

**EARLY START MONITORING REPORT SUMMARY
NORTH BAY REGIONAL CENTER**

DATE OF REVIEW: MARCH 7 – 11, 2022
FINAL REPORT DATE: APRIL 13, 2022

ITEMS AT 100% COMPLIANCE				
	REQUIREMENT	RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE
R2	Initial evaluation determined the infant's or toddler's level of functioning in all five developmental domains, including hearing, vision, and health. 34 Code of Federal Regulations (CFR) §303.21(a)(1) and 34 CFR §303.321	35	35	100%
R3	Initial assessment for service planning identified unique strengths and service needs in all five developmental domains, including hearing, vision, and health, prior to the initial Individualized Family Services Plan (IFSP). 34 CFR §303.21(a)(1) and 34 CFR §303.321	35	35	100%
R4	Written notice of the IFSP meeting. 34 CFR §303.342(d)(2)	35	35	100%

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CLEARED FINDINGS

Findings cleared prior to the issuance of the report. No further action is required.

REQUIREMENT		ORIGINAL RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	ORIGINAL COMPLIANCE PERCENTAGE	SUBSEQUENT RECORDS IN COMPLIANCE	TOTAL SUBSEQUENT RECORDS REVIEWED	SUBSEQUENT COMPLIANCE PERCENTAGE
R1	Obtained written parental consent for evaluation/assessment. 34 CFR §303.321(a) and 34 CFR §303.420(a)(2)	33	35	94.3%	3	3	100%
R7	The IFSP included the frequency and length for all early intervention services. 34 CFR §303.344(d)	33	35	94.3%	3	3	100%
T1	The IFSP included transition steps and services. 34 CFR §303.209(d) & (e); 34 CFR §303.344(h)(1) & (2)	32	35	91.4%	3	3	100%
T4	Local Educational Agency (LEA) was invited to the transition conference. 34 CFR §303.209(c)(1)	32	35	91.4%	3	3	100%

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NONCOMPLIANCE

North Bay Regional Center must clear findings on both the child-specific and systemic levels as soon as possible but no later than one year from the final report date. **A Plan of Correction and subsequent review are required to clear these items.**

REQUIREMENT		RECORDS IN COMPLIANCE	TOTAL RECORDS REVIEWED	COMPLIANCE PERCENTAGE	PRONG 1 (CHILD-SPECIFIC)	PRONG 2 (SYSTEMIC)
					OUTSTANDING	OUTSTANDING
R5	Initial IFSP meeting was conducted within the 45-day timeline. 34 CFR §303.342(a)	26	35	74.3%		X
R6	Timely provision of services. (45 days of signed IFSP) 34 CFR §303.344(f)(1)	31	35	88.6%		X
R8	Early intervention services are provided in the natural environment or the IFSP contains an appropriate justification when services are not provided in a natural environment. 34 CFR §303.344(d)(1)(ii)	30	35	85.7%	X	X
T2	Timely referral to LEA. 34 CFR §303.209(b) and 34 CFR §303.401(d)	29	35	82.9%		X
T3	Transition Conference occurred in a timely manner. 34 CFR §303.209(c)(1)	30	35	85.7%		X

Key:

R- "Regular" corresponds to the Regular Review Tool item, followed by the number of the Regular Review Tool item (ex.- R1 refers to the Regular Review Tool item number 1).

T- "Transition" corresponds to the Transition Review Tool item, followed by the number of the Transition Review Tool item (ex.- T3 refers to the Transition Review Tool item number 3)

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OUTSTANDING INDIVIDUAL FINDINGS (PRONG 1)

#	UCI	Item 8 Natural Environment
20	XXXXXX	X
21	XXXXXX	X
30	XXXXXX	X
34	XXXXXX	X
35	XXXXXX	X

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RECOMMENDATIONS

During the monitoring review, the Department of Developmental Services (DDS) reviewed multiple records where:

- initial IFSPs were held after the 45-day timeline;
- provision of services started after the 45-day timeline;
- services were being provided outside of the child's natural environment;
- referral to the LEA was sent late; and,
- transition conference occurred fewer than 90 days prior to the child's third birthday.

Considering what is outlined above, DDS recommends North Bay Regional Center to align practices/procedures related to:

- timely initial IFSP;
- timely provision of services;
- services being provided in a natural environment; and;
- transition conference requirements.

as required by 34 CFR §§303.342(a), 303.344(d)(1)(ii)(f)(1), 303.209(b)(c)(1), 303.401(d),