

**EARLY START MONITORING REPORT SUMMARY  
REGIONAL CENTER OF ORANGE COUNTY**

DATE OF REVIEW: AUGUST 16–20, 2021  
FINAL REPORT DATE: NOVEMBER 15, 2021

<b>ITEMS AT 100% COMPLIANCE</b>				
	<b>REQUIREMENT</b>	<b>RECORDS IN COMPLIANCE</b>	<b>TOTAL RECORDS REVIEWED</b>	<b>COMPLIANCE PERCENTAGE</b>
R1	Obtained written parental consent for evaluation/assessment. 34 Code of Federal Regulations (CFR), §303.321(a) and 34 CFR, §303.420(a)(2)	45	45	100%
R2	Initial evaluation determined the infant's or toddler's level of functioning in all five developmental domains, including hearing, vision, and health. 34 CFR, §303.21(a)(1) and 34 CFR, §303.321	45	45	100%
R3	Initial assessment for service planning identified unique strengths and service needs in all five developmental domains, including hearing, vision, and health, prior to the initial Individualized Family Services Plan (IFSP). 34 CFR, §303.21(a)(1) and 34 CFR, §303.321	45	45	100%
R7	The IFSP included the frequency and length for all early intervention services. 34 CFR, §303.344(d)	45	45	100%

Key:

R- "Regular" corresponds to the Regular Review Tool item, followed by the number of the Regular Review Tool item (ex. - R1 refers to the Regular Review Tool item number 1).

T- "Transition" corresponds to the Transition Review Tool item, followed by the number of the Transition Review Tool item (ex. - T3 refers to the Transition Review Tool item number 3).

O- "Other" refers to an additional finding, not included in the Regular or Transition review tools.

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**CLEARED FINDINGS**

Findings cleared prior to the issuance of the report. No further action is required.

REQUIREMENT		ORIGINAL RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	ORIGINAL COMPLIANCE PERCENTAGE	SUBSEQUENT RECORDS IN COMPLIANCE	TOTAL SUBSEQUENT RECORDS REVIEWED	SUBSEQUENT COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
								CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R4	Written notice of the IFSP meeting. 34 CFR, §303.342(d)(2)	44	45	97.78%	3	3	100%	X		X	
T1	The IFSP included transition steps and services. 34 CFR, §303.209(d) & (e); 34 CFR, §303.344(h)(1) & (2)	43	45	95.56%	3	3	100%	X		X	

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**NONCOMPLIANCE**

Regional Center of Orange County must clear findings on both the child-specific and systemic levels as soon as possible but no later than one year from the final report date.

REQUIREMENT		RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)		PRONG 2 (SYSTEMIC)	
					CLEARED	OUTSTANDING	CLEARED	OUTSTANDING
R5	Initial IFSP meeting was conducted within the 45-day timeline. 34 CFR, §303.342(a)	26	45	57.78%	X			X
R6	Timely provision of services. (45 days of signed IFSP) 34 CFR, §303.344(f)(1)	43	45	95.56%	X			X
R8	Early intervention services are provided in the natural environment or the IFSP contains an appropriate justification when services are not provided in a natural environment. 34 CFR, §303.344(d)(1)(ii)	37	45	82.22%		X		X
O5	Periodic Review completed when early intervention services added or changed. 34 CFR, §303.342(b)(1)(ii)	42	45	93.33%		X		X
T2	Timely referral to Local Educational Agency (LEA). 34 CFR, §303.209(b) and 34 CFR, §303.401(d)	40	45	88.89%	X			X
T3	Transition Conference occurred in a timely manner. 34 CFR, §303.209(c)(1)	31	45	68.89%	X			X
T4	LEA was invited to the transition conference. 34 CFR, §303.209(c)(1)	32	45	71.11%	X			X

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**RECOMMENDATIONS**

During the monitoring review, the Department of Developmental Services (DDS) reviewed multiple records where:

- Initial IFSP meeting was not completed within 45 days from the actual date RCOC received the referral of the child;
- services were being provided outside of the child’s natural environment;
- referral to the LEA was only sent when parental consent was obtained; and,
- LEA was not invited to the Transition Conference.

Considering what is outlined above, DDS recommends RCOC to align practices/procedures related to:

- Documenting initial referral date for early intervention services;
- timely initial IFSP;
- services being provided in a natural environment;
- referral to the LEA; and,
- transition conference requirements;

as required by 34 CFR §§303.209 (b)(c)(1), 303.310(1), 303.401(d), 303.342(a), 303.344(d)(1)(ii), and Title 17, California Code of Regulations §§52000(b)(44), 52060, 52102(a), 52106 (b)(6), and 52112.

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**OUTSTANDING INDIVIDUAL FINDINGS (PRONG 1)**

#	UCI	Item 8 Natural Environment	Other 5 Periodic Review
3	XXXXXX	X	
4	XXXXXX	X	
7	XXXXXX	X	
10	XXXXXX	X	
16	XXXXXX	X	
18	XXXXXX	X	
26	XXXXXX	X	
29	XXXXXX		X
39	XXXXXX	X	