB) 2083 (Cooley, Chapter 815, Statutes of 2018) requires regional centers participate in the development of Memorandums of Understanding (MOU) and on interagency leadership teams and committees in each county. Except for regional centers serving Los Angeles and Orange Counties, each of the state's 21 regional centers serve multiple counties. Alta California Regional Center and Far Northern Regional Center, for example, serve 9 counties each. Therefore, the Department estimates regional centers need 15 two-year limited-term Senior/Supervising Coordinator positions statewide at an annual cost of \$1.6 million (\$1.1 million GF) for purposes of developing and implementing multiple MOUs, participating on multiple interagency leadership teams and placement committees, and performing AB 2083 activities. Funding for local assistance in Regional Center Operations will be for 2019-20 and 2020-21 only.

ASSUMPTIONS:

This policy assumes an additional 15 two-year limited-term Senior Supervising Coordinators are required statewide to implement MOUs and participate on interagency leadership teams and placement committees.

METHODOLOGY:

| | Annual Salary |
|------------------------------------|--------------------|
| Service Coordinator Salary | \$77,100 |
| Fringe Benefits – 34% | 26,210 |
| Operating Expense & Equipment | 3,400 |
| Annual Cost Per Position | \$106,710 |
| Total Positions | 15 |
| Total Annual Cost (Rounded) | \$1,600,000 |

FUNDING:

Funding for Trauma Informed Services for Foster Youth is comprised of General Fund and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is new proposed policy effective January 1, 2020.

Trauma Informed Services for Foster Youth

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------------|-----------------------|
| TOTAL | \$0 | \$1,600 |
| GF | 0 | 1,100 |
| Reimbursement | 0 | 500 |

Operations Policy Funding Detail

| Governor's | | | | Governor's | | | |
|--|-----------------|-----------------|------------|-----------------|-----------------|------------------|------------------|
| Budget | Updated | 2018-19 | | Budget | Updated | 2019-20 | |
| 2018-19 | 2018-19 | Request | | 2019-20 | 2019-20 | Over | 2019-20 |
| | | | | | | 2018-19 | Request |
| Community Placement Plan - DC Closure | | | | | | | |
| TOTAL | \$5,434 | \$5,434 | \$0 | \$0 | \$0 | (\$5,434) | \$0 |
| GF | \$5,434 | \$5,434 | \$0 | \$0 | \$0 | (\$5,434) | \$0 |
| GF Other | 5,434 | 5,434 | 0 | 0 | 0 | (5,434) | 0 |
| Developmental Center Closure/Ongoing Workload | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$8,302 | \$8,302 | \$8,302 | \$0 |
| GF | \$0 | \$0 | \$0 | \$3,903 | \$5,830 | \$5,830 | \$1,927 |
| GF Match | 0 | 0 | 0 | 2,753 | 824 | 824 | (1,929) |
| GF Other | 0 | 0 | 0 | 1,150 | 5,006 | 5,006 | 3,856 |
| Reimbursements | \$0 | \$0 | \$0 | \$4,399 | \$2,472 | \$2,472 | (\$1,927) |
| HCBS Waiver Admin | 0 | 0 | 0 | 1,930 | 0 | 0 | (1,930) |
| Medicaid Admin | 0 | 0 | 0 | 2,469 | 2,472 | 2,472 | 3 |
| Family Home Agency Oversight | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$0 | \$1,619 | \$1,619 | \$1,619 |
| GF | \$0 | \$0 | \$0 | \$0 | \$1,100 | \$1,100 | \$1,100 |
| GF Match | 0 | 0 | 0 | 0 | 519 | 519 | 519 |
| GF Other | 0 | 0 | 0 | 0 | 581 | 581 | 581 |
| Reimbursements | \$0 | \$0 | \$0 | \$0 | \$519 | \$519 | \$519 |
| TCM | 0 | 0 | 0 | 0 | 519 | 519 | 519 |
| Ongoing Operations Policy Items | | | | | | | |
| TOTAL | \$80,752 | \$80,752 | \$0 | \$81,097 | \$80,797 | \$45 | (\$300) |
| GF | \$59,911 | \$59,911 | \$0 | \$60,175 | \$59,875 | (\$36) | (\$300) |
| GF Match | 20,841 | 20,841 | 0 | 20,922 | 20,922 | 81 | 0 |
| GF Other | 39,070 | 39,070 | 0 | 39,253 | 38,953 | (117) | (300) |
| Reimbursements | \$20,841 | \$20,841 | \$0 | \$20,922 | \$20,922 | \$81 | \$0 |
| HCBS Waiver Admin | 2,404 | 2,404 | 0 | 2,404 | 2,404 | 0 | 0 |
| Medicaid Admin | 1,132 | 1,132 | 0 | 1,132 | 1,132 | 0 | 0 |
| TCM | 17,305 | 17,305 | 0 | 17,386 | 17,386 | 81 | 0 |
| Provider Rate Adjustment OPS | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$0 | \$4,450 | \$4,450 | \$4,450 |
| GF | \$0 | \$0 | \$0 | \$0 | \$2,500 | \$2,500 | \$2,500 |
| GF Match | 0 | 0 | 0 | 0 | 1,950 | 1,950 | 1,950 |
| GF Other | 0 | 0 | 0 | 0 | 550 | 550 | 550 |
| Reimbursements | \$0 | \$0 | \$0 | \$0 | \$1,950 | \$1,950 | \$1,950 |
| HCBS Waiver Admin | 0 | 0 | 0 | 0 | 1,950 | 1,950 | 1,950 |

Values in thousands (000's)

Operations Policy Funding Detail

| Governor's | | | | Governor's | | | |
|--|-----------------|-----------------|------------|-----------------|------------------|-----------------|----------------|
| Budget | Updated | 2018-19 | | Budget | Updated | 2019-20 | |
| 2018-19 | 2018-19 | Request | | 2019-20 | 2019-20 | Over | 2019-20 |
| | | | | | | 2018-19 | Request |
| Specialized Caseload Ratios | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$3,800 | \$3,800 | \$3,800 | \$0 |
| GF | \$0 | \$0 | \$0 | \$2,600 | \$2,600 | \$2,600 | \$0 |
| GF Match | 0 | 0 | 0 | 950 | 1,200 | 1,200 | 250 |
| GF Other | 0 | 0 | 0 | 1,650 | 1,400 | 1,400 | (250) |
| Reimbursements | \$0 | \$0 | \$0 | \$1,200 | \$1,200 | \$1,200 | \$0 |
| HCBS Waiver Admin | 0 | 0 | 0 | 825 | 0 | 0 | (825) |
| Medicaid Admin | 0 | 0 | 0 | 375 | 0 | 0 | (375) |
| TCM | 0 | 0 | 0 | 0 | 1,200 | 1,200 | 1,200 |
| Specialized Home Monitoring | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$5,450 | \$5,757 | \$5,757 | \$307 |
| GF | \$0 | \$0 | \$0 | \$3,706 | \$3,914 | \$3,914 | \$208 |
| GF Match | 0 | 0 | 0 | 1,380 | 1,843 | 1,843 | 463 |
| GF Other | 0 | 0 | 0 | 2,326 | 2,071 | 2,071 | (255) |
| Reimbursements | \$0 | \$0 | \$0 | \$1,744 | \$1,843 | \$1,843 | \$99 |
| HCBS Waiver Admin | 0 | 0 | 0 | 1,200 | 1,843 | 1,843 | 643 |
| Medicaid Admin | 0 | 0 | 0 | 544 | 0 | 0 | (544) |
| Trauma Informed Services for Foster Youth | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$0 | \$1,600 | \$1,600 | \$1,600 |
| GF | \$0 | \$0 | \$0 | \$0 | \$1,100 | \$1,100 | \$1,100 |
| GF Match | 0 | 0 | 0 | 0 | 500 | 500 | 500 |
| GF Other | 0 | 0 | 0 | 0 | 600 | 600 | 600 |
| Reimbursements | \$0 | \$0 | \$0 | \$0 | \$500 | \$500 | \$500 |
| TCM | 0 | 0 | 0 | 0 | 500 | 500 | 500 |
| Total Operations Policy Funding Detail | | | | | | | |
| TOTAL | \$86,186 | \$86,186 | \$0 | \$98,649 | \$106,325 | \$20,139 | \$7,676 |
| GF | \$65,345 | \$65,345 | \$0 | \$70,384 | \$76,919 | \$11,574 | \$6,535 |
| GF Match | 20,841 | 20,841 | 0 | 26,005 | 27,758 | 6,917 | 1,753 |
| GF Other | 44,504 | 44,504 | 0 | 44,379 | 49,161 | 4,657 | 4,782 |
| Reimbursements | \$20,841 | \$20,841 | \$0 | \$28,265 | \$29,406 | \$8,565 | \$1,141 |
| HCBS Waiver Admin | 2,404 | 2,404 | 0 | 6,359 | 6,197 | 3,793 | (162) |
| Medicaid Admin | 1,132 | 1,132 | 0 | 4,520 | 3,604 | 2,472 | (916) |
| TCM | 17,305 | 17,305 | 0 | 17,386 | 19,605 | 2,300 | 2,219 |

Values in thousands (000's)

Bridge Funding

DESCRIPTION:

The 2018 Budget Act provided the Department with one-time funding of \$25.0 million General Fund (GF) and related federal funding for service provider rate increases. These funds are available for encumbrance or expenditure until June 30, 2020. The distribution of funds is based upon a methodology determined by the Department, in consultation with stakeholders, including the Legislature, the Legislative Analyst's Office, the Developmental Services Task Force Rates Workgroup, and the Department of Finance.

Community-based day programs and community care facilities with rates established under the Alternative Residential Model in high cost counties will receive the rate increase estimated at 2.07%. High cost counties are those with an average weekly wage of \$900 or higher as compiled by the US Bureau of Labor Statistics data for the 4th quarter of 2017. This rate increase is effective from May 1, 2019 through April 30, 2020.

ASSUMPTIONS:

The rate increase is effective May 1, 2019 through April 30, 2020.

METHODOLOGY:

Community-based day programs and community care facilities under the Alternative Residential Model in high cost counties will receive a rate increase. High cost counties are those with an average weekly wage of \$900 per week, or higher, as compiled by the U.S. Bureau of Labor Statistics data for the 4th quarter of 2017. The percent of rate increase was calculated using the expenditures for the selected services in high cost counties as a percent to total of the \$42.0 million (\$25.0 million GF).

FUNDING:

Bridge funding expenditures are funded by GF and reimbursements from the Home and Community-Based Services Waiver, 1915(i) State Plan Amendment, and the Early and Periodic Screening, Diagnostic and Treatment benefit.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Bridge Funding

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|----------------|
| TOTAL | \$42,011 | \$0 |
| GF | 25,000 | 0 |
| Reimbursement | 17,011 | 0 |

Community Crisis Homes for Children Effective July 1, 2019

DESCRIPTION:

Although many children with developmental disabilities live in the family home, some children have more extensive behavioral needs that cannot be met in the family home or other existing settings. Both the Health and Safety Code and Welfare and Institutions Code were amended in 2014-15 to include the emergency regulations for community crisis homes. However, the statute only allows adults, who are in acute crisis, to be admitted into community crisis homes. Due to a current lack of options in the community, regional centers are sometimes required to rely on locked psychiatric settings for children and struggle to provide needed services in a child's home setting in response to acute crises. Through the Statewide Specialized Resource Service, the Department receives, on average, five referrals each month seeking residential services for children, many of whom are in crisis.

The Estimate includes a one-time augmentation of \$4.5 million General Fund for the development of three new community crisis homes for children. In addition, the proposed trailer bill language will amend the Health and Safety Code and the Welfare and Institutions Code to enable children in crisis to be placed into community crisis homes.

The homes will provide regional centers with immediate access to short-term crisis stabilization, with a limited duration of stay. The homes will be certified by the Department and licensed by the Department of Social Services. The Department will develop the necessary regulations with stakeholder input.

ASSUMPTIONS:

The Department will approve regional centers to develop three community crisis homes throughout California through the "Buy-It-Once" housing model to ensure the homes are used in perpetuity to provide crisis services to children with developmental disabilities. Prior to use, the homes will be renovated by a housing development organization to ensure regulations are met and qualities of the homes meet the needs of children in crisis. A service provider or providers will be selected to create a model that best serves the individuals referred. Providers will be required to recruit for enhanced staffing, provide extensive training, oversee the set-up of the homes, and oversight of the development process.

METHODOLOGY:

The Department plans to develop three new community crisis homes for children, at an estimated cost of \$4.5 million General Fund (GF), or \$1.5 million per home.

- Acquisition \$1,500,000 (\$500,000 per home)
- Renovation \$1,500,000 (\$500,000 per home)
- Service Provider Start-Up \$1,500,000 (\$500,000 per home)

Community Crisis Homes for Children Effective July 1, 2019

FUNDING:

Expenditures from the Community Crisis Homes for Children are 100% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|----------------|
| TOTAL | \$0 | \$4,500 |
| GF | 0 | 4,500 |
| Reimbursement | 0 | 0 |

Early Start Co-Pays

DESCRIPTION:

Current State statute regarding copayments, deductibles and coinsurance does not comply with early intervention federal grant requirements. If a family is charged a fee, including copayments, deductibles, or coinsurance, federal regulations require an assurance that families with public insurance or benefits, or private insurance, will not be charged disproportionately more than families who do not have public insurance or benefits, or private insurance.

The Department is proposing trailer bill language to amend Section 4659.1 of the Welfare and Institutions Code to enable regional centers to pay co-payments, coinsurance, and deductibles for services for children in the Early Start program, regardless of the family's income level.

ASSUMPTIONS:

This policy assumes regional center payments for copayments, coinsurance and deductibles for all children receiving Early Start services.

METHODOLOGY:

The estimate was based on actual FY 2017-18 copayment, coinsurance and deductible expenditures for children receiving Early Start services and assumes, consistent with current statute, all expenditures are for children from families with income under 400% of the Federal Poverty Level (FPL). The calculation further assumes these payments will occur at approximately twice the amount for children from families with income over 400% of the FPL.

FUNDING:

Funding for Early Start Co-Pays is 100% General Fund (GF).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a proposed new policy effective July 1, 2019.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|----------------|
| TOTAL | \$0 | \$1,000 |
| GF | 0 | 1,000 |
| Reimbursement | 0 | 0 |

Ongoing Purchase of Service Policy Items

This category of purchase of services expenses includes various previously approved policy items as described below:

DESCRIPTION:

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| Best Buddies: | \$1,500 | \$2,000 |
| <p>The one-time \$1.5 million General Fund (GF) provided to Best Buddies International pursuant to the 2018-19 Budget Act is proposed for permanent funding beginning in 2019-20. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state. Best Buddies will be required to report annually to the Department of Developmental Services on the number of consumers served and the types of services provided. The Department proposes an increase of \$500,000 GF for 2019-20.</p> | | |
| Competitive, Integrated Employment Incentives. | 29,000 | 29,000 |
| <p>Welfare and Institutions Code (WIC) was amended to add section 4870(d-g) to increase sustained competitive integrated employment (CIE) placements by regional center service providers. CIE is full or part-time work for which an individual is paid minimum wage or greater in a setting with others who do not have disabilities. Section 4870(d) authorizes \$29 million ongoing funding to the Department for incentive payments to providers for placement and retention of regional center consumers, consistent with a consumers Individual Program Plan.</p> <p>The regional center will be responsible for making incentive payments to service providers within their catchment area. The incentive payment amount for each individual placed in CIE is as follows:</p> <ol style="list-style-type: none"> 1. A payment of one thousand dollars (\$1,000) shall be made to the service provider who, on or after July 1, 2016, places an individual into CIE, and the individual is still competitively employed after 30 consecutive days. | | |

Ongoing Purchase of Service Policy Items

| | <u>2018-19</u> | <u>2019-20</u> |
|--|----------------|----------------|
| 2. An additional payment of one thousand two hundred fifty dollars (\$1,250) shall be made to the service provider for an individual described in paragraph (1) who remain in CIE for six consecutive months. | | |
| 3. An individual payment of one thousand five hundred dollars (\$1,500) shall be made to the service provider for an individual described in paragraphs (1) and (2) who remains in CIE for 12 consecutive months. | | |
| Compliance with Home and Community-Based Services (HCBS) POS: | \$15,000 | \$15,000 |
| <p>In January 2014, the Center for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) Home and Community-Based (HCBS) Waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states were allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. The \$15 million fixed funding includes opportunities to seek employment and work in competitive, integrated settings, engage in community life, control personal resources, and receive services in the community to the same degree of access as individuals not receiving Medicaid HCBS.</p> | | |
| Home Health Rate Increase: | 28,106 | 31,709 |
| <p>Effective July 1, 2018 the rates paid for home health agency and private duty nursing services increase by 50% to conform with the DHCS increase to the Medi-Cal rates for these services. Home health services include wound care, IV therapy, administering oral and intravenous medications, inserting gastronomy and nasogastric feeding tubes, and monitoring serious illnesses. These services are provided by nurses who are individual vendors. Pursuant to state regulations, the rates for these services purchased by regional centers are based on those established by Medi-Cal.</p> | | |

Ongoing Purchase of Service Policy Items

| | <u>2018-19</u> | <u>2019-20</u> |
|--|-----------------|-----------------|
| ICF-DD Supplemental Rate Increase: | 386 | 464 |
| Effective August 1, 2017, the DHCS implemented the Supplemental Payment Program for Intermediate Care Facilities for the Developmentally Disabled (ICF/DD). Pursuant to state regulations, the rates for ICF services purchased by regional centers are based on those established by DHCS. | | |
| Pediatric Day Health Care Rate Increase: | 476 | 502 |
| Pediatric Day Health Care (PDHC) is an Early and Periodic Screening, Diagnosis and Treatment supplemental skilled nursing Service when rendered by a PDHC facility licensed by the DHCS Licensing and Certification pursuant to Health and Safety Code, Section 1760. Effective July 1, 2018, DHCS increased reimbursement rates by 50 percent for certain PDHC services purchased by regional centers are based on those established by DHCS. | | |
| TOTAL EXPENDITURES | \$74,468 | \$78,675 |

FUNDING:

Funding for Purchase of Services Policy items are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Current Year net decrease of \$1.3 million (\$1.9 million GF decrease) reflects updated actual expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year net increase of \$2.4 million (\$1.2 million GF) reflects updated actual expenditures.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$74,468 | \$78,675 |
| GF | 49,668 | 52,362 |
| Reimbursement | 24,800 | 26,313 |

Provider Rate Adjustment Purchase of Services

DESCRIPTION:

The Department proposes an initial investment of \$163.7 million (\$98.7 million GF) for provider rate increases, effective January 1, 2020, pending approval of federal financial participation in 2019-20. The services targeted for rate adjustment are community care facilities, supported living services, family home agency, supported employment, personal assistance, transportation assistant and supplemental support services. These adjustments are intended to: 1) help stabilize capacity and maintain options for residential services; 2) address rate inequities between regional centers and amongst vendors, 3) stabilize the workforce, and 4) set the stage for system reforms and innovative redesign. On average, provider rates will increase by approximately 18% and no provider rate decreases are assumed as part of this proposal. The Department will set the new minimum reimbursement rate for each targeted service area, and the actual percentage increase per vendor will vary based on each individual vendor's current rate.

The Department is proposing trailer bill language, including emergency regulatory authority to implement these rate adjustments and increase regional center system accountability and transparency. Included in this increased accountability are proposed fingerprinting and background check requirements for direct service professionals.

ASSUMPTIONS:

This policy assumes the Department will set the new minimum reimbursement rate for each targeted service.

METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------------------|----------------|------------------|
| Community Care Facilities | \$0 | \$82,550 |
| Habilitation Services | 0 | 11,967 |
| Support Services | 0 | 67,603 |
| Transportation | 0 | 1,563 |
| TOTAL EXPENDITURES | \$0 | \$163,683 |

FUNDING:

Expenditures from the Rate Adjustment are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

Provider Rate Adjustment Purchase of Services

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

No change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new proposed policy effective January 1, 2020.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|----------------|------------------|
| TOTAL | \$0 | \$163,683 |
| GF | 0 | 98,683 |
| Reimbursement | 0 | 65,000 |

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2019

DESCRIPTION:

SB 3, Chapter 4, Statutes of 2016, requires the minimum wage to increase to \$12.00 per hour on January 1, 2019, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$12.00 to \$13.00 per hour will occur on January 1, 2020.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------------------|-----------------|------------------|
| Community Care Facilities | \$55,758 | \$117,170 |
| Day Program Services | 2,283 | 4,706 |
| Habilitation Services | 125 | 225 |
| Transportation | 142 | 300 |
| Support Services | 6,572 | 14,709 |
| In-Home Respite | 3,818 | 8,615 |
| Out-of-Home Respite | 17 | 34 |
| Miscellaneous | 1,111 | 2,415 |
| TOTAL EXPENDITURES | \$69,826 | \$148,174 |

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Current Year increase of \$1.4 million (\$0.5 million GF) reflects updated actual expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year increase of \$3.7 million (\$1.5 million GF) reflects updated actual expenditures.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2019

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------------|-----------------------|
| TOTAL | \$69,826 | \$148,174 |
| GF | 34,554 | 73,986 |
| Reimbursement | 35,272 | 74,188 |

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

DESCRIPTION:

SB 3, Chapter 4, Statutes of 2016, requires the minimum wage to increase to \$13.00 per hour on January 1, 2020, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$13.00 to \$14.00 per hour will occur on January 1, 2021.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------------------|----------------|-----------------|
| Community Care Facilities | \$0 | \$67,330 |
| Day Program Services | 0 | 2,704 |
| Habilitation Services | 0 | 129 |
| Transportation | 0 | 172 |
| Support Services | 0 | 8,452 |
| In-Home Respite | 0 | 4,950 |
| Out-of-Home Respite | 0 | 20 |
| Miscellaneous | 0 | 1,388 |
| TOTAL EXPENDITURES | \$0 | \$85,145 |

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Year increase of \$2.1 million (\$0.8 million) reflects updated actual expenditures.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------------|-----------------------|
| TOTAL | \$0 | \$85,145 |
| GF | 0 | 42,515 |
| Reimbursement | 0 | 42,630 |

Uniform Holiday Schedule

DESCRIPTION:

Welfare and Institutions Code Section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule as a General Fund cost savings measure in September 2009. On these holidays' providers are precluded from billing for services provided by work activity programs, activity centers, adult development centers, behavior management programs, social recreation programs, infant development programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs.

Funding was provided in 2018-19, so the Uniform Holiday Schedule was suspended. In 2019-20, the Department is proposing to again suspend the Uniform Holiday Schedule. However, funding for this proposal sunsets on December 31, 2021. Similar to 2018-19, the half-day billing rule is proposed to remain in effect in 2019-20.

ASSUMPTIONS:

This policy assumes the average daily cost of providing services impacted by the uniform holiday schedule is \$8.3 million per day, based on 2017-18 expenditures. The estimate assumes that on average each regional center/provider would observe 8 holidays if the policy is repealed. Therefore, 6 additional days of service would be provided, resulting in the estimated increased cost.

Uniform Holiday Schedule Expenditure Data Source: State Claims Data file, dated February 2019, with actuals through November 2018. Data were adjusted for lag based on historical trends.

METHODOLOGY:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------------------|-----------------------|-----------------------|
| Day Program Services | \$24,164 | \$24,894 |
| Habilitation Services | 942 | 848 |
| Transportation | 8,716 | 9,213 |
| Support Services | 11,280 | 12,386 |
| In-Home Respite | 527 | 529 |
| Miscellaneous | 2,302 | 2,430 |
| TOTAL EXPENDITURES | \$47,931 | \$50,300 |

Uniform Holiday Schedule

FUNDING:

Expenditures from the Uniform Holiday Schedule are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor increase reflects updated expenditure data.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Uniform Holiday Schedule policy is suspended until December 31, 2021, and is proposed to be enforced effective January 1, 2022.

EXPENDITURES:

| | <u>2018-19</u> | <u>2019-20</u> |
|---------------|-----------------|-----------------|
| TOTAL | \$47,931 | \$50,300 |
| GF | 28,818 | 30,100 |
| Reimbursement | 19,113 | 20,200 |

Purchase of Service Policy Funding Detail

| | Governor's Budget 2018-19 | | | Governor's Budget 2019-20 | | | 2019-20 Over 2018-19 | 2019-20 Request |
|---|---------------------------------|--------------------|-------------------|---------------------------------|------------------|-------------------|----------------------------|--------------------|
| | Updated 2018-19 | 2018-19 Request | | Updated 2019-20 | | | | |
| BHT Consumers without an ASD Diagnosis | | | | | | | | |
| TOTAL | (\$45,625) | (\$48,872) | (\$3,247) | (\$6,187) | (\$6,357) | \$42,515 | | (\$170) |
| GF | (\$45,625) | (\$48,872) | (\$3,247) | (\$6,187) | (\$6,357) | \$42,515 | | (\$170) |
| GF Other | (45,625) | (48,872) | (3,247) | (6,187) | (6,357) | 42,515 | | (170) |
| Bridge Funding | | | | | | | | |
| TOTAL | \$42,011 | \$42,011 | \$0 | \$0 | \$0 | (\$42,011) | | \$0 |
| GF | \$25,000 | \$25,000 | \$0 | \$0 | \$0 | (\$25,000) | | \$0 |
| GF Match | 17,011 | 17,011 | 0 | 0 | 0 | (17,011) | | 0 |
| GF Other | 7,989 | 7,989 | 0 | 0 | 0 | (7,989) | | 0 |
| Reimbursements | \$17,011 | \$17,011 | \$0 | \$0 | \$0 | (\$17,011) | | \$0 |
| HCBS Waiver | 14,592 | 14,592 | 0 | 0 | 0 | (14,592) | | 0 |
| 1915(i) | 1,881 | 1,881 | 0 | 0 | 0 | (1,881) | | 0 |
| EPSDT | 538 | 538 | 0 | 0 | 0 | (538) | | 0 |
| Community Crisis Homes for Children | | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$4,500 | \$4,500 | \$4,500 | | \$0 |
| GF | \$0 | \$0 | \$0 | \$4,500 | \$4,500 | \$4,500 | | \$0 |
| GF Other | 0 | 0 | 0 | 4,500 | 4,500 | 4,500 | | 0 |
| Community Placement Plan - DC Closure | | | | | | | | |
| TOTAL | \$21,610 | \$25,201 | \$3,591 | \$0 | \$0 | (\$25,201) | | \$0 |
| GF | \$14,538 | \$19,246 | \$4,708 | \$0 | \$0 | (\$19,246) | | \$0 |
| GF Match | 7,069 | 5,953 | (1,116) | 0 | 0 | (5,953) | | 0 |
| GF Other | 7,469 | 13,293 | 5,824 | 0 | 0 | (13,293) | | 0 |
| Reimbursements | \$7,072 | \$5,955 | (\$1,117) | \$0 | \$0 | (\$5,955) | | \$0 |
| HCBS Waiver | 7,072 | 5,955 | (1,117) | 0 | 0 | (5,955) | | 0 |
| Early Start Co-Pays | | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$0 | \$1,000 | \$1,000 | | \$1,000 |
| GF | \$0 | \$0 | \$0 | \$0 | \$1,000 | \$1,000 | | \$1,000 |
| GF Other | 0 | 0 | 0 | 0 | 1,000 | 1,000 | | 1,000 |
| Ongoing Purchase of Service Policy Items | | | | | | | | |
| TOTAL | \$75,784 | \$24,868 | (\$50,916) | \$75,813 | \$26,049 | \$1,181 | | (\$49,764) |
| GF | \$51,651 | \$24,868 | (\$26,783) | \$50,627 | \$26,049 | \$1,181 | | (\$24,578) |
| GF Match | 24,133 | 24,868 | 735 | 25,186 | 26,049 | 1,181 | | 863 |
| GF Other | 27,518 | 0 | (27,518) | 25,441 | 0 | 0 | | (25,441) |
| Reimbursements | \$24,133 | \$0 | (\$24,133) | \$25,186 | \$0 | \$0 | | (\$25,186) |
| HCBS Waiver | 21,728 | 0 | (21,728) | 22,682 | 0 | 0 | | (22,682) |
| 1915(i) | 2,405 | 0 | (2,405) | 2,504 | 0 | 0 | | (2,504) |

Values in thousands (000's)

Purchase of Service Policy Funding Detail

| Governor's | | | | Governor's | | | |
|---|------------------|------------------|-------------------|------------------|------------------|------------------|------------------|
| Budget | Updated | 2018-19 | | Budget | Updated | 2019-20 | |
| 2018-19 | 2018-19 | Request | | 2019-20 | 2019-20 | Over | 2019-20 |
| | | | | | | 2018-19 | Request |
| Provider Rate Adjustment POS | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$0 | \$163,683 | \$163,683 | \$163,683 |
| GF | \$0 | \$0 | \$0 | \$0 | \$98,683 | \$98,683 | \$98,683 |
| GF Match | 0 | 0 | 0 | 0 | 65,000 | 65,000 | 65,000 |
| GF Other | 0 | 0 | 0 | 0 | 33,683 | 33,683 | 33,683 |
| Reimbursements | \$0 | \$0 | \$0 | \$0 | \$65,000 | \$65,000 | \$65,000 |
| HCBS Waiver | 0 | 0 | 0 | 0 | 57,000 | 57,000 | 57,000 |
| 1915(i) | 0 | 0 | 0 | 0 | 8,000 | 8,000 | 8,000 |
| SB 3 - Minimum Wage Increase - Effective January 1, 2019 | | | | | | | |
| TOTAL | \$68,444 | \$69,826 | \$1,382 | \$144,470 | \$148,174 | \$78,348 | \$3,704 |
| GF | \$34,085 | \$34,554 | \$469 | \$72,510 | \$73,986 | \$39,432 | \$1,476 |
| GF Match | 34,359 | 35,271 | 912 | 71,960 | 74,187 | 38,916 | 2,227 |
| GF Other | (274) | (717) | (443) | 550 | (201) | 516 | (751) |
| Reimbursements | \$34,359 | \$35,272 | \$913 | \$71,960 | \$74,188 | \$38,916 | \$2,228 |
| HCBS Waiver | 26,710 | 27,278 | 568 | 56,391 | 57,850 | 30,572 | 1,459 |
| 1915(i) | 7,649 | 7,994 | 345 | 15,569 | 16,338 | 8,344 | 769 |
| SB 3 - Minimum Wage Increase - Effective January 1, 2020 | | | | | | | |
| TOTAL | \$0 | \$0 | \$0 | \$83,019 | \$85,145 | \$85,145 | \$2,126 |
| GF | \$0 | \$0 | \$0 | \$41,668 | \$42,515 | \$42,515 | \$847 |
| GF Match | 0 | 0 | 0 | 41,349 | 42,630 | 42,630 | 1,281 |
| GF Other | 0 | 0 | 0 | 319 | (115) | (115) | (434) |
| Reimbursements | \$0 | \$0 | \$0 | \$41,351 | \$42,630 | \$42,630 | \$1,279 |
| HCBS Waiver | 0 | 0 | 0 | 32,404 | 33,242 | 33,242 | 838 |
| 1915(i) | 0 | 0 | 0 | 8,947 | 9,388 | 9,388 | 441 |
| Uniform Holiday Schedule | | | | | | | |
| TOTAL | \$47,786 | \$47,931 | \$145 | \$0 | \$50,300 | \$2,369 | \$50,300 |
| GF | \$28,682 | \$28,883 | \$201 | \$0 | \$30,100 | \$1,217 | \$30,100 |
| GF Match | 19,102 | 19,045 | (57) | 0 | 20,200 | 1,155 | 20,200 |
| GF Other | 9,580 | 9,838 | 258 | 0 | 9,900 | 62 | 9,900 |
| Reimbursements | \$19,104 | \$19,048 | (\$56) | \$0 | \$20,200 | \$1,152 | \$20,200 |
| HCBS Waiver | 14,191 | 14,247 | 56 | 0 | 15,141 | 894 | 15,141 |
| 1915(i) | 4,913 | 4,801 | (112) | 0 | 5,059 | 258 | 5,059 |
| Total POS Policy Funding Detail | | | | | | | |
| TOTAL | \$210,010 | \$160,965 | (\$49,045) | \$301,615 | \$472,494 | \$311,529 | \$170,879 |
| GF | \$108,331 | \$83,679 | (\$24,652) | \$163,118 | \$270,476 | \$186,797 | \$107,358 |
| GF Match | 101,674 | 102,148 | 474 | 138,495 | 228,066 | 125,918 | 89,571 |
| GF Other | 6,657 | (18,469) | (25,126) | 24,623 | 42,410 | 60,879 | 17,787 |
| Reimbursements | \$101,679 | \$77,286 | (\$24,393) | \$138,497 | \$202,018 | \$124,732 | \$63,521 |
| HCBS Waiver | 84,293 | 62,072 | (22,221) | 111,477 | 163,233 | 101,161 | 51,756 |
| 1915(i) | 16,848 | 14,676 | (2,172) | 27,020 | 38,785 | 24,109 | 11,765 |
| EPSDT | 538 | 538 | 0 | 0 | 0 | (538) | 0 |

Values in thousands (000's)

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Early Start Expenditures and Population

DESCRIPTION:

Per Legislative request, the Department provides projected caseload and expenditures for the Early Start program.

ASSUMPTIONS:

Early Start Expenditure Data Source: State Claims Data file, dated March 2019. Data was adjusted for lag based on historical data and used to project 2018-19 and 2019-20 expenditures.

| Fiscal Year | Monthly Caseload ^{1/} | Total POS Expenditures | Per Capita | Federal Funds (POS portion) Early Start | Federal Funds (POS portion) EPSDT | State Funds DDS General Fund |
|-------------|--------------------------------|------------------------|------------|---|-----------------------------------|------------------------------|
| 2018-19 | 45,243 | \$426,639,000 | \$9,430 | \$34,067,000 | \$29,195,000 | \$363,377,000 |
| 2019-20 | 49,159 | \$461,939,000 | \$9,397 | \$33,392,000 | \$28,577,000 | \$399,970,000 |

1/ Refer to Section E - Population for additional detail on the Early Start population.

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SECTION M: STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES

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EXECUTIVE HIGHLIGHTS

OVERVIEW

The Department of Developmental Services (Department) currently operates two developmental centers (DCs), one State-operated community facility and one Stabilization, Training, Assistance, and Reintegration (STAR) home for individuals with developmental disabilities. Assembly Bill (AB) 1472, Chapter 25, Statutes of 2012, placed a moratorium on general admissions to DCs that resulted in a continuing decline in resident population. In addition, Senate Bill (SB) 82, Chapter 23, Statutes of 2015, required the Department to close the remaining DCs. As of December 17, 2018, Sonoma DC (Sonoma) transitioned all former residents to community living. The General Treatment Area (GTA) at Porterville DC (Porterville GTA) and Fairview DC (Fairview) are estimated to transition the remaining residents to community living by December 31, 2019. The Department remains in compliance with the provisions of the Centers for Medicare and Medicaid Services (CMS) settlement agreement for Porterville GTA. The Department is in general compliance with the agreement for Fairview; however, the ending population for the Intermediate Care Facility (ICF) residents at Fairview will result in the inability to claim federal funding for 24 of the 29 ICF residents beginning October 1, 2019 and ends upon final resident placement. The Secure Treatment Area at Porterville (Porterville STA) and the Canyon Springs community facility will remain open.

The May Revision reflects an ending population of 326 residents on June 30, 2019. This is a net increase of three residents as compared to the population projected at the 2019-20 Governor's Budget. The estimated population on June 30, 2020 is 297 residents, a net increase of five residents as compared to the ending population estimated in the 2019-20 Governor's Budget.

FISCAL YEAR 2018-19

The Department proposes a change of three residents at Fairview with no fiscal impact, and per Control Section 6.10 of the 2018 Enacted Budget, a \$10.0 million GF increase to Department' budget for deferred maintenance projects at Porterville in 2018-19 as compared to the 2019-20 Governor's Budget.

FISCAL YEAR 2019-20

The Department proposes a net increase of \$14.4 million (\$16.4 million GF) and 20.2 positions as compared to the 2019-20 Governor's Budget. The net increase results from the following adjustments:

Operations Expenditures

- Net increase of \$0.8 million (\$9.5 million GF) and 20.2 positions at Fairview to reflect a change in resident population within the ICF units.
- Net increase \$1.6 million (\$0.8 million GF) for staffing one ICF unit at Porterville GTA between September 30, 2019 and December 31, 2019, due to scheduled placements occurring later than estimated in the 2019-20 Governor's Budget.
- Net increase \$5.9 million (\$4.7 million GF) for costs associated with temporary operation of STAR services at Porterville for 10 individuals.

- Net increase of \$6.1 million (\$1.3 million GF) for Ongoing Costs. The \$6.1 million in reimbursement increase is for an interagency agreement with DGS to reimburse Department's costs for operations, maintenance, and partial decommissioning at Sonoma after June 30, 2019. Due to the reduction in federal reimbursement, \$1.3 million General Fund is proposed for Fairview's ongoing worker's compensation costs.

2018 - 19 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

| May Revision Proposed 2018-19 | | | | | | | | | | | |
|---|------------------------------|----------|-----------------|-----------------|-----------|-------------|---------------|---------------|-------------------|-----------|------|
| DC OPERATIONS | Canyon Springs | Fairview | Porterville GTA | Porterville STA | Sonoma | STAR & CAST | Ongoing Costs | Total 2018-19 | Governor's Budget | Request | |
| | A. Units | 3.00 | 5.00 | 3.50 | 14.00 | 5.00 | 4.00 | 0.00 | 34.50 | 34.50 | 0.00 |
| | 1. ICF | 3.00 | 2.00 | 2.50 | 13.00 | 3.00 | 4.00 | 0.00 | 27.50 | 27.50 | 0.00 |
| | 2. SNF | 0.00 | 2.00 | 1.00 | 0.00 | 1.00 | 0.00 | 0.00 | 4.00 | 4.00 | 0.00 |
| | 3. Acute | 0.00 | 1.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 | 3.00 | 3.00 | 0.00 |
| | B. Population | | | | | | | | | | |
| | 1. Actual Beginning 7/1/2018 | 56 | 104 | 58 | 211 | 75 | 10 | 0 | 514 | 514 | 0 |
| | 2. Population Adjustment | 0 | -75 | -48 | 0 | -75 | 10 | 0 | -188 | -191 | 3 |
| | 3. Ending 6/30/2019 | 56 | 29 | 10 | 211 | 0 | 20 | 0 | 326 | 323 | 3 |
| | C. Positions | 229.0 | 567.3 | 325.6 | 1,263.3 | 676.6 | 120.9 | 0.0 | 3,182.7 | 3,182.7 | 0.0 |
| | D. Operations Expenditures | | | | | | | | | | |
| | 1. Personal Services | \$21,503 | \$57,041 | \$31,783 | \$121,407 | \$36,741 | \$11,729 | \$28,349 | \$308,553 | \$308,553 | \$0 |
| | 2. OE&E | 4,897 | 11,253 | 5,701 | 19,601 | 13,832 | 1,481 | 0 | 56,765 | 56,765 | 0 |
| 3. Lease Revenue Bond | 0 | 0 | 0 | 9,150 | 0 | 0 | 0 | 9,150 | 9,150 | 0 | |
| Total Operations Expenditures | \$26,400 | \$68,294 | \$37,484 | \$150,158 | \$50,573 | \$13,210 | \$28,349 | \$374,468 | \$374,468 | \$0 | |
| E. Control Section Adjustments | | | | | | | | | | | |
| 1. Employee Compensation and Retirement | \$843 | \$2,121 | \$1,196 | \$4,642 | \$2,320 | \$428 | \$0 | \$11,550 | \$11,550 | \$0 | |
| 2. Deferred Maintenance | 0 | 0 | 0 | 10,000 | 0 | 0 | 0 | 10,000 | 0 | 10,000 | |
| Total Control Section Adjustments | \$843 | \$2,121 | \$1,196 | \$14,642 | \$2,320 | \$428 | \$0 | \$21,550 | \$11,550 | \$10,000 | |
| F. Major Assumptions | | | | | | | | | | | |
| 1. Lump Sum Payouts | \$0 | \$3,043 | \$0 | \$0 | \$5,322 | \$0 | \$0 | \$8,365 | \$8,365 | \$0 | |
| GRAND TOTAL | \$27,243 | \$73,458 | \$38,680 | \$164,800 | \$58,215 | \$13,638 | \$28,349 | \$404,383 | \$394,383 | \$10,000 | |

| FUND SOURCES: | Canyon Springs | Fairview | Porterville GTA | Porterville STA | Sonoma | STAR & CAST | Ongoing Costs | Total 2018-19 | Governor's Budget | Request |
|----------------------------|----------------|----------|-----------------|-----------------|----------|-------------|---------------|---------------|-------------------|----------|
| A. General Funds | \$14,028 | \$38,083 | \$20,236 | \$161,972 | \$49,360 | \$13,638 | \$19,367 | \$316,682 | \$306,682 | \$10,000 |
| 1. General Fund Match | 13,186 | 35,315 | 18,415 | 2,798 | 8,825 | 0 | 8,982 | 87,521 | 87,521 | 0 |
| 2. General Fund Other | 842 | 2,768 | 1,821 | 159,173 | 40,535 | 13,638 | 10,384 | 229,161 | 219,161 | 10,000 |
| B. Medi-Cal Reimbursements | \$13,186 | \$35,315 | \$18,415 | \$2,798 | \$8,825 | \$0 | \$8,982 | \$87,521 | \$87,521 | \$0 |
| C. Lottery Education Fund | \$30 | \$60 | \$30 | \$30 | \$30 | \$0 | \$0 | \$180 | \$180 | \$0 |
| GRAND TOTAL | \$27,243 | \$73,458 | \$38,680 | \$164,800 | \$58,215 | \$13,638 | \$28,349 | \$404,383 | \$394,383 | \$10,000 |

Notes:

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities.
Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2019 - 20 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

| May Revision Proposed 2019-20 | | | | | | | | | |
|--------------------------------------|----------------|----------|-----------------|-----------------|-------------|---------------|---------------|-------------------|----------|
| | Canyon Springs | Fairview | Porterville GTA | Porterville STA | STAR & CAST | Ongoing Costs | Total 2019-20 | Governor's Budget | Request |
| DC OPERATIONS | | | | | | | | | |
| A. Units | 3.00 | 5.00 | 2.00 | 14.00 | 7.00 | 0.00 | 31.00 | 31.00 | 0.00 |
| 1. ICF | 3.00 | 3.00 | 2.00 | 13.00 | 7.00 | 0.00 | 28.00 | 27.00 | 1.00 |
| 2. SNF | 0.00 | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1.00 | 2.00 | -1.00 |
| 3. Acute | 0.00 | 1.00 | 0.00 | 1.00 | 0.00 | 0.00 | 2.00 | 2.00 | 0.00 |
| B. Population | | | | | | | | | |
| 1. Estimated Beginning 7/1/2019 | 56 | 29 | 10 | 211 | 20 | 0 | 326 | 323 | 3 |
| 2. Population Adjustment | 0 | -29 | -10 | 0 | 10 | 0 | -29 | -31 | 2 |
| 3. Ending 6/30/2020 | 56 | 0 | 0 | 211 | 30 | 0 | 297 | 292 | 5 |
| C. Positions | 229.0 | 575.5 | 132.1 | 1,352.0 | 221.5 | 8.0 | 2,518.1 | 2,497.9 | 20.2 |
| D. Operations Expenditures | | | | | | | | | |
| 1. Personal Services | \$22,160 | \$27,831 | \$4,495 | \$138,393 | \$22,821 | \$32,338 | \$248,038 | \$238,069 | \$9,969 |
| 2. OE&E | 4,889 | 6,023 | 258 | 26,712 | 7,861 | 3,059 | 48,802 | 44,392 | 4,410 |
| 3. Lease Revenue Bond | 0 | 0 | 0 | 9,129 | 0 | 0 | 9,129 | 9,129 | 0 |
| Total Operations Expenditures | \$27,050 | \$33,854 | \$4,753 | \$174,234 | \$30,682 | \$35,397 | \$305,970 | \$291,590 | \$14,380 |
| E. Control Section Adjustments | | | | | | | | | |
| Employee Compensation and Retirement | \$953 | \$2,350 | \$656 | \$5,569 | \$550 | \$64 | \$10,142 | \$10,142 | \$0 |
| F. Major Assumptions | | | | | | | | | |
| 1. Lump Sum Payouts | \$0 | \$7,500 | \$230 | \$0 | \$0 | \$0 | \$7,730 | \$7,730 | \$0 |
| GRAND TOTAL | \$28,003 | \$43,704 | \$5,639 | \$179,803 | \$31,232 | \$35,461 | \$323,842 | \$309,462 | \$14,380 |

| | | | | | | | | | |
|----------------------------|----------------|----------|-----------------|-----------------|-------------|---------------|---------------|-------------------|----------|
| FUND SOURCES: | Canyon Springs | Fairview | Porterville GTA | Porterville STA | STAR & CAST | Ongoing Costs | Total 2019-20 | Governor's Budget | Request |
| A. General Funds | \$14,003 | \$34,650 | \$2,843 | \$177,952 | \$25,696 | \$27,054 | \$282,198 | \$265,834 | \$16,364 |
| 1. General Fund Match | 13,963 | 8,987 | 2,757 | 1,814 | 5,536 | 2,281 | 35,338 | 43,448 | -8,110 |
| 2. General Fund Other | 40 | 25,663 | 86 | 176,138 | 20,159 | 24,773 | 246,860 | 222,386 | 24,474 |
| B. Reimbursements | \$13,963 | \$8,987 | \$2,757 | \$1,814 | \$5,536 | \$8,407 | \$41,464 | \$43,448 | -\$1,984 |
| 1. Medi-Cal Reimbursements | 13,963 | 8,987 | 2,757 | 1,814 | 5,536 | 2,281 | 35,338 | 43,448 | -8,110 |
| 2. Reimbursements Other | 0 | 0 | 0 | 0 | 0 | 6,126 | 6,126 | 0 | 6,126 |
| C. Lottery Education Fund | \$36 | \$68 | \$38 | \$38 | \$0 | \$0 | \$180 | \$180 | \$0 |
| GRAND TOTAL | \$28,003 | \$43,704 | \$5,639 | \$179,803 | \$31,232 | \$35,461 | \$323,842 | \$309,462 | \$14,380 |

Notes:

The total number of units at Fairview reflect the number of units open on July 1, 2019 and operational until December 31, 2019.

The total number of units at Porterville GTA reflect 2.0 unit open from July 1, 2019 to September 30, 2019 and 1.0 unit will continue to December 31, 2019.

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program, and the Interagency Agreement with the Department of General Services.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2019 - 20 Comparison to Updated 2018 - 19
Executive Summary Table
(Dollars in thousands)

| May Revision Proposed 2019-20 | | | | | | | | May Revision 2018-19 | 2019-20 Request |
|---|-------------------|-----------------|--------------------|--------------------|-----------------|------------------|------------------|-------------------------|--------------------|
| DC OPERATIONS | Canyon Springs | Fairview | Porterville GTA | Porterville STA | STAR & CAST | Ongoing Costs | Total 2019-20 | | |
| A. Units | 3.00 | 5.00 | 2.00 | 14.00 | 7.00 | 0.00 | 31.00 | 34.50 | -3.50 |
| 1. ICF | 3.00 | 3.00 | 2.00 | 13.00 | 7.00 | 0.00 | 28.00 | 27.50 | 0.50 |
| 2. SNF | 0.00 | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1.00 | 4.00 | -3.00 |
| 3. Acute | 0.00 | 1.00 | 0.00 | 1.00 | 0.00 | 0.00 | 2.00 | 3.00 | -1.00 |
| B. Population | | | | | | | | | |
| 1. Estimated Beginning 7/1/2019 | 56 | 29 | 10 | 211 | 20 | 0 | 326 | 514 | -188 |
| 2. Population Adjustment | 0 | -29 | -10 | 0 | 10 | 0 | -29 | -188 | 159 |
| 3. Ending 6/30/2020 | 56 | 0 | 0 | 211 | 30 | 0 | 297 | 326 | -29 |
| C. Positions | 229.0 | 575.5 | 132.1 | 1,352.0 | 221.5 | 8.0 | 2,518.1 | 3,182.7 | -664.5 |
| D. Operations Expenditures | | | | | | | | | |
| 1. Personal Services | \$22,160 | \$27,831 | \$4,495 | \$138,393 | \$22,821 | \$32,338 | \$248,038 | \$308,553 | -\$60,514 |
| 2. OE&E | 4,889 | 6,023 | 258 | 26,712 | 7,861 | 3,059 | 48,802 | 56,765 | -7,963 |
| 3. Lease Revenue Bond | 0 | 0 | 0 | 9,129 | 0 | 0 | 9,129 | 9,150 | -21 |
| Total Operations Expenditures | \$27,050 | \$33,854 | \$4,753 | \$174,234 | \$30,682 | \$35,397 | \$305,970 | \$374,468 | -\$68,498 |
| E. Control Section Adjustments | | | | | | | | | |
| 1. Employee Compensation and Retirement | \$953 | \$2,350 | \$656 | \$5,569 | \$550 | \$64 | \$10,142 | \$11,550 | -\$1,408 |
| 2. Deferred Maintenance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10,000 | -10,000 |
| Total Control Section Adjustments | \$953 | \$2,350 | \$656 | \$5,569 | \$550 | \$64 | \$10,142 | \$21,550 | -\$11,408 |
| F. Major Assumptions | | | | | | | | | |
| 1. Lump Sum Payouts | \$0 | \$7,500 | \$230 | \$0 | \$0 | \$0 | \$7,730 | \$8,365 | -\$635 |
| GRAND TOTAL | \$28,003 | \$43,704 | \$5,639 | \$179,803 | \$31,232 | \$35,461 | \$323,842 | \$404,383 | -\$80,541 |

| FUND SOURCES: | Canyon Springs | Fairview | Porterville GTA | Porterville STA | STAR & CAST | Ongoing Costs | Total 2019-20 | May Revision 2018-19 | 2019-20 Request |
|----------------------------------|-------------------|-----------------|--------------------|--------------------|-----------------|------------------|------------------|-------------------------|--------------------|
| A. General Funds | \$14,003 | \$34,650 | \$2,843 | \$177,952 | \$25,696 | \$27,054 | \$282,198 | \$316,682 | -\$34,484 |
| 1. General Fund Match | 13,963 | 8,987 | 2,757 | 1,814 | 5,536 | 2,281 | 35,338 | 87,521 | -52,183 |
| 2. General Fund Other | 40 | 25,663 | 86 | 176,138 | 20,159 | 24,773 | 246,860 | 229,161 | 17,699 |
| B. Reimbursements | \$13,963 | \$8,987 | \$2,757 | \$1,814 | \$5,536 | \$8,407 | \$41,464 | \$87,521 | -\$46,057 |
| 1. Medi-Cal Reimbursements | 13,963 | 8,987 | 2,757 | 1,814 | 5,536 | 2,281 | 35,338 | 87,521 | -52,183 |
| 2. Reimbursements Other | 0 | 0 | 0 | 0 | 0 | 6,126 | 6,126 | 0 | 6,126 |
| C. Lottery Education Fund | \$36 | \$68 | \$38 | \$38 | \$0 | \$0 | \$180 | \$180 | \$0 |
| GRAND TOTAL | \$28,003 | \$43,704 | \$5,639 | \$179,803 | \$31,232 | \$35,461 | \$323,842 | \$404,383 | -\$80,541 |

Notes:

The total number of units at Fairview reflect the number of units open on July 1, 2019 and operational until December 31, 2019.

The total number of units at Porterville GTA reflect 1.0 unit open from July 1, 2019 to September 30, 2019 and 1.0 unit open from July 1, 2019 to December 31, 2019.

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

SYSTEMWIDE POPULATION

| Current Year 2018-19 | | | | | | | |
|---|----------------|-----------|-----------------|-----------------|----------|-------------|------------|
| | Canyon Springs | Fairview | Porterville GTA | Porterville STA | Sonoma | STAR & CAST | Total |
| Governor's Budget | | | | | | | |
| Population, July 1, 2018 | 56 | 104 | 58 | 211 | 75 | 10 | 514 |
| Change (+/-) | 0 | -78 | -48 | 0 | -75 | 10 | -191 |
| Ending Population, June 30, 2019 | 56 | 26 | 10 | 211 | 0 | 20 | 323 |
| May Revision | | | | | | | |
| Population, July 1, 2018 | 56 | 104 | 58 | 211 | 75 | 10 | 514 |
| Change (+/-) | 0 | -75 | -48 | 0 | -75 | 10 | -188 |
| Ending Population, June 30, 2019 | 56 | 29 | 10 | 211 | 0 | 20 | 326 |
| Population Change CY 2018-19 | 0 | 3 | 0 | 0 | 0 | 0 | 3 |

| Budget Year 2019-20 | | | | | | | |
|---|----------------|----------|-----------------|-----------------|----------|-------------|------------|
| | Canyon Springs | Fairview | Porterville GTA | Porterville STA | Sonoma | STAR & CAST | Total |
| Governor's Budget | | | | | | | |
| Population, July 1, 2019 | 56 | 26 | 10 | 211 | 0 | 20 | 323 |
| Change (+/-) | 0 | -26 | -10 | 0 | 0 | 5 | -31 |
| Ending Population, June 30, 2020 | 56 | 0 | 0 | 211 | 0 | 25 | 292 |
| May Revision | | | | | | | |
| Population, July 1, 2019 | 56 | 29 | 10 | 211 | 0 | 20 | 326 |
| Change (+/-) | 0 | -29 | -10 | 0 | 0 | 10 | -29 |
| Ending Population, June 30, 2020 | 56 | 0 | 0 | 211 | 0 | 30 | 297 |
| Population Change BY 2019-20 | 0 | 0 | 0 | 0 | 0 | 5 | 5 |

Operations Program Update

2018-19

The 2019 May Revision proposes a net increase of \$10.0 million General Fund (GF) in deferred maintenance expenditures at Porterville DC and updates the estimated ending population to 326 residents as compared to the 2019-20 Governor's Budget due to the delay in Fairview placements as planned; there is no fiscal impact associated with this change.

2019-20

The 2019 May Revision proposes a net increase of \$14.4 million (\$16.4 million GF) and 20.2 positions as compared to the 2019-20 Governor's Budget. The May Revision updates the ending state-operated residential and community facilities population to 297, to reflect five fewer resident placements than estimated at the 2019-20 Governor's Budget. Specific adjustments by facility are as follows:

Canyon Springs

No change.

Fairview

The 2019 May Revision proposes an increase of \$0.8 million (\$9.5 million GF) for the following adjustment:

- Net increase of \$0.8 million (\$9.5 million GF) in personal services and OE&E expenditures and 20.2 Unit Staffing positions as a result of a change in the type of units remaining open (one additional ICF unit and one less SNF unit), three residents who have not yet transitioned to community living, and the decrease in the facility's federal reimbursement of \$8.8 million. The total number of units remains unchanged.

Porterville GTA

The 2019 May Revision proposes a net increase of \$1.6 million (\$0.8 million GF) for the following adjustment:

- Net increase \$1.6 million (\$0.8 million GF) for staffing one ICF unit at Porterville GTA between September 30, 2019 and December 31, 2019, due to scheduled placements occurring later than estimated in the 2019-20 Governor's Budget.

Porterville STA

No change.

STAR and CAST

The 2019 May Revision proposes a net increase of \$5.9 million (\$4.7 million GF) for the following adjustment:

- Net increase \$5.9 million (\$4.7 million GF) for costs associated with operating temporary STAR services at Porterville.

Ongoing Cost

The 2019 May Revision proposes a net increase of \$6.1 million (\$1.3 million GF) for the following adjustments:

- Due to the reduction in federal reimbursement, \$1.3 million General Fund is proposed to cover Fairview's ongoing Workers' Compensation costs.
- Increase of \$6.1 million in reimbursement for an interagency agreement with the Department of General Services and DDS for operations, maintenance, and partial decommissioning at Sonoma Developmental Center beginning July 1, 2019.

Canyon Springs Community Facility

May Revision to Governor's Budget

Current Year 2018 - 19

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2018 | 56 | 56 | 0 |
| Units | | | |
| <i>ICF</i> | 3.00 | 3.00 | 0.00 |
| <i>SNF</i> | 0.00 | 0.00 | 0.00 |
| <i>Acute</i> | <u>0.00</u> | <u>0.00</u> | <u>0.00</u> |
| Units, Total | 3.00 | 3.00 | 0.00 |
| Positions | | | |
| <i>Unit Staffing</i> | 229.0 | 229.0 | 0.0 |
| Operations Expenditures | | | |
| <i>Unit Staffing</i> | | | |
| <i>Personal Services</i> | \$21,503 | \$21,503 | \$0 |
| <i>Staff OE&E</i> | 1,706 | 1,706 | 0 |
| <i>Resident OE&E</i> | 1,230 | 1,230 | 0 |
| <i>Fixed Facility OE&E</i> | <u>1,961</u> | <u>1,961</u> | <u>0</u> |
| Unit Staffing, Total | \$26,400 | \$26,400 | \$0 |
| Total Operations Expenditures | \$26,400 | \$26,400 | \$0 |
| Control Section Adjustments | | | |
| <i>Employee Comp and Retirement</i> | \$843 | \$843 | \$0 |
| Total Expenditures | \$27,243 | \$27,243 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|-----------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| <i>General Fund</i> | \$14,028 | \$14,028 | \$0 |
| <i>Reimbursements</i> | 13,186 | 13,186 | 0 |
| <i>Lottery Fund</i> | <u>30</u> | <u>30</u> | <u>0</u> |
| Fund, Total | \$27,243 | \$27,243 | \$0 |

Canyon Springs Community Facility
Resident Population and Staffing Incremental Changes
Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|-----------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 152.0 | 152.0 | 0.0 |
| Medical | 2.0 | 2.0 | 0.0 |
| Support Services | 75.0 | 75.0 | 0.0 |
| Total Positions | 229.0 | 229.0 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility
Expenditure and Funding Incremental Changes
Current Year 2018 - 19
(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Facility Expenditures | | | | | |
|-----------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
May Revision to Governor's Budget
Current Year 2018 - 19
(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2018 | 104 | 104 | 0 |
| Units | | | |
| <i>ICF</i> | 2.00 | 2.00 | 0.00 |
| <i>SNF</i> | 2.00 | 2.00 | 0.00 |
| <i>Acute</i> | <u>1.00</u> | <u>1.00</u> | <u>0.00</u> |
| Units, Total | 5.00 | 5.00 | 0.00 |
| Positions | | | |
| <i>Unit Staffing</i> | 238.9 | 238.9 | 0.0 |
| <i>Program Support</i> | <u>328.4</u> | <u>328.4</u> | <u>0.0</u> |
| Positions, Total | 567.3 | 567.3 | 0.0 |
| Operations Expenditures | | | |
| <i>Unit Staffing</i> | | | |
| <i>Personal Services</i> | \$26,081 | \$26,081 | \$0 |
| <i>Staff OE&E</i> | 1,330 | 1,330 | 0 |
| <i>Resident OE&E</i> | <u>3,435</u> | <u>3,435</u> | <u>0</u> |
| Unit Staffing, Total | \$30,845 | \$30,845 | \$0 |
| <i>Program Support</i> | | | |
| <i>Personal Services</i> | \$30,960 | \$30,960 | \$0 |
| <i>Staff OE&E</i> | 1,834 | 1,834 | 0 |
| <i>Fixed Facility OE&E</i> | <u>4,654</u> | <u>4,654</u> | <u>0</u> |
| Program Support, Total | \$37,448 | \$37,448 | \$0 |
| Total Operations Expenditures | \$68,294 | \$68,294 | \$0 |
| Control Section Adjustments | | | |
| <i>Employee Comp and Retirement</i> | \$2,121 | \$2,121 | \$0 |
| Major Assumptions | | | |
| Lump Sum Payouts | \$3,043 | \$3,043 | \$0 |
| Total Expenditures | \$73,458 | \$73,458 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|-----------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| <i>General Fund</i> | \$38,083 | \$38,083 | \$0 |
| <i>Reimbursements</i> | 35,315 | 35,315 | 0 |
| <i>Lottery Fund</i> | <u>60</u> | <u>60</u> | <u>0</u> |
| Fund, Total | \$73,458 | \$73,458 | \$0 |

Fairview Developmental Center
Resident Population and Staffing Incremental Changes
Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Program Support Staffing | | | | |
|--------------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 219.3 | 219.3 | 0.0 |
| Medical | 49.3 | 49.3 | 0.0 |
| Support Services | 298.7 | 298.7 | 0.0 |
| Total Positions | 567.3 | 567.3 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center

Expenditure and Funding Incremental Changes

Current Year 2018 - 19

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Program Support Expenditures | | | | | |
|------------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Major Assumptions | | | | | |
|-------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Lump Sum | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Facility Expenditures | | | | | |
|-----------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Major Assumptions | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

May Revision to Governor's Budget

Current Year 2018 - 19

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2018 | 58 | 58 | 0 |
| Units | | | |
| ICF | 2.50 | 2.50 | 0.00 |
| SNF | 1.00 | 1.00 | 0.00 |
| Acute | 0.00 | 0.00 | 0.00 |
| Units, Total | 3.50 | 3.50 | 0.00 |
| Positions | | | |
| Unit Staffing | 210.4 | 210.4 | 0.0 |
| Program Support | 115.2 | 115.2 | 0.0 |
| Positions, Total | 325.6 | 325.6 | 0.0 |
| Operations Expenditures | | | |
| Unit Staffing | | | |
| Personal Services | \$21,475 | \$21,475 | \$0 |
| Staff OE&E | 1,270 | 1,270 | 0 |
| Resident OE&E | 1,671 | 1,671 | 0 |
| Unit Staffing, Total | \$24,417 | \$24,417 | \$0 |
| Program Support | | | |
| Personal Services | \$10,308 | \$10,308 | \$0 |
| Staff OE&E | 712 | 712 | 0 |
| Fixed Facility OE&E | 2,047 | 2,047 | 0 |
| Program Support, Total | \$13,068 | \$13,068 | \$0 |
| Total Operations Expenditures | \$37,484 | \$37,484 | \$0 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$1,196 | \$1,196 | \$0 |
| Total Expenditures | \$38,680 | \$38,680 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| General Fund | \$20,236 | \$20,236 | \$0 |
| Reimbursements | 18,415 | 18,415 | 0 |
| Lottery Fund | 30 | 30 | 0 |
| Fund, Total | \$38,680 | \$38,680 | \$0 |

Porterville Developmental Center- General Treatment Area

Resident Population and Staffing Incremental Changes

Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Program Support Staffing | | | | |
|--------------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 179.1 | 179.1 | 0.0 |
| Medical | 17.6 | 17.6 | 0.0 |
| Support Services | 128.9 | 128.9 | 0.0 |
| Total Positions | 325.6 | 325.6 | 0.0 |

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Incremental Changes

Current Year 2018 - 19

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Program Support Expenditures | | | | | |
|------------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total General Treatment Area Expenditures | | | | | |
|---|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Area

May Revision to Governor's Budget

Current Year 2018 - 19

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|-------------------------|---------------------------------|-----------------------|
| Beginning Resident Population, July 1, 2018 | 211 | 211 | 0 |
| Units | | | |
| ICF* | 13.00 | 13.00 | 0.00 |
| SNF | 0.00 | 0.00 | 0.00 |
| Acute | 1.00 | 1.00 | 0.00 |
| Units, Total | 14.00 | 14.00 | 0.00 |
| Positions | | | |
| Unit Staffing | 765.8 | 765.8 | 0.0 |
| Program Support | 422.0 | 422.0 | 0.0 |
| Intensive Treatment Residence - Behavioral | 37.8 | 37.8 | 0.0 |
| Intensive Treatment Residence - Medical | 37.8 | 37.8 | 0.0 |
| Positions, Total | 1,263.3 | 1,263.3 | 0.0 |
| Operations Expenditures | | | |
| Unit Staffing | | | |
| Personal Services | \$77,037 | \$77,037 | \$0 |
| Staff OE&E | 4,675 | 4,675 | 0 |
| Resident OE&E | 6,080 | 6,080 | 0 |
| Unit Staffing, Total | \$87,791 | \$87,791 | \$0 |
| Program Support | | | |
| Personal Services | \$37,203 | \$37,203 | \$0 |
| Staff OE&E | 2,600 | 2,600 | 0 |
| Fixed Facility OE&E** | 14,934 | 14,934 | 0 |
| Program Support, Total | \$54,737 | \$54,737 | \$0 |
| Intensive Treatment Residence - Behavioral | | | |
| Personal Services | \$3,583 | \$3,583 | \$0 |
| Staff OE&E | 231 | 231 | 0 |
| IBTR - Behavioral, Total | \$3,815 | \$3,815 | \$0 |
| Intensive Treatment Residence - Medical | | | |
| Personal Services | \$3,583 | \$3,583 | \$0 |
| Staff OE&E | 231 | 231 | 0 |
| IBTR - Medical, Total | \$3,815 | \$3,815 | \$0 |
| Total Operations Expenditures | \$150,158 | \$150,158 | \$0 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$4,642 | \$4,642 | \$0 |
| Deferred Maintenance | 10,000 | 0 | 10,000 |
| Total Control Section Adjustments | \$14,642 | \$4,642 | \$10,000 |
| Total Expenditures | \$164,800 | \$154,800 | \$10,000 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|-------------------------|---------------------------------|-----------------------|
| Fund Sources | | | |
| General Fund | \$161,971 | \$151,971 | \$10,000 |
| Reimbursements | 2,799 | 2,799 | 0 |
| Lottery Fund | 30 | 30 | 0 |
| Fund, Total | \$164,800 | \$154,800 | \$10,000 |

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment Area

Resident Population and Staffing Incremental Changes

Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units* | | | |
|--------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Program Support Staffing | | | | |
|--------------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Intensive Treatment Residence - Behavioral | | | | |
|--|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Intensive Treatment Residence - Medical | | | | |
|---|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------|-------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 730.8 | 730.8 | 0.0 |
| Medical | 64.0 | 64.0 | 0.0 |
| Support Services | 468.4 | 468.4 | 0.0 |
| Total Positions | 1,263.3 | 1,263.3 | 0.0 |

Porterville Developmental Center - Secure Treatment Area

Expenditure and Funding Incremental Changes

Current Year 2018 - 19

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Program Support Expenditures | | | | | |
|------------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Intensive Treatment Residence - Behavioral Staffing Expenditures | | | | | |
|--|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Intensive Treatment Residence - Medical Staffing Expenditures | | | | | |
|---|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|----------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | 0 | 0 | 0 | 0 | 0 |
| Deferred Maintenance | 0 | 0 | 0 | 10,000 | 10,000 |
| Total | \$0 | \$0 | \$0 | \$10,000 | \$10,000 |

| Total Secure Treatment Area Expenditures | | | | | |
|--|------------|------------|------------|-----------------|-----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 10,000 | 10,000 |
| Grand Total | \$0 | \$0 | \$0 | \$10,000 | \$10,000 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|-----------------|-----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$10,000 | \$10,000 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$10,000 | \$10,000 |

Sonoma Developmental Center
May Revision to Governor's Budget
Current Year 2018 - 19
(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2018 | 75 | 75 | 0 |
| Units | | | |
| ICF | 3.00 | 3.00 | 0.00 |
| SNF | 1.00 | 1.00 | 0.00 |
| Acute | <u>1.00</u> | <u>1.00</u> | <u>0.00</u> |
| Units, Total | 5.00 | 5.00 | 0.00 |
| Positions | | | |
| Unit Staffing | 259.2 | 259.2 | 0.0 |
| Program Support | <u>417.4</u> | <u>417.4</u> | <u>0.0</u> |
| Positions, Total | 676.6 | 676.6 | 0.0 |
| Operations Expenditures | | | |
| Unit Staffing | | | |
| Personal Services | \$15,268 | \$15,268 | \$0 |
| Staff OE&E | 1,168 | 1,168 | 0 |
| Resident OE&E | <u>2,445</u> | <u>2,445</u> | <u>0</u> |
| Unit Staffing, Total | \$18,880 | \$18,880 | \$0 |
| Program Support | | | |
| Personal Services | \$21,473 | \$21,473 | \$0 |
| Staff OE&E | 2,025 | 2,025 | 0 |
| Fixed Facility OE&E | <u>8,194</u> | <u>8,194</u> | <u>0</u> |
| Program Support, Total | \$31,692 | \$31,692 | \$0 |
| Total Operations Expenditures | \$50,573 | \$50,573 | \$0 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$2,320 | \$2,320 | \$0 |
| Major Assumptions | | | |
| Lump Sum Payouts | \$5,322 | \$5,322 | \$0 |
| Total Expenditures | \$58,215 | \$58,215 | \$0 |
| Fund Sources | | | |
| General Fund | \$49,360 | \$49,360 | \$0 |
| Reimbursements | 8,825 | 8,825 | 0 |
| Lottery Fund | <u>30</u> | <u>30</u> | <u>0</u> |
| Fund, Total | \$58,215 | \$58,215 | \$0 |

Sonoma Developmental Center
Resident Population and Staffing Incremental Changes
Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|-------|
| ICF | SNF | Acute | Total |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------|
| ICF | SNF | Acute | Total |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Program Support Staffing | | | | |
|--------------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 |
| Total Positions | 0.0 | 0.0 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Sonoma Developmental Center

Expenditure and Funding Incremental Changes

Current Year 2018 - 19

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|------------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |
| Program Support Expenditures | | | | | |
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |
| Control Section Adjustments | | | | | |
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |
| Major Assumptions | | | | | |
| | ICF | SNF | Acute | Facility-Wide | Total |
| Lump Sum | \$0 | \$0 | \$0 | \$0 | \$0 |
| Total Facility Expenditures | | | | | |
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Major Assumptions | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |
| Fund Sources | | | | | |
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

May Revision to Governor's Budget

Current Year 2018 - 19

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2018 | 10 | 10 | 0 |
| Units | | | |
| ICF | 4.00 | 4.00 | 0.00 |
| SNF | 0.00 | 0.00 | 0.00 |
| Acute | 0.00 | 0.00 | 0.00 |
| Units, Total | 4.00 | 4.00 | 0.00 |
| Positions | | | |
| STAR | 106.4 | 106.4 | 0.0 |
| CAST | 14.5 | 14.5 | 0.0 |
| Positions, Total | 120.9 | 120.9 | 0.0 |
| Operations Expenditures | | | |
| <u>STAR</u> | | | |
| Personal Services | \$10,124 | \$10,124 | \$0 |
| Staff OE&E | 541 | 541 | 0 |
| Resident OE&E | 656 | 656 | 0 |
| STAR, Total | \$11,321 | \$11,321 | \$0 |
| <u>CAST</u> | | | |
| Personal Services | \$1,606 | \$1,606 | \$0 |
| Staff OE&E | 284 | 284 | 0 |
| CAST, Total | \$1,890 | \$1,890 | \$0 |
| Total Operations Expenditures | \$13,210 | \$13,210 | \$0 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$428 | \$428 | \$0 |
| Total Expenditures | \$13,638 | \$13,638 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| General Fund | \$13,638 | \$13,638 | \$0 |
| Reimbursements | 0 | 0 | 0 |
| Lottery Fund | 0 | 0 | 0 |
| Fund, Total | \$13,638 | \$13,638 | \$0 |

STAR & CASTResident Population and Staffing Incremental Changes
Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| STAR Staffing | | | | |
|------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| CAST Staffing | | | | |
|------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 93.5 | 93.5 | 0.0 |
| Medical | 1.4 | 1.4 | 0.0 |
| Support Services | 26.0 | 26.0 | 0.0 |
| Total Positions | 120.9 | 120.9 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Expenditure and Funding Incremental Changes
Current Year 2018 - 19
(Dollars in thousands)

| STAR Expenditures | | | | | |
|-------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| CAST Expenditures | | | | | |
|---------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total STAR & CAST Expenditures | | | | | |
|--------------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

May Revision to Governor's Budget

Current Year 2018 - 19

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|-------------------------|---------------------------------|-----------------------|
| Beginning Resident Population, July 1, 2018 | 0 | 0 | 0 |
| Units | | | |
| ICF | 0.00 | 0.00 | 0.00 |
| SNF | 0.00 | 0.00 | 0.00 |
| Acute | 0.00 | 0.00 | 0.00 |
| Units, Total | 0.00 | 0.00 | 0.00 |
| Positions | 0.0 | 0.0 | 0.0 |
| Operations Expenditures | | | |
| Personal Services | \$28,349 | \$28,349 | \$0 |
| Staff OE&E | 0 | 0 | 0 |
| Total | \$28,349 | \$28,349 | \$0 |
| Total Operations Expenditures | \$28,349 | \$28,349 | \$0 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$0 | \$0 | \$0 |
| Total Expenditures | \$28,349 | \$28,349 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|-------------------------|---------------------------------|-----------------------|
| Fund Sources | | | |
| General Fund | \$19,367 | \$19,367 | \$0 |
| Reimbursements | 8,982 | 8,982 | 0 |
| Lottery Fund | 0 | 0 | 0 |
| Fund, Total | \$28,349 | \$28,349 | \$0 |

Ongoing CostsResident Population and Staffing Incremental Changes
Current Year 2018 - 19

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Ongoing Cost Staffing | | | | |
|-----------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 |
| Total Positions | 0.0 | 0.0 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Expenditure and Funding Incremental Changes

Current Year 2018 - 19

(Dollars in thousands)

| Ongoing Cost Expenditures | | | | | |
|---------------------------|----------|----------|----------|---------------|----------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Ongoing Cost Expenditures | | | | | |
|---------------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility

May Revision to Governor's Budget

Budget Year 2019 - 20

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2019 | 56 | 56 | 0 |
| Units | | | |
| <i>ICF</i> | 3.00 | 3.00 | 0.00 |
| <i>SNF</i> | 0.00 | 0.00 | 0.00 |
| <i>Acute</i> | <u>0.00</u> | <u>0.00</u> | <u>0.00</u> |
| Units, Total | 3.00 | 3.00 | 0.00 |
| Positions | | | |
| <i>Unit Staffing</i> | 229.0 | 229.0 | 0.0 |
| Operations Expenditures | | | |
| <i>Unit Staffing</i> | | | |
| <i>Personal Services</i> | \$22,160 | \$22,160 | \$0 |
| <i>Staff OE&E</i> | 1,698 | 1,698 | 0 |
| <i>Resident OE&E</i> | 1,230 | 1,230 | 0 |
| <i>Fixed Facility OE&E</i> | <u>1,961</u> | <u>1,961</u> | <u>0</u> |
| Unit Staffing, Total | \$27,049 | \$27,049 | \$0 |
| Total Operations Expenditures | \$27,049 | \$27,049 | \$0 |
| Control Section Adjustments | | | |
| <i>Employee Comp and Retirement</i> | \$953 | \$953 | \$0 |
| Total Expenditures | \$28,002 | \$28,002 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|-----------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| <i>General Fund</i> | \$14,003 | \$14,003 | \$0 |
| <i>Reimbursements</i> | 13,963 | 13,963 | 0 |
| <i>Lottery Fund</i> | <u>36</u> | <u>36</u> | <u>0</u> |
| Fund, Total | \$28,002 | \$28,002 | \$0 |

Canyon Springs Community Facility
Resident Population and Staffing Incremental Changes
Budget Year 2019 - 20

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|-----------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 152.0 | 152.0 | 0.0 |
| Medical | 2.0 | 2.0 | 0.0 |
| Support Services | 75.0 | 75.0 | 0.0 |
| Total Positions | 229.0 | 229.0 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility
Expenditure and Funding Incremental Changes
Budget Year 2019 - 20
(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Facility Expenditures | | | | | |
|-----------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
May Revision to Governor's Budget
Budget Year 2019 - 20
(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2019 | 29 | 26 | 3 |
| Units | | | |
| ICF | 3.00 | 2.00 | 1.00 |
| SNF | 1.00 | 2.00 | -1.00 |
| Acute | <u>1.00</u> | <u>1.00</u> | <u>0.00</u> |
| Units, Total | 5.00 | 5.00 | 0.00 |
| Positions | | | |
| Unit Staffing | 259.2 | 238.9 | 20.2 |
| Program Support | <u>316.4</u> | <u>316.4</u> | <u>0.0</u> |
| Positions, Total | 575.5 | 555.3 | 20.2 |
| Operations Expenditures | | | |
| Unit Staffing | | | |
| Personal Services | \$10,379 | \$9,744 | \$635 |
| Staff OE&E | 701 | 644 | 57 |
| Resident OE&E | <u>479</u> | <u>429</u> | <u>50</u> |
| Unit Staffing, Total | \$11,559 | \$10,817 | \$742 |
| Program Support | | | |
| Personal Services | \$17,453 | \$17,453 | \$0 |
| Staff OE&E | 1,073 | 1,073 | 0 |
| Fixed Facility OE&E | <u>3,769</u> | <u>3,769</u> | <u>0</u> |
| Program Support, Total | \$22,295 | \$22,295 | \$0 |
| Total Operations Expenditures | \$33,854 | \$33,113 | \$742 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$2,350 | \$2,350 | \$0 |
| Major Assumptions | | | |
| Lump Sum Payouts | \$7,500 | \$7,500 | \$0 |
| Total Expenditures | \$43,704 | \$42,963 | \$742 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| General Fund | \$34,650 | \$25,107 | \$9,543 |
| Reimbursements | 8,987 | 17,788 | -8,801 |
| Lottery Fund | <u>68</u> | <u>68</u> | <u>0</u> |
| Fund, Total | \$43,704 | \$42,963 | \$742 |

Fairview Developmental Center
Resident Population and Staffing Incremental Changes
Budget Year 2019 - 20

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 15 | -12 | 0 | 3 |

| Units | | | |
|-------|-------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 1.00 | -1.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|-------------|-------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 52.7 | -37.0 | 0.0 | 15.7 |
| Medical | 1.3 | -2.0 | 0.0 | -0.8 |
| Support Services | <u>11.6</u> | <u>-6.3</u> | <u>0.0</u> | <u>5.3</u> |
| Total | 65.5 | -45.3 | 0.0 | 20.2 |

| Program Support Staffing | | | | |
|--------------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|-------------|--------------|------------|-------------|
| Total Staffing | 65.5 | -45.3 | 0.0 | 20.2 |
|-----------------------|-------------|--------------|------------|-------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------|-------------------|-------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 218.2 | 233.8 | 15.7 |
| Medical | 48.0 | 47.3 | -0.8 |
| Support Services | 289.1 | 294.4 | 5.3 |
| Total Positions | 555.3 | 575.5 | 20.2 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center

Expenditure and Funding Incremental Changes

Budget Year 2019 - 20

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|------------|-------------|----------|---------------|-----------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$2,533 | -\$1,898 | \$0 | \$0 | \$635 |
| Staff OE&E | 184 | -127 | 0 | 0 | 57 |
| Resident OE&E | <u>248</u> | <u>-198</u> | <u>0</u> | <u>0</u> | <u>50</u> |
| Total | \$2,965 | -\$2,224 | \$0 | \$0 | \$742 |

| Program Support Expenditures | | | | | |
|------------------------------|------------|-------------|------------|---------------|----------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$3,131 | -\$3,056 | -\$75 | \$0 | \$0 |
| Staff OE&E | 205 | -199 | -7 | 0 | 0 |
| Fixed Facility OE&E | <u>478</u> | <u>-466</u> | <u>-12</u> | <u>0</u> | <u>0</u> |
| Total | \$3,814 | -\$3,721 | -\$94 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Major Assumptions | | | | | |
|-------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Lump Sum | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Facility Expenditures | | | | | |
|-----------------------------|----------------|-----------------|--------------|---------------|--------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$5,664 | -\$4,954 | -\$75 | \$0 | \$635 |
| OE&E | 1,116 | -991 | -18 | 0 | 107 |
| Staff OE&E | 390 | -326 | -7 | 0 | 57 |
| Resident OE&E | 248 | -198 | 0 | 0 | 50 |
| Fixed Facility OE&E | <u>478</u> | <u>-466</u> | <u>-12</u> | <u>0</u> | <u>250</u> |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Major Assumptions | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| Grand Total | \$6,780 | -\$5,944 | -\$94 | \$0 | \$742 |

| Fund Sources | | | | | |
|------------------------|----------------|-----------------|--------------|---------------|--------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$12,577 | -\$2,972 | -\$62 | \$0 | \$9,543 |
| Reimbursements | -5,797 | -2,972 | -32 | 0 | -8,801 |
| Lottery Education Fund | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| Grand Total | \$6,780 | -\$5,944 | -\$94 | \$0 | \$742 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

May Revision to Governor's Budget

Budget Year 2019 - 20

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2019 | 10 | 10 | 0 |
| Units | | | |
| ICF | 2.00 | 2.00 | 0.00 |
| SNF | 0.00 | 0.00 | 0.00 |
| Acute | 0.00 | 0.00 | 0.00 |
| Units, Total | 2.00 | 2.00 | 0.00 |
| Positions | | | |
| Unit Staffing | 132.1 | 132.1 | 0.0 |
| Program Support | 0.0 | 0.0 | 0.0 |
| Positions, Total | 132.1 | 132.1 | 0.0 |
| Operations Expenditures | | | |
| Unit Staffing | | | |
| Personal Services | \$4,495 | \$2,884 | \$1,611 |
| Staff OE&E | 172 | 172 | 0 |
| Resident OE&E | 86 | 72 | 14 |
| Unit Staffing, Total | \$4,753 | \$3,127 | \$1,625 |
| Program Support | | | |
| Personal Services | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 |
| Program Support, Total | \$0 | \$0 | \$0 |
| Total Operations Expenditures | \$4,753 | \$3,127 | \$1,625 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$656 | \$656 | \$0 |
| Major Assumptions | | | |
| Lump Sum | \$230 | \$230 | \$0 |
| Total Expenditures | \$5,639 | \$4,013 | \$1,625 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| General Fund | \$2,843 | \$2,009 | \$834 |
| Reimbursements | 2,757 | 1,966 | 791 |
| Lottery Fund | 38 | 38 | 0 |
| Fund, Total | \$5,639 | \$4,013 | \$1,625 |

Porterville Developmental Center- General Treatment Area

Resident Population and Staffing Incremental Changes

Budget Year 2019 - 20

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Program Support Staffing | | | | |
|--------------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 106.5 | 106.5 | 0.0 |
| Medical | 2.5 | 2.5 | 0.0 |
| Support Services | 23.1 | 23.1 | 0.0 |
| Total Positions | 132.1 | 132.1 | 0.0 |

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Incremental Changes

Budget Year 2019 - 20

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|---------|-----|-------|---------------|---------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$1,611 | \$0 | \$0 | \$0 | \$1,611 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 14 | 0 | 0 | 0 | 14 |
| Total | \$1,625 | \$0 | \$0 | \$0 | \$1,625 |

| Program Support Expenditures | | | | | |
|------------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total General Treatment Area Expenditures | | | | | |
|---|----------------|------------|------------|---------------|----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$1,611 | \$0 | \$0 | \$0 | \$1,611 |
| OE&E | 14 | 0 | 0 | 0 | 14 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 14 | 0 | 0 | 0 | 14 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$1,625 | \$0 | \$0 | \$0 | \$1,625 |

| Fund Sources | | | | | |
|------------------------|----------------|------------|------------|---------------|----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$834 | \$0 | \$0 | \$0 | \$834 |
| Reimbursements | 791 | 0 | 0 | 0 | 791 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$1,625 | \$0 | \$0 | \$0 | \$1,625 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Area

May Revision to Governor's Budget

Budget Year 2019 - 20

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|-------------------------|---------------------------------|-----------------------|
| Beginning Resident Population, July 1, 2019 | 211 | 211 | 0 |
| Units | | | |
| ICF* | 13.00 | 13.00 | 0.00 |
| SNF | 0.00 | 0.00 | 0.00 |
| Acute | 1.00 | 1.00 | 0.00 |
| Units, Total | 14.00 | 14.00 | 0.00 |
| Positions | | | |
| Unit Staffing | 763.0 | 763.0 | 0.0 |
| Program Support | 513.5 | 513.5 | 0.0 |
| Intensive Treatment Residence - Behavioral | 37.8 | 37.8 | 0.0 |
| Intensive Treatment Residence - Medical | 37.8 | 37.8 | 0.0 |
| Positions, Total | 1,352.0 | 1,352.0 | 0.0 |
| Operations Expenditures | | | |
| Unit Staffing | | | |
| Personal Services | \$80,122 | \$80,122 | \$0 |
| Staff OE&E | 4,647 | 4,647 | 0 |
| Resident OE&E | 6,080 | 6,080 | 0 |
| Unit Staffing, Total | \$90,849 | \$90,849 | \$0 |
| Program Support | | | |
| Personal Services | \$50,566 | \$50,566 | \$0 |
| Staff OE&E | 3,148 | 3,148 | 0 |
| Fixed Facility OE&E** | 21,503 | 21,503 | 0 |
| Program Support, Total | \$75,217 | \$75,217 | \$0 |
| Intensive Treatment Residence - Behavioral | | | |
| Personal Services | \$3,853 | \$3,853 | \$0 |
| Staff OE&E | 231 | 231 | 0 |
| IBTR - Behavioral, Total | \$4,084 | \$4,084 | \$0 |
| Intensive Treatment Residence - Medical | | | |
| Personal Services | \$3,853 | \$3,853 | \$0 |
| Staff OE&E | 231 | 231 | 0 |
| IBTR - Medical, Total | \$4,084 | \$4,084 | \$0 |
| Total Operations Expenditures | \$174,234 | \$174,234 | \$0 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$5,569 | \$5,569 | \$0 |
| Total Expenditures | \$179,803 | \$179,803 | \$0 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|-------------------------|---------------------------------|-----------------------|
| Fund Sources | | | |
| General Fund | \$177,952 | \$177,952 | \$0 |
| Reimbursements | 1,814 | 1,814 | 0 |
| Lottery Fund | 38 | 38 | 0 |
| Fund, Total | \$179,803 | \$179,803 | \$0 |

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment Area

Resident Population and Staffing Incremental Changes

Budget Year 2019 - 20

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units* | | | |
|--------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Unit Staffing | | | | |
|------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Program Support Staffing | | | | |
|--------------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Intensive Treatment Residence - Behavioral | | | | |
|--|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| Intensive Treatment Residence - Medical | | | | |
|---|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 742.9 | 742.9 | 0.0 |
| Medical | 74.8 | 74.8 | 0.0 |
| Support Services | 534.4 | 534.4 | 0.0 |
| Total Positions | 1,352.0 | 1,352.0 | 0.0 |

Porterville Developmental Center - Secure Treatment Area

Expenditure and Funding Incremental Changes

Budget Year 2019 - 20

(Dollars in thousands)

| Unit Staffing Expenditures | | | | | |
|----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Program Support Expenditures | | | | | |
|------------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Intensive Treatment Residence - Behavioral Staffing Expenditures | | | | | |
|--|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Intensive Treatment Residence - Medical Staffing Expenditures | | | | | |
|---|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Secure Treatment Area Expenditures | | | | | |
|--|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| OE&E | 0 | 0 | 0 | 0 | 0 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Resident OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|---------------|------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| Reimbursements | 0 | 0 | 0 | 0 | 0 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$0 | \$0 |

STAR & CAST

May Revision to Governor's Budget

Budget Year 2019 - 20

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|----------------------------------|--|-------------------------------|
| Beginning Resident Population, July 1, 2019 | 20 | 20 | 0 |
| Units | | | |
| ICF | 7.00 | 7.00 | 0.00 |
| SNF | 0.00 | 0.00 | 0.00 |
| Acute | 0.00 | 0.00 | 0.00 |
| Units, Total | 7.00 | 7.00 | 0.00 |
| Positions | | | |
| STAR | 202.0 | 202.0 | 0.0 |
| CAST | 19.5 | 19.5 | 0.0 |
| Positions, Total | 221.5 | 221.5 | 0.0 |
| Operations Expenditures | | | |
| <u>STAR</u> | | | |
| Personal Services | \$19,244 | \$14,277 | \$4,967 |
| Staff OE&E | 1,875 | 1,469 | 406 |
| Resident OE&E | 1,120 | 800 | 320 |
| Fixed Facility OE&E | 4,216 | 3,902 | 314 |
| STAR, Total | \$26,455 | \$20,448 | \$6,007 |
| <u>CAST</u> | | | |
| Personal Services | \$3,577 | \$3,923 | -\$346 |
| Staff OE&E | 650 | 424 | 226 |
| CAST, Total | \$4,227 | \$4,347 | -\$120 |
| Total Operations Expenditures | \$30,682 | \$24,795 | \$5,887 |
| Control Section Adjustments | | | |
| Employee Comp and Retirement | \$550 | \$550 | \$0 |
| Total Expenditures | \$31,232 | \$25,345 | \$5,887 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|---------------------|----------------------------------|--|-------------------------------|
| Fund Sources | | | |
| General Fund | \$25,696 | \$20,986 | \$4,710 |
| Reimbursements | 5,536 | 4,359 | 1,177 |
| Lottery Fund | 0 | 0 | 0 |
| Fund, Total | \$31,232 | \$25,345 | \$5,887 |

STAR & CASTResident Population and Staffing Incremental Changes
Budget Year 2019 - 20

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| STAR Staffing | | | | |
|------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| CAST Staffing | | | | |
|------------------|-----|-----|-------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|-----------------------|------------|------------|------------|------------|
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |
|-----------------------|------------|------------|------------|------------|

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 214.0 | 214.0 | 0.0 |
| Medical | 1.5 | 1.5 | 0.0 |
| Support Services | 6.0 | 6.0 | 0.0 |
| Total Positions | 221.5 | 221.5 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Expenditure and Funding Incremental Changes
Budget Year 2019 - 20
(Dollars in thousands)

| STAR Expenditures | | | | | |
|---------------------|---------|-----|-------|---------------|---------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$4,967 | \$0 | \$0 | \$0 | \$4,967 |
| Staff OE&E | 406 | 0 | 0 | 0 | 406 |
| Resident OE&E | 320 | 0 | 0 | 0 | 320 |
| Fixed Facility OE&E | 314 | 0 | 0 | 0 | 314 |
| Total | \$6,007 | \$0 | \$0 | \$0 | \$6,007 |

| CAST Expenditures | | | | | |
|---------------------|--------|-----|-------|---------------|--------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | -\$346 | \$0 | \$0 | \$0 | -\$346 |
| Staff OE&E | 226 | 0 | 0 | 0 | 226 |
| Fixed Facility OE&E | 0 | 0 | 0 | 0 | 0 |
| Total | -\$120 | \$0 | \$0 | \$0 | -\$120 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

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| Total STAR & CAST Expenditures | | | | | |
|--------------------------------|----------------|------------|------------|---------------|----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$4,621 | \$0 | \$0 | \$0 | \$4,621 |
| OE&E | 1,266 | 0 | 0 | 0 | 1,266 |
| Staff OE&E | 632 | 0 | 0 | 0 | 632 |
| Resident OE&E | 320 | 0 | 0 | 0 | 320 |
| Fixed Facility OE&E | 314 | 0 | 0 | 0 | 314 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$5,887 | \$0 | \$0 | \$0 | \$5,887 |

| Fund Sources | | | | | |
|------------------------|----------------|------------|------------|---------------|----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$4,710 | \$0 | \$0 | \$0 | \$4,710 |
| Reimbursements | 1,177 | 0 | 0 | 0 | 1,177 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$5,887 | \$0 | \$0 | \$0 | \$5,887 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

May Revision to Governor's Budget

Budget Year 2019 - 20

(Dollars in thousands)

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|--|-------------------------|---------------------------------|-----------------------|
| Beginning Resident Population, July 1, 2019 | 0 | 0 | 0 |
| Units | | | |
| <i>ICF</i> | 0.00 | 0.00 | 0.00 |
| <i>SNF</i> | 0.00 | 0.00 | 0.00 |
| <i>Acute</i> | 0.00 | 0.00 | 0.00 |
| Units, Total | 0.00 | 0.00 | 0.00 |
| Positions | 8.0 | 8.0 | 0.0 |
| Operations Expenditures | | | |
| <i>Personal Services</i> | \$33,701 | \$29,235 | \$4,466 |
| <i>Staff OE&E</i> | 36 | 36 | 0 |
| <i>Fixed Facility OE&E</i> | 1,660 | 0 | 1,660 |
| Total | \$35,398 | \$29,272 | \$6,126 |
| Total Operations Expenditures | \$35,398 | \$29,272 | \$6,126 |
| Control Section Adjustments | | | |
| <i>Employee Comp and Retirement</i> | \$64 | \$64 | \$0 |
| Total Expenditures | \$35,462 | \$29,336 | \$6,126 |

| | 2019 May Revision | 2019-20 Governor's Budget | Incremental Change |
|-----------------------|-------------------------|---------------------------------|-----------------------|
| Fund Sources | | | |
| <i>General Fund</i> | \$27,055 | \$25,778 | \$1,277 |
| <i>Reimbursements</i> | 8,407 | 3,558 | 4,849 |
| <i>Lottery Fund</i> | 0 | 0 | 0 |
| Fund, Total | \$35,462 | \$29,336 | \$6,126 |

Ongoing CostsResident Population and Staffing Incremental Changes
Budget Year 2019 - 20

| Beginning Resident Population | | | |
|-------------------------------|-----|-------|------------------|
| ICF | SNF | Acute | Total Population |
| 0 | 0 | 0 | 0 |

| Units | | | |
|-------|------|-------|-------------|
| ICF | SNF | Acute | Total Units |
| 0.00 | 0.00 | 0.00 | 0.00 |

| Ongoing Cost Staffing | | | | |
|-----------------------|------------|------------|------------|-----------------|
| | ICF | SNF | Acute | Total Positions |
| Clinical | 0.0 | 0.0 | 0.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 | 0.0 |
| Support Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 0.0 | 0.0 | 0.0 | 0.0 |
| Total Staffing | 0.0 | 0.0 | 0.0 | 0.0 |

| Incremental Position Change from 2019-20 Governor's Budget | | | |
|--|---------------------------------|-------------------------|------------|
| Staffing | 2019-20 Governor's Budget | 2019 May Revision | Change |
| Clinical | 2.0 | 2.0 | 0.0 |
| Medical | 0.0 | 0.0 | 0.0 |
| Support Services | 6.0 | 6.0 | 0.0 |
| Total Positions | 8.0 | 8.0 | 0.0 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Expenditure and Funding Incremental Changes

Budget Year 2019 - 20

(Dollars in thousands)

| Ongoing Cost Expenditures | | | | | |
|---------------------------|-----|-----|-------|---------------|---------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$4,466 | \$4,466 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 1,660 | 1,660 |
| Total | \$0 | \$0 | \$0 | \$6,126 | \$6,126 |

| Control Section Adjustments | | | | | |
|-----------------------------|-----|-----|-------|---------------|-------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Employee Compensation | \$0 | \$0 | \$0 | \$0 | \$0 |

| Total Ongoing Cost Expenditures | | | | | |
|---------------------------------|------------|------------|------------|----------------|----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| Personal Services | \$0 | \$0 | \$0 | \$4,466 | \$4,466 |
| OE&E | 0 | 0 | 0 | 1,660 | 1,660 |
| Staff OE&E | 0 | 0 | 0 | 0 | 0 |
| Fixed Facility OE&E | 0 | 0 | 0 | 1,660 | 1,660 |
| Control Section Adjustments | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$6,126 | \$6,126 |

| Fund Sources | | | | | |
|------------------------|------------|------------|------------|----------------|----------------|
| | ICF | SNF | Acute | Facility-Wide | Total |
| General Fund | \$0 | \$0 | \$0 | \$1,277 | \$1,277 |
| Reimbursements | 0 | 0 | 0 | 4,849 | 4,849 |
| Lottery Education Fund | 0 | 0 | 0 | 0 | 0 |
| Grand Total | \$0 | \$0 | \$0 | \$6,126 | \$6,126 |

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

CONTROL SECTION ADJUSTMENTS

Control Section 6.10 Deferred Maintenance

The 2019 May Revision includes an augmentation of \$10 million General Fund in Control Section 6.10 for the Porterville Developmental Center as compared to the 2019-20 Governor's Budget. This amount reflects funding for deferred maintenance projects that will maintain the infrastructure in acceptable condition to preserve the condition or extend the useful life of the State's property.

REVISED MAJOR ASSUMPTION

STABILIZATION, TRAINING, ASSISTANCE and REINTEGRATION (STAR) HOMES and CRISIS ASSESSMENT STABILIZATION TEAM (CAST)

Temporary STAR Homes at Porterville

The DDS requests \$5.9 million (\$4.7 million GF) to operate two temporary Stabilization, Training, Assistance and Reintegration (STAR) homes at Porterville. With the approval of positions in the 2019-20 Governor Budget, this funding request is the necessary step for this project to utilize two units at Porterville General Treatment Area as a temporary location to provide STAR services until the two new Central Valley STAR homes are operational.

The 2019-20 Governor Budget included permanent and on-going operation of the Central Valley STAR homes, with the exception of the costs associated with the staff that directly supports the STAR Home residents.

| | Central Valley Safety Net | | | |
|-------------------|---------------------------|---------|--------------|----------|
| | Governor's Budget | | May Revision | |
| | 2018-19 | 2019-20 | 2018-19 | 2019-20 |
| Personal Services | \$0 | \$2,311 | \$0 | \$7,278 |
| OE&E | \$0 | \$3,000 | \$0 | \$3,920 |
| Total | \$0 | \$5,311 | \$0 | \$11,198 |
| General Fund | \$0 | \$4,849 | \$0 | \$9,559 |
| Reimbursement | \$0 | \$462 | \$0 | \$1,639 |
| Total | \$0 | \$5,311 | \$0 | \$11,198 |

MAJOR ASSUMPTION

Ongoing Costs

Loss of Reimbursement

The 2019-20 May Revision proposes a net zero change in expenditures. Due to the reduction in federal reimbursement, \$1.3 million General Fund is proposed to cover Fairview's ongoing worker's compensation costs.

Interagency Agreement with Department of General Services

The Department of General Services (DGS) proposes an interagency agreement (IAA) to reimburse the Department for the costs for operations, maintenance, and partial decommissioning at Sonoma Developmental Center beginning July 1, 2019. The IAA is estimated at \$6.1 million Reimbursement (zero-dollar GF). DGS released a separate Spring Finance Letter proposal on April 17, 2019.

FUTURE FISCAL ISSUES

Decertification of ICF Units at Fairview and Porterville GTA

The Fairview Developmental Center and Porterville Developmental Center, General Treatment Area (GTA), are currently operating under settlement agreements reached between the California Department of Public Health (CDPH), the Centers for Medicare and Medicaid Services (CMS), the California Health and Human Services Agency (CHHS), the Department of Social Services, the Department of Health Care Services (DHCS), and DDS. These agreements require the facilities to comply with Conditions of Participation to receive federal reimbursements for the Intermediate Care Facilities for Developmental Disabilities (ICF/DD) units. In December 2018, CDPH and CMS notified both Fairview and Porterville GTA that they are in compliance and extended the agreement termination dates to December 31, 2019.

Although the two facilities are currently in compliance, CMS may terminate the agreements and withdraw federal reimbursements at its sole discretion. If this were to occur, the estimated monthly Federal Financial Participation (FFP) at risk in 2018-19 for the Fairview and Porterville GTA ICF/DD units is \$1.4 million in FFP and \$1.1 million in FFP, respectively. The 2019-20 estimated monthly FFP at risk for both Fairview and Porterville GTA ICF/DD units is \$400,000 each.

General Fund

DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible residents (these funds are referred to as "General Fund Match" and 2) to pay for non-Medi-Cal eligible resources/services for residents in the developmental centers and the state-operated community facility (DC/CF). These funds are referred to as "General Fund Other."

ASSUMPTIONS/METHODOLOGY:

| | | | <u>2018-19</u> | <u>2019-20</u> |
|---|----------------|----------------|------------------|------------------|
| • General Fund Match: | | | \$87,521 | \$35,338 |
| General Fund Match to FMAP | <u>2018-19</u> | <u>2019-20</u> | | |
| Non-Proposition 98 | 50.00% | 50.00% | 84,757 | 34,853 |
| Proposition 98 | 50.00% | 50.00% | 2,459 | 305 |
| HIPAA | 50.00% | 50.00% | 305 | 180 |
| <p>This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHCS.</p> | | | | |
| • General Fund Other | | | \$229,161 | \$246,860 |
| <p>This funding is for services provided to the DC/CF residents who are not eligible for federal or other reimbursement funding. Funding also includes \$9.2 million in 2018-19 and \$9.1 million in 2019-20 for Lease-Revenue Bonds.</p> | | | 229,161 | 246,860 |
| TOTAL GENERAL FUND | | | \$316,682 | \$282,198 |

Dollars are in thousands

Reimbursements

Title XIX/Medi-Cal

DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

ASSUMPTIONS/METHODOLOGY:

2018-192019-20

- Title XIX/Medi-Cal

\$87,521**\$29,802**

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DCs/CF system via DHCS. The federal financial participation costs are established by utilizing the FMAP provided by DHCS.

FMAP

2018-192019-20

Non-Proposition 98

50.00%

50.00%

87,170

29,802

Proposition 98

50.00%

50.00%

242

0

HIPAA

50.00%

50.00%

109

0

Federal Reimbursements

DESCRIPTION:

The 1915(i) and the Home and Community-Based Services (HCBS) Waiver programs currently enable the Department to provide a broad array of services to eligible individuals in their communities. The Department will request federal approval for matching funds for the STAR homes and services provided by CAST to individuals in a crisis situation. Federal reimbursements fund eligible services provided to individuals in the community, or state operated community crisis homes, via the Home and Community Based Services Waiver and 1915(i) State Plan Amendment.

- HCBS Waiver

\$0**\$4,816**

- 1915(i)

\$0**\$720**

Other Reimbursements

Reimbursements received through an Inter-Agency Agreement with Department of General Services, DDS will continue operations, maintenance, and partial decommissioning activities.

\$0**\$6,126**

TOTAL REIMBURSEMENTS

\$87,521**\$41,464**

Dollars are in thousands

Lottery Education Fund

DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

ASSUMPTIONS/METHODOLOGY:

2018-192019-20

Lottery Education Fund:

\$180

\$180

- The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in the developmental centers and the state-operated community facility. Funds received are based on average daily attendance.

TOTAL LOTTERY EDUCATION FUND

\$180

\$180

Dollars are in thousands

SB 82 Reporting

Pursuant to Chapter 23, Statutes of 2015 (SB 82), the Department of Developmental Services (Department) provides the following information regarding General Fund (GF) savings associated with the downsizing or closure of the Developmental Centers (DC).

In Table 1 below, negative amounts reflect savings; positive amounts reflect increased expenditures.

The Previous Year (PY) Cumulative Total column displays the funding for 2015-16 through 2017-18 and there are no changes in the 2019 May Revision.

The Fiscal Year (FY) 2018-19 column shows the funding impacts related to six programs or activities affected by the Sonoma, Fairview, and Porterville GTA closures and there are no changes in the 2019 May Revision.

The FY 2019-20 column has been updated for the following: Program 1 - Operations Adjustments reflects an increase to Porterville GTA and Fairview. The operations costs increased in the May Revision due to lower placements; however, the Department estimates closure by December 31, 2019 for both facilities. Program 6 - Safety Net Resources reflects an increase for the operation of two temporary STAR homes at Porterville.

Cumulatively, net expenditures driven by closure-related activities total \$318.6 million (\$267.8 million GF). While overall DC operations expenditures are decreasing in 2018-19 and 2019-20, expenditures to develop community-based resources and to support individuals living in the community exceed savings on the aggregate.

Table 1
DC Closures – Funding Impacts
Dollars in Thousands

| Program/Activity | PY Cumulative Total | | FY 2018-19 | | FY 2019-20 | | Cumulative Total | |
|--|---------------------|------------------|-----------------|-----------------|----------------|----------------|------------------|------------------|
| | TF | GF | TF | GF | TF | GF | TF | GF |
| 1. DCs - Operations Adjustments | -\$59,500 | \$13,304 | -\$101,621 | -\$90,727 | -\$111,618 | -\$68,312 | -\$272,739 | -\$145,735 |
| 2. DCs - Closure Activities | \$21,600 | \$12,000 | \$14,765 | \$9,034 | -\$635 | -\$1,873 | \$35,730 | \$19,161 |
| 3. Community Services - Closure Placement | \$151,200 | \$138,400 | \$27,000 | \$20,000 | \$0 | \$0 | \$178,200 | \$158,400 |
| 4. Community Services - Continuation Costs | \$150,300 | \$94,700 | \$78,800 | \$41,300 | \$87,500 | \$45,900 | \$316,600 | \$181,900 |
| 5. HQ - Closure Coordination & Oversight | \$4,200 | \$3,600 | \$2,100 | \$1,800 | \$2,100 | \$1,800 | \$8,400 | \$7,200 |
| 6. Safety Net Resources | \$7,500 | \$7,500 | \$13,638 | \$13,638 | \$31,232 | \$25,696 | \$52,370 | \$46,834 |
| Total | \$275,300 | \$269,504 | \$34,682 | -\$4,955 | \$8,579 | \$3,211 | \$318,561 | \$267,760 |

The amounts in Table 1 do not include other state department-funded expenditures, such as Medi-Cal and In-Home Supportive Services, for individuals who moved out of the DCs into the community. The following information provides details and explanations for each program/activity area.

1. DC – Operations Adjustments

DC - Operations Adjustments include personnel expenditures to care for residents and to operate and maintain the facilities. It also includes staff, resident, and facility OE&E expenses. The 2019 May Revision for 2018-19 has no change; however, the \$8.5 million (\$10.4 million GF) increase will change the 2019-20 level of savings to \$111.6 million (\$68.3 million GF) as compared to the 2019 Governor's Budget's SB 82 Report of \$120.1 million (\$78.789 million GF). The increase is due to scheduled placements occurring later than estimated.

2. DC – Closure Activities

There is no change in the May Revision.

3. Community Services – Closure Community Placement Plan (CPP)

There is no change in the May Revision.

4. Community Services – Continuation Costs

There is no change in the May Revision.

5. Headquarters – Closure Coordination and Oversight

There is no change in the May Revision.

6. Safety Net Resources

The 2019 May Revision proposes an increase of \$5.9 million (\$4.7 million GF), compared to the 2019-20 Governor's Budget, to operate two temporary Stabilization, Training, Assistance and Reintegration (STAR) homes at Porterville. With the approval of positions in 2019-20 Governor's Budget, this funding request is the necessary step for this project to utilize two units at Porterville General Treatment Area as a temporary location to provide STAR services until the two new Central Valley STAR homes are operational.

The 2019-20 Governor's Budget included permanent and on-going operation of the Central Valley STAR homes, with the exception of the costs associated with the staff that directly supports the STAR Home residents.