

## Home and Community-Based Services (HCBS) Rules CONCEPT FORM

The Home and Community-Based Services (HCBS) rules ensure that people with disabilities have full access to, and enjoy the benefits of, community living through long-term services and supports in the most integrated settings of their choosing. In order to assist in determining eligibility for compliance funding, providers must complete this evaluation. Both “Yes” and “No” answers require an explanation. A “No” response *could* mean a service setting is out of compliance with the HCBS rules and is potentially eligible for funding to make necessary adjustments. Once this evaluation is completed, it should act as a guide for filling out the provider compliance funding concept, which is required for any provider to be eligible for compliance funding. **Completion of this evaluation is for the sole purpose of applying for compliance funding and does not take the place of future provider assessments that the Department may require to determine provider compliance with the HCBS settings rules. Only providers requesting compliance funding need to complete this evaluation.**

Federal Requirements #1-5 apply to providers of all services, including residential and non-residential settings. Federal Requirements #6-10 are additional requirements that apply only to provider-owned or controlled residential settings.

The column labeled “Guidance” contains a series of questions intended to help identify compliance or non-compliance with each requirement as it relates to the HCBS rules. While responses to these questions can help in the determination of whether or not a particular requirement is met, these responses may not be the sole factor in this determination.

More information on the HCBS rules and this form can be found at [www.dds.ca.gov/HCBS](http://www.dds.ca.gov/HCBS).

Questions may be directed to [HCBSregs@dds.ca.gov](mailto:HCBSregs@dds.ca.gov).

Date(s) of Evaluation: November 21, 2019	Completed by: Jeffrey Popkin LCSW, ACSW C-ASWCM
Vendor Name, Address, Contact: Bakersfield ARC, 2240 S. Union Ave, Bakersfield CA 93307 (661) 834-2272 jpopkin@barc-inc.org	
Vendor Number: PK 5126 HK2877	
Service Type and Code: Community Based Day Program 055 , Supported Employment Group 950	

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### **Federal Requirement #1:**

*The setting is integrated in, and supports full access of individuals receiving Medicaid HCBS to the greater community, including opportunities to seek employment and work in competitive integrated settings, engage in community life, control personal resources, and receive services in the community, to the same degree of access as individuals not receiving Medicaid HCBS.*

### **Guidance:**

- Do individuals receive services in the community based on their needs, preferences and abilities?
- Does the individual participate in outings and activities in the community as part of his or her plan for services?
- If an individual wants to seek paid employment, does the home staff refer the individual to the appropriate community agency/resource?
- Do individuals have the option to control their personal resources, as appropriate?

**Does the service and/or program meet this requirement?**  Yes  No

Please explain

**1. Does the service and/or program meet this requirement?**  Yes  No

Please explain: BARC has been awarded a DDS HCBS grant for community based day services and supports (Service Code 055) and supported employment services (950-2) for the past 3 years. Currently we are completing utilization of year two and are transitioning into year 3 milestones. BARC is requesting on-going funding for the transformation of our services which are moving toward truly individualized informed choices. BARC has a long standing involvement in services for persons with Intellectual and Developmental Disabilities (70 years). BARC's continuum of services for appropriately 400 individuals ranges from a site based senior day program, community based day program, supported employment and Competitive Integrated Employment. Within our service community we have experienced on-going challenges moving some individuals off our campus setting and into meaningful community inclusion, employment, volunteering, and post-secondary education. Historically having "traditional" day and vocational services, which are viewed as safe and secure is valued by many individuals, their families, as well as referral sources. As we have changed, we have created opportunity as well as dissonance with our community. Some families have shared with us that they value our strong emphasis on choice and person centered planning, but their choice to remain in site based services/ supported employment should be respected. In addition, many of the individuals that we serve have traditionally had a limited repertoire of opportunity and, therefore, do not have experiences or understanding of the choices that are available. In the first two completed years of funding, we have educated our staff, the individuals served, and the community on the changing paradigm and the need to make this shift. In addition, we have modified our day and employment/volunteer service model to enhance community opportunities. The greatest transition is occurring for the organization as it tries to navigate to an informed choice environment where our I/DD adult Clients have the full opportunity to control decisions concerning all services available to them. We

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believe that by providing individuals/families with a greater availability to see and sample the changes, we will provide the opportunity to overcome their reluctance and allow for positive outcomes that will be embraced by our communities. In the development of these changes, BARC has been sensitive to the individual's culture and have utilize positive planning and service implementation. An example of the need to continue funding is as follows: a grouping in our community based services when asked what community activities they would like to participate in will continually ask for opportunities that they are familiar with and have previously taken part in. (Example, Walmart or Dollar Tree) This group's "choice" would not be to explore new community opportunities without staff "prevailing" over the expressed choices. The Transition Coordinator and Peer Mentor will work within BARC as well as with the individuals to facilitate a wide array of successful customized community services and vocational settings that will be requested in individualized planning.

### **Federal Requirement #2:**

*The setting is selected by the individual from among setting options, including non-disability-specific settings and an option for a private unit in a residential setting. The setting options are identified and documented in the person-centered service plan and are based on the individual's needs, preferences, and, for residential settings, resources available for room and board.*

### **Guidance:**

- Does the provider have a current regional center Individual Program Plan (IPP) on file for all individuals?
- Does each individuals' IPP document the different setting options that were considered prior to selecting this setting?

### **Does the service and/or program meet this requirement? Yes No**

Please explain: BARC has used its first 2 grants (3<sup>rd</sup> pending) to move forward person center planning, community based services and community based employment/volunteering. The continuing challenge that we have experienced is that some individual/families and staff are comfortable with a "hybrid" approach to services which is a combination of site based, enclave, and partial limited community services. We have had person centered meetings where the individual/family have selected to declined community services/employment/ volunteering based on what we believe is a lack of understanding, fear, previous bad experiences and/or concern about public benefits. The response from these individuals is that they are choosing to remain on the BARC campus. We will be providing positive experiences in a full array of community options, including opportunities to participate in fully integrated community services, adult/post -secondary education and viewing/sampling employment/volunteer options. Individuals, families and BARC staff will have the knowledge and understanding as well as the successful experiences to make truly informed choices that will enhance independence. In addition, BARC will provide individuals, families and staff with a Peer Mentor who will be a role model, explaining how these changes have enhanced the quality of his/her life.

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BARC develops an Individualize Person Center Program Plan based on the Client's IPP established by Kern Regional Center. BARC does not provide residential living and therefore this requirement does not directly apply to services provided by BARC under the 055 and 950 service codes.

### **Federal Requirement #3:**

*Ensures an individual's rights of privacy, dignity and respect, and freedom from coercion and restraint.*

### **Guidance:**

- Does the provider inform individuals, in a manner they can understand, of their rights to privacy, dignity, respect, and freedom from coercion and restraint?
- Does the provider communicate, both verbally and in writing, in a manner that ensures privacy and confidentiality?
- Do staff communicate with individuals based on their needs and preferences, including alternative methods of communication where needed (e.g., assistive technology, Braille, large font print, sign language, participants' language, etc.)?

**Does the service and/or program meet this requirement?**  **Yes**  **No**

Please explain: BARC is continuing its movement toward full person centered thinking and people first language throughout our agency culture, meetings, website and documentation. BARC makes sure that the only participants in the meetings are chosen by the client. BARC has a fully functioning Client Advisory Board (13 members) which meets monthly. BARC grant proposal will have a Peer Mentor available upon request to review all BARC services and supports in regards to individuals. The Peer Mentor will be available for meetings in order to ensure decisions reflect the individual's interests and choices. The Transition Coordinator will work with Client Coordinators and relevant staff to develop Person Centered plans. The Transition Coordinator will assist in problem solving and addressing issues individuals face with a variety of creative ideas to assist in resolving difficult issues, i.e. barriers to communication, or limited understanding of choices. The Peer Mentor will be available for meetings with individuals about their rights, self-advocacy, client choice, and peer to peer mentoring. The Peer Mentor will work with the BARC Client Advisory Board to address concerns.

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### **Federal Requirement #4:**

*Optimizes but does not regiment individual initiative, autonomy, and independence in making life choices, including, but not limited to, daily activities, physical environment, and with whom to interact.*

### **Guidance:**

- Does the provider offer daily activities that are based on the individuals' needs and preferences?
- Does the provider structure their support so that the individual is able to interact with individuals they choose to interact with, both at home and in community settings?
- Does the provider structure their support so that the individual is able to participate in activities that interest them and correspond with their IPP goals?

**Does the service and/or program meet this requirement?**    Yes    No

Please explain: BARC has utilized funding from HCBS Grants to redesign programs and train staff about client choice and increased full and meaningful community integration. BARC over our 70 years of services and supports has developed an environment that clients feel comfortable being in and feel so well supported that they often choose to stay at BARC over seeking community employment/volunteer opportunities and post-secondary education. BARC would like to use additional funding from HCBS Grant to expand on providing clients the opportunity to tour and learn more about community resources and vocational options. Individuals would be given information and access to a much larger array of options to choose from. The additional array or individual choices will provide the best opportunities for fostering greater individual initiative, autonomy, and independence. Recently an individual who attended an American Job Center introduction course on a community activity stated that he was not previous aware of all the potential job that were available based on his previous limited exposure to employment options. Staff will work to coordinate with businesses and community resources to schedule informational tours about job opportunities, expectations from those employers and resources that can be utilized for greater participation. Individuals will then be able to make better informed choices and also be more familiar with a variety of vocational/social/recreational environments in the hopes that it will facilitate individuals to feel more comfortable integrating into the community and seek employment/volunteering in their areas of interest in integrated settings.

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**Federal Requirement #5:**

*Facilitates individual choice regarding services and supports, and who provides them.*

**Guidance:**

- Does the provider support individuals in choosing which staff provide their care to the extent that alternative staff are available?
- Do individuals have opportunities to modify their services and/or voice their concerns outside of the scheduled review of services?

**Does the service and/or program meet this requirement?  Yes  No**

Please explain: . BARC has historically provided excellent services to individuals by matching them to staff members based on services and support needs. As we have moved into new, progressive ways of providing services, BARC would like to offer individuals a greater opportunity to select the staff that will provide services, while keeping in mind regulations, availability and ratios. Currently in our Community Day site programming is rotated based on individual request. Client Coordinators are checking in with individuals about services provided once a quarter in order to make sure they are getting the services they choose consistent with person centered planning. Client Coordinators bring up the individual's concerns and desired changes at bi-monthly staff Transfer Team meetings. Client Coordinators assist in giving individuals all options and will review each option in a manner which the client can understand. Individuals will have information and choice so that they can make informed decisions about their services to decide on the community activities which best meet their needs.

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Only providers of services in **provider-owned or controlled residential settings** need to complete the remainder of this evaluation. In **provider-owned or controlled residential settings**, in addition to the above requirements, the following requirements must also be met:

<p><b><u>Federal Requirement #6:</u></b></p> <p><i>The unit or dwelling is a specific physical place that can be owned, rented or occupied under a legally enforceable agreement by the individual receiving services, and the individual has, at a minimum, the same responsibilities and protections from eviction that tenants have under the landlord/tenant law of the State, county, city or other designated entity. For settings in which landlord/tenant laws do not apply, the State must ensure that a lease, residency agreement or other form of written agreement will be in place for each participant and that the document provides protections that address eviction processes and appeals comparable to those provided under the jurisdiction's landlord tenant law.</i></p>	<p><b><u>Guidance:</u></b></p> <ul style="list-style-type: none"> <li>• As applicable, does each individual have a lease, residency agreement, admission agreement, or other form of written residency agreement?</li> <li>• Are individuals informed about how to relocate and request new housing?</li> </ul>
<p><b>Does the service and/or program meet this requirement?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please explain: N/A</p>	
<p><b><u>Federal Requirement #7:</u></b></p> <p><i>Each individual has privacy in his/her sleeping or living unit:</i></p> <p><i>Units have entrance doors lockable by the individual, with only appropriate staff having keys to doors as needed.</i></p> <p><i>Individuals sharing units have a choice of roommates in that setting.</i></p> <p><i>Individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.</i></p>	<p><b><u>Guidance:</u></b></p> <ul style="list-style-type: none"> <li>• Do individuals have a choice regarding roommates or private accommodations?</li> <li>• Do individuals have the option of furnishing and decorating their sleeping or living units with their own personal items, in a manner that is based on their preferences?</li> <li>• Do individuals have the ability to lock their bedroom doors when they choose?</li> </ul>
<p><b>Does the service and/or program meet this requirement?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please explain: N/A</p>	

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<p><b><u>Federal Requirement #8:</u></b> <i>Individuals have the freedom and support to control their own schedules and activities, and have access to food at any time.</i></p>	<p><b><u>Guidance:</u></b></p> <ul style="list-style-type: none"> <li>• Do individuals have access to food at any time?</li> <li>• Does the home allow individuals to set their own daily schedules?</li> <li>• Do individuals have full access to typical facilities in a home such as a kitchen, dining area, laundry, and comfortable seating in shared areas?</li> </ul>
<p><b>Does the service and/or program meet this requirement?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No Please explain: N/A</p>	
<p><b><u>Federal Requirement #9:</u></b> <i>Individuals are able to have visitors of their choosing at any time.</i></p>	<p><b><u>Guidance:</u></b></p> <ul style="list-style-type: none"> <li>• Are visitors welcome to visit the home at any time?</li> <li>• Can individuals go with visitors outside the home; such as for a meal or shopping, or for a longer visit outside the home, such as for holidays or weekends?</li> </ul>
<p><b>Does the service and/or program meet this requirement?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No Please explain: N/A</p>	
<p><b><u>Federal Requirement #10:</u></b> <i>The setting is physically accessible to the individual.</i></p>	<p><b><u>Guidance:</u></b></p> <ul style="list-style-type: none"> <li>• Do individuals have the freedom to move about inside and outside the home or are they primarily restricted to one room or area?</li> <li>• Are grab bars, seats in bathrooms, ramps for wheelchairs, etc., available so that individuals who need those supports can move about the setting as they choose?</li> <li>• Are appliances and furniture accessible to every individual?</li> </ul>
<p><b>Does the service and/or program meet this requirement?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No Please explain: N/A</p>	



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**CONTACT INFORMATION**

Contact Name: Jeffrey Popkin LCSW ACSW C-ASWCM  
Contact Phone Number: (661) 834-2272  
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**ACKNOWLEDGEMENT**

By checking the box below, I acknowledge that completion of this evaluation is for the sole purpose of applying for compliance funding and does not take the place of future provider assessments that the Department may require to determine provider compliance with the HCBS settings rules.

I AGREE

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Existing regional center vendors may receive funding to make changes to service settings and/or programs to help them come into compliance with the HCBS rules. To be considered for funding, vendors must complete and submit this form and the provider compliance evaluation form as one packet to the regional center with which it has primary vendorization.

### Instructions:

- The concept form on the next page must be used, may not exceed four pages plus the budget worksheet and any cost back up, and must be kept in Arial 12-point font. Submit the form in Microsoft Word or PDF format. An extra half page is permitted to answer questions about prior funding, but the rest of the concept must be within the standard page requirements.
- There has been a significant change in the form and process compared to prior years. **In order to receive funding, this 2019-20 form must be used.**
- For providers that operate programs with several vendor numbers involved in one concept, one evaluation and concept form should be submitted and should list all vendor numbers for related/included programs. If multiple programs owned by the same parent company have different compliance evaluations or concepts, additional applications can be submitted but should be attached in the same document as the other owned programs so they can be reviewed together.
- The results of the evaluation should be clearly laid out in the section referring to identification of federal requirements that are currently out of compliance, which the concept will address.
- The concept form includes detailed information that describes the funding requests and supports how the requests will assist the provider to come into compliance.
- There should be a clear link between what is being requested and the federal requirement currently out of compliance.
- Concepts should demonstrate how the requested change in service delivery will impact individuals in offering more choices or opportunities in the community.

### Strengths of previously funded concepts:

- Identified the need as well as proposed a plan to provide outreach and information regarding the HCBS rules to individuals served and members of their support teams.
- Discussed the need for additional funds in order to effectively support individuals served on a more individualized basis in overcoming barriers to community integration and employment, as appropriate.
- Prioritized the preferences of individuals served and utilized their feedback in the development of the concept.
- Implemented train-the-trainer certification for person-centered planning/thinking and training regarding the HCBS rules.
- Enabled residents to age in place and exercise more choice and independence.

More information on the HCBS rules and this form can be found at [www.dds.ca.gov/HCBS](http://www.dds.ca.gov/HCBS).

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Vendor name	Bakersfield ARC
Vendor number(s)	PK5126, HK2877
Primary regional center	Kern Regional Center
Service type(s)	Community Integration Program, Supported Employment Group
Service code(s)	055, 950
Number of consumers currently served	245
Current staff to consumer ratio	1:8 Community Day, 1:3 Supported Employment
<p>1. Please provide a brief description of the service/setting that includes what a typical day consists of and how services are currently provided. This response must include the baseline/current levels for any aspects of the program for which the concept proposes funding.</p>	
<p>Individuals in Community Day arrive at the BARC site for initial planning of the day based on a weekly client and staff developed individualized schedule. 51% + of the program day is provided in a community settings with the individuals in grouping of their choice. Later afternoon services are classroom adult learning. Funding would increase the amount of time (percent of day) in the community and the array of opportunities based on greater individual informed choice through exposure to a wider variety of integrated activities. (Currently 3 – 4 days in a week).</p> <p>Site Based Supported Employment will be involved in off-site community integration and employment exploration at least one day per week with a focus on development of individual employment plans geared toward community employment. Documentation will be maintain on sites visit and the number of individuals moved to PIP and CIE.</p>	
<p><b>Project Narrative Description:</b></p>	
<p>2. Please provide a brief summary narrative of the concept for which you are requesting funding, including justification for the funding.</p>	
<p>BARC provides Person Center Planning which actively seeks individual's involvement in the community. Of concern is that many of the individuals we serve have a limited understanding/exposure of community options and therefore make limited choices about their programming and community involvement based on their current knowledge and historical programming. BARC proposes to greatly expand the understanding of individuals to the rich social/cultural diversity of Greater Bakersfield. The Transition Coordinator and the Peer Counselor will work with the community and the Program Participants to expand the interaction. As Ralph Ellison wrote "<b>The world is possibility if you discover it.</b>"</p>	

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3. Identify which HCBS federal requirements this concept addresses that are currently out of compliance. Could be all or a subset of those identified as out of compliance on the evaluation.

1\_x\_\_ 2\_x\_\_ 3\_\_\_ 4\_x\_\_ 5\_\_\_ 6\_\_\_ 7\_\_\_ 8\_\_\_ 9\_\_\_ 10\_\_\_

4. For each HCBS out-of-compliance federal requirement that is being addressed by this concept, describe the barriers to compliance and why this concept is necessary. If this information is in the evaluation section, please copy it here.

BARC will be addressing HCBS requirements 1, 2 and 4. Some individuals/families have shared with us that they value our strong emphasis on choice and person centered planning, but their choice to remain in site based "traditional" services/site based supported employment should be respected. In addition, many of the individuals that we serve have traditionally had a limited repertoire of opportunity and therefore do not have experiences or understanding of the choices that are available.

BARC would like to use additional funding from HCBS Grant to expand on providing clients the documented opportunity to develop, tour and learn more about community resources vocational and post-secondary options. Individuals would be given information and access to a much larger array of options to choose from, such that they would be able to make an informed choice about what is important to and for their lives.

5. For each out-of-compliance federal requirement that is addressed in this concept, please explain how the concept will bring the vendor into compliance.

It is BARC understanding of HCBS Waiver requirements 1, 2 and 5 that community based choices based on individual preference is paramount. BARC believes that true informed choice must provide individuals understanding of all of the options that are potentially available in the community/employment based on an individual's strength and aspirations. What is important to and for an individual needs to be a personal decision which is based on a culturally appropriate array of opportunities from which the individual can chose their services and supports. This will move BARC away from placing individual in choices of the day programs/jobs that we have available and into the services and supports of their choosing throughout the community.

6. What are the proposed outcomes and objectives of the concept, and what are the methods of achieving and tracking them?

Community Day Services will increase the amount of time from the current 51% to 75% (weather permitting) in the community integrated activities by providing documented meaningful services consistent with individual person centered planning.

Site based Employment Services will spend at least one day per week in the community focusing on employment development and training activities. This will be coordinated by the Transition Coordinator to focus on the development of community employment and post-secondary education. An employment component will be included and documented as part of BARC service plan.

Transition toward employment and post-secondary education support will be additionally offered by Tailored Day Services as an alternative to existing programming

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7. Please describe how and/or what was done to include input from the individuals served in developing this concept? Discuss not only the development of the concept, but also what steps were taken to identify the interests and desires of the individuals and who was involved in that process.

BARC will utilize our Client Advisory Board to provide a forum to review the activities of both this grant and the agency as a whole. Additionally, the grant has a Peer Mentor who will be providing assistance by sharing the plan, obtaining feedback and informing Management of individual's wishes. The Peer Mentor will be involved in community integration and will bring his/her experience to share with both clients and management the different perspectives involved in individualizing services.

8. Please describe how the concept you propose will enable you to provide more person-centered services to your clients.

When an individual has a more complete understanding of resources, options, benefits in community and employment consistent with their strengths and support needs they can make a truly informed choice about the direction of their life and how that is reflected in their personal planning.

9. Please address your plan for maintaining the benefits, value, and success of your project at the conclusion of 2019-20 HCBS Funding.

BARC anticipates that we will be in compliance with the HCBS waiver based on our integration of Community opportunities, Employment and Post-Secondary Education into all services with a strong focus on the community for the hub of the activity or employment day. All planning will be person centered with peer advocacy available.

10. Write a brief narrative below explaining each major cost category and timeline. Complete the budget template at the end of the concept sheet. An excel version with formulas is available. When applicable, budgets should include personnel/benefits, operating costs such as consultants or training, administrative expenses/indirect costs, and capital costs (assets lasting more than 2 years). If project spans 2 years or occurs in phases, budget should be separated by phase/year.

Administrative costs, if any, must comply with DDS' vendor requirements, including a cap of 15% of the sum of personnel/benefits, consulting, and operating costs (must exclude capital costs).

[http://leginfo.legislature.ca.gov/faces/codes\\_displaySection.xhtml?sectionNum=4629.7&lawCode=WIC](http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=4629.7&lawCode=WIC)

BARC is requesting the funding of two staffing positions along with the benefits, operational supports and administrative overhead for a total concept cost of \$109,500. (see budget page) Other BARC staff involved in this project including supervision and staff resources will be in-kind. The Transition Coordinator is funded under our current HCBS Grant so the funding for this position will be for the entire project year. The Peer Mentor is a new position and will require recruitment and training. It is anticipated that the funding for projected development of community and vocational opportunities will start immediately and will document the number and type of new and emerging community resources involved in the project. Quarterly the progress for individuals and the impact that these services have will have on the community will be noted in narratives from families, community resources and employers.

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11. Please address sustainability of funding sources for all programs or concepts requiring any funding past the time frame of the requested grant, especially those that involve staff or other long-term costs. Please mark "not applicable" if costs will all be incurred during the program time frame.

BARC is committed to the concept of providing inclusive services which expand the opportunity levels of the individuals we serve. Over the grant period we have seen the positive results that a full time Transition Coordinator has been able to create with individuals, staff and our community. We have enhanced community opportunities and have found greater potentials than we previous knew in many of the individuals we serve. This position has become indispensable to the operation of BARC. As such we will utilize operational funding as well as other community based fund raising and grants to maintain this on-going position.

<p>12. Have you or the organization you work with been a past recipient of DDS funding? If yes, what fiscal year(s)?</p>	<p>HCBS Funding    ___ No <input checked="" type="checkbox"/> Yes.            If Yes, FY(s)_16-17 17-18, 18-19 _____</p> <p>Disparity Funding    ___ No ___ Yes. If Yes, FY(s) _____</p> <p>CPP Funding            ___ No ___ Yes. If Yes FY(s) _____</p> <p>If yes to any question be sure to answer questions 13 and 14.</p>
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### For providers who have received prior HCBS, Disparity or CPP Funding from DDS

13. If your organization has received prior funding from any of the above sources, please provide an update on the prior funding project. You may copy and paste from progress update(s) previously provided to regional centers or DDS.

BARC has received funding for 2 years from HCBS funding. So far BARC has been able to utilize those funds to begin to make changes and shifts services and mind sets. Hire and maintain a Transition Coordinator to guide BARC into HCBS compliance.

- Developed trainings on HCBS waiver, client choice, Lanterman Act, Person Centered Planning, Cultural Competence, and People First and developed creative ways to deliver the trainings to reach all staff members as well as integrate these trainings for new staff as part of their onboarding process.

(Continued next page)

14. If your organization received prior funding, please explain how the current funding request is not redundant with any prior funding received and/or builds on the prior funding but was not part of the original funding.

Prior DDS HCBS funding focused on developing person centered planning and formulation of day/employment services that moved BARC forward toward a community focus. This consisted of staff and community training, development of a planning process that is individual centered and greater provision of meaningful community integrated services. It has become evident that to truly have individuals fully participate in their services they need to have opportunities to experience options that will make informed choices possible. This year's grant would provide each participant in BARC services with a commensurate level of understanding to assure that the choices made are what is important to and for the individual and supports their sense of community.

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### 13. Continued:

- BARC reviewed and modified policies, operational procedures to reflect community based services and employment goals
- BARC incorporate more of the individual's circle of support into their meetings and program planning.
- BARC increase by 7 the number of individuals in CIE and PIP and we now celebrating individuals that have been hired, recognize the employer for hiring them and have the individual return to BARC to talk with others.
- BARC developed new documentation which reflects person center planning for intake, annual and semi-annual reviews.
- BARC is utilizing a survey for individuals, and stakeholders to understand individual choice, the level of satisfaction and determine areas of improvement needed.
- BARC increased community based opportunities for Clients in Access Now Program to over 51%.
- BARC expanded and improved upon our assessment process to ensure that we capture individual strengths, gifts and preferences that can be used to develop individual community/employment options for clients.

HCBS CONCEPT BUDGET						
Vendor Name		Bakersfield ARC, Inc.				
Vendor Number(s)		PK5126				
	Salary and Benefits	Year 1 Budget		Year 2 Budget		Total
		FTE	Annual Cost	FTE	Annual Cost	Cost
<b>Personnel (salary + benefits)</b>						
Transition Coordinator	78400	1.00	\$ 78,400		\$ -	\$ 78,400
Peer Mentor	32400	0.50	\$ 16,200		\$ -	\$ 16,200
Position Description			\$ -		\$ -	\$ -
Position Description			\$ -		\$ -	\$ -
Position Description			\$ -		\$ -	\$ -
Position Description			\$ -		\$ -	\$ -
Position Description			\$ -		\$ -	\$ -
Position Description			\$ -		\$ -	\$ -
Personnel Subtotal			\$ 94,600		\$ -	\$ 94,600
<b>Operating expenses</b>						
Utilities			\$ 100			\$ 100
Telephone			\$ 600			\$ 600
Insurance			\$ 75			\$ 75
Equipment			\$ 75			\$ 75
Training			\$ 600			\$ 600
Staff Mileage			\$ 2,450			\$ 2,450
						\$ -
						\$ -
						\$ -
						\$ -
Operating Subtotal			\$ 3,900		\$ -	\$ 3,900
<b>Administrative Expenses</b>						
Administrative Overhead			\$ 11,000			\$ 11,000
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
Administrative Subtotal			\$ 11,000		\$ -	\$ 11,000
<b>Capital expenses</b>						
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
						\$ -
Capital Subtotal			\$ -		\$ -	\$ -
<b>Total Concept Cost</b>			<b>\$ 109,500</b>		<b>\$ -</b>	<b>\$ 109,500</b>

See Attachment F for budget details and restrictions