

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2020 MAY REVISION



STATE OF CALIFORNIA

MAY 2020

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Comparison of May Revision to Enacted Budget
2019-20

	A Enacted Budget	B May Revision	C 2019-20 Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$655,017	\$655,189	\$172
2. Federal Compliance	48,002	48,011	9
3. Projects	29,346	29,540	194
4. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee	1,825	1,780	-45
5. Total OPS Caseload Growth (Items 1 thru 4)	<u>\$734,190</u>	<u>\$734,520</u>	<u>\$330</u>
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Increased Costs for COVID 19 OPS	0	5,500	5,500
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Self-Determination Program OPS	0	2,376	2,376
11. Specialized Caseload Ratios	3,800	3,800	0
12. Specialized Home Monitoring	5,757	5,757	0
13. Trauma Informed Services for Foster Youth	1,600	1,600	0
14. Total Policy (Items 6 thru 13)	<u>\$106,325</u>	<u>\$114,201</u>	<u>\$7,876</u>
15. Total Operations (Items 5 and 14)	<u>\$840,515</u>	<u>\$848,721</u>	<u>\$8,206</u>
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,776,202	\$1,830,929	\$54,727
2. Medical Facilities	16,929	20,345	3,416
3. Day Programs	1,161,271	1,149,564	-11,707
4. Habilitation Services	161,058	154,473	-6,585
a. Work Activity Program	34,983	31,538	-3,445
b. Supported Employment Program (Group Placement)	89,235	83,912	-5,323
c. Supported Employment Program (Individual Placement)	36,840	39,023	2,183
5. Transportation	381,918	387,843	5,925
6. Support Services	1,700,447	1,628,081	-72,366
7. In-Home Respite	593,833	604,415	10,582
8. Out-of-Home Respite	50,697	51,571	874
9. Health Care	140,356	160,341	19,985
10. Miscellaneous	557,207	542,887	-14,320
11. Quality Assurance Fees (Transfer from Department of Health Care Services)	9,855	9,612	-243
12. Total POS Caseload Growth (Items 1 thru 11)	<u>\$6,549,773</u>	<u>\$6,540,061</u>	<u>-\$9,712</u>
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder	-\$6,357	-\$6,955	-\$598
14. Community Crisis Home for Children	4,500	4,500	0
15. Development of STAR Homes	0	3,000	3,000
16. Early Start Co-Pays	1,000	1,000	0
17. Ongoing Purchase of Services Policy Items	46,000	46,000	0
18. Provider Supplemental Rate Increases POS	206,173	206,173	0
19. Increased Costs for COVID 19 POS	0	198,600	198,600
20. SB 3 Minimum Wage Increase: Effective January 1, 2020	85,145	91,001	5,856
21. Uniform Holiday	50,300	49,302	-998
22. Total Policy (Items 13 thru 21)	<u>\$386,761</u>	<u>\$592,621</u>	<u>\$205,860</u>
23. Total POS (Items 12 and 22)	<u>\$6,936,534</u>	<u>\$7,132,682</u>	<u>\$196,148</u>
C. Early Start/ Part C: Other Agency Cost	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	<u>\$7,798,146</u>	<u>\$8,002,500</u>	<u>\$204,354</u>

Comparison of May Revision to Enacted Budget
2019-20

	A	B	C
	Enacted Budget	May Revision	2019-20 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,701,247	\$4,659,112	-\$42,135
1. General Fund Match	2,790,442	2,862,020	71,578
2. General Fund - Other	1,910,805	1,797,092	-113,713
B. Reimbursements	\$3,040,187	\$3,286,657	\$246,470
1. Home and Community-Based Services (HCBS) Waiver	2,115,891	2,289,473	173,582
2. HCBS Waiver Administration	19,237	16,846	-2,391
3. Medicaid Administration	17,908	14,553	-3,355
4. Targeted Case Management (TCM)	247,125	235,787	-11,338
5. TCM Administration	8,137	8,137	0
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	60,846	71,111	10,265
8. Quality Assurance Fees (QAF)	10,768	10,502	-266
9. 1915(i) SPA	304,746	375,346	70,600
10. Early Periodic Screening Diagnosis and Treatment	28,577	31,863	3,286
11. Behavioral Health Treatment Fee-for-Service	13,531	13,821	290
12. Self-Determination Program (SDP) Waiver	0	4,747	4,747
13. CalFresh	0	1,050	1,050
C. Program Development Fund / Parental Fees	\$2,242	\$2,242	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,580	\$53,599	\$19
1. Early Start/Part C Grant	52,486	52,486	0
2. Foster Grandparent Program	1,094	1,113	19
G. GRAND TOTAL	\$7,798,146	\$8,002,500	\$204,354

Comparison of Governor's Budget to May Revision
2019-20

	A Governor's Budget	B May Revision	C 2019-20 Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$655,696	\$655,189	-\$507
2. Federal Compliance	48,046	48,011	-35
3. Projects	29,521	29,540	19
4. Intermediate Care Facility-Developmentally Disabled (ICF-DD) State Plan Amendment (SPA) Administration Fee	1,806	1,780	-26
5. Total OPS Caseload Growth (Items 1 thru 4)	\$735,069	\$734,520	-\$549
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Increased Costs for COVID 19 OPS	0	5,500	5,500
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Self-Determination Program OPS	0	2,376	2,376
11. Specialized Caseload Ratios	3,800	3,800	0
12. Specialized Home Monitoring	5,757	5,757	0
13. Trauma Informed Services for Foster Youth	1,600	1,600	0
14. Total Policy (Items 6 thru 13)	\$106,325	\$114,201	\$7,876
15. Total Operations (Items 5 and 14)	\$841,394	\$848,721	\$7,327
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,830,065	\$1,830,929	\$864
2. Medical Facilities	18,088	20,345	2,257
3. Day Programs	1,149,515	1,149,564	49
4. Habilitation Services	156,639	154,473	-2,166
a. Work Activity Program	32,955	31,538	-1,417
b. Supported Employment Program (Group Placement)	84,206	83,912	-294
c. Supported Employment Program (Individual Placement)	39,478	39,023	-455
5. Transportation	387,712	387,843	131
6. Support Services	1,616,685	1,628,081	11,396
7. In-Home Respite	557,884	604,415	46,531
8. Out-of-Home Respite	51,318	51,571	253
9. Health Care	149,477	160,341	10,864
10. Miscellaneous	551,617	542,887	-8,730
11. Quality Assurance Fees (Transfer from Department of Health Care Services)	9,750	9,612	-138
12. Total POS Caseload Growth (Items 1 thru 11)	\$6,478,750	\$6,540,061	\$61,311
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder	-\$7,135	-\$6,955	\$180
14. Community Crisis Home for Children	4,500	4,500	0
15. Development of STAR Homes	3,000	3,000	0
16. Early Start Co-Pays	1,000	1,000	0
17. Ongoing Purchase of Services Policy Items	46,000	46,000	0
18. Provider Supplemental Rate Increases POS	206,173	206,173	0
19. Increased Costs for COVID 19 POS	0	198,600	198,600
20. SB 3 Minimum Wage Increase: Effective January 1, 2020	91,001	91,001	0
21. Uniform Holiday	49,333	49,302	-31
22. Total Policy (Items 13 thru 21)	\$393,872	\$592,621	\$198,749
23. Total POS (Items 12 and 22)	\$6,872,622	\$7,132,682	\$260,060
C. Early Start/ Part C: Other Agency Cost			
	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services			
	\$2,003	\$2,003	\$0
E. GRAND TOTAL			
	\$7,735,113	\$8,002,500	\$267,387

Comparison of Governor's Budget to May Revision
2019-20

	A Governor's Budget	B May Revision	C 2019-20 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,686,900	\$4,659,112	-\$27,788
1. General Fund Match	2,741,770	2,862,020	120,250
2. General Fund - Other	1,945,130	1,797,092	-148,038
B. Reimbursements	\$2,991,501	\$3,286,657	\$295,156
1. Home and Community-Based Services (HCBS) Waiver	2,049,652	2,289,473	239,821
2. HCBS Waiver Administration	18,094	16,846	-1,248
3. Medicaid Administration	17,653	14,553	-3,100
4. Targeted Case Management (TCM)	220,825	235,787	14,962
5. TCM Administration	8,301	8,137	-164
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	60,196	71,111	10,915
8. Quality Assurance Fees (QAF)	10,653	10,502	-151
9. 1915(i) SPA	341,791	375,346	33,555
10. Early Periodic Screening Diagnosis and Treatment	31,382	31,863	481
11. Behavioral Health Treatment Fee-for-Service	13,736	13,821	85
12. Self-Determination Program (SDP) Waiver	4,747	4,747	0
13. CalFresh	1,050	1,050	0
C. Program Development Fund / Parental Fees	\$2,242	\$2,242	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,580	\$53,599	\$19
1. Early Start/Part C Grant	52,486	52,486	0
2. Foster Grandparent Program	1,094	1,113	19
G. GRAND TOTAL	\$7,735,113	\$8,002,500	\$267,387

Comparison of Governor's Budget to May Revision
2020-21

	A Governor's Budget	B May Revision	C 2020-21 Request (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth/Utilization			
1. Staffing	\$694,950	\$694,519	-\$431
2. Federal Compliance	48,266	48,127	-139
3. Projects	30,193	30,828	635
4. ICF-DD SPA Administration Fee	1,806	1,780	-26
5. Total OPS Caseload Growth (Items 1 thru 4)	\$775,215	\$775,254	\$39
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Enhanced Caseload Ratios for Young Consumers	16,522	17,365	843
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Performance Incentive Program OPS	0	78,000	78,000
11. Savings Proposal OPS	0	-135,365	-135,365
12. Medicaid Claiming Adjustment OPS	0	22,026	22,026
13. Self-Determination Program OPS	0	4,073	4,073
14. Specialized Caseload Ratios	3,800	3,800	0
15. Specialized Home Monitoring	6,503	6,842	339
16. Trauma Informed Services for Foster Youth	1,600	1,600	0
17. Total Policy (Items 6 thru 16)	\$123,593	\$93,509	-\$30,084
18. Total Operations (Items 5 and 17)	\$898,808	\$868,763	-\$30,045
B. Purchase of Services (POS)			
Caseload Growth/Utilization			
1. Community Care Facilities	\$1,922,024	\$1,941,646	\$19,622
2. Medical Facilities	18,439	19,884	1,445
3. Day Programs	1,177,460	1,177,419	-41
4. Habilitation Services	157,717	153,169	-4,548
a. Work Activity Program	29,638	27,190	-2,448
b. Supported Employment Program (Group Placement)	82,972	81,700	-1,272
c. Supported Employment Program (Individual Placement)	45,107	44,279	-828
5. Transportation	410,246	414,983	4,737
6. Support Services	1,761,727	1,790,612	28,885
7. In-Home Respite	609,249	691,796	82,547
8. Out-of-Home Respite	53,521	53,659	138
9. Health Care	164,179	184,043	19,864
10. Miscellaneous	614,710	595,806	-18,904
11. QAF (Transfer from DHCS)	9,750	9,612	-138
12. POS Subtotal (Items 1 thru 11)	\$6,899,022	\$7,032,629	\$133,607
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$7,135	-\$6,955	\$180
14. Early Start Co-Pays	1,000	1,000	0
15. EBSHs with DESP	7,500	7,500	0
16. Electronic Visit Verification Penalty	5,089	5,219	130
17. Ongoing Purchase of Service Policy Items	46,000	46,000	0
18. Performance Incentive Program POS	78,000	0	-78,000
19. Provider Supplemental Rate Increases POS	430,308	430,308	0
20. Increased Costs for COVID 19 POS	0	254,101	254,101
21. Savings Proposal POS	0	-544,602	-544,602
22. Medicaid Claiming Adjustment POS	0	246,389	246,389
23. SB 3 Minimum Wage Increase: Effective January 1, 2020	194,754	194,754	0
24. SB 3 Minimum Wage Increase: Effective January 1, 2021	120,323	120,323	0
25. START Training	4,540	4,540	0
26. Uniform Holiday	51,741	51,511	-230
27. Total Policy (Items 13 thru 26)	\$932,120	\$810,088	-\$122,032
28. Total POS (Items 12 and 27)	\$7,831,142	\$7,842,717	\$11,575
C. Early Start/Part C: Other Agency Costs			
	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services			
	\$2,003	\$2,003	\$0
E. GRAND TOTAL			
	\$8,751,047	\$8,732,577	-\$18,470

Comparison of Governor's Budget to May Revision
2020-21

	A Governor's Budget	B May Revision	C 2020-21 Request (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$5,314,052	\$5,056,571	-\$257,481
1. General Fund Match	3,131,567	2,945,180	-186,387
2. General Fund - Other	2,182,485	2,111,391	-71,094
B. Reimbursements	\$3,380,245	\$3,616,529	\$236,284
1. HCBS Waiver	2,374,348	2,576,005	201,657
2. HCBS Waiver Administration	18,461	17,489	-972
3. Medicaid Administration	17,653	14,553	-3,100
4. TCM	234,126	245,116	10,990
5. TCM Administration	8,301	8,137	-164
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	60,196	62,235	2,039
8. QAF	10,653	10,502	-151
9. 1915(i) SPA	381,447	405,848	24,401
10. Early Periodic Screening Diagnosis and Treatment	31,381	32,895	1,514
11. Behavioral Health Treatment Fee-for-Service	13,736	13,806	70
12. Self-Determination Program (SDP) Waiver	16,522	16,522	0
C. Program Development Fund / Parental Fees	\$2,280	\$4,280	\$2,000
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,580	\$54,307	\$727
1. Early Start/Part C Grant	52,486	53,106	620
2. Foster Grandparent Program	1,094	1,201	107
G. GRAND TOTAL	\$8,751,047	\$8,732,577	-\$18,470

Comparison of Updated 2019-20 to Updated 2020-21

	A Updated 2019-20	B Updated 2020-21	C Incremental Increase (B - A)
I. BUDGET ITEMS:			
A. Operations (OPS)			
Caseload Growth			
1. Staffing	\$655,189	\$694,519	\$39,330
2. Federal Compliance	48,011	48,127	116
3. Projects	29,540	30,828	1,288
4. ICF-DD SPA Administration Fee	1,780	1,780	0
5. Total OPS Caseload Growth (Items 1 thru 4)	<u>\$734,520</u>	<u>\$775,254</u>	<u>\$40,734</u>
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Enhanced Caseload Ratios for Young Consumers	0	17,365	17,365
8. Increased Costs for COVID 19 OPS	5,500	0	-5,500
9. Family Home Agency Oversight	1,619	1,619	0
10. Ongoing Operations Policy Items	85,247	85,247	0
11. Performance Incentive Program OPS	0	78,000	78,000
12. Savings Proposal OPS	0	-135,365	-135,365
13. Medicaid Claiming Adjustment OPS	0	22,026	22,026
14. Self-Determination Program OPS	2,376	4,073	1,697
15. Specialized Caseload Ratios	3,800	3,800	0
16. Specialized Home Monitoring	5,757	6,842	1,085
17. Trauma Informed Services for Foster Youth	1,600	1,600	0
18. Total Policy (Items 6 thru 17)	<u>114,201</u>	<u>93,509</u>	<u>-20,692</u>
19. Total OPS (Items 5 and 18)	<u>\$848,721</u>	<u>\$868,763</u>	<u>\$20,042</u>
B. Purchase of Services (POS)			
Caseload Growth			
1. Community Care Facilities	\$1,830,929	\$1,941,646	\$110,717
2. Medical Facilities	20,345	19,884	-461
3. Day Programs	1,149,564	1,177,419	27,855
4. Habilitation Services	154,473	153,169	-1,304
a. Work Activity Program	31,538	27,190	-4,348
b. Supported Employment (Group Placement)	83,912	81,700	-2,212
c. Supported Employment (Individual Placement)	39,023	44,279	5,256
5. Transportation	387,843	414,983	27,140
6. Support Services	1,628,081	1,790,612	162,531
7. In-Home Respite	604,415	691,796	87,381
8. Out-of-Home Respite	51,571	53,659	2,088
9. Health Care	160,341	184,043	23,702
10. Miscellaneous	542,887	595,806	52,919
11. QAF (Transfer from DHCS)	9,612	9,612	0
12. Total POS Caseload (Items 1 thru 11)	<u>\$6,540,061</u>	<u>\$7,032,629</u>	<u>\$492,568</u>
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$6,955	-\$6,955	\$0
14. Community Crisis Home for Children	4,500	0	-4,500
15. Development of STAR Homes	3,000	0	-3,000
16. Early Start Co-Pays	1,000	1,000	0
17. EBSHs with DESP	0	7,500	7,500
18. Electronic Visit Verification Penalty	0	5,219	5,219
19. Ongoing Purchase of Service Policy Items	46,000	46,000	0
20. Provider Supplemental Rate Increases POS	206,173	430,308	224,135
21. Increased Costs for COVID 19 POS	198,600	254,101	55,501
22. Savings Proposal POS	0	-544,602	-544,602
23. Medicaid Claiming Adjustment POS	0	246,389	246,389
24. SB 3 Minimum Wage Increase: Effective January 1, 2020	91,001	194,754	103,753
25. SB 3 Minimum Wage Increase: Effective January 1, 2021	0	120,323	120,323
26. START Training	0	4,540	4,540
27. Uniform Holiday	49,302	51,511	2,209
28. Total Policy (Items 13 thru 27)	<u>\$592,621</u>	<u>\$810,088</u>	<u>\$217,467</u>
29. Total POS (Items 12 and 28)	<u>\$7,132,682</u>	<u>\$7,842,717</u>	<u>\$710,035</u>
C. Early Start/Part C: Other Agency Costs			
	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services			
	\$2,003	\$2,003	\$0
E. GRAND TOTAL			
	<u>\$8,002,500</u>	<u>\$8,732,577</u>	<u>\$730,077</u>

Values in thousands (000's)

Comparison of Updated 2019-20 to Updated 2020-21

	A	B	C
	Updated 2019-20	Updated 2020-21	Incremental Increase (B - A)
II. FUND SOURCES:			
A. General Fund Total	\$4,659,112	\$5,056,571	\$397,459
1. General Fund Match	2,862,020	2,945,180	83,160
2. General Fund - Other	1,797,092	2,111,391	314,299
B. Reimbursements	\$3,286,657	\$3,616,529	\$329,872
1. HCBS Waiver	2,289,473	2,576,005	286,532
2. HCBS Waiver Administration	16,846	17,489	643
3. Medicaid Administration	14,553	14,553	0
4. TCM	235,787	245,116	9,329
5. TCM Administration	8,137	8,137	0
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. ICF-DD SPA	71,111	62,235	-8,876
8. QAF	10,502	10,502	0
9. 1915(i) SPA	375,346	405,848	30,502
10. Early Periodic Screening Diagnosis and Treatment	31,863	32,895	1,032
11. Behavioral Health Treatment Fee-for-Service	13,821	13,806	-15
12. Self-Determination Program (SDP) Waiver	4,747	16,522	11,775
13. CalFresh	1,050	0	-1,050
C. Program Development Fund / Parental Fees	\$2,242	\$4,280	\$2,038
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Services Fund	\$740	\$740	\$0
F. Federal Funds	\$53,599	\$54,307	\$708
1. Early Start/Part C Grant	52,486	53,106	620
2. Foster Grandparent Program	1,113	1,201	88
G. GRAND TOTAL	\$8,002,500	\$8,732,577	\$730,077

Comparison of Governor's Budget to May Revision
2019-20
OPERATIONS

	A Governor's Budget	B May Revision	C 2019-20 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$655,696	\$655,189	-\$507
2. Federal Compliance	48,046	48,011	-35
3. Projects	29,521	29,540	19
4. ICF-DD SPA Administration Fee	1,806	1,780	-26
5. Total OPS Caseload Growth (Items 1 thru 4)	\$735,069	\$734,520	-\$549
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Increased Costs for COVID 19 OPS	0	5,500	5,500
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Self-Determination Program OPS	0	2,376	2,376
11. Specialized Caseload Ratios	3,800	3,800	0
12. Specialized Home Monitoring	5,757	5,757	0
13. Trauma Informed Services for Foster Youth	1,600	1,600	0
14. Total Policy (Items 6 thru 13)	\$106,325	\$114,201	\$7,876
15. Total OPS (Items 5 and 14)	\$841,394	\$848,721	\$7,327
II. FUND SOURCES:			
A. General Fund Total	\$572,584	\$569,455	-\$3,129
1. General Fund Match	254,006	253,178	-828
2. General Fund Other	318,578	316,277	-2,301
B. Reimbursements	\$266,826	\$277,263	\$10,437
1. HCBS Waiver Administration	18,094	16,846	-1,248
2. Medicaid Administration	17,653	14,553	-3,100
3. TCM	220,825	235,787	14,962
4. TCM Administration	8,301	8,137	-164
5. QAF	903	890	-13
6. CalFresh	1,050	1,050	0
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Services Fund	\$740	\$740	\$0
E. Federal Funds	\$1,094	\$1,113	\$19
Foster Grandparent Program	1,094	1,113	19
F. Grand Total	\$841,394	\$848,721	\$7,327

**Comparison of Governor's Budget to May Revision
2020-21
OPERATIONS**

	A	B	C
	Governor's Budget	May Revision	2020-21 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
1. Staffing	\$694,950	\$694,519	-\$431
2. Federal Compliance	48,266	48,127	-139
3. Projects	30,193	30,828	635
4. ICF-DD SPA Administration Fee	1,806	1,780	-26
5. Total OPS Caseload Growth (Items 1 thru 4)	\$775,215	\$775,254	\$39
Policy			
6. DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
7. Enhanced Caseload Ratios for Young Consumers	16,522	17,365	843
8. Family Home Agency Oversight	1,619	1,619	0
9. Ongoing Operations Policy Items	85,247	85,247	0
10. Performance Incentive Program OPS	0	78,000	78,000
11. Savings Proposal OPS	0	-135,365	-135,365
12. Medicaid Claiming Adjustment Ops	0	22,026	22,026
13. Self-Determination Program OPS	0	4,073	4,073
14. Specialized Caseload Ratios	3,800	3,800	0
15. Specialized Home Monitoring	6,503	6,842	339
16. Trauma Informed Services for Foster Youth	1,600	1,600	0
17. Total Policy (Items 6 thru 16)	\$123,593	\$93,509	-\$30,084
18. Total Operations (Items 5 and 17)	\$898,808	\$868,763	-\$30,045
II. FUND SOURCES:			
A. General Fund Total	\$617,380	\$580,487	-\$36,893
1. General Fund Match	267,675	249,135	-18,540
2. General Fund Other	349,705	331,352	-18,353
B. Reimbursements	\$279,444	\$286,185	\$6,741
1. HCBS Waiver Administration	18,461	17,489	-972
2. Medicaid Administration	17,653	14,553	-3,100
3. TCM	234,126	245,116	10,990
4. TCM Administration	8,301	8,137	-164
5. QAF	903	890	-13
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Services Fund	\$740	\$740	\$0
E. Federal Funds	\$1,094	\$1,201	\$107
Foster Grandparent Program	1,094	1,201	107
F. Grand Total	\$898,808	\$868,763	(\$30,045)

Comparison of Governor's Budget to May Revision
2019-20
PURCHASE OF SERVICES

	A Governor's Budget	B May Revision	C 2019-20 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,830,065	\$1,830,929	\$864
2. Medical Facilities	18,088	20,345	2,257
3. Day Programs	1,149,515	1,149,564	49
4. Habilitation Services	156,639	154,473	-2,166
a. Work Activity Program	32,955	31,538	-1,417
b. Supported Employment Program (Group Placement)	84,206	83,912	-294
c. Supported Employment Program (Individual Placement)	39,478	39,023	-455
5. Transportation	387,712	387,843	131
6. Support Services	1,616,685	1,628,081	11,396
7. In-Home Respite	557,884	604,415	46,531
8. Out-of-Home Respite	51,318	51,571	253
9. Health Care	149,477	160,341	10,864
10. Miscellaneous	551,617	542,887	-8,730
11. QAF (Transfer from DHCS)	9,750	9,612	-138
12. Total POS Caseload Growth (Items 1 thru 11)	\$6,478,750	\$6,540,061	\$61,311
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$7,135	-\$6,955	\$180
14. Community Crisis Home for Children	4,500	4,500	0
15. Development of STAR Homes	3,000	3,000	0
16. Early Start Co-Pays	1,000	1,000	0
17. Ongoing Purchase of Service Policy Items	46,000	46,000	0
18. Provider Supplemental Rate Increases POS	206,173	206,173	0
19. Increased Costs for COVID 19 POS	0	198,600	198,600
20. SB 3 Minimum Wage Increase: Effective January 1, 2020	91,001	91,001	0
21. Uniform Holiday	49,333	49,302	-31
22. Total Policy (Items 13 thru 21)	\$393,872	\$592,621	\$198,749
23. Total POS (Items 12 and 22)	\$6,872,622	\$7,132,682	\$260,060
II. FUND SOURCES:			
A. General Fund Total			
1. General Fund Match	\$4,112,313	\$4,087,654	-\$24,659
2. General Fund Other	2,487,764	2,608,842	121,078
	1,624,549	1,478,812	-145,737
B. Reimbursements			
1. HCBS Waiver	\$2,724,675	\$3,009,394	\$284,719
2. Title XX Block Grant	2,049,652	2,289,473	239,821
3. ICF-DD SPA	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
4. ICF-DD SPA	60,196	71,111	10,915
5. QAF	9,750	9,612	-138
6. 1915(i) SPA	341,791	375,346	33,555
7. Early Periodic Screening Diagnosis and Treatment	31,382	31,863	481
8. BHT Fee-For-Services	13,736	13,821	85
9. Self-Determination Program (SDP) Waiver	4,747	4,747	0
C. Program Development Fund / Parental Fees			
	\$2,242	\$2,242	\$0
D. Federal Funds			
Early Start/Part C Grant	\$33,392	\$33,392	\$0
	33,392	33,392	0
E. Grand Total	\$6,872,622	\$7,132,682	\$260,060

Values in thousands (000's)

**Comparison of Governor's Budget to May Revision
2020-21
PURCHASE OF SERVICES**

	A	B	C
	Governor's Budget	May Revision	2020-21 Request (B - A)
I. BUDGET ITEMS:			
Caseload Growth			
A. Purchase of Services (POS)			
1. Community Care Facilities	\$1,922,024	\$1,941,646	\$19,622
2. Medical Facilities	18,439	19,884	1,445
3. Day Programs	1,177,460	1,177,419	-41
4. Habilitation Services	157,717	153,169	-4,548
a. Work Activity Program	29,638	27,190	-2,448
b. Supported Employment Program (Group Placement)	82,972	81,700	-1,272
c. Supported Employment Program (Individual Placement)	45,107	44,279	-828
5. Transportation	410,246	414,983	4,737
6. Support Services	1,761,727	1,790,612	28,885
7. In-Home Respite	609,249	691,796	82,547
8. Out-of-Home Respite	53,521	53,659	138
9. Health Care	164,179	184,043	19,864
10. Miscellaneous	614,710	595,806	-18,904
11. QAF (Transfer from DHCS)	9,750	9,612	-138
12. Total POS Caseload Growth (Items 1 thru 11)	\$6,899,022	\$7,032,629	\$133,607
Policy			
13. BHT - Consumers with no Autism Spectrum Disorder Diagnosis	-\$7,135	-\$6,955	\$180
14. Early Start Co-Pays	1,000	1,000	0
15. EBSHs with DESP	7,500	7,500	0
16. Electronic Visit Verification Penalty	5,089	5,219	130
17. Ongoing Purchase of Service Policy Items	46,000	46,000	0
18. Performance Incentive Program POS	78,000	0	-78,000
19. Provider Supplemental Rate Increases POS	430,308	430,308	0
20. Increased Costs for COVID 19 POS	0	254,101	254,101
21. Savings Proposal POS	0	-544,602	-544,602
22. Medicaid Claiming Adjustment POS	0	246,389	246,389
23. SB 3 Minimum Wage Increase: Effective January 1, 2020	194,754	194,754	0
24. SB 3 Minimum Wage Increase: Effective January 1, 2021	120,323	120,323	0
25. START Training	4,540	4,540	0
26. Uniform Holiday	51,741	51,511	-230
27. Total Policy (Items 13 thru 26)	\$932,120	\$810,088	-\$122,032
28. Total POS (Items 12 and 27)	\$7,831,142	\$7,842,717	\$11,575
II. FUND SOURCES:			
A. General Fund Total	\$4,694,669	\$4,474,081	-\$220,588
1. General Fund Match	2,863,892	2,696,045	-167,847
2. General Fund Other	1,830,777	1,778,036	-52,741
B. Reimbursements	\$3,100,801	\$3,330,344	\$229,543
1. HCBS Waiver	2,374,348	2,576,005	201,657
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. ICF-DD SPA	60,196	62,235	2,039
4. QAF	9,750	9,612	-138
5. 1915(i) SPA	381,447	405,848	24,401
6. Early Periodic Screening Diagnosis and Treatment	31,381	32,895	1,514
7. Behavioral Health Treatment - Fee-for-Service	13,736	13,806	70
8. Self-Determination Program (SDP) Waiver	16,522	16,522	0
C. Program Development Fund / Parental Fees	\$2,280	\$4,280	\$2,000
D. Federal Funds	\$33,392	\$34,012	\$620
Early Start/Part C Grant	33,392	34,012	620
E. Grand Total	\$7,831,142	\$7,842,717	\$11,575

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SECTION B: EXECUTIVE HIGHLIGHTS

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EXECUTIVE HIGHLIGHTS

Overview

This document provides a brief, high-level summary of changes proposed in the Department's 2020 May Revision Regional Center Estimate as compared to the 2020-21 Governor's Budget.

2019-20

Costs and Fund Sources

The 2019-20 updated Regional Center budget includes \$8.0 billion (\$4.7 billion General Fund [GF]), a net increase of \$267.4 million (\$27.8 million GF decrease) as compared to the Governor's Budget. This includes a projected \$260.1 million increase (\$24.7 million GF decrease) in Purchase of Services (POS) expenditures that reflect updated expenditures. The updated budget also includes an increase of \$7.3 million (\$3.1 million GF decrease) in Operations cost.

The 2019-20 updated budget as compared to the enacted budget reflects a net increase of \$204.4 million (\$42.1 million GF decrease).

Costs and Fund Sources <i>(Values in thousands)</i>			
	Governor's Budget	May Revision	Request
Total Costs	\$7,735,113	\$8,002,500	\$267,387
Operations	841,394	848,721	7,327
Purchase of Services	6,872,622	7,132,682	260,060
Early Start - Other Agency Costs	19,094	19,094	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$7,735,113	\$8,002,500	\$267,387
General Fund (GF)	4,686,900	4,659,112	(27,788)
<i>GF Match</i>	<i>2,741,770</i>	<i>2,862,020</i>	<i>120,250</i>
<i>GF Other</i>	<i>1,945,130</i>	<i>1,797,092</i>	<i>(148,038)</i>
Reimbursements	2,991,501	3,286,657	295,156
Program Development Fund	2,242	2,242	0
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	53,580	53,599	19

Population

The updated current year caseload projections reflect a net decrease of 1,860 consumers as compared to the Governor's Budget. The decrease is due to Early Start caseload growth coming in lower than projected.

	Governor's Budget	May Revision	Difference
Active (Age 3 & Older)	301,002	301,297	295
Total Early Start (Birth through 35 Months)	49,045	46,890	(2,155)
Total Community Caseload	350,047	348,187	(1,860)

Regional Center Operations

The Operations budget reflects a net decrease of \$549,000 (\$3.7 million GF increase) due to the decrease in updated caseload projections resulting in a decrease in Core Staffing.

	Governor's Budget	May Revision	Request
Core Staffing	\$655,696	655,189	(\$507)
Federal Compliance	48,046	48,011	(35)
Projects	29,521	29,540	19
ICF - DD	1,806	1,780	(26)
Total Operations	\$735,069	\$734,520	(\$549)

Purchase of Service

Updated POS expenditures reflect a net increase of \$61.3 million (\$7.7 million GF), or a 0.95 percent increase, as compared to the Governor's Budget. However, this is a \$9.7 million decrease (\$40.3 million GF) compared to the enacted budget. The increase is primarily attributed to expenditure growth in the following categories: Support Services, In-Home Respite, and Health Care, and a decrease in Miscellaneous.

Purchase of Services Caseload (Utilization and Growth)				
	Governor's Budget	May Revision	Difference	Percent Change
Community Care Facilities	\$1,830,065	\$1,830,929	\$864	0.05%
Medical Facilities	18,088	20,345	2,257	12.48%
Day Programs	1,149,515	1,149,564	49	0.00%
Habilitation	156,639	154,473	(2,166)	-1.38%
<i>Work Activity Program</i>	32,955	31,538	(1,417)	-4.30%
<i>Supported Employment Program - Group</i>	84,206	83,912	(294)	-0.35%
<i>Supported Employment Program - Individual</i>	39,478	39,023	(455)	-1.15%
Transportation	387,712	387,843	131	0.03%
Support Services	1,616,685	1,628,081	11,396	0.70%
In-Home Respite	557,884	604,415	46,531	8.34%
Out of Home Respite	51,318	51,571	253	0.49%
Health Care	149,477	160,341	10,864	7.27%
Miscellaneous	551,617	542,887	(8,730)	-1.58%
Quality Assurance Fees	9,750	9,612	(138)	-1.42%
TOTAL	\$6,478,750	\$6,540,061	\$61,311	0.95%

Policy – Operations

There is a net increase of \$7.9 million (\$6.8 million GF decrease) in policy expenditures as compared to the Governor's Budget.

- Self-Determination Program: Increase of \$2.4 million GF due to a Budget Revision that transferred POS savings to Operations based on federal approval of the Self Determination Program.
- COVID-19 Increased Cost: Increase of \$5.5 million (\$4.1 million GF) for increased cost in operations related to regional center's response to the COVID-19 pandemic.
- Enhanced FMAP 6.2%: Increase reimbursement of \$13.3 million with a corresponding savings in GF due to the Families First Coronavirus Response Act (FFCRA) which provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP).

Policy			
	Governor's Budget	May Revision	Request
Operations			
DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
Family Home Agency Oversight	1,619	1,619	0
Ongoing Policy Items	85,247	85,247	0
Self-Determination Program	0	2,376	2,376
Specialized Caseload Ratios	3,800	3,800	0
Specialized Home Monitoring	5,757	5,757	0
COVID-19 Increase Cost	0	5,500	5,500
Trauma Informed Services for Foster Youth	1,600	1,600	0
Total Operations Policy	\$106,325	\$114,201	\$7,876

Policy - POS

There is a net increase of \$198.7 (\$32.3 million GF decrease) in policy related expenditures as compared to the Governor's Budget.

- COVID-19 Increased Cost: Increase of \$198.6 million (\$130.9 million GF) for costs to provide additional support for consumers in their homes as well as the development of surge sites to support consumers diagnosed with, exposed to, or at high risk of COVID-19.
- Enhanced FMAP 6.2%: Increase reimbursement of \$163.7 million with a corresponding savings in GF due to the FFCRA.

Policy			
	Governor's Budget	May Revision	Request
Purchase of Services			
BHT - FFS Consumers with no ASD Diagnosis	(\$7,135)	(\$6,955)	\$180
Community Crisis Homes for Children	4,500	4,500	0
Development of STAR Homes	3,000	3,000	0
Early Start Co-Pays	1,000	1,000	0
Ongoing Purchase of Services Policy Items	46,000	46,000	0
Provider Supplemental Rate Increase	206,173	206,173	0
SB 3 Minimum Wage Increase, January 1, 2020	91,001	91,001	0
COVID-19 Increase	0	198,600	198,600
Uniform Holiday Schedule	49,333	49,302	(31)
Total POS Policy	\$393,872	\$592,621	\$198,749

Reimbursements

The updated current year includes a net increase of \$295.2 million in reimbursements as compared to the Governor's Budget. The main driver is due to the FFCRA which provides a temporary 6.2 percentage point increase.

Reimbursements			
	Governor's Budget	May Revision	Request
HCBS Waiver	\$2,049,652	\$2,289,473	\$239,821
HCBS Waiver Administration	18,094	16,846	(1,248)
Medicaid Administration	17,653	14,553	(3,100)
TCM	220,825	235,787	14,962
TCM Administration	8,301	8,137	(164)
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	60,196	71,111	10,915
QAF	10,653	10,502	(151)
1915(i) - SPA	341,791	375,346	33,555
EPSDT	31,382	31,863	481
BHT - FFS	13,736	13,821	85
Self-Determination Program (SDP) Waiver	4,747	4,747	0
CalFresh	1,050	1,050	0
TOTAL	\$2,991,501	\$3,286,657	\$295,156

Federal Funds

The Foster Grandparent Program increase reflects the federally mandated \$0.35 hourly increase in the volunteer stipend effective April 2020.

Federal Funds			
	Governor's Budget	May Revision	Request
Early Start/Part C Grant	52,486	52,486	0
Foster Grandparent Program	1,094	1,113	19
TOTAL	\$53,580	\$53,599	\$19

2020-21**Costs and Fund Sources**

The updated budget year includes \$8.7 billion (\$5.1 billion GF), a net decrease of \$18.5 million (\$257.5 million GF) as compared to the Governor's Budget and a \$730.1 million increase (\$397.5 million GF) compared to the updated current year.

Costs and Fund Sources			
	Governor's Budget	May Revision	Request
Total Costs	\$8,751,047	\$8,732,577	(\$18,470)
Operations	898,808	868,763	(30,045)
Purchase of Services	7,831,142	7,842,717	11,575
Early Start - Other Agency Costs	19,094	19,094	0
Early Start Family Resource Services	2,003	2,003	0
Fund Sources	\$8,751,047	\$8,732,577	(\$18,470)
General Fund (GF)	5,314,052	5,056,571	(257,481)
<i>GF Match</i>	<i>3,131,567</i>	<i>2,945,180</i>	<i>(186,386)</i>
<i>GF Other</i>	<i>2,182,485</i>	<i>2,111,391</i>	<i>(71,094)</i>
Reimbursements	3,380,245	3,616,529	236,284
Program Development Fund	2,280	4,280	2,000
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	53,580	54,307	727

Population

There is a decrease of 2,269 consumers as compared to the Governor's Budget due Early Start caseload growth coming in lower than previously projected.

	Governor's Budget	May Revision	Difference
Active (Age 3 & Older)	315,457	316,153	696
Total Early Start (Birth through 35 Months)	53,165	50,200	(2,965)
Total Community Caseload	368,622	366,353	(2,269)

Regional Center Operations

Operations increased \$39,000 (\$3.8 million GF) as compared to the Governor's Budget. The increase is mainly attributed to projects (contracted services) and decreases in Core Staffing and Federal Compliance.

	Governor's Budget	May Revision	Request
Core Staffing	\$694,950	\$694,519	(\$431)
Federal Compliance	48,266	48,127	(139)
Projects	30,193	30,828	635
ICF-DD - Administration Fee	1,806	1,780	(26)
Total Operations	\$775,215	\$775,254	\$39

Purchase of Service

The budget year reflects an increase of \$133.6 million (\$102.2 million GF), or a 1.94 percent increase, as compared to the Governor's Budget. The increases are primarily due continued caseload and utilization changes in Community Care Facilities, Support Services, In-Home Respite, Health Care, and a decrease in Miscellaneous.

Purchase of Services Caseload (Utilization and Growth)				
	Governor's Budget	May Revision	Difference	Percent Change
Community Care Facilities	\$1,922,024	\$1,941,646	\$19,622	1.02%
Medical Facilities	18,439	19,884	1,445	7.84%
Day Programs	1,177,460	1,177,419	(41)	0.00%
Habilitation	157,717	153,169	(4,548)	-2.88%
<i>Work Activity Program</i>	29,638	27,190	(2,448)	-8.26%
<i>Supported Employment Program - Group</i>	82,972	81,700	(1,272)	-1.53%
<i>Supported Employment Program - Individual</i>	45,107	44,279	(828)	-1.84%
Transportation	410,246	414,983	4,737	1.15%
Support Services	1,761,727	1,790,612	28,885	1.64%
In-Home Respite	609,249	691,796	82,547	13.55%
Out of Home Respite	53,521	53,659	138	0.26%
Health Care	164,179	184,043	19,864	12.10%
Miscellaneous	614,710	595,806	(18,904)	-3.08%
Quality Assurance Fees	9,750	9,612	(138)	-1.42%
TOTAL	\$6,899,022	\$7,032,629	\$133,607	1.94%

Policy –Operations

The updated budget year reflects an decrease of \$30.1 million (\$40.7 million GF) compared to the Governor's Budget.

- Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five: Increase of \$843,000 (\$573,000 GF) - Updated caseload. (Withdrawn below.)
- Performance Incentive Program: Increase of \$78.0 million (\$60.0 million GF) - Technical adjustment of shifting funds from POS to Operations. (Withdrawn below.)
- Self-Determination Program: Increase of \$4.1 million (\$2.9 million GF) – Funding for regional center operations costs and participant support through local volunteer advisory committee.
- Specialized Home Monitoring: Increase of \$339,000 (\$231,000 GF) – Updated for monitoring additional Enhanced Behavioral Support and Community Crisis Homes.
- Savings Proposal: Decrease of \$135.4 million (\$101.8 million GF) – Reduce Regional Centers Operation costs related to the following reductions:
 - Withdraw Performance Incentive Program for a savings of \$78.0 million (\$60.0 million GF).
 - Withdraw Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five for a savings of \$17.4 million (\$11.8 million GF).
 - Reduce Regional Center Operations for a savings of \$40.0 million (\$30.0 million GF).
- Medicaid Claiming Adjustments: Increase of \$22.0 million (\$24.8 million GF) - Repayment of non-allowable claiming for consumers not eligible for federal reimbursements.
- Enhanced FMAP 6.2%: Increase reimbursement of \$27.3 million GF with a corresponding savings in GF due to FFCRA.

	Governor's Budget	May Revision	Request
DC Closure Ongoing Workload	\$8,302	\$8,302	\$0
Enhanced Caseload Ratios for Consumers Age Three, Four, and Five	16,522	17,365	843
Family Home Agency Oversight	1,619	1,619	0
Ongoing Operations Policy Items	85,247	85,247	0
Performance Incentive Program	0	78,000	78,000
Self Determination Program	0	4,073	4,073
Specialized Caseload Ratios	3,800	3,800	0
Specialized Home Monitoring	6,503	6,842	339
Trauma Informed Services for Foster Youth	1,600	1,600	0
Savings Proposal	0	(135,365)	(135,365)
Medicaid Claiming Adjustments	0	22,026	22,026
Total Operations Policy	\$123,593	\$93,509	(\$30,084)

Policy - POS

The updated budget year reflects a decrease of \$122.0 million (\$322.8 million GF decrease) as compared to the Governor's Budget:

- Behavioral Health Treatment – Fee-For-Service (BHT-FFS) Consumer with no Autism Spectrum Disorder (ASD) Diagnosis: Increase of \$180,000 GF – Updated billing data.
- Electronic Visit Verification Penalty Payment: Increase of \$130,000 GF - Updated expenditure data.
- Performance Incentive Program: Decrease of \$78.0 million (\$60.0 million GF decrease) - Technical adjustment shifting funds from POS to Operations. (Withdrawn below.)
- SB3 Minimum Wage Increase, January 1, 2020: \$0 net impact (\$486,000 GF Increase) – Funding shift for federal reimbursements.
- SB 3 Minimum Wage Increase, January 1, 2021: \$0 net impact (\$301,000 GF Increase) – Funding shift for federal reimbursements.
- Uniform Holiday Schedule: Decrease of \$230,000 (\$122,000 GF) - Updated expenditures data and growth projections. (Withdrawn below.)
- Savings Proposal: A decrease of \$544.6 million (\$373.65 million GF) related to the following reductions:
 - Withdraw the Systemic, Therapeutic, Assessment, Resources, and Treatment (START) Training model for a savings of \$4.5 million (\$2.6 million GF).
 - Withdraw Provider Supplemental Rate Increase Proposal for Early Start Specialized Therapeutic Services, Independent Living Program, and Infant Development Programs for a savings of \$18.0 million (\$10.8 million GF).
 - Restructure and increase parental cost-sharing program for six months savings of \$2.0 million GF
 - Uniform Holiday Schedule implementation for a savings of \$51.5 million (\$31.3 million GF), reference Section K.
 - Rate Reductions, Expenditure, and Utilization Reviews for a savings of \$470.6 million (\$300.0 million GF).
 - Maximize Federal Funding for Eligible Services and Enrollment for a savings of \$27.0 million GF.
- Medicaid Claiming Adjustments: Increase of \$246.4 million (\$282.6 million GF). Repayment of non-allowable claiming for consumers not eligible for federal reimbursements.
- COVID-19 Increase Cost: A net increase of \$254.1 million (\$170.8 million GF) for costs to provide additional support for consumers in their homes as well as the development of surge sites to support consumers diagnosed with, exposed to, or at high risk of COVID-19.
- Enhanced FMAP 6.2%: Increase reimbursement of \$343.4 million with a corresponding savings in GF due to FFCRA.

	Governor's Budget	May Revision	Request
BHT - FFS Consumers with no ASD Diagnosis	(\$7,135)	(\$6,955)	\$180
Early Start Co-Pays	\$1,000	\$1,000	\$0
EBHS with Secure Perimeters	7,500	7,500	\$0
Electronic Visit Verification Penalty Payment	5,089	5,219	130
Ongoing Purchase of Services Policy Items	46,000	46,000	0
Performance Incentive Program	78,000	0	(78,000)
Provider Supplemental Rate Increase	430,308	430,308	0
SB 3 Minimum Wage Increase, January 1, 2020	194,754	194,754	0
SB 3 Minimum Wage Increase, January 1, 2021	120,323	120,323	0
START Training	4,540	4,540	0
Uniform Holiday Schedule	51,741	51,511	(230)
COVID-19 Increase Cost	0	254,101	254,101
Savings Proposal	0	(544,602)	(544,602)
Medicaid Claiming Adjustments	0	246,389	246,389
Total POS Policy	\$932,120	\$810,088	(\$122,032)

Reimbursements

The updated budget year reflects an increase of \$236.3 million in Reimbursements as compared to the Governor's Budget. The main driver is due to the FFCRA which provides a temporary 6.2 percentage point increase.

Reimbursements			
	Governor's Budget	May Revision	Request
HCBS Waiver	\$2,374,348	\$2,576,005	\$201,657
HCBS Waiver Administration	18,461	17,489	(972)
Medicaid Administration	17,653	14,553	(3,100)
TCM	234,126	245,116	10,990
TCM Administration	8,301	8,137	(164)
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
ICF-DD	60,196	62,235	2,039
QAF	10,653	10,502	(151)
1915(i) - SPA	381,447	405,848	24,401
EPSDT	31,381	32,895	1,514
BHT - FFS	13,736	13,806	70
Self-Determination Program (SDP) Waiver	16,522	16,522	0
TOTAL	\$3,380,245	\$3,616,529	\$236,284

Federal Funds

The updated budget year reflects an increase in the Early Start/Part C Grant and a full year of impact of the federally mandated \$0.35 hourly increase in the volunteer stipend effective April 2020.

Federal Funds			
	Governor's Budget	May Revision	Request
Early Start/Part C Grant	52,486	53,106	620
Foster Grandparent Program	1,094	1,201	107
TOTAL	\$53,580	\$54,307	\$727

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SECTION C: NEW/REVISED POLICY

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NEW MAJOR ASSUMPTIONS

Enhanced Federal Funding

On March 18, 2020 the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to costs associated with the Home and Community-Based Services and Self-Determination Program Waivers, 1915(i) State Plan Amendment, Targeted Case Management, Intermediate Care Facility-Developmentally Disabled SPA, and the Early Periodic Screening Diagnosis and Treatment.

The increase in federal reimbursements is available through the last day of the calendar quarter in which the public health emergency declared by the Secretary of Health and Human Services for COVID-19 is terminated. At the time the public health emergency period for COVID-19 ends, the Centers for Medicare and Medicaid Services (CMS) will inform states.

The 2019-20 enhanced FMAP is estimated to be \$177.0 million and the 2020-21 is estimated to be \$370.8 million based on federal funds participation with the additional 6.2% applied. With the increase in federal funds, a corresponding GF amount will be saved, as detailed in Section K, Policy.

Increased Costs for COVID-19

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California, as a result of the global COVID-19 outbreak that began in December 2019. Subsequently, Governor Newsom issued stay-at-home directives. As a result of COVID-19, the Department has experienced increased costs. These costs include providing additional support for consumers in their homes as well as the development of "surge sites" to prepare to serve consumers diagnosed with, exposed to or at high risk due to COVID-19, as detailed in Section K, Policy.

Medicaid Claiming Adjustments

For the Department's Medicaid eligible programs expenditures, some federally ineligible consumers, may have been claimed for July 1, 2010 to current.

The Department is working in collaboration with the Department of Health Care Services (DHCS) in implementing a data solution to prevent consumers not eligible for reimbursement from being billed to DHCS.

The DHCS has determined that the cost of services for some ineligible consumers were included in Medicaid claims due to the consumers' immigration status. DHCS identified this issue across multiple programs and departments. In 2018-19, approximately \$34.2 million in claims for 3,100 consumers without satisfactory immigration status were identified and must be reimbursed to Medicaid. Additionally, it is expected that

repayment will be necessary for several other years as well. As a result, the Department anticipates there will be one-time costs to repay federal funds in 2020-21. In addition, the Department anticipates there will be annual General Fund (GF) costs because the Department will not receive the same level of federal funding prospectively. The Department is working with DHCS on a process to obtain the information necessary to resolve future claiming as well as the exact amount of required repayment.

Repayment of claiming for ineligible consumer are 100% GF for 2010-11 to 2019-20. For 2020-21, reimbursements will be reduced with a corresponding increase in GF, as detailed in Section K, Policy.

Self-Determination Program Waiver

Centers for Medicare & Medicaid Services approved the federal funding for the Self Determination Program (SDP) on June 7, 2018. The SDP allows for regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans.

The SDP participation is limited to 2,500 individuals for the first three years of implementation and is a voluntary delivery system consisting of a defined and comprehensive mix of services and supports, selected and directed by a participant through person-centered planning, in order to meet the objectives in his or her individual program plans. Self-determination services and supports are designed to assist the participant to achieve personally defined outcomes in community settings that promote inclusion. The SDP only funds services and supports that are eligible for federal financial participation.

In 2019-20, \$2.4 million is due to Budget Revision that transferred POS funds to operations. In 2020-21, \$4.1 million is for regional center operation costs, as detailed in Section K, Policy.

Savings Proposals

Due to the significant fiscal impact resulting from the COVID-19 pandemic, the State of California is experiencing a significant budget shortfall that impacts all areas and programs that receive State funding. As a result, the Department is taking the steps outlined below to achieve necessary budget savings.

The following proposals are withdrawn from the Governor's Budget, see Section K, individual policy pages for more details:

- Withdraw Performance Incentive Program for a saving of \$78.0 million (\$60.0 million GF).
- Withdraw Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five for a saving of \$17.4 million (\$11.8 million GF).

- Withdraw the Systemic, Therapeutic, Assessment, Resources, and Treatment (START) Training model for a saving of \$4.5 million (\$2.6 million GF).
- Withdraw Provider Supplemental Rate Increase Proposal for Early Start Specialized Therapeutic Services, Independent Living Program, and Infant Development Programs for a saving of \$18.0 million (\$10.8 million GF).

Absent additional federal funds to address COVID-19 Recession, the following reductions is necessary to balance the state budget. These reductions will be triggered off if the federal government provides sufficient funding to restore them:

- Reduce Regional Center Operations for a saving of \$40.0 million (\$30.0 million GF).
- Cost Sharing for Higher Income Families to establish a cost-sharing program that would result in a saving of \$2.0 million GF.
- Implement the Uniform Holiday Schedule for a saving of \$51.5 million (\$31.3 million GF), see Section K, individual policy page for more details.
- Implement Rate Reductions, Expenditure, and Utilization Reviews for a saving of \$470.6 million (\$300.0 million GF).

To reduce cost, the Department proposes the following efficiency:

- Maximize Federal Funding for Eligible Services and Enroll Individuals into Medi-Cal for a saving of \$27.0 million GF.

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SECTION D: FUTURE FISCAL ISSUES

Future Fiscal Issues	D-1
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FUTURE FISCAL ISSUES

Centers for Medicare & Medicaid Services (CMS) Final Regulations for Home and Community-Based Services (HCBS)

The Department administers two 1915(c) Waivers (the HCBS Waiver for Persons with Developmental Disabilities and the HCBS Waiver for the Self-Determination Program) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 229,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019, to maintain waiver and state plan funding. However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, it extended the compliance date by three years to March 17, 2022.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations. Included in the STP is a description of the process to assess settings where services are provided to determine what changes, if any, are needed for providers to comply with the HCBS regulations. The setting assessment process began March 1, 2020 and is currently anticipated to continue through December 2020. The Department is continuing work with a contractor to provide training and information for all stakeholders regarding the HCBS regulations. Consistent with prior years, the 2020-21 budget contains \$15.0 million to assist providers in making changes needed to comply with the HCBS regulations, as detailed in Section K, Policy.

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Population**2019-20**

<i>Population as of January 2020</i>				
	<i>GOVERNOR'S BUDGET 2019-20</i>	<i>MAY REVISION 2019-20</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	301,002	301,297	295	0.10%
B. Total Early Start (Birth through 35 Months)	49,045	46,890	-2,155	-4.39%
C. Total Community Population	350,047	348,187	-1,860	-0.53%

2020-21

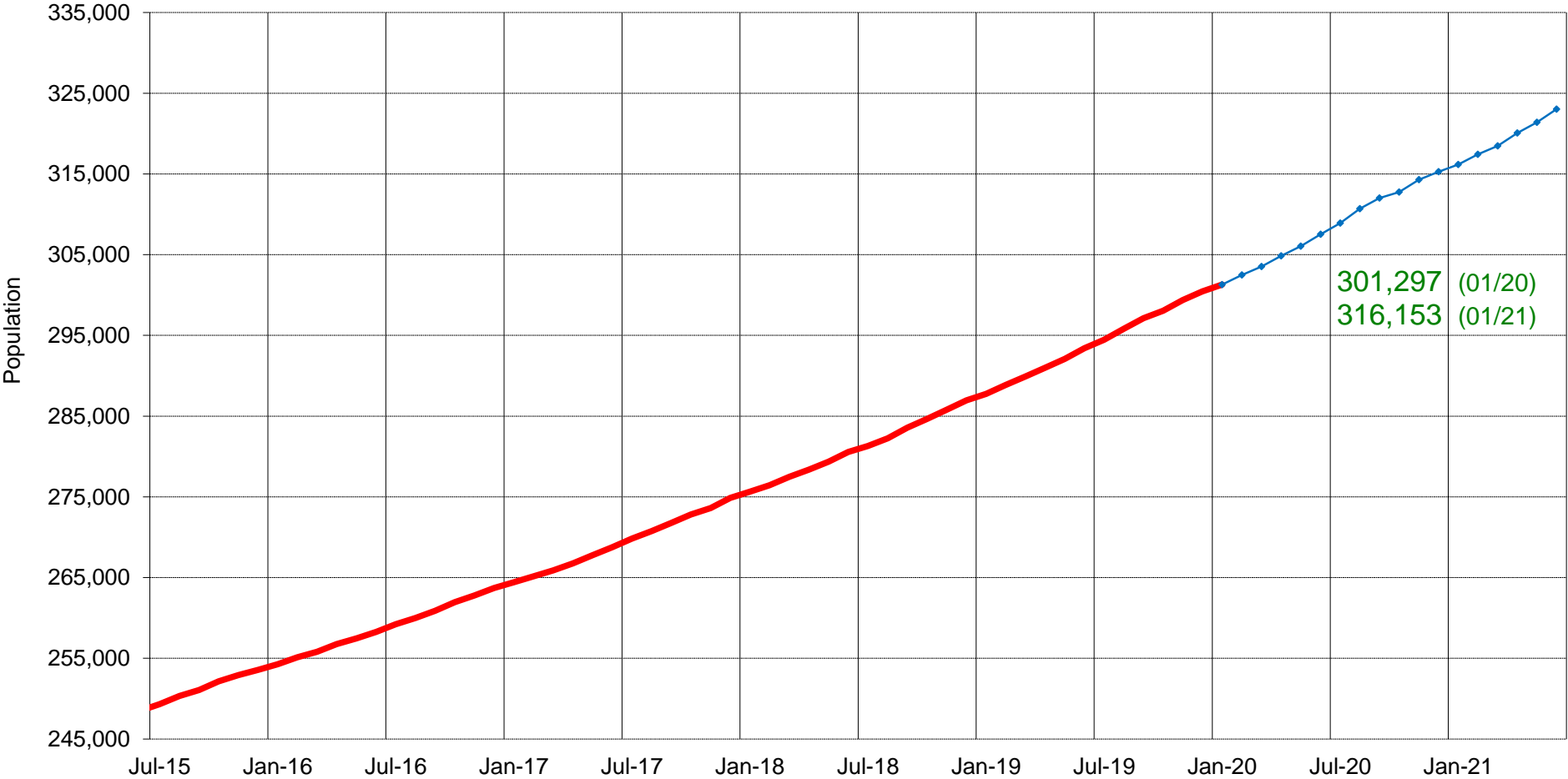
<i>Estimated Population as of January 2021</i>				
	<i>GOVERNOR'S BUDGET 2020-21</i>	<i>MAY REVISION 2020-21</i>	<i>Change</i>	<i>Percent Change</i>
A. Active Status (Age 3 & Older)	315,457	316,153	696	0.22%
B. Total Early Start (Birth through 35 Months)	53,165	50,200	-2,965	-5.58%
C. Total Community Population	368,622	366,353	-2,269	-0.62%

Population

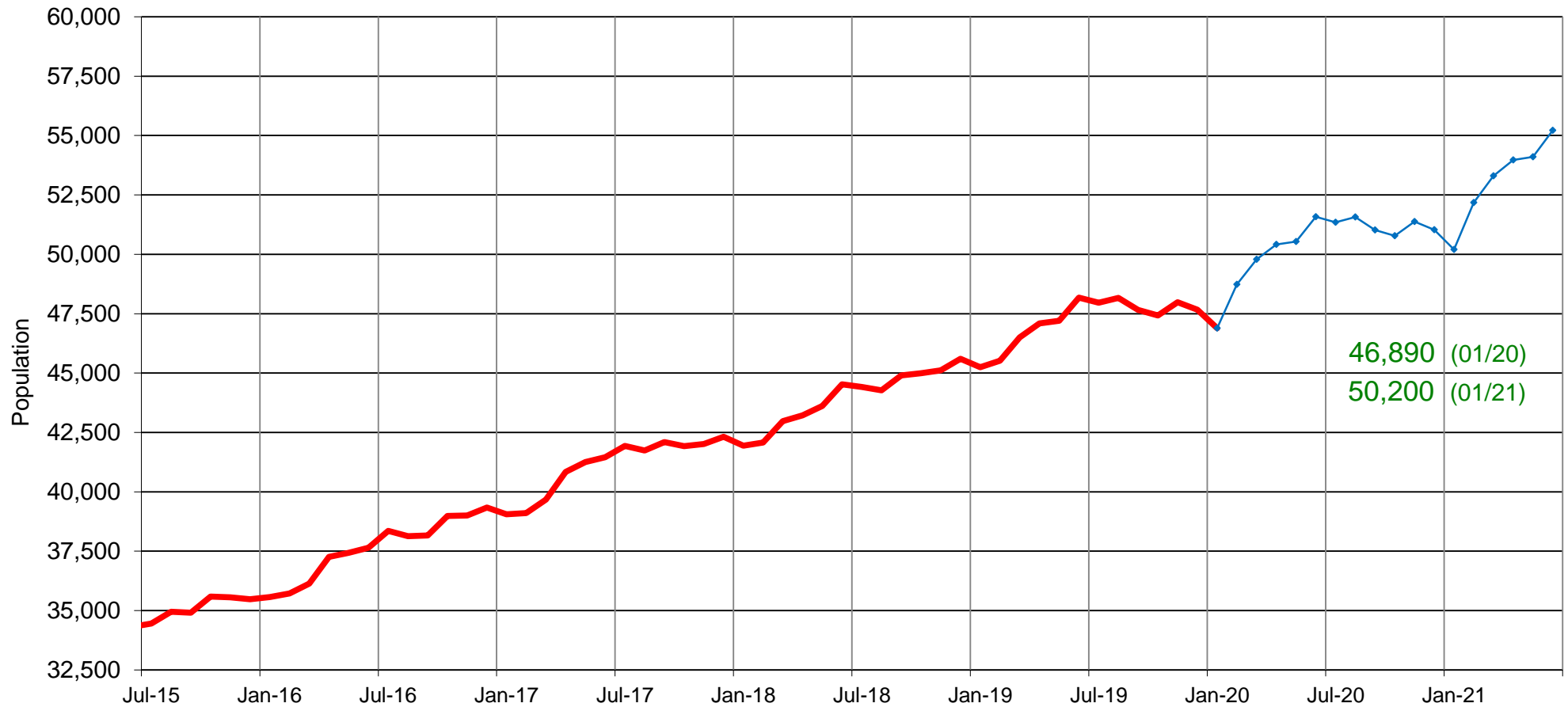
2019-20 vs. 2020-21

	2019-20	2020-21		
	<i>Population as of Jan. 2020</i>	<i>Estimated Population as of Jan. 2021</i>	<i>Annual Change</i>	<i>Percent Annual Change</i>
A. Active Status (Age 3 & Older)	301,297	316,153	14,856	4.93%
B. Total Early Start (Birth through 35 Months)	46,890	50,200	3,310	7.06%
C. Total Community Population	348,187	366,353	18,166	5.22%

Active Status Population
(Age 3 & Older)
Client Master File Trend Analysis



Early Start (Birth through 35 Months) **Client Master File Trend Analysis**



Community Population

(Active Status and Early Start)

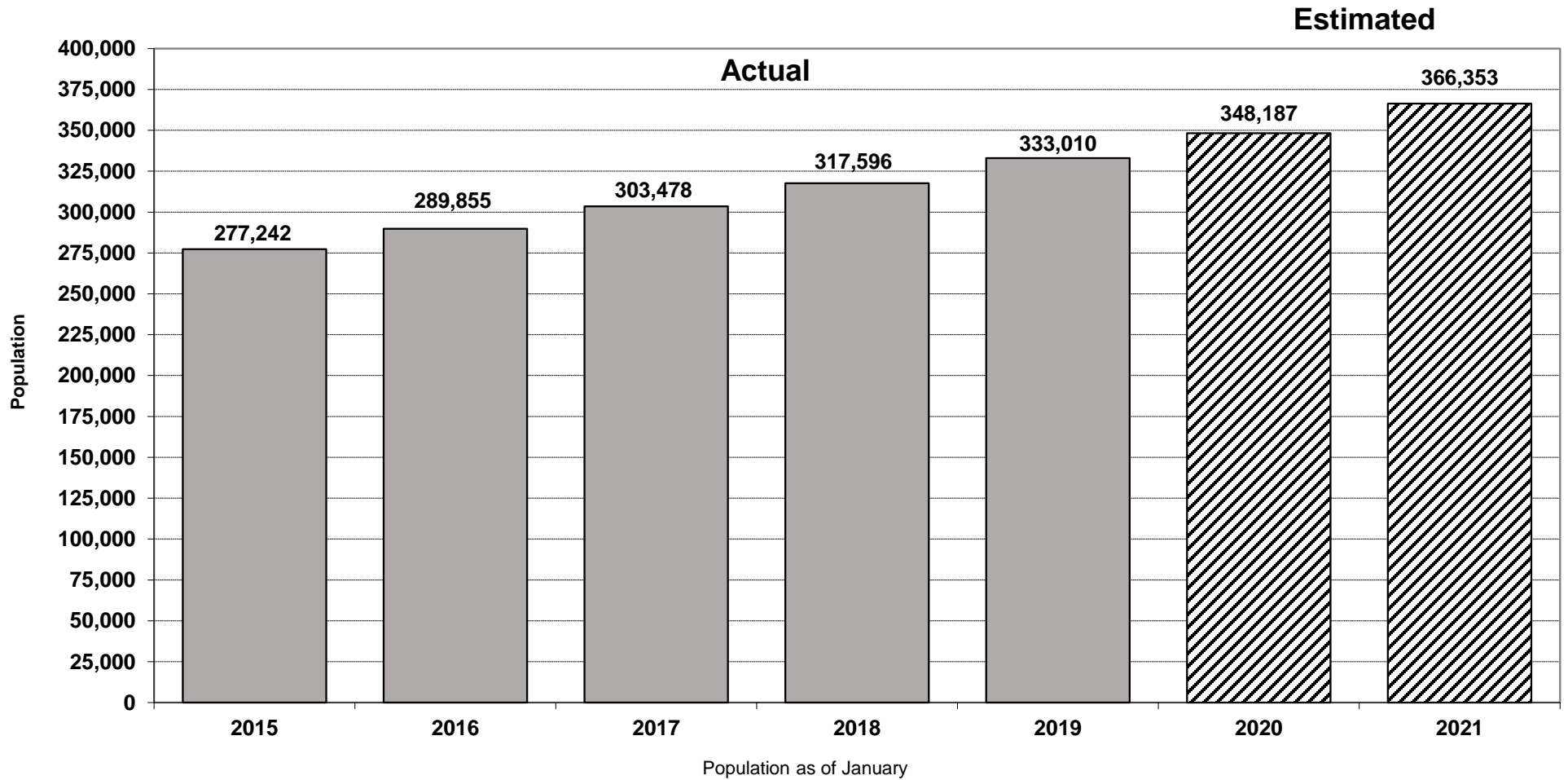


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Operations

POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY
Comparison of the Governor's Budget to the 2020 May Revision
2019-20

	Governor's Budget	May Revision	Request
POPULATION			
Active Status (Age 3 & Older)	301,002	301,297	295
Early Start (Birth through 35 Months)	49,045	46,890	-2,155
Total Population	350,047	348,187	-1,860
OPERATIONS - CASELOAD GROWTH			
I. STAFFING			
A. Core Staffing	\$679,230	\$678,731	-\$499
1. Personal Services and Operating Expenses	605,823	605,324	-499
2. Regional Center Rent	73,407	73,407	0
B. Enhanced Caseload Ratio 1:45 for DC Movers	136	128	-8
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. CalFresh	1,050	1,050	0
F. Less: Reductions	-41,878	-41,878	0
G. Total Staffing	\$655,696	\$655,189	-\$507
II. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,625	12,590	-35
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$48,046	\$48,011	-\$35
III. PROJECTS			
A. Information Technology Costs:	4,112	4,112	0
1. Regional Center Application Support	2,862	2,862	0
2. Data Processing	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,483	7,483	0
C. Quality Assessment Contract	4,500	4,500	0
D. Direct Support Professional Training	3,212	3,212	0
E. Office of Administrative Hearings Contract	3,525	3,525	0
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,864	3,883	19
H. Special Incident Reporting/Risk Assessment Contract	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	10	10	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Affordable Housing	125	125	0
M. Review of SB 1175 Housing Proposals	150	150	0
N. Total Projects	\$29,521	\$29,540	\$19
IV. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES	\$1,806	\$1,780	-\$26
V. GRAND TOTAL - OPERATIONS CASELOAD GROWTH	\$735,069	\$734,520	-\$549

Values in thousands (000's)

Operations**POPULATION AND CASELOAD GROWTH EXPENDITURE SUMMARY**
Comparison of the Governor's Budget to the 2020 May Revision
2020-21

	Governor's Budget	May Revision	Request
POPULATION			
Active Status (Age 3 & Older)	315,457	316,153	696
Early Start (Birth through 35 Months)	53,165	50,200	-2,965
Total Population	368,622	366,353	-2,269
OPERATIONS - CASELOAD GROWTH			
I. STAFFING			
A. Core Staffing	\$719,600	\$719,169	-\$431
1. <i>Personal Services and Operating Expenses</i>	641,166	640,641	-525
2. <i>Regional Center Rent</i>	78,434	78,528	94
B. Enhanced Caseload Ratio 1:45 for DC Movers	70	70	0
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of FFP for Contracted Services	1,893	1,893	0
E. CalFresh	0	0	0
F. Less: Reductions	-41,878	-41,878	0
G. Total Staffing	\$694,950	\$694,519	-\$431
II. FEDERAL COMPLIANCE			
A. HCBS Waiver	21,135	21,135	0
B. Compliance with HCBS Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet HCBS Waiver Requirements	12,845	12,706	-139
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for RC HCBS Services	984	984	0
G. Total Federal Compliance	\$48,266	\$48,127	-\$139
III. PROJECTS			
A. Information Technology Costs:	4,212	4,212	0
1. <i>Regional Center Application Support</i>	2,962	2,962	0
2. <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy Contract	7,880	7,873	-7
C. Quality Assessment Contract	4,500	4,500	0
D. Direct Support Professional Training	3,212	3,600	388
E. Office of Administrative Hearings Contract	3,700	3,700	0
F. Wellness Projects	100	100	0
G. Foster Grandparent / Senior Companion Programs	3,864	4,114	250
H. Special Incident Reporting/Risk Assessment Contract	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case / Los Angeles County Hops	10	4	-6
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Affordable Housing	125	135	10
M. Review of SB 1175 Housing Proposals	150	150	0
N. Total Projects	\$30,193	\$30,828	\$635
IV. ICF-DEVELOPMENTALLY DISABLED ADMIN. FEES	\$1,806	\$1,780	-\$26
V. GRAND TOTAL - OPERATIONS CASELOAD GROWTH	\$775,215	\$775,254	\$39

Values in thousands (000's)

Staffing Expenditures

DESCRIPTION:

Staffing includes personal services and operating expenses for Core Staffing, Rent, Community Placement Plan, and Placement Continuation.

ASSUMPTIONS:

	<u>2019-20</u>	<u>2020-21</u>
• Population Projections: (See Section E, Population, for detail)		
• Active Status (Age 3 and Older)	301,297	316,153
• Early Start (Birth through 35 Months)	46,890	50,200
Subtotal:	348,187	366,353
• State Operated Facilities Population:	<u>297</u>	<u>320</u>
Total Population:	348,484	366,673
• Informational:		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	134,342	135,717
• Early Start (with Assessment)	53,098	56,823
• Placement Continuation Consumers	100	55
• Intake cases per month	7,983	8,537
• Vendors	44,158	44,158
• Mediations per year	400	400

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
CORE STAFFING:		
PERSONAL SERVICES:		
• Direct Services and Administrative Positions:	\$465,286	\$493,319
2020 May Revision		
2019-20 12,876		
2020-21 13,488		
<i>See Attachment A for Core Staffing Expenditure Detail.</i>		
<i>See Attachment B for Core Staffing Formulas.</i>		
• Fringe Benefits:	110,273	116,916
<i>Calculated at 23.7% per position.</i>		

Staffing Expenditures

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
• Salary Savings:	-\$19,487	-\$20,812
<i>Client Program Coordinators: 1.0% Per Position</i>	-2,704	-2,834
<i>All Other Staff: 5.5% Per Position</i>	-16,783	-17,979
• Early Start Administrative and Clinical Support:	694	694
<i>Includes salaries, fringe benefits, and salary savings.</i>		
TOTAL PERSONAL SERVICES:	\$556,766	\$590,117
OPERATING EXPENSES:		
• Operating Expenses:	48,558	50,524
<i>Base amount plus the following adjustments:</i>		
<i>Professional Positions: \$3,400</i>		
<i>Clerical Positions: \$2,400</i>		
• Rent:	73,407	78,528
<i>See Attachment C for rent details.</i>		
TOTAL OPERATING EXPENSES:	\$121,965	\$129,052
TOTAL CORE STAFFING:	\$678,731	\$719,169
Enhanced Caseload Ratio 1:45 for DC Movers:	128	70
Community Placement Plan:	15,265	15,265
<i>See Community Placement Plan Methodology, as detailed in Section H, Community Placement Plan.</i>		
Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services:	1,893	1,893
<i>Funding provides one Community Program Specialist I and one Account Clerk II for each Regional Center.</i>		
CalFresh:	1,050	0
<i>See CalFresh Methodology, as detailed in Section I, Fund Sources.</i>		
Less Reductions:	-41,878	-41,878
TOTAL EXPENDITURES:	\$655,189	\$694,519

Staffing Expenditures

FUNDING:

Funding for Staffing expenditures are comprised of reimbursements from Medicaid Administration (75% FFP, 25% General Fund [GF] Match), Home and Community Based Services Waiver Administration (50% FFP, 50% GF Match), Targeted Case Management (50% FFP, 50% GF Match), Targeted Case Management Administration (50% FFP, 50% GF Match), and CalFresh (100% Reimbursements). State GF expenditures are comprised of GF matches with federally-eligible reimbursements and funds that are not eligible for FFP.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The \$507,000 decrease is due to early start caseload actuals coming in lower than anticipated. The GF increase of \$3.0 million is due to moving the funds associated with ongoing workload to the policy, see Section K, Policy.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The \$431,000 decrease (\$2.8 million GF increase) is due to the adjustment in current year.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$655,189	\$694,519
GF	439,897	472,097
Reimbursement	215,292	222,422

Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Governor's Budget to the 2020 May Revision

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	Governor's Budget 2019-20	Positions	Budgeted Salary	Cost	Difference
(a) Physician	\$13,885,901	174.24	\$79,271	\$13,812,179	-\$73,722
(b) Psychologist	14,628,096	348.48	41,754	14,550,434	-77,662
(c) Nurse	6,511,244	174.24	37,171	6,476,675	-34,569
(d) Nutritionist	4,927,532	174.24	28,130	4,901,371	-26,161

(2) Clinical Support Teams

(a) Physician/Psychiatrist	8,098,992	88.00	92,034	8,098,992	0
(b) Consulting Pharmacist	5,319,600	88.00	60,450	5,319,600	0
(c) Behavioral Psychologist	4,837,536	88.00	54,972	4,837,536	0
(d) Nurse	4,442,064	88.00	50,478	4,442,064	0

(3) SB 1038 Health Reviews

(a) Physician	2,980,061	32.06	92,034	2,950,610	-29,451
(b) Nurse	7,626,721	149.60	50,478	7,551,509	-75,212

b. Intake / Case Management

(1) Supervising Counselor (Intake)					
(1:10 Intake Workers in Item (2) below)	4,120,440	114.04	38,036	4,337,625	217,185
(2) Intake Worker	34,158,300	1,140.43	31,532	35,960,039	1,801,739
(3) Supervising Counselor (Case Management)					
(1:10 CPCs in Items (6), (7) and (8) below)	28,979,063	550.02	52,392	28,816,648	-162,415
(4) Supervising Counselor (Capitol People First)					
(DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers					
Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	85,521,055	2,528.74	34,032	86,058,080	537,025
(7) CPC (Waiver, Early Start only), 1:62 Consumers	101,069,595	2,923.10	34,032	99,478,939	-1,590,656
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical					
Care Regulations (1:10 CPCs)	80,684	1.49	52,392	78,064	-2,620
(10) CPC, DSS Incidental Medical Care Regs	584,003	14.94	37,824	565,091	-18,912

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,313,631	44.16	52,392	2,313,631	0
(2) CPC	15,027,510	441.57	34,032	15,027,510	0

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start

(a) Supervising Counselor	1,784,995	32.35	52,392	1,694,881	-90,114
(b) CPC	11,593,681	323.54	34,032	11,010,713	-582,968
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039					
Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	3,667	0.06	52,392	3,144	-523
(b) CPC (Supplement at 1:45 Consumers)	22,121	0.61	34,032	20,760	-1,361

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	476,767	9.06	52,392	474,672	-2,095
(2) QA/CPC	3,097,252	90.64	34,032	3,084,660	-12,592
(3) Nurses	2,296,749	45.32	50,478	2,287,663	-9,086

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

\$379,002,527	9,985.54		\$378,768,357	-\$234,170
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Attachment A
CORE STAFFING ESTIMATE - 2019-20
Comparison of the Governor's Budget to the 2020 May Revision

	Governor's Budget 2019-20	2020 May Revision			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,387,936	134.34	39,887	5,358,420	-29,516
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,525,892	56.72	26,902	1,525,892	0
(6) Account Clerk (Enh. FFP, Phase II)	584,640	21.00	27,840	584,640	0
(7) Account Clerk	11,689,970	435.61	26,694	11,628,041	-61,929
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	555,408	21.00	26,448	555,408	0
(2) PBX/Mail/File Clerk	1,681,544	63.00	26,691	1,681,544	0
(3) Executive Secretary	1,401,287	52.50	26,691	1,401,287	0
(4) MD/Psychologist Secretary II	428,028	16.03	26,438	423,797	-4,231
(5) MD/Psychologist Secretary I	7,013,375	261.36	26,691	6,976,007	-37,368
(6) Secretary II	5,072,351	191.26	26,438	5,056,488	-15,863
(7) Secretary I	36,836,771	1,354.96	27,214	36,873,782	37,011
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$86,629,433	2,890.62		\$86,517,537	-\$111,896
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$465,631,960	12,876.16		\$465,285,894	-\$346,066
a. CPCs	220,277,027			218,607,563	-1,669,464
b. All Other Staff	245,354,933			246,678,331	1,323,398
4. Fringe Benefits					
a. CPCs 23.7%	52,205,655			51,809,992	-395,663
b. All Other Staff 23.7%	58,149,119			58,462,764	313,645
c. Total Fringe Benefits	\$110,354,774			\$110,272,756	-\$82,018
5. Salary Savings					
a. CPCs 1.0%	-2,724,827			-2,704,176	20,651
b. All Other Staff 5.5%	-16,692,723			-16,782,760	-90,037
c. Total Salary Savings	-\$19,417,550			-\$19,486,936	-\$69,386
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$557,263,184			\$556,765,714	-\$497,470
ROUNDED	\$557,263,000	12,876.00		\$556,766,000	-\$497,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	48,560,000			48,558,000	-2,000
2. Rent	73,407,000			73,407,000	0
3. Subtotal Operating Expenses and Rent	\$121,967,000			\$121,965,000	-\$2,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$679,230,000			\$678,731,000	-\$499,000

Attachment A
CORE STAFFING ESTIMATE - 2020-21
Comparison of the Governor's Budget to the 2020 May Revision

I. CORE STAFFING FORMULA**A. PERSONAL SERVICES****1. DIRECT SERVICES****a. Clinical****(1) Intake and Assessment**

	Governor's Budget 2020-21	Positions	Budgeted Salary	Cost	Difference
(a) Physician	\$14,622,329	183.34	\$79,271	\$14,533,545	-\$88,784
(b) Psychologist	15,403,886	366.67	41,754	15,309,939	-93,947
(c) Nurse	6,856,563	183.34	37,171	6,814,931	-41,632
(d) Nutritionist	5,295,471	183.34	28,708	5,263,318	-32,153

(2) Clinical Support Teams

(a) Physician/Psychiatrist	8,467,128	92.00	92,034	8,467,128	0
(b) Consulting Pharmacist	5,561,400	92.00	60,450	5,561,400	0
(c) Behavioral Psychologist	5,057,424	92.00	54,972	5,057,424	0
(d) Nurse	4,643,976	92.00	50,478	4,643,976	0

(3) SB 1038 Health Reviews

(a) Physician	3,117,192	33.64	92,034	3,096,024	-21,168
(b) Nurse	7,977,543	156.96	50,478	7,923,027	-54,516

b. Intake / Case Management

(1) Supervising Counselor (Intake)					
(1:10 Intake Workers in Item (2) below)	4,343,711	121.96	38,036	4,638,871	295,160
(2) Intake Worker	36,008,598	1,219.57	31,532	38,455,481	2,446,883
(3) Supervising Counselor (Case Management)					
(1:10 CPCs in Items (6), (7) and (8) below)	30,491,620	578.04	52,392	30,284,672	-206,948
(4) Supervising Counselor (Capitol People First)					
(DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers					
Capitol People First	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	91,526,341	2,733.39	34,032	93,022,728	1,496,387
(7) CPC (Waiver, Early Start only), 1:62 Consumers	104,888,666	2,998.66	34,032	102,050,397	-2,838,269
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical					
Care Regulations (1:10 CPCs)	85,923	1.53	52,392	80,160	-5,763
(10) CPC, DSS Incidental Medical Care Regs	620,314	15.26	37,824	577,194	-43,120

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor	2,313,631	44.22	52,392	2,316,774	3,143
(2) CPC	15,027,510	442.22	34,032	15,049,631	22,121

d. Early Intervention**(1) General**

(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0

(2) Early Start

(a) Supervising Counselor	1,944,267	34.62	52,392	1,813,811	-130,456
(b) CPC	12,628,254	346.23	34,032	11,782,899	-845,355
(c) Administrative and Clinical Support (see next page)					

e. Community Services

(1) Special Incident Coordinator	1,100,232	21.00	52,392	1,100,232	0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039					
Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	1,572	0.03	52,392	1,572	0
(b) CPC (Supplement at 1:45 Consumers)	11,231	0.33	34,032	11,231	0

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	496,152	9.43	52,392	494,057	-2,095
(2) QA/CPC	3,223,511	94.27	34,032	3,208,197	-15,314
(3) Nurses	2,390,638	47.14	50,478	2,379,533	-11,105

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

\$397,620,118	10,482.80		\$397,453,187	-\$166,931
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Attachment A
CORE STAFFING ESTIMATE - 2020-21
Comparison of the Governor's Budget to the 2020 May Revision

	Governor's Budget 2020-21	2020 May Revision			Difference
		Positions	Budgeted Salary	Cost	
2. ADMINISTRATION					
a. Executive Staff					
(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0
b. Fiscal					
(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,501,215	135.72	39,887	5,413,464	-87,751
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,663,643	56.72	29,331	1,663,643	0
(6) Account Clerk (Enh. FFP, Phase II)	600,333	21.00	28,587	600,333	0
(7) Account Clerk	13,430,042	458.34	29,122	13,347,917	-82,125
c. Information Systems and Human Resources					
(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0
d. Clerical Support					
(1) Office Supervisor	606,409	21.00	28,877	606,409	0
(2) PBX/Mail/File Clerk	1,834,547	63.00	29,120	1,834,547	0
(3) Executive Secretary	1,528,789	52.50	29,120	1,528,789	0
(4) MD/Psychologist Secretary II	488,996	16.82	28,866	485,532	-3,464
(5) MD/Psychologist Secretary I	8,057,155	275.01	29,120	8,008,234	-48,921
(6) Secretary II	5,611,624	193.65	28,866	5,589,974	-21,650
(7) Secretary I	42,272,922	1,428.16	29,643	42,334,282	61,360
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$96,047,906	3,004.76		\$95,865,355	-\$182,551
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$493,668,024	13,487.56		\$493,318,542	-\$349,482
a. CPCs	231,287,637			229,064,087	-2,223,550
b. All Other Staff	262,380,387			264,254,455	1,874,068
4. Fringe Benefits					
a. CPCs 23.7%	54,815,170			54,288,189	-\$526,981
b. All Other Staff 23.7%	62,184,152			62,628,306	444,154
c. Total Fringe Benefits	\$116,999,322			\$116,916,495	-\$82,827
5. Salary Savings					
a. CPCs 1.0%	-2,861,028			-2,833,523	\$27,505
b. All Other Staff 5.5%	-17,851,050			-17,978,552	-127,502
c. Total Salary Savings	-\$20,712,078			-\$20,812,075	-\$99,997
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$590,649,268			\$590,116,962	-\$532,306
ROUNDED	\$590,649,000	13,488.00		\$590,117,000	-\$532,000
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	50,517,000			50,524,000	7,000
2. Rent	78,434,000			78,528,000	94,000
3. Subtotal Operating Expenses and Rent	\$128,951,000			\$129,052,000	\$101,000
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	\$719,600,000			\$719,169,000	-\$431,000

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES</u>	
1. DIRECT SERVICES	
a. <u>Clinical</u>	
(1) <u>Intake and Assessment</u>	
(a) Physician (minimum of 1)	1.0 position : 2,000 total consumers
(b) Psychologist	1.0 position : 1,000 total consumers
(c) Nurse (minimum of 1)	1.0 position : 2,000 total consumers
(d) Nutritionist (minimum of 1)	1.0 position : 2,000 total consumers
(2) <u>Clinical Support Teams</u>	
(a) Physician/Psychiatrist	1.0 position : 1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 position : 1,700 “ “
(c) Behavioral Psychologist	1.0 position : 1,700 “ “
(d) Nurse	1.0 position : 1,700 “ “
(3) <u>SB 1038 Health Reviews</u>	
(a) Physician	1.5 hours : Referral/1,778 hrs./ full-time equivalent (FTE) position
(b) Nurse	1.75 hours : Individual program plan (IPP) review/1,778 hrs./FTE position
b. <u>Intake/Case Management</u>	
(1) Supervising Counselor: Intake	1.0 position : 10 Intake Workers
(2) Intake Worker	1.0 position : 14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor: Case Management	1.0 position : 10 CPCs in Items b.(6, 7 and 8) below
(4) Supervising Counselor: Capitol People First	1.0 position : 10 CPCs in Items b.(5) below
(5) Client Program Coordinator (CPC) Capitol People First	1.0 position : 66 consumers (Developmental Center residents)
(6) CPC	1.0 position : 66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 position : 62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for Alternative Residential Model	1.0 position : 527 CCF consumers
(9) Supervising Counselor: DSS Incidental Medical Care Regulations	1.0 position : 10 CPCs in item b.(10) below
(10) CPC, DSS Incidental Medical Care Regulations	1.0 position : 2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
1. <u>DIRECT SERVICES (continued)</u>	
c. <u>Quality Assurance/Quarterly Monitoring</u>	
(1) Supervising Counselor	1.0 position : 10 CPCs in Item c.(2) below
(2) CPC	10 hrs/yr. : CCF consumer/1,778 hrs./FTE
	14 hrs/yr. : Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr. : Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr. : Family Home Agency consumer/1,778 hrs./FTE
d. <u>Early Intervention</u>	
(1) <u>General</u>	
(a) Prevention Coordinator	1.0 position : RC
(b) High-Risk Infant Case Mgr.	1.0 position : RC
(c) Genetics Associate	1.0 position : RC
(2) <u>Early Start</u>	
(a) Supervising Counselor	1.0 position : 10 CPCs in Item d.(2)(b) below
(b) CPC:	
Marginal positions from:	1.0 position : 62 children<age 3yrs.
to:	1.0 position : 45 children<age 3yrs.*
e. <u>Community Services</u>	
(1) Special Incident Coordinator	1.0 position : RC
(2) Vendor Fiscal Monitor	0.5 position : RC plus 1: every 3,140 vendors
(3) Program Evaluator	1.0 position : RC
(4) Resource Developer	1.0 position : RC
(5) Transportation Coordinator	1.0 position : RC
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997) Consumer Complaints	0.5 position : RC
(7) Developmental Center Liaison	1.0 position : 400 DC consumers
(8) Diversion	4.0 positions : 21 RCs
(9) Placement Continuation	
(a) Supervising Counselor	1.0 position : 10 CPCs in Item e.(9)(b) below
(b) CPC:	
1. Marginal positions from:	1.0 position : 62 CPP Placements
2. to:	1.0 position : 45 CPP Placements

* Note: This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B**CORE STAFFING FORMULAS**

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
1. <u>DIRECT SERVICES (continued)</u>	
f. <u>Special Incident Reporting (SIR)</u>	
(1) Supervising Counselor	1.0 position : 10 CPCs in Item f. (2) below
(2) QA/CPC	1.0 position : RC plus 1: every 5,000 consumers
(3) Nurse	0.5 position : RC plus 0.5: every 5,000 consumers
g. <u>Mediation</u>	
(1) Clinical Staff	2.0 hours : 25% of annual mediations/ 1,778 hrs /FTE position
(2) Supervising Counselor	4.5 hours : Mediation/1,778 hrs/FTE position
(3) CPC	4.5 hours : 50% of annual mediations/ 1,778 hrs./FTE position
h. <u>Expansion of Autism Spectrum Disorders (ASD) Initiative</u>	
(1) ASD Clinical Specialist (effective January 1, 2007)	1.0 position : RC
(2) ASD Program Coordinator (effective January 1, 2007)	1.0 position : RC
2. <u>ADMINISTRATION</u>	
a. <u>Executive Staff</u>	
(1) Director	1.0 position : RC
(2) Administrator	1.0 position : RC
(3) Chief Counselor	1.0 position : RC
b. <u>Fiscal</u>	
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 position : RC
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 position : 1,000 HCBS Waiver consumers
(3) Fiscal Manager	1.0 position : RC
(4) Program Technician II, FCPP	0.5 position : RC 1.0 position : 1,778 hours of FCPP determinations
(5) Revenue Clerk	1.0 position : 400 consumers for whom RCs are representative payee
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 position : RC
(7) Account Clerk	1.0 position : 800 total consumers
c. <u>Information Systems and Human Resources</u>	
(1) Information Systems Manager	1.0 position : RC
(2) Information Systems Assistant	1.0 position : RC
(3) Information Systems Assistant, SIR	0.5 position : RC
(4) Privacy Officer, HIPAA	1.0 position : RC
(5) Personal Computer Systems Manager	1.0 position : RC
(6) Training Officer	1.0 position : RC
(7) Training Officer, SIR	0.5 position : RC
(8) Human Resources Manager	1.0 position : RC

Attachment B
CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	STAFFING FORMULA
A. <u>PERSONAL SERVICES (continued)</u>	
2. <u>ADMINISTRATION (continued)</u>	
d. <u>Clerical Support</u>	
(1) Office Supervisor	1.0 position : RC
(2) PBX/Mail/File Clerk	3.0 positions : RC
(3) Executive Secretary	2.5 positions : RC
(4) MD/Psychologist Secretary II	1.0 position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews
(5) MD/Psychologist Secretary I	1.0 position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment
(6) Secretary II	1.0 position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/ Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secty I, line 1.e.(9) b 2.,) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources
(7) Secretary I	1.0 position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secty II, line 1.e.(9) b 1.,)
(8) Secretary I (DC Case Management Capitol People First)	1.0 position : 6 CPCs and Supervisors

Attachment C

Regional Center Rent

Regional Center	2019-20 ₁	2020-21 ₂	Difference	% Change	Footnote
Alta	\$3,340,554	\$3,369,234	\$28,680	0.86%	
Central Valley	3,479,179	3,512,392	33,213	0.95%	
East Bay	4,566,353	4,707,930	141,577	3.10%	
East LA	3,858,337	4,194,535	336,198	8.71%	a
Far Northern	1,324,639	1,456,117	131,478	9.93%	a
Golden Gate	3,367,850	3,816,030	448,180	13.31%	
Harbor	4,673,440	4,832,374	158,935	3.40%	
Inland	7,539,931	7,867,322	327,391	4.34%	a
Kern	2,144,674	2,187,567	42,893	2.00%	a
Lanterman	2,495,633	2,604,048	108,415	4.34%	
North Bay	1,776,681	2,119,967	343,286	19.32%	a
North LA	4,264,010	5,047,617	783,607	18.38%	a
Orange	3,460,429	3,512,344	51,915	1.50%	
Redwood	992,342	1,019,936	27,594	2.78%	
San Andreas	3,279,047	3,474,509	195,462	5.96%	a
San Diego	4,979,711	5,701,365	721,654	14.49%	a
San Gabriel	2,645,450	2,647,038	1,588	0.06%	
South Central	6,301,901	6,458,182	156,281	2.48%	
Tri Counties	4,484,023	5,485,452	1,001,429	22.33%	a
Valley Mt.	2,591,671	2,606,681	15,010	0.58%	
Westside	<u>1,840,693</u>	<u>1,907,093</u>	<u>66,400</u>	<u>3.61%</u>	
Total	<u>\$73,406,547</u>	<u>\$78,527,734</u>	<u>\$5,121,186</u>	6.98%	
TOTAL ROUNDED	\$73,407,000	\$78,528,000	\$5,121,000	6.98%	

Footnotes:

₁ Actual rent funds allocated per the A-1 contract. As well as funds that have yet to be allocated dependent on occupation of new space.

₂ Rent requested by the regional centers based on the 2020 Rent Survey, as approved by the Department.

a: Increased costs due to annual rent escalation plus regional center's estimate of additional square footage required to house new staff and/or meet operational needs. The Department will verify costs and review leasing documents to confirm fair market value before allocating additional funds to the regional centers.

Federal Compliance

DESCRIPTION:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

ASSUMPTIONS/METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> HCBS Waiver: Operations costs for HCBS Waiver activities in 2019-20 and 2020-21 are based upon 6.5% of 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth. 	\$21,135	\$21,135
<ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports. 	8,700	8,700
<ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the case manager to waiver participant ratio of 1:62 is consistently met." This augmentation by the California Legislature is intended to assist in this. 	12,590	12,706

Federal Compliance

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> TCM: Operations costs for TCM activities in 2019-20 and 2020-21 are based upon 5.8% of 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth. 	\$4,129	\$4,129
<ul style="list-style-type: none"> NHR/Pre-Admission Screening and Resident Review (PASRR): Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. (100% GF) 	473	473
<ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS Services: Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. (Funding is 50% HCBS Waiver Administration, 50% GF) 	984	984
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$48,011	\$48,127

Federal Compliance

FUNDING:

The funding for Federal Compliance is comprised of reimbursements from: Medicaid Administration (75% FFP, 25% GF Match), HCBS Waiver Administration (50% FFP, 50% GF Match), and TCM (50% FFP, 50% GF Match).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The change is due to updated caseload.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The change is due to updated caseload.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$48,011	\$48,127
GF	36,174	36,232
Reimbursement	11,837	11,895

Projects

DESCRIPTION:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

ASSUMPTIONS/METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> • Information Technology Costs: <ul style="list-style-type: none"> • Regional Center Application Support: • Data Processing: • Clients' Rights Advocacy: <p>The Department contracts for clients' rights advocacy services for regional center consumers, of which 45% of the costs are eligible for Home and Community Based Services (HCBS) Waiver Administration: 50% GF Match / 50% federal financial participation (FFP). Contract amount shown does not reflect administrative costs per Assembly Bill (AB) X2 1. Budget year reflects a contract increase to account for caseload growth.</p> • Quality Assessment Contract: <p>The Quality Assessment Project, as required by the Welfare and Institutions (W&I) Code, Section 4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 45% of costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP.</p> 	\$4,112 2,862 1,250 7,483 4,500	\$4,212 2,962 1,250 7,873 4,500

Projects

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Direct Support Professional Training: W&I Code Section 4695.2 mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 88% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. The current year increase reflects increased printing and enrollment costs, as well as costs related to keeping the curriculum up to date. 	\$3,212	\$3,600
<ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and their consumers, and (2) provide mediation services. Section 4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended; 45% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. Budget year increase is due to a standard contract increase. 	3,525	3,700
<ul style="list-style-type: none"> Wellness Projects: Projects may include those that focus on health professional training programs, developmental, health, and trauma assessments, resource development for persons with a dual diagnosis, and training programs for parents and consumers. 	100	100
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. Costs reflect the federally mandated \$0.35 increase to the volunteer stipend effective April 2020. 	3,883	4,114

Projects

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on Developmental Center transitions to the Center for Medicare & Medicaid Services; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 39% of the costs are eligible for HCBS Waiver Administration: 50% GF Match / 50% FFP. 	\$1,200	\$1,200
<ul style="list-style-type: none"> Increased Access to Mental Health Services: Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 100% of costs are funded by the Mental Health Services Fund. 	740	740
<ul style="list-style-type: none"> Sherry S. Court Case: In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. 	10	4
<ul style="list-style-type: none"> 2003-04 FFP Enhancement, Phase II: These costs are associated with legal support for federal program activities. 	500	500

Projects

ASSUMPTIONS/METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Affordable Housing: The amounts budgeted represent funds that DDS pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the Coffelt Settlement (1994). The contract has been renewed for 2020-21. 	\$125	\$135
<ul style="list-style-type: none"> Review of Senate Bill (SB) 1175 Housing Proposals: Pursuant to Chapter 617, Statutes of 2008, (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing DDS' costs associated with conducting the review and approval of housing proposals. 	150	150
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$29,540	\$30,828

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The change is due to an adjustment to the Foster Grandparent Program to increase the volunteer stipend effective April 2020.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The change is due to increases to the Direct Support Professional Training contract and the Foster Grandparent Program volunteer stipend.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$29,540	\$30,828
GF	22,407	23,309
Reimbursement	5,130	5,428
Developmental Disabilities Services Account	150	150
Mental Health Services Fund	740	740
Federal Funds	1,113	1,201

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility - Developmentally Disabled Vendor Costs Operations

DESCRIPTION:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

ASSUMPTIONS/METHODOLOGY:

Billing costs are 1.5% of the cost of Day Program and Transportation.
2019-20 and 2020-21: Total billing costs are \$1.8 million for regional center administration.
Purchase of Services costs for Day Program and Transportation in calendar year 2019 totals \$116.7 million, of which 1.5% equals \$1.8 million.

FUNDING:

The Federal Medical Assistance Percentages establishes the FFP for expenditures associated with Operations, which is 50% for 2019-20 and 2020-21.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The change is due to updated expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The change is due to updated expenditures.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,780	\$1,780
GF	890	890
Reimbursement	890	890

Operations Funding Detail

Staffing		Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
	TOTAL	\$655,696	\$655,189	(\$507)	\$694,950	\$694,519	\$39,330	(\$431)
	GF	\$436,875	\$439,897	\$3,022	\$469,275	\$472,097	\$32,200	\$2,822
	GF Match	208,951	207,490	(1,461)	216,854	215,669	8,179	(1,185)
	GF Other	227,924	232,407	4,483	252,421	256,428	24,021	4,007
	Reimbursements	\$218,821	\$215,292	(\$3,529)	\$225,675	\$222,422	\$7,130	(\$3,253)
	HCBS Waiver Admin	5,564	5,027	(537)	5,564	5,026	(1)	(538)
	Medicaid Admin	12,099	8,999	(3,100)	12,099	8,999	0	(3,100)
	TCM	191,807	192,079	272	199,711	200,260	8,181	549
	TCM Admin	8,301	8,137	(164)	8,301	8,137	0	(164)
	CalFresh	1,050	1,050	0	0	0	(1,050)	0

Federal Compliance		Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
	TOTAL	\$48,046	\$48,011	(\$35)	\$48,266	\$48,127	\$116	(\$139)
	GF	\$35,480	\$36,174	\$694	\$35,590	\$36,232	\$58	\$642
	GF Match	11,266	10,537	(729)	11,376	10,595	58	(781)
	GF Other	24,214	25,637	1,423	24,214	25,637	0	1,423
	Reimbursements	\$12,566	\$11,837	(\$729)	\$12,676	\$11,895	\$58	(\$781)
	HCBS Waiver Admin	1,203	492	(711)	1,203	492	0	(711)
	Medicaid Admin	1,950	1,950	0	1,950	1,950	0	0
	TCM	9,413	9,395	(18)	9,523	9,453	58	(70)

Operations Funding Detail

Projects	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$29,521	\$29,540	\$19	\$30,193	\$30,828	\$1,288	\$635
GF	\$22,407	\$22,407	\$0	\$22,950	\$23,309	\$902	\$359
GF Match	5,128	5,128	0	5,258	5,426	298	168
GF Other	17,279	17,279	0	17,692	17,883	604	191
Reimbursements	\$5,130	\$5,130	\$0	\$5,259	\$5,428	\$298	\$169
HCBS Waiver Admin	5,130	5,130	0	5,259	5,428	298	169
Developmental Disabilities Services Account	\$150	\$150	\$0	\$150	\$150	\$0	\$0
Mental Health Services Fund	\$740	\$740	\$0	\$740	\$740	\$0	\$0
Federal Funds	\$1,094	\$1,113	\$19	\$1,094	\$1,201	\$88	\$107

Intermediate Care Facility- Developmentally Disabled - Admin Fees	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,806	\$1,780	(\$26)	\$1,806	\$1,780	\$0	(\$26)
GF	\$903	\$890	(\$13)	\$903	\$890	\$0	(\$13)
GF Match	903	890	(13)	903	890	0	(13)
Reimbursements	\$903	\$890	(\$13)	\$903	\$890	\$0	(\$13)
FFP (Quality Assurance Fees)	903	890	(13)	903	890	0	(13)

Operations Funding Detail

Total Operations Funding Detail	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$735,069	\$734,520	(\$549)	\$775,215	\$775,254	\$40,734	\$39
GF	\$495,665	\$499,368	\$3,703	\$528,718	\$532,528	\$33,160	\$3,810
GF Match	226,248	224,045	(2,203)	234,391	232,580	8,535	(1,811)
GF Other	269,417	275,323	5,906	294,327	299,948	24,625	5,621
Reimbursements	\$237,420	\$233,149	(\$4,271)	\$244,513	\$240,635	\$7,486	(\$3,878)
HCBS Waiver Admin	11,897	10,649	(1,248)	12,026	10,946	297	(1,080)
Medicaid Admin	14,049	10,949	(3,100)	14,049	10,949	0	(3,100)
TCM	201,220	201,474	254	209,234	209,713	8,239	479
TCM Admin	8,301	8,137	(164)	8,301	8,137	0	(164)
CalFresh	1,050	1,050	0	0	0	(1,050)	0
FFP (Quality Assurance Fees)	903	890	(13)	903	890	0	(13)
Developmental Disabilities Services Account	150	150	0	150	150	0	\$0
Mental Health Services Fund	740	740	0	740	740	0	\$0
Federal Funds	\$1,094	\$1,113	\$19	\$1,094	\$1,201	\$88	\$107

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Community Care Facilities

DESCRIPTION:

Community Care Facilities (CCF) are licensed by the Community Care Licensing Division of the Department of Social Services (DSS) to provide 24-hour non-medical residential care to children and adults with developmental disabilities. Regional centers contract with vendored CCF to provide consumers personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living.

ASSUMPTIONS:

CCF Expenditure Data Source: State Claims Data file, dated January 2020, for expenditures through October 2019.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP the Department funds only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$1,638,267	\$1,755,779
Total Utilization Change/Growth:	117,512	134,780
Subtotal Base and Growth	\$1,755,779	\$1,890,559
Community Placement Plan: See Community Placement Plan methodology for detail.	26,044	17,477
SSI/SSP Increases Effective January 1, 2020 and January 1, 2021: The SSI/SSP rate, effective January 1, 2020, is estimated to be \$1,069 per month and \$1,082 effective January 1, 2021. An increase in these rates results in a decrease in amounts paid by the Department. The SSI/SSP amount includes Care and Supervision, and Room and Board, excluding Personal and Incidental Needs.	-\$3,687	-\$2,946

Community Care Facilities

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
Continuation Costs: Second year costs for Developmental Center movers.	52,793	36,556
TOTAL EXPENDITURES	\$1,830,929	\$1,941,646

FUNDING:

CCF expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Temporary Assistance to Needy Families, and Self-Determination Program (SDP) Waiver. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and SDP Waiver, 50% is federal financial participation (FFP) and 50% GF match.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$864,000 (\$18.0 million GF decrease) reflects an increase of \$1.6 million in the Base and a \$6.9 million in Utilization and Growth, offset by an increase in SSI/SSP savings, a decrease of \$1.3 million in Community Placement Plan, and \$5.6 million in Continuation Costs. The increase in Utilization reflects continued growth in Staff Operated CCFs for Adults and DSS-Licensed Special Residential Facilities for Habilitation.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$19.6 million (\$8.8 million GF) reflects an increase of \$8.5 million in the Base, increase of \$16.0 million in Utilization and Growth, and a decrease in SSI/SSP savings offset by a decrease in Community Placement Plan and \$4.3 million in Continuation Costs.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,830,929	\$1,941,646
GF	1,072,698	1,138,603
Reimbursements	758,231	803,043

Medical Facilities

DESCRIPTION:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

ASSUMPTIONS:

Medical Facilities Expenditure Data Source: State Claims Data file, dated January 2020, with actuals through October 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$16,523	\$16,981
Total Utilization Change/Growth:	458	472
Subtotal Base and Growth	\$16,981	\$17,453
Gap Resource Development (ICF-DD):	345	345

Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.

Medical Facilities

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
Community Placement Plan: See Community Placement Plan methodology for detail.	229	154
Continuation Costs: Second year costs for Developmental Center movers.	2,790	1,932
TOTAL EXPENDITURES	\$20,345	\$19,884

FUNDING:

Medical Facility expenditures are funded by the General Fund (GF).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$2.3 million GF is a result of a decrease in the Base and Utilization and Growth, offset by an increase in Community Placement Plan and \$2.6 million in Continuation Costs.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$1.4 million GF reflects a decrease in the Base and Utilization and Growth, offset by an increase in Community Placement Plan and \$1.8 million increase in Continuation Costs.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$20,345	\$19,884
GF	20,345	19,884

Day Programs

DESCRIPTION:

Day programs are community-based programs for individuals served by a regional center. Types of services available through a day program include:

- Developing and maintaining self-help and self-care skills.
- Developing the ability to interact with others, making one's needs known and responding to instructions.
- Developing self-advocacy and employment skills.
- Developing community integration skills such as accessing community services.
- Behavior management to help improve behaviors.
- Developing social and recreational skills.

ASSUMPTIONS:

Day Program Expenditure Data Source: State Claims Data file, dated January 2020 with actuals through October 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$1,120,423	\$1,147,892
Total Utilization Change/Growth:	27,469	28,374
Subtotal Base and Growth	\$1,147,892	\$1,176,266
Community Placement Plan: See Community Placement Plan methodology for detail.	229	154
Continuation Costs: Second year costs for Developmental Center movers.	1,443	999
TOTAL EXPENDITURES	\$1,149,564	\$1,177,419

Day Programs

FUNDING:

Day Program expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate Care Facility-Developmentally Disabled State Plan Amendment (ICF-DD SPA), Early Periodic Screening Diagnosis and Treatment (EPSDT), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD SPA, EPSDT, and SDP Waiver, 50% is federal financial participation and 50% GF match.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$49,000 (\$2.9 million GF decrease) is due to a decrease in the Base and Utilization and Growth, offset by an increase in Continuation Costs and is due to updated expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$41,000 (\$96,000 GF) is due to a decrease in Utilization and Growth and an increase in Continuation Costs.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,149,564	\$1,177,419
GF	639,272	645,142
Reimbursement	492,367	514,665
Federal Funds	17,925	17,612

Habilitation Work Activity Program

DESCRIPTION:

Work Activity Program (WAP) services through the regional centers include paid work, work adjustment and supportive habilitation services typically in a sheltered work shop setting. WAPs provide paid work in accordance with Federal and State Fair Labor Standards. Work adjustment services may include developing good work safety practices, money management skills, and appropriate work habits. Supportive habilitation services may include social skill and community resource training as long as the services are necessary to achieve vocational objectives.

ASSUMPTIONS:

WAP Expenditure Data Source: State Claims Data file, dated January 2020 with actuals through October 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$36,584	\$31,538
Total Utilization Change/Growth:	-5,046	-4,348
 TOTAL EXPENDITURES	 \$31,538	 \$27,190

FUNDING:

WAP Service expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled State Plan Amendment (ICF-DD SPA), 1915(i) State Plan Amendment (SPA), and Self-Determination Program (SDP) Waiver. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD SPA, and SDP Waiver, 50% is federal financial participation.

Habilitation Work Activity Program

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$1.4 million (\$2.8 million GF) is due to a decrease in the Base, and \$1.4 million in Utilization and Growth based on updated actual expenditures coming in lower than originally estimated.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$2.4 million (\$3.5 million GF) is due to \$1.4 million in the Base and \$1.0 million in Utilization and Growth.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$31,538	\$27,190
GF	15,569	10,894
Reimbursements	15,969	16,296

Habilitation Supported Employment Program (Group Placement)

DESCRIPTION:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work in integrated group settings. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-G Expenditure Data Source: State Claims file, dated January 2020 with actuals through October 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$85,187	\$83,912
Total Utilization Change/Growth:	-1,275	-2,212
TOTAL EXPENDITURES	\$83,912	\$81,700

FUNDING:

SEP-G expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled State Plan Amendment (ICF-DD SPA), 1915(i) State Plan Amendment (SPA), and Self-Determination Program (SDP) Waiver of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD SPA, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$294,000 (\$4.0 million GF) is due to decreases in the Base and Utilization and Growth based on updated actual expenditures coming in lower than originally estimated.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$1.3 million (\$4.7 million GF) is due to a decrease in the Base and Utilization and Growth.

Habilitation Supported Employment Program (Group Placement)

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$83,912	\$81,700
GF	58,921	55,878
Reimbursements	24,991	25,822

Habilitation

Supported Employment Program (Individual Placement)

DESCRIPTION:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work in integrated individual settings. These services enable consumers to learn necessary job skills and maintain employment.

ASSUMPTIONS:

SEP-I Expenditure Data Source: State Claims file dated January 2020 with actuals through October 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$34,640	\$39,023
Total Utilization Change/Growth:	4,383	5,256
TOTAL EXPENDITURES	\$39,023	\$44,279

FUNDING:

SEP-I expenditures are funded by the General Fund (GF) and reimbursements from Home and Community-Based Services (HCBS) Waiver, Intermediate Care Facility - Developmentally Disabled State Plan Amendment (ICF-DD SPA), 1915(i) State Plan Amendment (SPA), and Self-Determination Program (SDP) Waiver. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD SPA, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$455,000 (\$2.7 million GF) is due to decreases in the Base and Utilization and Growth based on actual expenditures coming in lower than originally estimated.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease of \$828,000 (\$2.6 million GF) is due to decreases in the Base and Utilization and Growth.

Habilitation Supported Employment Program (Individual Placement)

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$39,023	\$44,279
GF	30,548	34,884
Reimbursements	8,475	9,395

Transportation

DESCRIPTION:

Transportation services are provided for persons with a developmental disability in order to participate in programs and/or other activities. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

ASSUMPTIONS:

Transportation Expenditure Data Source: State Claims Data file, dated January 2020, with actuals through October 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$365,961	\$387,338
Total Utilization Change/Growth:	21,377	27,297
Subtotal Base and Growth	\$387,338	\$414,635
Community Placement Plan: See Community Placement Plan methodology for detail.	101	68
Continuation Costs: Second year costs for Developmental Center movers.	404	280
TOTAL EXPENDITURES	\$387,843	\$414,983

Transportation

FUNDING:

Transportation expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, and Intermediate Care Facility - Developmentally Disabled State Plan Amendment (ICF-DD SPA), and Self-Determination Program (SDP) Waiver. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD SPA, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$131,000 (\$5.7 million GF decrease) is due to a decrease in the Base, Utilization and Growth, and Community Placement Plan, offset by an increase in Continuation Costs based on updated actual expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$4.7 million (\$375,000 GF) is due to a \$4.7 million increase in Utilization and Growth, an increase in Continuation Costs, offset by a decrease in Community Placement Plan. The main cost drivers are Transportation Company, Transportation-Additional component, and Transportation-Public/Rental Car Agency/Taxi.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$387,843	\$414,983
GF	223,946	242,833
Reimbursement	163,897	172,150

Support Services

DESCRIPTION:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community, including supported living services, community integration training services, and personal assistance services.

ASSUMPTIONS:

Support Services Expenditure Data Source: State Claims Data file, dated January 2020, with actuals through October 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$1,470,688	\$1,617,473
Total Utilization Change/Growth:	146,785	165,949
Subtotal Base and Growth	\$1,617,473	\$1,783,422
Community Placement Plan: See Community Placement Plan methodology for detail.	3,270	2,194
Continuation Costs: Second year costs for Developmental Center movers.	7,565	5,238
Independent Living Supplement: Based on data as of January 2020, there are 16,718 consumers living in SL/IL arrangements who receive the monthly payment to supplement the reduction in their SSP grants. Prior year costs remain in the Base, therefore only the incremental costs are reflected.	-227	-242
TOTAL EXPENDITURES	\$1,628,081	\$1,790,612

Support Services

FUNDING:

Support Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Department of Health Care Services for Behavioral Health Treatment services for Medi-Cal Fee-for-Service beneficiaries, Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and SDP Waiver, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$11.4 million (a decrease of \$1.4 million GF) reflects an increase of \$11.3 million in the Utilization and Growth and \$1.6 million in Continuation Costs, offset by a decrease of \$1.4 million in the Base and a decrease in Community Placement Plan and Independent Living Supplement.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$28.9 million (\$23.0 million GF) reflects an increase of \$9.9 million in the Base, \$18.0 million in Utilization and Growth, \$1.0 million in Continuation Costs, and an increase in Independent Living Supplement, offset by a decrease in Community Placement Plan.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,628,081	\$1,790,612
GF	891,321	1,015,525
Reimbursement	736,495	774,884
Federal Funds	265	203

In-Home Respite

DESCRIPTION:

In-Home Respite Services means intermittent or regularly scheduled temporary non-medical care and/or supervision provided in the person's home. In-Home Respite services are support services which typically include:

- Assisting the family members to enable a person with developmental disabilities to stay at home;
- Providing appropriate care and supervision to protect that person's safety in the absence of a family member(s);
- Relieving family members from the responsibility of providing care;
- Attending to basic self-help needs and other activities that would ordinarily be performed by the family member.

ASSUMPTIONS:

In-Home Respite Expenditure Data Source: State Claims Data file, dated January 2020, with actuals through October 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$511,649	\$604,187
Total Utilization Change/Growth:	92,538	87,453
Subtotal Base and Growth:	\$604,187	\$691,640
Community Placement Plan: See Community Placement Plan methodology for detail.	67	45
Continuation Costs: Second year costs for developmental center movers.	161	111
TOTAL EXPENDITURES:	\$604,415	\$691,796

In-Home Respite

FUNDING:

In-Home Respite expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant of the amount eligible for HCBS Waiver, 1915(i) SPA, and SDP Waiver, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$46.5 million (\$41.1 million GF) is mainly due to a \$45.5 million increase in Utilization and Growth due to restoration of respite services continuing to impact expenditures for this category.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$82.5 million (\$79.4 million GF) reflects an increase of \$46.4 million in the Base and \$36.1 million increase in Utilization and Growth due to restoration of respite services continuing to impact expenditures for this category.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$604,415	\$691,796
GF	336,208	410,697
Reimbursement	268,128	280,976
Federal Funds	79	123

Out-of-Home Respite

DESCRIPTION:

Out-of-Home Respite includes supervision services that are provided in licensed residential facilities.

ASSUMPTIONS:

Out-of-Home Respite Expenditure Data Source: State Claims Data file, dated January 2020, with actuals through October 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$49,608	\$51,457
Total Utilization Change/Growth:	1,849	2,123
Subtotal Base and Growth	\$51,457	\$53,580
Community Placement Plan: See Community Placement Plan methodology for detail.	24	16
Continuation Costs: Second year costs for developmental center movers.	90	63
TOTAL EXPENDITURES	\$51,571	\$53,659

Out-of-Home Respite

FUNDING:

Out-of-Home Respite expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, Title XX Block Grant, which includes Social Services and Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Of the amount eligible for HCBS Waiver, 1915(i) SPA, and SDP Waiver, 50% is federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$253,000 (\$334,000 GF decrease) reflects an increase in the Base and Community Placement Plan, offset by a decrease in both Utilization and Growth and Continuation Costs and is due to updated expenditures.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The increase of \$138,000 (\$227,000 GF decrease) reflects an increase in Community Placement Plan, and a decrease in both Utilization and Growth and Continuation Costs and is due to updated expenditures.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$51,571	\$53,659
GF	26,842	27,764
Reimbursement	24,657	25,835
Federal Funds	72	60

Health Care

DESCRIPTION:

Health Care services include medical and/or health care-related services, providing appropriate, high-quality care and services to children and adults who have developmental disabilities in order to optimize the health and welfare of each individual.

ASSUMPTIONS:

Health Care Expenditure Data Source: State Claims Data file dated January 2020, with actuals through October 2019. Data was adjusted for lag based on historical data.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$131,302	\$153,451
Total Utilization Change/Growth:	22,149	25,887
Subtotal Base and Growth	\$153,451	\$179,338
Community Placement Plan: See Community Placement Plan methodology for detail.	3,081	2,068
Continuation Costs: Second year costs for Developmental Center movers.	3,809	2,637
TOTAL EXPENDITURES	\$160,341	\$184,043

Health Care

FUNDING:

Health Care expenditures are funded by the General Fund (GF), reimbursements from Home and Community-Based Services (HCBS) Waiver, Temporary Assistance for Needy Families, 1915(i) State Plan Amendment (SPA), Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant. Of the amount eligible HCBS Waiver, 1915(i) SPA, and SDP Waiver, 50% is federal financial participation.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$10.9 million (\$9.7 million GF) is due to an increase of the Base, \$7.7 million in Utilization and Growth, \$1.0 million in Continuation Costs, and \$1.7 million in Community Placement Plan. The increase is due to significant growth in Specialized Therapeutic Services 21 Years and Participant-Directed Nursing Service - Family Member.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net increase of \$19.9 million (\$19.2 million GF) is due to an increase of \$8.2 million in the Base, \$9.9 million in Utilization and Growth, and \$1.1 million in Continuation Costs. The increase is due to Specialized Therapeutic Services 21 Years and Participant-Directed Nursing Service - Family Member.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$160,341	\$184,043
GF	138,962	162,125
Reimbursements	19,243	20,064
Federal Funds	2,136	1,854

Miscellaneous Services

DESCRIPTION:

The Miscellaneous Services category includes a wide variety of services that can't be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors.

ASSUMPTIONS:

Miscellaneous Services Expenditure Data Source: State Claims Data file, dated January 2019, with actuals through October 2019. Data was adjusted for lag based on historical trends.

METHODOLOGY:

The Base for each year represents the prior year Base grown for utilization and caseload changes.

	<u>2019-20</u>	<u>2020-21</u>
Base:	\$463,632	\$510,288
Total Utilization Change/Growth:	46,656	53,744
Subtotal Base and Growth	\$510,288	\$564,032
Community Placement Plan: See Community Placement Plan methodology for detail.	30,633	30,413
Continuation Costs: Second year costs for Developmental Center movers.	1,966	1,361
TOTAL EXPENDITURES	\$542,887	\$595,806

Miscellaneous Services

FUNDING:

Miscellaneous Services expenditures are funded by the General Fund (GF), reimbursements from the Home and Community-Based Services (HCBS) Waiver, 1915(i) State Plan Amendment (SPA), Title XX Block Grant, which includes Social Services and Temporary Assistance to Needy Families, Intermediate Care Facility Developmentally Disabled State Plan Amendment (ICF-DD SPA), Department of Health Care Services for BHT services for Medi-Cal Fee-for-Service beneficiaries, Self-Determination Program (SDP) Waiver, and federal funds from the Early Start Grant and Program Development Funds. Of the amount eligible for HCBS Waiver, 1915(i) SPA, ICF-DD SPA, and SDP Waiver, 50% is federal financial participation, and 50% is GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net decrease of \$8.7 million (\$8.2 million GF) reflects a decrease of \$1.1 million in the Base and \$7.7 million in the Utilization and Growth, and a decrease to the Continuation Costs, offset by a slight increase in the Community Placement Plan.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The net decrease of \$18.9 million (\$19.0 million GF) reflects a decrease of \$8.8 million in the Base, \$10.1 million in the Utilization and Growth, and a decrease in Continuation Costs, offset by an increase in Community Placement Plan.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$542,887	\$595,806
GF	429,820	478,115
Reimbursement	97,910	101,251
Federal Funds	12,915	14,160
Program Development Fund	2,242	2,280

Quality Assurance Fees

Intermediate Care Facility-Developmentally Disabled Costs Purchase of Services

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF).

ASSUMPTIONS:

- Billing costs are 1.5% of the cost of Day Programs and Transportation, and regional center administrative fees for ICF-DD. QAF are set by DHCS.
- 2019-20 and 2020-21: Total billing costs are \$1.8 million ICF-DD administration and \$7.8 million QAF.
- Purchase of Services costs for Day Program and Transportation are based on calendar year 2019 and total \$118.7 million. ICF-DD administration costs are 1.5% of the total or \$1.8 million and QAF are \$7.8 million. Total administration and QAF is \$9.6 million.

FUNDING:

The funding for QAF is 100% reimbursement to the Department from DHCS.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease is due to updated actual expenditures.

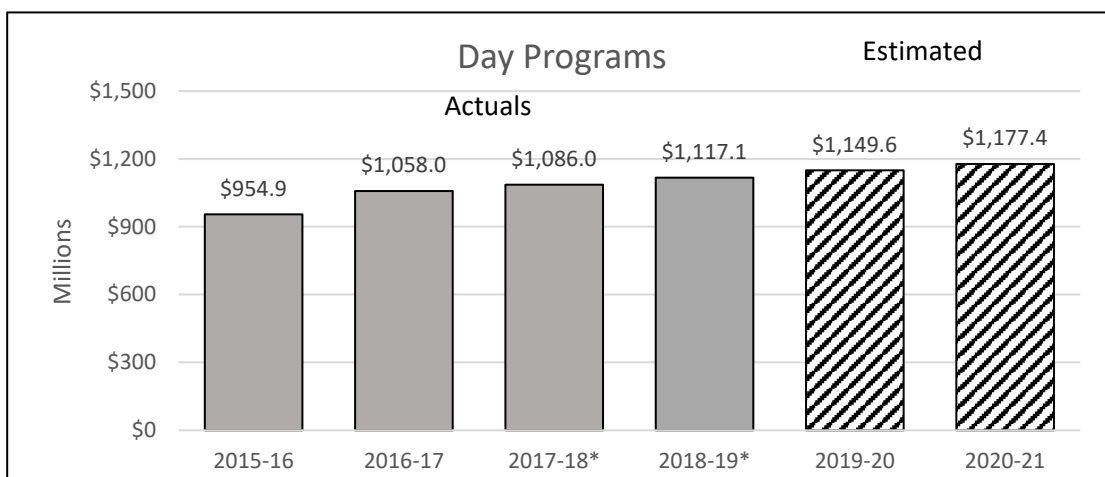
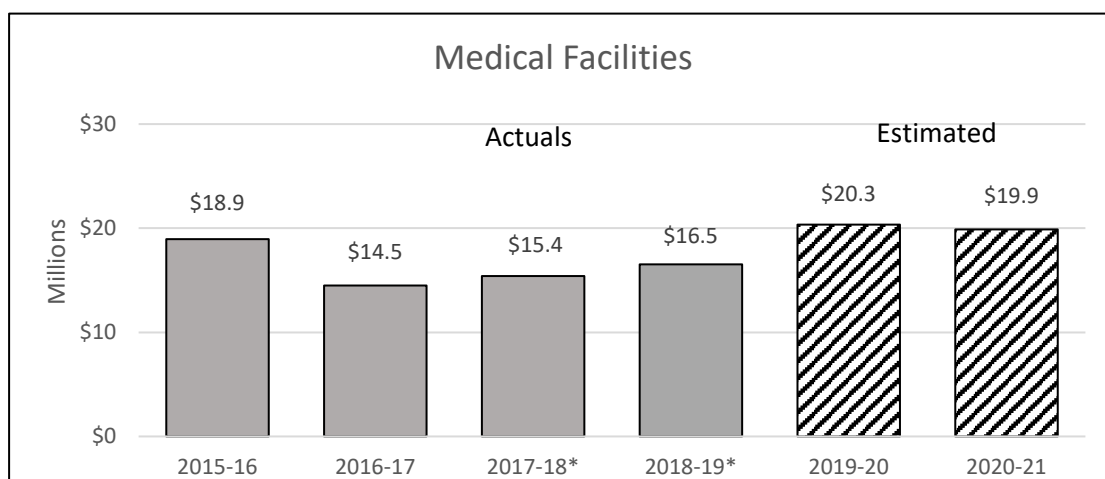
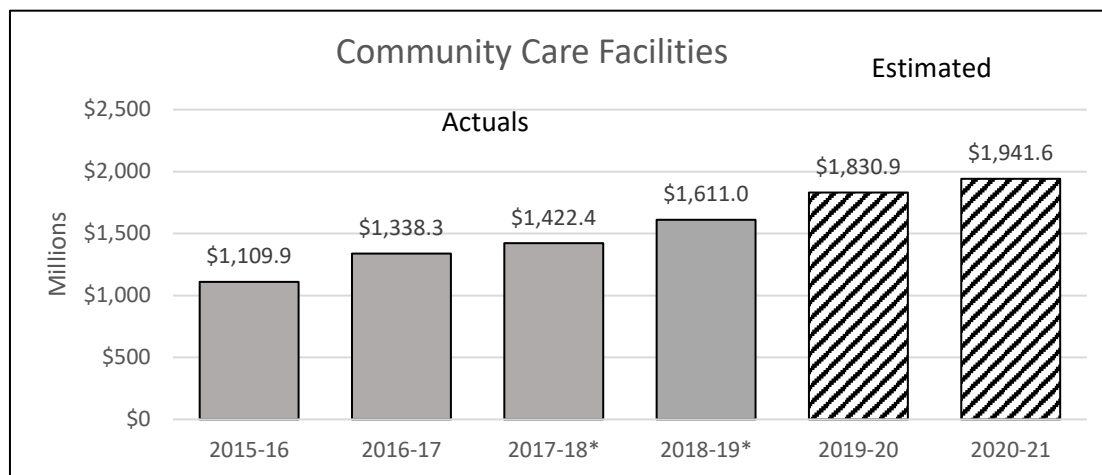
BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease is due to updated actual expenditures.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$9,612	\$9,612
Reimbursement	9,612	9,612
QAF Admin Fees	4,806	4,806
Transfer from DHCS	4,806	4,806

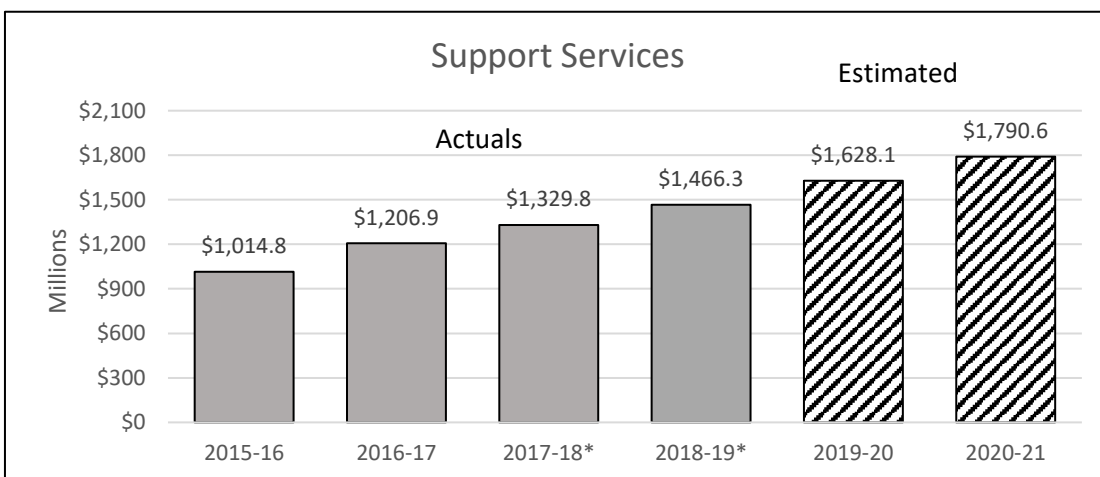
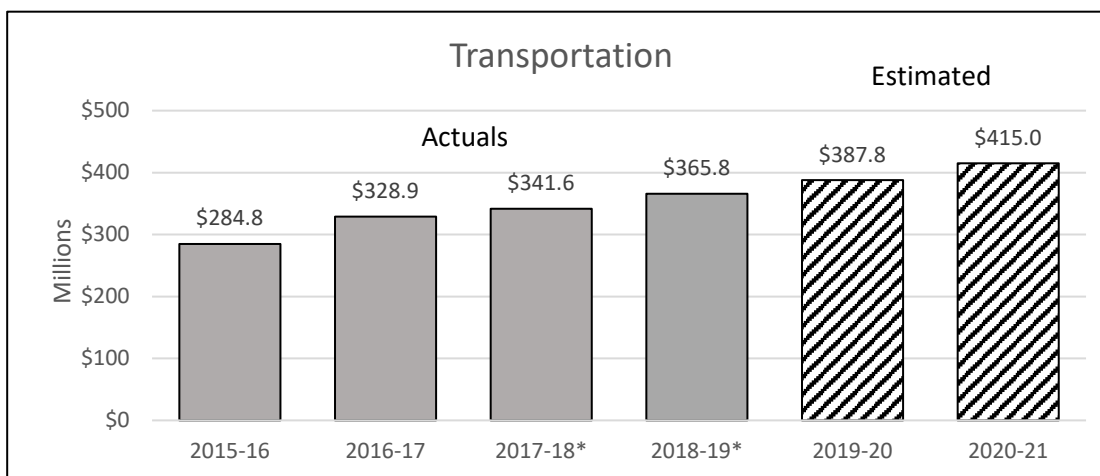
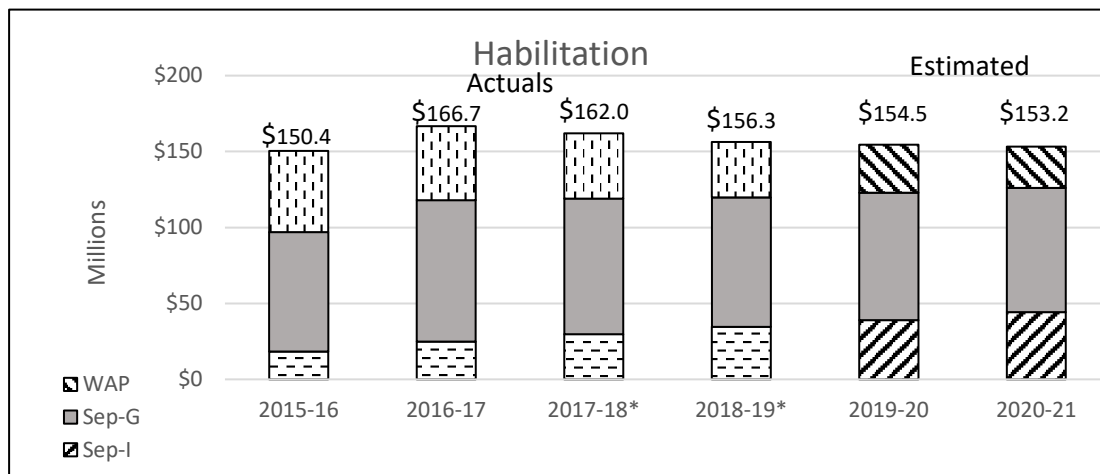
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through October 2019.

** Totals for all years exclude Quality Assurance Fees

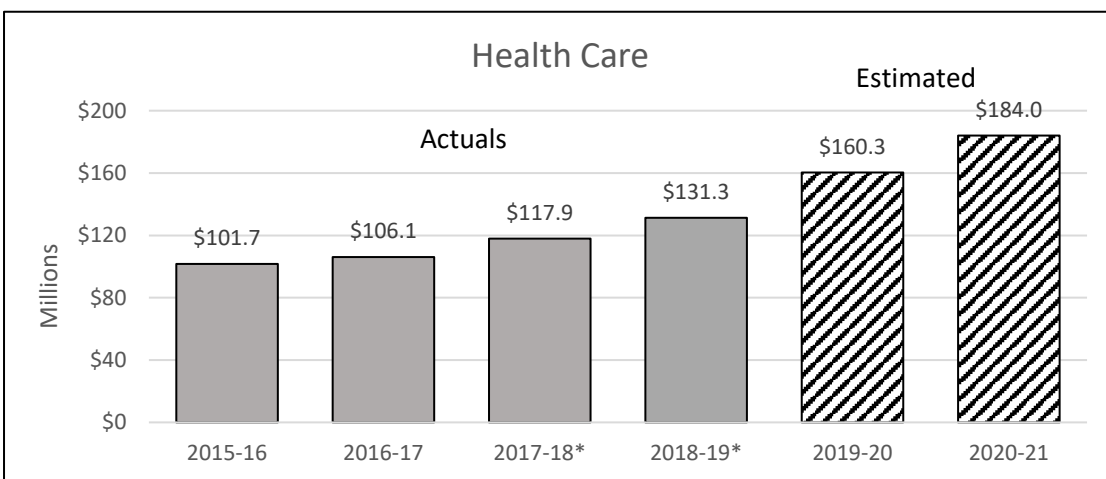
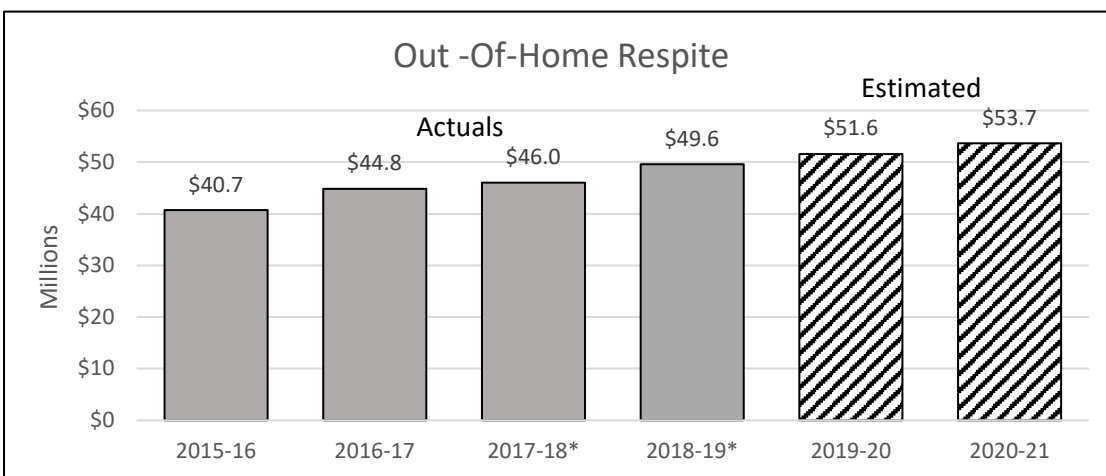
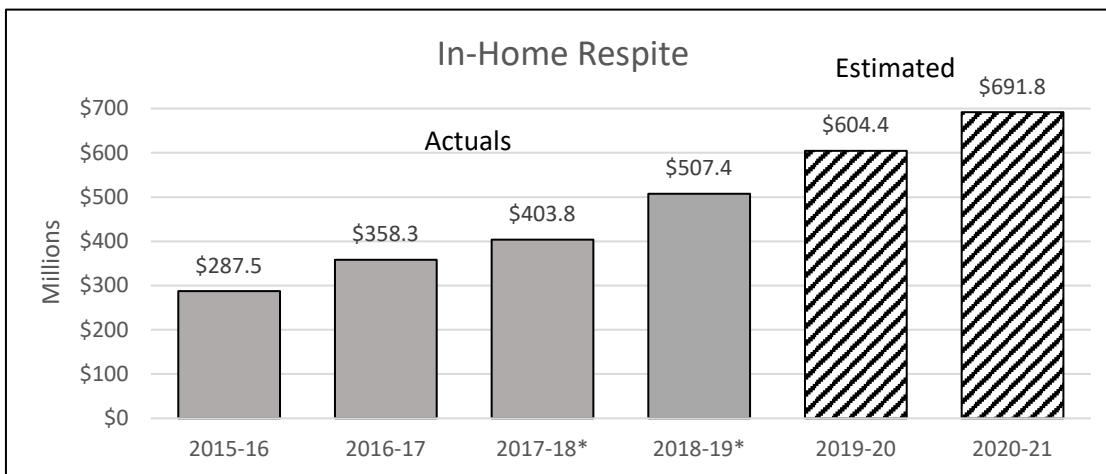
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through October 2019.

** Totals for all years exclude Quality Assurance Fees

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through October 2019.

** Totals for all years exclude Quality Assurance Fees

Purchase of Services Funding Detail

Community Care Facilities	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,830,065	\$1,830,929	\$864	\$1,922,024	\$1,941,646	\$110,717	\$19,622
GF	\$1,090,660	\$1,072,698	(\$17,962)	\$1,129,794	\$1,138,603	\$65,905	\$8,809
GF Match	725,985	742,506	16,521	778,808	787,318	44,812	8,510
GF Other	364,675	330,192	(34,483)	350,986	351,285	21,093	299
Reimbursements	\$739,405	\$758,231	\$18,826	\$792,230	\$803,043	\$44,812	\$10,813
HCBS Waiver	645,828	661,636	15,808	694,746	702,538	40,902	7,792
1915 (i) SPA	78,560	79,273	713	78,502	79,218	(55)	716
Title XX TANF	13,419	15,724	2,305	13,419	15,724	0	2,305
SDP Waiver	1,598	1,598	0	5,563	5,563	3,965	0

Medical Facilities	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$18,088	\$20,345	\$2,257	\$18,439	\$19,884	(\$461)	\$1,445
GF	\$18,088	\$20,345	\$2,257	\$18,439	\$19,884	(\$461)	\$1,445
GF Other	18,088	20,345	2,257	18,439	19,884	(461)	1,445

Day Programs	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,149,515	\$1,149,564	\$49	\$1,177,460	\$1,177,419	\$27,855	(\$41)
GF	\$642,216	\$639,272	(\$2,944)	\$645,046	\$645,142	\$5,870	\$96
GF Match	445,763	449,472	3,709	470,881	471,768	22,296	887
GF Other	196,453	189,800	(6,653)	174,165	173,374	(16,426)	(791)
Reimbursements	\$489,374	\$492,367	\$2,993	\$514,489	\$514,665	\$22,298	\$176
HCBS Waiver	307,386	314,910	7,524	330,668	334,377	19,467	3,709
1915 (i) SPA	69,968	67,967	(2,001)	69,915	67,921	(46)	(1,994)
Title XX Social Services	43,496	42,823	(673)	43,496	42,823	0	(673)
Title XX TANF	115	73	(42)	115	73	0	(42)
ICF-DD	36,266	35,830	(436)	36,266	35,830	0	(436)
EPSDT	31,382	30,003	(1,379)	31,381	30,993	990	(388)
SDP Waiver	761	761	0	2,648	2,648	1,887	0
Federal Funds	\$17,925	\$17,925	\$0	\$17,925	\$17,612	(\$313)	(\$313)
Early Start Grant	17,925	17,925	0	17,925	17,612	(313)	(313)

Purchase of Services Funding Detail

Habilitation: WAP	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$32,955	\$31,538	(\$1,417)	\$29,638	\$27,190	(\$4,348)	(\$2,448)
GF	\$18,397	\$15,569	(\$2,828)	\$14,396	\$10,894	(\$4,675)	(\$3,502)
GF Match	14,557	15,968	1,411	15,241	16,294	326	1,053
GF Other	3,840	(399)	(4,239)	(845)	(5,400)	(5,001)	(4,555)
Reimbursements	\$14,558	\$15,969	\$1,411	\$15,242	\$16,296	\$327	\$1,054
HCBS Waiver	11,698	11,984	286	12,584	12,725	741	141
1915 (i) SPA	2,552	3,707	1,155	2,278	3,221	(486)	943
Title XX TANF	1	2	1	1	2	0	1
ICF-DD	278	247	(31)	278	247	0	(31)
SDP Waiver	29	29	0	101	101	72	0

Habilitation: SEP-G	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$84,206	\$83,912	(\$294)	\$82,972	\$81,700	(\$2,212)	(\$1,272)
GF	\$62,888	\$58,921	(\$3,967)	\$60,601	\$55,878	(\$3,043)	(\$4,723)
GF Match	21,317	24,992	3,675	22,369	25,822	830	3,453
GF Other	41,571	33,929	(7,642)	38,232	30,056	(3,873)	(8,176)
Reimbursements	\$21,318	\$24,991	\$3,673	\$22,371	\$25,822	\$831	\$3,451
HCBS Waiver	14,612	14,969	357	15,719	15,895	926	176
1915 (i) SPA	6,520	9,864	3,344	6,376	9,679	(185)	3,303
ICF-DD	150	122	(28)	150	122	0	(28)
SDP Waiver	36	36	0	126	126	90	0

Purchase of Services Funding Detail

Habilitation: SEP-I	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$39,478	\$39,023	(\$455)	\$45,107	\$44,279	\$5,256	(\$828)
GF	\$32,619	\$30,548	(\$2,071)	\$37,530	\$34,884	\$4,336	(\$2,646)
GF Match	6,858	8,475	1,617	7,577	9,394	919	1,817
GF Other	25,761	22,073	(3,688)	29,953	25,490	3,417	(4,463)
Reimbursements	\$6,859	\$8,475	\$1,616	\$7,577	\$9,395	\$920	\$1,818
HCBS Waiver	3,769	3,861	92	4,054	4,100	239	46
1915 (i) SPA	3,057	4,587	1,530	3,467	5,245	658	1,778
ICF-DD	24	18	(6)	24	18	0	(6)
SDP Waiver	9	9	0	32	32	23	0

Transportation	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$387,712	\$387,843	\$131	\$410,246	\$414,983	\$27,140	\$4,737
GF	\$229,655	\$223,946	(\$5,709)	\$242,458	\$242,833	\$18,887	\$375
GF Match	149,660	155,574	5,914	159,393	163,828	8,254	4,435
GF Other	79,995	68,372	(11,623)	83,065	79,005	10,633	(4,060)
Reimbursements	\$158,057	\$163,897	\$5,840	\$167,788	\$172,150	\$8,253	\$4,362
HCBS Waiver	118,972	121,884	2,912	127,984	129,419	7,535	1,435
1915 (i) SPA	14,296	17,638	3,342	14,285	17,626	(12)	3,341
Title XX Social Services	7,737	7,637	(100)	7,737	7,637	0	(100)
Title XX TANF	658	685	27	658	685	0	27
ICF-DD	16,099	15,758	(341)	16,099	15,758	0	(341)
SDP Waiver	295	295	0	1,025	1,025	730	0

Purchase of Services Funding Detail

Support Services	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,616,685	\$1,628,081	\$11,396	\$1,761,727	\$1,790,612	\$162,531	\$28,885
GF	\$892,730	\$891,321	(\$1,409)	\$992,517	\$1,015,525	\$124,204	\$23,008
GF Match	650,549	663,646	13,097	695,805	702,035	38,389	6,230
GF Other	242,181	227,675	(14,506)	296,712	313,490	85,815	16,778
Reimbursements	\$723,690	\$736,495	\$12,805	\$768,945	\$774,884	\$38,389	\$5,939
HCBS Waiver	553,470	567,018	13,548	595,393	602,070	35,052	6,677
1915 (i) SPA	88,638	88,205	(433)	88,573	88,145	(60)	(428)
Title XX Social Services	59,351	59,014	(337)	59,351	59,014	0	(337)
Title XX TANF	10,329	10,317	(12)	10,329	10,317	0	(12)
ICF-DD	7,072	7,052	(20)	7,072	7,052	0	(20)
SDP Waiver	1,370	1,370	0	4,767	4,767	3,397	0
BHT - FFS	3,460	3,519	59	3,460	3,519	0	59
Federal Funds	\$265	\$265	\$0	\$265	\$203	(\$62)	(\$62)
Early Start Grant	265	265	0	265	203	(62)	(62)

In-Home Respite	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$557,884	\$604,415	\$46,531	\$609,249	\$691,796	\$87,381	\$82,547
GF	\$295,066	\$336,208	\$41,142	\$331,283	\$410,697	\$74,489	\$79,414
GF Match	213,824	219,570	5,746	228,971	232,418	12,848	3,447
GF Other	81,242	116,638	35,396	102,312	178,279	61,641	75,967
Reimbursements	\$262,739	\$268,128	\$5,389	\$277,887	\$280,976	\$12,848	\$3,089
HCBS Waiver	185,235	189,770	4,535	199,266	201,501	11,731	2,235
1915 (i) SPA	28,130	29,343	1,213	28,109	29,322	(21)	1,213
Title XX Social Services	21,643	22,823	1,180	21,643	22,823	0	1,180
Title XX TANF	27,273	25,734	(1,539)	27,273	25,734	0	(1,539)
SDP Waiver	458	458	0	1,596	1,596	1,138	0
Federal Funds	\$79	\$79	\$0	\$79	\$123	\$44	\$44
Early Start Grant	79	79	0	79	123	44	44

Purchase of Services Funding Detail

Out-of-Home Respite	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$51,318	\$51,571	\$253	\$53,521	\$53,659	\$2,088	\$138
GF	\$27,176	\$26,842	(\$334)	\$27,991	\$27,764	\$922	(\$227)
GF Match	18,989	19,575	586	20,377	20,751	1,176	374
GF Other	8,187	7,267	(920)	7,614	7,013	(254)	(601)
Reimbursements	\$24,070	\$24,657	\$587	\$25,458	\$25,835	\$1,178	\$377
HCBS Waiver	16,964	17,379	415	18,249	18,454	1,075	205
1915 (i) SPA	1,983	2,154	171	1,982	2,153	(1)	171
Title XX Social Services	672	616	(56)	672	616	0	(56)
Title XX TANF	4,409	4,466	57	4,409	4,466	0	57
SDP Waiver	42	42	0	146	146	104	0
Federal Funds	\$72	\$72	\$0	\$72	\$60	(\$12)	(\$12)
Early Start Grant	72	72	0	72	60	(12)	(12)

Health Care	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$149,477	\$160,341	\$10,864	\$164,179	\$184,043	\$23,702	\$19,864
GF	\$129,227	\$138,962	\$9,735	\$142,960	\$162,125	\$23,163	\$19,165
GF Match	16,219	16,337	118	17,187	17,159	822	(28)
GF Other	113,008	122,625	9,617	125,773	144,966	22,341	19,193
Reimbursements	\$18,114	\$19,243	\$1,129	\$19,083	\$20,064	\$821	\$981
HCBS Waiver	11,869	12,160	291	12,768	12,911	751	143
1915 (i) SPA	4,261	4,092	(169)	4,258	4,089	(3)	(169)
Title XX TANF	1,896	2,905	1,009	1,896	2,905	0	1,009
ICF-DD	59	57	(2)	59	57	0	(2)
SDP Waiver	29	29	0	102	102	73	0
Federal Funds	\$2,136	\$2,136	\$0	\$2,136	\$1,854	(\$282)	(\$282)
Early Start Grant	2,136	2,136	0	2,136	1,854	(282)	(282)

Purchase of Services Funding Detail

Miscellaneous	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$551,617	\$542,887	(\$8,730)	\$614,710	\$595,806	\$52,919	(\$18,904)
GF	\$438,041	\$429,820	(\$8,221)	\$497,155	\$478,115	\$48,295	(\$19,040)
GF Match	65,719	67,004	1,285	69,662	70,349	3,345	687
GF Other	372,322	362,816	(9,506)	427,493	407,766	44,950	(19,727)
Reimbursements	\$98,419	\$97,910	(\$509)	\$102,360	\$101,251	\$3,341	(\$1,109)
HCBS Waiver	48,290	49,472	1,182	51,948	52,530	3,058	582
1915 (i) SPA	17,063	17,153	90	17,050	17,140	(13)	90
Title XX Social Services	3,365	3,351	(14)	3,365	3,351	0	(14)
Title XX TANF	19,057	17,251	(1,806)	19,057	17,251	0	(1,806)
ICF-DD	248	261	13	248	261	0	13
BHT - FFS	10,276	10,302	26	10,276	10,302	0	26
SDP Waiver	120	120	0	416	416	296	0
Federal Funds	\$12,915	\$12,915	\$0	\$12,915	\$14,160	\$1,245	\$1,245
Early Start Grant	12,915	12,915	0	12,915	14,160	1,245	1,245
Program Development Fund	\$2,242	\$2,242	\$0	\$2,280	\$2,280	\$38	\$0

Quality Assurance Fees	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$9,750	\$9,612	(\$138)	\$9,750	\$9,612	\$0	(\$138)
Reimbursements	\$9,750	\$9,612	(\$138)	\$9,750	\$9,612	\$0	(\$138)
QAF Admin Fees	4,875	4,806	(69)	4,875	4,806	0	(69)
Transfer from DHCS	4,875	4,806	(69)	4,875	4,806	0	(69)

Purchase of Services Funding Detail

Total Purchase of Services Funding Detail	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$6,478,750	\$6,540,061	\$61,311	\$6,899,022	\$7,032,629	\$492,568	\$133,607
GF	\$3,876,763	\$3,884,452	\$7,689	\$4,140,170	\$4,242,344	\$357,892	\$102,174
GF Match	2,329,440	2,383,119	53,679	2,486,271	2,517,136	134,017	30,865
GF Other	1,547,323	1,501,333	(45,990)	1,653,899	1,725,208	223,875	71,309
Reimbursements	\$2,566,353	\$2,619,975	\$53,622	\$2,723,180	\$2,753,993	\$134,018	\$30,813
HCBS Waiver	1,918,093	1,965,043	46,950	2,063,379	2,086,520	121,477	23,141
1915 (i) SPA	315,028	323,983	8,955	314,795	323,759	(224)	8,964
Title XX Social Services	136,264	136,264	0	136,264	136,264	0	0
Title XX TANF	77,157	77,157	0	77,157	77,157	0	0
ICF-DD	60,196	59,345	(851)	60,196	59,345	0	(851)
EPSDT	31,382	30,003	(1,379)	31,381	30,993	990	(388)
QAF Admin Fees	4,875	4,806	(69)	4,875	4,806	0	(69)
Transfer from DHCS	4,875	4,806	(69)	4,875	4,806	0	(69)
BHT - FFS	13,736	13,821	85	13,736	13,821	0	85
SDP Waiver	4,747	4,747	0	16,522	16,522	11,775	0
Federal Funds	\$33,392	\$33,392	\$0	\$33,392	\$34,012	\$620	\$620
Early Start Grant	33,392	33,392	0	33,392	34,012	620	620
Program Development Fund	\$2,242	\$2,242	\$0	\$2,280	\$2,280	\$38	\$0

* numbers may be adjusted slightly due to rounding

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SECTION H: COMMUNITY PLACEMENT PLAN

Community Placement Plan	H-1
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Community Placement Plan

DESCRIPTION:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) that are ineligible for federal financial participation (FFP), and out-of-state placements. In addition to CPP, the Community Resource Development Plan (CRDP) allows for development in the community to support the expansion of resources for those already residing in the community.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Establish resources in the community for individuals transitioning from another environment.
- Facilitate transitions to the community from a developmental center, IMD, or out-of-state placement, as well as to deflect the admission of individuals to acute crisis units, IMD, or out of state. Assess needs of the individuals, through comprehensive assessments.
- Work with the regional centers, regional projects and other team members in transitional activities.
- Stabilize current community living arrangements.

CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP and CRDP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for operating expenses and equipment.

Purchase of Services

- **Start-Up:** Start-Up funding for the development of residential and non-residential services in the community for individuals.
- **Assessment:** Assessment funding for comprehensive assessments for individuals who are living in developmental centers and planning for services when individuals move from the developmental center.
- **Placement:** Placement funds to cover costs of consumers moving into community settings based on consumer-specific information.

Community Placement Plan

ASSUMPTIONS:

Department of Health Care Services approved rates used for:

- Intermediate Care Facilities/Developmentally Disabled – Nursing Facilities
- Intermediate Care Facilities/Developmentally Disabled – Habilitation Facilities

FUNDING:

CPP expenditures are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
<u>Operations</u>		
<i>Regular</i>	15,265	15,265
Total Regional Center Operations	15,265	15,265
Regular Regional Center POS		
<i>Community Care Facilities</i>	26,044	17,477
<i>Medical Facilities</i>	229	154
<i>Day Programs</i>	229	154
<i>Work Activity Program</i>	0	0
<i>Transportation</i>	101	68
<i>Support Services</i>	3,270	2,194
<i>In-Home Respite</i>	67	45
<i>Out-of-Home Respite</i>	24	16
<i>Health Care</i>	3,081	2,068
<i>Miscellaneous Services</i>	30,633	30,413
Total Regular Regional Center POS	63,678	52,589
TOTAL	\$78,943	\$67,854
GF	\$70,350	\$61,562
GF Match	8,593	6,292
GF Other	61,757	55,270
Reimbursements	\$8,593	\$6,292
<i>HCBS Waiver</i>	8,593	6,292

**COMMUNITY PLACEMENT PLAN
OPERATIONS AND PURCHASE OF SERVICES
2019-20 and 2020-21
Regular CPP**

	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
I. OPERATIONS	\$15,265	\$15,265	\$0	\$15,265	\$15,265	\$0	\$0
II. PURCHASE OF SERVICES (POS)							
A. Start-Up	\$27,265	\$27,265	\$0	\$27,265	\$27,265	\$0	\$0
B. Assessment	\$2,700	\$2,700	\$0	\$2,700	\$2,700	\$0	\$0
C. Placement	\$33,713	\$33,713	\$0	\$22,624	\$22,624	-\$11,089	\$0
D. SUBTOTAL POS	\$63,678	\$63,678	\$0	\$52,589	\$52,589	-\$11,089	\$0
III. TOTAL CPP	\$78,943	\$78,943	\$0	\$67,854	\$67,854	-\$11,089	\$0
IV. FUND SOURCES							
A. TOTAL CPP	\$78,943	\$78,943	\$0	\$67,854	\$67,854	-\$11,089	\$0
B. GF	\$68,284	\$70,350	\$2,066	\$63,058	\$61,562	-\$8,788	-\$1,496
C. Reimbursements	\$10,659	\$8,593	-\$2,066	\$4,796	\$6,292	-\$2,301	\$1,496

Community Placement Plan
Purchase of Services
Summary of Costs
2019-20

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transpor- tation	Support Services	In-Home Respite	Out- of- Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,700	\$2,700
C. Placement	\$26,044	\$229	\$1,082	\$229	\$0	\$101	\$2,188	\$67	\$24	\$3,081	\$668	\$33,713
D. 2020 May Revision 2019-20 TOTAL	\$26,044	\$229	\$1,082	\$229	\$0	\$101	\$2,188	\$67	\$24	\$3,081	\$30,633	\$63,678
E. 2020-21 Governor's Budget 2019-20 TOTAL	\$27,298	\$185	\$1,231	\$229	\$0	\$111	\$2,040	\$20	\$20	\$2,067	\$30,477	\$63,678
F. DIFFERENCE	-\$1,254	\$44	-\$149	\$0	\$0	-\$10	\$148	\$47	\$4	\$1,014	\$156	\$0

a/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Summary of Costs
2020-21

CPP Activity	Community Care Facilities	Medical Facilities	Supported Living	Day Programs	Work Activity Program	Transpor- tation	Support Services	In-Home Respite	Out- of- Home Respite	Health Care	Misc.	Total
A. Start-Up	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,265	\$27,265
B. Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,700	\$2,700
C. Placement	\$17,477	\$154	\$726	\$154	\$0	\$68	\$1,468	\$45	\$16	\$2,068	\$448	\$22,624
D. 2020 May Revision 2020-21 TOTAL	\$17,477	\$154	\$726	\$154	\$0	\$68	\$1,468	\$45	\$16	\$2,068	\$30,413	\$52,589
E. 2020-21 Governor's Budget 2019-20 TOTAL	\$18,319	\$124	\$826	\$154	\$0	\$75	\$1,369	\$14	\$14	\$1,387	\$30,307	\$52,589
F. DIFFERENCE	-\$842	\$30	-\$100	\$0	\$0	-\$7	\$99	\$31	\$2	\$681	\$106	\$0

a/ Supported Living and Support Services expenses equal the Total for the Support Services budget category.

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2019-20

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
Total CPP														
● Community Care Facilities (CCF) ^{1/}	\$26,044	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27	\$26,071
● Intermediate Care Facilities (ICF)	\$0	\$229	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$229
● Supported Living	\$0	\$0	\$1,082	\$229	\$0	\$0	\$0	\$101	\$0	\$0	\$24	\$3,081	\$641	\$5,158
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,188	\$67	\$0	\$0	\$0	\$2,255
TOTAL	\$26,044	\$229	\$1,082	\$229	\$0	\$0	\$0	\$101	\$2,188	\$67	\$24	\$3,081	\$668	\$33,713

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Community Placement Plan
Purchase of Services
Cost and Service Utilization Assumptions for Placements
2020-21

Living Arrangement	Living Arrangement			Day Programs	Work Activity Program	Supported Employment Program		Transportation	Support Services	In-Home Respite	Out-of-Home Respite	Health Care	Misc.	Total
	CCF	Intermediate Care Facilities	Supported Living			Group	Individual							
<u>Total CPP</u>														
● Community Care Facilities (CCF) ^{1/}	\$17,477	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$18	\$17,495
● Intermediate Care Facilities (ICF)	\$0	\$154	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$154
● Supported Living	\$0	\$0	\$726	\$154	\$0	\$0	\$0	\$68	\$0	\$0	\$16	\$2,068	\$430	\$3,462
● Other (Own Home, etc.)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,468	\$45	\$0	\$0	\$0	\$1,513
TOTAL	\$17,477	\$154	\$726	\$154	\$0	\$0	\$0	\$68	\$1,468	\$45	\$16	\$2,068	\$448	\$22,624

1/ CCF's include Residential Facilities, Specialized Residential Facilities, and Adult Residential Facilities for Persons with Special Health Care Needs

Values in thousands (000's)

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General Fund

DESCRIPTION:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

ASSUMPTIONS/METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>2019-20</u>	<u>2020-21</u>
• GF Match		\$2,862,020	\$2,945,180
This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Service (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally-recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:			
	FMAP %		
Home and Community-Based Services (HCBS) Waiver	50.00%	2,155,293	2,235,448
HCBS Waiver Administration	50.00%	16,845	17,489
Medicaid Administration	25.00%	4,851	4,851
Targeted Case Management (TCM)	50.00%	222,455	217,768
TCM Administration	50.00%	8,137	8,137
Intermediate Care Facility/Developmentally Disabled	50.00%	68,431	55,246
Quality Assurance Fees (Operations) Policy only*	50.00%	890	890
1915 (i) State Plan Amendment	50.00%	350,369	359,601
Early Periodic Screening Diagnosis and Treatment	50.00%	30,003	29,244
Self-Determination Program Waiver	50.00%	4,746	16,522
Behavior Health Treatment Fee-for Service	50.00%	0	-16
• GF Other:		\$1,797,092	\$2,111,391
These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, or Federal Funds.			
• TOTAL EXPENDITURES		\$4,659,112	\$5,056,571

General Fund

FUNDING:

These amounts are reflected in the Operations and Purchase of Services methodology pages

	<u>2019-20</u>	<u>2020-21</u>
TOTAL GF	\$4,659,112	\$5,056,571
GF Match	2,862,020	2,945,180
GF Other	1,797,092	2,111,391

* For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget.

Reimbursements
Summary of Reimbursements and General Fund (GF) Match

REIMBURSEMENTS	Governor's Budget 2019-20	Updated 2019-20	Governor's Budget 2020-21	Updated 2020-21
A. Home and Community-Based Services (HCBS) Waiver	\$4,099,304	\$4,444,766	\$4,748,696	\$4,811,453
1. Reimbursement (from DHCS) = 50%	2,049,652	2,289,473	2,374,348	2,576,005
2. DDS GF Match = 50%	2,049,652	2,155,293	2,374,348	2,235,448
(Purchase of Services)				
B. HCBS Waiver Administration	\$36,188	\$33,691	\$36,922	\$34,978
1. Reimbursement (from DHCS) = 50%	18,094	16,846	18,461	17,489
2. DDS GF Match = 50%	18,094	16,845	18,461	17,489
(Operations)				
C. Medicaid Administration	\$23,537	\$19,404	\$23,537	\$19,404
1. Reimbursement (from DHCS) = 75%	17,653	14,553	17,653	14,553
2. DDS GF Match = 25%	5,884	4,851	5,884	4,851
(Operations)				
D. Targeted Case Management (TCM)	\$441,649	\$458,242	\$468,252	\$462,884
1. Reimbursement (from DHCS) = 50%	220,825	235,787	234,126	245,116
2. DDS GF Match = 50%	220,824	222,455	234,126	217,768
(Operations)				
E. Targeted Case Management Administration	\$16,602	\$16,274	\$16,602	\$16,274
1. Reimbursement (from DHCS) = 50%	8,301	8,137	8,301	8,137
2. DDS GF Match = 50%	8,301	8,137	8,301	8,137
(Operations)				
F. Title XX Block Grant	\$213,421	\$213,421	\$213,421	\$213,421
1a. Social Services (from DSS) = 100%	136,264	136,264	136,264	136,264
1b. Temporary Assistance for Needy Families (TANF) (from DSS) = 100%	77,157	77,157	77,157	77,157
(Purchase of Services)				
G. Intermediate Care Facility-Developmentally Disabled (ICF-DD)	\$120,391	\$139,542	\$120,391	\$117,481
1. Reimbursement (from DHCS) = 50%	60,196	71,111	60,196	62,235
2. DDS GF Match = 50%	60,195	68,431	60,195	55,246
(Purchase of Services)				
H. Quality Assurance Admin Fees (Transfer from DHCS) ^{b/}	\$11,556	\$11,392	\$11,556	\$11,392
1a. Operations:	1,806	1,780	1,806	1,780
Reimbursements (from DHCS) = 50%	903	890	903	890
DDS GF Match = 50%	903	890	903	890
1b. Purchase of Services:	9,750	9,612	9,750	9,612
Reimbursements (from DHCS) = 100%	9,750	9,612	9,750	9,612
I. 1915(i) State Plan Amendment	\$683,581	\$725,715	\$762,893	\$765,449
1. Reimbursement (from DHCS) = 50%	341,791	375,346	381,447	405,848
2. DDS GF Match = 50%	341,790	350,369	381,446	359,601
(Purchase of Services)				
J. Impacts from Other Departments BHT-FFS	\$13,736	\$13,821	\$13,736	\$13,806
1. Reimbursement (from DHCS) = 100%	13,736	13,821	13,736	13,806
2. DDS GF Match - Medicaid Claims Adjustment POS	0	0	0	-16
(Purchase of Services)				
K. Early Periodic Screening Diagnosis and Treatment	\$62,763	\$61,866	\$62,762	\$62,139
1. Reimbursement (from DHCS) = 50%	31,382	31,863	31,381	32,895
2. DDS GF Match = 50%	31,381	30,003	31,381	29,244
(Purchase of Services)				
L. Self-Determination Program Waiver	\$9,493	\$9,493	\$33,044	\$33,044
1. Reimbursement (from DHCS) = 50%	4,747	4,747	16,522	16,522
2. DDS GF Match = 50%	4,746	4,746	16,522	16,522
(Purchase of Services)				
M. CalFresh	\$1,050	\$1,050	\$0	\$0
1. Reimbursement (from DSS) = 100%	1,050	1,050	0	0
(Operations)				
N. TOTAL	\$5,733,271	\$6,148,677	\$6,511,812	\$6,561,709
1. Reimbursements	\$2,991,501	\$3,286,657	\$3,380,245	\$3,616,529
2. Total GF Match	\$2,741,770	\$2,862,020	\$3,131,567	\$2,945,180

Values in thousands (000's)

Home and Community-Based Waiver

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for the developmentally disabled.

ASSUMPTIONS:

Data Source: Medicaid Waiver Total Billed Dollar Amounts and Client Counts report, based on Calendar Year 2019.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Base: 2019	\$3,890,709	\$4,127,059
Total estimated expenditures based on billing data for January 2019 – December 2019	3,890,709	
• Total estimated prior-year HCBS Waiver expenditures		4,146,652
• Less Competitive, Integrated Employment Incentives		-15,840
• Less Compliance with HCBS Regulations		-7,440
• Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facility.		3,687
• Annual Growth, New regional center consumers:	26,308	40,511
• 2019-20 Annual Growth	17,082	
Add annual growth costs in 2019-20 for 2,258 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,600 monthly cost per consumer, phased-in.		
• 2019-20 Annual Growth Continuation costs for regional center consumers added in 2018-19.	9,226	
• 2020-21 Annual Growth		24,139
Add annual growth costs in 2020-21 for 2,318 consumers who will be new to the Regional Center (RC) system and added to the HCBS Waiver with \$1,600 monthly cost per consumer, phased-in.		
• 2020-21 Annual Growth, Continuation costs for regional center consumers added in 2019-20.		16,372

Home and Community-Based Waiver

METHODOLOGY (continued):

	<u>2019-20</u>	<u>2020-21</u>
• Community Placement Program (CPP):		
• 2019-20 Add CPP & HCBS Waiver costs in 2019-20 for 138 consumers in the regional center system with \$26,000 monthly cost per consumer, phased-in.	17,186	
• 2020-21 Add CPP & HCBS Waiver costs in 2020-21 for 78 consumers in the regional center system with \$26,000 monthly cost per consumer, phased-in.		12,584
• Placement Continuation:		
• 2019-20 The annual estimated Placement Continuation costs for 205 HCBS Waiver-eligible consumers in each living arrangement with \$26,000 monthly cost per consumer, phased-in.	5,798	
• 2020-21 The annual estimated Placement Continuation costs for 138 HCBS Waiver-eligible consumers in each living arrangement with \$26,000 monthly costs per consumer, phased-in.		17,758
• Transitions to Self-Determination Program (SDP) Waiver:		
• 2019-20 Costs reflect monthly decreases in Waiver expenditures as a result of 544 consumers leaving HCBS Waiver and enrolling on SDP Waiver in 2019-20.	-6,228	
• 2020-21 Costs reflect monthly decreases in Waiver expenditures as a result of 888 consumers leaving HCBS Waiver and enrolling on SDP Waiver in 2020-21.		-13,206

Home and Community-Based Waiver

METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
• Continuation Costs for Transitions to SDP Waiver:		
• 2020-21		-8,720
2019-20 consumers who transitioned to SDP Waiver.		
Decreases in Waiver expenditures are a result of those		
individuals no longer being on the Waiver (544 total in		
2019-20).		
• SSI/SSP Increase/Regional center savings in Community Care Facilities	-3,687	-2,946
• ABX2 1 Competitive, Integrated Employment Incentives	15,840	15,840
• Uniform Holiday Schedule	29,332	30,810
• Provider Supplemental Rate Increases POS	142,888	298,350
• Compliance with HCBS Regulations	7,440	7,440
• SB3 – Minimum Wage Increase, January 1, 2020	67,716	145,022
• SB3 – Minimum Wage Increase, January 1, 2021	0	89,600
• START Training	0	3,474
• Increased Costs for COVID 19 POS	117,284	144,396
• Enhanced Federal Funding POS	134,180	286,556
• Savings Proposal POS	0	-330,034
• Medicaid Claiming Adjustment POS	0	-53,040

FUNDING:

The expenditures of HCBS Waiver reimbursements are in all of the Purchase of Services budget categories, except Medical Facilities.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$4,444,766	\$4,811,453
GF Match	2,155,293	2,235,448
Reimbursement	2,289,473	2,576,005

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

EXPENDITURES:

	% of Expenditures by <u>Budget Category</u>	<u>2019-20</u>	<u>2020-21</u>
Total Estimated HCBS Waiver Billable Expenditures		\$4,444,766	\$4,811,453
General Fund (GF) Match		2,155,293	2,235,448
Federal Financial Participation (FFP)		2,289,473	2,576,005
Purchase of Services: Budget Categories			
Community Care Facilities		1,323,272	1,405,076
GF Match	33.67%	661,636	702,538
FFP		661,636	702,538
Day Programs		629,820	668,754
GF Match	16.03%	314,910	334,377
FFP		314,910	334,377
Work Activity Program		23,969	25,450
GF Match	0.61%	11,985	12,725
FFP		11,984	12,725
Supported Employment Placement (SEP) - Group		29,939	31,790
GF Match	0.76%	14,970	15,895
FFP		14,969	15,895
SEP - Individual		7,722	8,199
GF Match	0.20%	3,861	4,099
FFP		3,861	4,100
Transportation		243,768	258,838
GF Match	6.20%	121,884	129,419
FFP		121,884	129,419
Support Services		1,134,036	1,204,140
GF Match	28.86%	567,018	602,070
FFP		567,018	602,070
In-Home Respite		379,539	403,002
GF Match	9.66%	189,769	201,501
FFP		189,770	201,501

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):

	% of Expenditures by <u>Budget Category</u>	<u>2019-20</u>	<u>2020-21</u>
Out-of-Home Respite		\$34,758	\$36,907
GF Match	0.88%	17,379	18,453
FFP		17,379	18,454
Health Care		24,319	25,823
GF Match	0.62%	12,159	12,912
FFP		12,160	12,911
Miscellaneous		98,944	105,061
GF Match	2.52%	49,472	52,531
FFP		49,472	52,530
Policies			
Provider Supplemental Rate Increases POS		142,888	298,350
GF Match		71,444	149,175
FFP		71,444	149,175
ABX2 1 – CIE Incentives		15,840	15,840
GF Match		7,920	7,920
FFP		7,920	7,920
Compliance with HCBS Regulations		7,440	7,440
GF Match		3,720	3,720
FFP		3,720	3,720
Uniform Holiday Schedule		29,332	30,810
GF Match		14,666	15,405
FFP		14,666	15,405
SB3 Minimum Wage, January 1, 2020		67,716	145,022
GF Match		33,858	72,511
FFP		33,858	72,511
SB3 Minimum Wage, January 1, 2021		0	89,600
GF Match		0	44,800
FFP		0	44,800

Home and Community-Based Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):

	% of Expenditures by <u>Budget Category</u>	<u>2019-20</u>	<u>2020-21</u>
START Training		0	3,474
GF Match		0	1,737
FFP		0	1,737
Increased Costs for COVID 19 POS		117,284	144,396
GF Match		58,642	72,198
FFP		58,642	72,198
Enhanced Federal Funding POS		134,180	286,556
GF Match		0	0
FFP		134,180	286,556
Savings Proposal POS		0	-330,034
GF Match		0	-192,017
FFP		0	-138,017
Medicaid Claiming Adjustment POS		0	-53,040
GF Match		0	-26,520
FFP		0	-26,520

Home and Community-Based Waiver Administration

DESCRIPTION:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for the developmentally disabled. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

ASSUMPTIONS/METHODOLOGY:

Training for Direct Support Professional Staff:

Welfare and Institutions Code Sections 4695.2, Statutes of 1998, mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program is conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). Estimate of the Operations costs covers the challenge tests and training through the IA with CDE.

	<u>2019-20</u>	<u>2020-21</u>
Training for DSP Staff	\$2,811	\$3,151
<ul style="list-style-type: none"> Total cost for DSP Training is \$3,212 in 2019-20 and \$3,600 in 2020-21 88% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 88% of the cost are eligible for federal financial participation (FFP). These costs are reflected under Operations, Projects. 		

Collection of FFP for Contracted Services:

Regional center staff contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	738	738
<ul style="list-style-type: none"> Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893. 39% of the costs are eligible for FFP. These costs are reflected under Operations, Staffing. 		

Home and Community-Based Waiver Administration

ASSUMPTIONS/METHODOLOGY (continued):

Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

	<u>2019-20</u>	<u>2020-21</u>
FFP Enhancement (related to 2003-04 Enhancing FFP Budget Change Proposal)	\$9,318	\$9,318

- Total regional center administrative cost for 2019-20 and 2020-21 is \$9,318.
- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

Compliance with HCBS Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:	1,422	1,422
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- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in 2019-20 and 2020-21.
 - 100% of costs are eligible for FFP.
- These costs are reflected under Operations, Operations Policies Items.

Federal Medicaid Requirements for Regional Center HCBS Services	\$984	\$984
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- Total cost: \$984
 - 100% of costs are eligible for FFP.
- These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.

Home and Community-Based Waiver Administration

ASSUMPTIONS/METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
Office of Administrative Hearings:	1,586	1,665
<ul style="list-style-type: none"> Total cost for Resources for Health Care Community Specialist in 2019-20 is \$3,525 and \$3,700 in 2020-21. 45% of costs are eligible for FFP. These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects. 		
Clients Rights Advocacy:	3,367	3,543
<ul style="list-style-type: none"> Total cost for 2019-20 is \$7,483 and \$7,873 for 2020-21. 45% of costs are eligible for FFP. These costs are reflected in the Client Rights Advocacy estimate under Operations, Projects. 		
Quality Assessment Contract:	2,025	2,025
<ul style="list-style-type: none"> Total cost for 2019-20 is \$4,500 and \$4,500 for 2020-21. 45% of costs are eligible for FFP. These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects. 		
Special Incident Reporting/Risk Assessment:	468	468
<ul style="list-style-type: none"> Total cost is \$1,200 for 2019-20 and 2020-21. 39% of costs are eligible for FFP. These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects. 		
Regional Center Salary Increase:	722	722
<ul style="list-style-type: none"> Total cost for 2019-20 and 2020-21 is \$722. 100% of costs are eligible for FFP. These costs are reflected under Operations, Operations Policies Items. 		
Resources to Implement Assembly Bill X2 1	\$2,664	\$2,664
<ul style="list-style-type: none"> Total cost for 2019-20 and 2020-21 is \$2,664. 100% of costs are eligible for FFP. These costs are reflected under Operations, Operations Policies Items. 		

Home and Community-Based Waiver Administration

ASSUMPTIONS/METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
Specialized Home Monitoring:	3,686	4,378
<ul style="list-style-type: none"> Total costs for 2019-20 is \$3,686 and 2020-21 is \$4,378. 100% of costs are eligible for FFP These costs are reflected under Operations, Operations Policies Items. 		
Oversight and Accountability	3,900	3,900
<ul style="list-style-type: none"> Total costs for 2019-20 is \$3,900 and 2020-21 is \$3,900. These costs are reflected under Operations, Operations Policies Items. 		
• TOTAL EXPENDITURES	\$33,691	\$34,978

FUNDING:

Funding for HCBS Waiver Administration reimbursement is 50% FFP and 50% General Fund (GF).

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$33,691	\$34,978
GF Match	16,845	17,489
Reimbursement	16,846	17,489

Self-Determination Program Waiver

DESCRIPTION:

On December 31, 2014, the Department submitted an HCBS Waiver application to Centers for Medicare and Medicaid Services (CMS) seeking federal funding for the Self-Determination Program (SDP). Under the authority of Senate Bill (SB) 468 (Chapter 683, Statutes of 2013) and upon CMS approval, the Department is implementing the SDP, allowing regional center consumers and their families more freedom, control and responsibility in choosing services and supports to help them meet objectives in their individual program plans. Under the provisions of SB 468, participation was limited to 2,500 individuals for the first three years of implementation. The SDP waiver was approved by the CMS on June 7, 2018. The initial 2,500 participants were selected October 1, 2018. After June 7, 2021, the program will be available to all eligible consumers. Participants can only purchase services and supports that are approved by the federal government and listed in the SDP waiver.

ASSUMPTIONS

Data Source: Self-Determination Pilot Program total billed dollar amounts and client counts for 2018-19. Funding was distributed to each budget category based on the % of HCBS waiver expenditures by budget category. See the HCBS Waiver methodology page in Fund Sources for actual percentages.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
• <i>Community Care Facilities</i>	\$3,196	\$11,125
• <i>Day Programs</i>	1,521	5,295
• <i>Work Activity Program</i>	58	202
• <i>Supported Employment Placement (SEP) / Group</i>	72	252
• <i>SEP / Individual</i>	19	65
• <i>Transportation</i>	589	2,051
• <i>Support Services</i>	2,739	9,535
• <i>In-Home Respite</i>	917	3,191
• <i>Out-of-Home Respite</i>	84	292
• <i>Health Care</i>	59	204
• <i>Miscellaneous</i>	239	832
• Total Expenditures:	\$9,493	\$33,044

Self-Determination Program Waiver

FUNDING:

The expenditures of Self-Determination Program Waiver reimbursements are in all the Purchase of Services budget categories, except Medical Facilities.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$9,493	\$33,044
GF Match	4,746	16,522
Reimbursement	4,747	16,522

Medicaid Administration

DESCRIPTION:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statute of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from

the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations).

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Developmental Center Closure/Ongoing Workload

Funding includes salaries, benefits, and operating expenses and equipment for the regional center positions associated with the closure of the developmental centers and the transition of individuals to community living arrangements. Regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews. These positions include quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

Medicaid Administration

ASSUMPTIONS/METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
• Costs for Clinical Support Teams and SB 1038 Health Reviews are based on 2017-18 data collected from the regional centers.	\$11,998	\$11,998
• The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75%.	8,999	8,999
• Staffing for Compliance with HCBS Waiver Requirements		
• Total personal services and operating costs related to MA. The costs are 100% eligible for MA because related staff will be working only with HCBS Waiver consumers.	2,600	2,600
• The FFP portion of total MA-eligible costs is 75%.	1,950	1,950
• Regional Center Salary Increase:		
• Increased funding for regional center staff and Operations.	1,510	1,510
• It is assumed that 100% of costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	1,132	1,132
• DC Closure Ongoing Workload:		
• Total personal services, operating and placement continuation costs related to MA.	3,296	3,296
• It is assumed that 100% of costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75%.	2,472	2,472

FUNDING:

The MA reimbursement is 75% FFP and 25% General Fund (GF). These MA funds are reflected in Operations section, as detailed in section F, Operations.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$19,404	\$19,404
GF Match	4,851	4,851
Reimbursement	14,553	14,553

Targeted Case Management

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 229,000 Medi-Cal eligible persons in the regional center system as of February 6, 2020. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This program provides federal financial participation (FFP) for most of regional center case managers time spent on Medi-Cal eligible activities.

ASSUMPTIONS:

Source data is from the TCM Regional Center Billed Units report dated February 6, 2020 for the period of December 2018 - November 2019.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Base: TCM expenditures are based on actual TCM billable units for a 12-month period (December 2018 – November 2019) multiplied by the regional center TCM rates effective July 1, 2019. 	\$402,949	\$419,425
<ul style="list-style-type: none"> Improve Service Coordinator Caseload Ratios 	8,000	8,000
<ul style="list-style-type: none"> Regional Center Salary Increases 	26,014	26,014
<ul style="list-style-type: none"> Psychological Evaluations for BHT – Fee for Service 	758	758
<ul style="list-style-type: none"> Specialized Caseload Ratios 	2,400	2,400
<ul style="list-style-type: none"> Trauma Informed Services for Foster Youth 	1,000	1,000
<ul style="list-style-type: none"> Family Home Agency Oversight 	1,038	1,038
<ul style="list-style-type: none"> Enhanced Caseload Ratios for Young Consumers 	0	11,114
<ul style="list-style-type: none"> Performance Incentive Program 	0	36,000
<ul style="list-style-type: none"> Self-Determination Program OPS 	0	2,444
<ul style="list-style-type: none"> Increased Costs for COVID 19 OPS 	2,750	0
<ul style="list-style-type: none"> Enhanced Federal Funding OPS 	13,333	27,347
<ul style="list-style-type: none"> Savings Proposal OPS 	0	-67,114
<ul style="list-style-type: none"> Medicaid Claiming Adjustment OPS 	0	-5,542
Total Expenditures	\$458,242	\$462,884

Targeted Case Management

FUNDING:

Funding for TCM reimbursement is 50% FFP and 50% General Fund (GF). TCM funds are reflected in the Core Staffing, Federal Compliance, and Operations Policy Items estimates, in the Operations section.

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$458,242	\$462,884
GF Match	222,455	217,768
Reimbursement	235,787	245,116

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services for specific client groups. There are approximately 229,000 Medi-Cal eligible persons in the regional center system as of February 6, 2020. Federal legislation enacted in 1986 defined case management services as those which "...will assist individuals...in gaining access to needed medical, social, educational, and other services." This means that the cost for most of the regional center case manager's time spent on Medi-Cal eligible developmentally disabled persons and the cost of providing administrative support to the case management program are both eligible for federal financial participation (FFP). These TCM Administration funds are for the proper and efficient administration of the TCM program.

ASSUMPTIONS:

Source of Data: The Departments Fiscal Systems - California State Accounting and Reporting System.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Utilizing a time survey, the Department gathers records of time spent by the Department's headquarter personnel providing administrative case management assistance to the regional centers. These surveys are used to allocate headquarters salaries, wages, and benefits, as well as a portion of the Department's statewide cost allocation, to administrative case management support.	\$16,274	\$16,274

FUNDING:

Funding for the TCM Administration reimbursement is 50% FFP and 50% General Fund (GF). TCM Administration funds are reflected in the Core Staffing estimate under Operations.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	16,274	16,274
GF Match	8,137	8,137
Reimbursement	8,137	8,137

Title XX Block Grant

DESCRIPTION:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200% of the income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

ASSUMPTIONS:

The Department's portion of the Title XX Block Grant is determined by DSS. There are no state matching requirements for these funds.

	<u>2019-20</u>	<u>2020-21</u>
Total	\$213,421	\$213,421
Social Services	136,264	136,264
TANF	77,157	77,157

FUNDING:

The Title XX Block Grant amount is determined by the DSS.

Title XX Block Grant

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Total Title XX Block Grant – Social Services	\$213,421	\$213,421
Estimated Distribution in Regional Center Purchases of Services	\$136,264	\$136,264
<i>Day Programs</i>	42,823	42,823
<i>Transportation</i>	7,637	7,637
<i>Support Services</i>	59,014	59,014
<i>In-Home Respite</i>	22,823	22,823
<i>Out-of-Home Respite</i>	616	616
<i>Miscellaneous</i>	3,351	3,351
TANF		
Estimated Distribution in Regional Center Purchases of Services	\$77,157	\$77,157
<i>Community Care Facilities</i>	15,724	15,724
<i>Day Programs</i>	73	73
<i>Habilitation Services</i>	2	2
<i>Transportation</i>	685	685
<i>Support Services</i>	10,317	10,317
<i>In-Home Respite</i>	25,734	25,734
<i>Out-of-Home Respite</i>	4,466	4,466
<i>Healthcare</i>	2,905	2,905
<i>Miscellaneous</i>	17,251	17,251

Intermediate Care Facility – Developmentally Disabled State Plan Amendment

DESCRIPTION:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Program and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011.

IMPLEMENTATION DATE:

The SPA was approved April 14, 2011, retroactive to July 1, 2007.

ASSUMPTIONS/METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from 2019.

FUNDING:

Funding for ICF-DDs is 50% FFP and 50% General Fund (GF) for Day Treatment and Transportation Services for consumers residing in an ICF-DD. It is assumed that 60% of this funding is for Day Programs, 27% is for Transportation services, 12% is for Support Services, and the remaining 1% is for Habilitation Services, Miscellaneous, and Health Care. Please refer to the corresponding Purchase of Service Methodology for additional information.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$139,542	\$117,481
GF Match	68,431	55,246
Reimbursement	71,111	62,235

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility Developmentally Disabled Vendor Costs

DESCRIPTION:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional centers.

ASSUMPTIONS/METHODOLOGY:

- Source of Data: State Claims Purchase of Services (POS) Claims data file, actuals based on 2019.
- ICF-DD Administrative Costs and QAF are set by DHCS.
- Regional center administration costs are 1.5% of the costs of Day Program and Transportation expenditures.
- ICF-DD administration costs are 1.5% of the costs of Day Program and Transportation expenditures for both the regional center and ICF-DD.
- POS costs for Day Program and Transportation total \$118.7 million. QAF is \$9.6 million.
- 2019-20 and 2020-21: Total billing costs are \$1.8 million for regional center administration, \$1.8 million for ICF-DD administration, and \$7.8 million QAF.

Expenditures	<u>2019-20</u>	<u>2020-21</u>
Total	\$11,392	\$11,392
Operations	1,780	1,780
<i>GF Match</i>	890	890
Reimbursement	890	890
POS	9,612	9,612
<i>Reimbursement*</i>	9,612	9,612

*\$4.8 million from DHCS Interagency Agreement

Quality Assurance Fees Regional Center Costs and Intermediate Care Facility Developmentally Disabled Vendor Costs

FUNDING:

Funding for regional center Administration fees is 50% FFP and 50% GF and are reflected in ICF-DD Administration Fee in Operations. QAF is 100% FFP with the GF Match in DHCS' Budget, and are reflected in Quality Assurance Fees - POS.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$11,392	\$11,392
<i>GF Match</i>	<i>890</i>	<i>890</i>
<i>Reimbursement</i>	<i>10,502</i>	<i>10,502</i>

1915 (i) State Plan Amendment

DESCRIPTION:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915 (i) State Plan Amendment (SPA) to Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915 (i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

ASSUMPTIONS

Expenditure Data Source: Updated Purchase of Services (POS) billed expenditures for 2019.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> Base: Updated actual 2019 expenditures were used to develop the 2019-20 base. For 2020-21, the prior year estimate for base and growth, with the following adjustments, was used as the base. 	\$725,715	\$765,449
<ul style="list-style-type: none"> Updated Costs without Community Placement Plan 	647,966	647,518
<ul style="list-style-type: none"> ABX2 1 – Competitive Integrated Employment (CIE) Incentives 	2,160	2,160
<ul style="list-style-type: none"> Uniform Holiday 	9,140	9,588
<ul style="list-style-type: none"> Compliance with Home and Community-Based Services (HCBS) Regulations 	560	560
<ul style="list-style-type: none"> Provider Supplemental Rate Increases Purchase of Services (POS) 	20,412	42,620
<ul style="list-style-type: none"> POS SB3 Minimum Wage, January 1, 2020 	20,500	43,836
<ul style="list-style-type: none"> POS SB3 Minimum Wage, January 1, 2021 	0	27,656
<ul style="list-style-type: none"> START Training 	0	496
<ul style="list-style-type: none"> Enhanced Federal Funding POS 	24,977	46,247
<ul style="list-style-type: none"> Increased Costs for COVID 19 POS 	0	22,260
<ul style="list-style-type: none"> Savings Proposal POS 	0	-59,644
<ul style="list-style-type: none"> Medicaid Claiming Adjustment POS 	0	-17,848
<ul style="list-style-type: none"> Total Expenditures: 	\$725,715	\$765,449

1915 (i) State Plan Amendment

FUNDING:

The 1915(i) SPA funds a broad array of POS costs for eligible individuals in all the POS budget categories except for Medical Facilities. 1915(i) SPA expenditures are funded by federal financial participation (FFP) determined by the Federal Medical Assistance Percentage of 50% and 50% General Fund (GF).

EXPENDITURES:

		<u>2019-20</u>	<u>2020-21</u>
	TOTAL	\$725,715	\$765,449
	GF Match	350,369	359,601
	Reimbursement	375,346	405,848
<u>% of Expenditures by Budget Category</u>			
Community Care Facilities	24.47%	158,545	158,436
GF Match		79,272	79,218
FFP		79,273	79,218
Day Programs	20.98%	135,935	135,842
GF Match		67,968	67,921
FFP		66,967	67,921
Habilitation	5.60%	36,316	36,291
GF Match		18,158	18,146
FFP		18,158	18,145
Transportation	5.44%	35,277	35,252
GF Match		17,639	17,626
FFP		17,638	17,626
Support Services	27.23%	176,411	176,289
GF Match		88,206	88,144
FFP		88,205	88,145
In-Home Respite	9.06%	58,685	58,644
GF Match		29,342	29,322
FFP		29,343	29,322
Out-of-Home Respite	0.66%	4,308	4,305
GF Match		2,154	2,152
FFP		2,154	2,153
Health Care	1.26%	8,184	8,178
GF Match		4,092	4,089
FFP		4,092	4,089

1915 (i) State Plan Amendment**EXPENDITURES (CONTINUED):**

		<u>2019-20</u>	<u>2020-21</u>
	% of Expenditures by <u>Budget Category</u>		
Miscellaneous	5.29%	34,305	34,281
GF Match		17,152	17,141
FFP		17,153	17,140
ABX2 1 – CIE Incentives		2,160	2,160
GF Match		1,080	1,080
FFP		1,080	1,080
Uniform Holiday		9,140	9,588
GF Match		4,570	4,794
FFP		4,570	4,794
Compliance with HCBS Regulations		560	560
GF Match		280	280
FFP		280	280
Provider Supplemental Rate Increases POS		20,412	42,620
GF Match		10,206	21,310
FFP		10,206	21,310
SB3 Minimum Wage, January 1, 2020		20,500	43,836
GF Match		10,250	21,918
FFP		10,250	21,918
SB3 Minimum Wage, January 1, 2021		0	27,656
GF Match		0	13,828
FFP		0	13,828
Systemic, Therapeutic, Assessment, Resources and Treatment Training (START)		0	496
GF Match		0	248
FFP		0	248
Enhanced Federal Funding POS		24,977	46,247
GF Match		0	0
FFP		24,977	46,247
Increased Costs for COVID 19 POS		0	22,260
GF Match		0	11,130
FFP		0	11,130
Savings Proposal POS		0	-59,644
GF Match		0	-29,822
FFP		0	-29,822
Medicaid Claiming Adjustment POS		0	-17,848
GF Match		0	-8,924
FFP		0	-8,924

Early Periodic Screening Diagnosis and Treatment

DESCRIPTION:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age 3 that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

ASSUMPTIONS:

The estimated budget is based on actual billed expenditures from 2018-19. EPSDT funding is only in the Day Program budget category.

FUNDING:

Funding for EPSDT services is 50% federal financial participation (FFP) and 50% General Fund (GF).

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$61,866	\$62,139
<i>GF Match</i>	<i>30,003</i>	<i>29,244</i>
<i>Reimbursement</i>	<i>31,863</i>	<i>32,895</i>

Department of Health Care Services Behavioral Health Treatment Fee-for-Service

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit in January 2016. Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the Medi-Cal benefit.

The estimate reflects costs of BHT services for consumers enrolled in Fee-for-Service Medi-Cal. These children receive services through the regional centers, and DHCS reimburses the Department for the related expenditures.

ASSUMPTIONS:

Full year costs for these consumers were estimated based on actual 2018-19 data.

FUNDING:

Expenditures are reimbursed from DHCS.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$13,821	\$13,806
<i>Reimbursement</i>	<i>13,821</i>	<i>13,806</i>

Program Developmental Fund/Parental Fees

DESCRIPTION:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400% of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code Sections 4677, 4784, and 4785).

ASSUMPTIONS/METHODOLOGY:

Program Development Fund are based on historical revenue averages.

FUNDING:

Program Development Fund expenditures are reflected in the Purchase of Services, Miscellaneous Services category.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$2,242	\$4,280

Developmental Disabilities Services Account

DESCRIPTION:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

ASSUMPTIONS/METHODOLOGY:

Based on historical and current data, the Department expects to receive housing proposals with application fees totaling \$150,000.

FUNDING:

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$150	\$150

Mental Health Services Fund

DESCRIPTION:

Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1.0 million to provide funds to expand services and develop innovative programs. Consistent with the requirements of the MHSA, the Department funds regional centers to implement projects with community partners that focus on prevention, early intervention, and treatment for children and adults who are dually diagnosed (i.e. have a developmental disability and a mental illness).

ASSUMPTIONS/METHODOLOGY:

Consistent with prior year.

FUNDING:

These funds are a separate fund source and do not require General Fund match. These funds are reflected in the Increased Access to Mental Health Services estimate, under regional center Operations, Projects.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$740	\$740

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

DESCRIPTION:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, Sections 303.1 through 303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, Sections 52000 through 52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), Sections 95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers. This was formerly called Prevention Program in prior estimates.

ASSUMPTIONS/METHODOLOGY:

Annual grant amounts are determined by the federal Offices of Special Education Programs (OSEP). The Department received a grant award letter dated July 1, 2019 for federal funding period July 1, 2019 through September 30, 2020.

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) regional center Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

	<u>2019-20</u>	<u>2020-21</u>
• Other Agencies	\$19,094	\$19,094

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
<ul style="list-style-type: none"> CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation. 	\$14,600	\$14,600
<i>Local Education Agencies</i>	14,245	14,245
<i>Support</i>	355	355
<ul style="list-style-type: none"> System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings. 	1,835	1,835
<ul style="list-style-type: none"> Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC 95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC 95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning. 	4,662	4,662
<i>Family Resources Center: Federal Funds grant amount</i>	2,659	2,659
<i>Family Resources Services: 100% General Fund</i>	2,003	2,003
<ul style="list-style-type: none"> Regional Center POS <p>The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in 2018-19 by budget category.</p>	33,392	34,012
<ul style="list-style-type: none"> <i>Day Programs</i> 	17,925	17,612
<ul style="list-style-type: none"> <i>Support Services</i> 	265	203
<ul style="list-style-type: none"> <i>In-Home Respite</i> 	79	123
<ul style="list-style-type: none"> <i>Out-of-Home Respite</i> 	72	60
<ul style="list-style-type: none"> <i>Health Care</i> 	2,136	1,854
<ul style="list-style-type: none"> <i>Miscellaneous</i> 	12,915	14,160

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

METHODOLOGY (CONTINUED):

	<u>2019-20</u>	<u>2020-21</u>
• Total Expenditures:	\$52,486	\$53,106

FUNDING:

The annual Part C Grant is independently determined by OSEP. It is 100% federal funds and requires a Maintenance of Effort from the State. Family Resources Services are 100% General Fund (GF).

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$54,489	\$55,109
Federal Funds	52,486	53,106
GF	2,003	2,003

Foster Grandparent Program

DESCRIPTION:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women age 55 and older, the opportunity to serve their community by sharing their time and attention with children under the age of 22 who have developmental disabilities. Foster grandparents volunteer in community schools, Head Start centers, foster homes, and pre-schools, as detailed in Section F, Operations.

ASSUMPTIONS/METHODOLOGY:

The funding is based on the Federal Grant.

FUNDING:

100% Federal Funds.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
Federal Funds:	\$1,113	\$1,201

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Impacts from Other Departments BHT - FFS Consumers with No Autism Spectrum Disorder (ASD) Diagnosis Transition Effective March 1, 2018

DESCRIPTION:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code Section 14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit in January 2016. Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the Medi-Cal benefit.

The estimate reflects costs of BHT services for consumers without an ASD diagnosis enrolled in Fee-for-Service Medi-Cal. These children receive services through the regional centers, and DHCS reimburses the Department for the related expenditures.

ASSUMPTIONS:

Savings are estimated based on prior year actual costs of BHT services for children without an ASD diagnosis and ineligible for FFP.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Fee-for-Service	-\$6,955	-\$6,955
Total Expenditures	-\$6,955	-\$6,955

**Impacts from Other Departments
BHT - FFS Consumers with No Autism Spectrum
Disorder (ASD) Diagnosis Transition
Effective March 1, 2018**

FUNDING:

Expenditures for BHT consumers with no ASD diagnosis are funded by 100% General Fund (GF). The fee-for-service expenditures are fully reimbursed by DHCS.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease is due to the updated Medi-Cal FFS billing data.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease is due to the updated Medi-Cal FFS billing data.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	-\$6,955	-\$6,955
GF	-6,955	-6,955
Reimbursement	0	0

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Developmental Center Closure/Ongoing Workload

DESCRIPTION:

With closure of the developmental centers and the transition of individuals to community living arrangements, regional centers will continue to provide support and monitoring for these individuals. This includes, but is not limited to, coordination of clinical health/dental services, and quality assurance and management reviews.

ASSUMPTIONS:

Funding includes salaries, benefits, and operating expenses and equipment for the regional center positions associated with this workload. These positions include quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

FUNDING:

Funding for Developmental Center Closure/Ongoing Workload expenditures is comprised of General Fund (GF) and reimbursements from the Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$8,302	\$8,302
GF	5,830	5,830
Reimbursement	2,472	2,472

Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five (Withdrawn)

DESCRIPTION:

The Department uses the number of individuals receiving Early Start services in a ratio of one service coordinator (SC) position for every 45 consumers (1:45). However, the ratio driven calculation increases to 1:62 or 1:66 for individuals at age three if they are determined eligible to receive ongoing regional center services. SCs who provide case management services to individuals exiting Early Start play a critical role with families as they navigate various service systems to ensure the children's needs are met. These SCs are responsible for informing the family about and explaining various program options and their rights, identifying the needed evaluations and assessments used to determine service needs, coordinating with other agencies providing or potentially providing services to the consumer and family, and assisting the family with the transition from Early Start to Lanterman Act services. The family may need services from multiple entities (e.g., regional centers, Medi-Cal, private health insurance, education). The complexity of these multiple systems creates challenges for families in navigating and accessing all needed supports. The Department proposes to establish a 1:45 service coordinator to consumer ratio to better assist families with children ages three, four, and five in accessing needed services and supports. Benefits include earlier connection with other service delivery systems which may prevent or reduce the need for long-term services, particularly for underserved communities. This is consistent with improving early childhood development and can assist in mitigating disparity issues.

ASSUMPTIONS:

To achieve a 1:45 caseload ratio for approximately 27,800 consumers, 196 service coordinators and 20 supervising counselors are required to provide case management to consumers ages three, four, and five years old.

METHODOLOGY:

	<u>Service Coordinator</u>	<u>Supervising Counselor</u>
Annual Salary	\$55,000	\$82,000
Fringe Benefits – 34%	18,700	27,880
Operating Expense & Equipment	3,400	3,400
Annual Cost per Position	\$77,100	\$113,280
Total Positions	196.37	19.64
Annual Cost	\$15,140,000	\$2,225,000
Total Annual Cost for all Positions		\$17,365,000
<i>(Whole dollars)</i>		

Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five (Withdrawn)

FUNDING:

Funding for Enhanced Caseload Ratios for these consumers includes General Fund (GF) and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increase of \$843,000 is due to updated caseload data.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$17,365
GF	0	11,808
Reimbursement	0	5,557

See Savings Proposal

Family Home Agency Oversight

DESCRIPTION:

A Family Home Agency (FHA) is a family residence that can serve up to two consumers with developmental disabilities. The consumers reside with the family and share in the interaction and responsibilities as a member of the family. Benefits of these homes include less reliance on direct service professionals, compliance with the federal Home and Community Based Services Final Regulations, individualized services, and fewer home developmental start-up costs. Currently there are 1,702 regional center consumers residing in an FHA home.

The Department requests 21 regional center positions to provide additional monitoring and oversight of FHA homes and encourage the new development of this model of care. The added resources allow regional centers to expand the number of FHA homes and provide a dedicated position for the monitoring and oversight of FHA programs. This results in an increase in the number of individuals that would otherwise go to a higher-cost setting, such as Alternate Rate Model or Specialized Residential Facilities.

The additional 21 Service Coordinators are required to monitor and provide oversight of all FHA homes.

ASSUMPTIONS:

An additional 21 Service Coordinators are required statewide to oversee FHAs.

METHODOLOGY:

	Annual Salary
Service Coordinator Salary	\$55,000
Fringe Benefits – 34%	18,700
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$77,100
Total Positions	21
Total Annual Cost (Rounded)	\$1,619,000
<i>(whole dollars)</i>	

Family Home Agency Oversight

FUNDING:

Funding for FHA Oversight is comprised of General Fund (GF) and reimbursements from Targeted Case Management (TCM).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,619	\$1,619
GF	1,100	1,100
Reimbursement	519	519

Ongoing Operations Items

This category of regional center operating expenses includes various previously approved items as described below:

DESCRIPTION:

	<u>2019-20</u>	<u>2020-21</u>
Improve Service Coordinator Caseload Ratios:	\$17,000	\$17,000
Approved in the 2016 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to improve caseload ratios.		
Compliance with Home and Community-Based Services (HCBS) Operations:	1,422	1,422
Approved in the 2016 Budget Act, funds are provided for regional centers to hire program evaluators to perform initial and ongoing efforts and activities necessary for compliance with HCBS settings requirements.		
Assembly Bill (AB) X2 1 Regional Center Operations Increases:	56,600	56,600
Appropriated by Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]), \$31.1 million General Fund (GF) plus matching funds are available for regional centers' staffing, benefits, and administrative expenses, as well as \$11.0 million GF to fund regional center and community - based organizations' proposals to promote equity in the purchase of services for individuals with developmental disabilities.		
Resources to Implement AB X2 1 Operations:	4,164	4,164
Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1] amended the Welfare and Institute (W&I) Code to require regional centers to implement the recommendations and plans to reduce disparities in the provision of services to underserved populations, as well as requirements to establish and report on a provider-administered competitive integrated employment program. Funds are provided for regional centers to hire cultural specialists and employment specialists to implement AB X2 1.		

Ongoing Operations Items

DESCRIPTION (continued):

	<u>2019-20</u>	<u>2020-21</u>
Psychological Evaluations for Behavioral Health Treatment (BHT) Fee-for-Service Consumers:	\$1,611	\$1,611
Approved in the 2017 Budget Act, funds are provided to enable regional centers to hire or contract with psychologists to assess consumers with or without an Autism Spectrum Disorder diagnosis and provide referrals for Behavioral Health Treatment Services.		
Oversight and Accountability:	4,450	4,450
Approved in the 2019 Budget Act, funds are provided to regional center operations for oversight and accountability.		
TOTAL EXPENDITURES	\$85,247	\$85,247

FUNDING:

Funding for Operations items are funded by the GF and reimbursements from the Medicaid Administration, Home and Community-Based Services Waiver Administration, and Targeted Case Management, with 50% federal financial participation and 50% GF for eligible expenditures.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$85,247	\$85,247
GF	62,375	62,375
Reimbursement	22,872	22,872

Performance Incentive Program (Withdrawn)

DESCRIPTION:

The Department proposes \$78 million (\$60 million General Fund) to establish a Performance Incentive Program for developmental services (DS) administered through the regional centers (RC). The Performance Incentive Program will align with each RC performance contract and require RC to meet an advanced tier of performance measures and outcomes to receive incentive payments. The DS Task Force will provide recommendations on measures and outcomes to the Department.

The goals of the program are: (1) focusing on a quality system that values personal outcome goals for people, such as an improved life or meaningful activities; (2) developing service options to better meet the needs of individuals and families in a person-centered way; (3) promoting the most integrated community settings; and (4) increasing the number of individuals who are competitively employed.

ASSUMPTIONS:

The Department, in collaboration with the DS Task Force and other stakeholders, will make recommendations on the performance measures and outcomes to receive incentive payments. This requires establishing a system that prioritizes outcomes, innovation, and value, which is driven by a funding structure that provides transparency, oversight, and accountability.

FUNDING:

Expenditures from the Performance Incentive Program are funded by the GF and reimbursements from the Targeted Case Management, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$78,000
GF	0	60,000
Reimbursement	0	18,000
	See Savings Proposal	

Self Determination Program

DESCRIPTION:

Centers for Medicare & Medicaid Services approved the federal funding for the Self Determination Program (SDP) on June 7, 2018. The SDP allows for regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans.

The Department consistent with statute [Welfare & Institutions Code §4685.8(g)] and in conjunction with stakeholders, has determined that this allocation is for regional center operations/salary and participant support.

ASSUMPTIONS:

The 2019-20 reflects the Budget Revision transaction to allow the Department to implement SDP statewide.

In 2020-21, \$1.9 million is for regional center operation costs and \$2.2 million is for regional centers, in collaboration with the local volunteer advisory committees, to assist selected participants in their transition into the SDP.

FUNDING:

The 2020-21 SDP is funded by the General Fund (GF) and reimbursements from the Targeted Case Management.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The Budget Revision process transferred \$2.4 million GF from POS to operations.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$2,376	\$4,073
GF	2,376	2,851
Reimbursement	0	1,222

Specialized Caseload Ratio

DESCRIPTION:

The Department proposes to establish a 1:25 service coordinator-to-consumer caseload ratio for consumers with complex needs, as defined in Senate Bill 81 (Chapter 28, Statutes of 2019). Examples of consumers with complex needs may include individuals who reside or are at risk of residing in Institutions for Mental Diseases, Community Crisis Homes, state-operated acute crisis homes, out-of-state placements or have been admitted to a psychiatric hospital several times within the preceding six months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting.

The requested 1:25 ratio is to provide service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities, for a limited time, who have the most complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

ASSUMPTIONS:

To achieve a 1:25 caseload ratio for an estimated 1,231 consumers, 49 service coordinators are required to provide case management to consumers considered high-risk.

METHODOLOGY:

	Annual Salary
Service Coordinator Salary	\$55,000
Fringe Benefits – 34%	18,700
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$77,100
Total Positions	49.24
Total Annual Cost (Rounded)	\$3,800,000

(Whole dollars)

Specialized Caseload Ratio

FUNDING:

Funding for Specialized Caseload Ratio is comprised of General Fund (GF) and reimbursements from Targeted Case Management.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$3,800	\$3,800
GF	2,600	2,600
Reimbursement	1,200	1,200

Specialized Home Monitoring

DESCRIPTION:

Pursuant to Welfare and Institutions Code (WIC), Sections 4684.70 and 4684.84 (b) regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPHSN), and Enhanced Behavioral Supports Homes (EBSH). A local regional center licensed registered nurse and a qualified behavior modification professional must each visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPHSN and EBSH, or more frequently if specified in the consumer's individual program plan.

Similarly, WIC Section 4698(e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCHs). This proposal provides funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

ASSUMPTIONS:

Regional centers employ and utilize registered nurses to monitor the ARFPHSNs, and licensed behavior specialists to monitor EBSHs and CCHs. Each registered nurse and licensed behavioral specialist is able to complete required monitoring of homes at a nurse/specialist-to-home ratio of 1:4. In 2019-20, the Department funds staff to monitor 93 ARFPHSNs, and 84 EBSH and CCHs combined. In 2020-21, the Department funds staff to monitor 93 ARFPHSNs, and 121 EBSH and CCHs combined.

Specialized Home Monitoring

METHODOLOGY:

	2019-20	2019-20	2020-21	2020-21
	Registered Nurse	Behavioral Specialist	Registered Nurse	Behavioral Specialist
Annual Salary	\$103,200	\$85,000	\$103,200	\$85,000
Fringe Benefits – 34%	35,088	28,900	35,088	28,900
Operating Expense & Equipment	3,400	3,400	3,400	3,400
Annual Cost Per Position	\$141,688	\$117,300	\$141,688	\$117,300
Total Positions	23.25	21.00	23.25	30.25
Annual Cost	\$3,294,000	\$2,463,000	\$3,294,000	\$3,548,000
Total Annual Cost for All Positions		\$5,757,000		\$6,842,000
<i>(whole dollars)</i>				

FUNDING:

Funding for Specialized Home Monitoring is comprised of General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The budget year increase is due to the updated numbers of specialized homes.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$5,757	\$6,842
GF	3,914	4,653
Reimbursement	1,843	2,189

Trauma Informed Services for Foster Youth

DESCRIPTION:

Assembly Bill 2083 (Cooley, Chapter 815, Statutes of 2018) requires that regional centers participate in development of Memorandum of Understanding (MOUs) in each county served and participate on interagency leadership teams and committees in each county to ensure services are provided in recognition of trauma that may have occurred in the lives of the individuals in foster care. Most of the state's 21 regional centers serve multiple counties. Funding provides for the development and implementation of AB 2083 workload which includes multiple MOUs, participation on multiple interagency leadership teams and placement committees. This is one time funding for 2019-20 and 2020-21 only.

ASSUMPTIONS:

The Department estimates regional centers need 15 senior/supervising coordinators statewide at an annual cost of \$1.6 million (\$1.1 million General Fund [GF]).

METHODOLOGY:

	Annual Salary
Senior/Supervising Coordinator Salary	\$77,100
Fringe Benefits – 34%	26,210
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$106,710
Total Positions	15
Total Annual Cost (Rounded)	\$1,600,000
<i>(whole dollars)</i>	

Trauma Informed Services for Foster Youth

FUNDING:

Funding for Trauma Informed Services for Foster Youth is comprised of GF and reimbursements from the Home and Community-Based Services Waiver Administration and Medicaid Administration.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,600	\$1,600
GF	1,100	1,100
Reimbursement	500	500

Increased Costs for COVID 19

DESCRIPTION:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California, as a result of the global COVID-19 outbreak that began in December 2019. Subsequently, Governor Newsom issued stay-at-home directives. As a result of COVID-19, the Department has experienced increased costs. These costs include providing additional support for consumers in their homes as well as the development of "surge sites" to prepare to serve consumers diagnosed with, exposed to or at high risk due to COVID-19.

METHODOLOGY:

The 2019-20 increase cost due to COVID-19 is estimated based on actual expenditures and projections of potential need for 2019-20 and 2020-21.

	<u>2019-20</u>	<u>2020-21</u>
Operations	\$5,500	\$0
Purchase of Services	198,600	254,101
TOTAL	\$204,100	\$254,101

FUNDING:

Expenditures from the COVID-19 are funded by GF and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Targeted Case Management, Intermediate Care Facility-Developmentally Disabled State Plan Amendment, and 1915(i) SPA, with a 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$204,100	\$254,101
GF	134,996	170,773
Reimbursement	69,104	83,328

Medicaid Claiming Adjustments

DESCRIPTION:

For the Department's Medicaid eligible programs expenditures, some federally ineligible consumers, may have been claimed for July 1, 2010 to current.

The Department is working in collaboration with the Department of Health Care Services (DHCS) in implementing a data solution to prevent consumers not eligible for reimbursement from being billed to DHCS.

The DHCS has determined that the cost of services for some unallowable consumers were included in Medicaid claims due to the consumers' immigration status. DHCS identified this issue across multiple programs and departments. In 2018-19, approximately \$34.2 million in claims for 3,100 consumers without satisfactory immigration status were identified and must be reimbursed to Medicaid. Additionally, it is expected that repayment will be necessary for several other years as well. As a result, the Department anticipates there will be one-time costs to repay federal funds in 2020-21. In addition, the Department anticipates there will be annual General Fund (GF) costs because the Department will not receive the same level of federal funding prospectively. The Department is working with DHCS on a process to obtain the information necessary to resolve future claiming as well as the exact amount of required repayment.

METHODOLOGY:

The estimates are based on 2018-19 actual expenditures for Medicaid eligible programs, including the Home and Community-Based Services waiver, Targeted Case Management, 1915(i) SPA, Early Periodic Screening Diagnosis and Treatment, Intermediate Care Facility-Developmentally Disabled State Plan Amendment, and Behavioral Health Treatment. This data was used to extrapolate the amount of potential repayment/reduction in reimbursement for the time period of July 1, 2010 through June 30, 2020.

	<u>2019-20</u>	<u>2020-21</u>
Operations	\$0	\$24,797
Purchase of Services	\$0	282,576
TOTAL	\$0	\$307,373

FUNDING:

Repayment of claiming for ineligible consumers are 100% GF for 2010-11 to 2019-20. For 2020-21, reimbursements will be reduced with a corresponding increase in GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

Medicaid Claiming Adjustments

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$268,415
GF	0	307,373
Reimbursements	0	-38,958

Enhanced Federal Funding

DESCRIPTION:

On March 18, 2020 the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to costs associated with the Home and Community-Based Services and Self-Determination Program Waivers, 1915(i) State Plan Amendment, Targeted Case Management, Intermediate Care Facility-Developmentally Disabled SPA, and the Early Periodic Screening Diagnosis and Treatment.

The increase in federal reimbursements is available through the last day of the calendar quarter in which the public health emergency declared by the Secretary of Health and Human Services for COVID-19 is terminated. At the time the public health emergency period for COVID-19 ends, the Centers for Medicare and Medicaid Services (CMS) will inform states.

METHODOLOGY:

The 2019-20 enhanced FMAP is estimated to be \$177.0 million and the 2020-21 is estimated to be \$370.8 million based on federal funds participation with the additional 6.2% applied. With the increase in federal funds, a corresponding GF will be saved.

	<u>2019-20</u>	<u>2020-21</u>
Operations	\$13,333	\$27,347
Purchase of Services	163,697	343,442
TOTAL	\$177,030	\$370,789

FUNDING:

The additional federal funding for the applicable programs results in a reduction in General Funds.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy effective January 1, 2020.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy effective January 1, 2020.

Enhanced Federal Funding

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$0
GF	-177,030	-370,789
Reimbursement	177,030	370,789

Savings Proposals

DESCRIPTION:

Due to the significant fiscal impact resulting from the COVID-19 pandemic, the State of California is experiencing a significant budget shortfall that impacts all areas and programs that receive State funding. As a result, the Department is taking the steps outlined below to achieve necessary budget savings.

ASSUMPTIONS:

The following proposals are withdrawn from the Governor's Budget:

- Withdraw Performance Incentive Program for savings of \$78.0 million (\$60.0 million GF).
- Withdraw Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five for savings of \$17.4 million (\$11.8 million GF).
- Withdraw the Systemic, Therapeutic, Assessment, Resources, and Treatment (START) Training model for savings of \$4.5 million (\$2.6 million GF).
- Withdraw Provider Supplemental Rate Increase Proposal for Early Start Specialized Therapeutic Services, Independent Living Program, and Infant Development Program services for savings of \$18.0 million (\$10.8 million GF).

Absent additional federal funds to address the COVID-19 Recession, the following reductions are necessary to balance the state budget. These reductions will not occur if the federal government provides sufficient funding making them unnecessary:

- Reduce Regional Center Operations for savings of \$40.0 million (\$30.0 million GF).
- Cost Sharing for Higher Income Families to modify the existing cost-sharing programs that would result in savings of \$2.0 million GF.
- Implement the Uniform Holiday Schedule for savings of \$51.5 million (\$31.3 million GF).
- Implement Rate Reductions, and Expenditure and Utilization Reviews for savings of \$470.6 million (\$300.0 million GF).

To reduce costs, the Department proposes the following efficiency:

- Maximize Federal Funding for Eligible Services and Enroll Individuals into Medi-Cal for savings of \$27.0 million GF.

In addition, the Department achieved additional savings of approximately \$215 million GF from prior fiscal years.

METHODOLOGY:

The estimates are based on implementation of the proposed policy or the amounts identified in the May Revision.

Savings Proposals

	<u>2019-20</u>	<u>2020-21</u>
Operations	\$0	-\$135,365
Purchase of Services	0	-544,602
TOTAL	\$0	-\$679,967

FUNDING:

Expenditures from the savings proposal are funded by GF and reimbursements from the Home and Community-Based Services (HCBS) Waiver, Targeted Case Management, 1915(i) SPA, Early Periodic Screening, Diagnosis and Treatment, Intermediate Care Facility-Developmentally Disabled State Plan Amendment, with a 50% federal financial participation and 50% GF. In addition, the cost sharing proposals assume an increase in the Program Development Fund.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

This is a new policy.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	-\$679,967
GF	0	-475,453
Reimbursements	0	-206,514
Program Development Fund	0	2,000

Operations Policy Funding Detail

Developmental Center Closure/Ongoing Workload	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$8,302	\$8,302	\$0	\$8,302	\$8,302	\$0	\$0
GF	\$5,830	\$5,830	\$0	\$5,830	\$5,830	\$0	\$0
GF Match	824	824	0	824	824	0	0
GF Other	5,006	5,006	0	5,006	5,006	0	0
Reimbursements	\$2,472	\$2,472	\$0	\$2,472	\$2,472	\$0	\$0
Medicaid Admin	2,472	2,472	0	2,472	2,472	0	0

Enhanced Caseload Ratios for Consumers Ages Three, Four, and Five (Withdrawn)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$16,522	\$17,365	\$17,365	\$843
GF	\$0	\$0	\$0	\$11,235	\$11,808	\$11,808	\$573
GF Match	0	0	0	5,287	5,557	5,557	270
GF Other	0	0	0	5,948	6,251	6,251	303
Reimbursements	\$0	\$0	\$0	\$5,287	\$5,557	\$5,557	\$270
TCM	0	0	0	5,287	5,557	5,557	270

Family Home Agency Oversight	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,619	\$1,619	\$0	\$1,619	\$1,619	\$0	\$0
GF	\$1,100	\$1,100	\$0	\$1,100	\$1,100	\$0	\$0
GF Match	519	519	0	519	519	0	0
GF Other	581	581	0	581	581	0	0
Reimbursements	\$519	\$519	\$0	\$519	\$519	\$0	\$0
TCM	519	519	0	519	519	0	0

Operations Policy Funding Detail

Ongoing Operations Policy Items	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$85,247	\$85,247	\$0	\$85,247	\$85,247	\$0	\$0
GF	\$62,375	\$62,375	\$0	\$62,375	\$62,375	\$0	\$0
GF Match	22,872	22,872	0	22,872	22,872	0	0
GF Other	39,503	39,503	0	39,503	39,503	0	0
Reimbursements	\$22,872	\$22,872	\$0	\$22,872	\$22,872	\$0	\$0
HCBS Waiver Admin	4,354	4,354	0	4,354	4,354	0	0
Medicaid Admin	1,132	1,132	0	1,132	1,132	0	0
TCM	17,386	17,386	0	17,386	17,386	0	0

Performance Incentive Program (Withdrawn)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	\$78,000	\$78,000	\$78,000
GF	\$0	\$0	\$0	\$0	\$60,000	\$60,000	\$60,000
GF Match	0	0	0	0	18,000	18,000	18,000
GF Other	0	0	0	0	42,000	42,000	42,000
Reimbursements	\$0	\$0	\$0	\$0	\$18,000	\$18,000	\$18,000
TCM	0	0	0	0	18,000	18,000	18,000

Self Determination Program	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$2,376	\$2,376	\$0	\$4,073	\$1,697	\$4,073
GF	\$0	\$2,376	\$2,376	\$0	\$2,851	\$475	\$2,851
GF Match	0	0	0	0	1,222	1,222	1,222
GF Other	0	2,376	2,376	0	1,629	(747)	1,629
Reimbursements	\$0	\$0	\$0	\$0	\$1,222	\$1,222	\$1,222
TCM	0	0	0	0	1,222	1,222	1,222

Operations Policy Funding Detail

Specialized Caseload Ratios	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$3,800	\$3,800	\$0	\$3,800	\$3,800	\$0	\$0
GF	\$2,600	\$2,600	\$0	\$2,600	\$2,600	\$0	\$0
GF Match	1,200	1,200	0	1,200	1,200	0	0
GF Other	1,400	1,400	0	1,400	1,400	0	0
Reimbursements	\$1,200	\$1,200	\$0	\$1,200	\$1,200	\$0	\$0
TCM	1,200	1,200	0	1,200	1,200	0	0

Specialized Home Monitoring	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$5,757	\$5,757	\$0	\$6,503	\$6,842	\$1,085	\$339
GF	\$3,914	\$3,914	\$0	\$4,422	\$4,653	\$739	\$231
GF Match	1,843	1,843	0	2,081	2,189	346	108
GF Other	2,071	2,071	0	2,341	2,464	393	123
Reimbursements	\$1,843	\$1,843	\$0	\$2,081	\$2,189	\$346	\$108
HCBS Waiver Admin	1,843	1,843	0	2,081	2,189	346	108

Trauma Informed Services for Foster Youth	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,600	\$1,600	\$0	\$1,600	\$1,600	\$0	\$0
GF	\$1,100	\$1,100	\$0	\$1,100	\$1,100	\$0	\$0
GF Match	500	500	0	500	500	0	0
GF Other	600	600	0	600	600	0	0
Reimbursements	\$500	\$500	\$0	\$500	\$500	\$0	\$0
TCM	500	500	0	500	500	0	0

Operations Policy Funding Detail

Increased Costs for COVID 19 Ops	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$5,500	\$5,500	\$0	\$0	(\$5,500)	\$0
GF	\$0	\$4,125	\$4,125	\$0	\$0	(\$4,125)	\$0
GF Match	0	1,375	1,375	0	0	(1,375)	0
GF Other	0	2,750	2,750	0	0	(2,750)	0
Reimbursements	\$0	\$1,375	\$1,375	\$0	\$0	(\$1,375)	\$0
TCM	0	1,375	1,375	0	0	(1,375)	0

Medicaid Claiming Adjustment Ops	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	\$22,026	\$22,026	\$22,026
GF	\$0	\$0	\$0	\$0	\$24,797	\$24,797	\$24,797
GF Match	0	0	0	0	(2,771)	(2,771)	(2,771)
GF Other	0	0	0	0	27,568	27,568	27,568
Reimbursements	\$0	\$0	\$0	\$0	(\$2,771)	(\$2,771)	(\$2,771)
TCM	0	0	0	0	(2,771)	(2,771)	(2,771)

Enhanced Federal Funding Ops	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0
GF	\$0	(\$13,333)	(\$13,333)	\$0	(\$27,347)	(\$14,014)	(\$27,347)
GF Match	0	13,333	13,333	0	27,347	14,014	27,347
GF Other	0	(26,666)	(26,666)	0	(54,694)	(28,028)	(54,694)
Reimbursements	\$0	\$13,333	\$13,333	\$0	\$27,347	\$14,014	\$27,347
TCM	0	13,333	13,333	0	27,347	14,014	27,347

Operations Policy Funding Detail

Savings Proposal - Ops	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	(\$135,365)	(\$135,365)	(\$135,365)
GF	\$0	\$0	\$0	\$0	(\$101,808)	(\$101,808)	(\$101,808)
GF Match	0	0	0	0	(33,557)	(33,557)	(33,557)
GF Other	0	0	0	0	(68,251)	(68,251)	(68,251)
Reimbursements	\$0	\$0	\$0	\$0	(\$33,557)	(\$33,557)	(\$33,557)
TCM	0	0	0	0	(33,557)	(33,557)	(33,557)

Total Operations Policy Funding Detail	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$106,325	\$114,201	\$7,876	\$123,593	\$93,509	(\$20,692)	(\$30,084)
GF	\$76,919	\$70,087	(\$6,832)	\$88,662	\$47,959	(\$22,128)	(\$40,703)
GF Match	27,758	42,466	14,708	33,283	43,902	1,436	10,619
GF Other	49,161	27,621	(21,540)	55,379	4,057	(23,564)	(51,322)
Reimbursements	\$29,406	\$44,114	\$14,708	\$34,931	\$45,550	\$1,436	\$10,619
HCBS Waiver Admin	6,197	6,197	0	6,435	6,543	346	108
Medicaid Admin	3,604	3,604	0	3,604	3,604	0	0
TCM	19,605	34,313	14,708	24,892	35,403	1,090	10,511

Community Crisis Homes for Children Effective July 1, 2019

DESCRIPTION:

Although many children with developmental disabilities live in the family home, some children have more extensive behavioral needs that cannot be met in the family home or other existing settings. Both the Health and Safety Code and Welfare and Institutions Code (WIC) were amended in 2014-15 to include emergency regulations for community crisis homes. However, the statute only allows adults, who are in acute crisis, to be admitted into community crisis homes. Due to a current lack of options in the community, regional centers often rely on locked psychiatric settings for children and struggle to provide needed services in a child's home setting in response to acute crises. Through the Statewide Specialized Resource Service, the Department receives, on average, five referrals each month seeking residential services for children, many of whom are in crisis.

This is a one-time augmentation in the amount of \$4.5 million General Fund (GF) for the development of three new community crisis homes for children. In addition, Senate Bill 81 (Chapter 28, Statutes of 2019) amended the Health and Safety Code and the WIC to enable children in crisis to be placed into community crisis homes.

The homes will provide regional centers with access to short-term crisis stabilization, with a limited duration of stay. The homes will be certified by the Department and licensed by the Department of Social Services.

ASSUMPTIONS:

The Department has provided three regional centers funding to build three community crisis homes throughout California through the "Buy-It-Once" housing model to ensure the homes are used in perpetuity to provide crisis services to children with developmental disabilities. Prior to use, the homes will be renovated by a housing development organization to ensure regulations are met and qualities of the homes meet the needs of children in crisis. A service provider or providers will be selected to create a model that best serves the individuals referred. Providers will be required to recruit for enhanced staffing, provide extensive training, oversee the set-up of the homes, and oversight of the development process.

METHODOLOGY:

The Department plans to develop three new community crisis homes for children, at an estimated cost of \$4.5 million GF, or \$1.5 million per home.

- Acquisition \$1,500,000 (\$500,000 per home)
- Renovation \$1,500,000 (\$500,000 per home)
- Service Provider Start-Up \$1,500,000 (\$500,000 per home)

Community Crisis Homes for Children Effective July 1, 2019

FUNDING:

Expenditures from the Community Crisis Homes for Children are funded 100% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$4,500	\$0
GF	4,500	0
Reimbursement	0	0

Development of STAR Homes

DESCRIPTION:

The Budget Act of 2019 includes \$3.0 million General Fund (GF) for the Department, within State Operations to develop two community Stabilization, Training, Assistance, and Reintegration (STAR) homes for the Central California region.

The funding for the development of these homes was transferred from State Operations to Local Assistance to allow the Department to partner with a regional center, via existing contractual agreements, to develop two STAR homes in the Central California region. The Department will work with a regional center to identify property and community options. This is one-time funding for 2019-20.

METHODOLOGY:

The cost to develop two Central Valley STAR homes was based on allocations to regional centers of similar homes, at an estimated cost of \$3.0 million GF, or \$1.5 million per home.

FUNDING:

Expenditures related to the development of STAR homes are 100% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$3,000	\$0
GF	3,000	0
Reimbursement	0	0

Early Start Co-Pays

DESCRIPTION:

Senate Bill 81 (Chapter 28, Statutes of 2019) amended Section 4659.1 of the Welfare and Institutions Code to enable regional centers to pay co-payments, coinsurance, and deductibles for services for children in the Early Start program, regardless of the family's income level. This was done in order to comply with federal regulations requiring an assurance that if a family is charged a fee, including copayment, deductibles, or coinsurance, families with public insurance or benefits, or private insurance will not be charged disproportionately more than families who do not have public insurance or benefits, or private insurance.

ASSUMPTIONS:

This policy assumes regional center payments for copayments, coinsurance, and deductibles for all children receiving Early Start services.

METHODOLOGY:

The estimate was based on actual copayment, coinsurance and deductible expenditures for children receiving Early Start services and assumes, consistent with current statute, all expenditures are for children from families with income under 400% of the Federal Poverty Level (FPL). The calculation further assumes these payments will occur at approximately twice the amount for children from families with income over 400% of the FPL.

FUNDING:

Funding for Early Start Co-Pays is 100% General Fund (GF).

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$1,000	\$1,000
GF	1,000	1,000
Reimbursement	0	0

Enhanced Behavioral Supports Home with Delayed Egress and Secured Perimeters

DESCRIPTION:

Enhanced Behavioral Supports Homes (EBSH) are a specialized licensed residential model for individuals with intensive behavioral support needs. The homes are certified by the Department and licensed by the Department of Social Services. Homes equipped with delayed egress and secured perimeters (DESP) provide an added layer of protection for individuals and the community who exhibit elopement and other high-risk behavioral and safety concerns and need the security these adaptations provide to remain safe residing in community settings. Individuals who would not otherwise be able to live successfully in the community are able to do so with the services provided in EBSHs with DESP.

Welfare and Institutions Code section 4684.81(k)(2), limits the number of EBSHs that can be developed with DESP to six homes during the EBSH pilot phase, and limits the Department's authority to certify these homes to one per year. The pilot phase terminates January 1, 2021. EBSHs with DESP are a valuable residential model for individuals residing in the Secure Treatment Program (STP) at Porterville Developmental Center or in restrictive community settings and moving to the community. To support the Department's commitment to reduce reliance on and timely transitioning individuals from restrictive settings and provide opportunities for individuals to move to the community, additional EBSH homes with DESP are needed.

To meet this immediate need, the Department is requesting one-time funding to develop five EBSH with DESP to support acquisition, renovation and provider start-up, and operational costs beginning in 2022-23. Additionally, the Department is proposing trailer bill language to amend existing statute to raise the cap from six to 11 homes and remove the restriction for the number of homes that can be certified per year.

METHODOLOGY:

The cost to develop five EBSH DESP (20 beds) was based on allocations to regional centers of similar homes, at an estimated cost of \$7.5 million General Fund (GF), or \$1.5 million per home for acquisition, renovation, and service provider start-up.

FUNDING:

Expenditures from the EBSH with DESP are funded by 100% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Enhanced Behavioral Supports Home with Delayed Egress and Secured Perimeters

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$7,500
GF	0	7,500

Electronic Visit Verification Penalty

DESCRIPTION:

Electronic Visit Verification (EVV) is a telephone and computer-based system that electronically verifies in-home service visits. EVV systems must verify the type of service performed; the individual receiving the service; date of the service; location of service delivery; the individual providing the service; and the time the service begins and ends. Pursuant to Subsection I of Section 1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for Medicaid-funded Personal Care Services by January 1, 2020 and Home Health Care Services by January 1, 2023. The state is subject to incremental Federal Medical Assistance Percentage reductions of up to 1 percent unless the state has both made a "good faith effort" to comply and has encountered "unavoidable delays." California was granted a one-year extension to implement EVV for Medicaid-funded Personal Care Services. Without the extension, the Department would have had a penalty in 2019-20. The penalty is expected to occur in 2020-21.

METHODOLOGY:

The 2020-21 penalty for non-compliance is estimated to be \$5.1 million, based on 0.5% penalty of federally eligible expenditures for affected personal care services, beginning January 1, 2021. The \$5.1 million reflects a backfill of General Fund (GF) to account for the reduction in federal funding.

FUNDING:

Funding for backfilling for the EVV penalty is 100% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The changes are based on updated eligible expenditures for affected personal care services.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$5,219
GF	0	5,219
Reimbursement	0	0

Ongoing Purchase of Service Items

This category of purchase of services expenses includes various previously approved items as described below:

DESCRIPTION:

	<u>2019-20</u>	<u>2020-21</u>
Best Buddies:	\$2,000	\$2,000

The Budget Act of 2019 includes ongoing funding of \$2.0 million General Fund (GF) provided to Best Buddies International. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state. Best Buddies reports annually to the Department on the number of consumers served and the types of services provided.

Competitive, Integrated Employment Incentives.	29,000	29,000
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Welfare and Institutions Code (WIC) was amended to add section 4870(d-g) to increase sustained Competitive Integrated Employment (CIE) placements by regional center service providers. CIE is full or part-time work for which an individual is paid minimum wage or greater in a setting with others who do not have disabilities. Section 4870(d) authorizes \$29 million ongoing funding to the Department for incentive payments to providers for placement and retention of regional center consumers, consistent with a consumers Individual Program Plan.

The regional center will be responsible for making incentive payments to service providers within their catchment area. The incentive payment amount for each individual placed in CIE is as follows:

1. A payment of one thousand dollars (\$1,000) shall be made to the service provider who, on or after July 1, 2016, places an individual into CIE, and the individual is still competitively employed after 30 consecutive days.
2. An additional payment of one thousand two hundred fifty dollars (\$1,250) shall be made to the service provider for an individual described in paragraph (1) who remains in CIE for six consecutive months.

Ongoing Purchase of Service Items

	<u>2019-20</u>	<u>2020-21</u>
3. An individual payment of one thousand five hundred dollars (\$1,500) shall be made to the service provider for an individual described in paragraphs (1) and (2) who remains in CIE for 12 consecutive months.		
Compliance with Home and Community-Based Services (HCBS) POS:	\$15,000	\$15,000
<p>In January 2014, the Center for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) Home and Community-Based (HCBS) Waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states were allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2022. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. The state's plan to align HCBS settings with the final regulations is outlined in the Statewide Transition Plan (STP). As part of that plan, the state is assessing compliance levels with the final regulations across all providers of HCBS, and working with each to transition services provided as appropriate. To aid in that effort, the \$15 million fixed funding includes opportunities to seek employment and work in competitive, integrated settings, engage in community life, control personal resources, and receive services in the community to the same degree of access as individuals not receiving Medicaid HCBS.</p>		
TOTAL EXPENDITURES	\$46,000	\$46,000

FUNDING:

Funding for Purchase of Services Policy items are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Ongoing Purchase of Service Items

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$46,000	\$46,000
GF	33,000	33,000
Reimbursement	13,000	13,000

Provider Supplemental Rate Increases (Partially withdrawn)

DESCRIPTION:

The 2019-20 enacted budget includes \$206.2 million (\$124.5 million General Fund [GF]) for provider supplemental rate increases across a broad array of service categories. The increased funding provides a rate increase of up to 8.2 percent for service categories identified in the Department's rate study submitted to the legislature on March 15, 2019. The Department received federal approval on December 19, 2019 to implement the rate adjustment effective January 1, 2020. The 2020-21 full year impact is \$412.3 million (\$249.0 million GF).

In addition, the Department's 2020-21 budget year includes funding to implement rate increases for Early Start Specialized Therapeutic services, Independent Living Program, and the Infant Development Program services based on updates made to the rate models for these services in response to public comments received subsequent to the March 15, 2019 rate study. Pending federal approval, implementation is projected to begin January 1, 2021. Funding for the 2019 Budget Act and proposed 2020-21 provider supplemental rate increases will sunset on June 30, 2023.

ASSUMPTIONS:

The Department will set the new maximum reimbursement rate for each targeted service.

METHODOLOGY:

Provider rates are calculated up to 8.2 percent in supplemental rate increase.

FUNDING:

Expenditures from the Provider Supplemental Rate Increase are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Provider Supplemental Rate Increases (Partially withdrawn)

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$206,173	\$430,308
GF	124,523	259,823
Reimbursement	81,650	170,485

See Savings Proposal

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

DESCRIPTION:

SB 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$12.00 to \$13.00 per hour on January 1, 2020, for employers with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$13.00 to \$14.00 per hour will occur on January 1, 2021.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Community Care Facilities	\$61,845	\$132,232
Day Programs	7,307	14,976
Habilitation Services	194	349
Transportation	335	710
Support Services	10,477	22,756
In-Home Respite	10,068	22,003
Out-of-Home Respite	2	5
Miscellaneous	773	1,723
TOTAL EXPENDITURES	\$91,001	\$194,754

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2020

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$91,001	\$194,754
GF	46,893	100,325
Reimbursement	44,108	94,429

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021

DESCRIPTION:

SB 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$13.00 to \$14.00 per hour on January 1, 2021, for employers with 26 or more employees, with further increases each year until the minimum wage reaches \$15 per hour in 2022. The next increase from \$14.00 to \$15.00 per hour will occur on January 1, 2022.

ASSUMPTIONS:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

METHODOLOGY:

	<u>2019-20</u>	<u>2020-21</u>
Community Care Facilities	\$0	\$81,697
Day Programs	0	9,252
Habilitation Services	0	216
Transportation	0	438
Support Services	0	14,059
In-Home Respite	0	13,594
Out-of-Home Respite	0	3
Miscellaneous	0	1,064
TOTAL EXPENDITURES	\$0	\$120,323

FUNDING:

Expenditures from the minimum wage increases are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$120,323
GF	0	61,695
Reimbursement	0	58,628

Systemic, Therapeutic, Assessment, Resources and Treatment Training (Withdrawn)

DESCRIPTION:

The Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training model is a comprehensive approach to crisis prevention and intervention for people with developmental disabilities and co-occurring mental health conditions. This model provides wraparound services that support individuals at-risk for acute crisis or loss of residential placement, and individuals who are currently experiencing crisis. The START model has demonstrated positive outcomes in other states with published scientific papers reporting reductions in psychiatric hospitalizations and emergency room visits, improved mental health symptoms, reductions in challenging behavior, and maintaining residential placements in the community. The University of New Hampshire Center for START Services provides training and certification in the START model to community providers who make up the local START teams. Each local START team consists of a program director, clinical and medical directors, START coordinators, therapeutic in-home coaches, coordinator and coaching team leads, and administrative support.

When an individual residing in the community experiences a crisis, regional centers attempt to support the individual in their current living arrangement, and if that is no longer adequate or appropriate to meet the individual's need, they seek more intensive services such as licensed residential care, a Community Crisis Home (CCH), and state-operated acute crisis services. If these services are unavailable, an Institution for Mental Diseases (IMD) or out-of-state residential services may be the only option.

START services will help maintain individuals in their current residential arrangement and prevent admissions into the more restrictive settings, such as CCHs, IMDs, and out-of-state services through the provision of 24-hour crisis services and planning, and by providing training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

Funding for start-up operating costs will cover three months of costs associated with the local provider team: hiring and training staff, renting office space, acquiring materials and equipment, etc. before the team is prepared to accept consumers and begin providing services.

First, second, and third year training costs cover all aspects of the START model training provided by the University of New Hampshire Center for START services to the local START team. This includes personnel costs, travel, printed materials, online training platform, data management, and technical support, as well as quarterly evaluations of the local START teams using collected data, and an annual independent, comprehensive evaluation of California's system of care.

Systemic, Therapeutic, Assessment, Resources and Treatment Training (Withdrawn)

ASSUMPTIONS:

Costs are provided by the contractor, University of New Hampshire Center for START Services.

METHODOLOGY:

	Cost per team
Start-up Operating Cost	\$300,000
First-year Training Cost	280,060
Second-year Training Cost	320,864
Third-year Training Cost	234,096
Annual Cost per team	\$1,135,020
Total Annual Cost for 4 Teams (Rounded)	\$4,540,000
<i>(whole dollars)</i>	

FUNDING:

Expenditures for START program are funded by the General Fund (GF) and reimbursements from the Home and Community-Based Services Waiver and the 1915 (i) State Plan Amendment.

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

NA.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

There is no change.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$0	\$4,540
GF	0	2,555
Reimbursement	0	1,985

See Savings Proposal

Uniform Holiday Schedule (Withdrawn)

DESCRIPTION:

Welfare and Institutions Code Section 4692, Statutes of 2009, implemented the Uniform Holiday Schedule (UHS) as a General Fund (GF) cost savings measure in September 2009. The intent was to standardize and increase from 10 to 14 the number of observed holidays in the regional center system. On these holidays providers are precluded from billing for services provided by work activity programs, activity centers, adult development centers, behavior management programs, social recreation programs, infant development programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs.

In 2018, the policy was suspended through June 30, 2023 when the UHS was scheduled to sunset. Funding is provided to allow regional centers to provide services to consumers according to their individual holiday schedule.

ASSUMPTIONS:

This policy assumes regional centers will receive funding to provide services according to their individual holiday schedule.

METHODOLOGY:

Based on regional centers holiday schedule, 14 of the 21 regional centers are assumed to receive funding for seven additional holidays and seven regional centers will receive funding for four additional holidays.

	<u>2019-20</u>	<u>2020-21</u>
Day Programs	\$24,475	\$24,987
Habilitation Services	767	661
Transportation	9,348	9,885
Support Services	12,072	13,203
In-Home Respite	619	724
Miscellaneous	2,021	2,051
TOTAL EXPENDITURES	\$49,302	\$51,511

FUNDING:

Expenditures from the Uniform Holiday Schedule are funded by the GF and reimbursements from the Home and Community-Based Services Waiver and 1915(i) State Plan Amendment, with 50% federal financial participation and 50% GF.

Uniform Holiday Schedule

CURRENT YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease is due to updated growth and actual expenditure data.

BUDGET YEAR CHANGE FROM GOVERNOR'S BUDGET:

The decrease is due to updated growth and estimated 2019-20 expenditure data.

EXPENDITURES:

	<u>2019-20</u>	<u>2020-21</u>
TOTAL	\$49,302	\$51,511
GF	30,066	31,312
Reimbursement	19,236	20,199

Purchase of Services Policy Funding Detail

BHT Consumers without an ASD Diagnosis (Impact from Other Departments)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	(\$7,135)	(\$6,955)	\$180	(\$7,135)	(\$6,955)	\$0	\$180
GF	(\$7,135)	(\$6,955)	\$180	(\$7,135)	(\$6,955)	\$0	\$180
GF Other	(7,135)	(6,955)	180	(7,135)	(6,955)	0	180

Community Crisis Homes for Children	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$4,500	\$4,500	\$0	\$0	\$0	(\$4,500)	\$0
GF	\$4,500	\$4,500	\$0	\$0	\$0	(\$4,500)	\$0
GF Other	4,500	4,500	0	0	0	(4,500)	0

Development of STAR Homes	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$3,000	\$3,000	\$0	\$0	\$0	(\$3,000)	\$0
GF	\$3,000	\$3,000	\$0	\$0	\$0	(\$3,000)	\$0
GF Other	3,000	3,000	0	0	0	(3,000)	0

Early Start Co-Pays	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$1,000	\$1,000	\$0	\$1,000	\$1,000	\$0	\$0
GF	\$1,000	\$1,000	\$0	\$1,000	\$1,000	\$0	\$0
GF Other	1,000	1,000	0	1,000	1,000	0	0

Purchase of Services Policy Funding Detail

EBSH with Delayed Egress and Secured Perimeters	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	7,500	\$7,500	\$7,500	\$0
GF	\$0	\$0	\$0	\$7,500	\$7,500	\$7,500	\$0
GF Other	0	0	0	7,500	7,500	7,500	0

Electronic Visit Verification Penalty	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$5,089	\$5,219	\$5,219	\$130
GF	\$0	\$0	\$0	\$5,089	\$5,219	\$5,219	\$130
GF Other	0	0	0	5,089	5,219	5,219	130

Ongoing Purchase of Services Items	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$46,000	\$46,000	\$0	\$46,000	\$46,000	\$0	\$0
GF	\$33,000	\$33,000	\$0	\$33,000	\$33,000	\$0	\$0
GF Match	13,000	13,000	0	13,000	13,000	0	0
GF Other	20,000	20,000	0	20,000	20,000	0	0
Reimbursements	\$13,000	\$13,000	\$0	\$13,000	\$13,000	\$0	\$0
HCBS Waiver	11,640	11,640	0	11,640	11,640	0	0
1915 (i) SPA	1,360	1,360	0	1,360	1,360	0	0

Purchase of Services Policy Funding Detail

Performance Incentive Program (Withdrawn)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$78,000	\$0	\$0	(\$78,000)
GF	\$0	\$0	\$0	\$60,000	\$0	\$0	(\$60,000)
GF Match	0	0	0	18,000	0	0	(18,000)
GF Other	0	0	0	42,000	0	0	(42,000)
Reimbursements	\$0	\$0	\$0	\$18,000	\$0	\$0	(\$18,000)
HCBS Waiver	0	0	0	15,750		0	(15,750)
1915 (i) SPA	0	0	0	2,250	0	0	(2,250)

Provider Supplemental Rate Increases (Partially withdrawn)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$206,173	\$206,173	\$0	\$430,308	\$430,308	\$224,135	\$0
GF	\$124,523	\$124,523	\$0	\$259,823	\$259,823	\$135,300	\$0
GF Match	81,650	81,650	0	170,485	170,485	88,835	0
GF Other	42,873	42,873	0	89,338	89,338	46,465	0
Reimbursements	\$81,650	\$81,650	\$0	\$170,485	\$170,485	\$88,835	\$0
HCBS Waiver	71,444	71,444	0	149,175	149,175	77,731	0
1915 (i) SPA	10,206	10,206	0	21,310	21,310	11,104	0

SB 3 - Minimum Wage Increase - Effective January 1, 2020	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$91,001	\$91,001	\$0	\$194,754	\$194,754	\$103,753	\$0
GF	\$46,664	\$46,893	\$229	\$99,839	\$100,325	\$53,432	\$486
GF Match	44,336	44,107	(229)	94,914	94,428	50,321	(486)
GF Other	2,328	2,786	458	4,925	5,897	3,111	972
Reimbursements	\$44,337	\$44,108	(\$229)	\$94,915	\$94,429	\$50,321	(\$486)
HCBS Waiver	33,858	33,858	0	72,511	72,511	38,653	0
1915 (i) SPA	10,479	10,250	(229)	22,404	21,918	11,668	(486)

Purchase of Services Policy Funding Detail

SB 3 - Minimum Wage Increase - Effective January 1, 2021	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$120,323	\$120,323	\$120,323	\$0
GF	\$0	\$0	\$0	\$61,394	\$61,695	\$61,695	\$301
GF Match	0	0	0	58,929	58,628	58,628	(301)
GF Other	0	0	0	2,465	3,067	3,067	602
Reimbursements	\$0	\$0	\$0	\$58,929	\$58,628	\$58,628	(\$301)
HCBS Waiver	0	0	0	44,800	44,800	44,800	0
1915 (i) SPA	0	0	0	14,129	13,828	13,828	(301)

Systemic, Therapeutic, Assessment, Resources and Treatment Training (Withdrawn)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$4,540	\$4,540	\$4,540	\$0
GF	\$0	\$0	\$0	\$2,555	\$2,555	\$2,555	\$0
GF Match	0	0	0	1,985	1,985	1,985	0
GF Other	0	0	0	570	570	570	0
Reimbursements	\$0	\$0	\$0	\$1,985	\$1,985	\$1,985	\$0
HCBS Waiver	0	0	0	1,737	1,737	1,737	0
1915 (i) SPA	0	0	0	248	248	248	0

Uniform Holiday Schedule (Withdrawn)	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$49,333	\$49,302	(\$31)	\$51,741	\$51,511	\$2,209	(\$230)
GF	\$29,998	\$30,066	\$68	\$31,434	\$31,312	\$1,246	(\$122)
GF Match	19,335	19,234	(101)	20,306	20,196	962	(110)
GF Other	10,663	10,832	169	11,128	11,116	284	(12)
Reimbursements	\$19,335	\$19,236	(\$99)	\$20,307	\$20,199	\$963	(\$108)
HCBS Waiver	14,617	14,666	49	15,356	15,405	739	49
1915 (i) SPA	4,718	4,570	(148)	4,951	4,794	224	(157)

Purchase of Services Policy Funding Detail

Increased Costs for COVID 19 POS	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$198,600	\$198,600	\$0	\$254,101	\$55,501	\$254,101
GF	\$0	\$130,871	\$130,871	\$0	\$170,773	\$39,902	\$170,773
GF Match	0	67,729	67,729	0	83,328	15,599	83,328
GF Other	0	63,142	63,142	0	87,445	24,303	87,445
Reimbursements	\$0	\$67,729	\$67,729	\$0	\$83,328	\$15,599	\$83,328
HCBS Waiver	0	58,642	58,642	0	72,198	13,556	72,198
1915 (i) SPA	0	0	0	0	11,130	11,130	11,130
ICF-DD	0	9,087	9,087	0	0	(9,087)	0

Medicaid Claiming Adjustment POS	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	\$246,389	\$246,389	\$246,389
GF	\$0	\$0	\$0	\$0	\$282,576	\$282,576	\$282,576
GF Match	0	0	0	0	(36,187)	(36,187)	(36,187)
GF Other	0	0	0	0	318,763	318,763	318,763
Reimbursements	\$0	\$0	\$0	\$0	(\$36,187)	(\$36,187)	(\$36,187)
HCBS Waiver	0	0	0	0	(26,520)	(26,520)	(26,520)
1915 (i) SPA	0	0	0	0	(8,924)	(8,924)	(8,924)
ICF-DD	0	0	0	0	(686)	(686)	(686)
EPSDT	0	0	0	0	(42)	(42)	(42)
BHT - FFS	0	0	0	0	(15)	(15)	(15)

Purchase of Services Policy Funding Detail

Enhanced Federal Funding POS	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0
GF	\$0	(\$163,697)	(\$163,697)	\$0	(\$343,442)	(\$179,745)	(\$343,442)
GF Other	0	(163,697)	(163,697)	0	(343,442)	(179,745)	(343,442)
Reimbursements	\$0	\$163,697	\$163,697	\$0	\$343,442	\$179,745	\$343,442
HCBS Waiver	0	134,180	134,180	0	286,556	152,376	286,556
1915 (i) SPA	0	24,977	24,977	0	46,247	21,270	46,247
ICF-DD	0	2,680	2,680	0	6,989	4,309	6,989
EPSDT	0	1,860	1,860	0	3,650	1,790	3,650

Savings Proposal - POS	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$0	\$0	\$0	\$0	(\$544,602)	(\$544,602)	(\$544,602)
GF	\$0	\$0	\$0	\$0	(\$373,645)	(\$373,645)	(\$373,645)
GF Match	0	0	0	0	(226,954)	(226,954)	(226,954)
GF Other	0	0	0	0	(146,691)	(146,691)	(146,691)
Reimbursements	\$0	\$0	\$0	\$0	(\$172,957)	(\$172,957)	(\$172,957)
HCBS Waiver	0	0	0	0	(138,017)	(138,017)	(138,017)
1915 (i) SPA	0	0	0	0	(29,822)	(29,822)	(29,822)
ICF-DD	0	0	0	0	(3,412)	(3,412)	(3,412)
EPSDT	0	0	0	0	(1,706)	(1,706)	(1,706)
Program Development Fund	\$0	\$0	\$0	\$0	\$2,000	\$2,000	\$2,000

Purchase of Services Policy Funding Detail

Total POS Policy Funding Detail	Governor's Budget 2019-20	Updated 2019-20	2019-20 Request	Governor's Budget 2020-21	Updated 2020-21	2020-21 Over 2019-20	2020-21 Request
TOTAL	\$393,872	\$592,621	\$198,749	\$932,120	\$810,088	\$217,467	(\$122,032)
GF	\$235,550	\$203,201	(\$32,349)	\$554,499	\$231,736	\$28,535	(\$322,763)
GF Match	158,321	225,720	67,399	377,619	178,909	(46,811)	(198,710)
GF Other	77,229	(22,519)	(99,748)	176,880	52,827	75,346	(124,053)
Reimbursements	\$158,322	\$389,420	\$231,098	\$377,621	\$576,352	\$186,932	\$198,731
HCBS Waiver	131,559	324,430	192,871	310,969	489,485	165,055	178,516
1915 (i) SPA	26,763	51,363	24,600	66,652	82,089	30,726	15,437
ICF-DD	0	11,767	11,767	0	2,891	(8,876)	2,891
EPSDT	0	1,860	1,860	0	1,902	42	1,902
BHT - FFS	0	0	0	0	(15)	(15)	(15)
Program Development Fund	\$0	\$0	\$0	\$0	\$2,000	\$2,000	\$2,000

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SECTION L: SUPPLEMENTAL REPORTING

Early Start Expenditures and Population.....	L-1
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Early Start Expenditures And Population

DESCRIPTION:

Per Legislative request, the Department provides projected caseload and expenditures for the Early Start program. Early Start includes consumers from birth through 35 months.

ASSUMPTIONS:

Early Start Expenditure Data Source: State Claims Data file, dated January 2020, for expenditures through October 2019.

2020 May Revision Early Start Estimated Caseload and Expenditures						
Fiscal Year	*Monthly Caseload	POS Expenditures	Per Capita	Federal Funds (POS portion) Early Start	Federal Funds (POS portion) EPSDT	State Funds DDS General Funds
2019-20	46,890	\$462,104,000	\$9,855	\$33,392,000	\$31,863,000	\$396,849,000
2020-21	50,200	\$503,005,000	\$10,020	\$34,012,000	\$34,643,000	\$434,350,000

* Refer to Section E – Population for additional detail on the Early Start population.

The above expenditures do not reflect cost increases due to COVID-19 or savings proposals.

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EXECUTIVE HIGHLIGHTS

OVERVIEW

The Department of Developmental Services (Department) currently operates the secure treatment program at Porterville Developmental Center (Porterville STP), the Canyon Springs state-operated community facility, and Stabilization, Training, Assistance and Reintegration (STAR) homes for individuals with developmental disabilities.

Additionally, the Department operates the Fairview Developmental Center (FDC) in warm-shutdown until a site assessment is completed to inform the disposition of the property.

2019-20 and 2020-21 COVID-19 Health Care Surge Capacity

The Department requests funding for COVID-19 health care surge responses at both FDC and Porterville Developmental Center (PDC). Short-term staffing needs will be addressed through registry contracts.

Recognizing the unique needs of individuals who have an intellectual/developmental disability (I/DD), the Department determined the need for surge capacity specifically for these individuals at FDC and PDC.

The Department was supported through the Governor's Office of Emergency Services to include an Incident Command Team, and with contracted wrap-around support services. Additionally, the Department established and activated staffing contracts to support up to two treatment units at FDC and PDC. The Department established capacity at PDC of 102 and 241 at FDC. Of the 241 beds at FDC, 75 were earmarked to support non-I/DD individuals in the community who are COVID-positive. Unique to FDC surge development, staffing support included a collaborative partnership between the Department and the State's Emergency Management Services Agency, and staff from the US Navy's hospital ship, Mercy.

- 2019-20: Increase costs of \$23.7 million (\$19.7 million General Fund [GF])
- 2020-21: Increase costs of \$82.6 million (\$66.7 million GF)

2019-20

The 2020 May Revision reflects \$350.1 million (\$303.5 million GF), which includes an increase of \$23.7 million (\$19.7 million GF) as compared to the Governor's Budget due to COVID-19 response, including staffing and wrap-around support services.

2020-21

The 2020 May Revision reflects \$382.8 million (\$333.9 million GF), which includes an increase of \$82.6 million (\$66.7 million GF) as compared to the Governor's Budget due to COVID-19 response, including staffing and wrap-around support services.

CAPITAL OUTLAY

The 2020 May Revision includes \$4.7 million GF for the following capital outlay projects:

Fire Sprinklers

Capital Outlay of \$210,000 GF for preliminary plans to modify the design and install an automatic fire sprinkler system in nine residences in the Secure Treatment Area at the Porterville Developmental Center.

Upgrade Fire Alarm System

Increase of \$1.3 million GF to complete the construction phase of the upgrade fire alarm system project at the Porterville Developmental Center. Funding needed for fire life safety.

Nitrate Removal System

Reappropriation of \$3.2 million GF to complete the construction phase of the Nitrate Removal System at the Porterville Developmental Center.

2019 - 20 STATE OPERATED FACILITIES PROGRAM BUDGET
RESIDENTIAL and COMMUNITY SERVICES
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

DC OPERATIONS	May Revision Proposed 2019-20								Governor's Budget	Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	STAR & CAST	Ongoing Costs	COVID-19 Response	Total 2019-20		
A. Units	3.00	5.00	2.00	14.00	7.00	0.00	0.00	31.00	31.00	0.00
1. ICF	3.00	3.00	2.00	13.00	7.00	0.00	0.00	28.00	28.00	0.00
2. SNF	0.00	1.00	0.00	0.00	0.00	0.00	0.00	1.00	1.00	0.00
3. Acute	0.00	1.00	0.00	1.00	0.00	0.00	0.00	2.00	2.00	0.00
B. Population										
1. Actual Beginning 7/1/2019	56	42	34	211	20	0	0	363	363	0
2. Population Adjustment	0	-42	-34	0	15	0	0	-61	-61	0
3. Ending 6/30/2020	56	0	0	211	35	0	0	302	302	0
C. Positions	229.0	575.5	132.1	1,352.0	221.5	8.0	0.0	2,518.2	2,518.2	0.0
D. Operations Expenditures										
1. Personal Services	\$23,113	\$37,681	\$5,381	\$143,962	\$23,371	\$32,402	\$0	\$265,910	\$265,910	\$0
2. OE&E	4,889	6,023	264	24,218	4,861	3,059	23,713	67,027	43,314	23,713
3. Lease Revenue Bond	0	0	0	9,112	0	0	0	9,112	9,112	0
Total Operations Expenditures	\$28,003	\$43,704	\$5,645	\$177,292	\$28,232	\$35,461	\$23,713	\$342,050	\$318,337	\$23,713
E. Control Section Adjustments										
1. Employee Compensation and Retirement	\$737	\$1,425	\$294	\$5,064	\$506	\$18	\$0	\$8,044	\$8,044	\$0
GRAND TOTAL	\$28,740	\$45,129	\$5,939	\$182,356	\$28,738	\$35,479	\$23,713	\$350,094	\$326,381	\$23,713

FUND SOURCES:	Canyon Springs	Fairview	Porterville GTA	Porterville STP	STAR & CAST	Ongoing Costs	COVID-19 Response	Total 2019-20	Total 2019-20	Request
A. General Funds	\$14,373	\$35,782	\$3,003	\$180,499	\$23,099	\$27,072	\$19,699	\$303,527	\$283,828	\$19,699
1. General Fund Match	14,330	9,280	2,891	1,814	5,639	2,281	4,014	40,249	36,235	4,014
2. General Fund Other	43	26,502	112	178,685	17,459	24,791	15,685	263,278	247,593	15,685
B. Medi-Cal Reimbursements	\$14,330	\$9,280	\$2,891	\$1,814	\$5,639	\$8,407	\$4,014	\$46,375	\$42,361	\$4,014
1. Medi-Cal Reimbursements	14,330	9,280	2,891	1,814	5,639	2,281	4,014	40,249	36,235	4,014
2. Reimbursements Other	0	0	0	0	0	6,126	0	6,126	6,126	0
C. Lottery Education Fund	\$36	\$68	\$44	\$44	\$0	\$0	\$0	\$192	\$192	\$0
GRAND TOTAL	\$28,740	\$45,129	\$5,939	\$182,356	\$28,738	\$35,479	\$23,713	\$350,094	\$326,381	\$23,713

Notes:

The total number of units at Fairview reflect the number of units open on July 1, 2019 and operational until December 31, 2019.

The total number of units at Porterville GTA reflect 2.0 unit open from July 1, 2019 to September 30, 2019 and 1.0 unit will continue to December 31, 2019.

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program, and the Interagency Agreement with the Department of General Services.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2020 - 21 STATE OPERATED FACILITIES PROGRAM BUDGET
RESIDENTIAL and COMMUNITY SERVICES
EXECUTIVE SUMMARY TABLE
(Dollars in thousands)

DC OPERATIONS	May Revision Proposed 2020-21								Governor's Budget	Request
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	STAR & CAST	Ongoing Costs	COVID-19 Response	Total 2020-21		
A. Units	3.00	0.00	0.00	15.00	7.00	0.00	0.00	25.00	25.00	0.00
1. ICF	3.00	0.00	0.00	14.00	7.00	0.00	0.00	24.00	24.00	0.00
2. SNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3. Acute	0.00	0.00	0.00	1.00	0.00	0.00	0.00	1.00	1.00	0.00
B. Population										
1. Estimated Beginning 7/1/2020	56	0	0	211	35	0	0	302	302	0
2. Population Adjustment	0	0	0	20	0	0	0	20	20	0
3. Ending 6/30/2021	56	0	0	231	35	0	0	322	322	0
C. Positions	236.0	54.0	0.0	1,424.3	221.5	16.0	0.0	1,951.8	1,951.8	0.0
D. Operations Expenditures										
1. Personal Services	\$24,117	\$8,386	\$0	\$153,940	\$23,495	\$36,175	\$0	\$246,114	\$246,114	\$0
2. OE&E	5,019	3,568	0	22,831	4,945	2,014	82,628	121,005	38,377	82,628
3. Lease Revenue Bond	0	0	0	9,151	0	0	0	9,151	9,151	0
Total Operations Expenditures	\$29,136	\$11,954	\$0	\$185,922	\$28,440	\$38,189	\$82,628	\$376,269	\$293,641	\$82,628
E. Control Section Adjustments										
Employee Compensation and Retirement	\$752	\$0	\$0	\$5,259	\$515	\$19	\$0	\$6,545	\$6,545	\$0
GRAND TOTAL	\$29,888	\$11,954	\$0	\$191,181	\$28,955	\$38,208	\$82,628	\$382,814	\$300,186	\$82,628

FUND SOURCES:	Canyon Springs	Fairview	Porterville GTA	Porterville STP	STAR & CAST	Ongoing Costs	COVID-19 Response	Total 2020-21	Total 2020-21	Request
A. General Funds	\$14,926	\$11,954	\$0	\$191,085	\$17,454	\$31,708	\$66,734	\$333,860	\$267,126	\$66,734
1. General Fund Match	14,867	0	0	0	11,501	216	15,894	42,478	26,584	15,894
2. General Fund Other	59	11,954	0	191,085	5,953	31,492	50,840	291,383	240,543	50,840
B. Reimbursements	\$14,867	\$0	\$0	\$0	\$11,501	\$6,500	\$15,894	\$48,762	\$32,868	\$15,894
1. Medi-Cal Reimbursements	14,867	0	0	0	11,501	216	15,894	42,478	26,584	15,894
2. Reimbursements Other	0	0	0	0	0	6,284	0	6,284	6,284	0
C. Lottery Education Fund	\$96	\$0	\$0	\$96	\$0	\$0	\$0	\$192	\$192	\$0
GRAND TOTAL	\$29,888	\$11,954	\$0	\$191,181	\$28,955	\$38,208	\$82,628	\$382,814	\$300,186	\$82,628

Notes:

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program, and the Interagency Agreement with the Department of General Services.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

2020 - 21 Comparison to Updated 2019 - 20
RESIDENTIAL and COMMUNITY SERVICES
Executive Summary Table
(Dollars in thousands)

May Revision Proposed 2020-21								
	Canyon Springs	Fairview	Porterville STP	STAR & CAST	Ongoing Costs	COVID-19 Response	Total 2020-21	May Revision 2019-20
DC OPERATIONS								2020-21 Request
A. Units	3.00	0.00	15.00	7.00	0.00	0.00	25.00	31.00
1. ICF	3.00	0.00	14.00	7.00	0.00	0.00	24.00	28.00
2. SNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.00
3. Acute	0.00	0.00	1.00	0.00	0.00	0.00	1.00	2.00
B. Population								
1. Estimated Beginning 7/1/2020	56	0	211	35	0	0	302	363
2. Population Adjustment	0	0	20	0	0	0	20	-61
3. Ending 6/30/2021	56	0	231	35	0	0	322	302
C. Positions	236.0	54.0	1,424.3	221.5	16.0	0.0	1,951.8	2,518.2
D. Operations Expenditures								
1. Personal Services	\$24,117	\$8,386	\$153,940	\$23,495	\$36,175	\$0	\$246,114	\$265,910
2. OE&E	5,019	3,568	22,831	4,945	2,014	82,628	121,005	67,027
3. Lease Revenue Bond	0	0	9,151	0	0	0	9,151	9,112
Total Operations Expenditures	\$29,136	\$11,954	\$185,922	\$28,440	\$38,189	\$82,628	\$376,269	\$342,050
E. Control Section Adjustments								
1. Employee Compensation and Retirement	\$752	\$0	\$5,259	\$515	\$19	\$0	\$6,545	\$8,044
GRAND TOTAL	\$29,888	\$11,954	\$191,181	\$28,955	\$38,208	\$82,628	\$382,814	\$350,094

	Canyon Springs	Fairview	Porterville STP	STAR & CAST	Ongoing Costs	COVID-19 Response	Total 2020-21	May Revision 2019-20
FUND SOURCES:								2020-21 Request
A. General Funds	\$14,926	\$11,954	\$191,085	\$17,454	\$31,708	\$66,734	\$333,860	\$303,527
1. General Fund Match	14,867	0	0	11,501	216	15,894	42,478	40,249
2. General Fund Other	59	11,954	191,085	5,953	31,492	50,840	291,383	263,278
B. Reimbursements	\$14,867	\$0	\$0	\$11,501	\$6,500	\$15,894	\$48,762	\$46,375
1. Medi-Cal Reimbursements	14,867	0	0	11,501	216	15,894	42,478	40,249
2. Reimbursements Other	0	0	0	0	6,284	0	6,284	6,126
C. Lottery Education Fund	\$96	\$0	\$96	\$0	\$0	\$0	\$192	\$192
GRAND TOTAL	\$29,888	\$11,954	\$191,181	\$28,955	\$38,208	\$82,628	\$382,814	\$350,094

Notes:

The Ongoing Costs column reflects Workers' Compensation costs for both opened and closed facilities, positions and costs associated with the Regional Resource Development Program.

Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

SYSTEMWIDE POPULATION

Current Year 2019-20						
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	STAR & CAST	Total
2020-21 Governor's Budget						
Population, July 1, 2019	56	42	34	211	20	363
Change (+/-)	0	-42	-34	0	15	-61
Ending Population, June 30, 2020	56	0	0	211	35	302
Proposed May Revision for CY 2019-20						
Population, July 1, 2019	56	42	34	211	20	363
Change (+/-)	0	-42	-34	0	15	-61
Ending Population, June 30, 2020	56	0	0	211	35	302
Population Change CY 2019-20	0	0	0	0	0	0

Budget Year 2020-21						
	Canyon Springs	Fairview	Porterville GTA	Porterville STP	STAR & CAST	Total
2020-21 Governor's Budget						
Population, July 1, 2020	56	0	0	211	35	302
Change (+/-)	0	0	0	20	0	20
Ending Population, June 30, 2021	56	0	0	231	35	322
Proposed May Revision for BY 2020-21						
Population, July 1, 2020	56	0	0	211	35	302
Change (+/-)	0	0	0	20	0	20
Ending Population, June 30, 2021	56	0	0	231	35	322
Population Change BY 2020-21	0	0	0	0	0	0

Canyon Springs Community Facility
Proposed May Revision to Governor's Budget
Current Year 2019-20
(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	229.0	229.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$23,113	\$23,113	\$0
<i>Staff OE&E</i>	1,698	1,698	0
<i>Resident OE&E</i>	1,230	1,230	0
<i>Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$28,002	\$28,002	\$0
Total Operations Expenditures	\$28,002	\$28,002	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$737	\$737	\$0
Total Expenditures	\$28,739	\$28,739	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$14,373	\$14,373	\$0
<i>Reimbursements</i>	14,330	14,330	0
<i>Lottery Fund</i>	<u>36</u>	<u>36</u>	<u>0</u>
Fund, Total	\$28,739	\$28,739	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
Proposed May Revision to Governor's Budget
Current Year 2019-20
(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	42	42	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	1.00	1.00	0.00
<i>Acute</i>	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	5.00	5.00	0.00
Positions			
<i>Unit Staffing</i>	259.2	259.2	0.0
<i>Program Support</i>	<u>316.4</u>	<u>316.4</u>	<u>0.0</u>
Positions, Total	575.5	575.5	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$14,027	\$14,027	\$0
<i>Staff OE&E</i>	701	701	0
<i>Resident OE&E</i>	<u>479</u>	<u>479</u>	<u>0</u>
Unit Staffing, Total	\$15,207	\$15,207	\$0
<i>Program Support</i>			
<i>Personal Services</i>	\$23,655	\$23,655	\$0
<i>Staff OE&E</i>	1,073	1,073	0
<i>Fixed Facility OE&E</i>	<u>3,769</u>	<u>3,769</u>	<u>0</u>
Program Support, Total	\$28,497	\$28,497	\$0
Total Operations Expenditures	\$43,704	\$43,704	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$1,425	\$1,425	\$0
Total Expenditures	\$45,129	\$45,129	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$35,782	\$35,782	\$0
<i>Reimbursements</i>	9,280	9,280	0
<i>Lottery Fund</i>	<u>68</u>	<u>68</u>	<u>0</u>
Fund, Total	\$45,129	\$45,129	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

Proposed May Revision to Governor's Budget

Current Year 2019-20

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	34	34	0
Units			
<i>ICF</i>	2.00	2.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	2.00	2.00	0.00
Positions			
<i>Unit Staffing</i>	132.1	132.1	0.0
<i>Program Support</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Positions, Total	132.1	132.1	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$5,381	\$5,381	\$0
<i>Staff OE&E</i>	178	178	0
<i>Resident OE&E</i>	<u>86</u>	<u>86</u>	<u>0</u>
Unit Staffing, Total	\$5,645	\$5,645	\$0
<i>Program Support</i>			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	0	0	0
<i>Fixed Facility OE&E</i>	<u>0</u>	<u>0</u>	<u>0</u>
Program Support, Total	\$0	\$0	\$0
Total Operations Expenditures	\$5,645	\$5,645	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$294	\$294	\$0
Total Expenditures	\$5,939	\$5,939	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$3,003	\$3,003	\$0
<i>Reimbursements</i>	2,891	2,891	0
<i>Lottery Fund</i>	<u>44</u>	<u>44</u>	<u>0</u>
Fund, Total	\$5,939	\$5,939	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - Secure Treatment Program

Proposed May Revision to Governor's Budget

Current Year 2019-20

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	211	211	0
Units			
<i>ICF*</i>	13.00	13.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	1.00	1.00	0.00
Units, Total	14.00	14.00	0.00
Positions			
<i>Unit Staffing</i>	763.0	763.0	0.0
<i>Program Support</i>	513.5	513.5	0.0
<i>Intensive Treatment Residence - Behavioral</i>	37.8	37.8	0.0
<i>Intensive Treatment Residence - Medical</i>	37.8	37.8	0.0
Positions, Total	1,352.0	1,352.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$83,268	\$83,268	\$0
<i>Staff OE&E</i>	4,647	4,647	0
<i>Resident OE&E</i>	6,086	6,086	0
Unit Staffing, Total	\$94,001	\$94,001	\$0
<i>Program Support</i>			
<i>Personal Services</i>	\$52,681	\$52,681	\$0
<i>Staff OE&E</i>	3,148	3,148	0
<i>Fixed Facility OE&E**</i>	18,986	18,986	0
Program Support, Total	\$74,815	\$74,815	\$0
<i>Intensive Treatment Residence - Behavioral</i>			
<i>Personal Services</i>	\$4,007	\$4,007	\$0
<i>Staff OE&E</i>	231	231	0
IBTR - Behavioral, Total	\$4,238	\$4,238	\$0
<i>Intensive Treatment Residence - Medical</i>			
<i>Personal Services</i>	\$4,007	\$4,007	\$0
<i>Staff OE&E</i>	231	231	0
IBTR - Medical, Total	\$4,238	\$4,238	\$0
Total Operations Expenditures	\$177,292	\$177,292	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$5,064	\$5,064	\$0
Total Expenditures	\$182,356	\$182,356	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$180,498	\$180,498	\$0
<i>Reimbursements</i>	1,814	1,814	0
<i>Lottery Fund</i>	44	44	0
Fund, Total	\$182,356	\$182,356	\$0

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Proposed May Revision to Governor's Budget
Current Year 2019-20
(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	20	20	0
Units			
ICF	7.00	7.00	0.00
SNF	0.00	0.00	0.00
Acute	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	7.00	7.00	0.00
Positions			
STAR	206.5	206.5	0.0
CAST	<u>15.0</u>	<u>15.0</u>	<u>0.0</u>
Positions, Total	221.5	221.5	0.0
Operations Expenditures			
<u>STAR</u>			
Personal Services	\$21,533	\$21,533	\$0
Staff OE&E	2,090	2,090	0
Resident OE&E	1,120	1,120	0
Fixed Facility OE&E	<u>1,216</u>	<u>1,216</u>	<u>0</u>
STAR, Total	\$25,959	\$25,959	\$0
<u>CAST</u>			
Personal Services	\$1,838	\$1,838	\$0
Staff OE&E	<u>435</u>	<u>435</u>	
CAST, Total	\$2,273	\$2,273	\$0
Total Operations Expenditures	\$28,232	\$28,232	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$506	\$506	\$0
Total Expenditures	\$28,738	\$28,738	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
General Fund	\$23,099	\$23,099	\$0
Reimbursements	5,639	5,639	0
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$28,738	\$28,738	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Proposed May Revision to Governor's Budget

Current Year 2019-20

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	0	0
Positions			
North Regional Resource Development Project	<u>8.0</u>	<u>8.0</u>	<u>0.0</u>
Total	8.0	8.0	0.0
North RRDP			
Personal Services	\$950	\$950	\$0
Staff OE&E	<u>36</u>	<u>36</u>	<u>0</u>
Total	\$986	\$986	\$0
Workers' Compensation			
Personal Services	<u>\$28,349</u>	<u>\$28,349</u>	<u>\$0</u>
Total	\$28,349	\$28,349	\$0
Interagency Agreement with DGS			
Personal Services	\$4,382	\$4,382	\$0
Staff OE&E	178	178	0
Fixed Facility OE&E	<u>1,566</u>	<u>1,566</u>	<u>0</u>
Total	\$6,126	\$6,126	\$0
Total Operations Expenditures	\$35,461	\$35,461	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$18	\$18	\$0
Total Expenditures	\$35,479	\$35,479	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
General Fund	\$27,072	\$27,072	\$0
Reimbursements	8,407	8,407	0
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$35,479	\$35,479	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

COVID-19 Response

Proposed May Revision to Governor's Budget

Current Year 2019-20

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2019	0	0	0
Fairview DC			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	<u>15,413</u>	<u>0</u>	<u>15,413</u>
Total	\$15,413	\$0	\$15,413
Porterville DC			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	<u>8,300</u>	<u>0</u>	<u>8,300</u>
Total	\$8,300	\$0	\$8,300
Total Operations Expenditures	\$23,713	\$0	\$23,713
Total Expenditures	\$23,713	\$0	\$23,713

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$19,699	\$0	\$19,699
<i>Reimbursements</i>	4,014	0	4,014
<i>Lottery Fund</i>	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$23,713	\$0	\$23,713

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Canyon Springs Community Facility
Proposed May Revision to Governor's Budget
Budget Year 2020-21
(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	56	56	0
Units			
<i>ICF</i>	3.00	3.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	3.00	3.00	0.00
Positions			
<i>Unit Staffing</i>	236.0	236.0	0.0
Operations Expenditures			
<i>Unit Staffing</i>			
<i>Personal Services</i>	\$24,117	\$24,117	\$0
<i>Staff OE&E</i>	1,732	1,732	0
<i>Resident OE&E</i>	1,326	1,326	0
<i>Facility OE&E</i>	<u>1,961</u>	<u>1,961</u>	<u>0</u>
Unit Staffing, Total	\$29,136	\$29,136	\$0
Total Operations Expenditures	\$29,136	\$29,136	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$752	\$752	\$0
Total Expenditures	\$29,888	\$29,888	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$14,926	\$14,926	\$0
<i>Reimbursements</i>	14,867	14,867	0
<i>Lottery Fund</i>	<u>96</u>	<u>96</u>	<u>0</u>
Fund, Total	\$29,888	\$29,888	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Fairview Developmental Center
Proposed May Revision to Governor's Budget
Budget Year 2020-21
(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	0	0	0
Units			
ICF	0.00	0.00	0.00
SNF	0.00	0.00	0.00
Acute	0.00	0.00	0.00
Units, Total	0.00	0.00	0.00
Positions			
Unit Staffing	0.0	0.0	0.0
Program Support	54.0	54.0	0.0
Positions, Total	54.0	54.0	0.0
Operations Expenditures			
Unit Staffing			
Personal Services	\$0	\$8,386	-\$8,386
Staff OE&E	0	3,568	-3,568
Resident OE&E	0	0	0
Unit Staffing, Total	\$0	\$11,954	-\$11,954
Program Support			
Personal Services	\$8,386	\$0	\$8,386
Staff OE&E	304	0	304
Fixed Facility OE&E	\$3,264	0	\$3,264
Program Support, Total	\$11,954	\$0	\$11,954
Total Operations Expenditures	\$11,954	\$11,954	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$0	\$0	\$0
Major Assumptions			
Lump Sum Payouts	\$0	\$0	\$0
Total Expenditures	\$11,954	\$11,954	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
General Fund	\$11,954	\$11,954	\$0
Reimbursements	0	0	0
Lottery Fund	0	0	0
Fund, Total	\$11,954	\$11,954	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Porterville Developmental Center - General Treatment Area

Proposed May Revision to Governor's Budget

Budget Year 2020-21

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	0	0	0
Units			
<i>ICF</i>	0.00	0.00	0.00
<i>SNF</i>	0.00	0.00	0.00
<i>Acute</i>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	0.00	0.00	0.00
Positions			
<i>Unit Staffing</i>	0.0	0.0	0.0
<i>Program Support</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Positions, Total	0.0	0.0	0.0
Operations Expenditures			
<u>Unit Staffing</u>			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	0	0	0
<i>Resident OE&E</i>	<u>0</u>	<u>0</u>	<u>0</u>
Unit Staffing, Total	\$0	\$0	\$0
<u>Program Support</u>			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	0	0	0
<i>Fixed Facility OE&E</i>	<u>0</u>	<u>0</u>	<u>0</u>
Program Support, Total	\$0	\$0	\$0
Total Operations Expenditures	\$0	\$0	\$0
Control Section Adjustments			
<i>Employee Comp and Retirement</i>	\$0	\$0	\$0
Major Assumptions			
Lump Sum	\$0	\$0	\$0
Total Expenditures	\$0	\$0	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$0	\$0	\$0
<i>Reimbursements</i>	0	0	0
<i>Lottery Fund</i>	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$0	\$0	\$0

Porterville Developmental Center - Secure Treatment Program

Proposed May Revision to Governor's Budget

Budget Year 2020-21

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	211	211	0
Units			
ICF*	14.00	14.00	0.00
SNF	0.00	0.00	0.00
Acute	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
Units, Total	15.00	15.00	0.00
Positions			
Unit Staffing	830.8	830.8	0.0
Program Support	516.0	516.0	0.0
Intensive Treatment Residence - Behavioral	37.8	37.8	0.0
Intensive Treatment Residence - Medical	37.8	37.8	0.0
Forensic Team	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>
Positions, Total	1,424.3	1,424.3	0.0
Operations Expenditures			
Unit Staffing			
Personal Services	\$92,075	\$92,075	\$0
Staff OE&E	5,094	5,094	0
Resident OE&E	<u>6,752</u>	<u>6,752</u>	<u>0</u>
Unit Staffing, Total	\$103,921	\$103,921	\$0
Program Support			
Personal Services	\$53,556	\$53,556	\$0
Staff OE&E	3,163	3,163	0
Fixed Facility OE&E**	<u>16,489</u>	<u>16,489</u>	<u>0</u>
Program Support, Total	\$73,209	\$73,209	\$0
Intensive Treatment Residence - Behavioral			
Personal Services	\$3,965	\$3,965	\$0
Staff OE&E	<u>231</u>	<u>231</u>	<u>0</u>
IBTR - Behavioral, Total	\$4,196	\$4,196	\$0
Intensive Treatment Residence - Medical			
Personal Services	\$3,965	\$3,965	\$0
Staff OE&E	<u>231</u>	<u>231</u>	<u>0</u>
IBTR - Medical, Total	\$4,196	\$4,196	\$0
Forensic Team			
Personal Services	\$380	\$380	\$0
Staff OE&E	<u>20</u>	<u>20</u>	<u>0</u>
IBTR - Medical, Total	\$400	\$400	\$0
Total Operations Expenditures	\$185,922	\$185,922	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$5,259	\$5,259	\$0
Total Expenditures	\$191,181	\$191,181	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
General Fund	\$191,085	\$191,085	\$0
Reimbursements	0	0	0
Lottery Fund	<u>96</u>	<u>96</u>	<u>0</u>
Fund, Total	\$191,181	\$191,181	\$0

*Total ICF includes 2.0 Intensive Treatment Residence (Behavioral and Medical) Units that are licensed as ICF.

**Fixed Facility includes Lease Revenue Debt Service Payment.

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

STAR & CAST

Proposed May Revision to Governor's Budget
Budget Year 2020-21
(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	35	35	0
Units			
ICF	7.00	7.00	0.00
SNF	0.00	0.00	0.00
Acute	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Units, Total	7.00	7.00	0.00
Positions			
STAR	206.5	206.5	0.0
CAST	<u>15.0</u>	<u>15.0</u>	<u>0.0</u>
Positions, Total	221.5	221.5	0.0
Operations Expenditures			
<u>STAR</u>			
Personal Services	\$21,640	\$21,640	\$0
Staff OE&E	1,571	1,571	0
Resident OE&E	1,120	1,120	0
Fixed Facility OE&E	<u>1,819</u>	<u>1,819</u>	<u>0</u>
STAR, Total	\$26,150	\$26,150	\$0
<u>CAST</u>			
Personal Services	\$1,855	\$1,855	\$0
Staff OE&E	<u>435</u>	<u>435</u>	<u>0</u>
CAST, Total	\$2,290	\$2,290	\$0
Total Operations Expenditures	\$28,440	\$28,440	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$515	\$515	\$0
Total Expenditures	\$28,955	\$28,955	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
General Fund	\$17,454	\$17,454	\$0
Reimbursements	11,501	11,501	0
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$28,955	\$28,955	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

Ongoing Costs

Proposed May Revision to Governor's Budget

Budget Year 2020-21

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	0	0	0
Positions			
North Regional Resource Development Project	8.0	8.0	0.0
South Regional Resource Development Project	<u>8.0</u>	<u>8.0</u>	<u>0.0</u>
	16.0	16.0	0.0
North RRDP			
Personal Services	\$946	\$946	<u>\$0</u>
Staff OE&E	<u>36</u>	<u>36</u>	0
Total	\$983	\$983	<u>\$0</u>
South RRDP			
Personal Services	\$1,002	\$1,002	\$0
Staff OE&E	<u>76</u>	<u>76</u>	<u>0</u>
Total	\$1,078	\$1,078	\$0
Workers' Compensation			
Personal Services	<u>\$28,349</u>	<u>\$28,349</u>	<u>\$0</u>
Total	\$28,349	\$28,349	\$0
Interagency Agreement with DGS			
Personal Services	\$4,382	\$4,382	\$0
Staff OE&E	178	178	0
Fixed Facility OE&E	<u>1,724</u>	<u>1,724</u>	<u>0</u>
Total	\$6,284	\$6,284	\$0
Post Closure Costs			
Personal Services	<u>\$1,495</u>	<u>\$1,495</u>	<u>\$0</u>
Total	\$1,495	\$1,495	\$0
Total Operations Expenditures	\$38,189	\$38,189	\$0
Control Section Adjustments			
Employee Comp and Retirement	\$19	\$19	\$0
Total Expenditures	\$38,208	\$38,208	\$0

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
General Fund	\$31,708	\$31,708	\$0
Reimbursements	6,500	6,500	0
Lottery Fund	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$38,208	\$38,208	\$0

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

COVID-19 Response

Proposed May Revision to Governor's Budget

Budget Year 2020-21

(Dollars in thousands)

	2020 May Revision	2020 Governor's Budget	Incremental Change
Beginning Resident Population, July 1, 2020	0	0	0
Fairview DC			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	<u>53,708</u>	<u>0</u>	<u>53,708</u>
Total	\$53,708	\$0	\$53,708
Porterville DC			
<i>Personal Services</i>	\$0	\$0	\$0
<i>Staff OE&E</i>	<u>28,920</u>	<u>0</u>	<u>28,920</u>
Total	\$28,920	\$0	\$28,920
Total Operations Expenditures	\$82,628	\$0	\$82,628
Total Expenditures	\$82,628	\$0	\$82,628

	2020 May Revision	2020 Governor's Budget	Incremental Change
Fund Sources			
<i>General Fund</i>	\$66,734	\$0	\$66,734
<i>Reimbursements</i>	15,894	0	15,894
<i>Lottery Fund</i>	<u>0</u>	<u>0</u>	<u>0</u>
Fund, Total	\$82,628	\$0	\$82,628

Note: Slight rounding differences may occur between the Executive Summary Table and DC Detail Sheets.

General Fund

DESCRIPTION:

The General Fund is used for two purposes: 1) as a match to Title XIX Reimbursement funds received for payment of resources/services for Medi-Cal eligible residents [these funds are referred to as "General Fund Match"] and 2) to pay for non-Medi-Cal eligible resources/services for residents in the developmental centers and the state-operated community facility (DC/CF). These funds are referred to as "General Fund Other."

ASSUMPTIONS/METHODOLOGY:

			<u>2019-20</u>	<u>2020-21</u>
• General Fund Match:			\$40,249	\$42,478
General Fund Match to FMAP	<u>2019-20</u>	<u>2020-21</u>		
Non-Proposition 98	50.00%	50.00%	39,764	41,992
Proposition 98	50.00%	50.00%	305	305
HIPAA	50.00%	50.00%	180	180

This portion of General Fund is required as a match to Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation costs are established by utilizing the federal medical assistance percentages (FMAP) provided by DHCS.

• General Fund Other			\$263,278	\$291,383
This funding is for services provided to the DC/CF residents who are not eligible for federal or other reimbursement funding. Funding also includes \$9.1 million in 2019-20 and \$9.1 million in 2020-21 for Lease-Revenue Bonds.			263,278	291,383

TOTAL GENERAL FUND			\$303,527	\$333,860
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Dollars are in thousands

Reimbursements

Title XIX/Medi-Cal

DESCRIPTION:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

ASSUMPTIONS/METHODOLOGY:

2019-202020-21

- Title XIX/Medi-Cal

\$30,596

\$17,149

Title XIX Medi-Cal Reimbursements fund eligible services provided to residents in the DCs/CF system via DHCS. The federal financial participation costs are established by utilizing the FMAP provided by DHCS.

FMAP

2019-202020-21

Non-Proposition 98

50.00%

50.00%

30,596

17,149

Proposition 98

50.00%

50.00%

0

0

HIPAA

50.00%

50.00%

0

0

Federal Reimbursements

DESCRIPTION:

The 1915(i) State Plan Amendment and the Home and Community-Based Services (HCBS) Waiver programs fund a broad array of services to eligible individuals in their communities. The Department received federal approval for matching funds for services provided in the STAR homes and by CAST to individuals in a crisis situation.

- HCBS Waiver

\$8,398

\$21,768

- 1915(i)

\$1,255

\$3,561

- Reimbursement Other

\$6,126

\$6,284

TOTAL REIMBURSEMENTS

\$46,375

\$48,762

Dollars are in thousands

Lottery Education Fund

DESCRIPTION:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

ASSUMPTIONS/METHODOLOGY:

2019-202020-21**Lottery Education Fund:**

\$192

\$192

- The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in the developmental centers and the state-operated community facility. Funds received are based on average daily attendance.

TOTAL LOTTERY EDUCATION FUND**\$192****\$192**

Dollars are in thousands

SB 82 Reporting

Pursuant to Chapter 23, Statutes of 2015 (SB 82), the Department provides the following information regarding General Fund (GF) savings associated with the downsizing or closure of the Developmental Centers (DC).

In Table 1, below, negative amounts reflect savings; positive amounts reflect increased expenditures. The Prior Years Cumulative Total column displays the funding for 2015-16 through 2018-19. The 2019-20 column contains funding impacts related to six programs or activities affected by the Sonoma DC, Fairview DC, and Porterville DC GTA closures. Changes in 2019-20 reflect the associated employee compensation and retirement adjustments for Program 1; and updated budget amounts for Community Services - Continuation Costs, Program 4.

The 2020-21 column reflects changes in DCs – Operations Adjustments, Program 1; DCs – Closure Activities, Program 2; Community Services – Continuation Costs, Program 4; Safety Net Resources, Program 6. No changes in Community Services – Closure Placement, Program 3; and HQ – Closure Coordination and Oversight, Program 5.

Cumulatively, net expenditures driven by closure-related activities total \$345 million (\$277 million GF). While overall DC operations expenditures are decreasing in 2019-20 and 2020-21, expenditures to develop community-based resources and to support individuals living in the community exceed savings on the aggregate.

Table 1
DC Closures – Funding Impacts
Dollars in Thousands

Program/Activity	Prior Years Cumulative Total		2019-20		2020-21		Cumulative Total	
	TF	GF	TF	GF	TF	GF	TF	GF
1. DCs - Operations Adjustments	-\$161,121	-\$77,423	-\$109,899	-\$67,530	-\$38,956	-\$26,831	-\$309,976	-\$171,784
2. DCs - Closure Activities	\$36,365	\$21,034	-\$635	-\$1,873	\$0	\$0	\$35,730	\$19,161
3. Community Services - Closure Placement	\$178,200	\$158,400	\$0	\$0	\$0	\$0	\$178,200	\$158,400
4. Community Services - Continuation Costs	\$229,100	\$136,000	\$70,407	\$36,236	\$49,176	\$25,308	\$348,683	\$197,544
5. HQ - Closure Coordination & Oversight	\$6,300	\$5,400	\$2,100	\$1,800	\$2,100	\$1,800	\$10,500	\$9,000
6. Safety Net Resources	<u>\$21,138</u>	<u>\$21,138</u>	<u>\$31,738</u>	<u>\$26,099</u>	<u>\$28,955</u>	<u>\$17,454</u>	<u>\$81,831</u>	<u>\$64,691</u>
Total	\$309,982	\$264,549	-\$6,289	-\$5,268	\$41,275	\$17,731	\$344,968	\$277,012

The amounts in Table 1 do not include other state department-funded expenditures, such as Medi-Cal and In-Home Supportive Services, for individuals who moved out of the DCs into the community. The following information provides details and explanations for each program/activity area.

1. DCs – Operations Adjustments

DC - Operations Adjustments include personnel expenditures to care for residents and to operate and maintain the facilities. It also includes staff, resident, and facility OE&E expenses. The Governor's Budget proposes decreases in Operations expenditures in 2019-20 of \$109.9 million (\$67.6 million GF) as compared to prior year; for 2020-21 \$38.9 million (\$26.8 million GF) as compared to the updated 2019-20 operations expenditures. The decreases result from the closure of residential programs at Fairview and Porterville GTA.

2. DCs – Closure Activities

DCs – Closure Activities program reflects zero funds as the activities were budgeted only at Fairview and Porterville GTA, the reductions are displayed as negatives within Program 1.

3. Community Services – Closure Community Placement Plan (CPP)

No change to the budget amount for program 3, the residents located at facilities slated for closure were placed in community settings, negating the need for additional CPP funding for closure population.

4. Community Services – Continuation Costs

The budget request reflects funding based on actual costs from 2018-19 placements.

5. Headquarters – Closure Coordination and Oversight

There are no changes for 2019-20 or 2020-21 for headquarters.

6. Safety Net Resources

The remaining \$28.9 million in Program 6 is funding needed for the ongoing operation of the STAR Homes and CAST.