

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2022-23 GOVERNOR'S BUDGET



STATE OF CALIFORNIA

JANUARY 2022

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DEPARTMENT OF DEVELOPMENTAL SERVICES 2022 GOVERNOR'S BUDGET HIGHLIGHTS

The Department of Developmental Services (Department) is responsible for administering the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act provides for the coordination and provision of services and supports to enable people with intellectual and developmental disabilities to lead more independent, productive, and integrated lives. Additionally, the Early Start Program provides services to infants and toddlers at risk of having a developmental disability. The Department carries out its responsibilities through contracts with 21 community-based, non-profit corporations known as regional centers, as well as through state-operated homes and facilities.

The number of individuals served by regional centers (consumers) is expected to be 386,431 in the current year and increase to 407,634 in fiscal year (FY) 2022-23. In addition, 322 individuals are projected to be served in state-operated facilities as of July 1, 2022.

The Governor's Budget continues to support the needs of consumers during the public health crisis and reflects the need for increased supports in specified service categories. The Governor's Budget also proposes new policies to continue efforts to improve service access and to achieve better outcomes and consumer experiences.

2022 GOVERNOR'S BUDGET SUMMARY

The Governor's Budget includes \$12.4 billion total funds (TF) (\$7.5 billion General Fund [GF]) for FY 2022-23; a net increase of \$1.5 billion TF (\$1.2 billion GF) over the updated FY 2021-22 budget, or a 13.8 percent TF increase.

In addition to caseload and utilization updates, the proposed FY 2022-23 funding supports the following new and updated items:

- Home and Community-Based Services (HCBS) American Rescue Plan (ARPA) Act (\$1.6 billion TF, \$1.1 billion ARPA): The Budget includes approximately \$1.1 billion in HCBS funding made available by ARPA through FY 2023-24. The funding is allocated to six initiatives: Service Provider Rate Reform, Social Recreation and Camp Services, Language Access and Cultural Competency, Coordinated Family Support Services, Enhanced Community Integration for Children and Adolescents, and Modernization of Developmental Services Information Technology Systems.
- Early Start – Part C to B Transitions (\$65.5 million TF, \$45.1 million GF): The Budget includes funding for six headquarters positions to enhance oversight and outcomes of services for children and their families. In addition, funding is included to reduce caseload ratios for children birth through age five, provide technical support for service coordinators, and promote inclusive preschool options.

- Communications Assessments for Consumers Who Are Deaf (\$15 million TF, \$9 million GF): One-time funding to complete communications assessments for individuals who are deaf or hard of hearing to improve services. Funding includes \$700,000 to contract with an individual or entity to advise the Department on the most appropriate assessment tools and services.
- Subminimum Wage Phaseout (\$8.4 million TF, \$5.1 million GF): The Budget includes funding for one headquarters position and funding for a three-year pilot of a service model focused on career readiness for consumers exiting WAP or secondary education.
- Enrolling Vendors as Medicaid Providers (\$550,000 GF, \$400,000 GF ongoing): Funding supports screening activities for regional centers and vendors, staffing to coordinate screening, and contract resources to conduct the federal database checks.
- Fairview and Sonoma Developmental Centers (\$18.2 million TF, \$11.7 million GF): The Governor's Budget proposes an extension of the warm shutdown funding for Sonoma Developmental Center and Fairview Developmental Center.

Program Highlights*(Dollars in Thousands)*

	FY 2021-22*	FY 2022-23	Difference
Community Services Program			
Regional Centers	\$10,387,266	\$11,918,702	\$1,531,436
Total, Community Services	\$10,387,266	\$11,918,702	\$1,531,436
General Fund	\$5,943,001	\$7,131,876	\$1,188,875
Program Development Fund	\$204	\$204	\$0
Developmental Disabilities Services Account	\$150	\$150	\$0
Federal Trust Fund	\$84,798	\$54,063	(\$30,735)
Reimbursements	\$4,130,909	\$4,099,188	(\$31,721)
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$138,850	\$382,700	\$243,850
HCBS ARPA Reimbursements	\$88,614	\$249,781	\$161,167
State Operated Facilities Program			
Personal Services	\$266,915	\$267,397	\$482
Operating Expense & Equipment	\$68,144	\$48,123	(\$20,021)
Total, State Operated Facilities	\$335,059	\$315,520	(\$19,539)
General Fund	\$298,355	\$281,890	(\$16,465)
Lottery Education Fund	\$130	\$130	\$0
Reimbursements	\$36,574	\$33,500	(\$3,074)
Headquarters Support			
Personal Services	\$91,864	\$95,634	\$3,770
Operating Expense & Equipment	\$75,093	\$39,867	(\$35,226)
Total, Headquarters Support	\$166,957	\$135,501	(\$31,456)
General Fund	\$86,751	\$80,664	(\$6,087)
Federal Trust Fund	\$2,742	\$2,787	\$45
Program Development Fund	\$425	\$425	\$0
Reimbursements	\$50,278	\$44,864	(\$5,414)
Mental Health Services Fund	\$511	\$511	\$0
HCBS ARPA	\$23,500	\$5,000	(\$18,500)
HCBS ARPA Reimbursements	\$2,750	\$1,250	(\$1,500)
Total, All Programs	\$10,889,282	\$12,369,723	\$1,480,441
Total Funding			
General Fund	\$6,328,107	\$7,494,430	\$1,166,323
Federal Trust Fund	\$87,540	\$56,850	(\$30,690)
Lottery Education Fund	\$130	\$130	\$0
Program Development Fund	\$629	\$629	\$0
Developmental Disabilities Services Account	\$150	\$150	\$0
Reimbursements	\$4,217,761	\$4,177,552	(\$40,209)
Mental Health Services Fund	\$1,251	\$1,251	\$0
HCBS ARPA	\$162,350	\$387,700	\$225,350
HCBS ARPA Reimbursements	\$91,364	\$251,031	\$159,667
Total, All Funds	\$10,889,282	\$12,369,723	\$1,480,441

*Does not include \$2.7 million deferred maintenance re-appropriation in FY 2021-22.

Program Highlights (CONTINUED)

	FY 2021-22	FY 2022-23	Difference
Caseloads			
State Operated Facilities	322	322	0
Regional Centers*	386,431	407,634	21,203
Departmental Positions			
State Operated Facilities	1,955.2	1,955.2	0.0
Headquarters	519.0	549.0	30.0

*Does not include children who meet provisional eligibility.

COMMUNITY SERVICES PROGRAM

FY 2021-22

Costs and Fund Sources

The FY 2021-22 updated Regional Center budget includes \$10.4 billion TF (\$5.9 billion GF), a net decrease of \$116.3 million TF (\$288.9 million GF decrease) as compared to the Enacted Budget. This includes a projected decrease of \$115.6 million TF in Purchase of Services (POS) expenditures and a decrease of \$117,000 TF in Operations costs.

Costs and Fund Sources (Dollars in Thousands)			
	Enacted Budget	FY 2021-22	Difference
Operations	\$1,046,395	\$1,046,278	(\$117)
Purchase of Services	\$9,411,608	\$9,295,961	(\$115,647)
Early Start Part C/Other Agency Costs	\$43,556	\$43,024	(\$532)
Early Start Family Resource Services	\$2,003	\$2,003	\$0
Total Costs	\$10,503,562	\$10,387,266	(\$116,296)
General Fund (GF)	\$6,231,905	\$5,943,001	(\$288,904)
<i>GF Match</i>	\$3,289,901	\$3,037,942	(\$251,959)
<i>GF Other</i>	\$2,942,004	\$2,905,059	(\$36,945)
Reimbursements	\$3,964,334	\$4,130,909	\$166,575
Program Development Fund/Parental Fees	\$204	\$204	\$0
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$138,850	\$138,850	\$0
HCBS ARPA Reimbursements	\$88,614	\$88,614	\$0
Federal Funds	\$78,765	\$84,798	\$6,033
Fund Sources	\$10,503,562	\$10,387,266	(\$116,296)

Population

There is no change to the current year caseload from the Enacted Budget.

Caseload*	Enacted Budget	FY 2021-22	Difference
Active (Age 3 & Older)	332,465	332,465	0
Early Start (Birth through 35 Months)	53,966	53,966	0
Total Community Caseload	386,431	386,431	0

*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

The Governor's Budget reflects a decrease of \$117,000 TF (\$5.7 million GF decrease) as compared to the Enacted Budget.

Operations – Caseload <i>(Dollars in Thousands)</i>			
	Enacted Budget	FY 2021-22	Difference
Staffing Expenditures	\$833,096	\$833,073	(\$23)
Federal Compliance	\$48,349	\$48,349	\$0
Projects	\$32,171	\$32,171	\$0
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,782	\$1,688	(\$94)
Total Operations – Caseload	\$915,398	\$915,281	(\$117)

Regional Center Operations – Policy

There is no change to the current year Operations policy from the Enacted Budget.

Operations – Policy (Dollars in Thousands)			
	Enacted Budget	FY 2021-22	Difference
Specialized Caseload Ratio	\$4,200	\$4,200	\$0
Specialized Home Monitoring	\$8,315	\$8,315	\$0
Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
Systemic Therapeutic Assessment Resources and Treatment (START) Training	\$10,215	\$10,215	\$0
Regional Center Emergency Coordinators	\$2,017	\$2,017	\$0
Community Navigators	\$5,300	\$5,300	\$0
Tribal Engagement for Early Start Services	\$500	\$500	\$0
Enhanced Service Coordination	\$12,800	\$12,800	\$0
DSP Workforce Training and Development	\$4,300	\$4,300	\$0
Implicit Bias Training	\$7,029	\$7,029	\$0
Emergency Preparedness	\$4,300	\$4,300	\$0
Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
Employment Grant	\$14,706	\$14,706	\$0
Performance Incentives Program	\$3,676	\$3,676	\$0
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
Forensic Diversion	\$534	\$534	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$7,600	\$0
Service Provider Rate Reform	\$12,647	\$12,647	\$0
Total Operations – Policy	\$130,997	\$130,997	\$0

Purchase of Services (POS) – Caseload

Updated POS expenditures reflect a net increase of \$712.4 million (\$391.5 million GF) as compared to the Enacted Budget. Several policy items (e.g. Provider Supplemental Rate Increases, SB 3 Minimum Wage 2021, Uniform Holiday Schedule, and Increased Costs for COVID-19) previously displayed separately in the Enacted Budget, are now incorporated in the updated FY 2021-22 figures displayed below. The table below displays adjustments from the Enacted Budget.

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>			
	Enacted Budget	FY 2021-22	Difference
Community Care Facilities	\$2,319,185	\$2,609,939	\$290,754
Medical Facilities	\$51,741	\$43,036	(\$8,705)
Day Programs	\$1,256,003	\$1,365,380	\$109,377
Habilitation Services	\$141,080	\$132,688	(\$8,392)
<i>Work Activity Program</i>	\$20,404	\$19,247	(\$1,157)
<i>Supported Employment Program – Group</i>	\$74,798	\$75,107	\$309
<i>Supported Employment Program – Individual</i>	\$45,878	\$38,334	(\$7,544)
Transportation	\$424,563	\$370,920	(\$53,643)
Support Services	\$2,056,833	\$2,298,543	\$241,710
In-Home Respite	\$959,831	\$1,097,217	\$137,386
Out-of-Home Respite	\$57,525	\$67,782	\$10,257
Health Care	\$251,424	\$231,511	(\$19,913)
Miscellaneous Services	\$660,090	\$674,177	\$14,087
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$9,612	\$9,115	(\$497)
Total POS – Caseload	\$8,187,887	\$8,900,308	\$712,421

POS – Policy

There is a net decrease of \$828.1 million TF (\$465.3 million GF decrease) in policy related expenditures as compared to the Enacted Budget. This is primarily driven by the following:

- Shift of \$776.2 million TF from policy to POS budget categories for items displayed under policy in the Enacted Budget, including Provider Supplemental Rate Increases, SB, 3 Minimum Wage 2021, Uniform Holiday Schedule, and Increased Costs for COVID-19.
- SB 3, Minimum Wage Increase, Effective January 1, 2022: Decrease of \$52.7 million (\$20.7 million GF decrease) based on updated expenditure data.

Purchase of Services – Policy (Dollars in Thousands)			
	Enacted Budget	FY 2021-22	Difference
Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
Electronic Visit Verification Penalty	\$10,020	\$10,220	\$200
Provider Supplemental Rate Increases	\$470,762	\$0	(\$470,762)
SB 3, Minimum Wage Increase, Effective January 1, 2021	\$198,626	\$0	(\$198,626)
Uniform Holiday Schedule	\$56,812	\$0	(\$56,812)
Increased Costs for COVID-19	\$50,000	\$0	(\$50,000)
Health Facility Rate Increase	\$422	\$1,103	\$681
SB 3, Minimum Wage Increase, Effective January 1, 2022	\$159,237	\$106,488	(\$52,749)
Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
Bilingual Differentials for Direct Service Professionals	\$3,600	\$3,600	\$0
Social Recreation and Camping Services	\$29,400	\$29,400	\$0
Coordinated Family Support Services	\$41,667	\$41,667	\$0
Forensic Diversion	\$3,200	\$3,200	\$0
START Training	\$6,392	\$6,392	\$0
Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$16,200	\$0
Service Provider Rate Reform	\$127,083	\$127,083	\$0
Total POS – Policy	\$1,223,721	\$395,653	(\$828,068)

American Rescue Plan Act (ARPA) Funding

There is no change to the ARPA Funding of \$138.9 million and \$88.6 million in matching reimbursements from the Enacted Budget, inclusive of purchase of services and operations.

American Rescue Plan Act Funding (Dollars in Thousands)			
	Enacted Budget	FY 2021-22	Difference
HCBS ARPA	\$138,850	\$138,850	\$0
HCBS ARPA Reimbursements	\$88,614	\$88,614	\$0
Total HCBS ARPA	\$227,464	\$227,464	\$0

Reimbursements

The updated current year reflects a net increase of \$166.6 million in reimbursements as compared to the Enacted Budget. Adjustments are reflected in the table below. The main driver is due to a six-month extension of the Families First Coronavirus Response Act (FFCRA) which is anticipated to continue the temporary 6.2 percentage point increase through June 30, 2022.

Reimbursements (Dollars in Thousands)			
	Enacted Budget	FY 2021-22	Difference
Home and Community-Based Services (HCBS) Waiver	\$2,805,082	\$2,926,868	\$121,786
HCBS Waiver Administration	\$21,514	\$19,821	(\$1,693)
Medicaid Administration	\$18,168	\$19,048	\$880
Targeted Case Management	\$271,487	\$294,680	\$23,193
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$62,207	\$63,256	\$1,049
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$10,503	\$9,959	(\$544)
1915(i) State Plan Amendment	\$497,281	\$522,254	\$24,973
Early Periodic Screening Diagnosis and Treatment	\$31,946	\$28,514	(\$3,432)
Behavioral Health Treatment Fee-for-Service	\$13,492	\$13,296	(\$196)
Self-Determination Program Waiver	\$19,233	\$19,792	\$559
Total Reimbursements	\$3,964,334	\$4,130,909	\$166,575

Federal Funds

The updated current year reflects a net increase of \$6.0 million in Federal Funds as compared to the Enacted Budget for prior year grant funds.

Federal Funds <i>(Dollars in Thousands)</i>			
	Enacted Budget	FY 2021-22	Difference
Early Start Part C/Other Agency Costs	\$77,625	\$83,658	\$6,033
Foster Grandparent Program	\$1,140	\$1,140	\$0
Total Federal Funds	\$78,765	\$84,798	\$6,033

FY 2022-23**Costs and Fund Sources**

The FY 2022-23 Regional Center budget includes \$11.9 billion TF (\$7.1 billion GF), a net increase of \$1.5 billion TF (\$1.2 billion GF) compared to the updated current year. This includes a projected \$176.0 million TF increase in Operations costs, and \$1.4 billion TF increase in Purchase of Services expenditures.

Costs and Fund Sources (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Operations	\$1,046,278	\$1,222,245	\$175,967
Purchase of Services	\$9,295,961	\$10,675,360	\$1,379,399
Early Start Part C/Other Agency Costs	\$43,024	\$19,094	(\$23,930)
Early Start Family Resource Services	\$2,003	\$2,003	\$0
Total Costs	\$10,387,266	\$11,918,702	\$1,531,436
General Fund (GF)	\$5,943,001	\$7,131,876	\$1,188,875
<i>GF Match</i>	\$3,037,942	\$3,850,655	\$812,713
<i>GF Other</i>	\$2,905,059	\$3,281,221	\$376,162
Reimbursements	\$4,130,909	\$4,099,188	(\$31,721)
Program Development Fund/Parental Fees	\$204	\$204	\$0
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$138,850	\$382,700	\$243,850
HCBS ARPA Reimbursements	\$88,614	\$249,781	\$161,167
Federal Funds	\$84,798	\$54,063	(\$30,735)
Fund Sources	\$10,387,266	\$11,918,702	\$1,531,436

Population

The Department is forecasting an increase of 21,203 consumers compared to the updated current year. The increase assumes a return to typical caseload growth as the state recovers from the public health emergency.

Caseload*	FY 2021-22	FY 2022-23	Difference
Active (Age 3 & Older)	332,465	349,619	17,154
Early Start (Birth through 35 Months)	53,966	58,015	4,049
Total Community Caseload	386,431	407,634	21,203

*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

The budget year includes \$955.3 million TF (\$667.1 million GF) for regional center operations, an increase of \$40.0 million (\$29.9 million GF) as compared to the updated current year budget. The increase is mainly attributed to anticipated caseload growth.

Operations – Caseload (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Staffing Expenditures	\$833,073	\$870,248	\$37,175
Federal Compliance	\$48,349	\$49,271	\$922
Projects	\$32,171	\$34,051	\$1,880
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,688	\$1,688	\$0
Total Operations – Caseload	\$915,281	\$955,258	\$39,977

Regional Center Operations – Policy

The budget year includes \$267.0 million TF (\$166.7 million GF) for policies impacting regional center operations, an increase of \$136.0 million TF (\$91.8 million GF) compared to the updated current year budget.

Updated Policies:

- Performance Incentives Program: Increase of \$83.8 million TF (\$57.0 million GF) to reflect the implementation of the performance improvement plan and prioritize the reduction of caseload ratios.
- Employment Grant: Decrease of \$14.7 million TF (\$10.0 million GF) due to one-time funding in FY 2021-22.
- DSP Workforce Training and Development: Increase of \$11.9 million TF (\$8.1 million GF) to reflect costs related to wage differentials for staff who complete the training/certification requirements.
- START Training: Decrease of \$10.2 million TF (\$7.2 million GF decrease) due to one-time funding in FY 2021-22.
- Emergency Preparedness: Decrease of \$4.1 million GF due to one-time funding for preparation efforts in FY 2021-22.
- Specialized Home Monitoring: Increase of \$1.9 million TF (\$1.3 million GF) to reflect monitoring additional new homes.
- Enhanced Service Coordination: Increase of \$1.4 million TF (\$1.1 million GF) to include 10.5 supervisor positions.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$545,000 GF to reflect the year-over-year increase in caseload.
- Regional Center Emergency Coordinators: Increase of \$483,000 TF (\$328,000 GF) to reflect reclassification of positions to Senior Emergency Services Coordinators.
- Specialized Caseload Ratio: Increase of \$200,000 TF (\$96,000 GF) to reflect the year-over-year increase in high risk consumers.

New Policies:

- Reduced Caseload Ratio for Children through Age 5: Increase of \$51.1 million TF (\$31.9 million GF) to fund additional regional center staff to achieve a 1:40 caseload ratio for children birth through age 5.
- Early Start – Part C to B Transitions: Increase of \$13.2 million TF (\$12.2 million GF) to promote inclusive preschool options and provide technical support for service coordinators.
- Enrolling Vendors as Medicaid Providers: Increase of \$550,000 GF to reflect costs for screening activities and background checks.

Operations – Policy (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Specialized Caseload Ratio	\$4,200	\$4,400	\$200
Specialized Home Monitoring	\$8,315	\$10,201	\$1,886
Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
START Training	\$10,215	\$0	(\$10,215)
Regional Center Emergency Coordinators	\$2,017	\$2,500	\$483
Community Navigators	\$5,300	\$5,300	\$0
Tribal Engagement for Early Start Services	\$500	\$500	\$0
Enhanced Service Coordination	\$12,800	\$14,172	\$1,372
DSP Workforce Training and Development	\$4,300	\$16,200	\$11,900
Implicit Bias Training	\$7,029	\$7,029	\$0
Emergency Preparedness	\$4,300	\$200	(\$4,100)
Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
Employment Grant	\$14,706	\$0	(\$14,706)
Performance Incentives Program	\$3,676	\$87,500	\$83,824
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
Enrolling Vendors as Medicaid Providers	\$0	\$550	\$550
Reduced Caseload Ratio for Children through Age 5	\$0	\$51,084	\$51,084
Early Start – Part C to B Transitions	\$0	\$13,167	\$13,167
Forensic Diversion	\$534	\$534	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$8,145	\$545
Service Provider Rate Reform	\$12,647	\$12,647	\$0
Total Operations – Policy	\$130,997	\$266,987	\$135,990

Purchase of Services (POS) Caseload

The budget year includes \$9.7 billion TF (\$6.1 billion GF) for purchase of services, an increase of \$811.4 million TF (\$552.2 million GF), or a 9.1 percent increase compared to the updated current year budget. The net increase is primarily due to continued caseload and utilization changes in various budget categories. Note: The impacts of the COVID-19 pandemic on POS expenditures had a significant effect on 'Difference' and 'Percent Change' comparisons displayed below.

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>			
	FY 2021-22	FY 2022-23	Difference
Community Care Facilities	\$2,609,939	\$2,751,449	\$141,510
Medical Facilities	\$43,036	\$59,052	\$16,016
Day Programs	\$1,365,380	\$1,407,794	\$42,414
Habilitation Services	\$132,688	\$126,829	(\$5,859)
<i>Work Activity Program</i>	\$19,247	\$15,095	(\$4,152)
<i>Supported Employment Program – Group</i>	\$75,107	\$69,142	(\$5,965)
<i>Supported Employment Program – Individual</i>	\$38,334	\$42,592	\$4,258
Transportation	\$370,920	\$468,788	\$97,868
Support Services	\$2,298,543	\$2,485,930	\$187,387
In-Home Respite	\$1,097,217	\$1,284,971	\$187,754
Out of Home Respite	\$67,782	\$63,907	(\$3,875)
Health Care	\$231,511	\$316,953	\$85,442
Miscellaneous Services	\$674,177	\$736,936	\$62,759
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$9,115	\$9,115	\$0
Total POS – Caseload	\$8,900,308	\$9,711,724	\$811,416

POS – Policy

The budget year includes \$963.6 million TF (\$227.0 million GF) for policies impacting regional center purchase of services, an increase of \$568.0 million TF (\$92.7 million GF) compared to the updated current year budget.

Updated Policies:

- Service Provider Rate Reform: Increase of \$427.1 million HCBS ARPA Funding to reflect the full-year cost of the initial rate adjustment and provide the initial funding for the quality incentive program.
- SB 3, Minimum Wage Increase, Effective January 1, 2022: Increase of \$131.3 million TF (\$77.6 million GF) represents full-year implementation of the policy and updated expenditures.
- Coordinated Family Support Services: Decrease reflects one-time funding of \$41.7 million HCBS ARPA Funding in FY 2021-22 to improve service equity for adults who live with their families and improve individual supports at home.
- Social Recreation and Camping Services: Increase of \$19.6 million HCBS ARPA Funding to reflect 18-month ramp up.
- START Training: Increase of \$11.5 million TF (\$7.2 million GF) to reflect a full year of services that will be provided by the START teams.
- Electronic Visit Verification Penalty Payment: Decrease of \$10.2 million GF reflects compliance with federal electronic visit verification requirements.
- Bilingual Differentials for Direct Service Professionals: Increase of \$3.6 million TF (\$2.2 million GF) to reflect projected increase in staff receiving the pay differential in FY 2022-23.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$1.2 million GF for projected increase in caseload.
- Health Facility Rate Increase: Decrease of \$1.1 million GF reflects the end of the temporary rate increase during the COVID-19 pandemic.

New Policies:

- Communications Assessments for Consumers Who Are Deaf: One-time funding of \$14.3 million TF (\$8.4 million GF) to fund communication assessments that will be used in developing Individual Program Plans for consumers identified as being deaf or hard of hearing.
- Work Activity Programs (WAP): New Service Model: Increase of \$8.2 million TF (\$4.9 million GF) to support a three-year pilot to focus on career readiness for consumers exiting WAP to prepare them to enter competitive and integrated workplaces.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: Increase of \$4.1 million TF (\$2.5 million GF) for increase of the minimum wage from \$14.00 to \$15.00 for employers with 25 employees or less.

Purchase of Services – Policy (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
Electronic Visit Verification Penalty	\$10,220	\$0	(\$10,220)
Health Facility Rate Increase	\$1,103	\$0	(\$1,103)
SB 3, Minimum Wage Increase, Effective January 1, 2022	\$106,488	\$237,810	\$131,322
Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
Bilingual Differentials for Direct Service Professionals	\$3,600	\$7,200	\$3,600
Social Recreation and Camping Services	\$29,400	\$49,000	\$19,600
SB 3, Minimum Wage Increase, Effective January 1, 2023	\$0	\$4,146	\$4,146
Coordinated Family Support Services	\$41,667	\$0	(\$41,667)
WAP: New Service Model	\$0	\$8,233	\$8,233
Forensic Diversion	\$3,200	\$3,200	\$0
START Training	\$6,392	\$17,865	\$11,473
Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$17,415	\$1,215
Service Provider Rate Reform	\$127,083	\$554,167	\$427,084
Resources to Support Individuals Who Are Deaf	\$0	\$14,300	\$14,300
Total POS – Policy	\$395,653	\$963,636	\$567,983

American Rescue Plan Act Funding

The budget year includes \$382.7 million in ARPA funding and \$249.8 million in matching reimbursements, inclusive of purchase of services and operations. This reflects an increase of \$243.9 million and \$161.2 million, respectively compared to the updated current year budget. Adjustments are reflected in the table below:

American Rescue Plan Funding (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
HCBS ARPA	\$138,850	\$382,700	\$243,850
HCBS ARPA Reimbursements	\$88,614	\$249,781	\$161,167
Total HCBS ARPA	\$227,464	\$632,481	\$405,017

Reimbursements

The budget year includes \$4.1 billion in reimbursements, a decrease of \$31.7 million as compared to the updated current year budget. Adjustments are reflected in the table below and the main driver is due to the anticipated end of the temporary 6.2 percentage point increase authorized by the FFCRA.

Reimbursements (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Home and Community-Based Services (HCBS) Waiver	\$2,926,868	\$2,865,498	(\$61,370)
HCBS Waiver Administration	\$19,821	\$24,708	\$4,887
Medicaid Administration	\$19,048	\$19,048	\$0
Targeted Case Management	\$294,680	\$312,457	\$17,777
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$63,256	\$56,277	(\$6,979)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,959	\$9,959	\$0
1915(i) State Plan Amendment	\$522,254	\$515,380	(\$6,874)
Early Periodic Screening Diagnosis and Treatment	\$28,514	\$27,272	(\$1,242)
Behavioral Health Treatment Fee-for-Service	\$13,296	\$13,296	\$0
Self-Determination Program Waiver	\$19,792	\$41,872	\$22,080
Total Reimbursements	\$4,130,909	\$4,099,188	(\$31,721)

Federal Funds

The budget year includes \$54.1 million in Federal Funds, a net decrease of \$30.7 million compared to the updated current year budget. The decrease is due to receipt of one-time federal funds in FY 2021-22 to support Part C (Early Start) services through the Individuals with Disabilities Education Act.

Federal Funds (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Early Start Part C/Other Agency Costs	\$83,658	\$52,923	(\$30,735)
Foster Grandparent Program	\$1,140	\$1,140	\$0
Total Federal Funds	\$84,798	\$54,063	(\$30,735)

STATE OPERATED FACILITIES**FY 2021-22**

The FY 2021-22 updated State Operated Facilities budget includes \$335.1 million TF (\$298.4 million GF), a net increase of \$7.7 million TF (\$6.1 million GF) compared to the Enacted Budget. The increase reflects various control section adjustments.

Policy

There is a net increase of \$7.7 million TF (\$6.1 million GF) in policy expenditures as compared to the Enacted Budget.

Updated Policies:

- Item 9800 increases employee compensation by \$10.7 million TF (\$9.7 million GF).
- Control Section 4.05 reduces ongoing expenditures by \$2.0 million TF (\$1.0 million GF).
- Enhanced Federal Funding: A decrease of \$1.6 million GF due to an anticipated extension through June 30, 2022 of the FFCRA, which provides a temporary 6.2 percentage point increase.
- Control Section 3.60 reduces employee retirement by \$546,000 GF.
- Retention Stipend Reappropriation: A decrease of \$500,000 GF reflects the delay in final disposition of Fairview and Sonoma Developmental Center properties.

Costs and Fund Sources (Dollars in Thousands)			
	Enacted Budget	FY 2021-22	Difference
Personal Services	\$259,253	\$266,915	\$7,662
Operating Expenses and Equipment	\$58,986	\$58,990	\$4
Lease Revenue Bond	\$9,151	\$9,154	\$3
Total Costs	\$327,390	\$335,059	\$7,665
General Fund (GF)	\$292,225	\$298,355	\$6,130
<i>GF Match</i>	\$25,471	\$23,888	(\$1,583)
<i>GF Other</i>	\$266,754	\$274,467	\$7,713
Reimbursements	\$35,039	\$36,574	\$1,535
Lottery Fund	\$126	\$130	\$4
Fund Sources	\$327,390	\$335,059	\$7,669

FY 2022-23

The FY 2022-23 includes \$315.5 million (\$281.9 million GF), a net decrease of \$19.5 million (\$16.5 million GF decrease) compared to the updated current year.

Updated Policies:

- COVID-19 Response: A decrease of \$15.0 million GF due to one-time funding in FY 2021-22 for surge sites.
- Deferred Maintenance: A decrease of \$5.0 million GF due to one-time funding in FY 2021-22.
- Enhanced Federal Funding: A decrease of \$3.1 million in reimbursements due to the anticipated end of the temporary 6.2 percentage point increase authorized by FFCRA.
- Retention Stipend Reappropriation: An increase of \$500,000 GF due to the continued warm-shutdown operations at Fairview and Sonoma Developmental Centers.
- Item 9800 increases employee compensation by \$482,000 TF (\$437,000 GF).

Costs and Fund Sources (Dollars in Thousands)			
	FY 2021-22	FY 2022-23	Difference
Personal Services	\$266,915	\$267,397	\$482
Operating Expenses and Equipment	\$58,990	\$38,965	(\$20,025)
Lease Revenue Bond	\$9,154	\$9,158	\$4
Total Costs	\$335,059	\$315,520	(\$19,539)
General Fund (GF)	\$298,355	\$281,890	(\$16,465)
<i>GF Match</i>	\$23,888	\$27,051	\$3,163
<i>GF Other</i>	\$274,467	\$254,839	(\$19,628)
Reimbursements	\$36,574	\$33,500	(\$3,074)
Lottery Fund	\$130	\$130	\$0
Fund Sources	\$335,059	\$315,520	(\$19,539)

Capital Outlay

The Governor's Budget includes a reappropriation of \$3.9 million GF in FY 2022-23 for the construction phase to install a fire sprinkler system capital outlay project at the Porterville Developmental Center.

HEADQUARTERS**FY 2021-22**

FY 2021-22 includes \$167.0 million TF (\$86.8 million GF), a net increase of \$16.1 million TF (\$4.6 million GF decrease) from the Enacted Budget. The change reflects employee retirement and ongoing expenditure reductions from Control Section 3.60 and Control Section 4.05; adjustments to employee compensation in Item 9800; and the following.

- Enhanced Community Integration for Children and Adolescents: One-time funding of \$12.5 million ARPA to support community social recreational connections for children.
- Reimbursement System Project: Reappropriation of \$6.1 million GF due to unanticipated delays.
- Modernize Developmental Services Information Technology Systems: One-time funding of \$6 million ARPA and \$1.5 million in matching reimbursements to support the initial planning process to update the regional center fiscal system and implement a statewide Consumer Electronic Records Management System.

FY 2022-23

FY 2022-23 includes \$135.5 million TF (\$80.7 million GF), a net decrease of \$31.5 million TF (\$6.1 million GF decrease) compared to the updated current year budget. The decrease is comprised of employee retirement and ongoing expenditure reductions from the Control Section 3.60 and Control Section 4.05; adjustments to employee compensation in Item 9800; and nine Budget Change Proposals.

- Early Start – Part C to B Transitions: Increase of \$1.2 million TF (\$1.0 million GF), two CEAs, one SSM III, three CPS II, and contracting costs to improve the management and outcomes of statewide services for children and their families. These resources will also oversee implementation of statewide initiatives that are responsive to stakeholder recommendations for improving the transition of children from Part C Early Start services to Part B special education services.
- Clinical Monitoring Team Support: Increase of \$698,000 TF (\$558,000 GF), four Behavior Specialist (BS) II, and one Staff Services Manager (SSM) II to provide technical support for the development and monitoring of specialized community homes and services for consumers currently placed in or at risk for placement in congregate/institutional type settings and/or consumers in crisis.
- Safety Net Program Support: Increase of \$546,000 TF (\$437,000 GF), one Career Executive Assignment (CEA), one SSM II, and one Research Data Specialist (RDS) I to enhance the developmental services safety net program and focus on resource and navigation needs of consumers and/or their caregivers who are aging.

- Compliance with Federal Medicaid and HCBS Requirements: Increase of \$1.2 million TF (\$993,000 GF), three one-year limited term Community Program Specialist (CPS) II, four CPS II, one SSM I, and contracting costs to comply with the requirements for continued federal funding for Home and Community-Based Services programs and support legislative reporting requirements on the effectiveness of the Self-Determination Program.
- Administrative Support – STAR Homes, CAST, and Protective Services: Increase of \$968,000 TF (\$774,000 GF), two Associate Governmental Program Analyst, one Health Record Technician, one Standards compliance Coordinator, one SSM I, one Senior Personnel Specialist, and one Investigator to address mission-critical administrative support, protective services, and other related workload increases as STAR homes activate in the community.
- Information Security Staffing Support: Increase of \$905,000 TF (\$774,000 GF), one Information Technology Associate, two Information Technology Specialist (ITS) I, one ITS II, and consulting costs to support risk and compliance requirements and address network security needs.
- Reimbursement System Project Implementation and Maintenance and Operation Costs: Increase of \$6.5 million TF (\$6.4 million GF), two ITS II, and contracting costs to continue with the project implementation. This amount includes \$6.1 million GF reappropriated from FY 2021-22.
- Subminimum Wage Phaseout: Increase of \$253,000 TF (\$202,000 GF), one limited term SSM I for two years to implement Chapter 339, Statutes of 2021 (Senate Bill 639) to phase out the payment of subminimum wages for work performed by individuals with intellectual and developmental disabilities.
- Electronic Visit Verification (EVV) Phase II: Increase of \$5.9 million TF (\$2.3 million GF) for state and contract services to support the EVV project's continued progress towards implementation and operation.

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SECTION B: EXECUTIVE SUMMARY TABLES

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Comparison of Enacted Budget to Governor's Budget FY 2021-22

BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Enacted Budget	FY 2021-22	Difference
1. Staffing Expenditures	\$833,096	\$833,073	(\$23)
2. Federal Compliance	\$48,349	\$48,349	\$0
3. Projects	\$32,171	\$32,171	\$0
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,688	(\$94)
5. Total Operations Caseload Growth (Items 1 thru 4)	\$915,398	\$915,281	(\$117)

Policy

6. Specialized Caseload Ratio	\$4,200	\$4,200	\$0
7. Specialized Home Monitoring	\$8,315	\$8,315	\$0
8. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
9. START Training	\$10,215	\$10,215	\$0
10. Regional Center Emergency Coordinators	\$2,017	\$2,017	\$0
11. Community Navigators	\$5,300	\$5,300	\$0
12. Tribal Engagement for Early Start Services	\$500	\$500	\$0
13. Enhanced Service Coordination	\$12,800	\$12,800	\$0
14. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
15. Implicit Bias Training	\$7,029	\$7,029	\$0
16. Emergency Preparedness	\$4,300	\$4,300	\$0
17. Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
18. Employment Grant	\$14,706	\$14,706	\$0
19. Performance Incentives	\$3,676	\$3,676	\$0
20. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
21. Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
22. Forensic Diversion	\$534	\$534	\$0
23. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
24. Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$7,600	\$0
25. Service Provider Rate Reform	\$12,647	\$12,647	\$0
26. Total Policy (Item 6 thru 25)	\$130,997	\$130,997	\$0
27. Total Operations (Item 5 and 26)	\$1,046,395	\$1,046,278	(\$117)

Comparison of Enacted Budget to Governor's Budget FY 2021-22

BUDGET ITEM:**B. Purchase of Services****Caseload Growth/Utilization**

	Enacted Budget	FY 2021-22	Difference
1. Community Care Facilities	\$2,319,185	\$2,609,939	\$290,754
2. Medical Facilities	\$51,741	\$43,036	(\$8,705)
3. Day Programs	\$1,256,003	\$1,365,380	\$109,377
4. Habilitation Services	\$141,080	\$132,688	(\$8,392)
a. Work Activity Program	\$20,404	\$19,247	(\$1,157)
b. Supported Employment Program – Group Placement	\$74,798	\$75,107	\$309
c. Supported Employment Program – Individual Placement	\$45,878	\$38,334	(\$7,544)
5. Transportation	\$424,563	\$370,920	(\$53,643)
6. Support Services	\$2,056,833	\$2,298,543	\$241,710
7. In-Home Respite	\$959,831	\$1,097,217	\$137,386
8. Out-of-Home Respite	\$57,525	\$67,782	\$10,257
9. Health Care	\$251,424	\$231,511	(\$19,913)
10. Miscellaneous Services	\$660,090	\$674,177	\$14,087
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$9,612	\$9,115	(\$497)
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$8,187,887	\$8,900,308	\$712,421

Policy

13. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	\$10,020	\$10,220	\$200
15. Provider Supplemental Rate Increases*	\$470,762	\$0	(\$470,762)
16. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2021*	\$198,626	\$0	(\$198,626)
17. Uniform Holiday Schedule*	\$56,812	\$0	(\$56,812)
18. Increased Costs for COVID-19*	\$50,000	\$0	(\$50,000)
19. Health Facility Rate Increase	\$422	\$1,103	\$681
20. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022	\$159,237	\$106,488	(\$52,749)
21. Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
22. Bilingual Differentials for Direct Service Professionals	\$3,600	\$3,600	\$0
23. Social Recreation and Camping Services	\$29,400	\$29,400	\$0
24. Coordinated Family Support Services	\$41,667	\$41,667	\$0
25. Forensic Diversion	\$3,200	\$3,200	\$0
26. START Training	\$6,392	\$6,392	\$0
27. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
28. Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$16,200	\$0
29. Service Provider Rate Reform	\$127,083	\$127,083	\$0
30. Total Policy (Item 13 thru 29)	\$1,223,721	\$395,653	(\$828,068)
31. Total Purchase of Services (Item 12 and 30)	\$9,411,608	\$9,295,961	(\$115,647)
C. Early Start Part C/Other Agency Costs	\$43,556	\$43,024	(\$532)
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0

E. GRAND TOTAL

\$10,503,562	\$10,387,266	(\$116,296)
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*These policies are fully incorporated into the caseload and utilization growth.

Comparison of Enacted Budget to Governor's Budget FY 2021-22

FUND SOURCE:

	Enacted Budget	FY 2021-22	Difference
A. General Fund			
1. General Fund Match	\$3,289,901	\$3,037,942	(\$251,959)
2. General Fund Other	\$2,942,004	\$2,905,059	(\$36,945)
3. General Fund Total (Item 1 and 2)	\$6,231,905	\$5,943,001	(\$288,904)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,805,082	\$2,926,868	\$121,786
2. Home and Community-Based Services Waiver Administration	\$21,514	\$19,821	(\$1,693)
3. Medicaid Administration	\$18,168	\$19,048	\$880
4. Targeted Case Management	\$271,487	\$294,680	\$23,193
5. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$62,207	\$63,256	\$1,049
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$10,503	\$9,959	(\$544)
8. 1915(i) State Plan Amendment	\$497,281	\$522,254	\$24,973
9. Early Periodic Screening Diagnosis and Treatment	\$31,946	\$28,514	(\$3,432)
10. Behavioral Health Treatment Fee-for-Service	\$13,492	\$13,296	(\$196)
11. Self-Determination Program Waiver	\$19,233	\$19,792	\$559
12. Reimbursements Total (Item 1 thru 11)	\$3,964,334	\$4,130,909	\$166,575
C. Program Development Fund/Parental Fees	\$204	\$204	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. HCBS ARPA Funding			
1. HCBS ARPA	\$138,850	\$138,850	\$0
2. HCBS ARPA Reimbursements	\$88,614	\$88,614	\$0
3. HCBS ARPA Funding Total (Item 1 and 2)	\$227,464	\$227,464	\$0
G. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$77,625	\$83,658	\$6,033
2. Foster Grandparent Program	\$1,140	\$1,140	\$0
3. Federal Funds Total (Item 1 and 2)	\$78,765	\$84,798	\$6,033
H. GRAND TOTAL	\$10,503,562	\$10,387,266	(\$116,296)

Comparison of Enacted Budget to Governor's Budget FY 2022-23

BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Enacted Budget	FY 2022-23	Difference
1. Staffing Expenditures	\$833,096	\$870,248	\$37,152
2. Federal Compliance	\$48,349	\$49,271	\$922
3. Projects	\$32,171	\$34,051	\$1,880
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,688	(\$94)
5. Total Operations Caseload Growth (Items 1 thru 4)	\$915,398	\$955,258	\$39,860

Policy

6. Specialized Caseload Ratio	\$4,200	\$4,400	\$200
7. Specialized Home Monitoring	\$8,315	\$10,201	\$1,886
8. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
9. START Training	\$10,215	\$0	(\$10,215)
10. Regional Center Emergency Coordinators	\$2,017	\$2,500	\$483
11. Community Navigators	\$5,300	\$5,300	\$0
12. Tribal Engagement for Early Start Services	\$500	\$500	\$0
13. Enhanced Service Coordination	\$12,800	\$14,172	\$1,372
14. Direct Service Professional Workforce Training and Development	\$4,300	\$16,200	\$11,900
15. Implicit Bias Training	\$7,029	\$7,029	\$0
16. Emergency Preparedness	\$4,300	\$200	(\$4,100)
17. Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
18. Employment Grant	\$14,706	\$0	(\$14,706)
19. Performance Incentives	\$3,676	\$87,500	\$83,824
20. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
21. Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
22. Enrolling Vendors as Medicaid Providers	\$0	\$550	\$550
23. Reduced Caseload Ratio for Children through Age Five	\$0	\$51,084	\$51,084
24. Early Start – Part C to B Transitions	\$0	\$13,167	\$13,167
25. Forensic Diversion	\$534	\$534	\$0
26. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
27. Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$8,145	\$545
28. Service Provider Rate Reform	\$12,647	\$12,647	\$0
29. Total Policy (Item 6 thru 28)	\$130,997	\$266,987	\$135,990
30. Total Operations (Item 5 and 29)	\$1,046,395	\$1,222,245	\$175,850

Comparison of Enacted Budget to Governor's Budget FY 2022-23

BUDGET ITEM:

	Enacted Budget	FY 2022-23	Difference
B. Purchase of Services			
Caseload Growth/Utilization			
1. Community Care Facilities	\$2,319,185	\$2,751,449	\$432,264
2. Medical Facilities	\$51,741	\$59,052	\$7,311
3. Day Programs	\$1,256,003	\$1,407,794	\$151,791
4. Habilitation Services	\$141,080	\$126,829	(\$14,251)
a. Work Activity Program	\$20,404	\$15,095	(\$5,309)
b. Supported Employment Program – Group Placement	\$74,798	\$69,142	(\$5,656)
c. Supported Employment Program – Individual Placement	\$45,878	\$42,592	(\$3,286)
5. Transportation	\$424,563	\$468,788	\$44,225
6. Support Services	\$2,056,833	\$2,485,930	\$429,097
7. In-Home Respite	\$959,831	\$1,284,971	\$325,140
8. Out-of-Home Respite	\$57,525	\$63,907	\$6,382
9. Health Care	\$251,424	\$316,953	\$65,529
10. Miscellaneous Services	\$660,090	\$736,936	\$76,846
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$9,612	\$9,115	(\$497)
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$8,187,887	\$9,711,724	\$1,523,837
Policy			
13. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	\$10,020	\$0	(\$10,020)
15. Provider Supplemental Rate Increases*	\$470,762	\$0	(\$470,762)
16. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2021*	\$198,626	\$0	(\$198,626)
17. Uniform Holiday Schedule*	\$56,812	\$0	(\$56,812)
18. Increased Costs for COVID-19*	\$50,000	\$0	(\$50,000)
19. Health Facility Rate Increase	\$422	\$0	(\$422)
20. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022	\$159,237	\$237,810	\$78,573
21. Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
22. Bilingual Differentials for Direct Service Professionals	\$3,600	\$7,200	\$3,600
23. Social Recreation and Camping Services	\$29,400	\$49,000	\$19,600
24. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023	\$0	\$4,146	\$4,146
25. Coordinated Family Support Services	\$41,667	\$0	(\$41,667)
26. Work Activity Programs: New Service Model	\$0	\$8,233	\$8,233
27. Forensic Diversion	\$3,200	\$3,200	\$0
28. START Training	\$6,392	\$17,865	\$11,473
29. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
30. Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$17,415	\$1,215
31. Service Provider Rate Reform	\$127,083	\$554,167	\$427,084
32. Resources to Support Individuals Who Are Deaf	\$0	\$14,300	\$14,300
33. Total Policy (Item 13 thru 32)	\$1,223,721	\$963,636	(\$260,085)
34. Total Purchase of Services (Item 12 and 33)	\$9,411,608	\$10,675,360	\$1,263,752
C. Early Start Part C/Other Agency Costs	\$43,556	\$19,094	(\$24,462)
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$10,503,562	\$11,918,702	\$1,415,140

*These policies are fully incorporated into the caseload and utilization growth.

Comparison of Enacted Budget to Governor's Budget FY 2022-23

FUND SOURCE:

	Enacted Budget	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$3,289,901	\$3,850,655	\$560,754
2. General Fund Other	\$2,942,004	\$3,281,221	\$339,217
3. General Fund Total (Item 1 and 2)	\$6,231,905	\$7,131,876	\$899,971
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,805,082	\$2,865,498	\$60,416
2. Home and Community-Based Services Waiver Administration	\$21,514	\$24,708	\$3,194
3. Medicaid Administration	\$18,168	\$19,048	\$880
4. Targeted Case Management	\$271,487	\$312,457	\$40,970
5. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$62,207	\$56,277	(\$5,930)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$10,503	\$9,959	(\$544)
8. 1915(i) State Plan Amendment	\$497,281	\$515,380	\$18,099
9. Early Periodic Screening Diagnosis and Treatment	\$31,946	\$27,272	(\$4,674)
10. Behavioral Health Treatment Fee-for-Service	\$13,492	\$13,296	(\$196)
11. Self-Determination Program Waiver	\$19,233	\$41,872	\$22,639
12. Reimbursements Total (Item 1 thru 11)	\$3,964,334	\$4,099,188	\$134,854
C. Program Development Fund/Parental Fees	\$204	\$204	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
D. HCBS ARPA Funding			
1. HCBS ARPA	\$138,850	\$382,700	\$243,850
2. HCBS ARPA Reimbursements	\$88,614	\$249,781	\$161,167
3. HCBS ARPA Funding Total (Item 1 and 2)	\$227,464	\$632,481	\$405,017
F. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$77,625	\$52,923	(\$24,702)
2. Foster Grandparent Program	\$1,140	\$1,140	\$0
3. Federal Funds Total (Item 1 and 2)	\$78,765	\$54,063	(\$24,702)
G. GRAND TOTAL	\$10,503,562	\$11,918,702	\$1,415,140

Comparison of FY 2021-22 to FY 2022-23

BUDGET ITEM:

A. Operations

Caseload Growth/Utilization

	FY 2021-22	FY 2022-23	Difference
1. Staffing Expenditures	\$833,073	\$870,248	\$37,175
2. Federal Compliance	\$48,349	\$49,271	\$922
3. Projects	\$32,171	\$34,051	\$1,880
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,688	\$1,688	\$0
5. Total Operations Caseload Growth (Items 1 thru 4)	\$915,281	\$955,258	\$39,977

Policy

6. Specialized Caseload Ratio	\$4,200	\$4,400	\$200
7. Specialized Home Monitoring	\$8,315	\$10,201	\$1,886
8. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
9. START Training	\$10,215	\$0	(\$10,215)
10. Regional Center Emergency Coordinators	\$2,017	\$2,500	\$483
11. Community Navigators	\$5,300	\$5,300	\$0
12. Tribal Engagement for Early Start Services	\$500	\$500	\$0
13. Enhanced Service Coordination	\$12,800	\$14,172	\$1,372
14. Direct Service Professional Workforce Training and Development	\$4,300	\$16,200	\$11,900
15. Implicit Bias Training	\$7,029	\$7,029	\$0
16. Emergency Preparedness	\$4,300	\$200	(\$4,100)
17. Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
18. Employment Grant	\$14,706	\$0	(\$14,706)
19. Performance Incentives	\$3,676	\$87,500	\$83,824
20. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
21. Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
22. Enrolling Vendors as Medicaid Providers	\$0	\$550	\$550
23. Reduced Caseload Ratio for Children through Age Five	\$0	\$51,084	\$51,084
24. Early Start – Part C to B Transitions	\$0	\$13,167	\$13,167
25. Forensic Diversion	\$534	\$534	\$0
26. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
27. Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$8,145	\$545
28. Service Provider Rate Reform	\$12,647	\$12,647	\$0
29. Total Policy (Item 6 thru 28)	\$130,997	\$266,987	\$135,990
30. Total Operations (Item 5 and 29)	\$1,046,278	\$1,222,245	\$175,967

Comparison of FY 2021-22 to FY 2022-23

BUDGET ITEM:

B. Purchase of Services

Caseload Growth/Utilization

	FY 2021-22	FY 2022-23	Difference
1. Community Care Facilities	\$2,609,939	\$2,751,449	\$141,510
2. Medical Facilities	\$43,036	\$59,052	\$16,016
3. Day Programs	\$1,365,380	\$1,407,794	\$42,414
4. Habilitation Services	\$132,688	\$126,829	(\$5,859)
a. Work Activity Program	\$19,247	\$15,095	(\$4,152)
b. Supported Employment Program – Group Placement	\$75,107	\$69,142	(\$5,965)
c. Supported Employment Program – Individual Placement	\$38,334	\$42,592	\$4,258
5. Transportation	\$370,920	\$468,788	\$97,868
6. Support Services	\$2,298,543	\$2,485,930	\$187,387
7. In-Home Respite	\$1,097,217	\$1,284,971	\$187,754
8. Out-of-Home Respite	\$67,782	\$63,907	(\$3,875)
9. Health Care	\$231,511	\$316,953	\$85,442
10. Miscellaneous Services	\$674,177	\$736,936	\$62,759
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$9,115	\$9,115	\$0
12. Total POS Caseload Growth (Item 1 thru 11)	\$8,900,308	\$9,711,724	\$811,416

Policy

13. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	\$10,220	\$0	(\$10,220)
15. Health Facility Rate Increase	\$1,103	\$0	(\$1,103)
16. Senate Bill, 3 Minimum Wage Increase, Effective January 1, 2022	\$106,488	\$237,810	\$131,322
17. Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
18. Bilingual Differentials for Direct Service Professionals	\$3,600	\$7,200	\$3,600
19. Social Recreation and Camping Services	\$29,400	\$49,000	\$19,600
20. Senate Bill, 3 Minimum Wage Increase, Effective January 1, 2023	\$0	\$4,146	\$4,146
21. Coordinated Family Support Services	\$41,667	\$0	(\$41,667)
22. Work Activity Programs: New Service Model	\$0	\$8,233	\$8,233
23. Forensic Diversion	\$3,200	\$3,200	\$0
24. START Training	\$6,392	\$17,865	\$11,473
25. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
26. Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$17,415	\$1,215
27. Service Provider Rate Reform	\$127,083	\$554,167	\$427,084
28. Resources to Support Individuals Who Are Deaf	\$0	\$14,300	\$14,300
29. Total Policy (Item 13 thru 28)	\$395,653	\$963,636	\$567,983
30. Total Purchase of Services (Item 12 and 29)	\$9,295,961	\$10,675,360	\$1,379,399
C. Early Start Part C/Other Agency Costs	\$43,024	\$19,094	(\$23,930)
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0

E. GRAND TOTAL

\$10,387,266	\$11,918,702	\$1,531,436
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Comparison of FY 2021-22 to FY 2022-23**FUND SOURCE:**

	FY 2021-22	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$3,037,942	\$3,850,655	\$812,713
2. General Fund Other	\$2,905,059	\$3,281,221	\$376,162
3. General Fund Total (Item 1 and 2)	\$5,943,001	\$7,131,876	\$1,188,875
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,926,868	\$2,865,498	(\$61,370)
2. Home and Community-Based Services Waiver Administration	\$19,821	\$24,708	\$4,887
3. Medicaid Administration	\$19,048	\$19,048	\$0
4. Targeted Case Management	\$294,680	\$312,457	\$17,777
5. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$63,256	\$56,277	(\$6,979)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,959	\$9,959	\$0
8. 1915(i) State Plan Amendment	\$522,254	\$515,380	(\$6,874)
9. Early Periodic Screening Diagnosis and Treatment	\$28,514	\$27,272	(\$1,242)
10. Behavioral Health Treatment Fee-for-Service	\$13,296	\$13,296	\$0
11. Self-Determination Program Waiver	\$19,792	\$41,872	\$22,080
12. Reimbursements Total (Item 1 thru 11)	\$4,130,909	\$4,099,188	(\$31,721)
C. Program Development Fund/Parental Fees	\$204	\$204	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. HCBS ARPA Funding			
1. HCBS ARPA	\$138,850	\$382,700	\$243,850
2. HCBS ARPA Reimbursements	\$88,614	\$249,781	\$161,167
3. HCBS ARPA Funding Total (Item 1 and 2)	\$227,464	\$632,481	\$405,017
G. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$83,658	\$52,923	(\$30,735)
2. Foster Grandparent Program	\$1,140	\$1,140	\$0
3. Federal Funds Total (Item 1 and 2)	\$84,798	\$54,063	(\$30,735)
H. GRAND TOTAL	\$10,387,266	\$11,918,702	\$1,531,436

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Population

FY 2021-22

<i>Estimated Population as of January 2022</i>	Enacted Budget	FY 2021-22	Difference	Percent Change
Active Status (Age 3 & Older)	332,465	332,465	0	0.00%
Early Start (Birth through 35 Months)	53,966	53,966	0	0.00%
Total Community Population	386,431	386,431	0	0.00%

FY 2022-23

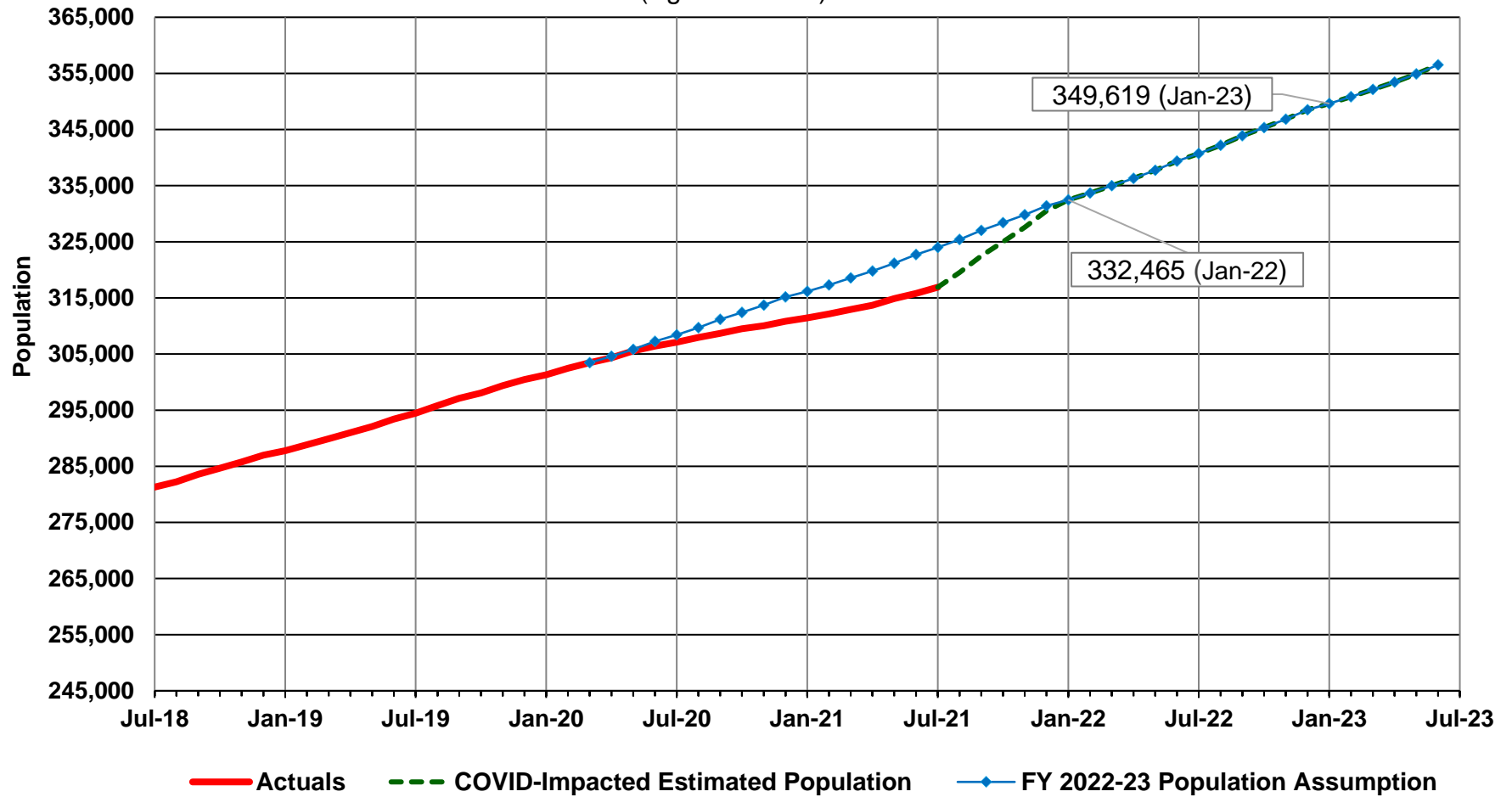
<i>Estimated Population as of January 2023</i>	Enacted Budget	FY 2022-23	Difference	Percent Change
Active Status (Age 3 & Older)	332,465	349,619	17,154	5.16%
Early Start (Birth through 35 Months)	53,966	58,015	4,049	7.50%
Total Community Population	386,431	407,634	21,203	5.49%

FY 2021-22 vs. FY 2022-23

	FY 2021-22	FY 2022-23	Difference	Percent Change
Active Status (Age 3 & Older)	332,465	349,619	17,154	5.16%
Early Start (Birth through 35 Months)	53,966	58,015	4,049	7.50%
Total Community Population	386,431	407,634	21,203	5.49%

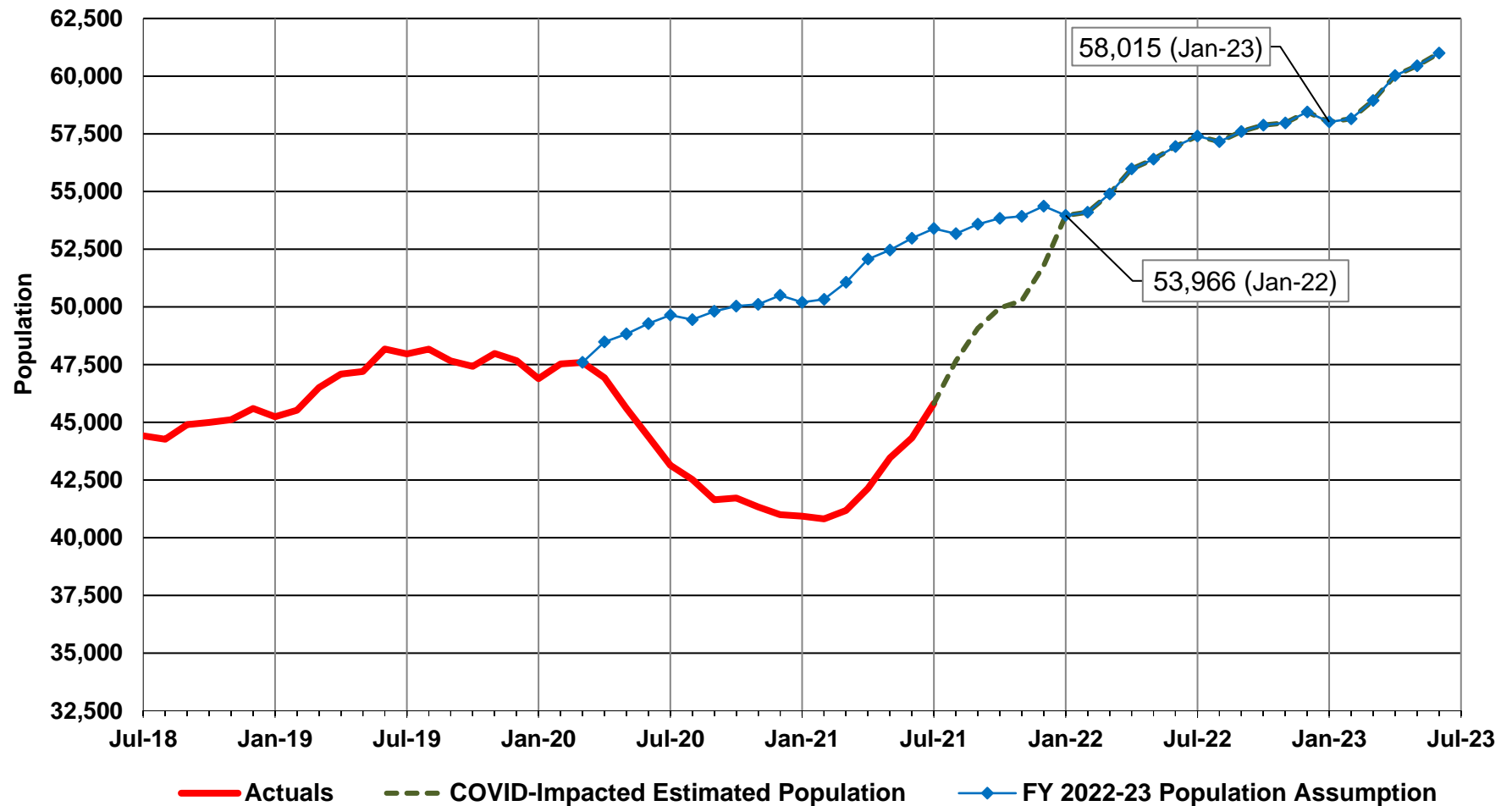
Population

ACTIVE STATUS (Age 3 & Older)



Population

EARLY START (Birth through 35 Months)



Population

COMMUNITY POPULATION (Active Status and Early Start)

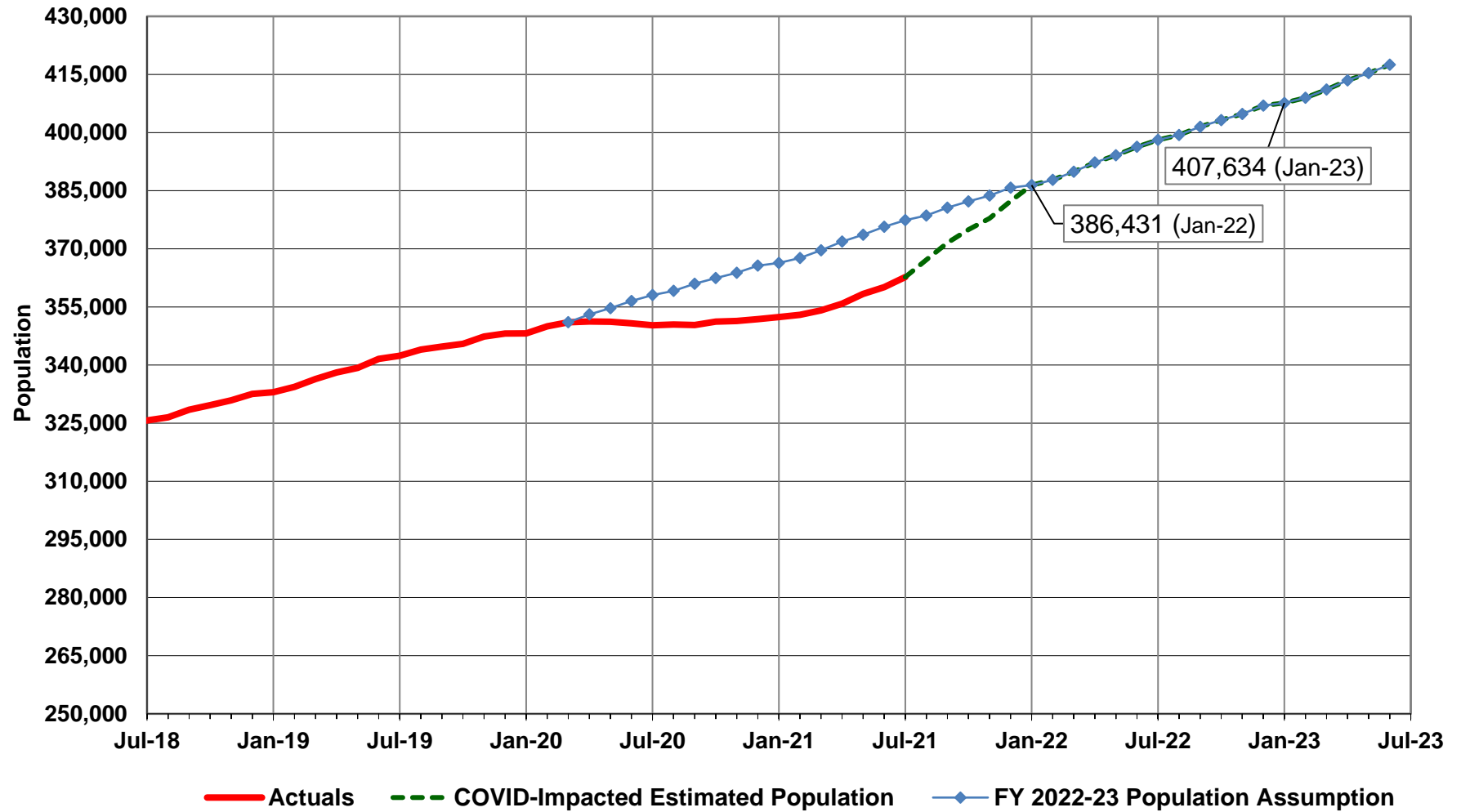


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Comparison of Enacted Budget to Governor's Budget FY 2021-22 OPERATIONS

	Enacted Budget	FY 2021-22	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	332,465	332,465	0
B. Early Start (Birth through 35 Months)	53,966	53,966	0
C. Total Community Population	386,431	386,431	0
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$758,503	\$758,503	\$0
1) <i>Personal Services and Operating Expenses</i>	\$677,895	\$677,895	\$0
2) <i>Regional Center Rent</i>	\$80,608	\$80,608	\$0
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	\$72	\$49	(\$23)
C. Community Placement Plan	\$15,265	\$15,265	\$0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	\$1,893	\$1,893	\$0
E. Ongoing Operation Items	\$99,241	\$99,241	\$0
F. Less: Reductions	(\$41,878)	(\$41,878)	\$0
G. Total Staffing Expenditures	\$833,096	\$833,073	(\$23)
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	\$8,700	\$8,700	\$0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	\$12,928	\$12,928	\$0
D. Targeted Case Management	\$4,129	\$4,129	\$0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	\$473	\$473	\$0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	\$984	\$984	\$0
G. Total Federal Compliance	\$48,349	\$48,349	\$0
3. Projects			
A. Information Technology Costs	\$4,462	\$4,462	\$0
1) <i>Regional Center Application Support</i>	\$3,212	\$3,212	\$0
2) <i>Data Processing</i>	\$1,250	\$1,250	\$0
B. Clients' Rights Advocacy	\$8,304	\$8,304	\$0
C. Quality Assessment	\$4,640	\$4,640	\$0
D. Direct Support Professional Training	\$3,900	\$3,900	\$0
E. Office of Administrative Hearings	\$3,885	\$3,885	\$0
F. Wellness Projects	\$100	\$100	\$0
G. Foster Grandparent/Senior Companion	\$4,151	\$4,151	\$0
H. Special Incident Reporting/Risk Assessment	\$1,200	\$1,200	\$0
I. Increased Access to Mental Health Services	\$740	\$740	\$0
J. Sherry S. Court Case	\$4	\$4	\$0
K. FY 2003-04 FFP Enhancement, Phase II	\$500	\$500	\$0
L. Housing Projects	\$135	\$135	\$0
M. Review of Senate Bill 1175 Housing Proposals	\$150	\$150	\$0
N. Total Projects	\$32,171	\$32,171	\$0
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,688	(\$94)
5. Total Operation Caseload Growth (Item 1 thru 4)	\$915,398	\$915,281	(\$117)

Comparison of Enacted Budget to Governor's Budget FY 2021-22 OPERATIONS

II. BUDGET ITEMS:	Enacted Budget	FY 2021-22	Difference
Policy			
6. Specialized Caseload Ratio	\$4,200	\$4,200	\$0
7. Specialized Home Monitoring	\$8,315	\$8,315	\$0
8. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
9. START Training	\$10,215	\$10,215	\$0
10. Regional Center Emergency Coordinators	\$2,017	\$2,017	\$0
11. Community Navigators	\$5,300	\$5,300	\$0
12. Tribal Engagement for Early Start Services	\$500	\$500	\$0
13. Enhanced Service Coordination	\$12,800	\$12,800	\$0
14. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
15. Implicit Bias Training	\$7,029	\$7,029	\$0
16. Emergency Preparedness	\$4,300	\$4,300	\$0
17. Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
18. Employment Grant	\$14,706	\$14,706	\$0
19. Performance Incentives	\$3,676	\$3,676	\$0
20. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
21. Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
22. Forensic Diversion	\$534	\$534	\$0
23. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
24. Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$7,600	\$0
25. Service Provider Rate Reform	\$12,647	\$12,647	\$0
26. Total Policy (Item 6 thru 25)	\$130,997	\$130,997	\$0
27. Total Operations (Item 5 and 26)	\$1,046,395	\$1,046,278	(\$117)
III. FUND SOURCE:			
A. General Fund			
1. General Fund Match	\$270,191	\$258,531	(\$11,660)
2. General Fund Other	\$432,800	\$422,010	(\$10,790)
3. General Fund Total (Item 1 and 2)	\$702,991	\$680,541	(\$22,450)
B. Reimbursements			
1. Home and Community-Based Services Waiver Administration	\$21,514	\$19,821	(\$1,693)
2. Medicaid Administration	\$18,168	\$19,048	\$880
3. Targeted Case Management	\$271,487	\$294,680	\$23,193
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$891	\$844	(\$47)
5. Reimbursements Total (Item 1 thru 4)	\$312,060	\$334,393	\$22,333
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Service Fund	\$740	\$740	\$0
E. HCBS ARPA Funding			
1. HCBS ARPA	\$18,600	\$18,600	\$0
2. HCBS ARPA Reimbursements	\$10,714	\$10,714	\$0
3. HCBS ARPA Funding Total (Item 1 and 2)	\$29,314	\$29,314	\$0
F. Federal Fund: Foster Grandparent Program	\$1,140	\$1,140	\$0
G. GRAND TOTAL	\$1,046,395	\$1,046,278	(\$117)

Comparison of Enacted Budget to Governor's Budget FY 2022-23 OPERATIONS

	Enacted Budget	FY 2022-23	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	332,465	349,619	17,154
B. Early Start (Birth through 35 Months)	53,966	58,015	4,049
C. Total Community Population	386,431	407,634	21,203
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$758,503	\$795,655	\$37,152
1) <i>Personal Services and Operating Expenses</i>	\$677,895	\$708,443	\$30,548
2) <i>Regional Center Rent</i>	\$80,608	\$87,212	\$6,604
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	\$72	\$72	\$0
C. Community Placement Plan	\$15,265	\$15,265	\$0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	\$1,893	\$1,893	\$0
E. Ongoing Operation Items	\$99,241	\$99,241	\$0
F. Less: Reductions	(\$41,878)	(\$41,878)	\$0
G. Total Staffing Expenditures	\$833,096	\$870,248	\$37,152
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	\$8,700	\$8,700	\$0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	\$12,928	\$13,850	\$922
D. Targeted Case Management	\$4,129	\$4,129	\$0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	\$473	\$473	\$0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	\$984	\$984	\$0
G. Total Federal Compliance	\$48,349	\$49,271	\$922
3. Projects			
A. Information Technology Costs	\$4,462	\$4,712	\$250
1) <i>Regional Center Application Support</i>	\$3,212	\$3,462	\$250
2) <i>Data Processing</i>	\$1,250	\$1,250	\$0
B. Clients' Rights Advocacy	\$8,304	\$8,760	\$456
C. Quality Assessment	\$4,640	\$5,014	\$374
D. Direct Support Professional Training	\$3,900	\$4,700	\$800
E. Office of Administrative Hearings	\$3,885	\$3,885	\$0
F. Wellness Projects	\$100	\$100	\$0
G. Foster Grandparent/Senior Companion	\$4,151	\$4,151	\$0
H. Special Incident Reporting/Risk Assessment	\$1,200	\$1,200	\$0
I. Increased Access to Mental Health Services	\$740	\$740	\$0
J. Sherry S. Court Case	\$4	\$4	\$0
K. FY 2003-04 FFP Enhancement, Phase II	\$500	\$500	\$0
L. Housing Projects	\$135	\$135	\$0
M. Review of Senate Bill 1175 Housing Proposals	\$150	\$150	\$0
N. Total Projects	\$32,171	\$34,051	\$1,880
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,782	\$1,688	(\$94)
5. Total Operation Caseload Growth (Item 1 thru 4)	\$915,398	\$955,258	\$39,860

Comparison of Enacted Budget to Governor's Budget FY 2022-23 OPERATIONS

II. BUDGET ITEMS:	Enacted Budget	FY 2022-23	Difference
Policy			
6. Specialized Caseload Ratio	\$4,200	\$4,400	\$200
7. Specialized Home Monitoring	\$8,315	\$10,201	\$1,886
8. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
9. START Training	\$10,215	\$0	(\$10,215)
10. Regional Center Emergency Coordinators	\$2,017	\$2,500	\$483
11. Community Navigators	\$5,300	\$5,300	\$0
12. Tribal Engagement for Early Start Services	\$500	\$500	\$0
13. Enhanced Service Coordination	\$12,800	\$14,172	\$1,372
14. Direct Service Professional Workforce Training and Development	\$4,300	\$16,200	\$11,900
15. Implicit Bias Training	\$7,029	\$7,029	\$0
16. Emergency Preparedness	\$4,300	\$200	(\$4,100)
17. Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
18. Employment Grant	\$14,706	\$0	(\$14,706)
19. Performance Incentives	\$3,676	\$87,500	\$83,824
20. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
21. Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
22. Enrolling Vendors as Medicaid Providers	\$0	\$550	\$550
23. Reduced Caseload Ratio for Children through Age Five	\$0	\$51,084	\$51,084
24. Early Start – Part C to B Transitions	\$0	\$13,167	\$13,167
25. Forensic Diversion	\$534	\$534	\$0
26. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
27. Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$8,145	\$545
28. Service Provider Rate Reform	\$12,647	\$12,647	\$0
29. Total Policy (Item 6 thru 28)	\$130,997	\$266,987	\$135,990
30. Total Operations (Item 5 and 29)	\$1,046,395	\$1,222,245	\$175,850
III. FUND SOURCE:			
A. General Fund			
1. General Fund Match	\$270,191	\$344,358	\$74,167
2. General Fund Other	\$432,800	\$489,486	\$56,686
3. General Fund Total (Item 1 and 2)	\$702,991	\$833,844	\$130,853
B. Reimbursements			
1. Home and Community-Based Services Waiver Administration	\$21,514	\$24,708	\$3,194
2. Medicaid Administration	\$18,168	\$19,048	\$880
3. Targeted Case Management	\$271,487	\$312,457	\$40,970
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$891	\$844	(\$47)
5. Reimbursements Total (Item 1 thru 4)	\$312,060	\$357,057	\$44,997
C. Developmental Disabilities Services Account	\$150	\$150	\$0
D. Mental Health Service Fund	\$740	\$740	\$0
E. HCBS ARPA Funding			
1. HCBS ARPA	\$18,600	\$18,600	\$0
2. HCBS ARPA Reimbursements	\$10,714	\$10,714	\$0
3. HCBS ARPA Funding Total (Item 1 and 2)	\$29,314	\$29,314	\$0
F. Federal Fund: Foster Grandparent Program	\$1,140	\$1,140	\$0
G. GRAND TOTAL	\$1,046,395	\$1,222,245	\$175,850

Comparison of FY 2021-22 to FY 2022-23 OPERATIONS

	FY 2021-22	FY 2022-23	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	332,465	349,619	17,154
B. Early Start (Birth through 35 Months)	53,966	58,015	4,049
C. Total Community Population	386,431	407,634	21,203
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$758,503	\$795,655	\$37,152
1) Personal Services and Operating Expense	\$677,895	\$708,443	\$30,548
2) Regional Center Rent	\$80,608	\$87,212	\$6,604
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	\$49	\$72	\$23
C. Community Placement Plan	\$15,265	\$15,265	\$0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	\$1,893	\$1,893	\$0
E. Ongoing Operation Items	\$99,241	\$99,241	\$0
F. Less: Reductions	(\$41,878)	(\$41,878)	\$0
G. Total Staffing Expenditures	\$833,073	\$870,248	\$37,175
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	\$8,700	\$8,700	\$0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	\$12,928	\$13,850	\$922
D. Targeted Case Management	\$4,129	\$4,129	\$0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	\$473	\$473	\$0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	\$984	\$984	\$0
G. Total Federal Compliance	\$48,349	\$49,271	\$922
3. Projects			
A. Information Technology Costs	\$4,462	\$4,712	\$250
1) Regional Center Application Support	\$3,212	\$3,462	\$250
2) Data Processing	\$1,250	\$1,250	\$0
B. Clients' Rights Advocacy	\$8,304	\$8,760	\$456
C. Quality Assessment	\$4,640	\$5,014	\$374
D. Direct Support Professional Training	\$3,900	\$4,700	\$800
E. Office of Administrative Hearings	\$3,885	\$3,885	\$0
F. Wellness Projects	\$100	\$100	\$0
G. Foster Grandparent/Senior Companion Programs	\$4,151	\$4,151	\$0
H. Special Incident Reporting/Risk Assessment	\$1,200	\$1,200	\$0
I. Increased Access to Mental Health Services	\$740	\$740	\$0
J. Sherry S. Court Case	\$4	\$4	\$0
K. Enhancing FFP, Phase II, Proposal C, Consultant	\$500	\$500	\$0
L. Housing Projects	\$135	\$135	\$0
M. Review of Senate Bill 1175 Housing Proposals	\$150	\$150	\$0
N. Total Projects	\$32,171	\$34,051	\$1,880
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,688	\$1,688	\$0
5. Total Operation Caseload Growth (Item 1 thru 4)	\$915,281	\$955,258	\$39,977

Comparison of FY 2021-22 to FY 2022-23 OPERATIONS

II. BUDGET ITEMS:

	FY 2021-22	FY 2022-23	Difference
Policy			
6. Specialized Caseload Ratio	\$4,200	\$4,400	\$200
7. Specialized Home Monitoring	\$8,315	\$10,201	\$1,886
8. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
9. START Training	\$10,215	\$0	(\$10,215)
10. Regional Center Emergency Coordinators	\$2,017	\$2,500	\$483
11. Community Navigators	\$5,300	\$5,300	\$0
12. Tribal Engagement for Early Start Services	\$500	\$500	\$0
13. Enhanced Service Coordination	\$12,800	\$14,172	\$1,372
14. Direct Service Professional Workforce Training and Development	\$4,300	\$16,200	\$11,900
15. Implicit Bias Training	\$7,029	\$7,029	\$0
16. Emergency Preparedness	\$4,300	\$200	(\$4,100)
17. Resources to Support Individuals Who Are Deaf	\$2,379	\$2,379	\$0
18. Employment Grant	\$14,706	\$0	(\$14,706)
19. Performance Incentives	\$3,676	\$87,500	\$83,824
20. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
21. Health and Safety Waiver Assistance	\$4,412	\$4,412	\$0
22. Enrolling Vendors as Medicaid Providers	\$0	\$550	\$550
23. Reduced Caseload Ratio for Children through Age Five	\$0	\$51,084	\$51,084
24. Early Start – Part C to B Transitions	\$0	\$13,167	\$13,167
25. Forensic Diversion	\$534	\$534	\$0
26. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
27. Lanterman Act Provisional Eligibility Ages 3 and 4	\$7,600	\$8,145	\$545
28. Service Provider Rate Reform	\$12,647	\$12,647	\$0
29. Total Policy (Item 6 thru 28)	\$130,997	\$266,987	\$135,990
30. Total Operations (Item 5 and 29)	\$1,046,278	\$1,222,245	\$175,967

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$258,531	\$344,358	\$85,827
2. General Fund Other	\$422,010	\$489,486	\$67,476
3. General Fund Total (Item 1 and 2)	\$680,541	\$833,844	\$153,303

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$19,821	\$24,708	\$4,887
2. Medicaid Administration	\$19,048	\$19,048	\$0
3. Targeted Case Management	\$294,680	\$312,457	\$17,777
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$844	\$844	\$0
5. Reimbursements Total (Item 1 thru 4)	\$334,393	\$357,057	\$22,664

C. Developmental Disabilities Services Account

\$150	\$150	\$0
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D. Mental Health Service Fund

\$740	\$740	\$0
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E. HCBS ARPA Funding

1. HCBS ARPA	\$18,600	\$18,600	\$0
2. HCBS ARPA Reimbursements	\$10,714	\$10,714	\$0
3. HCBS ARPA Funding Total (Item 1 and 2)	\$29,314	\$29,314	\$0

E. Federal Fund: Foster Grandparent Program

\$1,140	\$1,140	\$0
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F. GRAND TOTAL

\$1,046,278	\$1,222,245	\$175,967
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Staffing Expenditures

BACKGROUND:

Staffing includes personal services and operating expenses for Core Staffing, Rent, Community Placement Plan, and mover Continuation Cost.

To address increased needs created by the pandemic and to mitigate an anticipated backlog in new referrals, regional center operations were not adjusted for the lower caseload.

METHODOLOGY:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
• Population Projections: (See Section C, Population, for details)		
• Active Status (Age 3 and Older)	332,465	349,619
• Early Start (Birth through 35 Months)	53,966	58,015
Subtotal Community Population:	386,431	407,634
• State Operated Facilities Population:	<u>322</u>	<u>322</u>
Total Population:	386,753	407,956
• Informational:		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	138,091	149,052
• Early Start (with Assessment)	61,031	65,552
• Placement Continuation Consumers	38	55
• Intake cases per month	9,289	9,289
• Vendors	44,158	44,158
• Mediations per year	400	400
CORE STAFFING:		
PERSONAL SERVICES:		
• Direct Services and Administrative Positions:	\$522,721	\$546,579
FY 2021-22 14,185		
FY 2022-23 14,797		
<i>See Attachment A for Core Staffing Estimate.</i>		
<i>See Attachment B for Core Staffing Formulas.</i>		
• Fringe Benefits:	\$123,885	\$129,539
<i>Calculated at 23.7 percent per position.</i>		
• Salary Savings:	(\$22,167)	(\$23,093)
<i>Client Program Coordinators: 1.0 percent per position</i>	(\$2,977)	(\$3,131)
<i>All Other Staff: 5.5 percent per position</i>	(\$19,190)	(\$19,962)

Staffing Expenditures

METHODOLOGY (CONTINUED):	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> Early Start Administrative and Clinical Support: <i>Includes salaries, fringe benefits, and salary savings.</i> 	\$694	\$694
TOTAL PERSONAL SERVICES:	\$625,133	\$653,719
OPERATING EXPENSES:		
<ul style="list-style-type: none"> Operating Expenses: <i>Base amount plus the following adjustments:</i> <i>Professional Positions: \$3,400</i> <i>Clerical Positions: \$2,400</i> 	\$52,762	\$54,724
<ul style="list-style-type: none"> Rent: 	\$80,608	\$87,212
TOTAL OPERATING EXPENSES:	\$133,370	\$141,936
TOTAL CORE STAFFING:	\$758,503	\$795,655
Enhanced Caseload Ratio 1:45 for DC Movers:	\$49	\$72
Community Placement Plan: <i>See Community Placement Plan for details, in Section F.</i>	\$15,265	\$15,265
Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services: <i>Funding provides one Community Program Specialist I and one Account Clerk II for each Regional Center.</i>	\$1,893	\$1,893
Ongoing Operation Items: <i>Previously approved policy items with ongoing impacts to regional center operating expenses.</i>	\$99,241	\$99,241
Less Reductions:	(\$41,878)	(\$41,878)
TOTAL EXPENDITURES:	\$833,073	\$870,248

REASON FOR CHANGE:

The change to both years is due to changes in community caseload and increases in facility rent.

Staffing Expenditures

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$833,096	\$833,073	(\$23)
GF	\$577,962	\$572,261	(\$5,701)
Reimbursements	\$255,134	\$260,812	\$5,678

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$833,073	\$870,248	\$37,175
GF	\$572,261	\$600,281	\$28,020
Reimbursements	\$260,812	\$269,967	\$9,155

Attachment A

CORE STAFFING ESTIMATE – FY 2021-22

A. PERSONAL SERVICES**1. DIRECT SERVICES****a. Clinical**

(1) Intake and Assessment

(a) Physician

(b) Psychologist

(c) Nurse

(d) Nutritionist

(2) Clinical Support Teams

(a) Physician/Psychiatrist

(b) Consulting Pharmacist

(c) Behavioral Psychologist

(d) Nurse

(3) SB 1038 Health Reviews

(a) Physician

(b) Nurse

b. Intake / Case Management(1) Supervising Counselor (Intake) (1:10
Intake Workers in Item (2) below)

(2) Intake Worker

(3) Supervising Counselor (Case
Management) (1:10 CPCs in Items (6),
(7) and (8) below)(4) Supervising Counselor (Capitol People
First) (DC Case Management 1:10
CPCs)(5) Client Program Coordinator (CPC), 1:66
DC Consumers (Capitol People First)(6) CPC, 1:66 Consumers (Total Pop w/o
DCs, CPP, ES)(7) CPC (Waiver, Early Start only), 1:62
Consumers

(8) CPC, Quality Assurance for ARM

(9) Supervising Counselor, DSS Incidental
Medical Care Regulations (1:10 CPCs)(10) CPC, DSS Incidental Medical Care
Regs**c. Quality Assurance / Quarterly
Monitoring**

(1) Supervising Counselor

(2) CPC

d. Early Intervention

(1) General

(a) Prevention Coordinator

(b) High-Risk Infant Case Manager

(c) Genetics Associate

(2) Early Start

(a) Supervising Counselor

(b) CPC

(c) Administrative and Clinical Support
(see page after next)

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(1) Intake and Assessment					
(a) Physician	\$15,329,426	193.38	\$79,271	\$15,329,426	\$0
(b) Psychologist	\$16,148,360	386.75	\$41,754	\$16,148,360	\$0
(c) Nurse	\$7,188,128	193.38	\$37,171	\$7,188,128	\$0
(d) Nutritionist	\$5,879,402	193.38	\$30,403	\$5,879,402	\$0
(2) Clinical Support Teams					
(a) Physician/Psychiatrist	\$8,835,264	96.00	\$92,034	\$8,835,264	\$0
(b) Consulting Pharmacist	\$5,803,200	96.00	\$60,450	\$5,803,200	\$0
(c) Behavioral Psychologist	\$5,277,312	96.00	\$54,972	\$5,277,312	\$0
(d) Nurse	\$4,845,888	96.00	\$50,478	\$4,845,888	\$0
(3) SB 1038 Health Reviews					
(a) Physician	\$3,200,943	34.78	\$92,034	\$3,200,943	\$0
(b) Nurse	\$8,193,084	162.31	\$50,478	\$8,193,084	\$0
b. Intake / Case Management					
(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	\$5,047,377	132.70	\$38,036	\$5,047,377	\$0
(2) Intake Worker	\$41,842,964	1,327.00	\$31,532	\$41,842,964	\$0
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	\$31,910,395	609.07	\$52,392	\$31,910,395	\$0
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	\$242,592	3.61	\$67,200	\$242,592	\$0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)	\$1,698,326	36.12	\$47,019	\$1,698,326	\$0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	\$100,213,350	2,944.68	\$34,032	\$100,213,350	\$0
(7) CPC (Waiver, Early Start only), 1:62 Consumers	\$105,420,586	3,097.69	\$34,032	\$105,420,586	\$0
(8) CPC, Quality Assurance for ARM	\$1,646,128	48.37	\$34,032	\$1,646,128	\$0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	\$79,636	1.52	\$52,392	\$79,636	\$0
(10) CPC, DSS Incidental Medical Care Regs	\$573,034	15.15	\$37,824	\$573,034	\$0
c. Quality Assurance / Quarterly Monitoring					
(1) Supervising Counselor	\$2,321,490	44.31	\$52,392	\$2,321,490	\$0
(2) CPC	\$15,080,260	443.12	\$34,032	\$15,080,260	\$0
d. Early Intervention					
(1) General					
(a) Prevention Coordinator	\$876,792	21.00	\$41,752	\$876,792	\$0
(b) High-Risk Infant Case Manager	\$856,905	21.00	\$40,805	\$856,905	\$0
(c) Genetics Associate	\$798,714	21.00	\$38,034	\$798,714	\$0
(2) Early Start					
(a) Supervising Counselor	\$1,948,458	37.19	\$52,392	\$1,948,458	\$0
(b) CPC	\$12,655,480	371.87	\$34,032	\$12,655,480	\$0
(c) Administrative and Clinical Support (see page after next)					

Attachment A

CORE STAFFING ESTIMATE – FY 2021-22

A. PERSONAL SERVICES**1. DIRECT SERVICES****e. Community Services**

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(1) Special Incident Coordinator	\$1,100,232	21.00	\$52,392	\$1,100,232	\$0
(2) Vendor Fiscal Monitor	\$1,248,729	24.56	\$50,844	\$1,248,729	\$0
(3) Program Evaluator	\$898,653	21.00	\$42,793	\$898,653	\$0
(4) Resource Developer	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Transportation Coordinator	\$898,653	21.00	\$42,793	\$898,653	\$0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	\$449,327	10.50	\$42,793	\$449,327	\$0
(7) Developmental Center Liaison	\$107,262	2.82	\$38,036	\$107,262	\$0
(8) Diversion	\$126,584	4.00	\$31,646	\$126,584	\$0
(9) Placement Continuation:					
(a) Supervising Counselor	\$1,572	0.03	\$52,392	\$1,572	\$0
(b) CPC (Supplement at 1:45 Consumers)	\$8,508	0.25	\$34,032	\$8,508	\$0

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	\$515,013	9.83	\$52,392	\$515,013	\$0
(2) QA/CPC	\$3,345,005	98.29	\$34,032	\$3,345,005	\$0
(3) Nurse	\$2,480,489	49.14	\$50,478	\$2,480,489	\$0

g. Mediation

(1) Clinical Staff	\$7,093	0.11	\$64,484	\$7,093	\$0
(2) Supervising Counselor	\$52,916	1.01	\$52,392	\$52,916	\$0
(3) CPC	\$17,356	0.51	\$34,032	\$17,356	\$0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	\$1,371,888	21.00	\$65,328	\$1,371,888	\$0
(2) ASD Program Coordinator	\$1,318,464	21.00	\$62,784	\$1,318,464	\$0

i. SUBTOTAL DIRECT SERVICES

\$418,759,891	11,050.43		\$418,759,891	\$0
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A. PERSONAL SERVICES**2. ADMINISTRATION****a. Executive Staff**

(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	\$1,009,449	21.00	\$48,069	\$1,009,449	\$0
(3) Chief Counselor	\$986,643	21.00	\$46,983	\$986,643	\$0

b. Fiscal

(1) Federal Program Coordinator (Enh. FFP, Phase I)	\$1,206,177	21.00	\$57,437	\$1,206,177	\$0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	\$5,507,996	138.09	\$39,887	\$5,507,996	\$0
(3) Fiscal Manager	\$963,480	21.00	\$45,880	\$963,480	\$0
(4) Program Tech II (FCPP)	\$883,255	24.22	\$36,468	\$883,255	\$0
(5) Revenue Clerk	\$1,754,204	56.72	\$30,927	\$1,754,204	\$0
(6) Account Clerk (Enh. FFP, Phase II)	\$638,895	21.00	\$30,424	\$638,895	\$0
(7) Account Clerk	\$14,909,607	483.44	\$30,841	\$14,909,607	\$0

c. Information Systems and Human Resources

(1) Information Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(2) Information Systems Assistant	\$1,000,692	21.00	\$47,652	\$1,000,692	\$0
(3) Information Systems Assistant (SIR)	\$500,346	10.50	\$47,652	\$500,346	\$0
(4) Privacy Officer (HIPAA)	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Personal Computer Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(6) Training Officer	\$1,099,728	21.00	\$52,368	\$1,099,728	\$0
(7) Training Officer (SIR)	\$549,864	10.50	\$52,368	\$549,864	\$0
(8) Human Resources Manager	\$1,067,724	21.00	\$50,844	\$1,067,724	\$0

Attachment A

CORE STAFFING ESTIMATE – FY 2021-22

A. PERSONAL SERVICES	Enacted		Budgeted		
2. ADMINISTRATION	Budget	Positions	Salary	Cost	Difference
d. Clerical Support					
(1) Office Supervisor	\$645,506	21.00	\$30,738	\$645,506	\$0
(2) PBX/Mail/File Clerk	\$1,942,895	63.00	\$30,840	\$1,942,895	\$0
(3) Executive Secretary	\$1,619,080	52.50	\$30,840	\$1,619,080	\$0
(4) MD/Psychologist Secretary II	\$534,467	17.39	\$30,734	\$534,467	\$0
(5) MD/Psychologist Secretary I	\$8,945,646	290.07	\$30,840	\$8,945,646	\$0
(6) Secretary II	\$6,026,658	196.09	\$30,734	\$6,026,658	\$0
(7) Secretary I	\$46,984,228	1,512.83	\$31,057	\$46,984,228	\$0
(8) Secretary I (DC Case Management-Capitol People First)	\$210,834	6.62	\$31,848	\$210,834	\$0
e. SUBTOTAL ADMINISTRATION	<u>\$103,961,413</u>	<u>3,134.97</u>		<u>\$103,961,413</u>	<u>\$0</u>
3. TOTAL POSITIONS AND SALARIES (Item A.1.i. + Item A.2.e.)	<u>\$522,721,304</u>	<u>14,185.40</u>		<u>\$522,721,304</u>	<u>\$0</u>
a. CPCs	\$240,658,033			\$240,658,033	\$0
b. All Other Staff	\$282,063,271			\$282,063,271	\$0
4. Fringe Benefits					
a. CPCs 23.7%	\$57,035,954			\$57,035,954	\$0
b. All Other Staff 23.7%	\$66,848,995			\$66,848,995	\$0
c. Total Fringe Benefits	<u>\$123,884,949</u>			<u>\$123,884,949</u>	<u>\$0</u>
5. Salary Savings					
a. CPCs 1.0%	(\$2,976,940)			(\$2,976,940)	\$0
b. All Other Staff 5.5%	(\$19,190,175)			(\$19,190,175)	\$0
c. Total Salary Savings	<u>(\$22,167,115)</u>			<u>(\$22,167,115)</u>	<u>\$0</u>
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	<u>\$694,000</u>			<u>\$694,000</u>	<u>\$0</u>
7. TOTAL PERSONAL SERVICES (Items A.3. + A.4. + A.5. + A.6.) ROUNDED	<u>\$625,133,138</u>			<u>\$625,133,138</u>	<u>\$0</u>
	<u>\$625,133,000</u>	<u>14,185.00</u>		<u>\$625,133,000</u>	<u>\$0</u>
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	\$52,762,000			\$52,762,000	\$0
2. Rent	\$80,608,000			\$80,608,000	\$0
3. Subtotal Operating Expenses and Rent	<u>\$133,370,000</u>			<u>\$133,370,000</u>	<u>\$0</u>
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	<u>\$758,503,000</u>			<u>\$758,503,000</u>	<u>\$0</u>

Attachment A

CORE STAFFING ESTIMATE – FY 2022-23

A. PERSONAL SERVICES**1. DIRECT SERVICES****a. Clinical**

(1) Intake and Assessment

(a) Physician

(b) Psychologist

(c) Nurse

(d) Nutritionist

(2) Clinical Support Teams

(a) Physician/Psychiatrist

(b) Consulting Pharmacist

(c) Behavioral Psychologist

(d) Nurse

(3) SB 1038 Health Reviews

(a) Physician

(b) Nurse

b. Intake / Case Management(1) Supervising Counselor (Intake) (1:10
Intake Workers in Item (2) below)

(2) Intake Worker

(3) Supervising Counselor (Case
Management) (1:10 CPCs in Items (6),
(7) and (8) below)(4) Supervising Counselor (Capitol People
First) (DC Case Management 1:10
CPCs)(5) Client Program Coordinator (CPC), 1:66
DC Consumers (Capitol People First)(6) CPC, 1:66 Consumers (Total Pop w/o
DCs, CPP, ES)(7) CPC (Waiver, Early Start only), 1:62
Consumers

(8) CPC, Quality Assurance for ARM

(9) Supervising Counselor, DSS Incidental
Medical Care Regulations (1:10 CPCs)(10) CPC, DSS Incidental Medical Care
Regs**c. Quality Assurance / Quarterly
Monitoring**

(1) Supervising Counselor

(2) CPC

d. Early Intervention(1) **General**

(a) Prevention Coordinator

(b) High-Risk Infant Case Manager

(c) Genetics Associate

(2) **Early Start**

(a) Supervising Counselor

(b) CPC

(c) Administrative and Clinical Support
(see page after next)

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(1) Intake and Assessment					
(a) Physician	\$15,329,426	203.98	\$79,271	\$16,169,699	\$840,273
(b) Psychologist	\$16,148,360	407.96	\$41,754	\$17,033,962	\$885,602
(c) Nurse	\$7,188,128	203.98	\$37,171	\$7,582,141	\$394,013
(d) Nutritionist	\$5,879,402	203.98	\$31,521	\$6,429,613	\$550,211
(2) Clinical Support Teams					
(a) Physician/Psychiatrist	\$8,835,264	98.00	\$92,034	\$9,019,332	\$184,068
(b) Consulting Pharmacist	\$5,803,200	98.00	\$60,450	\$5,924,100	\$120,900
(c) Behavioral Psychologist	\$5,277,312	98.00	\$54,972	\$5,387,256	\$109,944
(d) Nurse	\$4,845,888	98.00	\$50,478	\$4,946,844	\$100,956
(3) SB 1038 Health Reviews					
(a) Physician	\$3,200,943	37.34	\$92,034	\$3,436,550	\$235,607
(b) Nurse	\$8,193,084	174.27	\$50,478	\$8,796,801	\$603,717
b. Intake / Case Management					
(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	\$5,047,377	132.70	\$38,036	\$5,047,377	\$0
(2) Intake Worker	\$41,842,964	1,327.00	\$31,532	\$41,842,964	\$0
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	\$31,910,395	642.67	\$52,392	\$33,670,767	\$1,760,372
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	\$242,592	3.61	\$67,200	\$242,592	\$0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)	\$1,698,326	36.12	\$47,019	\$1,698,326	\$0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	\$100,213,350	3,038.50	\$34,032	\$103,406,232	\$3,192,882
(7) CPC (Waiver, Early Start only), 1:62 Consumers	\$105,420,586	3,339.79	\$34,032	\$113,659,733	\$8,239,147
(8) CPC, Quality Assurance for ARM	\$1,646,128	48.37	\$34,032	\$1,646,128	\$0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	\$79,636	1.52	\$52,392	\$79,636	\$0
(10) CPC, DSS Incidental Medical Care Regs	\$573,034	15.15	\$37,824	\$573,034	\$0
c. Quality Assurance / Quarterly Monitoring					
(1) Supervising Counselor	\$2,321,490	44.31	\$52,392	\$2,321,490	\$0
(2) CPC	\$15,080,260	443.12	\$34,032	\$15,080,260	\$0
d. Early Intervention					
(1) General					
(a) Prevention Coordinator	\$876,792	21.00	\$41,752	\$876,792	\$0
(b) High-Risk Infant Case Manager	\$856,905	21.00	\$40,805	\$856,905	\$0
(c) Genetics Associate	\$798,714	21.00	\$38,034	\$798,714	\$0
(2) Early Start					
(a) Supervising Counselor	\$1,948,458	39.94	\$52,392	\$2,092,536	\$144,078
(b) CPC	\$12,655,480	399.42	\$34,032	\$13,593,061	\$937,581
(c) Administrative and Clinical Support (see page after next)					

Attachment A

CORE STAFFING ESTIMATE – FY 2022-23

A. PERSONAL SERVICES**1. DIRECT SERVICES****e. Community Services**

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(1) Special Incident Coordinator	\$1,100,232	21.00	\$52,392	\$1,100,232	\$0
(2) Vendor Fiscal Monitor	\$1,248,729	24.56	\$50,844	\$1,248,729	\$0
(3) Program Evaluator	\$898,653	21.00	\$42,793	\$898,653	\$0
(4) Resource Developer	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Transportation Coordinator	\$898,653	21.00	\$42,793	\$898,653	\$0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	\$449,327	10.50	\$42,793	\$449,327	\$0
(7) Developmental Center Liaison	\$107,262	2.82	\$38,036	\$107,262	\$0
(8) Diversion	\$126,584	4.00	\$31,646	\$126,584	\$0
(9) Placement Continuation:					
(a) Supervising Counselor	\$1,572	0.03	\$52,392	\$1,572	\$0
(b) CPC (Supplement at 1:45 Consumers)	\$8,508	0.27	\$34,032	\$9,189	\$681

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	\$515,013	10.25	\$52,392	\$537,018	\$22,005
(2) QA/CPC	\$3,345,005	102.53	\$34,032	\$3,489,301	\$144,296
(3) Nurses	\$2,480,489	51.26	\$50,478	\$2,587,502	\$107,013

g. Mediation

(1) Clinical Staff	\$7,093	0.11	\$64,484	\$7,093	\$0
(2) Supervising Counselor	\$52,916	1.01	\$52,392	\$52,916	\$0
(3) CPC	\$17,356	0.51	\$34,032	\$17,356	\$0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	\$1,371,888	21.00	\$65,328	\$1,371,888	\$0
(2) ASD Program Coordinator	\$1,318,464	21.00	\$62,784	\$1,318,464	\$0

i. SUBTOTAL DIRECT SERVICES

\$418,759,891	11,532.58		\$437,333,237	\$18,573,346
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A. PERSONAL SERVICES**2. ADMINISTRATION****a. Executive Staff**

(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	\$1,009,449	21.00	\$48,069	\$1,009,449	\$0
(3) Chief Counselor	\$986,643	21.00	\$46,983	\$986,643	\$0

b. Fiscal

(1) Federal Program Coordinator (Enh. FFP, Phase I)	\$1,206,177	21.00	\$57,437	\$1,206,177	\$0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	\$5,507,996	149.05	\$39,887	\$5,945,157	\$437,161
(3) Fiscal Manager	\$963,480	21.00	\$45,880	\$963,480	\$0
(4) Program Tech II (FCPP)	\$883,255	24.22	\$36,468	\$883,255	\$0
(5) Revenue Clerk	\$1,754,204	56.72	\$31,367	\$1,779,143	\$24,939
(6) Account Clerk (Enh. FFP, Phase II)	\$638,895	21.00	\$31,513	\$661,766	\$22,871
(7) Account Clerk	\$14,909,607	509.95	\$31,382	\$16,003,076	\$1,093,469

c. Information Systems and Human Resources

(1) Information Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(2) Information Systems Assistant	\$1,000,692	21.00	\$47,652	\$1,000,692	\$0
(3) Information Systems Assistant (SIR)	\$500,346	10.50	\$47,652	\$500,346	\$0
(4) Privacy Officer (HIPAA)	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Personal Computer Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(6) Training Officer	\$1,099,728	21.00	\$52,368	\$1,099,728	\$0
(7) Training Officer (SIR)	\$549,864	10.50	\$52,368	\$549,864	\$0
(8) Human Resources Manager	\$1,067,724	21.00	\$50,844	\$1,067,724	\$0

Attachment A

CORE STAFFING ESTIMATE – FY 2022-23

A. PERSONAL SERVICES	Enacted		Budgeted		
2. ADMINISTRATION	Budget	Positions	Salary	Cost	Difference
d. Clerical Support					
(1) Office Supervisor	\$645,506	21.00	\$31,399	\$659,375	\$13,869
(2) PBX/Mail/File Clerk	\$1,942,895	63.00	\$31,382	\$1,977,055	\$34,160
(3) Executive Secretary	\$1,619,080	52.50	\$31,382	\$1,647,546	\$28,466
(4) MD/Psychologist Secretary II	\$534,467	18.67	\$31,400	\$586,229	\$51,762
(5) MD/Psychologist Secretary I	\$8,945,646	305.97	\$31,382	\$9,601,899	\$656,253
(6) Secretary II	\$6,026,658	201.05	\$31,400	\$6,312,871	\$286,213
(7) Secretary I	\$46,984,228	1,582.99	\$31,345	\$49,619,404	\$2,635,176
(8) Secretary I (DC Case Management-Capitol People First)	\$210,834	6.62	\$31,848	\$210,834	\$0
e. SUBTOTAL ADMINISTRATION	<u>\$103,961,413</u>	<u>3,264.74</u>		<u>\$109,245,752</u>	<u>\$5,284,339</u>
3. TOTAL POSITIONS AND SALARIES (Item A.1.i. + Item A.2.e.)	<u>\$522,721,304</u>	<u>14,797.32</u>		<u>\$546,578,989</u>	<u>\$23,857,685</u>
a. CPCs	\$240,658,033			\$253,172,620	\$12,514,587
b. All Other Staff	\$282,063,271			\$293,406,369	\$11,343,098
4. Fringe Benefits					
a. CPCs 23.7%	\$57,035,954			\$60,001,911	\$2,965,957
b. All Other Staff 23.7%	\$66,848,995			\$69,537,309	\$2,688,314
c. Total Fringe Benefits	<u>\$123,884,949</u>			<u>\$129,539,220</u>	<u>\$5,654,271</u>
5. Salary Savings					
a. CPCs 1.0%	(\$2,976,940)			(\$3,131,745)	(\$154,805)
b. All Other Staff 5.5%	(\$19,190,175)			(\$19,961,902)	(\$771,727)
c. Total Salary Savings	<u>(\$22,167,115)</u>			<u>(\$23,093,647)</u>	<u>(\$926,532)</u>
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	<u>\$694,000</u>			<u>\$694,000</u>	<u>\$0</u>
7. TOTAL PERSONAL SERVICES (Items A.3. + A.4. + A.5. + A.6.) ROUNDED	<u>\$625,133,138</u>			<u>\$653,718,562</u>	<u>\$28,585,424</u>
	<u>\$625,133,000</u>	<u>14,797.00</u>		<u>\$653,719,000</u>	<u>\$28,586,000</u>
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	\$52,762,000			\$54,724,000	\$1,962,000
2. Rent	\$80,608,000			\$87,212,000	\$6,604,000
3. Subtotal Operating Expenses and Rent	<u>\$133,370,000</u>			<u>\$141,936,000</u>	<u>\$8,566,000</u>
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	<u>\$758,503,000</u>			<u>\$795,655,000</u>	<u>\$37,152,000</u>

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES		
1. DIRECT SERVICES		
a. Clinical		
(1) Intake and Assessment		
(a) Physician	1.0 Position :	2,000 total consumers
(b) Psychologist	1.0 Position :	1,000 total consumers
(c) Nurse	1.0 Position :	2,000 total consumers
(d) Nutritionist	1.0 Position :	2,000 total consumers
(2) Clinical Support Teams		
(a) Physician/Psychiatrist	1.0 Position :	1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 Position :	1,700 " "
(c) Behavioral Psychologist	1.0 Position :	1,700 " "
(d) Nurse	1.0 Position :	1,700 " "
(3) SB 1038 Health Reviews		
(a) Physician	1.5 hours :	Referral/1,778 hrs./full-time equivalent (FTE) position
(b) Nurse	1.75 hours :	Individual program plan (IPP) review/1,778 hrs./FTE position
b. Intake / Case Management		
(1) Supervising Counselor (Intake)	1.0 Position :	10 Intake Workers
(2) Intake Worker	1.0 Position :	14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor (Case Management)	1.0 Position :	10 CPCs in Items b. (6, 7 and 8) below
(4) Supervising Counselor (Capitol People First)	1.0 Position :	10 CPCs in Items b. (5) below
(5) Client Program Coordinator (CPC) (Capitol People First)	1.0 Position :	66 consumers (Developmental Center residents)
(6) CPC	1.0 Position :	66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 Position :	62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for ARM	1.0 Position :	527 CCF consumers
(9) Supervising Counselor, DSS Incidental Medical Care Regulations	1.0 Position :	10 CPCs in item b. (10) below
(10) CPC, DSS Incidental Medical Care Regs	1.0 Position :	2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living
c. Quality Assurance / Quarterly Monitoring		
(1) Supervising Counselor	1.0 Position :	10 CPCs in Item c. (2) below
(2) CPC	10 hrs/yr. :	CCF consumer/1,778 hrs./FTE
	14 hrs/yr. :	Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr. :	Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr. :	Family Home Agency consumer/1,778 hrs./FTE

Attachment B CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
1. DIRECT SERVICES (continued)		
d. Early Intervention		
(1) General		
(a) Prevention Coordinator	1.0 Position : RC	
(b) High-Risk Infant Case Manager	1.0 Position : RC	
(c) Genetics Associate	1.0 Position : RC	
(2) Early Start		
(a) Supervising Counselor	1.0 Position : 10 CPCs in Item d.(2)(b) below	
(b) CPC (Supplement at 1:45 Consumers)		
	Marginal positions from:	1.0 Position : 62 children < age 3 yrs
	to:	1.0 Position : 45 children < age 3 yrs ¹
e. Community Services		
(1) Special Incident Coordinator	1.0 Position : RC	
(2) Vendor Fiscal Monitor	0.5 Position : RC plus 1 : every 3,140 vendors	
(3) Program Evaluator	1.0 Position : RC	
(4) Resource Developer	1.0 Position : RC	
(5) Transportation Coordinator	1.0 Position : RC	
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997, Consumer Complaints)	0.5 Position : RC	
(7) Developmental Center Liaison	1.0 Position : 400 DC consumers	
(8) Diversion	4.0 Positions : 21 RCs	
(9) Placement Continuation:		
(a) Supervising Counselor	1.0 Position : 10 CPCs in Item e.(9)(b) below	
(b) CPC (Supplement at 1:45 Consumers)		
	Marginal positions from:	1.0 Position : 62 CPP Placements
	to:	1.0 Position : 45 CPP Placements
f. Special Incident Reporting (SIR)		
(1) Supervising Counselor	1.0 Position : 10 CPCs in Item f. (2) below	
(2) QA/CPC	1.0 Position : RC plus 1 : every 5,000 consumers	
(3) Nurse	0.5 Position : RC plus 0.5 : every 5,000 consumers	
g. Mediation		
(1) Clinical Staff	2.0 hours : 25% of annual mediations/ 1,778 hrs /FTE position	
(2) Supervising Counselor	4.5 hours : Mediation/1,778 hrs/FTE position	
(3) CPC	4.5 hours : 50% of annual mediations/ 1,778 hrs./FTE position	
h. Expansion of Autism Spectrum Disorders (ASD) Initiative		
(1) ASD Clinical Specialist	1.0 Position : RC	
(2) ASD Program Coordinator	1.0 Position : RC	

¹ This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
2. ADMINISTRATION		
a. Executive Staff		
(1) Director	1.0 Position : RC	
(2) Administrator	1.0 Position : RC	
(3) Chief Counselor	1.0 Position : RC	
b. Fiscal		
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 Position : RC	
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 Position : 1,000 HCBS Waiver consumers	
(3) Fiscal Manager	1.0 Position : RC	
(4) Program Technician II (FCPP)	0.5 Position : RC	
	1.0 Position : 1,778 hours of FCPP determinations	
(5) Revenue Clerk	1.0 Position : 400 consumers for whom RCs are representative payee	
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 Position : RC	
(7) Account Clerk	1.0 Position : 800 total consumers	
c. Information Systems and Human Resources		
(1) Information Systems Manager	1.0 Position : RC	
(2) Information Systems Assistant	1.0 Position : RC	
(3) Information Systems Assistant (SIR)	0.5 Position : RC	
(4) Privacy Officer (HIPAA)	1.0 Position : RC	
(5) Personal Computer Systems Manager	1.0 Position : RC	
(6) Training Officer	1.0 Position : RC	
(7) Training Officer (SIR)	0.5 Position : RC	
(8) Human Resources Manager	1.0 Position : RC	

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
2. ADMINISTRATION (continued)		
d. Clerical Support		
(1) Office Supervisor	1.0 Position : RC	
(2) PBX/Mail/File Clerk	3.0 Positions : RC	
(3) Executive Secretary	2.5 Positions : RC	
(4) MD/Psychologist Secretary II	1.0 Position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews	
(5) MD/Psychologist Secretary I	1.0 Position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment	
(6) Secretary II	1.0 Position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secretary I, line 1.e.(9) b 2.) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources	
(7) Secretary I	1.0 Position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secretary II, line 1.e.(9) b 1.)	
(8) Secretary I (DC Case Management-Capitol People First)	1.0 Position : 6 CPCs and Supervisors	

Federal Compliance

BACKGROUND:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

METHODOLOGY:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> HCBS Waiver: Operations costs for HCBS Waiver activities are based upon 6.5 percent of FY 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth. 	\$21,135	\$21,135
<ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports. 	\$8,700	\$8,700

Federal Compliance

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the case manager to waiver participant ratio of 1:62 is consistently met." This augmentation by the California Legislature is intended to assist in this requirement. 	\$12,928	\$13,850
<ul style="list-style-type: none"> TCM: Operations costs for TCM activities are based upon 5.8 percent of FY 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth. 	\$4,129	\$4,129
<ul style="list-style-type: none"> NHR/Pre-Admission Screening and Resident Review (PASRR): Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. 	\$473	\$473
<ul style="list-style-type: none"> Federal Medicaid Requirement for Regional Center HCBS: Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. 	\$984	\$984
TOTAL EXPENDITURES:	\$48,349	\$49,271

Federal Compliance

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to continued growth.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$48,349	\$48,349	\$0
GF	\$39,443	\$39,443	\$0
Reimbursements	\$8,906	\$8,906	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$48,349	\$49,271	\$922
GF	\$39,443	\$39,904	\$461
Reimbursements	\$8,906	\$9,367	\$461

Projects

BACKGROUND:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

METHODOLOGY:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> • Information Technology Costs: <ul style="list-style-type: none"> • <i>Regional Center Application Support</i> Increase \$250,000 to support SANDIS case management system. • <i>Data Processing</i> 	\$4,462 \$3,212	\$4,712 \$3,462
<ul style="list-style-type: none"> • Clients' Rights Advocacy: The Department contracts for clients' rights advocacy services for regional center consumers. Contract amount shown does not reflect administrative costs per Assembly Bill (AB) X2 1. 	\$1,250 \$8,304	\$1,250 \$8,760
<ul style="list-style-type: none"> • Quality Assessment: The Quality Assessment Project, as required by the Welfare and Institutions Code, §4571, implements the National Core Indicators (NCI) Survey to assess performance in services and supports provided to people with intellectual/developmental disabilities. In 2016, Senate Bill (SB) 982 expanded the Quality Assessment Project to include a Longitudinal Study of individuals transitioning to community settings from closing developmental centers. The Quality Assessment Project data will be used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. 	\$4,640	\$5,014
<ul style="list-style-type: none"> • Direct Support Professional Training: Welfare and Institutions Code §4695.2 mandates all direct support professionals working in licensed community care facilities complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 	\$3,900	\$4,700

Projects

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries; both the fair hearing and mediation processes satisfy this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct fair hearings to resolve conflicts between regional centers and consumers, and (2) provide mediation services. §4700 et seq. of the Lanterman Act provides mediation as a potential option to consumers whose services are proposed to be terminated, reduced, or suspended. 	\$3,885	\$3,885
<ul style="list-style-type: none"> Wellness Projects: Projects may include those that focus on health professional training programs, developmental, health, and trauma assessments, resource development for persons with a dual diagnosis, and training programs for parents and consumers. 	\$100	\$100
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older devote up to 20 hours a week to help people with developmental disabilities lead more independent and productive lives. Costs reflect the federally mandated \$0.35 increase to the volunteer stipend effective April 2020. 	\$4,151	\$4,151
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on developmental center transitions to the Center for Medicare & Medicaid Services; development of risk mitigation outreach and education to the regional center providers and self-advocates; facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 	\$1,200	\$1,200

Projects

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> Increased Access to Mental Health Services: Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 	\$740	\$740
<ul style="list-style-type: none"> Sherry S. Court Case: In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. 	\$4	\$4
<ul style="list-style-type: none"> FY 2003-04 FFP Enhancement, Phase II: These costs are associated with legal support for federal program activities. 	\$500	\$500
<ul style="list-style-type: none"> Housing Projects: The amounts budgeted represent funds that the Department pays to the Department of Housing and Community Development (HCD) through an interagency agreement. HCD provides fiscal reviews, on-site monitoring, and technical assistance on issues related to past affordable housing projects developed under the <i>Coffelt Settlement</i> (1994). The amounts also represent funds for various contracts established to facilitate the Department Asset Management functions for housing projects. 	\$135	\$135

Projects

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
• Review of Senate Bill (SB) 1175 Housing Proposals:	\$150	\$150
Pursuant to Chapter 617, Statutes of 2008 (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing the Departments' costs associated with conducting the review and approval of housing proposals.		
TOTAL EXPENDITURES:	\$32,171	\$34,051

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to anticipated contract costs.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$32,171	\$32,171	\$0
GF	\$24,671	\$24,672	\$1
Reimbursement	\$5,470	\$5,469	(\$1)
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
Federal Funds	\$1,140	\$1,140	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$32,171	\$34,051	\$1,880
GF	\$24,672	\$26,069	\$1,397
Reimbursement	\$5,469	\$5,952	\$483
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
Federal Funds	\$1,140	\$1,140	\$0

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees Operations

BACKGROUND:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

METHODOLOGY:

Billing costs are 1.5 percent of the purchase of services costs for Day Programs and Transportation. The Department estimates the total purchase of services costs to be \$112.5 million for Day Programs and Transportation, of which 1.5 percent equals \$1.7 million for regional center administration.

REASON FOR CHANGE:

The change in both years is due to updated actuals.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,782	\$1,688	(\$94)
GF	\$891	\$844	(\$47)
Reimbursement	\$891	\$844	(\$47)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,688	\$1,688	\$0
GF	\$844	\$844	\$0
Reimbursement	\$844	\$844	\$0

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Comparison of Enacted Budget to Governor's Budget FY 2021-22 PURCHASE OF SERVICES

I. BUDGET ITEMS:

	Enacted Budget	FY 2021-22	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,319,185	\$2,609,939	\$290,754
2. Medical Facilities	\$51,741	\$43,036	(\$8,705)
3. Day Programs	\$1,256,003	\$1,365,380	\$109,377
4. Habilitation Services	\$141,080	\$132,688	(\$8,392)
a. Work Activity Program	\$20,404	\$19,247	(\$1,157)
b. Supported Employment Program – Group Placement	\$74,798	\$75,107	\$309
c. Supported Employment Program – Individual Placement	\$45,878	\$38,334	(\$7,544)
5. Transportation	\$424,563	\$370,920	(\$53,643)
6. Support Services	\$2,056,833	\$2,298,543	\$241,710
7. In-Home Respite	\$959,831	\$1,097,217	\$137,386
8. Out-of-Home Respite	\$57,525	\$67,782	\$10,257
9. Health Care	\$251,424	\$231,511	(\$19,913)
10. Miscellaneous Services	\$660,090	\$674,177	\$14,087
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$9,612	\$9,115	(\$497)
12. Total POS Caseload Growth (Item 1 thru 11)	\$8,187,887	\$8,900,308	\$712,421
B. Policy			
13. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	\$10,020	\$10,220	\$200
15. Provider Supplemental Rate Increases*	\$470,762	\$0	(\$470,762)
16. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2021*	\$198,626	\$0	(\$198,626)
17. Uniform Holiday Schedule*	\$56,812	\$0	(\$56,812)
18. Increased Costs for COVID-19*	\$50,000	\$0	(\$50,000)
19. Health Facility Rate Increase	\$422	\$1,103	\$681
20. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022	\$159,237	\$106,488	(\$52,749)
21. Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
22. Bilingual Differentials for Direct Service Professionals	\$3,600	\$3,600	\$0
23. Social Recreation and Camping Services	\$29,400	\$29,400	\$0
24. Coordinated Family Support Services	\$41,667	\$41,667	\$0
25. Forensic Diversion	\$3,200	\$3,200	\$0
26. START Training	\$6,392	\$6,392	\$0
27. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
28. Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$16,200	\$0
29. Service Provider Rate Reform	\$127,083	\$127,083	\$0
30. Total Policy (Item 13 thru 29)	\$1,223,721	\$395,653	(\$828,068)
31. Total Purchase of Services (Item 12 and 30)	\$9,411,608	\$9,295,961	(\$115,647)

*These policies are fully incorporated into the caseload and utilization growth.

**Comparison of Enacted Budget to Governor's Budget
FY 2021-22
PURCHASE OF SERVICES**

II. FUND SOURCE:

	Enacted Budget	FY 2021-22	Difference
A. General Fund			
1. General Fund Match	\$3,019,710	\$2,779,411	(\$240,299)
2. General Fund Other	\$2,507,201	\$2,481,046	(\$26,155)
3. General Fund Total (Item 1 and 2)	\$5,526,911	\$5,260,457	(\$266,454)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,805,082	\$2,926,868	\$121,786
2. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$62,207	\$63,256	\$1,049
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,612	\$9,115	(\$497)
5. 1915(i) State Plan Amendment	\$497,281	\$522,254	\$24,973
6. Early Periodic Screening Diagnosis and Treatment	\$31,946	\$28,514	(\$3,432)
7. Behavioral Health Treatment Fee-for-Service	\$13,492	\$13,296	(\$196)
8. Self-Determination Program Waiver	\$19,233	\$19,792	\$559
9. Reimbursements Total (Item 1 thru 8)	\$3,652,274	\$3,796,516	\$144,242
C. Program Development Fund/Parental Fees	\$204	\$204	\$0
D. HCBS ARPA Funding			
1. HCBS ARPA	\$120,250	\$120,250	\$0
2. HCBS ARPA Reimbursements	\$77,900	\$77,900	\$0
3. HCBS ARPA Funding Total (Item 1 and 2)	\$198,150	\$198,150	\$0
E. Federal Fund: Early Start Part C/Other Agency Costs	\$34,069	\$40,634	\$6,565
F. GRAND TOTAL	\$9,411,608	\$9,295,961	(\$115,647)

Comparison of Enacted Budget to Governor's Budget FY 2022-23 PURCHASE OF SERVICES

I. BUDGET ITEMS:	Enacted Budget	FY 2022-23	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,319,185	\$2,751,449	\$432,264
2. Medical Facilities	\$51,741	\$59,052	\$7,311
3. Day Programs	\$1,256,003	\$1,407,794	\$151,791
4. Habilitation Services	\$141,080	\$126,829	(\$14,251)
a. Work Activity Program	\$20,404	\$15,095	(\$5,309)
b. Supported Employment Program – Group Placement	\$74,798	\$69,142	(\$5,656)
c. Supported Employment Program – Individual Placement	\$45,878	\$42,592	(\$3,286)
5. Transportation	\$424,563	\$468,788	\$44,225
6. Support Services	\$2,056,833	\$2,485,930	\$429,097
7. In-Home Respite	\$959,831	\$1,284,971	\$325,140
8. Out-of-Home Respite	\$457,525	\$63,907	\$6,382
9. Health Care	\$251,424	\$316,953	\$65,529
10. Miscellaneous Services	\$660,090	\$736,936	\$76,846
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$9,612	\$9,115	(\$497)
12. Total POS Caseload Growth (Item 1 thru 11)	\$8,187,887	\$9,711,724	\$1,523,837
B. Policy			
13. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	\$10,020	\$0	(\$10,020)
15. Provider Supplemental Rate Increases*	\$470,762	\$0	(\$470,762)
16. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2021*	\$198,626	\$0	(\$198,626)
17. Uniform Holiday Schedule*	\$56,812	\$0	(\$56,812)
18. Increased Costs for COVID-19*	\$50,000	\$0	(\$50,000)
19. Health Facility Rate Increase	\$422	\$0	(\$422)
20. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022	\$159,237	\$237,810	\$78,573
21. Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
22. Bilingual Differentials for Direct Service Professionals	\$3,600	\$7,200	\$3,600
23. Social Recreation and Camping Services	\$29,400	\$49,000	\$19,600
24. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023	\$0	\$4,146	\$4,146
25. Coordinated Family Support Services	\$41,667	\$0	(\$41,667)
26. Work Activity Programs: New Service Model	\$0	\$8,233	\$8,233
27. Forensic Diversion	\$3,200	\$3,200	\$0
28. START Training	\$6,392	\$17,865	\$11,473
29. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
30. Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$17,415	\$1,215
31. Service Provider Rate Reform	\$127,083	\$554,167	\$427,084
32. Resources to Support Individuals Who Are Deaf	\$0	\$14,300	\$14,300
33. Total Policy (Item 13 thru 32)	\$1,223,721	\$963,636	(\$260,085)
34. Total Purchase of Services (Item 12 and 33)	\$9,411,608	\$10,675,360	\$1,263,752

*These policies are fully incorporated into the caseload and utilization growth.

**Comparison of Enacted Budget to Governor's Budget
FY 2022-23
PURCHASE OF SERVICES**

II. FUND SOURCE:	Enacted Budget	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$3,019,710	\$3,506,297	\$486,587
2. General Fund Other	\$2,507,201	\$2,789,732	\$282,531
3. General Fund Total (Item 1 and 2)	\$5,526,911	\$6,296,029	\$769,118
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,805,082	\$2,865,498	\$60,416
2. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$62,207	\$56,277	(\$5,930)
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,612	\$9,115	(\$497)
5. 1915(i) State Plan Amendment	\$497,281	\$515,380	\$18,099
6. Early Periodic Screening Diagnosis and Treatment	\$31,946	\$27,272	(\$4,674)
7. Behavioral Health Treatment Fee-for-Service	\$13,492	\$13,296	(\$196)
8. Self-Determination Program Waiver	\$19,233	\$41,872	\$22,639
9. Reimbursements Total (Item 1 thru 8)	\$3,652,274	\$3,742,131	\$89,857
C. Program Development Fund/Parental Fees	\$204	\$204	\$0
D. HCBS ARPA Funding			
1. HCBS ARPA	\$120,250	\$364,100	\$243,850
2. HCBS ARPA Reimbursements	\$77,900	\$239,067	\$161,167
3. HCBS ARPA Funding Total (Item 1 and 2)	\$198,150	\$603,167	\$405,017
D. Federal Fund: Early Start Part C/Other Agency Costs	\$34,069	\$33,829	(\$240)
E. GRAND TOTAL	\$9,411,608	\$10,675,360	\$1,263,752

Comparison of FY 2021-22 to FY 2022-23 PURCHASE OF SERVICES

I. BUDGET ITEMS:	FY 2021-22	FY 2022-23	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,609,939	\$2,751,449	\$141,510
2. Medical Facilities	\$43,036	\$59,052	\$16,016
3. Day Programs	\$1,365,380	\$1,407,794	\$42,414
4. Habilitation Services	\$132,688	\$126,829	(\$5,859)
a. Work Activity Program	\$19,247	\$15,095	(\$4,152)
b. Supported Employment Program – Group Placement	\$75,107	\$69,142	(\$5,965)
c. Supported Employment Program – Individual Placement	\$38,334	\$42,592	\$4,258
5. Transportation	\$370,920	\$468,788	\$97,868
6. Support Services	\$2,298,543	\$2,485,930	\$187,387
7. In-Home Respite	\$1,097,217	\$1,284,971	\$187,754
8. Out-of-Home Respite	\$67,782	\$63,907	(\$3,875)
9. Health Care	\$231,511	\$316,953	\$85,442
10. Miscellaneous Services	\$674,177	\$736,936	\$62,759
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$9,115	\$9,115	\$0
12. Total POS Caseload Growth (Item 1 thru 11)	\$8,900,308	\$9,711,724	\$811,416
B. Policy			
13. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
14. Electronic Visit Verification Penalty	\$10,220	\$0	(\$10,220)
15. Health Facility Rate Increase	\$1,103	\$0	(\$1,103)
16. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022	\$106,488	\$237,810	\$131,322
17. Youth Returning from Out-of-State Foster Care	\$1,800	\$1,800	\$0
18. Bilingual Differentials for Direct Service Professionals	\$3,600	\$7,200	\$3,600
19. Social Recreation and Camping Services	\$29,400	\$49,000	\$19,600
20. Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023	\$0	\$4,146	\$4,146
21. Coordinated Family Support Services	\$41,667	\$0	(\$41,667)
22. Work Activity Programs: New Service Model	\$0	\$8,233	\$8,233
23. Forensic Diversion	\$3,200	\$3,200	\$0
24. START Training	\$6,392	\$17,865	\$11,473
25. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
26. Lanterman Act Provisional Eligibility Ages 3 and 4	\$16,200	\$17,415	\$1,215
27. Service Provider Rate Reform	\$127,083	\$554,167	\$427,084
28. Resources to Support Individuals Who Are Deaf	\$0	\$14,300	\$14,300
29. Total Policy (Item 13 thru 28)	\$395,653	\$963,636	\$567,983
30. Total Purchase of Services (Item 12 and 29)	\$9,295,961	\$10,675,360	\$1,379,399

Comparison of FY 2021-22 to FY 2022-23 PURCHASE OF SERVICES

II. FUND SOURCE:

	FY 2021-22	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$2,779,411	\$3,506,297	\$726,886
2. General Fund Other	\$2,481,046	\$2,789,732	\$308,686
3. General Fund Total (Item 1 and 2)	\$5,260,457	\$6,296,029	\$1,035,572
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$2,926,868	\$2,865,498	(\$61,370)
2. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$63,256	\$56,277	(\$6,979)
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,115	\$9,115	\$0
5. 1915(i) State Plan Amendment	\$522,254	\$515,380	(\$6,874)
6. Early Periodic Screening Diagnosis and Treatment	\$28,514	\$27,272	(\$1,242)
7. Behavioral Health Treatment Fee-for-Service	\$13,296	\$13,296	\$0
8. Self-Determination Program Waiver	\$19,792	\$41,872	\$22,080
9. Reimbursements Total (Item 1 thru 8)	\$3,796,516	\$3,742,131	(\$54,385)
C. Program Development Fund/Parental Fees	\$204	\$204	\$0
D. HCBS ARPA Funding			
1. HCBS ARPA	\$120,250	\$364,100	\$243,850
2. HCBS ARPA Reimbursements	\$77,900	\$239,067	\$161,167
3. HCBS ARPA Funding Total (Item 1 and 2)	\$198,150	\$603,167	\$405,017
E. Federal Fund: Early Start Part C/Other Agency Costs	\$40,634	\$33,829	(\$6,805)
F. GRAND TOTAL	\$9,295,961	\$10,675,360	\$1,379,399

Community Care Facilities

BACKGROUND:

Community Care Facilities (CCF) are licensed by the Community Care Licensing Division of the Department of Social Services (DSS) to provide 24-hour non-medical residential care to children and adults with developmental disabilities. Regional centers contract with vendored CCF to provide consumers personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living.

METHODOLOGY:

Community Care Facilities expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payment is a grant received from the Social Security Administration (the "SSI" portion), along with a supplemental payment from the State (the "SSP" portion). For individuals who receive SSI/SSP the Department funds only the portion of the facility costs that is above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$2,395,378	\$2,555,707
Total Utilization Change/Growth:	\$200,294	\$182,138
Subtotal Base and Growth:	\$2,595,672	\$2,737,845
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$12,222	\$12,222

Community Care Facilities

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
SSI/SSP Increases Effective January 1, 2022 and January 1, 2023: The SSI/SSP rate, effective January 1, 2022, is estimated to be \$1,212 per month and \$1,229 effective January 1, 2023. An increase in these rates results in a decrease in amounts paid by the Department. The SSI/SSP amount includes Care and Supervision, and Room and Board, excluding Personal and Incidental Needs.	(\$16,414)	(\$17,077)
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$18,459	\$18,459
TOTAL EXPENDITURES:	\$2,609,939	\$2,751,449

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$2,576,381	\$2,609,939	(\$33,558)
GF	\$1,521,756	\$1,577,174	\$55,418
Reimbursements	\$1,054,625	\$1,032,765	(\$21,860)

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$2,609,939	\$2,751,449	\$141,510
GF	\$1,577,174	\$1,628,921	\$51,747
Reimbursements	\$1,032,765	\$1,122,528	\$89,763

*Includes policies fully incorporated into the caseload and utilization growth.

Medical Facilities

BACKGROUND:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of Non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

METHODOLOGY:

Medical Facilities expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$27,765	\$41,686
Total Utilization Change/Growth:	\$14,103	\$16,198
Subtotal Base and Growth:	\$41,868	\$57,884
Gap Resource Development (ICF-DD-N & ICF-DD-H):	\$345	\$345
Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.		
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$328	\$328
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$495	\$495
TOTAL EXPENDITURES:	\$43,036	\$59,052

Medical Facilities

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$51,741	\$43,036	(\$8,705)
GF	\$51,741	\$43,036	(\$8,705)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$43,036	\$59,052	\$16,016
GF	\$43,036	\$59,052	\$16,016

Day Programs

BACKGROUND:

Day programs are community-based programs for individuals served by a regional center. Types of services available through a day program include:

- Developing and maintaining self-help and self-care skills.
- Developing the ability to interact with others, making one's needs known and responding to instructions.
- Developing self-advocacy and employment skills.
- Developing community integration skills such as accessing community services.
- Behavior management to help improve behaviors.
- Developing social and recreational skills.

METHODOLOGY:

Day Program expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$1,258,257	\$1,334,103
Total Utilization Change/Growth:	\$105,648	\$72,216
Subtotal Base and Growth:	\$1,363,905	\$1,406,319
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$588	\$588
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$887	\$887
TOTAL EXPENDITURES:	\$1,365,380	\$1,407,794

Day Programs

REASON FOR CHANGE:

The change in both years is due to changes in growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,359,758	\$1,365,380	\$5,622
GF	\$783,685	\$821,302	\$37,617
Reimbursements	\$558,862	\$524,784	(\$34,078)
Federal Funds	\$17,211	\$19,294	\$2,083

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,365,380	\$1,407,794	\$42,414
GF	\$821,302	\$828,257	\$6,955
Reimbursements	\$524,784	\$563,497	\$38,713
Federal Funds	\$19,294	\$16,040	(\$3,254)

*Includes policies fully incorporated into the caseload and utilization growth.

Habilitation Work Activity Program

BACKGROUND:

Work Activity Program (WAP) services through the regional centers include paid work, work adjustment and supportive habilitation services typically in a sheltered workshop setting. WAPs provide paid work in accordance with Federal and State Fair Labor Standards. Work adjustment services may include developing good work safety practices, money management skills, and appropriate work habits. Supportive habilitation services may include social skill and community resource training as long as the services are necessary to achieve vocational objectives.

METHODOLOGY:

Work Activity Program expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$23,705	\$18,669
Total Utilization Change/Growth:	(\$4,458)	(\$3,574)
TOTAL EXPENDITURES:	\$19,247	\$15,095

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$20,627	\$19,247	(\$1,380)
GF	(\$2,979)	\$9,014	\$11,993
Reimbursements	\$23,606	\$10,233	(\$13,373)

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$19,247	\$15,095	(\$4,152)
GF	\$9,014	\$3,988	(\$5,026)
Reimbursements	\$10,233	\$11,107	\$874

*Includes policies fully incorporated into the caseload and utilization growth.

Habilitation

Supported Employment Program (Group Placement)

BACKGROUND:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work in integrated group settings. These services enable consumers to learn necessary job skills and maintain employment.

METHODOLOGY:

Supported Employment Program – Group Placement expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$79,371	\$73,171
Total Utilization Change/Growth:	(\$4,264)	(\$4,029)
TOTAL EXPENDITURES:	\$75,107	\$69,142

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$81,324	\$75,107	(\$6,217)
GF	\$62,570	\$46,520	(\$16,050)
Reimbursements	\$18,754	\$28,587	\$9,833

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$75,107	\$69,142	(\$5,965)
GF	\$46,520	\$38,063	(\$8,457)
Reimbursements	\$28,587	\$31,079	\$2,492

*Includes policies fully incorporated into the caseload and utilization growth.

Habilitation

Supported Employment Program (Individual Placement)

BACKGROUND:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work in integrated individual settings. These services enable consumers to learn necessary job skills and maintain employment.

METHODOLOGY:

Supported Employment Program – Individual Placement expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$33,911	\$37,507
Total Utilization Change/Growth:	\$4,423	\$5,085
TOTAL EXPENDITURES:	\$38,334	\$42,592

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$48,560	\$38,334	(\$10,226)
GF	\$38,808	\$28,101	(\$10,707)
Reimbursements	\$9,752	\$10,233	\$481

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$38,334	\$42,592	\$4,258
GF	\$28,101	\$31,466	\$3,365
Reimbursements	\$10,233	\$11,126	\$893

*Includes policies fully incorporated into the caseload and utilization growth.

Transportation

BACKGROUND:

Transportation services are provided for persons with a developmental disability in order to participate in programs and/or other activities. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

METHODOLOGY:

Transportation expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$315,805	\$363,201
Total Utilization Change/Growth:	\$55,075	\$105,547
Subtotal Base and Growth:	\$370,880	\$468,748
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$16	\$16
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$24	\$24
TOTAL EXPENDITURES:	\$370,920	\$468,788

REASON FOR CHANGE:

The change in both years is due to changes in growth as well as changes in utilization related to COVID-19.

Transportation

EXPENDITURES:

FY 2021-22	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$450,067	\$370,920	(\$79,147)
GF	\$275,745	\$252,142	(\$23,603)
Reimbursements	\$174,322	\$118,778	(\$55,544)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$370,920	\$468,788	\$97,868
GF	\$252,142	\$341,067	\$88,925
Reimbursements	\$118,778	\$127,721	\$8,943

**Includes policies fully incorporated into the caseload and utilization growth.*

Support Services

BACKGROUND:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community, including supported living services, community integration training services, and personal assistance services.

METHODOLOGY:

Support Services expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditures trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$2,039,342	\$2,249,344
Total Utilization Change/Growth:	\$256,059	\$234,400
Subtotal Base and Growth	\$2,295,401	\$2,483,744
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$2,439	\$2,439
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$3,683	\$3,683
Independent Living Supplement: Based on data as of September 2021, there are 15,595 consumers living in SL/IL arrangements who receive the monthly payment to supplement the reduction in their SSP grants. Prior year costs remain in the Base, therefore only the incremental costs are reflected.	(\$2,980)	(\$3,936)
TOTAL EXPENDITURES	\$2,298,543	\$2,485,930

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

Support Services

EXPENDITURES:

FY 2021-22	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$2,233,980	\$2,298,543	\$64,563
GF	\$1,292,861	\$1,314,427	\$21,566
Reimbursements	\$940,915	\$983,946	\$43,031
Federal Fund	\$204	\$170	(\$34)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$2,298,543	\$2,485,930	\$187,387
GF	\$1,314,427	\$1,423,025	\$108,598
Reimbursements	\$983,946	\$1,062,763	\$78,817
Federal Fund	\$170	\$142	(\$28)

*Includes policies fully incorporated into the caseload and utilization growth.

In-Home Respite

BACKGROUND:

In-Home Respite services are defined as intermittent or regularly scheduled temporary non-medical care and/or supervision provided in the person's home. In-Home Respite services are support services which typically include:

- Assisting the family members to enable a person with developmental disabilities to stay at home;
- Providing appropriate care and supervision to protect that person's safety in the absence of a family member(s);
- Relieving family members from the responsibility of providing care;
- Attending to basic self-help needs and other activities that would ordinarily be performed by the family member.

METHODOLOGY:

In-Home Respite expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$912,323	\$1,074,931
Total Utilization Change/Growth:	\$184,809	\$209,955
Subtotal Base and Growth:	\$1,097,132	\$1,284,886
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$34	\$34
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$51	\$51
TOTAL EXPENDITURES:	\$1,097,217	\$1,284,971

In-Home Respite

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,036,137	\$1,097,217	\$61,080
GF	\$665,599	\$649,397	(\$16,202)
Reimbursements	\$370,416	\$447,624	\$77,208
Federal Funds	\$122	\$196	\$74

FY 2021-22	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,097,217	\$1,284,971	\$187,754
GF	\$649,397	\$803,518	\$154,121
Reimbursements	\$447,624	\$481,289	\$33,665
Federal Funds	\$196	\$164	(\$32)

*Includes policies fully incorporated into the caseload and utilization growth.

Out-of-Home Respite

BACKGROUND:

Out-of-Home Respite includes supervision services that are provided in licensed residential facilities.

METHODOLOGY:

Out-of-Home Respite expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$66,384	\$66,163
Total Utilization Change/Growth:	\$1,398	(\$2,256)
Subtotal Base and Growth:	\$67,782	\$63,907
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$0	\$0
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$0	\$0
TOTAL EXPENDITURES:	\$67,782	\$63,907

Out-of-Home Respite

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$57,543	\$67,782	\$10,239
GF	\$33,045	\$35,743	\$2,698
Reimbursements	\$24,455	\$32,014	\$7,559
Federal Funds	\$43	\$25	(\$18)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$67,782	\$63,907	(\$3,875)
GF	\$35,743	\$29,512	(\$6,231)
Reimbursements	\$32,014	\$34,374	\$2,360
Federal Funds	\$25	\$21	(\$4)

**Includes policies fully incorporated into the caseload and utilization growth.*

Health Care

BACKGROUND:

Health Care services include medical and/or health care-related services, providing appropriate, high-quality care and services to children and adults who have developmental disabilities in order to optimize the health and welfare of each individual.

METHODOLOGY:

Health Care expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$169,951	\$222,257
Total Utilization Change/Growth:	\$48,735	\$81,871
Subtotal Base and Growth:	\$218,686	\$304,128
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$5,109	\$5,109
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$7,716	\$7,716
TOTAL EXPENDITURES:	\$231,511	\$316,953

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

Health Care

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$251,424	\$231,511	(\$19,913)
GF	\$226,113	\$202,352	(\$23,761)
Reimbursements	\$23,480	\$27,154	\$3,674
Federal Funds	\$1,831	\$2,005	\$174

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$231,511	\$316,953	\$85,442
GF	\$202,352	\$286,162	\$83,810
Reimbursements	\$27,154	\$29,120	\$1,966
Federal Funds	\$2,005	\$1,671	(\$334)

Miscellaneous Services

BACKGROUND:

The Miscellaneous Services category includes a wide variety of services that cannot be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors.

METHODOLOGY:

Miscellaneous Services expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2021.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base:	\$553,099	\$628,834
Total Utilization Change/Growth:	\$86,374	\$73,398
Subtotal Base and Growth:	\$639,473	\$702,232
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$31,853	\$31,853
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$2,851	\$2,851
TOTAL EXPENDITURES:	\$674,177	\$736,936

Miscellaneous Services

REASON FOR CHANGE:

The change in both years is due to continued growth as well as changes in utilization related to COVID-19.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget*</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$680,121	\$674,177	(\$5,944)
GF	\$553,704	\$537,568	(\$16,136)
Reimbursements	\$111,555	\$117,461	\$5,906
Federal Funds	\$14,658	\$18,944	\$4,286
Program Development Fund	\$204	\$204	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$674,177	\$736,936	\$62,759
GF	\$537,568	\$595,971	\$58,403
Reimbursements	\$117,461	\$124,970	\$7,509
Federal Funds	\$18,944	\$15,791	(\$3,153)
Program Development Fund	\$204	\$204	\$0

*Includes policies fully incorporated into the caseload and utilization growth.

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees Purchase of Services

BACKGROUND:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF).

METHODOLOGY:

Billing costs are 1.5 percent of the purchase of services costs for Day Programs and Transportation and regional center administrative fees for ICF-DD. QAF are set by the Department of Health Care Services (DHCS).

The Department estimates the total purchase of services costs to be \$112.5 million for Day Programs and Transportation. ICF-DD administration costs are 1.5 percent of the total or \$1.7 million and the QAF are \$7.4 million. Total administration and QAF are \$9.1 million.

REASON FOR CHANGE:

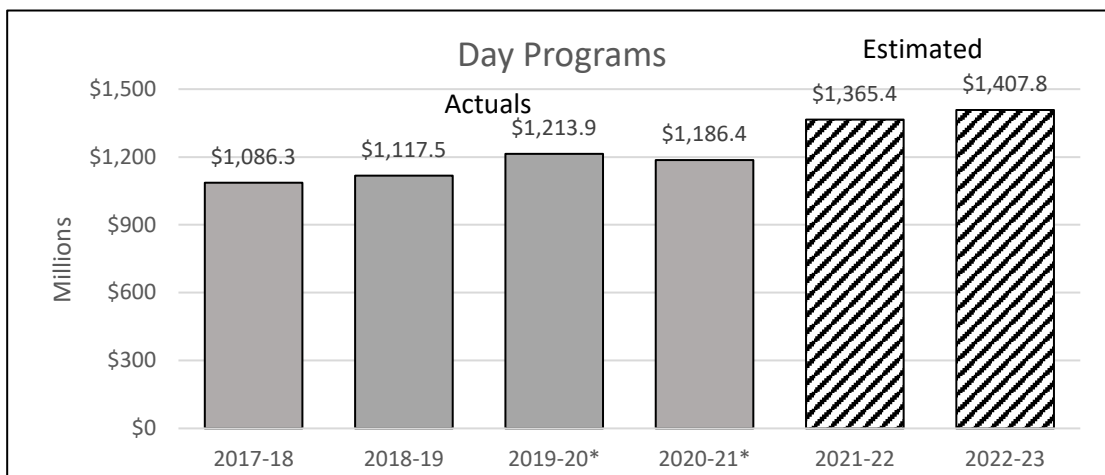
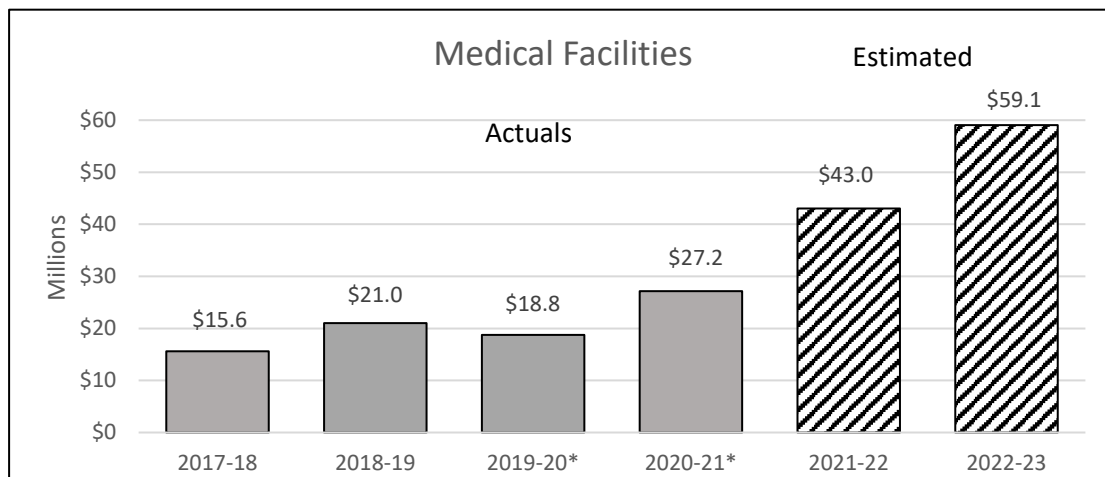
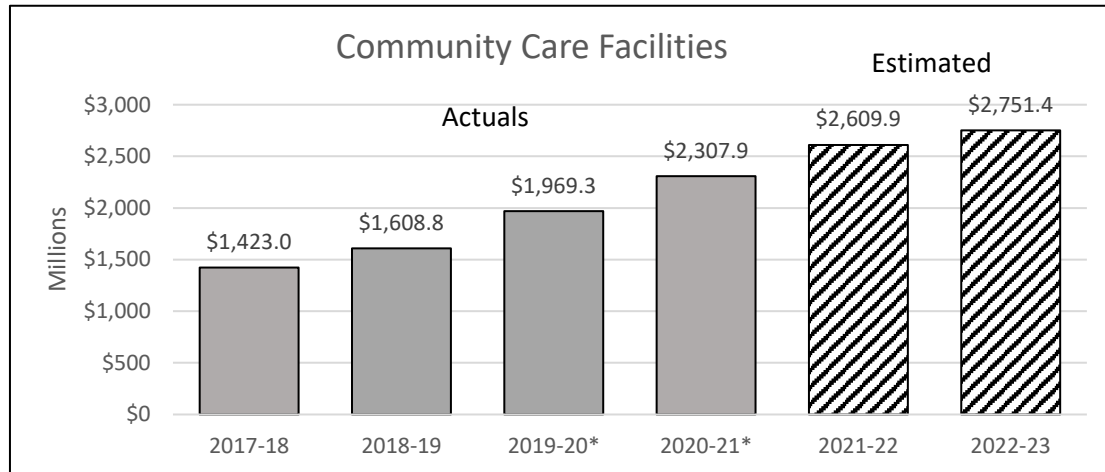
The change in both years is due to updated actuals.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
Total	\$9,612	\$9,115	(\$497)
QAF Admin Fees	\$4,806	\$4,558	(\$248)
Transfer from DHCS	\$4,806	\$4,557	(\$249)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
Total	\$9,115	\$9,115	\$0
QAF Admin Fees	\$4,588	\$4,588	\$0
Transfer from DHCS	\$4,557	\$4,557	\$0

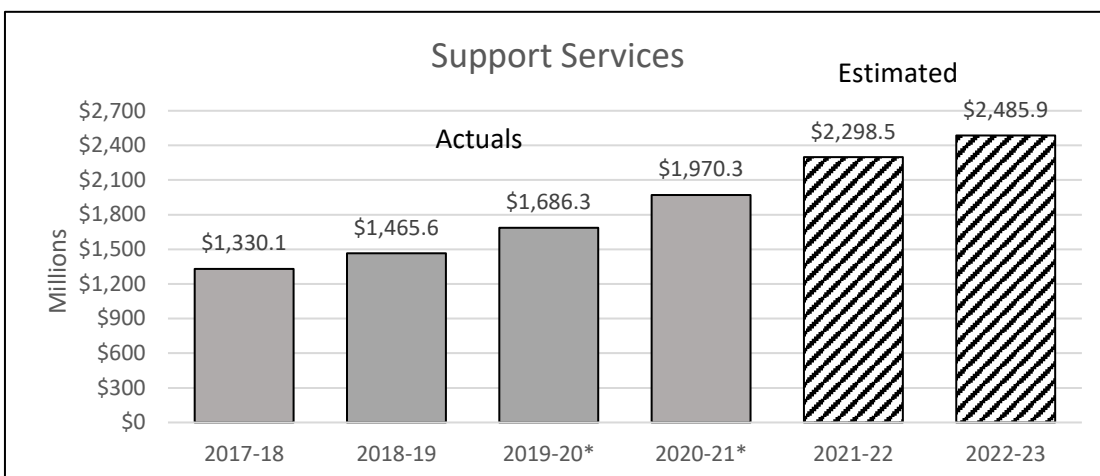
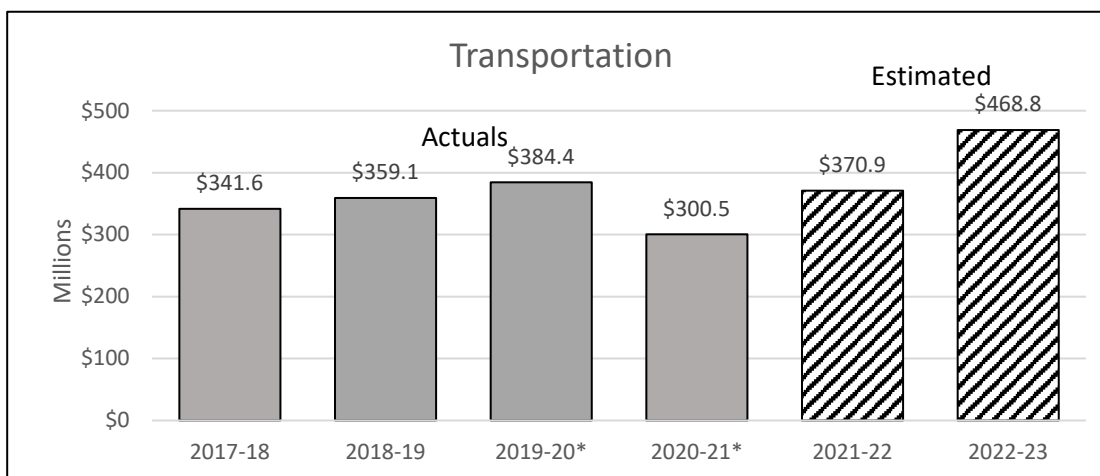
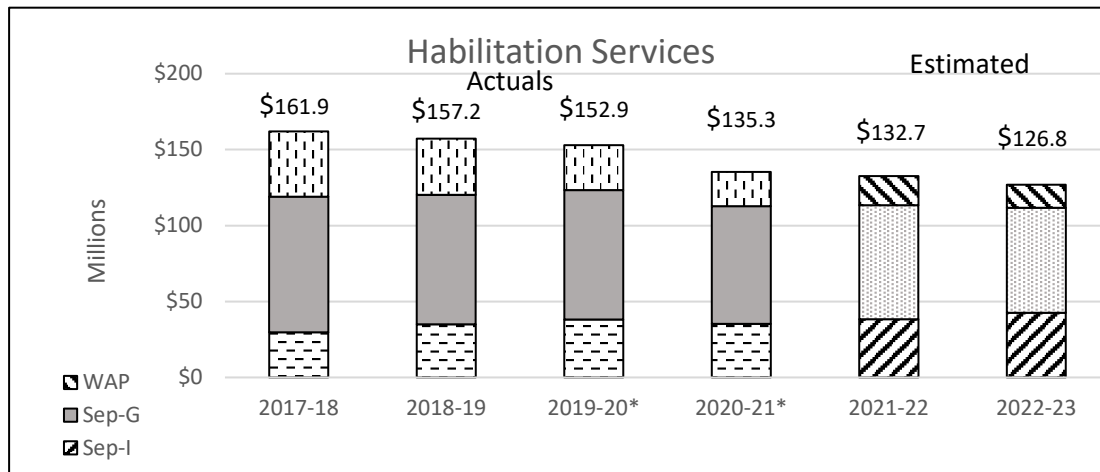
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2021.

** Totals for all years exclude Quality Assurance Fees.

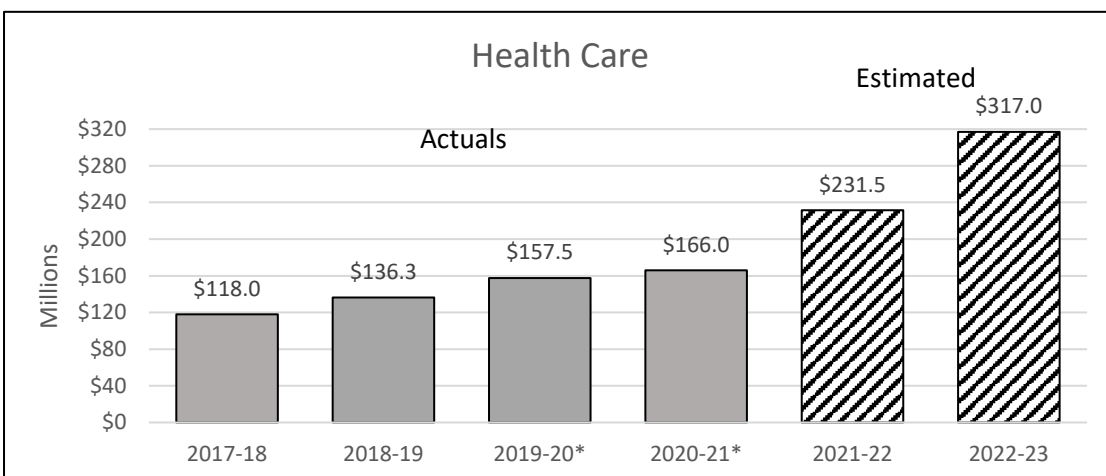
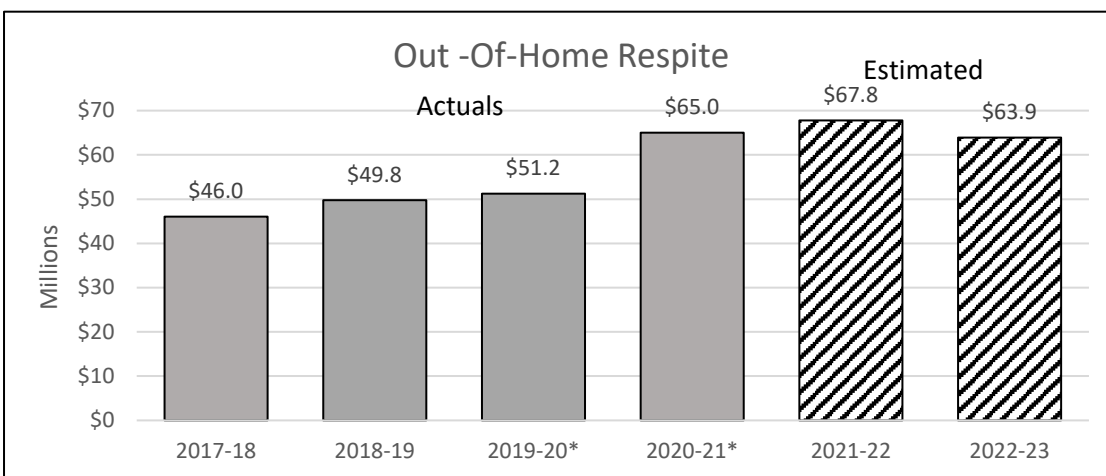
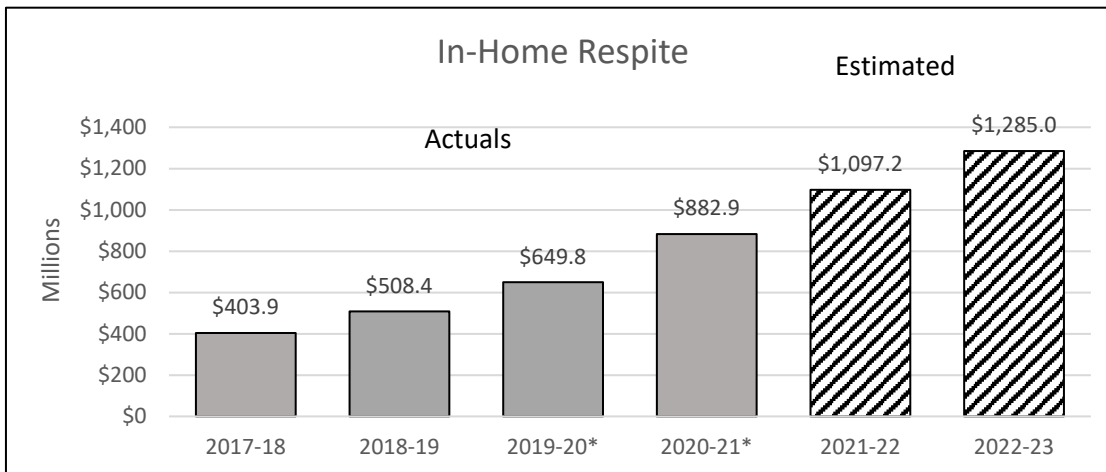
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2021.

** Totals for all years exclude Quality Assurance Fees.

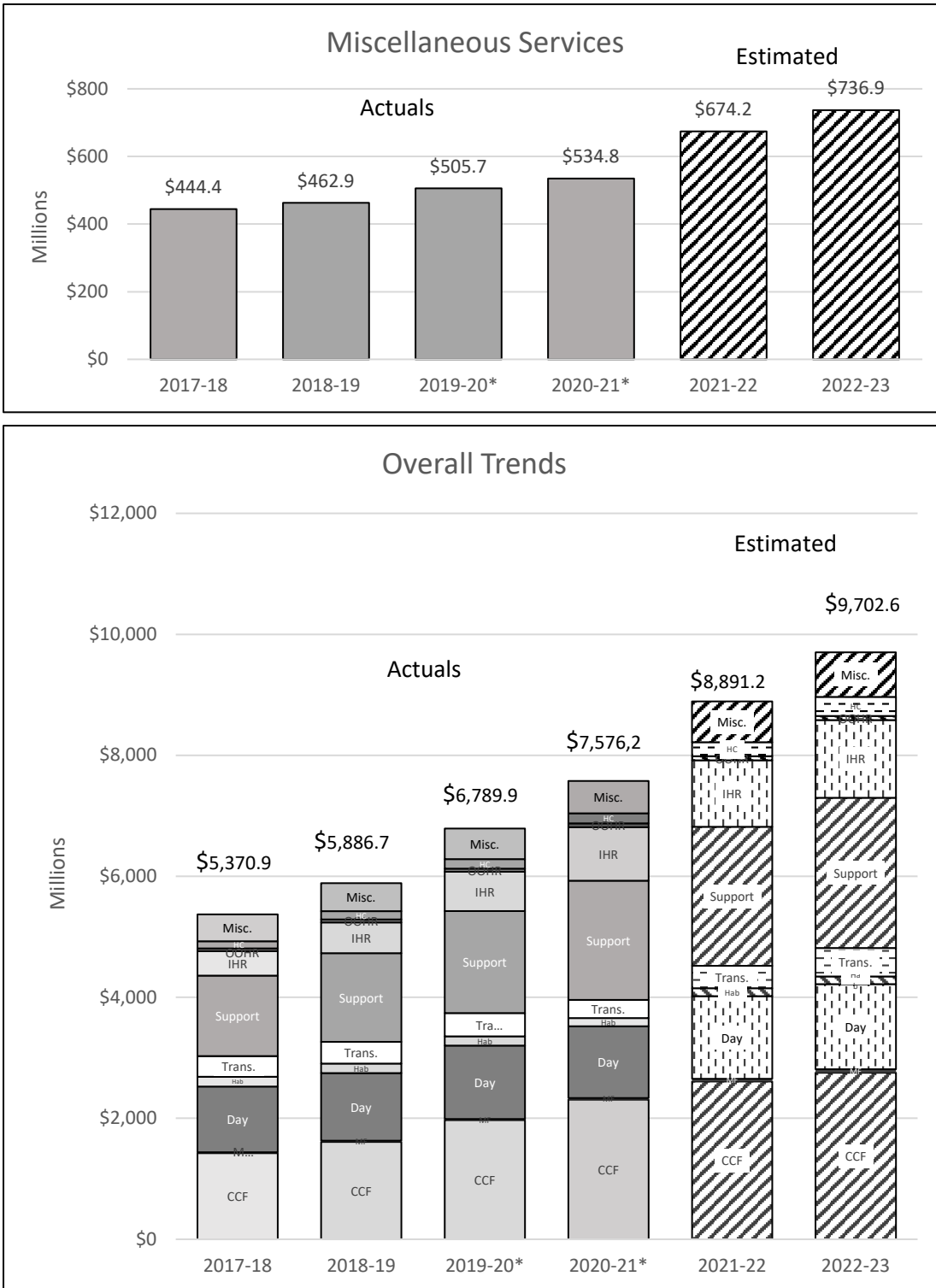
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2021.

** Totals for all years exclude Quality Assurance Fees.

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through September 2021.

** Totals for all years exclude Quality Assurance Fees.

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SECTION F: COMMUNITY PLACEMENT PLAN

Community Placement Plan F-1

Community Placement Plan

BACKGROUND:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) that are ineligible for federal financial participation (FFP), and out-of-state placements. In addition to CPP, the Community Resource Development Plan (CRDP) allows for development in the community to support the expansion of resources for those already residing in the community.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Facilitate transitions to the community from a developmental center, IMD, or out-of-state.
- Assess needs of the individuals, through comprehensive assessments.
- Establish resources in the community for individuals transitioning from another environment.
- Work with the regional centers, regional projects and other team members in transitional activities.
- Stabilize current community living arrangements.

CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP and CRDP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for operating expenses and equipment.

Purchase of Services

- **Start-Up:** Start-Up funds support the development of residential and non-residential services in the community for individuals.
- **Assessment:** Assessment funds support comprehensive assessments, which are required by statute, for individuals who are living in developmental centers and IMDs to plan for services when individuals move into the community.
- **Placement:** Placement funds support costs of consumers moving into least restrictive community settings from a more restrictive setting.

Community Placement Plan

EXPENDITURES:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations:		
<i>Regular CPP</i>	\$15,265	\$15,265
Total Regional Center Operations		
 Purchase of Services:		
<i>Community Care Facilities</i>	\$12,222	\$12,222
<i>Medical Facilities</i>	\$328	\$328
<i>Day Programs</i>	\$588	\$588
<i>Transportation</i>	\$16	\$16
<i>Support Services</i>	\$2,439	\$2,439
<i>In-Home Respite</i>	\$34	\$34
<i>Health Care</i>	\$5,109	\$5,109
<i>Miscellaneous Services</i>	\$31,853	\$31,853
Total Regular Regional Center POS	\$52,589	\$52,589
 TOTAL	\$67,854	\$67,854
GF	\$61,562	\$61,562
Reimbursements	\$6,292	\$6,292

REASON FOR CHANGE:

There is no change in both years.

Community Placement Plan**Regular CPP**

FY 2021-22	Enacted Budget	FY 2021-22	Difference
I. Operations	\$15,265	\$15,265	\$0
II. Purchase of Services (POS)			
A. Start-Up	\$27,265	\$27,265	\$0
B. Assessment	\$2,700	\$2,700	\$0
C. Placement	\$22,624	\$22,624	\$0
SUBTOTAL POS	\$52,589	\$52,589	\$0
III. TOTAL CPP	\$67,854	\$67,854	\$0
IV. Fund Sources			
A. TOTAL CPP	\$67,854	\$67,854	\$0
B. GF	\$61,562	\$61,562	\$0
C. Reimbursements	\$6,292	\$6,292	\$0

FY 2022-23	FY 2021-22	FY 2022-23	Difference
I. Operations	\$15,265	\$15,265	\$0
II. Purchase of Services (POS)			
A. Start-Up	\$27,265	\$27,265	\$0
B. Assessment	\$2,700	\$2,700	\$0
C. Placement	\$22,624	\$22,624	\$0
SUBTOTAL POS	\$52,589	\$52,589	\$0
III. TOTAL CPP	\$67,854	\$67,854	\$0
IV. Fund Sources			
A. TOTAL CPP	\$67,854	\$67,854	\$0
B. GF	\$61,562	\$61,562	\$0
C. Reimbursements	\$6,292	\$6,292	\$0

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Specialized Caseload Ratio

BACKGROUND:

A 1:25 service coordinator-to-consumer caseload ratio is in place for consumers with complex needs, as defined in Welfare and Institutions Code (WIC), §4640.6(c)(4). Examples of consumers with complex needs may include individuals who reside or are at risk of residing in Institutions for Mental Diseases, Community Crisis Homes, state-operated acute crisis homes, out-of-state placements or have been admitted to a psychiatric hospital several times within the preceding six months. Due to the complexity and uniqueness of each consumer, intensive case management and service coordination is necessary for stabilization in the least restrictive setting.

The 1:25 ratio is to provide service coordinators to assist in identifying and/or stabilizing services to support individuals with developmental disabilities, for a limited time, who have the most complex needs. Once stabilized the individuals may then transfer back to a higher caseload ratio as appropriate.

METHODOLOGY:

To achieve a 1:25 caseload ratio, in fiscal year (FY) 2021-22 the Department funds 54 services coordinators to provide case management to 1,354 consumers considered high-risk. In FY 2022-23 the Department funds 57 services coordinators to provide case management to 1,424 consumers considered high-risk.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Salary	\$55,000	\$55,000
Fringe Benefits – 34%	\$18,700	\$18,700
Operating Expense & Equipment	\$3,400	\$3,400
Annual Cost Per Position	\$77,100	\$77,100
Total Positions	54.16	56.96
Total Annual Cost (Rounded) <i>(Whole dollars)</i>	\$4,200,000	\$4,400,000

Specialized Caseload Ratio

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to the year-over-year increase in high risk consumers.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$4,200	\$4,200	\$0
GF	\$2,874	\$2,874	\$0
Reimbursement	\$1,326	\$1,326	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$4,200	\$4,400	\$200
GF	\$2,874	\$2,970	\$96
Reimbursement	\$1,326	\$1,430	\$104

Specialized Home Monitoring

BACKGROUND:

Pursuant to Welfare and Institutions Code (WIC), §4684.70 and §4684.84(b) regional centers must perform monthly monitoring of individuals residing in Adult Residential Facilities for Persons with Special Healthcare Needs (ARFPSHN), Group Homes for Children with Special Health Care Needs (GHCSHN) and Enhanced Behavioral Supports Homes (EBSH). A local regional center licensed registered nurse and a qualified behavior modification professional must each visit, with or without prior notice, each consumer, in person, at least monthly in the ARFPHSN and EBSHs, or more frequently if specified in the consumer's individual program plan.

Similarly, WIC §4698(e) specifies that the local regional center and each consumer's regional center shall have joint responsibility for monitoring and evaluating the provision of services in Community Crisis Homes (CCHs). This proposal provides funds to incorporate monitoring resources into regional center operating budgets. Monitoring shall include at least monthly face-to-face onsite case management visits with each consumer by his or her regional center, and at least quarterly quality assurance visits by the vendoring regional center. In addition, on a semi-annual basis, the Department must monitor and ensure compliance by the regional centers with their monitoring responsibilities.

METHODOLOGY:

Regional centers employ and utilize registered nurses to monitor the ARFPSHNs and GHCSHNs, and licensed behavior specialists to monitor EBSHs and CCHs. Each registered nurse and licensed behavioral specialist is able to complete required monitoring of homes at a nurse/specialist-to-home ratio of 1:4. In fiscal year (FY) 2021-22, the Department funds staff to monitor 94 ARFPSHNs, and 170 EBSHs and CCHs combined. In FY 2022-23, the Department funds staff to monitor 134 ARFPSHNs and GHCSHNs combined, and 186 EBSHs and CCHs combined.

Specialized Home Monitoring

	FY 2021-22	FY 2021-22	FY 2022-23	FY 2022-23
	Registered Nurse	Behavioral Specialist	Registered Nurse	Behavioral Specialist
Salary	\$103,200	\$85,000	\$103,200	\$85,000
Fringe Benefits – 34%	\$35,088	\$28,900	\$35,088	\$28,900
Operating Expense & Equipment	\$3,400	\$3,400	\$3,400	\$3,400
Annual Cost Per Position	\$141,688	\$117,300	\$141,688	\$117,300
Total Positions	23.50	42.50	33.50	46.50
Annual Cost	\$3,330,000	\$4,985,000	\$4,747,000	\$5,454,000
Total Annual Cost for All Positions		\$8,315,000		\$10,201,000

(whole dollars)

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to updating the number of anticipated new homes that will require monitoring.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$8,315	\$8,315	\$0
GF	\$5,654	\$5,654	\$0
Reimbursement	\$2,661	\$2,661	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$8,315	\$10,201	\$1,886
GF	\$5,654	\$6,936	\$1,282
Reimbursement	\$2,661	\$3,265	\$604

Trauma Informed Services for Foster Youth

BACKGROUND:

Assembly Bill (AB) 2083 (Chapter 815, Statutes of 2018) requires that regional centers participate in the development of Memorandum(s) of Understanding (MOUs) in each county served, and participate on interagency leadership teams and committees in each county, to ensure services are provided in recognition of trauma that may have occurred in the lives of the individuals in foster care. Most of the state's 21 regional centers serve multiple counties. Funding provides for ongoing implementation of AB 2083 workload which includes multiple MOUs, participation on multiple interagency leadership teams and placement committees, data collection and analysis, resource development, identifying and coordinating available placement and service options, and providing expertise and guidance to regional center staff on service coordination for children and youths in foster care who have experienced severe trauma.

METHODOLOGY:

The Department estimates regional centers need 15 senior/supervising coordinators statewide at an annual cost of \$1.6 million.

	Annual Salary
Salary	\$77,100
Fringe Benefits – 34%	\$26,210
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$106,710
Total Positions	15
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$1,600,000

REASON FOR CHANGE:

There is no change in both years.

Trauma Informed Services for Foster Youth

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,600	\$1,600	\$0
GF	\$1,100	\$1,100	\$0
Reimbursement	\$500	\$500	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,600	\$1,600	\$0
GF	\$1,100	\$1,100	\$0
Reimbursement	\$500	\$500	\$0

START Training

BACKGROUND:

The Systemic, Therapeutic, Assessment, Resources and Treatment (START) training model is a comprehensive approach to crisis prevention and intervention for people with developmental disabilities and co-occurring mental health conditions. This model provides wraparound services that support individuals at-risk for acute crisis or loss of residential placement, and individuals who are currently experiencing crisis. The START model has demonstrated positive outcomes in other states with published scientific papers reporting reductions in psychiatric hospitalizations and emergency room visits, improved mental health symptoms, reductions in challenging behavior, and maintaining residential placements in the community. The University of New Hampshire Center for START Services provides training and certification in the START model to community providers who make up the local START teams.

START services will help maintain individuals in their current residential arrangement and prevent admissions into more restrictive settings, such as Community Crisis Homes (CCHs), Institution for Mental Disease (IMDs), and out-of-state placements. START services provide 24-hour crisis services, and planning and training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

In California, 15 regional centers will have implemented the START model by the end of fiscal year (FY) 2021-22. First, second, and third year training costs cover all aspects of the START model training provided by the University of New Hampshire Center for START services to the local START team. This includes personnel costs, travel, printed materials, online training platform, data management, and technical support, as well as quarterly evaluations of the local START teams using collected data, and an annual independent, comprehensive evaluation of California's system of care.

METHODOLOGY:

Costs support nine new teams in FY 2021-22 to receive training provided by the contractor, University of New Hampshire Center.

	Cost per team
Start-up Operating Cost	\$300,000
First-year Training Cost	\$280,060
Second-year Training Cost	\$320,864
Third-year Training Cost	\$234,096
Annual Cost per team	\$1,135,020
Total Annual Cost for 9 Teams (Rounded)	\$10,215,000
	<i>(whole dollars)</i>

START Training

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$10,215,000	\$0
Purchase of Services	\$6,392,000	\$17,865,000
TOTAL <i>(whole dollars)</i>	\$16,607,000	\$17,865,000

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 reflects expenditures associated with the START teams' operations.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$16,607	\$16,607	\$0
GF	\$11,178	\$11,178	\$0
Reimbursements	\$5,429	\$5,429	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$16,607	\$17,865	\$1,258
GF	\$11,178	\$11,255	\$77
Reimbursements	\$5,429	\$6,610	\$1,181

Regional Center Emergency Coordinators

BACKGROUND:

The fiscal year (FY) 2020-21 Budget Act included funding for 21 positions, one at each regional center, to coordinate emergency preparedness, response, and recovery activities. The Department requests this ongoing funding be increased to reflect a salary range equivalent to the State's Senior Emergency Services Coordinator classification, better reflecting the level of responsibility and independence with which these regional center positions operate during emergency events.

During an emergency, the 21 regional center Emergency Response Coordinators are required to provide notifications and updates to those impacted, identify closures and loss of services, identify and address unmet needs, secure emergency supplies; and coordinate between the Department, other regional centers, service providers, and local agencies to maintain consumer safety and supports. Aside from the immediate emergency response, Emergency Response Coordinators also support ongoing recovery efforts, specifically assistance returning home, providing information on available resources such as FEMA assistance, temporary housing, replacement of medical equipment, and educating counties on regional center roles and developing emergency plans with counties and neighboring regional centers.

METHODOLOGY:

The annual costs to fund 21 Senior Emergency Response Coordinators is \$2.5 million.

	Annual Salary
Salary	\$86,304
Fringe Benefits – 34%	\$29,343
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$119,047
Total Positions	21
Total Annual Cost (Rounded)	\$2,500,000
<i>(whole dollars)</i>	

Regional Center Emergency Coordinators

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to funding the positions at the Senior Emergency Services Coordinator classification level to reflect their level of responsibility and independence of action during emergency events.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$2,017	\$2,017	\$0
GF	\$1,372	\$1,372	\$0
Reimbursements	\$645	\$645	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$2,017	\$2,500	\$483
GF	\$1,372	\$1,700	\$328
Reimbursements	\$645	\$800	\$155

Community Navigators

BACKGROUND:

The Department contracts with Family Resource Centers to administer community navigator programs. The model utilizes parents of children in the regional center system, community leaders, and self-advocates to provide information and guidance to consumers and their families who could benefit from added support to navigate connection to generic and regional center resources.

METHODOLOGY:

Fiscal year (FY) 2021-22 includes funding of \$500,000 for a one-time evaluation focused on improving the effectiveness of existing disparity projects and \$4.8 million for community navigator programs. Following FY 2021-22, \$5.3 million ongoing is provided for community navigator programs.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$5,300	\$5,300	\$0
GF	\$3,200	\$3,200	\$0
Reimbursements	\$2,100	\$2,100	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$5,300	\$5,300	\$0
GF	\$3,200	\$3,200	\$0
Reimbursements	\$2,100	\$2,100	\$0

Tribal Engagement for Early Start Services

BACKGROUND:

To improve service access for California Native American tribal communities, the Department will engage tribal communities with the assistance of a contracted partner. An initial Consultation and policy to be developed include: technical assistance to implement a Department process for consultation with tribal governments, engagement and outreach strategies with tribal communities that are respectful, regular and meaningful coordination with California Health and Human Services Departments' tribal liaisons to identify opportunities to share Early Start information within their existing efforts, outreach to Tribal Head Start Programs, and other policy goals.

METHODOLOGY:

Contract costs for identifying strategies to conduct engagement and outreach with tribal communities.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$500	\$500	\$0
GF	\$500	\$500	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$500	\$500	\$0
GF	\$500	\$500	\$0

Enhanced Service Coordination

BACKGROUND:

Enhanced caseload ratio will prioritize individuals or families who are monolingual and have low purchase of service (POS) or no-POS, as they are more likely to need additional assistance in accessing services. The knowledge obtained through Enhanced Service Coordination will enable individuals and families to continue advocating and accessing needed services once transitioned back to a non-specialized service coordinator to consumer ratio.

METHODOLOGY:

The estimate includes funding for 105 Enhanced Service Coordinators (ESC) to support 4,200 consumers. Additionally, to provide supervision for these positions, the estimate includes funding for 10.5 supervisors at a ratio of 1 supervisor for every 10 ESCs.

The annual costs to fund 105 Enhanced Service Coordinators is \$12.8 million.

	Annual Salary
Salary	\$88,436
Fringe Benefits – 34%	\$30,068
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$121,905
Total Positions	105
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$12,800,000

The annual costs to fund 10.5 supervisors is \$1.4 million.

	Annual Salary
Salary	\$95,000
Fringe Benefits – 34%	\$32,300
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$130,700
Total Positions	10.5
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$1,372,000

Enhanced Service Coordination

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 reflects the addition of 10.5 ESC supervisor positions.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$12,800	\$12,800	\$0
GF	\$10,000	\$10,000	\$0
Reimbursements	\$2,800	\$2,800	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$12,800	\$14,172	\$1,372
GF	\$10,000	\$11,072	\$1,072
Reimbursements	\$2,800	\$3,100	\$300

Direct Service Professional Workforce Training and Development

BACKGROUND:

Direct service professionals (DSPs) are critical to the provision of services and supports to individuals with intellectual and developmental disabilities (IDD). To stabilize the workforce, the funding is to establish a training and certification program for direct service professionals tied to wage differentials, providing advancement opportunities for the workforce.

The program will include progressively higher wages for DSPs who complete additional training. This will promote a more skilled workforce, which will foster improved consumer outcomes while having a positive effect on DSP turnover.

The program will provide opportunities for advanced training for DSPs through a standardized training curriculum. Partnering with employers (service providers) as well as individual employees in advancing their professional development will further enhance the skillset of DSPs supporting California's developmental services system.

METHODOLOGY:

The estimate for fiscal year (FY) 2021-22 reflects infrastructure costs to secure/develop the program with out-year costs estimated to support implementation of the tiered wages.

REASON FOR CHANGE:

There is no change in FY 2021-22 from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 reflects continued implementation costs as well as initial costs related to wage differentials.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$4,300	\$4,300	\$0
GF	\$2,900	\$2,900	\$0
Reimbursements	\$1,400	\$1,400	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$4,300	\$16,200	\$11,900
GF	\$2,900	\$11,000	\$8,100
Reimbursements	\$1,400	\$5,200	\$3,800

Implicit Bias Training

BACKGROUND:

Studies have found that African-American children have not been proportionately diagnosed with Autism Spectrum Disorders (ASD) or have received an ASD diagnosis at an older age as compared to children who are white. Delayed diagnosis and access to services can create life-long impacts, and the Department expects that focusing on regional center personnel and contractors involved in eligibility determinations will have the greatest influence in improving equity in access to regional center services. Implicit bias training can help individuals become aware of their own biases which may influence decisions and actions.

METHODOLOGY:

Assumes annual implicit bias training for regional center staff and specified contractors.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$7,029	\$7,029	\$0
GF	\$5,553	\$5,553	\$0
Reimbursements	\$1,476	\$1,476	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$7,029	\$7,029	\$0
GF	\$5,553	\$5,553	\$0
Reimbursements	\$1,476	\$1,476	\$0

Emergency Preparedness

BACKGROUND:

The fiscal year (FY) 2021-22 Budget provides \$4.3 million to enhance preparation efforts and readiness for emergency situations. The funding supports Feeling Safe, Being Safe emergency preparedness informational materials; provides training and education to regional center emergency coordinators on how to prepare and respond during emergency situations; provides consumers living independently or with limited supports with emergency go-kits; and enables the purchase of back-up batteries and generators for individuals who are dependent on life-sustaining equipment.

METHODOLOGY:

Funding of \$4.3 million in the FY 2021-22 Budget included \$200,000 to support ongoing training for regional center staff, service providers, and community engagement.

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 reflects the ongoing costs associated with training of emergency coordinators, education, community engagement, and identifying future training needs.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$4,300	\$4,300	\$0
GF	\$4,300	\$4,300	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$4,300	\$200	(\$4,100)
GF	\$4,300	\$200	(\$4,100)

Resources to Support Individuals Who Are Deaf

BACKGROUND:

Individuals who are deaf and hard of hearing represent a highly diverse population with a wide range of communication preferences and cultural and ethnic backgrounds that shape interactions with their environment. Building trusted relationships within the deaf community requires specialized experience. The Department recognizes the need to improve service access for individuals who are deaf and have intellectual and developmental disabilities (Deaf+). The 2021-22 Budget funded a Deaf Service Specialist at the Department and at each regional center to support the expansion of deaf service resources, develop and implement communications assessments, provide training and expertise to regional center staff, and collaborate with other regional centers on statewide efforts.

The Governor's proposed Budget includes one-time funding to conduct a communications assessment for consumers who are deaf or hard of hearing. Due to the uniqueness of deaf culture and their communication needs, funding will provide regional centers the ability to contract for communications assessments that will be used in developing Individual Program Plans. The Department will also contract with an individual or entity with the experience and qualifications to advise the Department in the most appropriate assessment tools, qualifications of assessors, and/or the necessary services or service adaptations to meet the needs of individuals who are Deaf+.

METHODOLOGY:

The Department estimates approximately 14,300 consumers are deaf or hard of hearing and would be eligible for a communications assessment.

The annual costs to fund 21 Deaf Service Specialists.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$2,379,000	\$2,379,000
Purchase of Services	\$0	\$14,300,000
TOTAL <i>(whole dollars)</i>	\$2,379,000	\$16,679,000

REASON FOR CHANGE:

There is no change in FY 2021-22 from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 includes one-time funding for communications assessments.

Resources to Support Individuals Who Are Deaf

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$2,379	\$2,379	\$0
GF	\$1,636	\$1,636	\$0
Reimbursement	\$743	\$743	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$2,379	\$16,679	\$14,300
GF	\$1,636	\$10,076	\$8,440
Reimbursement	\$743	\$6,603	\$5,860

Employment Grant

BACKGROUND:

California established an Employment First Policy making opportunities for competitive integrated employment the highest priority for working age individuals with intellectual and developmental disabilities (IDD). The Department works collaboratively with regional centers to support the employment needs of these consumers, and partners with the Department of Rehabilitation (DOR) on a number of statewide employment initiatives and services to dually served individuals.

The Department, in collaboration with DOR and stakeholders, will establish contracts/grant opportunities for entities to develop and implement innovative strategies and practices to increase paid work experiences and competitive integrated employment opportunities for people with IDD.

METHODOLOGY:

One-time funding, with three-year expenditure authority until FY 2023-24, will expand current efforts to achieve increased competitive integrated employment opportunities, to include targeted technical assistance, local collaboration with community colleges, small business associations and chambers of commerce and other targeted pathways leading to meeting established targets.

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to one-time funding in FY 2021-22.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$14,706	\$14,706	\$0
GF	\$10,000	\$10,000	\$0
Reimbursement	\$4,706	\$4,706	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$14,706	\$0	(\$14,706)
GF	\$10,000	\$0	(\$10,000)
Reimbursement	\$4,706	\$0	(\$4,706)

Performance Incentives

BACKGROUND:

The Department is working with stakeholders and regional centers to establish a performance improvement program with fiscal incentives for regional center operations to meet specified benchmarks and improvement measures.

Priority areas for performance indicators and benchmarks associated with out-year funding could include, but are not limited to, the following: equity in service access and purchase of services, consumer employment and associated metrics, community integration, person-centered planning, compliance with federal home and community-based standards, consumer and family experience and satisfaction, and innovation in service availability and delivery.

METHODOLOGY:

The estimated costs in fiscal year (FY) 2021-22 support initial infrastructure needs to prepare for implementation of the performance improvement plan in FY 2022-23. Initial costs could include, but are not limited to, additional staffing and exploration of new data collection systems/tools, with out-year funding prioritizing the reduction of caseload ratios.

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2020-21 to FY 2022-23 is due to the implementation of the performance improvement plan.

EXPENDITURES:

FY 2020-21	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$3,676	\$3,676	\$0
GF	\$2,500	\$2,500	\$0
Reimbursement	\$1,176	\$1,176	\$0

FY 2021-22	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$3,676	\$87,500	\$83,824
GF	\$2,500	\$59,508	\$57,008
Reimbursement	\$1,176	\$27,992	\$26,816

Language Access and Cultural Competency

BACKGROUND:

COVID-19 highlighted the continued need to assist consumers and families of children served by regional centers who are from underserved communities to navigate systems and improve service access and equity and meet basic needs. The Budget includes funding for language access and cultural competency orientations and translations for regional center consumers and their families. This additional investment may be used for identification of vital documents for translation, regular and periodic language needs assessments to determine threshold languages, coordination and streamlining of interpretation and translation services, and implementation of quality control measures to ensure the availability, accuracy, readability, and cultural appropriateness of translation.

METHODOLOGY:

Funding for language access and cultural competency orientations and translations.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$16,667	\$16,667	\$0
HCBS ARPA	\$10,000	\$10,000	\$0
HCBS ARPA Reimbursements	\$6,667	\$6,667	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$16,667	\$16,667	\$0
HCBS ARPA	\$10,000	\$10,000	\$0
HCBS ARPA Reimbursements	\$6,667	\$6,667	\$0

Health and Safety Waiver Assistance

BACKGROUND:

Pursuant to various sections of the Welfare and Institutions (W&I) Code, service provider rates may not be increased unless the regional center demonstrates that an increase is necessary to protect a consumer's health or safety and the Department has granted written authorization (commonly referred to as a health and safety waiver).

Consistent with W&I Code Section 4620.4(f), the Budget includes \$4.4 million to facilitate applications for health and safety waivers for non-English speaking individuals. The activities supported by these funds must include specialized outreach and case management services toward identifying which individuals might have an unaddressed need for a health and safety waiver and assisting with guiding individuals through the application process to meet those needs. Regional centers must track the number of individuals served through this effort and provide this information to the department on at least an annual basis.

METHODOLOGY:

The funding is allocated based on the number of consumers who do not speak English within each regional center.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$4,412	\$4,412	\$0
GF	\$3,000	\$3,000	\$0
Reimbursements	\$1,412	\$1,412	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$4,412	\$4,412	\$0
GF	\$3,000	\$3,000	\$0
Reimbursements	\$1,412	\$1,412	\$0

Enrolling Vendors as Medicaid Providers

BACKGROUND:

Payment Error Rate Measurement (PERM) is a federally contracted audit process that measures improper payments in Medicaid and other federal programs. During the fiscal year (FY) 2016-17 PERM review, the Centers for Medicare and Medicaid Services cited claims processed through the Department as payment errors because neither the Department, regional centers, nor vendors were enrolled as Medicaid providers. To date, the Department and regional centers have successfully enrolled as Medicaid providers. The Department is actively working on a process for vendors that will meet Medicaid enrollment requirements.

The proposed funding, targeted for provider screening activities, such as site visits and background checks/fingerprinting for certain vendor types, addresses a portion of the federal requirements applicable to vendors.

METHODOLOGY:

The cost for regional centers is estimated to be \$550,000 for the first year and \$400,000 annually thereafter.

REASON FOR CHANGE:

This is a new policy, effective July 1, 2022.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$550	\$550
GF	\$0	\$550	\$550

Reduced Caseload Ratio for Children through Age Five

BACKGROUND:

Improved service coordination and support to families will address the challenges families face in navigating multiple public systems that may limit the benefits and outcomes of the Early Start program. Reduced caseload ratios for children from birth through age five will enhance service coordination such as regional center participation in Individual Education Plan meetings, access to generic resources, increased family visits, and strengthened federal compliance with timely service delivery and transitions.

This proposal reduces the current caseload ratio to 1:40 for service coordinators supporting Early Start services, provisionally eligible children through age four, and Lanterman eligible children through age five.

METHODOLOGY:

To achieve the 1:40 caseload ratio for approximately 91,400 children, the estimate includes funding for approximately 577 service coordinators and 58 supervisors with a ratio of one supervisor for every 10 service coordinators.

The average annual costs to fund the estimated service coordinators is \$61.6 million total funds.

	Annual Salary
Salary	\$77,100
Fringe Benefits – 34%	\$26,214
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$106,714
Total Positions	577
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$61,576,000

The annual cost to fund the estimated supervisors is \$6.5 million.

	Annual Salary
Salary	\$82,000
Fringe Benefits – 34%	\$27,880
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$113,280
Total Positions	58
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$6,536,000

Reduced Caseload Ratio for Children through Age Five

Assume nine months of funding to account for staffing ramp-up and implementation in fiscal year (FY) 2022-23.

	FY 2022-23
Annual Costs	\$68,112,000
	X 0.75
FY 2022-23 Costs (whole dollars)	\$51,084,000

REASON FOR CHANGE:

This is a new policy, effective July 1, 2022.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$51,084	\$51,084
GF	\$0	\$31,928	\$31,928
Reimbursements	\$0	\$19,156	\$19,156

Early Start – Part C to B Transitions

BACKGROUND:

The Department proposes to transform the early childhood experience of young children and their families who receive early intervention services or are at risk for or have an intellectual/developmental disability. These strategies and initiatives support recommendations in the Senate Bill 75 Part C to B for CA Kids Workgroup Recommendations report on improving transitions from Early Start to Special Education Services (<https://www.partclobforcakids.org/#h.2h9izlqw9iqc>).

This proposal establishes Individuals with Disabilities Education Act (IDEA) Specialists at each regional center to train and support service coordinators guiding families through the transition from Part C (Early Start) to Part B (special education). Enhanced service coordination informed by the IDEA Specialist will increase families' awareness of their rights as they prepare for their child's education and support needs. IDEA Specialists will primarily provide administrative guidance and expertise on IDEA through technical support to regional centers and local education agencies providing infant and toddler services.

Further, the Department proposes supports to improve inclusion and accessibility of preschool programs. This proposal will have community-wide impact by increasing the knowledge and abilities of early childhood service providers to meet the needs of children with disabilities and to model inclusion.

METHODOLOGY:

Preschool Support: Estimated cost: \$10 million General Fund (GF).

The annual costs to fund 21 IDEA Specialists is \$3.2 million.

	Annual Salary
Salary	\$110,000
Fringe Benefits – 34%	\$37,400
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$150,800
Total Positions	21
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$3,167,000

REASON FOR CHANGE:

This is a new policy, effective July 1, 2022.

Early Start – Part C to B Transitions

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$13,167	\$13,167
GF	\$0	\$12,185	\$12,185
Reimbursements	\$0	\$982	\$982

Ongoing Purchase of Service Items

This category of purchase of services expenses includes various previously approved items as described below:

BACKGROUND:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Best Buddies:	\$2,000	\$2,000

The Budget Act of 2019 includes ongoing funding of \$2.0 million General Fund (GF) provided to Best Buddies International. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state.

Competitive, Integrated Employment Incentives/Paid Internship Program:	\$29,000	\$29,000
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To encourage competitive, integrated employment (CIE) opportunities for individuals with developmental disabilities, Welfare and Institutions Code (WIC) §4870 authorizes 1) paid internship opportunities with internship placement incentives to providers, and 2) incentive payments, paid at specified milestones, for providers who place and support consumers to maintain competitive, integrated employment.

In July 2021, WIC §4870 was amended to include the following provider incentive payments for internship placement:

1. A payment of seven hundred fifty dollars (\$750) shall be made to the service provider who, on or after July 1, 2021, places an individual in a paid internship opportunity, and the individual remains in the paid internship after 30 consecutive days.
2. An additional payment of one thousand dollars (\$1,000) shall be made to the regional center provider for an individual described above who remains in the paid internship for 60 consecutive days.

Additionally, WIC §4870 was amended to state, effective July 1, 2021, until June 30, 2025, the competitive integrated employment incentive payments for each milestone shall be as follows:

1. A payment of two thousand dollars (\$2,000) if the individual is still engaged in competitive employment after 30 consecutive days, as described in Section 4851(o) and Section 4868(d).

Ongoing Purchase of Service Items

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
2. An additional payment of two thousand five hundred dollars (\$2,500), if the individual remains in competitive integrated employment for six consecutive months.		
3. An additional payment of three thousand dollars (\$3,000), if the individual remains in competitive integrated employment for 12 consecutive months.		
Compliance with Home and Community-Based Services (HCBS) POS:	\$15,000	\$15,000
<p>In January 2014, the Center for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under §1915(c) Home and Community-Based (HCBS) Waivers, and §1915(i) HCBS State Plan programs. States were allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS requires compliance by March 17, 2023. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. To assist with compliance, funding is available for providers to make modifications to the way services are provided.</p>		
TOTAL EXPENDITURES	\$46,000	\$46,000

Ongoing Purchase of Service Items

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$46,000	\$46,000	\$0
GF	\$33,000	\$33,000	\$0
Reimbursements	\$13,000	\$13,000	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$46,000	\$46,000	\$0
GF	\$33,000	\$33,000	\$0
Reimbursements	\$13,000	\$13,000	\$0

Electronic Visit Verification Penalty

BACKGROUND:

Electronic Visit Verification (EVV) is a telephone and computer-based system that electronically verifies in-home service visits. EVV systems must verify the type of service performed, the individual receiving the service, date of the service, location of service delivery, the individual providing the service, and the time the service begins and ends. Pursuant to subsection I of §1903 of the Social Security Act (42 U.S.C. 1396b), all states must implement EVV for Medicaid-funded Personal Care Services by January 1, 2020 and Home Health Care Services by January 1, 2023. The state is subject to incremental Federal Medical Assistance Percentage reductions of up to 1 percent unless the state has both made a "good faith effort" to comply and has encountered "unavoidable delays." California was granted a one-year extension to implement EVV for Medicaid-funded Personal Care Services from January 1, 2020 to December 31, 2020 which avoided a penalty in fiscal year (FY) 2019-20.

Previously the penalty had been expected to occur only in calendar year 2021 with an anticipated implementation date of the EVV system by December 31, 2021. Given the complex nature of this project, it is anticipated implementation will occur in the first quarter of calendar year 2022, which increases the projected estimate for penalties.

Funding for backfilling the EVV penalty is 100 percent General Fund (GF).

METHODOLOGY:

The penalty for non-compliance is estimated to be \$10.2 million in FY 2021-22, based on a 0.5 percent penalty of federally eligible expenditures for affected personal care services, beginning January 1, 2021, thru December 31, 2021, and a 0.75 percent penalty beginning January 1, 2022. The estimated fiscal impact reflects a backfill of GF to account for the reduction in federal funding.

REASON FOR CHANGE:

The change in FY 2021-22, from the Enacted Budget, is based upon on updated eligible expenditures for affected personal care services.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$10,020	\$10,220	\$200
GF	\$10,020	\$10,220	\$200

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$10,220	\$0	(\$10,220)
GF	\$10,220	\$0	(\$10,220)

Increased Costs for COVID-19

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California in response to the global COVID-19 pandemic. As a result of COVID-19, the Department has experienced increased costs in supporting regional center consumers. These costs include providing additional support for consumers in their homes as well as the development of "surge sites" to serve consumers diagnosed with, exposed to, or at high risk due to COVID-19.

The Governor's Budget supports the projected increased costs to meet the additional needs of consumers during the public health crisis. These include short-term impacts, as well as long-term challenges that will continue into fiscal year (FY) 2022-23. The Department's budget recognizes the need for additional resources in residential settings, including the family home, as well as the impacts of decreased access to other community services as a result of the COVID-19 pandemic.

All of the estimated expenditures due to COVID-19 are incorporated into the Purchase of Services budget categories.

METHODOLOGY:

The impact due to COVID-19 was calculated using the September 2021 State Claims Data file, with expenditures through June 30, 2021. Expenditure trends through June 2021 were compared to trends prior to the Covid-19 pandemic. The results of this comparison and actual data informed the estimated impact of COVID-19 on expenditures. While it is assumed that expenditures in some categories will return to historical utilization and growth patterns in FY 2021-22, longer-term impacts of COVID-19 are anticipated in Community Care Facilities, Transportation, Support Services, In-Home Respite, and Miscellaneous services.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Community Care Facilities	\$224,561	\$121,141
Day Program Services	\$7,529	\$0
Habilitation Services	(\$7,355)	(\$12,149)
Transportation	(\$88,020)	(\$16,738)
Support Services	\$158,057	\$93,795
In-Home Respite	\$79,597	\$41,814
Out-of-Home Respite	\$6,363	\$1,186
Health Care	(\$21,279)	\$0
Miscellaneous	\$17,140	\$16,686
Medical Facilities	\$677	\$0
TOTAL PURCHASE OF SERVICES	\$377,270	\$245,735

REASON FOR CHANGE:

The change in FY 2021-22, from the Enacted Budget, reflects updated COVID-19 expenditures in the Purchase of Services budget categories.

Increased Costs for COVID-19

EXPENDITURES:

The COVID-19 related costs are included in the individual budget categories, as listed above for informational purposes.

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$242,018	\$377,270	\$135,252
GF	\$137,179	\$248,998	\$111,819
Reimbursements	\$104,839	\$128,272	\$23,433

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$377,270	\$245,735	(\$131,535)
GF	\$248,998	\$162,185	(\$86,813)
Reimbursements	\$128,272	\$83,550	(\$44,722)

Health Facility Rate Increase

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California as a result of the global COVID-19 pandemic. In response, the Department of Health Care Services (DHCS) implemented a 10-percent per diem rate increase for Intermediate Care Facilities - Developmentally Disabled (ICF-DD) and Skilled Nursing Facilities (SNF) through the duration of the state of emergency. DHCS received federal approval of State Plan Amendment (SPA) 20-0024, effective March 1, 2020. This rate increase applies for those facilities vendored through regional centers.

METHODOLOGY:

The estimate for the 10-percent rate increase was calculated using the September 2021 State Claims Data file, with expenditures through June 30, 2021 and assumes the rate increase will be applied through June 30, 2022.

REASON FOR CHANGE:

The change in current year is due to updated actual expenditures and an extension of the rate increase through June 30, 2022.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$422	\$1,103	\$681
GF	\$422	\$1,103	\$681

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,103	\$0	(\$1,103)
GF	\$1,103	\$0	(\$1,103)

Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022

BACKGROUND:

Senate Bill 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$14.00 to \$15.00 per hour for employers with 26 or more employees, and from \$13.00 to \$14.00 per hour for employers with 25 employees or less on January 1, 2022. The last minimum wage increase from \$14.00 to \$15.00 per hour applies to employers with 25 employees or less and will occur on January 1, 2023.

METHODOLOGY:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Community Care Facilities	\$36,783	\$78,908
Day Programs	\$7,176	\$15,154
Habilitation Services	\$83	\$133
Transportation	\$185	\$480
Support Services	\$30,969	\$68,466
In-Home Respite	\$30,182	\$72,206
Out-of-Home Respite	\$69	\$130
Miscellaneous Services	\$1,041	\$2,333
TOTAL EXPENDITURES	\$106,488	\$237,810

REASON FOR CHANGE:

The change in FY 2021-22, from the Enacted Budget, is due to updated actual expenditures.

The change from fiscal year FY 2021-22 to FY 2022-23 is due to a full year cost of the \$1.0 minimum wage increase.

Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$159,237	\$106,488	(\$52,749)
GF	\$83,667	\$62,955	(\$20,712)
Reimbursements	\$75,570	\$43,533	(\$32,037)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$106,488	\$237,810	\$131,322
GF	\$62,955	\$140,508	\$77,553
Reimbursements	\$43,533	\$97,302	\$53,769

Youth Returning from Out-of-State Foster Care

BACKGROUND:

Historically, when youth with complex behavioral, medical, and mental health needs in the foster care system cannot be served by in-state placement, counties may place youth in out-of-state facilities. The Department of Social Services conducted a reassessment of these facilities and found violations of California licensure standards resulting in the decertification of facilities. Approximately 10 youth in the decertified out-of-state facilities were anticipated to be eligible for Lanterman Act services and require therapeutic residential environments to support complex developmental and behavioral needs.

METHODOLOGY:

The expenditures were developed based on five youth receiving supports in Enhanced Behavioral Support Homes.

The Budget includes \$1.8 million in fiscal year (FY) 2021-22 and FY 2022-23 for the Department to support youth in their transition back to California.

REASON FOR CHANGE:

There is no change to both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,800	\$1,800	\$0
GF	\$1,000	\$1,000	\$0
Reimbursements	\$800	\$800	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,800	\$1,800	\$0
GF	\$1,000	\$1,000	\$0
Reimbursements	\$800	\$800	\$0

Bilingual Differentials for Direct Service Professionals

BACKGROUND:

For consumers receiving services, the effectiveness of those services, may be substantially compromised by language barriers. Communication between consumers and the staff who support them is instrumental in establishing trusting relationships and implementing interventions and strategies to further consumers' goals and/or diffuse crisis situations.

The Department estimates approximately 90,000 consumers speak a primary language other than English. A pay differential for bilingual direct service professionals who are bilingual, including those fluent in American Sign Language, increases the availability of staff who can support consumers when English is not their primary language, leading to improved choice, access to services, and greater independence for consumers.

METHODOLOGY:

The estimate assumes costs to establish a verification process for bilingual competency and the cost of a pay differential for bilingual direct service professionals.

REASON FOR CHANGE:

There is no change in Fiscal Year (FY) 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to the estimated timing of establishing the verification process late in fiscal year FY 2021-22, with an increase in the number of staff receiving the pay differential in FY 2022-23.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$3,600	\$3,600	\$0
GF	\$2,200	\$2,200	\$0
Reimbursements	\$1,400	\$1,400	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$3,600	\$7,200	\$3,600
GF	\$2,200	\$4,400	\$2,200
Reimbursements	\$1,400	\$2,800	\$1,400

Social Recreation and Camping Services

BACKGROUND:

Effective July 1, 2009, statute was enacted that suspended regional centers' authority to purchase social recreation services, camping services, educational services for children aged three to 17, and nonmedical therapies. Welfare and Institutions Code 4648.5 was amended, ending this suspension effective June 30, 2021.

METHODOLOGY:

Funding is based on estimated costs to purchase services based on caseload growth. This estimate assumes an 18-month ramp up period.

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change in FY 2021-22 to FY 2022-23 reflects ramp up during the budget year.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$29,400	\$29,400	\$0
HCBS ARPA	\$19,000	\$19,000	\$0
HCBS ARPA Reimbursements	\$10,400	\$10,400	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$29,400	\$49,000	\$19,600
HCBS ARPA	\$19,000	\$31,600	\$12,600
HCBS ARPA Reimbursements	\$10,400	\$17,400	\$7,000

Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023

BACKGROUND:

Senate Bill 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$14.00 to \$15.00 per hour for employers with 25 employees or less on January 1, 2023. This is the last increase to bring the State minimum wage up to \$15.00 per hour.

METHODOLOGY:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Community Care Facilities	\$0	\$168
Day Programs	\$0	\$176
Habilitation Services	\$0	\$2
Transportation	\$0	\$32
Support Services	\$0	\$1,898
In-Home Respite	\$0	\$1,636
Out-of-Home Respite	\$0	\$6
Miscellaneous Services	\$0	\$228
TOTAL EXPENDITURES	\$0	\$4,146

REASON FOR CHANGE:

This is a new policy reflecting minimum wage adjustments effective January 1, 2023.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$4,146	\$4,146
GF	\$0	\$2,477	\$2,477
Reimbursements	\$0	\$1,669	\$1,669

Coordinated Family Support Services

BACKGROUND:

Adults living outside the family home have more coordinated supports than individuals living with their family. Department data shows a significantly higher percentage of adults who identify as non-white (75 percent) live with their family as compared to adults who are white (52 percent). To improve service equity for adults who live with their family, and improve individual supports at home, this proposal would pilot a new service for families to assist in coordinating multiple services.

METHODOLOGY:

The estimate assumes costs for the supported services to consumers who live with their families.

REASON FOR CHANGE:

This is a new policy.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$41,667	\$41,667	\$0
HCBS ARPA	\$25,000	\$25,000	\$0
HCBS ARPA Reimbursements	\$16,667	\$16,667	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$41,667	\$0	(\$41,667)
HCBS ARPA	\$25,000	\$0	(\$25,000)
HCBS ARPA Reimbursements	\$16,667	\$0	(\$16,667)

Work Activity Programs: New Service Model

BACKGROUND:

Existing Work Activity Programs (WAP) largely support sub-minimum wage employment in segregated settings. Funding would support a three-year pilot focused on career readiness for individuals exiting WAP or secondary education and prepare them to enter competitive and integrated work.

The Department has seen a steady decline in consumer participation in WAP from approximately 6,100 individuals in FY 2018-19 to approximately 3,800 individuals in 2020-21. Senate Bill 639 (Chapter 339, Statutes of 2021) also requires an implementation plan to phase out the use of subminimum wages. Given the federal Home and Community-Based Services (HCBS) Final Rule compliance date of March 2023, the service model's future is evolving.

The pilot program will be time-limited, person-centered and focused on a pathway forward to employment connecting individuals to Paid Internship Programs or Competitive Integrated Employment (CIE) and measuring outcomes.

METHODOLOGY:

Funding for the pilot program is based on an assumed caseload of approximately 1,400 individuals.

REASON FOR CHANGE:

This is a new policy, effective July 1, 2022.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$8,233	\$8,233
GF	\$0	\$4,920	\$4,920
Reimbursements	\$0	\$3,313	\$3,313

Forensic Diversion

BACKGROUND:

The Enacted Budget includes funding to support the forensic diversion program for individuals with Intellectual and Developmental Disabilities (IDD) who have been charged with a felony and are active in the criminal justice system. The program provides outreach and services for individuals and provides an opportunity to remain in a community setting as opposed to a locked, highly restrictive setting when an individual, has been determined by the court to not pose a risk of danger to public safety.

The Forensic Specialists will provide subject matter expertise on the criminal justice/court system and work on behalf of multiple regional centers. The regional center forensic specialists work with all parties, including the Department legal and regional project staff to find the appropriate community placement. The duties also include working to develop necessary community resources and building collaborative relationships working with the courts, district attorneys and public defenders to educate and inform them on the legal requirements for alternatives.

Funding also supports intensive wraparound services provided by trained clinicians to individuals participating in the diversion program.

METHODOLOGY:

The funding supports 5 Forensic Specialists, each serving multiple regional centers, and \$3.2 million for wraparound services.

	Annual Salary
Salary	\$77,100
Fringe Benefits – 34%	\$26,200
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$106,700
Total Positions	5
Total Annual Cost (Rounded)	\$534,000
<i>(whole dollars)</i>	

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$534,000	\$534,000
Purchase of Services	\$3,200,000	\$3,200,000
TOTAL	\$3,734,000	\$3,734,000

Forensic Diversion

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$3,734	\$3,734	\$0
GF	\$2,375	\$2,375	\$0
Reimbursements	\$1,359	\$1,359	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$3,734	\$3,734	\$0
GF	\$2,375	\$2,375	\$0
Reimbursements	\$1,359	\$1,359	\$0

Enhanced Federal Funding

BACKGROUND:

On March 18, 2020 the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to costs associated with the Home and Community-Based Services and Self-Determination Program Waivers, 1915(i) State Plan Amendment (SPA), Targeted Case Management, the Intermediate Care Facility-Developmentally Disabled SPA, and Early Periodic Screening Diagnosis and Treatment.

The increase in federal reimbursements is assumed to continue through June 30, 2022.

METHODOLOGY:

The estimated fiscal year (FY) 2021-22 enhanced FMAP is based on the additional 6.2 percent federal funds participation for eligible costs for 12 months. The increased federal funds will result in a corresponding General Fund savings.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$31,582	\$0
Purchase of Services	\$390,636	\$0
TOTAL	\$422,218	\$0

REASON FOR CHANGE:

The change in FY 2021-22, from the Enacted Budget, reflects an anticipated extension of the enhanced FMAP through June 30, 2022 and updated expenditures eligible for reimbursement.

The change from FY 2021-22 to FY 2022-23 is due to the anticipated expiration of the enhanced FMAP.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$212,898)	(\$422,218)	(\$209,320)
Reimbursements	\$212,898	\$422,218	\$209,320

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$422,218)	\$0	\$422,218
Reimbursements	\$422,218	\$0	(\$422,218)

Self-Determination Ongoing Implementation

BACKGROUND:

The Self-Determination Program (SDP), provides individuals served by regional centers and their families with more flexibility and choice in the services and supports they receive. The SDP received federal funding approval effective July 1, 2018, with a three-year phase in period and limited participation not to exceed 2,500 consumers. Beginning in July 2021, SDP is available to all consumers. To support the expansion of SDP, the estimate includes funding for:

- Enhanced transition support services for individuals and their families to assist with the transition into the SDP.
- Implementation of statewide orientation and training materials.

Participant choice specialists at regional centers support individuals with timely transition to SDP participation as well as support other regional center staff.

METHODOLOGY:

The estimate includes funding on a three-year limited-term basis in the operations budget for participant choice specialists at regional centers and ongoing funding to contract with entities that will help provide orientation, training, and plain language informational materials.

The estimate also includes ongoing funding for enhanced transition support services for approximately 25 percent of individuals enrolling into SDP.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$7,800	\$7,800
Purchase of Services	\$2,500	\$2,500
TOTAL	\$10,300	\$10,300

REASON FOR CHANGE:

There is no change in both years.

Self-Determination Ongoing Implementation

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$10,300	\$10,300	\$0
GF	\$6,800	\$6,800	\$0
Reimbursements	\$3,500	\$3,500	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$10,300	\$10,300	\$0
GF	\$6,800	\$6,800	\$0
Reimbursements	\$3,500	\$3,500	\$0

Lanterman Act Provisional Eligibility Ages 3 and 4

BACKGROUND:

Assembly Bill 136 (Chapter 76, Statutes of 2021), amended Welfare and Institutions (W&I) Code §4512 to expand eligibility for Lanterman Developmental Disabilities Services Act (Lanterman Act) services by allowing a child who is three or four years of age to be provisionally eligible for regional center services under specified conditions.

To be provisionally eligible, a child is not required to have one of the developmental disabilities listed in W&I Code §4512(a)(1). A child exiting Early Start may be eligible for Lanterman Act services under the provisional eligibility criteria. Likewise, a child who is three or four years of age who did not receive Early Start services, may be provisionally eligible. Similar to individuals who are eligible pursuant to W&I Code §4512(a)(1), a child who is determined to be provisionally eligible will receive Lanterman Act services determined appropriate through the Individual Program Planning process.

METHODOLOGY:

The estimate assumes approximately 4,000 children will meet the provisional eligibility criteria in fiscal year (FY) 2021-22 and increase to 4,300 children in FY 2022-23. The Department estimates regional centers will need 64 Service Coordinators and approximately seven supervisors statewide at an annual cost of \$7.6 million in FY 2021-22 and increase to 69 Service Coordinators and approximately seven supervisors statewide at an annual cost of \$8.1 million in FY 2022-23.

	FY 2021-22	FY 2021-22	FY 2022-23	FY 2022-23
	Service Coordinator	Supervisor	Service Coordinator	Supervisor
Salary	\$77,100	\$82,000	\$77,100	\$82,000
Fringe Benefits – 34%	\$26,210	\$27,880	\$26,210	\$27,880
Operating Expense & Equipment	\$3,400	\$3,400	\$3,400	\$3,400
Annual Cost Per Position	\$106,710	\$113,280	\$106,710	\$113,280
Total Positions	64	6.71	69	6.90
Annual Cost	\$6,840,000	\$760,000	\$7,363,000	\$782,000
Total Annual Cost for All Positions		\$7,600,000		\$8,145,000
<i>(whole dollars)</i>				

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$7,600	\$8,145
Purchase of Services	\$16,200	\$17,415
TOTAL	\$23,800	\$25,560

Lanterman Act Provisional Eligibility Ages 3 and 4

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change in FY 2021-22 to FY 2022-23 reflects the year-over-year percentage increase in caseload.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$23,800	\$23,800	\$0
GF	\$23,800	\$23,800	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$23,800	\$25,560	\$1,760
GF	\$23,800	\$25,560	\$1,760

Service Provider Rate Reform

BACKGROUND:

As required by Welfare and Institutions Code Section §4519.8, the Department submitted a service provider rate study to the legislature in March 2019. Section 4519.10 was added by Assembly Bill 136 (Chapter 76, Statutes of 2021), specifying the timeline for implementation of rate increases beginning in fiscal year (FY) 2021-22, which will include a quality incentive program, create an enhanced person-centered outcomes-based system, and be fully implemented by July 1, 2025.

Effective April 1, 2022, the Department will implement the first rate adjustment for applicable service providers that is 25 percent of the difference between a provider's rate in effect March 31, 2022 and the fully-funded rate model.

Effective July 1, 2023, the Department will implement the second rate adjustment for applicable service providers that is an additional 25 percent of the difference between a provider's rate in effect March 31, 2022 and the fully-funded rate model.

Effective July 1, 2025, the Department will implement the fully-funded rate models using two payment components, a base rate equaling 90 percent of the rate model, and a quality incentive payment, equaling up to 10 percent of the rate model.

Prior to the fully-funded rate model, the Budget plan includes separate funding for a quality incentive program that moves California's developmental services system from a compliance-based system to an outcomes-based system.

FY 2022-23	\$45,833
FY 2023-24	\$91,667
FY 2024-25	\$134,500
FY 2025-26	10 percent of the rate model

METHODOLOGY:

The estimate includes regional center operations funding to support approximately 105 positions for implementation of rate adjustments and models.

The purchase of service estimate is based on funds needed to adjust applicable service provider rates by April 2022 to reflect 25 percent of the difference between the rate in effect March 31, 2022 and the rate model.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Operations	\$12,647	\$12,647
Purchase of Services	\$127,083	\$554,167
TOTAL	\$139,730	\$566,814

Service Provider Rate Reform

REASON FOR CHANGE:

There is no change in FY 2021-22 from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 reflects the annualized amount of the rate adjustment implemented in 2021-22 and the initial funding for the quality incentive program.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$139,730	\$139,730	\$0
HCBS ARPA	\$84,850	\$84,850	\$0
HCBS ARPA Reimbursements	\$54,880	\$54,880	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$139,730	\$566,814	\$427,084
HCBS ARPA	\$84,850	\$341,100	\$256,250
HCBS ARPA Reimbursements	\$54,880	\$225,714	\$170,834

American Rescue Plan Act - IDEA Part C

BACKGROUND:

Part C of the Federal Individuals with Disabilities Education Act (IDEA) funds grants to assist states in providing early intervention services (also known as Early Start in California) for infants and toddlers. The federal American Rescue Plan Act (ARPA), signed into law on March 11, 2021, includes one-time supplemental grant funding under Part C of IDEA that was appropriated through the 2021 Budget Act. The Early Start (ES) ARPA funds may be used for any allowable purpose under Part C of the IDEA, including the direct provision of early intervention services to infants and toddlers with disabilities and their families, and implementing a statewide, comprehensive, coordinated, multidisciplinary, interagency system to provide early intervention services. ES ARPA funds are available for expenditure between July 1, 2021, and September 30, 2023, and must be liquidated by January 28, 2024.

METHODOLOGY:

Based on stakeholder feedback, the Department will utilize the ES ARPA funds to improve accessibility to the Early Start Program and the transition from the ES program (Part C) to special education (Part B).

- **Family wellness pilot program (\$7 million)**
Pilot culturally and linguistically sensitive supports to parents facing stress associated with their child's recent diagnosis of a developmental disability.
- **Develop culturally and linguistically sensitive services (\$4.5 million)**
Provide training opportunities for Early Start providers in areas that enhance participant outcomes such as addressing implicit bias, adverse childhood experiences and toxic stress, and practicing reflective supervision training. Establish a scholarship and education stipend program to increase the availability of a provider network that reflects the cultural and linguistic diversity of the community.
- **Outreach and education to underserved populations (\$4.2 million)**
Establish a grant program for targeted and culturally sensitive outreach, leveraging established coordination to support associated activities and effectiveness. Pilot a partnership program between counties and regional centers to train professionals and increase awareness of the Early Start program. Increase capacity and diversify community participation to the Interagency Coordinating Council to support outreach activities and effectiveness.
- **Technology to improve access to the Early Start program (\$1.3 million)**
Develop options to facilitate family self-referrals to Early Start, fund purchase of early intervention assessment tools and/or expand availability of screening devices, and provide technology equipment to families who live in areas with low provider capacity for remote access to assessments and services.
- **Increase technical assistance and monitoring (\$0.5 million)**
Enhance oversight, support and monitoring of the Early Start program.

American Rescue Plan Act - IDEA Part C

METHODOLOGY (CONTINUED):

- Initiatives in collaboration with the Department of Education (\$6.5 million)**
 DDS continues to partner with the Department of Education to support initiatives that address systemic challenges, including difficulties experienced by many families during the transition process from ES to special education services.

REASON FOR CHANGE:

The decrease in FY 2021-22, from the Enacted Budget, reflects the updated ES ARPA allocation.

EXPENDITURES:

FY 202122	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$24,462	\$23,930	(\$532)
Federal Fund	\$24,462	\$23,930	(\$532)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$23,930	\$0	(\$23,930)
Federal Fund	\$23,930	\$0	(\$23,930)

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General Fund

BACKGROUND:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

METHODOLOGY:

The Department's appropriation for GF consists of two components:

(1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>FY 2021-22</u>	<u>FY 2022-23</u>
• GF Match		\$3,037,942	\$3,850,655
This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Service (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:			
	GF %		
Home and Community-Based Services (HCBS) Waiver	50.00%	\$2,281,096	\$2,865,498
HCBS Waiver Administration	50.00%	\$19,821	\$24,707
Medicaid Administration	25.00%	\$6,349	\$6,349
Targeted Case Management (TCM)	50.00%	\$231,517	\$312,458
Intermediate Care Facility-Developmentally Disabled (ICF-DD)	50.00%	\$49,297	\$56,276
ICF-DD Quality Assurance Fees (Operations)*	50.00%	\$844	\$844
1915(i) State Plan Amendment	50.00%	\$407,004	\$515,380
Early Periodic Screening Diagnosis and Treatment	50.00%	\$22,222	\$27,271
Self-Determination Program Waiver	50.00%	\$19,792	\$41,872
• GF Other:		\$2,905,059	\$3,281,221
These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, HCBS ARPA Funding, or Federal Funds.			
• TOTAL EXPENDITURES		\$5,943,001	\$7,131,876

General Fund**EXPENDITURES:**

FY 2021-22		<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$6,231,905	\$5,943,001	(\$288,904)
	GF Match	\$3,289,901	\$3,037,942	(\$251,959)
	GF Other	\$2,942,004	\$2,905,059	(\$36,945)

FY 2022-23		<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$5,943,001	\$7,131,876	\$1,188,875
	GF Match	\$3,037,942	\$3,850,655	\$812,713
	GF Other	\$2,905,059	\$3,281,221	\$376,162

**For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget*

Reimbursements

SUMMARY OF REIMBURSEMENTS AND GENERAL FUND (GF) MATCH:

METHODOLOGY:	<u>FY 2021-22</u>	<u>FY 2022-23</u>
A. Home and Community-Based Services (HCBS) Waiver	\$5,207,964	\$5,730,996
1. Reimbursement	\$2,603,982	\$2,865,498
• Enhanced FMAP 6.2%	\$322,886	\$0
2. GF Match	\$2,281,096	\$2,865,498
(Purchase of Services)		
B. HCBS Waiver Administration	\$39,642	\$49,415
1. Reimbursement	\$19,821	\$24,708
2. GF Match	\$19,821	\$24,707
(Operations)		
C. Medicaid Administration	\$25,397	\$25,397
1. Reimbursement	\$19,048	\$19,048
2. GF Match	\$6,349	\$6,349
(Operations)		
D. Targeted Case Management	\$526,197	\$624,915
1. Reimbursement	\$263,098	\$312,457
• Enhanced FMAP 6.2%	\$31,582	\$0
2. GF Match	\$231,517	\$312,458
(Operations)		
E. Title XX Block Grant	\$213,421	\$213,421
1a. Social Services	\$136,264	\$136,264
1b. Temporary Assistance for Needy Families	\$77,157	\$77,157
(Purchase of Services)		
F. Intermediate Care – Facility Developmentally Disabled	\$112,553	\$112,553
1. Reimbursement	\$56,277	\$56,277
• Enhanced FMAP 6.2%	\$6,979	\$0
2. GF Match	\$49,297	\$56,276
(Purchase of Services)		
G. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees ^{/A}	\$10,803	\$10,803
1a. Operations	\$1,688	\$1,688
Reimbursements	\$844	\$844
GF Match	\$844	\$844
1b. Purchase of Services	\$9,115	\$9,115
Reimbursements (from DHCS)	\$9,115	\$9,115

^{/A} Reimbursements are funds received via other state agencies.

Reimbursements

METHODOLOGY (CONTINUED):**FY 2021-22****FY 2022-23**

H. 1915(i) State Plan Amendment	\$929,258	\$1,030,760
1. Reimbursements	\$464,629	\$515,380
• Enhanced FMAP 6.2%	\$57,625	\$0
2. GF Match	\$407,004	\$515,380
(Purchase of Services)		
I. Behavioral Health Treatment Fee-for-Service	\$13,296	\$13,296
1. Reimbursement	\$13,296	\$13,296
(Purchase of Services)		
J. Early Periodic Screening Diagnosis Treatment	\$50,736	\$54,543
1. Reimbursement	\$25,368	\$27,272
• Enhanced FMAP 6.2%	\$3,146	\$0
2. GF Match	\$22,222	\$27,271
(Purchase of Services)		
K. Self-Determination Program Waiver	\$39,584	\$83,744
1. Reimbursement	\$19,792	\$41,872
2. GF Match	\$19,792	\$41,872
(Purchase of Services)		
L. Total	\$7,168,851	\$7,949,843
1. Reimbursements	\$4,130,909	\$4,099,188
2. GF Match	\$3,037,942	\$3,850,655

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$7,254,235	\$7,168,851	(\$85,384)
GF Match	\$3,289,901	\$3,037,942	(\$251,959)
Reimbursement	\$3,964,334	\$4,130,909	\$166,575

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$7,168,851	\$7,949,843	\$780,992
GF Match	\$3,037,942	\$3,850,655	\$812,713
Reimbursement	\$4,130,909	\$4,099,188	(\$31,721)

Home and Community-Based Services Waiver

BACKGROUND:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for individuals with developmental disabilities.

The expenditures for HCBS Waiver reimbursements are in all the Purchase of Services budget categories, except Medical Facilities.

METHODOLOGY:

The fiscal is based on fiscal year (FY) 2020-21 Medicaid Waiver Total Billed Dollar Amounts and Client Counts report.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Base: FY 2020-21	\$4,979,935	\$5,395,970
Total estimated expenditures based on billing data for FY 2020-21.	\$4,979,935	
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2021.	<i>In Trends</i>	
• Total estimated prior-year HCBS Waiver expenditures.		\$5,458,933
• Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022.		(\$74,578)
• Plus Supplemental Security Income/State Supplementary Payment (SSI/SSP) regional center savings in Community Care Facilities.		\$11,615
Annual Growth, New regional center consumers:	\$140,323	\$148,263
• FY 2021-22 Annual Growth.	\$75,345	
Add annual growth costs in FY 2021-22 for 3,898 consumers who will be new to the regional center (RC) system and added to the HCBS Waiver with \$2,000 monthly cost per consumer, phased in.		
• FY 2021-22 Annual Growth, Continuation costs for RC consumers added in FY 2020-21.	\$64,978	

Home and Community-Based Services Waiver

METHODOLOGY (CONTINUED):	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> FY 2022-23 Annual Growth. Add annual growth costs in FY 2022-23 for 3,803 consumers who will be new to the RC system and added to the HCBS Waiver with \$2,000 monthly cost per consumer, phased in. 		\$77,254
<ul style="list-style-type: none"> FY 2022-23 Annual Growth, Continuation costs for RC consumers added in FY 2021-22. 		\$71,009
Community Placement Program (CPP):	\$8,748	\$9,720
<ul style="list-style-type: none"> FY 2021-22 Add CPP & HCBS Waiver costs in FY 2021-22 for 49 consumers in the RC system with \$27,000 monthly cost per consumer, phased in. 	\$8,748	
<ul style="list-style-type: none"> FY 2022-23 Add CPP & HCBS Waiver costs in FY 2022-23 for 49 consumers in the RC system with \$27,000 monthly cost per consumer, phased in. 		\$9,720
Placement Continuation:	\$12,204	\$9,720
<ul style="list-style-type: none"> FY 2021-22 The annual estimated Placement Continuation costs for 78 HCBS Waiver-eligible consumers in each living arrangement with \$27,000 monthly cost per consumer, phased-in. 	\$12,204	
<ul style="list-style-type: none"> FY 2022-23 The annual estimated Placement Continuation costs for 49 HCBS Waiver-eligible consumers in each living arrangement with \$27,000 monthly costs per consumer, phased-in. 		\$9,720
Transitions to Self-Determination Program (SDP) Waiver:	(\$26,619)	(\$10,471)
<ul style="list-style-type: none"> FY 2021-22 Costs reflect monthly decreases in Waiver expenditures as a result of 2,170 consumers leaving HCBS Waiver and enrolling on SDP Waiver in FY 2021-22. 	(\$26,619)	

Home and Community-Based Services Waiver

METHODOLOGY (CONTINUED):	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> FY 2022-23 Costs reflect monthly decreases in Waiver expenditures as a result of 625 consumers leaving HCBS Waiver and enrolling on SDP Waiver in FY 2022-23. 		(\$10,471)
Continuation Costs for Transitions to SDP Waiver:	(\$4,212)	(\$40,586)
<ul style="list-style-type: none"> FY 2021-22 FY 2021-22 consumers who transitioned to SDP Waiver. Decreases in Waiver expenditures are a result of those individuals no longer being on the Waiver (271 totals in FY 2020-21). 	(\$4,212)	
<ul style="list-style-type: none"> FY 2022-23 FY 2022-23 consumers who transitioned to SDP Waiver. Decreases in Waiver expenditures are a result of those individuals no longer being on the Waiver (2,170 total in FY 2021-22). 		(\$40,586)
<ul style="list-style-type: none"> SSI/SSP Increase effective January 1, 2021 and January 1, 2022. 	(\$11,615)	(\$10,770)
<ul style="list-style-type: none"> Competitive, Integrated Employment Incentives. 	\$15,840	\$15,840
<ul style="list-style-type: none"> Compliance with HCBS Regulations. 	\$7,440	\$7,440
<ul style="list-style-type: none"> Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022. 	\$74,578	\$166,538
<ul style="list-style-type: none"> Youth Returning from Out-of-State Foster Care. 	\$1,392	\$1,392
<ul style="list-style-type: none"> Bilingual Differentials for Direct Service Professionals. 	\$2,380	\$4,760
<ul style="list-style-type: none"> Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023. 	\$0	\$2,800
<ul style="list-style-type: none"> Forensic Diversion. 	\$2,020	\$2,020
<ul style="list-style-type: none"> START Training. 	\$4,020	\$11,236
<ul style="list-style-type: none"> Self-Determination Ongoing Implementation. 	\$1,530	\$1,530
<ul style="list-style-type: none"> Work Activity Programs: New Service Model. 	\$0	\$5,632
<ul style="list-style-type: none"> Resources to Support Individuals Who Are Deaf. 	\$0	\$9,962
TOTAL EXPENDITURES	\$5,207,964	\$5,730,996

Home and Community-Based Services Waiver

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$5,283,110	\$5,207,964	(\$75,146)
GF Match	\$2,478,028	\$2,281,096	(\$196,932)
Reimbursement	\$2,805,082	\$2,926,868	\$121,786

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$5,207,964	\$5,730,996	\$523,032
GF Match	\$2,281,096	\$2,865,498	\$584,402
Reimbursement	\$2,926,868	\$2,865,498	(\$61,370)

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES:		<u>FY 2021-22</u>	<u>FY 2022-23</u>
Total Estimated HCBS Waiver Billable Expenditures		\$5,207,964	\$5,730,996
General Fund (GF) Match		\$2,281,096	\$2,865,498
Federal Financial Participation (FFP)		\$2,926,868	\$2,865,498
Purchase of Services: Budget Categories	% of Expenditures		
Community Care Facilities	35.60%	\$1,808,804	\$1,953,134
GF Match		\$904,402	\$976,567
FFP		\$904,402	\$976,567
Day Programs	13.53%	\$690,938	\$745,280
GF Match		\$345,469	\$372,640
FFP		\$345,469	\$372,640
Work Activity Program	0.29%	\$14,644	\$15,796
GF Match		\$7,322	\$7,898
FFP		\$7,322	\$7,898
Supported Employment Placement (SEP) - Group Placement	0.50%	\$25,508	\$27,516
GF Match		\$12,754	\$13,758
FFP		\$12,754	\$13,758
SEP - Individual Placement	0.16%	\$8,066	\$8,700
GF Match		\$4,033	\$4,350
FFP		\$4,033	\$4,350
Transportation	3.36%	\$171,568	\$185,062
GF Match		\$85,784	\$92,531
FFP		\$85,784	\$92,531
Support Services	29.83%	\$1,523,542	\$1,643,366
GF Match		\$761,771	\$821,683
FFP		\$761,771	\$821,683
In-Home Respite	12.62%	\$644,460	\$695,146
GF Match		\$322,230	\$347,573
FFP		\$322,230	\$347,573
Out-of-Home Respite	0.92%	\$47,172	\$50,882
GF Match		\$23,586	\$25,411
FFP		\$23,586	\$25,411
Health Care	0.69%	\$35,440	\$38,228
GF Match		\$17,720	\$19,114
FFP		\$17,720	\$19,114

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):		<u>FY 2021-22</u>	<u>FY 2022-23</u>
	% of Expenditures		
Miscellaneous Services	2.5%	\$128,622	\$138,736
GF Match		\$64,311	\$69,368
FFP		\$64,311	\$69,368
Policies			
Competitive, Integrated Employment Incentives		\$15,840	\$15,840
GF Match		\$7,920	\$7,920
FFP		\$7,920	\$7,920
Compliance with Home and Community Based Services Purchase of Services		\$7,440	\$7,440
GF Match		\$3,720	\$3,720
FFP		\$3,720	\$3,720
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022		\$74,578	\$166,538
GF Match		\$37,289	\$83,269
FFP		\$37,289	\$83,269
Youth Returning from Out-of-State Foster Care		\$1,392	\$1,392
GF Match		\$696	\$696
FFP		\$696	\$696
Bilingual Differentials for Direct Service Professionals		\$2,380	\$4,760
GF Match		\$1,190	\$2,380
FFP		\$1,190	\$2,380
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023		\$0	\$2,800
GF Match		\$0	\$1,400
FFP		\$0	\$1,400
Forensic Diversion		\$2,020	\$2,020
GF Match		\$1,010	\$1,010
FFP		\$1,010	\$1,010
Enhanced Federal Funding		\$0	\$0
GF Match		(\$322,886)	\$0
FFP		\$322,886	\$0

Home and Community-Based Services Waiver Estimated Distribution in Purchase of Services

EXPENDITURES (CONTINUED):	<u>FY 2021-22</u>	<u>FY 2022-23</u>
START Training	\$4,020	\$11,236
GF Match	\$2,010	\$5,618
FFP	\$2,010	\$5,618
Self-Determination Ongoing Implementation	\$1,530	\$1,530
GF Match	\$765	\$765
FFP	\$765	\$765
Work Activity Programs: New Service Model	\$0	\$5,632
GF Match	\$0	\$2,816
FFP	\$0	\$2,816
Resources to Support Individuals Who Are Deaf	\$0	\$9,962
GF Match	\$0	\$4,981
FFP	\$0	\$4,981

Home and Community-Based Services Waiver Administration

BACKGROUND:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for individuals with developmental disabilities. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

METHODOLOGY:

Direct Support Professional Training:

Welfare and Institutions Code §4695.2 mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program are conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). Estimate of the Operations costs covers the challenge tests and training through the IA with CDE.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Direct Support Professional:	\$2,886	\$3,478
<ul style="list-style-type: none"> Total cost for DSP Training is \$3,900 in fiscal year (FY) 2021-22 and \$4,700 in FY 2022-23. 74% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 74% of the cost are eligible for federal financial participation (FFP). These costs are reflected under Operations, Projects. 		

Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services:

Regional center staff contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	\$757	\$757
<ul style="list-style-type: none"> Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893. <p>These costs are reflected under Operations, Staffing</p>		

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):

FY 2021-22FY 2022-23

Staffing for Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

FY 2003-04 FFP Enhancement, Phase II

\$9,318

\$9,318

- Total regional center administrative cost for FY 2021-22 and FY 2022-23 is \$9,318.
- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Core Staffing.

Compliance with Home and Community Based Services (HCBS) Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2023. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:

\$1,422

\$1,422

- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in FY 2021-22 and FY 2022-23.
- 100% of costs are eligible for FFP.
These costs are reflected under Operations, Operations Policies Items.

Federal Medicaid Requirements for Regional Center HCBS Services

\$984

\$984

- Total cost: \$984.
- 100% of costs are eligible for FFP.
These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Office of Administrative Hearings:	\$1,748	\$1,748
<ul style="list-style-type: none"> Total cost for Resources for Health Care Community Specialist in FY 2021-22 is \$3,885 and \$3,885 in FY 2022-23. 45% of costs are eligible for FFP. These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects. 		
Clients' Rights Advocacy:	\$3,737	\$3,942
<ul style="list-style-type: none"> Total cost for FY 2021-22 is \$8,304 and \$8,760 for FY 2022-23. 45% of costs are eligible for FFP. These costs are reflected in the Client Rights Advocacy estimate under Operations, Projects. 		
Quality Assessment:	\$2,088	\$2,256
<ul style="list-style-type: none"> Total cost for FY 2021-22 is \$4,640 and \$5,014 for FY 2022-23. 45% of costs are eligible for FFP in FY 2021-22 and FY 2022-23. These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects. 		
Special Incident Reporting/Risk Assessment:	\$480	\$480
<ul style="list-style-type: none"> Total cost is \$1,200 for FY 2021-22 and FY 2022-23. 40% of costs are eligible for FFP. These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects. 		

Home and Community-Based Services Waiver Administration

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
METHODOLOGY (CONTINUED):		
Specialized Home Monitoring:	\$5,322	\$6,530
<ul style="list-style-type: none"> Total costs for FY 2021-22 are \$8,315 and FY 2022-23 is \$10,201. 64% of costs are eligible for FFP. These costs are reflected under Operations, Operations Policies Items. 		
Oversight and Accountability	\$3,900	\$3,900
<ul style="list-style-type: none"> Total costs for FY 2021-22 and FY 2022-23 are \$4,450. These costs are reflected under Operations, Operations Policies Items. 		
Community Navigators	\$4,200	\$4,200
<ul style="list-style-type: none"> Total costs for FY 2021-22 and FY 2022-23 are \$5,300. This cost is reflected under Operations, Operations Policies Items. 		
Direct Service Professional Workforce Training and Development	\$2,800	\$10,400
<ul style="list-style-type: none"> Total costs for FY 2021-22 are \$4,300 and FY 2022-23 are \$16,200. This cost is reflected under Operations, Operations Policies Items. 		
• TOTAL EXPENDITURES	\$39,642	\$49,415

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$43,028	\$39,642	(\$3,386)
GF Match	\$21,514	\$19,821	(\$1,693)
Reimbursement	\$21,514	\$19,821	(\$1,693)

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$39,642	\$49,415	\$9,773
GF Match	\$19,821	\$24,707	\$4,886
Reimbursement	\$19,821	\$24,708	\$4,887

Medicaid Administration

BACKGROUND:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statute of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations)

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers medications, to maintain regional center compliance with the HCBS Waiver.

Developmental Center Closure-Ongoing Workload

Funding includes salaries, benefits, and operating expenses and equipment for the regional center positions associated with the closure of the developmental centers and the transition of individuals to community living arrangements. Regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews. These positions include quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

METHODOLOGY:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> Costs for Clinical Support Teams and SB 1038 Health Reviews are based on FY 2019-20 data collected from the regional centers. 	\$19,501	\$19,501
<ul style="list-style-type: none"> The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75 percent. 	\$14,626	\$14,626

Medicaid Administration

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
• Staffing for Compliance with HCBS Waiver Requirements.		
• Total personal services and operating costs related to MA. The costs are 100 percent eligible for MA because related staff will be working only with HCBS Waiver consumers.	\$2,600	\$2,600
• The FFP portion of total MA-eligible costs is 75 percent.	\$1,950	\$1,950
• Developmental Center Closure Ongoing Workload:		
• Total personal services, operating and placement continuation costs related to MA.	\$3,296	\$3,296
• It is assumed that 100 percent of costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75 percent.	\$2,472	\$2,472

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$24,224	\$25,397	\$1,173
GF Match	\$6,056	\$6,349	\$293
Reimbursement	\$18,168	\$19,048	\$880

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$25,397	\$25,397	\$0
GF Match	\$6,349	\$6,349	\$0
Reimbursement	\$19,048	\$19,048	\$0

Targeted Case Management

BACKGROUND:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 244,000 Medi-Cal eligible persons in the regional center (RC) system as of August 5, 2021. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services". This program provides federal financial participation (FFP) for most of RC case managers time spent on Medi-Cal eligible activities.

METHODOLOGY:

The fiscal is developed using the TCM RC Billed Units report dated August 5, 2021 for the period of July 2020 - June 2021.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> • Base: TCM expenditures are based on actual TCM billable units for a 12-month period (July 2020 – June 2021) multiplied by the RC TCM rates effective July 1, 2021. 	\$484,959	\$504,191
<ul style="list-style-type: none"> • Specialized Caseload Ratio 	\$2,652	\$2,860
<ul style="list-style-type: none"> • Trauma Informed Services for Foster Youth 	\$1,000	\$1,000
<ul style="list-style-type: none"> • START Training 	\$6,128	\$0
<ul style="list-style-type: none"> • Regional Center Emergency Coordinators 	\$1,290	\$1,600
<ul style="list-style-type: none"> • Enhanced Service Coordination 	\$5,600	\$6,200
<ul style="list-style-type: none"> • Implicit Bias Training 	\$2,952	\$2,952
<ul style="list-style-type: none"> • Resources to Support Individuals Who Are Deaf 	\$1,486	\$1,486
<ul style="list-style-type: none"> • Employment Grant 	\$9,412	\$0
<ul style="list-style-type: none"> • Performance Incentives 	\$2,352	\$55,984
<ul style="list-style-type: none"> • Health and Safety Waiver Assistance 	\$2,824	\$2,824
<ul style="list-style-type: none"> • Forensic Diversion 	\$342	\$342
<ul style="list-style-type: none"> • Self-Determination Ongoing Implementation 	\$5,200	\$5,200
<ul style="list-style-type: none"> • Reduced Caseload Ratio for Children through Age Five 	\$0	\$38,312
<ul style="list-style-type: none"> • Early Start – Part C to B Transitions 	\$0	\$1,964
<ul style="list-style-type: none"> • TOTAL EXPENDITURES 	\$526,197	\$624,915

Targeted Case Management

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$513,217	\$526,197	\$12,980
GF Match	\$241,729	\$231,517	(\$10,212)
Reimbursement	\$271,488	\$294,680	\$23,192

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$526,197	\$624,915	\$98,718
GF Match	\$231,517	\$312,458	\$80,941
Reimbursement	\$294,680	\$312,457	\$17,777

Title XX Block Grant

BACKGROUND:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200 percent of the income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

METHODOLOGY:

The Department's portion of the Title XX Block Grant is determined by DSS. There are no state matching requirements for these funds.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Total	\$213,421	\$213,421
Social Services	\$136,264	\$136,264
TANF	\$77,157	\$77,157

Title XX Block Grant

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Total Title XX Block Grant – Social Services	\$213,421	\$213,421
Estimated Distribution in	\$136,264	\$136,264
Regional Center Purchases of Services		
Day Programs	\$36,659	\$36,659
Transportation	\$5,339	\$5,339
Support Services	\$56,436	\$56,436
In-Home Respite	\$33,952	\$33,952
Out-of-Home Respite	\$881	\$881
Miscellaneous Services	\$2,997	\$2,997
TANF		
Estimated Distribution in	\$77,157	\$77,157
Regional Center Purchases of Services		
Community Care Facilities	\$15,601	\$15,601
Day Programs	\$979	\$979
Transportation	\$551	\$551
Support Services	\$10,285	\$10,285
In-Home Respite	\$25,840	\$25,840
Out-of-Home Respite	\$3,946	\$3,946
Health care	\$4,536	\$4,536
Miscellaneous Services	\$15,419	\$15,419

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$213,421	\$213,421	\$0
Social Services	\$136,264	\$136,264	\$0
TANF	\$77,157	\$77,157	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$213,421	\$213,421	\$0
Social Services	\$136,264	\$136,264	\$0
TANF	\$77,157	\$77,157	\$0

Intermediate Care Facility – Developmentally Disabled State Plan Amendment

BACKGROUND:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Programs and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011, retroactive to July 1, 2007.

METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from fiscal year (FY) 2020-21.

EXPENDITURES:

FY 2021-22		<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$117,149	\$112,553	(\$4,596)
	GF Match	\$54,942	\$49,297	(\$5,645)
	FFP	\$62,207	\$63,256	\$1,049)

FY 2022-23		<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$112,553	\$112,553	\$0
	GF Match	\$49,297	\$56,276	\$6,979
	FFP	\$63,256	\$56,277	(\$6,979)

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees

BACKGROUND:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional centers (RC).

METHODOLOGY:

- The fiscal is developed using the State Claims Purchase of Services (POS) Claims data file, dated September 2021, based on fiscal year (FY) 2020-21 actuals.
- ICF-DD Administrative Costs and Quality Assurance Fees (QAF) are set by the Department of Health Care Services (DHCS).
- RC administration costs are 1.5 percent of the costs of Day Programs and Transportation expenditures.
- ICF-DD administration costs are 1.5 percent of the costs of Day Programs and Transportation expenditures for both the RC and ICF-DD.
- POS costs for Day Programs and Transportation total \$112.5 million. QAF is \$9.1 million.
- FY 2021-22 and FY 2022-23: Total billing costs are \$1.7 million for regional center administration, \$1.7 million for ICF-DD administration, and \$7.4 million QAF.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$11,394	\$10,803	(\$591)
Operations	\$1,782	\$1,688	(\$94)
GF Match	\$891	\$844	(\$47)
FFP	\$891	\$844	(\$47)
Purchase of Services	\$9,612	\$9,115	(\$497)
QAF Admin Fees	\$4,806	\$4,558	(\$248)
Transfer from DHCS	\$4,806	\$4,557	(\$249)

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees

EXPENDITURES (CONTINUED):

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$10,803	\$10,803	\$0
Operations	\$1,688	\$1,688	\$0
GF Match	\$844	\$844	\$0
FFP	\$844	\$844	\$0
Purchase of Services	\$9,115	\$9,115	\$0
QAF Admin Fees	\$4,558	\$4,558	\$0
Transfer from DHCS	\$4,557	\$4,557	\$0

1915(i) State Plan Amendment

BACKGROUND:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915(i) State Plan Amendment (SPA) to the Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915(i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

METHODOLOGY:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> • Base: Updated fiscal year (FY) 2020-21 expenditures were used to develop the base. For FY 2022-23, the prior year estimate for base and growth, with the following adjustments, was used as the base. Cost without Community Placement Plan. 	\$915,905	\$996,145
<ul style="list-style-type: none"> • SSI/SSP Increase effective January 1, 2021 and January 1, 2022 	(\$3,819)	(\$3,119)
<ul style="list-style-type: none"> • Competitive Integrated Employment Incentives 	\$2,160	\$2,160
<ul style="list-style-type: none"> • Compliance with Home and Community-Based Services Purchase of Services 	\$560	\$560
<ul style="list-style-type: none"> • Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022 	\$12,488	\$28,066
<ul style="list-style-type: none"> • Youth returning from Out-of-State Foster Care 	\$208	\$208
<ul style="list-style-type: none"> • Bilingual Differentials for Direct Service Professionals 	\$420	\$840
<ul style="list-style-type: none"> • Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023 	\$0	\$538
<ul style="list-style-type: none"> • Forensic Diversion 	\$356	\$356
<ul style="list-style-type: none"> • START Training 	\$710	\$1,984
<ul style="list-style-type: none"> • Self-Determination Ongoing Implementation 	\$270	\$270
<ul style="list-style-type: none"> • Work Activity Programs: New Service Model 	\$0	\$994
<ul style="list-style-type: none"> • Resources to Support Individuals Who Are Deaf 	\$0	\$1,758
<ul style="list-style-type: none"> • TOTAL EXPENDITURES: 	\$929,258	\$1,030,760

1915(i) State Plan Amendment**EXPENDITURES (CONTINUED):**

		<u>FY 2021-22</u>	<u>FY 2022-23</u>
Total Estimated 1915(i) Billable Expenditures		\$929,258	\$1,030,760
General Fund (GF) Match		\$407,004	\$515,380
Federal Financial Participation		\$522,254	\$515,380
Purchase of Services: Budget Category	% of expenditures		
Community Care Facilities	23.32%	\$211,434	\$230,912
GF Match		\$105,717	\$115,456
FFP		\$105,717	\$115,456
Day Programs	16.59%	\$151,638	\$164,941
GF Match		\$75,819	\$82,471
FFP		\$75,819	\$82,470
Work Activity Program	0.59%	\$5,372	\$5,842
GF Match		\$2,686	\$2,921
FFP		\$2,686	\$2,921
Supported Employment Program – Group	3.44%	\$31,392	\$34,147
GF Match		\$15,696	\$17,073
FFP		\$15,696	\$17,074
Supported Employment Program – Individual	1.35%	\$12,330	\$13,412
GF Match		\$6,165	\$6,706
FFP		\$6,165	\$6,706
Transportation	3.63%	\$33,192	\$36,102
GF Match		\$16,596	\$18,051
FFP		\$16,596	\$18,051
Support Services	30.74%	\$280,916	\$305,556
GF Match		\$140,458	\$152,778
FFP		\$140,458	\$152,778
In-Home Respite	13.81%	\$126,210	\$137,282
GF Match		\$63,105	\$68,641
FFP		\$63,105	\$68,641
Out-of-Home Respite	0.75%	\$6,836	\$7,437
GF Match		\$3,418	\$3,718
FFP		\$3,418	\$3,719

1915(i) State Plan Amendment

EXPENDITURES (CONTINUED):	% of expenditures	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Health Care	1.04%	\$9,522	\$10,358
GF Match		\$4,761	\$5,179
FFP		\$4,761	\$5,179
Miscellaneous Services	4.73%	\$43,244	\$47,037
GF Match		\$21,622	\$23,519
FFP		\$21,622	\$23,518
Policies			
Competitive, Integrated Employment Incentives		\$2,160	\$2,160
GF Match		\$1,080	\$1,080
FFP		\$1,080	\$1,080
Compliance with HCBS Regulations		\$560	\$560
GF Match		\$280	\$280
FFP		\$280	\$280
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2022		\$12,488	\$28,066
GF Match		\$6,244	\$14,033
FFP		\$6,244	\$14,033
Youth Returning from Out-of-State Foster Care		\$208	\$208
GF Match		\$104	\$104
FFP		\$104	\$104
Enhanced Federal Funding		\$0	\$0
GF Match		(\$57,625)	\$0
FFP		\$57,625	\$0
Bilingual Differentials for Direct Service Professionals		\$420	\$840
GF match		\$210	\$420
FFP		\$210	\$420
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023		\$0	\$538
GF Match		\$0	\$269
FFP		\$0	\$269
Forensic Diversion		\$356	\$356
GF Match		\$178	\$178
FFP		\$178	\$178

1915(i) State Plan Amendment**EXPENDITURES (CONTINUED):**

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
START Training	\$710	\$1,984
GF Match	\$355	\$992
FFP	\$355	\$992
Self-Determination Ongoing Implementation	\$270	\$270
GF Match	\$135	\$135
FFP	\$135	\$135
Work Activity Programs: New Service Model	\$0	\$994
GF Match	\$0	\$497
FFP	\$0	\$497
Resources to Support Individuals Who Are Deaf	\$0	\$1,758
GF Match	\$0	\$879
FFP	\$0	\$879

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$936,571	\$929,258	(\$7,313)
GF Match	\$439,290	\$407,004	(\$32,286)
FFP	\$497,281	\$522,254	\$24,973

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$929,258	\$1,030,760	\$101,502
GF Match	\$407,004	\$515,380	\$108,376
FFP	\$522,254	\$515,380	(\$6,874)

Early Periodic Screening Diagnosis and Treatment

BACKGROUND:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age three that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

METHODOLOGY:

The estimated budget is based on expenditures from fiscal year (FY) 2020-21. EPSDT funding is only in the Day Programs budget category.

EXPENDITURES:

FY 2021-22		<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$60,163	\$50,736	(\$9,427)
	GF Match	\$28,217	\$22,222	(\$5,995)
	FFP	\$31,946	\$28,514	(\$3,432)

FY 2022-23		<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$50,736	\$54,543	\$3,807
	GF Match	\$22,222	\$27,271	\$5,049
	FFP	\$28,514	\$27,272	(\$1,242)

Department of Health Care Services Behavioral Health Treatment Fee-for-Service

BACKGROUND:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code §14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit in January 2016. Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the Medi-Cal benefit.

The estimate reflects costs of BHT services for consumers enrolled in Fee-for-Service Medi-Cal. These children receive services through the regional centers, and DHCS reimburses the Department for the related expenditures.

METHODOLOGY:

Full year costs for these consumers were estimated based on actual fiscal year (FY) 2020-21 data.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$13,492	\$13,296	(\$196)
Reimbursement	\$13,492	\$13,296	(\$196)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$13,296	\$13,296	\$0
Reimbursement	\$13,296	\$13,296	\$0

Self-Determination Program Waiver

BACKGROUND:

On December 31, 2014, the Department submitted a Home and Community-Based Services (HCBS) Waiver application to Centers for Medicare and Medicaid Services (CMS) seeking federal funding for the Self-Determination Program (SDP). Under the authority of Senate Bill (SB) 468 (Chapter 683, Statutes of 2013) and upon CMS approval, the Department is implementing the SDP, allowing regional center consumers and their families more freedom, control and responsibility. The SDP waiver was approved by the CMS on June 7, 2018. Participants can only purchase services and supports that are approved by the federal government and listed in the SDP waiver.

METHODOLOGY:

Fiscal Year (FY) 2020-21 actual Self-Determination Program expenditures and client counts are used in forecasting SDP. Funding was distributed to each budget category based on the percent of HCBS waiver expenditures by budget category. See the HCBS Waiver methodology page in Fund Sources for actual percentages.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
• Community Care Facilities	\$14,090	\$29,808
• Day Programs	\$5,354	\$11,328
• Work Activity Program	\$114	\$240
• Supported Employment Placement (SEP) - Group Placement	\$198	\$418
• SEP - Individual Placement	\$62	\$132
• Transportation	\$1,330	\$2,812
• Support Services	\$11,806	\$24,976
• In-Home Respite	\$4,994	\$10,566
• Out-of-Home Respite	\$366	\$774
• Health Care	\$274	\$582
• Miscellaneous Services	\$996	\$2,108
• TOTAL EXPENDITURES	\$39,584	\$83,744

Self-Determination Program Waiver

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$38,466	\$39,584	\$1,118
GF Match	\$19,233	\$19,792	\$559
Reimbursement	\$19,233	\$19,792	\$559

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$39,584	\$83,744	\$44,160
GF Match	\$19,792	\$41,872	\$22,080
Reimbursement	\$19,792	\$41,872	\$22,080

Program Development Fund/Parental Fees

BACKGROUND:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so. Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400 percent of the federal poverty level are required to pay an annual fee. The Department deposits parental fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan (Welfare and Institution Code §4677, §4784, and §4785).

METHODOLOGY:

Fiscal Year (FY) 2021-22 reflects revenue adjustments from COVID-19, Executive Order N-25-20, and resulting waivers.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$204	\$204	\$0
Parental Fees	\$120	\$120	\$0
Annual Family Program Fees	\$84	\$84	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$204	\$204	\$0
Parental Fees	\$120	\$120	\$0
Annual Family Program Fees	\$84	\$84	\$0

Developmental Disabilities Services Account

BACKGROUND:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

METHODOLOGY:

Based on historical and current data, the Department is authorized to receive housing proposals, including application fees totaling \$150,000.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$150	\$150	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$150	\$150	\$0

Mental Health Services Fund

BACKGROUND:

Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1.0 million to provide funds to expand services and develop innovative programs. Consistent with the requirements of the MHSA, the Department funds regional centers to implement projects with community partners that focus on prevention, early intervention, and treatment for children and adults who are dually diagnosed (i.e. have a developmental disability and a mental illness).

METHODOLOGY:

Expenditures are set amounts and consistent with prior year.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$740	\$740	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$740	\$740	\$0

HCBS ARPA Spending Plan

BACKGROUND:

On March 11, 2021, President Biden signed American Rescue Plan Act of 2021 (ARPA) (Pub. L. 117-2). Section 9817 of the ARPA provides qualifying states with a temporary 10 percentage point increase to the federal medical assistance percentage (FMAP) for certain Medicaid expenditures for Home and Community-Based Services (HCBS) programs from April 1, 2021 through March 31, 2022. In accordance with the Centers for Medicare & Medicaid Services (CMS) guidance related to ARPA Section 9817 issued on May 13, 2021, through the State Medicaid Director Letter numbered 21-003 (SMD #21-003), on July 12, 2021, California submitted its preliminary HCBS spending plan to CMS. This spending plan targets investment in a number of initiatives, across a range of state HCBS programs to build a modern, inclusive HCBS system that provides robust health and human services to California's most vulnerable residents, in their communities, in ways that ensure that California's HCBS workforce has the training and support necessary to provide the highest level of service to those in their care. This spending plan reflects stakeholder feedback, incorporating a number of suggestions from advocates, providers, consumers, caregivers, community-based organizations, managed care plans, and foundations, provided from March through June 2021. The state's spending plan also reflects priorities from the state Legislature. Further, the initiatives included in this plan will be sustained through many ongoing investments, reflecting the collective vision of the state and its stakeholders.

METHODOLOGY:

The HCBS ARPA Spending plan will be funding four Local Assistance programs over a three-year timeframe in the amount of \$1.6 billion.

- Language Access and Cultural Competency, \$45.8 million (\$27.5 million HCBS ARPA, \$18.3 million Reimbursement).
- Coordinated Family Support Services, \$41.7 million (\$25.0 million HCBS ARPA, \$16.7 million Reimbursement).
- Social Recreation and Camping Services, \$121.1 million (\$78.2 million HCBS ARPA, \$42.9 million Reimbursement).
- Service Provider Rate Reform, \$1.4 billion (\$931.2 million HCBS ARPA, \$483.2 million Reimbursement).

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$227,464	\$227,464	\$0
HCBS ARPA	\$138,850	\$138,850	\$0
HCBS ARPA Reimbursements	\$88,614	\$88,614	\$0

HCBS ARPA Spending Plan

EXPENDITURES CONTINUED:

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$227,464	\$632,481	\$405,017
HCBS ARPA	\$138,850	\$382,700	\$243,850
HCBS ARPA Reimbursements	\$88,614	\$249,781	\$161,167

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

BACKGROUND:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, §303.1 through §303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, §52000 through §52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers (RC) for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), §95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers.

METHODOLOGY:

Annual grant amounts are determined by the federal Offices of Special Education Programs (OSEP). The Department received a grant award letter dated July 1, 2021 for federal funding period July 1, 2021 through September 30, 2022.

Additional funds are available in fiscal year (FY) 2021-22 from unspent funding of past grant awards.

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) RC Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

METHODOLOGY (CONTINUED):

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
• Other Agencies	\$43,024	\$19,094
• CDE: Additional federal requirements include shorter time lines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation.	\$14,600	\$14,600
<i>Local Education Agencies</i>	\$14,245	\$14,245
<i>Support</i>	\$355	\$355
• System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings.	\$1,835	\$1,835
• Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC §95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC §95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning.	\$4,662	\$4,662
<i>Family Resources Center: Federal Funds grant amount</i>	\$2,659	\$2,659
<i>Family Resources Services: 100 percent General Fund</i>	\$2,003	\$2,003
• American Rescue Plan Act	\$23,930	\$0
• RC POS: The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in FY 2020-21 by budget category.	\$40,634	\$33,829
○ Day Programs	\$19,294	\$16,040
○ Support Services	\$170	\$142
○ In-Home Respite	\$196	\$164
○ Out-of-Home Respite	\$25	\$21
○ Health Care	\$2,005	\$1,671
○ Miscellaneous Services	\$18,944	\$15,791

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

EXPENDITURES:

FY 2021-22		<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$79,628	\$85,661	\$6,033
	GF	\$2,003	\$2,003	\$0
	Federal Funds	\$77,625	\$83,658	\$6,033

FY 2022-23		<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$85,661	\$54,926	(\$30,735)
	GF	\$2,003	\$2,003	\$0
	Federal Funds	\$83,658	\$52,923	(\$30,735)

Foster Grandparent Program

BACKGROUND:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women aged 55 and older, the opportunity to serve their community as tutors and mentors to children and youth under the age of 22 who have developmental disabilities and exceptional needs and being served by a regional center. Foster grandparents volunteer in community schools, Head Start centers, and pre-schools.

METHODOLOGY:

The funding is based on the Federal Grant.

EXPENDITURES:

FY 2021-22		<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$1,140	\$1,140	\$0

FY 2022-23		<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$1,140	\$1,140	\$0

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SECTION I: FUTURE FISCAL ISSUES

Future Fiscal Issues I-1

FUTURE FISCAL ISSUES

Centers for Medicare & Medicaid Services (CMS) Final Regulations for Home and Community-Based Services (HCBS)

The Department administers two 1915(c) Waivers (the HCBS Waiver for Persons with Developmental Disabilities and the HCBS Waiver for the Self-Determination Program) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 230,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019. However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, the compliance date was extended to March 17, 2022. On July 14, 2020, in response to the COVID-19 public health emergency, the compliance deadline was extended again to March 17, 2023.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP) and the state is working toward submitting the final transition plan to CMS for approval within the next several months. The STP describes at a high level, California's overall commitment to, and plan for, achieving compliance including the potential need for changes in statute and/or regulation to comply with federal regulations. Included in the STP is a description of the process to assess settings where services are provided to determine what changes, if any, are needed for providers to comply with the HCBS regulations. The setting assessment process began in January 2020, with the provider self-assessment completed in August 2020. The validation of the assessments is anticipated to continue through mid-2022. Efforts to provide training and information for all stakeholders regarding the HCBS regulations and person-centered planning requirements continue; and as with prior years, the Governor's Budget includes \$15 million to assist providers in making changes needed to comply with the HCBS regulations.

Consumer Services Post COVID-19 Emergency

In response to the pandemic, the Department developed the provision of alternative nonresidential services (Alternative Services) to meet the needs of individuals with intellectual and developmental disabilities, help sustain the state's developmental services provider network, and continue receipt of federal reimbursement, pursuant to Governor Gavin Newsom's Executive Order N-75-20 issued on August 24, 2020.

The Department continues to explore options with stakeholders to transition from alternative services implemented in response to the public health emergency. Stakeholder engagement is expected to explore lessons learned from the use of the Alternative Services model and continued focus on individual choice, community integration, and individual health and safety. These stakeholder discussions may identify changes recommended in spring 2022.

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Early Start Expenditures And Population

BACKGROUND:

Per Legislative request, the Department provides projected caseload and expenditures for the Early Start program. Early Start includes consumers from birth through 35 months.

METHODOLOGY:

The projected Early Start expenditures are developed using the September 2021 State Claims Data file, with expenditures through June 30, 2020.

2022-23 Governor's Budget Early Start Estimated Caseload and Expenditures						
Fiscal Year	*Monthly Caseload	POS Expenditures	Per Capita	Federal Funds (POS portion) Early Start	Federal Funds (POS portion) EPSDT	State Funds DDS General Funds
2021-22	53,966	\$530,497,000	\$9,830	\$40,634,000	\$28,514,000	\$461,349,000
2022-23	58,015	\$641,037,000	\$11,050	\$33,829,000	\$27,272,000	\$579,936,000

* Refer to Section C – Population for additional detail on the Early Start population.

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SECTION K: STATE OPERATED FACILITIES

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Comparison of Enacted Budget to Governor's Budget FY 2021-22

I. OPERATIONS	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,962	\$29,964	\$2
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,724	\$11,724	\$0
C. Porterville Developmental Center			
1. Population	231	231	0
2. Units	15.0	15.0	0.0
3. Position	1,427.7	1,427.7	0.0
4. Operation Expenditures	\$183,789	\$183,791	\$2
5. Lease Revenue Bond	\$9,151	\$9,154	\$3
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	3.0	3.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$29,155	\$29,155	\$0
E. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	\$2,310	\$2,310	\$0
3. Workers' Compensation	\$28,349	\$28,349	\$0
4. Post Closure	\$1,495	\$1,495	\$0
Total Operations Expenditures	\$295,936	\$295,943	\$5
II. POLICY			
A. Control Section Adjustments	\$0	\$8,162	\$8,162
B. Interagency Agreement with DGS	\$6,449	\$6,449	\$0
C. COVID-19 Response	\$15,025	\$15,025	\$0
D. Retention Stipend Reallocation	\$1,000	\$500	(\$500)
E. Youth Returning from Out-of-State Foster Care	\$3,980	\$3,980	\$0
F. Deferred Maintenance	\$5,000	\$5,000	\$0
Total Policy	\$31,454	\$39,116	\$7,662
GRAND TOTAL	\$327,390	\$335,059	\$7,669
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$25,471	\$23,888	(\$1,583)
2. General Fund Other	\$266,754	\$274,467	\$7,713
Total General Fund	\$292,225	\$298,355	\$6,130
B. Reimbursements			
1. Medi-Cal Reimbursements	\$28,590	\$30,125	\$1,535
2. Reimbursements Other	\$6,449	\$6,449	\$0
Total Reimbursements	\$35,039	\$36,574	\$1,535
C. Lottery Education Funds	\$126	\$130	\$4
GRAND TOTAL	\$327,390	\$335,059	\$7,669

Comparison of Enacted Budget to Governor's Budget FY 2022-23

I. OPERATIONS	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,962	\$29,964	\$2
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,724	\$11,724	\$0
C. Porterville Developmental Center			
1. Population	231	231	0
2. Units	15.0	15.0	0.0
3. Position	1,427.7	1,427.7	0.0
4. Operation Expenditures	\$183,789	\$183,791	\$2
5. Lease Revenue Bond	\$9,151	\$9,158	\$7
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	3.0	3.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$29,155	\$29,155	\$0
E. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	\$2,310	\$2,310	\$0
3. Workers' Compensation	\$28,349	\$28,349	\$0
4. Post Closure	\$1,495	\$1,495	\$0
Total Operations Expenditures	\$295,936	\$295,947	\$11
II. POLICY			
A. Control Section Adjustments	\$0	\$8,644	\$8,644
B. Interagency Agreement with DGS	\$6,449	\$6,449	\$0
C. COVID-19 Response	\$15,025	\$0	(\$15,025)
D. Retention Stipend Reallocation	\$1,000	\$500	(\$500)
E. Youth Returning from Out-of-State Foster Care	\$3,980	\$3,980	\$0
F. Deferred Maintenance	\$5,000	\$0	(\$5,000)
Total Policy	\$31,454	\$19,573	(\$11,881)
GRAND TOTAL	\$327,390	\$315,520	(\$11,870)
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$25,471	\$27,051	\$1,580
2. General Fund Other	\$266,754	\$254,839	(\$11,915)
Total General Fund	\$292,225	\$281,890	(\$10,335)
B. Reimbursements			
1. Medi-Cal Reimbursements	\$28,590	\$27,051	(\$1,539)
2. Reimbursements Other	\$6,449	\$6,449	\$0
Total Reimbursements	\$35,039	\$33,500	(\$1,539)
C. Lottery Education Funds	\$126	\$130	\$4
GRAND TOTAL	\$327,390	\$315,520	(\$11,870)

Comparison of FY 2021-22 to FY 2022-23

I. OPERATIONS	FY 2021-22	FY 2022-23	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$29,964	\$29,964	\$0
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,724	\$11,724	\$0
C. Porterville Developmental Center			
1. Population	231	231	0
2. Units	15.0	15.0	0.0
3. Position	1,427.7	1,427.7	0.0
4. Operation Expenditures	\$183,791	\$183,791	\$0
5. Lease Revenue Bond	\$9,154	\$9,158	\$4
D. STAR & CAST			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. CAST	3.0	3.0	0.0
3. Positions	221.5	221.5	0.0
4. Operation Expenditures	\$29,155	\$29,155	\$0
E. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	\$2,310	\$2,310	\$0
3. Workers' Compensation	\$28,349	\$28,349	\$0
4. Post Closure	\$1,495	\$1,495	\$0
Total Operations Expenditures	\$295,943	\$295,947	\$4
II. POLICY			
A. Control Section Adjustments	\$8,162	\$8,644	\$482
B. Interagency Agreement with DGS	\$6,449	\$6,449	\$0
C. COVID-19 Response	\$15,025	\$0	(\$15,025)
D. Retention Stipend Reallocation	\$500	\$500	\$0
E. Youth Returning from Out-of-State Foster Care	\$3,980	\$3,980	\$0
F. Deferred Maintenance	\$5,000	\$0	(\$5,000)
Total Policy	\$39,116	\$19,573	(\$19,543)
GRAND TOTAL	\$335,059	\$315,520	(\$19,539)
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$23,888	\$27,051	\$3,163
2. General Fund Other	\$274,467	\$254,839	(\$19,628)
Total General Fund	\$298,355	\$281,890	(\$16,465)
B. Reimbursements			
1. Medi-Cal Reimbursements	\$30,125	\$27,051	(\$3,074)
2. Reimbursements Other	\$6,449	\$6,449	\$0
Total Reimbursements	\$36,574	\$33,500	(\$3,074)
C. Lottery Education Funds	\$130	\$130	\$0
GRAND TOTAL	\$335,059	\$315,520	(\$19,539)

Systemwide Population

FY 2021-22

	Canyon Springs	Fairview	Porterville STP	STAR and CAST	Total
Enacted Budget					
Population, July 1, 2021	56	0	231	35	322
Change (+/-)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Population, June 30, 2022	56	0	231	35	322
Governor's Budget					
Population, July 1, 2021	56	0	231	35	322
Change (+/-)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Population, June 30, 2022	<u>56</u>	<u>0</u>	<u>231</u>	<u>35</u>	<u>322</u>
Population Change FY 2021-22	0	0	0	0	0

FY 2022-23

	Canyon Springs	Fairview	Porterville STP	STAR and CAST	Total
Enacted Budget					
Population, July 1, 2021	56	0	231	35	322
Change (+/-)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Population, June 30, 2022	56	0	231	35	322
Governor's Budget					
Population, July 1, 2022	56	0	231	35	322
Change (+/-)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Population, June 30, 2023	<u>56</u>	<u>0</u>	<u>231</u>	<u>35</u>	<u>322</u>
Population Change FY 2022-23	0	0	0	0	0

Canyon Springs Community Facility

BACKGROUND:

Canyon Springs Community Facility (CSCF) opened in December 2000 and is designed to provide residential services, treatment, and training for up to 56 adults who have developmental and intellectual disabilities. There are three Immediate Care Facilities (ICF) units on campus that provide services to assist these individuals to lead more independent, productive, and dignified lives. The facility staff focus on the development of the individuals' ability to manage their lives through various treatment/training opportunities such as behavioral supports and replacement behavior teaching, coping skills, life skills, supportive counselling, vocational skills, adult education, recreational skills, interpersonal relationship development, community integration and encouragement of healthy life choices. CSCF operation expenditures are funded through General Funds, Reimbursements and Lottery Funds.

METHODOLOGY:

There are two types of staffing needed to operate the facility: Unit and Program Support Staff. Unit Staffing includes Clinical and Medical staff that are qualified health care professionals that provide direct patient care services. These staff include, but are not limited to, Physicians and Surgeons, Psychologists, Pharmacists and Nursing. There are 155 Clinical and Medical staff at the facility.

Program Support Staffing may provide direct and/or indirect support services to the individuals. There are many areas that have support staff and these areas include Administration, Personnel, Maintenance, and Food Service. There are 81 Program Support staff at the facility.

FY 2021-22

	Enacted Budget	FY 2021-22	Difference
Positions	236.0	236.0	0.0
Personal Services	\$25,053	\$25,053	\$0
OE&E	<u>\$4,909</u>	<u>\$4,909</u>	<u>\$0</u>
Total	\$29,962	\$29,962	\$0

FY 2022-23

	FY 2021-22	FY 2022-23	Difference
Positions	236.0	236.0	0.0
Personal Services	\$25,053	\$25,053	\$0
OE&E	<u>\$4,909</u>	<u>\$4,909</u>	<u>\$0</u>
Total	\$29,962	\$29,962	\$0

Canyon Springs Community Facility

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$29,962	\$29,962	\$0
GF	\$14,955	\$14,955	\$0
Reimbursements	\$14,944	\$14,944	\$0
Lottery Funds	\$63	\$63	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$29,962	\$29,962	\$0
GF	\$14,955	\$14,955	\$0
Reimbursements	\$14,944	\$14,944	\$0
Lottery Funds	\$63	\$63	\$0

Fairview Developmental Center

BACKGROUND:

Fairview Developmental Center (FDC) officially opened on January 5, 1959, under the name of Fairview State Hospital, located in Costa Mesa, Orange County, California. FDC is now in warm-shutdown until a site assessment is completed to inform the disposition of the property. The site assessment has been delayed because of campus activities supporting the state's COVID-19 response.

METHODOLOGY:

The warm shut-down expenditures include personal services and operating expenses and equipment (OE&E) for 52 staff. The Department is continuing to operate FDC in warm-shutdown in FY 2021-22 and FY 2022-23.

FY 2021-22

	Enacted Budget	FY 2021-22	Difference
Positions	52.0	52.0	0.0
Personal Services	\$8,167	\$8,167	\$0
OE&E	<u>\$3,557</u>	<u>\$3,557</u>	<u>\$0</u>
Total	\$11,724	\$11,724	\$0

FY 2022-23

	FY 2021-22	FY 2022-23	Difference
Positions	52.0	52.0	0.0
Personal Services	\$8,167	\$8,167	\$0
OE&E	<u>\$3,557</u>	<u>\$3,557</u>	<u>\$0</u>
Total	\$11,724	\$11,724	\$0

REASON FOR CHANGE:

There is no change in in both years.

Fairview Developmental Center

EXPENDITURES:

FY 2021-22		<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
	TOTAL	\$11,724	\$11,724	\$0
	GF	\$11,724	\$11,724	\$0

FY 2022-23		<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$11,724	\$11,724	\$0
	GF	\$11,724	\$11,724	\$0

Porterville Developmental Center

BACKGROUND:

Porterville Developmental Center (PDC) opened in 1953 in Tulare County to provide person-centered support and treatment programs to the individuals served, embracing change and opportunities while continuing partnerships with stakeholders. Currently, through its Secure Treatment Program (STP), the facility provides 24-hour residential services and medical treatment for individuals 18 years or older with developmental disabilities who are incompetent to stand trial. The STP is 100 percent General Fund as these services are not eligible to receive federal assistance.

METHODOLOGY:

There are four types of staffing needed to effectively operate PDC:

- Unit Staffing: consist of 830.8 staff, included but not limited to, Physicians and Surgeons, Psychologists, Pharmacists, Nursing, and various support staff.
- Program Support: consist of 517.3 staff who provide direct and/or indirect support services to the individuals. Areas that support staff work, include but are not limited to, Administration, Personnel, Office of Protective Service, Maintenance and Food Services, etc.
- Intensive Behavioral Treatment Residence (IBTR): consists of 75.5 staff who serves individuals that require a highly structured treatment setting. Services are provided for both male and female individuals whose functional level of intellectual disability ranges from moderate to borderline.
- Forensic Team: consists of 4 Senior Psychologists who work with individuals that are in the mild to moderate range of intellectual disability, have come in contact with the legal system, and have been determined to be incompetent to stand trial. The Forensic Team assesses individuals' ability for trials. These resources were requested in the 2020 May Revision as part of a coordinated response to the *Stiavetti v. Ahlin* (2016) lawsuit, and to lower the number of individuals on the waiting list for admission to Porterville's STP.

FY 2021-22

	Enacted Budget	FY 2021-22	Difference
Positions	1,427.7	1,427.7	0.0
Personal Services	\$161,039	\$161,039	\$0
OE&E	<u>\$22,750</u>	<u>\$22,752</u>	<u>\$2</u>
Total	\$183,789	\$183,791	\$2
Lease Revenue			
Bond	<u>\$9,151</u>	<u>\$9,154</u>	<u>\$3</u>
Grand Total	\$192,940	\$192,945	\$5

Porterville Developmental Center**FY 2022-23**

	FY 2021-22	FY 2022-23	Difference
Positions	1,427.7	1,427.7	0.0
Personal Services	\$161,039	\$161,039	\$0
OE&E	<u>\$22,752</u>	<u>\$22,752</u>	<u>\$0</u>
Total	\$183,791	\$183,791	\$0
Lease Revenue			
Bond	<u>\$9,154</u>	<u>\$9,158</u>	<u>\$4</u>
Grand Total	\$192,945	\$192,949	\$4

REASON FOR CHANGE:

The change in both fiscal years is due to an increase in the Lease Revenue Debt Service Bond.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$192,940	\$192,945	\$5
GF	\$192,877	\$192,880	\$3
Lottery Funds	\$63	\$65	\$2

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$192,945	\$192,949	\$4
GF	\$192,880	\$192,884	\$4
Lottery Funds	\$65	\$65	\$0

Stabilization Training Assistance Reintegration (STAR) and Crisis Assessment Stabilization Teams (CAST)

BACKGROUND:

The State-Operated Stabilization Training Assistance Reintegration (STAR) homes are committed to affording each consumer a safe and nurturing environment where opportunities for growth, realization, self-expression, and goal achievement is celebrated. The homes strive to empower individuals to be self-reliant as their skills, strengths, perseverance, and abilities allow so they can transition from crisis stabilization services to long-term community living. STAR services are provided based on an individualized Needs and Services Plan (NSP), which is developed through a team approach using a person-centered planning process that supports the consumer's positive control and self-determination of their own lives. Depending on the supports identified in the NSP, services may include health care, education, work training, employment, self-help training, leisure activities, behavior management, and socialization skills development.

State-operated mobile crisis services provided by the Crisis Assessment Stabilization Team (CAST) is designed to provide partnerships, assessments, training, and support to individuals continuing to experience crises after regional centers have exhausted all other available crisis services in their catchment areas. CAST also serves individuals who are at risk of having to move from their family home or out of home placement and admitted to a more restrictive setting.

METHODOLOGY:

The staffing needed to operate two programs, STAR and CAST, includes Psychologists, Behavior Specialists, and Nursing. There are 199.75 staff at the STAR homes; there are 21.75 CAST staff in the program. CAST staffing may provide direct and/or indirect support services to the individuals who are not able to use other resources.

FY 2021-22

	Enacted Budget	FY 2021-22	Difference
Positions	221.5	221.5	0.0
Personal Services	\$24,210	\$24,210	\$0
OE&E	<u>\$4,945</u>	<u>\$4,945</u>	<u>\$0</u>
Total	\$29,155	\$29,155	\$0

Stabilization Training Assistance Reintegration (STAR) and Crisis Assessment Stabilization Teams (CAST)

FY 2022-23

	FY 2021-22	FY 2022-23	Difference
Positions	221.5	221.5	0.0
Personal Services	\$24,210	\$24,210	\$0
OE&E	<u>\$4,945</u>	<u>\$4,945</u>	<u>\$0</u>
Total	\$29,155	\$29,155	\$0

REASON FOR CHANGE:

There is no change.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$29,155	\$29,155	\$0
GF	\$18,725	\$18,725	\$0
Reimbursements	\$10,430	\$10,430	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$29,155	\$29,155	\$0
GF	\$18,725	\$18,725	\$0
Reimbursements	\$10,430	\$10,430	\$0

Ongoing Costs

BACKGROUND:

Regional Resource Development Project (RRDP)

The Regional Resource Development Project (RRDP) was initially piloted in 1987 and authorized by the [Lanterman Developmental Disabilities Services Act](#) in September 2002. The RRDPs are mainly designed to assist individuals in the transition process from the state operated facilities to community living. This includes:

- Assist consumers and their interdisciplinary planning teams with planning and transition from State Operated Facilities (SOF) to community living alternatives and provide post placement follow up.
- Assess consumers experiencing difficulty in their community environment and identify possible supports to preserve their community living arrangements.
- Arrange for and conduct an assessment of individuals in need of acute crisis services following an initial review and notification by the regional centers.
- Assist in the transition to, or preservation of, community living arrangements by providing focused training on specific needs to consumers, families, service providers and regional center staff.
- Communicate with the regional centers regarding the development of the annual Community Placement Plan.
- There is a total of 16 RRDP staff between the Northern and Southern regions. The North RRDP staff provides continued support to the individuals who transitioned from the Sonoma's Developmental Center into community living, and the individuals that will transition from North Stabilization, Training, Assistance and Reintegration (STAR) homes. The South RRDP provides continued support to the individuals who transitioned from Fairview's Developmental Center, and individuals who will transition from the South STAR homes, Desert STAR and Canyon Springs Community Facility.

Workers' Compensation

The Department continues to pay for Workers' Compensation claims from open and closed facilities. The closed facilities are: (1) Agnews, (2) Sierra Vista, (3) Stockton, (4) Camarillo, (5) Lanterman, (6) Sonoma, and (7) Fairview. The State Compensation Insurance Fund (SCIF) manages claims, bills the Department monthly for both Temporary and Permanent disability benefits, supplemental job displacement benefits, actual medical costs, any Compromise and Release settlement payments, and the SCIF service fee.

Post Closure

Lump sum funding provided in previous budgets was unused by SOF employees who joined the Community State Staff Program (CSSP) instead of separating or transferring.

Ongoing Costs

METHODOLOGY:

RRDP expenditures are developed using the California Department of Human Resources (CalHR) state classifications' salary, staff benefits, and average operating expenses.

Workers' Compensation expenditures are based on the analysis of several complete fiscal years to determine baseline expenditures related to Workers' Compensation costs throughout the SOF program.

Post Closure expenditures are based on an analysis of the lump sum for accrued leave balances for employees over the age of 50, combined with the average number of separations over several fiscal years.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Regional Resource Development Project:	\$2,310	\$2,310
Workers' Compensation:	\$28,349	\$28,349
Post Closure:	\$1,495	\$1,495
TOTAL EXPENDITURES:	\$32,154	\$32,154

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$32,154	\$32,154	\$0
GF	\$31,938	\$31,938	\$0
Reimbursements	\$216	\$216	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$32,154	\$32,154	\$0
GF	\$31,938	\$31,938	\$0
Reimbursements	\$216	\$216	\$0

Control Section Adjustments

BACKGROUND:

Departmental appropriations are adjusted annually to reflect the State's costs through the executive order process. Control Section 3.60 adjusts the Department's appropriation to reflect the State's share of retirement costs. Control Section 4.05 permanently reduces the Department's Operating Expense and Equipment budget.

Employee Compensation adjustments approved through the collective bargaining process, and for employees excluded from collective bargaining as approved by the California Department of Human Resources, are referred to as Item 9800 adjustments. Item 9800 contains general salary increases, shift differentials, retention incentives, and various changes to the employers' share of staff benefits.

METHODOLOGY:

- Control Section 3.60 Retirement Adjustments
Based on an analysis of positions, employee retirement categories, and retirement contribution amounts, the Department is reflecting lower expenditures of \$500,000 (\$500,000 GF) in FY 2021-22 and FY 2022-23 for retirement adjustments.
- Control Section 4.05 Ongoing Expenditure Reductions
The Department is reflecting a reduction of \$2.0 million (\$1.0 million GF) in FY 2021-22 and FY 2022-23.
- Item 9800 Employee Compensation Adjustments for the Department reflects an increase of \$10.7 million (\$9.7 million GF) in FY 2021-22 and \$11.2 million (\$10.2 million GF) in FY 2022-23.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Control Section 3.60 Retirement	(\$546)	(\$546)
Control Section 4.05 Ongoing Expenditure Reductions	(\$1,963)	(\$1,963)
Item 9800 Employee Compensation	\$10,671	\$11,153
TOTAL CONTROL SECTION ADJUSTMENTS	\$8,162	\$8,644

Control Section Adjustments

REASON FOR CHANGE:

The change in both years is to comply with Control Section adjustments.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$8,162	\$8,162
GF	\$0	\$8,186	\$8,186
Reimbursements	\$0	(\$24)	(\$24)

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$8,162	\$8,644	\$482
GF	\$8,186	\$8,623	\$437
Reimbursements	(\$24)	\$21	\$45

Inter-Agency Agreement (IAA) with DGS

BACKGROUND:

In April 2019, the Department of General Services (DGS) proposed a three-year inter-agency agreement (IAA) to reimburse the Department for the costs associated with operations, maintenance, and partial decommissioning at Sonoma Developmental Center beginning July 1, 2019. The staff needed are those who manage the water treatment plant, operations and maintenance. The contract started in FY 2019-20 and was to end in FY 2021-22. Due to the unforeseen pandemic, the group responsible for stakeholder engagement and development of proposed site usage had multiple delays. The ability to meet and collaborate ideas for the property use was hindered but currently is back on track.

METHODOLOGY:

In FY 2021-22, the total expenditures for the facility are \$6.4 million comprised of the following: \$4.4 million in personal services and \$2.0 million for OE&E.

In FY 2022-23, the Department proposes to extend the IAA for one additional fiscal year and keep the level expenditures for the facility the same as FY 2021-22.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Personal Services	\$4,382	\$4,382
Operating Expenses and Equipment	\$2,067	\$2,067
TOTAL	\$6,449	\$6,449

REASON FOR CHANGE:

The IAA will require a one-year extension, resulting in an increase in funding for FY 2022-23.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$6,449	\$6,449	\$0
GF	\$0	\$0	\$0
Reimbursements	\$6,449	\$6,449	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$6,449	\$6,449	\$0
GF	\$0	\$0	\$0
Reimbursements	\$6,449	\$6,449	\$0

COVID-19 Response

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California in response to the global COVID-19 pandemic. As a result of COVID-19, the Department has experienced increased costs. These costs include the development of "surge sites" to serve consumers diagnosed with, exposed to, or at high risk of COVID-19.

The Budget Act of 2021 included one-time funding to support short-term care for an average of 20 beds at Fairview Developmental Center (FDC) and 10 beds at Porterville Developmental Center (PDC) to address the unique needs of individuals who have intellectual/developmental disabilities (I/DD).

METHODOLOGY:

The Department will contract with outside vendors to provide services to individuals who have I/DD and those in the community who are COVID-positive. The contract costs will cover personal services along with operating equipment and expenses expenditures.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Fairview Developmental Center	\$10,819	\$0
Porterville Developmental Center	\$4,206	\$0
TOTAL EXPENDITURES	\$15,025	\$0

REASON FOR CHANGE:

There is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to one-time funding in FY 2021-22.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$15,025	\$15,025	\$0
GF	\$15,025	\$15,025	\$0
Reimbursements	\$0	\$0	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$15,025	\$0	(\$15,025)
GF	\$15,025	\$0	(\$15,025)
Reimbursements	\$0	\$0	\$0

Retention Stipend Reappropriation

BACKGROUND:

The 2016 Enacted Budget appropriated \$20.1 million to provide developmental center employees at Sonoma, Fairview, and Porterville General Treatment Area with a retention stipend during the closures in order to provide continuity of habilitation and treatment services and ensure the health and safety of the clients. This funding was provided to the Department with five-year appropriation authority to allow payment upon separation from the closed developmental center facility. Sonoma and Fairview Developmental Centers have closed but are still in warm-shutdown, creating a delay in final separation for the remaining staff.

The 2021-22 Governor's Budget proposed a reappropriation of \$500,000 GF due to delays in final disposition of Fairview and Sonoma Developmental Center (DC) properties.

The Department now estimates only partial expenditure of the retention stipend in FY 2021-22, as COVID-19 responses delayed the final disposition, and that a majority of warm-shutdown staff will continue working through June 30, 2023.

METHODOLOGY:

The fiscal is determined based on the number of remaining staff, less staff attrition for retirement or transfer, as the warm-shutdown is extended another year. The amount for carryover assumes a certain number of staff will not retire or transfer in FY 2021-22.

REASON FOR CHANGE:

The change to both years is due to the delay in final disposition of Fairview and Sonoma DC properties.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$1,000	\$500	(\$500)
GF	\$1,000	\$500	(\$500)
Reimbursements	\$0	\$0	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$500	\$500	\$0
GF	\$500	\$500	\$0
Reimbursements	\$0	\$0	\$0

Youth Returning from Out-of-State Foster Care

BACKGROUND:

Historically, when youth with complex behavioral, medical, and mental health needs in the foster care system cannot be served by in-state placement, counties may place youth in out-of-state facilities. The Department of Social Services conducted a reassessment of these facilities and found violations of California licensure standards resulting in the decertification of facilities. Approximately 10 youths in the decertified out-of-state facilities are anticipated to be eligible for Lanterman Act services and require therapeutic residential environments to support complex developmental and behavioral needs.

METHODOLOGY:

The expenditures were developed based on five youths receiving supports in Stabilization, Training, Assistance and Reintegration (STAR) residences. The Department anticipates the same expenditures for FY 2022-23.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$3,980	\$3,980	\$0
GF	\$2,540	\$2,540	\$0
Reimbursements	\$1,440	\$1,440	\$0

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$3,980	\$3,980	\$0
GF	\$2,540	\$2,540	\$0
Reimbursements	\$1,440	\$1,440	\$0

Deferred Maintenance

BACKGROUND:

Deferred Maintenance funds are allocated to correct infrastructure deficiencies. State Operated Facilities require routine maintenance and repairs to ensure that the facility is in acceptable condition and to preserve the life of the facility. When repairs are delayed due to various reasons, these repairs are considered Deferred Maintenance Projects. Departments are appropriated Deferred Maintenance funds to address their infrastructure needs. The funds are one-time, but usually available for expenditure or encumbrance for up to three years.

METHODOLOGY:

To qualify for the Deferred Maintenance funds, the Department is required to develop and submit a list of projects approved by Department of Finance. The total expenditures for the projects must not exceed the total amount allocated for that fiscal year.

In FY 2021-22, the Department received a one-time \$5.0 million GF appropriation for Deferred Maintenance projects at the Porterville and Fairview Developmental Centers.

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
Porterville Developmental Center	\$4,500	\$0
Fairview Developmental Center	\$500	\$0
TOTAL EXPENDITURES	\$5,000	\$0

REASON FOR CHANGE:

This is no change in FY 2021-22, from the Enacted Budget.

The change from FY 2021-22 to FY 2022-23 is due to one-time funding in FY 2021-22.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$5,000	\$5,000	\$0
GF	\$5,000	\$5,000	\$0
Reimbursements	\$0	\$0	\$0

<u>FY 2022-23</u>	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$5,000	\$0	(\$5,000)
GF	\$5,000	\$0	(\$5,000)
Reimbursements	\$0	\$0	\$0

Enhanced Federal Funding

BACKGROUND:

On March 18, 2020 the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to the Canyon Springs Community Facility, Stabilization Training Assistance Reintegration (STAR) homes, and Crisis Assessment Stabilization Teams (CAST).

The increase in federal reimbursements is assumed to continue through June 30, 2022.

METHODOLOGY:

The estimated enhanced FMAP is based on the additional 6.2 percent federal funds participation for eligible costs in fiscal year (FY) 2021-22. The increased federal funds result in a corresponding General Fund savings.

REASON FOR CHANGE:

The change in FY 2021-22 from the Enacted Budget reflects an anticipated extension of the Enhanced Federal Funding to June 30, 2022.

The change from FY 2021-22 to FY 2022-23 is due to the anticipated expiration of the enhanced FMAP.

EXPENDITURES:

FY 2021-22	<u>Enacted Budget</u>	<u>FY 2021-22</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$1,559)	(\$3,118)	(\$1,559)
Reimbursements	\$1,559	\$3,118	\$1,559

FY 2022-23	<u>FY 2021-22</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$3,118)	\$0	\$3,118
Reimbursements	\$3,118	\$0	(\$3,118)

General Fund

BACKGROUND:

The General Fund (GF) is used for two purposes: (1) as a match to Title XIX Reimbursement and (2) to pay for non-Medi-Cal eligible resources/services for residents in a state-operated facility (SOF).

METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> General Fund Match This funding is the matching GF to the Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). 	\$23,888	\$27,051
Non-Proposition 98	\$23,888	\$27,051
<ul style="list-style-type: none"> General Fund Other This funding is to pay for services provided to SOF residents who are not eligible for federal or other reimbursement funding. 	\$274,467	\$254,839
Lease Revenue Debt Service Bond	\$9,154	\$9,158
Proposition 98	\$305	\$305
HIPAA	\$180	\$180
Other General Funds	\$264,828	\$245,196
TOTAL GENERAL FUND:	\$298,355	\$281,890

Reimbursements

BACKGROUND:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

METHODOLOGY:

The Department's appropriation for reimbursements consists of three components: (1) Title XIX/Medi-Cal, (2) federal reimbursements, and (3) other reimbursements. The detail of these three components are as follows:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none"> Title XIX/Medi-Cal Title XIX Medi-Cal Reimbursement funds received from the Department of Health Care Services for eligible services provided to State Operated Facility residents. Non-Proposition 98 	\$18,111	\$16,299
<ul style="list-style-type: none"> Federal Reimbursements The Department received approval for federal reimbursements for the STAR homes and services provided by CAST to individuals in a crisis. HCBS Waiver 1915(i) State Plan Amendment 	\$12,014	\$10,752
	\$10,455	\$9,358
	\$1,559	\$1,394
<ul style="list-style-type: none"> Other Reimbursements 	\$6,449	\$6,449
TOTAL Reimbursements:	\$36,574	\$33,500

Lottery

BACKGROUND:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

METHODOLOGY:

The Department's appropriation from the Lottery Education Fund is as follows:

	<u>FY 2021-22</u>	<u>FY 2022-23</u>
<ul style="list-style-type: none">Lottery Education Fund The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in a state-operated facility. Funds received are based on average daily attendance.	\$130	\$130
TOTAL Lottery:	\$130	\$130