

FOR LEGISLATIVE REVIEW

DEPARTMENT OF DEVELOPMENTAL SERVICES

2023-24 GOVERNOR'S BUDGET



STATE OF CALIFORNIA

JANUARY 2023

Table of Contents

SECTION A: DEPARTMENT OF DEVELOPMENTAL SERVICES HIGHLIGHTS

DDS 2023-24 Governor’s Budget HighlightsA-1

Community Services Program FY 2022-23A-4

Community Services Program FY 2023-24A-11

State Operated Facilities FY 2022-23 and FY 2023-24.....A-18

Headquarters FY 2022-23 and FY 2023-24A-20

SECTION B: EXECUTIVE SUMMARY TABLES

FY 2022-23B-1

FY 2023-24B-4

FY 2022-23 vs. FY 2023-24B-7

SECTION C: POPULATION

FY 2022-23, FY 2023-24, and FY 2022-23 vs. FY 2023-24 C-1

Active Status Population (Age 3 & Over) Graph..... C-2

Early Start Population (Birth through 35 Months) Graph C-3

Community Population Graph C-4

Table of Contents (continued)

SECTION D: OPERATIONS

FY 2022-23 - Operations.....	D-1
FY 2023-24 - Operations.....	D-3
FY 2022-23 vs. FY 2023-24 Operations	D-5
Staffing Expenditures	D-7
FY 2022-23 Core Staffing Estimate – Attachment A	D-11
FY 2023-24 Core Staffing Estimate – Attachment A	D-14
Core Staffing Formulas – Attachment B	D-17
Federal Compliance	D-21
Projects	D-24
Intermediate Care Facilities – Developmentally Disabled	
Quality Assurance Fees Operations.....	D-28

SECTION E: PURCHASE OF SERVICES

FY 2022-23 - Purchase of Services.....	E-1
FY 2023-24 - Purchase of Services.....	E-3
FY 2022-23 vs. FY 2023-24 Purchase of Services	E-5
Community Care Facilities	E-7
Medical Facilities	E-9
Day Programs	E-11
Habilitation Services	
Work Activity Program	E-13
Supported Employment Program (Group Placement)	E-14
Supported Employment Program (Individual Placement)	E-15
Transportation	E-16
Support Services	E-18
In-Home Respite	E-20
Out-of-Home Respite	E-22
Health Care	E-24
Miscellaneous Services	E-26
Intermediate Care Facility-Developmentally Disabled Quality	
Assurance Fees Purchase of Services.....	E-28
Purchase of Services Historical Expenditure Charts	E-29

Table of Contents

SECTION F: COMMUNITY PLACEMENT PLAN

Community Placement Plan	F-1
--------------------------------	-----

SECTION G: POLICIES

OPERATIONS

Disparities Within the Developmental Services System	G-1
Early Start – Part C to B Transitions.....	G-2
Enhanced Service Coordination	G-4
Enrolling Vendors as Medicaid Providers.....	G-6
Language Access and Cultural Competency	G-7
Performance Incentives.....	G-8
Promoting Workforce Stability	G-9
Reduced Caseload Ratio for Children Through Age Five.....	G-10
Service Access and Equity Grant Program	G-12
Trauma-Informed Services for Foster Youth	G-13
Uniform Fiscal System Modernization and Consumer Electronic Records Management System Project Planning	G-15
 <i>Combined OPS & POS Policies</i>	
Direct Service Professional Workforce Training and Development	G-33
Early Start Eligibility.....	G-35
Enhanced Federal Funding	G-36
Lanterman Act Provisional Eligibility Ages 3 and 4	G-38
Resources to Support Individuals Who Are Deaf	G-40
Self-Determination Ongoing Implementation.....	G-42
Service Provider Rate Reform.....	G-44

Table of Contents (continued)

SECTION G: POLICIES

PURCHASE OF SERVICES

Bilingual Differentials for Direct Service Professionals	G-16
Financial Management Services for Self-Determination Program Participants ..	G-17
Increased Costs for COVID-19.....	G-19
Ongoing Purchase of Service Items	G-21
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023	G-24
Senate Bill 3, Effective January 1, 2024, Consumer Price Index Adjustment....	G-26
Social Recreation and Camping Services	G-28
START Training.....	G-29
Suspension of Annual Family Program Fee and Family Costs	G-31
Work Activity Programs: New Service Model	G-32

Combined OPS & POS Policies

Direct Service Professional Workforce Training and Development	G-33
Early Start Eligibility.....	G-35
Enhanced Federal Funding	G-36
Lanterman Act Provisional Eligibility Ages 3 and 4	G-38
Resources to Support Individuals Who Are Deaf	G-40
Self-Determination Ongoing Implementation.....	G-42
Service Provider Rate Reform.....	G-44

EARLY INTERVENTION PROGRAM

American Rescue Plan Act – IDEA Part C	G-47
--	------

Table of Contents (continued)

SECTION H: FUND SOURCES

General Fund	H-1
Reimbursements	
Summary of Reimbursements and General Fund Match	H-3
Home and Community-Based Services Waiver.....	H-5
Home and Community-Based Services Waiver Administration	H-12
Medicaid Administration	H-16
Targeted Case Management.....	H-18
Title XX Block Grant	H-20
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	H-22
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	H-23
1915(i) State Plan Amendment	H-25
Early Periodic Screening Diagnosis and Treatment	H-30
Behavioral Health Treatment Fee-for-Service	H-31
Self-Determination Program Waiver.....	H-32
Program Development Fund/Parental Fees	H-34
Developmental Disabilities Services Account.....	H-35
Mental Health Services Fund	H-36
HCBS ARPA Spending Plan	H-37
Federal Funds	
Early Start Part C/Other Agency Costs	H-39
Foster Grandparent Program	H-42

SECTION I: FUTURE FISCAL ISSUES

Future Fiscal Issues	I-1
----------------------------	-----

SECTION J: SUPPLEMENTAL REPORTING

Early Start Expenditures and Population.....	J-1
--	-----

Table of Contents (continued)**SECTION K: STATE OPERATED FACILITIES**

Comparison of Enacted Budget to Governor's Budget FY 2022-23	K-1
Comparison of Enacted Budget to Governor's Budget FY 2023-24	K-2
Comparison of FY 2022-23 to FY 2023-24	K-3
Facility Details	
Canyon Springs	K-4
Fairview	K-6
Porterville STP	K-8
STAR	K-10
CAST	K-12
Ongoing Costs	K-14
Policy	
Control Section Adjustments	K-16
Inter-Agency Agreement with DGS	K-18
Retention Stipend Reallocation	K-19
Foster Youth With Complex Needs	K-20
Deferred Maintenance	K-21
Enhanced Federal Funding	K-23
Complex Needs Residential Program	K-24
Funding	K-25

Table of Contents

SECTION A: DEPARTMENT OF DEVELOPMENTAL SERVICES HIGHLIGHTS

DDS 2023-24 Governor's Budget Highlights	A-1
Community Services Program FY 2022-23	A-4
Community Services Program FY 2023-24	A-11
State Operated Facilities FY 2022-23 and FY 2023-24	A-18
Headquarters FY 2022-23 and FY 2023-24	A-20

DEPARTMENT OF DEVELOPMENTAL SERVICES 2023 GOVERNOR'S BUDGET HIGHLIGHTS

The Department of Developmental Services (Department) is responsible for administering the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act provides for the coordination and provision of services and supports to enable people with intellectual and developmental disabilities to lead more independent, productive, and integrated lives. Additionally, the Early Start Program provides services to infants and toddlers at risk of having a developmental disability. The Department carries out its responsibilities through contracts with 21 community-based, non-profit corporations known as regional centers, as well as through state-operated homes and facilities.

The number of individuals served by regional centers (consumers) is expected to be 400,485 in the current year and increase to 420,927 in fiscal year (FY) 2023-24. In addition, 312 individuals are projected to be served in state-operated facilities as of July 1, 2023.

The Governor's Budget continues to support the needs of consumers during the public health crisis and reflects the need for increased supports in specified service categories. The Governor's Budget also proposes new policies to continue efforts to improve service access and to achieve better outcomes and consumer experiences.

2023 GOVERNOR'S BUDGET SUMMARY

The Governor's Budget includes \$14.2 billion total funds (TF) (\$8.6 billion General Fund [GF]) for FY 2023-24; a net increase of \$1.6 billion TF (\$1.4 billion GF) over the updated FY 2022-23 budget, or a 12.7 percent TF increase.

In addition to caseload and utilization updates, the proposed FY 2023-24 funding supports the following new and updated items:

- Reduced Caseload Ratio for Children Aged 0-5 (\$102.1 million TF, \$68.5 million GF): Increase reflects updated caseload estimates and a revised methodology to support reduced caseload ratios of 1:40 for children ages 0-5.
- Minimum Wage Adjustment (\$78.2 million TF, \$46.4 million GF): Increase of funding to support the projected minimum wage increase effective January 1, 2024.
- STAR Home Staffing Adjustments and Intermediate Care Facility Licensure (\$15.9 million TF, \$9.8 million GF): Increase of funding for ongoing staffing resources to convert two Stabilization Training Assistance Reintegration (STAR) Homes to intermediate care facilities (ICFs) licensed through the Department of Public Health.
- Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) Project Planning (\$12.7 million TF, \$12.2 million GF): Funding for continued project planning efforts supporting the UFSM and the CERMS projects.

- Fairview Warm Shutdown (\$11.3 million GF): An additional year of funding to support the warm shutdown of Fairview Developmental Center.
- Complex Needs Residential Program (\$10.5 million GF): Increase of start-up resources to develop three 5-person residential homes for individuals with highly complex needs.
- Rate Model Assumptions (\$10.1 million TF, \$6.0 million GF): Increase of ongoing funding to adjust service provider rates for mileage based on updates to the federal Internal Revenue Service mileage rate.
- Extension of 10 Beds at Porterville Developmental Center (\$4.9 million GF): Increase of one-time resources to continue funding 10 additional beds at PDC through FY 2023-24 to maintain compliance with the 28-day timeline to provide services to individuals deemed incompetent to stand trial (IST), under the provisions of the *Stiavetti* lawsuit.
- Disparities within the Developmental Services System (\$2.7 million TF, \$1.8 million GF): Increase of three permanent positions and additional support to address the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957).
- Protective Proceedings (\$1.5 million TF, \$1.2 million GF): Increase of six permanent positions to comply with the requirements of Chapter 894, Statutes of 2022 (Assembly Bill 1663) regarding protective proceedings.
- Trauma-Informed Services for Foster Youth (\$1.6 million TF, \$1.1 million GF): Increase of funding to support implementation and increased workload in coordination of trauma-informed care for dually-served youth in foster care.
- Autism Services Branch (\$1.0 million TF, \$0.8 million GF): Increase of funding for six permanent positions.
- Early Start – Part C to B Transitions, Preschool Grants (-\$10 million GF): Decrease in fiscal year 2022-23 and 2023-24 reflecting the delayed implementation of the preschool grant initiative until fiscal year 2024-25.

Program Highlights*(Dollars in Thousands)*

	FY 2022-23	FY 2023-24	Difference
Community Services Program			
Regional Centers	\$12,072,119	\$13,654,506	\$1,582,387
Total, Community Services	\$12,072,119	\$13,654,506	\$1,582,387
General Fund	\$6,771,147	\$8,189,476	\$1,418,329
Program Development Fund	\$0	\$434	\$434
Developmental Disabilities Services Account	\$150	\$150	\$0
Federal Trust Fund	\$56,501	\$54,058	(\$2,443)
Reimbursements	\$4,399,361	\$4,744,836	\$345,475
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$468,376	\$475,794	\$7,418
HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
State Operated Facilities Program			
Personal Services	\$271,084	\$271,030	(\$54)
Operating Expense & Equipment	\$55,597	\$69,764	\$14,167
Total, State Operated Facilities	\$326,681	\$340,794	\$14,113
General Fund	\$288,910	\$306,632	\$17,722
Lottery Education Fund	\$130	\$130	\$0
Reimbursements	\$37,641	\$34,032	(\$3,609)
Headquarters Support			
Personal Services	\$108,549	\$114,592	\$6,043
Operating Expense & Equipment	\$47,673	\$41,059	(\$6,614)
Total, Headquarters Support	\$156,222	\$155,651	(\$571)
General Fund	\$96,953	\$97,491	\$538
Federal Trust Fund	\$3,391	\$2,863	(\$528)
Program Development Fund	\$175	\$425	\$250
Reimbursements	\$47,942	\$49,979	\$2,037
Mental Health Services Fund	\$511	\$511	\$0
HCBS ARPA	\$5,800	\$3,750	(\$2,050)
HCBS ARPA Reimbursements	\$1,450	\$632	(\$818)
Total, All Programs	\$12,555,022	\$14,151,451	\$1,596,429
Total Funding			
General Fund	\$7,157,010	\$8,593,599	\$1,436,589
Federal Trust Fund	\$59,892	\$56,921	(\$2,971)
Lottery Education Fund	\$130	\$130	\$0
Program Development Fund	\$175	\$859	\$684
Developmental Disabilities Services Account	\$150	\$150	\$0
Reimbursements	\$4,484,944	\$4,828,847	\$343,903
Mental Health Services Fund	\$1,251	\$1,251	\$0
HCBS ARPA	\$474,176	\$479,544	\$5,368
HCBS ARPA Reimbursements	\$377,294	\$189,650	(\$187,644)
Total, All Funds	\$12,555,022	\$14,150,951	\$1,595,929

Program Highlights (CONTINUED)

	FY 2022-23	FY 2023-24	Difference
Caseloads			
State Operated Facilities	322	312	(10)
Regional Centers*	400,485	420,927	20,442
Departmental Positions			
State Operated Facilities	1,955.3	1,947.8	(7.5)
Headquarters	609.0	630.0	21.0

*Does not include children who meet provisional eligibility.

COMMUNITY SERVICES PROGRAM

FY 2022-23

Costs and Fund Sources

The FY 2022-23 updated regional center budget includes \$12.1 billion TF (\$6.8 billion GF), a net decrease of \$382.7 million TF (\$524.3 million GF) as compared to the Enacted Budget. This includes a projected decrease of \$376.3 million TF in purchase of services (POS) expenditures and a decrease of \$8.8 million TF in operations costs. A decrease of \$524.3 million GF reflects utilization changes and the anticipated extension through June 30, 2023, of the Families First Coronavirus Response Act (FFCRA), which provides a temporary 6.2 percent increase in reimbursements.

Costs and Fund Sources (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
Operations	\$1,417,279	\$1,408,459	(\$8,820)
Purchase of Services	\$11,016,433	\$10,640,119	(\$376,314)
Early Start Part C/Other Agency Costs	\$19,095	\$21,538	\$2,443
Early Start Family Resource Services	\$2,003	\$2,003	\$0
Total Costs	\$12,454,810	\$12,072,119	(\$382,691)
General Fund (GF)	\$7,295,469	\$6,771,147	(\$524,322)
<i>GF Match</i>	\$3,722,090	\$3,356,569	(\$365,521)
<i>GF Other</i>	\$3,573,379	\$3,414,578	(\$158,801)
Reimbursements	\$4,468,677	\$4,399,361	(\$69,316)
Program Development Fund/Parental Fees	\$434	\$0	(\$434)
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$384,572	\$468,376	\$83,804
HCBS ARPA Reimbursements	\$250,777	\$375,844	\$125,067
Federal Funds	\$53,991	\$56,501	\$2,510
Fund Sources	\$12,454,810	\$12,072,119	(\$382,691)

Population

There is no change to the current year caseload from the Enacted Budget.

Caseload*	Enacted Budget	FY 2022-23	Difference
Active (Age 3 & Older)	342,570	342,570	0
Early Start (Birth through 35 Months)	57,915	57,915	0
Total Community Caseload	400,485	400,485	0

*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

There is no change to the current year from the Enacted Budget.

Operations – Caseload (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
Staffing Expenditures	\$902,498	\$902,498	\$0
Federal Compliance	\$49,271	\$49,271	\$0
Projects	\$34,396	\$34,396	\$0
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,647	\$1,647	\$0
Total Operations – Caseload	\$987,812	\$987,812	\$0

Regional Center Operations – Policy

Current year includes \$420.6 million TF (\$292.5 million GF) for regional center operations, a decrease of \$8.8 million TF (\$28.9 million GF) as compared to the Enacted Budget.

Updated Policies:

- Early Start - Part C to B Transitions: Decrease of \$10.0 million GF due to delayed implementation of preschool grants to fiscal year 2024-25.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$1.2 million GF due to updated caseload for provisionally eligible consumers.

Operations – Policy (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
Early Start – Part C to B Transitions	\$13,167	\$3,167	(\$10,000)
Enhanced Service Coordination	\$14,172	\$14,172	\$0
Enrolling Vendors as Medicaid Providers	\$550	\$550	\$0
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Performance Incentives	\$87,500	\$87,500	\$0
Promoting Workforce Stability	\$185,300	\$185,300	\$0
Reduced Caseload Ratio for Children through Age Five	\$51,084	\$51,084	\$0
Service Access and Equity Grant Program	\$11,000	\$11,000	\$0
Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
Early Start Eligibility	\$2,530	\$2,530	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$8,145	\$9,325	\$1,180
Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Service Provider Rate Reform	\$21,147	\$21,147	\$0
Total Operations – Policy	\$429,467	\$420,647	(\$8,820)

Purchase of Services (POS) – Caseload

Updated POS expenditures reflect a net decrease of \$144.0 million TF (\$107.0 million GF) as compared to the Enacted Budget. The decrease in total funds reflects updated expenditures by category. The table below displays adjustments from the Enacted Budget.

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>			
	Enacted Budget	FY 2022-23	Difference
Community Care Facilities	\$2,755,164	\$2,755,164	\$0
Medical Facilities	\$52,329	\$40,381	(\$11,948)
Day Programs	\$1,429,208	\$1,429,208	\$0
Habilitation Services	\$128,886	\$133,401	\$4,515
<i>Work Activity Program</i>	\$15,692	\$15,552	(\$140)
<i>Supported Employment Program – Group</i>	\$70,704	\$74,911	\$4,207
<i>Supported Employment Program – Individual</i>	\$42,490	\$42,938	\$448
Transportation	\$472,885	\$424,100	(48,785)
Support Services	\$2,480,167	\$2,480,167	\$0
In-Home Respite	\$1,304,544	\$1,254,112	(\$50,432)
Out-of-Home Respite	\$64,848	\$47,356	(\$17,492)
Health Care	\$251,274	\$224,552	(\$26,752)
Miscellaneous Services	\$765,888	\$772,794	\$6,906
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$8,893	\$8,879	(\$14)
Total POS – Caseload	\$9,714,086	\$9,570,084	(\$144,002)

POS – Policy

There is a net decrease of \$232.3 million TF (\$386.6 million GF) in policy related expenditures as compared to the Enacted Budget. This is primarily driven by the following:

- Shift of \$237.6 million TF from policy to POS budget categories for items displayed under policy in the Enacted Budget, including Forensic Diversion, Half-Day Billing Elimination, Health Facility Rate Increase, SB 3, Minimum Wage Increase, Effective January 1, 2022, and Youth Returning from Out-of-State Foster Care.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$14.6 million GF due to updated caseload and expenditure data for provisionally eligible consumers.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: Decrease of \$9.3 million (\$5.3 million GF) based on updated expenditure data.

Purchase of Services – Policy (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
Forensic Diversion	\$3,200	\$0	(\$3,200)
Half-Day Billing Elimination	\$2,845	\$0	(\$2,845)
Health Facility Rate Increase	\$1,421	\$0	(\$1,421)
Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
SB 3, Minimum Wage Increase, Effective January 1, 2022	\$228,357	\$0	(\$228,357)
SB 3, Minimum Wage Increase, Effective January 1, 2023	\$63,497	\$54,188	(\$9,309)
Social Recreation and Camping Services	\$51,868	\$51,868	\$0
START Training	\$17,865	\$17,865	\$0
Suspension of Annual Family Program Fee & Family Costs	\$4,450	\$4,450	\$0
Work Activity Programs: New Service Model	\$8,233	\$8,233	\$0
Youth Returning from Out-of-State Foster Care	\$1,800	\$0	(\$1,800)
Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
Early Start Eligibility	\$3,962	\$3,962	\$0
Lanterman Act Provisional Eligibility Ages 3 and 4	\$17,415	\$32,035	\$14,620
Resources to Support Individuals Who Are Deaf	\$14,300	\$14,300	\$0
Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
Service Provider Rate Reform	\$808,334	\$808,334	\$0
Total POS – Policy	\$1,302,347	\$1,070,035	(\$232,312)

American Rescue Plan Act (ARPA) Funding

There is an increase in the ARPA Funding of \$83.8 million and \$125.1 million in matching reimbursements from the Enacted Budget, inclusive of purchase of services and operations.

American Rescue Plan Act Funding (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
HCBS ARPA	\$384,572	\$468,376	\$83,804
HCBS ARPA Reimbursements	\$250,777	\$375,844	\$125,067
Total HCBS ARPA	\$635,349	\$844,220	\$208,871

Reimbursements

The updated current year reflects a net decrease of \$69.3 million in reimbursements as compared to the Enacted Budget. Adjustments are reflected in the table below. The main driver is a decrease in anticipated utilization.

Reimbursements (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
Home and Community-Based Services (HCBS) Waiver	\$3,174,151	\$3,027,712	(\$146,439)
HCBS Waiver Administration	\$21,574	\$21,470	(\$104)
Medicaid Administration	\$19,048	\$20,618	\$1,570
Targeted Case Management	\$325,379	\$337,268	\$11,889
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$58,308	\$60,803	\$2,495
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,717	\$9,703	(\$14)
1915(i) State Plan Amendment	\$569,552	\$593,559	\$24,007
Early Periodic Screening Diagnosis and Treatment	\$26,951	\$21,720	(\$5,231)
Behavioral Health Treatment Fee-for-Service	\$13,501	\$11,014	(\$2,487)
Self-Determination Program Waiver	\$37,075	\$82,073	\$40,998
Total Reimbursements	\$4,468,677	\$4,399,361	(\$69,316)

Federal Funds

The updated current year reflects a net increase of \$2.5 million in federal funds as compared to the Enacted Budget. The main driver is an increase in Early Start ARPA funding in FY 2022-23.

Federal Funds <i>(Dollars in Thousands)</i>			
	Enacted Budget	FY 2022-23	Difference
Early Start Part C/Other Agency Costs	\$52,851	\$55,358	\$2,507
Foster Grandparent Program	\$1,140	\$1,143	\$3
Total Federal Funds	\$53,991	\$56,501	\$2,510

FY 2023-24**Costs and Fund Sources**

The FY 2023-24 regional center budget includes \$13.7 billion TF (\$8.2 billion GF), a net increase of \$1.6 billion TF (\$1.4 billion GF) compared to the updated current year. This includes a projected \$23.2 million TF decrease in operations costs, and \$1.6 billion TF increase in purchase of services expenditures. An increase of \$1.4 billion GF due to expiration of FFCRA on June 30, 2023, which provides a temporary 6.2 percent increase in reimbursements.

Costs and Fund Sources (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Operations	\$1,408,459	\$1,385,303	(\$23,156)
Purchase of Services	\$10,640,119	\$12,248,105	\$1,607,986
Early Start Part C/Other Agency Costs	\$21,538	\$19,095	(\$2,443)
Early Start Family Resource Services	\$2,003	\$2,003	\$0
Total Costs	\$12,072,119	\$13,654,506	\$1,582,387
General Fund (GF)	\$6,771,147	\$8,189,476	\$1,418,329
<i>GF Match</i>	\$3,356,569	\$4,497,778	\$1,141,209
<i>GF Other</i>	\$3,414,578	\$3,691,698	\$277,120
Reimbursements	\$4,399,361	\$4,774,836	\$345,475
Program Development Fund/Parental Fees	\$0	\$434	\$434
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$468,376	\$475,794	\$7,418
HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
Federal Funds	\$56,501	\$54,058	(\$2,443)
Fund Sources	\$12,072,119	\$13,654,506	\$1,582,387

Population

The Department is forecasting an increase of 20,442 consumers compared to the updated current year.

Caseload*	FY 2022-23	FY 2023-24	Difference
Active (Age 3 & Older)	342,570	359,280	16,710
Early Start (Birth through 35 Months)	57,915	61,647	3,732
Total Community Caseload	400,485	420,927	20,442

*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

The budget year includes \$1.0 billion TF (\$734.9 million GF) for regional center operations, an increase of \$45.2 million (\$39.0 million GF) as compared to the updated current year budget. The increase is mainly attributed to anticipated caseload growth.

Operations – Caseload (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Staffing Expenditures	\$902,498	\$944,637	\$42,139
Federal Compliance	\$49,271	\$49,538	\$267
Projects	\$34,396	\$37,211	\$2,815
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,647	\$1,645	(\$2)
Total Operations – Caseload	\$987,812	\$1,033,031	\$45,219

Regional Center Operations – Policy

The budget year includes \$352.3 million TF (\$234.0 million GF) for policies impacting regional center operations, a decrease of \$68.4 million TF (\$58.5 million GF) as compared to the updated current year budget.

Updated Policies:

- Promoting Workforce Stability: Decrease of \$185.3 million GF due to one-time funding for FY 2022-23.
- Reduced Caseload Ratio for Children through Age 5: Increase of \$102.1 million TF (\$68.5 million GF) which reflects the increased caseload projections \$17.0 million (TF) and a revised methodology \$85.1 million (TF).
- Service Access and Equity Grant Program: Decrease of \$11.0 million GF due to one-time grant funding in FY 2022-23.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$6.4 million GF to reflect updated caseload and expenditure data for provisionally eligible consumers.
- Early Start Eligibility: Increase of \$5.9 million GF due to the continued phase in of the policy.
- Trauma-Informed Services for Foster Youth: Increase of \$1.6 million TF (\$1.1 million GF) to reflect an additional 15 senior/supervising coordinators.

New Policies:

- UFSM & CERMS: Increase of \$9.8 million GF in funding for FY 2023-24 to assist regional centers with the additional workload support, consulting services to assist with the Project Approval Lifecycle process, Organizational Change Management, Data Management services to evaluate and support data cleanup, and a requirements management tool to ensure traceability for project requirements.
- Disparities Within the Developmental Services System: Increase of \$2.2 million TF (\$1.4 million GF), annually through FY 2025-26, to address the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957). The statutory changes require specified public reporting on Individual Program Plans and purchase-of-services for individuals with developmental disabilities.

Operations – Policy (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Early Start – Part C to B Transitions	\$3,167	\$3,167	\$0
Enhanced Service Coordination	\$14,172	\$14,172	\$0
Enrolling Vendors as Medicaid Providers	\$550	\$400	(\$150)
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Performance Incentives	\$87,500	\$87,500	\$0
Promoting Workforce Stability	\$185,300	\$0	(\$185,300)
Reduced Caseload Ratio for Children through Age Five	\$51,084	\$153,212	\$102,128
Service Access and Equity Grant Program	\$11,000	\$0	(\$11,000)
Trauma Informed Services for Foster Youth	\$1,600	\$3,200	\$1,600
Disparities Within the Developmental Services System	\$0	\$2,241	\$2,241
UFSM & CERMS	\$0	\$9,830	\$9,830
Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
Early Start Eligibility	\$2,530	\$8,432	\$5,902
Lanterman Act Provisional Eligibility Ages 3 and 4	\$9,325	\$15,699	\$6,374
Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Service Provider Rate Reform	\$21,147	\$21,147	\$0
Total Operations – Policy	\$420,647	\$352,272	(\$68,375)

Purchase of Services (POS) Caseload

The budget year includes \$10.7 billion TF (\$6.7 billion GF) for purchase of services, an increase of \$1.1 billion TF (\$732.7 million GF), or a 11.5 percent increase compared to the updated current year budget. The net increase is primarily due to continued caseload and utilization changes in various budget categories.

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>			
	FY 2022-23	FY 2023-24	Difference
Community Care Facilities	\$2,755,164	\$2,882,632	\$127,468
Medical Facilities	\$40,381	\$52,494	\$12,113
Day Programs	\$1,429,208	\$1,457,383	\$28,175
Habilitation Services	\$133,401	\$139,084	\$5,683
<i>Work Activity Program</i>	\$15,552	\$11,991	(\$3,561)
<i>Supported Employment Program – Group</i>	\$74,911	\$74,031	(\$880)
<i>Supported Employment Program – Individual</i>	\$42,938	\$53,062	\$10,124
Transportation	\$424,100	\$596,572	\$172,472
Support Services	\$2,480,167	\$2,744,836	\$264,669
In-Home Respite	\$1,254,112	\$1,531,812	\$277,700
Out of Home Respite	\$47,356	\$48,438	\$1,082
Health Care	\$224,522	\$269,784	\$45,262
Miscellaneous Services	\$772,794	\$936,120	\$163,326
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$8,879	\$8,879	\$0
Total POS – Caseload	\$9,570,084	10,668,034	\$1,097,950

POS – Policy

The budget year includes \$1.6 billion TF (\$521.3 million GF) for policies impacting regional center purchase of services, an increase of \$510.0 million TF (\$705.2 million GF) compared to the updated current year budget.

Updated Policies:

- Service Provider Rate Reform: Increase of \$355.9 million TF (\$232 million GF) reflects the change from FY 2022-23 to FY 2023-24 due to a full year cost of the second rate adjustment effective January 1, 2023, and costs for funding to adjust service provider rates for mileage rates.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: Increase of \$68.4 million TF (\$40.6 million GF) represents full-year implementation of the policy and updated expenditures.
- Lanterman Act Provisional Eligibility Ages 3 and 4: Increase of \$22.1 million GF for updated caseload and expenditure data for provisionally eligible consumers.
- Resources to Support Individuals Who Are Deaf: Decrease of \$14.3 million TF (\$8.4 million GF) due to one-time funding for communications assessments in FY 2022-23.
- Early Start Eligibility: Increase of \$9.2 million GF reflects the continued phase in of the policy.
- Work Activity Programs: New Service Model: Decrease of \$8.2 million TF (\$4.9 million GF) due to one-time funding in FY 2022-23.
- Suspension of Annual Family Program Fee and Family Costs: Decrease of \$4.5 million GF due to one-time funding in FY 2022-23.
- Systemic, Therapeutic, Assessment, Resources and Treatment (START) Training: Increase of \$1.8 million TF (\$1.1 million GF) reflects expenditures associated with the START teams' operations.
- Self-Determination Ongoing Implementation: Increase of \$1.4 million TF (\$1.0 million GF) reflects an increase in caseload and utilization.

New Policies:

- SB 3, Effective January 1, 2024, Consumer Price Index Adjustment: Increase of \$78.2 million TF (\$46.4 million GF) for projected increase in the minimum wage from \$15.50 to \$16.00 for all employers.

Purchase of Services – Policy (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
Ongoing Purchase of Services Items	\$46,000	\$46,000	\$0
SB 3, Minimum Wage Increase, Effective January 1, 2023	\$54,188	\$122,557	\$68,369
SB 3, Effective January 1, 2024, CPI Adjustment	\$0	\$78,203	\$78,203
Social Recreation and Camping Services	\$51,868	\$51,868	\$0
START Training	\$17,865	\$19,632	\$1,767
Suspension of Annual Family Program Fee and Family Costs	\$4,450	\$0	(\$4,450)
Work Activity Programs: New Service Model	\$8,233	\$0	(\$8,233)
Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
Early Start Eligibility	\$3,962	\$13,208	\$9,246
Lanterman Act Provisional Eligibility Ages 3 and 4	\$32,035	\$54,112	\$22,077
Resources to Support Individuals Who Are Deaf	\$14,300	\$0	(\$14,300)
Self-Determination Ongoing Implementation	\$2,500	\$3,925	\$1,425
Service Provider Rate Reform	\$808,334	\$1,164,266	\$355,932
Total POS – Policy	\$1,070,035	\$1,580,071	\$510,036

American Rescue Plan Act Funding

The budget year includes \$475.8 million in ARPA funding and \$189.0 million in matching reimbursements, inclusive of purchase of services and operations. This reflects an increase of \$7.4 million and a decrease of \$186.8 million, respectively compared to the updated current year budget. Adjustments are reflected in the table below:

American Rescue Plan Funding (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
HCBS ARPA	\$468,376	\$475,794	\$7,418
Language Access and Cultural Competency	\$9,173	\$7,500	(\$1,673)
Coordinated Family Support Services	(\$827)	\$0	\$827
Social Recreation and Camping Services	\$31,191	\$27,600	(\$3,591)
Service Provider Rate Reform	\$428,839	\$440,694	\$11,855
HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
Language Access and Cultural Competency	\$7,494	\$4,966	(\$2,528)
Coordinated Family Support Services	\$827	\$0	(\$827)
Social Recreation and Camping Services	\$20,677	\$15,100	(\$5,577)
Service Provider Rate Reform	\$346,846	\$168,952	(\$177,894)
Total HCBS ARPA	\$844,220	\$664,812	(\$179,408)

Reimbursements

The budget year includes \$4.7 billion in reimbursements, an increase of \$345.5 million as compared to the updated current year budget. Adjustments are reflected in the table below and the main driver is due to an increase in caseload and utilization.

Reimbursements (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Home and Community-Based Services (HCBS) Waiver	\$3,027,712	\$3,249,018	\$221,306
HCBS Waiver Administration	\$21,470	\$22,065	\$595
Medicaid Administration	\$20,618	\$20,618	\$0
Targeted Case Management	\$337,268	\$350,577	\$13,309
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$60,803	\$54,821	(\$5,982)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,703	\$9,701	(\$2)
1915(i) State Plan Amendment	\$593,559	\$633,689	\$40,130
Early Periodic Screening Diagnosis and Treatment	\$21,720	\$20,845	(\$875)
Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,014	\$0
Self-Determination Program Waiver	\$82,073	\$159,067	\$76,994
Total Reimbursements	\$4,399,361	\$4,744,836	\$345,475

Federal Funds

The budget year includes \$54.1 million in federal funds, a net decrease of \$2.4 million compared to the updated current year budget. The decrease is due to receipt of one-time federal funds in FY 2022-23 in Early Start ARPA funding.

Federal Funds (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Early Start Part C/Other Agency Costs	\$55,358	\$52,915	(\$2,443)
Foster Grandparent Program	\$1,143	\$1,143	\$0
Total Federal Funds	\$56,501	\$54,058	(\$2,443)

STATE OPERATED FACILITIES**FY 2022-23**

The FY 2022-23 updated State Operated Facilities budget includes \$326.7 million TF (\$288.9 million GF), a net increase of \$10.4 million TF (\$7.8 million GF) compared to the Enacted Budget. The increase reflects various control section adjustments.

Facilities Updates:

- Porterville DC: A decrease of \$894,000 GF due to an update to the Lease Revenue Debt Service Bond.
- Retention Stipend: A continuation of the \$500,000 GF, which was reappropriated in the Budget Act of 2022, for purposes of providing a retention stipend for existing employees on staff for the warm shutdown of developmental centers available for encumbrance or expenditure for the duration of warm shutdown.

Policy

There is a net increase of \$11.3 million TF (\$8.7 million GF) in policy expenditures as compared to the Enacted Budget.

Updated Policies:

- Item 9800 increases employee compensation by \$6.8 million TF (\$6.2 million GF).
- Enhanced Federal Funding: A decrease of \$1.6 million GF due to an anticipated extension through June 30, 2023 of the FFCRA, which provides a temporary 6.2 percentage point increase.
- Control Section 3.60 increases employee retirement by \$4.5 million TF (\$4.1 million GF).

Costs and Fund Sources (Dollars in Thousands)			
	Enacted Budget	FY 2022-23	Difference
Personal Services	\$259,779	\$271,084	\$11,305
Operating Expenses and Equipment	\$47,333	\$47,333	\$0
Lease Revenue Bond	\$9,158	\$8,264	(\$894)
Total Costs	\$316,270	\$326,681	\$10,411
General Fund (GF)	\$281,080	\$288,910	\$7,830
<i>GF Match</i>	\$25,491	\$24,955	(\$536)
<i>GF Other</i>	\$255,589	\$263,955	\$8,366
Reimbursements	\$35,060	\$37,641	\$2,581
Lottery Fund	\$130	\$130	\$0
Fund Sources	\$316,270	\$326,681	\$10,411

FY 2023-24

The FY 2023-24 includes \$340.7 million TF (\$306.6 million GF), a net increase of \$14.1 million TF (\$17.7 million GF) compared to the updated current year.

Facilities Update:

- STAR Homes: An increase of \$15.9 million (\$9.8 million GF) as a result of reclassifying 2.0 homes as ICF and an addition of relief factor.
- Fairview DC: There is no change in fiscal as the warm shutdown activities are anticipated to continue throughout this year.
- CAST: A decrease of \$280,000 (\$173,000 GF) due to changes in the CAST staff assumptions supporting a separation from STAR.
- Porterville DC: A decrease of \$3.7 million GF due to half of one unit closing which is related to the *Stiavetti* lawsuit and updated the Lease Revenue Service Bond.

Updated Policies:

- Interagency Agreement with the Department of General Services: A decrease of \$6.5 million reimbursements due to the end of the interagency agreement maintaining Sonoma Developmental Center.
- Enhanced Federal Funding: A decrease of \$3.1 million in reimbursements due to the anticipated end of the temporary 6.2 percentage point increase authorized by FFCRA.
- Deferred Maintenance: A decrease of \$750,000 GF due to reappropriated funding in FY 2022-23.
- Item 9800: Decreases employee compensation by \$624,000 TF (\$637,000 GF).

New Policies:

- Complex Needs Residential Program: The budget includes \$10.5 million GF for start-up resources to develop a new residential model in the community consisting of three 5-person residential homes for individuals with highly complex needs.

Costs and Fund Sources (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Personal Services	\$271,084	\$271,030	(\$54)
Operating Expenses and Equipment	\$47,333	\$61,483	\$14,150
Lease Revenue Bond	\$8,264	\$8,281	\$17
Total Costs	\$326,681	\$340,794	\$14,113
General Fund (GF)	\$288,910	\$306,632	\$17,722
<i>GF Match</i>	\$24,955	\$34,032	\$9,077
<i>GF Other</i>	\$263,955	\$272,600	\$8,645
Reimbursements	\$37,641	\$34,032	(\$3,609)
Lottery Fund	\$130	\$130	\$0
Fund Sources	\$326,681	\$340,794	\$14,113

HEADQUARTERS**FY 2022-23**

FY 2022-23 includes \$156.2 million TF (\$97.0 million GF), a net increase of \$5.0 million TF (\$2.5 million GF) from the Enacted Budget. The change reflects adjustments to the employee retirement from the Control Section 3.60; adjustments to employee compensation in Item 9800; and the following:

- Assembly Bill 179 – IDEA Funding Supplement: One-time funding of \$500,000 in Federal Trust Fund authority.
- Annual Family Program Fee Suspension: One-time reduction of -\$250,000 due to the suspension of the fee program relating to COVID-19.
- Section 11.95 Home and Community-Based Services (HCBS) Allocation – Service Provider Rate Reform Acceleration: One-time funding shift of \$1 million from GF/Reimbursement to ARPA.

FY 2023-24

FY 2023-24 includes \$155.7 million TF (\$97.5 million GF), a net decrease of \$571,000 TF (\$538,000 GF increase) compared to the updated current year budget. The changes reflect the expiration of five limited-term positions; adjustments to employee retirement from the Control Section 3.60; adjustments to employee compensation in Item 9800; the SWCAP annual reassessment; and seven Budget Change Proposals.

- Autism Services Branch: Increase of \$1.0 million TF (\$826,000 GF) and 6.0 permanent positions to establish and Autism Services Branch within the Office of Statewide Clinical Services to supporting the growing caseload of consumers with Autism Spectrum Disorder.
- EVV Phase II: Increase of \$740,000 TF (\$335,000 GF) to the Department's portion to ensure continued progress as required by the federal 21st Century Cures Act.
- Enhancements to Risk Management Data Collection and Tracking: Increase of \$839,000 TF (\$671,000 GF) and 6.0 permanent positions to enhance data collection, review, oversight, and response to special incident reports, and provide technical assistance and training to regional centers.
- Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) Projects Planning: Increase of \$2.9 million TF (\$2.3 million GF) to continue the planning phases of both IT projects as they move through the required California Department of Technology PAL process.

- Information Security Office Support: Increase of \$895,000 TF (\$716,000 GF) and 5.0 permanent positions to support risk and compliance requirements and address network security needs.
- Disparities Within the Developmental Services System (Assembly Bill 1957): Increase of \$450,000 TF (\$360,000 GF) and 3.0 permanent positions) to implement the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957) regarding reporting expenditures and authorization of services and activities for individuals with intellectual and developmental disabilities.
- Protective Proceedings: Increase of \$1.5 million TF (\$1.2 million GF) and 6.0 permanent positions to implement Chapter 894, Statutes of 2022 (Assembly Bill 1663) including mitigating any conflicts that may arise regarding conservatorships for individuals served by regional centers.

Costs and Fund Sources (Dollars in Thousands)			
	FY 2022-23	FY 2023-24	Difference
Personal Services	\$108,549	\$114,592	\$6,043
Operating Expenses and Equipment	\$47,673	\$41,059	(\$6,614)
Total Costs	\$156,222	\$155,651	(\$571)
General Fund (GF)	\$96,953	\$97,491	\$538
<i>GF Match</i>	\$28,378	\$30,374	\$1,996
<i>GF Other</i>	\$68,575	\$67,117	(\$1,458)
Reimbursements	\$47,942	\$49,979	\$2,037
Program Development Fund	\$175	\$425	\$250
Federal Trust Fund	\$3,391	\$2,863	(\$528)
Mental Health Services Fund	\$511	\$511	\$0
HCBS ARPA (8507)	\$5,800	\$3,750	(\$2,050)
ARPA Reimbursements	\$1,450	\$632	(\$818)
Fund Sources	\$156,222	\$155,651	(\$571)

Table of Contents

SECTION B: EXECUTIVE SUMMARY TABLES

FY 2022-23B-1

FY 2023-24B-4

FY 2022-23 vs. FY 2023-24B-7

Comparison of Enacted Budget to Governor's Budget FY 2022-23

BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Enacted Budget	FY 2022-23	Difference
1. Staffing Expenditures	\$902,498	\$902,498	\$0
2. Federal Compliance	\$49,271	\$49,271	\$0
3. Projects	\$34,396	\$34,396	\$0
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,647	\$1,647	\$0
5. Total Operations Caseload Growth (Items 1 thru 4)	\$987,812	\$987,812	\$0

Policy

6. Early Start – Part C to B Transitions	\$13,167	\$3,167	(\$10,000)
7. Enhanced Service Coordination	\$14,172	\$14,172	\$0
8. Enrolling Vendors as Medicaid Providers	\$550	\$550	\$0
9. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
10. Performance Incentives	\$87,500	\$87,500	\$0
11. Promoting Workforce Stability	\$185,300	\$185,300	\$0
12. Reduced Caseload Ratio for Children through Age Five	\$51,084	\$51,084	\$0
13. Service Access and Equity Grant Program	\$11,000	\$11,000	\$0
14. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
15. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
16. Early Start Eligibility	\$2,530	\$2,530	\$0
17. Lanterman Act Provisional Eligibility Ages 3 and 4	\$8,145	\$9,325	\$1,180
18. Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
19. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
20. Service Provider Rate Reform	\$21,147	\$21,147	\$0
21. Total Policy (Item 6 thru 20)	\$429,467	\$420,647	(\$8,820)
22. Total Operations (Item 5 and 21)	\$1,417,279	\$1,408,459	(\$8,820)

Comparison of Enacted Budget to Governor's Budget FY 2022-23

BUDGET ITEM:

	Enacted Budget	FY 2022-23	Difference
B. Purchase of Services			
Caseload Growth/Utilization			
1. Community Care Facilities	\$2,755,164	\$2,755,164	\$0
2. Medical Facilities	\$52,329	\$40,381	(\$11,948)
3. Day Programs	\$1,429,208	\$1,429,208	\$0
4. Habilitation Services	\$128,886	\$133,401	\$4,515
a. Work Activity Program	\$15,692	\$15,552	(\$140)
b. Supported Employment Program – Group Placement	\$70,704	\$74,911	\$4,207
c. Supported Employment Program – Individual Placement	\$42,490	\$42,938	\$448
5. Transportation	\$472,885	\$424,100	(\$48,785)
6. Support Services	\$2,480,167	\$2,480,167	\$0
7. In-Home Respite	\$1,304,544	\$1,254,112	(\$50,432)
8. Out-of-Home Respite	\$64,848	\$47,356	(\$17,492)
9. Health Care	\$251,274	\$224,522	(\$26,752)
10. Miscellaneous Services	\$765,888	\$772,794	\$6,906
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$8,893	\$8,879	(\$14)
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$9,714,086	\$9,570,084	(\$144,002)
Policy			
13. Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
14. Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
15. Forensic Diversion*	\$3,200	\$0	(\$3,200)
16. Half-Day Billing Elimination*	\$2,845	\$0	(\$2,845)
17. Health Facility Rate Increase*	\$1,421	\$0	(\$1,421)
18. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
19. SB 3, Minimum Wage Increase, Effective January 1, 2022*	\$228,357	\$0	(\$228,357)
20. SB 3, Minimum Wage Increase, Effective January 1, 2023	\$63,497	\$54,188	(\$9,309)
21. Social Recreation and Camping Services	\$51,868	\$51,868	\$0
22. START Training	\$17,865	\$17,865	\$0
23. Suspension of Annual Family Program Fee and Family Cost	\$4,450	\$4,450	\$0
24. Work Activity Programs: New Service Model	\$8,233	\$8,233	\$0
25. Youth Returning from Out-of-State Foster Care*	\$1,800	\$0	(\$1,800)
26. Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
27. Early Start Eligibility	\$3,962	\$3,962	\$0
28. Lanterman Act Provisional Eligibility Ages 3 and 4	\$17,415	\$32,035	\$14,620
29. Resources to Support Individuals Who Are Deaf	\$14,300	\$14,300	\$0
30. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
31. Service Provider Rate Reform	\$808,334	\$808,334	\$0
32. Total Policy (Item 13 thru 31)	\$1,302,347	\$1,070,035	(\$232,312)
33. Total Purchase of Services (Item 12 and 32)	\$11,016,433	\$10,640,119	(\$376,314)
C. Early Start Part C/Other Agency Costs	\$19,095	\$21,538	\$2,443
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$12,454,810	\$12,072,119	(\$382,691)

*These policies are fully incorporated into the caseload and utilization growth.

Comparison of Enacted Budget to Governor's Budget FY 2022-23

FUND SOURCE:

	Enacted Budget	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$3,722,090	\$3,356,569	(\$365,521)
2. General Fund Other	\$3,573,379	\$3,414,578	(\$158,801)
3. General Fund Total (Item 1 and 2)	\$7,295,469	\$6,771,147	(\$524,322)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$3,174,151	\$3,027,712	(\$146,439)
2. Home and Community-Based Services Waiver Administration	\$21,574	\$21,470	(\$104)
3. Medicaid Administration	\$19,048	\$20,618	\$1,570
4. Targeted Case Management	\$325,379	\$337,268	\$11,889
5. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$58,308	\$60,803	\$2,495
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,717	\$9,703	(\$14)
8. 1915(i) State Plan Amendment	\$569,552	\$593,559	\$24,007
9. Early Periodic Screening Diagnosis and Treatment	\$26,951	\$21,720	(\$5,231)
10. Behavioral Health Treatment Fee-for-Service	\$13,501	\$11,014	(\$2,487)
11. Self-Determination Program Waiver	\$37,075	\$82,073	\$44,998
12. Reimbursements Total (Item 1 thru 11)	\$4,468,677	\$4,399,361	(\$69,316)
C. Program Development Fund/Parental Fees	\$434	\$0	(\$434)
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. HCBS ARPA Funding			
1. HCBS ARPA	\$384,572	\$468,376	\$83,804
2. HCBS ARPA Reimbursements	\$250,777	\$375,844	\$125,067
3. HCBS ARPA Funding Total (Item 1 and 2)	\$635,349	\$844,220	\$208,871
G. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$52,851	\$55,358	\$2,507
2. Foster Grandparent Program	\$1,140	\$1,143	\$3
3. Federal Funds Total (Item 1 and 2)	\$53,991	\$56,501	\$2,510
H. GRAND TOTAL	\$12,454,810	\$12,072,119	(\$382,691)

Comparison of Enacted Budget to Governor's Budget FY 2023-24

BUDGET ITEM:**A. Operations****Caseload Growth/Utilization**

	Enacted Budget	FY 2023-24	Difference
1. Staffing Expenditures	\$902,498	\$944,637	\$42,139
2. Federal Compliance	\$49,271	\$49,538	\$267
3. Projects	\$34,396	\$37,211	\$2,815
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,647	\$1,645	(\$2)
5. Total Operations Caseload Growth (Items 1 thru 4)	\$987,812	\$1,033,031	\$45,219

Policy

6. Early Start – Part C to B Transitions	\$13,167	\$3,167	(\$10,000)
7. Enhanced Service Coordination	\$14,172	\$14,172	\$0
8. Enrolling Vendors as Medicaid Providers	\$550	\$400	(\$150)
9. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
10. Performance Incentives	\$87,500	\$87,500	\$0
11. Promoting Workforce Stability	\$185,300	\$0	(\$185,300)
12. Reduced Caseload Ratio for Children through age Five	\$51,084	\$153,212	\$102,128
13. Service Access and Equity Grant Program	\$11,000	\$0	(\$11,000)
14. Trauma Informed Services for Foster Youth	\$1,600	\$3,200	\$1,600
15. Disparities Within the Developmental Services System	\$0	\$2,241	\$2,241
16. Uniform Fiscal System Modernization and the Consumer Electronic Records Management System Project Planning	\$0	\$9,830	\$9,830
17. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
18. Early Start Eligibility	\$2,530	\$8,432	\$5,902
19. Lanterman Act Provisional Eligibility Ages 3 and 4	\$8,145	\$15,699	\$7,554
20. Resources to Support Individual Who Are Deaf	\$4,505	\$4,505	\$0
21. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
22. Service Provider Rate Reform	\$21,147	\$21,147	\$0
23. Total Policy (Item 6 thru 22)	\$429,467	\$352,272	(\$77,195)
24. Total Operations (Item 5 and 23)	\$1,417,279	\$1,385,303	(\$31,976)

Comparison of Enacted Budget to Governor's Budget FY 2023-24

BUDGET ITEM:

	Enacted Budget	FY 2023-24	Difference
B. Purchase of Services			
Caseload Growth/Utilization			
1. Community Care Facilities	\$2,755,164	\$2,882,632	\$127,468
2. Medical Facilities	\$52,329	\$52,494	\$165
3. Day Programs	\$1,429,208	\$1,457,383	\$28,175
4. Habilitation Services	\$128,886	\$139,084	\$10,198
a. Work Activity Program	\$15,692	\$11,991	(\$3,701)
b. Supported Employment Program – Group Placement	\$70,704	\$74,031	\$3,327
c. Supported Employment Program – Individual Placement	\$42,490	\$53,062	\$10,572
5. Transportation	\$472,885	\$596,572	\$123,687
6. Support Services	\$2,480,167	\$2,744,836	\$264,669
7. In-Home Respite	\$1,304,544	\$1,531,812	\$227,268
8. Out-of-Home Respite	\$64,848	\$48,438	(\$16,410)
9. Health Care	\$251,274	\$269,784	\$18,510
10. Miscellaneous Services	\$765,888	\$936,120	\$170,232
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$8,893	\$8,879	(\$14)
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$9,714,086	\$10,668,034	\$953,948
Policy			
13. Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
14. Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
15. Forensic Diversion*	\$3,200	\$0	(\$3,200)
16. Half-Day Billing Elimination*	\$2,845	\$0	(\$2,845)
17. Health Facility Rate Increase*	\$1,421	\$0	(\$1,421)
18. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
19. SB 3, Minimum Wage Increase, Effective January 1, 2022*	\$228,357	\$0	(\$228,357)
20. SB 3, Minimum Wage Increase, Effective January 1, 2023	\$63,497	\$122,557	\$59,060
21. SB 3, Effective January 1, 2024, Consumer Price Index Adj	\$0	\$78,203	\$78,203
22. Social Recreation and Camping Services	\$51,868	\$51,868	\$0
23. START Training	\$17,865	\$19,632	\$1,767
24. Suspension of Annual Family Program Fee and Family Cost	\$4,450	\$0	(\$4,450)
25. Work Activity Programs: New Service Model	\$8,233	\$0	(\$8,233)
26. Youth Returning from Out-of-State Foster Care*	\$1,800	\$0	(\$1,800)
27. Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
28. Early Start Eligibility	\$3,962	\$13,208	\$9,246
29. Lanterman Act Provisional Eligibility Ages 3 and 4	\$17,415	\$54,112	\$36,697
30. Resources to Support Individual Who Are Deaf	\$14,300	\$0	(\$14,300)
31. Self-Determination Ongoing Implementation	\$2,500	\$3,925	\$1,425
32. Service Provider Rate Reform	\$808,334	\$1,164,266	\$355,932
33. Total Policy (Item 13 thru 32)	\$1,302,347	\$1,580,071	\$277,724
34. Total Purchase of Services (Item 12 and 33)	\$11,016,433	\$12,248,105	\$1,231,672
C. Early Start Part C/Other Agency Costs	\$19,095	\$19,095	\$0
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$12,454,810	\$13,654,506	\$1,199,696

Comparison of Enacted Budget to Governor's Budget FY 2023-24

FUND SOURCE:

	Enacted Budget	FY 2023-24	Difference
A. General Fund			
1. General Fund Match	\$3,722,090	\$4,497,778	\$775,688
2. General Fund Other	\$3,573,379	\$3,691,698	\$118,319
3. General Fund Total (Item 1 and 2)	\$7,295,469	\$8,189,476	\$894,007
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$3,174,151	\$3,249,018	\$74,867
2. Home and Community-Based Services Waiver Administration	\$21,574	\$22,065	\$491
3. Medicaid Administration	\$19,048	\$20,618	\$1,570
4. Targeted Case Management	\$325,379	\$350,577	\$25,198
5. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$58,308	\$54,821	(\$3,487)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,717	\$9,701	(\$16)
8. 1915(i) State Plan Amendment	\$569,552	\$633,689	\$64,137
9. Early Periodic Screening Diagnosis and Treatment	\$26,951	\$20,845	(\$6,106)
10. Behavioral Health Treatment Fee-for-Service	\$13,501	\$11,014	(\$2,487)
11. Self-Determination Program Waiver	\$37,075	\$159,067	\$121,992
12. Reimbursements Total (Item 1 thru 11)	\$4,468,677	\$4,744,836	\$276,159
C. Program Development Fund/Parental Fees	\$434	\$434	\$0
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. HCBS ARPA Funding			
1. HCBS ARPA	\$384,572	\$475,794	\$91,222
2. HCBS ARPA Reimbursements	\$250,777	\$189,018	(\$61,759)
3. HCBS ARPA Funding Total (Item 1 and 2)	\$635,349	\$664,812	\$29,463
G. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$52,851	\$52,915	\$64
2. Foster Grandparent Program	\$1,140	\$1,143	\$3
3. Federal Funds Total (Item 1 and 2)	\$53,991	\$54,058	\$67
H. GRAND TOTAL	\$12,454,810	\$13,654,506	\$1,199,696

Comparison of FY 2022-23 to FY 2023-24

BUDGET ITEM:

A. Operations

Caseload Growth/Utilization

	FY 2022-23	FY 2023-24	Difference
1. Staffing Expenditures	\$902,498	\$944,637	\$42,139
2. Federal Compliance	\$49,271	\$49,538	\$267
3. Projects	\$34,396	\$37,211	\$2,815
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,647	\$1,645	(\$2)
5. Total Operations Caseload Growth (Items 1 thru 4)	\$987,812	\$1,033,031	\$45,219

Policy

6. Early Start – Part C to B Transitions	\$3,167	\$3,167	\$0
7. Enhanced Service Coordination	\$14,172	\$14,172	\$0
8. Enrolling Vendors as Medicaid Providers	\$550	\$400	(\$150)
9. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
10. Performance Incentives	\$87,500	\$87,500	\$0
11. Promoting Workforce Stability	\$185,300	\$0	(\$185,300)
12. Reduced Caseload Ratio for Children through age Five	\$51,084	\$153,212	\$102,128
13. Service Access and Equity Grant Program	\$11,000	\$0	(\$11,000)
14. Trauma Informed Services for Foster Youth	\$1,600	\$3,200	\$1,600
15. Disparities Within the Developmental Services System	\$0	\$2,241	\$2,241
16. Uniform Fiscal System Modernization and the Consumer Electronic Records Management System Project Planning	\$0	\$9,830	\$9,830
17. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
18. Early Start Eligibility	\$2,530	\$8,432	\$5,902
19. Lanterman Act Provisional Eligibility Ages 3 and 4	\$9,325	\$15,699	\$6,374
20. Resources to Support Individual Who Are Deaf	\$4,505	\$4,505	\$0
21. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
22. Service Provider Rate Reform	\$21,147	\$21,147	\$0
23. Total Policy (Item 6 thru 22)	\$420,647	\$352,272	(\$68,375)
24. Total Operations (Item 5 and 23)	\$1,408,459	\$1,385,303	(\$23,156)

Comparison of FY 2022-23 to FY 2023-24**BUDGET ITEM:**

	FY 2022-23	FY 2023-24	Difference
B. Purchase of Services			
Caseload Growth/Utilization			
1. Community Care Facilities	\$2,755,164	\$2,882,632	\$127,468
2. Medical Facilities	\$40,381	\$52,494	\$12,113
3. Day Programs	\$1,429,208	\$1,457,383	\$28,175
4. Habilitation Services	\$133,401	\$139,084	\$5,683
a. Work Activity Program	\$15,552	\$11,991	(\$3,561)
b. Supported Employment Program – Group Placement	\$74,911	\$74,031	(\$880)
c. Supported Employment Program – Individual Placement	\$42,938	\$53,062	\$10,124
5. Transportation	\$424,100	\$596,572	\$172,472
6. Support Services	\$2,480,167	\$2,744,836	\$264,669
7. In-Home Respite	\$1,254,112	\$1,531,812	\$277,700
8. Out-of-Home Respite	\$47,356	\$48,438	\$1,082
9. Health Care	\$224,522	\$269,784	\$45,262
10. Miscellaneous Services	\$772,794	\$936,120	\$163,326
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$8,879	\$8,879	\$0
12. Total Purchase of Services Caseload Growth (Item 1 thru 11)	\$9,570,084	\$10,668,034	\$1,097,950
Policy			
13. Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
14. Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
15. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
16. SB 3, Minimum Wage Increase, Effective January 1, 2023	\$54,188	\$122,557	\$68,369
17. SB 3, Effective January 1, 2024, Consumer Price Index Adj	\$0	\$78,203	\$78,203
18. Social Recreation and Camping Services	\$51,868	\$51,868	\$0
19. START Training	\$17,865	\$19,632	\$1,767
20. Suspension of Annual Family Program Fee and Family Cost	\$4,450	\$0	(\$4,450)
21. Work Activity Programs: New Service Model	\$8,233	\$0	(\$8,233)
22. Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
23. Early Start Eligibility	\$3,962	\$13,208	\$9,246
24. Lanterman Act Provisional Eligibility Ages 3 and 4	\$32,035	\$54,112	\$22,077
25. Resources to Support Individuals Who Are Deaf	\$14,300	\$0	(\$14,300)
26. Self-Determination Ongoing Implementation	\$2,500	\$3,925	\$1,425
27. Service Provider Rate Reform	\$808,334	\$1,164,266	\$355,932
28. Total Policy (Item 13 thru 27)	\$1,070,035	\$1,580,071	\$510,036
29. Total Purchase of Services (Item 12 and 28)	\$10,640,119	\$12,248,105	\$1,607,986
C. Early Start Part C/Other Agency Costs	\$21,538	\$19,095	(\$2,443)
D. Early Start Family Resource Services	\$2,003	\$2,003	\$0
E. GRAND TOTAL	\$12,072,119	\$13,654,506	\$1,582,387

Comparison of FY 2022-23 to FY 2023-24**FUND SOURCE:**

	FY 2022-23	FY 2023-24	Difference
A. General Fund			
1. General Fund Match	\$3,356,569	\$4,497,778	\$1,141,209
2. General Fund Other	\$3,414,578	\$3,691,698	\$277,120
3. General Fund Total (Item 1 and 2)	\$6,771,147	\$8,189,476	\$1,418,329
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$3,027,712	\$3,249,018	\$221,306
2. Home and Community-Based Services Waiver Administration	\$21,470	\$22,065	\$595
3. Medicaid Administration	\$20,618	\$20,618	\$0
4. Targeted Case Management	\$337,268	\$350,577	\$13,309
5. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
6. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$60,803	\$54,821	(\$5,982)
7. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,703	\$9,701	(\$2)
8. 1915(i) State Plan Amendment	\$593,559	\$633,689	\$40,130
9. Early Periodic Screening Diagnosis and Treatment	\$21,720	\$20,845	(\$875)
10. Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,014	\$0
11. Self-Determination Program Waiver	\$82,073	\$159,067	\$76,994
12. Reimbursements Total (Item 1 thru 11)	\$4,399,361	\$4,744,836	\$345,475
C. Program Development Fund/Parental Fees	\$0	\$434	\$434
D. Developmental Disabilities Services Account	\$150	\$150	\$0
E. Mental Health Service Fund	\$740	\$740	\$0
F. HCBS ARPA Funding			
1. HCBS ARPA	\$468,376	\$475,794	\$7,418
2. HCBS ARPA Reimbursements	\$375,844	\$189,018	(\$186,826)
3. HCBS ARPA Funding Total (Item 1 and 2)	\$844,220	\$664,812	(\$179,408)
G. Federal Funds			
1. Early Start Part C/Other Agency Costs	\$55,358	\$52,915	(\$2,443)
2. Foster Grandparent Program	\$1,143	\$1,143	\$0
3. Federal Funds Total (Item 1 and 2)	\$56,501	\$54,058	(\$2,443)
H. GRAND TOTAL	\$12,072,119	\$13,654,506	\$1,582,387

Table of Contents

SECTION C: POPULATION

FY 2022-23, FY 2023-24, and FY 2022-23 vs. FY 2023-24	C-1
Active Status Population (Age 3 & Over) Graph.....	C-2
Early Start Population (Birth through 35 Months) Graph	C-3
Community Population Graph	C-4

Population

FY 2022-23

<i>Estimated Population as of January 2023</i>	Enacted Budget	FY 2022-23	Difference	Percent Change
Active Status (Age 3 & Older)	342,570	342,570	0	0.00%
Early Start (Birth through 35 Months)	57,915	57,915	0	0.00%
Total Community Population	400,485	400,485	0	0.00%

FY 2023-24

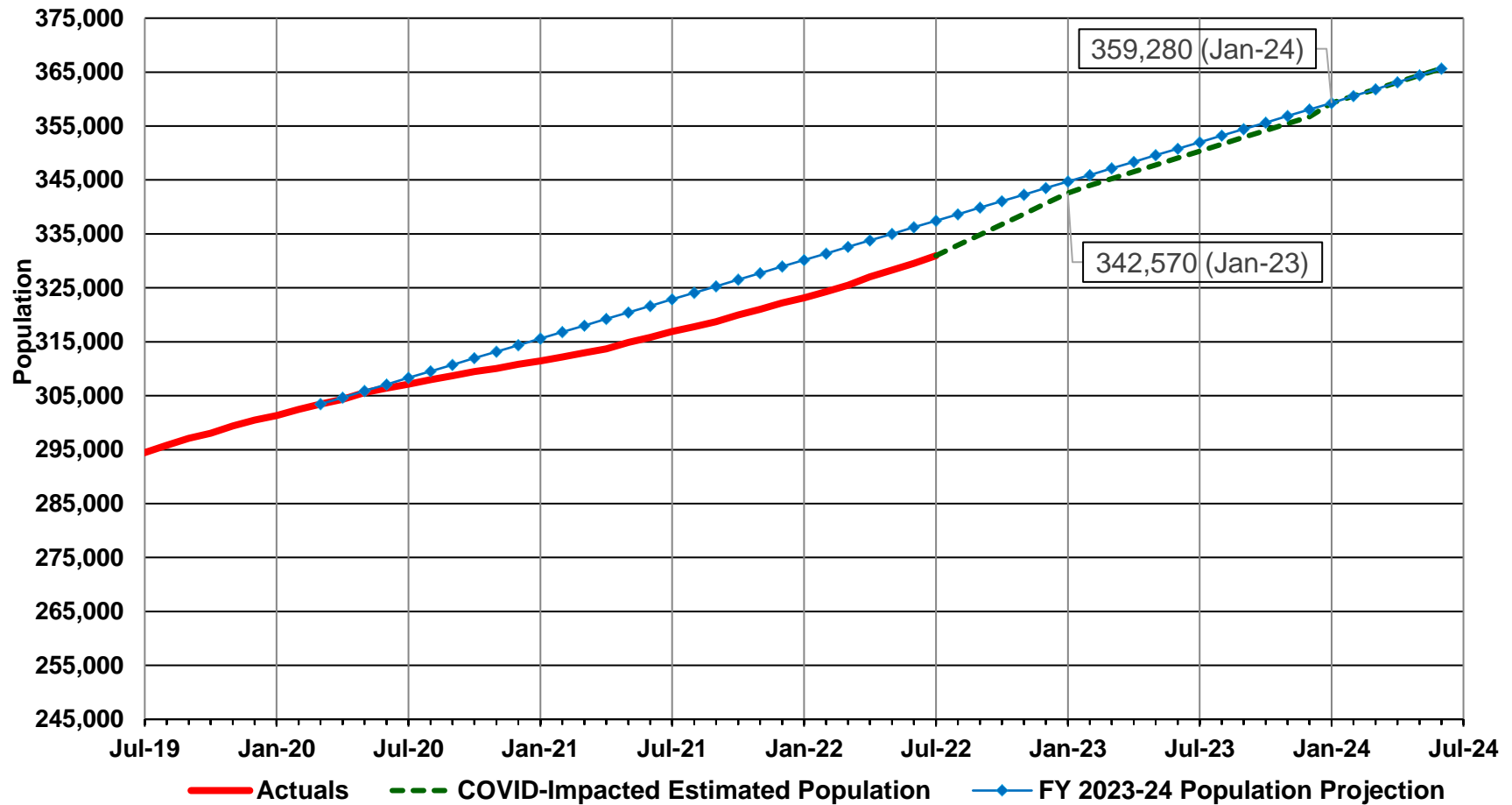
<i>Estimated Population as of January 2024</i>	Enacted Budget	FY 2023-24	Difference	Percent Change
Active Status (Age 3 & Older)	342,570	359,280	16,710	4.88%
Early Start (Birth through 35 Months)	57,915	61,647	3,732	6.44%
Total Community Population	400,485	420,927	20,442	5.10%

FY 2022-23 VS. FY 2023-24

	FY 2022-23	FY 2023-24	Difference	Percent Change
Active Status (Age 3 & Older)	342,570	359,280	16,710	4.88%
Early Start (Birth through 35 Months)	57,915	61,647	3,732	6.44%
Total Community Population	400,485	420,927	20,442	5.10%

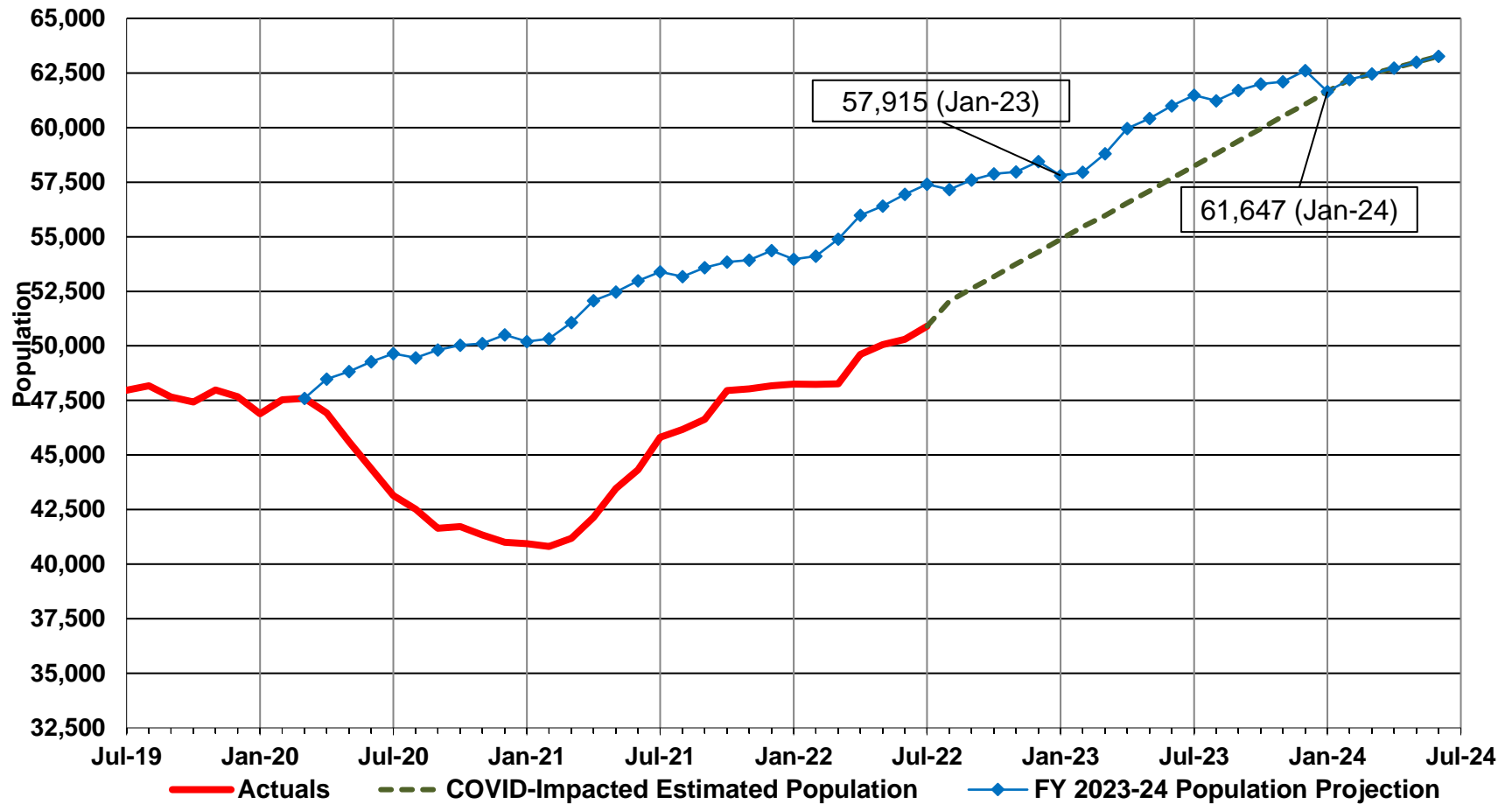
Population

ACTIVE STATUS (Age 3 & Older)



Population

EARLY START (Birth through 35 Months)



Population

COMMUNITY POPULATION (Active Status and Early Start)

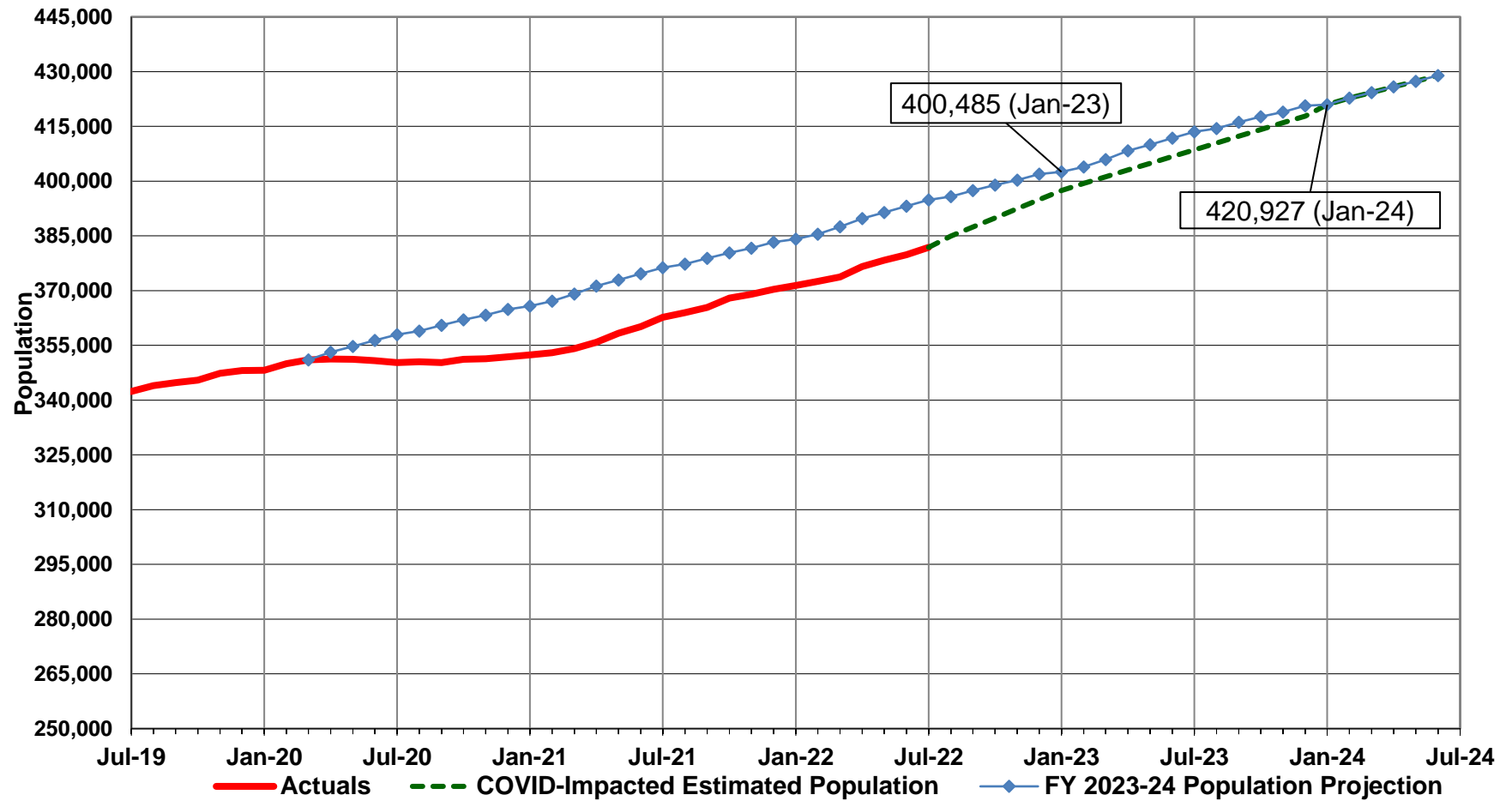


Table of Contents

SECTION D: OPERATIONS

FY 2022-23 - Operations.....	D-1
FY 2023-24 - Operations.....	D-3
FY 2022-23 vs. FY 2023-24 Operations	D-5
Staffing Expenditures	D-7
FY 2022-23 Core Staffing Estimate – Attachment A	D-11
FY 2023-24 Core Staffing Estimate – Attachment A	D-14
Core Staffing Formulas – Attachment B	D-17
Federal Compliance	D-21
Projects	D-24
Intermediate Care Facilities – Developmentally Disabled	
Quality Assurance Fees Operations.....	D-28

Comparison of Enacted Budget to Governor's Budget FY 2022-23 OPERATIONS

	Enacted Budget	FY 2022-23	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	342,570	342,570	0
B. Early Start (Birth through 35 Months)	57,915	57,915	0
C. Total Community Population	400,485	400,485	0
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$789,896	\$789,896	\$0
1) <i>Personal Services and Operating Expenses</i>	\$701,870	\$701,870	\$0
2) <i>Regional Center Rent</i>	\$88,026	\$88,026	\$0
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	\$72	\$72	\$0
C. Community Placement Plan	\$15,265	\$15,265	\$0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	\$1,893	\$1,893	\$0
E. Ongoing Operation Items	\$137,250	\$137,250	\$0
F. Less: Reductions	(\$41,878)	(\$41,878)	\$0
G. Total Staffing Expenditures	\$902,498	\$902,498	\$0
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	\$8,700	\$8,700	\$0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	\$13,850	\$13,850	\$0
D. Targeted Case Management	\$4,129	\$4,129	\$0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	\$473	\$473	\$0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	\$984	\$984	\$0
G. Total Federal Compliance	\$49,271	\$49,271	\$0
3. Projects			
A. Information Technology Costs	\$4,712	\$4,712	\$0
1) <i>Regional Center Application Support</i>	\$3,462	\$3,462	\$0
2) <i>Data Processing</i>	\$1,250	\$1,250	\$0
B. Clients' Rights Advocacy	\$8,760	\$8,760	\$0
C. Quality Assessment	\$5,014	\$5,014	\$0
D. Direct Support Professional Training	\$4,700	\$4,700	\$0
E. Office of Administrative Hearings	\$3,885	\$3,885	\$0
F. Wellness Projects	\$100	\$100	\$0
G. Foster Grandparent/Senior Companion	\$4,496	\$4,496	\$0
H. Special Incident Reporting/Risk Assessment	\$1,200	\$1,200	\$0
I. Increased Access to Mental Health Services	\$740	\$740	\$0
J. Sherry S. Court Case	\$4	\$4	\$0
K. FY 2003-04 FFP Enhancement, Phase II	\$500	\$500	\$0
L. Housing Projects	\$135	\$135	\$0
M. Review of Senate Bill 1175 Housing Proposals	\$150	\$150	\$0
N. Total Projects	\$34,396	\$34,396	\$0
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,647	\$1,647	\$0
5. Total Operation Caseload Growth (Item 1 thru 4)	\$987,812	\$987,812	\$0

Comparison of Enacted Budget to Governor's Budget FY 2022-23 OPERATIONS

II. BUDGET ITEMS:

	Enacted Budget	FY 2022-23	Difference
Policy			
6. Early Start – Part C to B Transitions	\$13,167	\$3,167	(\$10,000)
7. Enhanced Service Coordination	\$14,172	\$14,172	\$0
8. Enrolling Vendors as Medicaid Providers	\$550	\$550	\$0
9. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
10. Performance Incentives	\$87,500	\$87,500	\$0
11. Promoting Workforce Stability	\$185,300	\$185,300	\$0
12. Reduced Caseload Ratio for Children through age Five	\$51,084	\$51,084	\$0
13. Service Access and Equity Grant Program	\$11,000	\$11,000	\$0
14. Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
15. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
16. Early Start Eligibility	\$2,530	\$2,530	\$0
17. Lanterman Act Provisional Eligibility Ages 3 and 4	\$8,145	\$9,325	\$1,180
18. Resources to Support Individual Who Are Deaf	\$4,505	\$4,505	\$0
19. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
20. Service Provider Rate Reform	\$21,147	\$21,147	\$0
21. Total Policy (Item 6 thru 20)	\$429,467	\$420,647	(\$8,820)
22. Total Operations (Item 5 and 21)	\$1,417,279	\$1,408,459	(\$8,820)

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$316,448	\$300,070	(\$16,378)
2. General Fund Other	\$702,662	\$688,362	(\$14,300)
3. General Fund Total (Item 1 and 2)	\$1,019,110	\$988,432	(\$30,678)

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$21,574	\$21,470	(\$104)
2. Medicaid Administration	\$19,048	\$20,618	\$1,570
3. Targeted Case Management	\$325,379	\$337,268	\$11,889
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$824	\$824	\$0
5. Reimbursements Total (Item 1 thru 4)	\$366,825	\$380,180	\$13,355

C. Developmental Disabilities Services Account

	\$150	\$150	\$0
--	-------	-------	-----

D. Mental Health Service Fund

	\$740	\$740	\$0
--	-------	-------	-----

E. HCBS ARPA Funding

1. HCBS ARPA	\$18,600	\$22,714	\$4,114
2. HCBS ARPA Reimbursements	\$10,714	\$15,100	\$4,386
3. HCBS ARPA Funding Total (Item 1 and 2)	\$29,314	\$37,814	\$8,500

F. Federal Fund: Foster Grandparent Program

	\$1,140	\$1,143	\$3
--	---------	---------	-----

G. GRAND TOTAL

	\$1,417,279	\$1,408,459	(\$8,820)
--	--------------------	--------------------	------------------

Comparison of Enacted Budget to Governor's Budget FY 2023-24 OPERATIONS

	Enacted Budget	FY 2023-24	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	342,570	359,280	16,710
B. Early Start (Birth through 35 Months)	57,915	61,647	3,732
C. Total Community Population	400,485	420,927	20,442
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$789,896	\$831,712	\$41,816
1) Personal Services and Operating Expenses	\$701,870	\$736,951	\$35,081
2) Regional Center Rent	\$88,026	\$94,761	\$6,735
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	\$72	\$85	\$13
C. Community Placement Plan	\$15,265	\$15,265	\$0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	\$1,893	\$1,893	\$0
E. Ongoing Operation Items	\$137,250	\$137,560	\$310
F. Less: Reductions	(\$41,878)	(\$41,878)	\$0
G. Total Staffing Expenditures	\$902,498	\$944,637	\$42,139
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	\$8,700	\$8,700	\$0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	\$13,850	\$14,117	\$267
D. Targeted Case Management	\$4,129	\$4,129	\$0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	\$473	\$473	\$0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	\$984	\$984	\$0
G. Total Federal Compliance	\$49,271	\$49,538	\$267
3. Projects			
A. Information Technology Costs	\$4,712	\$4,712	\$0
1) Regional Center Application Support	\$3,462	\$3,462	\$0
2) Data Processing	\$1,250	\$1,250	\$0
B. Clients' Rights Advocacy	\$8,760	\$9,207	\$447
C. Quality Assessment	\$5,014	\$5,161	\$147
D. Direct Support Professional Training	\$4,700	\$4,700	\$0
E. Office of Administrative Hearings	\$3,885	\$5,828	\$1,943
F. Wellness Projects	\$100	\$100	\$0
G. Foster Grandparent/Senior Companion	\$4,496	\$4,728	\$232
H. Special Incident Reporting/Risk Assessment	\$1,200	\$1,200	\$0
I. Increased Access to Mental Health Services	\$740	\$740	\$0
J. Sherry S. Court Case	\$4	\$4	\$0
K. FY 2003-04 FFP Enhancement, Phase II	\$500	\$500	\$0
L. Housing Projects	\$135	\$181	\$46
M. Review of Senate Bill 1175 Housing Proposals	\$150	\$150	\$0
N. Total Projects	\$34,396	\$37,211	\$2,815
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,647	\$1,645	(\$2)
5. Total Operation Caseload Growth (Item 1 thru 4)	\$987,812	\$1,033,031	\$45,219

Comparison of Enacted Budget to Governor's Budget FY 2023-24 OPERATIONS

II. BUDGET ITEMS:

	Enacted Budget	FY 2023-24	Difference
Policy			
6. Early Start – Part C to B Transitions	\$13,167	\$3,167	(\$10,000)
7. Enhanced Service Coordination	\$14,172	\$14,172	\$0
8. Enrolling Vendors as Medicaid Providers	\$550	\$400	(\$150)
9. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
10. Performance Incentives	\$87,500	\$87,500	\$0
11. Promoting Workforce Stability	\$185,300	\$0	(\$185,300)
12. Reduced Caseload Ratio for Children through age Five	\$51,084	\$153,212	\$102,128
13. Service Access and Equity Grant Program	\$11,000	\$0	(\$11,000)
14. Trauma Informed Services for Foster Youth	\$1,600	\$3,200	\$1,600
15. Disparities Within the Developmental Services System	\$0	\$2,241	\$2,241
16. Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) Project Planning	\$0	\$9,830	\$9,830
17. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
18. Early Start Eligibility	\$2,530	\$8,432	\$5,902
19. Lanterman Act Provisional Eligibility Ages 3 and 4	\$8,145	\$15,699	\$7,554
20. Resources to Support Individual Who Are Deaf	\$4,505	\$4,505	\$0
21. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
22. Service Provider Rate Reform	\$21,147	\$21,147	\$0
23. Total Policy (Item 6 thru 22)	\$429,467	\$352,272	(\$77,195)
24. Total Operations (Item 5 and 23)	\$1,417,279	\$1,385,303	(\$31,976)

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$316,448	\$380,338	\$63,890
2. General Fund Other	\$702,662	\$588,561	(\$114,101)
3. General Fund Total (Item 1 and 2)	\$1,019,110	\$968,899	(\$50,211)

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$21,574	\$22,065	\$491
2. Medicaid Administration	\$19,048	\$20,618	\$1,570
3. Targeted Case Management	\$325,379	\$350,577	\$25,198
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$824	\$822	(\$2)
5. Reimbursements Total (Item 1 thru 4)	\$366,825	\$394,082	\$27,257

C. Developmental Disabilities Services Account

	\$150	\$150	\$0
--	-------	-------	-----

D. Mental Health Service Fund

	\$740	\$740	\$0
--	-------	-------	-----

E. HCBS ARPA Funding

1. HCBS ARPA	\$18,600	\$13,950	(\$4,650)
2. HCBS ARPA Reimbursements	\$10,714	\$6,339	(\$4,375)
3. HCBS ARPA Funding Total (Item 1 and 2)	\$29,314	\$20,289	(\$9,025)

F. Federal Fund: Foster Grandparent Program

	\$1,140	\$1,143	\$3
--	---------	---------	-----

G. GRAND TOTAL

	\$1,417,279	\$1,385,303	(\$31,976)
--	--------------------	--------------------	-------------------

Comparison of FY 2022-23 to FY 2023-24 OPERATIONS

	FY 2022-23	FY 2023-24	Difference
I. POPULATION:			
A. Active Status (Age 3 & Older)	342,570	359,280	16,710
B. Early Start (Birth through 35 Months)	57,915	61,647	3,732
C. Total Community Population	400,485	420,927	20,442
II. BUDGET ITEMS:			
Caseload Growth/Utilization			
1. Staffing Expenditures			
A. Core Staffing	\$789,896	\$831,712	\$41,816
1) <i>Personal Services and Operating Expenses</i>	\$701,870	\$736,951	\$35,081
2) <i>Regional Center Rent</i>	\$88,026	\$94,761	\$6,735
B. Enhanced Caseload Ratio 1:45 for Developmental Center Movers	\$72	\$85	\$13
C. Community Placement Plan	\$15,265	\$15,265	\$0
D. Staffing for Collection of Federal Finance Participation for Contracted Services	\$1,893	\$1,893	\$0
E. Ongoing Operation Items	\$137,250	\$137,560	\$310
F. Less: Reductions	(\$41,878)	(\$41,878)	\$0
G. Total Staffing Expenditures	\$902,498	\$944,637	\$42,139
2. Federal Compliance			
A. Home and Community-Based Services Waiver	\$21,135	\$21,135	\$0
B. Compliance with Home and Community-Based Services Waiver Requirements	\$8,700	\$8,700	\$0
C. Case Managers to Meet Home and Community-Based Services Waiver Requirements	\$13,850	\$14,117	\$267
D. Targeted Case Management	\$4,129	\$4,129	\$0
E. Nursing Home Reform/Pre-Admission Screening and Resident Review	\$473	\$473	\$0
F. Federal Medicaid Requirement for Regional Center Home and Community-Based Services	\$984	\$984	\$0
G. Total Federal Compliance	\$49,271	\$49,538	\$267
3. Projects			
A. Information Technology Costs	\$4,712	\$4,712	\$0
1) <i>Regional Center Application Support</i>	\$3,462	\$3,462	\$0
2) <i>Data Processing</i>	\$1,250	\$1,250	\$0
B. Clients' Rights Advocacy	\$8,760	\$9,207	\$447
C. Quality Assessment	\$5,014	\$5,161	\$147
D. Direct Support Professional Training	\$4,700	\$4,700	\$0
E. Office of Administrative Hearings	\$3,885	\$5,828	\$1,943
F. Wellness Projects	\$100	\$100	\$0
G. Foster Grandparent/Senior Companion	\$4,496	\$4,728	\$232
H. Special Incident Reporting/Risk Assessment	\$1,200	\$1,200	\$0
I. Increased Access to Mental Health Services	\$740	\$740	\$0
J. Sherry S. Court Case	\$4	\$4	\$0
K. FY 2003-04 FFP Enhancement, Phase II	\$500	\$500	\$0
L. Housing Projects	\$135	\$181	\$46
M. Review of Senate Bill 1175 Housing Proposals	\$150	\$150	\$0
N. Total Projects	\$34,396	\$37,211	\$2,815
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Operations	\$1,647	\$1,645	(\$2)
5. Total Operation Caseload Growth (Item 1 thru 4)	\$987,812	\$1,033,031	\$45,219

Comparison of FY 2022-23 to FY 2023-24 OPERATIONS

II. BUDGET ITEMS:

	FY 2022-23	FY 2023-24	Difference
Policy			
6. Early Start – Part C to B Transitions	\$3,167	\$3,167	\$0
7. Enhanced Service Coordination	\$14,172	\$14,172	\$0
8. Enrolling Vendors as Medicaid Providers	\$550	\$400	(\$150)
9. Language Access and Cultural Competency	\$16,667	\$16,667	\$0
10. Performance Incentives	\$87,500	\$87,500	\$0
11. Promoting Workforce Stability	\$185,300	\$0	(\$185,300)
12. Reduced Caseload Ratio for Children through age Five	\$51,084	\$153,212	\$102,128
13. Service Access and Equity Grant Program	\$11,000	\$0	(\$11,000)
14. Trauma Informed Services for Foster Youth	\$1,600	\$3,200	\$1,600
15. Disparities Within the Developmental Services System	\$0	\$2,241	\$2,241
16. Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) Project Planning	\$0	\$9,830	\$9,830
17. Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
18. Early Start Eligibility	\$2,530	\$8,432	\$5,902
19. Lanterman Act Provisional Eligibility Ages 3 and 4	\$9,325	\$15,699	\$6,374
20. Resources to Support Individual Who Are Deaf	\$4,505	\$4,505	\$0
21. Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
22. Service Provider Rate Reform	\$21,147	\$21,147	\$0
23. Total Policy (Item 6 thru 22)	\$420,647	\$352,272	(\$68,375)
24. Total Operations (Item 5 and 23)	\$1,408,459	\$1,385,303	(\$23,156)

III. FUND SOURCE:**A. General Fund**

1. General Fund Match	\$300,070	\$380,338	\$80,268
2. General Fund Other	\$688,362	\$588,561	(\$99,801)
3. General Fund Total (Item 1 and 2)	\$988,432	\$968,899	(\$19,533)

B. Reimbursements

1. Home and Community-Based Services Waiver Administration	\$21,470	\$22,065	\$595
2. Medicaid Administration	\$20,618	\$20,618	\$0
3. Targeted Case Management	\$337,268	\$350,577	\$13,309
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$824	\$822	(\$2)
5. Reimbursements Total (Item 1 thru 4)	\$380,180	\$394,082	\$13,902

C. Developmental Disabilities Services Account

	\$150	\$150	\$0
--	-------	-------	-----

D. Mental Health Service Fund

	\$740	\$740	\$0
--	-------	-------	-----

E. HCBS ARPA Funding

1. HCBS ARPA	\$22,714	\$13,950	(\$8,764)
2. HCBS ARPA Reimbursements	\$15,100	\$6,339	(\$8,761)
3. HCBS ARPA Funding Total (Item 1 and 2)	\$37,814	\$20,289	(\$17,525)

F. Federal Fund: Foster Grandparent Program

	\$1,143	\$1,143	\$0
--	---------	---------	-----

G. GRAND TOTAL

	\$1,408,459	\$1,385,303	(\$23,156)
--	--------------------	--------------------	-------------------

Staffing Expenditures

BACKGROUND:

Staffing includes personal services and operating expenses for Core Staffing, Rent, Community Placement Plan, and mover Continuation Cost.

To address increased needs created by the pandemic and to mitigate an anticipated backlog in new referrals, regional center operations were not adjusted for the lower caseload.

METHODOLOGY:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
• Population Projections: (See Section C, Population, for details)		
• Active Status (Age 3 and Older)	342,570	359,280
• Early Start (Birth through 35 Months)	57,915	61,647
Subtotal Community Population:	400,485	420,927
• State Operated Facilities Population:	<u>322</u>	<u>312</u>
Total Population:	400,807	421,239
• Informational:		
• Community Care Facility Consumers (including Placement Continuation)	25,243	25,243
• Home and Community-Based Services Waiver-Enrolled Consumers	148,258	152,540
• Early Start (with Assessment)	66,253	72,564
• Placement Continuation Consumers	55	65
• Intake cases per month	9,489	10,162
• Vendors	44,158	44,158
• Mediations per year	400	400

Staffing Expenditures

METHODOLOGY (CONTINUED):

FY 2022-23FY 2023-24

CORE STAFFING:

PERSONAL SERVICES:

- **Direct Services and Administrative Positions:**

\$541,498

\$568,983

FY 2022-23 14,662

FY 2023-24 15,368

*See Attachment A for Core Staffing Estimate.**See Attachment B for Core Staffing Formulas.*

- **Fringe Benefits:**

\$128,335

\$134,849

Calculated at 23.7 percent per position.

- **Salary Savings:**

(\$22,950)

(\$24,138)

Client Program Coordinators: 1.0 percent per position

(\$3,087)

(\$3,239)

All Other Staff: 5.5 percent per position

(\$19,863)

(\$20,899)

- **Early Start Administrative and Clinical Support:**

\$694

\$694

*Includes salaries, fringe benefits, and salary savings.***TOTAL PERSONAL SERVICES:****\$647,577****\$680,388****OPERATING EXPENSES:**

- **Operating Expenses:**

\$54,293

\$56,563

*Base amount plus the following adjustments:**Professional Positions: \$3,400**Clerical Positions: \$2,400*

- **Rent:**

\$88,026

\$94,761

TOTAL OPERATING EXPENSES:**\$142,319****\$151,324****TOTAL CORE STAFFING:****\$789,896****\$831,712****Enhanced Caseload Ratio 1:45 for DC Movers:**

\$72

\$85

Community Placement Plan:

\$15,265

\$15,265

*See Community Placement Plan for details, in Section F.***Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services:**

\$1,893

\$1,893

Funding provides one Community Program Specialist I and one Account Clerk II for each Regional Center.

Staffing Expenditures

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Ongoing Operation Items:		
Improve Service Coordinator Caseload Ratios	\$17,000	\$17,000
Compliance with HCBS Regulations	\$1,422	\$1,422
Assembly Bill (AB) X2 1 Regional Center Operations Increase	\$56,600	\$56,600
Resources to implement AB X2 1	\$4,164	\$4,164
Psychological Evaluations for BHT Fee-for-Services Consumers	\$1,611	\$1,611
Oversight and Accountability	\$4,450	\$4,450
Family Home Agency Oversight	\$1,619	\$1,619
Developmental Center Closure/Ongoing Workload	\$8,302	\$8,302
Self-Determination Program	\$4,073	\$4,073
Specialized Caseload Ratio	\$4,400	\$4,600
Specialized Home Monitoring	\$12,284	\$12,394
Regional Center Emergency Coordinators	\$2,500	\$2,500
Community Navigators	\$5,300	\$5,300
Tribal Engagement for Early Start Services	\$500	\$500
Implicit Bias Training	\$7,029	\$7,029
Emergency Preparedness	\$1,050	\$1,050
Health and Safety Waiver Assistance	\$4,412	\$4,412
Forensic Diversion	\$534	\$534
Less Reductions:	(\$41,878)	(\$41,878)
TOTAL EXPENDITURES:	\$902,498	\$944,637

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 is due to changes in community caseload and increases in facility rent.

Staffing Expenditures

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$902,498	\$902,498	\$0
GF	\$630,511	\$628,692	(\$1,819)
Reimbursements	\$271,987	\$273,806	\$1,819

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$902,498	\$944,637	\$42,139
GF	\$628,692	\$665,307	\$36,615
Reimbursements	\$273,806	\$279,330	\$5,524

Attachment A

CORE STAFFING ESTIMATE – FY 2022-23

A. PERSONAL SERVICES**1. DIRECT SERVICES****a. Clinical**

(1) Intake and Assessment

(a) Physician

(b) Psychologist

(c) Nurse

(d) Nutritionist

(2) Clinical Support Teams

(a) Physician/Psychiatrist

(b) Consulting Pharmacist

(c) Behavioral Psychologist

(d) Nurse

(3) SB 1038 Health Reviews

(a) Physician

(b) Nurse

b. Intake / Case Management

(1) Supervising Counselor (Intake) (1:10

Intake Workers in Item (2) below)

(2) Intake Worker

(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)

(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)

(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)

(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)

(7) CPC (Waiver, Early Start only), 1:62 Consumers

(8) CPC, Quality Assurance for ARM

(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)

(10) CPC, DSS Incidental Medical Care Regs

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor

(2) CPC

d. Early Intervention

(1) General

(a) Prevention Coordinator

(b) High-Risk Infant Case Manager

(c) Genetics Associate

(2) Early Start

(a) Supervising Counselor

(b) CPC

(c) Administrative and Clinical Support (see page after next)

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(a) Physician	\$15,885,908	200.40	\$79,271	\$15,885,908	\$0
(b) Psychologist	\$16,735,421	400.81	\$41,754	\$16,735,421	\$0
(c) Nurse	\$7,449,068	200.40	\$37,171	\$7,449,068	\$0
(d) Nutritionist	\$6,316,768	200.40	\$31,521	\$6,316,768	\$0
(a) Physician/Psychiatrist	\$9,019,332	98.00	\$92,034	\$9,019,332	\$0
(b) Consulting Pharmacist	\$5,924,100	98.00	\$60,450	\$5,924,100	\$0
(c) Behavioral Psychologist	\$5,387,256	98.00	\$54,972	\$5,387,256	\$0
(d) Nurse	\$4,946,844	98.00	\$50,478	\$4,946,844	\$0
(a) Physician	\$3,404,338	36.99	\$92,034	\$3,404,338	\$0
(b) Nurse	\$8,714,522	172.64	\$50,478	\$8,714,522	\$0
(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	\$5,160,724	135.68	\$38,036	\$5,160,724	\$0
(2) Intake Worker	\$42,782,933	1,356.81	\$31,532	\$42,782,933	\$0
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	\$33,098,122	631.74	\$52,392	\$33,098,122	\$0
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	\$242,592	3.61	\$67,200	\$242,592	\$0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)	\$1,698,326	36.12	\$47,019	\$1,698,326	\$0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	\$100,177,956	2,943.64	\$34,032	\$100,177,956	\$0
(7) CPC (Waiver, Early Start only), 1:62 Consumers	\$113,168,992	3,325.37	\$34,032	\$113,168,992	\$0
(8) CPC, Quality Assurance for ARM	\$1,646,128	48.37	\$34,032	\$1,646,128	\$0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	\$79,636	1.52	\$52,392	\$79,636	\$0
(10) CPC, DSS Incidental Medical Care Regs	\$573,034	15.15	\$37,824	\$573,034	\$0
(1) Supervising Counselor	\$2,321,490	44.31	\$52,392	\$2,321,490	\$0
(2) CPC	\$15,080,260	443.12	\$34,032	\$15,080,260	\$0
(a) Prevention Coordinator	\$876,792	21.00	\$41,752	\$876,792	\$0
(b) High-Risk Infant Case Manager	\$856,905	21.00	\$40,805	\$856,905	\$0
(c) Genetics Associate	\$798,714	21.00	\$38,034	\$798,714	\$0
(a) Supervising Counselor	\$15,885,908	200.40	\$79,271	\$15,885,908	\$0
(b) CPC	\$16,735,421	400.81	\$41,754	\$16,735,421	\$0
(c) Administrative and Clinical Support (see page after next)	\$7,449,068	200.40	\$37,171	\$7,449,068	\$0

Attachment A

CORE STAFFING ESTIMATE – FY 2022-23

A. PERSONAL SERVICES**1. DIRECT SERVICES****e. Community Services**

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(1) Special Incident Coordinator	\$1,100,232	21.00	\$52,392	\$1,100,232	\$0
(2) Vendor Fiscal Monitor	\$1,248,729	24.56	\$50,844	\$1,248,729	\$0
(3) Program Evaluator	\$898,653	21.00	\$42,793	\$898,653	\$0
(4) Resource Developer	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Transportation Coordinator	\$898,653	21.00	\$42,793	\$898,653	\$0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	\$449,327	10.50	\$42,793	\$449,327	\$0
(7) Developmental Center Liaison	\$107,262	2.82	\$38,036	\$107,262	\$0
(8) Diversion	\$126,584	4.00	\$31,646	\$126,584	\$0
(9) Placement Continuation:					
(a) Supervising Counselor	\$1,572	0.03	\$52,392	\$1,572	\$0
(b) CPC (Supplement at 1:45 Consumers)	\$11,231	0.33	\$34,032	\$11,231	\$0

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	\$529,683	10.11	\$52,392	\$529,683	\$0
(2) QA/CPC	\$3,440,635	101.10	\$34,032	\$3,440,635	\$0
(3) Nurse	\$2,551,663	50.55	\$50,478	\$2,551,663	\$0

g. Mediation

(1) Clinical Staff	\$7,093	0.11	\$64,484	\$7,093	\$0
(2) Supervising Counselor	\$52,916	1.01	\$52,392	\$52,916	\$0
(3) CPC	\$17,356	0.51	\$34,032	\$17,356	\$0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	\$1,371,888	21.00	\$65,328	\$1,371,888	\$0
(2) ASD Program Coordinator	\$1,318,464	21.00	\$62,784	\$1,318,464	\$0

i. SUBTOTAL DIRECT SERVICES

\$433,230,538	11,427.78		\$433,230,538	\$0
----------------------	------------------	--	----------------------	------------

A. PERSONAL SERVICES**2. ADMINISTRATION****a. Executive Staff**

(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	\$1,009,449	21.00	\$48,069	\$1,009,449	\$0
(3) Chief Counselor	\$986,643	21.00	\$46,983	\$986,643	\$0

b. Fiscal

(1) Federal Program Coordinator (Enh. FFP, Phase I)	\$1,206,177	21.00	\$57,437	\$1,206,177	\$0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	\$5,913,647	148.26	\$39,887	\$5,913,647	\$0
(3) Fiscal Manager	\$963,480	21.00	\$45,880	\$963,480	\$0
(4) Program Tech II (FCPP)	\$883,255	24.22	\$36,468	\$883,255	\$0
(5) Revenue Clerk	\$1,779,143	56.72	\$31,367	\$1,779,143	\$0
(6) Account Clerk (Enh. FFP, Phase II)	\$661,766	21.00	\$31,513	\$661,766	\$0
(7) Account Clerk	\$15,722,524	501.01	\$31,382	\$15,722,524	\$0

c. Information Systems and Human Resources

(1) Information Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(2) Information Systems Assistant	\$1,000,692	21.00	\$47,652	\$1,000,692	\$0
(3) Information Systems Assistant (SIR)	\$500,346	10.50	\$47,652	\$500,346	\$0
(4) Privacy Officer (HIPAA)	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Personal Computer Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(6) Training Officer	\$1,099,728	21.00	\$52,368	\$1,099,728	\$0
(7) Training Officer (SIR)	\$549,864	10.50	\$52,368	\$549,864	\$0
(8) Human Resources Manager	\$1,067,724	21.00	\$50,844	\$1,067,724	\$0

Attachment A

CORE STAFFING ESTIMATE – FY 2022-23

A. PERSONAL SERVICES	Enacted		Budgeted		
2. ADMINISTRATION	Budget	Positions	Salary	Cost	Difference
d. Clerical Support					
(1) Office Supervisor	\$659,375	21.00	\$31,399	\$659,375	\$0
(2) PBX/Mail/File Clerk	\$1,977,055	63.00	\$31,382	\$1,977,055	\$0
(3) Executive Secretary	\$1,647,546	52.50	\$31,382	\$1,647,546	\$0
(4) MD/Psychologist Secretary II	\$580,891	18.50	\$31,400	\$580,891	\$0
(5) MD/Psychologist Secretary I	\$9,433,692	300.61	\$31,382	\$9,433,692	\$0
(6) Secretary II	\$6,289,950	200.32	\$31,400	\$6,289,950	\$0
(7) Secretary I	\$49,149,223	1,567.99	\$31,345	\$49,149,223	\$0
(8) Secretary I (DC Case Management-Capitol People First)	\$210,834	6.62	\$31,848	\$210,834	\$0
e. SUBTOTAL ADMINISTRATION	<u>\$108,267,043</u>	<u>3,233.75</u>		<u>\$108,267,043</u>	<u>\$0</u>
3. TOTAL POSITIONS AND SALARIES (Item A.1.i. + Item A.2.e.)	<u>\$541,497,581</u>	<u>14,661.53</u>		<u>\$541,497,581</u>	<u>\$0</u>
a. CPCs	\$249,552,636			\$249,552,636	\$0
b. All Other Staff	\$291,944,945			\$291,944,945	\$0
4. Fringe Benefits					
a. CPCs 23.7%	\$59,143,975			\$59,143,975	\$0
b. All Other Staff 23.7%	\$69,190,952			\$69,190,952	\$0
c. Total Fringe Benefits	<u>\$128,334,927</u>			<u>\$128,334,927</u>	<u>\$0</u>
5. Salary Savings					
a. CPCs 1.0%	(\$3,086,966)			(\$3,086,966)	\$0
b. All Other Staff 5.5%	(\$19,862,474)			(\$19,862,474)	\$0
c. Total Salary Savings	<u>(\$22,949,440)</u>			<u>(\$22,949,440)</u>	<u>\$0</u>
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	<u>\$694,000</u>			<u>\$694,000</u>	<u>\$0</u>
7. TOTAL PERSONAL SERVICES (Items A.3. + A.4. + A.5. + A.6.) ROUNDED	<u>\$647,577,068</u>			<u>\$647,577,068</u>	<u>\$0</u>
	<u>\$647,577,000</u>	<u>14,662.00</u>		<u>\$647,577,000</u>	<u>\$0</u>
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	\$54,293,000			\$54,293,000	\$0
2. Rent	\$88,026,000			\$88,026,000	\$0
3. Subtotal Operating Expenses and Rent	<u>\$142,319,000</u>			<u>\$142,319,000</u>	<u>\$0</u>
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	<u>\$789,896,000</u>			<u>\$789,896,000</u>	<u>\$0</u>

Attachment A

CORE STAFFING ESTIMATE – FY 2023-24

A. PERSONAL SERVICES**1. DIRECT SERVICES****a. Clinical**

(1) Intake and Assessment

(a) Physician

(b) Psychologist

(c) Nurse

(d) Nutritionist

(2) Clinical Support Teams

(a) Physician/Psychiatrist

(b) Consulting Pharmacist

(c) Behavioral Psychologist

(d) Nurse

(3) SB 1038 Health Reviews

(a) Physician

(b) Nurse

b. Intake / Case Management(1) Supervising Counselor (Intake) (1:10
Intake Workers in Item (2) below)

(2) Intake Worker

(3) Supervising Counselor (Case
Management) (1:10 CPCs in Items (6), (7)
and (8) below)(4) Supervising Counselor (Capitol People
First) (DC Case Management 1:10 CPCs)(5) Client Program Coordinator (CPC), 1:66
DC Consumers (Capitol People First)(6) CPC, 1:66 Consumers (Total Pop w/o
DCs, CPP, ES)(7) CPC (Waiver, Early Start only), 1:62
Consumers

(8) CPC, Quality Assurance for ARM

(9) Supervising Counselor, DSS Incidental
Medical Care Regulations (1:10 CPCs)

(10) CPC, DSS Incidental Medical Care Regs

c. Quality Assurance / Quarterly Monitoring

(1) Supervising Counselor

(2) CPC

d. Early Intervention(1) **General**

(a) Prevention Coordinator

(b) High-Risk Infant Case Manager

(c) Genetics Associate

(2) **Early Start**

(a) Supervising Counselor

(b) CPC

(c) Administrative and Clinical Support (see
page after next)

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(a) Physician	\$15,885,908	210.62	\$79,271	\$16,696,058	\$810,150
(b) Psychologist	\$16,735,421	421.25	\$41,754	\$17,588,873	\$853,452
(c) Nurse	\$7,449,068	210.62	\$37,171	\$7,828,956	\$379,888
(d) Nutritionist	\$6,316,768	210.62	\$31,886	\$6,715,798	\$399,030
(a) Physician/Psychiatrist	\$9,019,332	98.00	\$92,034	\$9,019,332	\$0
(b) Consulting Pharmacist	\$5,924,100	98.00	\$60,450	\$5,924,100	\$0
(c) Behavioral Psychologist	\$5,387,256	98.00	\$54,972	\$5,387,256	\$0
(d) Nurse	\$4,946,844	98.00	\$50,478	\$4,946,844	\$0
(a) Physician	\$3,404,338	39.86	\$92,034	\$3,668,475	\$264,137
(b) Nurse	\$8,714,522	186.03	\$50,478	\$9,390,422	\$675,900
(1) Supervising Counselor (Intake) (1:10 Intake Workers in Item (2) below)	\$5,160,724	145.17	\$38,036	\$5,521,686	\$360,962
(2) Intake Worker	\$42,782,933	1,451.71	\$31,945	\$46,375,356	\$3,592,423
(3) Supervising Counselor (Case Management) (1:10 CPCs in Items (6), (7) and (8) below)	\$33,098,122	663.49	\$52,392	\$34,761,568	\$1,663,446
(4) Supervising Counselor (Capitol People First) (DC Case Management 1:10 CPCs)	\$242,592	3.61	\$67,200	\$242,592	\$0
(5) Client Program Coordinator (CPC), 1:66 DC Consumers (Capitol People First)	\$1,698,326	36.12	\$47,019	\$1,698,326	\$0
(6) CPC, 1:66 Consumers (Total Pop w/o DCs, CPP, ES)	\$100,177,956	3,131.85	\$34,032	\$106,583,119	\$6,405,163
(7) CPC (Waiver, Early Start only), 1:62 Consumers	\$113,168,992	3,454.64	\$34,032	\$117,568,308	\$4,399,316
(8) CPC, Quality Assurance for ARM	\$1,646,128	48.37	\$34,032	\$1,646,128	\$0
(9) Supervising Counselor, DSS Incidental Medical Care Regulations (1:10 CPCs)	\$79,636	1.52	\$52,392	\$79,636	\$0
(10) CPC, DSS Incidental Medical Care Regs	\$573,034	15.15	\$37,824	\$573,034	\$0
(1) Supervising Counselor	\$2,321,490	44.31	\$52,392	\$2,321,490	\$0
(2) CPC	\$15,080,260	443.12	\$34,032	\$15,080,260	\$0
(a) Prevention Coordinator	\$876,792	21.00	\$41,752	\$876,792	\$0
(b) High-Risk Infant Case Manager	\$856,905	21.00	\$40,805	\$856,905	\$0
(c) Genetics Associate	\$798,714	21.00	\$38,034	\$798,714	\$0
(a) Supervising Counselor	\$2,115,065	44.22	\$52,392	\$2,316,774	\$201,709
(b) CPC	\$13,738,718	442.15	\$34,032	\$15,047,249	\$1,308,531

Attachment A

CORE STAFFING ESTIMATE – FY 2023-24

A. PERSONAL SERVICES**1. DIRECT SERVICES****e. Community Services**

	Enacted Budget	Positions	Budgeted Salary	Cost	Difference
(1) Special Incident Coordinator	\$1,100,232	21.00	\$52,392	\$1,100,232	\$0
(2) Vendor Fiscal Monitor	\$1,248,729	24.56	\$50,844	\$1,248,729	\$0
(3) Program Evaluator	\$898,653	21.00	\$42,793	\$898,653	\$0
(4) Resource Developer	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Transportation Coordinator	\$898,653	21.00	\$42,793	\$898,653	\$0
(6) Administrative Services Analyst (SB 1039 Consumer Complaints)	\$449,327	10.50	\$42,793	\$449,327	\$0
(7) Developmental Center Liaison	\$107,262	2.82	\$38,036	\$107,262	\$0
(8) Diversion	\$126,584	4.00	\$31,993	\$127,971	\$1,387
(9) Placement Continuation:					
(a) Supervising Counselor	\$1,572	0.04	\$52,392	\$2,096	\$524
(b) CPC (Supplement at 1:45 Consumers)	\$11,231	0.39	\$34,032	\$13,272	\$2,041

f. Special Incident Reporting (SIR)

(1) Supervising Counselor	\$529,683	10.52	\$52,392	\$551,164	\$21,481
(2) QA/CPC	\$3,440,635	105.19	\$34,032	\$3,579,826	\$139,191
(3) Nurses	\$2,551,663	52.59	\$50,478	\$2,654,638	\$102,975

g. Mediation

(1) Clinical Staff	\$7,093	0.11	\$64,484	\$7,093	\$0
(2) Supervising Counselor	\$52,916	1.01	\$52,392	\$52,916	\$0
(3) CPC	\$17,356	0.51	\$34,032	\$17,356	\$0

h. Expansion of Autism Spectrum Disorders (ASD) Initiative

(1) ASD Clinical Specialist	\$1,371,888	21.00	\$65,328	\$1,371,888	\$0
(2) ASD Program Coordinator	\$1,318,464	21.00	\$62,784	\$1,318,464	\$0

i. SUBTOTAL DIRECT SERVICES

\$433,230,538	11,997.67		\$454,812,244	\$21,581,706
----------------------	------------------	--	----------------------	---------------------

A. PERSONAL SERVICES**2. ADMINISTRATION****a. Executive Staff**

(1) Director	\$1,279,698	21.00	\$60,938	\$1,279,698	\$0
(2) Administrator	\$1,009,449	21.00	\$48,069	\$1,009,449	\$0
(3) Chief Counselor	\$986,643	21.00	\$46,983	\$986,643	\$0

b. Fiscal

(1) Federal Program Coordinator (Enh. FFP, Phase I)	\$1,206,177	21.00	\$57,437	\$1,206,177	\$0
(2) Federal Compliance Specialist (Enh. FFP, Phase II)	\$5,913,647	152.54	\$39,887	\$6,084,363	\$170,716
(3) Fiscal Manager	\$963,480	21.00	\$45,880	\$963,480	\$0
(4) Program Tech II (FCPP)	\$883,255	24.22	\$36,468	\$883,255	\$0
(5) Revenue Clerk	\$1,779,143	56.72	\$31,901	\$1,809,401	\$30,258
(6) Account Clerk (Enh. FFP, Phase II)	\$661,766	21.00	\$31,886	\$669,615	\$7,849
(7) Account Clerk	\$15,722,524	526.56	\$31,898	\$16,796,283	\$1,073,759

c. Information Systems and Human Resources

(1) Information Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(2) Information Systems Assistant	\$1,000,692	21.00	\$47,652	\$1,000,692	\$0
(3) Information Systems Assistant (SIR)	\$500,346	10.50	\$47,652	\$500,346	\$0
(4) Privacy Officer (HIPAA)	\$898,653	21.00	\$42,793	\$898,653	\$0
(5) Personal Computer Systems Manager	\$1,397,844	21.00	\$66,564	\$1,397,844	\$0
(6) Training Officer	\$1,099,728	21.00	\$52,368	\$1,099,728	\$0
(7) Training Officer (SIR)	\$549,864	10.50	\$52,368	\$549,864	\$0
(8) Human Resources Manager	\$1,067,724	21.00	\$50,844	\$1,067,724	\$0

Attachment A

CORE STAFFING ESTIMATE – FY 2023-24

A. PERSONAL SERVICES	Enacted		Budgeted		
2. ADMINISTRATION	Budget	Positions	Salary	Cost	Difference
d. Clerical Support					
(1) Office Supervisor	\$659,375	21.00	\$31,895	\$669,801	\$10,426
(2) PBX/Mail/File Clerk	\$1,977,055	63.00	\$31,898	\$2,009,581	\$32,526
(3) Executive Secretary	\$1,647,546	52.50	\$31,898	\$1,674,651	\$27,105
(4) MD/Psychologist Secretary II	\$580,891	19.93	\$31,895	\$635,670	\$54,779
(5) MD/Psychologist Secretary I	\$9,433,692	315.94	\$31,898	\$10,077,888	\$644,196
(6) Secretary II	\$6,289,950	204.40	\$31,895	\$6,519,368	\$229,418
(7) Secretary I	\$49,149,223	1,654.02	\$31,904	\$52,770,216	\$3,620,993
(8) Secretary I (DC Case Management-Capitol People First)	\$210,834	6.62	\$32,077	\$212,349	\$1,515
e. SUBTOTAL ADMINISTRATION	<u>\$108,267,043</u>	<u>3,370.45</u>		<u>\$114,170,583</u>	<u>\$5,903,540</u>
3. TOTAL POSITIONS AND SALARIES (Item A.1.i. + Item A.2.e.)	<u>\$541,497,581</u>	<u>15,368.12</u>		<u>\$568,982,827</u>	<u>\$27,485,246</u>
a. CPCs	\$249,552,636			\$261,806,878	\$12,254,242
b. All Other Staff	\$291,944,945			\$307,175,949	\$15,231,004
4. Fringe Benefits					
a. CPCs 23.7%	\$59,143,975			\$62,048,230	\$2,904,255
b. All Other Staff 23.7%	\$69,190,952			\$72,800,700	\$3,609,748
c. Total Fringe Benefits	<u>\$128,334,927</u>			<u>\$134,848,930</u>	<u>\$6,514,003</u>
5. Salary Savings					
a. CPCs 1.0%	(\$3,086,966)			(\$3,238,551)	(\$151,585)
b. All Other Staff 5.5%	(\$19,862,474)			(\$20,898,716)	(\$1,036,242)
c. Total Salary Savings	<u>(\$22,949,440)</u>			<u>(\$24,137,267)</u>	<u>(\$1,187,827)</u>
6. Early Start Administrative and Clinical Support (salaries, fringe benefits and salary savings)	<u>\$694,000</u>			<u>\$694,000</u>	<u>\$0</u>
7. TOTAL PERSONAL SERVICES (Items A.3. + A.4. + A.5. + A.6.) ROUNDED	<u>\$647,577,068</u>			<u>\$680,388,490</u>	<u>\$32,811,422</u>
	<u>\$647,577,000</u>	<u>15,368.00</u>		<u>\$680,388,000</u>	<u>\$32,811,000</u>
B. OPERATING EXPENSES AND RENT					
1. Operating Expenses	\$54,293,000			\$56,563,000	\$2,270,000
2. Rent	\$88,026,000			\$94,761,000	\$6,735,000
3. Subtotal Operating Expenses and Rent	<u>\$142,319,000</u>			<u>\$151,324,000</u>	<u>\$9,005,000</u>
C. TOTAL CORE STAFFING (Items A.7. + B.3.)	<u>\$789,896,000</u>			<u>\$831,712,000</u>	<u>\$41,816,000</u>

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES		
1. DIRECT SERVICES		
a. Clinical		
(1) Intake and Assessment		
(a) Physician	1.0 Position :	2,000 total consumers
(b) Psychologist	1.0 Position :	1,000 total consumers
(c) Nurse	1.0 Position :	2,000 total consumers
(d) Nutritionist	1.0 Position :	2,000 total consumers
(2) Clinical Support Teams		
(a) Physician/Psychiatrist	1.0 Position :	1,700 consumers in community care facilities (CCF) and supported living and those with severe behavior and/or medical problems
(b) Consulting Pharmacist	1.0 Position :	1,700 " "
(c) Behavioral Psychologist	1.0 Position :	1,700 " "
(d) Nurse	1.0 Position :	1,700 " "
(3) SB 1038 Health Reviews		
(a) Physician	1.5 hours :	Referral/1,778 hrs./ full-time equivalent (FTE) position
(b) Nurse	1.75 hours :	Individual program plan (IPP) review/1,778 hrs./FTE position
b. Intake / Case Management		
(1) Supervising Counselor (Intake)	1.0 Position :	10 Intake Workers
(2) Intake Worker	1.0 Position :	14 monthly intake cases (assume average intake case lasts 2 mos.)
(3) Supervising Counselor (Case Management)	1.0 Position :	10 CPCs in Items b. (6, 7 and 8) below
(4) Supervising Counselor (Capitol People First)	1.0 Position :	10 CPCs in Items b. (5) below
(5) Client Program Coordinator (CPC) (Capitol People First)	1.0 Position :	66 consumers (Developmental Center residents)
(6) CPC	1.0 Position :	66 consumers (all other consumers, excluding Waiver, Early Start, and CPP placements)
(7) CPC	1.0 Position :	62 Waiver and Early Start consumers (excluding CPP placements)
(8) CPC, Quality Assurance for ARM	1.0 Position :	527 CCF consumers
(9) Supervising Counselor, DSS Incidental Medical Care Regulations	1.0 Position :	10 CPCs in item b. (10) below
(10) CPC, DSS Incidental Medical Care Regs	1.0 Position :	2.5 hrs x 8 visits per year to CCF consumers who rely on others to perform activities of daily living
c. Quality Assurance / Quarterly Monitoring		
(1) Supervising Counselor	1.0 Position :	10 CPCs in Item c. (2) below
(2) CPC	10 hrs/yr. :	CCF consumer/1,778 hrs./FTE
	14 hrs/yr. :	Supported/Independent Living consumer/1,778 hrs./FTE
	10 hrs/yr. :	Skilled Nursing Facility and Intermediate Care Facility consumer/1,778 hrs./FTE
	10 hrs/yr. :	Family Home Agency consumer/1,778 hrs./FTE

Attachment B CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
1. DIRECT SERVICES (continued)		
d. Early Intervention		
(1) General		
(a) Prevention Coordinator	1.0 Position : RC	
(b) High-Risk Infant Case Manager	1.0 Position : RC	
(c) Genetics Associate	1.0 Position : RC	
(2) Early Start		
(a) Supervising Counselor	1.0 Position : 10 CPCs in Item d.(2)(b) below	
(b) CPC (Supplement at 1:45 Consumers)		
	Marginal positions from:	1.0 Position : 62 children < age 3 yrs
	to:	1.0 Position : 45 children < age 3 yrs ¹
e. Community Services		
(1) Special Incident Coordinator	1.0 Position : RC	
(2) Vendor Fiscal Monitor	0.5 Position : RC plus 1 : every 3,140 vendors	
(3) Program Evaluator	1.0 Position : RC	
(4) Resource Developer	1.0 Position : RC	
(5) Transportation Coordinator	1.0 Position : RC	
(6) Administrative Services Analyst (SB 1039, Chapter 414, Statutes of 1997, Consumer Complaints)	0.5 Position : RC	
(7) Developmental Center Liaison	1.0 Position : 400 DC consumers	
(8) Diversion	4.0 Positions : 21 RCs	
(9) Placement Continuation:		
(a) Supervising Counselor	1.0 Position : 10 CPCs in Item e.(9)(b) below	
(b) CPC (Supplement at 1:45 Consumers)		
	Marginal positions from:	1.0 Position : 62 CPP Placements
	to:	1.0 Position : 45 CPP Placements
f. Special Incident Reporting (SIR)		
(1) Supervising Counselor	1.0 Position : 10 CPCs in Item f. (2) below	
(2) QA/CPC	1.0 Position : RC plus 1 : every 5,000 consumers	
(3) Nurse	0.5 Position : RC plus 0.5 : every 5,000 consumers	
g. Mediation		
(1) Clinical Staff	2.0 hours : 25% of annual mediations/ 1,778 hrs /FTE position	
(2) Supervising Counselor	4.5 hours : Mediation/1,778 hrs/FTE position	
(3) CPC	4.5 hours : 50% of annual mediations/ 1,778 hrs./FTE position	
h. Expansion of Autism Spectrum Disorders (ASD) Initiative		
(1) ASD Clinical Specialist	1.0 Position : RC	
(2) ASD Program Coordinator	1.0 Position : RC	

¹ This 1:45 staffing ratio is a funding methodology, not a required caseload ratio.

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
2. ADMINISTRATION		
a. Executive Staff		
(1) Director	1.0 Position : RC	
(2) Administrator	1.0 Position : RC	
(3) Chief Counselor	1.0 Position : RC	
b. Fiscal		
(1) Federal Program Coordinator (Enhancing FFP, Phase I)	1.0 Position : RC	
(2) Federal Compliance Specialist (Enhancing FFP, Phase II)	1.0 Position : 1,000 HCBS Waiver consumers	
(3) Fiscal Manager	1.0 Position : RC	
(4) Program Technician II (FCPP)	0.5 Position : RC	
	1.0 Position : 1,778 hours of FCPP determinations	
(5) Revenue Clerk	1.0 Position : 400 consumers for whom RCs are representative payee	
(6) Account Clerk (Enhancing FFP, Phase II)	1.0 Position : RC	
(7) Account Clerk	1.0 Position : 800 total consumers	
c. Information Systems and Human Resources		
(1) Information Systems Manager	1.0 Position : RC	
(2) Information Systems Assistant	1.0 Position : RC	
(3) Information Systems Assistant (SIR)	0.5 Position : RC	
(4) Privacy Officer (HIPAA)	1.0 Position : RC	
(5) Personal Computer Systems Manager	1.0 Position : RC	
(6) Training Officer	1.0 Position : RC	
(7) Training Officer (SIR)	0.5 Position : RC	
(8) Human Resources Manager	1.0 Position : RC	

Attachment B

CORE STAFFING FORMULAS

CORE STAFFING CLASSIFICATION	POSITIONS	STAFFING FORMULA
A. PERSONAL SERVICES (continued)		
2. ADMINISTRATION (continued)		
d. Clerical Support		
(1) Office Supervisor	1.0 Position : RC	
(2) PBX/Mail/File Clerk	3.0 Positions : RC	
(3) Executive Secretary	2.5 Positions : RC	
(4) MD/Psychologist Secretary II	1.0 Position : 2 Physicians in Item 1.a.(3)(a), SB 1038 Health Reviews	
(5) MD/Psychologist Secretary I	1.0 Position : 2 Physicians/Psychologists in Items 1.a.(1)(a) and (b), Clinical Intake and Assessment	
(6) Secretary II	1.0 Position : 6 professionals in Items: 1.a.(3)(b), SB 1038 Health Reviews 1.b.(9) and (10), the Department's Incidental Medical Care Regulations 1.c., Quality Assurance/Quarterly Monitoring 1.e.(1), (2) and (9)(a) and (b) Community Services 1.e.(9) b 2., Community Services (see Secretary I, line 1.e.(9) b 2.) 1.f.(1) thru (3), Special Incident Reporting 2.b.(1), Federal Program Coordinators (FFP Phase I) 2.b.(2), Federal Compliance Coordinators (FFP Phase II) 2.c., Information Systems and Human Resources	
(7) Secretary I	1.0 Position : 6 professionals in Items: 1.a.(1)(c) and (d), Clinical Intake and Assessment 1.b.(1) to (3) and (6) to (8), Intake/Case Mgt. 1.b.(5) and (6) Capitol People First 1.d., Early Intervention 1.e.(3), (4), (6) to (8), Community Services 1.e.(9) b 1., Community Services (see Secretary II, line 1.e.(9) b 1.)	
(8) Secretary I (DC Case Management-Capitol People First)	1.0 Position : 6 CPCs and Supervisors	

Federal Compliance

BACKGROUND:

There are both fiscal and program requirements placed on the regional centers that enable the State to receive federal funding. This includes ongoing tasks such as reviewing choice statements, handling complex notice of action issues related to the Home and Community-Based Services (HCBS) Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, maintaining records in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform (NHR) programs such as complying with Medicaid State Plan requirements for case management activities and completing appropriate screenings for those admitted to the nursing facilities.

METHODOLOGY:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> HCBS Waiver: Operations costs for HCBS Waiver activities are based upon 6.5 percent of FY 1995-96 HCBS Waiver reimbursements of \$325,148,000. This amount is fixed and is not adjusted for growth. 	\$21,135	\$21,135
<ul style="list-style-type: none"> Compliance with HCBS Waiver Requirements: Provides funding for regional center compliance with the HCBS Waiver. Functions include maintaining average service coordinator-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; providing clinical consultations, monitoring and reviewing consumers' health status; and developing and annually reviewing Waiver consumers' individual program plans and Client Developmental Evaluation Reports. 	\$8,700	\$8,700
<ul style="list-style-type: none"> Case Managers to Meet HCBS Waiver Requirements: In a letter dated April 21, 2006, the Centers for Medicare & Medicaid Services (CMS) indicated that the State must "review and revise, as needed, its policies to assure that the case manager to waiver participant ratio of 1:62 is consistently met." This augmentation by the California Legislature is intended to assist in this requirement. 	\$13,850	\$14,117

Federal Compliance

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> • TCM: Operations costs for TCM activities are based upon 5.8 percent of FY 1995-96 TCM reimbursements of \$71,181,000. This amount is fixed and is not adjusted for growth. 	\$4,129	\$4,129
<ul style="list-style-type: none"> • NHR/Pre-Admission Screening and Resident Review (PASRR): Operations costs for regional centers to perform activities associated with NHR and to handle the increased workload of processing PASRR Levels I and II screening and evaluation activities. Persons determined to be eligible for services under the Lanterman Act will result in an increase in the number of consumers who require regional center case management and other specialized services. Regional centers, through clinical assessments, will identify individuals who meet the expanded federal definition of developmental disability. 	\$473	\$473
<ul style="list-style-type: none"> • Federal Medicaid Requirement for Regional Center HCBS: Pursuant to federal law and mandated by CMS, regional centers are required to gather and review business ownership, control, and relationship information from current and prospective vendors. Additionally, regional centers are required to determine that all vendors are eligible to participate as Medicaid service providers. Furthermore, on a periodic basis, regional centers are required to verify that vendors continue to meet all applicable vendorization requirements (e.g. professional licensure), in order for the State to comply with federal law and meet the CMS mandated HCBS Waiver assurance that only qualified providers deliver Medicaid funded services. 	\$984	\$984
TOTAL EXPENDITURES:	\$49,271	\$49,538

Federal Compliance

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 is due to continued growth.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$49,271	\$49,271	\$0
GF	\$39,904	\$39,904	\$0
Reimbursements	\$9,367	\$9,367	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$49,271	\$49,538	\$267
GF	\$39,904	\$40,038	\$134
Reimbursements	\$9,367	\$9,500	\$133

Projects

BACKGROUND:

This category of regional center operating expenses includes various contracts, programs, and projects as described below:

METHODOLOGY:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> • Information Technology Costs: <ul style="list-style-type: none"> • <i>Regional Center Application Support</i> <i>Includes \$550,000 to support SANDIS case management system.</i> • <i>Data Processing</i> • Clients' Rights Advocacy: The Department contracts for clients' rights advocacy services for regional center consumers. Contract amount shown does not reflect administrative costs per Assembly Bill (AB) X2 1. • Quality Assessment: The Quality Assessment Project, as required by the Welfare and Institutions Code, §4571, implements the National Core Indicators (NCI) Survey of individuals, families, and guardians to assess performance in services and supports provided to people with intellectual/developmental disabilities. The project also implements surveys of provider agencies to collect data on the direct support professional workforce. The Quality Assessment Project data is used to monitor and improve services and supports provided, and to identify and remediate gaps in the community services system. • Direct Support Professional Training: Welfare and Institutions Code §4695.2 mandates all direct support professionals working in licensed community care facilities complete two 35-hour competency-based training courses or pass challenge tests within the first two years of employment. The Department contracts with the Department of Education, which in turn administers the training through the Regional Occupational Centers and Programs. 	\$4,712 \$3,462 \$1,250 \$8,760 \$5,014 \$4,700	\$4,712 \$3,462 \$1,250 \$9,207 \$5,161 \$4,700

Projects

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> Office of Administrative Hearings: Federal law requires the Department to have an adjudication process for disputes involving Medicaid beneficiaries. The appeals process satisfies this requirement. The Department contracts with the Office of Administrative Hearings to: (1) conduct hearings to resolve conflicts between regional centers and consumers, and (2) provide mediation services. Both are potential options for consumers whose services are proposed to be terminated, reduced, or suspended. Changes to the appeals process implementing in March 2023 are expected to increase utilization of the process. 	\$3,885	\$5,828
<ul style="list-style-type: none"> Wellness Projects: Projects may include those that focus on health professional training programs, developmental, health, and trauma assessments, resource development for persons with a dual diagnosis, and training programs for parents and consumers. 	\$100	\$100
<ul style="list-style-type: none"> Foster Grandparent/Senior Companion (FG/SC): Through FG/SC programs, men and women aged 55 years and older volunteer up to 30 hours a week to help people with developmental disabilities lead more independent and productive lives. Costs reflect the federally mandated \$0.15 increase to the volunteer stipend effective July 2022 and anticipated federally mandated \$0.85 increase to the volunteer stipend sometime after October 2022. 	\$4,496	\$4,728
<ul style="list-style-type: none"> Special Incident Reporting/Risk Assessment: The risk management contractor is responsible for providing training and technical support to the Department, regional centers, and vendors; analysis and reporting of mortality and other special incident reports; analysis and reporting on developmental center transitions to the Center for Medicare & Medicaid Services; development of risk mitigation outreach and education to the regional center providers and self-advocates; and facilitation of risk management committees. Through these activities and evaluation, system improvements are made to prevent risk. 	\$1,200	\$1,200

Projects

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> Increased Access to Mental Health Services: Consistent with the requirements of the Mental Health Services Act, the Department, in consultation with the Department of Health Care Services, identifies best-practice models and provides training to enhance the effectiveness of the regional center and county mental health service systems to better identify and provide a competent response for those consumers who are dually diagnosed (i.e. have a developmental disability and a mental illness). 	\$740	\$740
<ul style="list-style-type: none"> Sherry S. Court Case: In 1981, the Supreme Court ruled in the <i>In re Hop</i> legal case that before an adult is admitted to a developmental center, he/she must be afforded due process through a court hearing to determine if such a placement is warranted. Subsequently, in the <i>Sherry S.</i> case, the court ruled that a conservator or parent of an adult has authority to admit that adult through the Hop process. Amounts included reflect the regional centers' costs of processing Hop actions. 	\$4	\$4
<ul style="list-style-type: none"> FY 2003-04 FFP Enhancement, Phase II: These costs are associated with legal support for federal program activities. 	\$500	\$500
<ul style="list-style-type: none"> Housing Projects: The Department has contracted with ProLink Solutions Inc for use of its housing database software. Additionally, the Department contracts with Chicago Title to perform Condition of Title Guarantee reviews on its properties. 	\$135	\$181

Projects

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
• Review of Senate Bill (SB) 1175 Housing Proposals:	\$150	\$150
Pursuant to Chapter 617, Statutes of 2008 (SB 1175), the Developmental Disabilities Account is used as a depository for application fees collected by the Department for reimbursing the Departments' costs associated with conducting the review and approval of housing proposals.		
TOTAL EXPENDITURES:	\$34,396	\$37,211

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 is due to anticipated contract costs.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$34,396	\$34,396	\$0
GF	\$26,414	\$26,499	\$85
Reimbursement	\$5,952	\$5,864	(\$88)
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
Federal Funds	\$1,140	\$1,143	\$3

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$34,396	\$37,211	\$2,815
GF	\$26,499	\$28,754	\$2,255
Reimbursement	\$5,864	\$6,424	\$560
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
Federal Funds	\$1,143	\$1,143	\$0

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees Operations

BACKGROUND:

To obtain federal financial participation (FFP) associated with the Intermediate Care Facilities-Developmentally Disabled (ICF-DD), regional centers incur administrative costs for billing on behalf of the ICF-DD.

METHODOLOGY:

Billing costs are 1.5 percent of the purchase of services costs for Day Programs and Transportation. The Department estimates the total purchase of services costs to be \$109.6 million for Day Programs and Transportation, of which 1.5 percent equals \$1.6 million for regional center administration.

REASON FOR CHANGE:

There is no change in fiscal year (FY) 2022-23. The change in FY 2023-24 is due to updated actuals.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,647	\$1,647	\$0
GF	\$823	\$823	\$0
Reimbursement	\$824	\$824	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$1,647	\$1,645	(\$2)
GF	\$823	\$823	\$0
Reimbursement	\$824	\$822	(\$2)

Table of Contents

SECTION E: PURCHASE OF SERVICES

FY 2022-23 - Purchase of Services.....	E-1
FY 2023-24 - Purchase of Services.....	E-3
FY 2022-23 vs. FY 2023-24 Purchase of Services	E-5
Community Care Facilities	E-7
Medical Facilities	E-9
Day Programs	E-11
Habilitation Services	
Work Activity Program	E-13
Supported Employment Program (Group Placement)	E-14
Supported Employment Program (Individual Placement)	E-15
Transportation	E-16
Support Services	E-18
In-Home Respite	E-20
Out-of-Home Respite	E-22
Health Care	E-24
Miscellaneous Services	E-26
Intermediate Care Facility-Developmentally Disabled Quality	
Assurance Fees Purchase of Services.....	E-28
Purchase of Services Historical Expenditure Charts	E-29

Comparison of Enacted Budget to Governor's Budget FY 2022-23 PURCHASE OF SERVICES

I. BUDGET ITEMS:

	Enacted Budget	FY 2022-23	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,755,164	\$2,755,164	\$0
2. Medical Facilities	\$52,329	\$40,381	(\$11,948)
3. Day Programs	\$1,429,208	\$1,429,208	\$0
4. Habilitation Services	\$128,886	\$133,401	\$4,515
a. Work Activity Program	\$15,692	\$15,552	(\$140)
b. Supported Employment Program – Group Placement	\$70,704	\$74,911	\$4,207
c. Supported Employment Program – Individual Placement	\$42,490	\$42,938	\$448
5. Transportation	\$472,885	\$424,100	(\$48,785)
6. Support Services	\$2,480,167	\$2,480,167	\$0
7. In-Home Respite	\$1,304,544	\$1,254,112	(\$50,432)
8. Out-of-Home Respite	\$64,848	\$47,356	(\$17,492)
9. Health Care	\$251,274	\$224,522	(\$26,752)
10. Miscellaneous Services	\$765,888	\$772,794	\$6,906
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$8,893	\$8,879	(\$14)
12. Total POS Caseload Growth (Item 1 thru 11)	\$9,714,086	\$9,570,084	(\$144,002)
B. Policy			
13. Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
14. Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
15. Forensic Diversion*	\$3,200	\$0	(\$3,200)
16. Half-Day Billing Elimination*	\$2,845	\$0	(\$2,845)
17. Health Facility Rate Increase*	\$1,421	\$0	(\$1,421)
18. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
19. SB 3, Minimum Wage Increase, Effective January 1, 2022*	\$228,357	\$0	(\$228,357)
20. SB 3, Minimum Wage Increase, Effective January 1, 2023	\$63,497	\$54,188	(\$9,309)
21. Social Recreation and Camping Services	\$51,868	\$51,868	\$0
22. START Training	\$17,865	\$17,865	\$0
23. Suspension of Annual Family Program Fee and Family Cost	\$4,450	\$4,450	\$0
24. Work Activity Programs: New Service Model	\$8,233	\$8,233	\$0
25. Youth Returning from Out-of-State Foster Care*	\$1,800	\$0	(\$1,800)
26. Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
27. Early Start Eligibility	\$3,962	\$3,962	\$0
28. Lanterman Act Provisional Eligibility Ages 3 and 4	\$17,415	\$32,035	\$14,620
29. Resources to Support Individuals Who Are Deaf	\$14,300	\$14,300	\$0
30. Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
31. Service Provider Rate Reform	\$808,334	\$808,334	\$0
32. Total Policy (Item 13 through 31)	\$1,302,347	\$1,070,035	(\$232,312)
33. Total Purchase of Services (Item 12 and 32)	\$11,016,433	\$10,640,119	(\$376,314)

*These policies are fully incorporated into the caseload and utilization growth.

Comparison of Enacted Budget to Governor's Budget FY 2022-23 PURCHASE OF SERVICES

II. FUND SOURCE:

	Enacted Budget	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$3,405,642	\$3,056,499	(\$349,143)
2. General Fund Other	\$2,868,714	\$2,724,213	(\$144,501)
3. General Fund Total (Item 1 and 2)	\$6,274,356	\$5,780,712	(\$493,644)
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$3,174,151	\$3,027,712	(\$146,439)
2. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$58,308	\$60,803	\$2,495
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$8,893	\$8,879	(\$14)
5. 1915(i) State Plan Amendment	\$569,552	\$593,559	\$24,007
6. Early Periodic Screening Diagnosis and Treatment	\$26,951	\$21,720	(\$5,231)
7. Behavioral Health Treatment Fee-for-Service	\$13,501	\$11,014	(\$2,487)
8. Self-Determination Program Waiver	\$37,075	\$82,073	\$44,998
9. Reimbursements Total (Item 1 thru 8)	\$4,101,852	\$4,019,181	(\$82,671)
C. Program Development Fund/Parental Fees	\$434	\$0	(\$434)
D. HCBS ARPA Funding			
1. HCBS ARPA	\$365,972	\$445,662	\$79,690
2. HCBS ARPA Reimbursements	\$240,063	\$360,744	\$120,681
3. HCBS ARPA Funding Total (Item 1 and 2)	\$606,035	\$806,406	\$200,371
E. Federal Fund: Early Start Part C/Other Agency Costs	\$33,756	\$33,820	\$64
F. GRAND TOTAL	\$11,016,433	\$10,640,119	(\$376,314)

Comparison of Enacted Budget to Governor's Budget FY 2023-24 PURCHASE OF SERVICES

I. BUDGET ITEMS:	Enacted Budget	FY 2023-24	Difference
A. Caseload Growth/Utilization			
1. Community Care Facilities	\$2,755,164	\$2,882,632	\$127,468
2. Medical Facilities	\$52,329	\$52,494	\$165
3. Day Programs	\$1,429,208	\$1,457,383	\$28,175
4. Habilitation Services	\$128,886	\$139,084	\$10,198
a. Work Activity Program	\$15,692	\$11,991	(\$3,701)
b. Supported Employment Program – Group Placement	\$70,704	\$74,031	\$3,327
c. Supported Employment Program – Individual Placement	\$42,490	\$53,062	\$10,572
5. Transportation	\$472,885	\$596,572	\$123,687
6. Support Services	\$2,480,167	\$2,744,836	\$264,669
7. In-Home Respite	\$1,304,544	\$1,531,812	\$227,268
8. Out-of-Home Respite	\$64,848	\$48,438	(\$16,410)
9. Health Care	\$251,274	\$269,784	\$18,510
10. Miscellaneous Services	\$765,888	\$936,120	\$170,232
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$8,893	\$8,879	(\$14)
12. Total POS Caseload Growth (Item 1 thru 11)	\$9,714,086	\$10,668,034	\$953,948
B. Policy			
13. Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
14. Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
15. Forensic Diversion*	\$3,200	\$0	(\$3,200)
16. Half-Day Billing Elimination*	\$2,845	\$0	(\$2,845)
17. Health Facility Rate Increase*	\$1,421	\$0	(\$1,421)
18. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
19. SB 3, Minimum Wage Increase, Effective January 1, 2022*	\$228,357	\$0	(\$228,357)
20. SB 3, Minimum Wage Increase, Effective January 1, 2023	\$63,497	\$122,557	\$59,060
21. SB 3, Effective January 1, 2024, Consumer Price Index Adj	\$0	\$78,203	\$78,203
22. Social Recreation and Camping Services	\$51,868	\$51,868	\$0
23. START Training	\$17,865	\$19,632	\$1,767
24. Suspension of Annual Family Program Fee and Family Cost	\$4,450	\$0	(\$4,450)
25. Work Activity Programs: New Service Model	\$8,233	\$0	(\$8,233)
26. Youth Returning from Out-of-State Foster Care*	\$1,800	\$0	(\$1,800)
27. Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
28. Early Start Eligibility	\$3,962	\$13,208	\$9,246
29. Lanterman Act Provisional Eligibility Ages 3 and 4	\$17,415	\$54,112	\$36,697
30. Resources to Support Individual Who Are Deaf	\$14,300	\$0	(\$14,300)
31. Self-Determination Ongoing Implementation	\$2,500	\$3,925	\$1,425
32. Service Provider Rate Reform	\$808,334	\$1,164,266	\$355,932
33. Total Policy (Item 13 thru 32)	\$1,302,347	\$1,580,071	\$277,724
34. Total Purchase of Services (Item 12 and 33)	\$11,016,433	\$12,248,105	\$1,231,672

**Comparison of Enacted Budget to Governor's Budget
FY 2023-24
PURCHASE OF SERVICES**

II. FUND SOURCE:

	Enacted Budget	FY 2023-24	Difference
A. General Fund			
1. General Fund Match	\$3,405,642	\$4,117,440	\$711,798
2. General Fund Other	\$2,868,714	\$3,101,134	\$232,420
3. General Fund Total (Item 1 and 2)	\$6,274,356	\$7,218,574	\$944,218
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$3,174,151	\$3,249,018	\$74,867
2. Title XX Block Grant	\$213,421	\$213,421	\$0
<i>a. Social Services</i>	\$136,264	\$136,264	\$0
<i>b. Temporary Assistance for Needy Families</i>	\$77,157	\$77,157	\$0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$58,308	\$54,821	(\$3,487)
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$8,893	\$8,879	(\$14)
5. 1915(i) State Plan Amendment	\$569,552	\$633,689	\$64,137
6. Early Periodic Screening Diagnosis and Treatment	\$26,951	\$20,845	(\$6,106)
7. Behavioral Health Treatment Fee-for-Service	\$13,501	\$11,014	(\$2,487)
8. Self-Determination Program Waiver	\$37,075	\$159,067	\$121,992
9. Reimbursements Total (Item 1 thru 8)	\$4,101,852	\$4,350,754	\$248,902
C. Program Development Fund/Parental Fees	\$434	\$434	\$0
D. HCBS ARPA Funding			
1. HCBS ARPA	\$365,972	\$461,844	\$95,872
2. HCBS ARPA Reimbursements	\$240,063	\$182,679	(\$57,384)
3. HCBS ARPA Funding Total (Item 1 and 2)	\$606,035	\$644,523	\$38,488
D. Federal Fund: Early Start Part C/Other Agency Costs	\$33,756	\$33,820	\$64
E. GRAND TOTAL	\$11,016,433	\$12,248,105	\$1,231,672

Comparison of FY 2022-23 to FY 2023-24 PURCHASE OF SERVICES

I. BUDGET ITEMS:**A. Caseload Growth/Utilization**

	FY 2022-23	FY 2023-24	Difference
1. Community Care Facilities	\$2,755,164	\$2,882,632	\$127,468
2. Medical Facilities	\$40,381	\$52,494	\$12,113
3. Day Programs	\$1,429,208	\$1,457,383	\$28,175
4. Habilitation Services	\$133,401	\$139,084	\$5,683
a. Work Activity Program	\$15,552	\$11,991	(\$3,561)
b. Supported Employment Program – Group Placement	\$74,911	\$74,031	(\$880)
c. Supported Employment Program – Individual Placement	\$42,938	\$53,062	\$10,124
5. Transportation	\$424,100	\$596,572	\$172,472
6. Support Services	\$2,480,167	\$2,744,836	\$264,669
7. In-Home Respite	\$1,254,112	\$1,531,812	\$277,700
8. Out-of-Home Respite	\$47,356	\$48,438	\$1,082
9. Health Care	\$224,522	\$269,784	\$45,262
10. Miscellaneous Services	\$772,794	\$936,120	\$163,326
11. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees Purchase of Services	\$8,879	\$8,879	\$0
12. Total POS Caseload Growth (Item 1 thru 11)	\$9,570,084	\$10,668,034	\$1,097,950

B. Policy

13. Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
14. Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
15. Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
16. SB 3, Minimum Wage Increase, Effective January 1, 2023	\$54,188	\$122,557	\$68,369
17. SB 3, Effective January 1, 2024, Consumer Price Index Adj	\$0	\$78,203	\$78,203
18. Social Recreation and Camping Services	\$51,868	\$51,868	\$0
19. START Training	\$17,865	\$19,632	\$1,767
20. Suspension of Annual Family Program Fee and Family Cost	\$4,450	\$0	(\$4,450)
21. Work Activity Programs: New Service Model	\$8,233	\$0	(\$8,233)
22. Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
23. Early Start Eligibility	\$3,962	\$13,208	\$9,246
24. Lanterman Act Provisional Eligibility Ages 3 and 4	\$32,035	\$54,112	\$22,077
25. Resources to Support Individuals Who Are Deaf	\$14,300	\$0	(\$14,300)
26. Self-Determination Ongoing Implementation	\$2,500	\$3,925	\$1,425
27. Service Provider Rate Reform	\$808,334	\$1,164,266	\$355,932
28. Total Policy (Item 13 thru 27)	\$1,070,035	\$1,580,071	\$510,036
29. Total Purchase of Services (Item 12 and 28)	\$10,640,119	\$12,248,105	\$1,607,986

Comparison of FY 2022-23 to FY 2023-24 PURCHASE OF SERVICES

II. FUND SOURCE:

	FY 2021-22	FY 2022-23	Difference
A. General Fund			
1. General Fund Match	\$3,056,499	\$4,117,440	\$1,060,941
2. General Fund Other	\$2,724,213	\$3,101,134	\$376,921
3. General Fund Total (Item 1 and 2)	\$5,780,712	\$7,218,574	\$1,437,862
B. Reimbursements			
1. Home and Community-Based Services Waiver	\$3,027,712	\$3,249,018	\$221,306
2. Title XX Block Grant	\$213,421	\$213,421	\$0
a. Social Services	\$136,264	\$136,264	\$0
b. Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
3. Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$60,803	\$54,821	(\$5,982)
4. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$8,879	\$8,879	\$0
5. 1915(i) State Plan Amendment	\$593,559	\$633,689	\$40,130
6. Early Periodic Screening Diagnosis and Treatment	\$21,720	\$20,845	(\$875)
7. Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,014	\$0
8. Self-Determination Program Waiver	\$82,073	\$159,067	\$76,994
9. Reimbursements Total (Item 1 thru 8)	\$4,019,181	\$4,350,754	\$331,573
C. Program Development Fund/Parental Fees	\$0	\$434	\$434
D. HCBS ARPA Funding			
1. HCBS ARPA	\$445,662	\$461,844	\$16,182
2. HCBS ARPA Reimbursements	\$360,744	\$182,679	(\$178,065)
3. HCBS ARPA Funding Total (Item 1 and 2)	\$806,406	\$644,523	(\$161,883)
E. Federal Fund: Early Start Part C/Other Agency Costs	\$33,820	\$33,820	\$0
F. GRAND TOTAL	\$10,640,119	\$12,248,105	\$1,607,986

Community Care Facilities

BACKGROUND:

Community Care Facilities (CCF) are licensed by the Community Care Licensing Division of the Department of Social Services (DSS) to provide 24-hour non-medical residential care to children and adults with developmental disabilities. Regional centers contract with vendored CCFs to provide consumers personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living.

METHODOLOGY:

Community Care Facilities expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

Supplemental Security Income/State Supplementary Program (SSI/SSP) payments are grants received from the federal Social Security Administration (the "SSI" portion), along with a supplemental payment from the state (the "SSP" portion). For individuals who receive SSI/SSP the Department funds only the portion of facility costs that are above the SSI/SSP level of payment (i.e., the "net" costs). Funds for the SSI/SSP grants are in the DSS budget.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$2,524,467	\$2,738,494
Total Utilization Change/Growth:	\$192,386	\$102,463
Subtotal Base and Growth:	\$2,716,853	\$2,840,957
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$16,670	\$16,670
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$21,641	\$25,005
TOTAL EXPENDITURES:	\$2,755,164	\$2,882,632

Community Care Facilities

REASON FOR CHANGE:

There is no change in FY 2022-23. The change in FY 2023-24 is due to changes in utilization.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$2,826,389	\$2,755,164	(\$71,225)
GF	\$1,671,369	\$1,625,155	(\$46,214)
Reimbursements	\$1,155,020	\$1,130,009	(\$25,011)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$2,755,164	\$2,882,632	\$127,468
GF	\$1,625,155	\$1,627,862	\$2,707
Reimbursements	\$1,130,009	\$1,254,770	\$124,761

**Includes policies fully incorporated into the caseload and utilization growth.*

Medical Facilities

BACKGROUND:

Pursuant to Health and Safety Code sections 1250, 1255.6, and 1255.7, among others, the regional centers vendor/contract with Intermediate Care Facilities - Developmentally Disabled (ICF-DD, ICF-DD-N, and ICF-DD-H) and Continuous Nursing Care, to provide services for consumers not eligible for Medi-Cal. The types of non-ICFs providing services for individuals with developmental disabilities are: Specialized Residential Facilities (Health) and Nursing Facilities (NF).

METHODOLOGY:

Medical Facilities expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$30,383	\$39,589
Total Utilization Change/Growth:	\$8,625	\$11,442
Subtotal Base and Growth:	\$39,008	\$51,031
Gap Resource Development (ICF-DD-N & ICF-DD-H):	\$345	\$345
Gap is the time period between licensure and certification of small health facilities when Medi-Cal does not cover any person's facility costs.		
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$447	\$447
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$581	\$671
TOTAL EXPENDITURES:	\$40,381	\$52,494

Medical Facilities

REASON FOR CHANGE:

The change in both years is due to changes in growth and utilization.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$52,329	\$40,381	(\$11,948)
GF	\$52,329	\$40,381	(\$11,948)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$40,381	\$52,494	\$12,113
GF	\$40,381	\$52,494	\$12,113

Day Programs

BACKGROUND:

Day programs are community-based programs for individuals served by a regional center. Types of services available through a day program include:

- Developing and maintaining self-help and self-care skills.
- Developing the ability to interact with others, making one's needs known and responding to instructions.
- Developing self-advocacy and employment skills.
- Developing community integration skills such as accessing community services.
- Behavior management to help improve behaviors.
- Developing social and recreational skills.

METHODOLOGY:

Day Program expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$1,349,102	\$1,428,407
Total Utilization Change/Growth:	\$78,265	\$26,973
Subtotal Base and Growth:	\$1,427,367	\$1,455,380
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$801	\$801
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$1,040	\$1,202
TOTAL EXPENDITURES:	\$1,429,208	\$1,457,383

Day Programs

REASON FOR CHANGE:

The is no change in Fiscal Year (FY) 2022-23 from the Enacted Budget. The change in FY 2023-24 is due to changes in utilization.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,444,706	\$1,429,208	(\$15,498)
GF	\$869,441	\$868,788	(\$663)
Reimbursements	\$559,410	\$544,187	(\$15,223)
Federal Funds	\$15,855	\$16,243	\$388

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$1,429,208	\$1,457,383	\$28,175
GF	\$868,788	\$845,671	(\$23,107)
Reimbursements	\$544,187	\$595,469	\$51,282
Federal Funds	\$16,243	\$16,243	\$0

*Includes policies fully incorporated into the caseload and utilization growth.

Habilitation Work Activity Program

BACKGROUND:

Work Activity Program (WAP) services through the regional centers include paid work, work adjustment and supportive habilitation services typically in a sheltered workshop setting. WAPs provide paid work in accordance with Federal and State Fair Labor Standards. Work adjustment services may include developing good work safety practices, money management skills, and appropriate work habits. Supportive habilitation services may include social skill and community resource training as long as the services are necessary to achieve vocational objectives.

METHODOLOGY:

Work Activity Program expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$17,687	\$15,552
Total Utilization Change/Growth:	(\$2,135)	(\$3,561)
TOTAL EXPENDITURES:	\$15,552	\$11,991

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$15,894	\$15,522	(\$342)
GF	\$4,644	\$10,203	\$5,559
Reimbursements	\$11,250	\$5,349	(\$5,901)

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$15,552	\$11,991	(\$3,561)
GF	\$10,203	\$6,048	(\$4,155)
Reimbursements	\$5,349	\$5,943	\$594

*Includes policies fully incorporated into the caseload and utilization growth.

Habilitation

Supported Employment Program (Group Placement)

BACKGROUND:

Supported Employment Program - Group Placement (SEP-G) provides opportunities for persons with developmental disabilities to work in integrated group settings. These services enable consumers to learn necessary job skills and maintain employment.

METHODOLOGY:

Supported Employment Program – Group Placement expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$75,801	\$74,911
Total Utilization Change/Growth:	(\$890)	(\$880)
TOTAL EXPENDITURES:	\$74,911	\$74,031

REASON FOR CHANGE:

The change in both years is due to changes in growth and utilization.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$70,704	\$74,911	\$4,207
GF	\$40,277	\$44,803	\$4,526
Reimbursements	\$30,427	\$30,108	(\$319)

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$74,911	\$74,031	(\$880)
GF	\$44,803	\$40,825	(\$3,978)
Reimbursements	\$30,108	\$33,206	\$3,098

Habilitation

Supported Employment Program (Individual Placement)

BACKGROUND:

Supported Employment Program - Individual Placement (SEP-I) provides opportunities for persons with developmental disabilities to work in integrated individual settings. These services enable consumers to learn necessary job skills and maintain employment.

METHODOLOGY:

Supported Employment Program – Individual Placement expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$36,471	\$42,938
Total Utilization Change/Growth:	\$6,467	\$10,124
TOTAL EXPENDITURES:	\$42,938	\$53,062

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$42,490	\$42,938	\$448
GF	\$31,450	\$30,511	(\$939)
Reimbursements	\$11,040	\$12,427	\$1,387

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$42,938	\$53,062	\$10,124
GF	\$30,511	\$39,374	\$8,863
Reimbursements	\$12,427	\$13,688	\$1,261

Transportation

BACKGROUND:

Transportation services are provided for persons with a developmental disability in order to participate in programs and/or other activities. A variety of sources may be used to provide transportation including public transit, specialized transportation companies, day programs and/or residential vendors, and family members, friends, or others. Transportation services may include assistance boarding and exiting a vehicle as well as assistance and monitoring while being transported.

METHODOLOGY:

Transportation expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$348,014	\$424,047
Total Utilization Change/Growth:	\$76,037	\$172,472
Subtotal Base and Growth:	\$424,051	\$596,519
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$21	\$21
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$28	\$32
TOTAL EXPENDITURES:	\$424,100	\$596,572

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

Transportation

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$473,365	\$424,100	(\$49,265)
GF	\$344,102	\$290,466	(\$53,636)
Reimbursements	\$129,263	\$133,634	\$4,371

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$424,100	\$596,572	\$172,472
GF	\$290,466	\$449,690	\$159,224
Reimbursements	\$133,634	\$146,882	\$13,248

**Includes policies fully incorporated into the caseload and utilization growth.*

Support Services

BACKGROUND:

Support Services include a broad range of services to assist adults who choose to live in homes they own or lease in the community, including supported living services, community integration training services, and personal assistance services.

METHODOLOGY:

Support Services expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditures trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$2,211,007	\$2,480,777
Total Utilization Change/Growth:	\$265,453	\$255,745
Subtotal Base and Growth	\$2,476,460	\$2,736,522
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$3,326	\$3,326
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$4,317	\$4,988
Independent Living Supplement: Based on data as of September 2022, there are 15,574 consumers living in SL/IL arrangements who receive the monthly payment to supplement the reduction in their SSP grants. Prior year costs remain in the Base, therefore only the incremental costs are reflected.	(\$3,936)	\$0
TOTAL EXPENDITURES	\$2,480,167	\$2,744,836

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 is due to changes in utilization related to COVID-19.

Support Services

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$2,547,012	\$2,480,167	(\$66,845)
GF	\$1,460,417	\$1,399,861	(\$60,556)
Reimbursements	\$1,086,442	\$1,080,149	(\$6,293)
Federal Funds	\$153	\$157	\$4

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$2,480,167	\$2,744,836	\$264,669
GF	\$1,399,861	\$1,552,833	\$152,972
Reimbursements	\$1,080,149	\$1,191,846	\$111,697
Federal Funds	\$157	\$157	\$0

**Includes policies fully incorporated into the caseload and utilization growth.*

In-Home Respite

BACKGROUND:

In-Home Respite services are defined as intermittent or regularly scheduled temporary non-medical care and/or supervision provided in the person's home. In-Home Respite services are support services which typically include:

- Assisting the family members to enable a person with developmental disabilities to stay at home;
- Providing appropriate care and supervision to protect that person's safety in the absence of a family member(s);
- Relieving family members from the responsibility of providing care;
- Attending to basic self-help needs and other activities that would ordinarily be performed by the family member.

METHODOLOGY:

In-Home Respite expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$1,026,432	\$1,254,066
Total Utilization Change/Growth:	\$227,574	\$277,631
Subtotal Base and Growth:	\$1,254,006	\$1,531,697
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$46	\$46
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$60	\$69
TOTAL EXPENDITURES:	\$1,254,112	\$1,531,812

In-Home Respite

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,375,952	\$1,254,112	(\$121,840)
GF	\$857,742	\$805,084	(\$52,658)
Reimbursements	\$518,042	\$448,929	(\$69,113)
Federal Funds	\$168	\$99	(\$69)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$1,254,112	\$1,531,812	\$277,700
GF	\$805,084	\$1,039,181	\$234,097
Reimbursements	\$448,929	\$492,532	\$43,603
Federal Funds	\$99	\$99	\$0

**Includes policies fully incorporated into the caseload and utilization growth.*

Out-of-Home Respite

BACKGROUND:

Out-of-Home Respite includes supervision services that are provided in licensed residential facilities.

METHODOLOGY:

Out-of-Home Respite expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$42,905	\$47,356
Total Utilization Change/Growth:	\$4,451	\$1,082
Subtotal Base and Growth:	\$47,356	\$48,438
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$0	\$0
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$0	\$0
TOTAL EXPENDITURES:	\$47,356	\$48,438

Out-of-Home Respite

REASON FOR CHANGE:

The change in both years is due to changes in growth and utilization.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$64,974	\$47,356	(\$17,618)
GF	\$28,796	\$24,870	(\$3,926)
Reimbursements	\$36,157	\$22,466	(\$13,691)
Federal Funds	\$21	\$20	(\$1)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$47,356	\$48,438	\$1,082
GF	\$24,870	\$24,014	(\$856)
Reimbursements	\$22,466	\$24,404	\$1,938
Federal Funds	\$20	\$20	\$0

**Includes policies fully incorporated into the caseload and utilization growth.*

Health Care

BACKGROUND:

Health Care services include medical and/or health care-related services, providing appropriate, high-quality care and services to children and adults who have developmental disabilities in order to optimize the health and welfare of each individual.

METHODOLOGY:

Health Care expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$178,588	\$217,554
Total Utilization Change/Growth:	\$29,920	\$34,810
Subtotal Base and Growth:	\$208,508	\$252,364
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$6,968	\$6,968
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$9,046	\$10,452
TOTAL EXPENDITURES:	\$224,522	\$269,784

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

Health Care

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$251,274	\$224,522	(\$26,752)
GF	\$219,081	\$188,623	(\$30,458)
Reimbursements	\$30,498	\$34,404	(\$3,906)
Federal Funds	\$1,695	\$1,495	(\$200)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$224,522	\$269,784	\$45,262
GF	\$188,625	\$230,708	\$42,085
Reimbursements	\$34,402	\$37,581	\$3,177
Federal Funds	\$1,495	\$1,495	\$0

Miscellaneous Services

BACKGROUND:

The Miscellaneous Services category includes a wide variety of services that cannot be classified in the other Purchase of Services budget categories. Services in this category include, but are not limited to, behavior interventions, early intervention programs, interdisciplinary assessments, translators, and tutors.

METHODOLOGY:

Miscellaneous Services expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

The base amounts represent a combination of actual and estimated expenditures from the prior year. Utilization and growth amounts are calculated using historical expenditure trends as well as consideration of the impact of COVID-19 on service utilization (see Impacts Due to COVID-19 in Section G).

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base:	\$593,875	\$740,255
Total Utilization Change/Growth:	\$143,038	\$159,465
Subtotal Base and Growth:	\$736,913	\$899,720
Community Placement Plan (CPP): See Community Placement Plan for details, in Section F.	\$32,539	\$32,539
Continuation Costs: Annualized costs reflected as CPP from the prior year.	\$3,342	\$3,861
TOTAL EXPENDITURES:	\$772,794	\$936,120

Miscellaneous Services

REASON FOR CHANGE:

The change in both years is due to changes in utilization.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget*</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$768,460	\$772,794	\$4,334
GF	\$626,881	\$635,841	\$8,960
Reimbursements	\$125,281	\$121,147	(\$4,134)
Federal Funds	\$15,864	\$15,806	(\$58)
Program Development Fund	\$434	\$0	(\$434)

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$772,794	\$936,120	\$163,326
GF	\$635,841	\$788,565	\$152,724
Reimbursements	\$121,147	\$131,315	\$10,168
Federal Funds	\$15,806	\$15,806	\$0
Program Development Fund	\$0	\$434	\$434

*Includes policies fully incorporated into the caseload and utilization growth.

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees Purchase of Services

BACKGROUND:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facility Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for the ICF-DD and Quality Assurance Fees (QAF).

METHODOLOGY:

Billing costs are 1.5 percent of the purchase of services costs for Day Programs and Transportation and regional center administrative fees for ICF-DD. QAF are set by the Department of Health Care Services (DHCS).

The Department estimates the total purchase of services costs to be \$109.6 million for Day Programs and Transportation. ICF-DD administration costs are 1.5 percent of the total or \$1.7 million and the QAF are \$7.2 million. Total administration and QAF are \$8.9 million.

REASON FOR CHANGE:

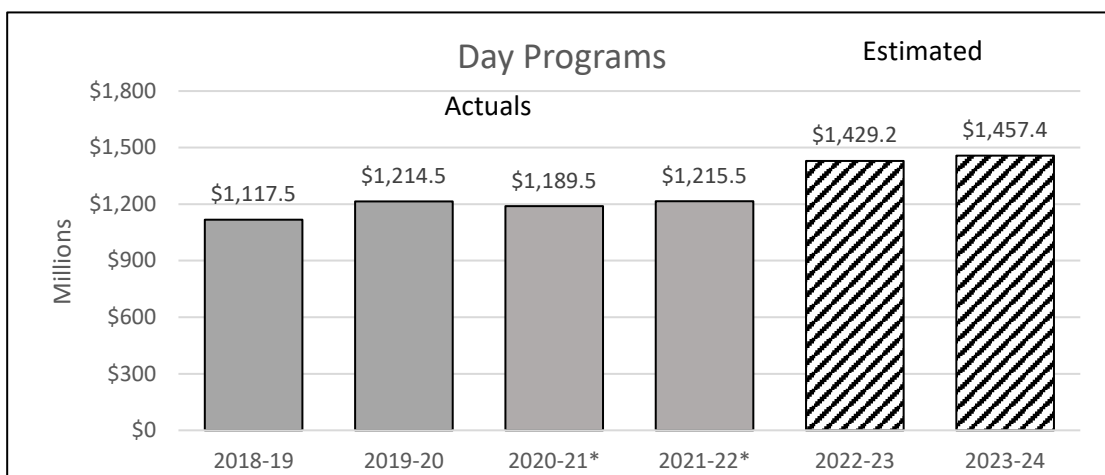
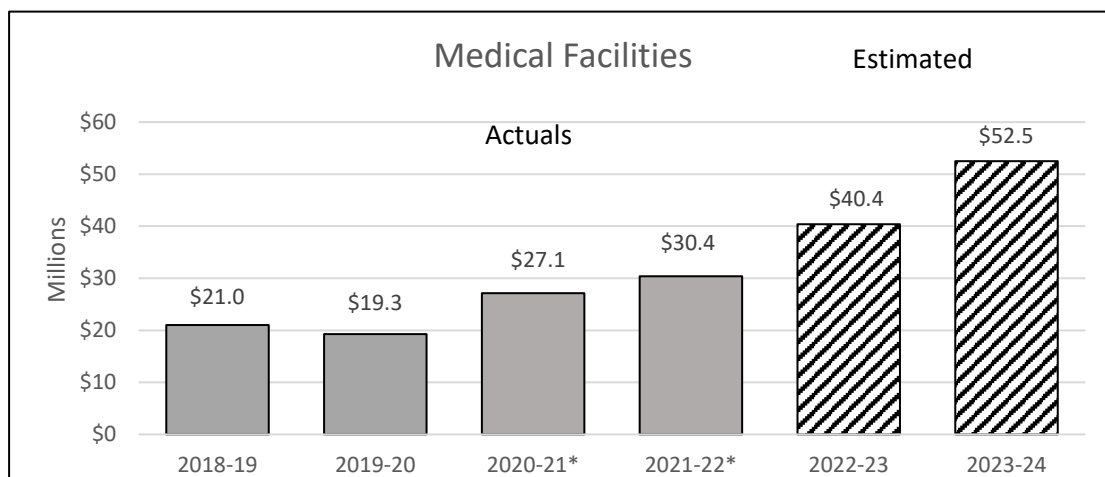
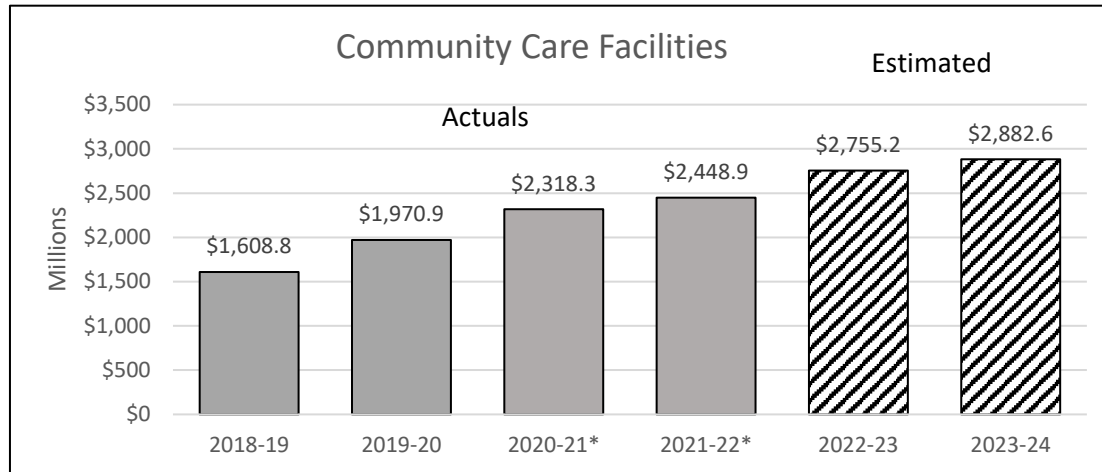
The change in both years is due to updated actuals.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
Total	\$8,893	\$8,879	(\$14)
QAF Admin Fees	\$4,447	\$4,440	(\$7)
Transfer from DHCS	\$4,446	\$4,439	(\$7)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
Total	\$8,879	\$8,879	\$0
QAF Admin Fees	\$4,440	\$4,440	\$0
Transfer from DHCS	\$4,439	\$4,439	\$0

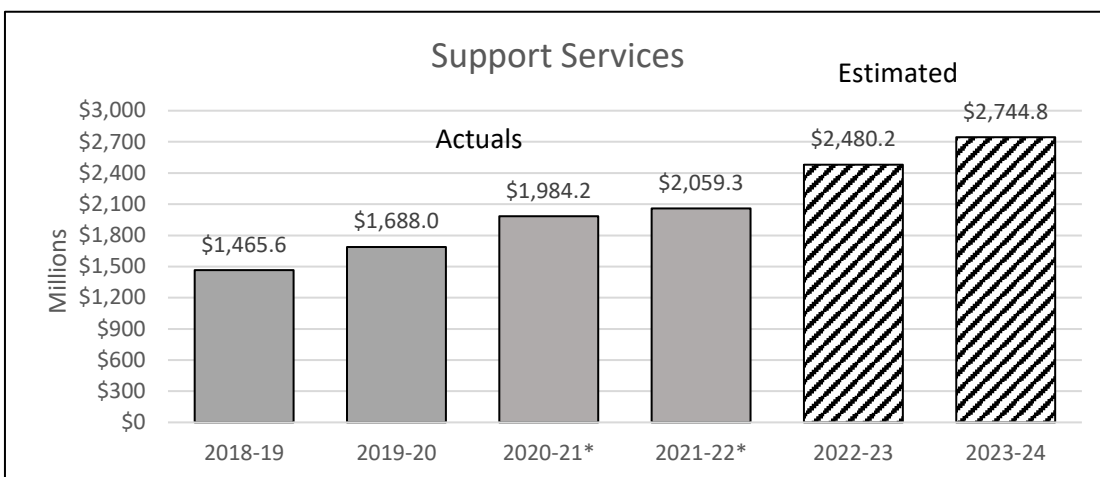
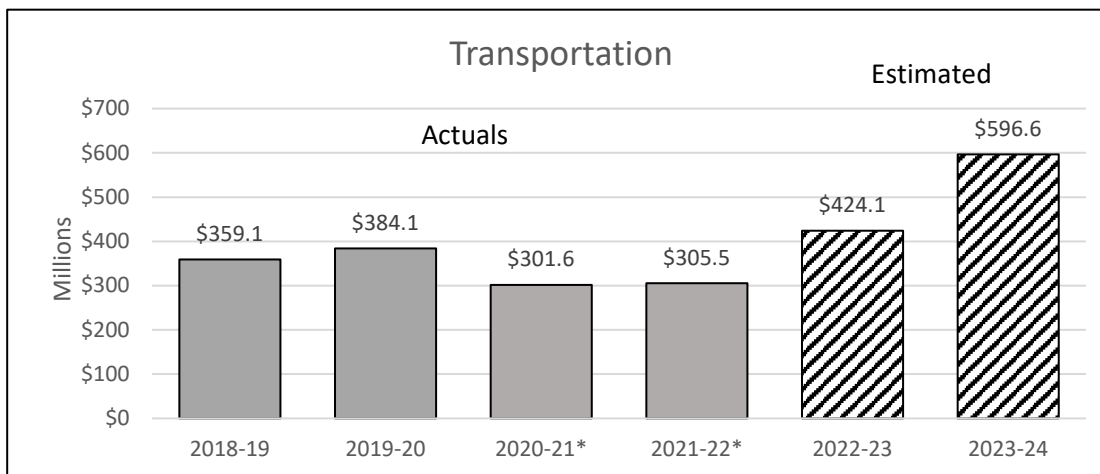
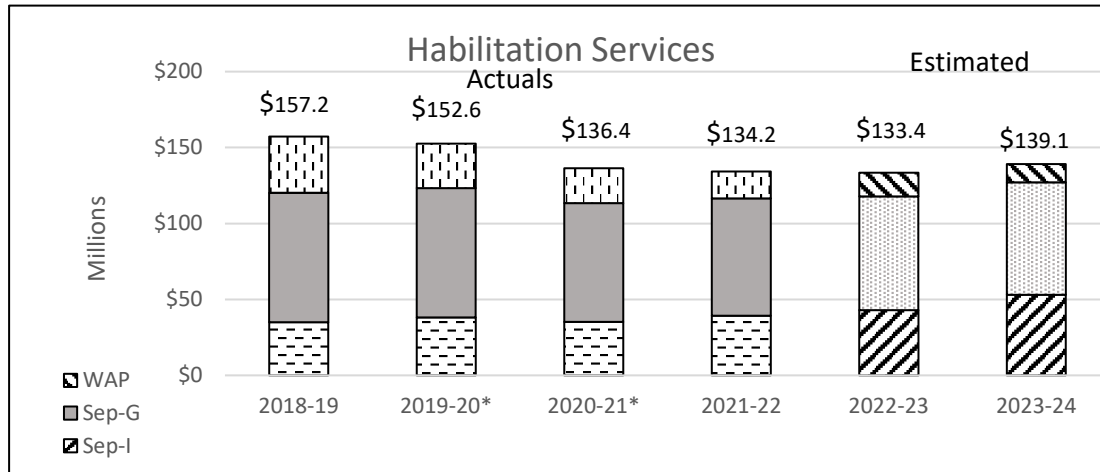
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2022.

** Totals for all years exclude Quality Assurance Fees.

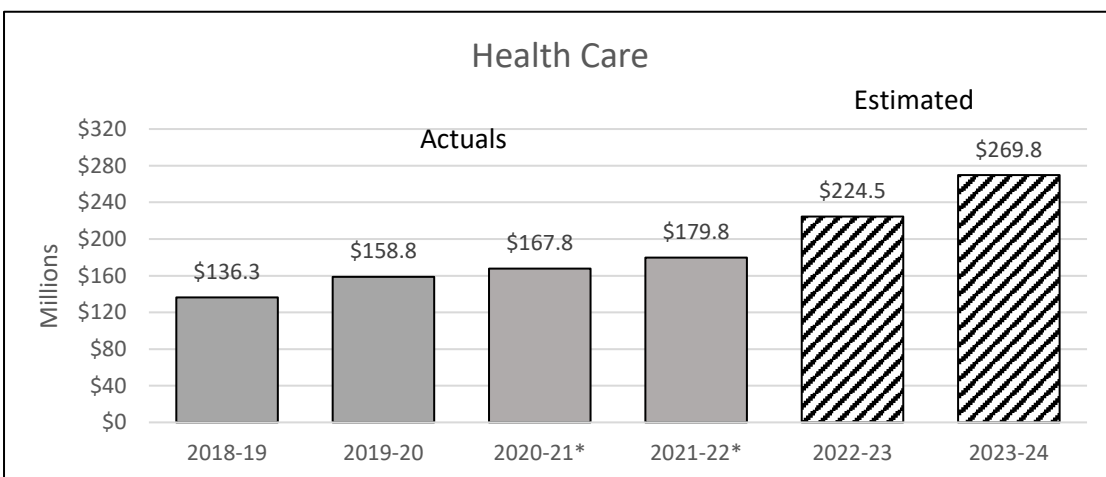
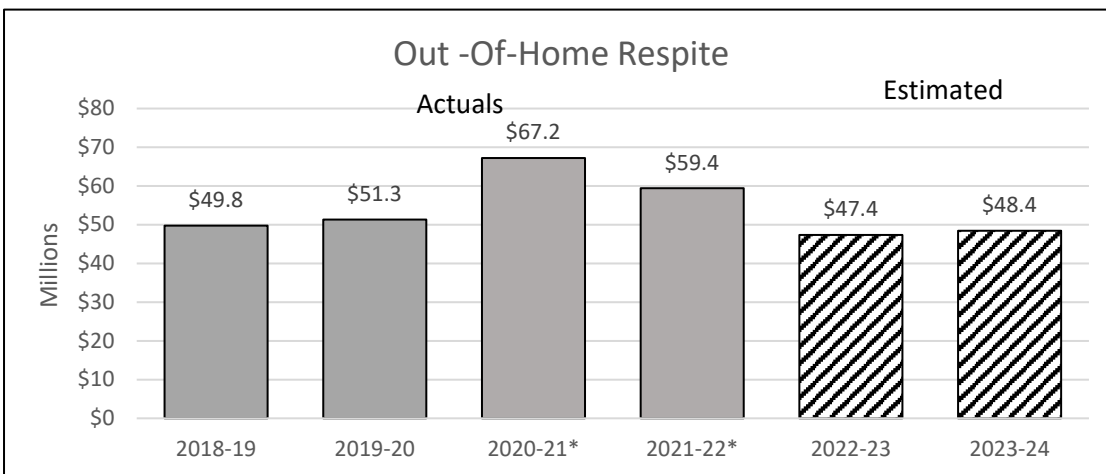
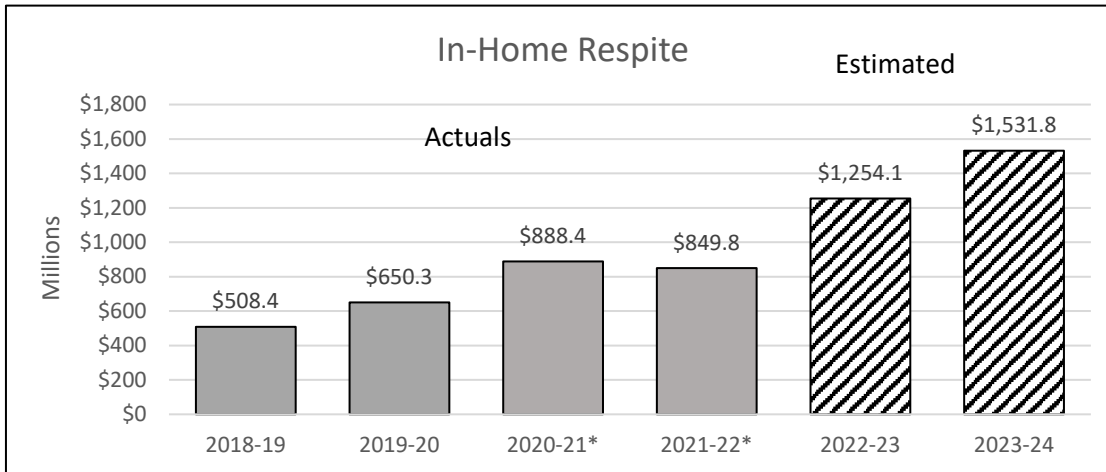
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2022.

** Totals for all years exclude Quality Assurance Fees.

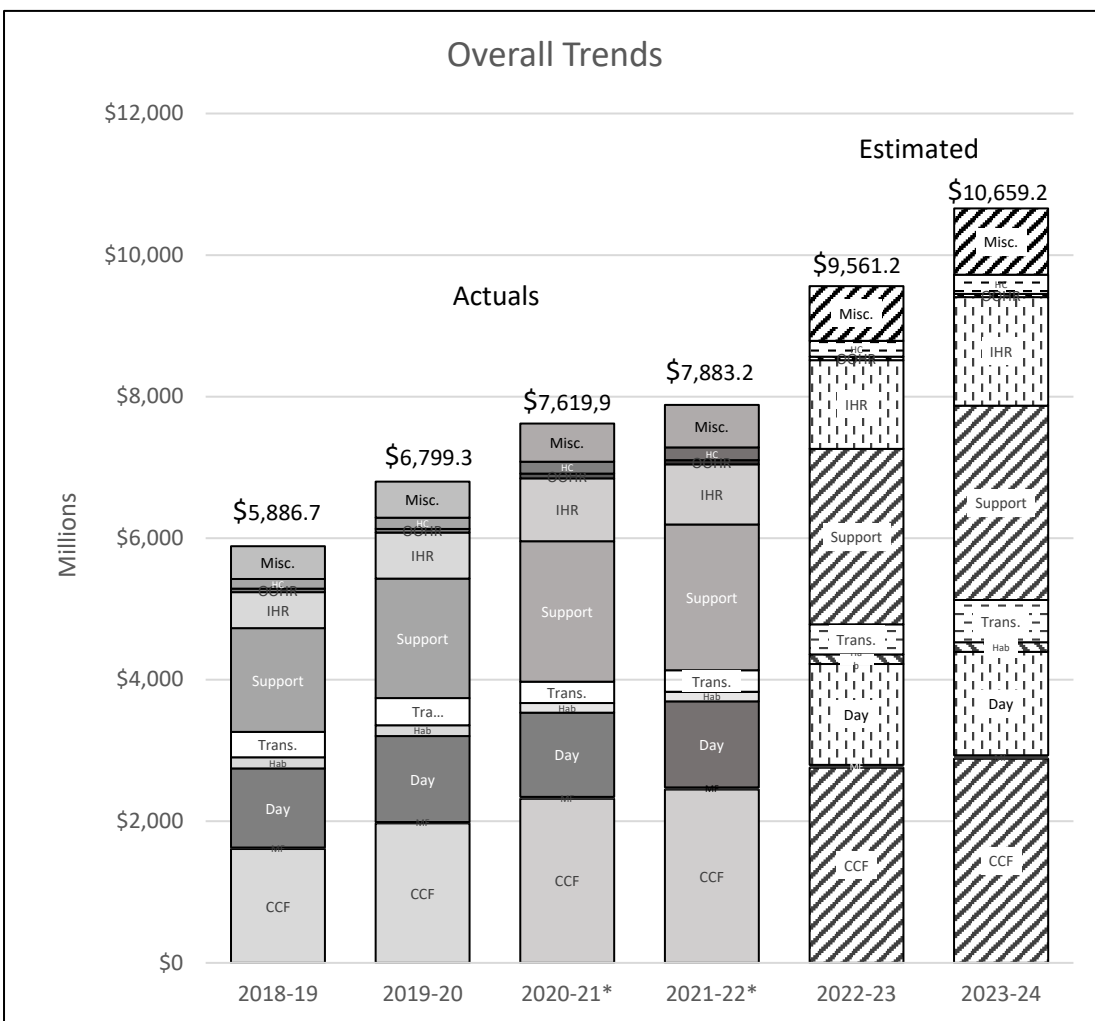
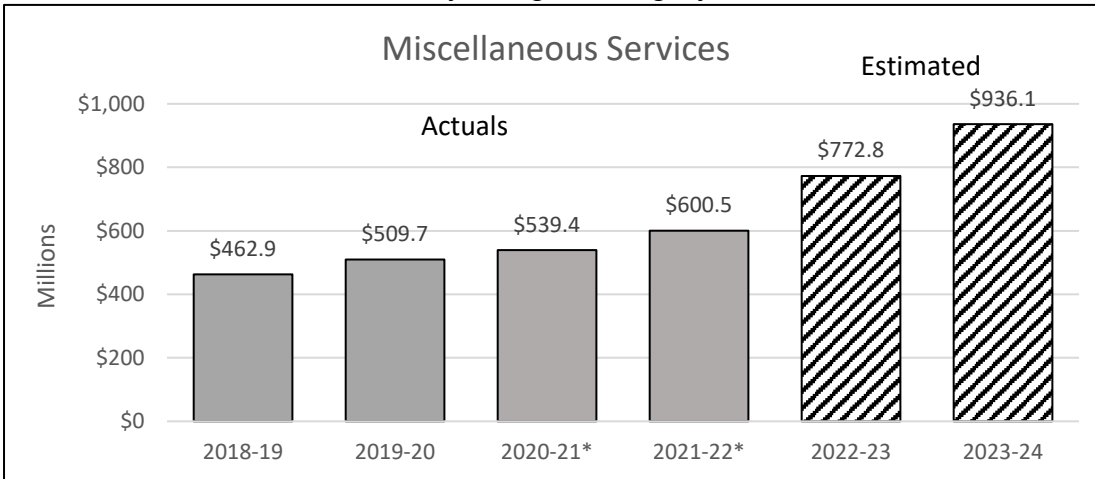
Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2022.

** Totals for all years exclude Quality Assurance Fees.

Purchase of Services
Total Expenditures
by Budget Category



* Claims for these years are still open and eligible for payment and reflect actuals through June 2022.

** Totals for all years exclude Quality Assurance Fees.

Table of Contents

SECTION F: COMMUNITY PLACEMENT PLAN

Community Placement Plan F-1

Community Placement Plan

BACKGROUND:

The purpose of Community Placement Plan (CPP) funding is to enhance the capacity of the community service delivery system and to reduce reliance on developmental centers, Institutions for Mental Disease (IMD) that are ineligible for federal financial participation (FFP), skilled nursing facilities (SNF), and out-of-state placements. In addition to CPP, the Community Resource Development Plan (CRDP) allows for development in the community to support the expansion of resources for those already residing in the community.

This estimate reflects Operations and Purchase of Service (POS) resources needed to:

- Facilitate transitions to the community from a developmental center, an IMD, a SNF, or out-of-state.
- Assess needs of the individuals through comprehensive assessments.
- Establish resources in the community for individuals transitioning from another environment.
- Collaborate with the regional centers, regional projects and other team members in transitional activities.
- Stabilize current community living arrangements.

CPP funding provides resources for both Operations and POS as follows:

Operations

- **Positions:** Positions and costs for employees at the regional centers who focus on CPP and CRDP, to pursue resource development, complete assessments, lead the transition of consumers into community settings, provide quality assurance, and provide clinical expertise.
- **Operating Expenses:** Costs for operating expenses and equipment.

Purchase of Services

- **Start-Up:** Start-Up funds support the development of residential and non-residential services in the community for individuals.
- **Assessment:** Assessment funds support comprehensive assessments, which are required by statute, for individuals who are living in developmental centers and IMDs to plan for services when individuals move into the community.
- **Placement:** Placement funds support costs of consumers moving into least restrictive community settings from a more restrictive setting.

Community Placement Plan

EXPENDITURES:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations:		
<i>Regular CPP</i>	\$15,265	\$15,265
Total Regional Center Operations		
 Purchase of Services:		
<i>Community Care Facilities</i>	\$16,670	\$16,670
<i>Medical Facilities</i>	\$447	\$447
<i>Day Programs</i>	\$801	\$801
<i>Transportation</i>	\$21	\$21
<i>Support Services</i>	\$3,326	\$3,326
<i>In-Home Respite</i>	\$46	\$46
<i>Health Care</i>	\$6,968	\$6,968
<i>Miscellaneous Services</i>	\$32,539	\$32,539
Total Regular Regional Center POS	\$60,818	\$60,818
 TOTAL	\$76,083	\$76,083
GF	\$65,677	\$65,677
Reimbursements	\$10,406	\$10,406

REASON FOR CHANGE:

There is no change in both years.

Community Placement Plan

Regular CPP

FY 2022-23	Enacted Budget	FY 2022-23	Difference
I. Operations	\$15,265	\$15,265	\$0
II. Purchase of Services (POS)			
A. Start-Up	\$27,265	\$27,265	\$0
B. Assessment	\$2,700	\$2,700	\$0
C. Placement	\$30,853	\$30,853	\$0
SUBTOTAL POS	\$60,818	\$60,818	\$0
III. TOTAL CPP	\$76,083	\$76,083	\$0
IV. Fund Sources			
A. TOTAL CPP	\$76,083	\$76,083	\$0
B. GF	\$65,677	\$65,677	\$0
C. Reimbursements	\$10,406	\$10,406	\$0

FY 2023-24	FY 2022-23	FY 2023-24	Difference
I. Operations	\$15,265	\$15,265	\$0
II. Purchase of Services (POS)			
A. Start-Up	\$27,265	\$27,265	\$0
B. Assessment	\$2,700	\$2,700	\$0
C. Placement	\$30,853	\$30,853	\$0
SUBTOTAL POS	\$60,818	\$60,818	\$0
III. TOTAL CPP	\$76,083	\$76,083	\$0
IV. Fund Sources			
A. TOTAL CPP	\$76,083	\$76,083	\$0
B. GF	\$65,677	\$65,677	\$0
C. Reimbursements	\$10,406	\$10,406	\$0

Table of Contents

SECTION G: POLICIES

OPERATIONS

Disparities Within the Developmental Services System	G-1
Early Start – Part C to B Transitions.....	G-2
Enhanced Service Coordination	G-4
Enrolling Vendors as Medicaid Providers.....	G-6
Language Access and Cultural Competency	G-7
Performance Incentives.....	G-8
Promoting Workforce Stability	G-9
Reduced Caseload Ratio for Children Through Age Five.....	G-10
Service Access and Equity Grant Program	G-12
Trauma-Informed Services for Foster Youth	G-13
Uniform Fiscal System Modernization and Consumer Electronic Records Management System Project Planning	G-15
 <i>Combined OPS & POS Policies</i>	
Direct Service Professional Workforce Training and Development	G-33
Early Start Eligibility.....	G-35
Enhanced Federal Funding	G-36
Lanterman Act Provisional Eligibility Ages 3 and 4	G-38
Resources to Support Individuals Who Are Deaf	G-40
Self-Determination Ongoing Implementation.....	G-42
Service Provider Rate Reform.....	G-44

Table of Contents

SECTION G: POLICIES

PURCHASE OF SERVICES

Bilingual Differentials for Direct Service Professionals	G-16
Financial Management Services for Self-Determination Program Participants .	G-17
Increased Costs for COVID-19.....	G-19
Ongoing Purchase of Service Items	G-21
Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023	G-24
Senate Bill 3, Effective January 1, 2024, Consumer Price Index Adjustment....	G-26
Social Recreation and Camping Services	G-28
START Training.....	G-29
Suspension of Annual Family Program Fee and Family Costs	G-31
Work Activity Programs: New Service Model	G-32

Combined OPS & POS Policies

Direct Service Professional Workforce Training and Development	G-33
Early Start Eligibility.....	G-35
Enhanced Federal Funding	G-36
Lanterman Act Provisional Eligibility Ages 3 and 4	G-38
Resources to Support Individuals Who Are Deaf	G-40
Self-Determination Ongoing Implementation.....	G-42
Service Provider Rate Reform.....	G-44

EARLY INTERVENTION PROGRAM

American Rescue Plan Act – IDEA Part C	G-47
--	------

Disparities Within the Developmental Services System

BACKGROUND:

The Department requests annual funding of \$2,241,000 through fiscal year 2025-26 to update processes and address the requirements of Chapter 314, Statutes of 2022 (Assembly Bill 1957). The statutory changes require specified public reporting on Individual Program Plans (IPPs) and purchase-of-services (POS) for individuals with developmental disabilities.

METHODOLOGY:

The funding is to support the increased workload during the data collection process at the regional centers.

REASON FOR CHANGE:

This is a new policy, effective July 1, 2023.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$2,241	\$2,241
GF	\$0	\$1,401	\$1,401
Reimbursements	\$0	\$840	\$840

Early Start – Part C to B Transitions

BACKGROUND:

The Department continues coordinated efforts to transform the early childhood experience of young children and their families who receive early intervention services or are at risk for or have an intellectual/ developmental disability. These strategies and initiatives support recommendations in the Senate Bill 75 Part C to B for CA Kids Workgroup Recommendations report on improving transitions from Early Start to Special Education Services

(<https://www.partcforcakids.org/#h.2h9izlqw9iqc>)

This initiative established Individuals with Disabilities Education Act (IDEA) Specialists at each regional center to train and support service coordinators guiding families through the transition from Part C (Early Start) to Part B (special education). Enhanced service coordination informed by the IDEA Specialist will increase families' awareness of their rights as they prepare for their child's education and support needs. IDEA Specialists will primarily provide administrative guidance and expertise on IDEA through technical support to regional centers and local education agencies providing infant and toddler services.

Further, the Budget delays for two years, from Fiscal Year 2022-23 to 2024-25, the implementation of an annual \$10 million General Fund grant program to support preschool inclusion efforts such as facility modifications or staff training. The Budget maintains the grant program on an ongoing basis beginning in 2024-25.

Preschool Support: Estimated cost: \$10 million General Fund (GF) beginning in 2024-25.

The annual costs to fund 21 IDEA Specialists is \$3.2 million.

	<u>Annual Salary</u>
Salary	\$110,000
Fringe Benefits – 34%	\$37,400
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$150,800
Total Positions	21
Total Annual Cost (Rounded)	\$3,167,000
<i>(whole dollars)</i>	

REASON FOR CHANGE:

The change in FY 2022-23 is due to the delay of the preschool support funding to Fiscal Year 2024-25.

Early Start – Part C to B Transitions

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$13,167	\$3,167	(\$10,000)
GF	\$12,185	\$2,185	(\$10,000)
Reimbursements	\$982	\$982	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$3,167	\$3,167	\$0
GF	\$2,185	\$2,185	\$0
Reimbursements	\$982	\$982	\$0

Enhanced Service Coordination

BACKGROUND:

Enhanced Service Coordination prioritizes individuals and families who are from diverse communities, including non-English speaking and/or non-white, deaf or hard of hearing, and other populations preapproved by the Department of Developmental Services, with low or no purchase of service (POS), as they are more likely to need enhanced assistance in accessing services. Fiscal year 2021-22 established funding for Enhanced Service Coordinators with a 1 to 40 service coordinator-to-consumer ratio. With smaller caseloads, specially trained service coordinators will provide more extensive support and intensive case management. The knowledge obtained through Enhanced Service Coordination will enable individuals and families to continue advocating and accessing needed services once transitioned back to a non-specialized service coordinator-to-consumer ratio.

METHODOLOGY:

The estimate includes funding for 105 Enhanced Service Coordinators (ESC) to support 4,200 individuals. Additionally, to provide supervision for these positions, the estimate includes funding for 10.5 supervisors at a ratio of 1 supervisor for every 10 ESCs.

The annual costs to fund 105 Enhanced Service Coordinators is \$12.8 million.

	<u>Annual Salary</u>
Salary	\$88,436
Fringe Benefits – 34%	\$30,068
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$121,905
Total Positions	105
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$12,800,000

The annual costs to fund 10.5 supervisors is \$1.4 million.

	<u>Annual Salary</u>
Salary	\$95,000
Fringe Benefits – 34%	\$32,300
Operating Expense & Equipment	\$3,400
Annual Cost Per Position	\$130,700
Total Positions	10.5
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$1,372,000

Enhanced Service Coordination

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$14,172	\$14,172	\$0
GF	\$11,072	\$11,072	\$0
Reimbursements	\$3,100	\$3,100	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$14,172	\$14,172	\$0
GF	\$11,072	\$11,072	\$0
Reimbursements	\$3,100	\$3,100	\$0

Enrolling Vendors as Medicaid Providers

BACKGROUND:

Payment Error Rate Measurement (PERM) is a federally contracted audit process that measures improper payments in Medicaid and other federal programs. During the fiscal year (FY) 2016-17 PERM review, the Centers for Medicare and Medicaid Services cited claims processed through the Department as payment errors because neither the Department, regional centers, nor vendors were enrolled as Medicaid providers. To date, the Department and regional centers have successfully enrolled as Medicaid providers. The Department is actively working on a process for vendors that will meet Medicaid enrollment requirements.

The proposed funding, targeted for provider screening activities, such as site visits and background checks/fingerprinting for certain vendor types, addresses a portion of the federal requirements applicable to vendors.

METHODOLOGY:

The cost for regional centers is estimated to be \$550,000 for the first year and \$400,000 annually thereafter.

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget. The decrease in FY 2023-24 reflects ongoing costs for this policy.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$550	\$550	\$0
GF	\$550	\$550	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$550	\$400	(\$150)
GF	\$550	\$400	(\$150)
Reimbursements	\$0	\$0	\$0

Language Access and Cultural Competency

BACKGROUND:

COVID-19 highlighted the continued need to assist consumers and families of children from underserved communities in navigating systems, improving service access and equity, and meeting basic needs. The Budget includes funding for language access and cultural competency planning that will include listening sessions in diverse communities to identify language and cultural needs, developing orientations, and providing culturally competent translations for regional center consumers and their families. These funds may be used for identification of vital documents for translation, regular and periodic language needs assessments to determine threshold languages, coordination and streamlining of interpretation and translation services, and implementation of quality control measures to ensure the availability, accuracy, readability, and cultural appropriateness of translation.

METHODOLOGY:

Funding for language access and cultural competency orientations and translations.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$16,667	\$16,667	\$0
HCBS ARPA	\$10,000	\$10,000	\$0
HCBS ARPA Reimbursements	\$6,667	\$6,667	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$16,667	\$16,667	\$0
HCBS ARPA	\$10,000	\$7,500	(\$2,500)
HCBS ARPA Reimbursements	\$6,667	\$4,966	(\$1,701)
GF	\$0	\$2,521	\$2,521
Reimbursements	\$0	\$1,680	\$1,680

Performance Incentives

BACKGROUND:

The Department is working with stakeholders and regional centers to establish a performance improvement program with fiscal incentives for regional center operations to meet specified benchmarks and improvement measures.

Priority areas for performance indicators and benchmarks associated with out-year funding include the following: Early Start, consumer employment and associated metrics, equity and cultural competency, consumer and family experience and satisfaction, person-centered services planning, and service coordination and regional center operations.

METHODOLOGY:

The estimated costs support the implementation and ongoing costs of the performance improvement program that will lead to desired actions and improved outcomes for consumers and families and promote improved regional center operations. Funding will allow for fiscal incentives for regional centers to meet performance measures. Funding will also support infrastructure needs to implement the performance improvement plan, including, but not limited to, additional staffing and new data collection systems/tools.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$87,500	\$87,500	\$0
GF	\$59,508	\$59,508	\$0
Reimbursements	\$27,992	\$27,992	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$87,500	\$87,500	\$0
GF	\$59,508	\$59,508	\$0
Reimbursements	\$27,992	\$27,992	\$0

Promoting Workforce Stability

BACKGROUND:

To address current and emerging workforce challenges, the Enacted Budget included \$185.3 million General Fund (GF) in Fiscal Year (FY) 2022-23 to support a multi-pronged workforce development strategy. The strategy is designed to create career pathways for workers who provide direct services and consumer service coordinators (CSCs), and to explore technology-based supports to increase consumer independence. Specifically, this approach includes: 1) Training Stipends for Workers who Provide Direct Services; 2) Internships for Workers who Provide Direct Services; 3) a Tuition Reimbursement Program for regional center employees; and 4) an Initiative to Pilot Remote Supports using Technology Solutions.

METHODOLOGY:

- Training Stipends for Workers who Provide Direct Services: Provides up to two \$500 training stipends (plus \$150 for taxes and administrative fees) for approximately 80% of 120,000 direct services professionals who are projected to complete specified training and development courses. Funding includes \$3 million for a learning management system, course development, and marketing. (\$127.8 million GF)
- Internships for Workers who Provide Direct Services: Provides a \$500 retention stipend after six months of continuous employment and another \$500 stipend after one year of continuous employment for 2,500 participants. Funding also supports \$18 million for minimum wage costs to 2,500 participants to include wages, taxes, and fees and \$2 million for recruitment outreach contracts. (\$22.5 million GF)
- Tuition Reimbursement Program: Provides up to \$10,000 annually for three years to regional center employees pursuing degrees or certifications in health or human services-related fields. (\$30 million GF)
- Initiative to Pilot Remote Supports using Technology Solutions. (\$5 million GF)

REASON FOR CHANGE:

This is one-time funding for FY 2022-23.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$185,300	\$185,300
GF	\$0	\$185,300	\$185,300

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$185,300	\$0	(\$185,300)
GF	\$185,300	\$0	(\$185,300)

Reduced Caseload Ratio for Children Through Age Five

BACKGROUND:

Improved service coordination and support to families will address the challenges families face in navigating multiple public systems that may limit the benefits and outcomes of the Early Start program. Reduced caseload ratios for children from birth through age five will enhance service coordination such as regional center participation in Individual Education Plan meetings, access to generic resources, increased family visits, and strengthened federal compliance with timely service delivery and transitions.

This proposal reduces the current caseload ratio to 1:40 for service coordinators supporting Early Start services, children provisionally eligible for Lanterman Act services through age four, and Lanterman Act eligible children through age five.

The 2022 Budget Act assumed a caseload of approximately 91,000 children and included \$51.1 million (\$31.9 million General Fund) in Fiscal Year 2022-23. The fiscal estimate assumed nine months of funding to account for staffing ramp-up and implementation in Fiscal Year 2022-23.

METHODOLOGY:

The fiscal is revised for increased caseload projections and a reevaluation of the existing methodology. The revised caseload projection is approximately 106,000 children in Fiscal Year 2023-24. The service coordinator and supervisor salary assumptions are as follows:

<u>Service Coordinator Annual Costs</u>	
Salary	\$66,915
Fringe Benefits – 34%	\$22,751
Operating Expense & Equipment	\$5,193
Annual Cost Per Position	\$95,859
 <u>Supervisor Annual Costs</u>	
Salary	\$86,142
Fringe Benefits – 34%	\$29,288
Operating Expense & Equipment	\$5,709
Annual Cost Per Position	\$121,139

Reduced Caseload Ratio for Children Through Age Five

The revised methodology determines the total costs of supporting a 1:40 caseload using an updated caseload projection and average annual salary assumptions. From this fiscal estimate, funding provided by existing policies including the Core Staffing Formula, Performance Incentives, and Lanterman Act Provisional Eligibility Ages 3 and 4 are deducted to arrive at the remaining need identified in this policy.

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget. The increase in FY 2023-24 expenditures reflects increased caseload projections and a revised methodology.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$51,084	\$51,084	\$0
GF	\$31,928	\$31,928	\$0
Reimbursements	\$19,156	\$19,156	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$51,084	\$153,212	\$102,128
GF	\$31,928	\$100,471	\$68,543
Reimbursements	\$19,156	\$52,741	\$33,585

Service Access and Equity Grant Program

BACKGROUND:

In 2016, Chapter 3, Statutes of 2016 (ABX2 1) allocated permanent funding of \$11 million General Fund (GF) to assist regional centers in the implementation of strategies to reduce disparities. The 2022 Budget Act included an additional \$11 million GF in one-time resources to increase award funding available through the current grant program. The Department has funded 398 grant projects focused on improving equity and reducing disparities. Applications for grant funding have increased steadily each grant year. In fiscal year (FY) 2021-22, the Department received \$44.2 million in funding requests, growing by approximately 50 percent over the previous year's requests. With 120 proposals received, the applicant pool remains diverse ranging from small parent-led grassroots organizations to well established academic institutions. This grant program reaffirms the Department's commitment to addressing the challenges consumers and families face in accessing services and using existing resources to make measurable progress in reducing disparities in Purchase of Services authorizations, utilization, and expenditures.

METHODOLOGY:

Funding for grant projects.

REASON FOR CHANGE:

There is no change in FY 2022-23. The change in FY 2023-24 reflects one-time grant funding in FY 2022-23.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$11,000	\$11,000	\$0
GF	\$11,000	\$11,000	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$11,000	\$0	(\$11,000)
GF	\$11,000	\$0	(\$11,000)

Trauma-Informed Services for Foster Youth

BACKGROUND:

Chapter 815, Statutes of 2018 (Assembly Bill 2083) requires that regional centers participate in the development of Memorandum(s) of Understanding (MOUs) in each county served and participate on interagency leadership teams and committees in each county, to ensure services are provided in recognition of trauma that may have occurred in the lives of the individuals in foster care. Funding provides for ongoing implementation of AB 2083 workload which includes multiple MOUs, participation on multiple interagency leadership teams and placement committees, data collection and analysis, resource development, identifying and coordinating available placement and service options, and providing expertise and guidance to regional center staff on service coordination for children and youths in foster care who have experienced severe trauma.

METHODOLOGY:

To support the coordination with state and local entities, the number of senior/supervising coordinators statewide is increased from 15 staff at an annual cost of \$1.6 million for fiscal year (FY) 2022-23 to 30 senior/supervising coordinators at an annual cost of \$3.2 million beginning in FY 2023-24.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Salary	\$77,100	\$77,100
Fringe Benefits – 34%	\$26,210	\$26,210
Operating Expense & Equipment	\$3,400	\$3,400
Annual Cost Per Position	\$106,710	\$106,710
Total Positions	15	30
Total Annual Cost (Rounded) <i>(whole dollars)</i>	\$1,600,000	\$3,200,000

REASON FOR CHANGE:

There is no change in the current year 2022-23. The change in the budget year 2023-24 reflects an increase in the number of senior/supervising coordinators.

Trauma-Informed Services for Foster Youth

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,600	\$1,600	\$0
GF	\$1,100	1,100	\$0
Reimbursement	\$500	\$500	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$1,600	\$3,200	\$1,600
GF	\$1,100	\$2,200	\$1,100
Reimbursement	\$500	\$1,000	\$500

Uniform Fiscal System Modernization and Consumer Electronic Records Management System Project Planning

BACKGROUND:

The Department requests \$9.8 million GF in 2023-24 for continued project planning efforts supporting the Uniform Fiscal System Modernization (UFSM) and the Consumer Electronic Records Management System (CERMS) projects through the California Department of Technology (CDT) Project Approval Lifecycle (PAL) process.

The additional planning funds support RC workload, consulting services to assist with the PAL process, Organizational Change Management (OCM), Data Management services to evaluate and support data cleanup and a requirements management tool to ensure traceability for project requirements.

METHODOLOGY:

The cost for regional centers is estimated to be \$9.8 million GF.

REASON FOR CHANGE:

This is a new policy, effective July 1, 2023.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$9,830	\$9,830
GF	\$0	\$9,830	\$9,830

Bilingual Differentials for Direct Service Professionals

BACKGROUND:

For consumers receiving services, the effectiveness of those services may be substantially compromised by language barriers. Communication between consumers and the staff who support them is instrumental in establishing trusting relationships and implementing interventions and strategies to further consumers' goals and/or diffuse crisis situations.

The Department estimates approximately 90,000 consumers speak a primary language other than English. A pay differential for bilingual direct service professionals who are bilingual, including those fluent in American Sign Language, increases the availability of staff who can support consumers when English is not their primary language, leading to improved choice, access to services, and greater independence for consumers.

METHODOLOGY:

The estimate assumes costs to establish a verification process for bilingual competency and the cost of a pay differential for bilingual direct service professionals.

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$7,200	\$7,200	\$0
GF	\$4,400	\$4,400	\$0
Reimbursements	\$2,800	\$2,800	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$7,200	\$7,200	\$0
GF	\$4,400	\$4,400	\$0
Reimbursements	\$2,800	\$2,800	\$0

Financial Management Services for Self-Determination Program Participants

BACKGROUND:

The Self-Determination Program (SDP) provides individuals served by regional centers and their families with more flexibility and choice in the services and supports they receive. Participants in the program are provided resources necessary to implement their individual program plans (IPPs) through the development of individual budgets, which are based on participants' total regional center purchase of service expenditures from the most recent 12 months. Payments for services in the traditional system are inclusive of administrative overhead. Management of a participant's SDP individual budget and payment of expenses is conducted by a Financial Management Service (FMS) provider. Current law prohibits increasing the individual budget for the costs of paying the FMS.

Based upon data collected from those who chose not to pursue SDP, after showing interest or taking initial steps to enter the program, some individuals expressed concerns that the amount of funds available in the participant's individual budget would not be adequate to meet their needs. Paying for the FMS from individual budgets exacerbates the concern. The SDP Ombudsperson, upon hearing concerns from self-advocates and families, made recommendations to address this issue.

For some SDP participants, FMS fees can take up a significant portion of an individual budget. Most participants with small individual budgets are from underrepresented/underserved communities. The 2022 Developmental Services budget trailer bill (Chapter 49, Statutes of 2022) repealed the statutory requirement that FMS fees be paid from the SDP individual budget. Regional centers will pay the FMS costs outside of the participants' individual budget, easing access to SDP.

METHODOLOGY:

Each participant is estimated to incur FMS fees averaging \$1,800 per year for a total of \$7.2 million.

REASON FOR CHANGE:

There is no change in both years.

Financial Management Services for Self-Determination Program Participants

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$7,200	\$7,200	\$0
GF	\$4,392	\$4,392	\$0
Reimbursement	\$2,808	\$2,808	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$7,200	\$7,200	\$0
GF	\$4,392	\$4,392	\$0
Reimbursement	\$2,808	\$2,808	\$0

Increased Costs for COVID-19

BACKGROUND:

On March 4, 2020, Governor Gavin Newsom declared a State of Emergency for California in response to the global COVID-19 pandemic. As a result of COVID-19, the Department experienced increased costs in supporting regional center consumers. These costs include providing additional support for consumers in their homes.

The Governor's Budget supports the projected increased costs to meet the additional needs of consumers during the public health crisis. These include short-term impacts, as well as long-term challenges that will continue into fiscal year (FY) 2023-24. The Department's budget recognizes the need for additional resources in residential settings, including the family home, as well as the impacts of decreased access to other community services as a result of the COVID-19 pandemic.

All of the estimated expenditures due to COVID-19 are incorporated into the respective Purchase of Services budget categories.

METHODOLOGY:

The impact due to COVID-19 was calculated using the September 2022 State Claims Data file, with expenditures through June 30, 2022. Expenditure trends through June 2022 were compared to trends prior to the COVID-19 pandemic. The results of this comparison and actual data informed the estimated impact of COVID-19 on expenditures. While it is assumed that expenditures in some categories will return to historical utilization and growth patterns in FY 2022-23, longer-term impacts of COVID-19 are anticipated in Community Care Facilities, Day Programs, Support Services, and Miscellaneous services.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Community Care Facilities	\$82,533	\$17,545
Day Program Services	(\$22,799)	(\$5,528)
Habilitation Services	\$0	\$0
Transportation	(\$115,262)	\$0
Support Services	\$67,717	\$10,593
In-Home Respite	\$26,836	\$0
Out-of-Home Respite	\$0	\$0
Health Care	\$0	\$0
Miscellaneous	(\$3,139)	\$13,258
Medical Facilities	\$0	\$0
TOTAL PURCHASE OF SERVICES	\$35,886	\$35,868

Increased Costs for COVID-19

REASON FOR CHANGE:

The change in FY 2022-23 from the Enacted Budget reflects a decrease due to a delay in recovery related to COVID-19.

The change from FY 2022-23 to FY 2023-24 assumes continued but less impact as a result of COVID-19, with caseload and utilization returning to regular trends.

EXPENDITURES:

The COVID-19 related costs are included in the individual budget categories, as listed above for informational purposes.

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$183,907	\$35,886	(\$148,021)
GF	\$111,225	\$4,976	(\$106,249)
Reimbursements	\$72,682	\$30,910	(\$41,772)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$35,886	\$35,868	(\$18)
GF	\$4,976	\$22,968	\$17,992
Reimbursements	\$30,910	\$12,900	(\$18,010)

Ongoing Purchase of Service Items

This category of purchase of services expenses includes various previously approved items as described below:

BACKGROUND:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Best Buddies:	\$2,000	\$2,000

The Budget Act of 2019 includes ongoing funding of \$2.0 million General Fund (GF) to Best Buddies International. The funding will support Best Buddies' delivery of peer-to-peer mentoring and supported employment services throughout the state.

Competitive, Integrated Employment Incentives/Paid Internship Program:	\$29,000	\$29,000
---	----------	----------

To encourage competitive integrated employment (CIE) opportunities for individuals with developmental disabilities, Welfare and Institutions Code (WIC) §4870 authorizes 1) paid internship opportunities with internship placement incentives to providers, and 2) incentive payments, paid at specified milestones, for providers who place and support consumers to maintain competitive, integrated employment.

In July 2021, WIC §4870 was amended to include the following provider incentive payments for internship placement:

1. A payment of seven hundred fifty dollars (\$750) shall be made to the service provider who, on or after July 1, 2021, places an individual in a paid internship opportunity, and the individual remains in the paid internship after 30 consecutive days.
2. An additional payment of one thousand dollars (\$1,000) shall be made to the regional center provider for an individual described above who remains in the paid internship for 60 consecutive days.

Additionally, effective July 1, 2021, until June 30, 2025, the competitive integrated employment incentive payments for each milestone shall be as follows:

1. A payment of two thousand dollars (\$2,000) if the individual is still engaged in competitive employment after 30 consecutive days, as described in WIC Section 4851(o) and Section 4868(d).

Ongoing Purchase of Service Items

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
2. An additional payment of two thousand five hundred dollars (\$2,500), if the individual remains in competitive integrated employment for six consecutive months.		
3. An additional payment of three thousand dollars (\$3,000), if the individual remains in competitive integrated employment for 12 consecutive months.		
Lastly, internships may not exceed 1,040 hours per year for each individual.		
Compliance with Home and Community-Based Services (HCBS) POS:	\$15,000	\$15,000
<p>In January 2014, the Center for Medicare & Medicaid Services (CMS) published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under §1915(c) Home and Community-Based (HCBS) Waivers, and §1915(i) HCBS State Plan programs. States were allowed up to a five-year transition period to make modifications necessary to comply with the regulations. Subsequently, CMS requires compliance by March 17, 2023. To operate in full compliance with the CMS final regulations, HCBS settings must be integrated in and support full access to the greater community for individuals receiving Medicaid HCBS. To assist with compliance, funding is available for providers to make modifications to the way services are provided until Fiscal Year 2024-25.</p>		
TOTAL EXPENDITURES	\$46,000	\$46,000

Ongoing Purchase of Service Items

REASON FOR CHANGE:

There is no change in both years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$46,000	\$46,000	\$0
GF	\$33,000	\$33,000	\$0
Reimbursements	\$13,000	\$13,000	\$0

FY 2023-24	<u>2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$46,000	\$46,000	\$0
GF	\$33,000	\$33,000	\$0
Reimbursements	\$13,000	\$13,000	\$0

Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023

BACKGROUND:

Senate Bill 3 (Chapter 4, Statutes of 2016) requires the minimum wage to increase from \$14.00 to \$15.00 per hour for employers with 25 employees or less on January 1, 2023. This is the last increase to bring the State minimum wage up to \$15.00 per hour.

Additionally, upon implementation of minimum wage increases to \$15 per hour for all employers, the minimum wage will be adjusted annually and calculated based on the United States Consumer Price Index. Effective January 1, 2023 the minimum wage increase for all employers by an additional \$0.50 per hour, bringing the minimum wage for all employers to \$15.50 per hour.

METHODOLOGY:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Community Care Facilities	\$18,335	\$39,484
Day Programs	\$2,167	\$4,588
Habilitation Services	\$31	\$62
Transportation	\$384	\$1,013
Support Services	\$15,939	\$35,714
In-Home Respite	\$16,572	\$39,944
Out-of-Home Respite	\$57	\$113
Miscellaneous Services	\$703	\$1,639
TOTAL EXPENDITURES	\$54,188	\$122,557

REASON FOR CHANGE:

The change in fiscal year (FY) 2022-23, from the Enacted Budget, is due to updated actual expenditures. The change from FY 2022-23 to FY 2023-24 is due to a full year cost of the minimum wage increases effective January 1, 2023.

Senate Bill 3, Minimum Wage Increase, Effective January 1, 2023

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$63,497	\$54,188	(\$9,309)
GF	\$37,500	\$32,236	(\$5,264)
Reimbursements	\$25,997	\$21,952	(\$4,045)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$54,188	\$122,557	\$68,369
GF	\$32,236	\$72,866	\$40,630
Reimbursements	\$21,952	\$49,691	\$27,739

Senate Bill 3, Effective January 1, 2024, Consumer Price Index Adjustment

BACKGROUND:

Senate Bill 3 (Chapter 4, Statutes of 2016) requires that, upon implementation of minimum wage increases to \$15 per hour for all employers, the minimum wage will be adjusted annually and calculated based on the United States Consumer Price Index. The minimum wage is projected to increase to \$16 per hour effective January 1, 2024 for all employers.

METHODOLOGY:

Costs are estimated using Purchase of Service expenditures that are based on previous actual rate increases to service providers.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Community Care Facilities	\$0	\$19,420
Day Programs	\$0	\$3,287
Habilitation Services	\$0	\$44
Transportation	\$0	\$606
Support Services	\$0	\$25,124
In-Home Respite	\$0	\$28,734
Out-of-Home Respite	\$0	\$66
Miscellaneous Services	\$0	\$922
TOTAL EXPENDITURES	\$0	\$78,203

REASON FOR CHANGE:

This is a new policy effective January 1, 2024.

Senate Bill 3, Effective January 1, 2024, Consumer Price Index Adjustment

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$78,203	\$78,203
GF	\$0	\$46,426	\$46,426
Reimbursements	\$0	\$31,777	\$31,777

Social Recreation and Camping Services

BACKGROUND:

Effective July 1, 2009, statute was enacted that suspended regional centers' authority to purchase social recreation services, camping services, educational services for children aged three to 17, and nonmedical therapies. Welfare and Institutions Code 4648.5 was suspended and subsequently repealed, effective June 30, 2021.

METHODOLOGY:

Funding is based on estimated costs for purchase of services based on caseload growth.

REASON FOR CHANGE:

There is no change to the estimated total cost in both years, and the budget year reflects a change in fund source.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$51,868	\$51,868	\$0
HCBS ARPA	\$33,472	\$33,472	\$0
HCBS ARPA Reimbursements	\$18,396	\$18,396	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$51,868	\$51,868	\$0
HCBS ARPA	\$33,472	\$27,600	(\$5,872)
HCBS ARPA Reimbursements	\$18,396	\$15,100	(\$3,296)
GF	\$0	\$5,916	\$5,916
Reimbursements	\$0	3,252	3,252

START Training

BACKGROUND:

The Systemic, Therapeutic, Assessment, Resources and Treatment (START) training model is a comprehensive approach to crisis prevention and intervention for people with developmental disabilities and co-occurring mental health conditions. This model provides wraparound services that support individuals at-risk for acute crisis or loss of residential placement, and individuals who are currently experiencing crisis. The START model has demonstrated positive outcomes in other states with published scientific papers reporting reductions in psychiatric hospitalizations and emergency room visits, improved mental health symptoms, reductions in challenging behavior, and maintaining residential placements in the community. The University of New Hampshire Center for START Services provides training and certification in the START model to community providers who make up the local START teams.

START services will help maintain individuals in their current residential arrangement and prevent admissions into more restrictive settings, such as Community Crisis Homes (CCHs), Institutions for Mental Disease (IMDs), and out-of-state placements. START services provide 24-hour crisis services, and crisis planning and training to families, direct support staff, and local partners (e.g., police, hospital staff, teachers) on person-centered, trauma-informed, and evidence-based support services for individuals with co-occurring developmental disabilities and mental health needs.

In California, 15 regional centers implemented the START model as of fiscal year (FY) 2021-22. First, second, and third year training costs cover all aspects of the START model training provided by the University of New Hampshire Center for START services to the local START team. This includes personnel costs, travel, printed materials, online training platform, data management, and technical support, as well as quarterly evaluations of the local START teams using collected data, and an annual independent, comprehensive evaluation of California's system of care.

METHODOLOGY:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Purchase of Services	\$17,865,000	\$19,632,000
TOTAL	\$17,865,000	\$19,632,000
<i>(whole dollars)</i>		

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 reflects expenditures associated with the START teams' operations.

START Training

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$17,865	\$17,865	\$0
GF	\$11,255	\$11,255	\$0
Reimbursements	\$6,610	\$6,610	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$17,865	\$19,632	\$1,767
GF	\$11,255	\$12,368	\$1,113
Reimbursements	\$6,610	\$7,264	\$654

Suspension of Annual Family Program Fee and Family Cost Participation Program

BACKGROUND:

The Annual Family Program Fee (AFPF) and the Family Cost Participation Program (FCPP) have been statutorily suspended through June 30, 2023 in response to the COVID-19 Pandemic.

Parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400 percent of the federal poverty level are assessed an annual fee. AFPF revenue received is deposited into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan or offset General Fund costs (Welfare and Institution Code §4677, §4784, and §4785). The FCPP, administered by the regional centers, assesses a cost participation to parents of children who receive three specific regional center services: day care, respite, and/or camping.

METHODOLOGY:

Estimated fiscal represents backfill due to the loss of revenues during the suspended period.

REASON FOR CHANGE:

There is no change in FY 2022-23 from Enacted Budget. This is one-time funding.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$4,450	\$4,450	\$0
GF	\$4,450	\$4,450	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$4,450	\$0	(\$4,450)
GF	\$4,450	\$0	(\$4,450)

Work Activity Programs: New Service Model

BACKGROUND:

Existing Work Activity Programs (WAP) largely support sub-minimum wage employment in segregated settings. Funding supports a three-year pilot focused on career readiness for individuals exiting WAP or secondary education to explore inclusive options such as paid internships, competitive integrated employment and college to career programs.

The Department has seen a steady decline in consumer participation in WAP from approximately 3,800 individuals in FY 2020-21 to approximately 2,800 in FY 2021-22. Senate Bill 639 (Chapter 339, Statutes of 2021) also requires an implementation plan to phase out the use of subminimum wages. Given the federal Home and Community-Based Services (HCBS) Final Rule compliance deadline of March 2023, the service model's future is evolving.

The pilot program will be developed in consultation with stakeholders, through the Employment Workgroup, and shall provide person-centered and time-limited services focused on preparing individuals for career pathways. Service providers participating in the pilot program will also report on defined outcome measures determined by the Department.

METHODOLOGY:

Funding for the pilot program is based on an assumed caseload of approximately 1,400 individuals.

REASON FOR CHANGE:

There is no change from the Enacted Budget in FY 2022-23. The change from FY 2022-23 to FY 2023-24 reflects one-time funding in FY 2022-23.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$8,233	\$8,233	\$0
GF	\$4,920	\$4,920	\$0
Reimbursements	\$3,313	\$3,313	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$8,233	\$0	(\$8,233)
GF	\$4,920	\$0	(\$4,920)
Reimbursements	\$3,313	\$0	(\$3,313)

Direct Service Professional Workforce Training and Development

BACKGROUND:

Direct service professionals (DSPs) are critical to the provision of services and supports to individuals with intellectual and developmental disabilities (IDD). To stabilize the workforce, the funding is to establish a training and certification program for direct service professionals tied to wage differentials, providing advancement opportunities for the workforce.

The DSP Workforce Training and Development program's purchase of service component will include expenditures for progressively higher wages for DSPs who complete additional training and certification. The program aims to enhance the services provided and foster a more sustainable and professional workforce. The DSP workforce will consist of three tiers that will have wage differentials with completion of each tier's training and certification. This will promote improved consumer access to a more skilled workforce, which will foster improved consumer outcomes while having a positive effect on DSP turnover.

The program will provide opportunities for advanced training for DSPs through a standardized training curriculum. Partnering with employers (service providers) as well as individual employees in advancing their professional development will further enhance the skillset of DSPs supporting California's developmental services system.

METHODOLOGY:

The estimate reflects costs estimated to support implementation of the tiered wages.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$4,300	\$4,300
Purchase of Services	\$11,900	\$11,900
TOTAL	\$16,200	\$16,200

REASON FOR CHANGE:

There is no change in both years.

Direct Service Professional Workforce Training and Development

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$16,200	\$16,200	\$0
GF	\$10,753	\$10,753	\$0
Reimbursements	\$5,447	\$5,447	\$0

FY 2022-23	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$16,200	\$16,200	\$0
GF	\$10,753	\$10,753	\$0
Reimbursements	\$5,447	\$5,447	\$0

Early Start Eligibility

BACKGROUND:

The Enacted Budget amended the criteria to qualify for early intervention services through the Early Start Program from a 33 percent delay to a 25 percent delay in one or more developmental areas, separating communication delays into expressive and receptive categories, and identifying fetal alcohol syndrome as a risk factor for developmental disabilities. Developmental delay in infants and toddlers refers to the significant difference between the expected level of development for a child's age and his/her current level of functioning, as determined by a multidisciplinary team of qualified personnel, including the parents.

The change in eligibility criteria addresses the changing needs of infants and toddlers, including those who could be at risk of experiencing a substantial developmental delay due to the impact of social isolation and other factors resulting from the pandemic.

METHODOLOGY:

The estimates assume approximately 1,400 children in the current year and approximately 2,800 children in the budget year will be eligible for early intervention services based on the new criteria.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$2,530,000	\$8,432,000
Purchase of Services	\$3,962,000	\$13,208,000
TOTAL	\$6,492,000	\$21,640,000
<i>(whole dollars)</i>		

REASON FOR CHANGE:

There is no change in FY 2022-23.

The change in FY 2023-24 over FY 2022-23 reflects the continued phase in of the policy.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$6,492	\$6,492	\$0
GF	\$6,492	\$6,492	\$0

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$6,492	\$21,640	\$15,148
GF	\$6,492	\$21,640	\$15,148

Enhanced Federal Funding

BACKGROUND:

On March 18, 2020, the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to costs associated with the Home and Community-Based Services and Self-Determination Program Waivers, 1915(i) State Plan Amendment (SPA), Targeted Case Management, the Intermediate Care Facility-Developmentally Disabled SPA, and Early Periodic Screening Diagnosis and Treatment.

The increase in federal reimbursements is assumed to continue through June 30, 2023.

METHODOLOGY:

The estimated fiscal year (FY) 2022-23 enhanced FMAP is based on the additional 6.2 percent federal funds participation for eligible costs for 12 months. The increased federal funds will result in a corresponding General Fund savings and HCBS ARPA savings.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$34,848	\$0
Purchase of Services	\$405,217	\$0
TOTAL	\$440,065	\$0

REASON FOR CHANGE:

The change in FY 2022-23, from the Enacted Budget, reflects an extension of the enhanced FMAP through June 30, 2023, and updated expenditures eligible for reimbursement.

The change from FY 2022-23 to FY 2023-24 is due to the anticipated expiration of the enhanced FMAP.

Enhanced Federal Funding

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$249,036)	(\$397,866)	(\$148,830)
Reimbursements	\$249,036	\$397,866	\$148,830
HCBS ARPA	\$0	(\$42,199)	(\$42,199)
ARPA Reimbursement	\$0	\$42,199	\$42,199

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$397,866)	\$0	\$397,866
Reimbursements	\$397,866	\$0	(\$397,866)
HCBS ARPA	(\$42,199)	\$0	\$42,199
ARPA Reimbursement	\$42,199	\$0	(\$42,199)

Lanterman Act Provisional Eligibility Ages 3 and 4

BACKGROUND:

Assembly Bill 136 (Chapter 76, Statutes of 2021), amended Welfare and Institutions (W&I) Code §4512 to expand eligibility for Lanterman Developmental Disabilities Services Act (Lanterman Act) services by allowing children who are three or four years of age to be provisionally eligible for regional center services under specified conditions.

To be provisionally eligible, a child must be assessed for and determined not to be eligible for Lanterman Act services and demonstrate significant functional limitations in at least two major life activities outlined in W&I Code §4512(a)(2). A child who is determined to be provisionally eligible will receive Lanterman Act services determined appropriate through the Individual Program Planning process.

METHODOLOGY:

The estimate assumes approximately 4,900 children will meet the provisional eligibility criteria in fiscal year (FY) 2022-23 and increase to approximately 8,200 children in FY 2023-24. The Department estimates regional centers will need 79 Service Coordinators and approximately eight supervisors statewide at an annual cost of \$9.3 million in FY 2022-23 and increase to 133 Service Coordinators and approximately 13 supervisors statewide at an annual cost of \$15.7 million in FY 2023-24.

	FY 2022-23	FY 2022-23	FY 2023-24	FY 2023-24
	<u>Service Coordinator</u>	<u>Supervisor</u>	<u>Service Coordinator</u>	<u>Supervisor</u>
Salary	\$77,100	\$82,000	\$77,100	\$82,000
Fringe Benefits – 34%	\$26,210	\$27,880	\$26,210	\$27,880
Operating Expense & Equipment	\$3,400	\$3,400	\$3,400	\$3,400
Annual Cost Per Position	\$106,710	\$113,280	\$106,710	\$113,280
Total Positions	79	8	133	13
Annual Cost	\$8,430,000	\$895,000	\$14,192,000	\$1,507,000
Total Annual Cost for All Positions		\$9,325,000		\$15,699,000
<i>(whole dollars)</i>				

Lanterman Act Provisional Eligibility Ages 3 and 4

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$9,325	\$15,699
Purchase of Services	\$32,035	\$54,112
TOTAL	\$41,360	\$69,811

REASON FOR CHANGE:

The increase in both years reflects updated caseload projections and expenditure data for provisionally eligible children.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$25,560	\$41,360	\$15,800
GF	\$25,560	\$41,360	\$15,800

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$41,360	\$69,811	\$28,451
GF	\$41,360	\$69,811	\$28,451

Resources to Support Individuals Who Are Deaf

BACKGROUND:

Individuals who are deaf and hard of hearing represent a highly diverse population with a wide range of communication preferences and cultural and ethnic backgrounds that shape interactions with their environment. Building trusted relationships within the deaf community requires specialized experience. The Department recognizes the need to improve service access for individuals who are deaf and have intellectual and developmental disabilities (Deaf+). The 2021 Budget Act funded a Deaf Services Specialist at the Department and at each regional center to support the expansion of deaf service resources, develop and implement communications assessments, provide training and expertise to regional center staff, and collaborate with other regional centers on statewide efforts.

The 2022 Budget Act provided one-time funding to conduct a communications assessment for consumers who are deaf or hard of hearing. Due to the uniqueness of deaf culture and their communication needs, funding will provide regional centers the ability to contract for communications assessments that will be used in developing Individual Program Plans. The Department will also contract with an individual or entity with the experience and qualifications to advise the Department in the most appropriate assessment tools, qualifications of assessors, and/or the necessary services or service adaptations to meet the needs of individuals who are Deaf+.

METHODOLOGY:

The Department estimates approximately 14,300 consumers are deaf or hard of hearing and are eligible for a communications assessment.

The annual costs to fund 21 Deaf Services Specialists and interpretation supports.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$4,505,000	\$4,505,000
Purchase of Services	\$14,300,000	\$0
TOTAL <i>(whole dollars)</i>	\$18,805,000	\$4,505,000

REASON FOR CHANGE:

There is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 removes the one-time funding for communications assessments.

Resources to Support Individuals Who Are Deaf

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$18,805	\$18,805	\$0
GF	\$11,538	\$11,538	\$0
Reimbursements	\$7,267	\$7,267	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$18,805	\$4,505	(\$14,300)
GF	\$11,538	\$3,098	(\$8,440)
Reimbursements	\$7,267	\$1,407	(\$5,860)

Self-Determination Ongoing Implementation

BACKGROUND:

The Self-Determination Program (SDP) provides individuals served by regional centers and their families with more flexibility and choice in the services and supports they receive. The Center for Medicare and Medicaid Services approved the state's request to renew federal funding for a five-year period effective July 1, 2021. As of July 2021, SDP is available to all consumers. To support the expansion and implementation of SDP, the estimate includes funding for:

- Enhanced transition support services for individuals and their families to assist with the transition into the SDP.
- Implementation of statewide orientation and training materials.
- Participant choice specialists at regional centers support individuals with timely transition to SDP participation as well as support other regional center staff.

METHODOLOGY:

The estimate includes funding on a three-year limited-term basis in the operations budget for participant choice specialists at regional centers and ongoing funding to contract with entities that will help provide orientation, training, and plain language informational materials.

The estimate also includes ongoing funding for enhanced transition support services for approximately 25 percent of individuals enrolling into SDP.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$7,800	\$7,800
Purchase of Services	\$2,500	\$3,925
TOTAL	\$10,300	\$11,725

REASON FOR CHANGE:

There is no change in FY 2022-23.

The change in FY 2023-24 in purchase of services estimated costs reflects an increase in caseload and utilization.

Self-Determination Ongoing Implementation

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$10,300	\$10,300	\$0
GF	\$6,800	\$6,800	\$0
Reimbursements	\$3,500	\$3,500	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$10,300	\$11,725	\$1,425
GF	\$6,800	\$7,712	\$912
Reimbursements	\$3,500	\$4,013	\$513

Service Provider Rate Reform

BACKGROUND:

Rate Study Implementation:

As required by Welfare and Institutions Code (WIC) Section §4519.8, the Department submitted a service provider rate study to the legislature in March 2019. Section 4519.10 was added by Assembly Bill 136 (Chapter 76, Statutes of 2021), specifying the timeline for implementation of rate increases over a multi-year period, and included a quality incentive program to create an enhanced person-centered outcomes-based system. Senate Bill 188 (Chapter 49, Statutes of 2022) accelerated full implementation to July 1, 2024.

Effective April 1, 2022, the Department implemented the initial rate adjustment for applicable service providers that is 25 percent of the difference between a provider's rate in effect March 31, 2022, and the fully funded rate model.

Effective January 1, 2023, the Department will implement the second-rate adjustment for applicable service providers that is an additional 25 percent of the difference between a provider's rate in effect March 31, 2022, and the fully funded rate model.

Effective July 1, 2024, the Department will implement the fully-funded rate models using two payment components, a base rate equaling 90 percent of the rate model, and a quality incentive payment, equaling up to 10 percent of the rate model.

Prior to the fully funded rate model, the Budget plan includes separate funding for a quality incentive program that moves California's developmental services system from a compliance-based system to an outcomes-based system. Additionally, regional center resources are provided to implement and administer the rate models.

The following table illustrates funding in the initial implementation plan:

Fiscal Year	Rates Increase (POS)	Quality Incentives (POS)	Operations	TOTAL
FY 2022-23	\$508,334	\$45,833	\$12,647	\$566,814
FY 2023-24	\$1,016,666	\$91,667	\$12,647	\$1,120,980
FY 2024-25	\$1,016,667	137,500	\$12,647	\$1,166,814
FY 2025-26 and ongoing	\$2,033,333	10 percent of the rate model	\$12,647	\$2,045,980

Service Provider Rate Reform

Rate Study Acceleration:

WIC Section 4519.10 was amended to accelerate the implementation plan outlined above.

The following table illustrates the incremental increase to accelerate the implementation plan:

Fiscal Year	Rate Increase (POS)	Quality Incentives (POS)	Operations	TOTAL
FY 2022-23	\$254,167	-	\$8,500	\$262,667
FY 2023-24	-	\$45,833	\$8,500	\$54,333
FY 2024-25	\$887,667	10 percent of the rate model	\$8,500	\$896,167

2023-24 Governor's Budget Augmentation:

The Department requests additional resources to adjust service provider rates for mileage based on updates to the Internal Revenue Service mileage rate. The incremental rate increase is:

Fiscal Year	Rate Increase (POS)
FY 2023-24	\$10,100
FY 2024-25 and ongoing	\$20,100

METHODOLOGY:

The cumulative purchase of service estimate is based on funds needed to adjust applicable service provider rates by April 2022 to reflect 25 percent of the difference between the rate in effect March 31, 2022, and the rate model, and by 50 percent of the difference by January 2023. With full implementation of the rate model by fiscal year (FY) 2024-25. The purchase of services estimate also includes costs for funding to adjust service provider rates for mileage rates.

The estimate also includes regional center operations funding to support positions for implementation of rate adjustments and models.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Operations	\$21,147	\$21,147
Purchase of Services	\$808,334	\$1,164,266
TOTAL	\$829,481	\$1,185,413

Service Provider Rate Reform

REASON FOR CHANGE:

No change in FY 2022-23 from the Enacted Budget. The change from FY 2022-23 to FY 2023-24 is due to a full year cost of the second-rate adjustment effective January 1, 2023, and costs for funding to adjust service provider rates for mileage rates in FY 2023-24.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$829,481	\$829,481	\$0
HCBS ARPA	\$341,100	\$467,103	\$126,003
HCBS ARPA Reimbursements	\$225,714	\$308,582	\$82,868
GF	\$158,280	\$32,277	(\$126,003)
Reimbursements	\$104,387	\$21,519	(\$82,868)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$829,481	\$1,185,413	\$355,932
HCBS ARPA	\$467,103	\$440,694	(\$26,409)
HCBS ARPA Reimbursements	\$308,582	\$168,952	(\$139,630)
GF	\$32,277	\$273,316	\$241,039
Reimbursements	\$21,519	\$302,451	\$280,932

American Rescue Plan Act - IDEA Part C

BACKGROUND:

Part C of the Federal Individuals with Disabilities Education Act (IDEA) funds grants to assist states in providing early intervention services (also known as Early Start in California) for infants and toddlers. The federal American Rescue Plan Act (ARPA), signed into law on March 11, 2021, includes one-time supplemental grant funding under Part C of IDEA that was appropriated through the 2021 Budget Act. The Early Start ARPA (ES ARPA) funds may be used for any allowable purpose under Part C of the IDEA, including the direct provision of early intervention services to infants and toddlers with disabilities and their families, and implementing a statewide, comprehensive, coordinated, multidisciplinary, interagency system to provide early intervention services, to mitigate the impacts of the pandemic to the program. California initially received \$23.9 million ES ARPA funds, which are available for expenditure between July 1, 2021, and September 30, 2023, and must be liquidated by January 28, 2024.

On May 2, 2022, the United States Department of Education notified the Department that ES ARPA funds would be increased by \$2.9 million. The enacted budget (FY 2022-23) was augmented by AB 179, Chapter 249, Statutes of 2022 to reflect this increase to align with the revised grant award.

Of the \$2.9 million ES ARPA, \$2.4 million will support initiatives to further mitigate the impacts of the pandemic on Early Start and the balance is allocated to state operations to support implementation of initiatives.

METHODOLOGY:

The Department will utilize additional ES ARPA funds to increase funding to alleviate the impact of the pandemic in the accessibility of Early Start services to families. Specifically:

- **Develop culturally and linguistically sensitive services (\$2.4 million)**
Establish a scholarship recruitment program to increase the availability of a provider network that reflects the cultural and linguistic diversity of the community.

REASON FOR CHANGE:

This is a new policy due to additional funding received for ES ARPA.

American Rescue Plan Act - IDEA Part C**EXPENDITURES:**

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$2,443	\$2,443
Federal Fund	\$0	\$2,443	\$2,443

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$2,443	\$0	(\$2,443)
Federal Fund	\$2,443	\$0	(\$2,443)

Table of Contents

SECTION H: FUND SOURCES

General Fund	H-1
Reimbursements	
Summary of Reimbursements and General Fund Match	H-3
Home and Community-Based Services Waiver.....	H-5
Home and Community-Based Services Waiver Administration	H-12
Medicaid Administration	H-16
Targeted Case Management.....	H-18
Title XX Block Grant	H-20
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	H-22
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	H-23
1915(i) State Plan Amendment	H-25
Early Periodic Screening Diagnosis and Treatment	H-30
Behavioral Health Treatment Fee-for-Service	H-31
Self-Determination Program Waiver.....	H-32
Program Development Fund/Parental Fees	H-34
Developmental Disabilities Services Account.....	H-35
Mental Health Services Fund	H-36
HCBS ARPA Spending Plan	H-37
Federal Funds	
Early Start Part C/Other Agency Costs	H-39
Foster Grandparent Program	H-42

General Fund

BACKGROUND:

The General Fund (GF) is the main operating fund of the State. It accounts for transactions related to resources obtained and used for those services that do not need to be accounted for in another fund.

METHODOLOGY:

The Department's appropriation for GF consists of two components:

(1) GF Match and (2) GF Other. The detail of these two components are as follows:

		<u>FY 2022-23</u>	<u>FY 2023-24</u>
• GF Match		\$3,356,569	\$4,497,778
This portion of GF is required to use as a match to reimbursements received from the Department of Health Care Service (DHCS). These reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). The federal financial participation (FFP) costs are established by utilizing the Federal Medical Assistance Program (FMAP) percentages. They are as follows:			
	GF %		
Home and Community-Based Services (HCBS) Waiver	50.00%	\$2,431,463	\$3,249,018
HCBS Waiver Administration	50.00%	\$21,470	\$22,065
Medicaid Administration	25.00%	\$6,873	\$6,873
Targeted Case Management (TCM)	50.00%	\$270,904	\$350,577
Intermediate Care Facility-Developmentally Disabled (ICF-DD)	50.00%	\$48,839	\$54,821
ICF-DD Quality Assurance Fees (Operations)*	50.00%	\$823	\$823
1915(i) State Plan Amendment	50.00%	\$476,679	\$633,688
Early Periodic Screening Diagnosis and Treatment	50.00%	\$17,446	\$20,845
Self-Determination Program Waiver	50.00%	\$82,072	\$159,068
• GF Other:		\$3,414,578	\$3,691,698
These costs consist of the remainder of total regional center expenditures not included in the GF Match, reimbursements, Program Development Fund, Developmental Disabilities Services Account, Mental Health Services Fund, HCBS ARPA Funding, or Federal Funds.			
• TOTAL EXPENDITURES		\$6,771,147	\$8,189,476

General Fund**EXPENDITURES:**

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$7,295,469	\$6,771,147	(\$524,322)
GF Match	\$3,722,090	\$3,356,569	(\$365,521)
GF Other	\$3,573,379	\$3,414,578	(\$158,801)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$6,771,147	\$8,189,476	\$1,418,329
GF Match	\$3,356,569	\$4,497,778	\$1,141,209
GF Other	\$3,414,578	\$3,691,698	\$277,120

**For Quality Assurance Fees, the GF Match for Purchase of Services is in the DHCS Budget*

Reimbursements

SUMMARY OF REIMBURSEMENTS AND GENERAL FUND (GF) MATCH:

METHODOLOGY:	<u>FY 2022-23</u>	<u>FY 2023-24</u>
A. Home and Community-Based Services (HCBS) Waiver	\$5,459,175	\$6,498,036
1. Reimbursement	\$2,729,587	\$3,249,018
• Enhanced FMAP 6.2%	\$298,125	\$0
2. GF Match	\$2,431,463	\$3,249,018
(Purchase of Services)		
B. HCBS Waiver Administration	\$42,940	\$44,130
1. Reimbursement	\$21,470	\$22,065
2. GF Match	\$21,470	\$22,065
(Operations)		
C. Medicaid Administration	\$27,491	\$27,491
1. Reimbursement	\$20,618	\$20,618
2. GF Match	\$6,873	\$6,873
(Operations)		
D. Targeted Case Management	\$608,172	\$701,154
1. Reimbursement	\$304,086	\$350,577
• Enhanced FMAP 6.2%	\$33,182	\$0
2. GF Match	\$270,904	\$350,577
(Operations)		
E. Title XX Block Grant	\$213,421	\$213,421
1a. Social Services	\$136,264	\$136,264
1b. Temporary Assistance for Needy Families	\$77,157	\$77,157
(Purchase of Services)		
F. Intermediate Care – Facility Developmentally Disabled	\$109,642	\$109,642
1. Reimbursement	\$54,821	\$54,821
• Enhanced FMAP 6.2%	\$5,982	\$0
2. GF Match	\$48,839	\$54,821
(Purchase of Services)		
G. Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees ^{/A}	\$10,526	\$10,524
1a. Operations	\$1,647	\$1,645
Reimbursements	\$824	\$822
GF Match	\$823	\$823
1b. Purchase of Services	\$8,879	\$8,879
Reimbursements (from DHCS)	\$8,879	\$8,879

^{/A} Reimbursements are funds received via other state agencies.

Reimbursements

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
H. 1915(i) State Plan Amendment	\$1,070,238	\$1,267,377
1. Reimbursements	\$535,119	\$633,689
• Enhanced FMAP 6.2%	\$58,440	\$0
2. GF Match	\$476,679	\$633,688
(Purchase of Services)		
I. Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,014
1. Reimbursement	\$11,014	\$11,014
(Purchase of Services)		
J. Early Periodic Screening Diagnosis Treatment	\$39,166	\$41,690
1. Reimbursement	\$19,583	\$20,845
• Enhanced FMAP 6.2%	\$2,137	\$0
2. GF Match	\$17,446	\$20,845
(Purchase of Services)		
K. Self-Determination Program Waiver	\$164,145	\$318,135
1. Reimbursement	\$82,073	\$159,067
2. GF Match	\$82,072	\$159,068
(Purchase of Services)		
L. Total	\$7,755,930	\$9,242,614
1. Reimbursements	\$4,399,361	\$4,744,836
2. GF Match	\$3,356,569	\$4,497,778

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$8,190,767	\$7,755,930	(\$434,837)
GF Match	\$3,722,090	\$3,356,569	(\$365,521)
Reimbursement	\$4,468,677	\$4,399,361	(\$69,316)

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$7,755,930	\$9,242,614	\$1,486,684
GF Match	\$3,356,569	\$4,497,778	\$1,141,209
Reimbursement	\$4,399,361	\$4,744,836	\$345,475

Home and Community-Based Services Waiver

BACKGROUND:

The Home and Community-Based Services (HCBS) Waiver program enables the Department to provide a broad array of services to eligible individuals in their communities who would otherwise require a level of care provided in an intermediate care facility for individuals with developmental disabilities.

The expenditures for HCBS Waiver reimbursements are in all the Purchase of Services budget categories, except Medical Facilities.

METHODOLOGY:

The fiscal is based on fiscal year 2021-22 HCBS Waiver Total Billed Dollar Amounts and Client Counts report.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Base: FY 2021-22	\$5,209,732	\$5,727,260
Total estimated expenditures based on billing data for FY 2021-22.	\$5,209,732	
<ul style="list-style-type: none"> Total estimated prior-year HCBS Waiver expenditures. 		\$5,727,260
Annual Growth, New regional center consumers:	\$113,323	\$113,323
<ul style="list-style-type: none"> Fiscal Year (FY) 2022-23 Annual Growth. 	\$42,314	
Add annual growth costs in FY 2022-23 for 2,083 consumers who will be new to the regional center (RC) system and added to the HCBS Waiver with \$1,700 monthly cost per consumer, phased in.		
<ul style="list-style-type: none"> FY 2022-23 Annual Growth, Continuation costs for RC consumers added in FY 2021-22. 	\$71,009	
<ul style="list-style-type: none"> FY 2023-24 Annual Growth. 		\$42,314
Add annual growth costs in FY 2023-24 for 2,083 consumers who will be new to the RC system and added to the HCBS Waiver with \$1,700 monthly cost per consumer, phased in.		
<ul style="list-style-type: none"> FY 2023-24 Annual Growth, Continuation Costs for RC consumers added in FY 2022-23 		\$71,009

Home and Community-Based Services Waiver

METHODOLOGY (CONTINUED):	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Community Placement Program (CPP):	\$9,720	\$17,675
<ul style="list-style-type: none"> FY 2022-23 Add CPP & HCBS Waiver costs in FY 2022-23 for 49 consumers in the RC system with \$16,500 monthly cost per consumer, phased in. 	\$9,720	
<ul style="list-style-type: none"> FY 2023-24 Add CPP & HCBS Waiver costs in FY 2023-24 for 89 consumers in the RC system with \$18,600 monthly cost per consumer, phased in. 		\$17,675
Placement Continuation:	\$9,720	\$8,748
<ul style="list-style-type: none"> FY 2022-23 The annual estimated Placement Continuation costs for 78 HCBS Waiver-eligible consumers in each living arrangement with \$10,400 monthly cost per consumer, phased-in. 	\$9,720	
<ul style="list-style-type: none"> FY 2023-24 The annual estimated Placement Continuation costs for 70 HCBS Waiver-eligible consumers in each living arrangement with \$10,400 monthly costs per consumer, phased-in. 		\$8,748
Transitions to Self-Determination Program (SDP) Waiver:	(\$11,862)	(\$34,899)
<ul style="list-style-type: none"> FY 2022-23 Costs reflect monthly decreases in Waiver expenditures as a result of 708 consumers leaving HCBS Waiver and enrolling on SDP Waiver in FY 2022-23. 	(\$11,862)	
<ul style="list-style-type: none"> FY 2023-24 Costs reflect monthly decreases in Waiver expenditures as a result of 2,083 consumers leaving HCBS Waiver and enrolling on SDP Waiver in FY 2023-24. 		(\$34,899)

Home and Community-Based Services Waiver

METHODOLOGY (CONTINUED):	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Continuation Costs for Transitions to SDP Waiver:	(\$13,242)	(\$38,959)
<ul style="list-style-type: none"> FY 2022-23 FY 2022-23 consumers who transitioned to SDP Waiver. Decreases in Waiver expenditures are a result of those individuals no longer being on the Waiver (708 totals in FY 2021-22). FY 2023-24 FY 2023-24 consumers who transitioned to SDP Waiver. Decreases in Waiver expenditures are a result of those individuals no longer being on the Waiver (2,083 total in FY 2022-23). 	(\$13,242)	(\$38,959)
<ul style="list-style-type: none"> Bilingual Differentials for Direct Service Professionals Competitive, Integrated Employment Incentives. Compliance with HCBS Regulations. Direct Service Professional Workforce Training and Development Financial Management Services for SDP Participants. Resources to Support Individual Who Are Deaf Self-Determination Ongoing Implementation. Senate Bill 3(SB3), Minimum Wage, Effective January 1, 2023 SB 3, Minimum Wage, Consumer Price Index Effective January 1, 2024 Service Provider Rate Reform Social Recreation and Camping Services START Training. Work Activity Program: New Service Model 	\$4,760 \$15,840 \$7,440 \$6,880 \$4,774 \$9,962 \$1,530 \$37,148 \$0 \$36,582 \$0 \$11,236 \$5,632	\$4,760 \$15,840 \$7,440 \$6,880 \$4,774 \$0 \$2,402 \$83,978 \$54,020 \$506,918 \$5,528 \$12,348 \$0
TOTAL EXPENDITURES	\$5,459,175	\$6,498,036

Home and Community-Based Services Waiver

EXPENDITURES:		<u>FY 2022-23</u>	<u>FY 2023-24</u>
Total Estimated HCBS Waiver Billable Expenditures		\$5,459,175	\$6,498,036
General Fund (GF) Match		\$2,431,463	\$3,249,018
Federal Financial Participation (FFP)		\$3,027,712	\$3,249,018
Purchase of Services: Budget Categories	% of Expenditures		
Community Care Facilities	35.91%	\$1,909,571	\$2,080,423
GF Match		\$954,786	\$1,040,211
FFP		\$954,785	\$1,040,212
Day Programs	13.37%	\$710,673	\$774,257
GF Match		\$355,336	\$387,129
FFP		\$355,337	\$387,128
Work Activity Program	0.13%	\$6,785	\$7,392
GF Match		\$3,393	\$3,696
FFP		\$3,392	\$3,696
Supported Employment Program (SEP) - Group Placement	0.50%	\$26,793	\$29,191
GF Match		\$13,396	\$14,596
FFP		\$13,397	\$14,595
SEP - Individual Placement	0.18%	\$9,454	\$10,300
GF Match		\$4,727	\$5,150
FFP		\$4,727	\$5,150
Transportation	3.58%	\$190,604	\$207,657
GF Match		\$95,302	\$103,828
FFP		\$95,302	\$103,829
Support Services	30.66%	\$1,630,224	\$1,776,084
GF Match		\$815,112	\$888,042
FFP		\$815,112	\$888,042
In-Home Respite	11.80%	\$627,493	\$683,636
GF Match		\$313,747	\$341,818
FFP		\$313,746	\$341,818
Out-of-Home-Respite	0.53%	\$28,270	\$30,800
GF Match		\$14,135	\$15,400
FFP		\$14,135	\$15,400
Health Care	0.82%	\$43,850	\$47,774
GF Match		\$21,925	\$23,887
FFP		\$21,925	\$23,887

Home and Community-Based Services Waiver

EXPENDITURES (CONTINUED):

		<u>FY 2022-23</u>	<u>FY 2023-24</u>
	% of Expenditures		
Miscellaneous Services	2.51%	\$133,674	\$145,634
GF Match		\$66,837	\$72,817
FFP		\$66,837	\$72,817
Policies			
Bilingual Differentials for Direct Service Professionals		\$4,760	\$4,760
GF Match		\$2,380	\$2,380
FFP		\$2,380	\$2,380
Competitive, Integrated Employment Incentives		\$15,840	\$15,840
GF Match		\$7,920	\$7,920
FFP		\$7,920	\$7,920
Compliance with HCBS Purchase of Services		\$7,440	\$7,440
GF Match		\$3,720	\$3,720
FFP		\$3,720	\$3,720
Direct Services Professional Workforce Training and Development		\$6,880	\$6,880
GF Match		\$3,440	\$3,440
FFP		\$3,440	\$3,440
Enhanced Federal Funding		\$0	\$0
GF Match		(\$298,125)	\$0
FFP		\$298,125	\$0
Financial Management Services for SDP Participants		\$4,774	\$4,774
GF Match		\$2,387	\$2,387
FFP		\$2,387	\$2,387
Resources to Support Individuals Who Are Deaf		\$9,962	\$0
GF Match		\$4,981	\$0
FFP		\$4,981	\$0
Self-Determination Ongoing Implementation		\$1,530	\$2,402
GF Match		\$765	\$1,201
FFP		\$765	\$1,201

Home and Community-Based Services Waiver

EXPENDITURES (CONTINUED):	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Senate Bill 3 (SB3), Minimum Wage, Effective January 1, 2023	\$37,148	\$83,978
GF Match	\$18,574	\$41,989
FFP	\$18,574	\$41,989
SB3, Minimum Wage, Consumer Price Index Effective January 1, 2024	\$0	\$54,020
GF Match	\$0	\$27,010
FFP	\$0	\$27,010
Service Provide Rate Reform	\$36,582	\$506,918
GF Match	\$18,291	\$253,459
FFP	\$18,291	\$253,459
Social Recreation and Camping Services	\$0	\$5,528
GF Match	\$0	\$2,764
FFP	\$0	\$2,764
START Training	\$11,236	\$12,348
GF Match	\$5,618	\$6,174
FFP	\$5,618	\$6,174
Work Activity Program: New Service Model	\$5,632	\$0
GF Match	\$2,816	\$0
FFP	\$2,816	\$0

Home and Community-Based Services Waiver

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$5,966,371	\$5,459,175	(\$507,196)
GF Match	\$2,792,220	\$2,431,463	(\$360,757)
Reimbursement	\$3,174,151	\$3,027,712	(\$146,439)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$5,459,175	\$6,498,036	\$1,038,861
GF Match	\$2,431,463	\$3,249,018	\$817,555
Reimbursement	\$3,027,712	\$3,249,018	\$221,306

Home and Community-Based Services Waiver Administration

BACKGROUND:

The Home and Community-Based Services (HCBS) Waiver enables the Department to provide a broad array of home and community-based services to eligible individuals who, without these services, would require the level of care provided in an intermediate care facility for individuals with developmental disabilities. These HCBS Waiver Administration funds are for the proper and efficient administration of the HCBS Waiver.

METHODOLOGY:

Direct Support Professional Training:

Welfare and Institutions Code § 4695.2 mandates all Direct Support Professional's (DSP) working in licensed Community Care Facilities (CCFs) to either pass a competency test or satisfactory complete each of two consecutive, 35-hour training segments within two years of their hire. The testing and training program are conducted through an Interagency Agreement (IA) with the California Department of Education (CDE). Estimate of the Operations costs covers the challenge tests and training through the IA with CDE.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Direct Support Professional:	\$3,478	\$3,478
<ul style="list-style-type: none"> Total cost for DSP Training is \$4,700 in fiscal year (FY) 2022-23 and \$4,700 in FY 2023-24. 74% of the consumers residing in CCFs are HCBS Waiver eligible; therefore, it is assumed that 74% of the cost are eligible for federal financial participation (FFP). These costs are reflected under Operations, Projects. 		

Staffing for Collection of Federal Financial Participation (FFP) for Contracted Services:

Regional center staff contracts with vendors, liaison with Department experts on changes required to expand and enhance existing billing options and train vendors and regional center personnel involved in the billing processes. These billing processes include entering necessary attendance and other required billing data from paper invoices submitted by vendors, and reviewing, adjusting, and/or correcting attendance data after it is uploaded to the Uniform Fiscal System. These resources allow the State to collect HCBS Waiver Administration reimbursements.

Staffing for Collection of FFP for Contracted Services	\$726	\$726
<ul style="list-style-type: none"> Total cost of Staffing for Collection of FFP for Contracted Services is \$1,893. <p>These costs are reflected under Operations, Staffing.</p>		

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):

FY 2022-23FY 2023-24

Staffing for Collection of FFP for Contracted Services:

The Centers for Medicare & Medicaid Services (CMS) approved the rate-setting methodology for the Targeted Case Management (TCM) program which distributes administrative costs previously in TCM to other programs.

FY 2003-04 FFP Enhancement, Phase II:

\$9,318

\$9,318

- Total regional center administrative cost for FY 2022-23 and FY 2023-24 is \$9,318.
- 100% of costs are eligible for FFP.

These costs are reflected under Operations, Staffing.

Compliance with Home and Community Based Services (HCBS) Settings Regulations:

In January 2014, CMS published final regulations defining what constitutes a home and community-based setting for Medicaid reimbursement purposes under Section 1915(c) HCBS waivers, and Section 1915(i) HCBS State Plan programs. The effective date of the regulations was March 17, 2014, and states are allowed up to a five-year transition period to make any modifications necessary to comply with the regulations. Subsequently, CMS notified states on May 9, 2017, that compliance is required by March 17, 2023. These expenditures fund additional staffing needed to perform the initial and ongoing efforts and activities necessary to ensure compliance with CMS final regulations.

Compliance with HCBS Settings Regulations:

\$1,422

\$1,422

- Total cost of Compliance with HCBS Settings Regulations is \$1,422 in FY 2022-23 and FY 2023-24.
 - 100% of costs are eligible for FFP.
- These costs are reflected under Operations, Staffing.

Federal Medicaid Requirements for Regional Center HCBS Services:

\$984

\$984

- Total cost: \$984.
 - 100% of costs are eligible for FFP.
- These costs are reflected in the Federal Medicaid Requirements for regional center HCBS Services estimate under Operations, Federal Compliance.

Home and Community-Based Services Waiver Administration

METHODOLOGY (CONTINUED):	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Office of Administrative Hearings:	\$1,714	\$2,571
<ul style="list-style-type: none"> Total cost for Resources for Health Care Community Specialist in FY 2022-23 is \$3,885 and \$5,828 in FY 2023-24. 44% of costs are eligible for FFP. These costs are reflected in the Office of Administrative Hearings estimate under Operations, Projects. 		
Clients' Rights Advocacy:	\$3,864	\$4,062
<ul style="list-style-type: none"> Total cost for FY 2022-23 is \$8,760 and \$9,207 for FY 2023-24. 44% of costs are eligible for FFP. These costs are reflected in the Client Rights Advocacy estimate under Operations, Projects. 		
Quality Assessment:	\$2,212	\$2,277
<ul style="list-style-type: none"> Total cost for FY 2022-23 is \$5,014 and \$5,161 for FY 2023-24. 44% of costs are eligible for FFP in FY 2022-23 and FY 2023-24. These costs are reflected in the Quality Assessment Contract estimate under Operations, Projects. 		
Special Incident Reporting/Risk Assessment:	\$460	\$460
<ul style="list-style-type: none"> Total cost is \$1,200 for FY 2022-23 and FY 2023-24. 38% of costs are eligible for FFP. These costs are reflected in the Special Incident Reporting/Risk Assessment estimate under Operations, Projects. 		

Home and Community-Based Services Waiver Administration

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
METHODOLOGY (CONTINUED):		
Specialized Home Monitoring:	\$7,862	\$7,932
<ul style="list-style-type: none"> Total costs for FY 2022-23 is \$12,284 and FY 2023-24 is \$12,394. 64% of costs are eligible for FFP. These costs are reflected under Operations, Staffing . 		
Oversight and Accountability:	\$3,900	\$3,900
<ul style="list-style-type: none"> Total costs for FY 2022-23 and FY 2023-24 are \$4,450. These costs are reflected under Operations, Staffing. 		
Community Navigators:	\$4,200	\$4,200
<ul style="list-style-type: none"> Total costs for FY 2022-23 and FY 2023-24 are \$5,300. This cost is reflected under Operations, Staffing. 		
Direct Service Professional Workforce Training and Development:	\$2,800	\$2,800
<ul style="list-style-type: none"> Total costs for FY 2022-23 and FY 2023-24 are \$4,300. This cost is reflected under Operations, Operations Policies Items. 		
TOTAL EXPENDITURES	\$42,940	\$44,130

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$43,147	\$42,940	(\$207)
GF Match	\$21,573	\$21,470	(\$103)
Reimbursement	\$21,574	\$21,470	(\$104)

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$42,940	\$44,130	\$1,190
GF Match	\$21,470	\$22,065	\$595
Reimbursement	\$21,470	\$22,065	\$595

Medicaid Administration

BACKGROUND:

Clinical Support Teams and Senate Bill (SB) 1038 Health Reviews (Regional Center Operations)

Clinical Support Teams assist the regional centers to adequately monitor the health care of consumers with severe behavior and/or medical problems, by providing health-related consultation to consumers, their families, providers, and other community health professionals, completing mortality reviews following consumer deaths, and assuring health care access and advocacy for consumers.

In addition, clinical support teams complete yearly reviews of medications, health care plans, and behavior plans for all consumers in community care facilities and in supported and independent living arrangements. Clinical teams also review circumstances leading to all deaths of these consumers.

Pursuant to SB 1038 (Chapter 1043, Statute of 1998), regional center physicians and nurses (with clerical support) provide medical reviews for the remainder of the community consumers who are not receiving medical reviews from the clinical support teams.

These activities are eligible for federal Medicaid Administration (MA) reimbursement.

Compliance with Home and Community-Based Services (HCBS) Waiver Requirements (Regional Center Operations)

Regional center physicians and psychiatrists perform activities, including clinical consultation, monitoring, and review of consumers medications, to maintain regional center compliance with the HCBS Waiver.

Developmental Center Closure-Ongoing Workload

Funding includes salaries, benefits, and operating expenses and equipment for the regional center positions associated with the closure of the developmental centers and the transition of individuals to community living arrangements. Regional centers will continue to provide support and monitoring of individuals who have moved into the community. This includes, but is not limited to, coordination of clinical health and dental services, and quality assurance and management reviews. These positions include quality assurance management, healthcare community specialists, nurse and oral health consultants, service coordinators, clinical support teams and administrative assistants.

METHODOLOGY:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> Costs for Clinical Support Teams and SB 1038 Health Reviews are based on FY 2020-21 data collected from the regional centers. 	\$21,595	\$21,595
<ul style="list-style-type: none"> The Federal Financial Participation (FFP) portion of total MA-eligible costs is 75 percent. 	\$16,196	\$16,196

Medicaid Administration

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
• Staffing for Compliance with HCBS Waiver Requirements.		
• Total personal services and operating costs related to MA. The costs are 100 percent eligible for MA because related staff will be working only with HCBS Waiver consumers.	\$2,600	\$2,600
• The FFP portion of total MA-eligible costs is 75 percent.	\$1,950	\$1,950
• Developmental Center Closure Ongoing Workload:		
• Total personal services, operating and placement continuation costs related to MA.	\$3,296	\$3,296
• It is assumed that 100 percent of costs are eligible for MA.		
• The FFP portion of total MA-eligible costs is 75 percent.	\$2,472	\$2,472

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$25,397	\$27,491	\$2,094
GF Match	\$6,349	\$6,873	\$524
Reimbursement	\$19,048	\$20,618	\$1,570

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$27,491	\$27,491	\$0
GF Match	\$6,873	\$6,873	\$0
Reimbursement	\$20,618	\$20,618	\$0

Targeted Case Management

BACKGROUND:

The Targeted Case Management (TCM) program provides matching federal Medicaid funds for case management services provided by a regional center for specific client groups. There are approximately 255,359 Medi-Cal eligible persons in the regional center (RC) system as of September 12, 2022. Federal legislation enacted in 1986 defined these case management services as services which "...will assist individuals...in gaining access to needed medical, social, educational, and other services". This program provides federal financial participation (FFP) for most of RC case managers time spent on Medi-Cal eligible activities.

METHODOLOGY:

The fiscal is developed using the TCM RC Billed Units report for the period of July 2021 – June 2022.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> • Base: TCM expenditures are based on actual TCM billable units for a 12-month period (July 2021 – June 2022) multiplied by the RC TCM rates. 	\$488,980	\$507,942
<ul style="list-style-type: none"> • Trauma Informed Services for Foster Youth 	\$1,000	\$2,000
<ul style="list-style-type: none"> • Regional Center Emergency Coordinators 	\$1,600	\$0
<ul style="list-style-type: none"> • Enhanced Service Coordination 	\$6,200	\$6,200
<ul style="list-style-type: none"> • Implicit Bias Training 	\$2,952	\$0
<ul style="list-style-type: none"> • Resources to Support Individuals Who Are Deaf 	\$2,814	\$2,814
<ul style="list-style-type: none"> • Performance Incentives 	\$55,984	\$55,984
<ul style="list-style-type: none"> • Health and Safety Waiver Assistance 	\$2,824	\$0
<ul style="list-style-type: none"> • Reduced Caseload Ratio for Children through Age Five 	\$38,312	\$105,482
<ul style="list-style-type: none"> • Early Start – Part C to Part B Transitions 	\$1,964	\$1,964
<ul style="list-style-type: none"> • Forensic Diversion 	\$342	\$0
<ul style="list-style-type: none"> • Self-Determination Ongoing Implementation 	\$5,200	\$5,200
<ul style="list-style-type: none"> • Service Provider Rate Reform 	\$0	\$8,528
<ul style="list-style-type: none"> • Language Access and Cultural Competency 	\$0	\$3,360
<ul style="list-style-type: none"> • Disparities Within the Developmental Services System 	\$0	\$1,680
<ul style="list-style-type: none"> • TOTAL EXPENDITURES 	\$608,172	\$701,154

Targeted Case Management

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$613,082	\$608,172	(\$4,910)
GF Match	\$287,703	\$270,904	(\$16,799)
Reimbursement	\$325,379	\$337,268	\$11,889

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$608,172	\$701,154	\$92,982
GF Match	\$270,904	\$350,577	\$79,673
Reimbursement	\$337,268	\$350,577	\$13,309

Title XX Block Grant

BACKGROUND:

The State has received federal Title XX Block Grant funds for social services programs since 1981, and the funds are administered by the Department of Social Services (DSS). Although each state has wide discretion in determining the range of services to be provided and how the funds are to be distributed, federal statute establishes five service goals as follows:

- Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency;
- Achieving or maintaining self-sufficiency, including the reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating, or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate.

Temporary Assistance for Needy Families (TANF): Title XX Block Grants funds are available for regional center expenditures for individuals under age 18 whose family income is less than 200 percent of the income poverty line (as defined by the federal Office of Management and Budget) applicable to a family of the size involved.

METHODOLOGY:

The Department's portion of the Title XX Block Grant is determined by DSS. There are no state matching requirements for these funds.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Total	\$213,421	\$213,421
Social Services	\$136,264	\$136,264
TANF	\$77,157	\$77,157

Title XX Block Grant

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Total Title XX Block Grant – Social Services	\$213,421	\$213,421
Estimated Distribution in	\$136,264	\$136,264
Regional Center Purchases of Services		
Day Programs	\$34,802	\$34,802
Transportation	\$4,985	\$4,985
Support Services	\$58,324	\$58,324
In-Home Respite	\$34,020	\$34,020
Out-of-Home Respite	\$1,012	\$1,012
Miscellaneous Services	\$3,121	\$3,121
TANF		
Estimated Distribution in	\$77,157	\$77,157
Regional Center Purchases of Services		
Community Care Facilities	\$20,100	\$20,100
Day Programs	\$585	\$585
Transportation	\$457	\$457
Support Services	\$9,035	\$9,035
In-Home Respite	\$22,186	\$22,186
Out-of-Home Respite	\$4,041	\$4,041
Health care	\$5,571	\$5,571
Miscellaneous Services	\$15,182	\$15,182

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$213,421	\$213,421	\$0
Social Services	\$136,264	\$136,264	\$0
TANF	\$77,157	\$77,157	\$0

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$213,421	\$213,421	\$0
Social Services	\$136,264	\$136,264	\$0
TANF	\$77,157	\$77,157	\$0

Intermediate Care Facility – Developmentally Disabled State Plan Amendment

BACKGROUND:

In 2007, the Department, in conjunction with the Department of Health Care Services, submitted a State Plan Amendment (SPA) seeking federal financial participation (FFP) for the Day Programs and Transportation Services. The services provided are for consumers residing in Intermediate Care Facility - Developmentally Disabled (ICF-DD) settings. The Centers for Medicare & Medicaid Services approved the SPA on April 14, 2011, retroactive to July 1, 2007.

METHODOLOGY:

The total expenditures for adult day treatment and non-medical transportation services received by regional center consumers residing in an ICF-DD are based on actual expenditures from fiscal year (FY) 2021-22.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$109,809	\$109,642	(\$167)
GF Match	\$51,501	\$48,839	(\$2,662)
FFP	\$58,308	\$60,803	\$2,495

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$109,642	\$109,642	\$0
GF Match	\$48,839	\$54,821	\$5,982
FFP	\$60,803	\$54,821	(\$5,982)

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees

BACKGROUND:

To realize the federal financial participation (FFP) associated with the Intermediate Care Facilities - Developmentally Disabled (ICF-DD) State Plan Amendment, there are administrative costs for regional centers (RC).

METHODOLOGY:

- The fiscal is developed using the State Claims Purchase of Services (POS) Claims data file, dated September 2022, based on fiscal year (FY) 2021-22 actuals.
- ICF-DD Administrative Costs and Quality Assurance Fees (QAF) are set by the Department of Health Care Services (DHCS).
- RC administration costs are 1.5 percent of the costs of Day Programs and Transportation expenditures.
- ICF-DD administration costs are 1.5 percent of the costs of Day Programs and Transportation expenditures for both the RC and ICF-DD.
- POS costs for Day Programs and Transportation total \$109.6 million. QAF is \$10.5 million.
- FY 2022-23 and FY 2023-24: Total billing costs are \$1.6 million for regional center administration, \$1.7 million for ICF-DD administration, and \$7.2 million QAF.

Intermediate Care Facility – Developmentally Disabled Quality Assurance Fees

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$10,540	\$10,526	(\$14)
Operations	\$1,647	\$1,647	\$0
GF Match	\$823	\$823	\$0
FFP	\$824	\$824	\$0
Purchase of Services	\$8,893	\$8,879	(\$14)
QAF Admin Fees	\$4,447	\$4,440	(\$7)
Transfer from DHCS	\$4,446	\$4,439	(\$7)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$10,526	\$10,524	(\$2)
Operations	\$1,647	\$1,645	(\$2)
GF Match	\$823	\$823	\$0
FFP	\$824	\$822	(\$2)
Purchase of Services	\$8,879	\$8,879	\$0
QAF Admin Fees	\$4,440	\$4,440	\$0
Transfer from DHCS	\$4,439	\$4,439	\$0

1915(i) State Plan Amendment

BACKGROUND:

Section 6086 of the Deficit Reduction Act of 2005, (Public Law 109-171) established an optional Medicaid benefit giving states a new method for covering Home and Community-Based services for Medicaid beneficiaries beginning in January 2007. To date only a few other states have exercised this option for mental health benefits delivery. The Department in a joint effort with the Department of Health Care Services (DHCS), submitted a 1915(i) State Plan Amendment (SPA) to the Centers for Medicare & Medicaid Services (CMS) to be effective October 2009 to cover habilitation, respite, and other services allowable under a 1915(i) SPA. Subsequent changes to federal law have allowed the Department to seek further expansion of the services covered under the 1915(i) SPA.

METHODOLOGY:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> Base: Updated fiscal year (FY) 2021-22 expenditures were used to develop the base. For FY 2023-24, the prior year estimate for base and growth, with the following adjustments, was used as the base. Cost without Community Placement Plan. 	\$1,046,404	\$1,143,787
<ul style="list-style-type: none"> Competitive Integrated Employment Incentives 	\$2,160	\$2,160
<ul style="list-style-type: none"> Compliance with Home and Community-Based Services Purchase of Services 	\$560	\$560
<ul style="list-style-type: none"> Bilingual Differentials for Direct Service Professionals 	\$840	\$840
<ul style="list-style-type: none"> SB 3, Minimum Wage Increase, Effective January 1, 2023 	\$6,756	\$15,404
<ul style="list-style-type: none"> Work Activity Programs: New Service Model 	\$994	\$0
<ul style="list-style-type: none"> START Training 	\$1,984	\$2,180
<ul style="list-style-type: none"> Direct Service Professional Workforce Training and Development 	\$1,214	\$1,214
<ul style="list-style-type: none"> Self-Determination Ongoing Implementation 	\$270	\$424
<ul style="list-style-type: none"> Resources to Support Individuals Who Are Deaf 	\$1,758	\$0
<ul style="list-style-type: none"> Service Provider Rate Reform 	\$6,456	\$89,456
<ul style="list-style-type: none"> Financial Management Services for SDP Participants 	\$842	\$842
<ul style="list-style-type: none"> SB 3, Minimum Wage Increase, Effective January 1, 2024, CPI Adjustment 	\$0	\$9,534
<ul style="list-style-type: none"> Social Recreation and Camping Services 	\$0	\$976
<ul style="list-style-type: none"> TOTAL EXPENDITURES: 	\$1,070,238	\$1,267,377

1915(i) State Plan Amendment**EXPENDITURES (CONTINUED):**

		<u>FY 2022-23</u>	<u>FY 2023-24</u>
Total Estimated 1915(i) Billable Expenditures		\$1,070,238	\$1,267,377
General Fund (GF) Match		\$476,679	\$633,688
Federal Financial Participation		\$593,559	\$633,689
Purchase of Services: Budget Category	% of expenditures		
Community Care Facilities	24.02%	\$251,327	\$274,717
GF Match		\$125,664	\$137,359
FFP		\$125,663	\$137,358
Day Programs	16.31%	\$170,661	\$186,543
GF Match		\$85,331	\$93,271
FFP		\$85,330	\$93,272
Work Activity Program	0.33%	\$3,410	\$3,727
GF Match		\$1,705	\$1,863
FFP		\$1,705	\$1,864
Supported Employment Program – Group	3.11%	\$32,511	\$35,536
GF Match		\$16,255	\$17,768
FFP		\$16,256	\$17,768
Supported Employment Program – Individual	1.44%	\$15,094	\$16,499
GF Match		\$7,547	\$8,250
FFP		\$7,547	\$8,249
Transportation	4.03%	\$42,186	\$46,113
GF Match		\$21,093	\$23,057
FFP		\$21,093	\$23,056
Support Services	31.16%	\$326,063	\$356,408
GF Match		\$163,031	\$178,204
FFP		\$163,032	\$178,204
In-Home Respite	13.24%	\$138,592	\$151,490
GF Match		\$69,296	\$75,745
FFP		\$69,296	\$75,745
Out-of-Home Respite	0.54%	\$5,683	\$6,212
GF Match		\$2,841	\$3,106
FFP		\$2,842	\$3,106

1915(i) State Plan Amendment

EXPENDITURES (CONTINUED):	% of expenditures	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Health Care	1.19%	\$12,463	\$13,623
GF Match		\$6,232	\$6,811
FFP		\$6,231	\$6,812
Miscellaneous Services	4.63%	\$48,414	\$52,919
GF Match		\$24,207	\$26,459
FFP		\$24,207	\$26,460
Policies			
Competitive, Integrated Employment Incentives		\$2,160	\$2,160
GF Match		\$1,080	\$1,080
FFP		\$1,080	\$1,080
Compliance with HCBS Regulations		\$560	\$560
GF Match		\$280	\$280
FFP		\$280	\$280
Enhanced Federal Funding		\$0	\$0
GF Match		(\$58,440)	\$0
FFP		\$58,440	\$0
Bilingual Differentials for Direct Service Professionals		\$840	\$840
GF match		\$420	\$420
FFP		\$420	\$420
SB 3, Minimum Wage Increase, Effective January 1, 2023		\$6,756	\$15,404
GF Match		\$3,378	\$7,707
FFP		\$3,378	\$7,707
Work Activity Programs: New Service Model		\$944	\$0
GF Match		\$497	\$0
FFP		\$497	\$0
START Training		\$1,984	\$2,180
GF Match		\$992	\$1,090
FFP		\$922	\$1,090
Direct Service Professional Workforce Training and Development		\$1,214	\$1,214
GF Match		\$607	\$607
FFP		\$607	\$607
Self-Determination Ongoing Implementation		\$270	\$424
GF Match		\$135	\$212
FFP		\$135	\$212

1915(i) State Plan Amendment

EXPENDITURES (CONTINUED):	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Resources to Support Individuals Who Are Deaf	\$1,758	\$0
GF Match	\$879	\$0
FFP	\$879	\$0
Service Provider Rate Reform	\$30,500	\$89,456
GF Match	\$15,250	\$44,728
FFP	\$15,250	\$44,728
Financial Management Services for SDP Participants	\$842	\$842
GF Match	\$421	\$421
FFP	\$421	\$421
SB 3, Minimum Wage Increase, Effective January 1, 2024, CPI Adjustment	\$0	\$9,534
GF Match	\$0	\$4,767
FFP	\$0	\$4,767
Social Recreation and Camping Services	\$0	\$976
GF Match	\$0	\$488
FFP	\$0	\$488

1915(i) State Plan Amendment**EXPENDITURES:**

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,070,596	\$1,070,238	(\$358)
GF Match	\$501,044	\$476,679	(\$24,365)
FFP	\$569,552	\$593,559	\$24,007

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$1,070,238	\$1,267,377	\$197,139
GF Match	\$476,679	\$633,688	\$157,009
FFP	\$593,559	\$633,689	\$40,130

Early Periodic Screening Diagnosis and Treatment

BACKGROUND:

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) is a Medicaid (Medi-Cal) benefit for individuals under the age of 21 who have full-scope Medi-Cal eligibility. In addition to the regular Medi-Cal benefits, a beneficiary may receive EPSDT Supplemental Services. The Department submitted a State Plan Amendment (SPA 11-040) to the Centers for Medicare & Medicaid Services that will cover some regional center funded services for children under age three that are not eligible for federal reimbursement under other Medicaid funded programs. The SPA 11-040 was approved in October 2015 and is retroactive to October 2011.

METHODOLOGY:

The estimated budget is based on expenditures from fiscal year (FY) 2021-22. EPSDT funding is only in the Day Programs budget category.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$50,754	\$39,166	(\$11,588)
GF Match	\$23,803	\$17,446	(\$6,357)
FFP	\$26,951	\$21,720	(\$5,231)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$39,166	\$41,690	\$2,524
GF Match	\$17,466	\$20,845	\$3,399
FFP	\$21,720	\$20,845	(\$875)

Department of Health Care Services Behavioral Health Treatment Fee-for-Service

BACKGROUND:

Senate Bill 870 (Chapter 40, Statutes of 2014) added Welfare and Institutions Code §14132.56 to direct the Department of Health Care Services (DHCS) to implement Behavioral Health Treatment (BHT) services, to the extent it is required by the federal government, to be covered by Medi-Cal for individuals up to the age of 21.

DHCS obtained approval from the Centers for Medicare & Medicaid Services (CMS) to include BHT services as a Medi-Cal benefit in January 2016. Individuals who were receiving BHT services through the regional centers became eligible to receive these services under the Medi-Cal benefit.

The estimate reflects costs of BHT services for consumers enrolled in Fee-for-Service Medi-Cal. These children receive services through the regional centers, and DHCS reimburses the Department for the related expenditures.

METHODOLOGY:

Full year costs for these consumers were estimated based on actual fiscal year (FY) 2021-22 data.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$13,501	\$11,014	(\$2,487)
Reimbursement	\$13,501	\$11,014	(\$2,487)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$11,014	\$11,014	\$0
Reimbursement	\$11,014	\$11,014	\$0

Self-Determination Program Waiver

BACKGROUND:

On December 31, 2014, the Department submitted a Home and Community-Based Services (HCBS) Waiver application to Centers for Medicare and Medicaid Services (CMS) seeking federal funding for the Self-Determination Program (SDP). Under the authority of Senate Bill (SB) 468 (Chapter 683, Statutes of 2013) and upon CMS approval, the Department is implementing the SDP, allowing regional center consumers and their families more freedom, control and responsibility. The SDP waiver was approved by the CMS on June 7, 2018. Participants can only purchase services and supports that are approved by the federal government and listed in the SDP waiver.

METHODOLOGY:

Fiscal Year (FY) 2021-22 actual Self-Determination Program expenditures and client counts are used in forecasting SDP. Funding was distributed to each budget category based on the percent of HCBS waiver expenditures by budget category. See the HCBS Waiver methodology page in Fund Sources for actual percentages.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
• Community Care Facilities	\$59,922	\$114,200
• Day Programs	\$21,928	\$42,501
• Work Activity Program	\$279	\$542
• Supported Employment Program (SEP) - Group Placement	\$827	\$1,602
• SEP - Individual Placement	\$293	\$565
• Transportation	\$5,881	\$11,399
• Support Services	\$50,303	\$97,493
• In-Home Respite	\$19,362	\$37,526
• Out-of-Home Respite	\$872	\$1,691
• Health Care	\$1,353	\$2,622
• Miscellaneous Services	\$4,125	\$7,994
• TOTAL EXPENDITURES	\$164,145	\$318,135

Self-Determination Program Waiver

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$74,149	\$164,145	\$89,996
GF Match	\$37,074	\$82,072	\$44,998
Reimbursement	\$37,075	\$82,073	\$44,998

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$164,145	\$318,135	\$153,990
GF Match	\$82,072	\$159,068	\$76,996
Reimbursement	\$82,073	\$159,067	\$76,994

Program Development Fund/Parental Fees

BACKGROUND:

Parents of children under the age of 18 who receive 24-hour out-of-home services provided by the State, and purchased with state funds through a regional center, are required to pay a fee depending on their ability to do so (the Parental Fee Program). Similarly, parents of children under the age of 18 who live at home and receive qualifying services from a regional center whose adjusted gross family income is at or above 400 percent of the federal poverty level are required to pay an annual fee (the Annual Family Program Fee). The Department deposits fees received into the Program Development Fund. Amounts received are then utilized to provide resources needed to initiate new programs which are consistent with the State Plan or offset General Fund costs (Welfare and Institution Code §4677, §4784, and §4785).

METHODOLOGY:

The 2022 Budget Act statutorily suspended the Annual Family Program Fee through June 30, 2023. The Parental Fee Program will resume collection activities at the conclusion of the Governor's COVID-19 State of Emergency, scheduled for February 28, 2023. FY 2023-24 expenditures reflect reinstatement of assessments and collections of fees.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$434	\$0	(\$434)
Parental Fees	\$306	\$0	(\$306)
Annual Family Program Fees	\$128	\$0	(\$128)

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$434	\$434
Parental Fees	\$0	\$306	\$306
Annual Family Program Fees	\$0	\$128	\$128

Developmental Disabilities Services Account

BACKGROUND:

The Developmental Disabilities Services Account is used as a depository for application fees collected by the Department for reviewing and approving housing proposals pursuant to Senate Bill (SB) 1175 (Chapter 617, Statutes of 2008).

These costs are reflected in the review of SB 1175 Housing Proposals, under Operations, Projects.

METHODOLOGY:

Based on historical and current data, the Department is authorized to receive housing proposals, including application fees totaling \$150,000.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$150	\$150	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$150	\$150	\$0

Mental Health Services Fund

BACKGROUND:

Proposition 63, also known as the Mental Health Services Act (MHSA), imposes an additional tax on taxable income over \$1.0 million to provide funds to expand services and develop innovative programs. Consistent with the requirements of the MHSA, the Department funds regional centers to implement projects with community partners that focus on prevention, early intervention, and treatment for children and adults who are dually diagnosed (i.e. have a developmental disability and a mental illness).

METHODOLOGY:

Expenditures are set amounts and consistent with prior year.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$740	\$740	\$0

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$740	\$740	\$0

HCBS ARPA Spending Plan

BACKGROUND:

On March 11, 2021, President Biden signed American Rescue Plan Act of 2021 (ARPA) (Pub. L. 117-2). Section 9817 of the ARPA provides qualifying states with a temporary 10 percentage point increase to the federal medical assistance percentage (FMAP) for certain Medicaid expenditures for Home and Community-Based Services (HCBS) programs from April 1, 2021, through March 31, 2022. In accordance with the Centers for Medicare & Medicaid Services (CMS) guidance related to ARPA Section 9817 issued on May 13, 2021, through the State Medicaid Director Letter numbered 21-003 (SMD #21-003), on July 12, 2021, California submitted its preliminary HCBS spending plan to CMS. This spending plan targets investment in a number of initiatives, across a range of state HCBS programs to build a modern, inclusive HCBS system that provides robust health and human services to California's most vulnerable residents, in their communities, in ways that ensure that California's HCBS workforce has the training and support necessary to provide the highest level of service to those in their care. This spending plan reflects stakeholder feedback, incorporating a number of suggestions from advocates, providers, consumers, caregivers, community-based organizations, managed care plans, and foundations, provided from March through June 2021. The state's spending plan also reflects priorities from the state Legislature. Further, the initiatives included in this plan will be sustained through many ongoing investments, reflecting the collective vision of the state and its stakeholders.

METHODOLOGY:

The HCBS ARPA Spending plan will be funding five Local Assistance programs over a three-year timeframe in the amount of \$1.7 billion.

- Language Access and Cultural Competency, \$45.8 million (\$26.7 million HCBS ARPA, \$19.1 million Reimbursement).
- Coordinated Family Support Services, \$41.6 million (\$24.2 million HCBS ARPA, \$17.5 million Reimbursement).
- Social Recreation and Camping Services, \$121.1 million (\$76.0 million HCBS ARPA, \$45.2 million Reimbursement).
- Service Provider Rate Reform, \$1.5 billion (\$954.4 million HCBS ARPA, \$570.7 million Reimbursement).
- Enhanced Community Integration for Children and Adolescents, \$12.5 million (\$12.5 million HCBS ARPA).

HCBS ARPA Spending Plan

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$635,349	\$844,220	\$208,871
HCBS ARPA	\$384,572	\$468,376	\$83,804
HCBS ARPA Reimbursement	\$250,777	\$375,844	\$125,067

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$844,220	\$664,812	(\$179,408)
HCBS ARPA	\$468,376	\$475,794	\$7,418
HCBS ARPA Reimbursement	\$375,844	\$189,018	(\$186,826)

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

BACKGROUND:

Part C of the federal Individuals with Disabilities Education Act (IDEA) provides federal grant funding for states to develop and operate early intervention programs for families and their children from birth up to age 3 with developmental delays, disabilities, or conditions which place them at a high risk of disabilities. The program, known as Early Start in California, is administered according to Title 34 of the Code of Federal Regulations, §303.1 through §303.654. The program is also administered according to the California Early Intervention Services Act (CEISA) and Title 17 of the California Code of Regulations, §52000 through §52175.

California has designated the Department to act as its lead agency for preparing the annual grant application and for receiving and administering federal grant funds. The Department allocates a significant portion of the federal funding to regional centers (RC) for local program operation. In addition, the Department has an interagency agreement with the California Department of Education (CDE) to provide funding for local education agency programs and services in accordance with the CEISA, contained in Title 14 of the Government Code (GC), §95000 through 95029. Further, in accordance with the CEISA, the Department is the lead agency for the administration of the Early Start program, which provides services for infants and toddlers with developmental delays, disabilities, or conditions that place them at risk of disabilities. As noted in GC 95001, family-to-family support, provided through California's network of Family Resource Centers (FRCs), strengthens families' ability to fully participate in service planning and their capacity to care for their infants and toddlers.

METHODOLOGY:

Annual grant amounts are determined by the federal Offices of Special Education Programs (OSEP). The Department received a grant award letter dated July 1, 2022, for federal funding period July 1, 2022, through September 30, 2023.

The Part C IDEA Grant funds are used to pay costs for the additional federal requirements imposed by the Part C program. Funds are distributed in this order (1) other agencies and (2) RC Purchase of Services (POS). Services and costs for this age group are identified below. Costs for POS expenditures are already included in the forecasts for each of the POS budget categories, in the POS section of the Estimate.

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

METHODOLOGY (CONTINUED):

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
• Other Agencies (subtotal excludes *GF item below)	\$21,538	\$19,095
• CDE: Additional federal requirements include shorter timelines for conducting evaluations, assessment and program plan development, provision of year-round services, service coordination and administrative services, and provision of services to children with solely low incidence disabilities in regions where such services to this age group were not provided prior to Part C implementation.	\$14,600	\$14,600
<i>Local Education Agencies</i>	\$14,245	\$14,245
<i>Support</i>	\$355	\$355
• System Requirements: Funding is required for public awareness and a comprehensive system of personal development, mediation, and due process hearings conducted by the State Office of Administrative Hearings.	\$1,835	\$1,835
• Early Start FRC: Funds pay for services that are provided by 38 contractors. Services provide support for families with infants and toddlers that have developmental delays, disabilities, or conditions that place them at risk of disabilities. Services include, as specified in GC §95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and, per GC §95001(a)(4), family-to-family support to strengthen families' ability to participate in service planning.	\$4,663	\$4,663
<i>Family Resources Center: Federal Funds grant amount</i>	\$2,660	\$2,660
<i>Family Resources Services: 100 percent General Fund *</i>	\$2,003	\$2,003
• American Rescue Plan Act – IDEA Part C	\$2,443	\$0
• RC POS: The remaining Part C Grant funds, after funding CDE and FRC system requirements, are used for POS. The following estimates are based on the proportion of total POS expenditures in FY 2020-21 by budget category.	\$33,820	\$33,820
○ Day Programs	\$16,243	\$16,243
○ Support Services	\$157	\$157
○ In-Home Respite	\$99	\$99
○ Out-of-Home Respite	\$20	\$20
○ Health Care	\$1,495	\$1,495
○ Miscellaneous Services	\$15,806	\$15,806

Early Start Part C Grant Federal Funds/Early Start Family Resource Center

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$54,854	\$57,361	\$2,507
GF	\$2,003	\$2,003	\$0
Federal Funds	\$52,851	\$55,358	\$2,507

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$57,361	\$54,918	(\$2,443)
GF	\$2,003	\$2,003	\$0
Federal Funds	\$55,358	\$52,915	(\$2,443)

Foster Grandparent Program

BACKGROUND:

The Foster Grandparent Program (FGP) is a federal grant which provides men and women aged 55 and older, the opportunity to serve their community as tutors and mentors to children and youth under the age of 22 who have developmental disabilities and exceptional needs and being served by a regional center. Foster grandparents volunteer in community schools, Head Start centers, and pre-schools.

METHODOLOGY:

The funding is based on the Federal Grant.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$1,140	\$1,143	\$3

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$1,143	\$1,143	\$0

Table of Contents

SECTION I: FUTURE FISCAL ISSUES

Future Fiscal Issues I-1

FUTURE FISCAL ISSUES

Rate Reform Implementation

The Budget Act of 2021 provided multi-year funding for a phased-in implementation of rate models that support a person-centered, outcomes-based system including a quality incentive component. The Budget Act of 2022 accelerated the phased implementation of the rate models. As the Department works through these reviews, some changes to rate model assumptions or methodologies may be identified with a future fiscal impact. In addition, as directives and regulations are drafted and completed, changes to rate model assumptions with fiscal impact may also surface. The Department will evaluate these impacts through the annual budget process.

Centers for Medicare & Medicaid Services (CMS) Final Regulations for Home and Community-Based Services (HCBS)

The Department administers two 1915(c) Waivers (the HCBS Waiver for Persons with Developmental Disabilities and the HCBS Waiver for the Self-Determination Program) and a 1915(i) State Plan program. These programs enable the State to receive federal funding for services provided to approximately 230,000 consumers.

In early 2014, CMS published final regulations affecting 1915(c) Waiver programs, 1915(i) State Plan programs, and 1915(k) Community First Choice State Plans for HCBS provided through Medicaid. The purpose of the regulations is to provide services to individuals in HCBS settings that are integrated and support full access to the community. Originally, CMS required states to comply with the new federal regulations by March 17, 2019. However, on May 9, 2017, CMS notified states that given the difficult and complex nature of achieving compliance, the compliance date was extended to March 17, 2022.

On February 23, 2018, CMS granted initial approval of California's Statewide Transition Plan (STP). The State is working toward submitting the final transition plan to CMS for approval before the compliance deadline of March 2023. The STP summarizes California's commitment to, and plan for, achieving compliance, to include applicable changes in statute and/or regulation to comply with federal regulations. Included in the STP is a description of the process to assess settings where services are provided to determine what changes, if any, are needed for providers to comply with the HCBS regulations. The setting assessment process began in January 2020, with the provider self-assessment completed in August 2020. The validation of the assessments is anticipated to continue through the end of the transition period. Efforts to provide training and information for all stakeholders regarding the HCBS regulations and person-centered planning requirements continue; and as with prior years, the Budget includes \$15 million to continue assisting providers in making changes needed to comply with the HCBS regulations. Funding for providers continues to be an important support in achieving compliance through training on person-centered planning and resources specific to community integration, individual choice and autonomy, and competitive integrated employment opportunities. CMS requires ongoing monitoring of compliance; the Department continues to evaluate future resource needs for these purposes.

On July 14, 2020, in response to the COVID-19 public health emergency, CMS issued a State Medicaid Director Letter extending the compliance deadline to March 17, 2023. On May 25, 2022, CMS authorized additional time for states to fully implement specific criteria of the regulations in acknowledgment of the public health emergency impact on the direct service workforce. On November 30, 2022, the Department of Health Care Services, as the State's lead Medicaid agency, submitted a corrective action plan to CMS that addresses how the state intends to achieve full compliance by June 30, 2024. By March 2023, all settings must comply with criteria not directly impacted by the direct service workforce shortage (e.g., an individual receiving services must have the opportunity to control their own personal resources and settings must also have policies that reflect all elements of the regulations).

Conservatorship

The Department, in partnership with the National Association of State Directors of Developmental Disabilities Services, formed a panel of national experts to conduct a comprehensive review of the Department's conservatorship program. The Department is the court-appointed conservator for approximately 400 individuals. The panel is making recommendations to improve DDS' conservatorship process, identify alternatives to conservatorship to include supported decision making, and strengthen the Department's oversight when it is the conservator. The panel provided additional review and recommendations regarding conservatorships for individuals with intellectual and developmental disabilities when the DDS Director is not the conservator (approximately 57,000 individuals).

In early 2023, the Department will issue a public update on the panel's progress to-date and the recommendations resulting from these efforts.

Fee Program Plan

Pursuant to Chapter 49, Statutes of 2022 (SB 188) the Family Cost Participation Program (FCPP) and the Annual Family Fee Program (AFPF) were statutorily suspended for Fiscal Year 2022-23 in response to the COVID-19 pandemic. Additionally, statute required the Department to submit to the Legislature on or before January 10, 2023 a plan to revise the FCPP and the AFPF considering changes that include, but are not limited to, those that promote administrative efficiency and program compliance and are developed with input from stakeholders.

The Department aims to consolidate and streamline the process for collecting fees, so they are more equitable across varying family incomes and administratively efficient.

The Department shall continue discussions with stakeholders to further develop this plan and identify any necessary statutory or fiscal changes as part of the 2023-24 May Revision process.

Table of Contents

SECTION J: SUPPLEMENTAL REPORTING

Early Start Expenditures and Population.....	J-1
--	-----

Early Start Expenditures And Population

BACKGROUND:

Per Legislative request, the Department provides projected caseload and expenditures for the Early Start program. Early Start includes consumers from birth through 35 months.

METHODOLOGY:

The projected Early Start expenditures are developed using the September 2022 State Claims Data file, with expenditures through June 30, 2022.

2023-24 Governor's Budget Early Start Estimated Caseload and Expenditures						
Fiscal Year	*Monthly Caseload	POS Expenditures	Per Capita	Federal Funds (POS portion) Early Start	Federal Funds (POS portion) EPSDT	State Funds DDS General Funds
2022-23	57,915	\$557,262,000	\$9,622	\$33,820,000	\$21,720,000	\$501,722,000
2023-24	61,647	\$707,334,000	\$11,474	\$33,820,000	\$20,845,000	\$652,669,000

* Refer to Section C – Population for additional detail on the Early Start population.

Table of Contents

SECTION K: STATE OPERATED FACILITIES

Comparison of Enacted Budget to Governor's Budget FY 2022-23K-1

Comparison of Enacted Budget to Governor's Budget FY 2023-24K-2

Comparison of FY 2022-23 to FY 2023-24.....K-3

Facility Details

 Canyon Springs.....K-4

 Fairview.....K-6

 Porterville STPK-8

 STAR.....K-10

 CAST.....K-12

 Ongoing Costs.....K-14

Policy

 Control Section AdjustmentsK-16

 Inter-Agency Agreement with DGSK-18

 Retention Stipend ReappropriationK-19

 Foster Youth with Complex NeedsK-20

 Deferred Maintenance.....K-21

 Enhanced Federal FundingK-23

 Complex Needs Residential ProgramK-24

FundingK-25

Comparison of Enacted Budget to Governor's Budget FY 2022-23

I. OPERATIONS	Enacted Budget	FY 2022-23	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$30,752	\$30,752	\$0
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,278	\$11,278	\$0
C. Porterville Developmental Center			
1. Population	231	231	0
2. Units	15.0	15.0	0.0
3. Position	1,427.7	1,427.7	0.0
4. Operation Expenditures	\$191,252	\$191,252	\$0
5. Lease Revenue Bond	\$9,158	\$8,264	-\$894
D. STAR			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. Positions	199.8	199.8	0.0
4. Operation Expenditures	\$26,388	\$26,388	\$0
E. CAST			
1. CAST	3.0	3.0	0.0
2. Positions	21.8	21.8	0.0
3. Operation Expenditures	\$3,609	\$3,609	\$0
F. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	\$2,310	\$2,310	0
3. Workers' Compensation	\$28,349	\$28,349	0
4. Post Closure	\$1,495	\$1,495	0
Total Operations Expenditures	\$304,591	\$303,697	-\$894
II. POLICY			
A. Control Section Adjustments	\$0	\$11,305	\$11,305
B. Interagency Agreement with DGS	\$6,449	\$6,449	\$0
C. Retention Stipend Reallocation	\$500	\$500	\$0
D. Foster Youth with Complex Needs (previously named Youth Returning from Out-of-State Foster Care)	\$3,980	\$3,980	\$0
E. Deferred Maintenance	\$750	\$750	\$0
Total Policy	\$11,679	\$22,984	\$11,305
GRAND TOTAL	\$316,270	\$326,681	\$10,411
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$25,491	\$24,955	-\$536
2. General Fund Other	\$255,589	\$263,955	\$8,366
Total General Fund	\$281,080	\$288,910	\$7,830
B. Reimbursements			
1. Medi-Cal Reimbursements	\$28,611	\$31,192	\$2,581
2. Reimbursements Other	\$6,449	\$6,449	\$0
Total Reimbursements	\$35,060	\$37,641	\$2,581
C. Lottery Education Funds	\$130	\$130	\$0
GRAND TOTAL	\$316,270	\$326,681	\$10,411

Comparison of Enacted Budget to Governor's Budget FY 2023-24

I. OPERATIONS	Enacted Budget	FY 2023-24	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$30,752	\$30,752	\$0
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,278	\$11,278	\$0
C. Porterville Developmental Center			
1. Population	231	221	-10
2. Units	15.0	14.5	-0.5
3. Position	1,427.7	1,393.5	-34.2
4. Operation Expenditures	\$191,252	\$187,566	-\$3,686
5. Lease Revenue Bond	\$9,158	\$8,281	-\$877
D. STAR			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. Positions	199.8	226.8	27.0
4. Operation Expenditures	\$26,388	\$42,263	\$15,875
E. CAST			
1. CAST	3.0	3.0	0.0
2. Positions	21.8	19.0	-2.8
3. Operation Expenditures	\$3,609	\$3,329	-\$280
F. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	\$2,310	\$2,310	\$0
3. Workers' Compensation	\$28,349	\$28,349	\$0
4. Post Closure	\$1,495	\$1,495	\$0
Total Operations Expenditures	\$304,591	\$315,623	\$11,032
II. POLICY			
A. Control Section Adjustments	\$0	\$10,681	\$10,681
B. Interagency Agreement with DGS	\$6,449	\$0	-\$6,449
C. Retention Stipend Reallocation	\$500	\$0	-\$500
D. Foster Youth with Complex Needs (previously named Youth Returning from Out-of-State Foster Care)	\$3,980	\$3,980	\$0
E. Deferred Maintenance	\$750	\$0	-\$750
F. Complex Needs Residential Program	\$0	\$10,510	\$10,510
Total Policy	\$11,679	\$25,171	\$13,492
GRAND TOTAL	\$316,270	\$340,794	\$24,524
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$25,491	\$34,032	\$8,541
2. General Fund Other	\$255,589	\$272,600	\$17,011
Total General Fund	\$281,080	\$306,632	\$25,552
B. Reimbursements			
1. Medi-Cal Reimbursements	\$28,611	\$34,032	\$5,421
2. Reimbursements Other	\$6,449	\$0	-\$6,449
Total Reimbursements	\$35,060	\$34,032	-\$1,028
C. Lottery Education Funds	\$130	\$130	\$0
GRAND TOTAL	\$316,270	\$340,794	\$24,524

Comparison of FY 2022-23 to FY 2023-24

I. OPERATIONS	FY 2022-23	FY 2023-24	Difference
A. Canyon Springs Community Facility			
1. Population	56	56	0
2. Units	3.0	3.0	0.0
3. Position	236.0	236.0	0.0
4. Operation Expenditures	\$30,752	\$30,752	\$0
B. Fairview Developmental Center			
1. Population	0	0	0
2. Units	0.0	0.0	0.0
3. Position	52.0	52.0	0.0
4. Operation Expenditures	\$11,278	\$11,278	\$0
C. Porterville Developmental Center			
1. Population	231	221	-10
2. Units	15.0	14.2	-0.5
3. Position	1,427.7	1,393.5	-34.2
4. Operation Expenditures	\$191,252	\$187,566	-\$3,686
5. Lease Revenue Bond	\$8,264	\$8,281	\$17
D. STAR			
1. Population	35	35	0
2. STAR Homes	7.0	7.0	0.0
3. Positions	199.8	226.8	27.0
4. Operation Expenditures	\$26,489	\$42,263	\$15,875
E. CAST			
1. CAST	3.0	3.0	0.0
2. Positions	21.8	19.0	-2.8
3. Operation Expenditures	\$3,508	\$3,329	-\$280
F. Ongoing Costs			
1. Position	18.0	18.0	0.0
2. RRDP	\$2,310	\$2,310	\$0
3. Workers' Compensation	\$28,349	\$28,349	\$0
4. Post Closure	\$1,495	\$1,495	\$0
Total Operations Expenditures	\$303,697	\$315,623	\$11,926
II. POLICY			
A. Control Section Adjustments	\$11,305	\$10,681	-\$624
B. Interagency Agreement with DGS	\$6,449	\$0	-\$6,449
C. Retention Stipend Reallocation	\$500	\$0	-\$500
D. Foster Youth with Complex Needs (previously named Youth Returning from Out-of-State Foster Care)	\$3,980	\$3,980	\$0
E. Deferred Maintenance	\$750	\$0	-\$750
F. Can't Say No Option	\$0	\$10,510	\$10,510
Total Policy	\$22,984	\$25,171	\$2,187
GRAND TOTAL	\$326,681	\$340,794	\$14,113
FUND SOURCES			
A. General Funds			
1. General Fund Match	\$24,955	\$34,032	\$9,077
2. General Fund Other	\$263,955	\$272,600	\$8,645
Total General Fund	\$288,910	\$306,632	\$17,722
B. Reimbursements			
1. Medi-Cal Reimbursements	\$31,192	\$34,032	\$2,840
2. Reimbursements Other	\$6,449	\$0	-\$6,449
Total Reimbursements	\$37,641	\$34,032	-\$3,609
C. Lottery Education Funds	\$130	\$130	\$0
GRAND TOTAL	\$326,681	\$340,794	\$14,113

Canyon Springs Community Facility

BACKGROUND:

Canyon Springs Community Facility (CSCF) opened in December 2000 and is designed to provide residential services, treatment, and training for up to 56 adults who have developmental and intellectual disabilities. There are three Immediate Care Facilities (ICF) units on campus that provide services to assist these individuals to lead more independent, productive, and dignified lives. The facility staff focus on the development of the individuals' ability to manage their lives through various treatment/training opportunities such as behavioral supports and replacement behavior teaching, coping skills, life skills, supportive counselling, vocational skills, adult education, recreational skills, interpersonal relationship development, community integration and encouragement of healthy life choices. CSCF operation expenditures are funded through General Funds, Reimbursements and Lottery Funds.

METHODOLOGY:

There are two types of staffing needed to operate the facility: Unit and Program Support Staff. Unit Staffing includes Clinical and Medical staff that are qualified health care professionals that provide direct patient care services. These staff include, but are not limited to, Physicians and Surgeons, Psychologists, Pharmacists and Nursing. There are 155 Clinical and Medical staff at the facility.

Program Support Staffing may provide direct and/or indirect support services to the individuals. There are many areas that have support staff and these areas include Administration, Personnel, Maintenance, and Food Service. There are 81 Program Support staff at the facility.

FY 2022-23

	Enacted Budget	FY 2022-23	Difference
Positions	236.0	236.0	0.0
Personal Services	\$25,810	\$25,810	\$0
OE&E	<u>\$4,942</u>	<u>\$4,942</u>	<u>\$0</u>
Total	\$30,752	\$30,752	\$0

FY 2023-24

	FY 2022-23	FY 2023-24	Difference
Positions	236.0	236.0	0.0
Personal Services	\$28,810	\$25,810	\$0
OE&E	<u>\$4,942</u>	<u>\$4,942</u>	<u>\$0</u>
Total	30,752	\$30,752	\$0

Canyon Springs Community Facility

REASON FOR CHANGE:

There is no change in both years from the Enacted Budget.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$30,752	\$30,752	\$0
GF	\$15,732	\$15,732	\$0
Reimbursements	\$14,955	\$14,955	\$0
Lottery Funds	\$65	\$65	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$30,752	\$30,752	\$0
GF	\$15,732	\$15,732	\$0
Reimbursements	\$14,955	\$14,955	\$0
Lottery Funds	\$65	\$65	\$0

Fairview Developmental Center

BACKGROUND:

Fairview Developmental Center (FDC) officially opened on January 5, 1959, under the name of Fairview State Hospital, located in Costa Mesa, Orange County, California. FDC is now in warm-shutdown until a site assessment is completed to inform the disposition of the property. The site assessment has been delayed because of campus activities supporting the state's COVID-19 response.

METHODOLOGY:

The warm shut-down expenditures include personal services and operating expenses and equipment (OE&E) for 52 staff.

FY 2022-23

	Enacted Budget	FY 2022-23	Difference
Positions	52.0	52.0	0.0
Personal Services	\$7,721	\$7,721	\$0
OE&E	<u>\$3,557</u>	<u>\$3,557</u>	<u>\$0</u>
Total	\$11,278	\$11,278	\$0

FY 2023-24

	FY 2022-23	FY 2023-24	Difference
Positions	52.0	52.0	0.0
Personal Services	\$7,721	\$7,721	\$0
OE&E	<u>\$3,557</u>	<u>\$3,557</u>	<u>\$0</u>
Total	\$11,278	\$11,278	\$0

REASON FOR CHANGE:

There is no change in both years.

Fairview Developmental Center

EXPENDITURES:

FY 2022-23		<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
	TOTAL	\$11,278	\$11,278	\$0
	GF	\$11,278	\$11,278	\$0

FY 2023-24		<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
	TOTAL	\$11,278	\$11,278	\$0
	GF	\$11,278	\$11,278	\$0

Porterville Developmental Center

BACKGROUND:

Porterville Developmental Center (PDC) provides person-centered support and secure treatment programs to individuals with intellectual and developmental disabilities, embracing change and opportunities while continuing partnerships with stakeholders. Currently, through its Secure Treatment Program (STP), the facility provides 24-hour residential services and medical treatment for individuals 18 years or older with developmental disabilities who are incompetent to stand trial or have been civilly committed by a court order. The STP is 100 percent General Fund as these services are not eligible to receive federal assistance.

METHODOLOGY:

There are four types of staffing needed to effectively operate PDC:

- Unit Staffing: consists of 830.8 staff, included but not limited to, Physicians and Surgeons, Psychologists, Pharmacists, Nursing, and various support staff.
- Program Support: consists of 517.3 staff who provide direct and/or indirect support services to the individuals. Areas that support staff work, include but are not limited to, Administration, Personnel, Office of Protective Service, Maintenance and Food Services, etc.
- Intensive Behavioral Treatment Residence (IBTR): consists of 75.5 staff who serve individuals that require a highly structured treatment setting. Services are provided for individuals whose functional level of intellectual disability ranges from moderate to borderline.
- Forensic Team: consists of 4 Senior Psychologists who work with individuals that are in the mild to moderate range of intellectual disability, have come in contact with the legal system, and have been determined to be incompetent to stand trial. The Forensic Team assesses individuals' ability for trials. These resources were appropriated in the Budget Act of 2020 as part of a coordinated response to the *Stiavetti v. Ahlin* (2016) lawsuit, and to lower the number of individuals with Incompetent to Stand Trial referrals on the waiting list for admission to PDC's STP.
- *Stiavetti v. Ahlin*: In FY 2023-24, PDC will extend staffing for 10 Intermediate Care Facility beds to support Incompetent to Stand Trial referrals for an additional year, a reduction from 20 temporary beds currently authorized through fiscal year 2022-23.

FY 2022-23

	Enacted Budget	FY 2022-23	Difference
Positions	1,427.7	1,427.7	0.0
Personal Services	\$168,500	\$168,500	\$0
OE&E	<u>\$22,752</u>	<u>\$22,752</u>	<u>\$0</u>
Total	\$191,252	\$191,252	\$0
Lease Revenue			
Bond	<u>\$9,158</u>	<u>\$8,264</u>	<u>(\$894)</u>
Grand Total	\$200,410	\$199,516	(\$894)

\$ in thousands (000's)

Porterville Developmental Center

FY 2023-24

	FY 2022-23	FY 2023-24	Difference
Positions	1,427.7	1,393.5	(34.2)
Personal Services	\$168,500	\$165,272	(\$3,228)
OE&E	<u>\$22,752</u>	<u>\$22,294</u>	<u>(\$458)</u>
Total	\$191,252	\$187,566	(\$3,686)
Lease Revenue			
Bond	<u>\$8,264</u>	<u>\$8,281</u>	<u>\$17</u>
Grand Total	\$199,516	\$195,847	(\$3,669)

REASON FOR CHANGE:

The change in FY 2022-23 is due to an update to the Lease Revenue Debt Service Bond.

The change in FY 2023-24 is due to the change in temporary beds to support the admission timelines of the Stiavetti lawsuit and an update to the Lease Revenue Debt Service Bond.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$200,410	\$199,516	(\$894)
GF	\$200,345	\$199,451	(\$894)
Lottery Funds	\$65	\$65	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$199,516	\$195,847	(\$3,669)
GF	\$199,451	\$195,782	(\$3,669)
Lottery Funds	\$65	\$65	\$0

Stabilization Training Assistance Reintegration (STAR)

BACKGROUND:

The state-operated Stabilization Training Assistance Reintegration (STAR) homes are committed to affording each consumer a safe and nurturing environment where opportunities for growth, realization, self-expression, and goal achievement is celebrated. The homes strive to empower individuals to be self-reliant as their skills, strengths, perseverance, and abilities allow so they can transition from crisis stabilization services to long-term community living. STAR services are provided based on an individualized Needs and Services Plan (NSP), which is developed through a team approach using a person-centered planning process that supports the consumer's positive control and self-determination of their own lives. Depending on the supports identified in the NSP, services may include health care, education, work training, employment, self-help training, leisure activities, behavior management, and socialization skills development.

METHODOLOGY:

The staffing needed to operate STAR homes includes Psychologists, Behavior Specialists, and Nursing. There are 199.75 staff at the STAR homes in FY 2022-23. In FY 2023-24 the staff will increase to 226.75.

FY 2022-23

	Enacted Budget	FY 2022-23	Difference
Positions	199.8	199.8	0.0
Personal Services	\$22,121	\$22,121	\$0
OE&E	<u>\$4,267</u>	<u>\$4,267</u>	<u>\$0</u>
Total	\$26,388	\$26,388	\$0

FY 2023-24

	FY 2022-23	FY 2023-24	Difference
Positions	199.8	226.8	27.0
Personal Services	\$22,121	\$26,054	\$3,933
OE&E	<u>\$4,267</u>	<u>\$16,209</u>	<u>\$11,942</u>
Total	\$26,388	\$42,263	\$15,875

Stabilization Training Assistance Reintegration (STAR)

REASON FOR CHANGE:

There is no change in FY 2022-23.

In FY 2023-24 there is an increase due to reclassifying 2.0 homes as intermediate care facilities and an addition of relief factor.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$26,388	\$26,388	\$0
GF	\$17,286	\$17,286	\$0
Reimbursements	\$9,102	\$9,102	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$26,388	\$42,263	\$15,875
GF	\$17,286	\$27,108	\$9,822
Reimbursements	\$9,102	\$15,155	\$6,053

Crisis Assessment Stabilization Teams (CAST)

BACKGROUND:

State-operated mobile crisis services provided by the Crisis Assessment Stabilization Team (CAST) are designed to provide partnerships, assessments, training, and support to individuals continuing to experience crises after regional centers have exhausted all other available crisis services in their catchment areas. CAST also serves individuals who are at risk of having to move from their family homes or other residences and admitted to more restrictive settings.

METHODOLOGY:

The staffing needed to operate CAST includes Psychologists, Behavior Specialists, and Nursing. In FY 2022-23, there are 21.8 CAST staff in the program. In FY 2023-24, after revising classifications, there are 19.0 CAST staff in the program. CAST staffing may provide direct and/or indirect support services to the individuals who are not able to use other resources.

FY 2022-23

	Enacted Budget	FY 2022-23	Difference
Positions	21.8	21.8	0.0
Personal Services	\$2,931	\$2,931	\$0
OE&E	<u>\$678</u>	<u>\$678</u>	<u>\$0</u>
Total	\$3,609	\$3,609	\$0

FY 2023-24

	FY 2022-23	FY 2023-24	Difference
Positions	21.8	19.0	(2.8)
Personal Services	\$2,931	\$2,732	(\$199)
OE&E	<u>\$678</u>	<u>\$597</u>	<u>(\$81)</u>
Total	\$3,609	\$3,329	(\$280)

REASON FOR CHANGE:

There is no change in FY 2022-23.

The change in FY 2023-24 the change is due to the separation of CAST from STAR and changes in the staff supporting the team.

Crisis Assessment Stabilization Teams (CAST)**EXPENDITURES:**

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$3,609	\$3,609	\$0
GF	\$2,271	\$2,271	\$0
Reimbursements	\$1,338	\$1,338	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$3,609	\$3,329	(\$280)
GF	\$2,271	\$2,098	(\$173)
Reimbursements	\$1,338	\$1,231	(\$107)

Ongoing Costs

BACKGROUND:

Regional Resource Development Project (RRDP)

The Regional Resource Development Project (RRDP) was initially piloted in 1987 and authorized by the [Lanterman Developmental Disabilities Services Act](#) in September 2002. The RRDPs are mainly designed to assist individuals in the transition process from the state operated facilities to community living. This includes:

- Assist consumers and their interdisciplinary planning teams with planning and transition from State Operated Facilities (SOF) to community living alternatives and provide post placement follow up.
- Assess consumers experiencing difficulty in their community environment and identify possible supports to preserve their community living arrangements.
- Arrange for and conduct an assessment of individuals in need of acute crisis services following an initial review and notification by the regional centers.
- Assist in the transition to, or preservation of, community living arrangements by providing focused training on specific needs to consumers, families, service providers and regional center staff.
- Communicate with the regional centers regarding the development of the annual Community Placement Plan.
- There is a total of 16 RRDP staff between the Northern and Southern regions. The North RRDP staff provides continued support to the individuals who transitioned from the Sonoma's Developmental Center into community living, and the individuals that will transition from North Stabilization, Training, Assistance and Reintegration (STAR) homes. The South RRDP provides continued support to the individuals who transitioned from Fairview's Developmental Center, and individuals who will transition from the South STAR homes, Desert STAR and Canyon Springs Community Facility.

Workers' Compensation

The Department continues to pay for Workers' Compensation claims from open and closed facilities. The closed facilities are: (1) Agnews, (2) Sierra Vista, (3) Stockton, (4) Camarillo, (5) Lanterman, (6) Sonoma, and (7) Fairview. The State Compensation Insurance Fund (SCIF) manages claims, bills the Department monthly for both Temporary and Permanent disability benefits, supplemental job displacement benefits, actual medical costs, any Compromise and Release settlement payments, and the SCIF service fee.

Post Closure

Lump sum funding provided in previous budgets was unused by SOF employees who joined the Community State Staff Program (CSSP) instead of separating or transferring.

Ongoing Costs

METHODOLOGY:

RRDP expenditures are developed using the California Department of Human Resources (CalHR) state classifications' salary, staff benefits, and average operating expenses.

Workers' Compensation expenditures are based on the analysis of several complete fiscal years to determine baseline expenditures related to Workers' Compensation costs throughout the SOF program.

Post Closure expenditures are based on an analysis of the lump sum for accrued leave balances for employees over the age of 50, combined with the average number of separations over several fiscal years.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Regional Resource Development Project:	\$2,310	\$2,310
Workers' Compensation:	\$28,349	\$28,349
Post Closure:	\$1,495	\$1,495
TOTAL EXPENDITURES:	\$32,154	\$32,154

REASON FOR CHANGE:

There is no change in both fiscal years.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$32,154	\$32,154	\$0
GF	\$31,938	\$31,938	\$0
Reimbursements	\$216	\$216	\$0

<u>FY 2022-23</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$32,154	\$32,154	\$0
GF	\$31,938	\$31,938	\$0
Reimbursements	\$216	\$216	\$0

Control Section Adjustments

BACKGROUND:

Departmental appropriations are adjusted annually to reflect the State's costs through the executive order process. Control Section 3.60 adjusts the Department's appropriation to reflect the State's share of retirement costs.

Employee Compensation adjustments approved through the collective bargaining process, and for employees excluded from collective bargaining as approved by the California Department of Human Resources, are referred to as Item 9800 adjustments. Item 9800 contains general salary increases, shift differentials, retention incentives, and various changes to the employers' share of staff benefits.

METHODOLOGY:

- Control Section 3.60 Retirement Adjustments
Based on an analysis of positions, employee retirement categories, and retirement contribution amounts, the Department is reflecting expenditures of \$4.5 million (\$4.1 million GF) for retirement adjustments.
- Item 9800 Employee Compensation Adjustments for the Department reflects an increase of \$6.8 million (\$6.2 million GF) in FY 2022-23 and \$6.2 million (\$5.5 million GF) in FY 2023-24.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Control Section 3.60 Retirement	\$4,516	\$4,516
Item 9800 Employee Compensation	\$6,789	\$6,165
TOTAL CONTROL SECTION ADJUSTMENTS	\$11,305	\$10,681

REASON FOR CHANGE:

The change in FY 2022-23 from the Enacted Budget is due to the Section 3.60 and Item 9800.

The change from FY 2022-23 to FY 2023-24 is to comply with Section 3.60 and Item 9800.

EXPENDITURES:

<u>FY 2021-22</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$11,305	\$11,305
GF	\$0	\$10,284	\$10,284
Reimbursements	\$0	\$1,021	\$1,021

Control Section Adjustments

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$11,305	\$10,681	(\$625)
GF	\$10,284	\$9,646	(\$638)
Reimbursements	\$1,021	\$1,035	\$13

Inter-Agency Agreement (IAA) with DGS

BACKGROUND:

In April 2019, the Department of General Services (DGS) proposed a three-year inter-agency agreement (IAA) to reimburse the Department for the costs associated with operations, maintenance, and partial decommissioning at Sonoma Developmental Center beginning July 1, 2019. The staff needed are those who manage the water treatment plant, operations and maintenance. The contract started in FY 2019-20 and was to end in FY 2021-22. Due to the unforeseen pandemic, the group responsible for stakeholder engagement and development of proposed site usage had multiple delays. The ability to meet and collaborate ideas for the property use was hindered but currently is back on track.

METHODOLOGY:

In FY 2022-23, the total expenditures for the facility are \$6.4 million comprised of the following: \$4.4 million in personal services and \$2.0 million for OE&E.

In FY 2023-24, the Department will not extend the IAA with DGS.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Personal Services	\$4,382	\$0
Operating Expenses and Equipment	\$2,067	\$0
TOTAL	\$6,449	\$0

REASON FOR CHANGE:

There is no change from the Enacted Budget in FY 2022-23.

The change from FY 2022-23 to FY 2023-24 is due to the end of the IAA with DGS.

EXPENDITURES:

<u>FY 2022-23</u>	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$6,449	\$6,449	\$0
GF	\$0	\$0	\$0
Reimbursements	\$6,449	\$6,449	\$0

<u>FY 2023-24</u>	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$6,449	\$0	(\$6,449)
GF	\$0	\$0	\$0
Reimbursements	\$6,449	\$0	(\$6,449)

Retention Stipend Reappropriation

BACKGROUND:

The 2016 Enacted Budget provided a retention stipend to developmental center employees at Sonoma, Fairview, and Porterville General Treatment Area during the developmental center closures to provide continuity of habilitation and treatment services and ensure the health and safety of the clients. This funding was provided to the Department with five-year appropriation authority to allow payment upon separation from the closed developmental center facility. Sonoma and Fairview Developmental Centers have closed but are still in warm shutdown, creating a delay in final separation for the remaining staff.

The 2022 Budget Act reappropriated \$500,000 General Fund for these purposes due to delays in final disposition of Fairview and Sonoma Developmental Center (DC) properties. These funds are available through the duration of warm shutdown of both sites.

METHODOLOGY:

The fiscal is determined based on the number of remaining staff, less staff attrition for retirement or transfer, as warm shutdown activities continue at both Sonoma and Fairview. The amount for carryover assumes a certain number of staff will not retire or transfer until the end of facility disposition.

REASON FOR CHANGE:

There is no change in FY 2022-23.

The change in FY 2023-24 is due to not reappropriating the fund as it is available for 3 years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$500	\$500	\$0
GF	\$500	\$500	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$500	\$0	(\$500)
GF	\$500	\$0	(\$500)
Reimbursements	\$0	\$0	\$0

Foster Youth with Complex Needs

BACKGROUND:

Historically, when youth with complex behavioral, medical, and mental health needs in the foster care system could not be served by in-state placement, counties could place youth in out-of-state facilities. The Department of Social Services conducted a reassessment of these facilities and found violations of California licensure standards resulting in the decertification of facilities.

Youth have since returned to California from out-of-state placements, including 10 determined to be eligible for Lanterman Act services. The ongoing resources support foster youth remaining in state who may have under past practice been considered for out-of-state placement.

METHODOLOGY:

The expenditures were developed based on five youths receiving supports in Stabilization, Training, Assistance and Reintegration (STAR) residences. The Department anticipates the same expenditures for FY 2023-24.

REASON FOR CHANGE:

There is no change in both fiscal years.

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$3,980	\$3,980	\$0
GF	\$2,540	\$2,540	\$0
Reimbursements	\$1,440	\$1,440	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$3,980	\$3,980	\$0
GF	\$2,540	\$2,540	\$0
Reimbursements	\$1,440	\$1,440	\$0

Deferred Maintenance

BACKGROUND:

Deferred Maintenance funds are allocated to correct infrastructure deficiencies. State Operated Facilities require routine maintenance and repairs to ensure that facilities remain in acceptable condition to preserve the life of the facilities. When repairs are delayed due to various reasons, these repairs are considered Deferred Maintenance Projects. Departments are appropriated Deferred Maintenance funds to address their infrastructure needs. The funds are one-time, but usually available for expenditure or encumbrance for up to three years.

METHODOLOGY:

To qualify for the Deferred Maintenance funds, the Department is required to develop and submit a list of projects approved by the Department of Finance. The total expenditures for the projects must not exceed the total amount allocated for that fiscal year.

In FY 2021-22, the Department received a one-time \$5.0 million GF appropriation for Deferred Maintenance projects at the Porterville and Fairview Developmental Centers.

Of the one-time \$10 million GF in Deferred Maintenance appropriated in FY 2018-19, the Department has reappropriated \$750,000 GF to complete the construction phase of replacing the air handlers at the Porterville Developmental Center.

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
Porterville Developmental Center	\$750	\$0
Fairview Developmental Center	\$0	\$0
TOTAL EXPENDITURES	\$750	\$0

REASON FOR CHANGE:

This is no change in FY 2022-23 from the Enacted Budget.

The change from FY 2022-23 to FY 2023-24 is due to the end of the funding.

Deferred Maintenance

EXPENDITURES:

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$750	\$750	\$0
GF	\$750	\$750	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$750	\$0	(\$750)
GF	\$750	\$0	(\$750)
Reimbursements	\$0	\$0	\$0

Enhanced Federal Funding

BACKGROUND:

On March 18, 2020, the President signed the Families First Coronavirus Response Act (FFCRA) into law. The FFCRA provides a temporary 6.2 percentage point increase to California's Federal Medical Assistance Percentage (FMAP) under section 1905(b) of the Social Security Act, effective January 1, 2020. The increase in FMAP applies to the Canyon Springs Community Facility, Stabilization Training Assistance Reintegration (STAR) homes, and Crisis Assessment Stabilization Teams (CAST).

The increase in federal reimbursements is assumed to continue through June 30, 2023.

METHODOLOGY:

The estimated enhanced FMAP is based on the additional 6.2 percent federal funds participation for eligible costs in fiscal year (FY) 2022-23. The increased federal funds result in corresponding General Fund savings.

REASON FOR CHANGE:

The change in FY 2022-23 from the Enacted Budget is due to an anticipated six-month extension of enhanced FMAP.

The change from FY 2022-23 to FY 2023-24 reflects the sunset of enhanced FMAP.

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$1,560)	(\$3,119)	(\$1,559)
Reimbursements	\$1,560	\$3,119	\$1,559

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	(\$3,119)	\$0	\$3,119
Reimbursements	\$3,119	\$0	(\$3,119)

Complex Needs Residential Program

BACKGROUND:

The Department of Developmental Services requests start-up resources of \$10.5 million General Fund in 2023-24 to develop three 5-person residential homes for individuals with highly complex needs to prevent individuals from being served at locked psychiatric facilities, institutions for mental disease, medical facilities, or facilities out-of-state. DDS estimates annual service costs at \$16.9 million General Fund in 2024-25 and \$22.3 million (\$11.2 million General Fund) ongoing beginning in 2025-26.

Development of these homes would reduce reliance on 10 crisis beds activated at the Canyon Springs Community Facility through DDS's Stabilization Training Assistance Reintegration (STAR) program.

METHODOLOGY:

The costs for FY 2023-24 are based upon estimates to develop 3.0 homes and initial hiring of staff.

REASON FOR CHANGE:

This is a new policy reflecting the cost to develop homes and initial staffing of 5.0 positions for six months.

FY 2022-23	<u>Enacted Budget</u>	<u>FY 2022-23</u>	<u>Difference</u>
TOTAL	\$0	\$0	\$0
GF	\$0	\$0	\$0
Reimbursements	\$0	\$0	\$0

FY 2023-24	<u>FY 2022-23</u>	<u>FY 2023-24</u>	<u>Difference</u>
TOTAL	\$0	\$10,510	\$10,510
GF	\$0	\$10,510	\$10,510
Reimbursements	\$0	\$0	\$0

General Fund

BACKGROUND:

The General Fund (GF) is used for two purposes: (1) as a match to Title XIX Reimbursement and (2) to pay for non-Medi-Cal eligible resources/services for residents in a state-operated facility (SOF).

METHODOLOGY:

The Department's appropriation for GF consists of two components: (1) GF Match and (2) GF Other. The detail of these two components are as follows:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> General Fund Match This funding is the matching GF to the Medi-Cal Reimbursements received from the Department of Health Care Services (DHCS). These Reimbursements are originally funded by the federal government and passed through DHCS (the federally recognized single state agency for Medicaid). 	\$24,955	\$34,032
Non-Proposition 98	\$24,955	\$34,032
<ul style="list-style-type: none"> General Fund Other This funding is to pay for services provided to SOF residents who are not eligible for federal or other reimbursement funding. 	\$263,955	\$272,597
Lease Revenue Debt Service Bond	\$8,264	\$8,281
Proposition 98	\$305	\$305
HIPAA	\$180	\$180
Other General Funds	\$255,206	\$263,834
TOTAL GENERAL FUND:	\$288,910	\$306,632

Reimbursements

BACKGROUND:

Title XIX Medi-Cal Reimbursements are funds received for services provided to eligible residents in the developmental centers and the state-operated community facility. These funds require a General Fund match at the FMAP rate.

METHODOLOGY:

The Department's appropriation for reimbursements consists of three components: (1) Title XIX/Medi-Cal, (2) federal reimbursements, and (3) other reimbursements. The detail of these three components are as follows:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
<ul style="list-style-type: none"> Title XIX/Medi-Cal Title XIX Medi-Cal Reimbursement funds received from the Department of Health Care Services for eligible services provided to State Operated Facility residents. Non-Proposition 98 	\$19,331	\$17,191
<ul style="list-style-type: none"> Federal Reimbursements The Department received approval for federal reimbursements for the STAR homes and services provided by CAST to individuals in a crisis. HCBS Waiver 1915(i) State Plan Amendment 	\$11,861	\$16,841
	\$9,489	\$13,473
	\$2,372	\$3,368
<ul style="list-style-type: none"> Other Reimbursements 	\$6,449	\$0
TOTAL Reimbursements:	\$37,641	\$34,032

Lottery

BACKGROUND:

Lottery Education Fund uses state General Fund revenues guaranteed for the support of school districts, community colleges districts, and state agencies that provide direct elementary and secondary level instructional services.

METHODOLOGY:

The Department's appropriation from the Lottery Education Fund is as follows:

	<u>FY 2022-23</u>	<u>FY 2023-24</u>
• Lottery Education Fund	\$130	\$130
The Lottery Education Fund is used for specified educational costs, such as training programs to establish curriculum as well as to support special needs and equipment costs in a state-operated facility. Funds received are based on average daily attendance.		

TOTAL Lottery:	\$130	\$130
-----------------------	--------------	--------------