

**EARLY START MONITORING REPORT SUMMARY  
HARBOR REGIONAL CENTER**

DATE OF REVIEW: JUNE 7-11, 2021  
FINAL REPORT DATE: AUGUST 23, 2021

<b>ITEMS AT 100% COMPLIANCE</b>				
	REQUIREMENT	RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE
R1	Obtained written parental consent for evaluation/assessment. 34 Code of Federal Regulations (CFR), §303.321(a) and 34 CFR, §303.420(a)(2)	40	40	100%
R2	Initial evaluation determined the infant's or toddler's level of functioning in all five developmental domains, including hearing, vision, and health. 34 CFR, §303.21(a)(1) and 34 CFR, §303.321	40	40	100%
R5	Initial Individualized Family Services Plan (IFSP) meeting was conducted within the 45-day timeline. 34 CFR, §303.342(a)	40	40	100%
R8	Early intervention services are provided in the natural environment or the IFSP contains an appropriate justification when services are not provided in a natural environment. 34 CFR, §303.344(d)(1)(ii)	40	40	100%
T4	The Local Education Agency (LEA) was invited to the transition conference. 34 CFR, §303.209(c)(1)	40	40	100%

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**CLEARED FINDINGS**

Findings cleared prior to the issuance of the report. No further action is required.

REQUIREMENT		ORIGINAL RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	ORIGINAL COMPLIANCE PERCENTAGE	SUBSEQUENT RECORDS IN COMPLIANCE	TOTAL SUBSEQUENT RECORDS REVIEWED	SUBSEQUENT COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
								CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R4	Written notice of the IFSP meeting. 34 CFR, §303.342(d)(2)	38	40	95.0%	3	3	100.0%	X		X	
T1	The IFSP included transition steps and services. 34 CFR, §303.209(d) & (e); 34 CFR, §303.344(h)(1) & (2)	38	40	95.0%	3	3	100.0%	X		X	
T3	Transition Conference occurred in a timely manner. 34 CFR, §303.209(c)(1)	38	40	95.0%	3	3	100.0%	X		X	

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### NONCOMPLIANCE

Harbor Regional Center must clear findings on both the child-specific and systemic levels as soon as possible but no later than one year from the final report date.

REQUIREMENT		RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
					CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R3	Initial assessment for service planning identified unique strengths and service needs in all five developmental domains, including hearing, vision, and health, prior to the initial IFSP.  34 CFR, §303.21(a)(1) and 34 CFR, §303.321	33	40	82.5%		X		X
R6	Timely provision of services. (45 days of signed IFSP)  34 CFR, §303.344(f)(1)	31	40	77.5%		X		X
R7	The IFSP included the frequency and length for all early intervention services.  34 CFR, §303.344(d)	27	40	67.5%		X		X
T2	Timely referral to LEA.  34 CFR, §303.209(b) and 34 CFR, §303.401(d)	36	40	90.0%	X			X
O1	IFSP includes services necessary to meet needs of child & family.  34 CFR, §303.344(d)(1)	39	40	97.5%	X			X
O4	Location of all services is specified on the IFSP.  34 CFR, §303.344(d)(1)(iii)	33	40	82.5%		X		X
O5	Periodic Review completed when early intervention services added or changed.  34 CFR, §303.342(b)(1)(ii)	39	40	97.5%	X			X

Key:

R- "Regular" corresponds to the Regular Review Tool item, followed by the number of the Regular Review Tool item (ex.- R1 refers to the Regular Review Tool item number 1).

T- "Transition" corresponds to the Transition Review Tool item, followed by the number of the Transition Review Tool item (ex.- T3 refers to the Transition Review Tool item number 3).

O- "Other" refers to an additional finding, not included in the Regular or Transition review tools.

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**RECOMMENDATIONS**

During the monitoring review, the Department of Developmental Services (DDS) reviewed multiple records where:

- parents' concerns related to the child's developmental needs with feeding and autism were not addressed prior to the initial IFSP meeting;
- families were not afforded the opportunity to participate in all decisions pertaining to their child's services;
- services did not begin as soon as possible after consent was obtained;
- frequency, length, and location were missing on the IFSP; and,
- other required services funded by the parents' insurance or other sources were not documented on the IFSP.

Considering what is outlined above, DDS recommends HRC to align practices/procedures related to:

- conducting assessments to determine service needs,
- participants that need to be included in the development of the IFSP,
- timely provision of services, and,
- documenting frequency, length, and location of provision of services on the IFSP;

as required by: 34, CFR, §§303.310, 303.321, 303.340, 303.343, 303.344(d)(1)(i), 303.344 (e)(1), 303.344 (f)(1); Government Code (GC)§95016; and California Code of Regulations (CCR) §§52084 and 52106

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**OUTSTANDING INDIVIDUAL FINDINGS (PRONG 1)**

#	UCI	Item 3 Assessment	Item 6 Timely Service	Item 7 Frequency/Length	Other 4 Service Location on IFSP
4	XXXXXX	X			
5	XXXXXX	X			
6	XXXXXX			X	
7	XXXXXX			X	
21	XXXXXX		X		
23	XXXXXX			X	X
25	XXXXXX			X	X