

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2021 MAY REVISION



STATE OF CALIFORNIA

MAY 2021

Table of Contents

SECTION A: DEPARTMENT OF DEVELOPMENTAL SERVICES HIGHLIGHTS

DDS 2021 May Revision Highlights.....	A-1
Community Services Program FY 2020-21	A-5
Community Services Program FY 2021-22	A-10
State Operated Facilities FY 2020-21 and FY 2021-22.....	A-17
Headquarters FY 2020-21 and FY 2021-22	A-19

SECTION B: EXECUTIVE SUMMARY TABLES

FY 2020-21	B-1
FY 2021-22	B-5
FY 2020-21 vs. FY 2021-22	B-7

SECTION C: POPULATION

FY 2020-21, FY 2021-22, and FY 2020-21 vs. FY 2021-22	C-1
Active Status Population (Age 3 & Over) Graph.....	C-2
Early Start Population (Birth through 35 Months) Graph	C-3
Community Population Graph	C-4

Table of Contents (continued)**SECTION D: OPERATIONS**

FY 2020-21 - Operations.....	D-1
FY 2021-22 - Operations.....	D-5
FY 2020-21 vs. FY 2021-22 Operations	D-7
Staffing Expenditures	D-9
FY 2020-21 Core Staffing Estimate – Attachment A	D-11
FY 2021-22 Core Staffing Estimate – Attachment A	D-14
Core Staffing Formulas – Attachment B	D-17
Federal Compliance	D-21
Projects	D-24
Intermediate Care Facilities – Developmentally Disabled	
Quality Assurance Fees Operations.....	D-28

SECTION E: PURCHASE OF SERVICES

FY 2020-21 - Purchase of Services.....	E-1
FY 2021-22 - Purchase of Services.....	E-5
FY 2020-21 vs. FY 2021-22 Purchase of Services	E-7
Community Care Facilities	E-9
Medical Facilities	E-11
Day Programs	E-13
Habilitation Services	
Work Activity Program.....	E-15
Supported Employment Program - Group Placement	E-16
Supported Employment Program - Individual Placement	E-17
Transportation	E-18
Support Services	E-20
In-Home Respite	E-22
Out-of-Home Respite	E-24
Health Care	E-26
Miscellaneous Services.....	E-28
Intermediate Care Facility-Developmentally Disabled Quality	
Assurance Fees Purchase of Services.....	E-30
Purchase of Services Historical Expenditure Charts	E-31

Table of Contents (continued)

SECTION F: COMMUNITY PLACEMENT PLAN

Community Placement Plan	F-1
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SECTION G: POLICIES

OPERATIONS

Ongoing Operations Items	G-1
Developmental Center Closure Ongoing Workload.....	G-4
Self-Determination Program.....	G-5
Specialized Caseload Ratio	G-6
Specialized Home Monitoring.....	G-8
Trauma Informed Services for Foster Youth	G-10
START Training.....	G-12
Regional Center Emergency Coordinators.....	G-14
Community Navigators	G-16
Early Start Recovery Efforts	G-17
Tribal Engagement for Early Start Services	G-18
Enhanced Service Coordination.....	G-19
Direct Service Professional Training and Certification.....	G-20
Implicit Bias Training	G-21
Emergency Preparedness.....	G-22
Additional Resources to Support Individuals Who Are Deaf.....	G-23
Employment Grant	G-25
Performance Incentives.....	G-27
Medicaid Claiming Adjustments	G-45
Forensic Diversion.....	G-47
Enhanced Federal Funding	G-49
Self-Determination Ongoing Implementation.....	G-50
Lanterman Act Provisional Eligibility Ages 3 and 4	G-52

Table of Contents (continued)

SECTION G: POLICIES

PURCHASE OF SERVICES

Ongoing Purchase of Service Items	G-28
EBSH with Delayed Egress and Secured Perimeters	G-30
Electronic Visit Verification Penalty	G-31
Provider Supplemental Rate Increases	G-33
Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021	G-34
Uniform Holiday Schedule	G-36
Increased Costs for COVID-19.....	G-38
Health Facility Rate Increase	G-40
Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2022	G-41
Youth Returning from Out-of-State Foster Care	G-43
Bilingual Differentials for Direct Service Professionals	G-44
Medicaid Claiming Adjustments	G-45
Forensic Diversion.....	G-47
START Training.....	G-12
Enhanced Federal Funding	G-49
Self-Determination Ongoing Implementation.....	G-50
Lanterman Act Provisional Eligibility Ages 3 and 4	G-52

EARLY INTERVENTION PROGRAM

American Rescue Plan Act.....	G-54
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Table of Contents (continued)

SECTION H: FUND SOURCES

General Fund	H-1
Reimbursements	
Summary of Reimbursements and General Fund Match	H-3
Home and Community-Based Services Waiver.....	H-5
Home and Community-Based Services Waiver Administration	H-12
Medicaid Administration	H-16
Targeted Case Management.....	H-19
Targeted Case Management Administration	H-21
Title XX Block Grant	H-22
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	H-24
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	H-25
1915(i) State Plan Amendment	H-27
Early Periodic Screening Diagnosis and Treatment	H-31
Behavioral Health Treatment Fee-for-Service	H-32
Self-Determination Program Waiver.....	H-33
Program Development Fund/Parental Fees	H-35
Developmental Disabilities Services Account.....	H-36
Mental Health Services Fund	H-37
Federal Funds	
Early Start Part C/Other Agency Costs	H-38
Foster Grandparent Program	H-41

SECTION I: FUTURE FISCAL ISSUES

Future Fiscal Issues	I-1
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SECTION J: SUPPLEMENTAL REPORTING

Early Start Expenditures and Population.....	J-1
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Table of Contents (continued)

SECTION K: STATE OPERATED FACILITIES

Comparison of Enacted Budget to May Revision FY 2020-21	K-1
Comparison of Governor's Budget to May Revision FY 2020-21	K-2
Comparison of Governor's Budget to May Revision FY 2021-22	K-3
Comparison of FY 2020-21 to FY 2021-22.....	K-4
Systemwide Population	K-5
Facility Details	
Canyon Springs.....	K-6
Fairview	K-8
Porterville STP	K-10
STAR and CAST	K-12
Ongoing Costs.....	K-14
Policy	
Control Section Adjustments	K-16
Inter-Agency Agreement with DGS	K-18
COVID-19 Response.....	K-19
Enhanced Federal Funding	K-20
Retention Stipend Reallocation	K-21
Forensic Diversion.....	K-22
Youth Returning from Out-of-State Foster Care	K-24
Deferred Maintenance	K-25
Funding	K-26

Table of Contents

SECTION A: DEPARTMENT OF DEVELOPMENTAL SERVICES HIGHLIGHTS

DDS 2021 May Revision Highlights.....	A-1
Community Services Program FY 2020-21	A-5
Community Services Program FY 2021-22	A-10
State Operated Facilities FY 2020-21 and FY 2021-22	A-17
Headquarters FY 2020-21 and FY 2021-22	A-19

DEPARTMENT OF DEVELOPMENTAL SERVICES 2021 MAY REVISION HIGHLIGHTS

The Department of Developmental Services (Department) is responsible for administering the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act provides for the coordination and provision of services and supports to enable people with developmental disabilities to lead more independent, productive, and integrated lives. Additionally, the Early Start Program provides for the delivery of services to infants and toddlers at risk of having a developmental disability. The Department carries out its responsibilities through contracts with 21 community-based, non-profit corporations known as regional centers, as well as through state-operated homes and facilities.

The number of individuals served by regional centers (consumers) is expected to be 352,381 in the current year and increase to 386,431 in fiscal year (FY) 2021-22. In addition, 322 individuals are projected to be served in state-operated facilities as of July 1, 2021. The current year estimate reflects a reduction of 5,438 individuals when compared to Governor's Budget, which is primarily due to a reduction in referrals which coincided with the beginning of the novel coronavirus (COVID-19) pandemic.

The May Revision Estimate continues to support the needs of consumers throughout the public health crisis and reflects the need for increased supports. The pandemic highlighted inequities among underserved communities and the May Revision Estimate proposes new policies to improve service access and equity to regional center services as well as funding for incentives to achieve better service outcomes and consumer experiences.

2021 MAY REVISION SUMMARY

The 2021 May Revision updates the Department's FY 2020-21 budget to include \$9.9 billion total funds (TF) (\$5.8 billion General Fund [GF]) and includes \$10.7 billion TF (\$6.6 billion GF) for FY 2021-22; a net increase of \$841.6 million TF (\$774.6 million GF) over the updated FY 2020-21 budget.

In the current year, funds will be reallocated to support concerted efforts to increase outreach activities, eligibility assessments and determinations to reach the caseload levels estimated using pre-COVID-19 caseload trends.

In the budget year, in addition to caseload and utilization updates, the proposed FY 2021-22 funding supports the following:

- COVID-19 Response (\$257 million TF, \$152.2 million GF): Projected costs for ongoing response to COVID-19. Funding reflects projected impacts on regional center services as well as resources to support an average of 30 beds combined at Fairview and Porterville Developmental Centers as part of the State's COVID-19 response for individuals with intellectual and developmental disabilities.

- Provider Supplemental Rates and Uniform Holiday Schedule (\$527.6 million TF, \$309.6 million GF): Elimination of the suspension provision for the provider supplemental rates and the Uniform Holiday Schedule. Trailer Bill Language (TBL).
- START Teams (\$5.7 million TF, \$4.0 million GF): The Governor's Budget proposed four additional teams in FY 2021-22; the May Revision proposes funding to support five additional START teams for a total of nine new START teams established in the budget year.
- Emergency Preparedness (\$4.3 million GF, \$200,000 ongoing): Resources to expand emergency preparedness informational materials, provide training and education, provide consumers with emergency go-kits, and enhance availability of back-up batteries and generators for individual's dependent on power for life-sustaining equipment.
- Direct Service Professional Training and Certification (\$4.3 million TF, \$2.9 million GF): Promotes improved consumer access to trained and qualified staff. TBL.
- Bilingual Differential for Direct Service Professionals (\$3.6 million TF, \$2.2 million GF): Funding supports the establishment of a verification process for bilingual competency and provides a differential to increase the availability of staff who are bi/multi-lingual. TBL.
- Competitive Integrated Employment & Paid Internship Program: Proposed change in statute increases the number of incentive payments for longer-term employment, additional incentives to help with COVID-19 recovery, and funding to support paid internship development. TBL only.
- Employment Grant (\$14.7 million TF, \$10.0 million GF): DDS funding to support the expanded cooperative efforts with Department of Rehabilitation to improve the employment rate of individuals with disabilities.
- Self-Determination Ongoing Implementation (\$10.3 million TF, \$6.8 million GF): Funding supports transition support services, improved orientation and training, and participant choice specialists to improve the process for individuals to transition from traditional services to the Self-Determination Program. TBL.
- Additional Resources for Individuals who are Deaf (DDS and regional center funding of \$2.6 million TF, \$1.8 million GF): Spring Finance Letter proposes funding for a headquarters position to provide statewide leadership and subject matter expertise on the provision of services and supports for individuals who are deaf and have intellectual or developmental disabilities. In addition, funding for 21 regional center deaf services specialists to support the expansion of deaf service resources, provide training and expertise to regional center staff, and coordinate with the Department on statewide efforts.
- Tribal Engagement for Early Start Services (\$500,000 GF): Funding for targeted engagement efforts and increase access to services for the Native American population.
- Lanterman Act Provisional Eligibility Ages 3 and 4 (\$23.8 million GF): Funding supports regional center operations as well as purchase of services to provide provisional eligibility for children aged 3 and 4 to reduce the likelihood of children

experiencing delays in receiving services, which can lead to long-term impacts and a need for more intensive services and supports. TBL.

- Implicit Bias Training (\$700,000 TF, \$553,000 GF): Funding prioritized for regional center staff involved in eligibility determinations. TBL.
- Enhanced Service Coordination (\$12.8 million TF, \$10.0 million GF): Funding for service coordination activities to support consumers with low or no purchase of service expenditures.
- Performance Incentives (\$3.7 million TF, \$2.5 million GF): Funding supports initial and ongoing efforts to establish a performance improvement program with fiscal incentives for regional centers to meet specified benchmarks and improvement measures, with an initial focus on reducing caseload ratios. Funding increases to \$87.5 million, \$59.5 million GF in 2022-23. TBL.

Program Highlights

(Dollars in Thousands)

	FY 2020-21*	FY 2021-22*	Difference
Community Services Program			
Regional Centers	\$9,410,291	\$10,265,357	\$855,066
Total, Community Services	\$9,410,291	\$10,265,357	\$855,066
General Fund	\$5,440,502	\$6,223,905	\$783,403
Program Development Fund	0	204	204
Developmental Disabilities Services Account	150	150	0
Federal Trust Fund	54,279	78,765	24
Reimbursements	3,914,620	3,961,593	46,973
Mental Health Services Fund	740	740	0
State Operated Facilities Program			
Personal Services	\$233,958	\$259,253	\$25,295
Operating Expense & Equipment	129,745	68,137	(61,608)
Total, State Operated Facilities	\$363,703	\$327,390	(\$36,313)
General Fund	\$315,616	\$292,225	(\$23,391)
Lottery Education Fund	126	126	0
Reimbursements	47,961	35,039	(12,922)
Headquarters Support			
Personal Services	\$75,244	\$84,751	\$9,507
Operating Expense & Equipment	32,704	46,081	13,377
Total, Headquarters Support	\$107,948	\$130,832	\$22,884
General Fund	\$65,768	\$80,352	\$14,584
Federal Trust Fund	2,715	2,742	27
Program Development Fund	412	413	1
Reimbursements	500	500	0
Mental Health Services Fund	38,553	46,825	8,272
Total, All Programs	\$9,881,942	\$10,723,579	\$841,637
Total Funding			
General Fund	\$5,821,886	\$6,596,482	\$774,596
Federal Trust Fund	56,994	81,507	24,513
Lottery Education Fund	126	126	0
Program Development Fund	412	617	205
Developmental Disabilities Services Account	150	150	0
Reimbursements	3,963,081	3,997,132	34,051
Mental Health Services Fund	39,293	47,565	8,272
Total, All Funds	\$9,881,942	\$10,723,579	\$841,637
Caseloads			
State Operated Facilities	302	322	20
Regional Centers	352,381	386,431	34,050
Departmental Positions			
State Operated Facilities	1,953.2	1,985.7	32.5
Headquarters	516.0	519.0	3.0

*The Total Expenditure do not reflect the statewide item for Employee Retention Incentives of \$15.7 million in FY 2020-21. The incentives were added by the 2016 Budget Act and displayed as a Carryover/Re-appropriation in the Governor's Budget Gallery.

*The above figures do not include \$2.7 million deferred maintenance re-appropriation in FY 2021-22.

COMMUNITY SERVICES PROGRAM**FY 2020-21****Costs and Fund Sources**

The FY 2020-21 updated Regional Center budget includes \$9.4 billion TF (\$5.4 billion GF), a net increase of \$41.7 million TF (\$81.1 million GF decrease) as compared to the Governor's Budget. This includes a projected \$15.3 million TF increase in Purchase of Services (POS) expenditures. The updated budget also includes an increase of \$26.4 million TF in Operations costs.

The FY 2020-21 updated budget reflects a net increase of \$97.7 million TF (\$17.2 million GF decrease), as compared to the Enacted Budget.

Costs and Fund Sources (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Operations	\$907,268	\$933,647	\$26,379
Purchase of Services	8,440,225	8,455,547	15,322
Early Start Part C/Other Agency Costs	19,094	19,094	0
Early Start Family Resource Services	2,003	2,003	0
Total Costs	\$9,368,590	\$9,410,291	\$41,701
General Fund (GF)	\$5,521,629	\$5,440,502	(\$81,127)
<i>GF Match</i>	2,767,145	2,865,416	98,271
<i>GF Other</i>	2,754,484	2,575,086	(179,398)
Reimbursements	3,790,927	3,914,620	123,693
Program Development Fund/Parental Fees	837	0	(837)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	54,307	54,279	(28)
Fund Sources	\$9,368,590	\$9,410,291	\$41,701

Population

The updated current year caseload projections reflect a net decrease of 5,438 consumers as compared to the Governor's Budget. The decrease is primarily attributed to a reduction in new consumer referrals and a resulting decrease in caseload beginning with the onset of the COVID-19 pandemic.

Caseload	Governor's Budget	May Revision	Difference
Active (Age 3 & Older)	313,354	311,447	(1,907)
Early Start (Birth through 35 Months)	44,465	40,934	(3,531)
Total Community Caseload	357,819	352,381	(5,438)

Regional Center Operations – Caseload

The May Revision Estimate reflects lower caseload projections, primarily in the Early Start program, compared to the 2020 Budget Act. Given the additional workload associated with the COVID-19 pandemic, including but not limited to increased consumer contacts and coordinating access to vaccinations, funding for regional center operations was not adjusted.

Operations – Caseload (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Staffing Expenditures	\$694,577	\$694,577	\$0
Federal Compliance	48,127	48,127	0
Projects	30,915	30,915	0
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	1,782	1,782	0
Total Operations – Caseload	\$775,401	\$775,401	\$0

Regional Center Operations – Policy

There is a net increase of \$26.4 million TF (\$17.8 million GF) in policy expenditures as compared to the Governor's Budget. This is primarily driven by the following:

- Early Start Recovery Efforts (New Policy): Increase of \$27.0 million TF (\$18.0 million GF) to support increased outreach, assessments and eligibility determinations necessary to return to estimated pre-COVID-19 caseload levels. It should be noted, funding for this item was transferred from Purchase of Services, as reflected in a Budget Revision request.
- Medicaid Claiming Adjustment: Decrease of \$621,000 GF reflects the payment in December 2020 for consumers not eligible for federal reimbursements.

Operations – Policy (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Ongoing Operations Policy Items	\$86,866	\$86,866	\$0
DC Closure Ongoing Workload	8,302	8,302	0
Self-Determination Program	4,073	4,073	0
Specialized Caseload Ratio	3,800	3,800	0
Specialized Home Monitoring	6,930	6,930	0
Trauma Informed Services for Foster Youth	1,600	1,600	0
Early Start Recovery Efforts	0	27,000	27,000
Medicaid Claiming Adjustment	20,296	19,675	(621)
Total Operations – Policy	\$131,867	\$158,246	\$26,379

Purchase of Services (POS) – Caseload

Updated POS expenditures reflect a net decrease of \$811,000 (\$85.5 million GF decrease) as compared to the Governor's Budget. The minor change in total funds reflects updated expenditures by category and the change in GF expenditures reflects updated estimates of reimbursement funding.

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>				
	Governor's Budget	May Revision	Difference	Percent Change
Community Care Facilities	\$2,175,401	\$2,210,440	\$35,039	1.61%
Medical Facilities	22,744	38,346	15,602	68.60%
Day Programs	1,184,415	1,171,017	(13,398)	(1.13%)
Habilitation Services	140,235	138,547	(1,688)	(1.20%)
<i>Work Activity Program</i>	24,537	24,685	148	0.60%
<i>Supported Employment Program – Group</i>	77,617	78,143	526	0.68%
<i>Supported Employment Program – Individual</i>	38,081	35,719	(2,362)	(6.20%)
Transportation	393,945	325,463	(68,482)	(17.38%)
Support Services	1,849,308	1,870,249	20,941	1.13%
In-Home Respite	810,063	842,221	32,158	3.97%
Out-of-Home Respite	50,463	52,978	2,515	4.98%
Health Care	186,808	192,363	5,555	2.97%
Miscellaneous Services	596,544	567,502	(29,042)	(4.87%)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	9,623	9,612	(11)	(0.11%)
Total POS – Caseload	\$7,419,549	\$7,418,738	(\$811)	(0.01%)

POS – Policy

There is a net increase of \$16.1 million TF (\$113,000 GF decrease) in policy related expenditures as compared to the Governor's Budget. This is primarily driven by the following

- Medicaid Claiming Adjustment: Increase of \$2.2 million GF reflects the actual payment in December 2020, for consumers not eligible for federal reimbursements.
- Provider Supplemental Rate Increases: Increase of \$16.1 million TF (\$10.2 million GF) based on updated expenditure data.
- Uniform Holiday Schedule: Decrease of \$2.4 million TF (\$1.7 million GF decrease) based on updated expenditure data.
- Health Facility Rate Increase: Increase of \$221,000 GF based on updated expenditure data.

Purchase of Services – Policy (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
EBSH with DESP	7,500	7,500	0
Electronic Visit Verification Penalty	5,219	5,219	0
Provider Supplemental Rate Increases	436,669	452,799	16,130
SB 3 Minimum Wage Increase Effective January 1, 2021	93,619	93,619	0
Uniform Holiday Schedule	52,639	50,200	(2,439)
Increased Costs for COVID-19	170,000	170,000	0
Health Facility Rate Increase	673	894	221
Youth Returning from Out-of-State Foster Care	900	900	0
Medicaid Claiming Adjustment	207,457	209,678	2,221
Total POS – Policy	\$1,020,676	\$1,036,809	\$16,133

Reimbursements

The updated current year includes a net increase of \$123.7 million in reimbursements as compared to the Governor's Budget. Adjustments are reflected in the table below:

Reimbursements (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Home and Community-Based Services (HCBS) Waiver	\$2,682,158	\$2,795,340	\$113,182
HCBS Waiver Administration	17,390	17,316	(74)
Medicaid Administration	18,168	18,168	0
Targeted Case Management	253,302	262,670	9,368
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,901	65,836	(65)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,514	10,503	(11)
1915(i) State Plan Amendment	483,458	487,919	4,461
Early Periodic Screening Diagnosis and Treatment	28,299	25,192	(3,107)
Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
Self-Determination Program Waiver	4,824	4,763	(61)
Total Reimbursements	\$3,790,927	\$3,914,620	\$123,693

Federal Funds

The updated current year includes a net decrease of \$28,000 in Federal Funds as compared to the Governor's Budget as reflected below:

Federal Funds (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Early Start Part C/Other Agency Costs	\$53,106	\$53,106	\$0
Foster Grandparent Program	1,201	1,173	(28)
Total Federal Funds	\$54,307	\$54,279	(\$28)

FY 2021-22**Costs and Fund Sources**

The FY 2021-22 updated Regional Center budget includes \$10.3 billion TF (\$6.2 billion GF), a net increase of \$227.3 million TF (\$115.7 million GF) as compared to the Governor's Budget. This includes a projected \$65.7 million TF increase in Operations costs, and \$137.1 million TF increase in Purchase of Services expenditures.

Costs and Fund Sources (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Operations	\$940,594	\$1,006,340	\$65,746
Purchase of Services	9,076,396	9,213,458	137,062
Early Start Part C/Other Agency Costs	19,094	43,556	24,462
Early Start Family Resource Services	2,003	2,003	0
Total Costs	\$10,038,087	\$10,265,357	\$227,270
General Fund (GF)	\$6,108,245	\$6,223,905	\$115,660
<i>GF Match</i>	3,205,542	3,287,160	81,618
<i>GF Other</i>	2,902,703	2,936,745	34,042
Reimbursements	3,872,769	3,961,593	88,824
Program Development Fund/Parental Fees	1,876	204	(1,672)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	54,307	78,765	24,458
Fund Sources	\$10,038,087	\$10,265,357	\$227,270

Population

There is no change in community caseload projection as compared to the Governor's Budget as the Estimate continues to assume a return to typical caseload growth as the state recovers from the public health emergency.

Caseload	Governor's Budget	May Revision	Difference
Active (Age 3 & Older)	332,465	332,465	0
Early Start (Birth through 35 Months)	53,966	53,966	0
Total Community Caseload	386,431	386,431	0

Regional Center Operations – Caseload

The budget year includes \$816.2 million TF (\$570.8 million GF) for regional center operations, an increase of \$395,000 (\$2.9 million GF decrease) as compared to the Governor's Budget.

Operations – Caseload (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Staffing Expenditures	\$733,525	\$733,855	\$330
Federal Compliance	48,349	48,349	0
Projects	32,106	32,171	65
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	1,782	1,782	0
Total Operations – Caseload	\$815,762	\$816,157	\$395

Regional Center Operations – Policy

The budget year includes \$190.2 million TF (\$139.1 million GF) for policies impacting regional center operations, an increase of \$65.4 million TF (\$49.8 million GF) as compared to the Governor's Budget.

- Specialized Home Monitoring: Increase of \$915,000 TF (\$622,000 GF) - Updated for monitoring additional Enhanced Behavioral Support and Community Crisis Homes, STAR, and ARFPSHN facilities.
- START Training: Increase of \$5.7 million TF (\$4.0 million GF) to include five additional START teams.
- Tribal Engagement for Early Start services (New Policy) to conduct engagement and outreach with the Native American population (\$500,000 GF).
- Enhanced service coordination (New Policy) to support consumers with low or no purchase of service expenditures (\$12.8 million TF, \$10.0 million GF).
- Direct Service Professional training and certification (New Policy) to promote improved consumer access to trained and qualified staff (\$4.3 million TF, \$2.9 million GF). TBL.
- Implicit bias training (New Policy) for regional center staff involved in eligibility determinations (\$700,000 TF, \$553,000 GF). TBL.
- Emergency Preparedness (New Policy) resources to expand emergency preparedness informational materials, provide training and education, equip consumers with emergency go-kits, and enhance availability of back-up batteries and generators for individuals dependent on power for life-sustaining equipment (\$4.3 million GF, \$200,000 ongoing).
- Additional Resources for Individuals Who are Deaf (New Policy), funding 21 regional center deaf services specialists to support the expansion of services for individuals who are deaf, provide training and expertise to regional center staff and coordinate with the Department on statewide efforts. (\$2.4 million TF, \$1.6 million GF).

- Employment Grant (\$14.7 million TF, \$10.0 million GF). DDS funding supports the expanded cooperative efforts with the Department of Rehabilitation to improve the employment rate of individuals with disabilities.
- Performance Incentives (\$3.7 million TF, \$2.5 million GF). This funding supports initial and ongoing efforts to establish a performance improvement program with fiscal incentives for regional centers to meet specified benchmarks. TBL.
- Self-Determination Program Ongoing Implementation (New Policy): Increase of \$7.8 million TF (\$5.2 million GF) for participant choice specialists who will be subject matter experts and provide assistance to individuals with timely transition to program participation and provide assistance to regional center staff and service coordinators. TBL.
- Lanterman Act Provisional Eligibility Ages 3 and 4 (New Policy): Increase of \$7.6 million GF. Funding supports regional center staffing needed to provide services for children who meet provisional eligibility criteria. TBL.

Operations – Policy (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Ongoing Operations Policy Items	\$86,866	\$86,866	\$0
DC Closure Ongoing Workload	8,302	8,302	0
Self-Determination Program	4,073	4,073	0
Specialized Caseload Ratio	4,200	4,200	0
Specialized Home Monitoring	7,400	8,315	915
Trauma Informed Services for Foster Youth	1,600	1,600	0
START Training	4,540	10,215	5,675
Regional Center Emergency Coordinators	2,017	2,017	0
Community Navigators	5,300	5,300	0
Tribal Engagement for Early Start Services	0	500	500
Enhanced Service Coordination	0	12,800	12,800
DSP Training and Certification	0	4,300	4,300
Implicit Bias Training	0	700	700
Emergency Preparedness	0	4,300	4,300
Additional Resources for Individuals Who Are Deaf	0	2,379	2,379
Employment Grant	0	14,706	14,706
Performance Incentives	0	3,676	3,676
Forensic Diversion	534	534	0
Self Determination Ongoing Implementation	0	7,800	7,800
Lanterman Act Provisional Eligibility Ages 3 and 4	0	7,600	7,600
Total Operations – Policy	\$124,832	\$190,183	\$65,351

Purchase of Services (POS) Caseload

The budget year includes \$8.2 billion TF (\$5.1 billion GF) for purchase of services, an increase of \$211.7 million TF (\$117.0 million GF), or a 2.7 percent increase, as compared to the Governor's Budget. The net increase is primarily due to continued caseload and utilization changes in various budget categories. Note: The impacts of the COVID-19 pandemic on POS expenditures had a significant effect on 'Difference' and 'Percent Change' comparisons to the Governor's Budget displayed below.

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>				
	Governor's Budget	May Revision	Difference	Percent Change
Community Care Facilities	\$2,229,993	\$2,319,185	\$89,192	4.00%
Medical Facilities	22,412	51,741	29,329	130.86%
Day Programs	1,251,147	1,256,003	4,856	0.39%
Habilitation Services	141,241	141,080	(161)	(0.11%)
<i>Work Activity Program</i>	20,281	20,404	123	0.61%
<i>Supported Employment Program – Group</i>	74,064	74,798	734	0.99%
<i>Supported Employment Program – Individual</i>	46,896	45,878	(1,018)	(2.17%)
Transportation	419,210	424,563	5,353	1.28%
Support Services	2,023,618	2,056,833	33,215	1.64%
In-Home Respite	928,188	959,831	31,643	3.41%
Out of Home Respite	57,437	57,525	88	0.15%
Health Care	254,266	251,424	(2,842)	(1.12%)
Miscellaneous Services	639,047	660,090	21,043	3.29%
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	9,623	9,612	(11)	(0.11%)
Total POS – Caseload	\$7,976,182	\$8,187,887	\$211,705	2.65%

POS – Policy

The budget year includes \$1.0 billion TF (\$599.6 million GF) for policies impacting regional center purchase of services, a decrease of \$74.6 million TF (\$44.6 million GF) as compared to the Governor's Budget.

- Electronic Visit Verification Penalty Payment: Increase of \$4.2 million GF based on updated expenditure data and a later projected implementation date.
- Provider Supplemental Rate Increase: Increase of \$16.1 million TF (\$11.7 million GF) based on updated expenditure data.
- Uniform Holiday Schedule: Increase of \$917,000 TF (\$818,000 GF) based on updated expenditure data.
- Costs for COVID-19: Decrease of \$125.0 million TF based on updated expenditure projections by category.
- Health Facility Rate Increase: Increase of \$422,000 GF assuming the rate increase will end on December 31, 2021, per the Department of Health Care Services.
- Bilingual Differentials for Direct Service Professionals (New Policy): Increase of \$3.6 million TF (\$2.2 million GF). Funding supports the establishment of a verification process for bilingual competency and provide a differential to increase the availability of staff who are bi/multi-lingual.
- START Training: Increase of \$6.4 million TF (\$4.0 million GF) to support and fund the associated services provided by additional START teams.
- Self-Determination Ongoing Implementation (New Policy): Increase of \$2.5 million TF (\$1.6 million GF) for intensive transition support services for individuals and their families who need greater assistance in transitioning to the Self-Determination Program.
- Lanterman Act Provisional Eligibility Ages 3 and 4 (New Policy): Increase of \$16.2 million GF for services provided to children who meet the proposed provisional eligibility criteria.

Purchase of Services – Policy <i>(Dollars in Thousands)</i>			
	Governor's Budget	May Revision	Difference
Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
Electronic Visit Verification Penalty	5,822	10,020	4,198
Provider Supplemental Rate Increases	454,634	470,762	16,128
SB 3 Minimum Wage Increase Effective January 1, 2021	198,626	198,626	0
Uniform Holiday Schedule	55,895	56,812	917
Increased Costs for COVID-19	175,000	50,000	(125,000)
Health Facility Rate Increase	0	422	422
SB 3 Minimum Wage Increase Effective January 1, 2022	159,237	159,237	0
Youth Returning from Out-of-State Foster Care	1,800	1,800	0
Bilingual Differentials for Direct Service Professionals	0	3,600	3,600
Forensic Diversion	3,200	3,200	0
START Training	0	6,392	6,392
Self Determination Ongoing Implementation	0	2,500	2,500
Lanterman Act Provisional Eligibility Ages 3 and 4	0	16,200	16,200
Total POS – Policy	\$1,100,214	\$1,025,571	(\$74,643)

Reimbursements

The budget year includes \$4.0 billion in reimbursements, an increase of \$88.8 million as compared to the Governor's Budget. Adjustments are reflected in the table below and include the impact of assumed December 31, 2021 suspension of the temporary 6.2 percent enhanced Federal Medical Assistance Percentage (FMAP) authorized by the Families First Coronavirus Response Act.

Reimbursements (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Home and Community-Based Services (HCBS) Waiver	\$2,767,125	\$2,805,082	\$37,957
HCBS Waiver Administration	19,903	21,514	1,611
Medicaid Administration	18,168	18,168	0
Targeted Case Management	251,204	268,746	17,542
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	62,266	62,207	(59)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,514	10,503	(11)
1915(i) State Plan Amendment	466,268	497,281	31,013
Early Periodic Screening Diagnosis and Treatment	38,117	31,946	(6,171)
Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
Self-Determination Program Waiver	12,291	19,233	6,942
Total Reimbursements	\$3,872,769	\$3,961,593	\$88,824

Federal Funds

The budget year includes \$78.8 million in Federal Funds, a net increase of \$24.5 million as compared to the Governor's Budget. The increase reflects the estimated American Rescue Plan IDEA Supplemental Grant Allocations.

Federal Funds (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Early Start Part C/Other Agency Costs	\$53,106	\$77,625	\$24,519
Foster Grandparent Program	1,201	1,140	(61)
Total Federal Funds	\$54,307	\$78,765	\$24,458

STATE OPERATED FACILITIES**FY 2020-21**

The 2021 May Revision reflects \$363.7 million TF (\$315.6 million GF), reflects no change from the Governor's Budget.

Costs and Fund Sources (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Personal Services	\$233,958	\$233,958	\$0
Operating Expenses and Equipment	121,265	121,265	0
Lease Revenue Bond	8,480	8,480	0
Total Costs	\$363,703	\$363,703	\$0
General Fund (GF)	\$315,616	\$315,616	\$0
<i>GF Match</i>	35,416	35,415	0
<i>GF Other</i>	280,200	280,200	0
Reimbursements	47,961	47,961	0
Lottery Fund	126	126	0
Fund Sources	\$363,703	\$363,703	\$0

FY 2021-22

The FY 2021-22 reflects \$327.4 million TF (\$292.2 million GF), is a net decrease of \$16.7 million GF as compared to the Governor's Budget due to the adjustments in funding for COVID-19 response at the Fairview and Porterville facilities and deferred maintenance funding.

Costs and Fund Sources (Dollars in Thousands)			
	Governor's Budget	May Revision	Difference
Personal Services	\$259,253	\$259,253	\$0
Operating Expenses and Equipment	75,707	58,986	(16,721)
Lease Revenue Bond	9,151	9,151	0
Total Costs	\$344,111	\$327,390	(\$16,721)
General Fund (GF)	\$308,946	\$292,225	(\$16,721)
<i>GF Match</i>	25,471	25,471	0
<i>GF Other</i>	283,475	266,754	(16,721)
Reimbursements	35,039	35,039	0
Lottery Fund	126	126	0
Fund Sources	\$344,111	\$327,390	(\$16,721)

Policy

There is a decrease of \$16.7 million GF in policy expenditures as compared to Governor's Budget.

- COVID-19 Response: A net decrease of \$21.7 million GF associated with a reduction in the projected costs to support consumers diagnosed with, exposed to, or at high risk of COVID-19.
- Deferred Maintenance: A net increase of \$5.0 million GF for deferred maintenance projects.

Capital Outlay

The May Revision includes an increase of \$4.1 million GF for the construction phase in the Fire Sprinkler System Project at Porterville Developmental Center.

HEADQUARTERS

FY 2020-21

The 2021 May Revision reflects \$107.9 million TF (\$65.8 million GF) which is no change from the Governor's Budget.

FY 2021-22

The 2021-22 May Revision reflects \$130.8 million TF (\$80.4 million GF), a net increase of \$7.2 million TF (\$6.9 million GF) compared to the Governor's Budget. The net increase is comprised of three spring finance letters (SFL). The changes include:

- Allenby Building Move Phase 3 SFL – Increase of one (1.0) IT Specialist I position and \$147,000 GF on a two-year limited term basis to assist with the information technology support related to onboarding at the Allenby Building. In addition, \$5.1 million GF for rent, decommissioning of the Bateson building, document storage, and equipment necessary for occupancy in the Allenby building.
- Deaf Specialist SFL – Increase of \$197,000 TF (\$158,000 GF) and one (1.0) Career Executive Assignment (CEA), Level A position to provide statewide leadership and subject matter expertise on the provision of services and supports for individuals who are deaf and have intellectual or developmental disabilities.
- Performance Incentives SFL – Increase of \$1.9 million TF (\$1.5 million GF) to fund initial and ongoing efforts to establish a performance improvement program with fiscal incentives for regional centers to meet specified benchmarks and measures, with an initial focus on reducing caseload ratios.

Table of Contents

SECTION B: EXECUTIVE SUMMARY TABLES

FY 2020-21	B-1
FY 2021-22	B-5
FY 2020-21 vs. FY 2021-22	B-7

Comparison of Enacted Budget to May Revision FY 2020-21

I. BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Enacted Budget	May Revision	Difference
1. Staffing Expenditures	\$694,519	\$694,577	\$58
2. Federal Compliance	48,127	48,127	0
3. Projects	30,828	30,915	87
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	1,780	1,782	2
5. Total Operations Caseload Growth (Items 1 thru 4)	\$775,254	\$775,401	\$147

Policy

6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	3,800	3,800	0
10. Specialized Home Monitoring	6,842	6,930	88
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. Early Start Recovery Efforts	0	27,000	27,000
13. Medicaid Claiming Adjustment	22,026	19,675	(2,351)
14. Total Policy (Item 6 thru 13)	\$133,509	\$158,246	\$24,737
15. Total Operations (Item 5 and 14)	\$908,763	\$933,647	\$24,884

B. Purchase of Services**Caseload Growth/Utilization**

1. Community Care Facilities	\$1,941,646	\$2,210,440	\$268,794
2. Medical Facilities	19,884	38,346	18,462
3. Day Programs	1,177,419	1,171,017	(6,402)
4. Habilitation Services	153,169	138,547	(14,622)
a. Work Activity Program	27,190	24,685	(2,505)
b. Supported Employment Program – Group Placement	81,700	78,143	(3,557)
c. Supported Employment Program – Individual Placement	44,279	35,719	(8,560)
5. Transportation	414,983	325,463	(89,520)
6. Support Services	1,790,612	1,870,249	79,637
7. In-Home Respite	691,796	842,221	150,425
8. Out-of-Home Respite	53,659	52,978	(681)
9. Health Care	184,043	192,363	8,320
10. Miscellaneous Services	595,806	567,502	(28,304)
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,612	9,612	0
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$7,032,629	\$7,418,738	\$386,109

Policy

13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. Behavioral Health Treatment-Consumers with no Autism Spectrum Disorder Diagnosis*	(6,955)	0	6,955
15. Early Start Co-Pays*	1,000	0	(1,000)
16. EBSH with Delayed Egress and Secured Perimeters	7,500	7,500	0
17. Electronic Visit Verification Penalty	5,219	5,219	0
18. Provider Supplemental Rate Increases	430,308	452,799	22,491
19. Senate Bill 3 Minimum Wage Increase Effective January 1, 2020*	194,754	0	(194,754)
20. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	120,323	93,619	(26,704)
21. Uniform Holiday Schedule	51,511	50,200	(1,311)
22. Increased Costs for COVID-19	254,101	170,000	(84,101)
23. Health Facility Rate Increase	0	894	894
24. Youth Returning from Out-of-State Foster Care	0	900	900
25. Medicaid Claiming Adjustment	246,389	209,678	(36,711)
26. Total Policy (Item 13 thru 25)	\$1,350,150	\$1,036,809	(\$313,341)
27. Total Purchase of Services (Item 12 and 26)	\$8,382,779	\$8,455,547	\$72,768
C. Early Start Part C/Other Agency Costs	\$19,094	\$19,094	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0

E. GRAND TOTAL

\$9,312,639	\$9,410,291	\$97,652
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*There policies are fully incorporated into the caseload and utilization growth.

Comparison of Enacted Budget to May Revision FY 2020-21

II. FUND SOURCE:

	Enacted Budget	May Revision	Difference
A. General Fund			
1. General Fund Match	\$3,180,149	\$2,865,416	(\$314,733)
2. General Fund Other	2,277,511	2,575,086	297,575
3. General Fund Total (Item 1 and 2)	\$5,457,660	\$5,440,502	(\$17,158)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,712,285	\$2,795,340	\$83,055
2. Home and Community-Based Services Waiver Administration	17,489	17,316	(173)
3. Medicaid Administration	14,553	18,168	3,615
4. Targeted Case Management	255,116	262,670	7,554
5. Targeted Case Management Administration	8,137	0	(8,137)
6. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
7. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,648	65,836	188
8. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,502	10,503	1
9. 1915(i) State Plan Amendment	435,422	487,919	52,497
10. Early Periodic Screening Diagnosis and Treatment	34,601	25,192	(9,409)
11. Behavioral Health Treatment Fee-for-Service	13,806	13,492	(314)
12. Self-Determination Program Waiver	16,522	4,763	(11,759)
13. Reimbursements Total (Item 1 thru 12)	\$3,797,502	\$3,914,620	\$117,118
C. Program Development Fund/Parental Fees	\$2,280	\$0	(\$2,280)
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$53,106	\$53,106	\$0
2. Foster Grandparent Program	1,201	1,173	(28)
3. Federal Funds Total (Item 1 and 2)	\$54,307	\$54,279	(\$28)
G. GRAND TOTAL	\$9,312,639	\$9,410,291	\$97,652

Comparison of Governor's Budget to May Revision FY 2020-21

I. BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Governor's Budget	May Revision	Difference
1. Staffing Expenditures	\$694,577	\$694,577	\$0
2. Federal Compliance	48,127	48,127	0
3. Projects	30,915	30,915	0
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	1,782	1,782	0
5. Total Operations Caseload Growth (Items 1 thru 4)	\$775,401	\$775,401	\$0

Policy

6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	3,800	3,800	0
10. Specialized Home Monitoring	6,930	6,930	0
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. Early Start Recovery Efforts	0	27,000	27,000
13. Medicaid Claiming Adjustment	20,296	19,675	(621)
14. Total Policy (Item 6 thru 13)	\$131,867	\$158,246	\$26,379
15. Total Operations (Item 5 and 14)	\$907,268	\$933,647	\$26,379

B. Purchase of Services**Caseload Growth/Utilization**

1. Community Care Facilities	\$2,175,401	\$2,210,440	\$35,039
2. Medical Facilities	22,744	38,346	15,602
3. Day Programs	1,184,415	1,171,017	(13,398)
4. Habilitation Services	140,235	138,547	(1,688)
a. Work Activity Program	24,537	24,685	148
b. Supported Employment Program – Group Placement	77,617	78,143	526
c. Supported Employment Program – Individual Placement	38,081	35,719	(2,362)
5. Transportation	393,945	325,463	(68,482)
6. Support Services	1,849,308	1,870,249	20,941
7. In-Home Respite	810,063	842,221	32,158
8. Out-of-Home Respite	50,463	52,978	2,515
9. Health Care	186,808	192,363	5,555
10. Miscellaneous Services	596,544	567,502	(29,042)
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,623	9,612	(11)
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$7,419,549	\$7,418,738	(\$811)

Policy

13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. EBSH with Delayed Egress and Secured Perimeters	7,500	7,500	0
15. Electronic Visit Verification Penalty	5,219	5,219	0
16. Provider Supplemental Rate Increases	436,669	452,799	16,130
17. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	93,619	93,619	0
18. Uniform Holiday Schedule	52,639	50,200	(2,439)
19. Increased Costs for COVID-19	170,000	170,000	0
20. Health Facility Rate Increase	673	894	221
21. Youth Returning from Out-of-State Foster Care	900	900	0
22. Medicaid Claiming Adjustment	207,457	209,678	2,221
23. Total Policy (Item 13 thru 22)	\$1,020,676	\$1,036,809	\$16,133
24. Total Purchase of Services (Item 12 and 23)	\$8,440,225	\$8,455,547	\$15,322

C. Early Start Part C/Other Agency Costs

	\$19,094	\$19,094	\$0
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D. Early Start Family Resource Services

	\$2,003	\$2,003	\$0
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E. GRAND TOTAL

	\$9,368,590	\$9,410,291	\$41,701
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Comparison of Governor's Budget to May Revision FY 2020-21

II. FUND SOURCE:

	Governor's Budget	May Revision	Difference
A. General Fund			
1. General Fund Match	\$2,767,145	\$2,865,416	\$98,271
2. General Fund Other	2,754,484	2,575,086	(179,398)
3. General Fund Total (Item 1 and 2)	\$5,521,629	\$5,440,502	(\$81,127)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,682,158	\$2,795,340	\$113,182
2. Home and Community-Based Services Waiver Administration	17,390	17,316	(74)
3. Medicaid Administration	18,168	18,168	0
4. Targeted Case Management	253,302	262,670	9,368
5. Title XX Block Grant	213,421	213,421	0
a. social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,901	65,836	(65)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,514	10,503	(11)
8. 1915(i) State Plan Amendment	483,458	487,919	4,461
9. Early Periodic Screening Diagnosis and Treatment	28,299	25,192	(3,107)
10. Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
11. Self-Determination Program Waiver	4,824	4,763	(61)
12. Reimbursements Total (Item 1 thru 11)	\$3,790,927	\$3,914,620	\$123,693
C. Program Development Fund/Parental Fees	\$837	\$0	(\$837)
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$53,106	\$53,106	\$0
2. Foster Grandparent Program	1,201	1,173	(28)
3. Federal Funds Total (Item 1 and 2)	\$54,307	\$54,279	(\$28)
G. GRAND TOTAL	\$9,368,590	\$9,410,291	\$41,701

Comparison of Governor's Budget to May Revision FY 2021-22

BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Governor's Budget	May Revision	Difference
1. Staffing Expenditures	\$733,525	\$733,855	\$330
2. Federal Compliance	48,349	48,349	0
3. Projects	32,106	32,171	65
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	1,782	1,782	0
5. Total Operations Caseload Growth (Items 1 thru 4)	\$815,762	\$816,157	\$395

Policy

6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	4,200	4,200	0
10. Specialized Home Monitoring	7,400	8,315	915
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. START Training	4,540	10,215	5,675
13. Regional Center Emergency Coordinators	2,017	2,017	0
14. Community Navigators	5,300	5,300	0
15. Tribal Engagement for Early Start Services	0	500	500
16. Enhanced Service Coordination	0	12,800	12,800
17. Direct Service Professional Training and Certification	0	4,300	4,300
18. Implicit Bias Training	0	700	700
19. Emergency Preparedness	0	4,300	4,300
20. Additional Resources to Support Individuals Who Are Deaf	0	2,379	2,379
21. Employment Grant	0	14,706	14,706
22. Performance Incentives	0	3,676	3,676
23. Forensic Diversion	534	534	0
24. Self-Determination Ongoing Implementation	0	7,800	7,800
25. Lanterman Act Provisional Eligibility Ages 3 and 4	0	7,600	7,600
26. Total Policy (Item 6 thru 25)	\$124,832	\$190,183	\$65,351
27. Total Operations (Item 5 and 26)	\$940,594	\$1,006,340	\$65,746

B. Purchase of Services**Caseload Growth/Utilization**

1. Community Care Facilities	\$2,229,993	\$2,319,185	\$89,192
2. Medical Facilities	22,412	51,741	29,329
3. Day Programs	1,251,147	1,256,003	4,856
4. Habilitation Services	141,241	141,080	(161)
a. Work Activity Program	20,281	20,404	123
b. Supported Employment Program – Group Placement	74,064	74,798	734
c. Supported Employment Program – Individual Placement	46,896	45,878	(1,018)
5. Transportation	419,210	424,563	5,353
6. Support Services	2,023,618	2,056,833	33,215
7. In-Home Respite	928,188	959,831	31,643
8. Out-of-Home Respite	57,437	57,525	88
9. Health Care	254,266	251,424	(2,842)
10. Miscellaneous Services	639,047	660,090	21,043
11. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,623	9,612	(11)
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$7,976,182	\$8,187,887	\$211,705

Comparison of Governor's Budget to May Revision FY 2021-22

B. Purchase of Services	Governor's Budget	May Revision	Difference
Policy			
13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	5,822	10,020	4,198
15. Provider Supplemental Rate Increases	454,634	470,762	16,128
16. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	198,626	198,626	0
17. Uniform Holiday Schedule	55,895	56,812	917
18. Increased Costs for COVID-19	175,000	50,000	(125,000)
19. Health Facility Rate Increase	0	422	422
20. Senate Bill 3 Minimum Wage Increase Effective January 1, 2022	159,237	159,237	0
21. Youth Returning from Out-of-State Foster Care	1,800	1,800	0
22. Bilingual Differentials for Direct Service Professionals	0	3,600	3,600
23. Forensic Diversion	3,200	3,200	0
24. START Training	0	6,392	6,392
25. Self-Determination Ongoing Implementation	0	2,500	2,500
26. Lanterman Act Provisional Eligibility Ages 3 and 4	0	16,200	16,200
27. Total Policy (Item 13 thru 26)	\$1,100,214	\$1,025,571	(\$74,643)
28. Total Purchase of Services (Item 12 and 27)	\$9,076,396	\$9,213,458	\$137,062
C. Early Start Part C/Other Agency Costs	\$19,094	\$43,556	\$24,462
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$10,038,087	\$10,265,357	\$227,270
FUND SOURCE:			
A. General Fund			
1. General Fund Match	\$3,205,542	\$3,287,160	\$81,618
2. General Fund Other	2,902,703	2,936,745	34,042
3. General Fund Total (Item 1 and 2)	\$6,108,245	\$6,223,905	\$115,660
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,767,125	\$2,805,082	\$37,957
2. Home and Community-Based Services Waiver Administration	19,903	21,514	1,611
3. Medicaid Administration	18,168	18,168	0
4. Targeted Case Management	251,204	268,746	17,542
5. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	62,266	62,207	(59)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,514	10,503	(11)
8. 1915(i) State Plan Amendment	466,268	497,281	31,013
9. Early Periodic Screening Diagnosis and Treatment	38,117	31,946	(6,171)
10. Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
11. Self-Determination Program Waiver	12,291	19,233	6,942
12. Reimbursements Total (Item 1 thru 11)	\$3,872,769	\$3,961,593	\$88,824
C. Program Development Fund/Parental Fees	\$1,876	\$204	(\$1,672)
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$53,106	\$77,625	\$24,519
2. Foster Grandparent Program	1,201	1,140	(61)
3. Federal Funds Total (Item 1 and 2)	\$54,307	\$78,765	\$24,458
G. GRAND TOTAL	\$10,038,087	\$10,265,357	\$227,270

Comparison of FY 2020-21 to FY 2021-22**BUDGET ITEM:****A. Operations****Caseload Growth/Utilization**

	FY 2020-21	FY 2021-22	Difference
1. Staffing Expenditures	\$694,577	\$733,855	\$39,278
2. Federal Compliance	48,127	48,349	222
3. Projects	30,915	32,171	1,256
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	1,782	1,782	0
5. Total Operations Caseload Growth (Items 1 thru 4)	\$775,401	\$816,157	\$40,756

Policy

6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	3,800	4,200	400
10. Specialized Home Monitoring	6,930	8,315	1,385
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. START Training	0	10,215	10,215
13. Regional Center Emergency Coordinators	0	2,017	2,017
14. Community Navigators	0	5,300	5,300
15. Early Start Recovery Efforts	27,000	0	(27,000)
16. Tribal Engagement for Early Start Services	0	500	500
17. Enhanced Service Coordination	0	12,800	12,800
18. Direct Service Professional Training and Certification	0	4,300	4,300
19. Implicit Bias Training	0	700	700
20. Emergency Preparedness	0	4,300	4,300
21. Additional Resources to Support Individuals Who Are Deaf	0	2,379	2,379
22. Employment Grant	0	14,706	14,706
23. Performance Incentives	0	3,676	3,676
24. Medicaid Claiming Adjustment	19,675	0	(19,675)
25. Forensic Diversion	0	534	534
26. Self-Determination Ongoing Implementation	0	7,800	7,800
27. Lanterman Act Provisional Eligibility Ages 3 and 4	0	7,600	7,600
28. Total Policy (Item 6 thru 27)	\$158,246	\$190,183	\$31,937
29. Total Operations (Item 5 and 28)	\$933,647	\$1,006,340	\$72,693

B. Purchase of Services**Caseload Growth/Utilization**

1. Community Care Facilities	\$2,210,440	\$2,319,185	\$108,745
2. Medical Facilities	38,346	51,741	13,395
3. Day Programs	1,171,017	1,256,003	84,986
4. Habilitation Services	138,547	141,080	2,533
a. Work Activity Program	24,685	20,404	(4,281)
b. Supported Employment Program – Group Placement	78,143	74,798	(3,345)
c. Supported Employment Program – Individual Placement	35,719	45,878	10,159
5. Transportation	325,463	424,563	99,100
6. Support Services	1,870,249	2,056,833	186,584
7. In-Home Respite	842,221	959,831	117,610
8. Out-of-Home Respite	52,978	57,525	4,547
9. Health Care	192,363	251,424	59,061
10. Miscellaneous Services	567,502	660,090	92,588
11. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,612	9,612	0
12. Total POS Caseload Growth (Item 1 thru 11)	\$7,418,738	\$8,187,887	\$769,149

Comparison of FY 2020-21 to FY 2021-22

B. Purchase of Services	FY 2020-21	FY 2021-22	Difference
Policy			
13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. EBSH with Delayed Egress and Secured Perimeters	7,500	0	(7,500)
15. Electronic Visit Verification Penalty	5,219	10,020	4,801
16. Provider Supplemental Rate Increases	452,799	470,762	17,963
17. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	93,619	198,626	105,007
18. Uniform Holiday Schedule	50,200	56,812	6,612
19. Increased Costs for COVID-19	170,000	50,000	(120,000)
20. Health Facility Rate Increase	894	422	(472)
21. Senate Bill 3 Minimum Wage Increase Effective January 1, 2022	0	159,237	159,237
22. Youth Returning from Out-of-State Foster Care	900	1,800	900
23. Bilingual Differentials for Direct Service Professionals	0	3,600	3,600
24. Medicaid Claiming Adjustment	209,678	0	0
25. Forensic Diversion	0	3,200	3,200
26. START Training	0	6,392	6,392
27. Self-Determination Ongoing Implementation	0	2,500	2,500
28. Lanterman Act Provisional Eligibility Ages 3 and 4	0	16,200	16,200
29. Total Policy (Item 13 thru 28)	\$1,036,809	\$1,025,571	(\$11,238)
30. Total Purchase of Services (Item 12 and 29)	\$8,455,547	\$9,213,458	\$757,911
C. Early Start Part C/Other Agency Costs	\$19,094	\$43,556	\$24,462
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$9,410,291	\$10,265,357	\$855,066
FUND SOURCE:			
A. General Fund			
1. General Fund Match	\$2,865,416	\$3,287,160	\$421,744
2. General Fund Other	2,575,086	2,936,745	361,659
3. General Fund Total (Item 1 and 2)	\$5,440,502	\$6,223,905	\$783,403
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,795,340	\$2,805,082	\$9,742
2. Home and Community-Based Services Waiver Administration	17,316	21,514	4,198
3. Medicaid Administration	18,168	18,168	0
4. Targeted Case Management	262,670	268,746	6,076
5. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,836	62,207	(3,629)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,503	10,503	0
8. 1915(i) State Plan Amendment	487,919	497,281	9,362
9. Early Periodic Screening Diagnosis and Treatment	25,192	31,946	6,754
10. Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
11. Self-Determination Program Waiver	4,763	19,233	14,470
12. Reimbursements Total (Item 1 thru 11)	\$3,914,620	\$3,961,593	\$46,973
C. Program Development Fund/Parental Fees	\$0	\$204	\$204
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$53,106	\$77,625	\$24,519
2. Foster Grandparent Program	1,173	1,140	(33)
3. Federal Funds Total (Item 1 and 2)	\$54,279	\$78,765	\$24,486
G. GRAND TOTAL	\$9,410,291	\$10,265,357	\$855,066

Table of Contents

SECTION C: POPULATION

FY 2020-21, FY 2021-22, and FY 2020-21 vs. FY 2021-22	C-1
Active Status Population (Age 3 & Over) Graph.....	C-2
Early Start Population (Birth through 35 Months) Graph	C-3
Community Population Graph	C-4

Population

FY 2020-21

<i>Actual Population as of January 2021</i>	Governor's Budget	FY 2020-21	Difference	Percent Change
Active Status (Age 3 & Older)	313,354	311,447	(1,907)	(0.61%)
Total Early Start (Birth through 35 Months)	<u>44,465</u>	<u>40,934</u>	<u>(3,531)</u>	(7.94%)
Total Community Population	357,819	352,381	(5,438)	(1.52%)

FY 2021-22

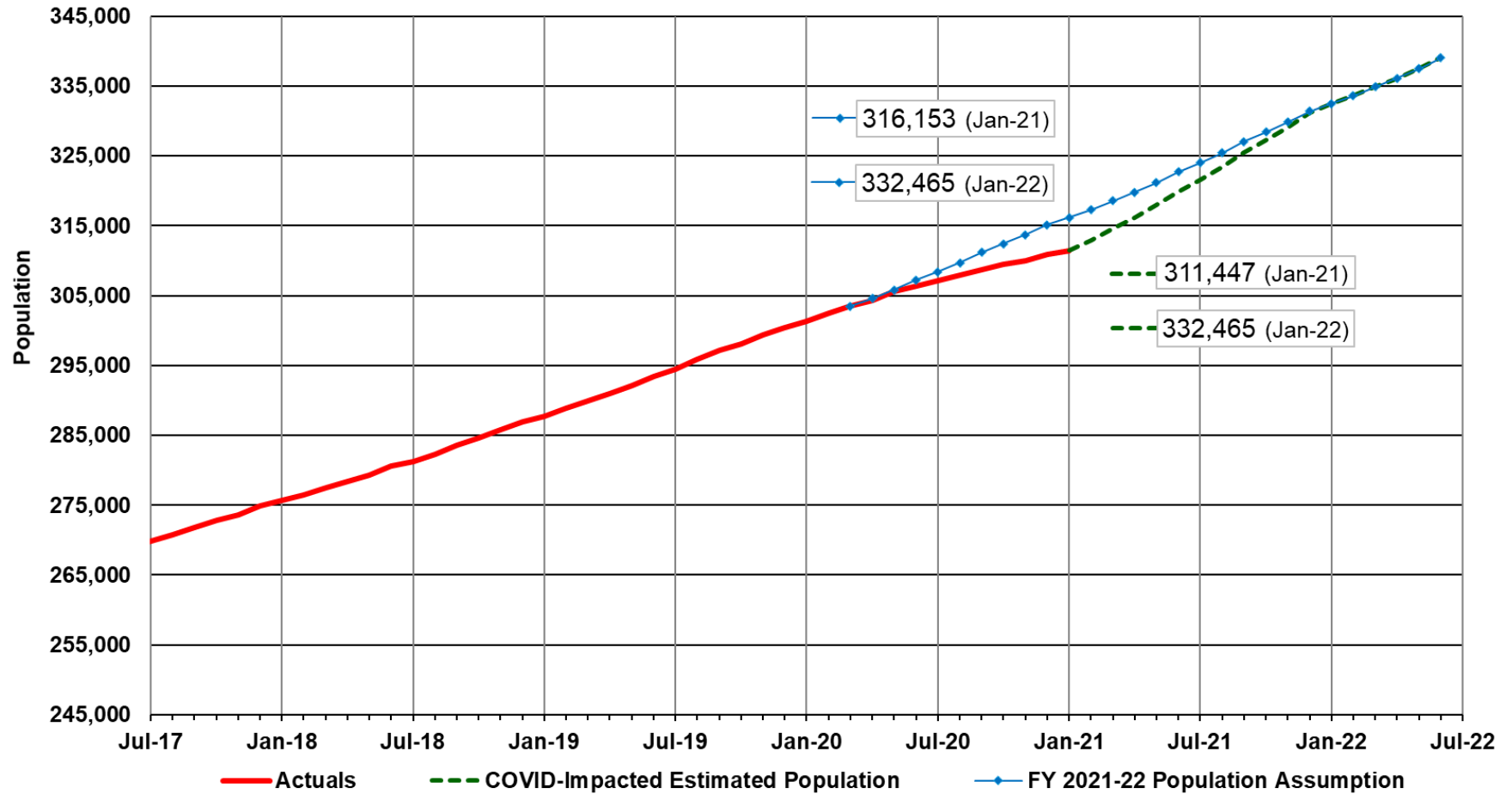
<i>Estimated Population as of January 2022</i>	Governor's Budget	FY 2021-22	Difference	Percent Change
Active Status (Age 3 & Older)	332,465	332,465	0	0.00%
Total Early Start (Birth through 35 Months)	<u>53,966</u>	<u>53,966</u>	<u>0</u>	0.00%
Total Community Population	386,431	386,431	0	0.00%

FY 2020-21 vs. FY 2021-22

<i>Actual Population as of January 2021</i>				Percent Change
<i>Estimated Population as of January 2022</i>	FY 2020-21	FY 2021-22	Difference	
Active Status (Age 3 & Older)	311,447	332,465	21,018	6.75%
Total Early Start (Birth through 35 Months)	<u>40,934</u>	<u>53,966</u>	<u>13,032</u>	31.84%
Total Community Population	352,381	386,431	34,050	9.66%

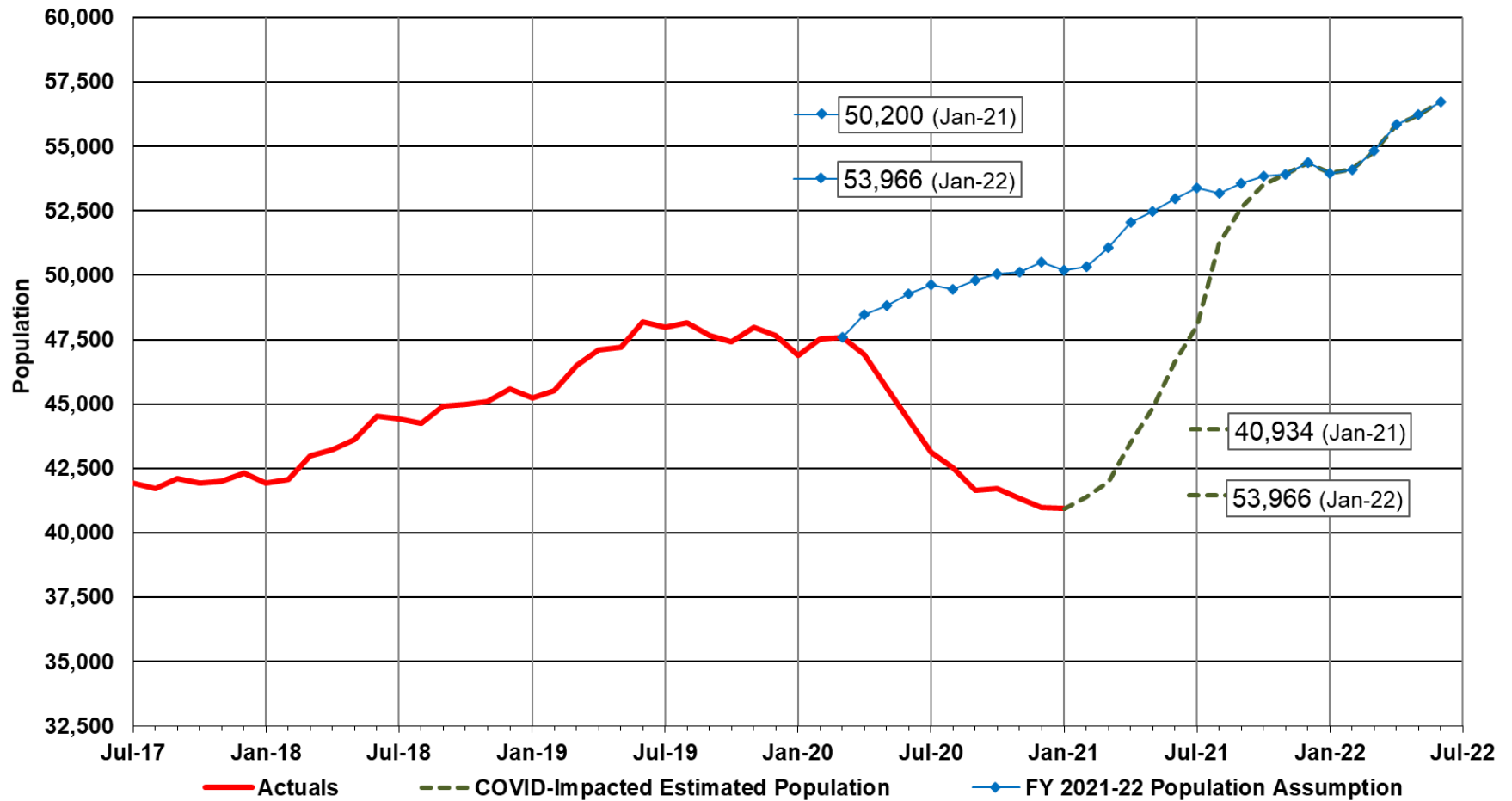
Population

ACTIVE STATUS (Age 3 & Older)



Population

EARLY START (Birth through 35 Months)



Population

COMMUNITY POPULATION (Active Status and Early Start)

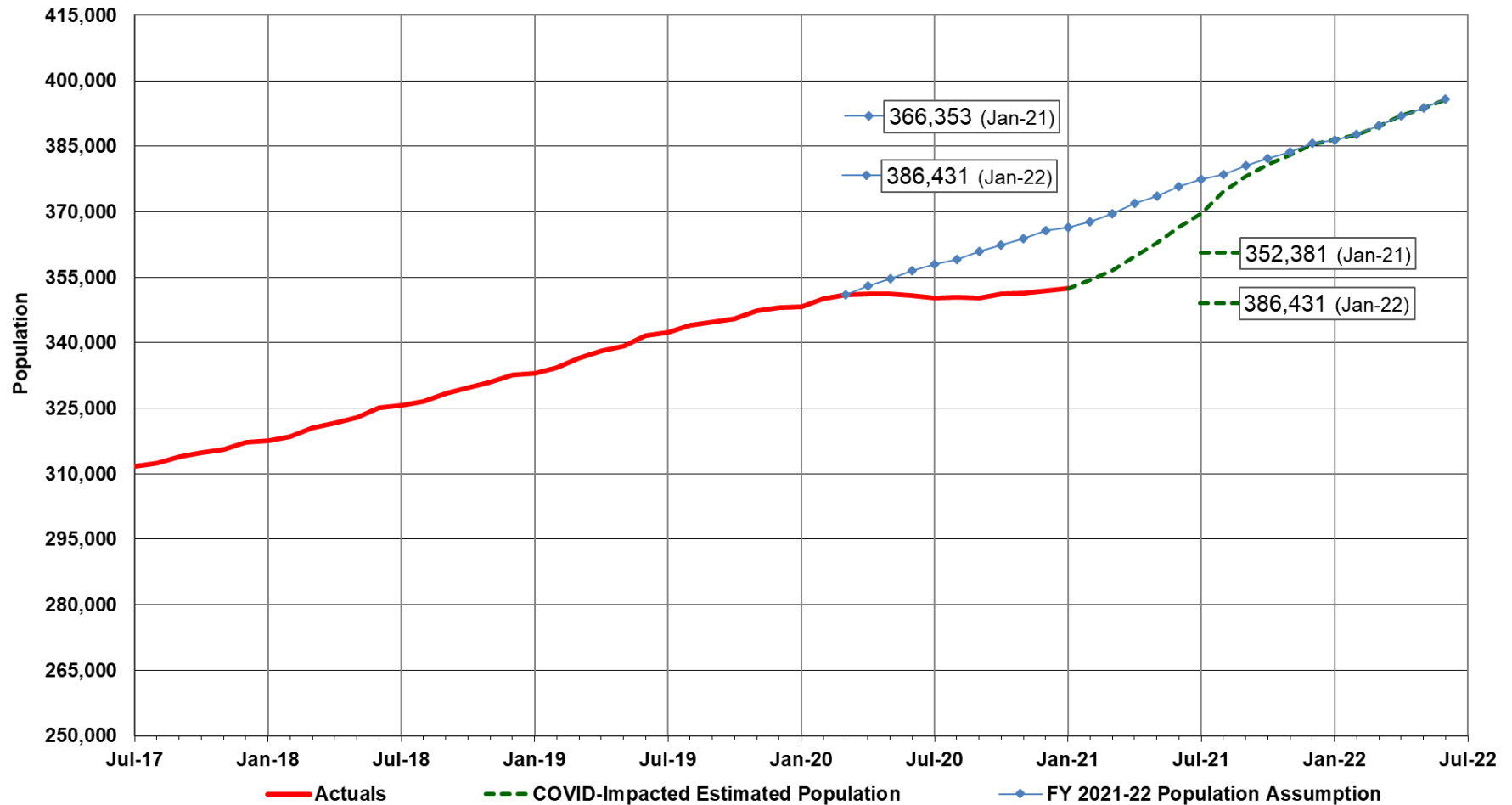


Table of Contents

SECTION D: OPERATIONS

FY 2020-21 - Operations.....	D-1
FY 2021-22 - Operations.....	D-5
FY 2020-21 vs. FY 2021-22 Operations	D-7
Staffing Expenditures	D-9
FY 2020-21 Core Staffing Estimate – Attachment A	D-11
FY 2021-22 Core Staffing Estimate – Attachment A	D-14
Core Staffing Formulas – Attachment B	D-17
Federal Compliance	D-21
Projects	D-24
Intermediate Care Facilities – Developmentally Disabled	
Quality Assurance Fees Operations.....	D-28

Comparison of Enacted Budget to May Revision FY 2020-21 OPERATIONS

	Enacted Budget	May Revision	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	316,153	311,447	(4,706)
B. Early Start (Birth through 35 Months)	50,200	40,934	(9,266)
C. Total Community Population	366,353	352,381	(13,972)
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$719,169	\$719,169	\$0
1) <i>Personal Services and Operating Expenses</i>	640,641	640,641	0
2) <i>Regional Center Rent</i>	78,528	78,528	0
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	70	128	58
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	1,893	1,893	0
E. Less: Reductions	(41,878)	(41,878)	0
F. Total Staffing Expenditures	\$694,519	\$694,577	\$58
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	12,706	12,706	0
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	984	984	0
G. Total Federal Compliance	\$48,127	\$48,127	\$0
3. Projects			
A. Information Technology Costs	\$4,212	\$4,212	\$0
1) <i>Regional Center Application Support</i>	2,962	2,962	0
2) <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy	7,873	7,873	0
C. Quality Assessment	4,500	4,615	115
D. Direct Support Professional Training	3,600	3,600	0
E. Office of Administrative Hearings	3,700	3,700	0
F. Wellness Projects	100	100	0
G. Foster Grandparent/Senior Companion	4,114	4,086	(28)
H. Special Incident Reporting/Risk Assessment	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case	4	4	0
K. FY 2003-04 FFP Enhancement, Phase II	500	500	0
L. Housing Projects	135	135	0
M. Review of Senate Bill 1175 Housing Proposals	150	150	0
N. Total Projects	\$30,828	\$30,915	\$87
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,780	\$1,782	\$2
5. Total Operation Caseload Growth (Item 1 thru 4)	\$775,254	\$775,401	\$147

Comparison of Enacted Budget to May Revision FY 2020-21 OPERATIONS

II. BUDGET ITEMS:

Policy	Enacted Budget	May Revision	Difference
6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	3,800	3,800	0
10. Specialized Home Monitoring	6,842	6,930	88
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. Early Start Recovery Efforts	0	27,000	27,000
13. Medicaid Claiming Adjustment	22,026	19,675	(2,351)
14. Total Policy (Item 6 thru 13)	\$133,509	\$158,246	\$24,737
15. Total Operations (Item 5 and 14)	\$908,763	\$933,647	\$24,884

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$259,135	\$230,875	(\$28,260)
2. General Fund Other	351,352	401,664	50,312
3. General Fund Total (Item 1 and 2)	\$610,487	\$632,539	\$22,052

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$17,489	\$17,316	(\$173)
2. Medicaid Administration	14,553	18,168	3,615
3. Targeted Case Management	255,116	262,670	7,554
4. Targeted Case Management Administration	8,137	0	(8,137)
5. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees	890	891	1
6. Reimbursements Total (Item 1 thru 5)	\$296,185	\$299,045	\$2,860

C. Developmental Disabilities Services Account

\$150	\$150	\$0
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D. Mental Health Service Fund

\$740	\$740	\$0
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E. Federal Fund: Foster Grandparent Program

\$1,201	\$1,173	(\$28)
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F. GRAND TOTAL

\$908,763	\$933,647	\$24,884
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Comparison of Governor's Budget to May Revision FY 2020-21 OPERATIONS

	Governor's Budget	May Revision	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	313,354	311,447	(1,907)
B. Early Start (Birth through 35 Months)	44,465	40,934	(3,531)
C. Total Community Population	357,819	352,381	(5,438)
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$719,169	\$719,169	\$0
1) <i>Personal Services and Operating Expenses</i>	640,641	640,641	0
2) <i>Regional Center Rent</i>	78,528	78,528	0
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	128	128	0
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	1,893	1,893	0
E. Less: Reductions	(41,878)	(41,878)	0
F. Total Staffing Expenditures	\$694,577	\$694,577	\$0
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	12,706	12,706	0
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	984	984	0
G. Total Federal Compliance	\$48,127	\$48,127	\$0
3. Projects			
A. Information Technology Costs	\$4,212	\$4,212	\$0
1) <i>Regional Center Application Support</i>	2,962	2,962	0
2) <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy	7,873	7,873	0
C. Quality Assessment	4,615	4,615	0
D. Direct Support Professional Training	3,600	3,600	0
E. Office of Administrative Hearings	3,700	3,700	0
F. Wellness Projects	100	100	0
G. Foster Grandparent/Senior Companion	4,086	4,086	0
H. Special Incident Reporting/Risk Assessment	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case	4	4	0
K. FY 2003-04 FFP Enhancement, Phase II	500	500	0
L. Housing Projects	135	135	0
M. Review of Senate Bill 1175 Housing Proposals	150	150	0
N. Total Projects	\$30,915	\$30,915	\$0
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,782	\$0
5. Total Operation Caseload Growth (Item 1 thru 4)	\$775,401	\$775,401	\$0

Comparison of Governor's Budget to May Revision FY 2020-21 OPERATIONS

II. BUDGET ITEMS:

Policy	Governor's Budget	May Revision	Difference
6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	3,800	3,800	0
10. Specialized Home Monitoring	6,930	6,930	0
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. Early Start Recovery Efforts	0	27,000	27,000
13. Medicaid Claiming Adjustment	20,296	19,675	(621)
14. Total Policy (Item 6 thru 13)	\$131,867	\$158,246	\$26,379
15. Total Operations (Item 5 and 14)	\$907,268	\$933,647	\$26,379

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$221,751	\$230,875	\$9,124
2. General Fund Other	393,675	401,664	7,989
3. General Fund Total (Item 1 and 2)	\$615,426	\$632,539	\$17,113

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$17,390	\$17,316	(\$74)
2. Medicaid Administration	18,168	18,168	0
3. Targeted Case Management	253,302	262,670	9,368
4. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees	891	891	0
5. Reimbursements Total (Item 1 thru 4)	\$289,751	\$299,045	\$9,294

C. Developmental Disabilities Services Account

\$150	\$150	\$0
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D. Mental Health Service Fund

\$740	\$740	\$0
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E. Federal Fund: Foster Grandparent Program

\$1,201	\$1,173	(\$28)
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F. GRAND TOTAL

\$907,268	\$933,647	\$26,379
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Comparison of Governor's Budget to May Revision FY 2021-22 OPERATIONS

	Governor's Budget	May Revision	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	332,465	332,465	0
B. Early Start (Birth through 35 Months)	53,966	53,966	0
C. Total Community Population	386,431	386,431	0
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$758,173	\$758,503	\$330
1) <i>Personal Services and Operating Expenses</i>	677,895	677,895	0
2) <i>Regional Center Rent</i>	80,278	80,608	330
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	72	72	0
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	1,893	1,893	0
E. Less: Reductions	(41,878)	(41,878)	0
F. Total Staffing Expenditures	\$733,525	\$733,855	\$330
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	12,928	12,928	0
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	984	984	0
G. Total Federal Compliance	\$48,349	\$48,349	\$0
3. Projects			
A. Information Technology Costs	\$4,462	\$4,462	\$0
1) <i>Regional Center Application Support</i>	3,212	3,212	0
2) <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy	8,304	8,304	0
C. Quality Assessment	4,640	4,640	0
D. Direct Support Professional Training	3,900	3,900	0
E. Office of Administrative Hearings	3,885	3,885	0
F. Wellness Projects	100	100	0
G. Foster Grandparent/Senior Companion	4,086	4,151	65
H. Special Incident Reporting/Risk Assessment	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case	4	4	0
K. FY 2003-04 FFP Enhancement, Phase II	500	500	0
L. Housing Projects	135	135	0
M. Review of Senate Bill 1175 Housing Proposals	150	150	0
N. Total Projects	\$32,106	\$32,171	\$65
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,782	\$0
5. Total Operation Caseload Growth (Item 1 thru 4)	\$815,762	\$816,157	\$395

Comparison of Governor's Budget to May Revision FY 2021-22 OPERATIONS

II. BUDGET ITEMS:

	Governor's Budget	May Revision	Difference
Policy			
6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	4,200	4,200	0
10. Specialized Home Monitoring	7,400	8,315	915
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. START Training	4,540	10,215	5,675
13. Regional Center Emergency Coordinators	2,017	2,017	0
14. Community Navigators	5,300	5,300	0
15. Tribal Engagement for Early Start Services	0	500	500
16. Enhanced Service Coordination	0	12,800	12,800
17. Direct Service Professional Training and Certification	0	4,300	4,300
18. Implicit Bias Training	0	700	700
19. Emergency Preparedness	0	4,300	4,300
20. Additional Resources to Support Individuals Who Are Deaf	0	2,379	2,379
21. Employment Grant	0	14,706	14,706
22. Performance Incentives	0	3,676	3,676
23. Forensic Diversion	534	534	0
24. Self-Determination Ongoing Implementation	0	7,800	7,800
25. Lanterman Act Provisional Eligibility Ages 3 and 4	0	7,600	7,600
26. Total Policy (Item 6 thru 25)	\$124,832	\$190,183	\$65,351
27. Total Operations (Item 5 and 26)	\$940,594	\$1,006,340	\$65,746

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$248,725	\$267,450	\$18,725
2. General Fund Other	399,612	427,541	27,929
3. General Fund Total (Item 1 and 2)	\$648,337	\$694,991	\$46,654

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$19,903	\$21,514	\$1,611
2. Medicaid Administration	18,168	18,168	0
3. Targeted Case Management	251,204	268,746	17,542
4. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees	891	891	0
5. Reimbursements Total (Item 1 thru 4)	\$290,166	\$309,319	\$19,153

C. Developmental Disabilities Services Account

	\$150	\$150	\$0
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D. Mental Health Service Fund

	\$740	\$740	\$0
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E. Federal Fund: Foster Grandparent Program

	\$1,201	\$1,140	(\$61)
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F. GRAND TOTAL

	\$940,594	\$1,006,340	\$65,746
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Comparison of FY 2020-21 to FY 2021-22 OPERATIONS

	FY 2020-21	FY 2021-22	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	311,447	332,465	21,018
B. Early Start (Birth through 35 Months)	40,934	53,966	13,032
C. Total Community Population	352,381	386,431	34,050
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$719,169	\$758,503	\$39,334
1) <i>Personal Services and Operating Expense</i>	640,641	677,895	37,254
2) <i>Regional Center Rent</i>	78,528	80,608	2,080
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	128	72	(56)
C. Community Placement Plan	15,265	15,265	0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	1,893	1,893	0
E. Less: Reductions	(41,878)	(41,878)	0
F. Total Staffing Expenditures	\$694,577	\$733,855	\$39,278
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	8,700	8,700	0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	12,706	12,928	222
D. Targeted Case Management	4,129	4,129	0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	473	473	0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	984	984	0
G. Total Federal Compliance	\$48,127	\$48,349	\$222
3. Projects			
A. Information Technology Costs	\$4,212	\$4,462	\$250
1) <i>Regional Center Application Support</i>	2,962	3,212	250
2) <i>Data Processing</i>	1,250	1,250	0
B. Clients' Rights Advocacy	7,873	8,304	431
C. Quality Assessment	4,615	4,640	25
D. Direct Support Professional Training	3,600	3,900	300
E. Office of Administrative Hearings	3,700	3,885	185
F. Wellness Projects	100	100	0
G. Foster Grandparent/Senior Companion Programs	4,086	4,151	65
H. Special Incident Reporting/Risk Assessment	1,200	1,200	0
I. Increased Access to Mental Health Services	740	740	0
J. Sherry S. Court Case	4	4	0
K. Enhancing FFP, Phase II, Proposal C, Consultant	500	500	0
L. Housing Projects	135	135	0
M. Review of Senate Bill 1175 Housing Proposals	150	150	0
N. Total Projects	\$30,915	\$32,171	\$1,256
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,782	\$0
5. Total Operation Caseload Growth (Item 1 thru 4)	\$775,401	\$816,157	\$40,756

Comparison of FY 2020-21 to FY 2021-22 OPERATIONS

II. BUDGET ITEMS:

	FY 2020-21	FY 2021-22	Difference
Policy			
6. Ongoing Operation Policy Items	\$86,866	\$86,866	\$0
7. Developmental Center Closure Ongoing Workload	8,302	8,302	0
8. Self-Determination Program	4,073	4,073	0
9. Specialized Caseload Ratio	3,800	4,200	400
10. Specialized Home Monitoring	6,930	8,315	1,385
11. Trauma Informed Services for Foster Youth	1,600	1,600	0
12. START Training	0	10,215	10,215
13. Regional Center Emergency Coordinators	0	2,017	2,017
14. Community Navigators	0	5,300	5,300
15. Early Start Recovery Efforts	27,000	0	(27,000)
16. Tribal Engagement for Early Start Services	0	500	500
17. Enhanced Service Coordination	0	12,800	12,800
18. Direct Service Professional Training and Certification	0	4,300	4,300
19. Implicit Bias Training	0	700	700
20. Emergency Preparedness	0	4,300	4,300
21. Additional Resources to Support Individuals Who Are Deaf	0	2,379	2,379
22. Employment Grant	0	14,706	14,706
23. Performance Incentives	0	3,676	3,676
24. Medicaid Claiming Adjustment	19,675	0	(19,675)
25. Forensic Diversion	0	534	534
26. Self-Determination Ongoing Implementation	0	7,800	7,800
27. Lanterman Act Provisional Eligibility Ages 3 and 4	0	7,600	7,600
28. Total Policy (Item 6 thru 27)	\$158,246	\$190,183	\$31,937
29. Total Operations (Item 5 and 28)	\$933,647	\$1,006,340	\$72,693

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$230,875	\$267,450	\$36,575
2. General Fund Other	401,664	427,541	25,877
3. General Fund Total (Item 1 and 2)	\$632,539	\$694,991	\$62,452

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$17,316	\$21,514	\$4,198
2. Medicaid Administration	18,168	18,168	0
3. Targeted Case Management	262,670	268,746	6,076
4. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees	891	891	0
5. Reimbursements Total (Item 1 thru 4)	\$299,045	\$309,319	\$10,274

C. Developmental Disabilities Services Account

	\$150	\$150	\$0
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D. Mental Health Service Fund

	\$740	\$740	\$0
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E. Federal Fund: Foster Grandparent Program

	\$1,173	\$1,140	(\$33)
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F. GRAND TOTAL

	\$933,647	\$1,006,340	\$72,693
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Staffing Expenditures

BACKGROUND:

Staffing includes personal services and operating expenses for Core Staffing, Rent, Community Placement Plan, and Placement Continuation.

To address increased needs created by the pandemic and to mitigate an anticipated backlog in new referrals, regional center operations were not adjusted for the lower caseload.

METHODOLOGY:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
• Population Projections: (See Section C, Population, for details)		
• Active Status (Age 3 and Older)	311,447	332,465
• Early Start (Birth through 35 Months)	40,934	53,966
Subtotal:	352,381	386,431
• State Operated Facilities Population:	<u>322</u>	<u>322</u>
Total Community Population:	352,703	386,753
• Informational:		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	134,281	136,490
• Early Start (with Assessment)	46,461	61,031
• Placement Continuation Consumers	98	55
• Intake cases per month	7,070	7,515
• Vendors	44,158	44,158
• Mediations per year	400	400
CORE STAFFING:		
PERSONAL SERVICES:		
• Direct Services and Administrative Positions:	\$493,319	\$522,721
FY 2020-21 13,488		
FY 2021-22 14,185		
<i>See Attachment A for Core Staffing Expenditure Detail.</i>		
<i>See Attachment B for Core Staffing Formulas.</i>		
• Fringe Benefits:	116,916	123,885
<i>Calculated at 23.7 percent per position.</i>		
• Salary Savings:	(20,812)	(22,167)
<i>Client Program Coordinators: 1.0 percent per position</i>	(2,834)	(2,977)
<i>All Other Staff: 5.5 percent per position</i>	(17,979)	(19,190)

Staffing Expenditures

METHODOLOGY (continued):

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
• Early Start Administrative and Clinical Support: <i>Includes salaries, fringe benefits, and salary savings.</i>	\$694	\$694
TOTAL PERSONAL SERVICES:	\$590,117	\$625,133
OPERATING EXPENSES:		
• Operating Expenses: <i>Base amount plus the following adjustments:</i>	50,524	52,762
<i>Professional Positions:</i> \$3,400		
<i>Clerical Positions:</i> \$2,400		
• Rent:	78,528	80,608
TOTAL OPERATING EXPENSES:	\$129,052	\$133,370
TOTAL CORE STAFFING:	\$719,169	\$758,503
Enhanced Caseload Ratio 1:45 for DC Movers:	128	72
Community Placement Plan: <i>See Community Placement Plan for details, in Section F.</i>	15,265	15,265
Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services: <i>Funding provides one Community Program Specialist I and one Account Clerk II for each Regional Center.</i>	1,893	1,893
Less Reductions:	(41,878)	(41,878)
TOTAL EXPENDITURES:	\$694,577	\$733,855

REASON FOR CHANGE:

There is no change in FY 2020-21, from the Governor's Budget.

The change from FY 2020-21 to FY 2021-22 is due to changes in community caseload and increases in facility rent.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$694,577	\$694,577	\$0
GF	\$481,858	\$478,066	(\$3,792)
Reimbursements	\$212,719	\$216,511	\$3,792

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$694,577	\$733,855	\$39,278
GF	\$478,066	\$505,806	\$27,740
Reimbursements	\$216,511	\$228,049	\$11,538

Attachment A

CORE STAFFING ESTIMATE – FY 2020-21

A. PERSONAL SERVICES**1. DIRECT SERVICES****a. Clinical**

(1) Intake and Assessment

(a) Physician

(b) Psychologist

(c) Nurse

(d) Nutritionist

(2) Clinical Support Teams

(a) Physician/Psychiatrist

(b) Consulting Pharmacist

(c) Behavioral Psychologist

(d) Nurse

(3) SB 1038 Health Reviews

(a) Physician

(b) Nurse

b. Intake / Case Management(1) Supervising Counselor (Intake) (1:10
Intake Workers in Item (2) below)

(2) Intake Worker

(3) Supervising Counselor (Case
Management) (1:10 CPCs in Items (6),
(7) and (8) below)(4) Supervising Counselor (Capitol People
First) (DC Case Management 1:10
CPCs)(5) Client Program Coordinator (CPC), 1:66
DC Consumers (Capitol People First)(6) CPC, 1:66 Consumers (Total Pop w/o
DCs, CPP, ES)(7) CPC (Waiver, Early Start only), 1:62
Consumers

(8) CPC, Quality Assurance for ARM

(9) Supervising Counselor, DSS Incidental
Medical Care Regulations (1:10 CPCs)(10) CPC, DSS Incidental Medical Care
Regs**c. Quality Assurance / Quarterly
Monitoring**

(1) Supervising Counselor

(2) CPC

d. Early Intervention

(1) General

(a) Prevention Coordinator

(b) High-Risk Infant Case Manager

(c) Genetics Associate

(2) Early Start

(a) Supervising Counselor

(b) CPC

(c) Administrative and Clinical Support
(see page after next)

	Governor's Budget	Positions	Budgeted Salary	Cost	Difference
(1) Intake and Assessment					
(a) Physician	\$14,533,545	183.34	\$79,271	\$14,533,545	\$0
(b) Psychologist	15,309,939	366.67	41,754	15,309,939	0
(c) Nurse	6,814,931	183.34	37,171	6,814,931	0
(d) Nutritionist	5,263,318	183.34	28,708	5,263,318	0
(2) Clinical Support Teams					
(a) Physician/Psychiatrist	8,467,128	92.00	92,034	8,467,128	0
(b) Consulting Pharmacist	5,561,400	92.00	60,450	5,561,400	0
(c) Behavioral Psychologist	5,057,424	92.00	54,972	5,057,424	0
(d) Nurse	4,643,976	92.00	50,478	4,643,976	0
(3) SB 1038 Health Reviews					
(a) Physician	3,096,024	33.64	92,034	3,096,024	0
(b) Nurse	7,923,027	156.96	50,478	7,923,027	0
(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	4,638,871	121.96	38,036	4,638,871	0
(2) Intake Worker	38,455,481	1,219.57	31,532	38,455,481	0
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	30,284,672	578.04	52,392	30,284,672	0
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	93,022,728	2,733.39	34,032	93,022,728	0
(7) CPC (Waiver, Early Start only), 1:62 Consumers	102,050,397	2,998.66	34,032	102,050,397	0
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	80,160	1.53	52,392	80,160	0
(10) CPC, DSS Incidental Medical Care Regs	577,194	15.26	37,824	577,194	0
(1) Supervising Counselor	2,316,774	44.22	52,392	2,316,774	0
(2) CPC	15,049,631	442.22	34,032	15,049,631	0
(1) General					
(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0
(2) Early Start					
(a) Supervising Counselor	1,813,811	34.62	52,392	1,813,811	0
(b) CPC	11,782,899	346.23	34,032	11,782,899	0
(c) Administrative and Clinical Support (see page after next)					

Attachment A

CORE STAFFING ESTIMATE – FY 2020-21

A. PERSONAL SERVICES**1. DIRECT SERVICES****e. Community Services**

	Governor's Budget	Positions	Budgeted Salary	Cost	Difference
(1) Special Incident Coordinator	\$1,100,232	21.00	\$52,392	\$1,100,232	\$0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	1,572	0.03	52,392	1,572	0
(b) CPC (Supplement at 1:45 Consumers)	11,231	0.33	34,032	11,231	0

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	494,057	9.43	52,392	494,057	0
(2) QA/CPC	3,208,197	94.27	34,032	3,208,197	0
(3) Nurse	2,379,533	47.14	50,478	2,379,533	0

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

\$397,453,187	10,482.80		\$397,453,187	\$0
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A. PERSONAL SERVICES**2. ADMINISTRATION****a. Executive Staff**

(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0

b. Fiscal

(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,413,464	135.72	39,887	5,413,464	0
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,663,643	56.72	29,331	1,663,643	0
(6) Account Clerk (Enh. FFP, Phase II)	600,333	21.00	28,587	600,333	0
(7) Account Clerk	13,347,917	458.34	29,122	13,347,917	0

c. Information Systems and Human Resources

(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0

Attachment A

CORE STAFFING ESTIMATE – FY 2020-21

A. PERSONAL SERVICES	Governor's		Budgeted		Difference
	Budget	Positions	Salary	Cost	
2. ADMINISTRATION					
d. Clerical Support					
(1) Office Supervisor	\$606,409	21.00	\$28,877	\$606,409	\$0
(2) PBX/Mail/File Clerk	1,834,547	63.00	29,120	1,834,547	0
(3) Executive Secretary	1,528,789	52.50	29,120	1,528,789	0
(4) MD/Psychologist Secretary II	485,532	16.82	28,866	485,532	0
(5) MD/Psychologist Secretary I	8,008,234	275.01	29,120	8,008,234	0
(6) Secretary II	5,589,974	193.65	28,866	5,589,974	0
(7) Secretary I	42,334,282	1,428.16	29,643	42,334,282	0
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	\$95,865,355	3,004.76		\$95,865,355	\$0
3. TOTAL POSITIONS AND SALARIES					
(Item A.1.i. + Item A.2.e.)	\$493,318,542	13,487.56		\$493,318,542	\$0
a. CPCs	229,064,087			229,064,087	0
b. All Other Staff	264,254,455			264,254,455	0
4. Fringe Benefits					
a. CPCs 23.7%	54,288,189			54,288,189	0
b. All Other Staff 23.7%	62,628,306			62,628,306	0
c. Total Fringe Benefits	\$116,916,495			\$116,916,495	\$0
5. Salary Savings					
a. CPCs 1.0%	(2,833,523)			(2,833,523)	0
b. All Other Staff 5.5%	(17,978,552)			(17,978,552)	0
c. Total Salary Savings	(\$20,812,075)			(\$20,812,075)	\$0
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	\$694,000			\$694,000	\$0
7. TOTAL PERSONAL SERVICES					
(Items A.3. + A.4. + A.5. + A.6.)	\$590,116,962			\$590,116,962	\$0
ROUNDED	\$590,117,000	13,488.00		\$590,117,000	\$0
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	50,524,000			50,524,000	0
2. Rent	78,528,000			78,528,000	0
3. Subtotal Operating Expenses and Rent	\$129,052,000			\$129,052,000	\$0
C. TOTAL CORE STAFFING					
(Items A.7. + B.3.)	\$719,169,000			\$719,169,000	\$0

Attachment A

CORE STAFFING ESTIMATE – FY 2021-22

A. PERSONAL SERVICES**1. DIRECT SERVICES****a. Clinical**

	Governor's Budget	Positions	Budgeted Salary	Cost	Difference
(1) Intake and Assessment					
(a) Physician	\$15,329,426	193.38	\$79,271	\$15,329,426	\$0
(b) Psychologist	16,148,360	386.75	41,754	16,148,360	0
(c) Nurse	7,188,128	193.38	37,171	7,188,128	0
(d) Nutritionist	5,879,402	193.38	30,403	5,879,402	0

(2) Clinical Support Teams

(a) Physician/Psychiatrist	8,835,264	96.00	92,034	8,835,264	0
(b) Consulting Pharmacist	5,803,200	96.00	60,450	5,803,200	0
(c) Behavioral Psychologist	5,277,312	96.00	54,972	5,277,312	0
(d) Nurse	4,845,888	96.00	50,478	4,845,888	0

(3) SB 1038 Health Reviews

(a) Physician	3,200,943	34.78	92,034	3,200,943	0
(b) Nurse	8,193,084	162.31	50,478	8,193,084	0

b. Intake / Case Management

(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	5,047,377	132.70	38,036	5,047,377	0
(2) Intake Worker	41,842,964	1,327.00	31,532	41,842,964	0
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	31,910,395	609.07	52,392	31,910,395	0
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	242,592	3.61	67,200	242,592	0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)	1,698,326	36.12	47,019	1,698,326	0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	100,213,350	2,944.68	34,032	100,213,350	0
(7) CPC (Waiver, Early Start only), 1:62 Consumers	105,420,586	3,097.69	34,032	105,420,586	0
(8) CPC, Quality Assurance for ARM	1,646,128	48.37	34,032	1,646,128	0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	79,636	1.52	52,392	79,636	0
(10) CPC, DSS Incidental Medical Care Regs	573,034	15.15	37,824	573,034	0

**c. Quality Assurance / Quarterly
Monitoring**

(1) Supervising Counselor	2,321,490	44.31	52,392	2,321,490	0
(2) CPC	15,080,260	443.12	34,032	15,080,260	0

d. Early Intervention

(1) General					
(a) Prevention Coordinator	876,792	21.00	41,752	876,792	0
(b) High-Risk Infant Case Manager	856,905	21.00	40,805	856,905	0
(c) Genetics Associate	798,714	21.00	38,034	798,714	0
(2) Early Start					
(a) Supervising Counselor	1,948,458	37.19	52,392	1,948,458	0
(b) CPC	12,655,480	371.87	34,032	12,655,480	0
(c) Administrative and Clinical Support (see page after next)					

Attachment A

CORE STAFFING ESTIMATE – FY 2021-22

A. PERSONAL SERVICES**1. DIRECT SERVICES****e. Community Services**

	Governor's Budget	Positions	Budgeted Salary	Cost	Difference
(1) Special Incident Coordinator	\$1,100,232	21.00	\$52,392	\$1,100,232	\$0
(2) Vendor Fiscal Monitor	1,248,729	24.56	50,844	1,248,729	0
(3) Program Evaluator	898,653	21.00	42,793	898,653	0
(4) Resource Developer	898,653	21.00	42,793	898,653	0
(5) Transportation Coordinator	898,653	21.00	42,793	898,653	0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	449,327	10.50	42,793	449,327	0
(7) Developmental Center Liaison	107,262	2.82	38,036	107,262	0
(8) Diversion	126,584	4.00	31,646	126,584	0
(9) Placement Continuation:					
(a) Supervising Counselor	1,572	0.03	52,392	1,572	0
(b) CPC (Supplement at 1:45 Consumers)	8,508	0.25	34,032	8,508	0

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	515,013	9.83	52,392	515,013	0
(2) QA/CPC	3,345,005	98.29	34,032	3,345,005	0
(3) Nurses	2,480,489	49.14	50,478	2,480,489	0

g. Mediation

(1) Clinical Staff	7,093	0.11	64,484	7,093	0
(2) Supervising Counselor	52,916	1.01	52,392	52,916	0
(3) CPC	17,356	0.51	34,032	17,356	0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	1,371,888	21.00	65,328	1,371,888	0
(2) ASD Program Coordinator	1,318,464	21.00	62,784	1,318,464	0

i. SUBTOTAL DIRECT SERVICES

\$418,759,891	11,050.43		\$418,759,891	\$0
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A. PERSONAL SERVICES**2. ADMINISTRATION****a. Executive Staff**

(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	1,009,449	21.00	48,069	1,009,449	0
(3) Chief Counselor	986,643	21.00	46,983	986,643	0

b. Fiscal

(1) Federal Program Coordinator (Enh. FFP, Phase I)	1,206,177	21.00	57,437	1,206,177	0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	5,507,996	138.09	39,887	5,507,996	0
(3) Fiscal Manager	963,480	21.00	45,880	963,480	0
(4) Program Tech II (FCPP)	883,255	24.22	36,468	883,255	0
(5) Revenue Clerk	1,754,204	56.72	30,927	1,754,204	0
(6) Account Clerk (Enh. FFP, Phase II)	638,895	21.00	30,424	638,895	0
(7) Account Clerk	14,909,607	483.44	30,841	14,909,607	0

c. Information Systems and Human Resources

(1) Information Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(2) Information Systems Assistant	1,000,692	21.00	47,652	1,000,692	0
(3) Information Systems Assistant (SIR)	500,346	10.50	47,652	500,346	0
(4) Privacy Officer (HIPAA)	898,653	21.00	42,793	898,653	0
(5) Personal Computer Systems Manager	1,397,844	21.00	66,564	1,397,844	0
(6) Training Officer	1,099,728	21.00	52,368	1,099,728	0
(7) Training Officer (SIR)	549,864	10.50	52,368	549,864	0
(8) Human Resources Manager	1,067,724	21.00	50,844	1,067,724	0

Attachment A

CORE STAFFING ESTIMATE – FY 2021-22

A. PERSONAL SERVICES	Governor's		Budgeted		
2. ADMINISTRATION	Budget	Positions	Salary	Cost	Difference
d. Clerical Support					
(1) Office Supervisor	\$645,506	21.00	\$30,738	\$645,506	\$0
(2) PBX/Mail/File Clerk	1,942,895	63.00	30,840	1,942,895	0
(3) Executive Secretary	1,619,080	52.50	30,840	1,619,080	0
(4) MD/Psychologist Secretary II	534,467	17.39	30,734	534,467	0
(5) MD/Psychologist Secretary I	8,945,646	290.07	30,840	8,945,646	0
(6) Secretary II	6,026,658	196.09	30,734	6,026,658	0
(7) Secretary I	46,984,228	1,512.83	31,057	46,984,228	0
(8) Secretary I (DC Case Management - Capitol People First)	210,834	6.62	31,848	210,834	0
e. SUBTOTAL ADMINISTRATION	<u>\$103,961,413</u>	<u>3,134.97</u>		<u>\$103,961,413</u>	<u>\$0</u>
3. TOTAL POSITIONS AND SALARIES (Item A.1.i. + Item A.2.e.)	<u>\$522,721,304</u>	<u>14,185.40</u>		<u>\$522,721,304</u>	<u>\$0</u>
a. CPCs	240,658,033			240,658,033	0
b. All Other Staff	282,063,271			282,063,271	0
4. Fringe Benefits					
a. CPCs 23.7%	57,035,954			57,035,954	0
b. All Other Staff 23.7%	66,848,995			66,848,995	0
c. Total Fringe Benefits	<u>\$123,884,949</u>			<u>\$123,884,949</u>	<u>\$0</u>
5. Salary Savings					
a. CPCs 1.0%	(2,976,940)			(2,976,940)	0
b. All Other Staff 5.5%	(19,190,175)			(19,190,175)	0
c. Total Salary Savings	<u>(\$22,167,115)</u>			<u>(\$22,167,115)</u>	<u>\$0</u>
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	<u>\$694,000</u>			<u>\$694,000</u>	<u>\$0</u>
7. TOTAL PERSONAL SERVICES (Items A.3. + A.4. + A.5. + A.6.) ROUNDED	<u>\$625,133,138</u>			<u>\$625,133,138</u>	<u>\$0</u>
	<u>\$625,133,000</u>	<u>14,185.00</u>		<u>\$625,133,000</u>	<u>\$0</u>
D. OPERATING EXPENSES AND RENT					
1. Operating Expenses	52,762,000			52,762,000	0
2. Rent	80,278,000			80,608,000	330,000
3. Subtotal Operating Expenses and Rent	<u>\$133,040,000</u>			<u>\$133,370,000</u>	<u>\$330,000</u>
E. TOTAL CORE STAFFING (Items A.7. + B.3.)	<u>\$758,173,000</u>			<u>\$758,503,000</u>	<u>\$330,000</u>

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES		
1. DIRECT SERVICES		
a. Clinical		
(1) Intake and Assessment		
(a) Physician	1.0 Position :	2,000 total consumers
(b) Psychologist	1.0 Position :	1,000 total consumers
(c) Nurse	1.0 Position :	2,000 total consumers
(d) Nutritionist	1.0 Position :	2,000 total consumers
(2) Clinical Support Teams		
(a) Physician/Psychiatrist	1.0 Position :	1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 Position :	1,700 “ “
(c) Behavioral Psychologist	1.0 Position :	1,700 “ “
(d) Nurse	1.0 Position :	1,700 “ “
(3) SB 1038 Health Reviews		
(a) Physician	1.5 hours :	Referral/1,778 hrs./ full-time equivalent (FTE) position
(b) Nurse	1.75 hours :	Individual program plan (IPP) review/1,778 hrs./FTE position
b. Intake / Case Management		
(1) Supervising Counselor (Intake)	1.0 Position :	10 Intake Workers
(2) Intake Worker	1.0 Position :	14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor (Case Management)	1.0 Position :	10 CPCs in Items b.(6, 7 and 8) below
(4) Supervising Counselor (Capitol People First)	1.0 Position :	10 CPCs in Items b.(5) below
(5) Client Program Coordinator (CPC) (Capitol People First)	1.0 Position :	66 consumers (Developmental Center residents)
(6) CPC	1.0 Position :	66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 Position :	62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for ARM	1.0 Position :	527 CCF consumers
(9) Supervising Counselor, DSS Incidental Medical Care Regulations	1.0 Position :	10 CPCs in item b.(10) below
(10) CPC, DSS Incidental Medical Care Regs	1.0 Position :	2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living
c. Quality Assurance / Quarterly Monitoring		
(1) Supervising Counselor	1.0 Position :	10 CPCs in Item c.(2) below
(2) CPC	10 hrs/yr. :	CCF consumer/1,778 hrs./FTE
	14 hrs/yr. :	Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr. :	Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr. :	Family Home Agency consumer/1,778 hrs./FTE

Attachment B CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
1. DIRECT SERVICES (continued)		
d. Early Intervention		
(1) General		
(a) Prevention Coordinator	1.0 Position : RC	
(b) High-Risk Infant Case Manager	1.0 Position : RC	
(c) Genetics Associate	1.0 Position : RC	
(2) Early Start		
(a) Supervising Counselor	1.0 Position : 10 CPCs in Item d.(2)(b) below	
(b) CPC		
	Marginal positions from:	1.0 Position : 62 children < age 3 yrs
	to:	1.0 Position : 45 children < age 3 yrs ¹
e. Community Services		
(1) Special Incident Coordinator	1.0 Position : RC	
(2) Vendor Fiscal Monitor	0.5 Position : RC plus 1 : every 3,140 vendors	
(3) Program Evaluator	1.0 Position : RC	
(4) Resource Developer	1.0 Position : RC	
(5) Transportation Coordinator	1.0 Position : RC	
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997, Consumer Complaints)	0.5 Position : RC	
(7) Developmental Center Liaison	1.0 Position : 400 DC consumers	
(8) Diversion	4.0 Positions : 21 RCs	
(9) Placement Continuation:		
(a) Supervising Counselor	1.0 Position : 10 CPCs in Item e.(9)(b) below	
(b) CPC (Supplement at 1:45 Consumers)		
	Marginal positions from:	1.0 Position : 62 CPP Placements
	to:	1.0 Position : 45 CPP Placements
f. Special Incident Reporting (SIR)		
(1) Supervising Counselor	1.0 Position : 10 CPCs in Item f. (2) below	
(2) QA/CPC	1.0 Position : RC plus 1 : every 5,000 consumers	
(3) Nurse	0.5 Position : RC plus 0.5 : every 5,000 consumers	
g. Mediation		
(1) Clinical Staff	2.0 hours : 25% of annual mediations/ 1,778 hrs /FTE position	
(2) Supervising Counselor	4.5 hours : Mediation/1,778 hrs/FTE position	
(3) CPC	4.5 hours : 50% of annual mediations/ 1,778 hrs./FTE position	
h. Expansion of Autism Spectrum Disorders (ASD) Initiative		
(1) ASD Clinical Specialist	1.0 Position : RC	
(2) ASD Program Coordinator	1.0 Position : RC	

¹ This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
2. ADMINISTRATION		
a. Executive Staff		
(1) Director	1.0 Position : RC	
(2) Administrator	1.0 Position : RC	
(3) Chief Counselor	1.0 Position : RC	
b. Fiscal		
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 Position : RC	
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 Position : 1,000 HCBS Waiver consumers	
(3) Fiscal Manager	1.0 Position : RC	
(4) Program Technician II (FCPP)	0.5 Position : RC	
	1.0 Position : 1,778 hours of FCPP determinations	
(5) Revenue Clerk	1.0 Position : 400 consumers for whom RCs are representative payee	
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 Position : RC	
(7) Account Clerk	1.0 Position : 800 total consumers	
c. Information Systems and Human Resources		
(1) Information Systems Manager	1.0 Position : RC	
(2) Information Systems Assistant	1.0 Position : RC	
(3) Information Systems Assistant (SIR)	0.5 Position : RC	
(4) Privacy Officer (HIPAA)	1.0 Position : RC	
(5) Personal Computer Systems Manager	1.0 Position : RC	
(6) Training Officer	1.0 Position : RC	
(7) Training Officer (SIR)	0.5 Position : RC	
(8) Human Resources Manager	1.0 Position : RC	

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
2. ADMINISTRATION (continued)		
d. Clerical Support		
(1) Office Supervisor	1.0 Position : RC	
(2) PBX/Mail/File Clerk	3.0 Positions : RC	
(3) Executive Secretary	2.5 Positions : RC	
(4) MD/Psychologist Secretary II	1.0 Position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews	
(5) MD/Psychologist Secretary I	1.0 Position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment	
(6) Secretary II	1.0 Position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secretary I, line 1.e.(9) b 2.) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources	
(7) Secretary I	1.0 Position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secretary II, line 1.e.(9) b 1.)	
(8) Secretary I (DC Case Management - Capitol People First)	1.0 Position : 6 CPCs and Supervisors	

Federal Compliance

BACKGROUND:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

METHODOLOGY:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> HCBS Waiver: Operations costs for HCBS Waiver activities in FY 2020-21 and FY 2021-22 are based upon 6.5 percent of FY 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth. 	\$21,135	\$21,135
<ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports. 	8,700	8,700

Federal Compliance

METHODOLOGY (continued):

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the case manager to waiver participant ratio of 1:62 is consistently met." This augmentation by the California Legislature is intended to assist in this. 	\$12,706	\$12,928
<ul style="list-style-type: none"> TCM: Operations costs for TCM activities in FY 2020-21 and FY 2021-22 are based upon 5.8 percent of FY 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth. 	4,129	4,129
<ul style="list-style-type: none"> NHR/Pre-Admission Screening and Resident Review (PASRR): Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. 	473	473
<ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS: Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. 	984	984
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$48,127	\$48,349

Federal Compliance

REASON FOR CHANGE:

There is no change in FY 2020-21, from the Governor's Budget. The change from FY 2020-21 to FY 2021-22, is due to continued growth.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$48,127	\$48,127	\$0
GF	\$36,232	\$39,332	\$3,100
Reimbursements	\$11,895	\$8,795	(\$3,100)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$48,127	\$48,349	\$222
GF	\$39,332	\$39,443	\$111
Reimbursements	\$8,795	\$8,906	\$111

Projects

BACKGROUND:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

METHODOLOGY:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> • Information Technology Costs: <ul style="list-style-type: none"> • <i>Regional Center Application Support:</i> • <i>Data Processing:</i> 	\$4,212 2,962 1,250	\$4,462 3,212 1,250
<ul style="list-style-type: none"> • Clients' Rights Advocacy: The Department contracts for clients' rights advocacy services for regional center consumers. Contract amount shown does not reflect administrative costs per Assembly Bill (AB) X2 1. 	7,873	8,304
<ul style="list-style-type: none"> • Quality Assessment: The Quality Assessment Project, as required by the Welfare and Institutions Code, §4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 	4,615	4,640
<ul style="list-style-type: none"> • Direct Support Professional Training: Welfare and Institutions Code §4695.2 mandates all direct support service professionals working in licensed community care facilities to complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 	3,600	3,900

Projects

METHODOLOGY (continued):

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and their consumers, and (2) provide mediation services. §4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended. 	\$3,700	\$3,885
<ul style="list-style-type: none"> Wellness Projects: Projects may include those that focus on health professional training programs, developmental, health, and trauma assessments, resource development for persons with a dual diagnosis, and training programs for parents and consumers. 	100	100
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. Costs reflect the federally mandated \$0.35 increase to the volunteer stipend effective April 2020. 	4,086	4,151
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on Developmental Center transitions to the Center for Medicare & Medicaid Services; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 	1,200	1,200

Projects

METHODOLOGY (continued):

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> Increased Access to Mental Health Services: Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 	\$740	\$740
<ul style="list-style-type: none"> Sherry S. Court Case: In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. 	4	4
<ul style="list-style-type: none"> FY 2003-04 FFP Enhancement, Phase II: These costs are associated with legal support for federal program activities. 	500	500
<ul style="list-style-type: none"> Housing Projects: The amounts budgeted represent funds that DDS pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the <i>Coffelt Settlement</i> (1994). The amounts also represent funds for various contracts established to facilitate DDS Asset Management functions for housing projects. 	135	135
<ul style="list-style-type: none"> Review of Senate Bill (SB) 1175 Housing Proposals: Pursuant to Chapter 617, Statutes of 2008 (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing DDS' costs associated with conducting the review and approval of housing proposals. 	150	150
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$30,915	\$32,171

Projects

REASON FOR CHANGE:

There is no change in FY 2020-21, from the Governor's Budget.

The change from FY 2020-21 to FY 2021-22, is due to anticipated contract costs.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$30,915	\$30,915	\$0
GF	\$23,525	\$23,636	\$111
Reimbursement	\$5,299	\$5,216	(\$83)
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
Federal Funds	\$1,201	\$1,173	(\$28)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$30,915	\$32,171	\$1,256
GF	\$23,636	\$24,671	\$1,035
Reimbursement	\$5,216	\$5,470	\$254
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
Federal Funds	\$1,173	\$1,140	(\$33)

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees Operations

BACKGROUND:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

METHODOLOGY:

Billing costs are 1.5 percent of the purchase of services costs for Day Programs and Transportation.

The Department estimates for FY 2020-21 and FY 2021-22 the total purchase of services costs to be \$118.7 million for Day Programs and Transportation, of which 1.5 percent equals \$1.8 million for regional center administration.

REASON FOR CHANGE:

There is no change to both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$1,782	\$1,782	\$0
GF	\$891	\$891	\$0
Reimbursement	\$891	\$891	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,782	\$1,782	\$0
GF	\$891	\$891	\$0
Reimbursement	\$891	\$891	\$0

Table of Contents

SECTION E: PURCHASE OF SERVICES

FY 2020-21 - Purchase of Services.....	E-1
FY 2021-22 - Purchase of Services.....	E-5
FY 2020-21 vs. FY 2021-22 Purchase of Services	E-7
Community Care Facilities	E-9
Medical Facilities	E-11
Day Programs	E-13
Habilitation Services	
Work Activity Program	E-15
Supported Employment Program - Group Placement	E-16
Supported Employment Program - Individual Placement	E-17
Transportation	E-18
Support Services	E-20
In-Home Respite	E-22
Out-of-Home Respite	E-24
Health Care	E-26
Miscellaneous Services	E-28
Intermediate Care Facility-Developmentally Disabled Quality	
Assurance Fees Purchase of Services.....	E-30
Purchase of Services Historical Expenditure Charts	E-31

Comparison of Enacted Budget to May Revision FY 2020-21 PURCHASE OF SERVICES

I. BUDGET ITEMS:	Enacted Budget	May Revision	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$1,941,646	\$2,210,440	\$268,794
2. Medical Facilities	19,884	38,346	18,462
3. Day Programs	1,177,419	1,171,017	(6,402)
4. Habilitation Services	153,169	138,547	(14,622)
a. Work Activity Program	27,190	24,685	(2,505)
b. Supported Employment Program – Group Placement	81,700	78,143	(3,557)
c. Support Employment Program – Individual Placement	44,279	35,719	(8,560)
5. Transportation	414,983	325,463	(89,520)
6. Support Services	1,790,612	1,870,249	79,637
7. In-Home Respite	691,796	842,221	150,425
8. Out-of-Home Respite	53,659	52,978	(681)
9. Health Care	184,043	192,363	8,320
10. Miscellaneous Services	595,806	567,502	(28,304)
11. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,612	9,612	0
12. Total POS Caseload Growth (Item 1 thru 11)	\$7,032,629	\$7,418,738	\$386,109
B. Policy			
13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. Behavioral Health Treatment-Consumers with no Autism Spectrum Disorder Diagnosis*	(6,955)	0	6,955
15. Early Start Co-Pays*	1,000	0	(1,000)
16. EBSH with Delayed Egress and Secured Perimeters	7,500	7,500	0
17. Electronic Visit Verification Penalty	5,219	5,219	0
18. Provider Supplemental Rate Increases	430,308	452,799	22,491
19. Senate Bill 3 Minimum Wage Increase Effective January 1, 2020*	194,754	0	(194,754)
20. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	120,323	93,619	(26,704)
21. Uniform Holiday Schedule	51,511	50,200	(1,311)
22. Increased Costs for COVID-19	254,101	170,000	(84,101)
23. Health Facility Rate Increase	0	894	894
24. Youth Returning from Out-of-State Foster Care	0	900	900
25. Medicaid Claiming Adjustment	246,389	209,678	(36,711)
26. Total Policy (Item 13 thru 25)	\$1,350,150	\$1,036,809	(\$313,341)
27. Total Purchase of Services (Item 12 and 26)	\$8,382,779	\$8,455,547	\$72,768

*These policies are fully incorporated into the caseload and utilization growth.

**Comparison of Enacted Budget to May Revision
FY 2020-21
PURCHASE OF SERVICES**

II. FUND SOURCE:

	Enacted Budget	May Revision	Difference
A. General Fund			
1. General Fund Match	\$2,921,014	\$2,634,541	(\$286,473)
2. General Fund Other	1,924,156	2,171,419	247,263
3. General Fund Total (Item 1 and 2)	\$4,845,170	\$4,805,960	(\$39,210)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,712,285	\$2,795,340	\$83,055
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,648	65,836	188
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	9,612	9,612	0
5. 1915(i) State Plan Amendment	435,422	487,919	52,497
6. Early Periodic Screening Diagnosis and Treatment	34,601	25,192	(9,409)
7. Behavioral Health Treatment Fee-for-Service	13,806	13,492	(314)
8. Self-Determination Program Waiver	16,522	4,763	(11,759)
9. Reimbursements Total (Item 1 thru 8)	\$3,501,317	\$3,615,575	\$114,258
C. Program Development Fund/Parental Fees	\$2,280	\$0	(\$2,280)
D. Federal Fund: Early Start Part C/Other Agency Costs	\$34,012	\$34,012	\$0
E. GRAND TOTAL	\$8,382,779	\$8,455,547	\$72,768

**Comparison of Governor's Budget to May Revision
FY 2020-21
PURCHASE OF SERVICES**

I. BUDGET ITEMS:	Governor's Budget	May Revision	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,175,401	\$2,210,440	\$35,039
2. Medical Facilities	22,744	38,346	15,602
3. Day Programs	1,184,415	1,171,017	(13,398)
4. Habilitation Services	140,235	138,547	(1,688)
a. <i>Work Activity Program</i>	24,537	24,685	148
b. <i>Supported Employment Program – Group Placement</i>	77,617	78,143	526
c. <i>Support Employment Program – Individual Placement</i>	38,081	35,719	(2,362)
5. Transportation	393,945	325,463	(68,482)
6. Support Services	1,849,308	1,870,249	20,941
7. In-Home Respite	810,063	842,221	32,158
8. Out-of-Home Respite	50,463	52,978	2,515
9. Health Care	186,808	192,363	5,555
10. Miscellaneous Services	596,544	567,502	(29,042)
11. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,623	9,612	(11)
12. Total POS Caseload Growth (Item 1 thru 11)	\$7,419,549	\$7,418,738	(\$811)
B. Policy			
13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. EBSH with Delayed Egress and Secured Perimeters	7,500	7,500	0
15. Electronic Visit Verification Penalty	5,219	5,219	0
16. Provider Supplemental Rate Increases	436,669	452,799	16,130
17. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	93,619	93,619	0
18. Uniform Holiday Schedule	52,639	50,200	(2,439)
19. Increased Costs for COVID-19	170,000	170,000	0
20. Health Facility Rate Increase	673	894	221
21. Youth Returning from Out-of-State Foster Care	900	900	0
22. Medicaid Claiming Adjustment	207,457	209,678	2,221
23. Total Policy (Item 13 thru 22)	\$1,020,676	\$1,036,809	\$16,133
24. Total Purchase of Services (Item 12 and 23)	\$8,440,225	\$8,455,547	\$15,322

**Comparison of Governor's Budget to May Revision
FY 2020-21
PURCHASE OF SERVICES**

II. FUND SOURCE:

	Governor's Budget	May Revision	Difference
A. General Fund			
1. General Fund Match	\$2,545,394	\$2,634,541	\$89,147
2. General Fund Other	2,358,806	2,171,419	(187,387)
3. General Fund Total (Item 1 and 2)	\$4,904,200	\$4,805,960	(\$98,240)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,682,158	\$2,795,340	\$113,182
2. Title XX Block Grant	213,421	213,421	0
<i>a. Social Services</i>	136,264	136,264	0
<i>b. Temporary Assistance for Needy Families</i>	77,157	77,157	0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,901	65,836	(65)
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	9,623	9,612	(11)
5. 1915(i) State Plan Amendment	483,458	487,919	4,461
6. Early Periodic Screening Diagnosis and Treatment	28,299	25,192	(3,107)
7. Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
8. Self-Determination Program Waiver	4,824	4,763	(61)
9. Reimbursements Total (Item 1 thru 8)	\$3,501,176	\$3,615,575	\$114,399
C. Program Development Fund/Parental Fees	\$837	\$0	(\$837)
D. Federal Fund: Early Start Part C/Other Agency Costs	\$34,012	\$34,012	\$0
E. GRAND TOTAL	\$8,440,225	\$8,455,547	\$15,322

**Comparison of Governor's Budget to May Revision
FY 2021-22
PURCHASE OF SERVICES**

I. BUDGET ITEMS:	Governor's Budget	May Revision	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,229,993	\$2,319,185	\$89,192
2. Medical Facilities	22,412	51,741	29,329
3. Day Programs	1,251,147	1,256,003	4,856
4. Habilitation Services	141,241	141,080	(161)
a. Work Activity Program	20,281	20,404	123
b. Supported Employment Program – Group Placement	74,064	74,798	734
c. Support Employment Program – Individual Placement	46,896	45,878	(1,018)
5. Transportation	419,210	424,563	5,353
6. Support Services	2,023,618	2,056,833	33,215
7. In-Home Respite	928,188	959,831	31,643
8. Out-of-Home Respite	57,437	57,525	88
9. Health Care	254,266	251,424	(2,842)
10. Miscellaneous Services	639,047	660,090	21,043
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,623	9,612	(11)
12. Total POS Caseload Growth (Item 1 thru 11)	\$7,976,182	\$8,187,887	\$211,705
B. Policy			
13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	5,822	10,020	4,198
15. Provider Supplemental Rate Increases	454,634	470,762	16,128
16. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	198,626	198,626	0
17. Uniform Holiday Schedule	55,895	56,812	917
18. Increased Costs for COVID-19	175,000	50,000	(125,000)
19. Health Facility Rate Increase	0	422	422
20. Senate Bill 3 Minimum Wage Increase Effective January 1, 2022	159,237	159,237	0
21. Youth Returning from Out-of-State Foster Care	1,800	1,800	0
22. Bilingual Differentials for Direct Service Professionals	0	3,600	3,600
23. Forensic Diversion	3,200	3,200	0
24. START Training	0	6,392	6,392
25. Self-Determination Ongoing Implementation	0	2,500	2,500
26. Lanterman Act Provisional Eligibility Ages 3 and 4	0	16,200	16,200
27. Total Policy (Item 13 thru 26)	\$1,100,214	\$1,025,571	(\$74,643)
28. Total Purchase of Services (Item 12 and 27)	\$9,076,396	\$9,213,458	\$137,062

**Comparison of Governor's Budget to May Revision
FY 2021-22
PURCHASE OF SERVICES**

II. FUND SOURCE:

	Governor's Budget	May Revision	Difference
A. General Fund			
1. General Fund Match	\$2,956,817	\$3,019,710	\$62,893
2. General Fund Other	2,501,088	2,507,201	6,113
3. General Fund Total (Item 1 and 2)	\$5,457,905	\$5,526,911	\$69,006
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,767,125	\$2,805,082	\$37,957
2. Title XX Block Grant	213,421	213,421	0
<i>a. Social Services</i>	136,264	136,264	0
<i>b. Temporary Assistance for Needy Families</i>	77,157	77,157	0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	62,266	62,207	(59)
4. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees	9,623	9,612	(11)
5. 1915(i) State Plan Amendment	466,268	497,281	31,013
6. Early Periodic Screening Diagnosis and Treatment	38,117	31,946	(6,171)
7. Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
8. Self-Determination Program Waiver	12,291	19,233	6,942
9. Reimbursements Total (Item 1 thru 8)	\$3,582,603	\$3,652,274	\$69,671
C. Program Development Fund/Parental Fees	\$1,876	\$204	(\$1,672)
D. Federal Fund: Early Start Part C/Other Agency Costs	\$34,012	\$34,069	\$57
E. GRAND TOTAL	\$9,076,396	\$9,213,458	\$137,062

Comparison of FY 2020-21 to FY 2021-22 PURCHASE OF SERVICES

I. BUDGET ITEMS:**A. Caseload Growth/Utilization**

	FY 2020-21	FY 2021-22	Difference
1. Community Care Facilities	\$2,210,440	\$2,319,185	\$108,745
2. Medical Facilities	38,346	51,741	13,395
3. Day Programs	1,171,017	1,256,003	84,986
4. Habilitation Services	138,547	141,080	2,533
a. Work Activity Program	24,685	20,404	(4,281)
b. Supported Employment Program – Group Placement	78,143	74,798	(3,345)
c. Support Employment Program – Individual Placement	35,719	45,878	10,159
5. Transportation	325,463	424,563	99,100
6. Support Services	1,870,249	2,056,833	186,584
7. In-Home Respite	842,221	959,831	117,610
8. Out-of-Home Respite	52,978	57,525	4,547
9. Health Care	192,363	251,424	59,061
10. Miscellaneous Services	567,502	660,090	92,588
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	9,612	9,612	0
12. Total POS Caseload Growth (Item 1 thru 11)	<u>\$7,418,738</u>	<u>\$8,187,887</u>	<u>\$769,149</u>

B. Policy

13. Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
14. EBSH with Delayed Egress and Secured Perimeters	7,500	0	(7,500)
15. Electronic Visit Verification Penalty	5,219	10,020	4,801
16. Provider Supplemental Rate Increases	452,799	470,762	17,963
17. Senate Bill 3 Minimum Wage Increase Effective January 1, 2021	93,619	198,626	105,007
18. Uniform Holiday Schedule	50,200	56,812	6,612
19. Increased Costs for COVID-19	170,000	50,000	(120,000)
20. Health Facility Rate Increase	894	422	(472)
21. Senate Bill 3 Minimum Wage Increase Effective January 1, 2022	0	159,237	159,237
22. Youth Returning from Out-of-State Foster Care	900	1,800	900
23. Bilingual Differentials for Direct Service Professionals	0	3,600	3,600
24. Medicaid Claiming Adjustment	209,678	0	(209,678)
25. Forensic Diversion	0	3,200	3,200
26. START Training	0	6,392	6,392
27. Self-Determination Ongoing Implementation	0	2,500	2,500
28. Lanterman Act Provisional Eligibility Ages 3 and 4	0	16,200	16,200
29. Total Policy (Item 13 thru 28)	<u>\$1,036,809</u>	<u>\$1,025,571</u>	<u>(\$11,238)</u>
30. Total Purchase of Services (Item 12 and 29)	<u>\$8,455,547</u>	<u>\$9,213,458</u>	<u>\$757,911</u>

Comparison of FY 2020-21 to FY 2021-22 PURCHASE OF SERVICES

II. FUND SOURCE:

	FY 2020-21	FY 2021-22	Difference
A. General Fund			
1. General Fund Match	\$2,634,541	\$3,019,710	\$385,169
2. General Fund Other	2,171,419	2,507,201	335,782
3. General Fund Total (Item 1 and 2)	<u>\$4,805,960</u>	<u>\$5,526,911</u>	<u>\$720,951</u>
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,795,340	\$2,805,082	\$9,742
2. Title XX Block Grant	213,421	213,421	0
a. Social Services	136,264	136,264	0
b. Temporary Assistance for Needy Families	77,157	77,157	0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,836	62,207	(3,629)
4. Intermediate Care Facilities-Developmentally Disabled Quality Assurance Fees	9,612	9,612	0
5. 1915(i) State Plan Amendment	487,919	497,281	9,362
6. Early Periodic Screening Diagnosis and Treatment	25,192	31,946	6,754
7. Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
8. Self-Determination Program Waiver	4,763	19,233	14,470
9. Reimbursements Total (Item 1 thru 8)	<u>\$3,615,575</u>	<u>\$3,652,274</u>	<u>\$36,699</u>
C. Program Development Fund/Parental Fees	\$0	\$204	\$204
D. Federal Fund: Early Start Part C/Other Agency Costs	\$34,012	\$34,069	\$57
E. GRAND TOTAL	<u>\$8,455,547</u>	<u>\$9,213,458</u>	<u>\$757,911</u>

Community Care Facilities

BACKGROUND:

Community Care Facilities (CCF) are licensed by the Community Care Licensing Division of the Department of Social Services (DSS) to provide 24-hour non-medical residential care to children and adults with developmental disabilities. Regional centers contract with vendored CCF to provide consumers personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living.

METHODOLOGY:

Community Care Facilities expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP the Department funds only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$1,906,628	\$2,151,568
Total Utilization Change/Growth:	244,940	123,942
Subtotal Base and Growth:	\$2,151,568	\$2,275,510
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	18,598	18,598

Community Care Facilities

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
SSI/SSP Increases Effective January 1, 2021 and January 1, 2022: The SSI/SSP rate, effective January 1, 2021, is estimated to be \$1,079 per month and \$1,097 effective January 1, 2022. An increase in these rates results in a decrease in amounts paid by the Department. The SSI/SSP amount includes Care and Supervision, and Room and Board, excluding Personal and Incidental Needs.	(2,459)	(3,010)
Continuation Costs: Annualized costs reflected as CPP from the prior year.	42,733	28,087
TOTAL EXPENDITURES:	\$2,210,440	\$2,319,185

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$2,175,401	\$2,210,440	\$35,039
GF	\$1,293,559	\$1,321,949	\$28,390
Reimbursements	\$881,842	\$888,491	\$6,649

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$2,210,440	\$2,319,185	\$108,745
GF	\$1,321,949	\$1,391,798	\$69,849
Reimbursements	\$888,491	\$927,387	\$38,896

Medical Facilities

BACKGROUND:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

METHODOLOGY:

Medical Facilities expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$18,118	\$35,356
Total Utilization Change/Growth:	17,238	14,027
Subtotal Base and Growth:	\$35,356	\$49,383
Gap Resource Development (ICF-DD-N & ICF-DD-H):	345	345
Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.		
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	802	802
Continuation Costs: Annualized costs reflected as CPP from the prior year.	1,843	1,211
TOTAL EXPENDITURES:	\$38,346	\$51,741

Medical Facilities

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$22,744	\$38,346	\$15,602
GF	\$22,744	\$38,346	\$15,602

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$38,346	\$51,741	\$13,395
GF	\$38,346	\$51,741	\$13,395

Day Programs

BACKGROUND:

Day programs are community-based programs for individuals served by a regional center. Types of services available through a day program include:

- Developing and maintaining self-help and self-care skills.
- Developing the ability to interact with others, making one's needs known and responding to instructions.
- Developing self-advocacy and employment skills.
- Developing community integration skills such as accessing community services.
- Behavior management to help improve behaviors.
- Developing social and recreational skills.

METHODOLOGY:

Day Program expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 and alternative services as permitted during the public health emergency to meet changing consumer needs. (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$1,169,417	\$1,170,437
Total Utilization Change/Growth:	1,020	85,124
Subtotal Base and Growth:	\$1,170,437	\$1,255,561
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	176	176
Continuation Costs: Annualized costs reflected as CPP from the prior year.	404	266
TOTAL EXPENDITURES:	\$1,171,017	\$1,256,003

REASON FOR CHANGE:

The change in FY 2020-21, from the Governor's Budget, is due to updated expenditures.

The change in FY 2020-21 to FY 2021-22, from the Governor's Budget, is due to continued growth as well as changes in utilization related to COVID-19.

Day Programs

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$1,184,415	\$1,171,017	(\$13,398)
GF	\$695,039	\$651,053	(\$43,986)
Reimbursements	\$471,764	\$502,352	\$30,588
Federal Funds	\$17,612	\$17,612	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,171,017	\$1,256,003	\$84,986
GF	\$651,053	\$712,519	\$61,466
Reimbursements	\$502,352	\$526,273	\$23,921
Federal Funds	\$17,612	\$17,211	(\$401)

Habilitation Services Work Activity Program

BACKGROUND:

Work Activity Program (WAP) services through the regional centers include paid work, work adjustment and supportive habilitation services typically in a sheltered work shop setting. WAPs provide paid work in accordance with Federal and State Fair Labor Standards. Work adjustment services may include developing good work safety practices, money management skills, and appropriate work habits. Supportive habilitation services may include social skill and community resource training as long as the services are necessary to achieve vocational objectives.

METHODOLOGY:

Work Activity Program expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$29,392	\$24,685
Total Utilization Change/Growth:	(4,707)	(4,281)
TOTAL EXPENDITURES:	\$24,685	\$20,404

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$24,537	\$24,685	\$148
GF	\$11,756	\$1,923	(\$9,833)
Reimbursements	\$12,781	\$22,762	\$9,981

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$24,685	\$20,404	(\$4,281)
GF	\$1,923	(\$3,124)	(\$5,047)
Reimbursements	\$22,762	\$23,528	\$766

Habilitation Services

Supported Employment Program - Group Placement

BACKGROUND:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work in integrated group settings. These services enable consumers to learn necessary job skills and maintain employment.

METHODOLOGY:

Supported Employment Program – Group Placement expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$81,745	\$78,143
Total Utilization Change/Growth:	(3,602)	(3,345)
TOTAL EXPENDITURES:	\$78,143	\$74,798

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$77,617	\$78,143	\$526
GF	\$51,414	\$61,192	\$9,778
Reimbursements	\$26,203	\$16,951	(\$9,252)

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$78,143	\$74,798	(\$3,345)
GF	\$61,192	\$57,111	(\$4,081)
Reimbursements	\$16,951	\$17,687	\$736

Habilitation Services

Supported Employment Program - Individual Placement

BACKGROUND:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work in integrated individual settings. These services enable consumers to learn necessary job skills and maintain employment.

METHODOLOGY:

Supported Employment Program – Individual Placement expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$33,602	\$35,719
Total Utilization Change/Growth:	2,117	10,159
TOTAL EXPENDITURES:	\$35,719	\$45,878

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$38,081	\$35,719	(\$2,362)
GF	\$28,295	\$26,585	(\$1,710)
Reimbursements	\$9,786	\$9,134	(\$652)

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$35,719	\$45,878	\$10,159
GF	\$26,585	\$36,427	\$9,842
Reimbursements	\$9,134	\$9,451	\$317

Transportation

BACKGROUND:

Transportation services are provided for persons with a developmental disability in order to participate in programs and/or other activities. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

METHODOLOGY:

Transportation Expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$373,831	\$325,377
Total Utilization Change/Growth:	(48,454)	99,121
Subtotal Base and Growth:	\$325,377	\$424,498
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	26	26
Continuation Costs: Annualized costs reflected as CPP from the prior year.	60	39
TOTAL EXPENDITURES:	\$325,463	\$424,563

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

Transportation

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$393,945	\$325,463	(\$68,482)
GF	\$240,961	\$165,850	(\$75,111)
Reimbursements	\$152,984	\$159,613	\$6,629

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$325,463	\$424,563	\$99,100
GF	\$165,850	\$258,751	\$92,901
Reimbursements	\$159,613	\$165,812	\$6,199

Support Services

BACKGROUND:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community, including supported living services, community integration training services, and personal assistance services.

METHODOLOGY:

Support Services expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditures trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$1,622,141	\$1,868,769
Total Utilization Change/Growth:	246,628	186,986
Subtotal Base and Growth	\$1,868,769	\$2,055,755
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	518	518
Continuation Costs: Annualized costs reflected as CPP from the prior year.	1,189	782
Independent Living Supplement: Based on data as of February 2021, there are 16,134 consumers living in SL/IL arrangements who receive the monthly payment to supplement the reduction in their SSP grants. Prior year costs remain in the Base, therefore only the incremental costs are reflected.	(227)	(222)
TOTAL EXPENDITURES	\$1,870,249	\$2,056,833

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

Support Services

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$1,849,308	\$1,870,249	\$20,941
GF	\$1,059,155	\$1,050,240	(\$8,915)
Reimbursements	\$789,950	\$819,806	\$29,856
Federal Fund	\$203	\$203	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,870,249	\$2,056,833	\$186,584
GF	\$1,050,240	\$1,204,367	\$154,127
Reimbursements	\$819,806	\$852,262	\$32,456
Federal Fund	\$203	\$204	\$1

In-Home Respite

BACKGROUND:

In-Home Respite services are defined as intermittent or regularly scheduled temporary non-medical care and/or supervision provided in the person's home. In-Home Respite services are support services which typically include:

- Assisting the family members to enable a person with developmental disabilities to stay at home;
- Providing appropriate care and supervision to protect that person's safety in the absence of a family member(s);
- Relieving family members from the responsibility of providing care;
- Attending to basic self-help needs and other activities that would ordinarily be performed by the family member.

METHODOLOGY:

In-Home Respite expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$620,218	\$841,829
Total Utilization Change/Growth:	221,611	117,704
Subtotal Base and Growth:	\$841,829	\$959,533
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	119	119
Continuation Costs: Annualized costs reflected as CPP from the prior year.	273	179
TOTAL EXPENDITURES:	\$842,221	\$959,831

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

In-Home Respite

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$810,063	\$842,221	\$32,158
GF	\$482,721	\$515,313	\$32,592
Reimbursements	\$327,219	\$326,785	(\$434)
Federal Funds	\$123	\$123	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$842,221	\$959,831	\$117,610
GF	\$515,313	\$621,017	\$105,704
Reimbursements	\$326,785	\$338,692	\$11,907
Federal Funds	\$123	\$123	\$0

Out-of-Home Respite

BACKGROUND:

Out-of-Home Respite includes supervision services that are provided in licensed residential facilities.

METHODOLOGY:

Out-of-Home Respite expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$50,923	\$52,952
Total Utilization Change/Growth:	2,029	4,553
Subtotal Base and Growth:	\$52,952	\$57,505
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	8	8
Continuation Costs: Annualized costs reflected as CPP from the prior year.	18	12
TOTAL EXPENDITURES:	\$52,978	\$57,525

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

Out-of-Home Respite

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$50,463	\$52,978	\$2,515
GF	\$29,106	\$29,327	\$221
Reimbursements	\$21,297	\$23,591	\$2,294
Federal Funds	\$60	\$60	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$52,978	\$57,525	\$4,547
GF	\$29,327	\$33,036	\$3,709
Reimbursements	\$23,591	\$24,446	\$855
Federal Funds	\$60	\$43	(\$17)

Health Care

BACKGROUND:

Health Care services include medical and/or health care-related services, providing appropriate, high-quality care and services to children and adults who have developmental disabilities in order to optimize the health and welfare of each individual.

METHODOLOGY:

Health Care expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$155,665	\$185,738
Total Utilization Change/Growth:	30,073	60,643
Subtotal Base and Growth:	\$185,738	\$246,381
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	2,009	2,009
Continuation Costs: Annualized costs reflected as CPP from the prior year.	4,616	3,034
TOTAL EXPENDITURES:	\$192,363	\$251,424

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

Health Care

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$186,808	\$192,363	\$5,555
GF	\$170,189	\$167,817	(\$2,372)
Reimbursements	\$14,765	\$22,692	\$7,927
Federal Funds	\$1,854	\$1,854	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$192,363	\$251,424	\$59,061
GF	\$167,817	\$226,113	\$58,296
Reimbursements	\$22,692	\$23,480	\$788
Federal Funds	\$1,854	\$1,831	(\$23)

Miscellaneous Services

BACKGROUND:

The Miscellaneous Services category includes a wide variety of services that cannot be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors.

METHODOLOGY:

Miscellaneous Services expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base:	\$495,396	\$536,324
Total Utilization Change/Growth:	40,928	92,877
Subtotal Base and Growth:	\$536,324	\$629,201
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	30,333	30,333
Continuation Costs: Annualized costs reflected as CPP from the prior year.	845	556
TOTAL EXPENDITURES:	\$567,502	\$660,090

Miscellaneous Services

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$596,544	\$567,502	(\$29,042)
GF	\$476,960	\$446,806	(\$30,154)
Reimbursements	\$104,587	\$106,536	\$1,949
Federal Funds	\$14,160	\$14,160	\$0
Program Development Fund	\$837	\$0	(\$837)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$567,502	\$660,090	\$92,588
GF	\$446,806	\$535,553	\$88,747
Reimbursements	\$106,536	\$109,675	\$3,139
Federal Funds	\$14,160	\$14,658	\$498
Program Development Fund	\$0	\$204	\$204

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees Purchase of Services

BACKGROUND:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF).

METHODOLOGY:

Billing costs are 1.5 percent of the purchase of services costs for Day Programs and Transportation and regional center administrative fees for ICF-DD. QAF are set by DHCS.

The Department estimates the total purchase of services costs to be \$118.7 million for Day Programs and Transportation. ICF-DD administration costs are 1.5 percent of the total or \$1.8 million and the QAF are \$7.8 million. Total administration and QAF are \$9.6 million.

REASON FOR CHANGE:

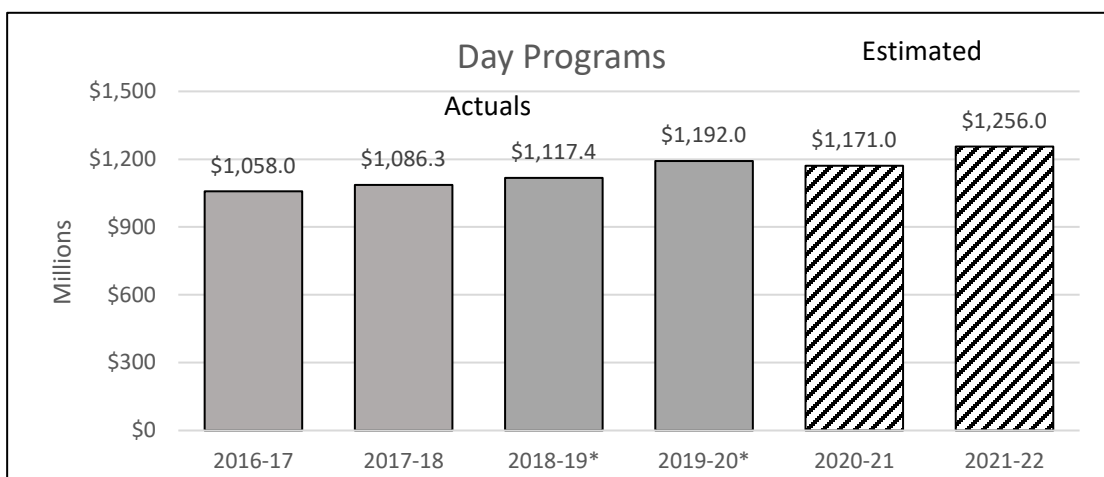
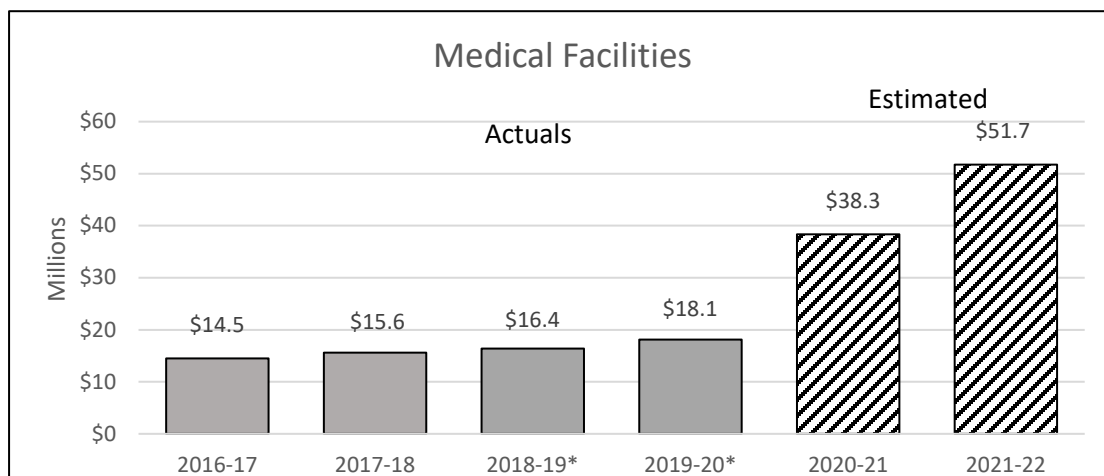
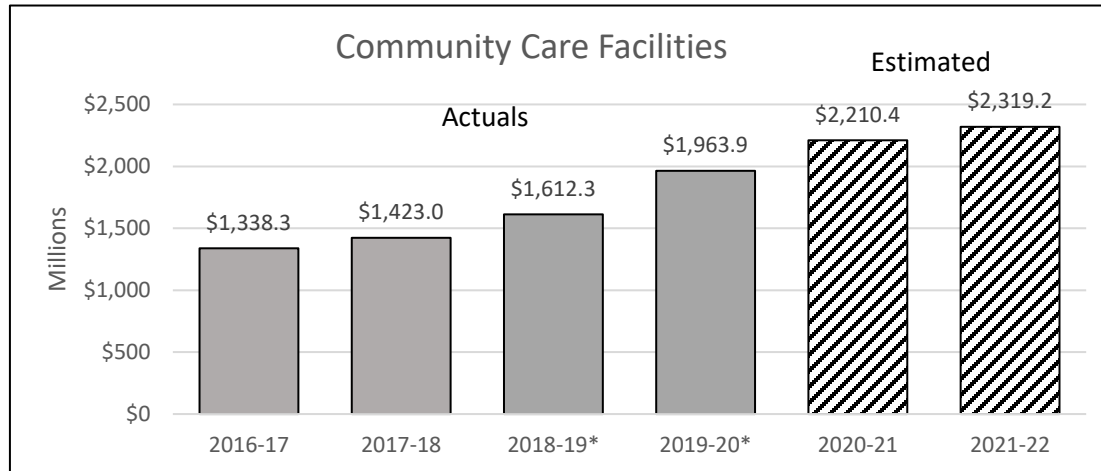
The change in both years is due to updated actuals.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
Total	\$9,623	\$9,612	(\$11)
QAF Admin Fees	\$4,812	\$4,806	(\$6)
Transfer from DHCS	\$4,811	\$4,806	(\$5)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
Total	\$9,612	\$9,612	\$0
QAF Admin Fees	\$4,806	\$4,806	\$0
Transfer from DHCS	\$4,806	\$4,806	\$0

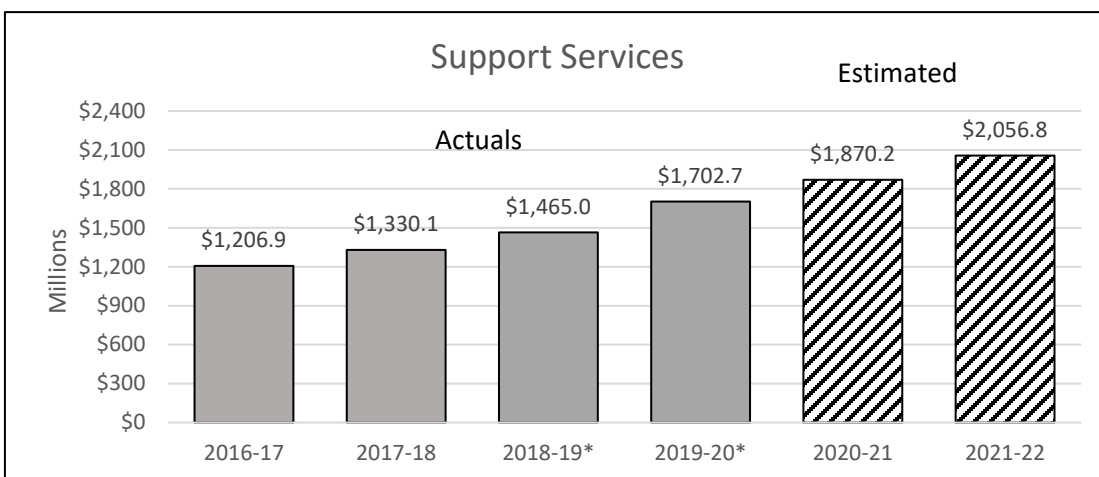
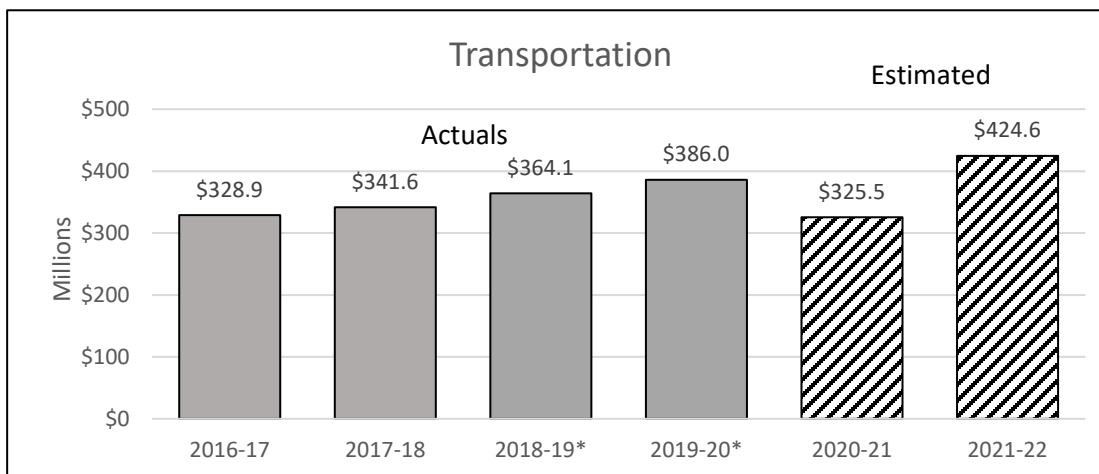
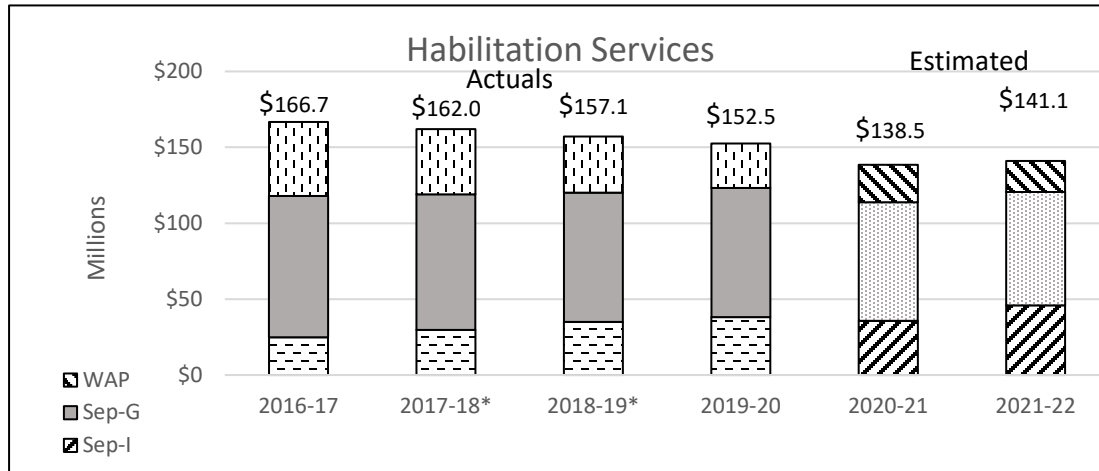
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2021.

** Totals for all years exclude Quality Assurance Fees.

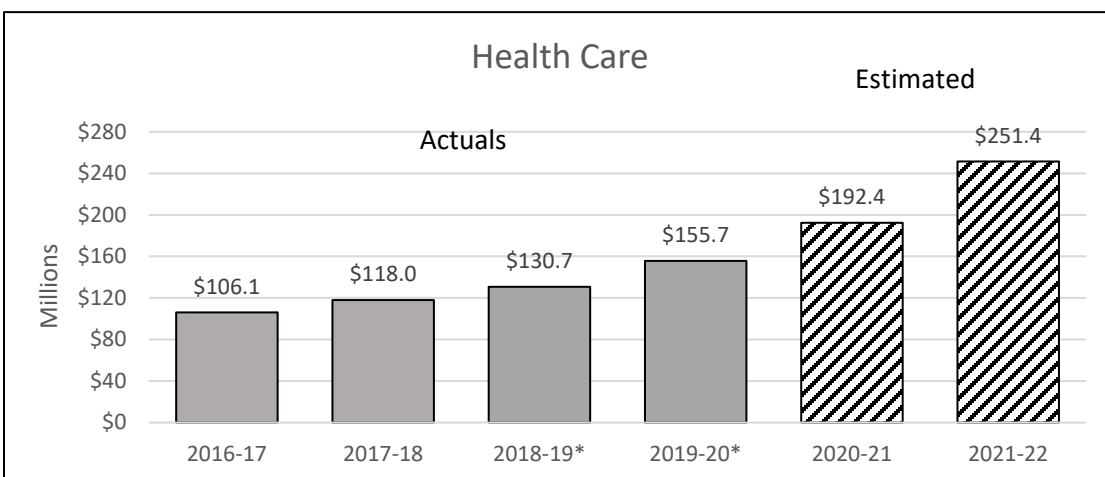
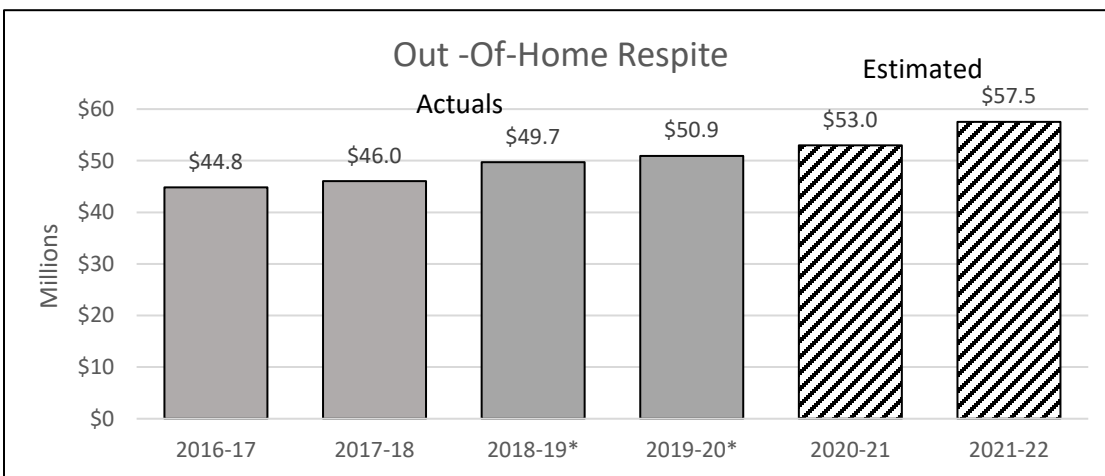
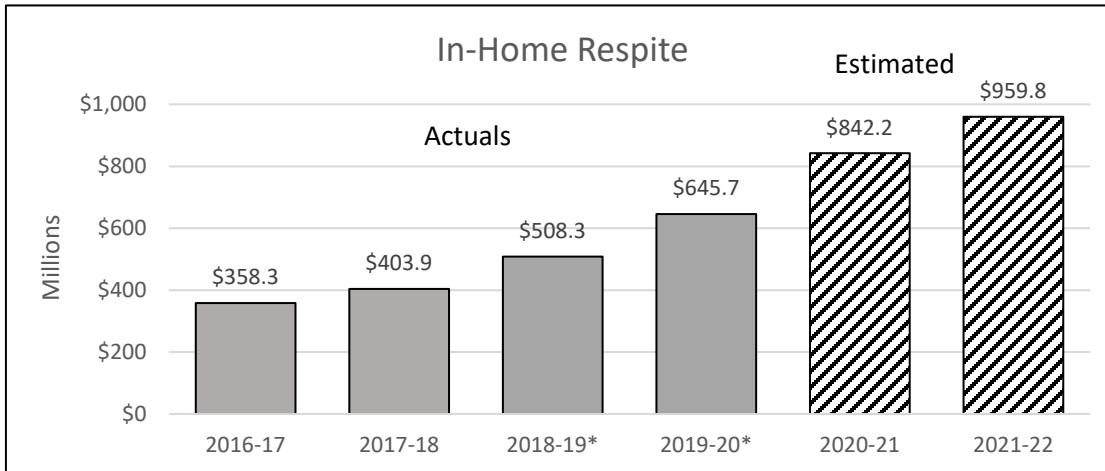
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2021.

** Totals for all years exclude Quality Assurance Fees.

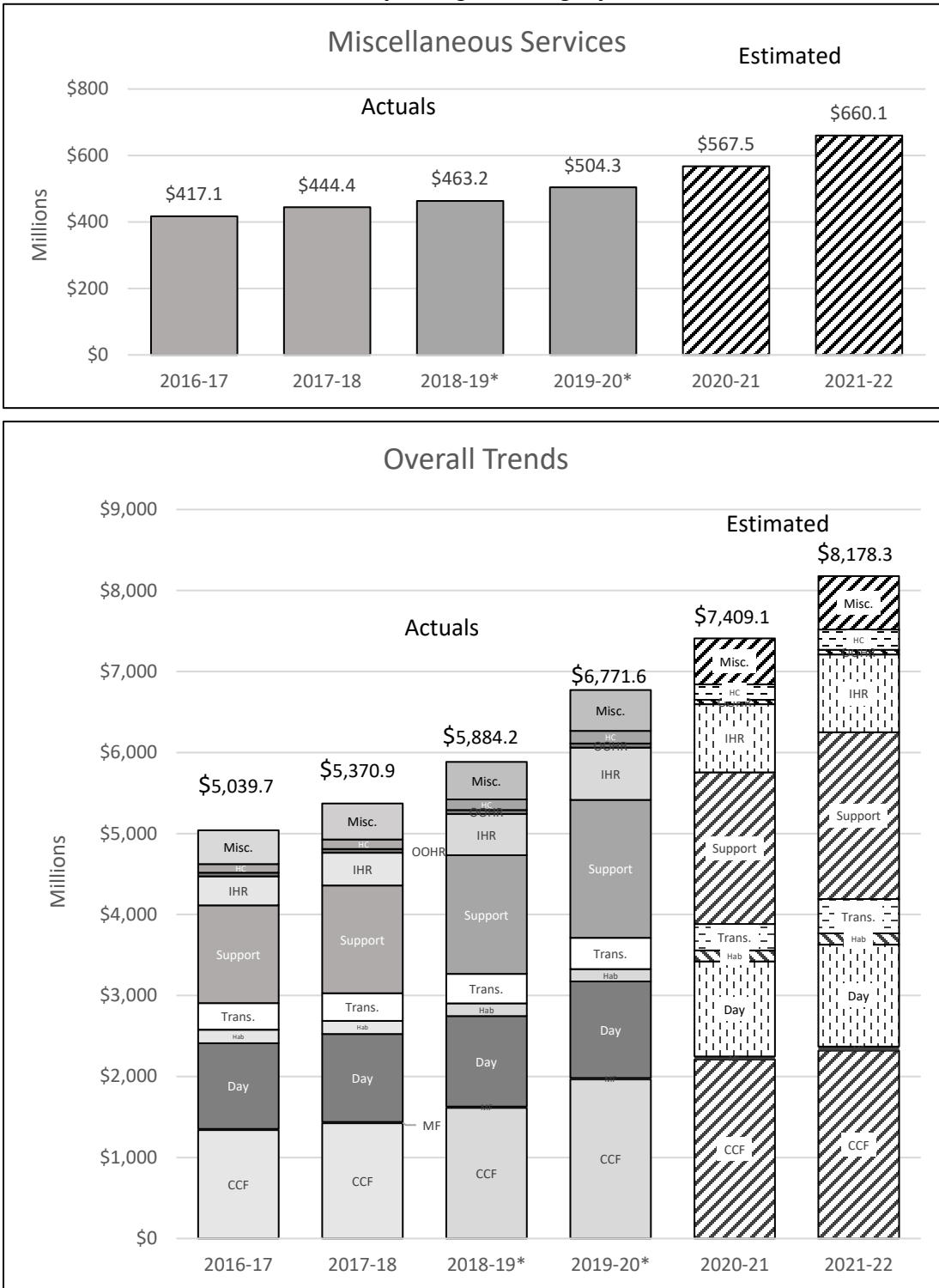
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2021.

** Totals for all years exclude Quality Assurance Fees.

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through February 2021.

** Totals for all years exclude Quality Assurance Fees.

Table of Contents

SECTION F: COMMUNITY PLACEMENT PLAN

Community Placement Plan	F-1
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Community Placement Plan

BACKGROUND:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) that are ineligible for federal financial participation (FFP), and out-of-state placements. In addition to CPP, the Community Resource Development Plan (CRDP) allows for development in the community to support the expansion of resources for those already residing in the community.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Facilitate transitions to the community from a developmental center, IMD, or out-of-state.
- Assess needs of the individuals, through comprehensive assessments.
- Establish resources in the community for individuals transitioning from another environment.
- Work with the regional centers, regional projects and other team members in transitional activities.
- Stabilize current community living arrangements.

CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP and CRDP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for operating expenses and equipment.

Purchase of Services

- **Start-Up:** Start-Up funds support the development of residential and non-residential services in the community for individuals.
- **Assessment:** Assessment funds support comprehensive assessments, which are required by statute, for individuals who are living in developmental centers and IMDs to plan for services when individuals move into the community.
- **Placement:** Placement funds support costs of consumers moving into least restrictive community settings from a more restrictive setting.

Community Placement Plan

EXPENDITURES:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Operations:		
<i>Regular CPP</i>	\$15,265	\$15,265
Total Regional Center Operations		
 Purchase of Services:		
<i>Community Care Facilities</i>	18,602	18,602
<i>Medical Facilities</i>	805	805
<i>Day Programs</i>	170	170
<i>Transportation</i>	26	26
<i>Support Services</i>	519	519
<i>In-Home Respite</i>	119	119
<i>Out-of-Home Respite</i>	8	8
<i>Health Care</i>	2,016	2,016
<i>Miscellaneous Services</i>	30,524	30,524
Total Regular Regional Center POS	52,589	52,589
 TOTAL	\$67,854	\$67,854
 GF	\$61,562	\$61,562
Reimbursements	\$6,292	\$6,292

REASON FOR CHANGE:

There is no change in both years.

Community Placement Plan**Regular CPP**

FY 2020-21	Governor's Budget	FY 2020-21	Difference
I. Operations	\$15,265	\$15,265	\$0
II. Purchase of Services (POS)			
A. Start-Up	\$27,265	\$27,265	\$0
B. Assessment	\$2,700	\$2,700	\$0
C. Placement	\$22,624	\$22,624	\$0
SUBTOTAL POS	\$52,589	\$52,589	\$0
III. TOTAL CPP	\$67,854	\$67,854	\$0
IV. Fund Sources			
A. TOTAL CPP	\$67,854	\$67,854	\$0
B. GF	\$61,562	\$61,562	\$0
C. Reimbursements	\$6,292	\$6,292	\$0

FY 2021-22	FY 2020-21	FY 2021-22	Difference
I. Operations	\$15,265	\$15,265	\$0
II. Purchase of Services (POS)			
A. Start-Up	\$27,265	\$27,265	\$0
B. Assessment	\$2,700	\$2,700	\$0
C. Placement	\$22,624	\$22,624	\$0
SUBTOTAL POS	\$52,589	\$52,589	\$0
III. TOTAL CPP	\$67,854	\$67,854	\$0
IV. Fund Sources			
A. TOTAL CPP	\$67,854	\$67,854	\$0
B. GF	\$61,562	\$61,562	\$0
C. Reimbursements	\$6,292	\$6,292	\$0

Table of Contents

SECTION G: POLICIES

OPERATIONS

Ongoing Operations Items	G-1
Developmental Center Closure Ongoing Workload.....	G-4
Self-Determination Program.....	G-5
Specialized Caseload Ratio	G-6
Specialized Home Monitoring.....	G-8
Trauma Informed Services for Foster Youth	G-10
START Training.....	G-12
Regional Center Emergency Coordinators.....	G-14
Community Navigators	G-16
Early Start Recovery Efforts	G-17
Tribal Engagement for Early Start Services	G-18
Enhanced Service Coordination	G-19
Direct Service Professional Training and Certification.....	G-20
Implicit Bias Training	G-21
Emergency Preparedness	G-22
Additional Resources to Support Individuals Who Are Deaf.....	G-23
Employment Grant	G-25
Performance Incentives.....	G-27
Medicaid Claiming Adjustments	G-45
Forensic Diversion.....	G-47
Enhanced Federal Funding	G-49
Self-Determination Ongoing Implementation.....	G-50
Lanterman Act Provisional Eligibility Ages 3 and 4	G-52

Table of Contents

SECTION G: POLICIES

PURCHASE OF SERVICES

Ongoing Purchase of Service Items	G-28
EBSH with Delayed Egress and Secured Perimeters	G-30
Electronic Visit Verification Penalty	G-31
Provider Supplemental Rate Increases	G-33
Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021	G-34
Uniform Holiday Schedule	G-36
Increased Costs for COVID-19.....	G-38
Health Facility Rate Increase	G-40
Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2022	G-41
Youth Returning from Out-of-State Foster Care	G-43
Bilingual Differentials for Direct Service Professionals	G-44
Medicaid Claiming Adjustments	G-45
Forensic Diversion.....	G-47
START Training.....	G-12
Enhanced Federal Funding	G-49
Self-Determination Ongoing Implementation.....	G-50
Lanterman Act Provisional Eligibility Ages 3 and 4	G-52

EARLY INTERVENTION PROGRAM

American Rescue Plan Act.....	G-54
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Ongoing Operations Items

This category of regional center operating expenses includes various previously approved items as described below:

BACKGROUND:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Improve Service Coordinator Caseload Ratio:	\$17,000	\$17,000
Approved in the 2016 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to improve caseload ratio.		
Compliance with Home and Community-Based Services (HCBS) Operations:	1,422	1,422
Approved in the 2016 Budget Act, funds are provided for regional centers to hire program evaluators to perform initial and ongoing efforts and activities necessary for compliance with HCBS settings requirements.		
Assembly Bill (AB) X2 1 Regional Center Operations Increases:	56,600	56,600
Appropriated by Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]), \$31.1 million General Fund (GF) plus matching funds are available for regional centers' staffing, benefits, and administrative expenses, as well as \$11.0 million GF to fund regional center and community - based organizations' proposals to promote equity in the purchase of services for individuals with developmental disabilities.		
Resources to Implement AB X2 1 Operations:	4,164	4,164
Chapter 3, Statutes of 2016 (AB 1, 2nd Extraordinary Session - Thurmond [ABX2 1]) amended the Welfare and Institute (W&I) Code to require regional centers to implement the recommendations and plans to reduce disparities in the provision of services to underserved populations, as well as requirements to establish and report on a provider-administered competitive integrated employment program. Funds are provided for regional centers to hire cultural specialists and employment specialists to implement AB X2 1.		

Ongoing Operations Items

BACKGROUND (CONTINUED):

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Psychological Evaluations for Behavioral Health Treatment (BHT) Fee-for-Service Consumers:	\$1,611	\$1,611
Approved in the 2017 Budget Act, funds are provided to enable regional centers to hire or contract with psychologists to assess consumers with or without an Autism Spectrum Disorder diagnosis and provide referrals for Behavioral Health Treatment Services.		
Oversight and Accountability:	4,450	4,450
Senate Bill 81 (Chapter 28, Statutes of 2019) provided the Department of Developmental Services with funds to regional center operations for oversight and accountability.		
Family Home Agency (FHA) Oversight:	1,619	1,619
Approved in the 2019 Budget Act, funds are provided to enable regional centers to hire additional Service Coordinators to monitor and provide oversight of all FHA homes.		
TOTAL EXPENDITURES	\$86,866	\$86,866

REASON FOR CHANGE:

There is no change in both years.

Ongoing Operations Items

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$86,866	\$86,866	\$0
GF	\$63,475	\$63,475	\$0
Reimbursements	\$23,391	\$23,391	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$86,866	\$86,866	\$0
GF	\$63,475	\$63,475	\$0
Reimbursements	\$23,391	\$23,391	\$0

Developmental Center Closure Ongoing Workload

BACKGROUND:

With closure of the developmental centers and the transition of individuals to community living arrangements, regional centers continue to provide support and monitoring for these individuals in the community. This includes, but is not limited to, coordination of clinical health/dental services, and quality assurance reviews.

METHODOLOGY:

Funding includes salaries, benefits, and operating expenses and equipment for the regional center positions associated with this workload. These positions include quality assurance coordinators, healthcare community specialists, oral health coordinators, service coordinators, and clinical support teams.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$8,302	\$8,302	\$0
GF	\$5,830	\$5,830	\$0
Reimbursement	\$2,472	\$2,472	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$8,302	\$8,302	\$0
GF	\$5,830	\$5,830	\$0
Reimbursement	\$2,472	\$2,472	\$0

Self-Determination Program

BACKGROUND:

The Centers for Medicare & Medicaid Services approved the federal funding for the Self-Determination Program (SDP) effective July 1, 2018. The SDP allows for regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans.

The Department consistent with Welfare & Institutions Code §4685.8(g) and in conjunction with stakeholders, has determined that this allocation is for regional center operations/salary and participant support.

METHODOLOGY:

Fiscal year (FY) 2020-21 and FY 2021-22, \$2.0 million is for regional center operation costs and \$2.0 million is for regional centers, in collaboration with the local volunteer advisory committees, to assist selected participants in their transition into the SDP.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$4,073	\$4,073	\$0
GF	\$2,851	\$2,851	\$0
Reimbursement	\$1,222	\$1,222	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$4,073	\$4,073	\$0
GF	\$2,851	\$2,851	\$0
Reimbursement	\$1,222	\$1,222	\$0

Specialized Caseload Ratio

BACKGROUND:

A 1:25 service coordinator-to-consumer caseload ratio is in place for consumers with complex needs, as defined in Welfare and Institutions Code (WIC), §4640.6(c)(4). Examples of consumers with complex needs may include individuals who reside or are at risk of residing in Institutions for Mental Diseases, Community Crisis Homes, state-operated acute crisis homes, out-of-state placements or have been admitted to a psychiatric hospital several times within the preceding six months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting.

The 1:25 ratio is to provide service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities, for a limited time, who have the most complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

METHODOLOGY:

To achieve a 1:25 caseload ratio, in fiscal year (FY) 2020-21 the Department funds 49 services coordinators to provide case management to 1,231 consumers considered high-risk. In FY 2021-22 the Department funds 54 services coordinators to provide case management to 1,354 consumers considered high-risk.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Salary	\$55,000	\$55,000
Fringe Benefits – 34%	18,700	18,700
Operating Expense & Equipment	3,400	3,400
Annual Cost Per Position	\$77,100	\$77,100
Total Positions	49.24	54.16
Total Annual Cost (Rounded)	\$3,800,000	\$4,200,000

(Whole dollars)

Specialized Caseload Ratio

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$3,800	\$3,800	\$0
GF	\$2,600	\$2,600	\$0
Reimbursement	\$1,200	\$1,200	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$3,800	\$4,200	\$400
GF	\$2,600	\$2,874	\$274
Reimbursement	\$1,200	\$1,326	\$126

Specialized Home Monitoring

BACKGROUND:

Pursuant to Welfare and Institutions Code (WIC), §4684.70 and §4684.84(b) regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPHSN) and Enhanced Behavioral Supports Homes (EBSH). A local regional center licensed registered nurse and a qualified behavior modification professional must each visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPHSN and EBSHs, or more frequently if specified in the consumer's individual program plan.

Similarly, WIC §4698(e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCHs). This proposal provides funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

METHODOLOGY:

Regional centers employ and utilize registered nurses to monitor the ARFPHSNs, and licensed behavior specialists to monitor EBSHs and CCHs. Each registered nurse and licensed behavioral specialist is able to complete required monitoring of homes at a nurse/specialist-to-home ratio of 1:4. In fiscal year (FY) 2020-21, the Department funds staff to monitor 93 ARFPHSNs, and 124 EBSHs and CCHs combined. In FY 2021-22, the Department funds staff to monitor 94 ARFPHSNs, and 170 EBSHs and CCHs combined.

Specialized Home Monitoring

	FY 2020-21	FY 2020-21	FY 2021-22	FY 2021-22
	Registered Nurse	Behavioral Specialist	Registered Nurse	Behavioral Specialist
Salary	\$103,200	\$85,000	\$103,200	\$85,000
Fringe Benefits – 34%	35,088	28,900	35,088	28,900
Operating Expense & Equipment	3,400	3,400	3,400	3,400
Annual Cost Per Position	\$141,688	\$117,300	\$141,688	\$117,300
Total Positions	23.25	31.00	23.50	42.50
Annual Cost	\$3,294,000	\$3,636,000	\$3,330,000	\$4,985,000
Total Annual Cost for All Positions		\$6,930,000		\$8,315,000

(whole dollars)

REASON FOR CHANGE:

There is no change in FY 2020-21, from the Governor's Budget.

The change from FY 2020-21 to FY 2021-22, is due to updating the numbers of specialized homes requiring monitoring.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$6,930	\$6,930	\$0
GF	\$4,712	\$4,712	\$0
Reimbursement	\$2,218	\$2,218	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$6,930	\$8,315	\$1,385
GF	\$4,712	\$5,654	\$942
Reimbursement	\$2,218	\$2,661	\$443

Trauma Informed Services for Foster Youth

BACKGROUND:

Assembly Bill (AB) 2083 (Chapter 815, Statutes of 2018) requires that regional centers participate in development of Memorandum of Understanding (MOUs) in each county served and participate on interagency leadership teams and committees in each county to ensure services are provided in recognition of trauma that may have occurred in the lives of the individuals in foster care. Most of the state's 21 regional centers serve multiple counties. Funding provides for ongoing implementation of AB 2083 workload which includes multiple MOUs, participation on multiple interagency leadership teams and placement committees, data collection and analysis, resource development, identifying and coordinating available placement and service options and providing expertise and guidance to regional center staff on service coordination for children and youths in foster care who have experienced severe trauma.

METHODOLOGY:

The Department estimates regional centers need 15 senior/supervising coordinators statewide at an annual cost of \$1.6 million (\$1.1 million General Fund [GF]).

	Annual Salary
Salary	\$77,100
Fringe Benefits – 34%	26,210
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$106,710
Total Positions	15
Total Annual Cost (Rounded)	\$1,600,000

(whole dollars)

Trauma Informed Services for Foster Youth

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$1,600	\$1,600	\$0
GF	\$1,100	\$1,100	\$0
Reimbursement	\$500	\$500	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,600	\$1,600	\$0
GF	\$1,100	\$1,100	\$0
Reimbursement	\$500	\$500	\$0

START Training

BACKGROUND:

The Systemic, Therapeutic, Assessment, Resources and Treatment (START) training model is a comprehensive approach to crisis prevention and intervention for people with developmental disabilities and co-occurring mental health conditions. This model provides wraparound services that support individuals at-risk for acute crisis or loss of residential placement, and individuals who are currently experiencing crisis. The START model has demonstrated positive outcomes in other states with published scientific papers reporting reductions in psychiatric hospitalizations and emergency room visits, improved mental health symptoms, reductions in challenging behavior, and maintaining residential placements in the community. The University of New Hampshire Center for START Services provides training and certification in the START model to community providers who make up the local START teams.

START services will help maintain individuals in their current residential arrangement and prevent admissions into more restrictive settings, such as CCHs, IMDs, and out-of-state placements. START services provide 24-hour crisis services; and planning and training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

In California, two regional centers have implemented the START model for over a year and four additional regional centers have recently established START teams. The outcomes experienced by individuals and their families are positive and consistent with outcomes in other states. One-time START resources were authorized by the Budget Act of 2020, with implementation beginning in fiscal year (FY) 2021-22. Funding for start-up operating costs will cover three months of costs associated with the local provider team: hiring and training staff, renting office space, acquiring materials and equipment, etc. before the team is prepared to accept consumers and begin providing services.

First, second, and third year training costs cover all aspects of the START model training provided by the University of New Hampshire Center for START services to the local START team. This includes personnel costs, travel, printed materials, online training platform, data management, and technical support, as well as quarterly evaluations of the local START teams using collected data, and an annual independent, comprehensive evaluation of California's system of care.

START Training

METHODOLOGY:

Costs support nine new teams to receive training provided by the contractor, University of New Hampshire Center.

	Cost per team
Start-up Operating Cost	\$300,000
First-year Training Cost	280,060
Second-year Training Cost	320,864
Third-year Training Cost	234,096
Annual Cost per team	\$1,135,020
Total Annual Cost for 9 Teams (Rounded)	\$10,215,000
<i>(whole dollars)</i>	

	<u>FY 2021-22</u>
Operations	\$10,215,000
Purchase of Services	6,392,000
TOTAL	\$16,607,000

REASON FOR CHANGE:

The change in FY 2021-22, from the Governor's Budget, reflects the inclusion of five additional START teams (\$5.7 million for training) and the associated services (\$6.4 million) that will be provided by START teams.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$16,607	\$16,607
GF	\$0	\$11,178	\$11,178
Reimbursements	\$0	\$5,429	\$5,429

Regional Center Emergency Coordinators

BACKGROUND:

The Department requests \$2.0 million (\$1.4 million General Fund [GF]) for 21 positions, one at each regional center, to coordinate emergency preparedness, response, and recovery activities.

During an emergency, the 21 regional center Emergency Response Coordinators are required to provide immediate notifications and updates to those impacted, identify closures and loss of services, identify and address shelter needs, secure emergency supplies; and coordinate between the Department, other regional centers, and local agencies to maintain consumer safety and supports. Aside from the immediate emergency response, Emergency Response Coordinators also would support ongoing efforts in educating counties on regional center roles and developing emergency plans with counties and neighboring regional centers that can meet the unique behavioral and medical needs of regional center consumers.

METHODOLOGY:

The annual costs to fund 21 Emergency Response Coordinators is \$2.0 million.

	Annual Salary
Salary	\$69,143
Fringe Benefits – 34%	23,509
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$96,051
Total Positions	21
Total Annual Cost (Rounded)	\$2,017,000
<i>(whole dollars)</i>	

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Governor's Budget.

Regional Center Emergency Coordinators

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$2,017	\$2,017
GF	\$0	\$1,372	\$1,372
Reimbursements	\$0	\$645	\$645

Community Navigators

BACKGROUND:

The Department requests resources to contract with Family Resource Centers to administer community navigator programs. The model utilizes parents of children in the regional center system, community leaders, and self-advocates to provide information and guidance to consumers and their families who could benefit from added support to navigate connection to generic and regional center resources.

METHODOLOGY:

Fiscal year (FY) 2021-22 includes funding of \$500,000 for a one-time evaluation focused on improving the effectiveness of existing disparity projects and \$4.8 million for community navigator programs. Following FY 2021-22, \$5.3 million ongoing is provided for community navigator programs.

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Governor's Budget.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$5,300	\$5,300
GF	\$0	\$3,200	\$3,200
Reimbursement	\$0	\$2,100	\$2,100

Early Start Recovery Efforts

BACKGROUND:

As a result of COVID-19, there has been a significant reduction in the number of infants and toddlers served in the Early Start program. Although the number of children served has declined during the pandemic, this is not indicative of the number of infants and toddlers who can benefit from Early Start services. The provision of timely early intervention is critical to reducing the need for ongoing services. Transferring funds from estimated purchase of service savings to operations will support increased outreach activities, eligibility assessments, and determinations in fiscal year (FY) 2020-21 to reach the caseload levels estimated using historic, pre-COVID-19 trends.

METHODOLOGY:

The estimate assumes additional costs for increased outreach, assessments and eligibility determinations.

REASON FOR CHANGE:

This is a new policy for FY 2020-21 only.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$27,000	\$27,000
GF	\$0	\$18,400	\$18,400
Reimbursement	\$0	\$8,600	\$8,600

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$27,000	\$0	(\$27,000)
GF	\$18,400	\$0	(\$18,400)
Reimbursement	\$8,600	\$0	(\$8,600)

Tribal Engagement for Early Start Services

BACKGROUND:

While the Department has participated in the California Tribal Nations Conference and has awarded grants with a focus on tribal communities, the Native American population is significantly outside the purchase of service trend in Early Start. In fiscal year (FY) 2019-20, Native American children in Early Start were the least likely to have funded services compared to other ethnic groups. In addition, service access has fallen for this group over the last three years, while access has increased or stayed steady for other groups.

METHODOLOGY:

Contract costs for identifying strategies to conduct engagement and outreach with tribal communities.

REASON FOR CHANGE:

This is a new policy effective July 1, 2021.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$500	\$500
GF	\$0	\$500	\$500

Enhanced Service Coordination

BACKGROUND:

Enhanced service coordination, with lower caseload ratios, is a model implemented as part of a disparity grant through East Los Angeles Regional Center (ELARC). Since the implementation of this model, ELARC has demonstrated improved service access and consumer satisfaction. This project included pairing service coordinators who share the culture and/or native language with the individuals they serve resulting in more trusting relationships and opportunities to help individuals and families understand the regional center and the services it provides as well as those provided by generic or alternative sources. Enhanced service coordination will prioritize individuals or families who are monolingual and have low purchase of service (POS) or no-POS, as they are more likely to need additional assistance in accessing services. The knowledge obtained will enable individuals and families to continue advocating and accessing needed services once transitioned back to a non-specialized service coordinator to consumer ratio.

METHODOLOGY:

Assumes 4,200 consumers will receive enhanced service coordination with a ratio of 1:40.

REASON FOR CHANGE:

This is a new policy effective July 1, 2021.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursement	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$12,800	\$12,800
GF	\$0	\$10,000	\$10,000
Reimbursement	\$0	\$2,800	\$2,800

Direct Service Professional Training and Certification

BACKGROUND:

Direct service professionals (DSPs) are critical to the provision of services and supports to individuals with intellectual and developmental disabilities (I/DD). To stabilize and diversify the workforce and reduce turnover, the Estimate includes funding to establish a training and certification program for direct service professionals tied to wage differentials. The program aims to and professionalize and diversify the workforce.

The training and certification program would include progressively higher wages for DSPs who complete additional training. This will promote improved consumer access to a more skilled workforce, which will foster improved consumer outcomes while having a positive effect on DSP turnover.

The change allows providers to secure quality job-specific training to DSPs across the state through a standardized training curriculum. Supporting employers (service providers) as well as individual employees to advance their training will increase professionalism and competence.

METHODOLOGY:

The estimate for fiscal year (FY) 2021-22 reflects infrastructure costs to secure/develop a DSP training and certification program with out-year costs estimated to support implementation of the tiered wages.

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursement	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$4,300	\$4,300
GF	\$0	\$2,900	\$2,900
Reimbursement	\$0	\$1,400	\$1,400

Implicit Bias Training

BACKGROUND:

The Department has identified a need for systemwide implicit bias training among regional center staff and contractors who are involved in eligibility determinations. Implicit bias training can help individuals become aware of their own biases which may influence decisions and actions.

Studies have found that African-American children have not been proportionately diagnosed with Autism Spectrum Disorders (ASD) or have received an ASD diagnosis at an older age as compared to children who are white. Delayed diagnosis and access to services can create life-long impacts, and the Department expects that focusing on regional center personnel and contractors involved in eligibility determinations will have the greatest influence in improving equity in access to regional center services.

METHODOLOGY:

Assumes annual training for regional center staff, with the initial efforts prioritizing staff who participate in the intake and assessment process.

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursement	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$700	\$700
GF	\$0	\$553	\$553
Reimbursement	\$0	\$147	\$147

Emergency Preparedness

BACKGROUND:

The Department serves some of the most at-risk Californians. When it comes to emergency response and recovery efforts, the Department and the regional centers have an active role in protecting the health and safety of consumers in the developmental disability service system.

To facilitate emergency response activities and enhance emergency response readiness, there is a need to expand Feeling Safe, Being Safe emergency preparedness informational materials; provide necessary training and education; provide consumers living independently or with limited supports with emergency go-kits; and enhance the availability of back-up batteries and generators for individuals who are dependent on power for life-sustaining equipment.

METHODOLOGY:

Funding of \$4.3 million (\$200,000 ongoing) supports Feeling Safe, Being Safe (FSBS) Materials in multiple languages, training for RC staff/providers/community outreach, batteries and generators, and go-bags for consumers living independently. Battery cost is based on three levels of power and serving approximately 7,000 consumers. Emergency preparedness go-bags are estimated for approximately 28,000 individuals living in high-risk areas identified by the California Public Utilities Commission.

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$4,300	\$4,300
GF	\$0	\$4,300	\$4,300

Additional Resources to Support Individuals Who Are Deaf

BACKGROUND:

The Department recognizes the need to evaluate and improve access to and quality of services and supports for individuals who are deaf and have intellectual/developmental disabilities. Stakeholders and advocates have expressed concerns regarding access to effective communication across service categories, lack of formal communication assessments when individuals who are deaf enter the system, and the need for the Department to provide statewide leadership to address barriers to accessing appropriate services, such as the limited availability of interpreters and lack of general resources for this community. The Department through its Deaf Specialist will provide leadership and work with regional center Deaf Specialists to support the expansion of deaf service resources, develop and implement communication assessments, provide training and expertise to regional center staff, and collaborate with other regional centers on statewide efforts.

METHODOLOGY:

The annual costs to fund 21 Deaf Specialists.

	Annual Salary
Salary	\$82,000
Fringe Benefits – 34%	27,880
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$113,280
Total Positions	21
Total Annual Cost (Rounded)	\$2,379,000

(whole dollars)

REASON FOR CHANGE:

This is a new policy effective July 1, 2021.

Additional Resources to Support Individuals Who Are Deaf

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursement	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$2,379	\$2,379
GF	\$0	\$1,636	\$1,636
Reimbursement	\$0	\$743	\$743

Employment Grant

BACKGROUND:

California established an Employment First Policy making opportunities for competitive integrated employment the highest priority for working age individuals with I/DD. DDS works collaboratively with regional centers to support the employment needs of these consumers and additionally, partners with the Department of Rehabilitation on a number of statewide employment initiatives and collaborates on services to dually served individuals.

A substantial initiative is currently needed to address job loss by individuals with disabilities during the COVID-19 pandemic and focus on increasing their employment rate in California. As cited in the Unified Strategic Workforce Development Plan ([State Plan](#)) for 2020-2023, the unemployment rate for Californians with disabilities was 8.9 percent in October 2019. This unemployment rate is one of the highest among all demographic groups and more than twice the rate of unemployment for individuals without disabilities. In addition, fewer than one out of every five (17.2 percent) Californians with a disability participated in the labor force in October 2019. California has an opportunity to address this inequity and support the inclusion of Californians with disabilities in the expected economic recovery. Bold, creative, and innovative programs and initiatives are needed to ensure an equitable recovery that supports a “California for All.”

Through this proposal, DDS, in collaboration with stakeholders, will establish contracts/grant opportunities for entities to develop and implement innovative strategies and practices to increase paid work experiences and competitive integrated employment opportunities for people with I/DD.

METHODOLOGY:

Funding, available over a multi-year period, will expand current efforts to achieve increased competitive integrated employment opportunities, to include targeted technical assistance, local collaboration with community colleges, small business associations and chambers of commerce and other targeted pathways leading to meeting established targets.

This funding will also support the increased workload for DDS to develop, implement, and monitor/track service contracts and grant-awards.

REASON FOR CHANGE:

This is a new policy.

Employment Grant

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursement	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$14,706	\$14,706
GF	\$0	\$10,000	\$10,000
Reimbursement	\$0	\$4,706	\$4,706

Performance Incentives

BACKGROUND:

Current statute includes a requirement for regional centers to annually develop activities or strategies they will employ to improve on specified performance objectives through the performance contract process. Each regional center must develop an annual performance contract with community input, and it is subject to review and approval by the Department.

The Department will work with stakeholders and regional centers to establish a performance improvement program with fiscal incentives for regional center operations to meet specified benchmarks and improvement measures.

Priority areas for performance indicators and benchmarks could include, but are not limited to, the following: equity in service access and purchase of services, consumer employment and associated metrics, community integration, person-centered planning, compliance with federal home and community-based standards, and consumer and family experience and satisfaction.

METHODOLOGY:

The estimated costs in fiscal year (FY) 2021-22 support initial infrastructure needs to prepare for implementation of the performance improvement plan in FY 2022-23. Initial costs could include, but are not limited to, additional staffing and exploration of new data collection systems/tools, with out-year funding prioritizing the reduction of caseload ratios.

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursement	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$3,676	\$3,676
GF	\$0	\$2,500	\$2,500
Reimbursement	\$0	\$1,176	\$1,176

Ongoing Purchase of Service Items

This category of purchase of services expenses includes various previously approved items as described below:

BACKGROUND:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Best Buddies:	\$2,000	\$2,000

The Budget Act of 2019 includes ongoing funding of \$2.0 million General Fund (GF) provided to Best Buddies International. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state.

Competitive, Integrated Employment Incentives/Paid Internship Program:	29,000	29,000
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To encourage competitive, integrated employment (CIE) opportunities for individuals with developmental disabilities, Welfare and Institutions Code (WIC) §4870 authorizes both 1) paid internship opportunities, and 2) incentive payments, paid at specified milestones, for providers who support consumers in competitive, integrated employment settings.

May 2021 Update – The Department proposes to amend WIC §4870 to do the following:

1. Provide incentive funding to support paid internship development; and
2. Add two additional CIE incentive payments for longer term employment, up to 24 months; and
3. As part of COVID-19 recovery, add two more incentive payments between existing mile markers to encourage continued employment opportunities during the budget year.

Ongoing Purchase of Service Items

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Compliance with Home and Community-Based Services (HCBS) POS:	\$15,000	\$15,000

In January 2014, the Center for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under §1915(c) Home and Community-Based (HCBS) Waivers, and §1915(i) HCBS State Plan programs. States were allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS requires compliance by March 17, 2023. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. To assist with compliance, funding is available for providers to make modifications to the way services are provided.

TOTAL EXPENDITURES	\$46,000	\$46,000
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REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$46,000	\$46,000	\$0
GF	\$33,000	\$33,000	\$0
Reimbursements	\$13,000	\$13,000	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$46,000	\$46,000	\$0
GF	\$33,000	\$33,000	\$0
Reimbursements	\$13,000	\$13,000	\$0

EBSH with Delayed Egress and Secured Perimeters

BACKGROUND:

Enhanced Behavioral Supports Homes with Delayed Egress and Secured Perimeters (EBSH) are a specialized licensed residential model for individuals with intensive behavioral support needs. The homes are certified by the Department and licensed by the Department of Social Services. Homes equipped with delayed egress and secured perimeters (DESP) provide an added layer of protection for individuals and the community who exhibit elopement and other high-risk behavioral and safety concerns and need the security these adaptations provide to remain safe residing in community settings. Individuals who would not otherwise be able to live successfully in the community are able to do so with the services provided in EBSHs with DESP.

To support the Department's commitment to reduce reliance on, and timely transitioning of individuals from restrictive settings, this funding supports the acquisition, renovation, and provider start-up to develop five additional EBSHs with DESP.

METHODOLOGY:

The cost to develop five EBSH with DESP (20 beds) was based on allocations to regional centers of similar homes, at an estimated cost of \$7.5 million GF, or \$1.5 million per home for acquisition, renovation, and service provider start-up.

REASON FOR CHANGE

There is no change for both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$7,500	\$7,500	\$0
GF	\$7,500	\$7,500	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$7,500	\$0	(\$7,500)
GF	\$7,500	\$0	(\$7,500)

Electronic Visit Verification Penalty

BACKGROUND:

Electronic Visit Verification (EVV) is a telephone and computer-based system that electronically verifies in-home service visits. EVV systems must verify the type of service performed; the individual receiving the service; date of the service; location of service delivery; the individual providing the service; and the time the service begins and ends. Pursuant to subsection I of §1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for Medicaid-funded Personal Care Services by January 1, 2020 and Home Health Care Services by January 1, 2023. The state is subject to incremental Federal Medical Assistance Percentage reductions of up to 1 percent unless the state has both made a “good faith effort” to comply and has encountered “unavoidable delays.” California was granted a one-year extension to implement EVV for Medicaid-funded Personal Care Services. Without the extension, the Department would have had a penalty in fiscal year (FY) 2019-20.

Previously the penalty had been expected to occur only in calendar year 2021 with an anticipated implementation date of the EVV system by December 31, 2021. Given the complex nature of this project, it is anticipated implementation will occur in the first quarter of calendar year 2022, which will result in additional penalties being assessed.

Funding for backfilling for the EVV penalty is comprised of 100 percent General Fund (GF).

METHODOLOGY:

The penalty for non-compliance is estimated to be \$5.2 million in FY 2020-21 and \$10.0 million in FY 2021-22, based on a 0.5% penalty of federally eligible expenditures for affected personal care services, beginning January 1, 2021 thru December 31, 2021, and a 0.75% penalty beginning January 1, 2022. The estimated fiscal reflects a backfill of GF to account for the reduction in federal funding.

REASON FOR CHANGE:

There is no change in FY 2020-21 from the Governor’s Budget.

The change from FY 2020-21 to FY 2021-22 is based on updated eligible expenditures for affected personal care services and delayed implementation of the EVV system.

Electronic Visit Verification Penalty

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$5,219	\$5,219	\$0
GF	\$5,219	\$5,219	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$5,219	\$10,020	\$4,801
GF	\$5,219	\$10,020	\$4,801

Provider Supplemental Rate Increases

BACKGROUND:

Senate Bill 81 (Chapter 28, Statutes of 2019) provides a rate increase of up to 8.2 percent, for select services. The rate increases were effective January 1, 2020 for most services, with rate increases for three additional services (Independent Living Program, Infant Development Program, and Early Start Specialized Therapeutic Services) effective January 1, 2021. When originally authorized, statute indicated these rate increases would be suspended effective December 31, 2021, unless specified fiscal conditions were met. The administration is proposing accompanying trailer bill language to remove the suspension of these increases.

METHODOLOGY:

The rate increases up to 8.2 percent was calculated using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

REASON FOR CHANGE:

The change in both fiscal years is due to updated actual expenditures.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$436,669	\$452,799	\$16,130
GF	\$246,400	\$256,599	\$10,199
Reimbursements	\$190,269	\$196,200	\$5,931

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$452,799	\$470,762	\$17,963
GF	\$256,599	\$272,945	\$16,346
Reimbursements	\$196,200	\$197,817	\$1,617

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021

BACKGROUND:

SB 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$13.00 to \$14.00 per hour on January 1, 2021, for employers with 26 or more employees, with further increases each year until the minimum wage reaches \$15.00 per hour in 2022. The next increase from \$14.00 to \$15.00 per hour will occur on January 1, 2022.

METHODOLOGY:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Community Care Facilities	\$52,481	\$108,234
Day Programs	6,812	14,367
Habilitation Services	135	223
Transportation	447	950
Support Services	21,073	46,011
In-Home Respite	11,878	27,132
Out-of-Home Respite	8	18
Miscellaneous Services	758	1,691
TOTAL EXPENDITURES	\$93,619	\$198,626

REASON FOR CHANGE:

There is no change for both years.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2021

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$93,619	\$93,619	\$0
GF	\$49,266	\$49,266	\$0
Reimbursements	\$44,353	\$44,353	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$93,619	\$198,626	\$105,007
GF	\$49,266	\$104,394	\$55,128
Reimbursements	\$44,353	\$94,232	\$49,879

Uniform Holiday Schedule

BACKGROUND:

Welfare and Institutions Code § 4692, Statutes of 2009, implemented the Uniform Holiday Schedule (UHS) as a General Fund (GF) cost savings measure in September 2009. The intent was to standardize and increase to 14 the number of observed holidays in the regional center system. On these holidays providers are precluded from billing for services provided by work activity programs, activity centers, adult development centers, behavior management programs, social recreation programs, infant development programs, program support group day services, client/parent support behavior intervention training, community integration training programs, community activities support services, and creative arts programs, as well as transportation to these programs. Implementation of the UHS has been suspended since 2018. This suspension is currently scheduled to sunset on December 31, 2021. However, the administration is proposing accompanying trailer bill language to repeal the Uniform Holiday Schedule. Funding is provided to allow regional centers to provide services to consumers according to their individual holiday schedule.

METHODOLOGY:

This policy assumes increased funding is needed to provide services according to the varying individual regional center holiday schedules. Specifically, the estimate assumes funding is needed for seven additional days of service in 14 regional centers, and four additional days of service in seven regional centers.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Day Programs	\$24,871	\$26,830
Habilitation Services	590	487
Transportation	7,998	10,356
Support Services	13,441	15,470
In-Home Respite	867	956
Miscellaneous Services	2,433	2,713
TOTAL EXPENDITURES	\$50,200	\$56,812

Uniform Holiday Schedule

REASON FOR CHANGE:

The change in both years is due to updated actual and estimated expenditures for continued growth and changes in utilization.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$52,639	\$50,200	(\$2,439)
GF	\$33,710	\$32,057	(\$1,653)
Reimbursements	\$18,929	\$18,143	(\$786)

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$50,200	\$56,812	\$6,612
GF	\$32,057	\$36,662	\$4,605
Reimbursements	\$18,143	\$20,150	\$2,007

Increased Costs for COVID-19

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California in response to the global COVID-19 pandemic. As a result of COVID-19, the Department has experienced increased costs in supporting regional center consumers. These costs include providing additional support for consumers in their homes as well as the development of “surge sites” to serve consumers diagnosed with, exposed to, or at high risk due to COVID-19.

The Governor’s Budget supported the Department’s safety net program for individuals with developmental disabilities to meet the additional needs of consumers during the public health crisis. These include short-term impacts, as well as long-term challenges that will continue into FY 2021-22. The Department’s budget recognizes the need for additional resources in residential settings, including the family home, as well as the impacts of decreased access to other community services as a result of the COVID-19 pandemic.

\$128.8 million of the estimated expenditures for FY 2020-21 are incorporated into the Purchase of Services categories.

METHODOLOGY:

The impact due to COVID-19 was calculated using the February 2021 State Claims Data file, with expenditures through November 30, 2020. In particular, expenditure trends in March through November 2020 were compared to prior year trends for those months. The results of this comparison and actual data through November 2020 informed the estimated impact of COVID-19 on expenditures. While it is assumed that expenditures in most categories will return to historical utilization and growth patterns in FY 2021-22, longer-term impacts of COVID-19 are anticipated in Community Care Facilities, Transportation, Support Services, and In-Home Respite.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Community Care Facilities	\$125,680	\$70,072
Day Program Services	(47,866)	(3,879)
Habilitation Services	(4,267)	0
Transportation	(85,448)	(15,510)
Support Services	70,574	42,948
In-Home Respite	102,282	77,824
Out-of-Home Respite	(1,612)	0
Health Care	(13,571)	0
Miscellaneous	(18,518)	19,457
Med Facilities	1,585	1,106
RC Surge	170,000	50,000
TOTAL PURCHASE OF SERVICES	\$298,839	\$242,018

Increased Costs for COVID-19

REASON FOR CHANGE:

The change in FY 2020-21, from the Governor's Budget, reflects a decrease due to a delay in recovery related to COVID-19.

The change from FY 2020-21 to FY 2021-22 assumes continued but less impact as a result of the State of Emergency, and caseload and utilization will return to regular trends.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$341,990	\$298,839	(\$43,151)
GF	\$196,637	\$137,301	(\$59,336)
Reimbursements	\$145,353	\$161,538	\$16,185

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$298,839	\$242,018	(\$56,821)
GF	\$137,301	\$137,179	(\$122)
Reimbursements	\$161,538	\$104,839	(\$56,699)

Health Facility Rate Increase

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California, as a result of the global COVID-19 pandemic. In response, the Department of Health Care Services (DHCS) implemented a 10 percent per diem rate increase for Intermediate Care Facilities (ICF-DD) and Skilled Nursing Facilities (SNF) through the duration of the state of emergency. DHCS received federal approval of State Plan Amendment (SPA) 20-0024, effective March 1, 2020. This rate increase applies for those facilities vendored through regional centers.

METHODOLOGY:

The estimate for the 10 percent rate increase was calculated using the February 2021 State Claims Data file, with expenditures through November 30, 2020 and assumes the rate increase will no longer apply beginning July 1, 2021.

REASON FOR CHANGE:

The change in both years is due to updated actual expenditures.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$673	\$894	\$221
GF	\$673	\$894	\$221

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$894	\$422	(\$472)
GF	\$894	\$422	(\$472)

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2022

BACKGROUND:

SB 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$14.00 to \$15.00 per hour for employers with 26 or more employees, and from \$13.00 to \$14.00 per hour for employers with 25 employees or less on January 1, 2022. The next minimum wage increase from \$14.00 to \$15.00 per hour for employers with 25 employees or less will occur on January 1, 2023.

METHODOLOGY:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Community Care Facilities	\$0	\$71,592
Day Programs	0	11,067
Habilitation Services	0	146
Transportation	0	947
Support Services	0	54,422
In-Home Respite	0	19,785
Out-of-Home Respite	0	24
Miscellaneous Services	0	1,254
TOTAL EXPENDITURES	\$0	\$159,237

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Governor's Budget.

Senate Bill (SB) 3, Minimum Wage Increase Effective January 1, 2022

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$159,237	\$159,237
GF	\$0	\$83,667	\$83,667
Reimbursements	\$0	\$75,570	\$75,570

Youth Returning from Out-of-State Foster Care

BACKGROUND:

Historically, when youth with complex behavioral, medical, and mental health needs in the foster care system cannot be served by in-state placement, counties may place youth in out-of-state facilities. The Department of Social Services conducted a reassessment of these facilities and found violations of California licensure standards resulting in the decertification of facilities. Approximately 10 youths in the decertified out-of-state facilities are anticipated to be eligible for Lanterman Act services and require therapeutic residential environments to support complex developmental and behavioral needs.

METHODOLOGY:

The expenditures were developed based on five youths receiving supports in Enhanced Behavioral Support Homes.

The Budget includes \$900,000 (\$500,000 GF) in FY 2020-21 and \$1.8 million (\$1.0 million GF) in FY 2021-22 for the Department to support youth in their transition back to California.

REASON FOR CHANGE:

There is no change for both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$900	\$900	\$0
GF	\$500	\$500	\$0
Reimbursements	\$400	\$400	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$900	\$1,800	\$900
GF	\$500	\$1,000	\$500
Reimbursements	\$400	\$800	\$400

Bilingual Differentials for Direct Service Professionals

BACKGROUND:

For consumers receiving services, the effectiveness of those services may be substantially compromised by language barriers. Communication between consumers and the staff who support them is instrumental in establishing trusting relationships and implementing interventions and strategies to further consumers' goals and/or diffuse crisis situations.

COVID-19 has made access to regional center services even more critical given the intersecting pandemic, disparate impact on underserved communities, and economic hardships facing many families.

The Department estimates approximately 90,000 consumers speak a primary language other than English. A pay differential for bilingual direct service professionals who are bilingual, including those fluent in American Sign Language, is proposed to increase the availability of staff who can support consumers when English is not their primary language, leading to improved choice, access to services, and greater independence for consumers.

METHODOLOGY:

The estimate assumes costs to establish a verification process for bilingual competency.

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$3,600	\$3,600
GF	\$0	\$2,200	\$2,200
Reimbursements	\$0	\$1,400	\$1,400

Medicaid Claiming Adjustments

BACKGROUND:

For the Department's Medicaid eligible programs expenditures, some federally ineligible consumers, may have been claimed for the time period of July 1, 2010 to June 30, 2020.

The Department of Health Care Services (DHCS) has determined that the cost of services for some individuals were included in Medicaid claims inappropriately based on the individuals' immigration status. DHCS identified this issue across multiple programs and departments. As a result, repayment of federal funds were deemed necessary and made by the Department in December 2020. The Department has implemented a solution to prevent this issue from occurring moving forward.

Repayments of claiming for ineligible consumers are 100% GF.

METHODOLOGY:

The estimates are based on actual expenditures from July 1, 2010 to June 30, 2020 for Medicaid eligible programs, including the Home and Community-Based Services waiver, Targeted Case Management, 1915(i) SPA, Early Periodic Screening Diagnosis and Treatment, Intermediate Care Facility-Developmentally Disabled State Plan Amendment, and Behavioral Health Treatment.

	<u>FY 2020-21</u>
Operations	\$19,675
Purchase of Services	209,678
TOTAL	\$229,353

REASON FOR CHANGE:

The change in FY 2020-21, from the Governor's budget, reflects actual dollars paid by the Department in December 2020.

Medicaid Claiming Adjustments

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$227,753	\$229,353	\$1,600
GF	\$227,753	\$229,353	\$1,600
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$229,353	\$0	(\$229,353)
GF	\$229,353	\$0	(\$229,353)
Reimbursements	\$0	\$0	\$0

Forensic Diversion

BACKGROUND:

The estimate includes \$3.7 million (\$2.3 million General Fund [GF]) and 5 regional center positions to support forensic diversion program for individuals with Intellectual and Developmental Disabilities (IDD) who have been charged with a felony and are active in the criminal justice system. The diversion program provides outreach and services for individuals who have become involved in criminal activities and provides an opportunity for an individual to remain in a community setting as opposed to a locked, highly restrictive setting when the individual has been determined by the court to not pose a risk of danger to public safety. The intent of this approach is for the individual to receive additional professional supports during court proceedings and have an opportunity to be referred to a habilitation or rehabilitation program with forensic services and supports when deemed appropriate.

The Budget Act of 2020 provided \$1.7 million GF for regional center positions and contracted wrap around services. The 5 Forensic Specialists in regional centers will provide subject matter expertise on the criminal justice/court system. They will be point on all legal issues and work on behalf of other regional centers as needed. The regional center specialists will work with all parties, including DDS legal and regional project staff to find the appropriate community placement. The duties would also include working to develop necessary community resources and building collaborative relationships and working with the courts, district attorneys and public defenders to educate and inform them on the legal requirements for alternatives, where necessary.

In addition to the regional center Forensic Specialists, funding is also included to contract with an organization with clinically proven expertise to provide intensive wraparound services to individuals with IDD who have entered the judicial system. These services are for individuals who, after consideration of reports by the probation department, the regional center and the prosecutor, have been determined by the court not to pose an unreasonable risk of danger to public safety and can be treated in the community. Intensive treatment and wraparound services will be provided by trained clinicians. They will work collaboratively with the individual and their team members in assuring identified wraparound services are in place while the individual is transitioning from the criminal justice system into a community setting.

In addition to existing resources, an additional \$2.0 million (\$572,000 GF) is provided to expand contracted wrap around services and include Federal Financial Participation.

Forensic Diversion

METHODOLOGY:

The Department will fund 5 Forensic Specialists in regional centers at an annual cost of \$534,000 and \$3.2 million for a contractor to provide wraparound services to individuals with IDD.

	Annual Salary
Salary	\$77,100
Fringe Benefits – 34%	26,200
Operating Expense & Equipment	3,400
Annual Cost Per Position	\$106,700
Total Positions	5
Total Annual Cost (Rounded)	\$534,000
<i>(whole dollars)</i>	

	<u>FY 2021-22</u>
Operations	\$534,000
Purchase of Services	3,200,000
TOTAL	\$3,734,000

REASON FOR CHANGE:

There is no change in FY 2021-22, from Governor's Budget.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$3,734	\$3,734
GF	\$0	\$2,347	\$2,347
Reimbursements	\$0	\$1,387	\$1,387

Enhanced Federal Funding

BACKGROUND:

On March 18, 2020 the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to costs associated with the Home and Community-Based Services and Self-Determination Program Waiver, 1915(i) State Plan Amendment, Targeted Case Management, Intermediate Care Facility-Developmentally Disabled SPA, and the Early Periodic Screening Diagnosis and Treatment.

The increase in federal reimbursements is assumed through December 31, 2021.

METHODOLOGY:

The enhanced FMAP is estimated based on the additional 6.2 percent federal funds participation for eligible costs. The increased federal funds will result in a corresponding General Funds savings.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Operations	\$28,029	\$14,879
Purchase of Services	372,253	198,019
TOTAL	\$400,282	\$212,898

REASON FOR CHANGE:

The change in both years is due to updated expenditures eligible for reimbursement.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$387,569)	(\$400,282)	(\$12,713)
Reimbursements	\$387,569	\$400,282	\$12,713

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$400,282)	(\$212,898)	\$187,384
Reimbursements	\$400,282	\$212,898	(\$187,384)

Self-Determination Ongoing Implementation

BACKGROUND:

The Self-Determination Program (SDP), established by Chapter 683, Statutes of 2013 (Senate Bill 468, Emmerson) and Welfare and Institutions (W&I) Code §4685.8, is an initiative aimed at providing individuals served by regional centers and their families with more flexibility and choice in the services and supports they receive. SDP provides individuals with an individual budget, increased flexibility and choice, and greater control over decisions, resources, and needed and desired services and supports to implement their individual program plans. The SDP Waiver was approved by the federal Centers for Medicare and Medicaid Services (CMS) on June 7, 2018, with an effective date of July 1, 2018. W&I Code §4685.8 authorized phased-in implementation of SDP over a three-year period. During the initial three-year phase-in period, enrollment in SDP was capped at 2,500 participants statewide.

Following the June 2018 approval of federal funding, the Department selected individuals to participate. As of April 2021, 556 participants are enrolled and receiving services from the Self-Determination Program, including 73 participants from the pilot program.

Throughout the phase-in period, the Department of Developmental Services (Department) met frequently with stakeholders, including individuals served by regional centers, their families, advocates, service providers, regional centers and others to gain input and identify challenges and strategies to increase enrollment and work toward the success of SDP. Feedback received through these meetings identified a need to provide additional, focused support for regional center staff and individuals and their families in understanding the process for enrolling in the program. In March 2021, the Department applied for a renewal of its SDP Waiver, with an effective date of July 1, 2021. The waiver renewal removes the limitation that the Waiver is only available to SDP phase-in participants, making SDP available to any eligible individual who chooses to enroll. It also specifies that 5,000 individuals may be served under the SDP Waiver, and if that capacity is insufficient, the Department may submit necessary SDP Waiver amendments to accommodate all individuals who are eligible for, and express an interest in, participating in SDP.

Based on feedback from stakeholders, this policy provides funding for:

- Intensive transition support services for individuals and their families who need greater assistance onboarding into the SDP.
- Improved orientation and training that will address the need for consistency in program implementation by contracting with one or more statewide entities to develop plain language information and training materials, and conduct the orientations and trainings.
- Participant choice specialists at regional centers who will be subject matter experts and provide assistance to individuals with timely transition to SDP participation, and to regional center staff and service coordinators.

Self-Determination Ongoing Implementation

METHODOLOGY:

The estimate includes funding on a three-year limited-term basis in the operations budget for participant choice specialists at regional centers and ongoing funding to contract with entities that will help provide orientation, training, and plain language informational materials.

The estimate also includes ongoing funding for intensive transition support in enrolling in the program. The estimate assumes the service will be federally reimbursable and that approximately 25 percent of individuals enrolling into SDP will use the service.

	<u>FY 2021-22</u>
Operations	\$7,800
Purchase of Services	2,500
TOTAL	\$10,300

REASON FOR CHANGE:

This is a new policy effective July 1, 2021.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$10,300	\$10,300
GF	\$0	\$6,800	\$6,800
Reimbursements	\$0	\$3,500	\$3,500

Lanterman Act Provisional Eligibility Ages 3 and 4

BACKGROUND:

Welfare and Institutions (W&I) Code §4512 establishes the definitions of “developmental disability” and “substantial disability” that provide the basis for eligibility for services under the Lanterman Act. Currently, 41,842 children ages 0-3 receive Early Start services and approximately 22 percent of this population yearly are determined eligible for Lanterman Act services.

The Department reviews data on the number of children who leave Early Start and later re-enter the developmental services system. Data indicates that Lanterman Act eligible disabilities may not be fully recognized at 2 years, 9 months, when assessments to transition to the school system are conducted and children experience a gap in services.

In addition, the current public health emergency resulted in a significant decline in the number of children referred to the Early Start program. As of March 2021, Early Start enrollment is down 10 percent compared to the same month the previous year. Based on historical trends, children enter Early Start at approximately 22 months, thus increasing the likelihood that a child who was not referred to Early Start is now older than 3 years of age.

Delayed diagnosis and gaps in services can create long-term impacts, increasing the likelihood of needing life-long Lanterman services.

To address these issues, the Department proposes trailer bill language granting provisional eligibility for Lanterman Act services to 3 and 4-year old children who have disabilities which result in significant functional limitations in major life activities but currently do not meet Lanterman Act eligibility criteria. This policy does not require that children aged 3 or 4 be served in Early Start first.

METHODOLOGY:

The estimate assumes approximately 3,500 children will meet the provisional eligibility criteria.

	<u>FY 2021-22</u>
Operations	\$7,600
Purchase of Services	\$16,200
TOTAL	\$23,800

Lanterman Act Provisional Eligibility Ages 3 and 4

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$23,800	\$23,800
GF	\$0	\$23,800	\$23,800

American Rescue Plan Act

BACKGROUND

Federal Individuals with Disabilities Education Act (IDEA) Part C grant assist states in providing early intervention services (known as Early Start in California) for infants and toddlers. The American Rescue Plan Act, signed into law on March 11, 2021, includes one-time supplemental grant funding under Part C of IDEA. Further federal guidance is anticipated regarding the parameters for use of these supplemental funds.

METHODOLOGY:

Fiscal year (FY) 2021-22 amount is based on the estimated allocations available from the United States Department of Education.

REASON FOR CHANGE:

This is a new policy effective July 1, 2021.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
Federal Fund	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$24,462	\$24,462
Federal Fund	\$0	\$24,462	\$24,462

Table of Contents

SECTION H: FUND SOURCES

General Fund	H-1
Reimbursements	
Summary of Reimbursements and General Fund Match	H-3
Home and Community-Based Services Waiver.....	H-5
Home and Community-Based Services Waiver Administration	H-12
Medicaid Administration	H-16
Targeted Case Management.....	H-19
Targeted Case Management Administration	H-21
Title XX Block Grant	H-22
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	H-24
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	H-25
1915(i) State Plan Amendment	H-27
Early Periodic Screening Diagnosis and Treatment	H-31
Behavioral Health Treatment Fee-for-Service	H-32
Self-Determination Program Waiver.....	H-33
Program Development Fund/Parental Fees	H-35
Developmental Disabilities Services Account.....	H-36
Mental Health Services Fund	H-37
Federal Funds	
Early Start Part C/Other Agency Costs	H-38
Foster Grandparent Program	H-41

General Fund

BACKGROUND:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>FY 2020-21</u>	<u>FY 2021-22</u>
• GF Match		\$2,865,416	\$3,287,160
This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Service (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally-recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:			
	GF %		
Home and Community-Based Services (HCBS) Waiver	50.00%	2,178,573	2,478,028
HCBS Waiver Administration	50.00%	17,316	21,514
Medicaid Administration	25.00%	6,056	6,056
Targeted Case Management (TCM)	50.00%	206,612	238,989
Intermediate Care Facility/Developmentally Disabled	50.00%	51,309	54,942
Quality Assurance Fees (Operations) Policy only*	50.00%	891	891
1915 (i) State Plan Amendment	50.00%	380,262	439,290
Early Periodic Screening Diagnosis and Treatment	50.00%	19,634	28,217
Self-Determination Program Waiver	50.00%	4,763	19,233

General Fund

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
• GF Other:	\$2,575,086	\$2,936,745
These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, or Federal Funds.		
• TOTAL EXPENDITURES	\$5,440,502	\$6,223,905

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$5,521,629	\$5,440,502	(\$81,127)
GF Match	\$2,767,145	\$2,865,416	\$98,271
GF Other	\$2,754,484	\$2,575,086	(\$179,398)

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$5,440,502	\$6,223,905	\$783,403
GF Match	\$2,865,416	\$3,287,160	\$421,744
GF Other	\$2,575,086	\$2,936,745	\$361,659

**For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget*

Reimbursements

SUMMARY OF REIMBURSEMENTS AND GENERAL FUND (GF) MATCH:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
A. Home and Community-Based Services (HCBS) Waiver	\$4,973,913	\$5,283,110
1. Reimbursement	2,486,957	2,641,555
• Enhanced FMAP 6.2%	308,383	163,527
2. GF Match	2,178,573	2,478,028
(Purchase of Services)		
B. HCBS Waiver Administration	\$34,632	\$43,028
1. Reimbursement	17,316	21,514
2. GF Match	17,316	21,514
(Operations)		
C. Medicaid Administration	\$24,224	\$24,224
1. Reimbursement	18,168	18,168
2. GF Match	6,056	6,056
(Operations)		
D. Targeted Case Management	\$469,282	\$507,735
1. Reimbursement	234,641	253,867
• Enhanced FMAP 6.2%	28,029	14,879
2. GF Match	206,612	238,989
(Operations)		
E. Title XX Block Grant	\$213,421	\$213,421
1a. Social Services	136,264	136,264
1b. Temporary Assistance for Needy Families	77,157	77,157
(Purchase of Services)		
F. Intermediate Care Facility-Developmentally Disabled	\$117,145	\$117,149
1. Reimbursement	58,573	58,575
• Enhanced FMAP 6.2%	7,263	3,632
2. GF Match	51,309	54,942
(Purchase of Services)		
G. Intermediate Care Facility – Developmentally Disabled	\$11,394	\$11,394
Quality Assurance Fees ^{/A}		
1a. Operations	1,782	1,782
Reimbursements	891	891
GF Match	891	891
1b. Purchase of Services	9,612	9,612
Reimbursements (from DHCS)	9,612	9,612

Reimbursements

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
H. 1915(i) State Plan Amendment	\$868,181	\$936,571
1. Reimbursements	434,091	468,286
• Enhanced FMAP 6.2%	53,828	28,995
2. GF Match	380,262	439,290
(Purchase of Services)		
I. Impact from other Departments	\$13,492	\$13,492
1. Reimbursement	13,492	13,492
(Purchase of Services)		
J. Early Periodic Screening Diagnosis Treatment	\$44,826	\$60,163
1. Reimbursement	22,413	30,081
• Enhanced FMAP 6.2%	2,779	1,865
2. GF Match	19,634	28,217
(Purchase of Services)		
K. Self-Determination Program Waiver	\$9,526	\$38,466
1. Reimbursement	4,763	19,233
2. GF Match	4,763	19,233
(Purchase of Services)		
L. Total	\$6,780,036	\$7,248,753
1. Reimbursements	3,914,620	3,961,593
2. GF Match	2,865,416	3,287,160

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$6,558,072	\$6,780,036	\$221,964
GF Match	\$2,767,145	\$2,865,416	\$98,271
Reimbursement	\$3,790,927	\$3,914,620	\$123,693

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$6,780,036	\$7,248,753	\$468,717
GF Match	\$2,865,416	\$3,287,160	\$421,744
Reimbursement	\$3,914,620	\$3,961,593	\$46,973

/A Reimbursements are funds received via other state agencies

Home and Community-Based Services Waiver

BACKGROUND:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for individuals with developmental disabilities.

The expenditures for HCBS Waiver reimbursements are in all the Purchase of Services budget categories, except Medical Facilities.

METHODOLOGY:

The fiscal is based on calendar year 2020 Medicaid Waiver Total Billed Dollar Amounts and Client Counts report.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Base: FY 2020-21	\$4,406,195	\$4,568,070
Total estimated expenditures based on billing data for calendar year 2020	4,406,195	
SB 3 Minimum Wage Increase: January 1, 2020	<i>In Trends</i>	
• Total estimated prior-year HCBS Waiver expenditures		4,635,051
• Less Youth Returning from Out-of-State Foster Care		(696)
• SB 3 Minimum Wage increase January 1, 2021		(68,424)
• Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facilities.		2,139
Annual Growth, New regional center consumers:	\$30,655	\$69,025
• FY 2020-21 Annual Growth	24,824	
Add annual growth costs in FY 2020-21 for 2,705 consumers who will be new to the regional center system and added to the HCBS Waiver with \$1,600 monthly cost per consumer, phased-in.		
• FY 2020-21 Annual Growth, Continuation costs for regional center consumers added in FY 2019-20.	5,831	

Home and Community-Based Services Waiver

METHODOLOGY (CONTINUED):	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> FY 2021-22 Annual Growth <p>Add annual growth costs in FY 2021-22 for 2,660 consumers who will be new to the Regional Center (RC) system and added to the HCBS Waiver with \$1,600 monthly cost per consumer, phased-in.</p> 		34,872
<ul style="list-style-type: none"> FY 2021-22 Annual Growth, Continuation costs for regional center consumers added in FY 2020-21. 		34,153
<ul style="list-style-type: none"> Community Placement Program (CPP): 	\$9,438	\$8,350
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2020-21 <p>Add CPP & HCBS Waiver costs in FY 2020-21 for 78 consumers in the regional center system with \$26,000 monthly cost per consumer, phased-in.</p> 	9,438	
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2021-22 <p>Add CPP & HCBS Waiver costs in FY 2021-22 for 53 consumers in the regional center system with \$26,000 monthly cost per consumer, phased-in.</p> 		8,350
<ul style="list-style-type: none"> Placement Continuation: 	\$4,342	\$11,752
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2020-21 <p>The annual estimated Placement Continuation costs for 138 HCBS Waiver-eligible consumers in each living arrangement with \$26,000 monthly cost per consumer, phased-in.</p> 	4,342	
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2021-22 <p>The annual estimated Placement Continuation costs for 78 HCBS Waiver-eligible consumers in each living arrangement with \$26,000 monthly costs per consumer, phased-in.</p> 		11,752
<ul style="list-style-type: none"> Transitions to Self-Determination Program (SDP) Waiver: 	(\$3,231)	(\$25,556)
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2020-21 <p>Costs reflect monthly decreases in Waiver expenditures as a result of 246 consumers leaving HCBS Waiver and enrolling on SDP Waiver in FY 2020-21.</p> 	(3,231)	

Home and Community-Based Services Waiver

METHODOLOGY (CONTINUED):	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> FY 2021-22 Costs reflect monthly decreases in Waiver expenditures as a result of 2,732 consumers leaving HCBS Waiver and enrolling on SDP Waiver in FY 2021-22. 		(25,556)
<ul style="list-style-type: none"> Continuation Costs for Transitions to SDP Waiver: 	\$0	(\$4,018)
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2020-21 FY 2019-20 consumers who transitioned to SDP Waiver. Decreases in Waiver expenditures are a result of those individuals no longer being on the Waiver (0 total in FY 2019-20). 	0	
<ul style="list-style-type: none"> <ul style="list-style-type: none"> FY 2021-22 FY 2020-21 consumers who transitioned to SDP Waiver. Decreases in Waiver expenditures are a result of those individuals no longer being on the Waiver (246 total in FY 2020-21). 		(4,018)
<ul style="list-style-type: none"> SSI/SSP Increase effective January 1, 2021 and January 1, 2022 	(2,139)	(2,619)
<ul style="list-style-type: none"> Competitive, Integrated Employment Incentives 	15,840	15,840
<ul style="list-style-type: none"> Compliance with HCBS Regulations 	7,440	7,440
<ul style="list-style-type: none"> Provider Supplemental Rate Increases 	300,202	300,202
<ul style="list-style-type: none"> SB3 – Minimum Wage Increase, January 1, 2021 	68,424	145,042
<ul style="list-style-type: none"> Uniform Holiday Schedule 	26,605	29,816
<ul style="list-style-type: none"> Increased Costs for COVID 19 	109,446	32,190
<ul style="list-style-type: none"> SB 3 – Minimum Wage Increase, January 1, 2022 	0	115,822
<ul style="list-style-type: none"> Youth Returning from Out-of-State Foster Care 	696	1,392
<ul style="list-style-type: none"> Bilingual Differentials for Direct Service Professionals 	0	2,380
<ul style="list-style-type: none"> Forensic Diversion 	0	2,432
<ul style="list-style-type: none"> START Training 	0	4,020
<ul style="list-style-type: none"> Self-Determination Ongoing Implementation 	0	1,530
TOTAL EXPENDITURES	\$4,973,913	\$5,283,110

Home and Community-Based Services Waiver

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$4,772,524	\$4,973,913	\$201,389
GF Match	2,090,366	2,178,573	88,207
Reimbursement	2,682,158	2,795,340	113,182

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$4,973,913	\$5,283,110	\$309,197
GF Match	2,178,573	2,478,028	299,455
Reimbursement	2,795,340	2,805,082	9,742

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES:

	Percentage of Expenditures by Budget Category	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Total Estimated HCBS Waiver Billable Expenditures		\$4,973,913	\$5,283,110
General Fund (GF) Match		2,178,573	2,478,028
Federal Financial Participation (FFP)		2,795,340	2,805,082
Purchase of Services: Budget Categories			
Community Care Facilities		1,565,743	1,629,050
GF Match	35.22%	782,872	814,525
FFP		782,871	814,525
Day Programs		663,514	690,343
GF Match	14.93%	331,757	345,171
FFP		331,757	345,172
Work Activity Program		18,376	19,119
GF Match	0.41%	9,188	9,559
FFP		9,188	9,560
Supported Employment Placement (SEP) - Group Placement		28,658	29,818
GF Match	0.64%	14,329	14,909
FFP		14,329	14,909
SEP - Individual Placement		8,104	8,432
GF Match	0.18%	4,052	4,216
FFP		4,052	4,216
Transportation		246,607	256,579
GF Match	5.55%	123,303	128,290
FFP		123,304	128,289
Support Services		1,272,568	1,324,025
GF Match	28.63%	636,284	662,012
FFP		636,284	662,013
In-Home Respite		462,044	480,727
GF Match	10.39%	231,022	240,364
FFP		231,022	240,363

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):		Percentage of Expenditures by Budget Category	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Out-of-Home Respite			\$34,222	\$35,606
GF Match	0.77%		17,111	17,803
FFP			17,111	17,803
Health Care			29,675	30,875
GF Match	0.67%		14,838	15,438
FFP			14,837	15,437
Miscellaneous Services			115,749	120,430
GF Match	2.60%		57,874	60,215
FFP			57,875	60,215
Policies				
Competitive, Integrated Employment Incentives			15,840	15,840
GF Match			7,920	7,920
FFP			7,920	7,920
Compliance with Home and Community Based Services Purchase of Services			7,440	7,440
GF Match			3,720	3,720
FFP			3,720	3,720
Provider Supplemental Rate Increases			300,202	300,202
GF Match			150,101	150,101
FFP			150,101	150,101
SB3 Minimum Wage, January 1, 2021			68,424	145,042
GF Match			34,212	72,521
FFP			34,212	72,521
Uniform Holiday Schedule			26,605	29,816
GF Match			13,302	14,908
FFP			13,303	14,908
Increased Costs for COVID-19			109,446	32,190
GF Match			54,723	16,095
FFP			54,723	16,095

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):

	Percentage of Expenditures by Budget Category	<u>FY 2020-21</u>	<u>FY 2021-22</u>
SB3 Minimum Wage, January 1, 2022		0	115,822
GF Match		0	57,911
FFP		0	57,911
Youth Returning from Out-of-State Foster Care		696	1,392
GF Match		348	696
FFP		348	696
Bilingual Differentials for Direct Service Professionals		0	2,380
GF Match		0	1,190
FFP		0	1,190
Forensic Diversion		0	2,432
GF Match		0	1,216
FFP		0	1,216
Enhanced Federal Funding		0	0
GF Match		(308,383)	(163,527)
FFP		308,383	163,527
START Training		0	4,020
GF Match		0	2,010
FFP		0	2,010
Self-Determination Ongoing Implementation		0	1,530
GF Match		0	765
FFP		0	765

Home and Community-Based Services Waiver Administration

BACKGROUND:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for individuals with developmental disabilities. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

METHODOLOGY:

Direct Support Professional Training:

Welfare and Institutions Code §4695.2 mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program are conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). Estimate of the Operations costs covers the challenge tests and training through the IA with CDE.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Direct Support Professional:	\$2,664	\$2,886
<ul style="list-style-type: none"> Total cost for DSP Training is \$3,600 in FY 2020-21 and \$3,900 in FY 2021-22. 74% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 74% of the cost are eligible for federal financial participation (FFP). These costs are reflected under Operations, Projects. 		

Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services: Regional center staff contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	757	757
<ul style="list-style-type: none"> Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893. <p>These costs are reflected under Operations, Staffing</p>		

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):

Staffing for Collection of FFP for Contracted Services: The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

FY 2003-04 FFP Enhancement, Phase II

FY 2020-21FY 2021-22

\$9,318

\$9,318

- Total regional center administrative cost for FY 2020-21 and FY 2021-22 is \$9,318.

- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

Compliance with Home and Community Based Services (HCBS) Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2023. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:

1,422

1,422

- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in FY 2020-21 and FY 2021-22.
- 100% of costs are eligible for FFP.
These costs are reflected under Operations, Operations Policies Items.

Federal Medicaid Requirements for Regional Center HCBS Services

984

984

- Total cost: \$984
- 100% of costs are eligible for FFP.
These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Office of Administrative Hearings:	1,665	1,748
<ul style="list-style-type: none"> Total cost for Resources for Health Care Community Specialist in FY 2020-21 is \$3,700 and \$3,885 in FY 2021-22. 45% of costs are eligible for FFP. These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects. 		
Clients' Rights Advocacy:	3,543	3,737
<ul style="list-style-type: none"> Total cost for FY 2020-21 is \$7,873 and \$8,304 for FY 2021-22. 45% of costs are eligible for FFP. These costs are reflected in the Client Rights Advocacy estimate under Operations, Projects. 		
Quality Assessment:	2,077	2,088
<ul style="list-style-type: none"> Total cost for FY 2020-21 is \$4,615 and \$4,640 for FY 2021-22. 45% of costs are eligible for FFP. These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects. 		
Special Incident Reporting/Risk Assessment:	480	480
<ul style="list-style-type: none"> Total cost is \$1,200 for FY 2020-21 and FY 2021-22. 40% of costs are eligible for FFP. These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects. 		
Assembly Bill X2 1 Regional Center Operations Increase:	722	722
<ul style="list-style-type: none"> Total cost for FY 2020-21 and FY 2021-22 is \$56,600. These costs are reflected under Operations, Operations Policies Items. 		

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Resources to Implement Assembly Bill X2 1	2,664	2,664
<ul style="list-style-type: none"> Total cost for FY 2020-21 and FY 2021-22 is \$4,164. 64% of costs are eligible for FFP. <p>These costs are reflected under Operations, Operations Policies Items.</p>		
Specialized Home Monitoring:	4,436	5,322
<ul style="list-style-type: none"> Total costs for FY 2020-21 are \$6,930 and FY 2021-22 is \$8,315. 64% of costs are eligible for FFP <p>These costs are reflected under Operations, Operations Policies Items.</p>		
Oversight and Accountability	3,900	3,900
<ul style="list-style-type: none"> Total costs for FY 2020-21 and FY 2021-22 are \$4,450. These costs are reflected under Operations, Operations Policies Items. 		
Community Navigators	0	4,200
<ul style="list-style-type: none"> Total costs for FY 2021-22 are \$5,300. This cost is reflected under Operations, Operations Policies Items. 		
Direct Service Professional Training and Certification	0	2,800
<ul style="list-style-type: none"> Total costs for FY 2021-22 are \$4,300. This cost is reflected under Operations, Operations Policies Items. 		
• TOTAL EXPENDITURES	\$34,632	\$43,028

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$34,780	\$34,632	(\$148)
GF Match	\$17,390	\$17,316	(\$74)
Reimbursement	\$17,390	\$17,316	(\$74)

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$34,632	\$43,028	\$8,396
GF Match	\$17,316	\$21,514	\$4,198
Reimbursement	\$17,316	\$21,514	\$4,198

Medicaid Administration

BACKGROUND:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statute of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations)

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers' medications, to maintain regional center compliance with the HCBS Waiver.

Developmental Center Closure-Ongoing Workload

Funding includes salaries, benefits, and operating expenses and equipment for the regional center positions associated with the closure of the developmental centers and the transition of individuals to community living arrangements. Regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews. These positions include quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

Medicaid Administration

METHODOLOGY:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> Costs for Clinical Support Teams and SB 1038 Health Reviews are based on FY 2019-20 data collected from the regional centers. 	\$16,818	\$16,818
<ul style="list-style-type: none"> <ul style="list-style-type: none"> The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75 percent. 	12,614	12,614
<ul style="list-style-type: none"> Staffing for Compliance with HCBS Waiver Requirements 		
<ul style="list-style-type: none"> <ul style="list-style-type: none"> Total personal services and operating costs related to MA. The costs are 100 percent eligible for MA because related staff will be working only with HCBS Waiver consumers. 	2,600	2,600
<ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75 percent. 	1,950	1,950
<ul style="list-style-type: none"> Assembly Bill X2 1 Regional Center Operations Increase: 		
<ul style="list-style-type: none"> <ul style="list-style-type: none"> Increased funding for regional center staff and Operations. 	1,510	1,510
<ul style="list-style-type: none"> <ul style="list-style-type: none"> It is assumed that 100 percent of costs are eligible for MA. 		
<ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75 percent. 	1,132	1,132
<ul style="list-style-type: none"> Developmental Center Closure Ongoing Workload: 		
<ul style="list-style-type: none"> <ul style="list-style-type: none"> Total personal services, operating and placement continuation costs related to MA. 	3,296	3,296
<ul style="list-style-type: none"> <ul style="list-style-type: none"> It is assumed that 100 percent of costs are eligible for MA. 		
<ul style="list-style-type: none"> <ul style="list-style-type: none"> The FFP portion of total MA-eligible costs is 75 percent. 	2,472	2,472

Medicaid Administration

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$24,224	\$24,224	\$0
GF Match	\$6,056	\$6,056	\$0
Reimbursement	\$18,168	\$18,168	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$24,224	\$24,224	\$0
GF Match	\$6,056	\$6,056	\$0
Reimbursement	\$18,168	\$18,168	\$0

Targeted Case Management

BACKGROUND:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 241,000 Medi-Cal eligible persons in the regional center system as of March 11, 2021. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services". This program provides federal financial participation (FFP) for most of regional center case managers time spent on Medi-Cal eligible activities.

METHODOLOGY:

The fiscal is developed using the TCM Regional Center Billed Units report dated calendar year 2020 for the period of January 2020 - December 2020.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> • Base: TCM expenditures are based on actual TCM billable units for a 12-month period (July 2019 – June 2020) multiplied by the regional center TCM rates effective July 1, 2020. 	\$410,428	\$433,725
<ul style="list-style-type: none"> • Improve Service Coordinator Caseload Ratios 	8,000	8,000
<ul style="list-style-type: none"> • Assembly Bill X2 1 Regional Center Operations Increase 	26,014	26,014
<ul style="list-style-type: none"> • Psychological Evaluations for BHT Fee – for – Service Consumers 	758	758
<ul style="list-style-type: none"> • Self-Determination Program 	2,444	2,444
<ul style="list-style-type: none"> • Specialized Caseload Ratio 	2,400	2,652
<ul style="list-style-type: none"> • Trauma Informed Services for Foster Youth 	1,000	1,000
<ul style="list-style-type: none"> • START Training 	0	6,128
<ul style="list-style-type: none"> • Family Home Agency Oversight 	1,038	1,038
<ul style="list-style-type: none"> • Regional Center Emergency Coordinators 	0	1,290
<ul style="list-style-type: none"> • Early Start Recovery Efforts 	17,200	0
<ul style="list-style-type: none"> • Enhanced Service Coordination 	0	5,600
<ul style="list-style-type: none"> • Implicit Bias Training 	0	294
<ul style="list-style-type: none"> • Additional Resources to Support Individuals Who Are Deaf 	0	1,486
<ul style="list-style-type: none"> • Employment Grant 	0	9,412
<ul style="list-style-type: none"> • Performance Incentives 	0	2,352
<ul style="list-style-type: none"> • Forensic Diversion 	0	342
<ul style="list-style-type: none"> • Self-Determination Ongoing Implementation 	0	5,200
TOTAL EXPENDITURES	\$469,282	\$507,735

Targeted Case Management

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$450,716	\$469,282	\$18,566
GF Match	\$197,414	\$206,612	\$9,198
Reimbursement	\$253,302	\$262,670	\$9,368

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$469,282	\$507,735	\$38,453
GF Match	\$206,612	\$238,989	\$32,377
Reimbursement	\$262,670	\$268,746	\$6,076

Targeted Case Management Administration

DESCRIPTION:

The Targeted Case Management (TCM) Administration for Regional Centers is budgeted in the TCM funding page. The TCM Administration page will no longer be displayed in the Local Assistance Estimate.

EXPENDITURES:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
TOTAL	\$0	\$0
GF Match	\$0	\$0
FFP	\$0	\$0

Title XX Block Grant

BACKGROUND:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200 percent of the income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

METHODOLOGY:

The Department's portion of the Title XX Block Grant is determined by DSS. There are no state matching requirements for these funds.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Total	\$213,421	\$213,421
Social Services	136,264	136,264
TANF	77,157	77,157

Title XX Block Grant

EXPENDITURES:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Total Title XX Block Grant – Social Services	\$213,421	\$213,421
Estimated Distribution in Regional Center Purchases of Services	\$136,264	\$136,264
Day Programs	38,868	38,868
Transportation	6,559	6,559
Support Services	59,206	59,206
In-Home Respite	27,957	27,957
Out-of-Home Respite	630	630
Miscellaneous Services	3,044	3,044
TANF		
Estimated Distribution in Regional Center Purchases of Services	\$77,157	\$77,157
Community Care Facilities	17,760	17,760
Day Programs	745	745
Transportation	523	523
Support Services	9,364	9,364
In-Home Respite	24,658	24,658
Out-of-Home Respite	3,762	3,762
Health care	4,126	4,126
Miscellaneous Services	16,219	16,219

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$213,421	\$213,421	\$0
Social Services	\$136,264	\$136,264	\$0
TANF	\$77,157	\$77,157	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$213,421	\$213,421	\$0
Social Services	\$136,264	\$136,264	\$0
TANF	\$77,157	\$77,157	\$0

Intermediate Care Facility – Developmentally Disabled State Plan Amendment

BACKGROUND:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Program and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011.

METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from calendar year 2020. The SPA was approved April 14, 2011, retroactive to July 1, 2007.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$117,263	\$117,145	(\$118)
GF Match	\$51,362	\$51,309	(\$53)
FFP	\$65,901	\$65,836	(\$65)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$117,145	\$117,149	\$4
GF Match	\$51,309	\$54,942	\$3,633
FFP	\$65,836	\$62,207	(\$3,629)

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees

BACKGROUND:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional centers.

METHODOLOGY:

- The fiscal is developed using the State Claims Purchase of Services (POS) Claims data file, dated February 2021, based on 2020 actuals.
- ICF-DD Administrative Costs and QAF are set by DHCS.
- Regional center administration costs are 1.5 percent of the costs of Day Programs and Transportation expenditures.
- ICF-DD administration costs are 1.5 percent of the costs of Day Programs and Transportation expenditures for both the regional center and ICF-DD.
- POS costs for Day Programs and Transportation total \$118.7 million. QAF is \$9.6 million.
- FY 2020-21 and FY 2021-22: Total billing costs are \$1.8 million for regional center administration, \$1.8 million for ICF-DD administration, and \$7.8 million QAF.

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$11,405	\$11,394	(\$13)
Operations	\$1,782	\$1,782	\$0
GF Match	\$891	\$891	\$0
FFP	\$891	\$891	\$0
Purchase of Services	\$9,623	\$9,612	(\$11)
QAF Admin Fees	\$4,812	\$4,806	(\$6)
Transfer from DHCS	\$4,811	\$4,806	(\$5)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$11,394	\$11,394	\$0
Operations	\$1,782	\$1,782	\$0
GF Match	\$891	\$891	\$0
FFP	\$891	\$891	\$0
Purchase of Services	\$9,612	\$9,612	\$0
QAF Admin Fees	\$4,806	\$4,806	\$0
Transfer from DHCS	\$4,806	\$4,806	\$0

1915(i) State Plan Amendment

BACKGROUND:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915(i) State Plan Amendment (SPA) to Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915(i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

ASSUMPTIONS

The fiscal is based on calendar year 2020 updated Purchase of Services (POS) billed expenditures.

METHODOLOGY:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> • Base: Updated calendar year 2020 expenditures were used to develop the base. For FY 2021-22, the prior year estimate for base and growth, with the following adjustments, was used as the base. Cost without Community Placement Plan. 	\$730,882	\$749,145
<ul style="list-style-type: none"> • SSI/SSP Increase effective January 1, 2021 and January 1, 2022 	(320)	(391)
<ul style="list-style-type: none"> • Competitive Integrated Employment Incentives 	2,160	2,160
<ul style="list-style-type: none"> • Compliance with Home and Community-Based Services Purchase of Services 	560	560
<ul style="list-style-type: none"> • Provider Supplemental Rate Increases 	89,993	91,021
<ul style="list-style-type: none"> • SB3 Minimum Wage, January 1, 2021 	20,282	43,422
<ul style="list-style-type: none"> • SB3 Minimum Wage, January 1, 2022 	0	35,319
<ul style="list-style-type: none"> • Uniform Holiday Schedule 	8,166	8,917
<ul style="list-style-type: none"> • Increase cost to Covid-19 	16,354	4,810
<ul style="list-style-type: none"> • Youth returning from Out-of-State Foster Care 	104	208
<ul style="list-style-type: none"> • Bilingual Differentials for Direct Service Professionals 	0	420
<ul style="list-style-type: none"> • START Training 	0	710
<ul style="list-style-type: none"> • Self-Determination Ongoing Implementation 	0	270
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$868,181	\$936,571

1915(i) State Plan Amendment**EXPENDITURES:**

		<u>FY 2020-21</u>	<u>FY 2021-22</u>
TOTAL		\$868,181	\$936,571
GF Match		380,262	439,281
Reimbursement		487,919	497,281
Percentage of Expenditures by Budget Category			
Community Care Facilities	23.59%	172,363	176,655
GF Match		86,181	88,327
FFP		86,182	88,328
Day Programs	19.85%	145,008	148,619
GF Match		72,504	74,309
FFP		72,504	74,310
Habilitation Services	5.74%	41,958	43,003
GF Match		20,979	21,501
FFP		20,979	21,502
Transportation	4.51%	32,957	33,777
GF Match		16,479	16,888
FFP		16,478	16,889
Support Services	28.41%	207,575	212,744
GF Match		103,787	106,372
FFP		103,788	106,372
In-Home Respite	11.68%	85,305	87,430
GF Match		42,652	43,715
FFP		42,653	43,715
Out-of-Home Respite	0.56%	4,104	4,206
GF Match		2,052	2,103
FFP		2,052	2,103
Health Care	1.01%	7,394	7,578
GF Match		3,697	3,789
FFP		3,697	3,789

1915(i) State Plan Amendment**EXPENDITURES (CONTINUED):**

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Percentage of Expenditures by Budget Category		
Miscellaneous Services 4.64%	33,898	34,742
GF Match	16,949	17,371
FFP	16,949	17,371
CIE Incentives	2,160	2,160
GF Match	1,080	1,080
FFP	1,080	1,080
Compliance with HCBS Regulations	560	560
GF Match	280	280
FFP	280	280
Provider Supplemental Rate Increases	89,993	91,021
GF Match	44,997	45,511
FFP	44,996	45,510
SB3 Minimum Wage, January 1, 2021	20,282	43,422
GF Match	10,141	21,711
FFP	10,141	21,711
SB3 Minimum Wage, January 1, 2022	0	35,319
GF Match	0	17,660
FFP	0	17,659
Uniform Holiday Schedule	8,166	8,917
GF Match	4,083	4,459
FFP	4,083	4,458
Increase Cost for Covid-19	16,354	4,810
GF Match	8,177	2,405
FFP	8,177	2,405
Youth Returning from Out-of-State Foster Care	104	208
GF Match	52	104
FFP	52	104
6.2% Enhanced Federal Funding	0	0
GF Match	(53,828)	(28,995)
FFP	53,828	28,995

1915(i) State Plan Amendment

EXPENDITURES (CONTINUED):	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Bilingual Differentials for Direct Service Professionals	0	420
GF match	0	210
FFP	0	210
START Training	0	710
GF Match	0	355
FFP	0	355
Self-Determination Ongoing Implementation	0	270
GF Match	0	135
FFP	0	135

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$860,244	\$868,181	\$7,937
GF Match	\$376,786	\$380,262	\$3,476
FFP	\$483,458	\$487,919	\$4,461

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$868,181	\$936,571	\$68,390
GF Match	\$380,262	\$439,290	\$59,028
FFP	\$487,919	\$497,281	\$9,362

Early Periodic Screening Diagnosis and Treatment

BACKGROUND:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age 3 that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

METHODOLOGY:

The estimated budget is based on actual billed expenditures from October 2019 to September 2020. EPSDT funding is only in the Day Programs budget category.

EXPENDITURES:

FY 2020-21		<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
	TOTAL	\$50,354	\$44,826	(\$5,528)
	GF Match	\$22,055	\$19,634	(\$2,421)
	FFP	\$28,299	\$25,192	(\$3,107)

FY 2021-22		<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$44,826	\$60,163	\$15,337
	GF Match	\$19,634	\$28,217	\$8,583
	FFP	\$25,192	\$31,946	\$6,754

Department of Health Care Services Behavioral Health Treatment Fee-for-Service

BACKGROUND:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code §14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit in January 2016. Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the Medi-Cal benefit.

The estimate reflects costs of BHT services for consumers enrolled in Fee-for-Service Medi-Cal. These children receive services through the regional centers, and DHCS reimburses the Department for the related expenditures.

METHODOLOGY:

Full year costs for these consumers were estimated based on actual FY 2019-20 data.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$13,492	\$13,492	\$0
Reimbursement	\$13,492	\$13,492	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$13,492	\$13,492	\$0
Reimbursement	\$13,492	\$13,492	\$0

Self-Determination Program Waiver

BACKGROUND:

On December 31, 2014, the Department submitted a Home and Community-Based Services (HCBS) Waiver application to Centers for Medicare and Medicaid Services (CMS) seeking federal funding for the Self-Determination Program (SDP). Under the authority of Senate Bill (SB) 468 (Chapter 683, Statutes of 2013) and upon CMS approval, the Department is implementing the SDP, allowing regional center consumers and their families more freedom, control and responsibility in choosing services and supports to help them meet objectives in their individual program plans. Under the provisions of SB 468, participation was limited to 2,500 individuals for the first three years of implementation. The SDP waiver was approved by the CMS on June 7, 2018. The initial 2,500 participants were selected October 1, 2018. After June 7, 2021, the program will be available to all eligible consumers. Participants can only purchase services and supports that are approved by the federal government and listed in the SDP waiver.

METHODOLOGY:

FY 2019-20 actual Self-Determination Pilot Program expenditures and client counts are used in forecasting SDP. Funding was distributed to each budget category based on the percent of HCBS waiver expenditures by budget category. See the HCBS Waiver methodology page in Fund Sources for actual percentages.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
• <i>Community Care Facilities</i>	\$3,357	\$13,548
• <i>Day Programs</i>	1,422	5,742
• <i>Work Activity Program</i>	39	159
• <i>Supported Employment Placement (SEP) - Group Placement</i>	61	248
• <i>SEP - Individual Placement</i>	17	70
• <i>Transportation</i>	528	2,134
• <i>Support Services</i>	2,727	11,012
• <i>In-Home Respite</i>	990	3,998
• <i>Out-of-Home Respite</i>	73	296
• <i>Health Care</i>	64	257
• <i>Miscellaneous Services</i>	248	1,002
• TOTAL EXPENDITURES	\$9,526	\$38,466

Self-Determination Program Waiver

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$9,649	\$9,526	(\$123)
GF Match	\$4,825	\$4,763	(\$62)
Reimbursement	\$4,824	\$4,763	(\$61)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$9,526	\$38,466	\$28,940
GF Match	\$4,763	\$19,233	\$14,470
Reimbursement	\$4,763	\$19,233	\$14,470

Program Development Fund/Parental Fees

BACKGROUND:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400 percent of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code §4677, §4784, and §4785).

METHODOLOGY:

FY 2020-21 reflects revenue adjustments from COVID-19, Executive Order N-25-20, and resulting waivers. FY 2021-22 assumes collections and assessments will resume during the budget year.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$837	\$0	(\$837)
Parental Fees	\$442	\$0	(\$442)
Annual Family Program Fees	\$395	\$0	(\$395)

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$204	\$204
Parental Fees	\$0	\$120	\$120
Annual Family Program Fees	\$0	\$84	\$84

Developmental Disabilities Services Account

BACKGROUND:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

METHODOLOGY:

Based on historical and current data, the Department expects to receive housing proposals with application fees totaling \$150,000.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$150	\$150	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$150	\$150	\$0

Mental Health Services Fund

BACKGROUND:

Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1.0 million to provide funds to expand services and develop innovative programs. Consistent with the requirements of the MHSA, the Department funds regional centers to implement projects with community partners that focus on prevention, early intervention, and treatment for children and adults who are dually diagnosed (i.e. have a developmental disability and a mental illness).

METHODOLOGY:

Expenditures are set amounts and consistent with prior year.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$740	\$740	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$740	\$740	\$0

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

BACKGROUND:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, §303.1 through §303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, §52000 through §52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), §95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers.

METHODOLOGY:

Annual grant amounts are determined by the federal Offices of Special Education Programs (OSEP). The Department received a grant award letter dated July 1, 2020 for federal funding period July 1, 2020 through September 30, 2021.

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) regional center Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
• Other Agencies	\$19,094	\$43,556

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

METHODOLOGY (CONTINUED):	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none"> CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation. 	\$14,600	\$14,600
<i>Local Education Agencies</i>	14,245	14,245
<i>Support</i>	355	355
<ul style="list-style-type: none"> System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings. 	1,835	1,835
<ul style="list-style-type: none"> Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC §95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC §95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning. 	4,662	4,662
<i>Family Resources Center: Federal Funds grant amount</i>	2,659	2,659
<i>Family Resources Services: 100 percent General Fund</i>	2,003	2,003
<ul style="list-style-type: none"> American Rescue Plan Act 	0	24,462
<ul style="list-style-type: none"> Regional Center POS <p>The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in FY 2019-20 by budget category.</p>	34,012	34,069
<ul style="list-style-type: none"> <i>Day Programs</i> 	17,612	17,211
<ul style="list-style-type: none"> <i>Support Services</i> 	203	204
<ul style="list-style-type: none"> <i>In-Home Respite</i> 	123	122
<ul style="list-style-type: none"> <i>Out-of-Home Respite</i> 	60	43
<ul style="list-style-type: none"> <i>Health Care</i> 	1,854	1,831
<ul style="list-style-type: none"> <i>Miscellaneous Services</i> 	14,160	14,658

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$55,109	\$55,109	\$0
GF	\$2,003	\$2,003	\$0
Federal Funds	\$53,106	\$53,106	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$55,109	\$79,628	\$24,519
GF	\$2,003	\$2,003	\$0
Federal Funds	\$53,106	\$77,625	\$24,519

Foster Grandparent Program

BACKGROUND:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women aged 55 and older, the opportunity to serve their community as tutor and mentors to children and youth under the age of 22 who have developmental disabilities and exceptional needs and being served by a regional center. Foster grandparents volunteer in community schools, Head Start centers, and pre-schools.

METHODOLOGY:

The funding is based on the Federal Grant.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$1,201	\$1,173	(\$28)

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,173	\$1,140	(\$33)

Table of Contents

SECTION I: FUTURE FISCAL ISSUES

Future Fiscal Issues	I-1
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FUTURE FISCAL ISSUES

Centers for Medicare & Medicaid Services (CMS) Final Regulations for Home and Community-Based Services (HCBS)

The Department administers two 1915(c) Waivers (the HCBS Waiver for Persons with Developmental Disabilities and the HCBS Waiver for the Self-Determination Program) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 229,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019. However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, the compliance date was extended to March 17, 2022. On July 14, 2020, in response to the COVID-19 public health emergency, the compliance deadline was extended again to March 17, 2023.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations. Included in the STP is a description of the process to assess settings where services are provided to determine what changes, if any, are needed for providers to comply with the HCBS regulations. The setting assessment process began in January 2020, and is currently anticipated to continue through December 2021. Efforts to provide training and information for all stakeholders regarding the HCBS regulations and person-centered planning requirements continue; and as with prior years, the budget includes \$15.0 million to assist providers in making changes needed to comply with the HCBS regulations, as detailed in Section G, Policy.

Consumer Services Post COVID-19 Emergency

The Department developed and is currently implementing policies and procedures that allow the provision of alternative nonresidential services (Alternative Services) that meet the needs of individuals with developmental disabilities, help sustain the state's developmental services provider network, and continue receipt of federal reimbursement during the COVID-19 State of Emergency, pursuant to Governor Gavin Newsom's Executive Order N-75-20 issued on August 24, 2020. The order suspends Title 17, California Code of Regulations §54326(a)(11), as applied to payments made in connection with the COVID-19 State of Emergency and directed the Department to develop and implement policies and procedures to allow delivery of nonresidential services to individuals with developmental disabilities during the public health emergency.

The Department is engaging stakeholders through the Developmental Services Task Force, Consumer Advisory Committee, and other workgroups in exploring long-term options for California's developmental disabilities services system amid the current public health emergency and beyond. Stakeholder engagement is expected to explore lessons learned from the use of the Alternative Services model, continued focus on individual choice and community integration, individual health and safety, and payment structures that support a sustainable provider network and are consistent with federal funding requirements.

These stakeholder discussions may identify changes recommended in spring 2021.

Table of Contents

SECTION J: SUPPLEMENTAL REPORTING

Early Start Expenditures and Population.....	J-1
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Early Start Expenditures And Population

BACKGROUND:

Per Legislative request, the Department provides projected caseload and expenditures for the Early Start program. Early Start includes consumers from birth through 35 months.

METHODOLOGY:

The projected Early Start expenditures are developed using the February 2021 State Claims Data file, with expenditures through November 30, 2020.

The projected caseload and expenditures for FY 2020-21 reflect anticipated reductions due to the impacts of COVID-19.

2021 May Revision Early Start Estimated Caseload and Expenditures						
Fiscal Year	*Monthly Caseload	POS Expenditures	Per Capita	Federal Funds (POS portion) Early Start	Federal Funds (POS portion) EPSDT	State Funds DDS General Funds
2020-21	40,934	\$370,198,000	\$9,044	\$34,012,000	\$23,213,000	\$312,973,000
2021-22	53,966	\$541,138,000	\$10,027	\$34,069,000	\$29,057,000	\$478,012,000

* Refer to Section C – Population for additional detail on the Early Start population.

Table of Contents

SECTION K: STATE OPERATED FACILITIES

Comparison of Enacted Budget to May Revision FY 2020-21	K-1
Comparison of Governor's Budget to May Revision FY 2020-21	K-2
Comparison of Governor's Budget to May Revision FY 2021-22	K-3
Comparison of FY 2020-21 to FY 2021-22.....	K-4
Systemwide Population	K-5
Facility Details	
Canyon Springs.....	K-6
Fairview	K-8
Porterville STP	K-10
STAR and CAST	K-12
Ongoing Costs.....	K-14
Policy	
Control Section Adjustments	K-16
Inter-Agency Agreement with DGS	K-18
COVID-19 Response.....	K-19
Enhanced Federal Funding	K-20
Retention Stipend Reallocation	K-21
Forensic Diversion.....	K-22
Youth Returning from Out-of-State Foster Care	K-24
Deferred Maintenance	K-25
Funding	K-26

Comparison of Enacted Budget to May Revision FY 2020-21

I. OPERATIONS	Enacted Budget	May Revision	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,888	\$29,855	(\$33)
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	54.0	54.0	0.0
4. Operation Expenditures	\$11,954	\$11,954	\$0
C. Porterville Developmental Center			
1. Population	211	211	0
2. Units	15.0	15.0	0.0
3. Position	1424.3	1425.7	1.4
4. Operation Expenditures	\$182,030	\$182,082	\$53
5. Lease Revenue Bond	\$9,151	\$8,480	(\$671)
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	2.0	2.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$28,955	\$28,958	\$3
E. Ongoing Costs			
1. Position	16.0	16.0	0.0
2. RRDP	2,080	2,080	0
3. Workers' Compensation	28,349	28,349	0
4. Post Closure	1,495	1,495	0
Total Operations Expenditures	\$293,902	\$293,254	(\$648)
II. POLICY			
A. Control Section Adjustments	\$0	(\$20,454)	(\$20,454)
B. Interagency Agreement with DGS	6,284	6,284	0
C. COVID-19 Response	82,628	82,628	0
D. Out of State Foster Youth Home	0	1,991	1,991
Total Policy	\$88,912	\$70,449	(\$18,463)
GRAND TOTAL	\$382,814	\$363,703	(\$19,111)
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$42,478	\$35,416	(\$7,062)
2. General Fund Other	291,383	280,200	(11,183)
Total General Fund	\$333,860	\$315,616	(\$18,244)
B. Reimbursements			
1. Medi-Cal Reimbursements	\$42,478	\$41,677	(\$801)
2. Reimbursements Other	6,284	6,284	0
Total Reimbursements	\$48,762	\$47,961	(\$801)
C. Lottery Education Funds	\$192	\$126	(\$66)
GRAND TOTAL	\$382,814	\$363,703	(\$19,111)

Comparison of Governor's Budget to May Revision FY 2020-21

I. OPERATIONS	Governor's Budget	May Revision	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,855	\$29,855	\$0
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	54.0	54.0	0.0
4. Operation Expenditures	\$11,954	\$11,954	\$0
C. Porterville Developmental Center			
1. Population	211	211	0
2. Units	15.0	15.0	0.0
3. Position	1425.7	1425.7	0.0
4. Operation Expenditures	\$182,082	\$182,082	\$0
5. Lease Revenue Bond	\$8,480	\$8,480	\$0
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	2.0	2.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$28,958	\$28,958	\$0
E. Ongoing Costs			
1. Position	16.0	16.0	0.0
2. RRDP	2,080	2,080	0
3. Workers' Compensation	28,349	28,349	0
4. Post Closure	1,495	1,495	0
Total Operations Expenditures	\$293,254	\$293,254	\$0
II. POLICY			
A. Control Section Adjustments	(\$20,454)	(\$20,454)	\$0
B. Interagency Agreement with DGS	6,284	6,284	0
C. COVID-19 Response	82,628	82,628	0
D. Out of State Foster Youth Home	1,991	1,991	0
Total Policy	\$70,449	\$70,449	\$0
GRAND TOTAL	\$363,703	\$363,703	\$0
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$35,416	\$35,416	\$0
2. General Fund Other	280,200	280,200	0
Total General Fund	\$315,616	\$315,616	\$0
B. Reimbursements			
1. Medi-Cal Reimbursements	\$41,677	\$41,677	\$0
2. Reimbursements Other	6,284	6,284	0
Total Reimbursements	\$47,961	\$47,961	\$0
C. Lottery Education Funds	\$126	\$126	\$0
GRAND TOTAL	\$363,703	\$363,703	\$0

Comparison of Governor's Budget to May Revision FY 2021-22

I. OPERATIONS	Governor's Budget	May Revision	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,642	\$29,642	\$0
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,724	\$11,724	\$0
C. Porterville Developmental Center			
1. Population	231	231	0
2. Units	15.0	15.0	0.0
3. Position	1427.7	1427.7	0.0
4. Operation Expenditures	\$182,010	\$182,010	\$0
5. Lease Revenue Bond	\$9,151	\$9,151	\$0
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	2.0	2.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$28,958	\$28,958	\$0
E. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	2,310	2,310	0
3. Workers' Compensation	28,349	28,349	0
4. Post Closure	1,495	1,495	0
Total Operations Expenditures	\$293,640	\$293,640	\$0
II. POLICY			
A. Control Section Adjustments	\$1,955	\$1,955	\$0
B. Interagency Agreement with DGS	6,449	6,449	0
C. COVID-19 Response	36,746	15,025	(21,721)
D. Retention Stipend Reallocation	1,000	1,000	0
E. Forensic Diversion	341	341	0
F. Out of State Foster Youth Home	3,980	3,980	0
G. Deferred Maintenance	0	5,000	5,000
Total Policy	\$50,471	\$33,750	(\$16,721)
GRAND TOTAL	\$344,111	\$327,390	(\$16,721)
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$25,471	\$25,471	\$0
2. General Fund Other	283,475	266,754	(16,721)
Total General Fund	\$308,946	\$292,225	(\$16,721)
B. Reimbursements			
1. Medi-Cal Reimbursements	\$28,590	\$28,590	\$0
2. Reimbursements Other	6,449	6,449	0
Total Reimbursements	\$35,039	\$35,039	\$0
C. Lottery Education Funds	\$126	\$126	\$0
GRAND TOTAL	\$344,111	\$327,390	(\$16,721)

Comparison of FY 2020-21 to FY 2021-22

I. OPERATIONS	FY 2020-21	FY 2021-22	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,855	\$29,642	(\$213)
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	54.0	52.0	(2.0)
4. Operation Expenditures	\$11,954	\$11,724	(\$230)
C. Porterville Developmental Center			
1. Population	211	231	20
2. Units	15.0	15.0	0.0
3. Position	1425.7	1427.7	2.0
4. Operation Expenditures	\$182,082	\$182,010	(\$72)
5. Lease Revenue Bond	\$8,480	\$9,151	\$671
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	2.0	2.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$28,958	\$28,958	\$0
E. Ongoing Costs			
1. Position	16.0	18.0	2.0
2. RRDP	2,080	2,310	230
3. Workers' Compensation	28,349	28,349	0
4. Post Closure	1,495	1,495	0
Total Operations Expenditures	\$293,254	\$293,640	\$386
II. POLICY			
A. Control Section Adjustments	(\$20,454)	\$1,955	\$22,409
B. Interagency Agreement with DGS	6,284	6,449	165
C. COVID-19 Response	82,628	15,025	(67,603)
D. Retention Stipend Reallocation	0	1,000	1,000
E. Forensic Diversion	0	341	341
F. Out of State Foster Youth Home	1,991	3,980	1,989
G. Deferred Maintenance	0	5,000	5,000
Total Policy	\$70,449	\$33,750	(\$36,699)
GRAND TOTAL	\$363,703	\$327,390	(\$36,313)
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$35,416	\$25,471	(\$9,945)
2. General Fund Other	280,200	266,754	(13,446)
Total General Fund	\$315,616	\$292,225	(\$23,391)
B. Reimbursements			
1. Medi-Cal Reimbursements	\$41,677	\$28,590	(\$13,086)
2. Reimbursements Other	6,284	6,449	165
Total Reimbursements	\$47,961	\$35,039	(\$12,921)
C. Lottery Education Funds	\$126	\$126	\$0
GRAND TOTAL	\$363,703	\$327,390	(\$36,313)

Systemwide Population

FY 2020-21

	Canyon Springs	Fairview	Porterville STP	STAR and CAST	Total
Governor's Budget					
Population, July 1, 2020	56	0	211	35	302
Change (+/-)	<u>0</u>	<u>0</u>	<u>20</u>	<u>0</u>	<u>20</u>
Ending Population, June 30, 2021	56	0	231	35	322
May Revision					
Population, July 1, 2020	56	0	211	35	302
Change (+/-)	<u>0</u>	<u>0</u>	<u>20</u>	<u>0</u>	<u>20</u>
Ending Population, June 30, 2021	<u>56</u>	<u>0</u>	<u>231</u>	<u>35</u>	<u>322</u>
Population Change FY 2020-21	0	0	0	0	0

FY 2021-22

	Canyon Springs	Fairview	Porterville STP	STAR and CAST	Total
Governor's Budget					
Population, July 1, 2021	56	0	231	35	322
Change (+/-)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Population, June 30, 2022	56	0	231	35	322
May Revision					
Population, July 1, 2021	56	0	231	35	322
Change (+/-)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Population, June 30, 2022	<u>56</u>	<u>0</u>	<u>231</u>	<u>35</u>	<u>322</u>
Population Change FY 2021-22	0	0	0	0	0

Canyon Springs Community Facility

BACKGROUND:

Canyon Springs Community Facility (CSCF) opened in December 2000 and is designed to provide residential services, treatment, and training for up to 56 adults who have developmental and intellectual disabilities. There are three Immediate Care Facilities (ICF) units on campus that provide services to assist these individuals to lead more independent, productive and dignified lives. The facility staff focus on the development of the individuals' ability to manage their lives through various treatment/training opportunities such as behavioral supports and replacement behavior teaching, coping skills, life skills, supportive counselling, vocational skills, adult education, recreational skills, interpersonal relationship development, community integration and encouragement of health life choices that lead to healthy, happy and productive lives. CSCF operation expenditures are funded through General Fund, Reimbursements and Lottery Funds.

METHODOLOGY:

There are two types of staffing needed to operate the facility: Unit and Program Support Staff. Unit Staffing includes Clinical and Medical staff that are qualified health care professionals that provide direct patient care services. These staff include, but are not limited to, Physicians and Surgeons, Psychologists, Pharmacists and Nursing. There are 155 Clinical and Medical staff at the facility.

Program Support Staffing may provide direct and/or indirect support services to the individuals. There are many areas that have support staff and these areas include Administration, Personnel, Maintenance, and Food Service. There are 81 Program Support staff at the facility.

FY 2020-21

	Governor's Budget	FY 2020-21	Difference
Positions	236.0	236.0	0.0
Personal Services	\$24,869	\$24,869	\$0
OE&E	<u>\$4,986</u>	<u>\$4,986</u>	<u>\$0</u>
Total	\$29,855	\$29,855	\$0

FY 2021-22

	FY 2020-21	FY 2021-22	Difference
Positions	236.0	236.0	0.0
Personal Services	\$24,869	\$24,733	(\$136)
OE&E	<u>\$4,986</u>	<u>\$4,909</u>	<u>(\$77)</u>
Total	\$29,855	\$29,642	(\$213)

Canyon Springs Community Facility

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$29,855	\$29,855	\$0
GF	\$14,925	\$14,925	\$0
Reimbursements	\$14,867	\$14,867	\$0
Lottery Funds	\$63	\$63	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$29,855	\$29,643	(\$213)
GF	\$14,925	\$14,795	(\$130)
Reimbursements	\$14,867	\$14,785	(\$82)
Lottery Funds	\$63	\$63	\$0

Fairview Developmental Center

BACKGROUND:

Fairview Developmental Center (FDC) officially opened on January 5, 1959, under the name of Fairview State Hospital, located in Costa Mesa, Orange County, California. FDC is now in warm-shutdown until a site assessment is completed to inform the disposition of the property. The site assessment has been delayed because of campus activities supporting the state's COVID-19 response.

METHODOLOGY:

The warm shut-down expenditures include personal services and operating expenses and equipment (OE&E) for 54 staff. The Department is continuing to operate FDC in warm-shutdown in FY 2020-21 and FY 2021-22.

FY 2020-21

	Governor's Budget	FY 2020-21	Difference
Positions	54.0	54.0	0.0
Personal Services	\$8,386	\$8,386	\$0
OE&E	<u>\$3,568</u>	<u>\$3,568</u>	<u>\$0</u>
Total	\$11,954	\$11,954	\$0

FY 2021-22

	FY 2020-21	FY 2021-22	Difference
Positions	54.0	52.0	(2.0)
Personal Services	\$8,386	\$8,167	(\$219)
OE&E	<u>\$3,568</u>	<u>\$3,557</u>	<u>(\$11)</u>
Total	\$11,954	\$11,724	(\$230)

REASON FOR CHANGE:

There is no change in both years.

Fairview Developmental Center**EXPENDITURES:**

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$11,954	\$11,954	\$0
GF	\$11,954	\$11,954	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$11,954	\$11,724	(\$230)
GF	\$11,954	\$11,724	(\$230)

Porterville Developmental Center

BACKGROUND:

Porterville Developmental Center (PDC) opened in 1953 in Tulare County to provide person-centered support and treatment programs to the individuals served, embracing change and opportunities while continuing partnerships with stakeholders. Currently, through its Secure Treatment Program (STP), the facility provides 24-hour residential services and medical treatment for individuals 18 years or older with developmental disabilities who are incompetent to stand trial. The STP is 100 percent General Fund as these services are not eligible to receive any federal assistance.

METHODOLOGY:

- There are four types of staffing needed to effectively operate PDC: Unit Staffing: consist of 830.8 staff, include but not limited to, Physicians and Surgeons, Psychologists, Pharmacists, Nursing, and various support staff.
- Program Support: consist of 517.3 staff who provide direct and/or indirect support services to the individuals. Areas that support staff work, include but are not limited to, Administration, Personnel, Office of Protective Service, Maintenance and Food Services, etc.
- Intensive Behavioral Treatment Residence (IBTR): consist of 75.5 staff who serves individuals that require a highly structured treatment setting. Services are provided for both male and female individuals whose functional level of intellectual disability ranges from moderate to borderline.
- Forensic Team: consist of two Senior Psychologists who work with individuals that are in the mild to moderate range of intellectual disability, have come in contact with the legal system, and have been determined to be incompetent to stand trial. The Forensic Team is to assess these individuals' ability for trials. These resources were requested in the 2020 May Revision as part of a coordinated response to the *Stiavetti v. Ahlin* (2016) lawsuit, and to lower the number of individuals on the waiting list for admission to Porterville's STP.

FY 2020-21

	Governor's Budget	FY 2020-21	Difference
Positions	1,425.7	1,425.7	0.0
Personal Services	\$159,276	\$159,276	\$0
OE&E	<u>\$22,806</u>	<u>\$22,806</u>	<u>\$0</u>
Total	\$182,082	\$182,082	\$0
Lease Revenue Bond	<u>\$8,480</u>	<u>\$8,480</u>	<u>\$0</u>
Grand Total	\$190,562	\$190,562	\$0

Porterville Developmental Center**FY 2021-22**

	FY 2020-21	FY 2021-22	Difference
Positions	1,425.7	1,427.7	2.0
Personal Services	\$159,276	\$159,272	(\$4)
OE&E	<u>\$22,806</u>	<u>\$22,738</u>	<u>(\$68)</u>
Total	\$182,082	\$182,010	(\$72)
Lease Revenue Bond	<u>\$8,480</u>	<u>\$9,151</u>	<u>\$671</u>
Grand Total	\$190,562	\$191,161	\$599

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$190,562	\$190,562	\$0
GF	\$190,499	\$190,499	\$0
Lottery Funds	\$63	\$63	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$190,562	\$191,161	\$599
GF	\$190,499	\$191,098	\$599
Lottery Funds	\$63	\$63	\$0

Stabilization Training Assistance Reintegration (STAR) and Crisis Assessment Stabilization Teams (CAST)

BACKGROUND:

The State-Operated Stabilization Training Assistance Reintegration (STAR) homes are committed to affording each consumer a safe and nurturing environment where opportunities for growth, realization, self-expression and goal achievement is celebrated. The homes strive to empower individuals to be self-reliant as their skills, strengths, perseverance and abilities allow so they can transition from crisis stabilization services to long-term community living. STAR services are provided based on an individualized Needs and Services Plan (NSP), which is developed through a team approach using a person-centered planning process that supports the consumer's positive control and self-determination of their own lives. Depending on the supports identified in the NSP, services may include health care, education, work training, employment, self-help training, leisure activities, behavior management, and socialization skills development.

State-operated mobile crisis services provided by the Crisis Assessment Stabilization Team (CAST) is designed to provide partnerships, assessments, training and support to individuals continuing to experience crises after regional centers have exhausted all other available crisis services in their catchment areas. CAST also serves individuals who are at risk of having to move from their family home or out of home placement and admitted to a more restrictive setting.

METHODOLOGY:

The staffing needed to operate two programs, STAR and CAST, includes Psychologists, Behavior Specialists and Nursing. There are 199.75 staff at the STAR homes; there are 21.75 CAST staff in the program. CAST staffing may provide direct and/or indirect support services to the individuals who are not able to use other resources.

FY 2020-21

	Governor's Budget	FY 2020-21	Difference
Positions	221.5	221.5	0.0
Personal Services	\$24,013	\$24,013	\$0
OE&E	<u>\$4,945</u>	<u>\$4,945</u>	<u>\$0</u>
Total	\$28,958	\$28,958	\$0

Stabilization Training Assistance Reintegration (STAR) and Crisis Assessment Stabilization Teams (CAST)

FY 2021-22

	FY 2020-21	FY 2021-22	Difference
Positions	221.5	221.5	0.0
Personal Services	\$24,013	\$24,013	\$0
OE&E	<u>\$4,945</u>	<u>\$,945</u>	<u>\$0</u>
Total	\$28,958	\$28,958	\$0

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$28,958	\$28,958	\$0
GF	\$18,579	\$18,579	\$0
Reimbursements	\$10,379	\$10,379	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$28,958	\$28,958	\$0
GF	\$18,579	\$18,601	\$22
Reimbursements	\$10,379	\$10,357	(\$22)

Ongoing Costs

BACKGROUND:

Regional Resource Development Project (RRDP)

The Regional Resource Development Project (RRDP) was initially piloted in 1987 and authorized by the [Lanterman Developmental Disabilities Services Act](#) in September 2002. The RRDPs are mainly designed to assist individuals in the transition process from the state operated facilities to community living. This includes:

- Assist consumers and their interdisciplinary planning teams with planning and transition from State Operated Facilities (SOF) to community living alternatives and provide post placement follow up.
- Assess consumers experiencing difficulty in their community environment and identify possible supports to preserve their community living arrangements.
- Arrange for and conduct an assessment of individuals in need of acute crisis services following an initial review and notification by the regional centers.
- Assist in the transition to, or preservation of, community living arrangements by providing focused training on specific needs to consumers, families, service providers and regional center staff.
- Communicate with the regional centers regarding the development of the annual Community Placement Plan.
- There is a total of 16 RRDP staff between the Northern and Southern regions. The North RRDP staff provides continued support to the individuals who transitioned from the Sonoma's Developmental Center into community living, and the individuals that will transition from North Stabilization, Training, Assistance and Reintegration (STAR) homes. The South RRDP provides continued support to the individuals who transitioned from Fairview's Developmental Center, and individuals who will transition from the South STAR homes, Desert STAR and Canyon Springs Community Facility.

Workers' Compensation

The Department continues to pay for Workers' Compensation claims from open and closed facilities. Some of these closed facilities include: (1) Agnews, (2) Sierra Vista, (3) Stockton, (4) Camarillo, (5) Lanterman, (6) Sonoma, and (7) Fairview. The State Compensation Insurance Fund (SCIF) manages claims, bills the Department monthly for both Temporary and Permanent disability benefits, supplemental job displacement benefits, actual medical costs, any Compromise and Release settlement payments, and the SCIF service fee.

Post Closure

Lump sum funding provided in previous budgets was unused by SOF employees who joined the Community State Staff Program (CSSP) instead of separating or transferring.

Ongoing Costs

METHODOLOGY:

RRDP expenditures are developed using the California Department of Human Resources (CalHR) state classifications' salary, staff benefits, and average operating expenses.

Workers' Compensation expenditures are based on the analysis of several complete fiscal years to determine baseline expenditures related to Workers' Compensation costs throughout the SOF program.

Post Closure expenditures are based on an analysis of the lump sum for accrued leave balances for employees over the age of 50, combined with the average number of separations over several fiscal years.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Regional Resource Development Project change:	\$2,080	\$2,310
Workers' Compensation change:	\$28,349	\$28,349
Post Closure change:	\$1,495	\$1,495
TOTAL EXPENDITURES:	\$31,924	\$32,154

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$31,924	\$31,924	\$0
GF	\$31,708	\$31,708	\$0
Reimbursements	\$216	\$216	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$31,924	\$32,154	\$230
GF	\$31,708	\$0	\$230
Reimbursements	\$216	\$216	\$0

Control Section Adjustments

BACKGROUND:

Departmental appropriations are adjusted annually to reflect the State's costs through the executive order process. Control Section 3.60 adjusts the Department's appropriation to reflect the State's share of retirement costs. Control Section 3.90 adjusts the Department's appropriation to account for the Personal Leave Program (PLP) 2020.

Employee Compensation adjustments approved through the collective bargaining process, and for employees excluded from collective bargaining as approved by the California Department of Human Resources, are referred to as Item 9800 adjustments. Item 9800 contains general salary increases, shift differentials, retention incentives, and various changes to the employers' share of staff benefits.

METHODOLOGY:

- Control Section 3.60 Retirement Adjustments
Based on an analysis of positions, employee retirement categories, and retirement contribution amounts, the Department is reflecting lower expenditures of \$3.4 million (\$3.1 million GF) in FY 2020-21 and a corresponding decrease of \$3.3 million (\$3.0 million GF) in FY 2021-22 for retirement adjustments.
- Control Section 3.90 PLP 2020
The Department is reflecting a savings of \$22.6 million (\$18.8 million GF) in FY 2020-21 for PLP 2020.
- Item 9800 Employee Compensation Adjustments for the Department is reflecting an increase of \$5.6 million (\$5.0 million GF) in FY 2020-21 and \$5.3 million (\$4.7 million GF) in FY 2021-22.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Control Section 3.60 Retirement	(\$3,417)	(\$3,305)
Control Section 3.90 PLP 2020	(\$22,594)	\$0
Item 9800 Employee Compensation	\$5,557	\$5,260
TOTAL CONTROL SECTION ADJUSTMENTS	(\$20,454)	\$1,955

Control Section Adjustments

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	(\$20,454)	(\$20,454)	\$0
GF	(\$16,924)	(\$16,924)	\$0
Reimbursements	(\$3,530)	(\$3,530)	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	(\$20,454)	\$1,955	\$22,409
GF	(\$16,924)	\$1,723	\$18,647
Reimbursements	(\$3,530)	\$232	\$3,762

Inter-Agency Agreement (IAA) with DGS

BACKGROUND:

In April 2019, the Department of General Services (DGS) proposed an inter-agency agreement (IAA) to reimburse the Department for the costs associated with operations, maintenance, and partial decommissioning at Sonoma Developmental Center beginning July 1, 2019. The staff needed are those who manage the water treatment plant, operations and maintenance. The agreement is a three-year contract starting in FY 2019-20 and ending in FY 2021-22.

METHODOLOGY:

In FY 2020-21, the total expenditures for the facility are \$6.3 million comprised of the following: \$4.4 million in personal services and \$1.9 million for Operating Expenses and Equipment (OE&E).

In FY 2021-22, the total expenditures for the facility are \$6.4 million comprised of the following: \$4.4 million in personal services and \$2.0 million for OE&E.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Personal Services	\$4,382	\$4,382
Operating Expenses and Equipment	\$1,902	\$2,067
TOTAL	\$6,284	\$6,449

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$6,284	\$6,284	\$0
GF	\$0	\$0	\$0
Reimbursements	\$6,284	\$6,284	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$6,284	\$6,449	\$165
GF	\$0	\$0	\$0
Reimbursements	\$6,284	\$6,449	\$165

COVID-19 Response

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California in response to the global COVID-19 pandemic. As a result of COVID-19, the Department has experienced increased costs. These costs include the development of "surge sites" to serve consumers diagnosed with, exposed to, or at high risk of COVID-19.

The Budget Act of 2020 included funding to support short-term care for up to 100 beds at Fairview Developmental Center (FDC) and Porterville Developmental Center (PDC) to address the unique needs of individuals who have intellectual/developmental disabilities (I/DD). FY 2021-22 includes funding for 20 beds at FDC and 10 beds at PDC for six months.

METHODOLOGY:

The Department will contract with outside vendors to provide services to individuals who have I/DD and those in the community who are COVID-positive. The contract costs will cover personal services along with operating equipment and expenses expenditures.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Fairview Developmental Center	\$53,708	\$10,819
Porterville Developmental Center	\$28,920	\$4,206
TOTAL EXPENDITURES	\$82,628	\$15,025

REASON FOR CHANGE:

There is no change in FY 2020-21.

The change from FY 2020-21 to FY 2021-22 assumes continued but lessening impact as a result of the State of Emergency.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$82,628	\$82,628	\$0
GF	\$66,734	\$66,734	\$0
Reimbursements	\$15,894	\$15,894	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$82,628	\$15,025	(\$67,603)
GF	\$66,734	\$15,025	(\$51,709)
Reimbursements	\$15,894	\$0	(\$15,894)

Enhanced Federal Funding

BACKGROUND:

On March 18, 2020 the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to Canyon Springs Community Facility, Stabilization Training Assistance Reintegration (STAR) homes and Crisis Assessment Stabilization Team (CAST).

The increase in federal reimbursements is assumed through December 31, 2021.

METHODOLOGY:

The FY 2020-21 enhanced FMAP is estimated based on the additional 6.2 percent federal funds participation for eligible costs. The increased federal funds will result in a corresponding General Fund savings.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
GF	(\$3,130)	(\$1,559)
Reimbursement	\$3,130	\$1,559
TOTAL ENHANCED FEDERAL FUNDING	\$0	\$0

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$3,130)	(\$3,130)	\$0
Reimbursements	\$3,130	\$3,130	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$3,130)	(\$1,559)	(\$1,571)
Reimbursements	\$3,130	\$1,559	\$1,571

Retention Stipend Reallocation

BACKGROUND:

The 2016 Enacted Budget appropriated \$20.1 million to provide developmental center employees at Sonoma, Fairview, and Porterville General Treatment Area with a retention stipend during the closures in order to provide continuity of habilitation and treatment services and ensure the health and safety of the clients. This funding was provided to the Department with five-year appropriation authority to allow payment upon separation from the closed developmental center facility. Sonoma and Fairview Developmental Centers have closed but are still in warm-shutdown, creating a delay in final separation for the remaining staff.

The Department estimates warm-shutdown staff will continue working through June 30, 2022. The stipend appropriation is reverting on December 31, 2021; therefore, the Department is requesting a one-year re-appropriation and two-year liquidation.

METHODOLOGY:

The fiscal is determined based on the number of remaining staff and the current stipend owed for staying through the warm-shutdown.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$1,000	\$1,000
GF	\$0	\$1,000	\$1,000
Reimbursements	\$0	\$0	\$0

Forensic Diversion

BACKGROUND:

The Department proposes \$341,000 General Fund (GF) and two Senior Psychologist Specialist positions who will work with the existing forensic team at Porterville Developmental Center (PDC) to implement the expanded forensic diversion approach for individuals with Intellectual and Developmental Disabilities (IDD) who have been charged with a felony and are active in the criminal justice system. The Department currently oversees the coordination and delivery of services to approximately 360,000 individuals with developmental disabilities through a network of 21 regional centers and state-operated facilities. Within this group, there are individuals who have become involved in the criminal justice system or have a high potential for involvement in criminal conduct statewide.

These individuals have been charged with a criminal offense and remain in a jail setting pending their court hearing, placement in a competency program, or sentencing to a correctional facility setting. Alternatively, individuals can be court ordered to a facility such as prison, a juvenile justice facility, or PDC.

While the Department operates PDC and provides competency training and treatment in a safe and responsible manner, individuals meeting specified requirements may be safely served in a community setting when a court determines that the person is not a risk to the safety of the public or themselves.

Further, this will allow the services to move from a criminal justice approach to community clinical programing for a more effective intervention for these individuals.

METHODOLOGY:

Two Senior Psychologist Specialists at a cost of \$341,000 to expand the current forensic team within PDC in FY 2021-22. The forensic team continues to be necessary to fulfill the requirement to effectively, and in a timely order, navigate individual cases of those committed for competency training pursuant to Penal Code §1370.1.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Personal Services	\$0	\$329
Operating Expense and Equipment	\$0	\$12
TOTAL	\$0	\$341

REASON FOR CHANGE:

There is no change in both years.

Forensic Diversion**EXPENDITURES:**

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$341	\$341
GF	\$0	\$341	\$341
Reimbursements	\$0	\$0	\$0

Youth Returning from Out-of-State Foster Care

BACKGROUND:

Historically, when youth with complex behavioral, medical, and mental health needs in the foster care system cannot be served by in-state placement, counties may place youth in out-of-state facilities. The Department of Social Services conducted a reassessment of these facilities and found violations of California licensure standards resulting in the decertification of facilities. Approximately 10 youths in the decertified out-of-state facilities are anticipated to be eligible for Lanterman Act services and require therapeutic residential environments to support complex developmental and behavioral needs.

METHODOLOGY:

The expenditures were developed based on five youths receiving supports in Stabilization, Training, Assistance and Reintegration (STAR) program.

The Budget includes \$2.0 million (\$1.3 million GF) in FY 2020-21 and \$4.0 million (\$2.5 million GF) in FY 2021-22.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2020-21	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$1,991	\$1,991	\$0
GF	\$1,270	\$1,270	\$0
Reimbursements	\$720	\$720	\$0

FY 2021-22	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,991	\$3,980	\$1,989
GF	\$1,270	\$2,540	\$1,270
Reimbursements	\$720	\$1,440	\$720

Deferred Maintenance

BACKGROUND:

Funding for deferred maintenance has not been stable or predictable. In the long term, failure to invest adequately in ongoing maintenance presents growing risks to the State Operated Facilities. Deferred Maintenance funds are allocated to correct infrastructure deficiencies and ongoing maintenance for repairs to ensure that the facility is in acceptable condition and to preserve the life of the facility. Departments are allocated Deferred Maintenance funds to address their infrastructure needs.

METHODOLOGY:

In FY 2021-22, the Department is allocated \$5.0 million General Fund for Deferred Maintenance projects at the Porterville and Fairview Developmental Center.

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
Porterville Developmental Center	\$0	\$4,500
Fairview Developmental Center	\$0	\$500
TOTAL EXPENDITURES	\$0	\$5,000

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

<u>FY 2020-21</u>	<u>Governor's Budget</u>	<u>FY 2020-21</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

<u>FY 2021-22</u>	<u>FY 2020-21</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$5,000	\$5,000
GF	\$0	\$5,000	\$5,000
Reimbursements	\$0	\$0	\$0

General Fund

BACKGROUND:

The General Fund (GF) is used for two purposes: (1) as a match to Title XIX Reimbursement and (2) to pay for non-Medi-Cal eligible resources/services for residents in a state-operated facility (SOF).

METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>FY 2020-21</u>	<u>FY 2021-22</u>
• General Fund Match		\$35,416	\$25,471
This funding is the matching GF to the Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid).			
	GF%		
Non-Proposition 98	43.80%	35,416	25,471
• General Fund Other		280,200	266,754
This funding is to pay for services provided to SOF residents who are not eligible for federal or other reimbursement funding.			
Lease Revenue Debt Service Bond		8,480	9,151
Proposition 98		305	305
HIPAA		180	180
Other General Funds		271,235	257,118
TOTAL GENERAL FUND:		\$315,616	\$292,225

Reimbursements

BACKGROUND:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

METHODOLOGY:

The Department's appropriation for reimbursements consists of three components: (1) Title XIX/Medi-Cal, (2) federal reimbursements, and (3) other reimbursements. The detail of these three components are as follows:

		<u>FY 2020-21</u>	<u>FY 2021-22</u>
• Title XIX/Medi-Cal		\$31,955	\$16,074
Title XIX Medi-Cal Reimbursement funds received from the Department of Health Care Services for eligible services provided to State Operated Facility residents.			
	Reimbursement%		
Non-Proposition 98	56.20%	31,955	16,074
• Federal Reimbursements		9,722	12,516
The Department received approval for federal reimbursements for the STAR homes and services provided by CAST to individuals in a crisis situation.			
	Reimbursement%		
HCBS Waiver	56.20%	8,290	10,864
1915(i) State Plan Amendment	56.20%	1,432	1,652
• Other Reimbursements		6,284	6,449
TOTAL Reimbursements:		\$47,961	\$35,039

Lottery

BACKGROUND:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

METHODOLOGY:

The Department's appropriation from the Lottery Education Fund is as follows:

	<u>FY 2020-21</u>	<u>FY 2021-22</u>
<ul style="list-style-type: none">Lottery Education Fund The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in a state-operated facility. Funds received are based on average daily attendance.	\$126	\$126
TOTAL Lottery:	\$126	\$126