

Inland Regional Center Home and Community-Based Services 1915(c) Self- Determination Program Waiver Monitoring Review Report

Conducted by: Department of
Developmental Services and
Department of Health Care Services

Review Dates: October 6 – 24, 2025

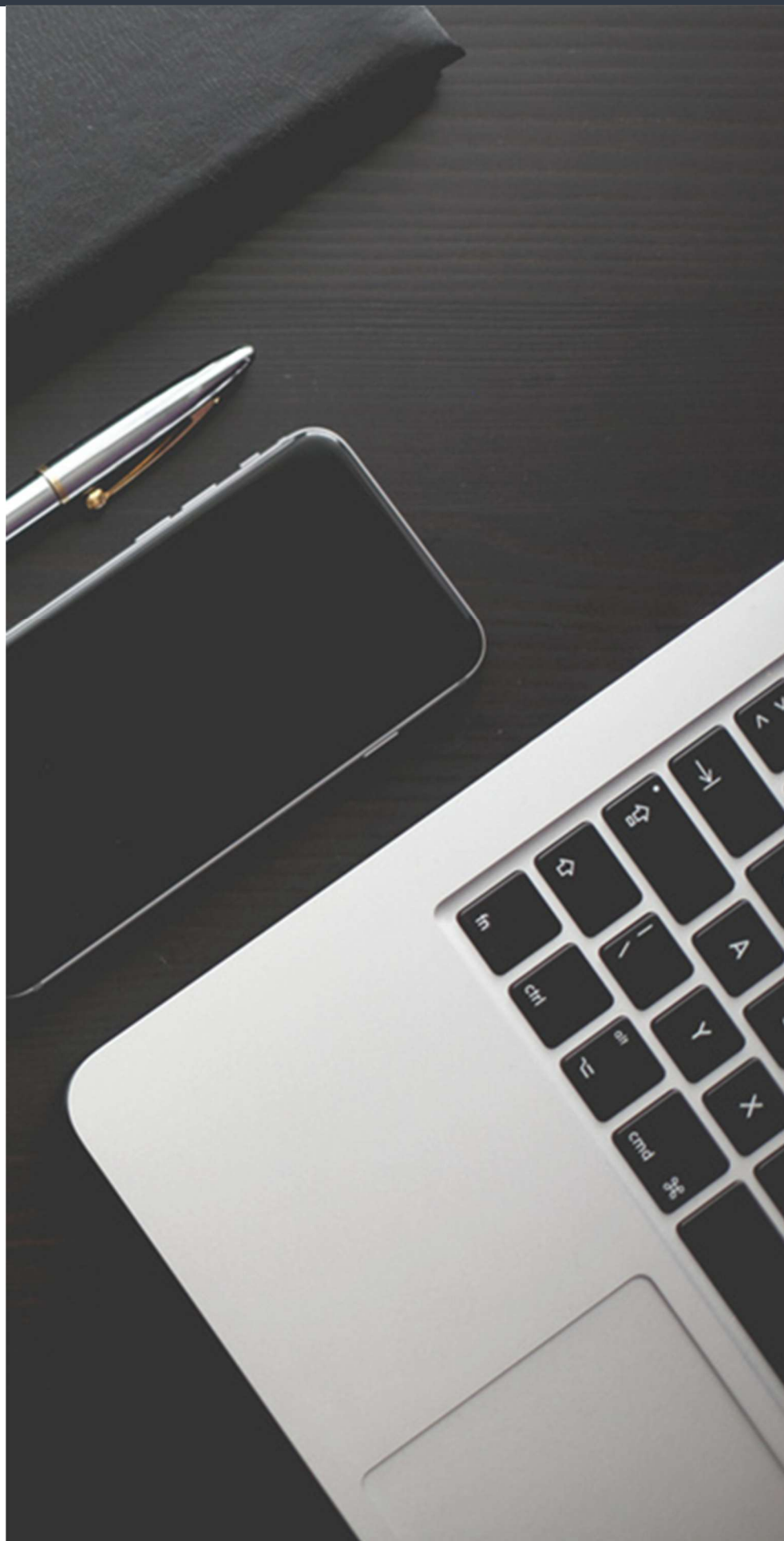


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SECTION I: REGIONAL CENTER SELF-ASSESSMENT

Inland Regional Center (IRC) responded to questions that align with the California Home and Community-Based (HCBS) 1915(c) Self-Determination Program Waiver requirements. The regional center self-assessment addresses the California HCBS Waiver assurances criteria and is designed to provide information about the regional center's processes and practices.

The responses indicated that the regional center has systems and procedures in place for implementing the State and HCBS Waiver laws and regulations addressed in the self-assessment criteria.

The full response to the self-assessment provided by IRC is available upon request.

SECTION II: REGIONAL CENTER RECORD REVIEW

For the review period of July 1, 2024, through June 30, 2025, a total of 16 records of individuals enrolled on the Home and Community-Based Services (HCBS) 1915(c) Self-Determination Program (SDP) Waiver and receiving services at Inland Regional Center (IRC), were reviewed for individual choice, notification of proposed action and fair hearing rights, level-of-care, individual program plans (IPP) and periodic reviews and reevaluations of services. Ten additional supplemental records were reviewed for documentation that IRC determined the individual met the HCBS Waiver level-of-care requirements prior to receiving HCBS Waiver supports.

The 16 records reviewed were 98 percent in overall compliance for this review. Findings for six criteria are detailed below:

- 2.1.b The DS 3770 form summarizes the individual's assessed needs and/or any special health care conditions for meeting the Title 22 level-of-care requirements. *[Cal. Code. Regs tit. 22, § 51343(l)(5) (2025)]*

Finding

Fifteen of the sixteen (94 percent) sample records of individuals summarized the assessed needs and/or special health care conditions on the DS 3770 form. However, the DS 3770 form in the record for individual #13 only had one assessed need. A DS 3770 was completed on October 9, 2025, adding an additional assessed need. Accordingly, no recommendation is required.

- 2.2.a Each record contains a dated and signed Medicaid Waiver Consumer Choice of Services/Living Arrangements form (DS 2200). *[42 C.F.R. § 441.302(d) (2025)]*

Findings

Fourteen of the sixteen (88 percent) sample records of individuals contained a signed and dated DS 2200 form. However, there were identified issues regarding the DS 2200 form for the following individuals:

1. Individual #4: The DS 2200 in the record was not signed until September 16, 2025. Accordingly, no recommendation is required; and,
2. Individual #13: The individual did not date the DS 2200 until October 16, 2025. Accordingly, no recommendation is required.

- 2.5.a The individual's assessed needs and any special health care conditions used to meet the level-of-care requirements for care provided in an ICF-DD, ICF-DDH, ICF/DD-N facility are documented on the DS 3770 and in the individual's Client Development Evaluation Report (CDER). [42 C.F.R. § 441.302(c) (2025)], [Cal. Code. Regs tit. 22, § 51343(l) (2025)]

Finding

Fifteen of the sixteen (94 percent) sample records of individuals had documented assessed needs that meet the level-of-care requirements. However, the record for individual #13 only listed one assessed need. A DS 3770 was completed on October 9, 2025, adding an assessed need. Accordingly, no recommendation is required.

- 2.5.b The individual's assessed needs used to meet the level-of-care requirements for care provided in intermediate care facilities that is documented in the Client Development Evaluation Report (CDER) and the DS 3770 are consistent with other information contained in the individual's records. (HCBS Waiver Requirement)

Finding

Fifteen of the sixteen (94 percent) sample records of individuals documented level-of-care qualifying conditions that were consistent with information found elsewhere in the record. However, the assessed need "personal care" listed on the DS 3770 for individual #16 was not consistent in the record. A new DS 3770 was completed on October 21, 2025, modifying the assessed need to be consistent with the IPP. Accordingly, no recommendation is required.

- 2.7.b IPP addenda are signed by an authorized representative of the regional center and the individual or, where appropriate, the individual's parents, legal guardian, conservator or authorized representative. [W.I.C. § 4646(g) (2023)]; [42 C.F.R. § 441.301(c)(2)(ix) (2025)]

Finding

Seven of the eight (88 percent) applicable sample records for individuals contained IPP addenda signed by IRC and the individual or, where appropriate their parents, legal guardian, conservator or authorized representative". However, the addendum for individual #1 completed on February 20, 2025, was not signed by the regional center until October 9, 2025. Accordingly, no recommendation is required.

- 2.10.a The IPP includes a schedule of the type and amount of all services and supports purchased by the regional center. [W.I.C. § 4646.5(a)(5) (2023)]; [42 C.F.R. § 441.301(c)(2)(v) (2025)]

Finding

Fifteen of the sixteen (94 percent) sample IPPs of individuals included a schedule of the type and amount of all services and supports purchased by the regional center. However, the IPP for individual #8 did not include IRC funded services for Financial Management Services

covering the months of February 2025 through June 2025 in the IPPs covering the review period. An addendum was completed on August 27, 2025, addressing the purchased services. Accordingly, no recommendation is required.

SECTION III: OBSERVATIONS AND INTERVIEWS WITH INDIVIDUALS

Eight of the sixteen individuals supported by Inland Regional Center (IRC), or in the case of minors, their parents, were interviewed and/or observed at their day programs, employment sites, community care facilities, or in independent living settings to verify that the individuals appear to be supported and healthy. Interview questions focused on the individuals' satisfaction with their financial management service (FMS) provider, independent facilitator, participation in developing budget and spending plan, and regional center services.

Finding

Seven of the eight individuals/parents of minors interviewed, indicated satisfaction with their financial management service provider, independent facilitator, living situation, day program, work activities, health, choice, and regional center services. The appearance for all the individuals that were interviewed and observed reflected personal choice and individual style. However, individual #3 was dissatisfied with their FMS provider's onboarding process and the amount of time it takes to obtain regional center services.

Recommendation	Regional Center Plan/Response
IRC should follow up with individual #3 regarding concerns with their FMS provider and obtaining services.	Individual #3 and natural support expressed concerns regarding the timeframe for processing approved purchase of service changes as well as the timeframe for the FMS agency to release funds to order supplies. IRC discussed alternative FMS agencies with individual #3, however individual #3 decided to remain with the current FMS provider.

SECTION IV: SERVICE COORDINATOR INTERVIEWS

The monitoring team interviewed four service coordinators (SC). The interviews determined that all of the SCs, are knowledgeable about the desires, preferences, life circumstances and service needs of the individuals they support. The SCs had knowledge of the processes for individual program planning, knowledge of Self-Determination Program services and periodic review as well as monitoring the services, health, and safety of the individuals they support.

SECTION V: SPECIAL INCIDENT REPORTING

The records of the 16 individuals supported by Inland Regional Center (IRC) and selected for the Home and Community-Based (HCBS) 1915(c) Self-Determination Program Waiver sample were reviewed to verify that special incidents have been reported. A supplemental sample of one record was also reviewed to verify that special incident reports (SIR) have been reported within the required timeframes, that documentation meets the requirements of Title 17, California Code of Regulations, and that the follow-up was appropriate and complete. In addition, the monitoring team verifies that an incident report was completed for all individuals on the waiver supported by IRC who passed away during the review period.

Summary of Findings

IRC reported all special incidents in the sample of 16 records selected for the HCBS 1915(c) Self-Determination Program Waiver review to the Department. IRC's vendors reported all (100 percent) incidents in the supplemental sample to the regional center within the required timeframes. IRC reported none of the incidents (0 percent) in the supplemental sample to the Department within the required timeframe. IRC's follow-up activities on incidents in the supplemental sample were appropriate for the severity of the situations for all (100 percent) incidents. In addition, IRC reported all deaths during the review period.

Finding

None

SECTION VI: SUPPLEMENTARY ISSUE

This section contains any supplementary issues identified by the monitoring team during the review that are not specifically addressed by the standard review protocol criteria or directly related to the individuals selected for the review but should be reviewed and addressed by the regional center.

Comments

Regional centers shall implement the standardized individual program plan template and procedures no later than January 1, 2025. *[W.I.C. § 4435.1(d)]*

Finding

The standardized Individual Program Plan (IPP) signature page was not utilized by Inland Regional Center beginning January 1, 2025, as required.

Recommendation	Regional Center Plan/Response
IRC should ensure all newly developed IPPs are completed using the SIPP and include all of the components of the IPP.	An all-staff mandatory training has been completed for case management. Service Coordinators are utilizing the IPP Signature Page. IRC ensures accuracy and timely completion to ensure we remain in compliance with this requirement.