

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2020-21 GOVERNOR'S BUDGET



STATE OF CALIFORNIA

JANUARY 2020

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Comparison of Enacted Budget to Governor's Budget
2019-20

	A Enacted Budget	B Governor's Budget	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$655,017	\$655,696	\$679
2. Federal Compliance	48,002	48,046	44
3. Projects	29,346	29,521	175
4. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee	1,825	1,806	-19
5. Total OPS Caseload Growth (Items 1 thru 4)	\$734,190	\$735,069	\$879
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Family Home Agency Oversight	1,619	1,619	0
8. Ongoing Operations Policy Items	85,247	85,247	0
9. Specialized Caseload Ratios	3,800	3,800	0
10. Specialized Home Monitoring	5,757	5,757	0
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. Total Policy (Items 6 thru 11)	\$106,325	\$106,325	\$0
13. Total Operations (Items 5 and 12)	\$840,515	\$841,394	\$879
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,776,202	\$1,830,065	\$53,863
2. Medical Facilities	16,929	18,088	1,159
3. Day Programs	1,161,271	1,149,515	-11,756
4. Habilitation Services	161,058	156,639	-4,419
a. Work Activity Program	34,983	32,955	-2,028
b. Supported Employment Program (Group Placement)	89,235	84,206	-5,029
c. Supported Employment Program (Individual Placement)	36,840	39,478	2,638
5. Transportation	381,918	387,712	5,794
6. Support Services	1,700,447	1,616,685	-83,762
7. In-Home Respite	593,833	557,884	-35,949
8. Out-of-Home Respite	50,697	51,318	621
9. Health Care	140,356	149,477	9,121
10. Miscellaneous	557,207	551,617	-5,590
11. Quality Assurance Fees (Transfer from Department of Health Care Services)	9,855	9,750	-105
12. Total POS Caseload Growth (Items 1 thru 11)	\$6,549,773	\$6,478,750	-\$71,023
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder	-6,357	-7,135	-778
14. Community Crisis Home for Children	4,500	4,500	0
15. Development of STAR Homes	0	3,000	3,000
16. Early Start Co-Pays	1,000	1,000	0
17. Ongoing Purchase of Services Policy Items	46,000	46,000	0
18. Provider Supplemental Rate Increases POS	206,173	206,173	0
19. SB 3 Minimum Wage Increase: Effective January 1, 2020	85,145	91,001	5,856
20. Uniform Holiday	50,300	49,333	-967
21. Total Policy (Items 13 thru 20)	\$386,761	\$393,872	\$7,111
22. Total POS (Items 12 and 21)	\$6,936,534	\$6,872,622	-\$63,912
C. Early Start/ Part C: Other Agency Cost	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$7,798,146	\$7,735,113	-\$63,033

Comparison of Enacted Budget to Governor's Budget
2019-20

	A Enacted Budget	B Governor's Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,701,247	\$4,686,900	-\$14,347
1. General Fund Match	2,790,442	2,741,770	-48,672
2. General Fund - Other	1,910,805	1,945,130	34,325
B. Reimbursements	\$3,040,187	\$2,991,501	-\$48,686
1. Home and Community-Based Services (HCBS) Waiver	2,115,891	2,049,652	-66,239
2. HCBS Waiver Administration	19,237	18,094	-1,143
3. Medicaid Administration	17,908	17,653	-255
4. Targeted Case Management (TCM)	247,125	220,825	-26,300
5. TCM Administration	8,137	8,301	164
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	60,846	60,196	-650
8. Quality Assurance Fees (QAF)	10,768	10,653	-115
9. 1915(i) SPA	304,746	341,791	37,045
10. Early Periodic Screening Diagnosis and Treatment	28,577	31,382	2,805
11. Behavioral Health Treatment Fee-for-Service	13,531	13,736	205
12. Self-Determination Program (SDP) Waiver	0	4,747	4,747
13. CalFresh	0	1,050	1,050
C. Program Development Fund / Parental Fees	\$2,242	\$2,242	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,580	\$53,580	\$0
1. Early Start/Part C Grant	52,486	52,486	0
2. Foster Grandparent Program	1,094	1,094	0
G. GRAND TOTAL	\$7,798,146	\$7,735,113	-\$63,033

Comparison of Enacted Budget to Governor's Budget
2020-21

	A Enacted Budget	B Governor's Budget	C Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$655,017	\$694,950	\$39,933
2. Federal Compliance	48,002	48,266	264
3. Projects	29,346	30,193	847
4. ICF-DD SPA Administration Fee	1,825	1,806	-19
5. Total OPS Caseload Growth (Items 1 thru 4)	\$734,190	\$775,215	\$41,025
Policy			
6. DC Closure Ongoing Workload	8,302	8,302	\$0
7. Enhanced Caseload Ratios for Young Consumers	0	16,522	16,522
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Specialized Caseload Ratios	3,800	3,800	0
11. Specialized Home Monitoring	5,757	6,503	746
12. Trauma Informed Services for Foster Youth	1,600	1,600	0
13. Total Policy (Items 6 thru 12)	\$106,325	\$123,593	\$17,268
14. Total Operations (Items 5 and 13)	\$840,515	\$898,808	\$58,293
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,776,202	\$1,922,024	\$145,822
2. Medical Facilities	16,929	18,439	1,510
3. Day Programs	1,161,271	1,177,460	16,189
4. Habilitation Services	161,058	157,717	-3,341
a. Work Activity Program	34,983	29,638	-5,345
b. Supported Employment Program (Group Placement)	89,235	82,972	-6,263
c. Supported Employment Program (Individual Placement)	36,840	45,107	8,267
5. Transportation	381,918	410,246	28,328
6. Support Services	1,700,447	1,761,727	61,280
7. In-Home Respite	593,833	609,249	15,416
8. Out-of-Home Respite	50,697	53,521	2,824
9. Health Care	140,356	164,179	23,823
10. Miscellaneous	557,207	614,710	57,503
11. QAF (Transfer from DHCS)	9,855	9,750	-105
12. POS Subtotal (Items 1 thru 11)	\$6,549,773	\$6,899,022	\$349,249
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-6,357	-7,135	-778
14. Community Crisis Home for Children	4,500	0	-4,500
15. Early Start Co-Pays	1,000	1,000	0
16. EBSHs with DESP	0	7,500	7,500
17. Electronic Visit Verification Penalty	0	5,089	5,089
18. Ongoing Purchase of Service Policy Items	46,000	46,000	0
19. Performance Incentive Program	0	78,000	78,000
20. Provider Supplemental Rate Increases POS	206,173	430,308	224,135
21. SB 3 Minimum Wage Increase: Effective January 1, 2020	85,145	194,754	109,609
22. SB 3 Minimum Wage Increase: Effective January 1, 2021	0	120,323	120,323
23. START Training	0	4,540	4,540
24. Uniform Holiday	50,300	51,741	1,441
25. Total Policy (Items 13 thru 24)	\$386,761	\$932,120	\$545,359
26. Total POS (Items 12 and 25)	\$6,936,534	\$7,831,142	\$894,608
C. Early Start/Part C: Other Agency Costs	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$7,798,146	\$8,751,047	\$952,901

Comparison of Enacted Budget to Governor's Budget
2020-21

	A Enacted Budget	B Governor's Budget	C Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,701,247	\$5,314,052	\$612,805
1. General Fund Match	2,790,442	3,131,567	341,125
2. General Fund - Other	1,910,805	2,182,485	271,680
B. Reimbursements	\$3,040,187	\$3,380,245	\$340,058
1. HCBS Waiver	2,115,891	2,374,348	258,457
2. HCBS Waiver Administration	19,237	18,461	-776
3. Medicaid Administration	17,908	17,653	-255
4. TCM	247,125	234,126	-12,999
5. TCM Administration	8,137	8,301	164
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	60,846	60,196	-650
8. QAF	10,768	10,653	-115
9. 1915(i) SPA	304,746	381,447	76,701
10. Early Periodic Screening Diagnosis and Treatment	28,577	31,381	2,804
11. Behavioral Health Treatment Fee-for-Service	13,531	13,736	205
12. Self-Determination Program (SDP) Waiver	0	16,522	16,522
13. CalFresh	0	0	0
C. Program Development Fund / Parental Fees	\$2,242	\$2,280	\$38
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,580	\$53,580	\$0
1. Early Start/Part C Grant	52,486	52,486	0
2. Foster Grandparent Program	1,094	1,094	0
G. GRAND TOTAL	\$7,798,146	\$8,751,047	\$952,901

Comparison of Updated 2019-20 to 2020-21

	A Updated 2019-20	B 2020-21	C Incremental Increase (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth			
1. Staffing	\$655,696	\$694,950	\$39,254
2. Federal Compliance	48,046	48,266	220
3. Projects	29,521	30,193	672
4. ICF-DD SPA Administration Fee	1,806	1,806	0
5. Total OPS Caseload Growth (Items 1 thru 4)	\$735,069	\$775,215	\$40,146
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Enhanced Caseload Ratios for Young Consumers	0	16,522	16,522
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Specialized Caseload Ratios	3,800	3,800	0
11. Specialized Home Monitoring	5,757	6,503	746
12. Trauma Informed Services for Foster Youth	1,600	1,600	0
13. Total Policy (Items 6 thru 12)	106,325	123,593	17,268
14. Total OPS (Items 5 and 13)	\$841,394	\$898,808	\$57,414
B. Purchase of Services (POS)			
Caseload Growth			
1. Community Care Facilities	\$1,830,065	\$1,922,024	\$91,959
2. Medical Facilities	18,088	18,439	351
3. Day Programs	1,149,515	1,177,460	27,945
4. Habilitation Services	156,639	157,717	1,078
a. Work Activity Program	32,955	29,638	-3,317
b. Supported Employment (Group Placement)	84,206	82,972	-1,234
c. Supported Employment (Individual Placement)	39,478	45,107	5,629
5. Transportation	387,712	410,246	22,534
6. Support Services	1,616,685	1,761,727	145,042
7. In-Home Respite	557,884	609,249	51,365
8. Out-of-Home Respite	51,318	53,521	2,203
9. Health Care	149,477	164,179	14,702
10. Miscellaneous	551,617	614,710	63,093
11. QAF (Transfer from DHCS)	9,750	9,750	0
12. Total POS Caseload (Items 1 thru 11)	\$6,478,750	\$6,899,022	\$420,272
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-7,135	-7,135	0
14. Community Crisis Home for Children	4,500	0	-4,500
15. Development of STAR Homes	3,000	0	-3,000
16. Early Start Co-Pays	1,000	1,000	0
17. EBSHs with DESP	0	7,500	7,500
18. Electronic Visit Verification Penalty	0	5,089	5,089
19. Ongoing Purchase of Service Policy Items	46,000	46,000	0
20. Performance Incentive Program	0	78,000	78,000
21. Provider Supplemental Rate Increases POS	206,173	430,308	224,135
22. SB 3 Minimum Wage Increase: Effective January 1, 2020	91,001	194,754	103,753
23. SB 3 Minimum Wage Increase: Effective January 1, 2021	0	120,323	120,323
24. START Training	0	4,540	4,540
25. Uniform Holiday	49,333	51,741	2,408
26. Total Policy (Items 13 thru 25)	\$393,872	\$932,120	\$538,248
27. Total POS (Items 12 and 26)	\$6,872,622	\$7,831,142	\$958,520
C. Early Start/Part C: Other Agency Costs	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$7,735,113	\$8,751,047	\$1,015,934

Comparison of Updated 2019-20 to 2020-21

	A	B	C
	Updated 2019-20	2020-21	Incremental Increase (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,686,900	\$5,314,052	\$627,152
1. General Fund Match	2,741,770	3,131,567	389,797
2. General Fund - Other	1,945,130	2,182,485	237,355
B. Reimbursements	\$2,991,501	\$3,380,245	\$388,744
1. HCBS Waiver	2,049,652	2,374,348	324,696
2. HCBS Waiver Administration	18,094	18,461	367
3. Medicaid Administration	17,653	17,653	0
4. TCM	220,825	234,126	13,301
5. TCM Administration	8,301	8,301	0
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	60,196	60,196	0
8. QAF	10,653	10,653	0
9. 1915(i) SPA	341,791	381,447	39,656
10. Early Periodic Screening Diagnosis and Treatment	31,382	31,381	-1
11. Behavioral Health Treatment Fee-for-Service	13,736	13,736	0
12. Self-Determination Program (SDP) Waiver	4,747	16,522	11,775
13. CalFresh	1,050	0	-1,050
C. Program Development Fund / Parental Fees	\$2,242	\$2,280	\$38
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,580	\$53,580	\$0
1. Early Start/Part C Grant	52,486	52,486	0
2. Foster Grandparent Program	1,094	1,094	0
G. GRAND TOTAL	\$7,735,113	\$8,751,047	\$1,015,934

**Comparison of Enacted Budget to Governor's Budget
2019-20
OPERATIONS**

	A	B	C
	Enacted Budget	Governor's Budget	2019-20 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$655,017	\$655,696	\$679
2. Federal Compliance	48,002	48,046	44
3. Projects	29,346	29,521	175
4. ICF-DD SPA Administration Fee	1,825	1,806	-19
5. Total OPS Caseload Growth (Items 1 thru 4)	<u>\$734,190</u>	<u>\$735,069</u>	<u>\$879</u>
Policy			
6. DC Closure Ongoing Workload	8,302	8,302	\$0
7. Family Home Agency Oversight	1,619	1,619	0
8. Ongoing Operations Policy Items	85,247	85,247	0
9. Specialized Caseload Ratios	3,800	3,800	0
10. Specialized Home Monitoring	5,757	5,757	0
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. Total Policy (Items 6 thru 11)	<u>\$106,325</u>	<u>\$106,325</u>	<u>\$0</u>
13. Total OPS (Items 5 and 12)	<u>\$840,515</u>	<u>\$841,394</u>	<u>\$879</u>
II. FUND SOURCES:			
A. General Fund Total	\$545,211	\$572,584	\$27,373
1. General Fund Match	281,380	254,006	-27,374
2. General Fund Other	263,831	318,578	54,747
B. Reimbursements	\$293,320	\$266,826	-\$26,494
1. HCBS Waiver Administration	19,237	18,094	-1,143
2. Medicaid Administration	17,908	17,653	-255
3. TCM	247,125	220,825	-26,300
4. TCM Administration	8,137	8,301	164
5. QAF	913	903	-10
6. CalFresh	0	1,050	1,050
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Services Fund	\$740	\$740	\$0
E. Federal Funds	\$1,094	\$1,094	\$0
Foster Grandparent Program	1,094	1,094	0
F. Grand Total	<u>\$840,515</u>	<u>\$841,394</u>	<u>\$879</u>

**Comparison of Enacted Budget to Governor's Budget
2020-21
OPERATIONS**

	A Enacted Budget	B Governor's Budget	C 2020-21 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$655,017	\$694,950	\$39,933
2. Federal Compliance	48,002	48,266	264
3. Projects	29,346	30,193	847
4. ICF-DD SPA Administration Fee	1,825	1,806	-19
5. Total OPS Caseload Growth (Items 1 thru 4)	\$734,190	\$775,215	\$41,025
Policy			
6. DC Closure Ongoing Workload	8,302	8,302	\$0
7. Enhanced Caseload Ratios for Young Consumers	0	16,522	16,522
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Specialized Caseload Ratios	3,800	3,800	0
11. Specialized Home Monitoring	5,757	6,503	746
12. Trauma Informed Services for Foster Youth	1,600	1,600	0
13. Total Policy (Items 6 thru 12)	106,325	123,593	17,268
14. Total Operations (Items 5 and 13)	\$840,515	\$898,808	\$58,293
II. FUND SOURCES:			
A. General Fund Total	\$545,211	\$617,380	\$72,169
1. General Fund Match	281,380	267,675	-13,705
2. General Fund Other	263,831	349,705	85,874
B. Reimbursements	\$293,320	\$279,444	-\$13,876
1. HCBS Waiver Administration	19,237	18,461	-776
2. Medicaid Administration	17,908	17,653	-255
3. TCM	247,125	234,126	-12,999
4. TCM Administration	8,137	8,301	164
5. QAF	913	903	-10
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Services Fund	\$740	\$740	\$0
E. Federal Funds	\$1,094	\$1,094	\$0
Foster Grandparent Program	1,094	1,094	0
F. Grand Total	\$840,515	\$898,808	\$58,293

**Comparison of Enacted Budget to Governor's Budget
2019-20
PURCHASE OF SERVICES**

	A	B	C
	Enacted Budget	Governor's Budget	2019-20 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,776,202	\$1,830,065	\$53,863
2. Medical Facilities	16,929	18,088	1,159
3. Day Programs	1,161,271	1,149,515	-11,756
4. Habilitation Services	161,058	156,639	-4,419
a. <i>Work Activity Program</i>	34,983	32,955	-2,028
b. <i>Supported Employment Program (Group Placement)</i>	89,235	84,206	-5,029
c. <i>Supported Employment Program (Individual Placement)</i>	36,840	39,478	2,638
5. Transportation	381,918	387,712	5,794
6. Support Services	1,700,447	1,616,685	-83,762
7. In-Home Respite	593,833	557,884	-35,949
8. Out-of-Home Respite	50,697	51,318	621
9. Health Care	140,356	149,477	9,121
10. Miscellaneous	557,207	551,617	-5,590
11. QAF (Transfer from DHCS)	9,855	9,750	-105
12. Total POS Caseload Growth (Items 1 thru 11)	\$6,549,773	\$6,478,750	-\$71,023
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-6,357	-7,135	-778
14. Community Crisis Home for Children	4,500	4,500	0
15. Development of STAR Homes	0	3,000	3,000
16. Early Start Co-Pays	1,000	1,000	0
17. Ongoing Purchase of Service Policy Items	46,000	46,000	0
18. Provider Supplemental Rate Increases POS	206,173	206,173	0
19. SB 3 Minimum Wage Increase: Effective January 1, 2020	85,145	91,001	5,856
20. Uniform Holiday	50,300	49,333	-967
21. Total Policy (Items 13 thru 20)	\$386,761	\$393,872	\$7,111
22. Total POS (Items 12 and 21)	\$6,936,534	\$6,872,622	-\$63,912

Comparison of Enacted Budget to Governor's Budget
2019-20
PURCHASE OF SERVICES

	A Enacted Budget	B Governor's Budget	C 2019-20 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,154,033	\$4,112,313	-\$41,720
1. General Fund Match	2,509,062	2,487,764	-21,298
2. General Fund Other	1,644,971	1,624,549	-20,422
B. Reimbursements	\$2,746,867	\$2,724,675	-\$22,192
1. HCBS Waiver	2,115,891	2,049,652	-66,239
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	60,846	60,196	-650
4. QAF	9,855	9,750	-105
5. 1915(i) SPA	304,746	341,791	37,045
6. Early Periodic Screening Diagnosis and Treatment	28,577	31,382	2,805
7. BHT Fee-For-Services	13,531	13,736	205
8. Self-Determination Program (SDP) Waiver	0	4,747	4,747
C. Program Development Fund / Parental Fees	\$2,242	\$2,242	\$0
D. Federal Funds	\$33,392	\$33,392	\$0
Early Start/Part C Grant	33,392	33,392	0
E. Grand Total	\$6,936,534	\$6,872,622	-\$63,912

**Comparison of Enacted Budget to Governor's Budget
2020-21
PURCHASE OF SERVICES**

	A	B	C
	Enacted Budget	Governor's Budget	2020-21 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,776,202	\$1,922,024	\$145,822
2. Medical Facilities	16,929	18,439	1,510
3. Day Programs	1,161,271	1,177,460	16,189
4. Habilitation Services	161,058	157,717	-3,341
a. Work Activity Program	34,983	29,638	-5,345
b. Supported Employment Program (Group Placement)	89,235	82,972	-6,263
c. Supported Employment Program (Individual Placement)	36,840	45,107	8,267
5. Transportation	381,918	410,246	28,328
6. Support Services	1,700,447	1,761,727	61,280
7. In-Home Respite	593,833	609,249	15,416
8. Out-of-Home Respite	50,697	53,521	2,824
9. Health Care	140,356	164,179	23,823
10. Miscellaneous	557,207	614,710	57,503
11. QAF (Transfer from DHCS)	9,855	9,750	-105
12. Total POS Caseload Growth (Items 1 thru 11)	\$6,549,773	\$6,899,022	\$349,249
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-6,357	-7,135	-778
14. Community Crisis Home for Children	4,500	0	-4,500
15. Early Start Co-Pays	1,000	1,000	0
16. EBSHs with DESP	0	7,500	7,500
17. Electronic Visit Verification Penalty	0	5,089	5,089
18. Ongoing Purchase of Service Policy Items	46,000	46,000	0
19. Performance Incentive Program	0	78,000	78,000
20. Provider Supplemental Rate Increases POS	206,173	430,308	224,135
21. SB 3 Minimum Wage Increase: Effective January 1, 2020	85,145	194,754	109,609
22. SB 3 Minimum Wage Increase: Effective January 1, 2021	0	120,323	120,323
23. START Training	0	4,540	4,540
24. Uniform Holiday	50,300	51,741	1,441
25. Total Policy (Items 13 thru 24)	\$386,761	\$932,120	\$545,359
26. Total POS (Items 12 and 25)	\$6,936,534	\$7,831,142	\$894,608

**Comparison of Enacted Budget to Governor's Budget
2020-21
PURCHASE OF SERVICES**

	A	B	C
	Enacted Budget	Governor's Budget	2020-21 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,154,033	\$4,694,669	\$540,636
1. General Fund Match	2,509,062	2,863,892	354,830
2. General Fund Other	1,644,971	1,830,777	185,806
B. Reimbursements	\$2,746,867	\$3,100,801	\$353,934
1. HCBS Waiver	2,115,891	2,374,348	258,457
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	60,846	60,196	-650
4. QAF	9,855	9,750	-105
5. 1915(i) SPA	304,746	381,447	76,701
6. Early Periodic Screening Diagnosis and Treatment	28,577	31,381	2,804
7. Behavioral Health Treatment - Fee-for-Service	13,531	13,736	205
8. Self-Determination Program (SDP) Waiver	0	16,522	16,522
C. Program Development Fund / Parental Fees	\$2,242	\$2,280	\$38
D. Federal Funds	\$33,392	\$33,392	\$0
<i>Early Start/Part C Grant</i>	33,392	33,392	0
E. Grand Total	\$6,936,534	\$7,831,142	\$894,608

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SECTION B: EXECUTIVE HIGHLIGHTS

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EXECUTIVE HIGHLIGHTS

Overview

This document provides a brief, high-level summary of changes proposed in the Department's 2020-21 Governor's Budget Regional Center Estimate.

2019-20

Costs and Fund Sources

The 2019-20 updated Regional Center budget includes \$7.7 billion (\$4.7 billion General Fund [GF]), which is a net decrease of \$63.0 million (\$14.3 million GF), or 0.81 percent, as compared to the enacted budget. The primary driver of the decrease is attributed to expenditures for the January 1, 2019 Senate Bill (SB) 3 minimum wage increase coming in lower than originally estimated.

2019-20 Costs and Fund Sources <i>(Values in thousands)</i>			
	Enacted Budget	Updated 2019-20	Difference
Total Costs	\$7,798,146	\$7,735,113	(\$63,033)
Operations	840,515	841,394	879
Purchase of Services	6,936,534	6,872,622	(63,912)
Early Start - Other Agency Costs	19,094	19,094	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$7,798,146	\$7,735,113	(\$63,033)
General Fund (GF)	4,701,247	4,686,900	(14,347)
<i>GF Match</i>	2,790,442	2,741,770	(48,672)
<i>GF Other</i>	1,910,805	1,945,130	34,325
Reimbursements	3,040,187	2,991,501	(48,686)
Program Development Fund	2,242	2,242	0
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	53,580	53,580	0

Population

There is a net decrease of 114 consumers in the updated 2019-20 projections due to a slight decrease in the number of individuals served in the Early Start program.

CASELOAD	Enacted Budget	Updated 2019-20	Difference
Active (Age 3 & Older)	301,002	301,002	0
Total Early Start (Birth through 35 Months)	49,159	49,045	(114)
Total Community Caseload	350,161	350,047	(114)

Regional Center Operations

The 2019-20 budget reflects a net increase of \$879,000 in regional center operations as compared to the enacted budget. The main driver for this increase is \$1.1 million in one-time funding for regional centers to assist consumers who may be eligible for increased benefits due to the expansion of CalFresh for Supplemental Security Income/State Supplementary Payment SSI/SSP recipients.

Operations	Enacted Budget	Updated 2019-20	Difference
Core Staffing	\$655,017	\$654,646	(\$371)
Federal Compliance	48,002	48,046	44
Projects	29,346	29,521	175
ICF-DD Administration Fee	1,825	1,806	(19)
CalFresh	0	1,050	1,050
Total Operations	\$734,190	\$735,069	\$879

Purchase of Service (POS)

The updated 2019-20 POS expenditures reflect a net decrease of \$71.0 million (\$48.0 million GF), or a 1.08 percent decrease, as compared to the enacted budget. The decrease is primarily attributed to expenditures for the January 1, 2019 SB 3 minimum wage increase coming in lower than originally estimated. The estimated increase in the Community Care Facilities (CCF) category reflected below is primarily related to expenditures for individuals who have moved from a Developmental Centers.

2019-20 Purchase of Service Caseload (Utilization and Growth) <i>(Values in thousands)</i>				
	Enacted Budget	Updated 2019-20	Difference	Percent Change
Community Care Facilities	\$1,776,202	\$1,830,065	\$53,863	3.03%
Medical Facilities	16,929	18,088	1,159	6.85%
Day Programs	1,161,271	1,149,515	(11,756)	-1.01%
Habilitation	161,058	156,639	(4,419)	-2.74%
<i>Work Activity Program</i>	34,983	32,955	(2,028)	-5.80%
<i>Supported Employment Program - Group</i>	89,235	84,206	(5,029)	-5.64%
<i>Supported Employment Program - Individual</i>	36,840	39,478	2,638	7.16%
Transportation	381,918	387,712	5,794	1.52%
Support Services	1,700,447	1,616,685	(83,762)	-4.93%
In-Home Respite	593,833	557,884	(35,949)	-6.05%
Out of Home Respite	50,697	51,318	621	1.22%
Health Care	140,356	149,477	9,121	6.50%
Miscellaneous	557,207	551,617	(5,590)	-1.00%
Quality Assurance Fees	9,855	9,750	(105)	-1.07%
TOTAL	\$6,549,773	\$6,478,750	(\$71,023)	-1.08%

Policy - POS

There is a net increase of \$7.1 million (\$6.3 million GF) in policy related expenditures for 2019-20 as compared to the enacted budget. The main drivers are:

- **SB 3 minimum wage, January 1, 2020 (\$12.00 to \$13.00 per hour)** – Increase of \$5.9 million (\$4.1 million GF).
- **Stabilization, Training, Assistance, Reintegration (STAR) Homes** - Transfer of \$3.0 million GF from State Operations to Local Assistance for the development of the two community STAR homes in the Central Valley.

2019-20 Purchase of Services Policy <i>(Values in thousands)</i>			
	Enacted Budget	Updated 2019-20	Difference
BHT - Consumers with no ASD	(\$6,357)	(\$7,135)	(\$778)
Community Crisis Homes for Children	4,500	4,500	0
Development of STAR Homes	0	3,000	3,000
Early Start Co-Pays	1,000	1,000	0
Ongoing Policy Items	46,000	46,000	0
Provider Supplemental Rate Increase	206,173	206,173	0
SB 3 Minimum Wage Increase, Effective January 1, 2020	85,145	91,001	5,856
Uniform Holiday Schedule	50,300	49,333	(967)
Total POS Policy	\$386,761	\$393,872	\$7,111

Reimbursements

The 2019-20 reimbursements reflect an overall decrease of \$48.7 million (1.57 percent) as compared to the enacted budget. The majority of the decrease is attributable to lower than estimated expenditures related to the January 1, 2019 SB 3 minimum wage increase and a decrease in estimated reimbursements for Targeted Case Management.

2019-20 Reimbursements and Federal Funds <i>(Values in thousands)</i>			
	Enacted Budget	Updated 2019-20	Difference
Home and Community-Based Services (HCBS) Waiver	\$2,115,891	\$2,049,652	\$(66,239)
HCBS Waiver Administration	19,237	18,094	(1,143)
Medicaid Administration	17,908	17,653	(255)
Targeted Case Management (TCM)	247,125	220,825	(26,300)
TCM Administration	8,137	8,301	164
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	60,846	60,196	(650)
QAF	10,768	10,653	(115)
1915(i) SPA	304,746	341,791	37,045
EPSDT	28,577	31,382	2,805
Behavioral Health Treatment Fee-for-Service	13,531	13,736	205
Self-Determination Program Waiver	0	4,747	4,747
Cal Fresh	0	1,050	1,050
Federal Funds			
Early Start/Part C Grant	52,486	52,486	0
Foster Grandparent Program	1,094	1,094	0
TOTAL	\$3,093,767	\$3,045,081	(\$48,686)

2020-21**Costs and Fund Sources**

The 2020-21 Governor's Budget includes \$8.8 billion (\$5.3 billion GF) which is a net increase of \$1.0 billion (\$627.2 million GF) as compared to the updated current year budget.

2020-21 Costs and Fund Sources <i>(Values in thousands)</i>			
	Updated 2019-20	2020-21	Difference
Total Costs	\$7,735,113	\$8,751,047	\$1,015,934
Operations	841,394	898,808	57,414
Purchase of Services	6,872,622	7,831,142	958,520
Early Start - Other Agency Costs	19,094	19,094	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$7,735,113	\$8,751,047	\$1,015,934
General Fund (GF)	4,686,900	5,314,052	627,152
<i>GF Match</i>	<i>2,741,770</i>	<i>3,131,567</i>	<i>389,797</i>
<i>GF Other</i>	<i>1,945,130</i>	<i>2,182,485</i>	<i>237,355</i>
Reimbursements	2,991,501	3,380,245	388,744
Program Development Fund	2,242	2,280	38
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	53,580	53,580	0

Population

The estimated 2020-21 population is 368,622, reflecting an increase of 18,575 (5.3 percent), as compared to the updated current year budget. This increased projection is comprised of 14,455 active consumers and 4,120 individuals receiving Early Start services.

	Updated 2019-20	2020-21	Difference
CASELOAD			
Active (Age 3 & Older)	301,002	315,457	14,455
Early Start (Birth through 35 Months)	49,045	53,165	4,120
Total Community Caseload	350,047	368,622	18,575

Regional Center Operations

The 2020-21 regional center operation reflects a net increase of \$40.1 million (\$33.1 million GF), or a 5.46 percent, as compared to the updated current year budget. The increase is mainly attributable to the projected increase in population.

Operations	Updated 2019-20	2020-21	Difference
Core Staffing	\$654,656	\$694,950	\$40,304
Federal Compliance	48,046	48,266	220
Projects	29,521	30,193	672
ICF-DD - Administration Fee	1,806	1,806	0
CalFresh	1,050	0	(1,050)
Total Operations	\$735,069	\$775,215	\$40,146

Policy – Regional Center Operations

There is a net increase of \$17.3 million as compared to the updated current year budget. The 2020-21 Governor's Budget includes the following proposed policy revisions:

- **Enhanced caseload ratios for consumers ages three and four** – Increase of \$16.5 million (\$11.2 million GF) to provide a 1:45 service coordinator to consumer caseload ratio for consumers who are three and four years old.
- **Specialized home monitoring** – Increase of \$746,000 (\$508,000 GF) to provide required monitoring of additional specialized homes that will become operational in 2020-21.

2020-21 Operation Policy (Values in thousands)			
	Updated 2019-20	2020-21	Difference
DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
Enhanced Caseload Ratios for Consumers Ages Three and Four	0	16,522	16,522
Family Home Agency Oversight	1,619	1,619	0
Ongoing Operations Policy Items	85,247	85,247	0
Specialized Caseload Ratios	3,800	3,800	0
Specialized Home Monitoring	5,757	6,503	746
Trauma Informed Services for Foster Youth	1,600	1,600	0
Total Operations Policy	\$106,325	\$123,593	\$17,268

Purchase of Service (POS)

The 2020-21 POS budget reflects an increase of \$420.3 million (\$263.4 million GF), or a 6.49 percent increase, as compared to the updated current year budget. The increase is primarily attributable to expenditure growth in the following categories: Community Care Facilities, Support Services, In-Home Respite and Miscellaneous. Estimated expenditure growth in Support Services is mainly due to growth in Supported Living Services, Personal Assistance, and Community Integration Training Program. For Support Services overall, 60 percent of the increase is due to higher caseload and 40 percent reflects higher per capita expenditures.

2020-21 Purchase of Service Caseload (Utilization and Growth) <i>(Values in thousands)</i>				
	Updated 2019-20	2020-21	Difference	Percent Change
Community Care Facilities	\$1,830,065	\$1,922,024	\$91,959	5.02%
Medical Facilities	18,088	18,439	351	1.94%
Day Programs	1,149,515	1,177,460	27,945	2.43%
Habilitation	156,639	157,717	1,078	0.69%
<i>Work Activity Program</i>	32,955	29,638	(3,317)	-10.07%
<i>Supported Employment Program - Group</i>	84,206	82,972	(1,234)	-1.47%
<i>Supported Employment Program - Individual</i>	39,478	45,107	5,629	14.26%
Transportation	387,712	410,246	22,534	5.81%
Support Services	1,616,685	1,761,727	145,042	8.97%
In-Home Respite	557,884	609,249	51,365	9.21%
Out of Home Respite	51,318	53,521	2,203	4.29%
Health Care	149,477	164,179	14,702	9.84%
Miscellaneous	551,617	614,710	63,093	11.44%
Quality Assurance Fees	9,750	9,750	0	0.00%
TOTAL	\$6,478,750	\$6,899,022	\$420,272	6.49%

Policy - POS

There is a net increase of \$538.2 million (\$319.0 million GF) as compared to the updated current year budget for the following items:

- **Community Crisis Homes for Children** – Decrease of \$4.5 million GF in one-time start-up funding.
- **Development of STAR Homes** – Decrease of \$3.0 million GF in one-time start-up funding to develop two STAR homes in Central CA.
- **Enhanced Behavioral Supports Homes (EBSH) with Delayed Egress and Secured Perimeter (DESP)** – Increase of \$7.5 million GF to develop five EBSHs with DESP to reduce reliance on restrictive settings and provide opportunities for individuals to move to the community, with appropriate and necessary supports.
- **Electronic Visit Verification (EVV) Penalty** – Increase of \$5.1 million GF in estimated federal financial payment penalties for applicable services.
- **Performance Incentive Program** – Increase of \$78.0 million (\$60.0 million GF) to establish a Performance Incentive Program for Developmental Services administered through Regional Centers. The Performance Incentive Program will align with each Regional Center's performance contract and require Regional Centers to meet an advanced tier of performance measures and outcomes to receive incentive payments.
- **Provider Supplemental Rate Increase (Effective January 2020)** – Increase of \$206.2 million (\$124.5 million GF) reflects a full year impact compared to a half year in the current year.
- **Additional Provider Supplemental Rate Increase (effective January 2021)** – Increase of \$18 million (\$10.8 million GF) to increase rates for three additional services: Early Start Specialized Therapeutic Services, Independent Living Program, and Infant Development Program. The budget assumes the rate increases will be effective January 2021 upon approval of federal funding.
- **SB 3 Minimum Wage, January 1, 2020 (\$12.00 to \$13.00 per hour)** – Increase of \$103.8 million (\$53.2 million GF) reflects a full year impact compared to a half year in the current year.
- **SB 3 Minimum Wage, January 1, 2021 (\$13.00 to \$14.00 per hour)** – Increase of \$120.3 million (\$61.4 million GF) reflects a projected half-year impact due to the increased minimum wage.
- **Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training** – Increase of \$4.5 million (\$2.6 million GF) to support individuals in their current residential arrangement and prevent disruptions and admissions into more restrictive settings, such as Institutions for Mental Diseases, out-of-state services, acute psychiatric settings, Community Crisis Homes and STAR services through the provision of 24-hour crisis services and planning, and by providing training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

- **Uniform Holiday Schedule** – Increase of \$2.4 million (\$1.4 million GF) to reflect actual expenditures through 2018-19.

2020-21 Purchase of Service Policy <i>(Values in thousands)</i>			
	Updated 2019-20	2020-21	Difference
BHT - Consumers with no ASD	(\$7,135)	(\$7,135)	\$0
Community Crisis Home for Children	4,500	0	(4,500)
Development of STAR Homes	3,000	0	(3,000)
Early Start Co-Pays	1,000	1,000	0
EBSH with DESP	0	7,500	7,500
Electronic Visit Verification Penalty	0	5,089	5,089
Ongoing Purchase of Service Policy Items	46,000	46,000	0
Performance Incentive Program	0	78,000	78,000
Provider Supplemental Rate Increases	206,173	430,308	224,135
SB 3 Minimum Wage Increase, January 1,2020	91,001	194,754	103,753
SB 3 Minimum Wage Increase, January 1,2021	0	120,323	120,323
START Training	0	4,540	4,540
Uniform Holiday Schedule	49,333	51,741	2,408
Total POS Policy	\$393,872	\$932,120	\$538,248
TOTAL	\$500,197	\$1,055,713	\$555,516

Reimbursements

The 2020-21 reimbursements reflect an increase of \$388.7 million as compared to updated current year. The key reason for the increased reimbursement is additional expenditures through the HCBS Waiver, 1915(i) SPA and Targeted Case Management programs.

2020-21 Reimbursements and Federal Funds <i>(Values in thousands)</i>			
	Updated 2019-20	2020-21	Difference
HCBS Waiver	\$2,049,652	\$2,374,348	\$324,696
HCBS Waiver Administration	18,094	18,461	367
Medicaid Administration	17,653	17,653	0
TCM	220,825	234,126	13,301
TCM Administration	8,301	8,301	0
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	60,196	60,196	0
QAF	10,653	10,653	0
1915(i) SPA	341,791	381,447	39,656
EPSDT	31,382	31,381	(1)
DHCS Reimbursement for BHT Fee-for-Service	13,736	13,736	0
Self-Determination Program Waiver	4,747	16,522	11,775
CalFresh	1,050	0	(1,050)
Federal Funds			
Early Start/Part C Grant	52,486	52,486	0
Foster Grandparent	1,094	1,094	0
TOTAL	\$3,045,081	\$3,433,825	\$388,744

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SECTION C: NEW/REVISED POLICY

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NEW MAJOR ASSUMPTIONS

Development of STAR Homes

The Budget Act of 2019 included General Fund (GF) for the Department of Developmental Services (Department), within State Operations to develop two community Stabilization, Training, Assistance, and Reintegration (STAR) homes for the Central California region.

The funding to support the development of these homes will be transferred from State Operations to Local Assistance to allow the Department to work with a regional center, to develop two community STAR homes in Central California. The Department will work with a regional center to identify property and community options.

Enhanced Behavioral Supports Home with Delayed Egress/Secured Perimeters

Enhanced Behavioral Supports Homes (EBSH) utilizing Delayed Egress with Secured Perimeters (DESP) are a living option that provides 24-hour, nonmedical, intensive supports to individuals with developmental disabilities who require enhanced behavior supports, staff with enhanced training and supervision in a community setting. Each EBSH provides services to no more than four individuals. The Department is proposing trailer bill language to amend existing statute and raise the cap for the number of EBSH homes that can utilize DESP from six to 11 homes.

Due to the development time, the homes are anticipated to begin operation in 2021-22. While the additional homes will be available to provide support to individuals in various restrictive settings, priority for these homes is to support individuals, residing in or at risk for the Secured Treatment Program (STP) at Porterville Developmental Center (PDC).

Enhanced Caseload Ratios for Consumers Ages Three and Four

Regional centers are currently funded to provide a 1:45 service coordinator (SC) to consumer caseload ratio for individuals receiving Early Start services. However, the ratio increases to 1:66 for individuals at age three if they are determined eligible to receive ongoing regional center services. SCs provide essential case management services to individuals and their families when transitioning from Early Start to ongoing regional center services and to families just entering into regional center services at age three or four. By reducing caseload ratios for this target population, families will receive enhanced support as they navigate various and new service systems to meet their children's needs. Examples of such supports include, but are not limited to, Medi-Cal, private health insurance, health coordination and school services. The complexity of these multiple systems can create challenges for families entering regional center services and navigating and accessing needed supports. Additionally, with the enhanced ratios regional centers can further the commitment to delivery of service coordination that is culturally and linguistically sensitive as well as supportive of children in foster care. The Department proposes to establish a 1:45 service coordinator to consumer ratio for children ages three and four.

Electronic Visit Verification Penalty

Electronic Visit Verification (EVV) is a telephone and computer-based system that electronically verifies in home service visits. EVV systems must verify the type of service performed; the individual receiving the service; date of the service; location of service delivery; the individual providing the services; and time the service begins and ends. Pursuant to Subsection I of Section 1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for Medicaid-funded Personal Care Services by January 1, 2021 and Home Health Care Services by January 1, 2023. The state is subject to incremental Federal Medical Assistance Percentage reductions of up to 1 percent unless the state has both made a “good faith effort” to comply and has encountered “unavoidable delays.”

Performance Incentive Program

The Department proposes to establish a Performance Incentive Program for Developmental Services administered through the regional centers. The Performance Incentive Program will align with each regional center's performance contract and require regional centers to meet an advanced tier of performance measures and outcomes to receive incentive payments.

The goals of the program are: (1) focusing on a quality system that values personal outcome goals for people, such as an improved life or meaningful activities; (2) developing service options to better meet the needs of individuals and families in a person-centered way; (3) promoting the most integrated community settings; and (4) increasing the number of individuals who are competitively employed.

START Training

The Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training model is a comprehensive approach to crisis prevention and intervention for people with developmental disabilities and co-occurring mental health conditions. This model provides wraparound services that support individuals at-risk for acute crisis or loss of residential placement, and individuals who are currently experiencing crisis. The START model has demonstrated positive outcomes in other states with published scientific papers reporting reductions in psychiatric hospitalizations and emergency room visits, improved mental health symptoms, reductions in challenging behavior, and maintaining residential placements in the community. The University of New Hampshire Center for START Services provides training and certification in the START model to community providers who make up the local START teams. Each local START team consists of a program director, clinical and medical directors, START coordinators, therapeutic in-home coaches, coordinator and coaching team leads, and administrative support. When an individual residing in the community experiences a crisis, regional centers attempt to support the individual in their current living arrangement, and if that is no longer adequate or appropriate to meet the individual's need, they seek more intensive services such as intensive licensed residential care, a Community Crisis Home, and state-operated acute crisis services. If these services are unavailable, an Institution for Mental Diseases or out-of-state residential services may be the only available options.

The goal of START services is to help support individuals in their current residential arrangement and prevent admissions into more restrictive settings by providing training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

Funding for start-up operating costs will cover three months of costs associated with the local provider team: hiring and training staff, renting office space, acquiring materials and equipment, etc. before the team is prepared to accept consumers and begin providing services.

First, second, and third year training costs cover all aspects of the START model training provided by the University of New Hampshire Center for START services to the local START team. This includes personnel costs, travel, printed materials, online training platform, data management, and technical support, as well as quarterly evaluations of the local START teams using collected data, and an annual independent, comprehensive evaluation.

REVISED MAJOR ASSUMPTIONS

Provider Supplemental Rate Increases (Purchase of Services)

The 2019-20 enacted budget provides provider supplemental rate increases across a broad array of service codes. The increased funding provides an increase of up to 8.2 percent for the majority of service codes identified in the developmental services rate study. The Department received federal approval on December 19, 2019 to implement the rate adjustment effective January 1, 2020.

The Department is proposing additional funding consistent with the 8.2 percent increase for supplemental rate increases for Early Start Specialized Therapeutic Services, Independent Living Program, and Infant Development Program services in the 2020-21 Governor's Budget. Pending federal approval, implementation is projected to begin January 1, 2021.

Additionally, it is proposed that funding for all provider supplemental rate increases will sunset on June 30, 2023. The suspension will be lifted if the Administration determines in May 2022 that there is sufficient General Fund revenue to support all of the suspended programs in the subsequent two fiscal years.

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SECTION D: FUTURE FISCAL ISSUES

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FUTURE FISCAL ISSUES

Centers for Medicare and Medicaid Services (CMS) Final Regulations for Home and Community-Based Services (HCBS)

The Department administers both a 1915(c) Waiver (the HCBS Waiver for Persons with Developmental Disabilities) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 225,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated in and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019, to maintain waiver and state plan funding (estimated at \$2.8 billion for the Department in 2020-21). However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, it extended compliance date by three years to March 17, 2022.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations. Included in the STP is a description of the process to assess settings where services are provided to determine what changes, if any, are needed for providers to comply with the HCBS regulations. The Department is currently working with a contractor to provide training and information for all stakeholders regarding the HCBS regulations. This training is in advance of the provider assessment process that will begin in calendar year 2020. Consistent with prior years, the fiscal year 2020-21 budget contains \$15 million to assist providers in making changes needed to comply with the HCBS regulations.

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Population*2019-20*

<i>Estimated Population as of January 2020</i>				
	<i>ENACTED BUDGET 2019-20</i>	<i>GOVERNOR'S BUDGET 2019-20</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	301,002	301,002	0	0.00%
B. Total Early Start (Birth through 35 Months)	49,159	49,045	-114	-0.23%
C. Total Community Population	350,161	350,047	-114	-0.03%

2020-21

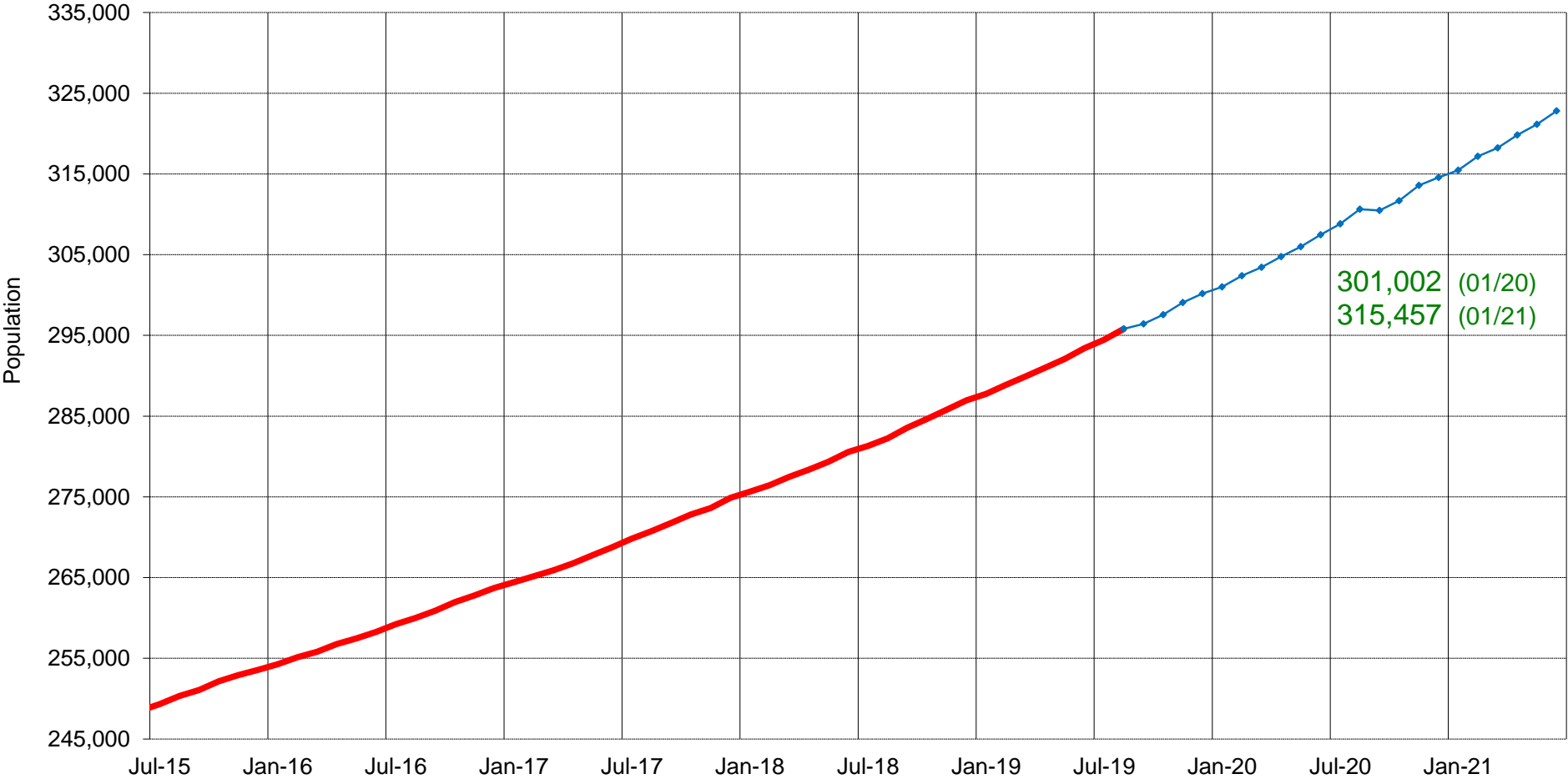
<i>Estimated Population as of January 2021</i>				
	<i>ENACTED BUDGET 2019-20</i>	<i>GOVERNOR'S BUDGET 2020-21</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	301,002	315,457	14,455	4.80%
B. Total Early Start (Birth through 35 Months)	49,159	53,165	4,006	8.15%
C. Total Community Population	350,161	368,622	18,461	5.27%

Population

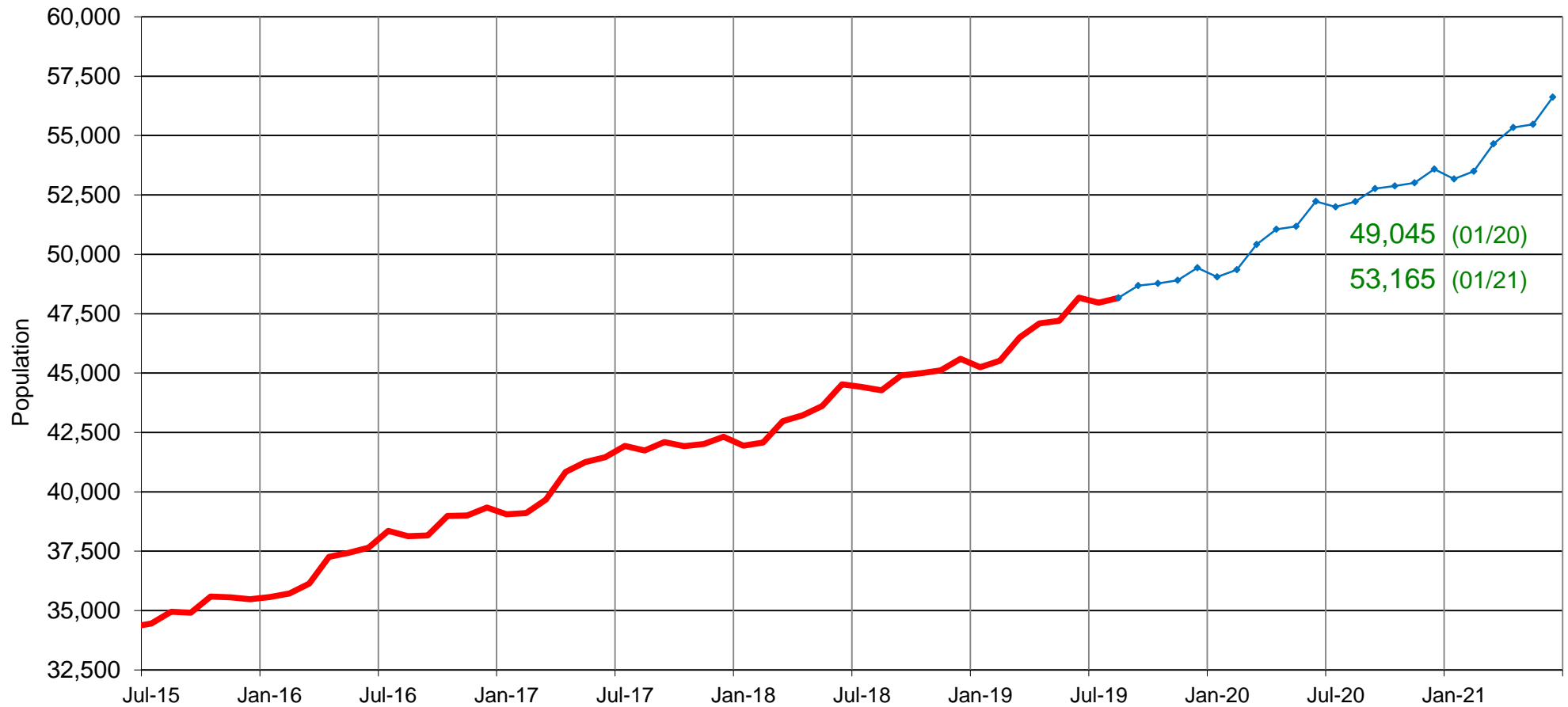
2019-20 vs. 2020-21

	2019-20	2020-21		
	<i>Estimated Population as of Jan. 2020</i>	<i>Estimated Population as of Jan. 2021</i>	<i>Annual Change</i>	<i>Percent Annual Change</i>
A. Active Status (Age 3 & Older)	301,002	315,457	14,455	4.80%
B. Total Early Start (Birth through 35 Months)	49,045	53,165	4,120	8.40%
C. Total Community Population	350,047	368,622	18,575	5.31%

Active Status Population
(Age 3 & Older)
Client Master File Trend Analysis



Early Start (Birth through 35 Months) Client Master File Trend Analysis



Community Population

(Active Status and Early Start)

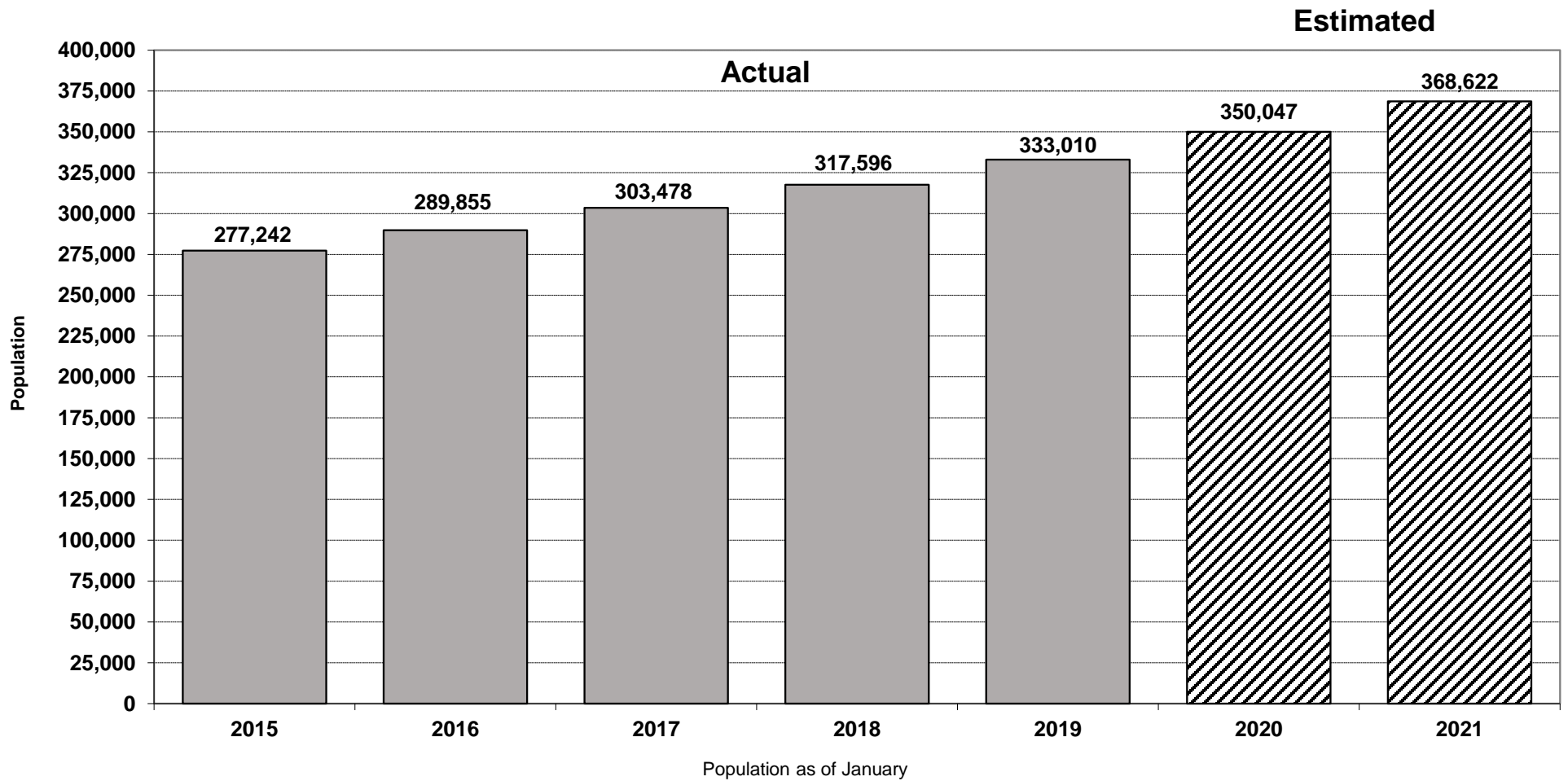


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Operations**POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY**
Comparison of the Enacted Budget to the 2020-21 Governor's Budget
2019-20

	Enacted Budget	Governor's Budget	Request
<u>POPULATION</u>			
Active Status (Age 3 & Older)	301,002	301,002	0
Early Start (Birth through 35 Months)	49,159	49,045	-114
Total Population	350,161	350,047	-114
<u>OPERATIONS - CASELOAD GROWTH</u>			
I. STAFFING			
A. Core Staffing	\$679,444	\$679,230	-\$214
1. <i>Personal Services and Operating Expenses</i>	606,037	605,823	-214
2. <i>Regional Center Rent</i>	73,407	73,407	0
B. Enhanced Caseload Ratio 1:45 for Two Years	293	136	-157
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. CalFresh	0	1,050	1,050
F. Less: Reductions	-41,878	-41,878	0
G. Total Staffing	\$655,017	\$655,696	\$679
II. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,581	12,625	44
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$48,002	\$48,046	\$44
III. PROJECTS			
A. Information Technology Costs:	4,112	4,112	0
1. <i>Regional Center Application Support</i>	2,862	2,862	0
2. <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,483	7,483	0
C. Quality Assessment Contract	4,500	4,500	0
D. Direct Support Professional Training	3,037	3,212	175
E. Office of Administrative Hearings Contract	3,525	3,525	0
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,864	3,864	0
H. Special Incident Reporting/Risk Assessment Contract	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	10	10	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Affordable Housing	125	125	0
M. Review of SB 1175 Housing Proposals	150	150	0
N. Total Projects	\$29,346	\$29,521	\$175
IV. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES	\$1,825	\$1,806	-\$19
V. GRAND TOTAL - OPERATIONS CASELOAD GROWTH	\$734,190	\$735,069	\$879

Operations**POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY**
Comparison of the Enacted Budget to the 2020-21 Governor's Budget
2020-21

	Enacted Budget	Governor's Budget	Request
<u>POPULATION</u>			
Active Status (Age 3 & Older)	301,002	315,457	14,455
Early Start (Birth through 35 Months)	49,159	53,165	4,006
Total Population	350,161	368,622	18,461
<u>OPERATIONS - CASELOAD GROWTH</u>			
I. STAFFING			
A. Core Staffing	\$679,444	\$719,600	\$40,156
1. <i>Personal Services and Operating Expenses</i>	606,037	641,166	35,129
2. <i>Regional Center Rent</i>	73,407	78,434	5,027
B. Enhanced Caseload Ratio 1:45 for Two Years	293	70	-223
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. CalFresh	0	0	0
F. Less: Reductions	-41,878	-41,878	0
G. Total Staffing	\$655,017	\$694,950	\$39,933
II. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,581	12,845	264
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$48,002	\$48,266	\$264
III. PROJECTS			
A. Information Technology Costs:	4,112	4,212	100
1. <i>Regional Center Application Support</i>	2,862	2,962	100
2. <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,483	7,880	397
C. Quality Assessment Contract	4,500	4,500	0
D. Direct Support Professional Training	3,037	3,212	175
E. Office of Administrative Hearings Contract	3,525	3,700	175
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,864	3,864	0
H. Special Incident Reporting/Risk Assessment Contract	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	10	10	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Affordable Housing	125	125	0
M. Review of SB 1175 Housing Proposals	150	150	0
N. Total Projects	\$29,346	\$30,193	\$847
IV. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES	\$1,825	\$1,806	-\$19
V. GRAND TOTAL - OPERATIONS CASELOAD GROWTH	\$734,190	\$775,215	\$41,025

Staffing Expenditures

DESCRIPTION:

Staffing includes personal services and operating expenses for Core Staffing, Rent, Community Placement Plan, and Placement Continuation.

ASSUMPTIONS:

	<u>2019-20</u>	<u>2020-21</u>
• Population Projections: (See Section E, Population, for detail)		
• Active Status (Age 3 and Older)	301,002	315,457
• Early Start (Birth through 35 Months)	49,045	53,165
Subtotal:	350,047	368,622
• Developmental Center Population:	<u>297</u>	<u>322</u>
Total Population:	350,344	368,944
• Informational:		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	135,085	137,923
• Early Start (with Assessment)	55,910	60,899
• Placement Continuation Consumers	106	55
• Intake cases per month	7,583	7,994
• Vendors	44,158	44,158
• Mediations per year	400	400

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
CORE STAFFING:		
PERSONAL SERVICES:		
• Direct Services and Administrative Positions:	\$465,632	\$493,668
Governor's Budget		
2019-20 12,878		
2020-21 13,487		
See Attachment A for Core Staffing Expenditure Detail.		
See Attachment B for Core Staffing Formulas.		
• Fringe Benefits:	110,355	116,999
Calculated at 23.7% per position.		

Staffing Expenditures

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
• Salary Savings:	-\$19,418	-\$20,712
<i>Client Program Coordinators: 1.0% Per Position</i>	-2,725	-2,861
<i>All Other Staff: 5.5% Per Position</i>	-16,693	-17,851
• Early Start / Part C Administrative and Clinical Support:	694	694
Includes salaries, fringe benefits, and salary savings.		
TOTAL PERSONAL SERVICES:	\$557,263	\$590,649
OPERATING EXPENSES:		
• Operating Expenses:		
Base amount plus the following adjustments:	48,560	50,517
Professional Positions: \$3,400		
Clerical Positions: \$2,400		
• Rent:	73,407	78,434
See Attachment C for rent details.		
TOTAL OPERATING EXPENSES:	\$121,967	\$128,951
TOTAL CORE STAFFING:	\$679,230	\$719,600
• Enhanced Caseload Ratio 1:45 for Two Years:	136	70
Community Placement Plan:		
• See Community Placement Plan Methodology for details.	15,265	15,265
STAFFING FOR COLLECTION OF FEDERAL FINANCIAL PARTICIPATION (FFP) FOR CONTRACTED SERVICES:		
• Funding provides one Community Program Specialist I and one Account Clerk II for each Regional Center	1,893	1,893
CalFresh		
• See CalFresh Methodology in Fund Sources for details.	1,050	0
LESS REDUCTIONS:	-41,878	-41,878
TOTAL EXPENDITURES:	\$655,696	\$694,950

Staffing Expenditures

FUNDING:

Funding for Staffing expenditures are comprised of reimbursements from Medicaid Administration (75% FFP, 25% General Fund [GF] Match), Home and Community Based Services Waiver Administration (50% FFP, 50% GF Match), Targeted Case Management (50% FFP, 50% GF Match), Targeted Case Management Administration (50% FFP, 50% GF Match), and CalFresh (100% Reimbursements). State GF expenditures are comprised of GF matches with federally-eligible reimbursements and funds that are not eligible for FFP.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decrease is due to an adjustment to the caseload growth estimate.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increase of \$39.3 million (\$32.4 million GF) is due to a \$33.4 million increase in personal services and a \$2.0 million increase in operating expenses due to year-over-year caseload growth. Rent reflects a \$5.0 million increase due to proposed expansions and satellite offices. Offset by a slight decrease in the Enhanced Caseload Ratio estimate due to updated CPP counts.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$655,696	\$694,950
GF	436,875	469,275
Reimbursement	218,821	225,675

Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Enacted Budget to the 2020-21 Governor's Budget

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(a) Physician	\$13,890,657	175.17	\$79,271	\$13,885,901	-\$4,756
(b) Psychologist	14,632,689	350.34	41,754	14,628,096	-4,593
(c) Nurse	6,513,474	175.17	37,171	6,511,244	-2,230
(d) Nutritionist	4,929,220	175.17	28,130	4,927,532	-1,688

(2) Clinical Support Teams

(a) Physician/Psychiatrist	8,098,992	88.00	92,034	8,098,992	0
(b) Consulting Pharmacist	5,319,600	88.00	60,450	5,319,600	0
(c) Behavioral Psychologist	4,837,536	88.00	54,972	4,837,536	0
(d) Nurse	4,442,064	88.00	50,478	4,442,064	0

(3) SB 1038 Health Reviews

(a) Physician	2,995,707	32.38	92,034	2,980,061	-15,646
(b) Nurse	7,668,618	151.09	50,478	7,626,721	-41,897

b. Intake / Case Management

(1) Supervising Counselor (Intake)					
(1:10 Intake Workers in Item (2) below)	4,120,440	108.33	38,036	4,120,440	0
(2) Intake Worker	34,158,300	1,083.29	31,532	34,158,300	0
(3) Supervising Counselor (Case Management)					
(1:10 CPCs in Items (6), (7) and (8) below)	28,986,398	553.12	52,392	28,979,063	-7,335
(4) Supervising Counselor (Capitol People First)					
(DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers					
Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	85,894,045	2,512.96	34,032	85,521,055	-372,990
(7) CPC (Waiver, Early Start only), 1:62 Consumers	100,746,631	2,969.84	34,032	101,069,595	322,964
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical					
Care Regulations (1:10 CPCs)	77,540	1.54	52,392	80,684	3,144
(10) CPC, DSS Incidental Medical Care Regs	559,795	15.44	37,824	584,003	24,208

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,313,474	44.16	52,392	2,313,631	157
(2) CPC	15,027,510	441.57	34,032	15,027,510	0

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	1,795,474	34.07	52,392	1,784,995	-10,479
(b) CPC	11,663,107	340.67	34,032	11,593,681	-69,426
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039					
Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	2,096	0.07	52,392	3,667	1,571
(b) CPC (Supplement at 1:45 Consumers)	14,293	0.65	34,032	22,121	7,828

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	476,767	9.10	52,392	476,767	0
(2) QA/CPC	3,097,933	91.01	34,032	3,097,252	-681
(3) Nurses	2,297,759	45.50	50,478	2,296,749	-1,010

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

	\$379,175,386	9983.25		\$379,002,527	-\$172,859
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Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Enacted Budget to the 2020-21 Governor's Budget

	Enacted Budget	2020-21 Governor's Budget			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	1,279,698	21.00	60,938	1,279,698	0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,360,015	135.08	39,887	5,387,936	27,921
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,525,892	56.72	26,902	1,525,892	0
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	11,693,708	437.93	26,694	11,689,970	-3,738
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	555,408	21.00	26,448	555,408	0
(2) PBX/Mail/File Clerk	1,681,544	63.00	26,691	1,681,544	0
(3) Executive Secretary	1,401,287	52.50	26,691	1,401,287	0
(4) MD/Psychologist Secretary II	430,407	16.19	26,438	428,028	-2,379
(5) MD/Psychologist Secretary I	7,015,510	262.76	26,691	7,013,375	-2,135
(6) Secretary II	5,064,948	191.86	26,438	5,072,351	7,403
(7) Secretary I	36,857,454	1,353.60	27,214	36,836,771	-20,683
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$86,623,044	2,894.48		\$86,629,433	\$6,389
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$465,798,430	12,877.73		\$465,631,960	-\$166,470
a. CPCs	220,365,124			220,277,027	-88,097
b. All Other Staff	245,433,306			245,354,933	-78,373
4. Fringe Benefits					
a. CPCs 23.7%	52,226,534			52,205,655	-20,879
b. All Other Staff 23.7%	58,167,694			58,149,119	-18,575
c. Total Fringe Benefits	\$110,394,228			\$110,354,774	-\$39,454
5. Salary Savings					
a. CPCs 1.0%	-2,725,917			-2,724,827	1,090
b. All Other Staff 5.5%	-16,698,055			-16,692,723	5,332
c. Total Salary Savings	-\$19,423,972			-\$19,417,550	\$6,422
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$557,462,686			\$557,263,184	-\$199,502
ROUNDED	\$557,463,000	12,878.00		\$557,263,000	-\$200,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	48,574,000			48,560,000	-14,000
2. Rent	73,407,000			73,407,000	0
3. Subtotal Operating Expenses and Rent	\$121,981,000			\$121,967,000	-\$14,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$679,444,000			\$679,230,000	-\$214,000

Attachment A
CORE STAFFING ESTIMATE - 2020-21**Comparison of the Enacted Budget to the 2020-21 Governor's Budget****I. CORE STAFFING FORMULA****A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(a) Physician	184.46	\$79,271	\$14,622,329	\$731,672
(b) Psychologist	368.92	41,754	15,403,886	771,197
(c) Nurse	184.46	37,171	6,856,563	343,089
(d) Nutritionist	184.46	28,708	5,295,471	366,251

(2) Clinical Support Teams

(a) Physician/Psychiatrist	92.00	92,034	8,467,128	368,136
(b) Consulting Pharmacist	92.00	60,450	5,561,400	241,800
(c) Behavioral Psychologist	92.00	54,972	5,057,424	219,888
(d) Nurse	92.00	50,478	4,643,976	201,912

(3) SB 1038 Health Reviews

(a) Physician	33.87	92,034	3,117,192	121,485
(b) Nurse	158.04	50,478	7,977,543	308,925

b. Intake / Case Management

(1) Supervising Counselor (Intake)					
(1:10 Intake Workers in Item (2) below)	4,120,440	114.20	38,036	4,343,711	223,271
(2) Intake Worker	34,158,300	1,141.97	31,532	36,008,598	1,850,298
(3) Supervising Counselor (Case Management)					
(1:10 CPCs in Items (6), (7) and (8) below)	28,986,398	581.99	52,392	30,491,620	1,505,222
(4) Supervising Counselor (Capitol People First)					
(DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers					
Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers(Total Pop w/o DCs, CPP, ES)	85,894,045	2,689.42	34,032	91,526,341	5,632,296
(7) CPC (Waiver, Early Start only), 1:62 Consumers	100,746,631	3,082.06	34,032	104,888,666	4,142,035
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical					
Care Regulations (1:10 CPCs)	77,540	1.64	52,392	85,923	8,383
(10) CPC, DSS Incidental Medical Care Regs	559,795	16.40	37,824	620,314	60,519

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,313,474	44.16	52,392	2,313,631	157
(2) CPC	15,027,510	441.57	34,032	15,027,510	0

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start / Part C

(a) Supervising Counselor	1,795,474	37.11	52,392	1,944,267	148,793
(b) CPC	11,663,107	371.07	34,032	12,628,254	965,147
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039					
Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	2,096	0.03	52,392	1,572	-524
(b) CPC (Supplement at 1:45 Consumers)	14,293	0.33	34,032	11,231	-3,062

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	476,767	9.47	52,392	496,152	19,385
(2) QA/CPC	3,097,933	94.72	34,032	3,223,511	125,578
(3) Nurses	2,297,759	47.36	50,478	2,390,638	92,879

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

\$379,175,386	10,476.32		\$397,620,118	\$18,444,732
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Attachment A
CORE STAFFING ESTIMATE - 2020-21
Comparison of the Enacted Budget to the 2020-21 Governor's Budget

	Enacted Budget	2020-21 Governor's Budget			Difference
		Positions	Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	1,279,698	21.00	\$60,938	1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,360,015	137.92	39,887	5,501,215	141,200
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,525,892	56.72	29,331	1,663,643	137,751
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	28,587	600,333	15,693
(7) Account Clerk	11,693,708	461.16	29,122	13,430,042	1,736,334
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	555,408	21.00	28,877	606,409	51,001
(2) PBX/Mail/File Clerk	1,681,544	63.00	29,120	1,834,547	153,003
(3) Executive Secretary	1,401,287	52.50	29,120	1,528,789	127,502
(4) MD/Psychologist Secretary II	430,407	16.94	28,866	488,996	58,589
(5) MD/Psychologist Secretary I	7,015,510	276.69	29,120	8,057,155	1,041,645
(6) Secretary II	5,064,948	194.40	28,866	5,611,624	546,676
(7) Secretary I	36,857,454	1,426.09	29,643	42,272,922	5,415,468
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$86,623,044	3,010.26		\$96,047,906	\$9,424,862
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$465,798,430	13,486.58		\$493,668,024	\$27,869,594
a. CPCs	220,365,124			231,287,637	10,922,513
b. All Other Staff	245,433,306			262,380,387	16,947,081
4. Fringe Benefits					
a. CPCs 23.7%	52,226,534			54,815,170	\$2,588,636
b. All Other Staff 23.7%	58,167,694			62,184,152	4,016,458
c. Total Fringe Benefits	\$110,394,228			\$116,999,322	\$6,605,094
5. Salary Savings					
a. CPCs 1.0%	-2,725,917			-2,861,028	-\$135,111
b. All Other Staff 5.5%	-16,698,055			-17,851,050	-1,152,995
c. Total Salary Savings	-\$19,423,972			-\$20,712,078	-\$1,288,106
6. Early Start / Part C Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$557,462,686			\$590,649,268	\$33,186,582
ROUNDED	\$557,463,000	13,487.00		\$590,649,000	\$33,186,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	48,574,000			50,517,000	1,943,000
2. Rent	73,407,000			78,434,000	5,027,000
3. Subtotal Operating Expenses and Rent	\$121,981,000			\$128,951,000	\$6,970,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$679,444,000			\$719,600,000	\$40,156,000

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES</u>	
1. DIRECT SERVICES	
a. <u>Clinical</u>	
(1) <u>Intake and Assessment</u>	
(a) Physician (minimum of 1)	1.0 position : 2,000 total consumers
(b) Psychologist	1.0 position : 1,000 total consumers
(c) Nurse (minimum of 1)	1.0 position : 2,000 total consumers
(d) Nutritionist (minimum of 1)	1.0 position : 2,000 total consumers
(2) <u>Clinical Support Teams</u>	
(a) Physician/Psychiatrist	1.0 position : 1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 position : 1,700 " "
(c) Behavioral Psychologist	1.0 position : 1,700 " "
(d) Nurse	1.0 position : 1,700 " "
(3) <u>SB 1038 Health Reviews</u>	
(a) Physician	1.5 hours : Referral/1,778 hrs./full-time equivalent (FTE) position
(b) Nurse	1.75 hours : Individual program plan (IPP) review/1,778 hrs./FTE position
b. <u>Intake/Case Management</u>	
(1) Supervising Counselor: Intake	1.0 position : 10 Intake Workers
(2) Intake Worker	1.0 position : 14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor: Case Management	1.0 position : 10 CPCs in Items b.(6, 7 and 8) below
(4) Supervising Counselor: Capitol People First	1.0 position : 10 CPCs in Items b.(5) below
(5) Client Program Coordinator (CPC) Capitol People First	1.0 position : 66 consumers (Developmental Center residents)
(6) CPC	1.0 position : 66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 position : 62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for Alternative Residential Model	1.0 position : 527 CCF consumers
(9) Supervising Counselor: DSS Incidental Medical Care Regulations	1.0 position : 10 CPCs in item b.(10) below
(10) CPC, DSS Incidental Medical Care Regulations	1.0 position : 2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
1. <u>DIRECT SERVICES (continued)</u>	
c. <u>Quality Assurance/Quarterly Monitoring</u>	
(1) Supervising Counselor	1.0 position : 10 CPCs in Item c.(2) below
(2) CPC	10 hrs/yr. : CCF consumer/1,778 hrs./FTE
	14 hrs/yr. : Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr. : Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr. : Family Home Agency consumer/1,778 hrs./FTE
d. <u>Early Intervention</u>	
(1) <u>General</u>	
(a) Prevention Coordinator	1.0 position : RC
(b) High-Risk Infant Case Mgr.	1.0 position : RC
(c) Genetics Associate	1.0 position : RC
(2) <u>Early Start/Part C</u>	
(a) Supervising Counselor	1.0 position : 10 CPCs in Item d.(2)(b) below
(b) CPC:	
Marginal positions from:	1.0 position : 62 children<age 3yrs.
to:	1.0 position : 45 children<age 3yrs.*
e. <u>Community Services</u>	
(1) Special Incident Coordinator	1.0 position : RC
(2) Vendor Fiscal Monitor	0.5 position : RC plus 1: every 3,140 vendors
(3) Program Evaluator	1.0 position : RC
(4) Resource Developer	1.0 position : RC
(5) Transportation Coordinator	1.0 position : RC
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997) Consumer Complaints	0.5 position : RC
(7) Developmental Center Liaison	1.0 position : 400 DC consumers
(8) Diversion	4.0 positions : 21 RCs
(9) Placement Continuation	
(a) Supervising Counselor	1.0 position : 10 CPCs in Item e.(9)(b) below
(b) CPC:	
1. Marginal positions from:	1.0 position : 62 CPP Placements
2. to:	1.0 position : 45 CPP Placements

* Note: This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B**CORE STAFFING FORMULAS**

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
1. <u>DIRECT SERVICES (continued)</u>	
f. <u>Special Incident Reporting (SIR)</u>	
(1) Supervising Counselor	1.0 position : 10 CPCs in Item f. (2) below
(2) QA/CPC	1.0 position : RC plus 1: every 5,000 consumers
(3) Nurse	0.5 position : RC plus 0.5: every 5,000 consumers
g. <u>Mediation</u>	
(1) Clinical Staff	2.0 hours : 25% of annual mediations/ 1,778 hrs /FTE position
(2) Supervising Counselor	4.5 hours : Mediation/1,778 hrs/FTE position
(3) CPC	4.5 hours : 50% of annual mediations/ 1,778 hrs./FTE position
h. <u>Expansion of Autism Spectrum Disorders (ASD) Initiative</u>	
(1) ASD Clinical Specialist (effective January 1, 2007)	1.0 position : RC
(2) ASD Program Coordinator (effective January 1, 2007)	1.0 position : RC
2. <u>ADMINISTRATION</u>	
a. <u>Executive Staff</u>	
(1) Director	1.0 position : RC
(2) Administrator	1.0 position : RC
(3) Chief Counselor	1.0 position : RC
b. <u>Fiscal</u>	
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 position : RC
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 position : 1,000 HCBS Waiver consumers
(3) Fiscal Manager	1.0 position : RC
(4) Program Technician II, FCPP	0.5 position : RC
	1.0 position : 1,778 hours of FCPP determinations
(5) Revenue Clerk	1.0 position : 400 consumers for whom RCs are representative payee
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 position : RC
(7) Account Clerk	1.0 position : 800 total consumers
c. <u>Information Systems and Human Resources</u>	
(1) Information Systems Manager	1.0 position : RC
(2) Information Systems Assistant	1.0 position : RC
(3) Information Systems Assistant, SIR	0.5 position : RC
(4) Privacy Officer, HIPAA	1.0 position : RC
(5) Personal Computer Systems Manager	1.0 position : RC
(6) Training Officer	1.0 position : RC
(7) Training Officer, SIR	0.5 position : RC
(8) Human Resources Manager	1.0 position : RC

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
2. <u>ADMINISTRATION (continued)</u>	
d. <u>Clerical Support</u>	
(1) Office Supervisor	1.0 position : RC
(2) PBX/Mail/File Clerk	3.0 positions : RC
(3) Executive Secretary	2.5 positions : RC
(4) MD/Psychologist Secretary II	1.0 position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews
(5) MD/Psychologist Secretary I	1.0 position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment
(6) Secretary II	1.0 position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/ Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secty I, line 1.e.(9) b 2.,) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources
(7) Secretary I	1.0 position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secty II, line 1.e.(9) b 1.,)
(8) Secretary I (DC Case Management Capitol People First)	1.0 position : 6 CPCs and Supervisors

Attachment C**Regional Center Rent**

Regional Center	2019-20₁	2020-21₂	Difference	% Change	Footnote
Alta	\$3,340,554	\$3,361,586	\$21,032	0.63%	
Central Valley	3,479,179	3,492,392	13,213	0.38%	
East Bay	4,566,353	4,681,085	114,732	2.51%	
East LA	3,858,337	4,247,927	389,590	10.10%	a
Far Northern	1,324,639	1,412,139	87,500	6.61%	a
Golden Gate	3,367,850	3,816,030	448,180	13.31%	
Harbor	4,673,440	4,831,405	157,966	3.38%	
Inland	7,539,931	7,867,322	327,392	4.34%	a
Kern	2,144,674	2,144,674	0	0.00%	a
Lanterman	2,495,633	2,604,048	108,415	4.34%	
North Bay	1,776,681	2,119,967	343,286	19.32%	a
North LA	4,264,010	5,043,590	779,580	18.28%	a
Orange	3,460,429	3,512,344	51,915	1.50%	
Redwood	992,342	1,093,416	101,073	10.19%	
San Andreas	3,279,047	3,492,218	213,171	6.50%	a
San Diego	4,979,711	5,701,365	721,654	14.49%	a
San Gabriel	2,645,450	2,647,038	1,588	0.06%	
South Central	6,301,901	6,419,687	117,786	1.87%	
Tri Counties	4,484,023	5,489,320	1,005,297	22.42%	a
Valley Mt.	2,591,671	2,596,422	4,751	0.18%	
Westside	<u>1,840,693</u>	<u>1,859,881</u>	<u>19,188</u>	<u>1.04%</u>	
Total	<u>\$73,406,547</u>	<u>\$78,433,855</u>	<u>\$5,027,308</u>	6.85%	
TOTAL ROUNDED	\$73,407,000	\$78,434,000	\$5,027,000	6.85%	
Amount Budgeted by Old Formula:	\$81,768,329				
Amount Under Budget:	\$3,334,329				

Footnotes:

¹ Actual rent funds allocated per the A-1 contract. As well as funds that have yet to be allocated dependent on occupation of new space.

² Rent requested by the regional centers based on the 2020-21 Governor's Budget Rent Survey, as approved by the Department.

a: Increased costs due to annual rent escalation plus regional center's estimate of additional square footage required to house new staff and/or meet operational needs. The Department will verify costs and review leasing documents to confirm fair market value before allocating additional funds to the regional centers.

Federal Compliance

DESCRIPTION:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

ASSUMPTIONS/METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> HCBS Waiver: Operations costs for HCBS Waiver activities in 2019-20 and 2020-21 are based upon 6.5% of 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth. 	\$21,135	\$21,135
<ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports. 	8,700	8,700
<ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the case manager to waiver participant ratio of 1:62 is consistently met." This augmentation by the California Legislature is intended to assist in this. 	12,625	12,845

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> TCM: Operations costs for TCM activities in 2019-20 and 2020-21 are based upon 5.8% of 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth. 	\$4,129	\$4,129
<ul style="list-style-type: none"> NHR/Pre-Admission Screening and Resident Review (PASRR): Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. (100% GF) 	473	473
<ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS Services: Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. (Funding is 50% HCBS Waiver Administration, 50% GF) Funding: \$492,000 GF Match, \$492,000 HCBS Waiver Administration. 	984	984
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$48,046	\$48,266

Federal Compliance

FUNDING:

The funding for Federal Compliance is comprised of reimbursements from: Medicaid Administration (75% FFP, 25% GF Match), HCBS Waiver Administration (50% FFP, 50% GF Match), and TCM (50% FFP, 50% GF Match).

CURRENT YEAR CHANGE FROM ENACTED:

The current year increase reflects an estimated increase of 0.66 CPC positions due to HCBS Waiver caseload growth.

REASON FOR YEAR-TO-YEAR CHANGE:

The minor increase in budget year reflects an estimated increase of 3.33 CPC positions due to HCBS Waiver caseload growth.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$48,046	\$48,266
GF	35,480	35,590
Reimbursement	12,566	12,676

Projects

DESCRIPTION:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

ASSUMPTIONS/METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> • Information Technology Costs: <ul style="list-style-type: none"> • Regional Center Application Support: • Data Processing: • Clients' Rights Advocacy: <p>The Department contracts for clients' rights advocacy services for regional center consumers, of which 45% of the costs are eligible for Home and Community Based Services (HCBS) Waiver Administration: 50% GF Match / 50% federal financial participation (FFP). Contract amount shown does not reflect an additional \$21,155 increase for administrative costs per Assembly Bill (AB) X2 1. Budget year reflects a contract increase to account for caseload growth.</p> • Quality Assessment Contract: <p>The Quality Assessment Project, as required by the Welfare and Institutions (W&I) Code, Section 4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP.</p> 	\$4,112 2,862 1,250 7,483 4,500	\$4,212 2,962 1,250 7,880 4,500

Projects

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Direct Support Professional Training: W&I Code Section 4695.2 mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 88% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. The current year increase reflects increased printing and enrollment costs, as well as costs related to keeping the curriculum up to date. 	\$3,212	\$3,212
<ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and their consumers, and (2) provide mediation services. Section 4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended; 45% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year increase is due to a standard contract increase. 	3,525	3,700
<ul style="list-style-type: none"> Wellness Projects: W&I Code Sections 4696 and 4646.5 identify areas that can be fulfilled through Wellness Projects. Typically, projects fall into the following categories: health professional training programs, medication reviews, health assessments, specialty clinics, telemedicine, resource development for persons with a dual diagnosis, training programs for parents and consumers, and dental health programs and services. 	100	100
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. 	3,864	3,864

Projects

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: Beginning in 2001-02, the Legislature appropriated funds to the Department and regional centers to develop a comprehensive risk management system to enhance the health, safety, and well-being of individuals served by regional centers, and to implement preventive strategies and interventions to mitigate such risks. The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on Developmental Center transitions to the Center for Medicare & Medicaid Services; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 39% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. 	\$1,200	\$1,200
<ul style="list-style-type: none"> Increased Access to Mental Health Services: Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 100% of costs are funded by the Mental Health Services Fund. 	740	740
<ul style="list-style-type: none"> Sherry S. Court Case: In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. 	10	10
<ul style="list-style-type: none"> 2003-04 FFP Enhancement, Phase II: These costs are associated with legal support for federal program activities. 	500	500

Projects

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Affordable Housing: The amounts budgeted represent funds that DDS pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the Coffelt Settlement (1994). The contract has been renewed for 2020-21. 	\$125	\$125
<ul style="list-style-type: none"> Review of Senate Bill (SB) 1175 Housing Proposals: Pursuant to Chapter 617, Statutes of 2008, (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing DDS' costs associated with conducting the review and approval of housing proposals. 	150	150
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$29,521	\$30,193

CURRENT YEAR CHANGE FROM ENACTED:

The current year increase reflects an adjustment to the Direct Support Professional Training contract to meet mandated costs.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increase of \$0.7 million (\$0.5 million GF) reflects standard increases for the Client Rights Advocacy and the Office of Administrative Hearings contracts.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$29,521	\$30,193
GF	22,407	22,950
Reimbursement	5,130	5,259
Developmental Disabilities Services Account	150	150
Mental Health Services Fund	740	740
Federal Funds	1,094	1,094

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs Operations

DESCRIPTION:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of Day Program and Transportation.

2019-20 and 2020-21: Total billing costs are \$1.8 million for regional center administration.

Purchase of Services costs for Day Program and Transportation in fiscal year 2018-19 totals \$120.4 million, of which 1.5% equals \$1.8 million.

FUNDING:

The Federal Medical Assistance Percentages establishes the FFP for expenditures associated with Operations, which is 50% for 2019-20 and 2020-21.

CURRENT YEAR CHANGE FROM ENACTED:

The slight decrease reflects updated actual expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,806	\$1,806
GF	903	903
Reimbursement	903	903

Operations Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2019-20	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Staffing						
TOTAL	\$655,017	\$655,696	\$679	\$694,950	\$39,254	\$39,933
GF	\$409,732	\$436,875	\$27,143	\$469,275	\$32,400	\$59,543
GF Match	236,292	208,951	(27,341)	216,854	7,903	(19,438)
GF Other	173,440	227,924	54,484	252,421	24,497	78,981
Reimbursements	\$245,285	\$218,821	(\$26,464)	\$225,675	\$6,854	(\$19,610)
Medicaid Admin	12,354	12,099	(255)	12,099	0	(255)
HCBS Waiver Admin	6,665	5,564	(1,101)	5,564	0	(1,101)
TCM	218,129	191,807	(26,322)	199,711	7,904	(18,418)
TCM Admin	8,137	8,301	164	8,301	0	164
CalFresh	0	1,050	1,050	0	(1,050)	0
Federal Compliance						
TOTAL	\$48,002	\$48,046	\$44	\$48,266	\$220	\$264
GF	\$35,458	\$35,480	\$22	\$35,590	\$110	\$132
GF Match	11,245	11,266	21	11,376	110	131
GF Other	24,213	24,214	1	24,214	0	1
Reimbursements	\$12,544	\$12,566	\$22	\$12,676	\$110	\$132
HCBS Waiver Admin	1,203	1,203	0	1,203	0	0
Medicaid Admin	1,950	1,950	0	1,950	0	0
TCM	9,391	9,413	22	9,523	110	132

Operations Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Projects						
TOTAL	\$29,346	\$29,521	\$175	\$30,193	\$672	\$847
GF	\$22,190	\$22,407	\$217	\$22,950	\$543	\$760
GF Match	5,173	5,128	(45)	5,258	130	85
GF Other	17,017	17,279	262	17,692	413	675
Reimbursements	\$5,172	\$5,130	(\$42)	\$5,259	\$129	\$87
HCBS Waiver Admin	5,172	5,130	(42)	5,259	129	87
Developmental Disabilities Services Account	150	150	\$0	150	\$0	\$0
Mental Health Services Fund	740	740	\$0	740	\$0	\$0
Federal Funds	\$1,094	\$1,094	\$0	\$1,094	\$0	\$0
Intermediate Care Facility-Developmentally Disabled - Admin Fees						
TOTAL	\$1,825	\$1,806	(\$19)	\$1,806	\$0	(\$19)
GF	\$912	\$903	(\$9)	\$903	\$0	(\$9)
GF Match	912	903	(9)	903	0	(9)
Reimbursements	\$913	\$903	(\$10)	\$903	\$0	(\$10)
FFP (Quality Assurance Fees)	913	903	(10)	903	0	(10)

Operations Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Total Operations Funding Detail						
TOTAL	\$734,190	\$735,069	\$879	\$775,215	\$40,146	\$41,025
GF	\$468,292	\$495,665	\$27,373	\$528,718	\$33,053	\$60,426
GF Match	253,622	226,248	(27,374)	234,391	8,143	(19,231)
GF Other	214,670	269,417	54,747	294,327	24,910	79,657
Reimbursements	\$263,914	\$237,420	(\$26,494)	\$244,513	\$7,093	(\$19,401)
HCBS Waiver Admin	13,040	11,897	(1,143)	12,026	129	(1,014)
Medicaid Admin	14,304	14,049	(255)	14,049	0	(255)
TCM	227,520	201,220	(26,300)	209,234	8,014	(18,286)
TCM Admin	8,137	8,301	164	8,301	0	164
CalFresh	0	1,050	1,050	0	(1,050)	0
FFP (Quality Assurance Fees)	913	903	(10)	903	0	(10)
Developmental Disabilities Services Account	150	150	0	150	0	\$0
Mental Health Services Fund	740	740	0	740	0	\$0
Federal Funds	\$1,094	\$1,094	\$0	\$1,094	\$0	\$0

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Community Care Facilities

DESCRIPTION:

Community Care Facilities (CCF) are licensed by the Community Care Licensing Division of the State Department of Social Services (DSS) to provide 24-hour non-medical residential care to children and adults with developmental disabilities. Regional Centers contract with vendored CCF to provide consumers personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living.

ASSUMPTIONS:

CCF Consumer and Expenditure Data Source: State Claims Data file, dated September 2019 with actuals through June 2018. Data was adjusted for lag based on historical data.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received by persons in CCFs from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP (an estimated 94.9% of persons in CCFs), the Department funds only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$1,636,708	\$1,747,274
Total Utilization Change/Growth:	110,566	118,783
Subtotal Base and Growth	\$1,747,274	\$1,866,057
Community Placement Plan: See Community Placement Plan methodology for detail.	27,298	18,319

Community Care Facilities

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
SSI/SSP Increases Effective January 1, 2020 and January 1, 2021: The SSI/SSP rate increase, effective January 1, 2020, is estimated to increase from \$1,058 to \$1,069 per month. The SSI/SSP rate increase, effective January 1, 2021, is estimated to increase from \$1,069 to \$1,082 per month. An increase in these rates results in a decrease in amounts paid by the Department. The SSI/SSP amount includes Care and Supervision, and Room and Board, excluding Personal and Incidental Needs.	-\$2,928	-\$3,158
Continuation Costs: Second year costs for Developmental Center movers.	58,421	40,806
TOTAL EXPENDITURES	\$1,830,065	\$1,922,024

FUNDING:

CCF expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915 (i) State Plan Amendment (SPA), Temporary Assistance to Needy Families, and Self-Determination Program (SDP) Waiver. Based on actual 2018-19 billing data, approximately 78% of CCF expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and SDP Waiver, 50% is federal financial participation (FFP) and 50% GF match.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$53.9 million (\$87.0 million GF) reflects a \$10.8 million increase the Base, a \$34.7 million increase in Utilization and Growth, and a \$10.5 million increase in Community Placement Plan, offset by a \$1.5 million in SSI/SSP savings and a \$3.6 million decrease in Continuation Costs. The increase in the Base is due to one-time funding in expenditures for Developmental Centers movers. The increase in Utilization reflects continued growth in Staff Operated CCFs for Adults and DSS-Licensed Special Residential Facilities for Habilitation.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year net increase of \$92.0 million (\$39.1 million GF) reflects a \$110.6 million increase in the Base, and an \$8.2 million increase in Utilization and Growth, offset by an \$9.0 million decrease in Community Placement Plan, a \$17.6 million decrease in Continuation Costs, and a slight increase in SSI/SSP savings.

Community Care Facilities

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,830,065	\$1,922,024
GF	1,090,660	1,129,794
Reimbursement	739,405	792,230

Medical Facilities

DESCRIPTION:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

ASSUMPTIONS:

Assumptions regarding caseload and facility growth for 2019-20 and 2020-21 are based on the 2019 Regional Center Spring Survey. Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual, historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth.	\$16,857	\$17,325
Total Utilization Change/Growth:	468	482
Subtotal Base and Growth	\$17,325	\$17,807
Gap Resource Development (ICF-DD):	345	345

Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.

It is assumed that three Health facilities will require gap funding for two consumers each for not more than 60 days.

Medical Facilities

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
Community Placement Plan: See Community Placement Plan methodology for detail.	185	124
Continuation Costs: Second year costs for Developmental Center movers.	233	163
TOTAL EXPENDITURES	\$18,088	\$18,439

FUNDING:

Medical Facility expenditures are funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$1.2 million (\$1.2 GF million) is a result of a \$1.3 million increase in the Base, a slight increase in Utilization and Growth, offset by slight decreases in Community Placement Plan and Continuation Costs. The slight increase in Utilization reflects continued growth in DSS Licensed-Spec Residential Facility-Habilitation, Specialized Residential Facility (Health), and ICF/DD-N.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net increase reflects a slight increase in Utilization and Growth, offset by slight decreases in Community Placement Plan and Continuation Costs. The slight increase in Utilization reflects continued growth in DSS Licensed-Spec Residential Facility-Habilitation, Specialized Residential Facility (Health), and ICF/DD-N.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$18,088	\$18,439
GF	18,088	18,439

Day Programs

DESCRIPTION:

Day programs are community-based programs for individuals served by a regional center. Types of services available through a day program include:

- Developing and maintaining self-help and self-care skills.
- Developing the ability to interact with others, making one's needs known and responding to instructions.
- Developing self-advocacy and employment skills.
- Developing community integration skills such as accessing community services.
- Behavior management to help improve behaviors.
- Developing social and recreational skills.

ASSUMPTIONS:

Day Program Consumer and Expenditure Data Source: State Claims Data file, dated September 2019 with actuals through June 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$1,120,556	\$1,148,047
Total Utilization Change/Growth:	27,491	28,394
Subtotal Base and Growth	\$1,148,047	\$1,176,441
Community Placement Plan: See Community Placement Plan methodology for detail.	229	154
Continuation Costs: Second year costs for Developmental Center movers.	1,239	865
TOTAL EXPENDITURES	\$1,149,515	\$1,177,460

Day Programs

FUNDING:

Day Program expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate Care Facility-Developmentally Disabled (ICF-DD), Early Periodic Screening Diagnosis and Treatment (EPSDT), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Based on actual 2018-19 billing data, approximately 53% of Day Program expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, EPSDT, and SDP Waiver, 50% is federal financial participation and 50% GF match.

CURRENT YEAR CHANGE FROM ENACTED:

The current year decreased by \$11.8 million (\$0.4 million GF) due to a \$3.8 million decrease in the Base, a \$7.0 million decrease in Utilization and Growth, and a slight decrease in both Community Placement Plan and Continuation Costs. The decrease in Utilization and Growth reflects a decreased use of Adult Development Center and Behavior Management Program, which are the primary cost drivers in the Day Programs budget category.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increased by a net \$27.9 million (\$2.8 million GF). This is due to a \$27.5 million increase in the Base, and a slight increase in Utilization and Growth, offset by a slight decrease in both Community Placement Plan and Continuation Costs.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,149,515	\$1,177,460
GF	642,216	645,046
Reimbursement	489,374	514,489
Federal Funds	17,925	17,925

Habilitation Work Activity Program

DESCRIPTION:

Work Activity Program (WAP) services through the regional centers include paid work, work adjustment and supportive habilitation services typically in a sheltered work shop setting. WAPs provide paid work in accordance with Federal and State Fair Labor Standards. Work adjustment services may include developing good work safety practices, money management skills, and appropriate work habits. Supportive habilitation services may include social skill and community resource training as long as the services are necessary to achieve vocational objectives.

ASSUMPTIONS:

WAP Expenditure Data Source: State Claims Data file, dated September 2019 with actuals through June 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$36,647	\$32,955
Total Utilization Change/Growth:	-3,692	-3,317
Subtotal Base and Growth	\$32,955	\$29,638
Community Placement Plan: See Community Placement Plan methodology for detail.	0	0
Continuation Costs: Second year costs for Developmental Center movers.	0	0
TOTAL EXPENDITURES	\$32,955	\$29,638

Habilitation Work Activity Program

FUNDING:

WAP Service expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), 1915(i) State Plan Amendment (SPA), and Self-Determination Program (SDP) Waiver. Based on actual 2018-19 billing data, approximately 62% of WAP expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net decrease of \$2.0 million (\$1.1 million GF increase) is due to a \$2.2 million decrease in the Base, a slight increase in Utilization and Growth, and offset by a slight decrease in Community Placement Plan and Continuation Costs. The decrease in Base is due to updated actual expenditures coming in lower than originally estimated.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net decrease of \$3.3 million (\$4.0 million GF) is offset by a slight increase in Utilization and Growth.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$32,955	\$29,638
GF	18,397	14,396
Reimbursement	14,558	15,242

Habilitation Supported Employment Program (Group Placement)

DESCRIPTION:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work through integrated group settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-G Expenditure Data Source: State Claims file, dated September 2019 with actuals through June 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth.	\$85,459	\$84,206
Total Utilization Change/Growth:	-1,253	-1,234
Subtotal Base and Growth	\$84,206	\$82,972
Continuation Costs: Second year costs for Developmental Center movers.	0	0
TOTAL EXPENDITURES	\$84,206	\$82,972

Habilitation Supported Employment Program (Group Placement)

FUNDING:

SEP-G expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), 1915(i) State Plan Amendment (SPA), and Self-Determination Program (SDP) Waiver. Based on actual 2018-19 billing data, approximately 33% of SEP-G expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net decrease of \$5.0 million (\$3.0 million GF) is due to a \$3.8 million decrease in the Base, and a \$1.3 million decrease in Utilization and Growth. The decrease in Base is due to updated actual expenditures coming in lower than originally estimated.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net decrease of \$1.2 million (\$2.3 million GF) is offset by a slight increase in Utilization and Growth.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$84,206	\$82,972
GF	62,888	60,601
Reimbursement	21,318	22,371

Habilitation

Supported Employment Program (Individual Placement)

DESCRIPTION:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work through integrated individual settings and with support services provided by community rehabilitation programs. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-I Expenditure Data Source: State Claims file dated September 2019 with actuals through June 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. For 2020-21, the prior year estimate for Base and Growth was used as the Base.	\$34,550	\$39,478
Total Utilization Change/Growth:	4,928	5,629
Subtotal Base and Growth	\$39,478	\$45,107
Continuation Costs: Second year costs for Developmental Center movers.	0	0
TOTAL EXPENDITURES	\$39,478	\$45,107

Habilitation

Supported Employment Program (Individual Placement)

FUNDING:

SEP-I expenditures are funded by the General Fund (GF) and reimbursements from Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled (ICF-DD), 1915(i) State Plan Amendment (SPA), and Self-Determination Program (SDP) Waiver. Based on actual 2018-19 billing data, approximately 21% of SEP-I expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$2.6 million (\$1.8 million GF) is due to a \$1.7 million increase in the Base, and a slight increase in Utilization and Growth. The increase in Base is due to updated actual expenditures coming in higher than originally estimated.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net increase of \$5.6 million (\$4.9 million GF) is due to a slight increase in Utilization and Growth.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$39,478	\$45,107
GF	32,619	37,530
Reimbursement	6,859	7,577

Transportation

DESCRIPTION:

Transportation services are provided so persons with a developmental disability may participate in programs and/or other activities. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

ASSUMPTIONS:

Transportation Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from the SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$366,014	\$387,396
Total Utilization Change/Growth:	21,382	22,632
Subtotal Base and Growth	\$387,396	\$410,028
Community Placement Plan: See Community Placement Plan methodology for detail.	111	75
Continuation Costs: Second year costs for Developmental Center movers.	205	143
TOTAL EXPENDITURES	\$387,712	\$410,246

Transportation

FUNDING:

Transportation expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, and Intermediate Care Facility - Developmentally Disabled (ICF-DD), and Self-Determination Program (SDP) Waiver. Based on actual 2018-19 billing data, approximately 65% of Transportation expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year increased a net \$5.8 million (\$8.1 million GF) due to an increase of \$5.1 million in the Base, \$1.7 million in Utilization and Growth, and a slight increase in Community Placement Plan, offset by a slight decrease in Continuation Costs. The increase is due to updated actual expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increased by \$22.5 million (\$12.8 million GF) due to an increase of \$1.3 million in Utilization and Growth, offset by a slight decrease in Continuation Costs and Community Placement Plan. The main cost drivers in Utilization and Growth are Transportation Company, Transportation-Additional component, and Transportation-Public/Rental Car Agency/Taxi. These service codes make up over 90% of Transportation expenditures.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$387,712	\$410,246
GF	229,655	242,458
Reimbursement	158,057	167,788

Support Services

DESCRIPTION:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community. Included in the Support Services expenditures are Independent Living Supplement payments to adults who are in Supported/Independent Living (SL/IL) settings and receiving Supplementary Security Income/State Supplementary Payment (SSI/SSP). Regional centers supplement the reduction in the SSP portion of the SSI/SSP grant to enable consumers to remain in these settings rather than moving into community care facilities.

ASSUMPTIONS:

Support Services Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2019, the ABX2 1 Community Based Services Increases, and the March 1, 2018 BHT Transition.	\$1,472,107	\$1,607,607
Total Utilization Change/Growth:	135,500	148,010
Subtotal Base and Growth	\$1,607,607	\$1,755,617
Community Placement Plan: See Community Placement Plan methodology for detail.	3,271	2,195
Continuation Costs: Second year costs for Developmental Center movers.	5,968	4,168

Support Services

	<u>2019-20</u>	<u>2020-21</u>
Independent Living Supplement: Based on data as of February 2019, there are 16,718 consumers living in SL/IL arrangements who receive the monthly payment to supplement the reduction in their SSP grants. Prior year costs remain in the Base, therefore only the incremental costs are reflected.	\$-161	\$-253
TOTAL EXPENDITURES	\$1,616,685	\$1,761,727

FUNDING:

Support Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for Behavioral Health Treatment services for Medi-Cal Fee-for-Service beneficiaries, Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Based on actual 2018-19 billing data, approximately 74% of Support Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net decrease of \$83.8 million (\$100.0 million GF) reflects a decrease of \$33.5 million in the Base, \$41.7 million in the Utilization and Growth, and \$9.8 million in the Continuation Costs, offset by a \$1.2 million increase in the Community Placement and in the Independent Living Supplement. Approximately 50% of the budget category expenditures are for Supported Living Services, which continue to decrease beyond historical trends.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year net increase of \$145.0 million (\$99.8 million GF) reflects an increase of \$135.5 million in the Base and \$12.5 million in the Utilization and Growth, offset by a decrease of \$1.0 million in the Community Placement Plan, \$1.8 million in the Continuation Costs, and a slight decrease in the Independent Living Supplement.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,616,685	\$1,761,727
GF	892,730	992,517
Reimbursement	723,690	768,945
Federal Funds	265	265

In-Home Respite

DESCRIPTION:

In-Home Respite Services means intermittent or regularly scheduled temporary non-medical care and/or supervision provided in the person's home. In-Home Respite services are support services which typically include:

- Assisting the family members to enable a person with developmental disabilities to stay at home;
- Providing appropriate care and supervision to protect that person's safety in the absence of a family member(s);
- Relieving family members from the constantly demanding responsibility of providing care; and
- Attending to basic self-help needs and other activities that would ordinarily be performed by the family member.

ASSUMPTIONS:

In-Home Respite Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$510,813	\$557,833
Total Utilization Change/Growth:	47,020	51,380
Subtotal Base and Growth:	\$557,833	\$609,213
Community Placement Plan: See Community Placement Plan methodology for detail.	20	14
Continuation Costs: Second year costs for developmental center movers.	31	22
TOTAL EXPENDITURES:	\$557,884	\$609,249

In-Home Respite

FUNDING:

In-Home Respite expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Based on actual 2018-19 billing data, approximately 73% of In-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915 (i) SPA, and SDP Waiver, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net decrease of \$35.9 million (\$47.8 million GF) reflects a decrease of \$22.1 million in the Base, a \$13.4 million in Utilization and Growth, and a slight decrease in Continuation Costs, offset by a slight increase in Community Placement Plan. The change in Utilization and Growth is a result of the restoration of Respite services leveling off. In-Home Respite Services Agency is the primary cost driver and accounts for 82.4% of expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year net increase \$51.4 million (\$36.2 million GF) reflects a \$4.4 million increase in Utilization and Growth, offset by a slight decrease in both Community Placement Plan and Continuation Costs. The increase reflects continued growth in In-Home Respite Services Agency.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$557,884	\$609,249
GF	295,066	331,283
Reimbursement	262,739	277,887
Federal Funds	79	79

Out-of-Home Respite

DESCRIPTION:

Out-of-Home Respite includes supervision services that are provided in licensed residential facilities.

ASSUMPTIONS:

Out-of-Home Respite Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$49,267	\$51,196
Total Utilization Change/Growth:	1,929	2,240
Subtotal Base and Growth	\$51,196	\$53,436
Community Placement Plan: See Community Placement Plan methodology for detail.	20	14
Continuation Costs: Second year costs for developmental center movers.	102	71
TOTAL EXPENDITURES	\$51,318	\$53,521

Out-of-Home Respite

FUNDING:

Out-of-Home Respite expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Based on actual 2018-19 billing data, approximately 68% of Out-of-Home Respite expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915 (i) SPA, and SDP Waiver, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$0.6 million (\$0.4 million GF) reflects a slight increase in both Utilization and Growth and Continuation Costs, offset by a slight decrease in both the Base and Community Placement Plan. The increase in the Utilization and Growth reflects updated expenditures in this category.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year net increase of \$2.2 million (\$0.8 million GF) reflects a slight increase in Utilization and Growth, offset by a slight decrease in both Community Placement Plan and Continuation Costs. Day Care-Family Member Services, In-Home Respite Services Agency, and Out-Of-Home Respite Services are the main expenditure drivers and account for 62.3% of the budget category expenditures.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$51,318	\$53,521
GF	27,176	27,991
Reimbursement	24,070	25,458
Federal Funds	72	72

Health Care

DESCRIPTION:

Health Care services include medical and/or health care-related services, providing appropriate, high-quality care and services to children and adults who have developmental disabilities in order to optimize the health and welfare of each individual.

ASSUMPTIONS:

Health Care Expenditure Data Source: State Claims Data file dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

To estimate expenditures, the Department begins with base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. For 2020-21, the prior year estimate for Base and Growth was used as the Base.	\$130,821	\$145,259
Total Utilization Change/Growth:	14,438	16,031
Subtotal Base and Growth	\$145,259	\$161,290
Community Placement Plan: See Community Placement Plan methodology for detail.	2,067	1,387
Continuation Costs: Second year costs for Developmental Center movers.	2,151	1,502
TOTAL EXPENDITURES	\$149,477	\$164,179

Health Care

FUNDING:

Health Care expenditures are funded by the General Fund (GF), reimbursements from Home and Community-Based Services (HCBS) Waiver, Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), Intermediate Care Facility-Developmentally Disabled (ICF-DD), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Based on actual 2018-19 billing data, approximately 17% of Health Care expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net increase of \$9.1 million (\$9.8 million GF) is due to an increase of \$4.8 million in the Base, an increase of \$5.5 million in Utilization and Growth, offset by a decrease of \$1 million in Continuation Costs and a slight decrease in Community Placement Plan. The increase in Base is due to updated actual expenditures coming in higher than originally estimated. The net increase in Utilization and Growth is due to significant growth in Specialized Therapeutic Services 21 Years and Over and Acute Care Hospital which accounts for over 28.9% of the expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net increase of \$14.7 million (\$13.7 million GF) is due to an increase of \$14.4 million in the Base, and an increase of \$1.6 million in Utilization and Growth, offset by a slight decrease in Community Placement Plan, and in Continuation Costs. The main cost drivers for this budget category are Specialized Therapeutic Services 21 Years and Over and Acute Care Hospital, which accounts for over 30.4% of the expenditures. Expenditures continue to increase, but at a slower pace.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$149,477	\$164,179
GF	129,227	142,960
Reimbursement	18,114	19,083
Federal Funds	2,136	2,136

Miscellaneous Services

DESCRIPTION:

The Miscellaneous Services category includes a wide variety of services that can't be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors. Historically, approximately two thirds of the expenditures in this category were related to Behavioral Health Treatment (BHT) services. While still significant, BHT expenditures have decreased as a result of the transition of costs for BHT services to the Department of Health Care Services (DHCS).

ASSUMPTIONS:

Miscellaneous Services Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

To estimate expenditures, the Department begins with Base expenditures, to which it adds increases expected during the year (Utilization Change/Growth). In the current year, Base expenditures equal actual prior year expenditures plus estimated outstanding claims. In the budget year, Base expenditures equal the current year subtotal for Base and Utilization Change/Growth. Utilization Change/Growth is the estimated increase in expenditures during the fiscal year based on analysis of actual historical expenditure trends.

	<u>2019-20</u>	<u>2020-21</u>
Base: Actual 2018-19 expenditures were used to develop the 2019-20 Base. The 2020-21 Base equals the prior year estimate for Base and Growth. Both years include expenditures resulting from policies related to the SB 3 Minimum Wage Increase that was effective January 1, 2019.	\$464,704	\$519,083
Total Utilization Change/Growth:	54,379	63,884
Subtotal Base and Growth	\$519,083	\$582,967
Community Placement Plan: See Community Placement Plan methodology for detail.	30,477	30,307
Continuation Costs: Second year costs for Developmental Center movers.	2,057	1,436
TOTAL EXPENDITURES	\$551,617	\$614,710

Miscellaneous Services

FUNDING:

Miscellaneous Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate-Care Facility-Developmentally Disabled (ICF-DD), Department of Health Care Services for BHT services for Medi-Cal Fee-for-Service beneficiaries, Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant and Program Development Funds. Based on actual 2018-19 billing data, approximately 21% of Miscellaneous Services expenditures are eligible for HCBS Waiver reimbursement. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD, and SDP Waiver, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year net decrease of \$5.6 million (\$6.0 million GF) reflects a decrease of \$18.9 million in the Base and a slight decrease to the Community Placement Plan and the Continuation Costs, offset by a \$13.6 million increase in the Utilization and Growth. Miscellaneous is impacted by the transition of BHT services to DHCS, resulting in less expenditure growth for these services. Utilization and Growth includes Specialized Therapeutic Services for Children Under Age 3 which accounted for 25% of the expenditures.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year estimated net increase of \$63.1 million (\$59.1 million GF) reflects an increase of \$54.4 million in the Base and \$9.5 million in the Utilization and Growth, offset by a slight decrease in the Community Placement plan and the Continuation Costs.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$551,617	\$614,710
GF	438,041	497,155
Reimbursement	98,419	102,360
Federal Funds	12,915	12,915
Program Development Fund	2,242	2,280

Quality Assurance Fees

Intermediate Care Facility-Developmentally Disabled Costs Purchase of Services

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF).

ASSUMPTIONS:

- Billing costs are 1.5% of the cost of Day Programs and Transportation, and regional center administrative fees for ICF-DD. QAF are set by DHCS.
- 2019-20 and 2020-21: Total billing costs are \$1.8 million ICF-DD administration and \$7.9 million QAF.
- Purchase of Services costs for Day Program and Transportation are based on fiscal year 2018-19 and total \$120.4 million. ICF-DD administration costs are 1.5% of the total or \$1.8 million and QAF are \$7.9 million. Total administration and QAF is \$9.8 million.

FUNDING:

The funding for QAF is 100% reimbursement to the Department from DHCS.

CURRENT YEAR CHANGE FROM ENACTED:

The decrease reflects updated actual expenditures.

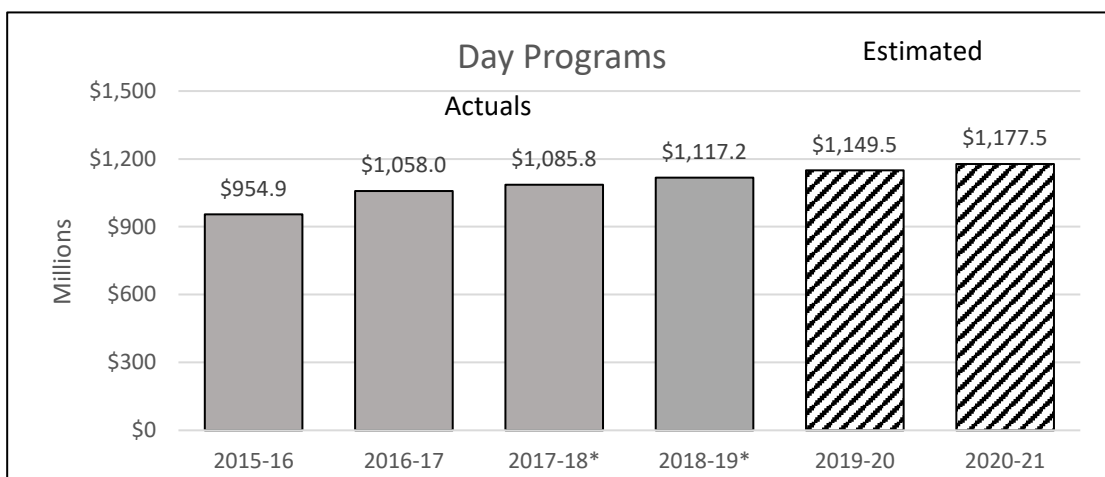
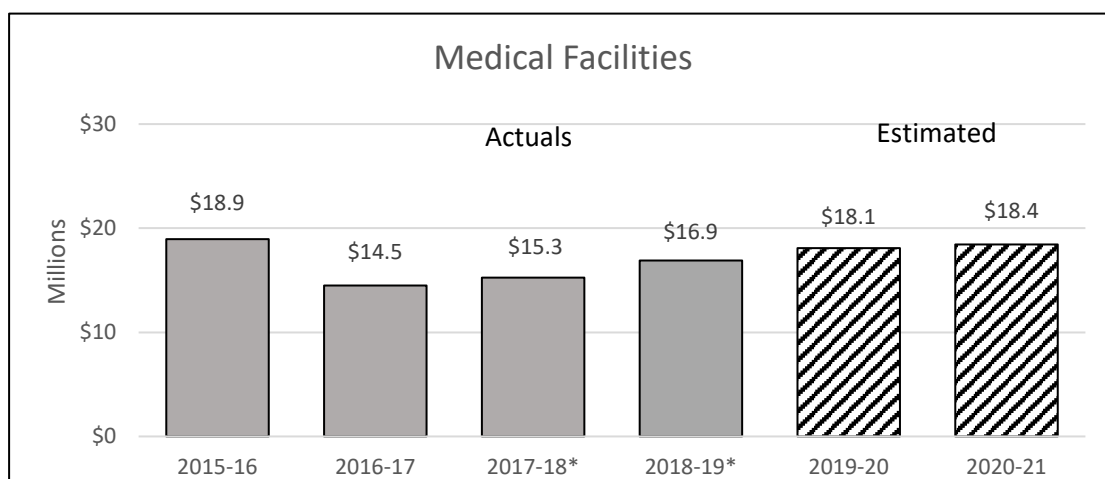
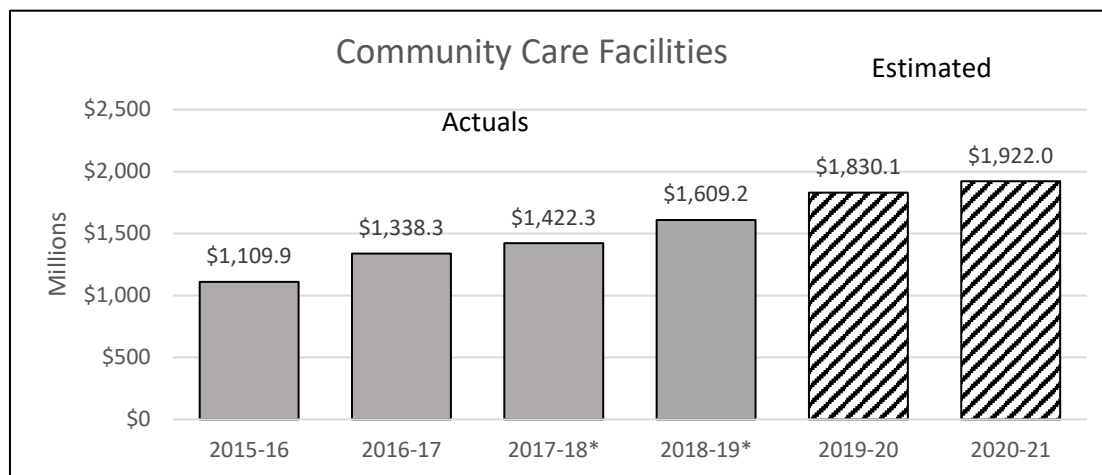
REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$9,750	\$9,750
Reimbursement	9,750	9,750
QAF Admin Fees	4,875	4,875
Transfer from DHCS	4,875	4,875

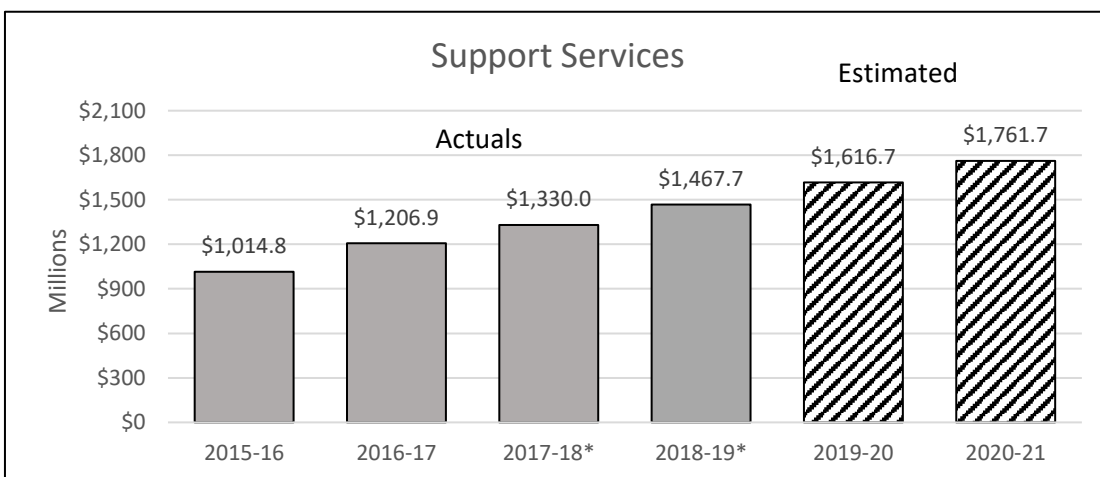
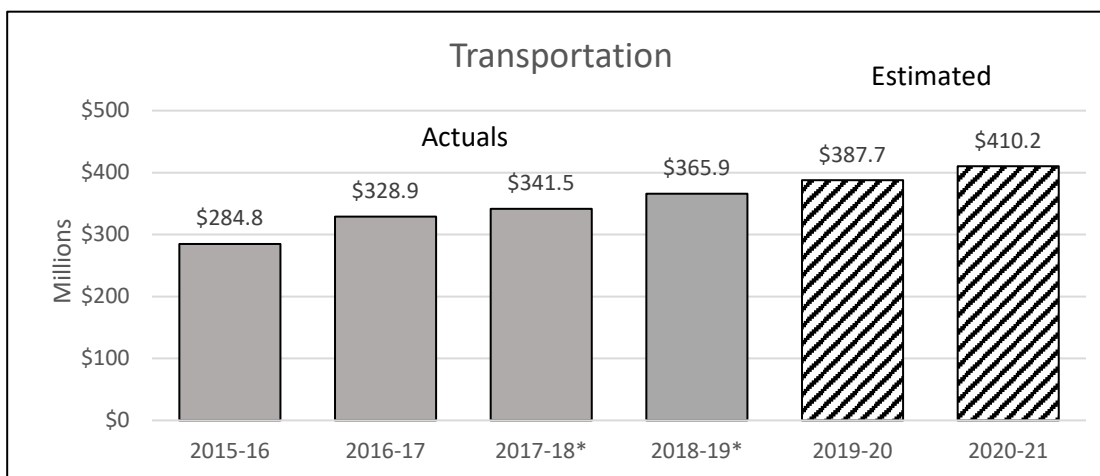
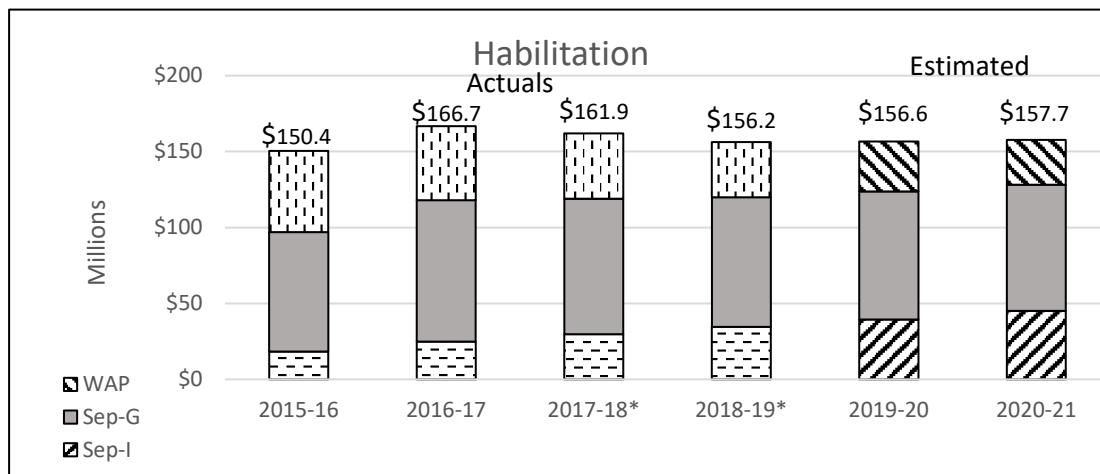
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2019.

** Totals for all years exclude Quality Assurance Fees

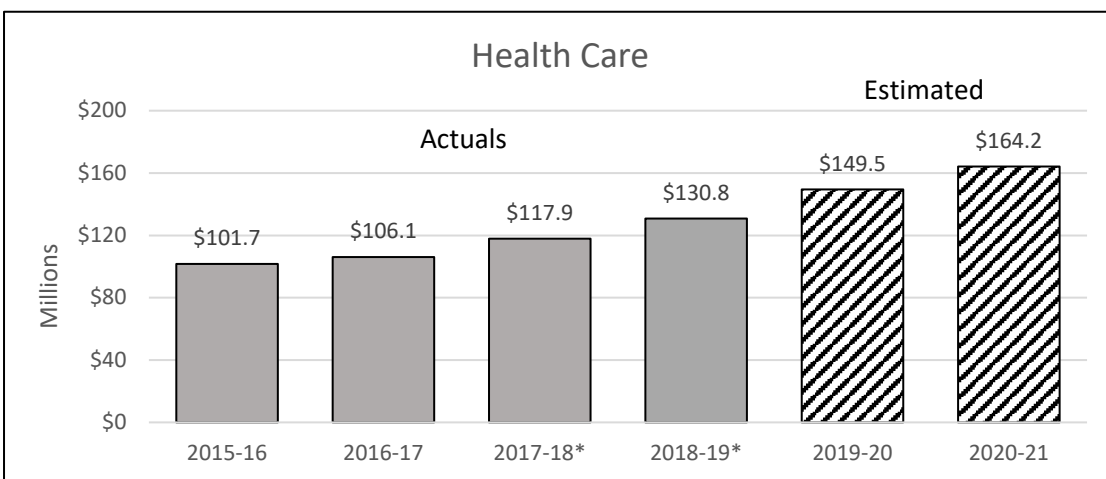
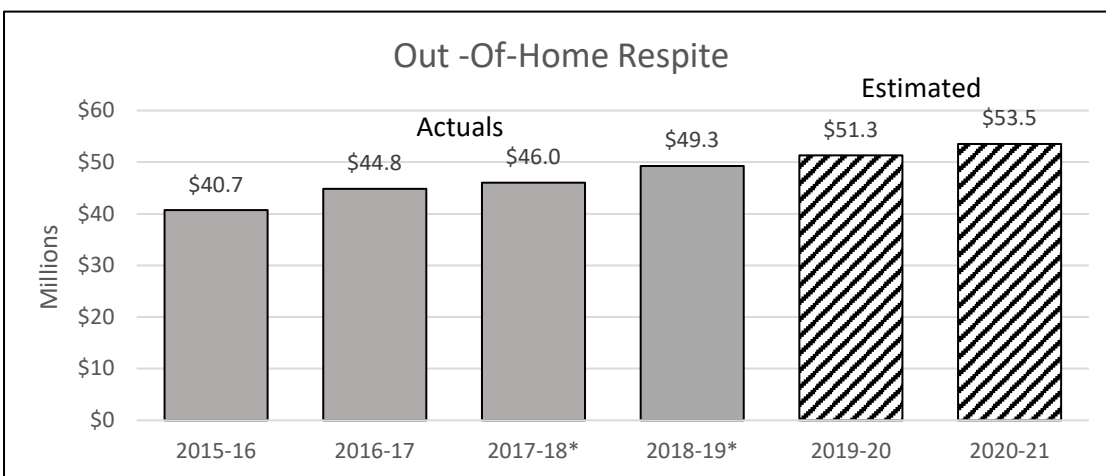
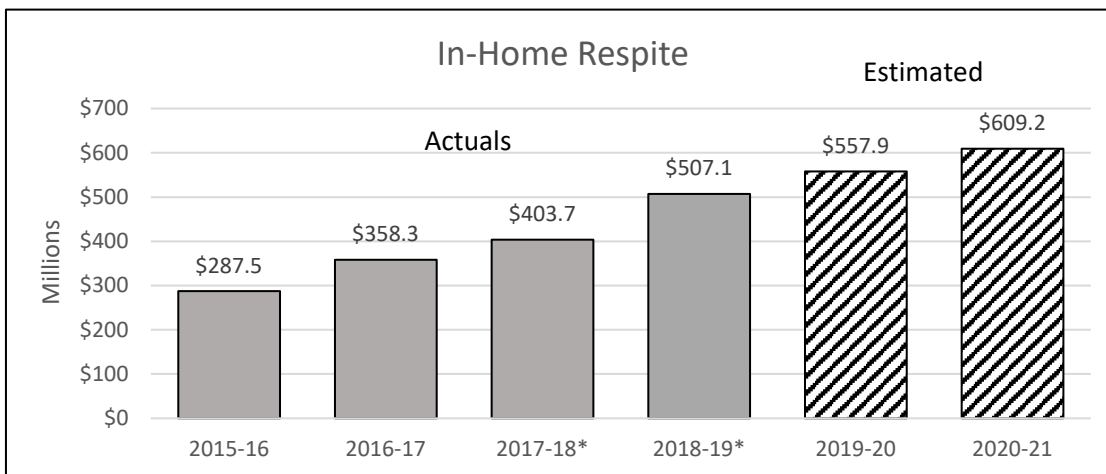
Purchase of Services
Total Expenditures
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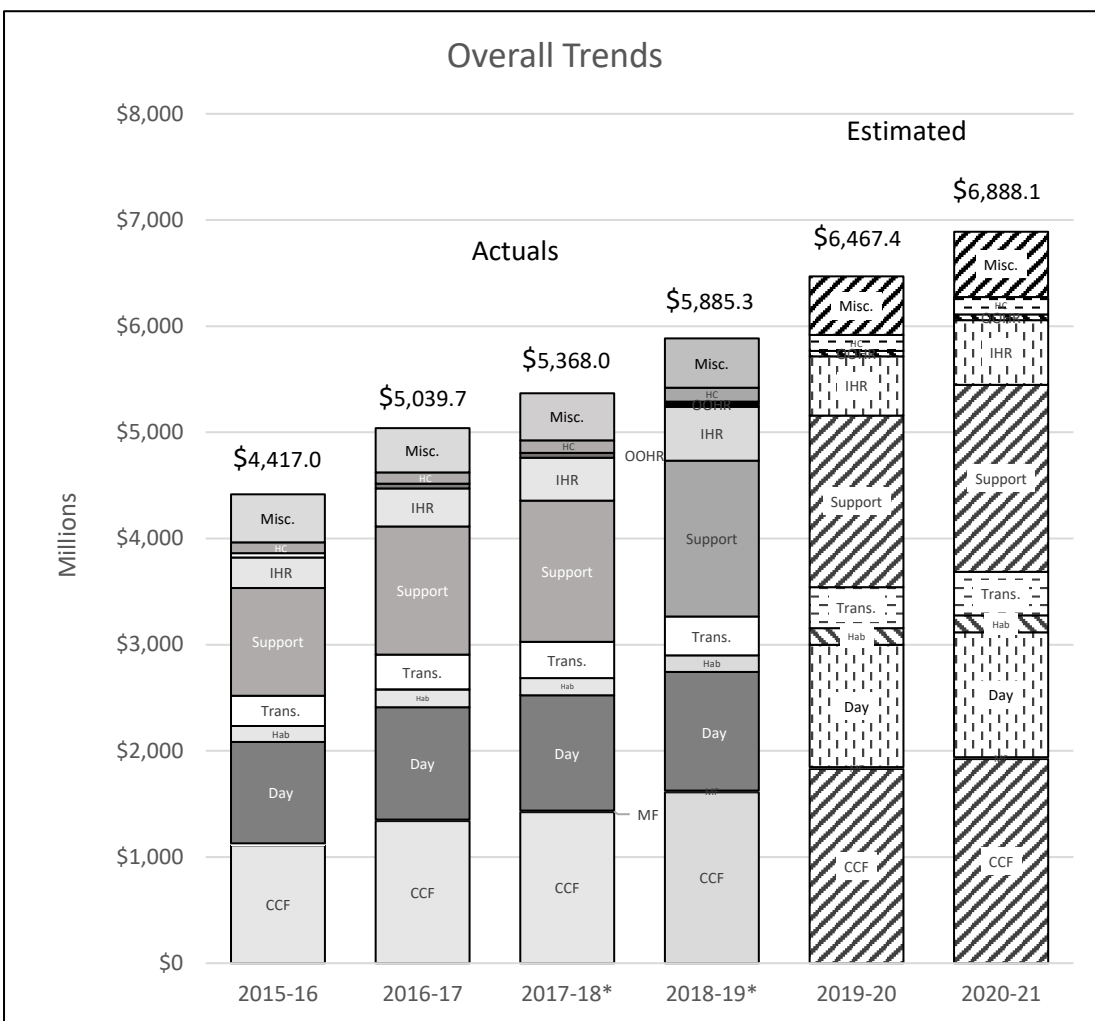
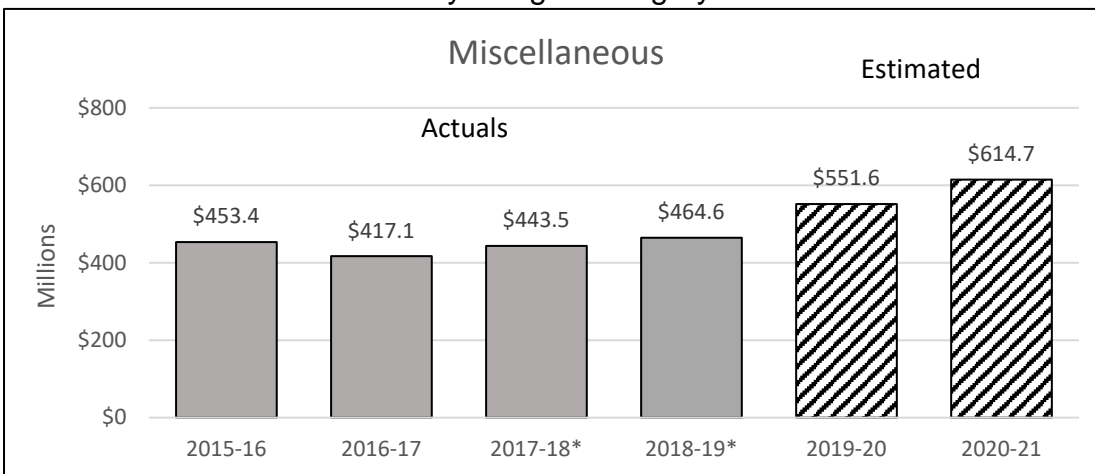
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2019.

** Totals for all years exclude Quality Assurance Fees

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2019.

** Totals for all years exclude Quality Assurance Fees

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Community Care Facilities					
TOTAL	\$1,776,202	\$1,830,065	\$53,863	\$1,922,024	\$145,822
GF	\$1,003,613	\$1,090,660	\$87,047	\$1,129,794	\$126,181
GF Match	761,171	725,985	(35,186)	778,808	17,637
GF Other	242,442	364,675	122,233	350,986	108,544
Reimbursement	\$772,589	\$739,405	(\$33,184)	\$792,230	\$52,825
HCBS Waiver	687,353	645,828	(41,525)	694,746	7,393
1915 (i) SPA	73,802	78,560	4,758	78,502	(58)
Title XX TANF	11,434	13,419	1,985	13,419	0
SDP Waiver	0	1,598	1,598	5,563	5,563
Medical Facilities					
TOTAL	\$16,929	\$18,088	\$1,159	\$18,439	\$351
GF	\$16,929	\$18,088	\$1,159	\$18,439	\$351
GF Other	16,929	18,088	1,159	18,439	351
Day Programs					
TOTAL	\$1,161,271	\$1,149,515	(\$11,756)	\$1,177,460	\$27,945
GF	\$642,657	\$642,216	(\$441)	\$645,046	\$2,830
GF Match	456,158	445,763	(10,395)	470,881	25,118
GF Other	186,499	196,453	9,954	174,165	(22,288)
Reimbursement	\$500,689	\$489,374	(\$11,315)	\$514,489	\$25,115
HCBS Waiver	327,773	307,386	(20,387)	330,668	2,895
1915 (i) SPA	62,823	69,968	7,145	69,915	(53)
Title XX Social Services	44,424	43,496	(928)	43,496	0
Title XX TANF	104	115	11	115	0
ICF-DD	36,988	36,266	(722)	36,266	0
EPSDT	28,577	31,382	2,805	31,381	(1)
SDP Waiver	0	761	761	2,648	1,887
Federal Funds	\$17,925	\$17,925	\$0	\$17,925	\$0
Early Start Grant	17,925	17,925	0	17,925	0

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Habilitation: WAP					
TOTAL	\$34,983	\$32,955	(\$2,028)	\$29,638	(\$5,345)
GF	\$17,293	\$18,397	\$1,104	\$14,396	(\$2,897)
GF Match	17,690	14,557	(3,133)	15,241	(2,449)
GF Other	(397)	3,840	4,237	(845)	(448)
Reimbursement	\$17,690	\$14,558	(\$3,132)	\$15,242	(\$2,448)
HCBS Waiver	15,011	11,698	(3,313)	12,584	(2,427)
1915 (i) SPA	2,334	2,552	218	2,278	(56)
Title XX TANF	0	1	1	1	1
ICF-DD	345	278	(67)	278	(67)
SDP Waiver	0	29	29	101	101
Habilitation: SEP-G					
TOTAL	\$89,235	\$84,206	(\$5,029)	\$82,972	(\$6,263)
GF	\$65,865	\$62,888	(\$2,977)	\$60,601	(\$5,264)
GF Match	23,370	21,317	(2,053)	22,369	(1,001)
GF Other	42,495	41,571	(924)	38,232	(4,263)
Reimbursement	\$23,370	\$21,318	(\$2,052)	\$22,371	(\$999)
HCBS Waiver	17,257	14,612	(2,645)	15,719	(1,538)
1915 (i) SPA	5,979	6,520	541	6,376	397
ICF-DD	134	150	16	150	16
SDP Waiver	0	36	36	126	126
Habilitation: SEP-I					
TOTAL	\$36,840	\$39,478	\$2,638	\$45,107	\$8,267
GF	\$30,775	\$32,619	\$1,844	\$37,530	\$6,755
GF Match	6,064	6,858	794	7,577	1,513
GF Other	24,711	25,761	1,050	29,953	5,242
Reimbursement	\$6,065	\$6,859	\$794	\$7,577	\$1,512
HCBS Waiver	3,572	3,769	197	4,054	482
1915 (i) SPA	2,469	3,057	588	3,467	998
ICF-DD	24	24	0	24	0
SDP Waiver	0	9	9	32	32

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2019-20	2020-21 Over	2020-21 Over Enacted Budget
Transportation						
TOTAL	\$381,918	\$387,712	\$5,794	\$410,246	\$22,534	\$28,328
GF	\$221,535	\$229,655	\$8,120	\$242,458	\$12,803	\$20,923
GF Match	151,859	149,660	(2,199)	159,393	9,733	7,534
GF Other	69,676	79,995	10,319	83,065	3,070	13,389
Reimbursement	\$160,383	\$158,057	(\$2,326)	\$167,788	\$9,731	\$7,405
HCBS Waiver	123,568	118,972	(4,596)	127,984	9,012	4,416
1915 (i) SPA	12,146	14,296	2,150	14,285	(11)	2,139
Title XX Social Services	7,881	7,737	(144)	7,737	0	(144)
Title XX TANF	644	658	14	658	0	14
ICF-DD	16,144	16,099	(45)	16,099	0	(45)
SDP Waiver	0	295	295	1,025	730	1,025

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2019-20	2020-21 Over	2020-21 Over Enacted Budget
Support Services						
TOTAL	\$1,700,447	\$1,616,685	(\$83,762)	\$1,761,727	\$145,042	\$61,280
GF	\$993,024	\$892,730	(\$100,294)	\$992,517	\$99,787	(\$507)
GF Match	632,810	650,549	17,739	695,805	45,256	62,995
GF Other	360,214	242,181	(118,033)	296,712	54,531	(63,502)
Reimbursement	\$707,158	\$723,690	\$16,532	\$768,945	\$45,255	\$61,787
HCBS Waiver	548,618	553,470	4,852	595,393	41,923	46,775
1915 (i) SPA	77,214	88,638	11,424	88,573	(65)	11,359
Title XX Social Services	59,424	59,351	(73)	59,351	0	(73)
Title XX TANF	11,127	10,329	(798)	10,329	0	(798)
ICF-DD	6,972	7,072	100	7,072	0	100
SDP Waiver	0	1,370	1,370	4,767	3,397	4,767
BHT - FFS	3,803	3,460	(343)	3,460	0	(343)
Federal Funds	\$265	\$265	\$0	\$265	\$0	\$0
Early Start Grant	265	265	0	265	0	0
In-Home Respite						
TOTAL	\$593,833	\$557,884	(\$35,949)	\$609,249	\$51,365	\$15,416
GF	\$342,835	\$295,066	(\$47,769)	\$331,283	\$36,217	(\$11,552)
GF Match	206,217	213,824	7,607	228,971	15,147	22,754
GF Other	136,618	81,242	(55,376)	102,312	21,070	(34,306)
Reimbursement	\$250,919	\$262,739	\$11,820	\$277,887	\$15,148	\$26,968
HCBS Waiver	183,640	185,235	1,595	199,266	14,031	15,626
1915 (i) SPA	22,578	28,130	5,552	28,109	(21)	5,531
Title XX Social Services	20,653	21,643	990	21,643	0	990
Title XX TANF	24,048	27,273	3,225	27,273	0	3,225
SDP Waiver	0	458	458	1,596	1,138	1,596
Federal Funds	\$79	\$79	\$0	\$79	\$0	\$0
Early Start Grant	79	79	0	79	0	0

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2020-21 Over 2019-20	2020-21 Over Enacted Budget
Out-of-Home Respite					
TOTAL	\$50,697	\$51,318	\$621	\$53,521	\$2,824
GF	\$26,779	\$27,176	\$397	\$27,991	\$1,212
GF Match	19,136	18,989	(147)	20,377	1,241
GF Other	7,643	8,187	544	7,614	(29)
Reimbursement	\$23,846	\$24,070	\$224	\$25,458	\$1,612
HCBS Waiver	17,247	16,964	(283)	18,249	1,002
1915 (i) SPA	1,890	1,983	93	1,982	92
Title XX Social Services	625	672	47	672	47
Title XX TANF	4,084	4,409	325	4,409	325
SDP Waiver	0	42	42	146	146
Federal Funds	\$72	\$72	\$0	\$72	\$0
Early Start Grant	72	72	0	72	0

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Health Care					
TOTAL	\$140,356	\$149,477	\$9,121	\$164,179	\$23,823
GF	\$119,407	\$129,227	\$9,820	\$142,960	\$23,553
GF Match	17,094	16,219	(875)	17,187	93
GF Other	102,313	113,008	10,695	125,773	23,460
Reimbursement	\$18,813	\$18,114	(\$699)	\$19,083	\$270
HCBS Waiver	12,847	11,869	(978)	12,768	(79)
1915 (i) SPA	4,247	4,261	14	4,258	11
Title XX TANF	1,699	1,896	197	1,896	197
ICF-DD	20	59	39	59	39
SDP Waiver	0	29	29	102	102
Federal Funds	\$2,136	\$2,136	\$0	\$2,136	\$0
Early Start Grant	2,136	2,136	0	2,136	0
Miscellaneous					
TOTAL	\$557,207	\$551,617	(\$5,590)	\$614,710	\$57,503
GF	\$444,038	\$438,041	(\$5,997)	\$497,155	\$53,117
GF Match	61,007	65,719	4,712	69,662	8,655
GF Other	383,031	372,322	(10,709)	427,493	44,462
Reimbursement	\$98,012	\$98,419	\$407	\$102,360	\$4,348
HCBS Waiver	47,334	48,290	956	51,948	4,614
1915 (i) SPA	13,457	17,063	3,606	17,050	3,593
Title XX Social Services	3,257	3,365	108	3,365	108
Title XX TANF	24,017	19,057	(4,960)	19,057	(4,960)
ICF-DD	219	248	29	248	29
BHT - FFS	9,728	10,276	548	10,276	548
SDP Waiver	0	120	120	416	416
Federal Funds	\$12,915	\$12,915	\$0	\$12,915	\$0
Early Start Grant	12,915	12,915	0	12,915	0
Program Development Fund	\$2,242	\$2,242	\$0	\$2,280	\$38

Purchase of Services Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2019-20	2020-21 Over	2020-21 Over Enacted Budget
Quality Assurance Fees						
TOTAL	\$9,855	\$9,750	(\$105)	\$9,750	\$0	(\$105)
Reimbursement	\$9,855	\$9,750	(\$105)	\$9,750	\$0	(\$105)
QAF Admin Fees	4,928	4,875	(53)	4,875	0	(53)
Transfer from DHCS	4,927	4,875	(52)	4,875	0	(52)
Total Purchase of Service Funding Detail						
TOTAL	\$6,549,773	\$6,478,750	(\$71,023)	\$6,899,022	\$420,272	\$349,249
GF	\$3,924,750	\$3,876,763	(\$47,987)	\$4,140,170	\$263,407	\$215,420
GF Match	2,352,576	2,329,440	(23,136)	2,486,271	156,831	133,695
GF Other	1,572,174	1,547,323	(24,851)	1,653,899	106,576	81,725
Reimbursement	\$2,589,389	\$2,566,353	(\$23,036)	\$2,723,180	\$156,827	\$133,791
HCBS Waiver	1,984,220	1,918,093	(66,127)	2,063,379	145,286	79,159
1915 (i) SPA	278,939	315,028	36,089	314,795	(233)	35,856
Title XX Social Services	136,264	136,264	0	136,264	0	0
Title XX TANF	77,157	77,157	0	77,157	0	0
ICF-DD	60,846	60,196	(650)	60,196	0	(650)
EPSDT	28,577	31,382	2,805	31,381	(1)	2,804
QAF Admin Fees	4,928	4,875	(53)	4,875	0	(53)
Transfer from DHCS	4,927	4,875	(52)	4,875	0	(52)
BHT - FFS	13,531	13,736	205	13,736	0	205
SDP Waiver	0	4,747	4,747	16,522	11,775	16,522
Federal Funds	\$33,392	\$33,392	\$0	\$33,392	\$0	\$0
Early Start Grant	33,392	33,392	0	33,392	0	0
Program Development Fund	\$2,242	\$2,242	\$0	\$2,280	\$38	\$38

* numbers may be adjusted slightly due to rounding

Table of Contents

SECTION H: COMMUNITY PLACEMENT PLAN

Community Placement Plan	H-1
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Community Placement Plan

DESCRIPTION:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) [e.g., Mental Health Rehabilitation Centers (MHRCs)] that are ineligible for federal financial participation (FFP), and out-of-state placements. This estimate comprises projected costs and historical experience derived from individualized assessments of community services and support needs, and reflects what is needed to place an individual from a developmental center, IMD, MHRC, or out-of-state placement into the community, in addition to deflecting an individual to avoid admission to an acute crisis facility, a mental health facility ineligible for FFP, or an out-of-state placement. It also reflects costs associated with performing individualized assessments and developing necessary community resources. CPPs are developed through negotiations with each regional center and are based on individualized planning efforts. CPP was first implemented in 2002-03.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Establish resources in the community for individuals transitioning from another environment.
- Facilitate transitions to the community from a developmental center, IMD, or out-of-state placement, as well as to deflect the admission of individuals to acute crisis units, IMD, or out-of-state placements.
- Work with the developmental centers and families in identifying individuals for movement.
- Stabilize current community living arrangements.

Regular CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for office space, furniture, computers, travel, training, and rent.

Purchase of Services

- **Start-Up:** Start-Up funding for the development of residential and non-residential services in the community for individuals transitioning from a developmental center, IMD, or out-of-state placement.
- **Assessment:** Assessment funding for comprehensive assessments for individuals who are living in developmental centers, and planning for services when individuals move from the developmental center.
- **Placement:** Placement funds to cover costs of consumers moving into community settings based on consumer-specific information.
- **Deflection:** Deflection funding POS for residential costs of facilities developed with prior year CPP POS Start-Up funding to deflect admissions into more restrictive settings.

Community Placement Plan

ASSUMPTIONS:

- Due to the announced plans to close the Sonoma, Fairview, and Porterville General Treatment Area developmental centers, additional regional center Operations and POS funding is needed to ensure there are adequate resources available for safe and successful transitions of consumers to community living.
- Department of Health Care Services approved rates used for:
 - Intermediate Care Facilities/Developmentally Disabled – Nursing Facilities
 - Intermediate Care Facilities/Developmentally Disabled – Habilitation Facilities

FUNDING:

CPP expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver.

EXPENDITURES:

	2019-20	2020-21
Operations		
<i>Regular</i>	15,265	15,265
Total Regional Center Operations	15,265	15,265
Regular Regional Center POS		
<i>Community Care Facilities</i>	27,298	18,319
<i>Medical Facilities</i>	185	124
<i>Day Programs</i>	229	154
<i>Work Activity Program</i>	0	0
<i>Transportation</i>	111	75
<i>Support Services</i>	3,271	2,195
<i>In-Home Respite</i>	20	14
<i>Out-of-Home Respite</i>	20	14
<i>Health Care</i>	2,067	1,387
<i>Miscellaneous Services</i>	30,477	30,307
Total Regular Regional Center POS	63,678	52,589
TOTAL	\$78,943	\$67,854
GF	\$68,284	\$63,058
GF Match	10,659	4,796
GF Other	57,625	58,262
Reimbursements	\$10,659	\$4,796
<i>HCBS Waiver</i>	10,659	4,796

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2019-20 and 2020-21
Regular CPP**

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
I. OPERATIONS	\$15,265	\$15,265	\$0	\$15,265	\$0	\$0
II. PURCHASE OF SERVICES (POS)						
A. Start-Up	\$27,265	\$27,265	\$0	\$27,265	\$0	\$0
B. Assessment	\$2,700	\$2,700	\$0	\$2,700	\$0	\$0
C. Placement	\$22,624	\$33,713	\$11,089	\$22,624	-\$11,089	\$0
D. SUBTOTAL POS	\$52,589	\$63,678	\$11,089	\$52,589	-\$11,089	\$0
III. TOTAL CPP	\$67,854	\$78,943	\$11,089	\$67,854	-\$11,089	\$0
IV. FUND SOURCES						
A. TOTAL CPP	\$67,854	\$78,943	\$11,089	\$67,854	-\$11,089	\$0
B. GF	\$62,277	\$68,284	\$6,007	\$63,058	-\$5,226	\$781
C. Reimbursements	\$5,577	\$10,659	\$5,082	\$4,796	-\$5,863	-\$781

Community Placement Plan
Purchase of Services
Summary of Costs
2019-20

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transpor- tation	Support Services	In-Home Respite	Out- of- Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,700	\$2,700
C. Placement	\$27,298	\$185	\$1,231	\$229	\$0	\$111	\$2,040	\$20	\$20	\$2,067	\$512	\$33,713
D. 2020-21 Governor's Budget 2019-20 TOTAL	\$27,298	\$185	\$1,231	\$229	\$0	\$111	\$2,040	\$20	\$20	\$2,067	\$30,477	\$63,678
E. Enacted Budget 2019-20 TOTAL	\$16,784	\$337	\$740	\$278	\$5	\$97	\$1,317	\$0	\$215	\$2,224	\$30,592	\$52,589
F. DIFFERENCE	\$10,514	-\$152	\$491	-\$49	-\$5	\$14	\$723	\$20	-\$195	-\$157	-\$115	\$11,089

a/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Summary of Costs
2020-21

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transpor- tation	Support Services	In-Home Respite	Out- of- Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,700	\$2,700
C. Placement	\$18,319	\$124	\$826	\$154	\$0	\$75	\$1,369	\$14	\$14	\$1,387	\$342	\$22,624
D. 2020-21 Governor's Budget 2020-21 TOTAL	\$18,319	\$124	\$826	\$154	\$0	\$75	\$1,369	\$14	\$14	\$1,387	\$30,307	\$52,589
E. Enacted Budget 2019-20 TOTAL	\$16,784	\$337	\$740	\$278	\$5	\$97	\$1,317	\$0	\$215	\$2,224	\$30,592	\$52,589
F. DIFFERENCE	\$1,535	-\$213	\$86	-\$124	-\$5	-\$22	\$52	\$14	-\$201	-\$837	-\$285	\$0

a/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2019-20

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$27,298	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$30	\$27,328
● Intermediate Care Facilities (ICF)	\$0	\$185	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$185
● Supported Living	\$0	\$0	\$1,231	\$229	\$0	\$0	\$0	\$111	\$0	\$0	\$20	\$2,067	\$482	\$4,140
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,040	\$20	\$0	\$0	\$0	\$2,060
TOTAL	\$27,298	\$185	\$1,231	\$229	\$0	\$0	\$0	\$111	\$2,040	\$20	\$20	\$2,067	\$512	\$33,713

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2020-21

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$18,319	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$20	\$18,339
● Intermediate Care Facilities (ICF)	\$0	\$124	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$124
● Supported Living	\$0	\$0	\$826	\$154	\$0	\$0	\$0	\$75	\$0	\$0	\$14	\$1,387	\$322	\$2,778
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,369	\$14	\$0	\$0	\$0	\$1,383
TOTAL	\$18,319	\$124	\$826	\$154	\$0	\$0	\$0	\$75	\$1,369	\$14	\$14	\$1,387	\$342	\$22,624

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Values in thousands (000's)

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General Fund

DESCRIPTION:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

ASSUMPTIONS/METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>2019-20</u>	<u>2020-21</u>
• GF Match		\$2,741,767	\$3,131,565
This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Service (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally- recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:			
	FMAP % of GF Match		
Home and Community-Based Services (HCBS) Waiver	50.00%	2,049,652	2,374,348
HCBS Waiver Administration	50.00%	18,094	18,461
Medicaid Administration	25.00%	5,884	5,884
Targeted Case Management (TCM)	50.00%	220,824	234,126
TCM Administration	50.00%	8,301	8,301
Intermediate Care Facility/Developmentally Disabled	50.00%	60,195	60,195
Quality Assurance Fees (Operations) Policy only*	50.00%	903	903
1915 (i) State Plan Amendment	50.00%	341,790	381,446
Early Periodic Screening Diagnosis and Treatment	50.00%	31,381	31,381
Self-Determination Program Waiver	50.00%	4,746	16,522
• GF Other:		\$1,945,133	\$2,182,487
These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, or Federal Funds.			
• TOTAL EXPENDITURES		\$4,686,900	\$5,314,052

General Fund

FUNDING:

These amounts are reflected in the Operations and Purchase of Services methodology pages.

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$4,686,900	\$5,314,052
GF	\$4,686,900	\$5,314,052
GF Match	2,741,767	3,131,565
GF Other	1,945,133	2,182,487

* For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget.

Reimbursements
Summary of Reimbursements and General Fund (GF) Match

REIMBURSEMENTS a/	Enacted Budget	Updated 2019-20	2020-21
A. Home and Community-Based Services (HCBS) Waiver	\$4,230,782	\$4,099,304	\$4,748,696
1. Reimbursement (from DHCS)	2,115,891	2,049,652	2,374,348
2. DDS GF Match (Purchase of Services)	2,114,891	2,049,652	2,374,348
B. HCBS Waiver Administration	\$38,474	\$36,188	\$36,922
1. Reimbursement (from DHCS) = 50%	19,237	18,094	18,461
2. DDS GF Match = 50% (Operations)	19,237	18,094	18,461
C. Medicaid Administration	\$23,877	\$23,537	\$23,537
1. Reimbursement (from DHCS) = 75%	17,908	17,653	17,653
2. DDS GF Match = 25% (Operations)	5,969	5,884	5,884
D. Targeted Case Management (TCM)	\$494,250	\$441,649	\$468,252
1. Reimbursement (from DHCS) = 50%	247,125	220,825	234,126
2. DDS GF Match = CY 50% and BY 50% (Operations)	247,125	220,824	234,126
E. Targeted Case Management Administration	\$16,274	\$16,602	\$16,602
1. Reimbursement (from DHCS) = 50%	8,137	8,301	8,301
2. DDS GF Match = 50% (Operations)	8,137	8,301	8,301
F. Title XX Block Grant	\$213,421	\$213,421	\$213,421
1a. Social Services (from DSS) = 100%	136,264	136,264	136,264
1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100% (Purchase of Services)	77,157	77,157	77,157
G. Intermediate Care Facility-Developmentally Disabled (ICF-DD)	\$121,691	\$120,391	\$120,391
1. Reimbursement (from DHCS) = 50%	60,846	60,196	60,196
2. DDS GF Match = 50% (Purchase of Services)	60,845	60,195	60,195
H. Quality Assurance Admin Fees (Transfer from DHCS) ^{b/}	\$11,680	\$11,556	\$11,556
1a. Operations:	1,825	1,806	1,806
Reimbursements from DHCS = 50%	913	903	903
DDS GF Match = 50%	912	903	903
1b. Purchase of Services:	9,855	9,750	9,750
Reimbursements from DHCS = 100%	9,855	9,750	9,750
I. 1915(i) State Plan Amendment	\$609,495	\$683,581	\$762,893
1. Reimbursement (from DHCS)	304,746	341,791	381,447
2. DDS GF Match (Purchase of Services)	304,749	341,790	381,446
J. Impacts from Other Departments BHT-FFS	\$13,531	\$13,736	\$13,736
1. Reimbursement (from DHCS) = 100% (Purchase of Services)	13,531	13,736	13,736
K. Early Periodic Screening Diagnosis and Treatment	\$57,154	\$62,763	\$62,762
1. Reimbursement (from DHCS) = 50%	28,577	31,382	31,381
2. DDS GF Match = 50% (Purchase of Services)	28,577	31,381	31,381
L. Self-Determination Program Waiver	\$0	\$9,493	\$33,044
1. Reimbursement (from DHCS) = 50%	0	4,747	16,522
2. DDS GF Match = 50% (Purchase of Services)	0	4,746	16,522
M. CalFresh	\$0	\$1,050	\$0
1. Reimbursement (from DSS) = 100% (Operations)	0	1,050	0
N. TOTAL	\$5,830,629	\$5,733,271	\$6,511,812
1. Reimbursements	\$3,040,187	\$2,991,501	\$3,380,245
2. Total GF Match	\$2,790,442	\$2,741,770	\$3,131,567

Values in thousands (000's)

Home and Community-Based Waiver

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for the developmentally disabled.

ASSUMPTIONS:

Data Source: Medicaid Waiver Total Billed Dollar Amounts and Client Counts report, based on 2018-19.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Base: 2018-19	\$3,722,811	\$4,032,400
Total estimated expenditures based on billing data for July 2018 – June 2019	3,722,811	
• Total estimated prior-year HCBS Waiver expenditures		4,052,752
• Less Competitive, Integrated Employment Incentives		-15,840
• Less Compliance with HCBS Regulations		-7,440
• Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facility.		2,928
• Annual Growth, New regional center consumers:	73,161	66,606
• 2019-20 Annual Growth	38,472	
Add annual growth costs in 2019-20 for 3,768 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,500 monthly cost per consumer, phased-in.		
• 2019-20 Annual Growth Continuation costs for regional center consumers added in 2018-19.	34,689	
• 2020-21 Annual Growth		37,254
Add annual growth costs in 2020-21 for 3,818 consumers who will be new to the Regional Center (RC) system and added to the HCBS Waiver with \$1,500 monthly cost per consumer, phased-in.		
• 2020-21 Annual Growth, Continuation costs for regional center consumers added in 2019-20.		29,352

Home and Community-Based Waiver

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
• Community Placement Program (CPP):		
• 2019-20	21,318	
Add CPP & HCBS Waiver costs in 2019-20 for 120 consumers in the regional center system with \$22,000 monthly cost per consumer, phased-in.		
• 2020-21		9,592
Add CPP & HCBS Waiver costs in 2020-21 for 70 consumers in the regional center system with \$22,000 monthly cost per consumer, phased-in.		
• Placement Continuation:		
• 2019-20	21,824	
The annual estimated Placement Continuation costs for 205 HCBS Waiver-eligible consumers in each living arrangement with \$22,000 monthly cost per consumer, phased-in.		
• 2020-21		21,318
The annual estimated Placement Continuation costs for 120 HCBS Waiver-eligible consumers in each living arrangement with \$22,000 monthly costs per consumer, phased-in		
• SSI/SSP Increase/Regional center savings in Community Care Facilities	-2,928	-3,158
• ABX2 1 Competitive, Integrated Employment Incentives	15,840	15,840
• Uniform Holiday Schedule	29,234	30,712
• Performance Incentive Program	0	31,500
• Provider Supplemental Rate Increases POS	142,888	298,350
• Compliance with HCBS Regulations	7,440	7,440
• SB3 – Minimum Wage Increase, January 1, 2020	67,716	145,022
• SB3 – Minimum Wage Increase, January 1, 2021	0	89,600
• START Training	0	3,474

Home and Community-Based Waiver

FUNDING:

The expenditures of HCBS Waiver reimbursements are in all of the Purchase of Services budget categories, except Medical Facilities.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$4,099,304	\$4,748,696
GF	2,049,652	2,374,348
Reimbursement	2,049,652	2,374,348

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

EXPENDITURES:

		% of Actual 2018-19 Expenditures by <u>Budget Category</u>	<u>2019-20</u>	<u>2020-21</u>
Total Estimated HCBS Waiver Billable Expenditures			\$4,099,304	\$4,748,696
General Fund (GF) Match			2,049,652	2,374,348
Federal financial participation (FFP)			2,049,652	2,374,348
Purchase of Services: Budget Categories				
Community Care Facilities			1,291,655	1,389,491
GF Match	33.67%		645,827	694,745
FFP			645,828	694,746
Day Programs			614,773	661,338
GF Match	16.03%		307,387	330,670
FFP			307,386	330,668
Work Activity Program			23,396	25,168
GF Match	0.61%		11,698	12,584
FFP			11,698	12,584
Supported Employment Placement (SEP) - Group			29,224	31,437
GF Match	0.76%		14,612	15,718
FFP			14,612	15,719
SEP - Individual			7,537	8,108
GF Match	0.20%		3,768	4,054
FFP			3,769	4,054
Transportation			237,944	255,967
GF Match	6.20%		118,972	127,983
FFP			118,972	127,984
Support Services			1,106,940	1,190,786
GF Match	28.86%		553,470	595,393
FFP			553,470	595,393
In-Home Respite			370,471	398,533
GF Match	9.66%		185,236	199,267
FFP			185,235	199,266

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):

		% of Actual 2018-19 Expenditures by <u>Budget Category</u>	<u>2019-20</u>	<u>2020-21</u>
Out-of-Home Respite			\$33,928	\$36,498
	GF Match	0.88%	16,964	18,249
	FFP		16,964	18,249
Health Care			23,738	25,536
	GF Match	0.62%	11,869	12,768
	FFP		11,869	12,768
Miscellaneous			96,580	103,896
	GF Match	2.52%	48,290	51,948
	FFP		48,290	51,948
Policies				
Provider Supplemental Rate Increases POS			142,888	298,350
	GF Match		71,444	149,175
	FFP		71,444	149,175
ABX2 1 – CIE Incentives			15,840	15,840
	GF Match		7,920	7,920
	FFP		7,920	7,920
Compliance with HCBS Regulations			7,440	7,440
	GF Match		3,720	3,720
	FFP		3,720	3,720
Uniform Holiday Schedule			29,234	30,712
	GF Match		14,617	15,356
	FFP		14,617	15,356
SB3 Minimum Wage, January 1, 2020			67,716	145,022
	GF Match		33,858	72,511
	FFP		33,858	72,511
SB3 Minimum Wage, January 1, 2021			0	89,600
	GF Match		0	44,800
	FFP		0	44,800

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):

	% of Actual 2018-19 Expenditures by <u>Budget Category</u>	<u>2019-20</u>	<u>2020-21</u>
Performance Incentive Program		\$0	\$31,500
GF Match		0	15,750
FFP		0	15,750
START Training		0	3,474
GF Match		0	1,737
FFP		0	1,737

Home and Community-Based Waiver Administration

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

ASSUMPTIONS/METHODOLOGY:

Training for Direct Support Professional Staff:

Welfare and Institutions Code Sections 4695.2, Statutes of 1998, mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program is conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). Estimate of the Operations costs covers the challenge tests and training through the IA with CDE.

	<u>2019-20</u>	<u>2020-21</u>
Training for DSP Staff	\$2,811	\$2,811
<ul style="list-style-type: none"> Total cost for DSP Training is \$3,212. 88% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 88% of the cost are eligible for federal financial participation (FFP). These costs are reflected under Operations, Projects. 		

Collection of FFP for Contracted Services:

Regional center staff contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	738	738
<ul style="list-style-type: none"> Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893. 39% of the costs are eligible for FFP. These costs are reflected under Operations, Staffing. 		

Home and Community-Based Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

	<u>2019-20</u>	<u>2020-21</u>
FFP Enhancement (related to 2003-04 Enhancing FFP Budget Change Proposal)	\$10,740	\$10,740

- Total regional center administrative cost for 2019-20 and 2020-21 is \$10,740.
- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

2007-08 Legislative Augmentation: Resources for Health Care Community Specialist:	1,075	1,075
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- Total cost for Resources for Health Care Community Specialist is \$1,097.
 - 98% of Agnews and Lanterman consumers are HCBS Waiver eligible, therefore it is assumed that 98% of these costs are eligible for FFP.
- These costs are reflected under Operations, Agnews Ongoing Workload and Lanterman Development Closure.

Compliance with HCBS Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:	1,422	1,422
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- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in 2019-20 and 2020-21.
 - 100% of costs are eligible for FFP.
- These costs are reflected under Operations, Operations Policies Items.

Home and Community-Based Waiver Administration

ASSUMPTIONS/METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
Federal Medicaid Requirements for Regional Center HCBS Services	\$984	\$984
<ul style="list-style-type: none"> • Total cost: \$984 • 100% of costs are eligible for FFP. <p>These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.</p>		
Office of Administrative Hearings:	1,586	1,665
<ul style="list-style-type: none"> • Total cost for Resources for Health Care Community Specialist in 2019-20 is \$3,525 and \$3,700 in 2020-21. • 45% of costs are eligible for FFP. <p>These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects.</p>		
Clients Rights Advocacy:	3,367	3,546
<ul style="list-style-type: none"> • Total cost for 2019-20 is \$7,483 and \$7,880 for 2020-21. • 45% of costs are eligible for FFP. <p>These costs are reflected in the Client Rights Advocacy estimate under Operations, Projects.</p>		
Quality Assessment Contract:	2,025	2,025
<ul style="list-style-type: none"> • Total cost for 2019-20 is \$4,500 and \$4,500 for 2020-21. • 45% of costs are eligible for FFP. <p>These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects.</p>		
Special Incident Reporting/Risk Assessment:	468	468
<ul style="list-style-type: none"> • Total cost is \$1,200 for 2019-20 and 2020-21. • 39% of costs are eligible for FFP. <p>These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects.</p>		
Regional Center Salary Increase:	722	722
<ul style="list-style-type: none"> • Total cost for 2019-20 and 2020-21 is \$722. • 100% of costs are eligible for FFP. <p>These costs are reflected under Operations, Operations Policies Items.</p>		

Home and Community-Based Waiver Administration

ASSUMPTIONS/METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
Resources to Implement Assembly Bill X2 1	\$2,664	\$2,664
<ul style="list-style-type: none"> • Total cost for 2019-20 and 2020-21 is \$2,664. • 100% of costs are eligible for FFP. These costs are reflected under Operations, Operations Policies Items.		
Specialized Home Monitoring:	3,686	4,162
<ul style="list-style-type: none"> • Total costs for 2019-20 is \$3,686 and 2020-21 is \$4,162. • 100% of costs are eligible for FFP These costs are reflected under Operations, Operations Policies Items.		
Oversight and Accountability	3,900	3,900
<ul style="list-style-type: none"> • Total costs for 2019-20 is \$3,900 and 2020-21 is \$3,900. • These costs are reflected under Operations, Operations Policies Items. 		
• TOTAL EXPENDITURES	\$36,188	\$36,922

FUNDING:

Funding for HCBS Waiver Administration reimbursement is 50% FFP and 50% General Fund (GF).

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$36,188	\$36,922
GF	18,094	18,461
Reimbursement	18,094	18,461

Self-Determination Program Waiver

DESCRIPTION:

On December 31, 2014, the Department submitted an HCBS Waiver application to Centers for Medicare and Medicaid Services (CMS) seeking federal funding for the Self-Determination Program (SDP). Under the authority of Senate Bill (SB) 468 (Chapter 683, Statutes of 2013) and upon CMS approval, the Department is implementing the SDP, allowing regional center consumers and their families more freedom, control and responsibility in choosing services and supports to help them meet objectives in their individual program plans. Under the provisions of SB 468, participation was limited to 2,500 individuals for the first three years of implementation. The SDP waiver was approved by the CMS on June 7, 2018. The initial 2,500 participants were selected October 1, 2018. After June 7, 2021, the program will be available to all eligible consumers. Participants can only purchase services and supports that are approved by the federal government and listed in the SDP waiver.

ASSUMPTIONS

Data Source: Self-Determination Pilot Program total billed dollar amounts and client counts for 2018-19. Funding was distributed to each budget category based on the % of actual HCBS waiver expenditures in 2018-19 by budget category. See the HCBS Waiver methodology page in Fund Sources for actual percentages.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
• <i>Community Care Facilities</i>	\$3,196	\$11,125
• <i>Day Programs</i>	1,521	5,295
• <i>Work Activity Program</i>	58	202
• <i>Supported Employment Placement (SEP) / Group</i>	72	252
• <i>SEP / Individual</i>	19	65
• <i>Transportation</i>	589	2,051
• <i>Support Services</i>	2,739	9,535
• <i>In-Home Respite</i>	917	3,191
• <i>Out-of-Home Respite</i>	84	292
• <i>Health Care</i>	59	204
• <i>Miscellaneous</i>	239	832
• Total Expenditures:	\$9,493	\$33,044

Self-Determination Program Waiver

FUNDING:

The expenditures of Self-Determination Program Waiver reimbursements are in all the Purchase of Services budget categories, except Medical Facilities.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$9,493	\$33,044
FFP	4,746	16,522
GF Match	4,747	16,522

Medicaid Administration

DESCRIPTION:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statute of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Agnews Ongoing Workload (AOW) and Lanterman Development Center Closure (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Consistent with the passage of Assembly Bill 1378 (Chapter 538, Statute of 2005), AOW employees provide clinical support services to monitor the health and well-being of Agnews residents who transitioned into the community consistent with the Department's Interagency Agreement for MA with the Department of Health Care Services.

Nurse consultants monitor consumer health care plans and health care for Agnews and Lanterman residents living in SB 962 homes. Eleven dental coordinators promote and coordinate dental resources for Agnews and Lanterman residents that have moved into the community.

State employees in the community may participate in all MA activities, including clinical support teams, SB 1038 health reviews, and compliance with HCBS Waiver requirements. (AOW only)

Medicaid Administration

ASSUMPTIONS/METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
• Costs for Clinical Support Teams and SB 1038 Health Reviews are based on 2017-18 data collected from the regional centers.	\$13,508	\$13,508
• The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75%.	10,131	10,131
• Staffing for Compliance with HCBS Waiver Requirements		
• Total personal services and operating costs related to MA. The costs are 100% eligible for MA because related staff will be working only with HCBS Waiver consumers.	2,600	2,600
• The FFP portion of total MA-eligible costs is 75%.	1,950	1,950
• Agnews Ongoing Workload and Lanterman Developmental Closure		
• Nurse Consultants		
• Total personal services and operating costs related to MA. Consistent with the Community Placement Plan (CPP) assumptions, 98% of costs are eligible for MA.	1,308	1,308
• The FFP portion of total MA-eligible costs is 75%.	981	981
• State Employees in the Community		
• Total personal services and operating costs related to MA. Consistent with CPP assumptions, 98% of costs are eligible for MA.	530	530
• The FFP portion of total MA-Eligible costs is 75%.	398	398
• Dental Coordinators		
• Total personal services and operating costs related to MA. Consistent with CPP assumptions, 98% of costs are eligible for MA.	785	785
• The FFP portion of total MA-eligible costs is 75%.	589	589

Medicaid Administration

ASSUMPTIONS/ METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
• Regional Center Salary Increase:		
• Increased funding for regional center staff and Operations.	\$1,510	\$1,510
• It is assumed that 100% of costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	1,132	1,132
• DC Closure Ongoing Workload:		
• Total personal services, operating and placement continuation costs related to MA.	3,296	3,296
• It is assumed that 100% of costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	2,472	2,472

FUNDING:

The MA reimbursement is 75% FFP and 25% General Fund (GF). These MA funds are reflected in Operations section.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$23,537	\$23,537
GF Match	5,884	5,884
FFP	17,653	17,653

Targeted Case Management

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 225,000 Medi-Cal eligible persons in the regional center system as of August 9, 2019. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This program provides federal financial participation (FFP) for most of regional center case managers time spent on Medi-Cal eligible activities.

ASSUMPTIONS:

Source data is from the TCM Regional Center Billed Units report dated September 9, 2019 for the period of June 2018- June 2019.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> • Base: TCM expenditures are based on actual TCM billable units for a 12-month period (June 2018 – June 2019 [excludes May 2019]) multiplied by the regional center TCM rates effective July 1, 2019. 	\$402,439	\$418,468
<ul style="list-style-type: none"> • Improve Service Coordinator Caseload Ratios 	8,000	8,000
<ul style="list-style-type: none"> • Regional Center Salary Increases 	26,014	26,014
<ul style="list-style-type: none"> • Psychological Evaluations for BHT – Fee for Service 	758	758
<ul style="list-style-type: none"> • Specialized Caseload Ratios 	2,400	2,400
<ul style="list-style-type: none"> • Trauma Informed Services for Foster Youth 	1,000	1,000
<ul style="list-style-type: none"> • Family Home Agency Oversight 	1,038	1,038
<ul style="list-style-type: none"> • Enhanced Caseload Ratios for Consumers Ages 3 and 4 	0	10,574
Total Expenditures	\$441,649	\$468,252

FUNDING:

Funding for TCM reimbursement is 50% FFP and 50% General Fund (GF). TCM funds are reflected in the Core Staffing, Federal Compliance, and Operations Policy Items estimates, in the Operations section.

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$441,649	\$468,252
GF	220,824	234,126
Reimbursement	220,825	234,126

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services for specific client groups. There are approximately 225,000 Medi-Cal eligible persons in the regional center system as of August 9, 2019. Federal legislation enacted in 1986 defined case management services as those which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the regional center case manager's time spent on Medi-Cal eligible developmentally disabled persons and the cost of providing administrative support to the case management program are both eligible for federal financial participation (FFP). These TCM Administration funds are for the proper and efficient administration of the TCM program.

ASSUMPTIONS:

Source of Data: The Departments Fiscal Systems - California State Accounting and Reporting System.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Utilizing a time survey, the Department gathers records of time spent by the Department's headquarter personnel providing administrative case management assistance to the regional centers. These surveys are used to allocate headquarters salaries, wages, and benefits, as well as a portion of the Department's statewide cost allocation, to administrative case management support.	\$16,602	\$16,602

FUNDING:

Funding for the TCM Administration reimbursement is 50% FFP and 50% General Fund (GF). TCM Administration funds are reflected in the Core Staffing estimate under Operations.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$16,602	\$16,602
GF Match	8,301	8,301
FFP	8,301	8,301

Title XX Block Grant

DESCRIPTION:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200% of the income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

ASSUMPTIONS:

The Department's portion of the Title XX Block Grant is determined by DSS. There are no state matching requirements for these funds.

	<u>2019-20</u>	<u>2020-21</u>
Total	\$213,421	\$213,421
Social Services	136,264	136,264
TANF	77,157	77,157

FUNDING:

The Title XX Block Grant amount is determined by the DSS.

Title XX Block Grant

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total Title XX Block Grant – Social Services	\$213,421	\$213,421
Estimated Distribution in Regional Center Purchases of Services	\$136,264	\$136,264
<i>Day Programs</i>	43,496	43,496
<i>Transportation</i>	7,737	7,737
<i>Support Services</i>	59,351	59,351
<i>In-Home Respite</i>	21,643	21,643
<i>Out-of-Home Respite</i>	672	672
<i>Miscellaneous</i>	3,365	3,365
TANF		
Estimated Distribution in Regional Center Purchases of Services	\$77,157	\$77,157
<i>Community Care Facilities</i>	13,419	13,419
<i>Day Programs</i>	115	115
<i>Habilitation Services</i>	1	1
<i>Transportation</i>	658	658
<i>Support Services</i>	10,329	10,329
<i>In-Home Respite</i>	27,273	27,273
<i>Out-of-Home Respite</i>	4,409	4,409
<i>Healthcare</i>	1,896	1,896
<i>Miscellaneous</i>	19,057	19,057

Intermediate Care Facility – Developmentally Disabled State Plan Amendment

DESCRIPTION:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Program and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011.

IMPLEMENTATION DATE:

The SPA was approved April 14, 2011, retroactive to July 1, 2007.

ASSUMPTIONS/METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from 2018-19.

FUNDING:

Funding for ICF-DDs is 50% FFP and 50% General Fund (GF) for Day Treatment and Transportation Services for consumers residing in an ICF-DD. It is assumed that 60% of this funding is for Day Programs, 27% is for Transportation services, 12% is for Support Services, and the remaining 1% is for Habilitation Services, Miscellaneous, and Health Care. Please refer to the corresponding Purchase of Service Methodology for additional information.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$120,391	\$120,391
GF Match	60,195	60,195
FFP	60,196	60,196

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility Developmentally Disabled Vendor Costs

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional centers.

ASSUMPTIONS/METHODOLOGY:

- Source of Data: State Claims Purchase of Services (POS) Claims data file, actuals based on 2018-19.
- ICF-DD Administrative Costs and QAF are set by DHCS.
- Regional center administration costs are 1.5% of the costs of Day Program and Transportation expenditures.
- ICF-DD administration costs are 1.5% of the costs of Day Program and Transportation expenditures for both the regional center and ICF-DD.
- POS costs for Day Program and Transportation total \$120.4 million. QAF is \$9.8 million.
- 2019-20 and 2020-21: Total billing costs are \$1.8 million for regional center administration, \$1.8 million for ICF-DD administration, and \$7.9 million QAF.

Expenditures	<u>2019-20</u>	<u>2020-21</u>
Total	\$11,556	\$11,556
Operations	1,806	1,806
General Fund (GF)	903	903
GF Match	903	903
Reimbursements		
Federal Financial Participation (FFP) QAF	903	903
POS	9,750	9,750
QAF Admin Fees (FFP)	4,875	4,875
Transfer from DHCS	4,875	4,875

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility Developmentally Disabled Vendor Costs

FUNDING:

Funding for regional center Administration fees is 50% FFP and 50% GF and are reflected in ICF-DD Administration Fee in Operations. QAF is 100% FFP with the GF Match in DHCS' Budget, and are reflected in Quality Assurance Fees - POS.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total	\$11,556	\$11,556
<i>GF Match</i>	<i>903</i>	<i>903</i>
<i>FFP</i>	<i>10,653</i>	<i>10,653</i>

1915 (i) State Plan Amendment

DESCRIPTION:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915 (i) State Plan Amendment (SPA) to Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915 (i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

ASSUMPTIONS

Expenditure Data Source: Updated Purchase of Services (POS) billed expenditures for 2018-19.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> • Base: Updated actual 2018-19 expenditures were used to develop the 2019-20 base. For 2020-21, the prior year estimate for base and growth, with the following adjustments, was used as the base. 	\$683,581	\$762,893
<ul style="list-style-type: none"> • Updated Costs without Community Placement Plan 	630,055	629,589
<ul style="list-style-type: none"> • ABX2 1 – Competitive Integrated Employment (CIE) Incentives 	2,160	2,160
<ul style="list-style-type: none"> • Uniform Holiday 	9,436	9,902
<ul style="list-style-type: none"> • Compliance with Home and Community-Based Services (HCBS) Regulations 	560	560
<ul style="list-style-type: none"> • Performance Incentive Program 	0	4,500
<ul style="list-style-type: none"> • Provider Supplemental Rate Increases Purchase of Services (POS) 	20,412	42,620
<ul style="list-style-type: none"> • POS SB3 Minimum Wage, January 1, 2020 	20,958	44,808
<ul style="list-style-type: none"> • POS SB3 Minimum Wage, January 1, 2021 	0	28,258
<ul style="list-style-type: none"> • START Training 	0	496
<ul style="list-style-type: none"> • Total Expenditures: 	\$683,581	\$762,893

1915 (i) State Plan Amendment

FUNDING:

The 1915(i) SPA funds a broad array of POS costs for eligible individuals in all the POS budget categories except for Medical Facilities. 1915(i) SPA expenditures are funded by federal financial participation (FFP) determined by the Federal Medical Assistance Percentage of 50% and 50% General Fund (GF).

EXPENDITURES:

		<u>2019-20</u>	<u>2020-21</u>
	TOTAL	\$683,581	\$762,893
	GF Match	341,790	381,446
	FFP	341,791	381,447
% of Expenditures by Budget Category 2019-20 & 2020-21			
Community Care Facilities	24.94%	157,120	157,003
GF Match		78,560	75,501
FFP		78,560	78,502
Day Programs	22.21%	139,936	139,831
GF Match		69,968	69,916
FFP		69,968	69,915
Habilitation	3.85%	24,259	24,241
GF Match		12,130	12,120
FFP		12,129	12,121
Transportation	4.54%	28,592	28,571
GF Match		14,296	14,286
FFP		14,296	14,285
Support Services	28.14%	177,276	177,145
GF Match		88,638	88,572
FFP		88,638	88,573
In-Home Respite	8.93%	56,259	56,218
GF Match		28,129	28,109
FFP		28,130	28,109
Out-of-Home Respite	0.63%	3,966	3,964
GF Match		1,983	1,982
FFP		1,983	1,982
Health Care	1.35%	8,522	8,516
GF Match		4,261	4,258
FFP		4,261	4,258

1915 (i) State Plan Amendment**EXPENDITURES (CONTINUED):**

		<u>2019-20</u>	<u>2020-21</u>
Miscellaneous	5.42%	34,125	34,100
GF Match		17,062	17,050
FFP		17,063	17,050
ABX2 1 – CIE Incentives		2,160	2,160
GF Match		1,080	1,080
FFP		1,080	1,080
Uniform Holiday		9,436	9,902
GF Match		4,718	4,951
FFP		4,718	4,951
Compliance with HCBS Regulations		560	560
GF Match		280	280
FFP		280	280
Performance Incentive Program		0	4,500
GF Match		0	2,250
FFP		0	2,250
Provider Supplemental Rate Increases POS		20,412	42,620
GF Match		10,206	21,310
FFP		10,206	21,310
SB3 Minimum Wage, January 1, 2020		20,958	44,808
GF Match		10,479	22,404
FFP		10,479	22,404
SB3 Minimum Wage, January 1, 2021		0	28,258
GF Match		0	14,129
FFP		0	14,129
Systemic, Therapeutic, Assessment, Resources and Treatment Training (START)		0	496
GF Match		0	248
FFP		0	248

Early Periodic Screening Diagnosis and Treatment

DESCRIPTION:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age 3 that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

ASSUMPTIONS:

The estimate budget is based on actual billed expenditures from 2018-19. EPSDT funding is only in the Day Program budget category.

FUNDING:

Funding for EPSDT services is 50% federal financial participation (FFP) and 50% General Fund (GF).

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total	\$62,763	\$62,762
<i>GF Match</i>	<i>31,381</i>	<i>31,381</i>
<i>FFP</i>	<i>31,382</i>	<i>31,381</i>

Department of Health Care Services Behavioral Health Treatment Fee-for-Service

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21. BHT services are effective retroactively to July 1, 2014.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit for children with a diagnosis of Autism Spectrum Disorder (ASD). Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the proposed Medi-Cal benefit. Those receiving BHT services through the Department before September 15, 2014, transitioned to DHCS between February and October 2016.

Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation. However, CMS determined Medi-Cal must cover BHT services for all children whose services have been determined to be medically necessary, regardless of their ASD diagnosis. As a result, effective March 1, 2018, the Department transitioned these children to DHCS on a Fee-for-Service (FFS) basis.

The estimate reflects savings from BHT FFS consumers who transitioned to DHCS beginning February 1, 2016 and those who transitioned beginning March 1, 2018. These children will continue to receive services through the regional centers, and DHCS will reimburse the Department for the related expenditures.

ASSUMPTIONS:

Reimbursements were estimated based on FFS consumers who transitioned beginning February 1, 2016 and March 1, 2018. Full year costs for these consumers were estimated based on actual July 2018 - June 2019 data. Of the savings from BHT FFS, an estimated 74.8% is attributed to Miscellaneous Services while 25.2% is attributed to Support Services.

FUNDING:

Expenditures are reimbursed from DHCS.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total	\$13,736	\$13,736
<i>GF Match</i>	13,736	13,736

Program Developmental Fund/Parental Fees

DESCRIPTION:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400% of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code Sections 4677, 47842, and 4785).

ASSUMPTIONS/METHODOLOGY:

Deposits into the Program Development Fund are based on historical revenue averages.

FUNDING:

Program Development Fund expenditures are reflected in the Purchase of Services, Miscellaneous Services Expenditure category.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total	\$2,242	\$2,280

Developmental Disabilities Services Account

DESCRIPTION:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

ASSUMPTIONS/METHODOLOGY:

Based on historical and current data, the Department expects to receive housing proposals with application fees totaling \$150,000.

FUNDING:

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total	\$150	\$150

Mental Health Services Fund

DESCRIPTION:

Initiative Statute Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1.0 million to provide funds to counties to expand services and develop innovative programs and integrated service plans for mentally ill children, adults, and seniors. Consistent with the requirements of the MHSA, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness).

ASSUMPTIONS/METHODOLOGY:

Funding is used to ensure ongoing statewide technical assistance to promote interagency collaboration regarding access to mental health services and improve clinical capacity and effectiveness of direct services. Specifically, regional centers develop innovative projects, which focus on prevention, early intervention, and treatment for children and adult consumers with mental health diagnoses and provide support for families.

FUNDING:

These funds are a separate fund source and do not require General Fund match. These funds are reflected in the Increased Access to Mental Health Services estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total	\$740	\$740

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

DESCRIPTION:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, Sections 303.1 through 303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, Sections 52000 through 52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), Sections 95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers. This was formerly called Prevention Program in prior estimates.

ASSUMPTIONS

Annual grant amounts are determined by the federal Offices of Special Education Programs (OSEP). The Department received a grant award letter dated July 1, 2019 for federal funding period July 1, 2019 through September 30, 2020.

METHODOLOGY:

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) regional center Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

	<u>2019-20</u>	<u>2020-21</u>
• Other Agencies	\$19,094	\$19,094

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation. 	\$14,600	\$14,600
<i>Local Education Agencies</i>	14,245	14,245
<i>Support</i>	355	355
<ul style="list-style-type: none"> System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings. 	1,835	1,835
<ul style="list-style-type: none"> Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC 95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC 95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning. 	4,662	4,662
<i>Family Resources Center: Federal Funds grant amount</i>	2,659	2,659
<i>Family Resources Services: 100% General Fund</i>	2,003	2,003
<ul style="list-style-type: none"> Regional Center POS The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in 2017-18 by budget category. 	33,392	33,392
<ul style="list-style-type: none"> <i>Day Programs</i> 	17,925	17,925
<ul style="list-style-type: none"> <i>Support Services</i> 	265	265
<ul style="list-style-type: none"> <i>In-Home Respite</i> 	79	79
<ul style="list-style-type: none"> <i>Out-of-Home Respite</i> 	72	72
<ul style="list-style-type: none"> <i>Health Care</i> 	2,136	2,136
<ul style="list-style-type: none"> <i>Miscellaneous</i> 	12,915	12,915
<ul style="list-style-type: none"> Total Expenditures: 	\$52,486	\$52,486

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

FUNDING:

The annual Part C Grant is independently determined by OSEP. It is 100% federal funds and requires a Maintenance of Effort from the State. Family Resources Services are 100% General Fund (GF).

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$54,489	\$54,489
Grant	52,486	52,486
GF	2,003	2,003

Foster Grandparent Program

DESCRIPTION:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women age 55 and older, the opportunity to serve their community by sharing their time and attention with children under the age of 22 who have developmental disabilities. Foster grandparents volunteer in community schools, developmental centers, Head Start centers, foster homes, and pre-schools (see Regional Center Operations, Projects, for more detail on the total regional center costs for this program).

ASSUMPTIONS/METHODOLOGY:

The FGP grant is used as a fund source for specified regional center operations costs in six regional centers: Valley Mountain, Tri-Counties, Kern, Central Valley, San Andreas, and San Gabriel. The annual funding is calculated based on each of the participating regional centers' costs for Personal Service and Operating Expense.

FUNDING:

Federal funds are reflected in the Foster Grandparent/Senior Companion Programs estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Grant Amount:	\$1,094	\$1,094

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Impacts from Other Departments

Behavioral Health Treatment

Consumers with No Autism Spectrum Disorder (ASD)

Diagnosis Transition

Effective March 1, 2018 & July 1, 2018

DESCRIPTION:

The Department of Health Care Services (DHCS) obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include Behavioral Health Treatment (BHT) as a Medi-Cal benefit for consumers under 21 years old with a diagnosis of Autism Spectrum Disorder (ASD). Between February and October 2016, children with an ASD diagnosis transitioned to DHCS for BHT services. Children without an ASD diagnosis did not transition, and it was expected their BHT services would remain eligible for federal financial participation (FFP) under the 1915(i) State Plan Amendment (SPA) or the Home and Community-Based Services (HCBS) Waiver. However, CMS determined Medi-Cal must cover medically necessary BHT services for all children, regardless of their diagnoses. Therefore, beginning October 1, 2016, FFP was no longer available under the Department's 1915(i) SPA or HCBS Waivers for BHT services provided to children without an ASD diagnosis.

Effective March 1, 2018, the Department transitioned costs of BHT services for children with fee-for-service Medi-Cal to DHCS. These children continue to receive services through the regional centers, and DHCS reimburses the Department for the non-federal fund related expenditures.

Between July 1, 2018 through December 31, 2018, BHT services for children enrolled in a Medi-Cal managed care plan transitioned to Medi-Cal coverage. As of January 1, 2019, the transition was complete. Consumers receive services directly through their DHCS' managed care plan providers.

ASSUMPTIONS:

Savings are estimated based on prior year invoiced amounts of BHT services for children without an ASD diagnosis.

METHODOLOGY:

	2019-20	2020-21
Fee-for-Service	-\$7,135	-\$7,135
Total Expenditures	-\$7,135	-\$7,135

**Impacts from Other Departments
Behavioral Health Treatment
Consumers with No Autism Spectrum Disorder (ASD)
Diagnosis Transition
Effective March 1, 2018 & July 1, 2018**

FUNDING:

Expenditures for BHT consumers with no ASD diagnosis are funded by 100% General Fund (GF). The fee-for-service expenditures are fully reimbursed by DHCS.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

A slight GF increase in current year savings is due to updated expenditure data and a more accurate reflection of expenditures prior to completion of transition for Medi-Cal Managed Care consumers.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The minor increase in budget year savings reflects updated FFS expenditure data.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	-\$7,135	-\$7,135
GF	-7,135	-7,135
Reimbursement	0	0

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Developmental Center Closure/Ongoing Workload

DESCRIPTION:

With the closure of the developmental centers and the transition of individuals to community living arrangements, regional centers will provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews.

ASSUMPTIONS:

funding for salaries, benefits, and operating expenses and equipment for the regional center positions for quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

FUNDING:

Funding for Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$8,302	\$8,302
GF	5,830	5,830
Reimbursement	2,472	2,472

Enhanced Caseload Ratios for Consumers Ages Three and Four

DESCRIPTION:

Regional centers are funded to provide a 1:45 service coordinator (SC) to consumer caseload ratio for individuals receiving Early Start services. However, the ratio increases to 1:62 or 1:66 for individuals at age three if they are determined eligible to receive ongoing regional center services. SCs who provide case management services to individuals exiting Early Start play a critical role with families as they navigate various service systems to ensure their children's needs are met. These SCs are responsible for informing the family about and explaining various program options and their rights, identifying the needed evaluations and assessments used to determine service needs, coordinating with other agencies providing or potentially providing services to the consumer and family, and assisting the family with the transition from Early Start to Lanterman Act service provision. The family may need services from multiple entities (e.g., regional centers, Medi-Cal, private health insurance, education). The complexity of these multiple systems creates challenges for families in navigating and accessing all needed supports. The Department proposes to establish a 1:45 service coordinator to consumer ratio to better assist families with children ages three and four in accessing needed services and supports. Benefits include earlier connection with other service delivery systems which may prevent or reduce the need for long-term services, particularly for underserved communities. This is consistent with the Governor's initiative on improving early childhood development and can assist in mitigating disparity issues.

ASSUMPTIONS:

The Department currently provides funding for consumers age zero to two at a 1:45 service coordinator to consumer ratio and a supervising counselor at a 1:10 ratio. An additional one hundred eighty-seven (187) service coordinators are required to provide case management to an estimated 26,000 consumers ages three and four years old.

METHODOLOGY:

	<u>Service Coordinator</u>	<u>Supervising Counselor</u>
Annual Salary	\$55,000	\$82,000
Fringe Benefits – 34%	18,700	27,880
Operating Expense & Equipment	3,400	3,400
Annual Cost per Position	\$77,100	\$113,280
Total Positions	186.84	18.68
Annual Cost	\$14,405,629	\$2,116,562
Total Annual Cost for all Positions		\$16,522,000

(Whole dollars)

Enhanced Caseload Ratios for Consumers Ages Three and Four

FUNDING:

Funding for Enhanced Caseload Ratios for Younger Consumers is funded by the General Fund (GF) and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective July 1, 2020.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$16,522
GF	0	11,235
Reimbursement	0	5,287

Family Home Agency Oversight

DESCRIPTION:

A Family Home Agency (FHA) is a family residence that can serve up to two consumers with developmental disabilities. The consumers reside with the family and share in the interaction and responsibilities as a member of the family. Benefits of these homes include less reliance on direct service professionals, compliance with the federal Home and Community Based Services Final Regulations, individualized services, and fewer home developmental start-up costs. Currently there are 1,650 regional center consumers residing in an FHA home.

The Department requests 21 regional center positions to provide additional monitoring and oversight of FHA homes and encourage the new development of this model of care. The added resources will allow regional centers to expand the number of FHA homes and provide a dedicated position for the monitoring and oversight of FHA programs. This will result in an increase in the number of individuals, new and current, that would otherwise go to a higher-cost setting, such as Alternate Rate Model or Specialized Residential Facilities. The Department assumes a costs avoidance as more individuals are placed in FHA, which will continue to increase over time.

The additional 21 Service Coordinators are required to monitor and provide oversight of all FHA homes.

ASSUMPTIONS:

An additional twenty-one (21) Service Coordinators are required statewide to oversee FHAs.

METHODOLOGY:

	Annual Salary
Service Coordinator Salary	\$55,000
Fringe Benefits – 34%	18,700
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$77,100
Total Positions	21
Total Annual Cost (Rounded)	\$1,619,000
<i>(whole dollars)</i>	

Family Home Agency Oversight

FUNDING:

Funding for FHA Oversight is comprised of General Fund (GF) and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,619	\$1,619
GF	1,100	1,100
Reimbursement	519	519

Ongoing Operations Policy Items

This category of regional center operating expenses includes various previously approved policy items as described below:

DESCRIPTION:

	<u>2019-20</u>	<u>2020-21</u>
Improve Service Coordinator Caseload Ratios:	\$17,000	\$17,000
Approved in the 2016 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to improve caseload ratios.		
Compliance with Home and Community-Based Services (HCBS) Operations:	1,422	1,422
Approved in the 2016 Budget Act, funds are provided for regional centers to hire program evaluators to perform initial and ongoing efforts and activities necessary for compliance with HCBS settings requirements.		
Assembly Bill (AB) X2 1 Regional Center Operations Increases:	56,600	56,600
Appropriated by Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]), \$31.1 million General Fund (GF) plus matching funds are available for regional centers' staffing, benefits, and administrative expenses, as well as \$11 million GF to fund regional center and community - based organizations' proposals to promote equity in the purchase of services for individuals with developmental disabilities.		
Resources to Implement AB X2 1 Operations:	4,164	4,164
Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1] amended the Welfare and Institute (W&I) Code to require regional centers to implement the recommendations and plans to reduce disparities in the provision of services to underserved populations, as well as requirements to establish and report on a provider-administered competitive integrated employment program. The 2016 Budget Act appropriated these funds to regional centers to hire cultural specialists and employment specialists to implement AB X2 1.		

Ongoing Operations Policy Items

DESCRIPTION (continued):

	<u>2019-20</u>	<u>2020-21</u>
Psychological Evaluations for Behavioral Health Treatment (BHT) Fee-for-Service Consumers:	\$1,611	\$1,611
Approved in the 2017 Budget Act, funds are provided to enable regional centers to hire or contract with psychologists to assess consumers with or without an Autism Spectrum Disorder diagnosis and provide referrals for Behavioral Health Treatment Services.		
Oversight and Accountability:	4,450	4,450
Approved in the 2019 Budget Act, funds are provided to regional center operations for oversight and accountability.		
TOTAL EXPENDITURES	\$85,247	\$85,247

FUNDING:

Funding for Operations Policy items are funded by the GF and reimbursements from the Medicaid Administration, Home and Community-Based Services Waiver Administration, and Targeted Case Management, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$85,247	\$85,247
GF	62,375	62,375
Reimbursement	22,872	22,872

Specialized Caseload Ratio

DESCRIPTION:

The Department proposes to establish a 1:25 service coordinator-to-consumer caseload ratio for consumers with complex needs. For purposes of this caseload ratio, consumers with complex needs may include individuals who reside or are at risk of residing in Institutions for Mental Diseases, Community Crisis Homes, state-operated acute crisis homes or out-of-state placements; have been admitted to a psychiatric hospital several times within the preceding six months; and individuals who transitioned from any such setting within the preceding 12 months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting.

The requested 1:25 ratio is a time limited need to enable service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities who have the most complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

ASSUMPTIONS:

An additional fifty (50) Service Coordinators are required to provide case management to an estimated 1,231 consumers considered high-risk.

METHODOLOGY:

	Annual Salary
Service Coordinator Salary	\$55,000
Fringe Benefits – 34%	18,700
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$77,100
Total Positions	49.24
Total Annual Cost (Rounded)	\$3,800,000

(Whole dollars)

Specialized Caseload Ratio

FUNDING:

Funding for Specialized Caseload Ratio is comprised of General Fund (GF) and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$3,800	\$3,800
GF	2,600	2,600
Reimbursement	1,200	1,200

Specialized Home Monitoring

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC), Sections 4684.70 and 4684.84 (b) regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPHSN), and Enhanced Behavioral Supports Homes (EBSH). A local regional center licensed nurse and a qualified behavior modification professional must each visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPHSN and EBSH, or more frequently if specified in the consumer's individual program plan.

Similarly, WIC Section 4698 (e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCHs). Currently, this monitoring is funded with Community Placement Plan (CPP) funds. However, given the increase in the number of specialized homes in operation and development, CPP funds are not a viable long-term funding source. This proposal would provide sufficient funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

ASSUMPTIONS:

Regional centers employ and utilize registered nurses to monitor the ARFPHSNs, and licensed behavior specialists to monitor EBSHs and CCHs. Each registered nurse and licensed behavioral specialist is able to complete required monitoring of homes at a nurse/specialist-to-home ratio of 1:4. In 2019-20, the Department projects to have 93 operational ARFPHSNs, and 84 EBSH and CCHs combined. In 2020-21, the Department projects to have 95 operational ARFPHSNs, and 107 EBSH and CCHs combined.

Specialized Home Monitoring

METHODOLOGY:

	2019-20	2019-20	2020-21	2020-21
	Registered Nurse	Behavioral Specialist	Registered Nurse	Behavioral Specialist
Annual Salary	\$103,200	\$85,000	\$103,200	\$85,000
Fringe Benefits – 34%	35,088	28,900	35,088	28,900
Operating Expense & Equipment	3,400	3,400	3,400	3,400
Annual Cost Per Position	\$141,688	\$117,300	\$141,688	\$117,300
Total Positions	23.25	21.00	23.75	26.75
Annual Cost	\$3,294,000	\$2,463,000	\$3,365,000	\$3,138,000
Total Annual Cost for All Positions		\$5,757,000		\$6,503,000
<i>(whole dollars)</i>				

FUNDING:

Funding for Specialized Home Monitoring is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increase reflects the updated numbers of positions due to the increase of operational ARFPSHNs, and EBSH and CCHs combined.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$5,757	\$6,503
GF	3,914	4,422
Reimbursement	1,843	2,081

Trauma Informed Services for Foster Youth

DESCRIPTION:

Assembly Bill 2083 (Cooley, Chapter 815, Statutes of 2018) requires that regional centers participate in development of Memorandum of Understanding (MOUs) in each county served and participate on interagency leadership teams and committees in each county. Except for the regional centers serving Los Angeles and Orange Counties, each of the state's 21 regional centers serve multiple counties. Alta California Regional Center and Far Northern Regional Center, for example, serve 9 counties each. Therefore, the Department would estimate regional centers would need at least 15 positions Senior/Supervising Coordinators statewide at an annual cost of \$1.6 million (\$1.1 million General Fund [GF]) for purposes of, in most cases, developing and implementing multiple MOUs, participating on multiple interagency leadership teams and placement committees, and carrying out AB 2083 workload. Funding for local assistance in Regional Center Operations will be for 2019-20 and 2020-21 only.

ASSUMPTIONS:

An additional fifteen (15) Senior/Supervising Coordinators are required statewide to implement MOUs and participate on interagency leadership teams and placement committees.

METHODOLOGY:

	Annual Salary
Service Coordinator Salary	\$77,100
Fringe Benefits – 34%	26,210
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$106,710
Total Positions	15
Total Annual Cost (Rounded)	\$1,600,000

(Whole dollars)

Trauma Informed Services for Foster Youth

FUNDING:

Funding for Trauma Informed Services for Foster Youth is comprised of GF and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,600	\$1,600
GF	1,100	1,100
Reimbursement	500	500

Operations Policy Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Developmental Center Closure/Ongoing Workload					
TOTAL	\$8,302	\$8,302	\$0	\$8,302	\$0
GF	\$5,830	\$5,830	\$0	\$5,830	\$0
GF Match	824	824	0	824	0
GF Other	5,006	5,006	0	5,006	0
Reimbursements	\$2,472	\$2,472	\$0	\$2,472	\$0
Medicaid Admin	2,472	2,472	0	2,472	0
Enhanced Caseload Ratios for Consumers Ages Three and Four					
TOTAL	\$0	\$0	\$0	\$16,522	\$16,522
GF	\$0	\$0	\$0	\$11,235	\$11,235
GF Match	0	0	0	5,287	5,287
GF Other	0	0	0	5,948	5,948
Reimbursements	\$0	\$0	\$0	\$5,287	\$5,287
TCM	0	0	0	5,287	5,287
Family Home Agency Oversight					
TOTAL	\$1,619	\$1,619	\$0	\$1,619	\$0
GF	\$1,100	\$1,100	\$0	\$1,100	\$0
GF Match	519	519	0	519	0
GF Other	581	581	0	581	0
Reimbursements	\$519	\$519	\$0	\$519	\$0
TCM	519	519	0	519	0
Ongoing Operations Policy Items					
TOTAL	\$85,247	\$85,247	\$0	\$85,247	\$0
GF	\$62,375	\$62,375	\$0	\$62,375	\$0
GF Match	22,872	22,872	0	22,872	0
GF Other	39,503	39,503	0	39,503	0
Reimbursements	\$22,872	\$22,872	\$0	\$22,872	\$0
HCBS Waiver Admin	4,354	4,354	0	4,354	0
Medicaid Admin	1,132	1,132	0	1,132	0
TCM	17,386	17,386	0	17,386	0

Values in thousands (000's)

Operations Policy Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21 2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Specialized Caseload Ratios						
TOTAL	\$3,800	\$3,800	\$0	\$3,800	\$0	\$0
GF	\$2,600	\$2,600	\$0	\$2,600	\$0	\$0
GF Match	1,200	1,200	0	1,200	0	0
GF Other	1,400	1,400	0	1,400	0	0
Reimbursements	\$1,200	\$1,200	\$0	\$1,200	\$0	\$0
TCM	1,200	1,200	0	1,200	0	0
Specialized Home Monitoring						
TOTAL	\$5,757	\$5,757	\$0	\$6,503	\$746	\$746
GF	\$3,914	\$3,914	\$0	\$4,422	\$508	\$508
GF Match	1,843	1,843	0	2,081	238	238
GF Other	2,071	2,071	0	2,341	270	270
Reimbursements	\$1,843	\$1,843	\$0	\$2,081	\$238	\$238
HCBS Waiver Admin	1,843	1,843	0	2,081	238	238
Trauma Informed Services for Foster Youth						
TOTAL	\$1,600	\$1,600	\$0	\$1,600	\$0	\$0
GF	\$1,100	\$1,100	\$0	\$1,100	\$0	\$0
GF Match	500	500	0	500	0	0
GF Other	600	600	0	600	0	0
Reimbursements	\$500	\$500	\$0	\$500	\$0	\$0
TCM	500	500	0	500	0	0

Operations Policy Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Total Operations Policy Funding Detail						
TOTAL	\$106,325	\$106,325	\$0	\$123,593	\$17,268	\$17,268
GF	\$76,919	\$76,919	\$0	\$88,662	\$11,743	\$11,743
GF Match	27,758	27,758	0	33,283	5,525	5,525
GF Other	49,161	49,161	0	55,379	6,218	6,218
Reimbursements	\$29,406	\$29,406	\$0	\$34,931	\$5,525	\$5,525
HCBS Waiver Admin	6,197	6,197	0	6,435	238	238
Medicaid Admin	3,604	3,604	0	3,604	0	0
TCM	19,605	19,605	0	24,892	5,287	5,287

Community Crisis Homes for Children Effective July 1, 2019

DESCRIPTION:

Although many children with developmental disabilities live in the family home, some children have more extensive behavioral needs that cannot be met in the family home or other existing settings. Both the Health and Safety Code and Welfare and Institutions Code(WIC) were amended in 2014-15 to include the emergency regulations for community crisis homes. However, the statute only allows adults, who are in acute crisis, to be admitted into community crisis homes. Due to a current lack of options in the community, regional centers are sometimes required to rely on locked psychiatric settings for children and struggle to provide needed services in a child's home setting in response to acute crises. Through the Statewide Specialized Resource Service, the Department receives, on average, five referrals each month seeking residential services for children, many of whom are in crisis.

This is a one-time augmentation in the amount of \$4.5 million General Fund (GF) for the development of three new community crisis homes for children. In addition, Senate Bill 81 (Chapter 28, Statutes of 2019) amended the Health and Safety Code and the WIC to enable children in crisis to be placed into community crisis homes.

The homes will provide regional centers with access to short-term crisis stabilization, with a limited duration of stay. The homes will be certified by the Department and licensed by the Department of Social Services. The Department will develop the necessary regulations with stakeholder input.

ASSUMPTIONS:

The Department will approve regional centers to develop three community crisis homes throughout California through the "Buy-It-Once" housing model to ensure the homes are used in perpetuity to provide crisis services to children with developmental disabilities. Prior to use, the homes will be renovated by a housing development organization to ensure regulations are met and qualities of the homes meet the needs of children in crisis. A service provider or providers will be selected to create a model that best serves the individuals referred. Providers will be required to recruit for enhanced staffing, provide extensive training, oversee the set-up of the homes, and oversight of the development process.

METHODOLOGY:

The Department plans to develop three new community crisis homes for children, at an estimated cost of \$4.5 million GF, or \$1.5 million per home.

- Acquisition \$1,500,000 (\$500,000 per home)
- Renovation \$1,500,000 (\$500,000 per home)
- Service Provider Start-Up \$1,500,000 (\$500,000 per home)

Community Crisis Homes for Children Effective July 1, 2019

FUNDING:

Expenditures from the Community Crisis Homes for Children are funded 100% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is one-time funding in 2019-20.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$4,500	\$0
GF	4,500	0
Reimbursement	0	0

Development of STAR Homes

DESCRIPTION:

The Budget Act of 2019 includes \$3.0 million General Fund (GF) for the Department of Developmental Services (Department), within State Operations to develop two community Stabilization, Training, Assistance, and Reintegration (STAR) homes for the Central California region.

The funding for the development of these homes will be transferred from State Operations to Local Assistance to allow the Department to partner with a regional center, via existing contractual agreements, to develop two STAR homes in the Central California region. The Department will work with a regional center to identify property and community options.

METHODOLOGY:

Develop two Central Valley STAR homes, at an estimated cost of \$3.0 million GF, or \$1.5 million per home.

FUNDING:

Expenditures related to the development of STAR homes are 100% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The Budget Revision process will transfer \$3.0 million GF from State Operations to Local Assistance Purchase of Services.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a one-time funding transfer in 2019-20.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$3,000	\$0
GF	3,000	0
Reimbursement	0	0

Early Start Co-Pays

DESCRIPTION:

Current State statute regarding copayments, deductibles and coinsurance does not comply with early intervention federal grant requirements. If a family is charged a fee, including copayment, deductibles, or coinsurance, federal regulations require an assurance that families with public insurance or benefits, or private insurance, will not be charged disproportionately more than families who do not have public insurance or benefits, or private insurance.

Senate Bill 81 (Chapter 28, Statutes of 2019) amended Section 4659.1 of the Welfare and Institutions Code to enable regional centers to pay co-payments, coinsurance, and deductibles for services for children in the Early Start program, regardless of the family's income level.

ASSUMPTIONS:

This policy assumes regional center payments for copayments, coinsurance, and deductibles for all children receiving Early Start services.

METHODOLOGY:

The estimate was based on actual 2017-18 copayment, coinsurance and deductible expenditures for children receiving Early Start services and assumes, consistent with current statute, all expenditures are for children from families with income under 400% of the Federal Poverty Level (FPL). The calculation further assumes these payments will occur at approximately twice the amount for children from families with income over 400% of the FPL.

FUNDING:

Funding for Early Start Co-Pays is 100% General Fund (GF).

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,000	\$1,000
GF	1,000	1,000
Reimbursement	0	0

Enhanced Behavioral Supports Home with Delayed Egress and Secured Perimeters

DESCRIPTION:

Enhanced Behavioral Supports Homes (EBSH) are a specialized licensed residential model for individuals with intensive behavioral support needs. The homes are certified by the Department and licensed by the Department of Social Services. Homes equipped with delayed egress and secured perimeters (DESP) provide an added layer of protection for individuals (and the community) who exhibit elopement and other high-risk behavioral and safety concerns and need the security these adaptations provide to remain safe residing in community settings. Individuals who would not otherwise be able to live successfully in the community are able to do so with the services provided in EBSHs with DESP.

Welfare and Institutions Code section 4684.81 (k)(2), limits the number of EBSHs that can be developed with DESP to six homes during the EBSH pilot phase, and limits the Department's authority to certify these homes to one per year. The pilot phase terminates January 1, 2021. EBSHs with DESP are a valuable residential model for individuals residing in the Secure Treatment Program (STP) at Porterville Developmental Center or in restrictive community settings and moving to the community. To support the Department's commitment to reduce reliance on and timely transitioning individuals from restrictive settings and provide opportunities for individuals to move to the community, additional EBSH homes with DESP are needed.

To meet this immediate need, the Department is requesting one-time funding to develop five EBSH with DESP to support acquisition, renovation and provider start-up, and operational costs beginning in 2022-23. Additionally, the Department is proposing trailer bill language to amend existing statute to raise the cap from six to 11 homes and remove the restriction for the number of homes that can be certified per year.

METHODOLOGY:

Develop five EBSH with DESP (20 beds), at an estimated cost of \$7.5 million General Fund (GF), or \$1.5 million per home for acquisition, renovation, and service provider start-up. The budget includes \$5.4 million GF beginning in 2022-23 to operate the homes.

FUNDING:

Expenditures from the EBSH with DESP are funded by 100% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective July 1, 2020.

Enhanced Behavioral Supports Home with Delayed Egress and Secured Perimeters

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$7,500
GF	0	7,500

Electronic Visit Verification Penalty

DESCRIPTION:

Electronic Visit Verification (EVV) is a telephone and computer-based system that electronically verifies in home service visits. EVV systems must verify the type of service performed; the individual receiving the service; date of the service; location of service delivery; the individual providing the services; and time the service begins and ends. Pursuant to Subsection I of Section 1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for Medicaid-funded Personal Care Services by January 1, 2021 and Home Health Care Services by January 1, 2023. The state is subject to incremental Federal Medical Assistance Percentage reductions of up to 1 percent unless the state has both made a "good faith effort" to comply and has encountered "unavoidable delays."

METHODOLOGY:

California was granted a one-year extension to implement EVV for Medicaid-funded Personal Care Services. Without the extension, the penalty would begin in 2019-20. The 2020-21 penalty for non-compliance is estimated to be \$5.1 million based on 0.25% penalty of federally eligible expenditures for effected personal care services. The \$5.1 million reflects a backfill of GF to account for the reduction in federal funding.

FUNDING:

Funding for backfilling for the EVV penalty is 100% General Fund (GF).

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective January 1, 2020.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$5,089
GF	0	5,089
Reimbursement	0	0

Ongoing Purchase of Service Policy Items

This category of purchase of services expenses includes various previously approved policy items as described below:

DESCRIPTION:

	<u>2019-20</u>	<u>2020-21</u>
Best Buddies:	\$2,000	\$2,000
<p>The Budget Act of 2019 includes ongoing funding of \$2.0 million General Fund (GF) provided to Best Buddies International. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state. Best Buddies will be required to report annually to the Department of Developmental Services on the number of consumers served and the types of services provided.</p>		
Competitive, Integrated Employment Incentives.	29,000	29,000
<p>Welfare and Institutions Code (WIC) was amended to add section 4870(d-g) to increase sustained Competitive Integrated Employment (CIE) placements by regional center service providers. CIE is full or part-time work for which an individual is paid minimum wage or greater in a setting with others who do not have disabilities. Section 4870(d) authorizes \$29 million ongoing funding to the Department for incentive payments to providers for placement and retention of regional center consumers, consistent with a consumers Individual Program Plan.</p> <p>The regional center will be responsible for making incentive payments to service providers within their catchment area. The incentive payment amount for each individual placed in CIE is as follows:</p> <ol style="list-style-type: none"> 1. A payment of one thousand dollars (\$1,000) shall be made to the service provider who, on or after July 1, 2016, places an individual into CIE, and the individual is still competitively employed after 30 consecutive days. 2. An additional payment of one thousand two hundred fifty dollars (\$1,250) shall be made to the service provider for an individual described in paragraph (1) who remains in CIE for six consecutive months. 		

Ongoing Purchase of Service Policy Items

	<u>2019-20</u>	<u>2020-21</u>
3. An individual payment of one thousand five hundred dollars (\$1,500) shall be made to the service provider for an individual described in paragraphs (1) and (2) who remains in CIE for 12 consecutive months.		
Compliance with Home and Community-Based Services (HCBS) POS:	\$15,000	\$15,000
In January 2014, the Center for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) Home and Community-Based (HCBS) Waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states were allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. The \$15 million fixed funding includes opportunities to seek employment and work in competitive, integrated settings, engage in community life, control personal resources, and receive services in the community to the same degree of access as individuals not receiving Medicaid HCBS.		
TOTAL EXPENDITURES	\$46,000	\$46,000

FUNDING:

Funding for Purchase of Services Policy items are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

There is no change.

Ongoing Purchase of Service Policy Items

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$46,000	\$46,000
GF	33,000	33,000
Reimbursement	13,000	13,000

Performance Incentive Program

DESCRIPTION:

The Department proposes \$78 million (\$60 million General Fund) to establish a Performance Incentive Program for developmental services (DS) administered through the regional centers (RC). The Performance Incentive Program will align with each RC performance contract and require RC to meet an advanced tier of performance measures and outcomes to receive incentive payments. The DS Task Force will provide recommendations on measures and outcomes to the Department.

The goals of the program are: (1) focusing on a quality system that values personal outcome goals for people, such as an improved life or meaningful activities; (2) developing service options to better meet the needs of individuals and families in a person-centered way; (3) promoting the most integrated community settings; and (4) increasing the number of individuals who are competitively employed.

ASSUMPTIONS:

The Department, in collaboration with the DS Task Force and other stakeholders, will make recommendations on how to reform the financing and streamlining of the developmental services delivery system to improve the quality of services delivered. This requires establishing a system that prioritizes outcomes, innovation, and value, which is driven by a funding structure that provides transparency, oversight, and accountability.

FUNDING:

Expenditures from the Performance Incentive Program are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915 (i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy in 2020-21.

Performance Incentive Program

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$78,000
GF	0	60,000
Reimbursement	0	18,000

Provider Supplemental Rate Increases

DESCRIPTION:

The 2019-20 enacted budget includes \$206.2 million (\$124.5 million General Fund [GF]) for provider supplemental rate increases across a broad array of service categories. The increased funding provides a rate increase of up to 8.2 percent for service categories identified in the Department's rate study submitted to the legislature on March 15, 2019. The Department received federal approval on December 19, 2019 to implement the rate adjustment effective January 1, 2020. The 2020-21 full year impact is \$412.3 million (\$249.0 million GF).

The Department's 2020-21 proposed budget requests additional funding to implement rate increases for Early Start Specialized Therapeutic services, Independent Living Program, and the Infant Development Program services based on updates made to the rate models for these services in response to public comments received subsequent to the March 15, 2019 rate study. Pending federal approval, implementation is projected to begin January 1, 2021. Funding for the 2019 Budget Act and proposed 2020-21 provider supplemental rate increases will sunset on June 30, 2023.

ASSUMPTIONS:

The Department will set the new minimum reimbursement rate for each targeted service.

METHODOLOGY:

Providers will receive up to 8.2 percent in supplemental rate increases.

FUNDING:

Expenditures from the Rate Adjustment are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR- TO- YEAR CHANGE:

The budget year increase of \$224.1 million (\$135.3 million GF) is comprised of:

- 1) \$206.2 million increase (\$124.5 million GF) for a full year impact of the rate increase and;
- 2) \$18.0 million (\$10.8 million GF) to provide a rate increase for Early Start Specialized Therapeutic Services, Independent Living Program, and Infant Development Program services consistent with the supplemental rate increase included in the Budget Act of 2019.

Provider Supplemental Rate Increases

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$206,173	\$430,308
GF	124,523	259,823
Reimbursement	81,650	170,485

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

DESCRIPTION:

SB 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$12.00 to \$13.00 per hour on January 1, 2020, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$13.00 to \$14.00 per hour will occur on January 1, 2021.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Community Care Facilities	\$61,845	\$132,232
Day Programs	7,307	14,976
Habilitation Services	194	349
Transportation	335	710
Support Services	10,477	22,756
In-Home Respite	10,068	22,003
Out-of-Home Respite	2	5
Miscellaneous	773	1,723
TOTAL EXPENDITURES	\$91,001	\$194,754

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The current year increase of \$5.9 million (\$4.1 million GF) is due to updated actuals expenditures of the minimum wage increase from \$12.00 to \$13.00 per hour.

REASON FOR YEAR-TO-YEAR CHANGE:

The budget year increase of \$103.8 million (\$53.2 million GF) reflects the full year impact of the \$1 minimum wage increase.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$91,001	\$194,754
GF	46,664	99,839
Reimbursement	44,337	94,915

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021

DESCRIPTION:

SB 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$13.00 to \$14.00 per hour on January 1, 2021, for businesses with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$14.00 to \$15.00 per hour will occur on January 1, 2021.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Community Care Facilities	\$0	\$81,697
Day Programs	0	9,252
Habilitation Services	0	216
Transportation	0	438
Support Services	0	14,059
In-Home Respite	0	13,594
Out-of-Home Respite	0	3
Miscellaneous	0	1,064
TOTAL EXPENDITURES	\$0	\$120,323

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective January 1, 2021.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$120,323
GF	0	61,394
Reimbursement	0	58,929

Systemic, Therapeutic, Assessment, Resources and Treatment Training

DESCRIPTION:

The Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training model is a comprehensive approach to crisis prevention and intervention for people with developmental disabilities and co-occurring mental health conditions. This model provides wraparound services that support individuals at-risk for acute crisis or loss of residential placement, and individuals who are currently experiencing crisis. The START model has demonstrated positive outcomes in other states with published scientific papers reporting reductions in psychiatric hospitalizations and emergency room visits, improved mental health symptoms, reductions in challenging behavior, and maintaining residential placements in the community. The University of New Hampshire Center for START Services provides training and certification in the START model to community providers who make up the local START teams. Each local START team consists of a program director, clinical and medical directors, START coordinators, therapeutic in-home coaches, coordinator and coaching team leads, and administrative support.

When an individual residing in the community experiences a crisis, regional centers attempt to support the individual in their current living arrangement, and if that is no longer adequate or appropriate to meet the individual's need, they seek more intensive services such as licensed residential care, a Community Crisis Home (CCH), and state-operated acute crisis services. If these services are unavailable, an Institution for Mental Diseases (IMD) or out-of-state residential services may be the only option.

START services will help maintain individuals in their current residential arrangement and prevent admissions into the more restrictive settings, such as CCHs, IMDs, and out-of-state services through the provision of 24-hour crisis services and planning, and by providing training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

Funding for start-up operating costs will cover three months of costs associated with the local provider team: hiring and training staff, renting office space, acquiring materials and equipment, etc. before the team is prepared to accept consumers and begin providing services.

First, second, and third year training costs cover all aspects of the START model training provided by the University of New Hampshire Center for START services to the local START team. This includes personnel costs, travel, printed materials, online training platform, data management, and technical support, as well as quarterly evaluations of the local START teams using collected data, and an annual independent, comprehensive evaluation of California's system of care.

Systemic, Therapeutic, Assessment, Resources and Treatment Training

ASSUMPTIONS:

Costs are provided by the contractor, New Hampshire Center for START Services.

METHODOLOGY:

	Cost per team
Start-up Operating Cost	\$300,000
First-year Training Cost	280,060
Second-year Training Cost	320,864
Third-year Training Cost	234,096
Annual Cost per team	\$1,135,020
Total Annual Cost for 4 Teams(Rounded)	\$4,540,000
<i>(whole dollars)</i>	

FUNDING:

Expenditures for START program are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and the 1915 (i) State Plan Amendment.

CURRENT YEAR CHANGE FROM ENACTED:

There is no change.

REASON FOR YEAR-TO-YEAR CHANGE:

This is a proposed new policy effective July 1, 2020.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$4,540
GF	0	2,555
Reimbursement	0	1,985

Uniform Holiday Schedule

DESCRIPTION:

Welfare and Institutions Code (WIC) Section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule as a General Fund (GF) cost savings measure in September 2009. The intent was to standardize and increase from 10 to 14 the number of observed holidays in the regional center system. On these holidays providers are precluded from billing for services provided by work activity programs, activity centers, adult development centers, behavior management programs, social recreation programs, infant development programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs.

The Uniform Holiday Schedule will sunset on June 30, 2023.

ASSUMPTIONS:

This policy assumes the average daily cost of providing services impacted by the uniform holiday schedule is \$8.6 million per day, based on 2018-19 expenditures. The estimate assumes that on average each regional center/provider would observe 8 holidays if the policy is repealed. Therefore, 6 additional days of service would be provided, resulting in the estimated increased cost.

Uniform Holiday Schedule Expenditure Data Source: State Claims Data file, dated September 2019, with actuals through June 2019. Data were adjusted for lag based on historical trends.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Day Programs	\$24,476	\$25,083
Habilitation Services	796	715
Transportation	9,221	9,760
Support Services	12,000	13,031
In-Home Respite	574	627
Miscellaneous	2,266	2,525
TOTAL EXPENDITURES	\$49,333	\$51,741

Uniform Holiday Schedule

FUNDING:

Expenditures from the Uniform Holiday Schedule are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM ENACTED:

The decrease is due to updated growth and actual expenditure data.

REASON FOR YEAR-TO-YEAR CHANGE:

The increase is due to updated growth and estimated 2019-20 expenditure data.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$49,333	\$51,741
GF	29,998	31,434
Reimbursement	19,335	20,307

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
BHT Consumers without an ASD Diagnosis						
TOTAL	(\$6,357)	(\$7,135)	(\$778)	(\$7,135)	\$0	(\$778)
GF	(\$6,357)	(\$7,135)	(\$778)	(\$7,135)	\$0	(\$778)
GF Other	(6,357)	(7,135)	(778)	(7,135)	0	(778)
Community Crisis Homes for Children						
TOTAL	\$4,500	\$4,500	\$0	\$0	(\$4,500)	(\$4,500)
GF	\$4,500	\$4,500	\$0	\$0	(\$4,500)	(\$4,500)
GF Other	4,500	4,500	0	0	(4,500)	(4,500)
Development of START Homes						
TOTAL	\$0	\$3,000	\$3,000	\$0	(\$3,000)	\$0
GF	\$0	\$3,000	\$3,000	\$0	(\$3,000)	\$0
GF Other	0	3,000	3,000	0	(3,000)	0
Early Start Co-Pays						
TOTAL	\$1,000	\$1,000	\$0	\$1,000	\$0	\$0
GF	\$1,000	\$1,000	\$0	\$1,000	\$0	\$0
GF Other	1,000	1,000	0	1,000	0	0
EBSH with Delayed Egress and Secured Perimeters						
TOTAL	\$0	\$0	\$0	\$7,500	\$7,500	\$7,500
GF	\$0	\$0	\$0	\$7,500	\$7,500	\$7,500
GF Other	0	0	0	7,500	7,500	7,500
Electronic Visit Verification Penalty						
TOTAL	\$0	\$0	\$0	\$5,089	\$5,089	\$5,089
GF	\$0	\$0	\$0	\$5,089	\$5,089	\$5,089
GF Other	0	0	0	5,089	5,089	5,089

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
Ongoing Purchase of Service Policy Items						
TOTAL	\$46,000	\$46,000	\$0	\$46,000	\$0	\$0
GF	\$33,000	\$33,000	\$0	\$33,000	\$0	\$0
GF Match	13,000	13,000	0	13,000	0	0
GF Other	20,000	20,000	0	20,000	0	0
Reimbursements	\$13,000	\$13,000	\$0	\$13,000	\$0	\$0
HCBS Waiver	11,640	11,640	0	11,640	0	0
1915(i)	1,360	1,360	0	1,360	0	0
Performance Incentive Program						
TOTAL	\$0	\$0	\$0	\$78,000	\$78,000	\$78,000
GF	\$0	\$0	\$0	\$60,000	\$60,000	\$60,000
GF Match	0	0	0	18,000	18,000	18,000
GF Other			0	42,000	42,000	42,000
Reimbursements	\$0	\$0	\$0	\$18,000	\$18,000	\$18,000
HCBS Waiver	0	0	0	15,750	15,750	15,750
1915(i)	0	0	0	2,250	2,250	2,250
Provider Supplemental Rate Increases						
TOTAL	\$206,173	\$206,173	(\$0)	\$430,308	\$224,135	\$224,135
GF	\$124,523	\$124,523	(\$0)	\$259,823	\$135,300	\$135,300
GF Match	80,650	81,650	1,000	170,485	88,835	89,835
GF Other	43,873	42,873	(1,000)	89,338	46,465	45,465
Reimbursements	\$81,650	\$81,650	\$0	\$170,485	\$88,835	\$88,835
HCBS Waiver	71,650	71,444	(206)	149,175	77,731	77,525
1915(i)	10,000	10,206	206	21,310	11,104	11,310
SB 3 - Minimum Wage Increase - Effective January 1, 2020						
TOTAL	\$85,145	\$91,001	\$5,856	\$194,754	\$103,753	\$109,609
GF	\$42,515	\$46,664	\$4,149	\$99,839	\$53,175	\$57,324
GF Match	42,630	44,336	1,706	94,914	50,578	52,284
GF Other	(115)	2,328	2,443	4,925	2,597	5,040
Reimbursements	\$42,630	\$44,337	\$1,707	\$94,915	\$50,578	\$52,285
HCBS Waiver	33,242	33,858	616	72,511	38,653	39,269
1915(i)	9,388	10,479	1,091	22,404	11,925	13,016

Values in thousands (000's)

Purchase of Service Policy Funding Detail

	Enacted Budget	Updated 2019-20	2019-20 Request	2020-21	2020-21 Over 2019-20	2020-21 Over Enacted Budget
SB 3 - Minimum Wage Increase - Effective January 1, 2021						
TOTAL	\$0	\$0	\$0	\$120,323	\$120,323	\$120,323
GF	\$0	\$0	\$0	\$61,394	\$61,394	\$61,394
GF Match	0	0	0	58,929	58,929	58,929
GF Other	0	0	0	2,465	2,465	2,465
Reimbursements	\$0	\$0	\$0	\$58,929	\$58,929	\$58,929
HCBS Waiver	0	0	0	44,800	44,800	44,800
1915(i)	0	0	0	14,129	14,129	14,129
Systemic, Therapeutic, Assessment, Resources and Treatment Training						
TOTAL	\$0	\$0	\$0	\$4,540	\$4,540	\$4,540
GF	\$0	\$0	\$0	\$2,555	\$2,555	\$2,555
GF Match	0	0	0	1,985	1,985	1,985
GF Other	0	0	0	570	570	570
Reimbursements	\$0	\$0	\$0	\$1,985	\$1,985	\$1,985
HCBS Waiver	0	0	0	1,737	1,737	1,737
1915(i)	0	0	0	248	248	248
Uniform Holiday Schedule						
TOTAL	\$50,300	\$49,333	(\$967)	\$51,741	\$2,408	\$1,441
GF	\$30,100	\$29,998	(\$102)	\$31,434	\$1,436	\$1,334
GF Match	20,200	19,335	(865)	20,306	971	106
GF Other	9,900	10,663	763	11,128	465	1,228
Reimbursements	\$20,200	\$19,335	(\$865)	\$20,307	\$972	\$107
HCBS Waiver	15,141	14,617	(524)	15,356	739	215
1915(i)	5,059	4,718	(341)	4,951	233	(108)
Total POS Policy Funding Detail						
TOTAL	\$386,761	\$393,872	\$7,111	\$932,120	\$538,248	\$545,359
GF	\$229,281	\$235,550	\$6,269	\$554,499	\$318,949	\$325,218
GF Match	156,480	158,321	1,841	377,619	219,298	221,139
GF Other	72,801	77,229	4,428	176,880	99,651	104,079
Reimbursements	\$157,480	\$158,322	\$842	\$377,621	\$219,299	\$220,141
HCBS Waiver	131,673	131,559	(114)	310,969	179,410	179,296
1915(i)	25,807	26,763	956	66,652	39,889	40,845

Values in thousands (000's)

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SECTION L: SUPPLEMENTAL REPORTING

Early Start Expenditures and Population	L-1
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Early Start Expenditures And Population

DESCRIPTION:

Per Legislative request, the Department provides projected caseload and expenditures for the Early Start program. Early Start includes consumers age 0 through 35 months.

ASSUMPTIONS:

Early Start Expenditure Data Source: State Claims Data file, dated September 2019, with actual expenditures through June 2019. 2018-19 data was adjusted for lag based on historical data and used to project 2019-20 and 2020-21 expenditures.

2020-21 Governor's Budget Early Start Estimated Caseload and Expenditures						
Fiscal Year	Monthly Caseload	POS Expenditures	Per Capita	Federal Funds (POS portion) Early Start	Federal Funds (POS portion) EPSDT	State Funds DDS General Funds
2019-20	49,045	\$459,597,000	\$9,371	\$33,392,000	\$31,382,000	\$394,823,000
2020-21	53,165	\$499,083,000	\$9,387	\$33,392,000	\$31,381,000	\$434,310,000

1/ Refer to Section E – Population for additional detail on the Early Start population.

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SECTION M: STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES

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EXECUTIVE HIGHLIGHTS

OVERVIEW

The Department of Developmental Services (Department) currently operates two developmental centers (DCs), one State-operated community facility, and Stabilization, Training, Assistance and Reintegration (STAR) homes for individuals with developmental disabilities. Assembly Bill (AB) 1472, Chapter 25, Statutes of 2012, placed a moratorium on general admissions to DCs that resulted in a continuing decline in the resident population. In addition, Senate Bill (SB) 82, Chapter 23, Statutes of 2015, required the Department to close the remaining DCs. As a result, the General Treatment Area (GTA) at Porterville DC (Porterville GTA) and Fairview DC (Fairview) transitioned the remaining residents to community living in January 2020. The Department will operate the DC at Fairview in warm-shutdown until a site assessment is completed to inform the disposition of the property. The Porterville Secure Treatment Program (STP) and the Canyon Springs Community Facility will remain open.

The actual DC population on July 1, 2019 was 363, an increase of 37 residents as compared to the 2019-20 Enacted Budget due to slower transitions to the community. In 2019-20, the Department projects a population of 302 residents on June 30, 2020. The Governor's Budget projects an ending population of 322 residents on June 30, 2021 for the facilities that remain open and the STAR homes, or an increase of 20 residents as compared to the 2019-20 updated budget.

2019-20

The Department proposes a net increase of \$5.0 million (\$4.1 million General Fund [GF]) and no change in positions for 2019-20, as compared to the 2019-20 Enacted Budget, resulting from the following adjustments:

Operations Expenditures

- Decrease of \$3.0 million GF reflecting a budget revision transferring \$3.0 million from State Operations to Local Assistance to develop two community STAR homes in Central California.

Employee Compensation and Retirement

- Increase of \$8.0 million (\$7.1 million GF) for compensation and retirement adjustments approved through the collective bargaining process.

2020-21

The Department proposes a decrease of \$26.2 million (\$16.7 million GF) and a reduction of 566.3 positions as compared to the 2019-20 updated budget due to the Fairview and Porterville DC closures. The net decrease results from the following adjustments:

Operations Expenditures

- Net decrease of \$24.7 million (\$15.6 million GF) primarily due to closures of Fairview and Porterville GTA, resulting from continued resident placements into community settings. More specifically:
 - Decrease of \$31.8 million (\$22.6 million GF) and decrease of 521.5 positions due to Fairview closure. There will be 54.0 remaining staff at Fairview for the warm shutdown.
 - Decrease of \$5.9 million (\$3.0 million GF) and a decrease of 132.1 as a result of Porterville (GTA) closure.
 - Increase of \$8.6 million (\$10.4 million GF) for the expansion of one Intermediate Care Facility Unit and 72.3 additional positions at Porterville (STP).
 - Increase of \$0.2 million (\$5.6 million GF decrease) for STAR and the Crisis Assessment Stabilization Teams.
 - Increase of \$1.1 million GF for 8.0 Regional Resource Development Project (RRDP) ongoing staff required to continue monitoring of Fairview residents who transitioned to the community.
 - Increase of \$1.5 million GF for lump sum payouts for employees who are retiring and participating in the Community State Staff Program
 - Increase of \$2.0 million GF for loss of federal funds related to worker's compensation.

Employee Compensation and Retirement

- Decrease of \$1.5 million (\$1.1 million GF) related to the approval of employee compensation and retirement adjustments approved through the collective bargaining process.

2019 - 20 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

	Governor's Budget Proposed 2019-20							Enacted Budget	Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2019-20		
DC OPERATIONS									
A. Units	3.00	5.00	2.00	14.00	7.00	0.00	31.00	31.00	0.00
1. ICF	3.00	3.00	2.00	13.00	7.00	0.00	28.00	28.00	0.00
2. SNF	0.00	1.00	0.00	0.00	0.00	0.00	1.00	1.00	0.00
3. Acute	0.00	1.00	0.00	1.00	0.00	0.00	2.00	2.00	0.00
B. Population									
1. Actual Beginning 7/1/2019	56	42	34	211	20	0	363	326	37
2. Population Adjustment	0	-42	-34	0	15	0	-61	-29	-32
3. Ending 6/30/2020	56	0	0	211	35	0	302	297	5
C. Positions	229.0	575.5	132.1	1,352.0	221.5	8.0	2,518.2	2,518.1	0.0
D. Operations Expenditures									
1. Personal Services	\$23,113	\$37,681	\$5,381	\$143,962	\$23,371	\$32,402	\$265,910	\$265,910	\$0
2. OE&E	4,889	6,023	264	24,218	4,861	3,059	43,314	46,302	-2,988
3. Lease Revenue Bond	0	0	0	9,112	0	0	9,112	9,129	-17
Total Operations Expenditures	\$28,003	\$43,704	\$5,645	\$177,292	\$28,232	\$35,461	\$318,337	\$321,342	-\$3,005
E. Control Section Adjustments									
1. Employee Compensation and Retirement	\$737	\$1,425	\$294	\$5,064	\$506	\$18	\$8,044	\$0	\$8,044
GRAND TOTAL	\$28,740	\$45,129	\$5,939	\$182,356	\$28,738	\$35,479	\$326,381	\$321,342	\$5,039

	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2019-20	Enacted Budget	Request
FUND SOURCES:									
A. General Funds	\$14,373	\$35,782	\$3,003	\$180,499	\$23,099	\$27,072	\$283,828	\$279,698	\$4,130
1. General Fund Match	14,330	9,280	2,891	1,814	5,639	2,281	36,235	35,338	897
2. General Fund Other	43	26,502	112	178,685	17,459	24,791	247,593	244,360	3,233
B. Medi-Cal Reimbursements	\$14,330	\$9,280	\$2,891	\$1,814	\$5,639	\$8,407	\$42,361	\$41,464	\$897
1. Medi-Cal Reimbursements	14,330	9,280	2,891	1,814	5,639	2,281	36,235	35,338	897
2. Reimbursements Other	0	0	0	0	0	6,126	6,126	6,126	
C. Lottery Education Fund	\$36	\$68	\$44	\$44	\$0	\$0	\$192	\$180	\$12
GRAND TOTAL	\$28,740	\$45,129	\$5,939	\$182,356	\$28,738	\$35,479	\$326,381	\$321,342	\$5,039

Notes:

The total number of units at Fairview reflect the number of units open on July 1, 2019 and operational until December 31, 2019.

The total number of units at Porterville GTA reflect 2.0 unit open from July 1, 2019 to September 30, 2019 and 1.0 unit will continue to December 31, 2019.

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program, and the Interagency Agreement with the Department of General Services.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2020 - 21 STATE OPERATED RESIDENTIAL AND COMMUNITY FACILITIES PROGRAM BUDGET
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

Governor's Budget Proposed 2020-21								Enacted Budget	Request
DC OPERATIONS	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2020-21		
A. Units	3.00	0.00	0.00	15.00	7.00	0.00	25.00	31.00	-6.00
1. ICF	3.00	0.00	0.00	14.00	7.00	0.00	24.00	28.00	-4.00
2. SNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.00	-1.00
3. Acute	0.00	0.00	0.00	1.00	0.00	0.00	1.00	2.00	-1.00
B. Population									
1. Estimated Beginning 7/1/2020	56	0	0	211	35	0	302	326	-24
2. Population Adjustment	0	0	0	20	0	0	20	-29	49
3. Ending 6/30/2021	56	0	0	231	35	0	322	297	25
C. Positions	236.0	54.0	0.0	1,424.3	221.5	16.0	1,951.8	2,518.1	-566.3
D. Operations Expenditures									
1. Personal Services	\$24,117	\$8,386	\$0	\$153,940	\$23,495	\$36,175	\$246,114	\$265,910	-\$19,797
2. OE&E	5,019	3,568	0	22,831	4,945	2,014	38,377	46,302	-7,926
3. Lease Revenue Bond	0	0	0	9,151	0	0	9,151	9,129	22
Total Operations Expenditures	\$29,136	\$11,954	\$0	\$185,922	\$28,440	\$38,189	\$293,641	\$321,342	-\$27,701
E. Control Section Adjustments									
Employee Compensation and Retirement	\$752	\$0	\$0	\$5,259	\$515	\$19	\$6,545	\$0	\$6,545
GRAND TOTAL	\$29,888	\$11,954	\$0	\$191,181	\$28,955	\$38,208	\$300,186	\$321,342	-\$21,156

FUND SOURCES:	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Ongoing Costs	Total 2020-21	Enacted Budget	Request
A. General Funds	\$14,926	\$11,954	\$0	\$191,085	\$17,454	\$31,708	\$267,126	\$279,698	-\$12,571
1. General Fund Match	14,867	0	0	0	11,501	216	26,584	35,338	-8,755
2. General Fund Other	59	11,954	0	191,085	5,953	31,492	240,543	244,360	-3,817
B. Reimbursements	\$14,867	\$0	\$0	\$0	\$11,501	\$6,500	\$32,868	\$41,464	-\$8,597
1. Medi-Cal Reimbursements	14,867	0	0	0	11,501	216	26,584	35,338	-8,755
2. Reimbursements Other	0	0	0	0	0	6,284	6,284	6,126	158
C. Lottery Education Fund	\$96	\$0	\$0	\$96	\$0	\$0	\$192	\$180	\$12
GRAND TOTAL	\$29,888	\$11,954	\$0	\$191,181	\$28,955	\$38,208	\$300,186	\$321,342	-\$21,156

Notes:

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program, and the Interagency Agreement with the Department of General Services.
Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2020 - 21 Comparison to Updated 2019 - 20
Executive Summary Table
(Dollars in thousands)

	Governor's Budget Proposed 2020-21						Governor's Budget 2019-20	2020-21 Request
	Canyon Springs	Fairview	Porterville STA	STAR & CAST	Ongoing Costs	Total 2020-21		
DC OPERATIONS								
A. Units	3.00	0.00	15.00	7.00	0.00	25.00	31.00	-6.00
1. ICF	3.00	0.00	14.00	7.00	0.00	24.00	28.00	-4.00
2. SNF	0.00	0.00	0.00	0.00	0.00	0.00	1.00	-1.00
3. Acute	0.00	0.00	1.00	0.00	0.00	1.00	2.00	-1.00
B. Population								
1. Estimated Beginning 7/1/2020	56	0	211	35	0	302	363	-61
2. Population Adjustment	<u>0</u>	<u>0</u>	<u>20</u>	<u>0</u>	<u>0</u>	<u>20</u>	<u>-61</u>	<u>81</u>
3. Ending 6/30/2021	56	0	231	35	0	322	302	20
C. Positions	236.0	54.0	1,424.3	221.5	16.0	1,951.8	2,518.2	-566.3
D. Operations Expenditures								
1. Personal Services	\$24,117	\$8,386	\$153,940	\$23,495	\$36,175	\$246,114	\$265,910	-\$19,797
2. OE&E	5,019	3,568	22,831	4,945	2,014	38,377	43,314	-4,938
3. Lease Revenue Bond	<u>0</u>	<u>0</u>	<u>9,151</u>	<u>0</u>	<u>0</u>	<u>9,151</u>	<u>9,112</u>	<u>39</u>
Total Operations Expenditures	\$29,136	\$11,954	\$185,922	\$28,440	\$38,189	\$293,641	\$318,337	-\$24,696
E. Control Section Adjustments								
1. Employee Compensation and Retirement	\$752	\$0	\$5,259	\$515	\$19	\$6,545	\$8,044	-\$1,499
GRAND TOTAL	\$29,888	\$11,954	\$191,181	\$28,955	\$38,208	\$300,186	\$326,381	-\$26,195
FUND SOURCES:								
A. General Funds	\$14,926	\$11,954	\$191,085	\$17,454	\$31,708	\$267,126	\$283,828	-\$16,701
1. General Fund Match	14,867			11,501	216	26,584	36,235	-9,652
2. General Fund Other	59	11,954	191,085	5,953	31,492	240,543	247,593	-7,050
B. Reimbursements	\$14,867	\$0	\$0	\$11,501	\$6,500	\$32,868	\$42,361	-\$9,494
1. Medi-Cal Reimbursements	14,867	0	0	11,501	216	26,584	42,361	-15,778
2. Reimbursements Other	0	0	0	0	6,284	6,284	0	6,284
C. Lottery Education Fund	\$96	\$0	\$96	\$0	\$0	\$192	\$192	\$0
GRAND TOTAL	\$29,888	\$11,954	\$191,181	\$28,955	\$38,208	\$300,186	\$326,381	-\$26,195

Notes:

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program.
Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

SYSTEMWIDE POPULATION

Current Year 2019-20						
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Total
2019-20 Enacted Budget						
Population, July 1, 2019	56	29	10	211	20	326
Change (+/-)	0	-29	-10	0	10	-29
Ending Population, June 30, 2020	56	0	0	211	30	297
Proposed Governor's Budget for CY 2019-20						
Population, July 1, 2019	56	42	34	211	20	363
Change (+/-)	0	-42	-34	0	15	-61
Ending Population, June 30, 2020	56	0	0	211	35	302
Population Change CY 2019-20	0	0	0	0	5	5

Budget Year 2020-21						
	Canyon Springs	Fairview	Porterville GTA	Porterville STA	STAR & CAST	Total
2019-20 Enacted Budget						
Population, July 1, 2019	56	29	10	211	20	326
Change (+/-)	0	-29	-10	0	10	-29
Ending Population, June 30, 2020	56	0	0	211	30	297
Proposed Governor's Budget for BY 2020-21						
Population, July 1, 2020	56	0	0	211	35	302
Change (+/-)	0	0	0	0	0	0
Ending Population, June 30, 2021	56	0	0	211	35	302
Population Change BY 2020-21	0	0	0	0	5	5

Program Update

2019-20

The 2020-21 Governor's Budget proposes a net increase of \$5.0 million (\$4.1 million GF) as compared to the 2019-20 Enacted Budget for the State Operated Residential and Community Facilities. Specific adjustments by facility are as follows:

Canyon Springs

Increase of \$0.7 million (\$0.4 million GF) for Employee Compensation and Retirement adjustments approved through the collective bargaining process.

Fairview

Increase of \$1.4 million (\$1.1 million GF) for Employee Compensation and Retirement adjustments approved through the collective bargaining process.

Porterville - General Treatment Area (GTA)

Increase of \$0.3 million (\$0.1 million GF) for Employee Compensation and Retirement adjustments approved through the collective bargaining process.

Porterville – Secured Treatment Area (STA)

Increase of \$5.1 million GF for Employee Compensation and Retirement adjustments approved through the collective bargaining process.

Stabilization, Training, Assistance and Reintegration Homes (STAR), Crisis Assessment Stabilization Teams (CAST), and Ongoing Costs Programs

- Increase of \$0.5 million (\$0.4 million GF) for Employee Compensation and Retirement adjustments approved through the collective bargaining process.
- A reduction of \$3.0 million GF transferred via Budget Revision to the regional center budget to develop two community STAR Homes in the Central Valley.

2020-21

The 2020-21 Governor's Budget proposes a net decrease of \$26.2 million (\$16.7 million GF) and a decrease of 566.3 positions as compared to the updated 2019-20 budget. The change is comprised of a net decrease of \$24.7 million (\$15.6 million) in total Operation Expenditures, and a net decrease of \$1.5 million (\$1.1 million GF) in Employee Compensation and Retirement adjustments. Adjustments by facility are:

Canyon Springs

The 2020-21 Governor's Budget proposes a minor incremental change for employee compensation and retirement compared to the updated 2019-20.

Fairview

The 2020-21 Governor's Budget proposes a net decrease of \$33.2 million (\$23.8 million GF) and a decrease of 521.5 positions resulting from the closure of residential services program in January 2020.

Porterville GTA

The 2020-21 Governor's Budget proposes a net decrease of \$0.3 million (\$0.2 million GF) resulting from the closure of the GTA residential services program in December 2019.

Porterville STP

The 2020-21 Governor's Budget proposes a net increase of \$8.8 million (\$10.6 million GF) and 72.3 positions. The following adjustments are included:

- Increase of \$8.6 million (\$10.4 million GF) and 72.3 positions to add one Intermediate Care Facility (ICF) Unit for an increased bed capacity from 211 to 231.
- Increase of \$0.2 million GF in Employee Compensation and Retirement adjustments.

STAR and CAST

The 2020-21 Governor's Budget proposes an increase of \$0.2 million (\$5.6 million GF decrease) for a full year of reimbursements and minor incremental change in employee compensation and retirement.

Ongoing Costs

The 2020-21 Governor's Budget proposes an increase of \$2.7 million (\$4.6 million GF) in total expenditures. The following adjustments are included:

- Increase of \$1.1 million GF to fund 8.0 positions in the new South Coast Regional Project (SCRIP) to continue monitoring individuals' who transitioned to community settings (more detail provided under Major Assumption section).
- Increase of \$1.5 million GF to fund anticipated lump sum pay outs for retiring employees in the Community State Staff Program (CSSP). These costs would have occurred whether or not staff participated in the CSSP.
- Zero net increase of expenditures for Workers' Compensation costs, however there is an increase of \$2.0 million GF, offset by decrease of \$2.0 million in Reimbursements, for a zero net change to total expenditures. Workers' Compensation cases are no longer eligible for Medi-Cal Title XIX federal funds participation (FFP), since both Fairview and Porterville GTA are closed.
- Increase of \$0.1 million (\$0 GF) for the Department/Department of General Services' Interagency Agreement for the continued maintenance of the former Sonoma Developmental Center.

Canyon Springs Community Facility
Proposed Governor's Budget to Enacted Budget
Current Year 2019-20
(Dollars in thousands)

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	229.0	229.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$23,113	\$23,113	\$0
<i>Staff OE&E</i>	1,698	1,698	0
<i>Resident OE&E</i>	1,230	1,230	0
<i>Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$28,002	\$28,003	\$0
Total Operations Expenditures	\$28,002	\$28,003	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$737	\$0	\$737
Total Expenditures	\$28,739	\$28,002	\$737

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$14,373	\$14,003	\$370
<i>Reimbursements</i>	14,330	13,963	367
<i>Lottery Fund</i>	<u>36</u>	<u>36</u>	<u>0</u>
Fund, Total	\$28,739	\$28,003	\$736

Canyon Springs Community Facility
Resident Population and Staffing Detail
Current Year 2019-20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
56	0	0	56

Units			
ICF	SNF	Acute	Total Units
3.00	0.00	0.00	3.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	152.0	0.0	0.0	152.0
Medical	2.0	0.0	0.0	2.0
Support Services	<u>75.0</u>	<u>0.0</u>	<u>0.0</u>	<u>75.0</u>
Total	229.0	0.0	0.0	229.0
Total Staffing	229.0	0.0	0.0	229.0

Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	152.0	152.0	0.0
Medical	2.0	2.0	0.0
Support Services	75.0	75.0	0.0
Total Positions	229.0	229.0	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility

Expenditure and Funding Detail

Current Year 2019-20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$23,113	\$0	\$0	\$0	\$23,113
Staff OE&E	1,698	0	0	0	1,698
Resident OE&E	1,194	0	0	36	1,230
Fixed Facility OE&E	0	0	0	1,961	1,961
Total	\$26,005	\$0	\$0	\$1,997	\$28,002

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$737	\$737

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$23,113	\$0	\$0	\$0	\$23,113
OE&E	2,892	0	0	1,997	4,889
Staff OE&E	1,698	0	0	0	1,698
Resident OE&E	1,194	0	0	36	1,230
Fixed OE&E	0	0	0	1,961	1,961
Control Section Adjustments	0	0	0	737	737
Grand Total	\$26,005	\$0	\$0	\$2,734	\$28,739

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$13,020	\$0	\$0	\$1,353	\$14,373
Reimbursements	12,985	0	0	1,345	14,330
Lottery Education Fund	0	0	0	36	36
Grand Total	\$26,005	\$0	\$0	\$2,734	\$28,739

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
Proposed Governor's Budget to Enacted Budget
Current Year 2019-20
(Dollars in thousands)

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	42	29	13
Units			
ICF	3.00	3.00	0.00
SNF	1.00	1.00	0.00
Acute	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	5.00	0.00
Positions			
Unit Staffing	259.2	259.2	0.0
Program Support	<u>316.4</u>	<u>316.4</u>	<u>0.0</u>
Positions, Total	575.5	575.5	0.0
Operations Expenditures			
Unit Staffing			
Personal Services	\$14,027	\$14,027	\$0
Staff OE&E	701	701	0
Resident OE&E	<u>478,921</u>	<u>479</u>	<u>0</u>
Unit Staffing, Total	\$15,207	\$15,207	\$0
Program Support			
Personal Services	\$23,655	\$23,655	\$0
Staff OE&E	1,073	1,073	0
Fixed Facility OE&E	<u>3,769</u>	<u>3,769</u>	<u>0</u>
Program Support, Total	\$28,497	\$28,497	\$0
Total Operations Expenditures	\$43,704	\$43,704	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$1,425	\$0	\$1,425
Total Expenditures	\$45,129	\$43,704	\$1,425

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$35,782	\$34,650	\$1,132
Reimbursements	9,280	8,987	293
Lottery Fund	<u>68</u>	<u>68</u>	<u>0</u>
Fund, Total	\$45,130	\$43,704	\$1,425

Fairview Developmental Center
Resident Population and Staffing Detail
Current Year 2019-20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
29	13	0	42

Units			
ICF	SNF	Acute	Total Units
3.00	1.00	1.00	5.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	158.6	37.0	14.3	209.8
Medical	3.8	2.0	0.5	6.3
Support Services	<u>34.7</u>	<u>6.3</u>	<u>2.1</u>	<u>43.1</u>
Total	197.0	45.3	16.9	259.2

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	12.3	9.7	2.0	24.0
Medical	21.1	16.5	3.4	41.0
Support Services	<u>129.2</u>	<u>101.2</u>	<u>21.0</u>	<u>251.4</u>
Total	162.6	127.3	26.5	316.4

Total Staffing	359.6	172.6	43.4	575.5
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Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	233.8	233.8	0.0
Medical	47.3	47.3	0.0
Support Services	294.4	294.4	0.0
Total Positions	575.5	575.5	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center

Expenditure and Funding Detail

Current Year 2019-20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$7,620	\$1,898	\$860	\$3,648	\$14,027
Staff OE&E	526	127	48		701
Resident OE&E	363	116	0	0	479
Total	\$8,510	\$2,141	\$908	\$3,648	\$15,207

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$10,326	\$2,579	\$1,097	\$9,654	\$23,655
Staff OE&E	635	160	68	211	1,073
Fixed Facility OE&E	0	0	0	3,769	3,769
Total	\$10,960	\$2,738	\$1,164	\$13,634	\$28,497

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$1,425	\$1,425

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$17,946	\$4,477	\$1,957	\$13,302	\$37,681
OE&E	1,525	403	115	3,769	5,812
Staff OE&E	1,161	287	115		1,563
Resident OE&E	363	116	0	0	479
Fixed OE&E	0	0	0	3,769	3,769
Control Section Adjustments	0	0	0	1,425	1,425
Grand Total	\$19,470	\$4,879	\$2,072	\$18,496	\$44,918

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$18,764	\$2,440	\$1,353	\$13,225	\$35,782
Reimbursements	638	2,440	694	5,508	\$9,280
Lottery Education Fund	68	0	0	0	68
Grand Total	\$19,470	\$4,879	\$2,047	\$18,733	\$45,130

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

Proposed Governor's Budget to Enacted Budget

Current Year 2019-20

(Dollars in thousands)

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	34	10	24
Units			
ICF	2.00	2.00	0.00
SNF	0.00	0.00	0.00
Acute	0.00	0.00	0.00
Units, Total	2.00	2.00	0.00
Positions			
Unit Staffing	132.1	132.1	0.0
Program Support	0.0	0.0	0.0
Positions, Total	132.1	132.1	0.0
Operations Expenditures			
Unit Staffing			
Personal Services	\$5,381	\$5,381	\$0
Staff OE&E	178	172	6
Resident OE&E	86	86	0
Unit Staffing, Total	\$5,645	\$4,753	\$6
Program Support			
Personal Services	\$0	\$0	\$0
Staff OE&E	0	0	0
Fixed Facility OE&E	0	0	0
Program Support, Total	\$	\$0	\$0
Total Operations Expenditures	\$5,645	\$4,753	\$6
Control Section Adjustments			
Employee Comp and Retirement	\$294	\$0	\$294
Total Expenditures	\$5,939	\$5,639	\$300

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$3,003	\$2,843	\$160
Reimbursements	2,891	2,757	134
Lottery Fund	44	38	6
Fund, Total	\$5,939	\$5,639	\$300

Porterville Developmental Center- General Treatment Area

Resident Population and Staffing Detail

Budget Year 2020-21

Beginning Resident Population			
ICF	SNF	Acute	Total Population
21	13	0	34

Units			
ICF	SNF	Acute	Total Units
2.00	0.00	0.00	2.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	106.5	0.0	0.0	106.5
Medical	2.5	0.0	0.0	2.5
Support Services	<u>23.1</u>	<u>0.0</u>	<u>0.0</u>	<u>23.1</u>
Total	132.1	0.0	0.0	132.1

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	0.0	0.0	0.0	0.0

Total Staffing	132.1	0.0	0.0	132.1
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Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	106.5	106.5	0.0
Medical	2.5	2.5	0.0
Support Services	23.1	23.1	0.0
Total Positions	132.1	132.1	0.0

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Detail

Budget Year 2020-21

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$5,381	\$0	\$0	\$0	\$5,381
Staff OE&E	178	0	0	0	178
Resident OE&E	86	0	0	0	86
Total	\$5,645	\$0	\$0	\$0	\$5,645

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$294	\$0	\$0	\$0	\$294

Total General Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$5,381	\$0	\$0	\$0	\$5,381
OE&E	264	0	0	0	264
Staff OE&E	178	0	0	0	178
Resident OE&E	86	0	0	0	86
Fixed Facility OE&E	0	0	0	0	0
Control Section Adjustments	294	0	0	0	294
Grand Total	\$5,939	\$0	\$0	\$0	\$5,939

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$3,003	\$0	\$0	\$0	\$3,003
Reimbursements	2,891	0	0	0	2,891
Lottery Education Fund	44	0	0	0	44
Grand Total	\$5,939	\$0	\$0	\$0	\$5,939

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Area

Proposed Governor's Budget to Enacted Budget

Current Year 2019-20

(Dollars in thousands)

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	211	211	0
Units			
ICF*	13.00	13.00	0.00
SNF	0.00	0.00	0.00
Acute	1.00	1.00	0.00
Units, Total	14.00	14.00	0.00
Positions			
Unit Staffing	763.0	763.0	0.0
Program Support	513.5	513.5	0.0
Intensive Treatment Residence - Behavioral	37.8	37.8	0.0
Intensive Treatment Residence - Medical	37.8	37.8	0.0
Positions, Total	1,352.0	1,352.0	0.0
Operations Expenditures			
Unit Staffing			
Personal Services	\$83,268	\$83,268	\$0
Staff OE&E	4,647	4,647	0
Resident OE&E	6,086	6,080	6
Unit Staffing, Total	\$94,001	\$93,995	\$6
Program Support			
Personal Services	\$52,681	\$52,681	\$0
Staff OE&E	3,148	3,148	0
Fixed Facility OE&E**	18,986	19,003	-17
Program Support, Total	\$74,815	\$74,832	-\$17
Intensive Treatment Residence - Behavioral			
Personal Services	\$4,007	\$4,007	\$0
Staff OE&E	231	231	0
IBTR - Behavioral, Total	\$4,238	\$4,238	\$0
Intensive Treatment Residence - Medical			
Personal Services	\$4,007	\$4,007	\$0
Staff OE&E	231	231	0
IBTR - Medical, Total	\$4,238	\$4,238	\$0
Total Operations Expenditures	\$177,292	\$177,303	-\$11
Control Section Adjustments			
Employee Comp and Retirement	\$5,064	\$0	\$5,064
Total Expenditures	\$182,356	\$177,303	\$5,053

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$180,498	\$175,452	\$5,046
Reimbursements	1,814	1,814	0
Lottery Fund	44	38	6
Fund, Total	\$182,356	\$177,303	\$5,052

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment AreaResident Population and Staffing Detail
Current Year 2019-20

Beginning Resident Population				
	ICF	SNF	Acute	Total Population
	211	0	0	211
Units*				
	ICF	SNF	Acute	Total Units
	13.00	0.00	1.00	14.00
Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	624.6	0.0	14.3	638.9
Medical	13.8	0.0	0.5	14.3
Support Services	<u>107.8</u>	<u>0.0</u>	<u>2.1</u>	<u>109.9</u>
Total	746.1	0.0	16.9	763.0
Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	43.9	0.0	1.1	45.0
Medical	57.5	0.0	1.5	59.0
Support Services	<u>399.0</u>	<u>0.0</u>	<u>10.4</u>	<u>409.5</u>
Total	500.4	0.0	13.1	513.5
Intensive Treatment Residence - Behavioral				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	<u>7.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7.5</u>
Total	37.8	0.0	0.0	37.8
Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	<u>7.5</u>	<u>0.0</u>	<u>0.0</u>	<u>7.5</u>
Total	37.8	0.0	0.0	37.8
Total Staffing	1,322.0	0.0	30.0	1,352.0

Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	742.9	742.9	0.0
Medical	74.8	74.8	0.0
Support Services	534.4	534.4	0.0
Total Positions	1,352.0	1,352.0	0.0

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

Porterville Developmental Center - Secure Treatment Area

Expenditure and Funding Detail

Current Year 2019-20

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$81,816	\$0	\$1,452	\$0	\$83,268
Staff OE&E	4,544	0	104	0	4,647
Resident OE&E	6,086	0	0	0	6,086
Total	\$92,445	\$0	\$1,556	\$0	\$94,001

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$51,900	\$0	\$780	\$0	\$52,681
Staff OE&E	3,068	0	80	0	3,148
Fixed Facility OE&E*	0	0	0	18,986	18,986
Total	\$54,968	\$0	\$861	\$18,986	\$74,815

Intensive Treatment Residence - Behavioral Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$4,007	\$0	\$0	\$0	\$4,007
Staff OE&E	231	0	0	0	231
Total	\$4,238	\$0	\$0	\$0	\$4,238

Intensive Treatment Residence - Medical Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$4,007	\$0	\$0	\$0	\$4,007
Staff OE&E	231	0	0	0	231
Total	\$4,238	\$0	\$0	\$0	\$4,238

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$5,064	\$5,064

Total Secure Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$141,730	\$0	\$2,233	\$0	\$143,962
OE&E	14,160	0	184	18,986	33,330
Staff OE&E	8,074	0	184	0	8,258
Resident OE&E	6,086	0	0	0	6,086
Fixed Facility OE&E*	0	0	0	18,986	18,986
Control Section Adjustments	0	0	0	5,064	5,064
Grand Total	\$155,889	\$0	\$2,417	\$24,050	\$182,356

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$155,845	\$0	\$2,290	\$22,363	\$180,498
Reimbursements	0	0	127	1,687	1,814
Lottery Education Fund	44	0	0	0	44
Grand Total	\$155,889	\$0	\$2,417	\$24,050	\$182,356

* Includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Proposed Governor's Budget to Enacted Budget

Current Year 2019-20

(Dollars in thousands)

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	20	20	0
Units			
ICF	7.00	7.00	0.00
SNF	0.00	0.00	0.00
Acute	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	7.00	7.00	0.00
Positions			
STAR	206.5	202.0	4.5
CAST	<u>15.0</u>	<u>19.5</u>	<u>-4.5</u>
Positions, Total	221.5	221.5	0.0
Operations Expenditures			
<u>STAR</u>			
Personal Services	\$21,533	\$19,744	\$1,788
Staff OE&E	2,090	1,875	215
Resident OE&E	1,120	1,120	0
Fixed Facility OE&E	<u>1,216</u>	<u>4,216</u>	<u>-3,000</u>
STAR, Total	\$25,959	\$26,955	-\$997
<u>CAST</u>			
Personal Services	\$1,838	\$3,627	-\$1,788
Staff OE&E	<u>435</u>	<u>650</u>	<u>-215</u>
CAST, Total	\$2,273	\$4,277	-\$2,003
Total Operations Expenditures	\$28,232	\$31,232	-\$3,000
Control Section Adjustments			
Employee Comp and Retirement	\$506	\$0	\$506
Total Expenditures	\$28,738	\$31,232	-\$2,494

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$23,099	\$25,696	-\$2,597
Reimbursements	5,639	5,536	103
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$28,738	\$31,232	-\$2,494

STAR & CASTResident Population and Staffing Detail
Current Year 2019-20

Beginning Resident Population			
ICF	SNF	Acute	Total Population
20	0	0	20

Units			
ICF	SNF	Acute	Total Units
7.00	0.00	0.00	7.00

STAR Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	199.0	0.0	0.0	199.0
Medical	1.5	0.0	0.0	1.5
Support Services	<u>6.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6.0</u>
Total	206.5	0.0	0.0	206.5

CAST Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	15.0	0.0	0.0	15.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	15.0	0.0	0.0	15.0

Total Staffing	221.5	0.0	0.0	221.5
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Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2019-20 Governor's Budget	Change
Clinical	214.0	214.0	0.0
Medical	1.5	1.5	0.0
Support Services	6.0	6.0	0.0
Total Positions	221.5	221.5	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Expenditure and Funding Detail

Current Year 2019-20

(Dollars in thousands)

STAR Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$21,533	\$0	\$0	\$0	\$21,533
Staff OE&E	2,090	0	0	0	2,090
Resident OE&E	1,120	0	0	0	1,120
Fixed Facility OE&E	1,216	0	0	0	1,216
Total	\$25,959	\$0	\$0	\$0	\$25,959

CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$1,838	\$0	\$0	\$0	\$1,838
Staff OE&E	435	0	0	0	435
Total	\$2,273	\$0	\$0	\$0	\$2,273

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$506	\$506

Total STAR & CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$23,371	\$0	\$0	\$0	\$23,371
OE&E	4,861	0	0	0	4,861
Staff OE&E	2,525	0	0	0	2,525
Resident OE&E	1,120	0	0	0	1,120
Fixed Facility OE&E	1,216	0	0	0	1,216
Control Section Adjustments	0	0	0	506	506
Grand Total	\$28,232	\$0	\$0	\$506	\$28,738

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$22,593	\$0	\$0	\$506	\$23,099
Reimbursements	5,639	0	0	0	5,639
Lottery Education Fund	0	0	0	0	0
Grand Total	\$28,232	\$0	\$0	\$506	\$28,738

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Proposed Governor's Budget to Enacted Budget

Current Year 2019-20

(Dollars in thousands)

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	0	0
Positions			
North Regional Resource Development Project	<u>8.0</u>	<u>8.0</u>	<u>0.0</u>
Positions	8.0	8.0	0.0
North RRDP			
Personal Services	\$950	\$950	\$0
Staff OE&E	<u>36</u>	<u>36</u>	<u>0</u>
Total	\$986	\$986	\$0
Workers' Compensation			
Personal Services	<u>\$28,349</u>	<u>\$28,349</u>	<u>\$0</u>
Total	\$28,349	\$28,349	\$0
Interagency Agreement with DGS			
Personal Services	\$4,382	\$4,382	\$0
Staff OE&E	178	178	0
Fixed Facility OE&E	<u>1,566</u>	<u>1,566</u>	<u>0</u>
Total	\$6,126	\$6,126	\$0
Total Operations Expenditures	\$35,461	\$35,461	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$18	\$0	\$18
Total Expenditures	\$35,479	\$35,461	\$18

	2019-20 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$27,072	\$27,054	\$18
Reimbursements	8,407	8,407	0
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$35,479	\$35,462	\$18

Canyon Springs Community Facility
Proposed Governor's Budget to Enacted Budget
Budget Year 2020-21
(Dollars in thousands)

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	236.0	229.0	7.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$24,117	\$23,113	\$1,004
<i>Staff OE&E</i>	1,732	1,698	34
<i>Resident OE&E</i>	1,326	1,230	96
<i>Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$29,136	\$28,003	\$1,134
Total Operations Expenditures	\$29,136	\$28,003	\$1,134
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$752	\$0	\$752
Total Expenditures	\$29,888	\$28,002	\$1,886

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$14,926	\$14,003	\$922
<i>Reimbursements</i>	14,867	13,963	903
<i>Lottery Fund</i>	<u>96</u>	<u>36</u>	<u>60</u>
Fund, Total	\$29,888	\$28,003	\$1,886

Canyon Springs Community Facility
Resident Population and Staffing Detail
Budget Year 2020-21

Beginning Resident Population			
ICF	SNF	Acute	Total Population
56	0	0	56

Units			
ICF	SNF	Acute	Total Units
3.00	0.00	0.00	3.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	153.0	0.0	0.0	153.0
Medical	2.0	0.0	0.0	2.0
Support Services	81.0	0.0	0.0	81.0
Total	236.0	0.0	0.0	236.0
Total Staffing	236.0	0.0	0.0	236.0

Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2020-21 Governor's Budget	Change
Clinical	152.0	153.0	1.0
Medical	2.0	2.0	0.0
Support Services	75.0	81.0	6.0
Total Positions	229.0	236.0	7.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility

Expenditure and Funding Detail

Budget Year 2020-21

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$24,117	\$0	\$0	\$0	\$24,117
Staff OE&E	1,732	0	0	0	1,732
Resident OE&E	1,230	0	0	96	1,326
Fixed Facility OE&E	0	0	0	1,961	1,961
Total	\$27,080	\$0	\$0	\$2,057	\$29,136

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$752	\$752

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$24,117	\$0	\$0	\$0	\$24,117
OE&E	2,962	0	0	2,057	5,019
Staff OE&E	1,732	0	0	0	1,732
Resident OE&E	1,230	0	0	96,000	1,326
Fixed OE&E	0	0	0	1,961	1,961
Control Section Adjustments	0	0	0	752	752
Grand Total	\$27,080	\$0	\$0	\$2,809	\$29,888

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$13,565	\$0	\$0	\$1,361	\$14,926
Reimbursements	13,515	0	0	1,352	14,867
Lottery Education Fund	0	0	0	96	96
Grand Total	\$27,080	\$0	\$0	\$2,809	\$29,888

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
Proposed Governor's Budget to Enacted Budget
Budget Year 2020-21
(Dollars in thousands)

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	29	-29
Units			
<i>ICF</i>	0.00	3.00	-3.00
<i>SNF</i>	0.00	1.00	-1.00
<i>Acute</i>	<u>0.00</u>	<u>1.00</u>	<u>-1.00</u>
Units, Total	0.00	5.00	-5.00
Positions			
<i>Unit Staffing</i>	0.0	259.2	-259.2
<i>Program Support</i>	<u>54.0</u>	<u>316.4</u>	<u>-262.4</u>
Positions, Total	54.0	575.5	-521.5
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$8,386	\$14,027	-\$5,641
<i>Staff OE&E</i>	3,568	701	2,867
<i>Resident OE&E</i>	<u>0</u>	<u>479</u>	<u>-479</u>
Unit Staffing, Total	\$11,954	\$15,207	-\$3,253
<i>Program Support</i>			
<i>Personal Services</i>	\$0	\$23,655	-\$23,655
<i>Staff OE&E</i>	0	1,073	-1,073
<i>Fixed Facility OE&E</i>	<u>0</u>	<u>3,769</u>	<u>-3,769</u>
Program Support, Total	\$0	\$28,497	-\$28,497
Total Operations Expenditures	\$11,954	\$43,704	-\$31,750
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$0	\$0	\$0
Major Assumptions			
<i>Lump Sum Payouts</i>	\$0	\$0	\$0
Total Expenditures	\$11,954	\$43,704	-\$31,750

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$11,954	\$34,650	-\$22,696
<i>Reimbursements</i>	0	8,987	-8,987
<i>Lottery Fund</i>	<u>0</u>	<u>68</u>	<u>-68</u>
Fund, Total	\$11,954	\$43,704	-\$31,750

Fairview Developmental Center
Resident Population and Staffing Detail
Budget Year 2020-21

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	54.0	0.0	0.0	54.0
Total	54.0	0.0	0.0	54.0

Total Staffing	54.0	0.0	0.0	54.0
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Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2020-21 Governor's Budget	Change
Clinical	233.8	0.0	-233.8
Medical	47.3	0.0	-47.3
Support Services	294.4	54.0	-240.4
Total Positions	575.5	54.0	-521.5

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center

Expenditure and Funding Detail

Budget Year 2020-21

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$8,386	\$8,386
Staff OE&E	0	0	0	3,568	3,568
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$11,954	\$11,954

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$0	\$0

Total Facility Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$8,386	\$8,386
OE&E	0	0	0	3,568	3,568
Staff OE&E	0	0	0	3,568	3,568
Resident OE&E	0	0	0	0	0
Fixed OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$11,954	\$11,954

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$11,954	\$11,954
Reimbursements	0	0	0	0	0
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$11,954	\$11,954

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

Proposed Governor's Budget to Enacted Budget

Budget Year 2020-21

(Dollars in thousands)

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	10	-10
Units			
<i>ICF</i>	0.00	2.00	-2.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	0.00	0.00	0.00
Units, Total	0.00	2.00	-2.00
Positions			
<i>Unit Staffing</i>	0.0	132.1	-132.1
<i>Program Support</i>	0.0	0.0	0.0
Positions, Total	0.0	132.1	-132.1
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$0	\$5,381	-\$5,381
<i>Staff OE&E</i>	0	172	-172
<i>Resident OE&E</i>	0	86	-86
Unit Staffing, Total	\$0	\$4,753	-\$5,639
<i>Program Support</i>			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	0	0	0
<i>Fixed Facility OE&E</i>	0	0	0
Program Support, Total	\$0	\$0	\$0
Total Operations Expenditures	\$0	\$4,753	-\$5,639
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$0	\$0	\$0
Major Assumptions			
Lump Sum	\$0	\$0	\$0
Total Expenditures	\$0	\$5,639	-\$5,639

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$0	\$2,843	-\$2,843
<i>Reimbursements</i>	0	2,757	-2,757
<i>Lottery Fund</i>	0	38	-38
Fund, Total	\$0	\$5,639	-\$5,639

Porterville Developmental Center- General Treatment Area

Resident Population and Staffing Detail

Budget Year 2020-21

Beginning Resident Population			
ICF	SNF	Acute	Total Population
0	0	0	0

Units			
ICF	SNF	Acute	Total Units
0.00	0.00	0.00	0.00

Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	0.0	0.0	0.0	0.0
Medical	0.0	0.0	0.0	0.0
Support Services	0.0	0.0	0.0	0.0
Total	0.0	0.0	0.0	0.0

Total Staffing	0.0	0.0	0.0	0.0
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Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2020-21 Governor's Budget	Change
Clinical	106.5	0.0	-106.5
Medical	2.5	0.0	-2.5
Support Services	23.1	0.0	-23.1
Total Positions	132.1	0.0	-132.1

Porterville Developmental Center - General Treatment Area

Expenditure and Funding Detail

Budget Year 2020-21

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$

Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
Staff OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Total	\$0	\$0	\$0	\$0	\$0

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$0	\$0

Major Assumptions					
	ICF	SNF	Acute	Facility-Wide	Total
Lump Sum	\$0	\$0	\$0	\$0	\$0

Total General Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$0	\$0	\$0	\$0	\$0
OE&E	0	0	0	0	0
Staff OE&E	0	0	0	0	0
Resident OE&E	0	0	0	0	0
Fixed Facility OE&E	0	0	0	0	0
Control Section Adjustments	0	0	0	0	0
Major Assumptions	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$0	\$0	\$0	\$0	\$0
Reimbursements	0	0	0	0	0
Lottery Education Fund	0	0	0	0	0
Grand Total	\$0	\$0	\$0	\$0	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Area

Proposed Governor's Budget to Enacted Budget

Budget Year 2020-21

(Dollars in thousands)

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	231	211	20
Units			
<i>ICF*</i>	14.00	13.00	1.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	1.00	1.00	0.00
Units, Total	15.00	14.00	1.00
Positions			
<i>Unit Staffing</i>	830.8	763.0	67.8
<i>Program Support</i>	516.0	513.5	2.5
<i>Intensive Treatment Residence - Behavioral</i>	37.8	37.8	0.0
<i>Intensive Treatment Residence - Medical</i>	37.8	37.8	0.0
<i>Forensic Team</i>	2.0	0.0	2.0
Positions, Total	1,424.3	1,352.0	72.3
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$92,075	\$83,196	\$8,879
<i>Staff OE&E</i>	5,094	4,647	447
<i>Resident OE&E</i>	6,752	6,080	672
Unit Staffing, Total	\$103,921	\$93,923	\$9,998
<i>Program Support</i>			
<i>Personal Services</i>	\$53,556	\$52,627	\$929
<i>Staff OE&E</i>	3,163	3,148	15
<i>Fixed Facility OE&E**</i>	16,489	19,003	-2,514
Program Support, Total	\$73,209	\$74,778	-\$1,570
<i>Intensive Treatment Residence - Behavioral</i>			
<i>Personal Services</i>	\$3,965	\$4,070	-\$105
<i>Staff OE&E</i>	231	231	0
IBTR - Behavioral, Total	\$4,196	\$4,301	-\$105
<i>Intensive Treatment Residence - Medical</i>			
<i>Personal Services</i>	\$3,965	\$4,070	-\$105
<i>Staff OE&E</i>	231	231	0
IBTR - Medical, Total	\$4,196	\$4,301	-\$105
<i>Forensic Team</i>			
<i>Personal Services</i>	\$380	\$0	\$380
<i>Staff OE&E</i>	20	0	20
IBTR - Medical, Total	\$400	\$0	\$400
Total Operations Expenditures	\$185,922	\$177,303	\$8,618
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$5,259	\$0	\$5,259
Total Expenditures	\$191,181	\$177,303	\$13,877

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$191,085	\$175,452	\$15,633
<i>Reimbursements</i>	0	1,814	-1,814
<i>Lottery Fund</i>	96	38	58
Fund, Total	\$191,181	\$179,803	\$13,878

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Porterville Developmental Center - Secure Treatment Area

Resident Population and Staffing Detail

Budget Year 2020-21

Beginning Resident Population				
	ICF	SNF	Acute	Total Population
	231	0	0	231
Units*				
	ICF	SNF	Acute	Total Units
	14.00	0.00	1.00	15.00
Unit Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	681.4	0.0	14.3	695.6
Medical	15.0	0.0	0.5	15.5
Support Services	117.6	0.0	2.1	119.7
Total	814.0	0.0	16.9	830.8
Program Support Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	43.9	0.0	1.1	45.0
Medical	57.6	0.0	1.4	59.0
Support Services	402.2	0.0	9.7	412.0
Total	503.8	0.0	12.2	516.0
Intensive Treatment Residence - Behavioral				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	7.5	0.0	0.0	7.5
Total	37.8	0.0	0.0	37.8
Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	29.5	0.0	0.0	29.5
Medical	0.8	0.0	0.0	0.8
Support Services	7.5	0.0	0.0	7.5
Total	37.8	0.0	0.0	37.8
Intensive Treatment Residence - Medical				
	ICF	SNF	Acute	Total Positions
Clinical	2.0	0.0	0.0	2.0
Total	2.0	0.0	0.0	2.0
Total Staffing	1,395.3	0.0	29.1	1,424.3

Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2020-21 Governor's Budget	Change
Clinical	742.9	801.6	58.8
Medical	74.8	76.0	1.3
Support Services	534.4	546.7	12.3
Total Positions	1,352.0	1,424.3	72.3

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

Porterville Developmental Center - Secure Treatment Area

Expenditure and Funding Detail

Budget Year 2020-21

(Dollars in thousands)

Unit Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$89,543	\$0	\$2,532	\$0	\$92,075
Staff OE&E	4,990	0	104	0	5,094
Resident OE&E	<u>6,656</u>	<u>0</u>	<u>0</u>	<u>96</u>	<u>6,752</u>
Total	\$101,189	\$0	\$2,635	\$96	\$103,921
Program Support Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$52,291	\$0	\$1,265	\$0	\$53,556
Staff OE&E	3,089	0	75	0	3,163
Fixed Facility OE&E*	<u>0</u>	<u>0</u>	<u>0</u>	<u>16,489</u>	<u>16,489</u>
Total	\$55,379	\$0	\$1,340	\$16,489	\$73,209
Intensive Treatment Residence - Behavioral Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,965	\$0	\$0	\$0	\$3,965
Staff OE&E	<u>231</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>231</u>
Total	\$4,196	\$0	\$0	\$0	\$4,196
Intensive Treatment Residence - Medical Staffing Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$3,965	\$0	\$0	\$0	\$3,965
Staff OE&E	<u>231</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>231</u>
Total	\$4,196	\$0	\$0	\$0	\$4,196
Forensic Team					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$380	\$0	\$0	\$0	\$380
Staff OE&E	<u>20</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>20</u>
Total	\$400	\$0	\$0	\$0	\$400
Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$5,259	\$5,259
Total Secure Treatment Area Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$150,143	\$0	\$3,797	\$0	\$153,940
OE&E	15,218	0	178	16,585	31,982
Staff OE&E	8,562	0	178	0	8,741
Resident OE&E	6,656	0	0	96	6,752
Fixed Facility OE&E*	0	0	0	16,489	16,489
Control Section Adjustments	<u>0</u>	<u>0</u>	<u>0</u>	<u>5,259</u>	<u>5,259</u>
Grand Total	\$165,361	\$0	\$3,975	\$21,844	\$191,181
Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$165,361	\$0	\$3,975	\$21,748	\$191,085
Reimbursements	0	0	0	0	0
Lottery Education Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>96</u>	<u>96</u>
Grand Total	\$165,361	\$0	\$3,975	\$21,844	\$191,181

* Includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Proposed Governor's Budget to Enacted Budget
Budget Year 2020-21
(Dollars in thousands)

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	35	20	15
Units			
ICF	7.00	7.00	0.00
SNF	0.00	0.00	0.00
Acute	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	7.00	7.00	0.00
Positions			
STAR	206.5	202.0	4.5
CAST	<u>15.0</u>	<u>19.5</u>	<u>-4.5</u>
Positions, Total	221.5	221.5	0.0
Operations Expenditures			
<u>STAR</u>			
Personal Services	\$21,640	\$19,744	\$1,895
Staff OE&E	1,571	1,875	-304
Resident OE&E	1,120	1,120	0
Fixed Facility OE&E	<u>1,819</u>	<u>4,216</u>	<u>-2,397</u>
STAR, Total	\$26,150	\$26,955	-\$806
<u>CAST</u>			
Personal Services	\$1,855	\$3,627	-\$1,771
Staff OE&E	<u>435</u>	<u>650</u>	<u>-215</u>
CAST, Total	\$2,290	\$4,277	-\$1,986
Total Operations Expenditures	\$28,440	\$31,232	-\$2,792
Control Section Adjustments			
Employee Comp and Retirement	\$515	\$0	\$515
Total Expenditures	\$28,955	\$31,232	-\$2,277

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
General Fund	\$17,454	\$25,696	-\$8,242
Reimbursements	11,501	5,536	5,965
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$28,955	\$31,232	-\$2,277

STAR & CASTResident Population and Staffing Detail
Budget Year 2020-21

Beginning Resident Population			
ICF	SNF	Acute	Total Population
35	0	0	35

Units			
ICF	SNF	Acute	Total Units
7.00	0.00	0.00	7.00

STAR Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	199.0	0.0	0.0	199.0
Medical	1.5	0.0	0.0	1.5
Support Services	<u>6.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6.0</u>
Total	206.5	0.0	0.0	206.5

CAST Staffing				
	ICF	SNF	Acute	Total Positions
Clinical	15.0	0.0	0.0	15.0
Medical	0.0	0.0	0.0	0.0
Support Services	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Total	15.0	0.0	0.0	15.0

Total Staffing	221.5	0.0	0.0	221.5
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Incremental Position Change from 2019-20 Enacted Budget			
Staffing	2019-20 Enacted Budget	2020-21 Governor's Budget	Change
Clinical	214.0	214.0	0.0
Medical	1.5	1.5	0.0
Support Services	6.0	6.0	0.0
Total Positions	221.5	221.5	0.0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Expenditure and Funding Detail

Budget Year 2020-21

(Dollars in thousands)

STAR Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$21,640	\$0	\$0	\$0	\$21,640
Staff OE&E	1,571	0	0	0	1,571
Resident OE&E	1,120	0	0	0	1,120
Fixed Facility OE&E	1,819	0	0	0	1,819
Total	\$26,150	\$0	\$0	\$0	\$26,150

CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$1,855	\$0	\$0	\$0	\$1,855
Staff OE&E	435	0	0	0	435
Total	\$2,290	\$0	\$0	\$0	\$2,290

Control Section Adjustments					
	ICF	SNF	Acute	Facility-Wide	Total
Employee Compensation	\$0	\$0	\$0	\$515	\$515

Total STAR & CAST Expenditures					
	ICF	SNF	Acute	Facility-Wide	Total
Personal Services	\$23,495	\$0	\$0	\$0	\$23,495
OE&E	4,945	0	0	0	4,945
Staff OE&E	2,006	0	0	0	2,006
Resident OE&E	1,120	0	0	0	1,120
Fixed Facility OE&E	1,819	0	0	0	1,819
Control Section Adjustments	0	0	0	515	515
Grand Total	\$28,440	\$0	\$0	\$515	\$28,955

Fund Sources					
	ICF	SNF	Acute	Facility-Wide	Total
General Fund	\$17,064	\$0	\$0	\$390	\$17,454
Reimbursements	11,376	0	0	\$125	11,501
Lottery Education Fund	0	0	0	0	0
Grand Total	\$28,440	\$0	\$0	\$515	\$28,955

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Proposed Governor's Budget to Enacted Budget

Budget Year 2020-21

(Dollars in thousands)

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	0	0
Positions			
North Regional Resource Development Project	8.0	8.0	0.0
South Regional Resource Development Project	<u>8.0</u>	<u>0.0</u>	<u>8.0</u>
	16.0	8.0	8.0
North RRDP			
<i>Personal Services</i>	\$946	\$950	-\$4
<i>Staff OE&E</i>	<u>36</u>	<u>36</u>	<u>0</u>
Total	\$983	\$986	-\$4
South RRDP			
<i>Personal Services</i>	\$1,002	\$0	\$1,002
<i>Staff OE&E</i>	<u>76</u>	<u>0</u>	<u>76</u>
Total	\$1,078	\$0	\$1,078
Workers' Compensation			
<i>Personal Services</i>	<u>\$28,349</u>	<u>\$28,349</u>	<u>\$0</u>
Total	\$28,349	\$28,349	\$0
Interagency Agreement with DGS			
<i>Personal Services</i>	\$4,382	\$4,382	\$0
<i>Staff OE&E</i>	178	178	0
<i>Fixed Facility OE&E</i>	<u>1,724</u>	<u>1,566</u>	<u>158</u>
Total	\$6,284	\$6,126	\$158
Post Closure Costs			
<i>Fixed Facility OE&E</i>	<u>\$1,495</u>	<u>\$0</u>	<u>\$1,495</u>
Total	\$1,495	\$0	\$1,495
Total Operations Expenditures	\$38,189	\$35,461	\$2,728
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$19	\$0	\$19
Total Expenditures	\$38,208	\$35,462	\$2,747

	2020-21 Governor's Budget	2019-20 Enacted Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$31,708	\$27,054	\$4,654
<i>Reimbursements</i>	6,500	8,407	-1,907
<i>Lottery Fund</i>	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$38,208	\$35,462	\$2,747

MAJOR ASSUMPTIONS

Ongoing Costs

South Coast Regional Project

In 2020-21, the Department requests \$1.1 million General Fund (GF) in Personal Services and Operating Expenses and Equipment (OE&E) to support 8.0 positions for the South Coast Regional Project (SCRP). The Department is statutorily required to complete the monitoring of former Fairview Development Center residents who transitioned to the community for one calendar year. The South Coast Regional Project (SCRP) will continue to support the transition activities of all individuals from the Southern Stabilization, Training, Assistance, and Reintegration (STAR) home, Desert STAR, and Canyon Springs. The SCRCP will also assist Porterville Regional Project (PRP) with Welfare and Institutions Code (WIC) §4418.7 assessments in the Southern California Region, as the increased closure and transition activities since 2015 required the PRP to temporarily assume responsibility for all WIC §4418.7 assessments.

Developmental Centers – Community State Staff Program Lump Sum

The lump sum funding provided in previous budgets was unused by Developmental Center employees who joined the Community State Staff Program (CSSP) and is no longer available to the Department for separating/retiring CSSP staff in 2020-21, therefore, the Department requests \$1.5 million GF for the ongoing liability of lump sum separation pay for the CSSP staff. The employees' cashable leave balances were left in place when the facilities (Lanternman, Sonoma, Fairview) closed if the employees were hired under the CSSP by providers or regional centers to provide continuity of care for residents transitioning into a community setting.

Porterville Developmental Center – Secured Treatment Program Expansion

One Unit Expansion at Porterville Developmental Center

The Department requests \$8.9 million GF, 72.3 positions, and language amending the WIC §7502.5 statutory capacity for the Secured Treatment Program (STP) at Porterville from 211 to 231 beds. The Department seeks to temporarily expand the STP by one Intermediate Care Facility (ICF) Unit of 20-beds. The 20-bed expansion is proposed through June 30, 2024; the STP bed capacity would return to 211 by July 1, 2024.

The additional ICF unit will reduce the number of individuals currently awaiting competency evaluations ordered by the courts. The number of residents at the facility undergoing the Incompetent to Stand Trial program, coupled with the residents who are committed under WIC §6500 has resulted in maximum capacity at the STP, resulting in

jail wait times for individuals charged with a felony. The temporary expansion of the STP will reduce the number of individuals with developmental disabilities found incompetent to stand trial and awaiting placement in the Porterville STP. The temporary expansion is set to sunset, as more integrated community resources are developed.

Developmental Centers – Fairview Warm Shutdown

Fairview Developmental Center Continued Warm Shutdown

The current budget provides funding for the operation of Fairview DC through June 30, 2020. The Department requests \$11.9 million GF and 54.0 positions to extend the warm-shutdown period at Fairview DC through June 30, 2021. The Department of General Services is in the process of completing a site assessment to inform the disposition of the property.

General Fund

DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible residents (these funds are referred to as "General Fund Match" and 2) to pay for non-Medi-Cal eligible resources/services for residents in the developmental centers and the state-operated community facility (DC/CF). These funds are referred to as "General Fund Other."

ASSUMPTIONS/METHODOLOGY:

			<u>2019-20</u>	<u>2020-21</u>
• General Fund Match:			\$36,235	\$26,584
General Fund Match to FMAP	<u>2019-20</u>	<u>2020-21</u>		
Non-Proposition 98	50.00%	50.00%	35,750	26,098
Proposition 98	50.00%	50.00%	305	305
HIPAA	50.00%	50.00%	180	180
This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHCS.				
• General Fund Other			\$247,593	\$240,543
This funding is for services provided to the DC/CF residents who are not eligible for federal or other reimbursement funding. Funding also includes \$9.1 million in 2019-20 and \$9.1 million in 2020-21 for Lease-Revenue Bonds.			247,593	240,543
TOTAL GENERAL FUND			\$283,828	\$267,126

Dollars are in thousands

Reimbursements

Title XIX/Medi-Cal

DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

ASSUMPTIONS/METHODOLOGY:

2019-202020-21

- Title XIX/Medi-Cal

\$31,876

\$15,083

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DCs/CF system via DHCS. The federal financial participation costs are established by utilizing the FMAP provided by DHCS.

FMAP

2019-202020-21

Non-Proposition 98

50.00%

50.00%

31,876

15,083

Proposition 98

50.00%

50.00%

0

0

HIPAA

50.00%

50.00%

0

0

Federal Reimbursements

DESCRIPTION:

The 1915(i) and the Home and Community-Based Services (HCBS) Waiver programs currently enable the Department to provide a broad array of services to eligible individuals in their communities. The Department will request federal approval for matching funds for the STAR homes and services provided by CAST to individuals in a crisis situation. Federal reimbursements fund eligible services provided to individuals in the community, or state operated community crisis homes, via the Home and Community Based Services Waiver and 1915(i) State Plan Amendment.

- HCBS Waiver

\$3,792

\$10,006

- 1915(i)

\$567

\$1,495

- Reimbursement Other

\$6,126

\$6,284

TOTAL REIMBURSEMENTS

\$42,361

\$32,868

Dollars are in thousands

Lottery Education Fund

DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

ASSUMPTIONS/METHODOLOGY:

2019-202020-21

Lottery Education Fund:

\$192

\$192

- The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in the developmental centers and the state-operated community facility. Funds received are based on average daily attendance.

TOTAL LOTTERY EDUCATION FUND

\$192

\$192

Dollars are in thousands

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SB 82 Reporting

Pursuant to Chapter 23, Statutes of 2015 (SB 82), the Department provides the following information regarding General Fund (GF) savings associated with the downsizing or closure of the Developmental Centers (DC).

In Table 1, below, negative amounts reflect savings; positive amounts reflect increased expenditures. The Prior Years Cumulative Total column displays the funding for 2015-16 through 2018-19. The 2019-20 column contains funding impacts related to six programs or activities affected by the Sonoma DC, Fairview DC, and Porterville DC GTA closures. Changes in 2019-20 reflect the associated employee compensation and retirement adjustments for Program 1; and updated budget amounts for Community Services - Continuation Costs, Program 4.

The 2020-21 column reflects changes in DCs – Operations Adjustments, Program 1; DCs – Closure Activities, Program 2; Community Services – Continuation Costs, Program 4; Safety Net Resources, Program 6. No changes in Community Services – Closure Placement, Program 3; and HQ – Closure Coordination and Oversight, Program 5.

Cumulatively, net expenditures driven by closure-related activities total \$345 million (\$277 million GF). While overall DC operations expenditures are decreasing in 2019-20 and 2020-21, expenditures to develop community-based resources and to support individuals living in the community exceed savings on the aggregate.

Table 1
DC Closures – Funding Impacts
Dollars in Thousands

Program/Activity	Prior Years Cumulative Total		2019-20		2020-21		Cumulative Total	
	TF	GF	TF	GF	TF	GF	TF	GF
1. DCs - Operations Adjustments	-\$161,121	-\$77,423	-\$109,899	-\$67,530	-\$38,956	-\$26,831	-\$309,976	-\$171,784
2. DCs - Closure Activities	\$36,365	\$21,034	-\$635	-\$1,873	\$0	\$0	\$35,730	\$19,161
3. Community Services - Closure Placement	\$178,200	\$158,400	\$0	\$0	\$0	\$0	\$178,200	\$158,400
4. Community Services - Continuation Costs	\$229,100	\$136,000	\$70,407	\$36,236	\$49,176	\$25,308	\$348,683	\$197,544
5. HQ - Closure Coordination & Oversight	\$6,300	\$5,400	\$2,100	\$1,800	\$2,100	\$1,800	\$10,500	\$9,000
6. Safety Net Resources	<u>\$21,138</u>	<u>\$21,138</u>	<u>\$31,738</u>	<u>\$26,099</u>	<u>\$28,955</u>	<u>\$17,454</u>	<u>\$81,831</u>	<u>\$64,691</u>
Total	\$309,982	\$264,549	-\$6,289	-\$5,268	\$41,275	\$17,731	\$344,968	\$277,012

The amounts in Table 1 do not include other state department-funded expenditures, such as Medi-Cal and In-Home Supportive Services, for individuals who moved out of the DCs into the community. The following information provides details and explanations for each program/activity area.

1. DCs – Operations Adjustments

DC - Operations Adjustments include personnel expenditures to care for residents and to operate and maintain the facilities. It also includes staff, resident, and facility OE&E expenses. The Governor's Budget proposes decreases in Operations expenditures in 2019-20 of \$109.9 million (\$67.6 million GF) as compared to prior year; for 2020-21 \$38.9 million (\$26.8 million GF) as compared to the updated 2019-20 operations expenditures. The decreases result from the closure of residential programs at Fairview and Porterville GTA.

2. DCs – Closure Activities

DCs – Closure Activities program reflects zero funds as the activities were budgeted only at Fairview and Porterville GTA, the reductions are displayed as negatives within Program 1.

3. Community Services – Closure Community Placement Plan (CPP)

No change to the budget amount for program 3, the residents located at facilities slated for closure were placed in community settings, negating the need for additional CPP funding for closure population.

4. Community Services – Continuation Costs

The budget request reflects funding based on actual costs from 2018-19 placements.

5. Headquarters – Closure Coordination and Oversight

There are no changes for 2019-20 or 2020-21 for headquarters.

6. Safety Net Resources

The remaining \$28.9 million in Program 6 is funding needed for the ongoing operation of the STAR Homes and CAST.