

North Bay Regional Center Targeted Case Management and Nursing Home Reform Monitoring Report

Conducted by: Department of
Developmental Services

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SECTION I: TARGETED CASE MANAGEMENT

Thirty-eight records of individuals supported by North Bay Regional Center (NBRC), containing 3,205 billed units, were reviewed for the review period of February 1, 2024, through January 31, 2025 to ensure that the regional center is in compliance with the Federal and State statutes and regulations and the Centers for Medicare & Medicaid Services’ guidelines relating to the provision of Targeted Case Management (TCM).

The sample records were 100 percent in compliance for criterion 1 (TCM service and unit documentation matches the information transmitted to the Department), 99 percent in compliance for criterion 2 (TCM service documentation is consistent with the definition of TCM service), and 100 percent in compliance for criterion 3 (TCM service documentation identifies the individual who wrote the note and the date the note was completed).

Findings

Criterion 2: The sample of 38 records contained 3,205 billed TCM units. Of this total, 3,193 (99 percent) of the units contained descriptions that were consistent with the definition of TCM services.

Recommendation	Regional Center Plan/Response
NBRC should ensure that the time spent on the identified activities that are inconsistent with TCM claimable services (sent separately) is reversed.	TCM notes identified in the recommendation section have been reversed. In addition, NBRC has trained all SCs on writing TCMs. In particular SCs were trained to include stand- alone descriptions. To further support SCs with writing TCMs, NBRC has adopted SANDIS created TCM drop-down menus and guides for TCM documentation.

SECTION II: NURSING HOME REFORM

A sample of 10 records of individuals who were referred to NBRC for the Pre-Admission Screening/Resident Review program were reviewed for the period of February 1, 2024, through January 31, 2025 to ensure that the regional centers is in compliance with the Centers for Medicare & Medicaid Services' guidelines relating to Nursing Home Reform (NHR) to determine whether an individual in a nursing facility with suspected developmental disabilities, has a developmental disability and requires specialized services.

The 10 sample records were 100 percent in compliance for criterion 1 (records contained a copy of the Pre-Admission Screening/Resident Review (PAS/RR) Level I form, or NHR automated printout), criterion 2 (records of individuals served contained a PAS/RR Level II document or written documentation responding to the Level I referral), criterion 3 (The billing information for the 10 sample of individuals served had been entered into the AS 400 computer system and electronically transmitted to the Department).

Findings

None