

SPECIAL INCIDENT REPORTING

Strengthening Oversight & Safety

March 2026



Photo by [Chona Kasinger](#),
from [Disabled and Here](#)



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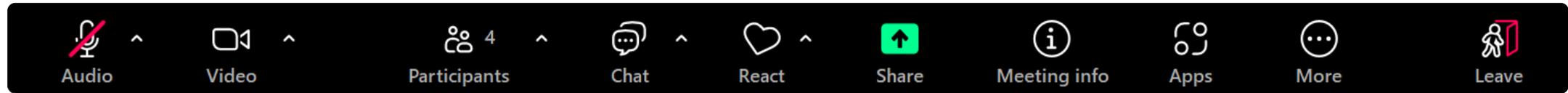


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AGENDA

- Overview of Regulatory Changes
 - Key Changes and Clarifications
- SIR Resources
 - [Vendor SIR Reporting Guidelines](#)
 - [SIR informational/training videos](#)
 - Frequently Asked Questions (Spring 2026)
- Questions/feedback



SIR Reporting – Updates and why it Matters?

- Making sure individuals served get the services and supports they need.
- Improving services for individuals served.
- Strengthening partnerships.
- Mitigating risk for individuals served and providers.
- Driving improvements in our service delivery system.
- **Updates to Title 17 SIR reporting regulations**
 - Effective: May 1, 2026



Reporting Requirements - Reminder

- Report to the regional center responsible for the individual's case management.
 - Initial report to the regional center within 24 hours after learning of the incident.
 - Written report to the regional center within 48 hours after learning of the incident.
- If the regional center with case management is different than the vendoring regional center, file reports with both regional centers.



Reminder: two general categories of incidents

Two general categories of reportable incidents:

1. Things that happen to anyone served by a regional center *regardless of when or where the incident occurred*:
 - Any death
 - Any time an individual is the victim of *any* crime
 - **NEW:** When a mandated abuse or neglect report is filed with Adult Protective Services, Child Protective Services, long term care ombudsman, or law enforcement
2. Things that happen to someone “under vendored care”
 - More on that in the coming slides.



Key Clarifications – Under Vendored Care

- **When is an individual considered to be “under vendored care”?**
 - Vendor is providing services and supports at the time of an incident, or
 - Vendor is listed in the IPP as responsible for services and supports at the time of an incident, or
 - Vendor provides services and supports 24/7, or
 - Vendor is required to provide 24/7 services under California Code of Regulations.
- **Service providers that provide 24 hours, 7 days a week services**
 - Long term health care facilities
 - Supported Living Services
 - Family Home Agencies
 - Financial Management Services



Key Changes

1. Key Terms are Defined

- Check Title 17 or the Guidelines for definitions
- Ensures consistency in reporting across the state

2. When the victim of *any crime (not just those listed)*

New crimes added:

- ***Simple assault***
- ***Battery***
- ***Fraud***
- ***Identity or credit theft***
- ***Attempted or actual homicide or manslaughter***
- ***Human Trafficking***
- ***Stalking***
- ***Hate Crime***



Key Changes – Reporting Categories

Any Reasonably Suspected Abuse

New categories added

- **Financial (instead of Fiduciary)**
- **Exploitation**
- **Verbal**
- **Isolation [and seclusion]**
- **Restraint Use**
 - Restraint techniques inconsistent with program's approved plan, restraint training curriculum or restraint policy;
 - Physical, mechanical, or chemical restraint used when behavior does not pose imminent risk of harm;
 - *When restraint is part of an individual's IPP, restraint not approved in the individual's plan; or*
 - Chemical or mechanical restraint inconsistent with physician's order
- **Any incident reported to protective agencies under: (not limited to under vendored care)**
 - Elder and Dependent Adult Abuse Reporting Act
 - Child Abuse and Neglect Reporting Act



Key Changes – Reporting Categories cont.

Any Reasonably Suspected Neglect

New categories added:

Failure to:

- Provide medical or dental care for physical and mental health needs
 - Including **failing to administer required health care interventions**
- Protect from health and safety hazards
 - Including **failing to prevent two or more falls within 30 days**
- Assist with personal hygiene
 - Including **failure to assist with toileting, incontinence needs, and provision of fluids**
- **Abandonment**
- **Any incident reported to protective agencies under: (not limited to under vendored care)**
 - Elder and Dependent Adult Abuse Reporting Act
 - Child Abuse and Neglect Reporting Act



Key Clarifications – Reporting Categories

Any Serious Injury/Accident but not limited to: *[New categories added]*

- Lacerations requiring sutures, staples, **wound adhesive, or other closure beyond first aid**
- **Injury from a seizure requiring medical treatment beyond first aid***
- **Bruising****, contusions, or hematomas:
 - To the head, eyes, or neck (*regardless of size*)
 - To the breasts, genitals, or rectal/anal area (*regardless of size*)
 - 2 inches or greater in size
- **Injury from aggressive contact requiring medical treatment beyond first aid**
- **Any head injury, including concussion, requiring medical attention*****
- **Pressure injuries stage 2 or greater, or unstageable**

* **“Medical Treatment Beyond First Aid”** means when an individual served receives treatment by a trained medical professional beyond the one-time, short-term treatment administered immediately after the injury occurs and at the location where it occurred.”

** **“Internal Bleeding”** distinguished from bruising.

*** **“Medical Attention”** means when an individual served is assessed and/or under the observation of a trained medical professional.



Key Changes – New Categories

- **Emergency Room Visits**
 - Any stay in a hospital emergency room five (5) days or more
- **Unplanned or Unscheduled Hospitalizations*** *[New category added]*
 - ***Bowel Obstruction***

An SIR is required when the vendor *learns* that the hospitalization is related to a reportable condition. *Do not wait* for a discharge diagnosis or confirming medical documentation.

* “**Hospitalization**” means when an individual has been admitted to a hospital for an inpatient stay, regardless of the duration.



TRAINING AND RESOURCES

[Vendor SIR Reporting Guidelines](#)

Vendor Trainings
(In Progress)

Frequently Asked
Questions
(Spring of 2026)

[Training Videos](#)

QUESTIONS?

If you have any questions regarding materials or trainings, please email:

orm@dds.ca.gov

