Developmental Services (DS) Task Force
Full Task Force Public Meeting
December 9, 2019

Table of Contents

Additional Safety Net Resource:

- [Plan for Crisis and Other Safety Net Services in the California Developmental Services System](#)

Community Resources Workgroup

Please refer to pages 12-19 for information provided on Project Development Overviews for ARFPSHN, EBSH, CCH and Homes Utilizing DE/SP

Additional Community Resource Links:

- [Guidelines for Tailored Day Services](#)
- [Guidelines for Implementation of Competitive Integrated Employment Incentive Payments](#)
- [Guidelines for Implementation of Paid Internship Program](#)

Service Access & Equity Workgroup

Additional Service Access & Equity Links:

- [Background and Process for Developing Disparity Measures](#)

System & Fiscal Reform Workgroup

Oversight, Accountability & Transparency Workgroup

Additional Oversight, Accountability and Transparency Links:

- [NCI Added to The Medicaid Adult Core Health Care Quality Measure Set and Medicaid Scorecard](#)
- [Regional Center Oversight Dashboard](#)

Guiding Principles

The Task Force expressed strong interest in capturing the principles that should be fundamentally included in every subject area and used as a goal or guide when considering changes to the community system. Also, it was recognized that some topics, such as the 2014 Centers for Medicare and Medicaid Services (CMS) regulations on Home and Community Based Services (HCBS), will necessarily have an impact on each area. Specifically, the overarching principles and topics for consideration under each subject area are:

1. The Lanterman Developmental Disabilities Services Act guarantees regional center services for the life of the consumer, thereby creating an entitlement program in California.

2. The core component of the service delivery system is a comprehensive person-centered Individual Program Plan (IPP), also referred to as a whole person or authentic IPP, which is carefully crafted and enables choice.

3. Consumers must be empowered to make choices and receive the services and supports they need to lead more independent and productive lives in the least restrictive environment appropriate for the individual. Consumers must be at the center of any problem analysis or solution, with the objective of providing services that people want. Emphasis should be placed on consumer choice, self-determination and consumer-directed services.

4. Ensuring consumer health and safety is critical, which includes protecting individuals from harm and abuse, and providing appropriate crisis intervention and response.

5. Services must be culturally and linguistically appropriate and responsive to the consumer and his or her family.

6. Any model of care or service must receive sufficient and stable funding to be successful in accomplishing its goal and be sustainable. The adequacy of resources is an issue that permeates all aspects of the service system.

7. The tenets of community integration and access reflected in the 2014 CMS regulations for HCBS must be incorporated throughout the service system, including but not limited to consumer choice; consumer independence; consumer rights to privacy, dignity and freedom from coercion and restraint; opportunities for integrated employment; and settings that meet consumer-specific provisions based on these principles.

8. There must be fiscal accountability, transparency and fiscal responsibility in the service system, including maximizing the use of federal funding.

9. An appropriate framework for monitoring and quality assurance should be built into services.

10. Technology should be utilized.

11. Developmental center resources (land, staff and buildings) should be leveraged or made available to benefit consumers in the community.

12. Flexibility should be incorporated into the system to address choice and special circumstances, such as allowing Health and Safety exemptions.

Safety Net Plan Update

The Department of Developmental Services (Department) released the *Plan for Crisis and Other Safety Net Services in the California Developmental Services System* on May 13, 2017 in compliance with Welfare and Institutions (W&I) Code 4474.15(a). The Plan was informed by the Developmental Services Task Force and other stakeholder groups throughout the state. Through person-centered planning and with a focus on cultural competence, positive behavior supports and trauma-informed care, critical safety net resources are being developed. These resources include crisis and intensive support services to ensure the safe transition of individuals with the most significant needs into the community and that are available when individuals face challenges jeopardizing their ability to have happy, healthy and safe lives in the community. The attached Safety Net Plan Update provides information about the current status of the approved resources, services and supports identified in the Safety Net Plan and subsequent budgets.

The Safety Net Plan includes the expansion of state-operated services as well as vendor-operated services. Specifically, the expansion of state-operated services includes the development of additional STAR (Stabilization, Training, Assistance and Reintegration) acute crisis services in Northern and Southern California. In recognition of the closure of developmental centers and the increased need for acute crisis and mobile crisis services in the community, STAR will expand to the Central Valley, with one adult STAR home and one adolescent STAR home.   STAR services will expand from current capacity of serving10 individuals to STAR services for up to of 33 individuals upon full development. Of the capacity for 33 individuals, eight will be designated for children/adolescents. Individuals are only admitted to a STAR residence if they meet the W&I Code section 4418.7(d)(1) definition of "acute crisis". Individuals receiving services through the STAR homes are court-ordered to DDS for treatment and are provided behavioral health support for stabilization.   Services, supports and comprehensive planning prepares individuals for transition to a less restrictive living arrangement within 13 months.

With the passing of Senate Bill 175 (McGuire), a court is authorized, until June 30, 2021, to admit individuals experiencing an acute crisis to a distinct 10-bed unit at Canyon Springs Community Facility under the same criteria, procedures, and timelines that apply to the STAR residences at Fairview Developmental Center and Vacaville. Five individuals are currently receiving STAR services at Canyon Springs Community Facility, with one admission in process.

The Safety Net Plan noted the need for state-operated mobile crisis services. These services are provided by the Crisis Assessment Stabilization Team (CAST) and operated through North and South STAR. The CAST is designed to provide partnerships, assessments, training and support to individuals continuing to experience crises after regional centers have exhausted all other in home crisis services in their home community. CAST is available in the following regional center catchment areas: North Bay, East Bay, San Gabriel/Pomona, San Diego and Orange County Regional Centers. Additional referrals are considered based on an individual basis. CAST is expanding to the Central Valley, which will serve individuals in the Central Valley Region of California. The first Crisis Assessment Stabilization Teams (CAST) referral was received on January 10, 2018. As of December 3, 2019, there have been a total of 86 referrals. Of the 86 referrals, 60 individuals have received an assessment, have received CAST services or are currently receiving CAST services. The remaining

individuals did not receive CAST services for various reasons, to include: Withdrawn or consent not provided by consumer or family, admitted to an acute psychiatric facility before services started, received alternative crisis and supportive services.
.

To further enhance supports to individuals who have complex needs the Department expanded vendor-operated services to include, development of step-down homes and intensive transition services for individuals transitioning into the community from the Porterville Developmental Center Secure Treatment Program (PDC STP) as well as from Institutions for Mental Diseases (IMD) and for those at risk of being placed in an IMD. The step-down homes support individuals who are transitioning from these highly restrictive settings and can benefit from person-centered, structured and with a focus on skill development before transitioning to their home community. The intensive transition services are expected to provide individuals in the PDC STP and in IMDs a higher level of support during their transition into the community, regardless of whether they are moving to a step-down home or to a permanent home in their community of origin. The IMD step-down home in Alta California Regional Center's catchment area is licensed, certified and was in operation in May 2019. Both the intensive transition services for individuals transitioning from PDC STP, as well as for individuals transitioning from IMDs, launched in November 2018.

The Department continues to engage with stakeholders in assessing the crisis and safety net needs of the individuals served by regional centers who are living in the community or who are transitioning from restrictive settings. The Developmental Services (DS) Task Force Community Services and Safety Net Work Group has discussed current trends, needs and potential service strategies for individuals who receive regional center services, particularly children and adolescents, who have developmental disabilities and co-occurring psychiatric diagnoses. Specifically, the Department held a series of three large, stakeholder-style meetings in October and November 2018, in Napa, Visalia and Pomona. Additionally, several regional centers held small family stakeholder meetings and shared the outcomes with the Department or had the Department participate in the meetings. A summary of the meetings was shared at the DS Task Force Safety Net Workgroup in February 2019. To further inform the safety net update due to the Legislature on January 10, 2020, the Department hosted three additional large stakeholder-style meetings in November 2019, in Napa, Fresno and Pomona, and collected input through an online survey.

Supporting the continued DS Task Force discussion and information gathered through the family stakeholder meetings, the Department is working with regional centers to expand the inventory of crisis services and develop additional resources to strengthen services in their local communities for children and adolescents. The 2019-20 Budget Act provided funding for the development of three Community Crisis Homes for children, which will serve child and adolescent consumers with co-occurring mental health needs.   In addition, two Community Crisis Homes for children were approved for development through FY 2019/20 Community Placement Plan/Community Resource Development Plan. Lastly, supporting the efforts of AB 2083, the Department awarded Community Crisis Homes for children/adolescents, with a focus on youth in foster care, to two Regional Centers (North and South). The homes will be a statewide resource and offer comprehensive support services; to include, but not limited to education and behavioral support.

To assure collaboration and sharing of best practices the Department facilitates quarterly Enhanced Behavioral Supports Home (EBSH) - Community Crisis Home (CCH) provider workshops. The workshops include training to enhance service and outcome home monitoring and, review of EBSH/CCH regulations and guidelines.

Type of Service: *Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care* *See notes at end for list of acronyms*	Location	Capacity	Status/Comments
Acute crisis services – North Stabilization, Training, Assistance and Reintegration (STAR) 1) One five-person state-operated Community Crisis Home (CCH) for adults *Actual service date: April, 2019* 2) One five-person state-operated CCH for adults *Projected service date: Winter 2019* 3) One four-person state-operated Enhanced Behavioral Supports Home (EBSH) for children and adolescents *Projected service date: Summer 2020*	Northern California in North Bay Regional Center (NBRC) area	Total = 14	**General:** • Regional Center of the East Bay (RCEB) is the lead regional center • Brilliant Corners is the Housing Development Organization (HDO) • All three homes are located in Vacaville **Details:** 1) First home providing services as of April 2019 2) Second home acquired January 2019; renovation completed, pre-licensing completed. Completing assessments with projected services December 2019 3) Third home identified and in escrow, in close proximity to North STAR #1 and #2

Type of Service: *Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care* **See notes at end for list of acronyms*	Location	Capacity	Status/Comments
Acute crisis services – South STAR Two five-bed state-operated CCHs for adults *Projected Service Date: Spring 2020*	Mark Lane, Costa Mesa	Total = 10	**General:** • Specialized architect consultant assisted with floor plan/design of South STAR homes **Details:** Demolition of existing homes is complete. Home specifications approved. Construction plans began in July, with construction bids being submitted in December 2019.
Acute crisis services – Central STAR One five-person state-operated CCHs for adults *Projected Service Date: Fall 2020* One four-person state-operated Enhanced Behavioral Supports Home (EBSH) for children and adolescents *Projected Service Date: Fall 2020*			**General:** • Central Valley Regional Center (CVRC) is facilitating development • Request for Proposal to select Housing Development Organization (HDO) for the CCH and the EBSH is active. • Temporary Central Valley STAR- Acute Crisis 5 bed residence located at PDC for adolescents–service date: December 2019.

Type of Service: *Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care* **See notes at end for list of acronyms*	Location	Capacity	Status/Comments
STAR Crisis Assessment Stabilization Team (CAST) State-operated mobile crisis services through North and South STAR for individuals served by regional centers and at risk of losing residential living option (i.e., move from family home or out-of-home placement to a more restrictive setting. *Service Start Date: January, 2018*	Operation through North and South STAR		**General:** North STAR is serving North Bay Regional Center and Alta California Regional Center, Regional Center of the East Bay, while South STAR is serving Inland Regional Center, Regional Center of Orange County, San Diego Regional Center, and San Gabriel Pomona Regional Center. **Details:** Total Referrals = 86 North CAST 33 referrals have received an assessment, have received services or are currently receiving services South CAST 27 referrals have received an assessment, have received services or are currently receiving services

Type of Service: Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care *See notes at end for list of acronyms	Location	Capacity	Status/Comments
Community Crisis Homes for Children/Adolescents Vendor operated CCHs for children/adolescents *Projected Service Date: To be determined*	Northern California in RCEB, ACRC and VMRC areas and in Southern California in SDRC area	Total= 25	**General:** The Budget Act 2019 provided authority to develop Community Crisis Homes for children/adolescents. DDS is finalizing regulations. Additionally, funding was approved for the development of three Community Crisis Homes for children, which will serve children and adolescents with co-occurring mental health needs. Additionally, 2 CCHs for children were approved through Community Placement Plan/Community Resource Development Plan proposals for SDRC and VMRC. Lastly, DDS is finalizing two additional projects focusing on children and adolescents who have multi-agency involvement. Initial location planning is in progress. All homes will be developed through an HDO.

Type of Service: Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care *See notes at end for list of acronyms*	Location	Capacity	Status/Comments
Porterville Developmental Center (PDC) Secure Treatment Program (STP) – Step Down Homes to support individuals transitioning into the community from PDC STP who need intensive supports and services prior to transitioning to their "forever" home 1) One four-person vendor-operated Community Crisis Home (CCH) with delayed egress for adults *Projected Service Date: Winter 2019* 2) One four-person vendor-operated CCH with delayed egress *Projected Service Date: Summer 2020* 3) One four-person vendor-operated CCH with delayed egress *Projected Service Date: Summer 2020*	Central California in Central Valley Regional Center (CVRC) area	Total = 12	**General:** • CVRC is the lead regional center for the development of all three homes • Brilliant Corners is the HDO for these three homes. • Liberty Healthcare is the provider for all three CCHs. **Details:** 1) First home acquired and undergoing renovation. Second home is a ground up build. Liberty Healthcare is finalizing the plan of operation. 2) Property search is active for the third home.

Type of Service: Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care *See notes at end for list of acronyms	Location	Capacity	Status/Comments
PDC STP – Intensive Individualized Transition Support Services to support individuals before, during and after transition from STP into the community home has been identified by and for the individual. State-contractor to provide pre-transition risk assessment, assistance with in-depth person-centered planning, environmental assessments of the community home, and consultation and/or direct services before, during and after transition to residential providers for intensive forensic, behavioral and psychiatric support. *Service Start Date: November 2018*	Statewide	Total = 25-35 in first year	**Service Provider:** Liberty Healthcare started services in November 2018. **Details:** 1) Monthly meetings with DDS Headquarters, PDC, (Regional Resource Development Project) RRDP and Liberty reviews services. 2) Program Director and all clinicians have been hired. Full teams include (per region): three Behavior Analysts, three LCSW, three RNs, 0.5 psychiatrist. 3) There are 16 active cases, with 15 completed risk assessments and 3 new referrals.

Type of Service: *Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care* **See notes at end for list of acronyms*	Location	Capacity	Status/Comments
Institution for Mental Diseases (IMD) Step-Down Homes to support individuals transitioning into the community from IMDs who need more intensive supports and services prior to transitioning to their permanent home 1) Four four-bed vendor-operated CCH, with delayed egress, in Alta California Regional Center (ACRC) area *Actual Service Date: May 29, 2019* 2) One four-bed vendor-operated CCH in Far Northern Regional Center (FNRC) area *Projected Service Date: Winter 2019* 3) One four-bed vendor-operated CCH, possibly with delayed egress, in San Gabriel/Pomona Regional Center (SGPRC) area *Projected Service Date: Winter 2019* 4) One four-bed vendor-operated CCH, possibly with delayed egress, in SGPRC area *Projected Service Date: Winter 2019*	Northern California in ACRC and FNRC areas Southern California in SGPRC area	Total = 16	**Housing Developer:** • Brilliant Corners **Details:** 1) First home (ACRC) – Providing services 2) Second home (FNRC) – Renovation underway and Liberty Healthcare is the selected provider. Referrals have been sent to Liberty for review. 3) Third home (SGPRC) – Renovation underway and Liberty Healthcare is the selected provider. Referrals have been sent to Liberty for review. 4) Fourth home (SGPRC) – Renovation underway; Liberty Healthcare is the selected provider. Referrals have been sent to Liberty for review.

Type of Service: Services will be developed through person centered planning, with a focus on cultural competence, positive behavior supports and trauma-informed care *See notes at end for list of acronyms*	Location	Capacity	Status/Comments
IMD Intensive Individualized Transition Services to support individuals before, during and after transition from IMD into community home that has been identified by and for the individual or to provide services to those at risk of being placed in an IMD. Services to include: pre-transition risk assessment, assistance with in-depth person-centered planning, environmental assessments of the community home, and consultation before, during and after the transition to residential providers for intensive behavioral and psychiatric supports. *Service Start Date: October 2018*	Statewide with regional offices in Northern California in ACRC area and in Southern California in SGPRC's area	Total = 20-25 in first year	**Service Provider:** Merakey was selected as the statewide provider. **Details:** 1) Northern CA Merakey has 11 active cases 2) Southern CA Merakey has 13 active cases 3) Team of specialists include a licensed program director, psychiatric provider, registered nurse, transition coordinator, and behavior specialist (BCBA).

*NOTES:
CAST: Crisis Assessment Stabilization Team
CAST Referrals: Includes referrals that received CAST assessments and services
CCH: Community Crisis Home
EBSH: Enhanced Behavioral Supports Home
HDO: Housing Development Organization
IMD: Institutions for Mental Diseases
STAR: Stabilization, Training, Assistance and Reintegration Acute Crisis Service

ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTH CARE NEEDS
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

	COMPLETED ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTHCARE NEEDS				
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
1	ACRC	5	Granite Bay	Granite Meadows	10/14/17
2	ACRC	5	Carmichael	Bell Haven 2	3/15/18
3	ACRC	5	Carmichael	Bell Haven 1	10/19/17
4	ACRC	5	Orangevale	South Canyon	10/20/17
5	ACRC	5	Sacramento	Daisy Hill	11/13/18
6	ACRC	5	Fair Oaks	Meadowood	12/1/18
7	CVRC	4	Fresno	West Atlanta	10/15/17
8	CVRC	5	Fresno	Ellery	10/1/18
9	ELARC	4	San Gabriel	Vista	2/27/12
10	ELARC	5	Whittier	Los Lotes	1/15/14
11	ELARC	5	Temple City	Doreen	5/10/13
12	ELARC	4	Whittier	Scenic	10/31/14
13	FNRC	5	Redding	Vista Oaks	4/2/19
14	GGRC	4	San Mateo	Edna (Atenar)	5/20/08
15	GGRC	4	San Bruno	Medina (St. Francis)	5/1/07
16	GGRC	5	Redwood City	Gordon	1/31/09
17	GGRC	4	San Bruno	Cloud	6/5/08
18	GGRC	4	San Bruno	Harmony Home	9/8/16
19	GGRC	4	San Bruno	St. Anthony's Home / Tina's Care Home, Inc.	12/1/17
20	GGRC	4	Redwood City	Oakwood Home/Narasol Home, LLC.	2/8/18
21	GGRC	4	San Mateo	St. Paul's Home	10/30/17
22	GGRC	4	Redwood City	Clairespie Home	12/18/17
23	GGRC	4	Windsor	Tamara Way	4/18/18
24	IRC	5	Colton	Baccarat	8/21/12
25	KRC*	5	Bakersfield	Abbey Road	6/17/19
26	KRC	5	Bakersfield	Nemia Home	11/1/19
27	NBRC	5	Santa Rosa	Grosse Avenue	10/6/16
28	NBRC	5	Santa Rosa	Peterson	1/20/17
29	NBRC	5	Santa Rosa	Monte Verde	2/22/17
30	NBRC	5	Santa Rosa	Alderbrook	4/13/18
31	NBRC	5	Fairfield	Stafford Springs	7/24/18
32	NBRC	5	Fairfield	German	8/31/18
33	NBRC	5	Sonoma	El Ritero	3/22/18
34	NBRC	5	Santa Rosa	Country Manor	2/7/18
35	NBRC	4	Santa Rosa	Queen Anne	5/15/18
36	NLACRC	5	Sylmar	Larkspur	5/1/13
37	NLACRC	5	Los Angeles	Babcock	9/24/14
38	RCEB	5	Livermore	Chablis	5/9/08
39	RCEB	5	Livermore	Regent	2/21/08
40	RCEB	5	Union City	Olympiad	10/8/08
41	RCEB	5	Newark	Meadowlark	12/24/08
42	RCEB	4	Fremont	Elwyn	2/6/09

ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTH CARE NEEDS
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

	COMPLETED ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTHCARE NEEDS				
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
43	RCEB	5	Fremont	Montecito	2/6/09
44	RCEB	4	Fremont	Amato Home	2/22/18
45	RCEB	4	Concord	Keith Drive	12/4/17
46	RCEB	4	Concord	Camino Solano	12/14/17
47	RCEB	4	Concord	Woodbridge Trifari Place	1/16/18
48	RCEB	4	Clayton	Woodbridge Clayton	5/8/18
49	RCEB	4	Concord	Maria Avenue	8/27/18
50	RCEB	4	Concord	Via Del Verde	11/29/17
51	RCEB	4	Livermore	Traviso	10/10/17
52	RCEB	5	Livermore	Smoke Bellew	10/10/17
53	RCEB	5	Walnut Creek	Banbury Place	4/23/18
54	RCEB	5	Walnut Creek	Cheyenne	4/2/18
55	RCOC	5	Anaheim	Janeen	4/1/19
56	RCOC	5	Santa Ana	Malena	2/19/19
57	RCOC	5	Santa Ana	La Colina	4/2/19
58	RCOC	4	Anaheim	Carleton	5/24/19
59	RCOC	5	Fullerton	Sunnywood	6/28/19
60	RCOC	5	Brea	Briarwood	3/13/19
61	RCOC	5	Brea	Larchwood	3/20/19
62	RCOC	5	Mission Viejo	Aracena	3/4/19
63	RCOC	5	Yorba Linda	Buena Vista	10/31/19
64	SARC	5	San Jose	Baywood	11/8/07
65	SARC	5	San Jose	Empey	12/30/08
66	SARC	5	San Jose	Flora	11/7/08
67	SARC	5	San Jose	Calero	10/3/08
68	SARC	5	Morgan Hill	La Alameda	1/28/09
69	SARC	5	Campbell	Westmont	12/23/08
70	SARC	5	Campbell	Milton	1/28/09
71	SARC	5	Santa Clara	Westridge	2/5/09
72	SARC	5	Santa Clara	Jill	2/10/09
73	SARC	5	Campbell	Salerno	2/2/09
74	SARC	5	San Jose	McKendrie	12/30/08
75	SARC	5	Morgan Hill	Taylor	8/28/07
76	SARC	5	San Jose	Henry	1/29/09
77	SCLARC	5	Downey	Lubec	8/1/14
78	SCLARC	5	Downey	Tristan	10/30/14
79	SDRC	5	Escondido	Villa Verde	5/4/18
80	SDRC	5	Escondido	Ritter Place	8/19/19
81	SDRC	5	Bonita	Winnetka	10/18/19
82	SGPRC	5	Diamond Bar	Meadcliff	9/29/12
83	SGPRC	5	Claremont	Rosemont	9/29/12
84	SGPRC	5	Claremont	Andover Home	4/24/14

ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTH CARE NEEDS
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

	COMPLETED ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTHCARE NEEDS				
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
85	SGPRC	5	San Dimas	Alford Home	4/24/14
86	TCRC	5	Santa Ynez	Mountain Ridge	7/1/19
87	WRC	5	Los Angeles	64th Street	12/21/13
88	WRC	5	Los Angeles	Holt Avenue	6/21/14
89	WRC	5	Los Angeles	Handley Avenue	9/14/17
90	WRC	5	Los Angeles	ARFPSHN-B Garth	2/28/18
3	WRC*	5	Los Angeles	Shenandoah Avenue	12/1/18
	TOTAL COMPLETED HOMES	90			
	TOTAL COMPLETED CAPACITY	427			

* This home is not currently operational

ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTH CARE NEEDS
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

	IN PROGRESS ADULT RESIDENTIAL FACILITY FOR PERSONS with SPECIAL HEALTHCARE NEEDS				
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	PROJECTED SERVICE DATE
1	FNRC	5	Redding	Old Alturas	2/1/20
2	TCRC	5	Solvang	Stadium	2/1/20
TOTAL IN PROGRESS HOMES		2			
TOTAL IN PROGRESS CAPACITY		10			

COMMUNITY CRISIS HOMES
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

COMPLETED COMMUNITY CRISIS HOMES					
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
1	ACRC	4	Orangevale	Santa Juanita	8/13/18
2	ACRC	4	Shingle Springs	Consolation	11/26/18
3	ACRC*	4	Herald	Telecare Herald House	5/28/19
4	IRC	4	Hemet	California	8/30/18
5	NBRC	4	Vacaville	Telecare Beck Lane	1/18/18
6	RCEB	4	Oakley	Cypress	2/7/19
7	SARC	4	Hollister	Magladry Flats CCH	9/3/19
TOTAL COMPLETED HOMES		**7**			
TOTAL COMPLETED CAPACITY		**28**			

STATE OPERATED COMPLETED COMMUNITY CRISIS HOMES					
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
1	RCEB	5	Vacaville	North STAR #1	4/26/19
TOTAL COMPLETED HOMES		**1**			
TOTAL COMPLETED CAPACITY		**5**			

IN PROGRESS COMMUNITY CRISIS HOMES					
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	PROJECTED SERVICE DATE
1	CVRC	4	Visalia	Avenue 344	12/31/19
2	CVRC**	4	Tulare	Road 44	1/1/20
3	CVRC**	4	Tulare	Road 44 #2	2/1/20
4	CVRC**	4	In Property Search	Liberty #3	6/1/20
5	FNRC*	4	Redding	Cedars	1/1/20
6	FNRC	4	In Property Search	In Property Search	12/1/20
7	GGRC	4	Hollister	Magladry	1/1/20
8	KRC	4	Bakersfield	Coffee	1/1/20
9	NBRC	4	Vacaville	English Hills	12/31/19
10	SDRC***	4	In Property Search	In Property Search	6/30/21
11	SGPRC*	4	La Puente	South Covina	2/1/20
12	SGPRC*	4	La Puente	Lomitas	1/1/20
13	WRC	4	Inglewood	Condon	2/1/20
14	WRC	4	Hawthorne	130th Street	2/1/20
TOTAL IN PROGRESS HOMES		**14**			
TOTAL IN PROGRESS CAPACITY		**56**			

STATE OPERATED IN PROGRESS COMMUNITY CRISIS HOMES					
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	PROJECTED SERVICE DATE
1	CVRC	5	In Property Search	Central STAR #1	10/31/20
2	RCEB	5	Vacaville	North STAR #2	12/31/19
3	RCOC	5	Costa Mesa	South STAR #1	4/30/20
4	RCOC	5	Costa Mesa	South STAR #2	4/30/20
TOTAL STATE OPERATED IN PROGRESS HOMES		**4**			
TOTAL STATE OPERATED IN PROGRESS CAPACITY		**20**			

* THESE PROJECTS ARE SPECIFIC TO SAFETY NET IMD STEP DOWN

** THESE PROJECTS ARE SPECIFIC TO SAFETY NET PDC STEP DOWN

*** THIS PROJECT IS ALSO REFLECTED ON HOMES UTILIZING DELAYED EGRESS WITH SECURED PERIMETER PROJECT DEVELOPMENT OVERVIEW

**** THESE PROJECTS ARE HOMES UTILIZED FOR CHILDREN

ENHANCED BEHAVIORAL SUPPORTS HOMES
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

	COMPLETED ENHANCED BEHAVIORAL SUPPORTS HOMES				
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
1	ACRC	4	Fair Oaks	Illinois	3/23/18
2	ACRC	4	Carmichael	Parks Lane	10/22/18
3	CVRC**	4	Visalia	Manota	6/15/17
4	CVRC	4	Visalia	Honor in the West (Pica)	7/12/19
5	GGRC	4	San Rafael	Blackstone	12/1/19
6	KRC**	4	Bakersfield	Norris	8/22/19
7	NBRC	4	Santa Rosa	Hidden Valley	8/15/17
8	NBRC	4	Fairfield	Gold Coast	10/18/18
9	NBRC	4	Santa Rosa	Shady Oak	11/1/18
10	NBRC	4	Santa Rosa	Happy Valley	7/19/18
11	NBRC	4	Santa Rosa	Barnes	9/4/18
12	NBRC	4	Penngrove	Alan	9/24/18
13	NLACRC	2	Winnetka	Quartz	4/1/19
14	RCEB	4	Oakley	Neroly (Pica)	11/26/18
15	RCEB	4	Brentwood	Nighthawk	11/26/18
16	RCEB	4	Oakley	Terra Verde	9/5/18
17	SARC	4	San Martin	Sycamore	6/13/18
18	SARC	4	Morgan Hill	Tranquility Home	10/17/18
19	SARC	4	Morgan Hill	Serenity Place	2/20/19
20	SARC*	4	San Jose	Toyon	1/22/19
21	SDRC	4	Ramona	Ramona View	8/13/19
22	SDRC	4	Ramona	Garjan	10/4/19
23	SDRC	4	Ramona	Duck Pond	9/11/19
24	SDRC**	4	Ramona	Ash Street	10/4/19
25	SDRC	4	Escondido	Coltrane	7/9/19
26	SDRC**	4	Escondido	Wyndemere	12/1/19
27	SGPRC	3	Pomona	Viola	10/12/17
28	SGPRC	3	La Puente	3rd Avenue	4/3/18
29	SGPRC*	4	Baldwin Park	Hope House - Mary Jo	6/21/19
30	TCRC	4	Santa Barbara	Foothill Road	3/4/19
31	WRC	3	Los Angeles	Ramsgate Ave	3/28/14
32	WRC*	3	Inglewood	116th Street	11/26/18
33	WRC	3	Gardena	185th Street	5/14/18
34	WRC	3	Torrance	Hobart	9/11/19
35	WRC	4	Inglewood	Plymouth	5/29/19
	TOTAL COMPLETED HOMES	35			
	TOTAL COMPLETED CAPACITY	132			

	IN PROGRESS ENHANCED BEHAVIORAL SUPPORTS HOMES				
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	PROJECTED SERVICE DATE
1	ACRC*	4	Elk Grove	Walk of Life	1/1/20
2	ACRC	4	In Property Search	In Property Search	6/30/21
3	ACRC	4	In Property Search	In Property Search	6/30/21
4	CVRC*	4	In Property Search	In Property Search	6/20/20
5	CVRC	4	In Property Search	In Property Search	6/20/20
6	ELARC	2	In Property Search	In Property Search	6/1/20
7	GGRC	4	Novato	Daryl	1/1/20

Page 17

8	IRC	4	San Jacinto	Camino Los Banos	1/1/20
9	IRC**	4	Hemet	Pony Trail	1/1/20
10	IRC	4	Thousand Palms	Via Las Palmas	1/1/20
11	IRC*	4	Menifee	Lindenberger	1/1/20
12	IRC	4	Hemet	Crest	1/1/20
13	IRC**	4	Oak Hills	Fremontia	1/1/20
14	IRC*	4	Apple Valley	Zuni	6/30/20
15	IRC	4	Apple Valley	Sycamore	6/30/20
16	KRC	3	Bakersfield	Meacham (Pica)	2/1/20
17	KRC*	4	In Property Search	In Property Search	6/30/20
18	NBRC*	4	Vacaville	Locke	12/31/19
19	NBRC	4	Fairfield	Moosup	12/31/19
20	NBRC	4	Vacaville	Charlotte	1/1/20
21	NBRC	2	In Property Search	In Property Search	6/30/20
22	RCEB	4	In Property Search	In Property Search	12/1/20
23	RCOC	2	Garden Grove	Gilbert	12/13/19
24	SARC	4	In Property Search	In Property Search	6/30/20
25	SCLARC	3	In Property Search	In Property Search	6/1/20
26	SDRC*	4	Escondido	Amanda	12/15/19
27	SGPRC	4	West Covina	Res Care Puente Avenue	12/15/19
28	SGPRC	2	In Property Search	In Property Search	3/1/21
29	TCRC	3	Lompoc	Tularosa	12/31/19
30	VMRC*	4	In Property Search	In Property Search	12/31/20
31	VMRC	4	In Property Search	In Property Search	12/31/20
32	WRC	3	Gardena	Ainsworth	12/31/19
33	WRC*	3	In Property Search	In Property Search	3/1/20
	TOTAL IN PROGRESS HOMES	**33**		
	TOTAL IN PROGRESS CAPACITY	**119**		

STATE OPERATED IN PROGRESS ENHANCED BEHAVIORAL SUPPORTS HOMES				
#	**REGIONAL CENTER**	**TOTAL CAPACITY**	**LOCATION OF HOME**	**PROPERTY NAME**	**PROJECTED SERVICE DATE**
1	RCEB*	4	In Property Search	North STAR #3	6/30/20
2	CVRC*	4	In Property Search	Central STAR #2	10/31/20
	TOTAL STATE OPERATED IN PROGRESS HOMES	**1**		
	TOTAL STATE OPERATED IN PROGRESS CAPACITY	**4**		

* THESE PROJECTS ARE HOMES UTILIZED FOR CHILDREN

** THESE PROJECTS ARE ALSO REFLECTED ON HOMES UTILIZING DELAYED EGRESS WITH SECURED PERIMETER PROJECT DEVELOPMENT OVERVIEW

HOMES UTILIZING DELAYED EGRESS WITH SECURED PERIMETER
PROJECT DEVELOPMENT OVERVIEW
AS OF 12/06/2019

COMPLETED HOMES UTILIZING DELAYED EGRESS WITH SECURED PERIMETER					
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	ACTUAL SERVICE DATE
1	CVRC	4	Visalia	Discovery in the West MARS	10/7/15
2	CVRC**	4	Visalia	Manota	6/15/17
3	CVRC	4	Visalia	People's Care Marlin	7/24/19
4	KRC**	4	Bakersfield	Norris	8/22/19
5	SDRC**	4	Ramona	Ash Street	10/4/19
6	SDRC**	4	Escondido	Wyndemere	12/1/19
7	SGPRC	3	Covina	Cameron Ave	1/4/16
8	SGPRC	4	Covina	Covina Hills	5/11/15
9	SGPRC	4	La Puente	Ferrero Lane	8/8/17
TOTAL COMPLETED HOMES		9			
TOTAL COMPLETED CAPACITY		35			

IN PROGRESS HOMES UTILIZING DELAYED EGRESS WITH SECURED PERIMETER					
#	REGIONAL CENTER	TOTAL CAPACITY	LOCATION OF HOME	PROPERTY NAME	PROJECTED SERVICE DATE
1	IRC**	4	Hemet	Pony Trail	1/1/20
2	IRC**	4	Oak Hills	Fremontia	1/1/20
3	SDRC*	4	In Property Search	In Property Search	6/30/21
TOTAL IN PROGRESS HOMES		3			
TOTAL IN PROGRESS CAPACITY		12			

* THIS PROJECT IS ALSO REFLECTED ON COMMUNITY CRISIS HOMES PROJECT DEVELOPMENT OVERVIEW

** THESE PROJECTS ARE ALSO REFLECTED ON ENHANCED BEHAVIORAL SUPPORTS HOMES PROJECT DEVELOPMENT OVERVIEW

COMMUNITY PLACEMENT PLAN
CRISIS SERVICES
APPROVED FY 2014-15 THROUGH FY 2018-19*

RC	Community Crisis Home	Crisis Residential	Crisis Team	Total
ACRC	3		1	4
CVRC	4			4
ELARC			1	1
FDLRC		2		2
FNRC	2		1	3
GGRC	1			1
HRC			1	1
IRC	1		1	2
KRC	1			1
NBRC	3	1		4
NLACRC				0
RCEB	3			3
RCOC	2			2
RCRC			1	1
SARC	1		3	4
SCLARC		2	1	3
SDRC	1		2	3
SGPRC	2			2
TCRC				0
VMRC		1	1	2
WRC	2			2
Total	26	6	13	45

* FY 2019-20 crisis services are noted on the document "FY 2019-20 Approved Start-Up Projects"

Characteristics of Consumers by Residential Setting

May include gender, age group, race/ethnicity, diagnosis, legal status, "target behaviors"

      Characteristics of Consumers Admitted to STAR Homes

      Characteristics of Consumers Referred but not Admitted to STAR Homes

      Characteristics of Consumers Living in Canyon Springs

      Characteristics of Consumers Living in Porterville DC - Secure Treatment Program (PDC-STP)

      Characteristics of Consumers Residing Out of State

      Characteristics of Consumers Admitted to Community Crisis Homes (CCH)

      Characteristics of Consumers Admitted to Enhanced Behavioral Support Homes (EBSH)

      Characteristics of Consumers Admitted to Enhanced Behavioral Support Homes with Delayed Egress / Secured Perimeter (EBSH with DE/SP)

      Characteristics of Consumers Admitted to Specialized Residential Facilities (SRF) with DE/SP

      Characteristics of Consumers Admitted to Institutes of Mental Disease (IMD)

      Characteristics of Consumers Admitted to Skilled Nursing Facilities (SNF)

Number of Consumers in Certain Residential Settings

      Number of Individuals in Jail, Penal Facilities, and California Youth Authority by Regional Center and Calendar Year

      Involuntary Psychiatric Admissions per Special Incident Reports

Number of Consumers With Challenging Needs

History of Consumers' Moves Among Residential Settings

May include placement prior to admission and upon exit, length of stay, current placement

      Move History of Consumers Admitted to STAR Homes

      Move History of Consumers Referred but not Admitted to STAR Homes

      Move History of Consumers Admitted to Community Crisis Homes

      Move History of Consumers Admitted to Enhanced Behavioral Support Homes (EBSH)

      Move History of Consumers Admitted to Enhanced Behavioral Support Homes w DE/SP (EBSH)

      Move History of Consumers Admitted to Specialized Residential Facilities (SRF) with DE/SP

      Consumers Residing in IMDs by Length of Stay

Housing Development Overviews

      Community Crisis Homes

      Homes With Delayed Egress / Secured Perimeter

      Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHN)

      Enhanced Behavioral Supports Homes

      New Models in Development and In Use

      Projected Service Dates for New Models of Homes Under Development

Community Supports and Safety Net Services Summary

General Definition of a Safety Net:

Timely access to essential services and supports necessary for persons with developmental disabilities to maintain health and safety and to address medical, psychiatric, behavioral, residential, staffing, equipment, or other needs, when other services and supports fail, are interrupted, are not available, or additional services and supports are necessary for an urgent or medical need. May or may not require a change in placement.

Definition of a Crisis:

A situation that without the presence of services would result in a severe negative impact to that person's life

General Principles:

• A range of supports is necessary for a "safety net' system, if a piece is missing, it impacts the whole system

• The focus should be on person-centered planning

• Safety net involves many components- an enhanced medical system, including medical, dental, behavioral health, equipment repair, medication tracking, day program, and employment

• The safety net system should be flexible

• Services must be developed to support consumers who are involved or at risk of becoming involved with the criminal justice or civil commitment systems

• Trusted, trained staff are key

• Services should align with new federal rules

• Ensure services are available throughout the state

• For crisis services there must be immediacy

• Utilize least restrictive interventions

• Focus not only on the point when someone enters a crisis, but also the point before they enter into crisis

• The priority should be creating stability and keeping people in their homes

• There should be a place where people can be stabilized and then transitioned back when it's safe for the community and the person

• There should be mechanisms to help people return to their former home after a crisis if they want to return to that home

- Prevention is important to keep someone from escalating into the criminal justice system

- Look at the original, organic diagnosis, in addition to what is immediately presented for intervention techniques

- With consumers who have been traumatized or abused, look at compliance, prevention measures and behavior factors

- Ensure training in trauma informed care

- We need to develop an array of living options for those in an Institutions for Mental Disease (IMD) due to a crisis so they can return to the community

- There needs to be a reeducation of systems involved in crisis care, including stakeholders outside of the DD/IDD system, such as the police

- Self-determination should be examined as a component

- There should be a safety net that supports individuals of all ages, from youth to seniors

- There needs to be state oversight to ensure the delivery of quality services

Three Areas of Focus and Policy Recommendations

<u>Pre-Crisis Service Recommendations</u>

- There should be a model of funding similar to the Community Placement Plan (CPP) that allows for startup, support and innovation for those currently being served in the community.

- Staffing and training should be evaluated for specialized facilities and supports, as well as the needs of complex consumers

- There should be more robust "warp- around" services, such as medical, dental, psychiatric management, medication management, and durable medical equipment

- There should be a focus on person-centered planning and evaluation of supports

- Utilize state staff transferring into the community

- There should be a focus on cultural competencies and language barriers

- Improved transportation

- Increased therapeutic day program options

- Development of secure housing to prevent more restrictive placements

- There needs to be better communication across the system about crisis services and supported living

- The goal should be to keep people in their homes

Crisis Services Gaps

- There should be faster placement and more flexible schedules for return back to the community

- There should be comprehensive assessments of people in crisis, which include thinking long term about the individual needs

- Ensure crisis programs correctly medicate

- Develop more mobile, timely crisis teams

- Increased crisis setting capacity, potentially state operated

- Develop resources for families to call if an individual is having a crisis

- Reduction in caseload for a period of time for individuals who are in crisis

- There should be training available for first responders for our consumers in crisis

Gaps in Fundamental Services

- Develop more managed care

- Need to have flexibility in timelines in movement

- Need to develop long-term, community based, residential options for individuals with significant service needs

- Need to develop community based models that support the service needs of individuals involved or are at risk of becoming involved in the criminal justice system

- Need to develop long-term community options for Registered Sex Offenders

- Try to prevent bouncing around from home to home; makes the individual look undesirable and providers do not want to take that individual – safety concerns and psych issues

- Have complex crisis settings throughout the state so individuals are not traveling long distances during a crisis

- Ensure a "no reject" setting

- There needs to be more state oversight of safety net services

- There should be a transition rate for service provider staff to allow them to help individuals transition through multiple settings

- There should be start-up funds for the community to help develop safety net services, similar to CCP

- There should be more coordination with police and first responders

- There should be an examination of the median rate

Recommendations for the California Department of Developmental Services (DDS):

- The department should evaluate where there are service gaps in crisis and "wrap-around" services throughout the state

- The department should evaluate opportunities for increased training and coordination

- The department should evaluate its current oversight and work with stakeholders on refining and enhancing this oversight to ensure a quality statewide safety net

- DDS should incorporate these principles and recommendations in to their legislative report on safety net services

This document is from the December 2017
Developmental Services Task Force: Examination of Opportunities to Strengthen the
Community-Based Services System

COMMUNITY PLACEMENT PLAN (CPP)
COMPLETED RESIDENTIAL DEVELOPMENTS
FISCAL YEAR 2005-06 THROUGH SEPTEMBER 30, 2019

ALL RESIDENTIAL TYPES AND BED CAPACITY

REGIONAL CENTER	SPECIALIZED RESIDENTIAL FACILITY	ADULT RESIDENTIAL FACILITY for PERSONS with SPECIAL HEALTH CARE NEEDS	ENHANCED BEHAVIORAL SUPPORTS HOME	RESIDENTIAL CARE FACILITY FOR THE ELDERLY	INTERMEDIATE CARE FACILITY - DEVELOPMENTALLY DISABLED	COMMUNITY CARE FACILITY-L4i	SUPPORTED LIVING SERVICE	FAMILY HOME AGENCY / FAMILY TEACHING HOME	COMMUNITY CRISIS HOME	CRISIS - RESIDENTIAL & STEP DOWN	10BED OR LARGER FACILITY	DEVELOPMENT SUB TOTAL	Housing Development Organization Sub Total	Development Without an Housing Development Organization	CAPACITY TOTAL
ACRC	19	6	2	0	3	2	3	0	3	2	1	41	11	30	182
CVRC	28	2	2	0	2	0	0	0	0	0	1	35	4	31	158
ELARC	18	4	0	0	0	0	0	0	0	0	0	22	17	5	127
FDLRC	13	0	0	0	0	6	1	0	0	2	0	22	6	16	87
FNRC	24	1	0	0	0	0	7	0	0	1	0	33	13	20	117
GGRC	59	10	0	0	6	0	6	0	0	1	0	82	39	43	330
HRC	41	0	0	0	0	5	1	0	0	0	0	47	25	22	172
IRC	32	1	0	0	0	2	0	0	1	0	0	36	22	14	145
KRC	29	1	1	1	0	0	0	0	0	0	0	32	4	28	131
NBRC	18	9	6	4	2	0	4	0	1	1	0	45	29	16	198
NLACRC	20	2	1	0	0	1	2	0	0	0	0	26	10	16	121
RCEB	31	17	3	6	0	0	1	0	2	0	1	61	39	22	278
RCOC	8	8	0	0	4	4	2	0	0	0	1	27	8	19	128
RCRC	2	0	0	1	0	1	4	1	0	1	0	10	0	10	29
SARC	14	13	4	0	1	0	1	10	1	0	1	45	39	6	193
SCLARC	21	2	0	0	0	0	2	0	0	0	0	25	2	23	92
SDRC	40	2	3	0	0	0	1	1	0	0	1	48	14	34	199
SGPRC	22	4	3	0	2	5	1	0	0	0	0	37	21	16	166
TCRC	18	1	1	0	0	1	0	0	0	1	0	22	10	12	90
VMRC	23	0	0	0	1	3	0	0	0	4	0	31	0	31	158
WRC	20	4	5	0	0	2	5	4	0	0	0	40	28	12	171
TOTAL	500	87	31	12	21	32	41	16	8	13	6	767	341	426	3272

NOTE: Supported Living Services are not included in the Capacity Sub Total

COMMUNITY PLACEMENT PLAN
IN PROGRESS RESIDENTIAL DEVELOPMENTS
THROUGH SEPTEMBER 30, 2019

ALL RESIDENTIAL TYPES AND BED CAPACITY												
REGIONAL CENTER	SPECIALIZED RESIDENTIAL FACILITY	ADULT RESIDENTIAL FACILITY for PERSONS with SPECIAL HEALTH CARE NEEDS	ENHANCED BEHAVIORAL SUPPORTS HOME	SUPPORTED LIVING SERVICE	FAMILY HOME AGENCY / FAMILY TEACHING HOME	COMMUNITY CRISIS HOME	CRISIS - RESIDENTIAL & STEP DOWN	MULTI FAMILY	DEVELOPMENT SUB TOTAL	Housing Development Organization Sub Total	Development Without an Housing Development Organization	CAPACITY TOTAL
ACRC	1	0	5	1	0	0	0	1	8	6	2	49
CVRC	2	0	2	0	0	4	0	0	8	6	2	39
ELARC	2	0	9	1	0	1	0	0	13	10	3	39
FDLRC	7	0	0	0	0	0	1	2	10	9	1	50
FNRC	0	1	0	1	0	2	0	1	5	4	1	19
GGRC	3	0	4	0	0	1	0	2	10	8	2	40
HRC	10	0	0	2	0	0	0	0	12	1	11	58
IRC	0	0	8	0	0	0	0	1	9	9	0	42
KRC	0	1	4	0	0	1	1	1	8	8	0	27
NBRC	1	0	7	0	0	1	1	0	10	9	1	48
NLACRC	5	0	4	0	0	0	0	0	9	5	4	36
RCEB	0	0	3	0	0	1	0	1	5	5	0	52
RCOC	5	1	1	0	0	2	0	2	11	8	3	59
RCRC	2	0	1	1	0	0	0	2	6	2	4	37
SARC	0	0	6	0	0	0	0	3	9	9	0	82
SCLARC	2	0	4	0	0	0	2	0	8	1	7	30
SDRC	0	1	8	0	0	2	0	3	14	14	0	125
SGPRC	0	0	5	1	1	2	0	1	10	8	2	35
TCRC	8	1	1	0	0	0	0	0	10	10	0	45
VMRC	5	0	4	0	0	1	0	0	10	5	2	40
WRC	0	1	2	0	3	2	0	2	10	11	0	62
TOTAL	53	6	78	7	4	20	5	22	195	148	45	1014

NOTE: Supported Living Services are not included in the Capacity Sub Total

COMMUNITY PLACEMENT PLAN
COMPLETED NON-RESIDENTIAL SERVICES
FISCAL YEAR 2005-06 THROUGH SEPTEMBER 30, 2019

ALL NON-RESIDENTIAL TYPES

REGIONAL CENTER	Behavioral Services	Community Access Services	Crisis Support Services	Day Program	Dental Services	Health Services	Licensed Day Program	Medical Consultation	Psychiatric Treatment	Training	Transition Crisis Team	Transportation	DEVELOPMENT SUB TOTAL
ACRC	0	0	2	4	1	1	2	0	3	3	0	0	16
CVRC	1	0	2	1	0	0	0	0	1	6	0	0	11
ELARC	0	1	1	1	0	0	0	0	0	0	0	1	4
FDLRC	0	0	0	3	0	0	0	3	2	1	0	0	9
FNRC	3	0	4	20	0	1	2	3	2	9	0	1	45
GGRC	2	0	2	10	0	1	2	0	0	2	0	0	19
HRC	0	0	1	26	0	0	0	0	0	0	0	0	27
IRC	0	0	1	10	0	0	0	0	0	5	0	4	20
KRC	0	0	0	3	0	0	0	0	1	0	0	0	4
NBRC	2	0	1	4	1	2	6	1	0	4	0	0	21
NLACRC	0	0	1	2	0	0	0	0	0	0	0	0	3
RCEB	0	0	0	6	2	0	1	0	3	1	0	0	13
RCOC	0	0	0	0	0	0	0	0	0	0	0	0	0
RCRC	2	0	1	2	0	0	0	0	1	21	0	0	27
SARC	0	0	1	0	0	0	0	0	0	1	1	0	3
SCLARC	0	0	0	4	0	0	1	0	0	0	0	0	5
SDRC	4	0	8	8	0	0	0	0	3	13	0	0	36
SGPRC	0	1	1	2	1	0	1	0	0	3	0	0	9
TCRC	0	0	0	4	0	0	0	0	1	2	0	2	9
VMRC	0	0	3	3	0	0	0	0	0	0	0	0	6
WRC	0	0	1	8	0	0	0	0	0	2	0	0	11
TOTAL	14	2	30	121	5	5	15	7	17	73	1	8	298

COMMUNITY PLACEMENT PLAN
IN PROGRESS NON-RESIDENTIAL SERVICES
THROUGH DECEMBER 5, 2019

ALL NON-RESIDENTIAL TYPES												
REGIONAL CENTER	Behavioral Services	Community Access Services	Crisis Support Services	Day Program	Dental Services	Employment Services	Health Services	Licensed Day Program	Psychiatric Treatment	Support Services	Training	Transportation	DEVELOPMENT SUB TOTAL
ACRC	0	0	0	0	1	0	1	0	1	0	0	0	3
CVRC	0	0	1	0	0	0	0	1	0	0	2	0	4
ELARC	0	1	0	2	0	0	3	0	0	0	1	0	7
FDLRC	0	0	0	0	0	0	1	0	0	0	1	0	2
FNRC	0	0	0	0	0	0	0	0	0	1	1	0	2
GGRC	1	0	0	4	1	0	1	1	0	0	1	0	9
HRC	0	0	1	6	0	0	1	0	0	0	1	0	9
IRC	0	0	1	3	0	0	0	0	0	0	0	0	4
KRC	0	0	0	0	2	0	0	0	0	0	1	2	5
NBRC	1	0	0	4	1	0	1	0	0	0	0	0	7
NLACRC	0	1	1	2	0	0	0	0	0	0	0	0	4
RCEB	0	0	0	1	0	1	0	0	1	0	2	0	5
RCOC	0	0	0	0	0	0	0	0	0	0	0	0	0
RCRC	0	0	0	0	0	0	0	0	0	0	4	0	4
SARC	0	0	2	0	0	0	0	0	0	0	1	0	3
SCLARC	0	0	1	2	0	0	0	0	0	0	0	0	3
SDRC	0	0	0	0	0	0	0	0	0	0	0	0	0
SGPRC	0	0	0	3	2	0	1	0	1	0	8	0	15
TCRC	0	0	0	2	0	0	0	0	0	0	2	0	4
VMRC	0	0	1	2	2	0	0	1	0	0	0	0	6
WRC	0	0	0	3	0	0	1	0	0	0	1	0	5
TOTAL	2	2	8	34	9	1	10	3	3	1	26	2	101

FY 2019-20 APPROVED START-UP PROJECTS
AS OF 12/05/2019

FY 2019-20 START-UP PROJECTS		
DEVELOPMENT TYPE	CRDP	CPP
Behavioral Services	2	0
Children Development Program	2	0
Community Crisis Home	2	1
Crisis Services Step Down	1	0
Crisis Support Services	3	0
Day Program	17	3
Dental Services	4	0
Employment Services	1	0
Enhanced Behavioral Supports Home	24	16
Family Teaching Home	1	1
Housing Development Organization	1	0
Health Services	6	1
Multi Family	14	1
Psychiatric Treatment	2	1
Specialized Residential Facility	12	11
Support Services	1	0
Supportive Living Services	2	1
Training	19	2
Transportation	1	0
TOTAL: 153 START-UP PROJECTS	**115**	**38**

Employment Workgroup Summary

Barriers & Constraints – The Gaps

- The bureaucratic process
- Coordination of systems and all the moving pieces
- Data – everyone has different definitions and populations are measured differently; data doesn't always give quality and accurate information to help with goals and outcomes
- Benefits management – it's fragmented and hard to get advice
- Lack of exemptions and flexibility
- Health and medical issues/health care
- Transportation
- Preparation for work starting early, ideally before an individual reaches age 18
- Money/funding
- Need funding specific to job development, CA Department of Rehabilitation (DOR) doesn't fund
- Need better compensation than sub-minimum wage
- Licensing regulations and redundant requirements
- Non-profit statutes and certification requirements (like the Commission on Accreditation of Rehabilitation Facilities - CARF)
- Basic information and resources including where to go with questions, risk of benefits if an individual loses a job, reasonable accommodations, etc.
- Filling the gap because the Developmental Centers (DCs) are closing – develop programs and policy recommendations to take the place of the DCs successful work programs
- Geographic and wage discrepancies
- Diversity/cultural integration
- Losing momentum after graduating from school
- Community engagement and education
- Lack of communication with employers
- Bridge the gap from the segregated world to the community
- High failure rate for micro-enterprise and how to measure success
- Structure of integrated employment – what is it exactly?
- Rebuilding and/or building capacity with limited resources
- Competitive wages and adequate training for staff
- Accountability, honesty and relationships when dealing with quality assurance
- Defining outcomes/goals for the medically fragile, aging populations and those who cannot or do not want to work
- Advancement funding challenges – no opportunity right now to help people move up or out in groups for employment
- Blanket elimination of limited-term sub-minimum wage
- Limited employment opportunities of those who live in group homes due to time constraints

Goals & Pillars – The General Guidelines

- Person-centered approach, no "one-size-fits-all"
- Ease of navigation for individuals and families throughout the system
- Streamlined system and a seamless process
- Transitions supported to the new system as rules and regulations change
- Good communication of risk and benefits and understanding how the system flows using a roadmap
- Early outreach, culture change with all systems involved in the process
- Define quality outcomes and how to measure
- Culturally diverse services that reach underserved communities
- Clarification and streamlined definitions across systems
- Community supports that get people and keep people employed
- Flexibility in funding models – fewer rates and more flexible and allowing for exceptions/exceptional supports
- Employer outreach and engagement, including to enhance diversity
- Education and increasing understanding of the workforce for individuals
- Stability, supports over time
- Development of resources and services for people who chose not to or cannot work – medically fragile and aging population
- Quality outcomes for community served – volunteer or community engagement instead of employment
- Ensuring healthcare and home environment are in line and supporting an individual to be successful in pursing employment

Policy Recommendations:

- The overall goal of the state should be to maximize participation in Competitive Integrated Employment (CIE).
- The DS Task Force supports the efforts contained in the CIE Blueprint and recommends that the three departments continue to work to align their policies through the Blueprint processes, as well as utilize the Blueprint structure to implement these policy recommendations as appropriate.
- There must be a focus on transitioning consumers from sheltered workshops with coordinated plans between departments and funding for helping this transition
- There should be the ability for local pilot projects centered around employment
- The department should also work toward developing integrated options for those who working is not a good option
-   There should be improved communication to consumers and families about the options for employment, including how employment might interact with other benefits a consumer may have

- The department should review existing laws and regulations to ensure they are supportive of employment and allow for flexibility where appropriate
- The department should develop measures for quality assurance and improvement for employment services
- The department should develop strategies for employer outreach and education
- The department should also consider pilot programs focused on underserved communities

This document is from the December 2017
Developmental Services Task Force: Examination of Opportunities to Strengthen the
Community-Based Services System

List of Statewide Disparity Measures

1. High-Level Comparison of Purchase of Service (POS) Expenditures by Age, Ethnicity and Language

2. Timely Eligibility Determination: Birth Through Two Years

3. Access to Early Start Services: Birth Through Two Years

4. Early Start Utilization Rate

5. POS Equity: Youth

6. Equity in Adaptive Skills Training: Youth

7. Respite and Personal Assistance Equity: Youth

8. Personal Assistance Services Equity (for discussion purposes)

9. Equity for Language Diversity

10. Equity in Supported Living Services and Independent Living Services: Adults

11. Equity in Supported Work Programs: Working-Age Adults

The measures may be found (in English and Spanish) on the Department's website at https://www.dds.ca.gov/rc/Disparities/3.Disparity%20Measures%20and%20How-To-Read%20guide.pdf.

Disparity Funds Program

Background

Beginning in Fiscal Year (FY) 2016/17, the Legislature has allocated $11 million annually to the Department of Developmental Services (Department) to support activities to improve equity in access to services.[1] In the first year of the Disparity Funds Program, funding was only available to Regional Centers (RCs) and only for activities that RCs are not already required to conduct. In FY 2017/18, the program was expanded to allow community-based organizations (CBOs) to receive support as well.[2]

Access to Services

The issue of access to services for individuals with developmental disabilities from diverse communities has been the subject of conversation and study during much of the past two decades. Studies consistently find that individuals from communities of color receive fewer services than individuals who identify as White. The causes of these disparities continue to be examined; however, statewide stakeholder meetings, local community meetings, and family testimonies have identified several themes, including:

- In inadequate supply of information, service options and providers that meet the cultural and language needs of some communities;
- Discomfort challenging authority figures, requesting needed services and exercising rights;
- Mistrust of public systems by some communities of color; and
- Socioeconomic factors that present challenges to accessing RC services.

The Department remains committed to learning more about the challenges consumers and families face in accessing services and to using existing resources to make measurable progress in reducing disparities in services that are authorized and received.

Use of Funding

California statute requires RCs to work with the Department every year to gather data about services authorized and received by individuals served by each RC. RCs are also required to meet annually with members of their local communities to discuss the data and ways to improve access to services. The Department uses information from these meetings, along with community input gathered through Department-sponsored community meetings, monitoring activities, and other conversations, when selecting

---

[1] Assembly Bill (AB) X2 1 (Chapter 3, Statutes of 2016, Second Extraordinary Session) added Welfare and Institutions (W&I) Code section 4519.5(h).
[2] AB 107 (Chapter 18, Statutes of 2017).

projects to support through the Disparity Funds Program and to determine the Department's priorities.

Proposals are evaluated by a review committee consisting of staff of the Department of Developmental Services, the Department of Rehabilitation, and Disability Rights California. Each proposal is scored in areas such as, but not limited to, the description of how the funding will improve access to services for the project's target population, how community input was used to develop the project proposal, and how the project will be evaluated to show its impact.

Project Evaluation

Each organization that receives funding from the Disparity Funds program must submit quarterly reports on their project's status. The Department reviews these reports to ensure funding follows the program's rules; that data is being collected to measure the project's impact; and that the project is meeting its goals and objectives. If a project is not performing as expected, the Department provides support or, if appropriate, discontinues the project's funding. A comprehensive final report must be provided when the project is completed.
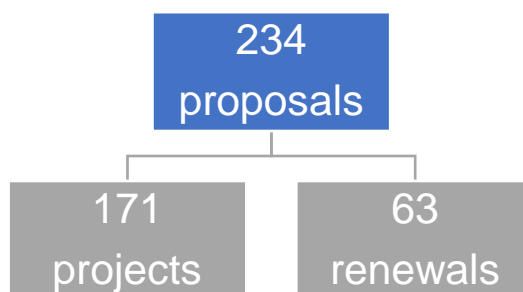
Section I. Background

In 2016, ABX2 1 (Chapter 3, Statutes of 2016) added Welfare and Institutions (W&I) Code section 4519.5(h), which appropriated $11 million to the Department of Developmental Services (Department) to assist regional centers (RCs) in the implementation of strategies to reduce disparities in regional centers' purchase of services (POS). In Fiscal Year (FY) 2017/18, statute was amended to include Community Based Organizations (CBOs) as eligible applicants.

Since 2016, the Department has awarded three cycles of funding for projects to promote equity and reduce service disparities. Each year, the number of proposals and total requested amount has exceeded available funding:

2016/17	2017/18	2018/19
$17.2 million requested	$25.7 million requested	$27.8 million requested
130 proposals	140 proposals	108 proposals
$10.9 million approved	$11 million approved	$11 million approved
98 RC proposals	66 proposals	70 proposals
(all new RC projects)	31 RCs, 35 CBOs	35 RCs, 35 CBOs
	24 renewals, 42 new projects	39 renewals, 31 new projects

A total of 234 proposals have been approved across all three FYs. Of the 234, 171 were for new projects while the remaining 63 are renewals.

234 proposals
171 projects
63 renewals

Of the 171 projects, 40 are completed and have submitted a final report. The Department expects final reports for an additional 32 projects by August 2019.
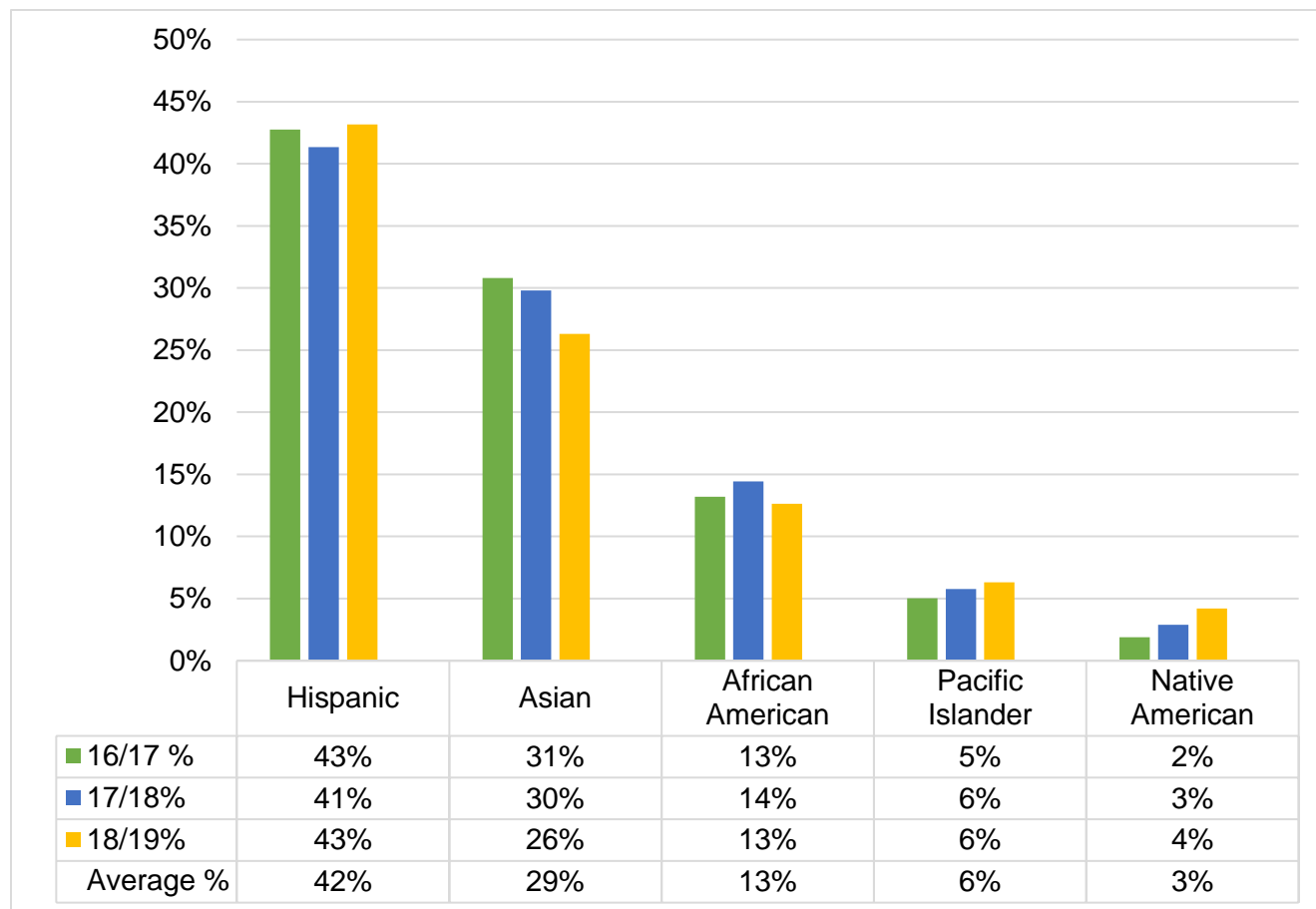
This remainder of this document provides an overview of approved projects by fiscal year, target populations (race/ethnicity and language), and project types.

Section II. FYs 2016/17, 2017/18, and 2018/19 Overview

The following charts provide a comparison of 2016/17, 2017/18 and 2018/19 data by race/ethnicities, languages; and project types. The charts only reflect number of projects and does not reflect the potential consumers served.

Chart 1. Share of Projects by Target Population (Race/Ethnicity)
Across all three years, 42% of projects serve the Hispanic population and 29% serve the Asian population.
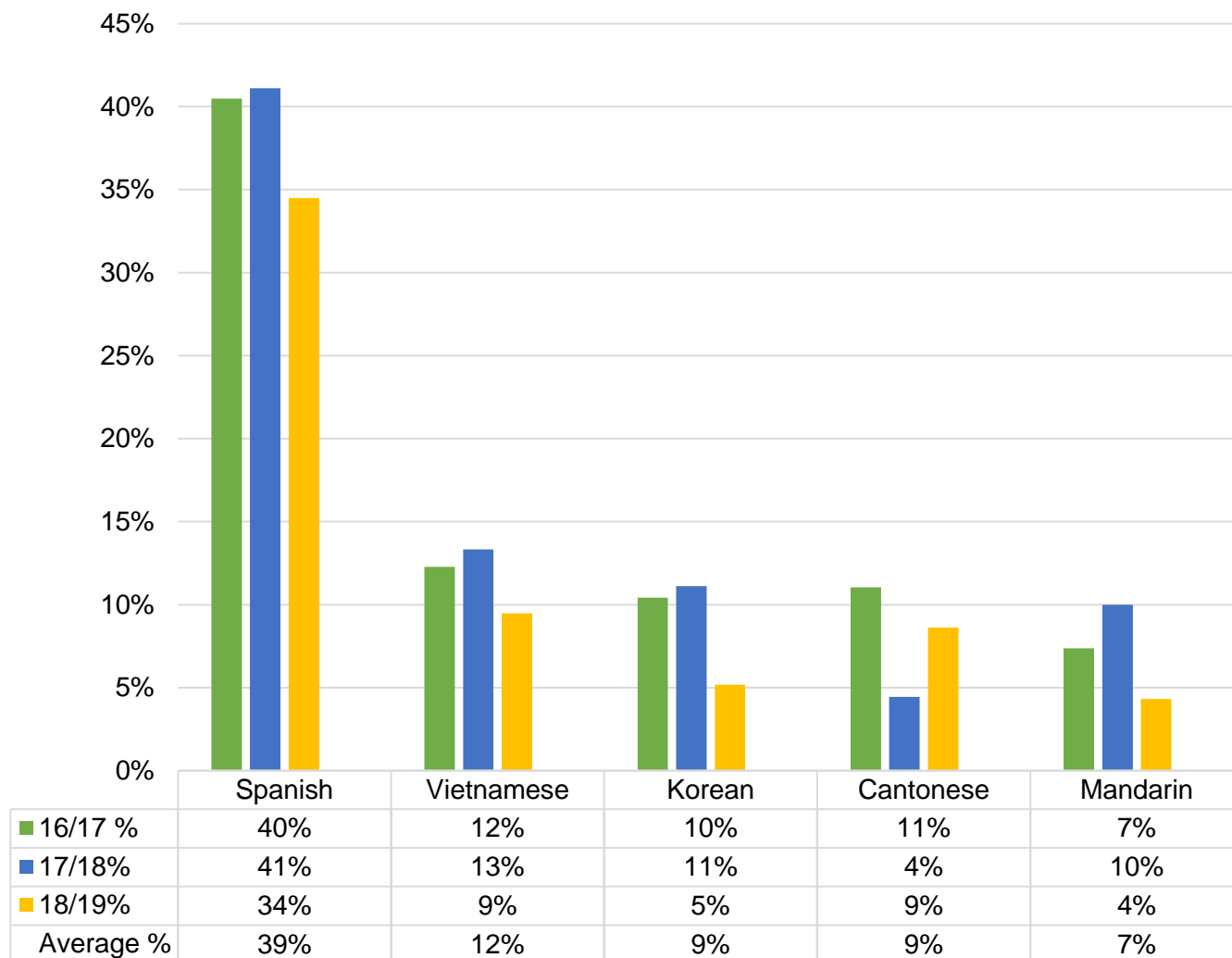
	Hispanic	Asian	African American	Pacific Islander	Native American
■ 16/17 %	43%	31%	13%	5%	2%
■ 17/18%	41%	30%	14%	6%	3%
■ 18/19%	43%	26%	13%	6%	4%
Average %	42%	29%	13%	6%	3%

Note: One project may serve multiple ethnicities and be counted more than one category.

Chart 2. Share of Projects by Target Language
The graph below shows the top five targeted languages, which represent 75% of all targeted languages served. Across all three years, 39% of projects serve the Spanish-speaking population.

	Spanish	Vietnamese	Korean	Cantonese	Mandarin
■ 16/17 %	40%	12%	10%	11%	7%
■ 17/18%	41%	13%	11%	4%	10%
■ 18/19%	34%	9%	5%	9%	4%
Average %	39%	12%	9%	9%	7%

Projects serve over 20 languages. Other languages served by projects include American Sign Language, Hmong, Mien, Laotian, Thai, Punjabi, Russian, Arabic, Farsi, and Indigenous (Zapoteco, Purepecha, Mixteco, Nahuatl).

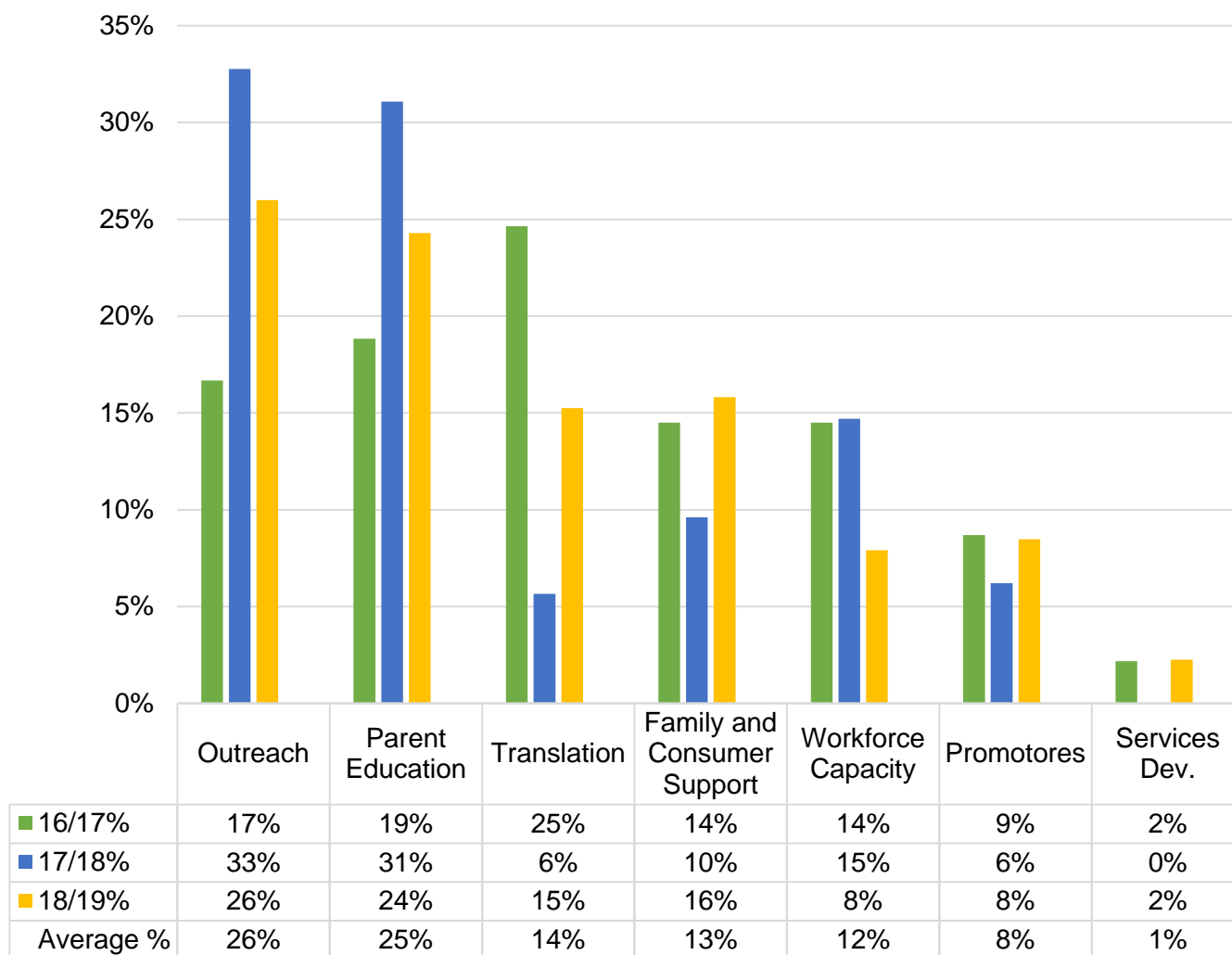Note: One project may serve multiple languages and be counted in more than one category.

Page 39

Share of Projects by Types

Projects are grouped into seven categories, as shown below. Several projects consists of more than one project type. For example, outreach projects are commonly supported by a parent education component.
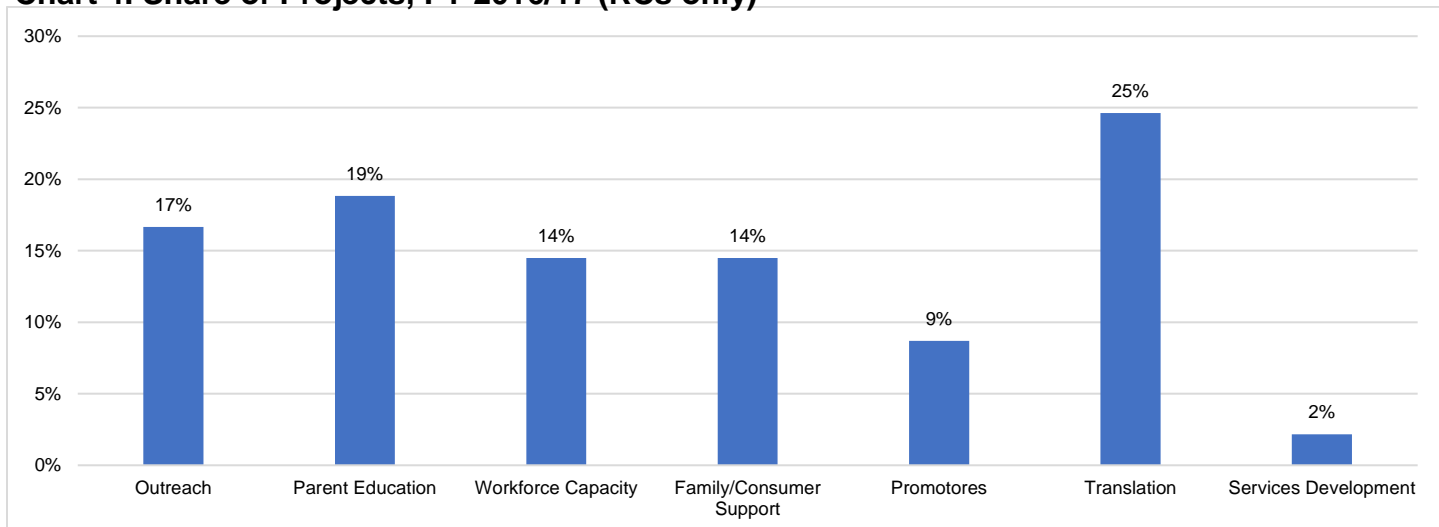
Chart 3. Share of Projects by Types, FY 2016/17, 2017/18, 2018/19 (CBOs and RCs)

By project type for both RC and CBO projects, parent education and outreach projects are the most common (51%). Promotores and service development projects are the least common, at 8% and 1% respectively.
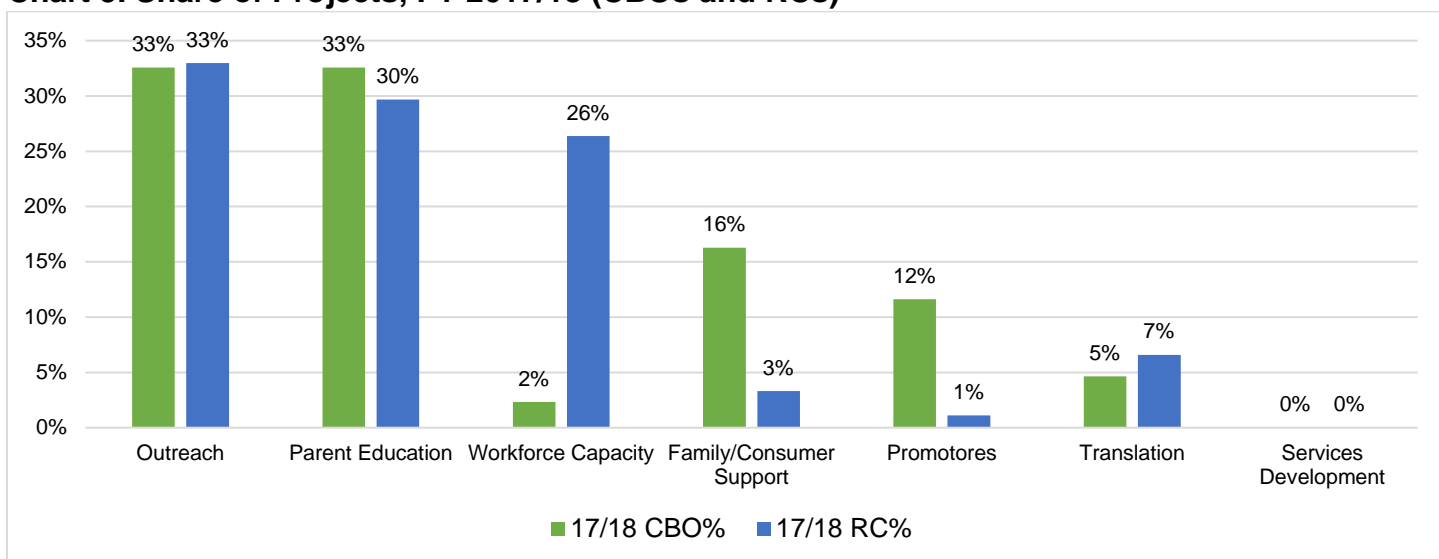

	Outreach	Parent Education	Translation	Family and Consumer Support	Workforce Capacity	Promotores	Services Dev.
■ 16/17%	17%	19%	25%	14%	14%	9%	2%
■ 17/18%	33%	31%	6%	10%	15%	6%	0%
■ 18/19%	26%	24%	15%	16%	8%	8%	2%
Average %	26%	25%	14%	13%	12%	8%	1%

Chart 4. Share of Projects, FY 2016/17 (RCs only)

In FY 2016/17, a majority of RC projects focused on translation, followed by parent education and outreach efforts.
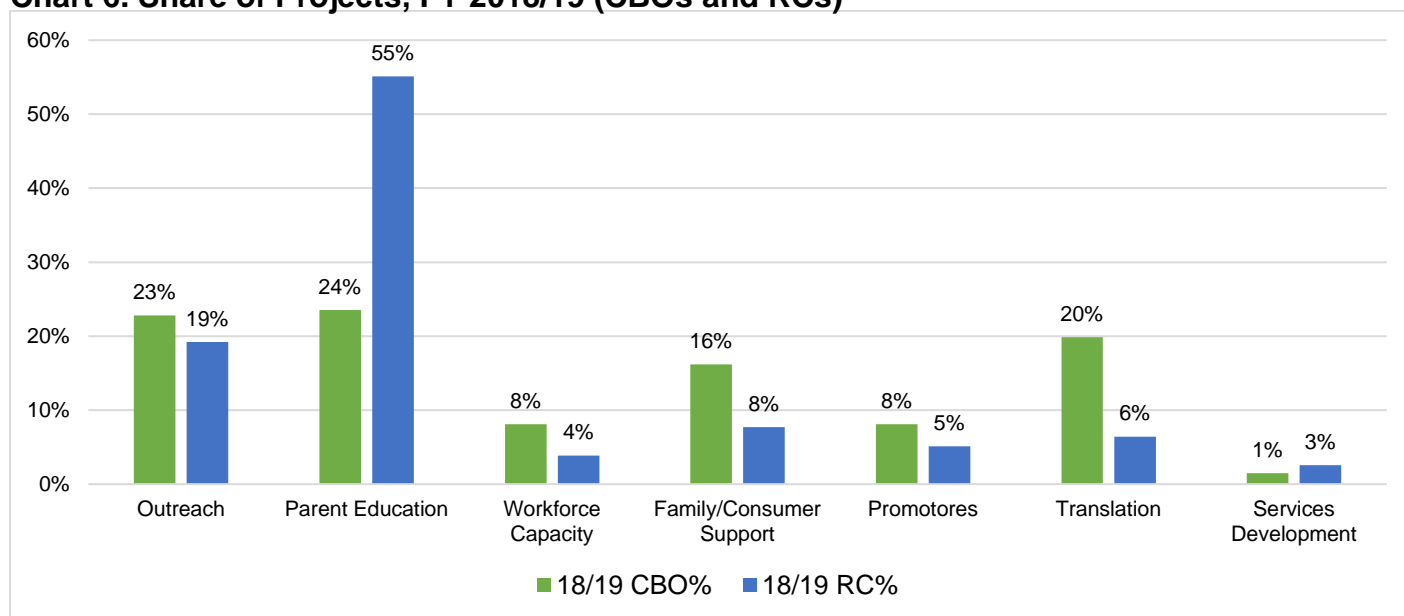
Chart 5. Share of Projects, FY 2017/18 (CBOs and RCs)

In FY 2017/18, more than half of projects contained outreach and parent education components. RC outreach, parent education, and workforce capacity efforts significantly increased from the previous year. There were no services development projects approved in FY 2017/18.

Page 41

Chart 6. Share of Projects, FY 2018/19 (CBOs and RCs)

In FY 2018/19, RC projects focus primarily on parent education, and outreach. In addition, RC projects have an increase in family/consumer support, promotores, and services development over the previous year. CBO projects focus primarily on outreach, parent, education, and translation. In addition, CBO projects focusing on workforce capacity increased from the previous year.

Growth in the Number of Individuals Served and in Service Costs

The cost of services provided through the regional center system is growing faster than the number of individuals served. In other words, on average, costs are increasing for each consumer.

In addition, the number of people receiving services is growing faster than California's population. Annual growth of the statewide population age three years and older averaged approximately 0.7 percent over the past five years – lower than the 4 percent annual growth in that period for individuals age three and older who are served by regional centers.

Fiscal Year	Growth In Number Served	Growth in Costs
08/09	4%	11%
09/10	3%	2%
10/11	3%	3%
11/12	3%	3%
12/13	3%	5%
13/14	4%	6%
14/15	4%	8%
15/16	4%	6%
16/17	4%	14%
17/18	4%	7%
18/19 [1]	5%	9%

[1] FY 18/19 data is preliminary.

Source: DDS Research Section analysis of Client Master File and Purchase of Service data as of October 2019.

A number of factors contribute to increases in average costs, including:
- A shift of some individuals to higher-cost services;
- Increases in rates paid to providers of certain services, implemented July 1, 2016; and
- Increases in the statewide minimum wage.

At the same time, some program changes reduced average costs. For example, behavior intervention services for individuals with autism spectrum disorders are now covered by private insurance as a medical benefit. Similarly, beginning in 2014, behavior intervention services became a Medi-Cal benefit for individuals under the age of 21.

Welfare and Institutions Code section 4629 states that the Department of Developmental Services' (Department) contracts with regional centers must include annual performance objectives the Department determines are necessary to ensure regional centers render services in accordance with applicable provision of state laws and regulations. The Department issues annual guidelines to regional centers on the requirements and process for development, submission and evaluation of performance contracts. The following is information on the annual process.

Development and Submission

As required by statute, regional centers develop, through a public process with participation from its local community, annual performance contracts that contain specific and measurable objectives designed to do all of the following:

- Assist consumers to achieve life quality outcomes.
- Achieve meaningful progress above current baselines.
- Develop services and supports identified as necessary to meet identified needs, including culturally and linguistically appropriate services and supports.
- Measure progress in reducing disparities and improving equity in purchase of service expenditures.
- Measure progress, and report outcomes, in implementing the Employment First Policy.

In developing its performance contract, each regional center must conduct at least one public meeting where participants can provide input on the performance objectives and must use focus groups or surveys to collect information from the community.

At a minimum, performance contracts must contain information on activities the regional center plans to pursue to address two sets of required performance objectives, referred to as statewide Public Policy Performance Measures and Compliance Measures (see pages 46-51). Additionally, regional centers are encouraged to engage their local communities in the consideration and development of optional locally-developed public policy measures.

Performance contracts are based on calendar year and regional centers must submit their next year's contracts to the Department by November 1 of each year.

Evaluation

The Department uses information from various sources to evaluate regional center performance, including Client Master File data, Client Development Evaluation Report data, Employment Development Department data, National Core Indicators data, purchase of service data, regional center independent audit findings, Department fiscal audit and program review findings, and surveys conducted by the Department.

There are two categories for assessing regional center performance: statewide indicators applicable to all regional centers, and local indicators developed by a regional center that are unique to that regional center. A regional center is considered to have successfully achieved a performance objective upon demonstrating the following:

- Statewide Indicator: When any one of the following three criteria is met for the respective objective:
  1. The performance objective has improved over the prior year's baseline;
  2. The performance objective exceeds the statewide average; or,
  3. The performance objective equals a standard that has been defined by the Department.
- Local Indicator: When the locally-developed public policy objective has improved over the prior year's baseline.

Outcomes

Regional center performance outcomes are published annually in a year-end report that is posted on the Department's and the regional center's respective websites.

Beginning May 1, 2020, and annually thereafter, each regional center's governing board must hold one or more public meetings regarding its prior year's contract performance objectives and outcomes. The governing board must report to the Department regarding the outcomes of each public meeting held within 90 days of the meeting.

Insufficient Performance and Progress

The renewal of each regional center contract is contingent upon compliance with the contract, including but not limited to, the performance objectives and achievement of sufficient progress towards meeting the requirements of any corrective action plan imposed by the Department, as determined through its evaluation. If the Department has concerns about a regional center's ability to meet performance objectives, it may take action including but not limited to, providing technical assistance to the regional center, requiring the regional center to develop a corrective action plan, or placing the regional center on probation and instituting special contract language.

MEASUREMENT METHODOLOGY FOR PUBLIC POLICY AND COMPLIANCE MEASURES

Public Policy Performance Measures (Required)

Measure	Measurement Methodology
Number and percent of regional center caseload in Developmental Center.	CMF status code 8
Number and percent of minors residing with families.	CMF residence code data for status 1 and 2 minors (< 18 years old) residing: • In own home • In foster home • With guardian
Number and percent of adults residing in independent living.	CMF residence code data for status 2 adults (18 years old and above) residing in independent living.
Number and percent of adults residing in supported living.	CMF residence code data for status 2 adults (18 years old and above) residing in supported living.
Number and percent of adults residing in adult Family Home Agency homes.	CMF residence code data for status 2 adults (18 years old and above) residing in Adult Family Home Agency homes.
Number and percent of adults residing in family homes (home of parent or guardian).	CMF residence code data for status 2 adults (18 years old and above) residing in family homes (home of parent or guardian).
Number and percent of adults residing in home settings.	CMF residence code data for status 2 adults (18 years old and above) residing in: • Independent living • Supported living • Adult Family Home Agency homes • Family homes
Number and percent of minors living in facilities serving > 6.	CMF residence code data for status 1 and 2 minors residing in following facilities serving > 6: • ICF/DD • ICF/DD-H • ICF/DD-N • SNF • CCF
Number and percent of adults living in facilities serving > 6.	CMF residence code data for status 2 adults residing in following facilities serving > 6: • ICF/DD • ICF/DD-H • ICF/DD-N • SNF • CCF (Residential Care Facilities for the Elderly not included)

Page 46

MEASUREMENT METHODOLOGY FOR PUBLIC POLICY AND COMPLIANCE MEASURES

Public Policy Performance Measures – Employment (Required)

Measure	Measurement Methodology*	Frequency
Number and percentage of individuals ages 16-64 with earned income.	Employment Development Department (EDD) data–changes in number and percentage of individuals ages 16-64 with earned income as reported to EDD.	Annual
Average annual wages for individuals ages 16-64.	EDD data–average annual wages as reported to EDD for individuals ages 16-64.	Annual
Annual earnings of individuals ages 16-64 compared to all people with disabilities in California.	EDD data–individuals wage data compared to all people with disabilities in California.	Annual
Number of adults who entered in competitive integrated employment following participation in a Paid Internship Program.	Data collected manually from service providers by regional centers.	Annual
Percentage of adults who entered in competitive integrated employment following participation in a Paid Internship Program.	Data collected manually from service providers by regional centers.	Annual
Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year.	Data collected manually from service providers by regional centers.	Annual
Average wages and hours worked for adults engaged in competitive integrated employment on behalf of whom incentive payments have been made.	Data collected manually from service providers by regional centers.	Annual

MEASUREMENT METHODOLOGY FOR PUBLIC POLICY AND COMPLIANCE MEASURES

Continued Public Policy Performance Measures – Employment (Required)

Measure	Measurement Methodology*	Frequency
Total number of $1000, $1250 and $1500 incentive payments made for the fiscal year.	Data collected manually from service providers by regional centers.	Annual
Percentage of adults who reported having competitive integrated employment as a goal in their IPP.	National Core Indicators (NCI) Survey - *Yes/No/Don't Know* Individual has community employment as a goal in his/her IPP.	Three-year cycle

* EDD data reflect wages reported to EDD for the purpose of unemployment insurance reporting. There is a limitation of the data, as some people have contract earnings that may be unreported.

MEASUREMENT METHODOLOGY FOR PUBLIC POLICY AND COMPLIANCE MEASURES

Public Policy Performance Measures – Reducing Disparities and Improving Equity in Purchase of Service Expenditures (Two Required)

Measure	Measurement Methodology
Indicator showing the relationship between annual authorized services and expenditures by individual's residence type and ethnicity.	Prior fiscal year (FY) purchase of service data and CMF; regional center generated data.
Percent of total annual purchase of service expenditures by individual's ethnicity and age: • Birth to age two, inclusive • Age three to 21 years, inclusive • Age twenty-two and older	Prior FY purchase of service data and CMF.
Number and percent of individuals receiving only case management services by age and ethnicity: • Birth to age two, inclusive • Age three to 21 years, inclusive • Age twenty-two and older	Prior FY purchase of service data and regional center caseload data.
Per capita purchase of service expenditures by individual's primary language (for primary languages chosen by 30 or more consumers only).	Prior FY purchase of service data and CMF.

MEASUREMENT METHODOLOGY FOR PUBLIC POLICY AND COMPLIANCE MEASURES

Measures Related to Reducing Disparities and Improving Equity in Purchase of Service Expenditures (Optional)

Measure	Measurement Methodology*
Number and percent of individuals, by race/ethnicity, who are satisfied with the services and supports received by the family and family member.	NCI data: Child Family Survey: FY 12/13, FY 15/16 and FY 18/19 Adult Family Survey: FY 10/11, FY 13/14, FY 16/17 and FY 19/20 Family Guardian Survey: FY 10/11, FY 13/14, FY 16/17 and FY 19/20
Number and percent of individuals, by race/ethnicity, whose IPP/IFSP includes all of the services and supports needed.	NCI data: Child Family Survey: FY 12/13, FY 15/16 and FY 18/19 Adult Family Survey: FY 13/14, FY 16/17 and FY 19/20 Family Guardian Survey: FY 10/11, FY 13/14, FY 16/17 and FY 19/20
Number and percent of families, by race/ethnicity, who report that services have made a difference in helping keep their family member at home.	NCI data: Child Family Survey: FY 10/11, FY 16/17 and FY 19/20 Adult Family Survey: FY 15/16, FY 18/19 and 21/22

* <u>Measurement Methodology</u>: NCI data is specific to the FYs in which the surveys are conducted. It may take up to two years after the survey year for NCI data to become available. All other data is available annually for the prior FY.

MEASUREMENT METHODOLOGY FOR PUBLIC POLICY AND COMPLIANCE MEASURES

Compliance Measures

Measure	Measurement Methodology
Unqualified independent audit with no material finding(s).	Yes/No—based on regional center independent audit findings.
Substantial compliance with the Department fiscal audit.	Yes/No—based on the Department internal document criteria.
Operates within operations budget.	Yes/No—actual expenditures plus late bills do not exceed OPS budget.
Certified to participate in Home and Community—Based Services Waiver.	Yes/No—based on most recent waiver monitoring report.
Compliance with Vendor Audit Requirements per contract, Article III, Section 10.	Yes/No—based on documentation regional center reports to the Department.
CDER/ESR Currency	Status 1 and 2 on CMF with current CDER or ESR.
Intake/assessment and IFSP timelines (ages 0-2).	Early Start Report.
Intake/assessment timelines for individuals ages 3 or older.	CMF—calculated by subtracting the status date from the CMF date.
IPP Development (W&I Code requirements)	Biennial the Department review per W&I Code section 4646.5(c)(3).
IFSP Development (Title 17 requirements)	Early Start Report.

New Statute in Senate Bill 81 (Chapter 28, Statutes of 2019)
Regarding System and Fiscal Reform

SEC. 9. Section 4519.4 is added to the Welfare and Institutions Code, immediately following Section 4519, to read:

4519.4. (a) Beginning in the summer of 2019, the State Department of Developmental Services shall consult with a broad and balanced group of stakeholders, including, but not limited to, representatives of the Developmental Services Task Force, the Rates Workgroup of the Developmental Services Task Force, legislative staff from the fiscal and relevant policy committees of the Legislature, the Legislative Analyst's Office, the Association of Regional Center Agencies, the State Council on Developmental Disabilities, the Department of Rehabilitation, and Disability Rights California to discuss system reforms, including fiscal reforms, to better serve consumers with developmental disabilities. The focus of this discussion shall be on how to create a sustainable, innovative, cost-effective, consumer-focused, and outcomes-based service delivery system.

(b) For purposes of implementing subdivision (a), the State Department of Developmental Services shall do all of the following:

> (1) Consider a wide variety of perspectives of consumers, families, and service providers to discuss the potential outcomes associated with different approaches to system reform.
> (2) Engage with consumers, families, and service providers across different geographic regions of the state, including urban and rural areas, and from diverse racial and ethnic backgrounds, consumer age groups, consumer diagnoses, and service categories.
> (3) Identify key consumer outcomes and measurable targets to be achieved through these reforms, as informed by the stakeholder process.
> (4) Evaluate compliance with federal rules relating to home- and community-based services, and how the department plans to redesign services that are not compliant with these rules.
> (5) Discuss how feedback may be collected about the reforms, and how this information may be used to make changes to, and adapt, the system over time.

(c) The State Department of Developmental Services shall report on the progress of these efforts during the 2020–21 budget hearing process.

(d) By October 1, 2019, the State Department of Developmental Services shall post to its internet website a summary of public comments, departmental responses to those comments, and any appropriate and necessary changes to the rate models contained in the rate study, submitted pursuant to Section 4519.8.

National Core Indicators

The National Core Indicators (NCI) is a series of surveys used by the California Department of Developmental Services (DDS) to assess the experiences of and services and supports provided to Californians with intellectual/developmental disabilities (I/DD). California is required by the Welfare and Institutions Code, Section 4571 to implement a nation-wide quality assessment survey and has used NCI surveys to fulfill this requirement since 2010. Survey indicators address key areas including employment, rights, service planning, community inclusion, choice, and health and safety.

The four NCI surveys used in California were developed by the Human Services Research Institute (HSRI) and the National Association of State Directors of Developmental Disabilities Services (NASDDDS) and are used in a total of 48 states and Washington, D.C. The surveys gather a standard set of performance and outcome measures that are used to track a state's performance over time, to compare results across states, and to establish national benchmarks. In California, DDS contracts with the State Council on Developmental Disabilities (SCDD) to conduct the surveys. SCDD surveys each of the four following groups:

- Individuals who are 18 years of age or older and receive at least one paid service in addition to case management from a regional center (In-Person Survey).
- Families of adults with I/DD who live in their family's home (Adult Family Survey).
- Families of adults with I/DD who live outside their family's home (Family/Guardian Survey).
- Families who have a child (under the age of 18) with I/DD who lives with the family (Child Family Survey).

The surveys ask questions about the following areas of an individual's life:

- Residence
- Employment
- Relationships
- Involvement in the community
- Information and planning
- Health and welfare
- Safety
- Respect and rights
- Access to services and supports
- Satisfaction with services
- Choices, decision making, and control

Each survey is administered every three years in rotation, with the Adult Family and the Family Guardian surveys administered together and the Child Family survey and In-Person survey administered in subsequent years. To date, California had administered the In-Person Survey and the Adult Family and the Family Guardian surveys three times and the Child Family Survey twice, with data from a third survey period currently being analyzed.

What have we learned from NCI (Highlights)?

The survey responses reflect the opinions of respondents and allow the Department to gain valuable insight into challenges and opportunities for system development. In general, responses to most of the survey indicators have been stable over time. Survey responses provide an opportunity to evaluate the impact of new programs and services and for regional centers to consider development and implementation of innovative new practices. Below are some highlights and trends in the NCI survey results for California.

In-Person Survey

Employment: While there is a slight increase in the number of adults with I/DD who have paid jobs in the community, this number remains very low.

Indicator	Has a Paid Community Job	FY 11/12	FY 14/15	FY 17/18
Responses	*Yes*	13%	13%	15%

Choice and Decision Making: The number of adults with I/DD reporting that they had input in choosing where to live has remained mostly flat over time.

Indicator	Chose Home or Had Input in Choosing Where to Live	FY 11/12	FY 14/15	FY 17/18
Responses	*Yes*	52%	49%	51%

Service Coordination: The number of adults who feel that their service coordinator/case manager asks about their wants has stayed mostly the same and is relatively high

Indicator	Case manager/service coordinator asks what the individual wants.	FY 11/12	FY 14/15	FY 17/18
Responses	*Yes*	84%	85%	84%

Relationships: The number of adults who say they have friends seems to be increasing over time.

Indicator	Has friends	FY 11/12	FY 14/15	FY 17/18
Responses	*Yes*	74%	73%	80%

Child Family Survey (Families of Children)

Information and Planning: The number of families of children with I/DD who say their child has a service plan is relatively stable.

Indicator	Child has an IPP (service plan)	FY 12/13	FY 15/16
Responses	*Yes*	79%	80%

Community Involvement: More families are reporting that their child is participating in the community.

Indicator	Child participates in community activities	FY 12/13	FY 15/16
Responses	*Yes*	74%	78%

Access and Delivery of Services: An increasing number of families feel they can contact their service coordinators when they want.

Indicator	Able to contact case manager/services coordinator when wanted	FY 12/13	FY 15/16
Responses	*Always or Usually*	75%	81%

Choice, Decision Making, and Control: More families now feel that they have control over choosing support workers.

Indicator	Can choose different support workers if wanted	FY 12/13	FY 15/16
Responses	*Always or Usually*	65%	78%

Adult Family Survey

Information and Planning: While many families believe they receive enough information to help with planning services for their family member, a significant portion of families report not getting enough information.

Indicator	Family receives enough information to help in planning services	FY 10/11	FY 13/14	FY 16/17
Responses	*Always or Usually*	64%	63%	66%

Access and Delivery of Services: A large percentage of families continue to receive services in a way they feel is respectful to their culture.

Indicator	Services are delivered in a way that is respectful of family's culture	FY 10/11	FY 13/14	FY 16/17
Responses	*Always or Usually*	93%	93%	95%

Choice, Decision Making, and Control: Over time, the number of families who believe they can choose the agencies/providers who work with their family is increasing slightly.

Indicator	Family chooses the agencies/providers who work with the family	FY 10/11	FY 13/14	FY 16/17
Responses	*Always or Usually*	65%	68%	70%

Community Involvement: Over time, families report increased community involvement.

Indicator	Family member participates in community activities	FY 10/11	FY 13/14	FY 16/17
Responses	*Yes*	56%	80%	83%

Family/Guardian Survey

Information and Planning: There has been no change over time in how family perceive their access to information about services.

Indicator	Family receives enough information to help in planning services	FY 10/11	FY 13/14	FY 16/17
Responses	*Always or Usually*	71%	71%	71%

Access and Delivery of Services: A high percentage of families consistently report that services are delivered in a way that is respectful of their culture.

Indicator	Services are delivered in a way that is respectful of family's culture	FY 10/11	FY 13/14	FY 16/17
Responses	*Always or Usually*	96%	95%	95%

Choice, Decision Making, and Control: Families increasingly report having the ability to choose the agencies/providers that work with their family.

Indicator	Family chooses the agencies/providers who work with the family	FY 10/11	FY 13/14	FY 16/17
Responses	*Always or Usually*	40%	51%	73%

Community Involvement: Over time, more families reported that their dependent with I/DD was more involved in community activities.

Indicator	Family member participates in community activities	FY 10/11	FY 13/14	FY 16/17
Responses	*Yes responses*	56%	89%	88%

Additional reports available on the DDS Website: https://www.dds.ca.gov/QA/

Descriptions of Domains, Sub-Domains and Indicators Contained in National Core Indicators Surveys

Domain	Sub-Domain	Concern	Indicators	IPS 2017-18 Indicators	CFS 2018-19 Indicators	AFS/FGS 2019-20 Indicators
INDIVIDUAL OUTCOMES **Overview**: Individual outcome indicators concern how well the public system aids adults with developmental disabilities to work, participate in their communities, have friends and sustain relationships, and exercise choice and self-determination. Other indicators in this domain probe how satisfied individuals are with services and supports.	**Choice and Decision-Making**	*Individuals make choices about their lives and are actively engaged in planning their services and supports.*				
			Chose Home	X		
			Chose Roommates	X		
			Chose Staff or did not Choose but can Request to Change	X		
			Choose or has Input in Choosing Daily Schedule	X		
			Choose or Help Decide how to Spend Free Time	X		
			Choose or Help Decide What to buy With Their Money	X		
			Chose or had Input in Choosing Day Program or Workshop	X		
			Chose or had Some Input in Choosing Paid Community job	X		
			Chose or can Request to Change Their Service Coordinator	X		
			Can Your Family Choose or Change the Agency That Provides Your Child's Services		X	X
			Can Your Family Choose or Change Your Child's Support Workers		X	X
			Does Your Family Directly Manage Support Workers		X	X
			Do Services Providers for Your Child Work Together to Provide Support		X	X
			Did you, Your Child, or Someone Else in Your Family Choose Your Child's Case Manager/Service Coordinator		X	X
			Does the Agency Providing Residential Services to your Family Member Involve him/her in Important Decisions			X
	Community Inclusion	*Individuals have support to participate in everyday community activities.*				
			Went Shopping in the Past Month	X		
			Went on Errands in the Past Month	X		
			Went Out for Entertainment in the Past Month	X		
			Went Out to Eat in the Past Month	X		
			Went Out to Religious or Spiritual Services in the Past Month	X		
			Chose Religious Service/Spiritual Practice	X		
			Took Part in Community Group	X		
			Went on Vacation in Past Year	X		
			Gets to go out and do Things They Like	X		
			Gets to go out and do Things They Like as much as They Want	X		
			Has Enough to do at Home	X		
			Does Your Child Participate in Activities in the Community		X	X
			What Makes it Hard for Your Child to Take Part in Community Activities		X	X
			Child Spends Time With Non-DD Children		X	
			How Often Does Your Child Spend Time With Children who are not Siblings and do not Have a Developmental Disability		X	
			In Your Community, are There Resources That Your Family can use That are not Provided by the Regional Center		X	X
			Does Your Family Take Part in any Family-To-Family Networks in your community		X	X
			Does your Family Member have Friends Other Than Paid Support Workers or Family			X
	Relationships	*Individuals have friends and relationships.*				
			Has Friends who are not Staff or Family	X		
			Has Best Friend	X		
			Wants More Help to Make or Keep in Contact With Friends	X		
			Can see Friends When Wants	X		
			Reasons Cannot Always see Friends	X		
			Has Other Ways of Talking/Communicating With Friends When Cannot see Them	X		
			Able to See Family	X		
			Often Feels Lonely	X		
			Can go on a Date	X		

Descriptions of Domains, Sub-Domains and Indicators Contained in National Core Indicators Surveys

Domain	Sub-Domain	Concern	Indicators	IPS 2017-18 Indicators	CFS 2018-19 Indicators	AFS/FGS 2019-20 Indicators
	Satisfaction	*Individuals are satisfied with the services and supports they receive.*				
			Likes Home	X		
			Wants to Live Somewhere Else	X		
			Has Paid job and Likes job	X		
			Has Paid job and Wants to Work Somewhere Else	X		
			Amount of Time Wants to Spend at Day Program	X		
			Services and Supports Help Live a Good Life	X	X	X
			Overall, are you Satisfied with the Services and Supports Your Family Currently Receives		X	X
			Do you Know how to File a Complaint or Grievance About Provider Agencies or Staff		X	X
			If a Complaint or Grievance was Filed or Resolved in the Past Year, are you Satisfied With the way it was Handled and Resolved		X	X
			Do you Know how to Report Abuse or Neglect		X	X
			Within the Past Year, was a Report of Abuse or Neglect Filed on Behalf of Your Child		X	X
			Do you Feel That Regional Center Services Have Made a Positive Difference in the Life of your Family		X	X
			Do you Feel That Family Supports Have Made a Positive Difference in the Life of your Family		X	X
			Have Services and Supports Reduced Your Family's Out-Of-Pocket Expenses for your Child's Care		X	
			Do you Feel That Regional Center Services Have Improved Your Ability to Care for your Child		X	
			Do you Feel That Family Supports Have Improved your Ability to Care for your Child		X	
			Have the Services or Supports That your Child/Family Received During the Past Year Been Reduced, Suspended, or Terminated		X	X
			If Services or Supports Have Been Reduced, Suspended or Terminated in the Past Year, did the Reduction, Suspension, or Termination of These Services or Supports Affect Your Family Negatively		X	X
			Have the Services or Supports That Your Child Received Been Increased in the Past Year		X	X
			Have Regional Centers Services Made a Difference in Helping Keep Your Child at Home		X	
			Within the Past Year, was a Report of Abuse or Neglect Filed on Behalf of your Family Member		X	X
	Work	*Individuals have support to find and maintain community integrated employment.*				
			Has a Paid Job	X		
			Type of Paid job	X		
			Hours Worked in two-Week Period	X		
			Hourly Wage	X		
			Months at Current Community Job	X		
			Receives Paid Time off at Community job	X		
			Job Industry	X		
			Can Stop Working if Over 50	X		
			Wants a Job in the Community	X		
			Has Employment as a Goal in Service Plan	X		
			Takes Classes/Training to get a job, get a Better job or do Better at Current job	X		
			Has day Program	X		
			Volunteers	X		
			Volunteers as Part of Day Program	X		

Descriptions of Domains, Sub-Domains and Indicators Contained in National Core Indicators Surveys

Domain	Sub-Domain	Concern	Indicators	IPS 2017-18 Indicators	CFS 2018-19 Indicators	AFS/FGS 2019-20 Indicators
	Language and Cultural Competence	*Individuals preferred language and culture are supported by staff.*				
			Are There Staff at Your Home where you Live who Speak Your Preferred Language	X		
			Are There Staff at Your job who Speak Your Preferred Language	X		
			Are There Staff at Your day Program or Workshop who Speak Your Preferred Language	X		
			Did you get a Copy of Your IPP in Your Preferred Language	X		
			Does Your Staff Support you in a way That is Respectful to Your Culture	X		
HEALTH, WELFARE, and RIGHTS **Overview**: These indicators concern the following topics: (a) health; (b) medications; (c) respect for individual rights; (d) safety; and (e) wellness.	Health	*Individual secure needed health services.*				
			Primary Care Doctor	X		
			Has Poor Health	X		
			Annual Physical Exam in the Past Year	X		
			Dental Exam in the Past Year	X		
			Vision Screening in the Past Year	X		
			Hearing Test in the Past Five Years	X		
			Pap Test (Women Age 21 and Over) in Past Three Years	X		
			Mammogram (Women Age 40 and Over) in the Past Two Years	X		
			Last Colorectal Cancer Screening (People Age 50 and Over) in the Past Year	X		
			Flu Vaccine in the Past Year	X		
			Ever Had Pneumonia Vaccine	X		
	Medications	*Medications are managed effectively and appropriately*				
			Takes at least one Medication for Mood, Anxiety and/or Psychotic Disorder and/or Behavior Challenge	X		
			Takes Medication for Mood, Anxiety and/or Psychotic Disorder	X		
			Number of Medications for Mood, Anxiety and/or Psychotic Disorder if Taking at Least one.	X		
			Take Medication for Behavior Challenges	X		
			Number of Medications for Behavior Challenges if Taking at Least one	X		
			Has Behavior Plan	X		
			Takes Medication for Behavior Challenge and has Behavior Plan	X		
	Respect/Rights	*Individuals receive the same respect and protections as others in the community.*				
			Has key to Home	X		
			Home Entered Without Permission	X		
			Can Lock Bedroom	X		
			Bedroom Entered Without Permission	X		
			Has a Place to be Alone in Home	X		
			Can be Alone at Home with Friends/Visitors	X		
			There are Rules About Having Friends/Visitors in Home	X		
			Others Read Mail/Email Without Asking	X		
			Can use Phone and Internet When Wants	X		
			Staff Treat Person With Respect	X		
			Ever Participated in a Self-Advocacy Group, Meeting, Conference or Event, or had Opportunity but Chose not to	X		
			Ever Voted in a Local, State or Federal Election, or had Opportunity but Chose not to	X		
	Safety	*Individuals are safe from abuse, neglect, and injury.*				
			There is at Least one Place Where Person Feels Afraid or Scared	X		
			Has Someone to Talk to if Ever Feels Afraid or Scared	X		

Domain	Sub-Domain	Concern	Indicators	IPS 2017-18 Indicators	CFS 2018-19 Indicators	AFS/FGS 2019-20 Indicators
	Wellness	*Individuals are supported to maintain healthy habits.*				
			Does Physical Activity at Least Once a Week	X		
			Does Physical Activity That Makes Muscles in Arms, Legs or Back Work Hard at Least Once a Week	X		
			BMI Category	X		
			Uses Tobacco	X		
SYSTEM PERFORMANCE **Overview**: The system performance indicators address the following topics: (a) access to services ; (b) family and individual participation in provider-level decisions; (c) the utilization of and outlays for various types of services and supports; (d) service coordination.	**Access**	*Publicly-funded services are readily available to individuals who need and qualify for them.*				
			Has a way to get Places Needs to go	X		
			Has a way to get Places Wants to go	X		
			Staff Have Adequate Training	X		
			Additional Services Needed*	X		
			Are you or your Family Member Able to Contact his/her Support Workers When you Want			X
			Are you or your Family Member able to Contact his/her Case Manager/Service Coordinator when you want			X
			Do Support Workers Come and go When They are Supposed to			X
			Do Services and Supports Change When your Family's Needs Change			X
			Do Support Workers Speak to you in a way you Understand?			X
			Are There Support Workers Available who can Speak to you in your Preferred Language			X
			Does your Family Member's Case Manager/Service Coordinator Speak your Preferred Language			X
			If not, is a Translator Provided When you Speak With the Case Manager/Service Coordinator			X
			Are Services Delivered in a way that is Respectful of your Family's Culture			X
			Does your Family Member's case Manager/Service Coordinator Support you in a way that is Respectful of your Culture			X
			If your Family Member Does not Communicate Verbally (for example, uses gestures, sign language, or a communication aid), are there Support Workers who can Communicate with him/her			X
			Do Support Workers Have the Right Information and Skills to Meet your Family's Needs			X
			Does your Family Member Have the Special Equipment or Accommodations that s/he Needs			X
			Can your Family Member see Health Professionals When Needed			X
			Does your Family Member's Primary Care Doctor Understand your Family Member's Needs Related to his/her Disability			X
			Can your Family Member go to the Dentist When Needed			X
			Does your Family Member's Dentist Understand your Family Member's Needs Related to his/her Disability			X
			If your Family Member Takes Medications, do you Know What They're for			X
			Do you, Your Family Member, or Someone Else in your Family Know What is Needed to Safely take the Medications			X
			If your Family Member Uses Mental Health Services, Does the Mental Health Professional Understand your Family Member's Needs Related to his/her Disability			X
			Does your Family get the Supports and Services it Needs			X

Descriptions of Domains, Sub-Domains and Indicators Contained in National Core Indicators Surveys

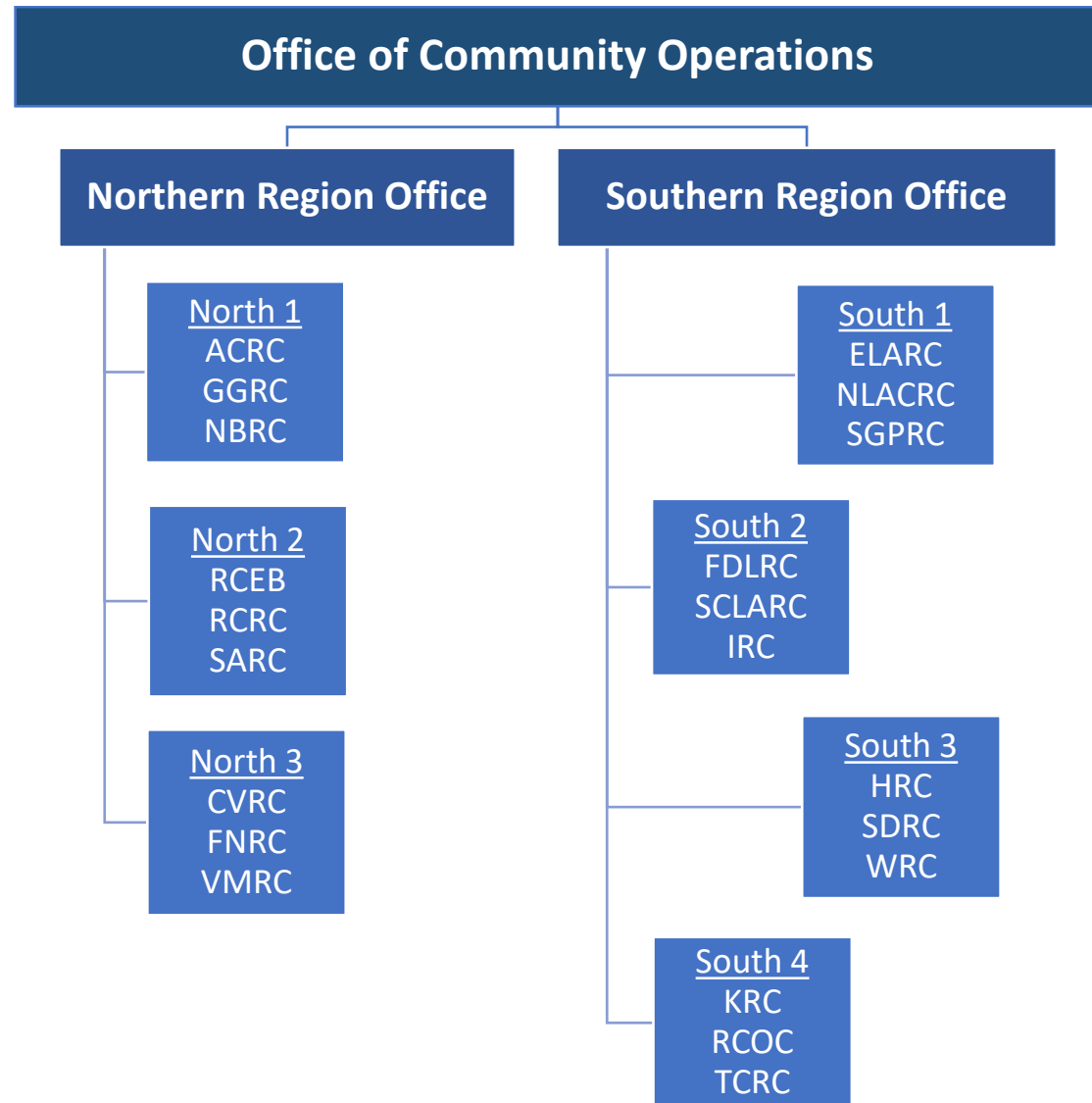| Domain	Sub-Domain	Concern	Indicators	IPS 2017-18 Indicators	CFS 2018-19 Indicators	AFS/FGS 2019-20 Indicators
	Service Coordination	*Service coordinators are accessible, responsive, and support the Individual's participation in service planning.*	Has Met Service Coordinator	X		
			Service Coordinator Asks What Person Wants	X		
			Able to Contact Case Manger/Service Coordinator When Wants to	X		
			Staff Come and Leave on Time	X		
			Took Part in Last IPP Meeting	X		
			Knew What was Being Talked About at Last IPP Meeting	X		
			IPP Meeting Includes People Person Wanted There	X		
			Chose Services Gets as Part of IPP	X		
FAMILY INDICATORS **Overview**: The family indicators concern how well the public system assists children and adults with developmental disabilities, and their families, to exercise choice and control in their decision-making, participate in their communities, and maintain family relationships. Additional indicators probe how satisfied families are with services and supports they receive, and how supports have affected their lives.	**Information and Planning**	*Families/family members with disabilities have the information and support necessary to plan for their services and supports.*	Are you Able to Contact Your Child's Support Workers When you Want to		X	
			Are you Able to Contact Your Child's Case Manager/Service Coordinator When you Want to		X	
			Do Support Workers Come and Leave When They are Supposed to		X	
			Do Services and Supports Change When Your Family's Needs Change		X	
			Do Support Workers Speak to you in a way that you Understand		X	
			Are Service Delivered in a way that is Respectful of your Family's Culture		X	
			If your Child Does not Communicate Verbally, are There Support Workers who can Communicate with him/her		X	
			Do Support Workers Have the Right Information and Skills to Meet your Family's Needs		X	
			Does your Child Have the Special Equipment or Accommodations that s/he Needs		X	
			Can your Child see Health Professionals When Needed		X	
			Does your Child's Primary Care Doctor Understand his/her Needs Related to his/her Disability		X	
			Can your Child go to the Dentist when Needed		X	
			Does your Child's Dentist Understand his/her Needs Related to his/her Disability		X	
			If your Child Takes Medications, do you Know what They're for		X	
			If your Family Member Takes Medications, do you, your Family Member or Someone Else in your Family Know What is Needed to Safely Take the Medications		X	
			If Your Child Uses Mental Health Services, Does the Mental Health Professional Understand Your Child's Needs Related to his/her Disability		X	
			If you Need Respite Services, are you Able to get/use Them		X	
			If you Have Used Respite Services in the Past Year, were you Satisfied with the Quality of the Respite Providers		X	
			Does your Family get the Support it Needs		X	
			Additional Services Needed		X	
			Do you get Enough Information to Take Part in Planning Services for your Family Member			X
			Is Information From the Regional Center Offered in your Preferred Language			X
			Is the Information you get About Services and Supports Easy to Understand			X
			Do staff or the residential agency keep you informed about how your family member is doing			X
			Does the case Manager/Service Coordinator Respect your Family's Choices and Opinions			X
			Do you Need Help Planning for your Family Member's Future with Respect to any of the Following			X
			In the Past Year, did your Family Member Move out of the Family Home for the First Time			X

Descriptions of Domains, Sub-Domains and Indicators Contained in National Core Indicators Surveys

Domain	Sub-Domain	Concern	Indicators	IPS 2017-18 Indicators	CFS 2018-19 Indicators	AFS/FGS 2019-20 Indicators
			If yes to Question above , did your Family Member Receive Enough Information About Services Available to Support him/her			x
			Does your Family Member have an Individual Program Plan (IPP)			x
			Does the Individual Program Plan (IPP) Include all the Services and Supports your Family Member Needs			x
			Does your Family Member get all the Services Listed in the Individual Program Plan (IPP)			x
			Did you or Someone Else in your Family (besides your family member with a disability) help make the Individual Program Plan (IPP)			x
			Did your Family Member Help Make the Individual Program Plan (IPP)			x
			Did you or your Family Member get a Copy of the Individual Program Plan (IPP) in your or your Family Member's Preferred language			x
			Did you Discuss how to Handle Emergencies (such as a medical emergency or natural disaster) at your Family Member's Last IPP Meeting			x
			If your Family Member Left School Services During the Past Year, did s/he Have a Transition Plan			x
			Does your Family Member Have Enough Supports			x
			Do you Feel Prepared to Handle the Needs of your Family Member in an Emergency Such as a Medical Emergency or Natural Disaster			x

RC Monitoring Team Map

Northern Region Office

Southern Region Office

RC Monitoring Teams

Office of Community Operations

Northern Region Office

Southern Region Office

North 1
ACRC
GGRC
NBRC

North 2
RCEB
RCRC
SARC

North 3
CVRC
FNRC
VMRC

South 1
ELARC
NLACRC
SGPRC

South 2
FDLRC
SCLARC
IRC

South 3
HRC
SDRC
WRC

South 4
KRC
RCOC
TCRC

Department of Developmental Services (DDS) Oversight of Regional Centers
Activities by Frequency*

Italicized items are associated with statutory requirements added by 2019 Trailer Bill, SB 81.

Daily/Ongoing as Received

- Review of special incident reports submitted pursuant to Title 17 section 54327.1
- Review of regional center purchase of service policies *and assessment tools*, staff instructions and training materials, board meeting agendas and minutes, notifications to consumers and providers [WIC §4434(d)]
- Review of regional center conflict-of-interest statements and waiver requests [WIC §4626]
- Follow-up on WIC section 4731 appeals and complaints (Early Start, Citizen's, Whistleblower, and general complaints)

Monthly

- Survey of regional centers on Self-Determination Program enrollment status [RC Contract Art. II, Sec. 10]

Quarterly

- Attendance at regional center board meetings conducted pursuant to WIC section 4660 (quarterly and as needed)
- Review of purchase of service data related to Competitive Integrated Employment Incentive Payments and Paid Internship Program
- Review of data related to utilization of institutions for mental diseases, out-of-state placements and other settings
- Review of Disparity Funds Program quarterly progress reports
- *Ensure regional center submission of service provider corrective action plans and sanctions* [WIC §4640.9]

Semi-annually

- Review of Adult Residential Facilities for Persons with Special Health Care Needs, Enhanced Behavioral Supports Homes and Community Crisis Homes [WIC §4684.70, §4684.84, §4698; CCR T17, §59055, §59013]
- Review of data reports on fair hearing requests submitted pursuant to WIC section 4710
- *Review regional center websites to confirm posting of information on Home and Community-Based Services (HCBS) Final Rule provider compliance* [WIC §4519.2(b)]

Annually

- Ensure development and submission of regional center outcome-based performance plan/contract, DDS review of baseline and year-end performance data, *and regional center reporting to DDS on public meetings held to discuss the prior year's performance contract objectives and outcomes* [WIC §4629]
- Survey of regional center administrative expenditures, personnel salaries and executive director compensation [WIC §4639.5]

- Survey of regional center service coordinator caseloads, including but not limited to, *reviewing compliance with the 1-to-25 ratio for "consumers with complex needs"* [WIC §4640.6]
- Survey of regional center board composition, including but not limited to, *confirming whether each board has members with management or board governance expertise and members with financial expertise, and ensuring each board submits a plan for compliance when it is out of compliance with board composition requirements* [WIC §4622 and 4622.5]
- Survey of regional centers on Competitive Integrated Employment Incentive Payments and Paid Internship Program [WIC §4870]
- Review regional center websites to confirm posting of service disparity data and ensure related reporting to DDS [WIC §4519.5]
- Review of independent CPA audit of regional center [WIC §4639]
- Review of regional center behavior modification treatment reports [CCR, T17 §50823]
- *Compile and review information and update the Legislature on WIC section 4731 complaints and fair hearing requests submitted to regional centers* [WIC *§4731*]
- *Ensure regional center reporting to DDS on public meetings held to discuss National Core Indicators (NCI) data* [WIC §4571]

Biennially

- Fiscal compliance audit of regional center
- Monitoring of Adult Family Home Agencies
- Monitoring review of federal Medicaid HCBS Waiver
- Review of federal Medicaid Targeted Case Management program
- Review of federal Nursing Home Reform program

Triennially

- Early Start monitoring
- NCI Adult In-Person Survey
- NCI Child Family Survey
- NCI Adult Family Survey
- NCI Family Guardian Survey

Other

- Monitoring of habilitation programs (ongoing)
- Review of NCI survey data, including Mover Longitudinal Survey data (ongoing)
- Special audit of regional center (as needed)
- Oversight of Direct Support Professional (DSP) Training (DDS oversees the work of the California Department of Education by ensuring that training/challenge classes are being held and are accessible for all DSPs and that the test records and historical data is monitored)
- *Review regional center websites to confirm posting of performance dashboards on its website, including information on HCBS Waiver compliance, competitive integrated employment, WIC section 4731 complaints and fair hearings* [WIC §4572]
- Review regional center websites to confirm posting of the following on regional center websites pursuant to WIC section 4629.5(b) (ongoing):

    "(1) Regional center annual independent audits.
    (2) Biannual fiscal audits conducted by the department.
    (3) Regional center annual reports pursuant to Section 4639.5.

(4) Contract awards, including the organization or entity awarded the contract, and the amount and purpose of the award.

(5) Purchase of service policies.

(6) The names, types of service, and contact information of all vendors, except consumers or family members of consumers.

(7) Board meeting agendas and approved minutes of open meetings of the board and all committees of the board.

(8) Bylaws of the regional center governing board.

(9) The annual performance contract and year–end performance contract entered into with the department pursuant to this division.

(10) The biannual Home and Community–based Services Waiver program review conducted by the department and the State Department of Health Care Services.

(11) The board–approved transparency and public information policy.

(12) The board–approved conflict–of–interest policy.

(13) Reports required pursuant to Section 4639.5.

(14) A link to the page on the department's Internet Web site specified in subdivision (d).

(15) The salaries, wages, and employee benefits for all managerial positions for which the primary purpose is the administrative management of the regional center, including, but not limited to, directors and chief executive officers."

Oversight Activities by Other Entities

- State Council on Developmental Disabilities (SCDD)/Area Board: One responsibility of the SCDD is to conduct, or to cause to be conducted, investigations or public hearings to resolve disagreements between state agencies, or between state and regional or local agencies, or between persons with developmental disabilities and agencies receiving state funds (e.g. regional centers).

- Disability Rights California (DRC): DRC (formerly Protection and Advocacy, Inc.) is a federally-funded, nonprofit corporation designated by the Governor with the authority and expertise to pursue administrative, legal, and other appropriate remedies or approaches to ensure the protection of the rights of people with disabilities, including investigation of alleged incidents of abuse and neglect that may involve regional center consumers.

- Other state and federal agency oversight and reporting: Regional centers at various intervals file required reports with Franchise Tax Board, Employment Development Department, U.S. Department of Labor, Internal Revenue Service, Bureau of State Audits, Equal Employment Opportunity Commission, Board of Equalization, Centers for Medicare and Medicaid Services, Department of Health Care Services, Social Security Administration, and federal Office of Special Education Programs, among others.

Note: Additional informal oversight activities may not be reflected on this list.